

1 Airborne transmission of exhaled pollutants during short-term
2 events: quantitatively assessing inhalation monitor points

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11

12 **Abstract:**

13 The infection risk assessment associated with the contaminant inhalation can provide a
14 scientific basis for formulating mitigation measures. Previous studies on the breathing
15 zone are primarily based on the assumption of the steady formation and homogeneous
16 property, while it might not be applicable for short-term events. Large-eddy simulation
17 (LES) is employed in the present study, as well as two computational thermal manikins
18 with detailed facial features and transient breathing conditions. Exposure risks in eight
19 commonly used monitor points are compared in short-term events and under steady-
20 state conditions. Three representative physical distances between room occupants are
21 investigated, namely 0.35 m, 1.0 m, and 1.5 m. Based on the statistical difference in the
22 contaminant distribution at a short physical distance, the breathing zone could be
23 identified from the time-averaged concentration field. The results highlight that the
24 previously defined breathing zone ignores unsteady airflow characteristics,

25 significantly impacting the exposure risk estimation in short-term events. Owing to the
26 substantial temporal variation of the contaminant in the identified breathing zone, the
27 instant exposure risk analysis in short-term events should consider its turbulence
28 intensity and concentration fluctuation characteristic. Overall, instead of using the
29 identified breathing zone. Point_A, Point_B, and Point_C should be employed to
30 evaluate infection risk in short-term events. The localized method with direct
31 interference on the respiratory airflow should be recommended in short-term events.

32 **Keywords:** Airborne transmission; LES turbulence model; Short-term events;
33 Breathing zone; Exposure risk.

34

35 **1. Introduction**

36 The COVID-19 pandemic rising from the severe acute respiratory syndrome
37 coronavirus (SARS-CoV-2) has ravaged the world since 2020. According to the WHO
38 weekly report, as of April 3rd, 489 million and 6.1 million get infection and death,
39 respectively [1]. The economic development and the provision of medical measures
40 have been severely affected [2]. Recently, much more attention has been attracted to
41 the human infection risk analysis associated with contaminant inhalation and the
42 breathing zone [3-5]. The virus-laden aerosols and droplets generated during human
43 respiratory activities (like breathing, speaking, and coughing) could be the potential
44 transmission routes [6, 7]. The small particles could remain suspended in the air for
45 prolonged periods and may be inhaled into the susceptible human respiratory tract [8].
46 Several precautionary measures have been proposed to prevent and control cross-

47 transmission, like keeping social distance, wearing surgical masks, and frequent hand
48 washing. To provide evidence for the social distancing, plenty of numerical [9-12] and
49 experimental studies [13-15] have been conducted to investigate the transmission of
50 exhaled viruses. Liu et al. numerically evaluated the effect of social distances on the
51 cross-transmission risk and found the threshold distance of 1.0 - 1.5 m for short-range
52 and long-range airborne routes [16]. During the COVID-19 crisis, the social distance
53 of 1.5 m has been widely adopted [17]. However, some studies pointed out that
54 environmental factors like humidity and ventilation could affect the transmission
55 distance [18-20]. Given that airborne transmission is relatively more complicated in
56 mechanisms and mitigation measures, the understanding of the airborne transmission
57 routes of SARS-CoV-2 is far from sufficient.

58

59 Traditionally, the “breathing zone” is vaguely defined as the region near the human’s
60 mouth and nose (the radius is around 15 cm) [21]. However, applying the detailed
61 breathing zone in practice seems difficult in the human exposure risk assessment due
62 to the strong airflow interaction in a multi-person interior environment. Besides,
63 considering the uneven distribution of virus-laden particles in the breathing zone,
64 simply treating it as a whole zone may under-/over-estimate the contaminant inhaled
65 by the susceptible subjects. How to select appropriate monitor points in different
66 scenarios is still not well investigated, especially when the inhaled contaminant cannot
67 be measured. The employment of only one monitor point within the proposed breathing
68 zone is still common. For example, Al Assaad D et al. [22] evaluated the contaminant

69 concentration in the breathing zone by sampling at 0.15 m below the mouth, as the
70 thermal plume could carry the contaminant to the breathing zone. Otmar Geiss [23]
71 measured the carbon dioxide (CO_2) concentration in the breathing zone when wearing
72 face masks, and the sampling point was just above the nose tip on the bridge of the nose.
73 Donghyun Rim et al. [24] recorded the inhaled virus concentration by the sampling
74 point in front of the mouth at a distance of 0.05 m. Kierat et al. [5] experimentally
75 evaluated the performance of the sampler locations and the sampling methods but only
76 focused on the scenario of one person. Whereas the airflow collision in a multi-person
77 interior environment could further affect the contaminant distribution. In addition,
78 many previous studies did not point out the unequivocal location of the sampling point
79 [25-27]. Recently, Kuga et al. [4] quantitatively studied the breathing zone based on the
80 assumption of its steady formation. However, in practice, the formation of the breathing
81 zone should be treated as unsteady, especially for short-term events [28]. Overall, the
82 aforementioned simplifications about the steady formation and homogenous property
83 in the breathing zone could lead to the discrepancy in human exposure risk assessment.

84

85 With the development of computational resources, numerical simulations have been
86 extensively employed to investigate pollutant transmission and airflow patterns.
87 However, there still are some simplifications on the computational thermal manikins,
88 like the complex geometry, transient breathing cycle, and body movement. Although it
89 has been recognized that facial features can affect the flow field and the contaminant
90 distribution [29-31], plenty of numerical simulations have been conducted without

91 considering the facial effect owing to the model complexity and extensive
92 computational resources [32, 33]. Anthony et al. [29] experimentally found that the
93 facial features could affect the flow field (20 mm) near the mannequin's face, but
94 without considering the thermal plume. Also, Li et al. [30] numerically investigated the
95 breathing airflow velocity and proved that the facial features could result in the non-
96 uniform velocity profiles at the nostril openings. More recently, Yan et al. [31] evaluated
97 the effect of the manikin simplification on the thermal plume and pointed out that the
98 surface smoothed model (without facial features) could induce considerable global
99 error on the contaminant transport. Besides, the simplified breathing cycle only with
100 "steady inhalation" could not reproduce the real situation. It may overestimate the scope
101 of the breathing zone [34-36]. The aforementioned simplifications on the facial features
102 and the transient breathing cycle could considerably affect the contaminant distribution
103 and further impact the simulation accuracy. As a result, future computational fluid
104 dynamic (CFD) simulations should consider the complex geometry of the human body
105 and the transient breathing cycle, including both inhalation and exhalation.

106

107 Numerous short-term exposure events have been reported in public spaces, especially
108 during the ongoing COVID-19 pandemic. The cross-transmission induced by the Delta
109 variant of SARS-CoV-2 is reported to occur in tens of seconds [37, 38]. The clustered
110 outbreaks highlight the importance of evaluating the instant exposure risks of
111 susceptible subjects. However, many previous studies are limited in the contaminant
112 transmission under steady-state conditions. The two-equation Reynolds-averaged

113 Navier-Stokes (RANS) turbulence models are extensively employed in different
114 scenarios, like hospital rooms [39, 40], vehicles [41], and even different building floors
115 [42, 43]. The short-term events in the present study refer to the building-up background
116 concentration, which indicates the infected subject has just entered the space.
117 Considering the turbulent nature of the human thermal plume and the breathing airflow,
118 the fluctuation characteristics of the airflow could significantly affect the contaminant
119 distribution in the breathing zone. Besides, the characteristics of airborne transmission
120 in short-term events and under steady-state conditions are considerably distinctive [15].
121 Ai et al. [28] experimentally found that the instant exposure risk in short-term events
122 constantly varies over time. The instant exposure risk might not always increase with
123 time. Considering the low-resolution characteristics of the aforementioned
124 experimental study, it is necessary to explore the fluctuation of instant exposure risk in
125 short-term events by the CFD analysis. Hence, the formation of the breathing zone and
126 instant exposure risk assessment associated with the contaminant inhalation should be
127 properly evaluated, especially for short-term events. Given that the Direct Numerical
128 Simulation (DNS) requires significant computer resources, the Large Eddy Simulation
129 (LES) is more suitable for evaluating the instantaneous contaminant distribution in
130 turbulent airflow.

131

132 The objective of the present study is to analyze the breathing zone characteristics in
133 short-term events and under steady-state conditions. The LES turbulence model is
134 employed to predict the airborne transmission, and two computational thermal

135 manikins with detailed facial features and transient breathing conditions are considered.
136 The breathing zone would be identified from the time-averaged concentration field by
137 comparing the monitor points and inhalation. In addition, the predicted exposure risk
138 in short-term events, steady-state conditions, and three representative distances would
139 then be investigated. The inhalation monitor points in the identified breathing zone
140 would be compared under different conditions. The obtained results of the present study
141 could help to select the proper monitor points and develop mitigation measures for
142 short-term events.

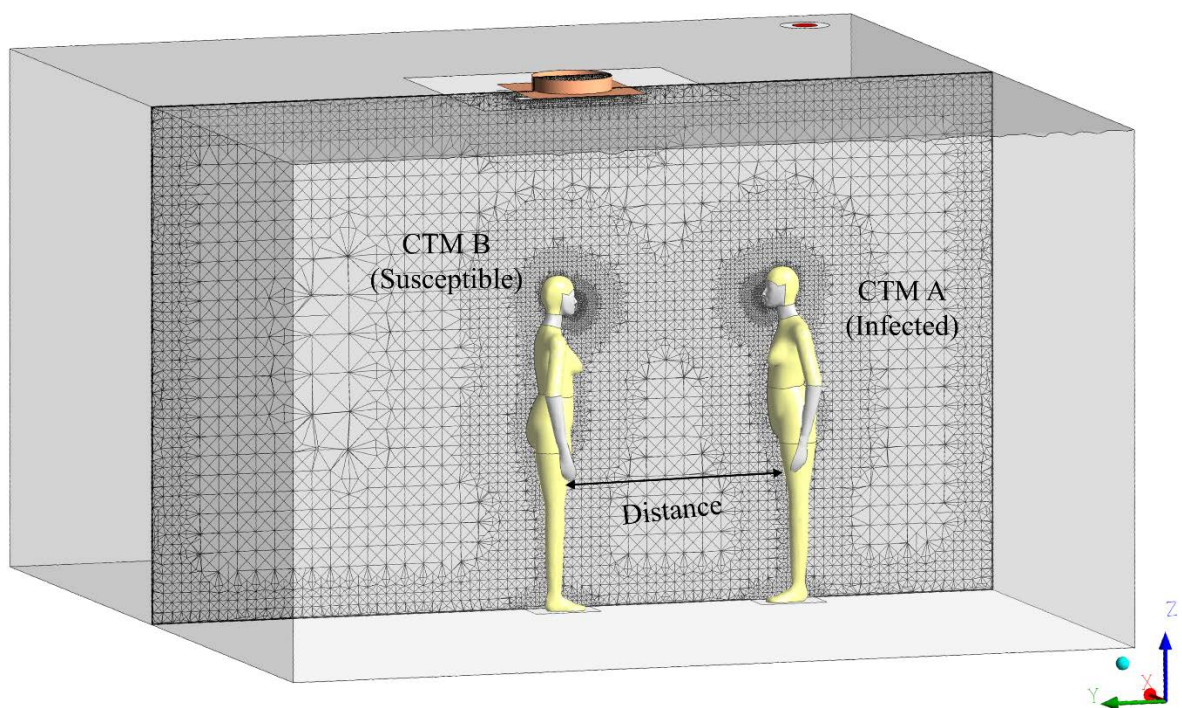
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144 **2. Methods**

145 **2.1 Model description**

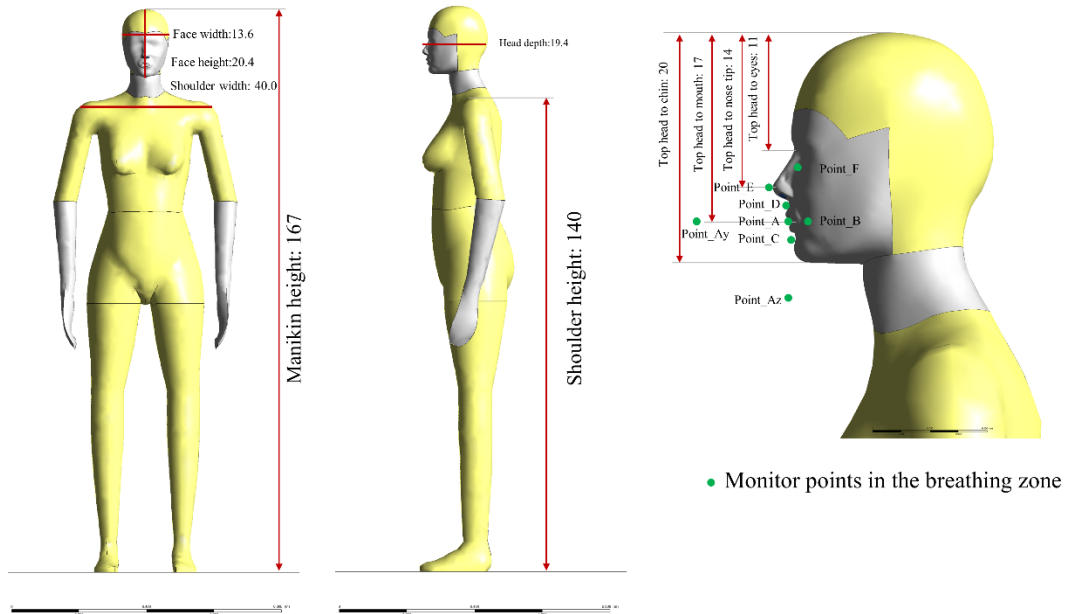
146 As presented in Fig. 1, the computational domain is constructed to represent the office
147 room with the dimension of 4.7 m length x 4.4 m width x 2.7 m height. Two
148 computational thermal manikins (CTMs) are placed in the central plane of the room
149 and kept with the face-to-face posture. The three representative physical distances of
150 0.35 m, 1.0 m, and 1.5 m between two CTMs are examined. The CTM A and B represent
151 the infected and susceptible subjects, respectively. The breathing model of the two
152 CTMs is assumed to be mouth exhalation and nose inhalation for the infected subject
153 and mouth inhalation and nose exhalation for the susceptible one. The breathing model
154 is synchronized in the simulation, and the aforementioned breathing combination is
155 considered the most prone to cross-infection [35]. The pulmonary ventilation rate is
156 defined as 6.0 L/min, with respiratory rates ten times/min [44]. The geometry of the

157 CTM presented in Fig. 2 indicates the average-size woman with detailed facial features.
158 Mixing ventilation is adopted in the office room, with the air supply and exhaust in the
159 middle and corner of the ceiling. Besides, the room is in 6 air changes per hour (ACH)
160 and maintained at 24 °C to meet the thermal comfort of the human body. The domain
161 is large enough that the surrounding room walls will not impact the flow field near the
162 human body. The previous experimental studies focusing on short-term events were
163 conducted in a full-scale test room with three ventilation types. Two breathing thermal
164 manikins were placed in the chamber with different standing positions and physical
165 distances. The tracer gas concentration was monitored by the instruments, including a
166 Fast Concentration Meter and an INNOVA Multi-gas Sampler and Monitor, to evaluate
167 the transient exposure indexes. The experimental study examined the dynamic
168 characteristics of short-term events, and a detailed description of the apparatus and
169 experimental procedures could be found in the previous article [28].



170

171 Fig. 1 The computational domain representing two computational thermal manikins
172 (CTMs) in the office room with mixing ventilation.



173
174 Fig. 2 the CTM employed in this study with detailed facial features (units: cm) and
175 the monitor points in the breathing zone.

176
177 Owing to the difficulty of applying the detailed breathing zone to evaluate exposure
178 risk in practice, eight widely-used monitor points are placed near the mouth and nose
179 of CTMs (detailed location data in Table 1). The monitor points are selected based on
180 previous studies [4, 5, 22, 23, 45]. In addition to comparing contaminant concentrations,
181 the turbulence intensity and concentration fluctuation characteristics are also compared
182 in short-term events and under steady-state conditions.

183
184 Table 1 The location of the monitor points in the breathing zone of the susceptible
185 subject.

Location	Description
Point_A	At the center of the lips
Point_Az	At 0.15 m below the mouth [22]
Point_Ay	At 0.15 m ahead of the mouth (left limit of traditional breathing zone)
Point_B	At the left corner of the mouth [4]
Point_C	At the center between the chin and lower lip [5]
Point_D	At the center between the upper lip and nose [5]
Point_E	At the nose tip [23, 45]
Point_F	Close to the left eye (upper limit of traditional breathing zone)

186

187 **2.2 Boundary condition**

188 The ventilation supply diffuser is set as the velocity inlet (0.74 m/s), keeping the
189 temperature (23 °C). The ventilation exhaust is specified as a pressure outlet with zero-
190 gauge pressure. The exhaust air temperature was set as 26 °C. The room wall is
191 adiabatic. The total heat power of each CTM is set as 80 W, where the proportion of
192 convective heat load is defined as 30%. Thus, a convective heat load of 24 W is
193 employed for the CTM, and the radiation is not considered in the present study. The
194 cross-sectional area of each nostril and mouth is 38.5 mm² and 158 mm², respectively
195 [46]. The angle of the jet's airflow from the nostrils is 45 degrees with the horizontal
196 plane and 30 degrees between the two jets [47]. The airflow direction from the mouth
197 is roughly horizontal. The breathing combination of the CTMs is assumed to be mouth
198 exhalation and nose inhalation for the infected subject and mouth inhalation and nose

199 exhalation for the susceptible one. Besides, the periodical sinusoidal breathing is
200 composed of 2.5 s inhalation, 2.5 s exhalation, and 1.0 s break [48, 49]. Given the
201 dynamic breathing boundary conditions of the CTMs, the User-defined function (UDF)
202 is employed to set the transient velocity profiles, with a constant outlet temperature of
203 34 °C. The function of the mouth and nose exhalation velocities against time is the sine
204 function, expressed as $V = 0.378 * \sin(1.26t)$, $2.5 s < t < 5.0 s$, where V is the
205 volume flow rate, L/s . t is time, s . The turbulence intensity of the exhaled airflow is
206 defined as 5%. The mass fraction of the N_2O in the infected subject's exhalation
207 airflow is assigned as 0.027, which is in line with that of CO_2 in the human exhalation
208 airflow [50].

209

210 Owing to the complex geometry of the human body and face, the unstructured grid with
211 tetrahedral-shaped elements is employed. A refinement of 0.8 mm is adopted for the
212 mouth and nostrils to capture the airflow collision and contaminant distribution. The
213 maximum cell size of the face is limited to 10 times that of the mouth and nostrils [50],
214 and the maximum cell size of the body is maintained at four times the maximum cell
215 size of the face. The results present around 80,000 triangles on the CTMs' surface. To
216 provide better resolution of the airflow profiles in the boundary layer, five layers of the
217 prismatic cells are generated, and the dimensionless wall distance y^+ is ensured to be
218 less than 1.

219

220 The 'PISO' algorithm is employed to solve the flow field, owing to its performance in

221 transient scenarios. The second-order upwind scheme discretizes all equations. The
222 transient formulation is resolved by the second-order implicit method. The performance
223 of different sub-grid scale models has been evaluated by Bazdidi-Tehrani et al. [51]. A
224 slight difference (5%) between the wall-adapting local eddy-viscosity (WALE) model
225 and the standard Smagorinsky-Lilly model (SSLM) is found in predicting the time-
226 averaged concentration field and concentration fluctuation. In addition, the SSLM has
227 also been widely employed to study the flow pattern and contaminant transmission in
228 indoor and outdoor environments [52-54]. Therefore, airflow is simulated using the
229 LES turbulence model with the SSLM. Since the time step of 0.02 s for high-velocity
230 coughing and talking has shared similar results as 0.001 s [55], the time step of 0.04 s
231 for transient respiration is employed in the present study. The employed time step could
232 ensure that the model captured the smallest chronological changes in the flow field
233 without increasing computing costs. Since the present study focused on short-term
234 events under the building-up background concentration, the steady-state condition
235 refers to when the averaged airspeed and contaminant concentration in the ventilation
236 exhaust reaches relatively stable [28]. Considering the large-eddy turnover time has
237 been employed as the convergence criteria [35], both the large-eddy turnover time and
238 the stability of ventilation exhaust are combined to determine the solution convergence
239 in the present study. The solution convergence is treated to be satisfied when reaching
240 five eddy turnover times, and the residuals can reach 10^{-5} among cases in different
241 physical distances. The large-eddy turnover time is the characteristic timescale defined
242 as the largest scale of the computational domain (w) divided by the friction velocity

243 (v_0) [35]. In this study, the $w = 4.7\text{ m}$, and estimated v_0 could be obtained by
244 dividing the ventilation airflow rate by a half section of the domain.

245

246 **2.3 Statistical method**

247 To better understand the contaminant distribution in the breathing zone for short-term
248 events and steady-state conditions, the phase-averaged exposure index $\varepsilon_p(t)$ is
249 employed to reveal the concentration variations. The phase-averaged exposure index
250 $\varepsilon_p(t)$ is calculated from the arithmetic mean of concentration in the monitor points
251 during the inhalation phase. The exposure risk index has been extensively employed to
252 indicate the relationship between ventilation and infection risk [56, 57]. Since the
253 aforementioned risk assessment model is limited to the steady-state condition, the
254 improved method proposed by Ai et al. [28] is adopted to evaluate the infection risk of
255 the susceptible subjects in short-term events (Eq. (1)).

$$256 \quad \overline{\varepsilon_p(t)} = \frac{\overline{C_{in}(t)}}{\overline{C_{exhaust-steady}}} \quad (1)$$

257 where, $\overline{C_{in}(t)}$ refers to the arithmetic mean of concentration in the monitor points
258 during the inhalation phase. $\overline{C_{exhaust-steady}}$ means the arithmetic mean of
259 concentration in ventilation exhaust after a short-term exposure event has developed to
260 the steady-state. The improved method could consider the high volatility characteristics
261 of the inhaled contaminant.

262

263 Further analysis of the turbulence intensity (TI) and its concentration fluctuation
264 characteristic could provide substantial insight into the breathing zone. The time-

265 averaged TI (Eq. (2)) and phase-averaged TI (Eq. (3)) are also employed to indicate the
266 fluctuation characteristics of velocities, as follows:

$$267 \quad TI = u'_n / \overline{u}_n \quad (2)$$

$$268 \quad TI_{phase} = u'_{in} / \overline{u}_{in} \quad (3)$$

269 where the u'_n refers to the standard deviation of the velocity in the monitor point n ;
270 \overline{u}_n is the mean velocity. u'_{in} and \overline{u}_{in} refer to the standard deviation and arithmetic
271 mean of the velocity in the monitor points during the inhalation phase, respectively.

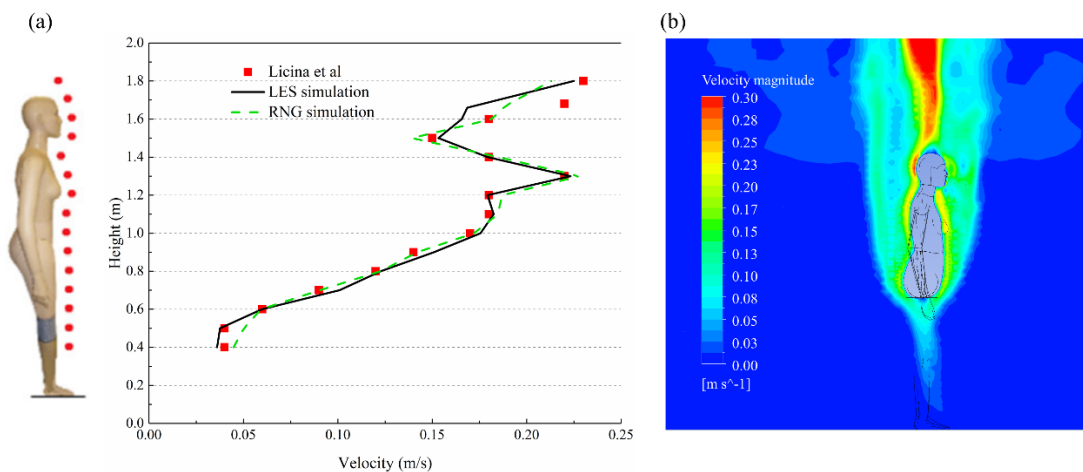
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273 **3 Results and discussion**

274 **3.1 Validation study**

275 To verify the accuracy of the LES turbulence model, the velocity distribution around
276 the standing manikin is employed to make the comparison. The particle image
277 velocimetry (PIV) experiment conducted by Licina et al. [58] aimed to investigate the
278 human convective boundary layer in a quiescent environment. Compared with a hot-
279 wire anemometer, the PIV, without interfering with the flow field, has been widely used
280 to visualize the flow and measure the instantaneous velocity [59]. The maximum mean
281 velocity distribution at each height was measured close to the surface of the standing
282 manikin. The vertical velocity profile in the fifteen monitor points recorded in the case
283 of the standing manikin is employed to validate the numerical simulation. In Fig. 3 (a),
284 the continuous velocity profile of 61 s- 65 s in the LES turbulence model is averaged.
285 In addition, the RNG $k - \varepsilon$ model is also employed by keeping the same settings as
286 the LES turbulence model. The velocity magnitude counter at 65 s is extracted to

287 provide the supplementary figure of the LES turbulence model (in Fig. 3 (b)). Several
 288 local high-velocity regions are successfully captured in the CFD simulation, such as in
 289 front of the face and chest. Besides, the lower velocity at the height of the chin is
 290 accurately depicted, which is attributed to the head behaving like a physical obstacle.
 291 Four statistical metrics are selected to quantify the accuracy of the LES turbulence
 292 model [60], namely the correlation coefficient (R), the fraction bias (FB), the
 293 normalized mean square error ($NMSE$), and the fraction of predictions within a factor
 294 of two of observation ($FAC2$). In this study, the four statistical performance metrics
 295 are all within the recommended criteria: $R = 0.973 (> 0.8)$, $|FB| = 0.0015 (<$
 296 $0.3)$, $NMSE = 0.011 (< 4)$, $FAC2 = 1 (> 0.5)$. In comparison with the LES
 297 turbulence model, the unsteady RANS approach just models the turbulence and
 298 resolves only unsteady mean flow structures [61]. Since the present study focuses on
 299 short-term events, the contaminant fluctuation depends not only on the turbulence
 300 intensity near the facial region but could also be affected by the turbulent energy
 301 distribution among the eddies of different sizes. Therefore, it is critical to employ the
 302 LES turbulence model to resolve the eddies of the turbulence itself.

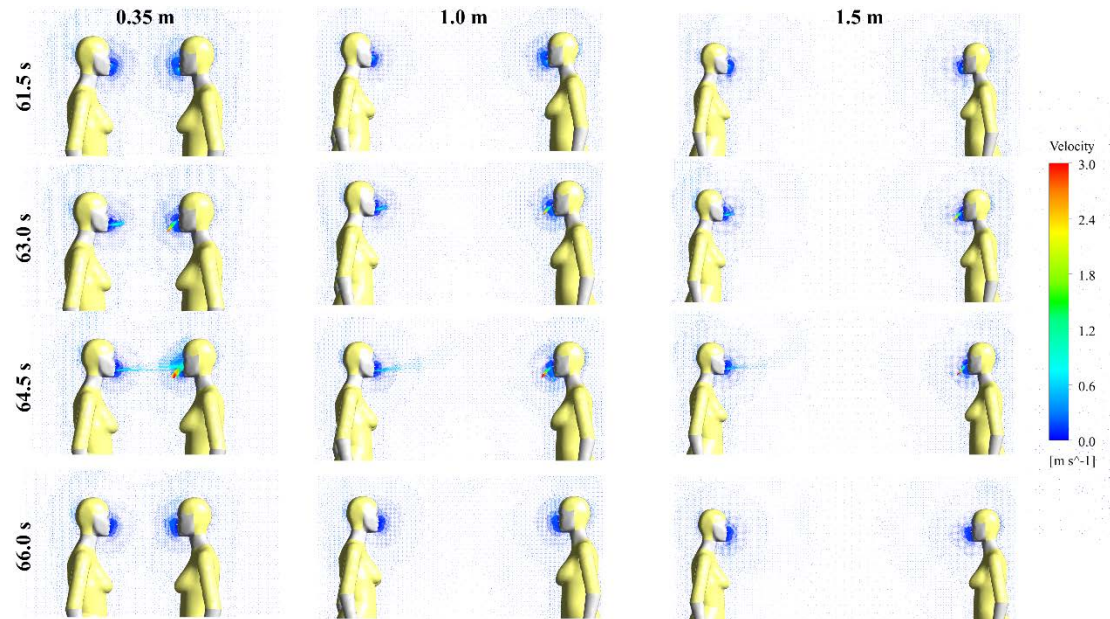


304 Fig.3 Vertical velocity profile around CTM versus PIV experiment: a) velocity
305 distribution with height; b) velocity magnitude counter at the moment of 65 s.

306

307 **3.2 Flow field and contaminant distribution**

308 The velocity distribution and airflow interaction between room occupants are presented
309 in Fig. 4, along with the time in different rows (61.5 s, 63.0 s, 64.5 s, and 66.0 s) for
310 three representative physical distances (0.35 m, 1.0 m, and 1.5 m). The absence of phase
311 difference between the two breathing models means 61.5 s representing that both room
312 occupants are in the inhalation phase. The 63.0 s and 64.5 s refer to the different
313 moments of the exhalation process, and 66.0 s is the break between breaths. Similar to
314 the previous study [62], the exhalation airflow is relatively stable initially, with a
315 mushroom shape, as shown in the 63 s in the figure. As the exhalation airflow develops
316 (in Fig. 4, the 64.5 s), it gradually becomes chaotic, and a vortex appears on top of the
317 airflow. In comparison with the other two distances, strong airflow interaction is
318 observed at the physical distance of 0.35 m. Although the thermal plume can prevent
319 the penetration of contaminants, the high-momentum airflow exhaled from the infected
320 subject's mouth still directly reaches the facial region of the susceptible one. Minor
321 differences in the velocity distribution are found between the physical distances of 1.0
322 m and 1.5 m.



323

324 Fig. 4 Velocity distribution in the central plane in the time interval from 60 s to 66 s

325 (10th breathing cycle). The columns refer to the three representative physical

326 distances, namely 0.35 m, 1.0 m, and 1.5 m. The time in different rows refers to the

327 different moments of the breathing phases (Note: the left CTM refers to the infected

328 subject).

329

330 Fig. 5 compares the dimensionless mean contaminant concentration (C^+) in the central

331 plane with three representative physical distances. Since airborne contaminants could

332 be transmitted with the airflow, mushroom distribution of the concentration is also

333 observed in the initial stage of the exhalation. Under the dilution effect of the thermal

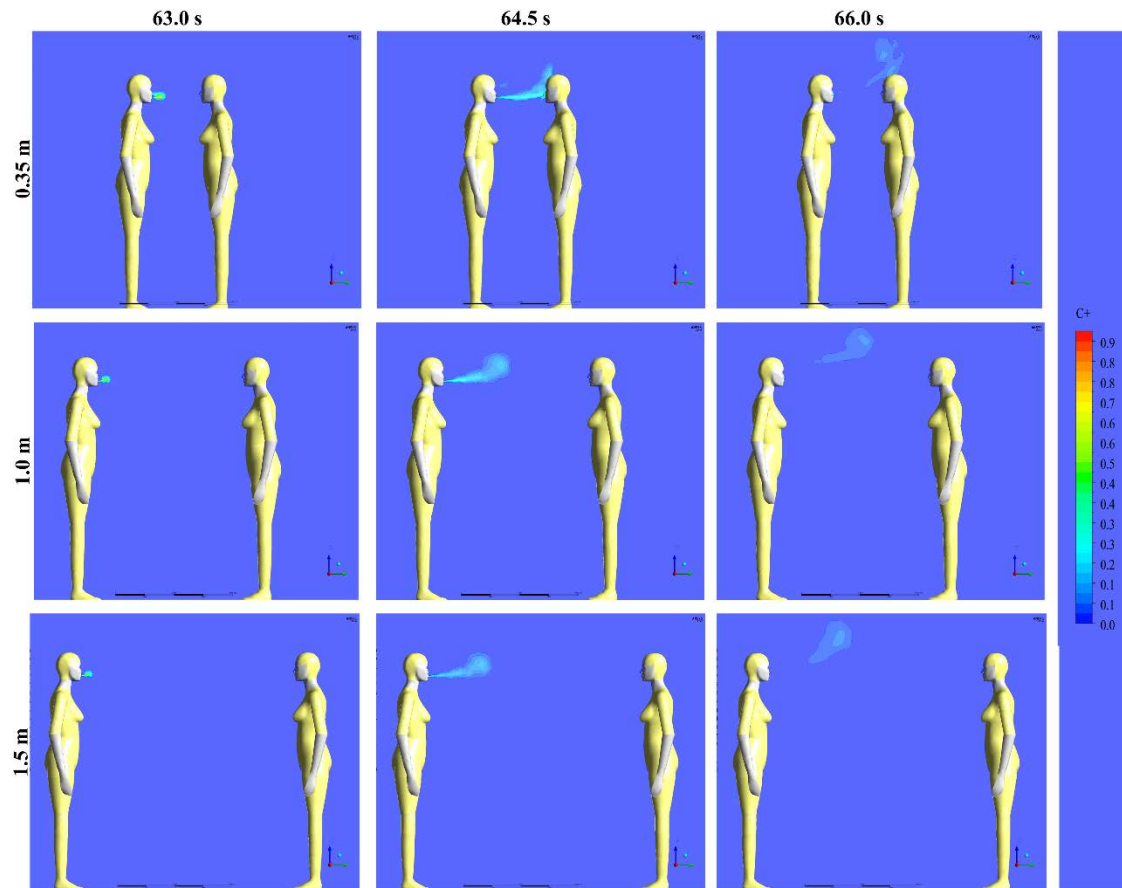
334 plume and ambient air, the exhaled contaminant gradually begins to diffuse over time.

335 Although the contaminant concentration can be further diluted by the nasal exhalation

336 of the susceptible subject, most of the contaminant still reaches the facial region of the

337 susceptible person at the physical distance of 0.35 m. The distinction between direct

338 and indirect airborne transmission could be determined by the existence of direct
339 contaminant inhalation. Generally, when the infected and the susceptible subjects are
340 in close physical proximity, the exhalation airflow from the infected subject can
341 penetrate the thermal plume and directly enter the facial region of the susceptible one,
342 causing the direct contaminant inhalation [16]. Since the inhaled concentration of the
343 susceptible subject at the large physical distance would share with the background
344 concentration, the direct and indirect airborne transmission could be identified in the
345 range from 1.0 to 1.5 m, which is also reported in previous studies [16, 63]. Considering
346 the impact of the airflow collision on the inhaled contaminant, applying the detailed
347 breathing zone in practice is quite difficult to evaluate human exposure risk. Overall,
348 the present study employs eight monitor points near the mouth and nose of CTMs, and
349 the selection of the monitor points is based on previous studies. The contaminant
350 concentration sampled by the monitor points is compared with the inhalation both in
351 short-term events and under steady-state conditions.



352

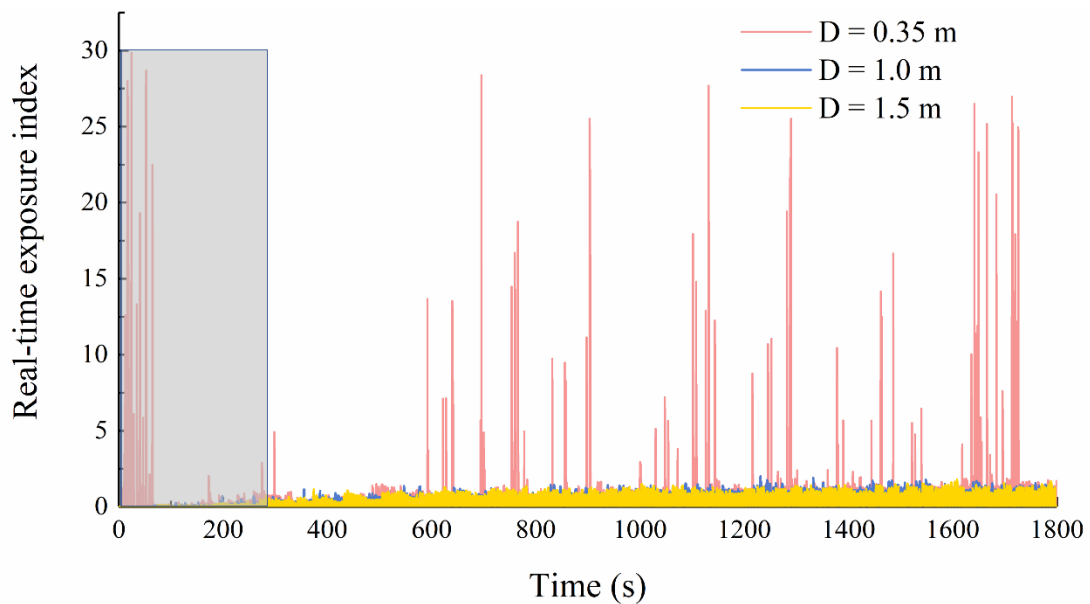
353 Fig. 5 Distribution of the contaminant concentration (C^+) in different breathing
 354 phases with three representative physical distances: 0.35 m, 1.0 m, and 1.5 m (Note:
 355 the left CTM refers to the infected subject).

356

357 3.3 Exposure risk assessment

358 The real-time exposure index over time for three physical distances has been presented
 359 in Fig. 6, indicating the transient concentration fluctuation. The data is obtained from
 360 Point_A since there is no significant difference between Point_A and the inhalation
 361 (detailed analysis in Fig. 7 and Fig. 8). The first five minutes of data (gray shadow
 362 region) will be discarded from the present study. The averaged (standard deviation)
 363 real-time exposure indexes at the physical distance of 0.35 m, 1.0 m, 1.5 m are 1.13

364 (0.89), 0.96 (0.34), 0.93 (0.30), respectively. The large fluctuation of the real-time
365 exposure index could be attributed to the transient tidal breathing and the airflow
366 interaction in the breathing zone. The fluctuation of the real-time exposure index could
367 also affect the changes in the phase-averaged exposure index. The fluctuation intensity
368 is observed to decrease with the increase of physical distances.



369
370 Fig.6 The evolution of real-time exposure index over time at Point_A (discarding the
371 gray shadow region)

372
373 Based on concentration data sampled by the eight monitor points and the inhalation,
374 Fig. 7 compares the differences in phase-averaged exposure indexes between various
375 short-term events and steady-state conditions (30 min). The differences in exposure
376 indexes are also observed at three representative physical distances. At the physical
377 distance of 0.35 m (see Fig.7 (a)), the exposure indexes obtained at Point_A and the
378 inhalation are lower than the exposure indexes monitored in the nose tip and upper face

379 (at Point_D, Point_E, and Point_F). The difference is statistically significant ($p < 0.05$).

380 Although there is no statistical difference between the exposure indexes at Point_A,

381 Point_Az, Point_B, Point_C, and the inhalation, further analysis of the turbulence

382 intensity and its concentration fluctuation characteristic will be conducted in the next

383 section. Unlike the condition of 0.35 m, the phase-averaged exposure indexes at the

384 physical distances of 1.0 m and 1.5 m (see Fig. 7 (b) and (c)) are quite similar. Since

385 the airborne contaminant cannot penetrate the thermal plume of the susceptible subjects,

386 the concentration monitored in the eight points is basically related to the background

387 contaminant concentration. Overall, the breathing zone should be identified by

388 comparing the phase-averaged exposure indexes between the monitor points and the

389 inhalation at the physical distance of 0.35 m (the statistical difference, $p < 0.05$). The

390 volume of the breathing zone is in line with the tidal volume (detailed analysis in

391 Section 4). In addition, the phase-averaged exposure indexes obtained at three

392 representative physical distances are observed to maintain the increasing pattern over

393 time. Considering that the first five minutes of data have been discarded from the

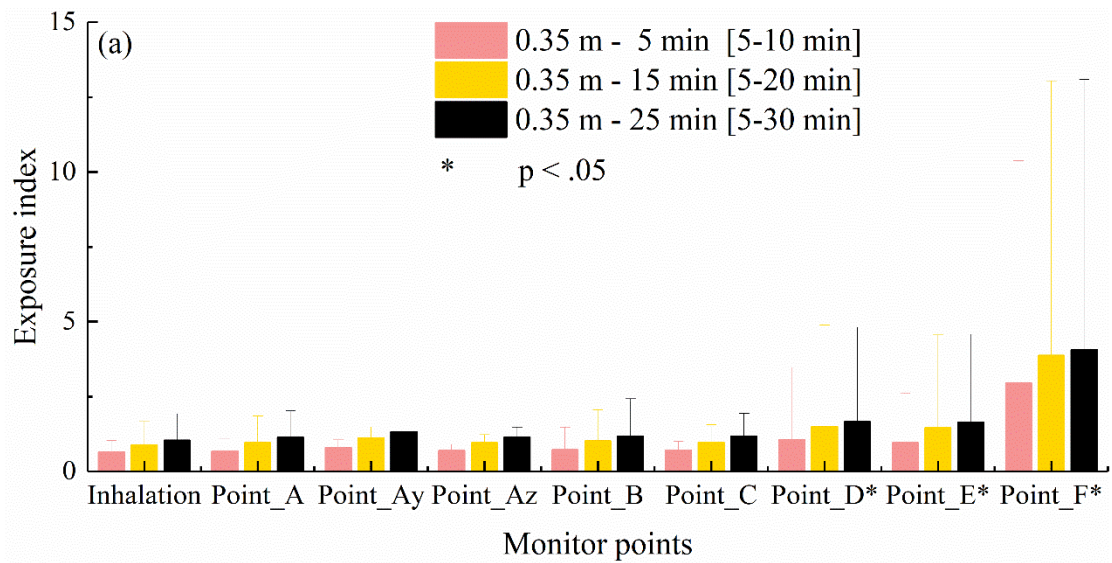
394 present study, the aforementioned phenomenon is inconsistent with the previous

395 experimental study [28]. It might be accounted for by the randomness and discreteness

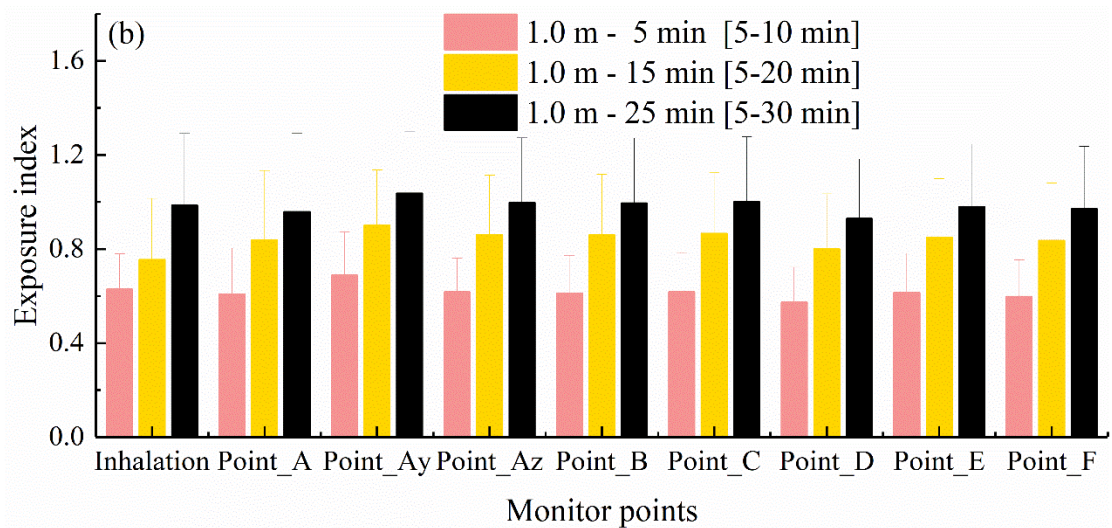
396 characteristics of short-term exposure at the beginning of the event. Therefore,

397 separating the airborne transmission routes and developing the targeted mitigation

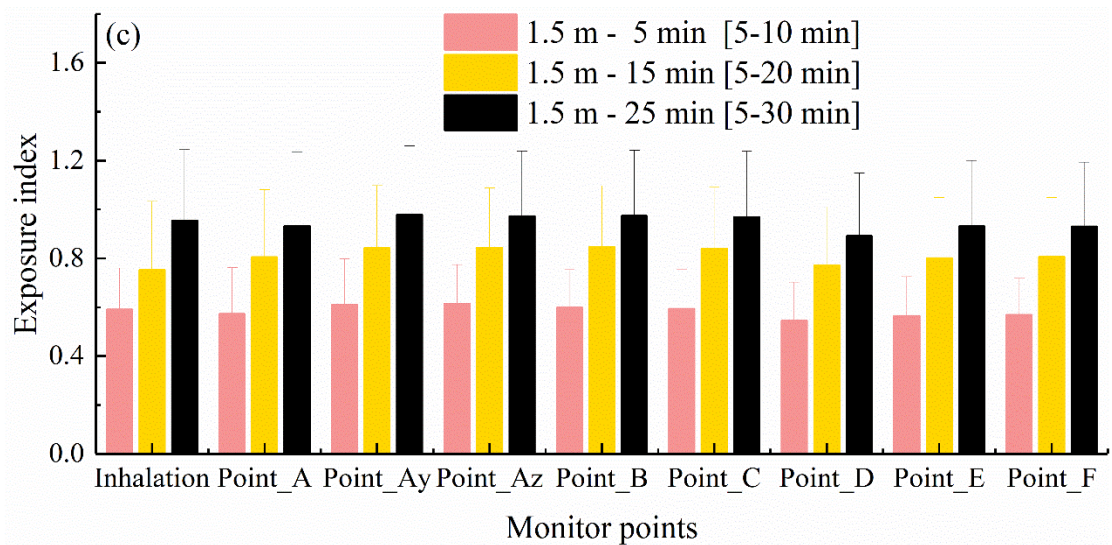
398 measures based on their characteristics is critical.



399



400



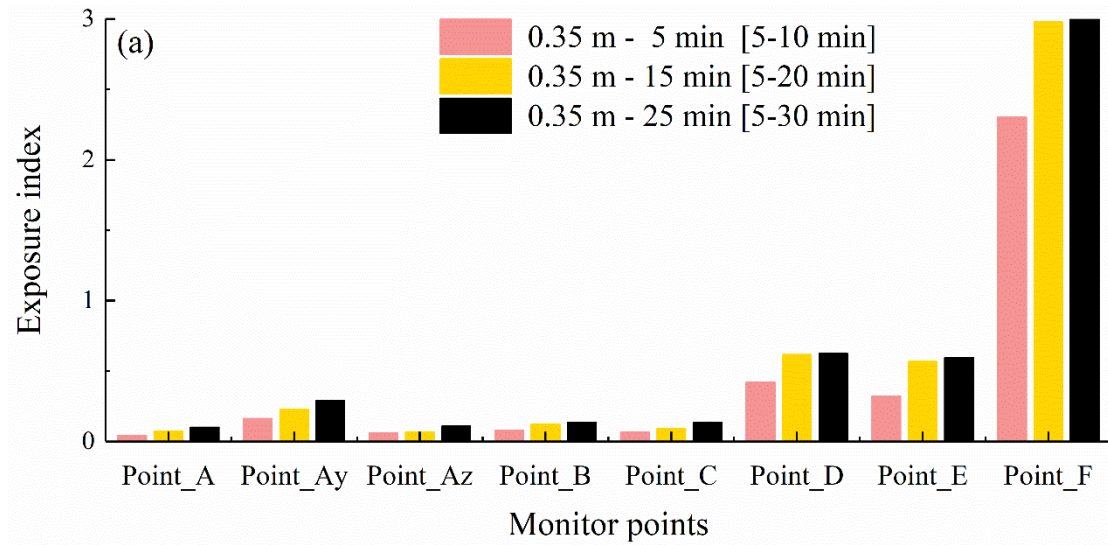
401

402 Fig. 7 The exposure indexes from the eight monitor points and the inhalation in various
 403 short-term events and steady-state (30 min) conditions: a) 0.35 m; b) 1.0 m; c) 1.5 m.

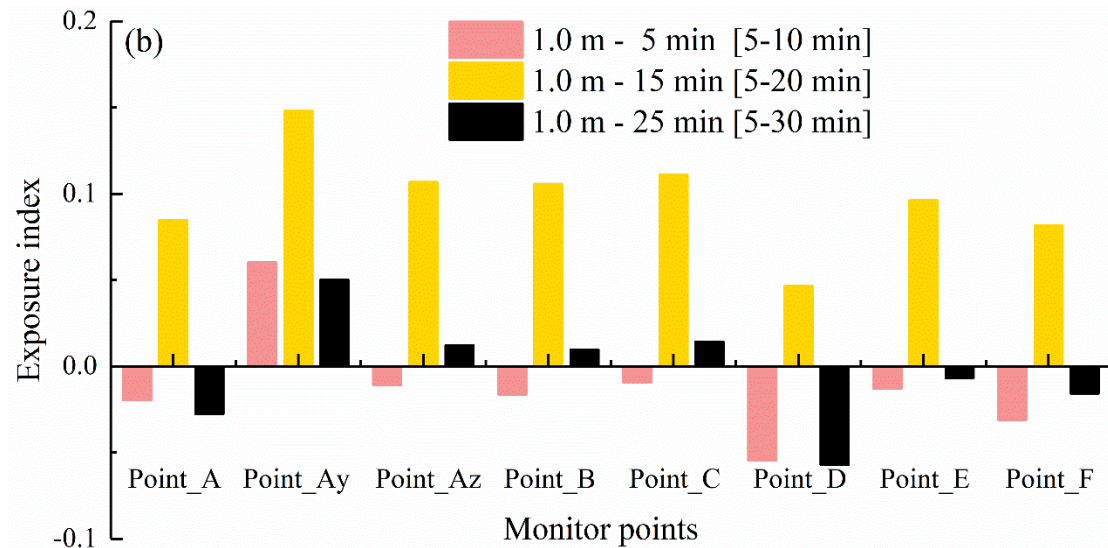
404

405 To compare the phase-averaged exposure indexes between the monitor points and the
406 inhalation, Fig. 8 presents the relative magnitude of their difference at the physical
407 distance of 0.35 m and 1.0 m. The positive value means the exposure index obtained in
408 the monitor point is larger than the inhalation. In Fig. 8 (a), at the physical distance of
409 0.35 m, Point_Ay, Point_D, Point_E, and Point_F significantly over-estimate the
410 exposure index in comparison with other monitor points. The aforementioned points,
411 located at the nose tip and upper face region, are not recommended for practical
412 measurement. Since the phase-averaged exposure indexes at the distances of 1.0 m and
413 1.5 m (see Fig. 7 (b) and (c)) are pretty similar, the comparison at the physical distance
414 of 1.0 m is presented in Fig.8 (b). Compared with the distance of 0.35 m, the magnitude
415 of the difference becomes smaller with the increased distance. The exhalation airflow
416 cannot penetrate the micro-environment of the susceptible subject. The little fluctuation
417 might be contributed to the instability of the thermal plume [64]. Overall, if the study
418 only focuses on the time-average concentration field, the contaminant concentration
419 sampled by the four monitor points (Point_A, Point_Az, Point_B, and Point_C) could
420 represent the inhaled concentration of the susceptible subjects. Considering that various
421 infection cases have been reported in short-term events and close physical proximity, it
422 is necessary to analyze the concentration fluctuation characteristics and turbulence
423 intensity of the breathing zone.

424



425



426

427 Fig. 8 The relative magnitude of the difference of the exposure indexes between the
 428 monitor points and the inhalation, where positive means that the value obtained in the
 429 monitor points is larger than the inhalation.

430

431 3.4 Turbulence intensity and concentration fluctuation characteristic

432 Owing to the turbulent nature of the human thermal plume and breathing airflow, the

433 airflow fluctuation could spatially and temporally affect the contaminant distribution

434 no matter whether in short-term events or under steady-state conditions. Notably, short-

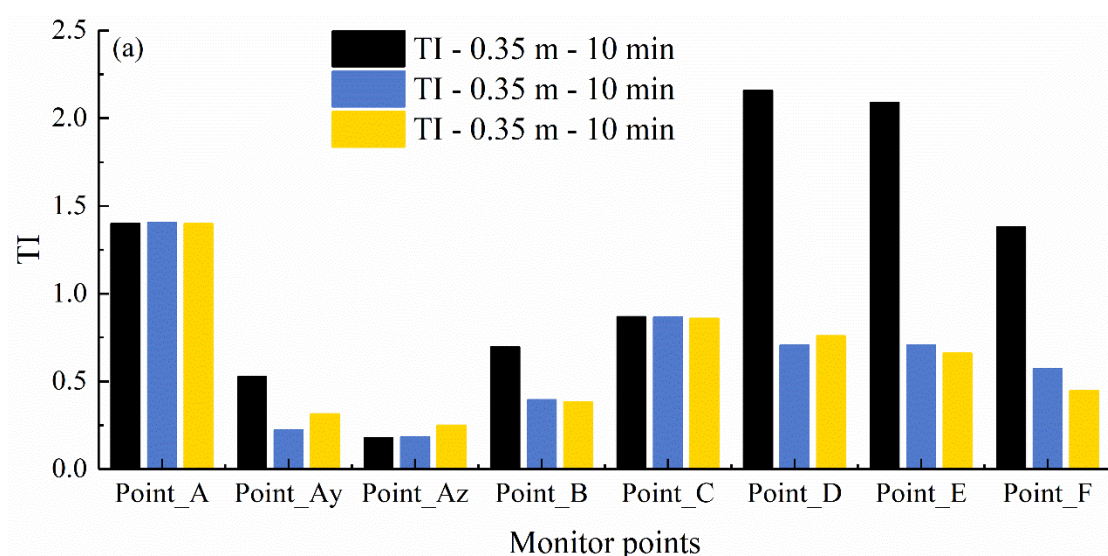
435 term events investigated in the study only refer to the building-up background
436 concentration [28]. Compared with previously focused on the steady-state and
437 completely mixing conditions, the formation of the breathing zone should be treated as
438 unsteady in short-term events. Therefore, further analysis of the TI and its concentration
439 fluctuation could provide substantial insight into the breathing zone. The time-averaged
440 TI and phase-averaged TI are employed to indicate the velocity fluctuation
441 characteristics in the monitor points. When calculating the TI, the reference velocity
442 differs among the monitor points, generally in the range of 0.02-0.62 m/s. The largest
443 reference velocity in Point_A is about 0.62 m/s. So, the velocity data in different
444 monitor points are extracted to calculate their turbulence intensity separately.

445

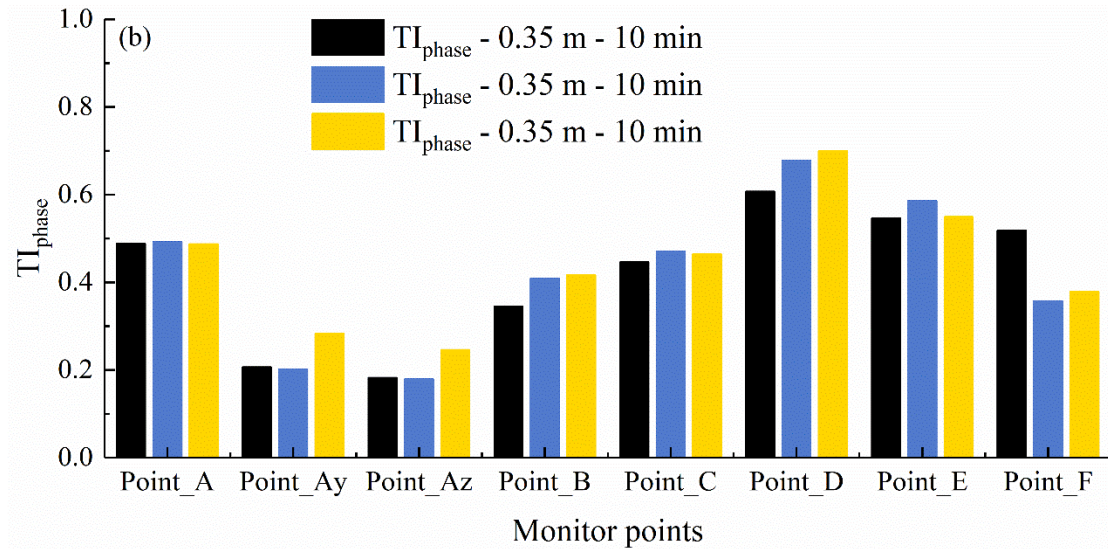
446 In Fig. 9, the time-averaged TI and phase-averaged TI of eight monitor points are
447 compared in short-term events (duration of 10 min). Due to periodical breathing, the TI
448 of monitor points in the facial region only changes slightly over different periods.
449 Therefore, the averaged TI is employed to present the comparison. It is observed that
450 the time-averaged TI and phase-averaged TI of eight monitor points are quite distinctive
451 in the facial region. The TI at the nose tip and upper face (like Point_D, Point_E, and
452 Point_F) are generally higher than those near the oral cavity. In Fig. 9 (a), the maximum
453 time-averaged TI even reaches 220% at Point_D (center between the upper lip and nose)
454 in the physical distance of 0.35 m, which could be accounted for by the combined effect
455 of facial features and intense exhalation airflow collision. As for the distance of 1.0 m
456 and 1.5 m, the maximum time-averaged TI in the breathing zone is around 70%. The

457 maximum phase-averaged TI is also found at the Point_D (see Fig. 9 (b)). Nevertheless,
 458 the phase-averaged TI is substantially lower than the time-averaged value because the
 459 calculation only focuses on the inhalation phase. Given the dynamic breathing
 460 conditions, the phase-averaged TI is more suitable for comparison. The phase-averaged
 461 TI within the breathing zone is found to be roughly around 40%, which is similar to
 462 Cermak et al. [65] - 40%, Xia et al. [66] - 35%. In order to quantify the statistical TI
 463 difference between the monitor points, the non-parametric tests (Mann–Whitney U tests)
 464 are employed. The statistical difference ($p < 0.05$) between the eight monitor points is
 465 observed. Considering the non-homogeneous characteristics of monitor points in the
 466 breathing zone, the identified breathing zone by comparing the time-average
 467 concentration field is unsuitable for short-term events. Instead of using the identified
 468 breathing zone, further evaluating concentration fluctuation characteristics can provide
 469 evidence for the appropriate monitor points.

470



471



472

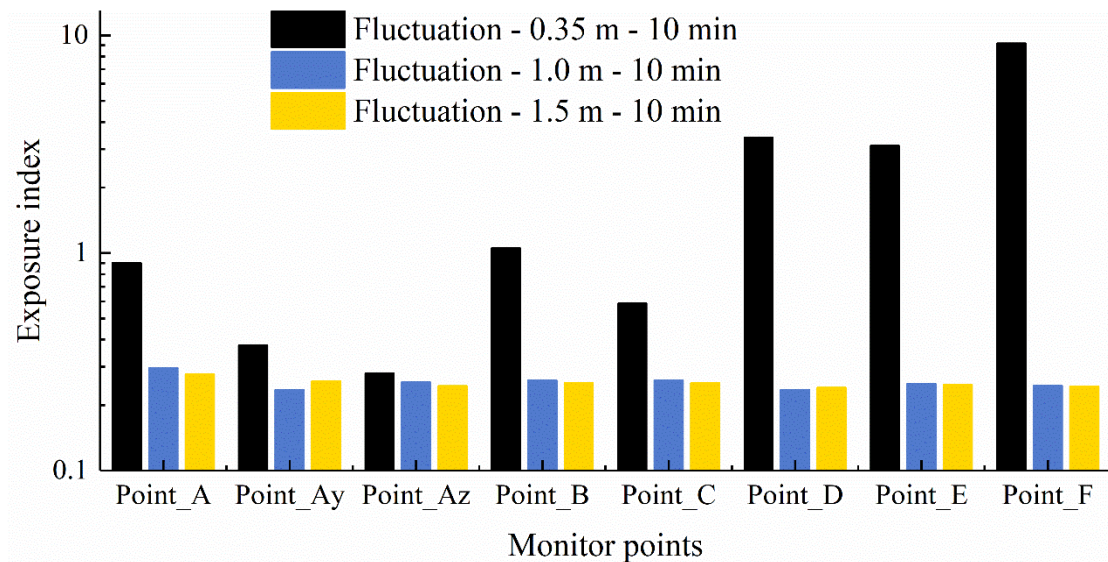
473 Fig. 9 Time-averaged TI and phase-averaged TI comparison of eight monitor points in
 474 short-term events (10 min): a) time-averaged TI; b) phase-averaged TI.

475

476 In order to further compare the concentration fluctuation characteristics of the monitor
 477 points in the breathing zone, the standard deviation of the phase-averaged exposure
 478 indexes is employed. The data shown in Fig. 10 are presented on a logarithmic scale. A
 479 large difference in exposure index fluctuation is found between the physical distances
 480 of 0.35 m and 1.0/1.5 m. The strong airflow interaction and turbulent nature of the
 481 human thermal plume could account for the phenomenon, resulting in a large
 482 contaminant fluctuation over time. The contaminant fluctuation depends not only on
 483 the turbulence intensity in the breathing zone, but it could also be affected by the
 484 turbulent energy distribution among the eddies of different sizes. The Point_Az is
 485 especially unsuitable for the instant exposure risk analysis since it cannot reflect the
 486 contaminant fluctuation characteristics in the facial region. Overall, the analysis of
 487 instant exposure risk in short-term events should consider its turbulence intensity and

488 concentration fluctuation characteristic. Point_A, Point_B, and Point_C can be
 489 employed as the appropriate monitor points to evaluate the instant infection risk in
 490 short-term events. Due to the large temporal variation of the contaminant concentration
 491 in the identified breathing zone, short-term airborne transmission events are quite
 492 possible to occur within short physical distances. The development of targeted
 493 mitigation measures is critical.

494



495

496 Fig. 10 Concentration fluctuation of eight monitor points in 10-min short-term events
 497 (represented by exposure index).

498

499 **4 Discussion**

500 Depending on the background concentration and physical distances, airborne
 501 transmission can be divided into two categories and four combinations, namely, a)
 502 building-up background concentration: short and long physical distance; b) steady-state
 503 background concentration: short and long physical distance. Notably, plenty of previous

504 studies focused on steady-state exposure, but few studied short-term exposure events
505 [67]. The present study is restricted to the scenarios with building-up one, where the
506 infected subject has just entered the space. When two subjects are in close proximity,
507 such as in the consultation of physicians, short meetings, offices, canteens, etc., the
508 formation of the breathing zone should be treated as unsteady in short-term events. The
509 widely employed monitor points in the facial region could not be representative to
510 indicate the inhaled concentration. With the increase in physical distance, the indoor
511 ventilation airflow could affect the cross-transmission risk. The distance of 1.0 m and
512 1.5 m, acting as the threshold distance for the direct and indirect airborne transmission
513 [16], can also be employed to determine the formation characteristics of the breathing
514 zone. Overall, if the domain airflow is the respiratory airflow (less than 1.0 m in the
515 present study), the formation of the breathing zone should be treated as unsteady. In
516 addition, the present study focuses on the scenarios of building-up background
517 concentration. Before reaching the steady-state, the infection events should be treated
518 as short-term events, and the unsteady nature of the breathing zone should be
519 considered. The steady-state condition in short-term events refers to when the averaged
520 airspeed and contaminant concentration in the ventilation exhaust reaches relatively
521 stable [28].

522

523 Overall, if the study only focuses on the time-average concentration field, the breathing
524 zone could be treated as steadily formed. That is because the timescale of breathing is
525 much smaller than that of room ventilation. The performance of the eight widely

526 employed monitor points has been evaluated compared with the inhalation by the
527 phase-averaged exposure indexes (in Fig. 7 and Fig. 8). At the physical distance of 0.35
528 m, the Point_Ay, Point_D, Point_E, and Point_F significantly over-estimate the
529 exposure indexes. The aforementioned points are not recommended in the practical
530 measurement. Previous studies treated the Point_E, at the nose tip, as the optimal
531 location [23, 45]. However, the present study quantitatively observed a significant
532 difference compared with inhalation. Besides, Point_D, at the center between the upper
533 lip and nose, has been proved in ordinary performance due to facial features' effect on
534 contaminant distribution. The obtained results were in line with a recent experimental
535 study [5]. The contaminant concentration sampled by the other four monitor points
536 (Point_A, Point_Az, Point_B, and Point_C) in the identified breathing zone could
537 represent the inhaled concentration of the susceptible subjects.

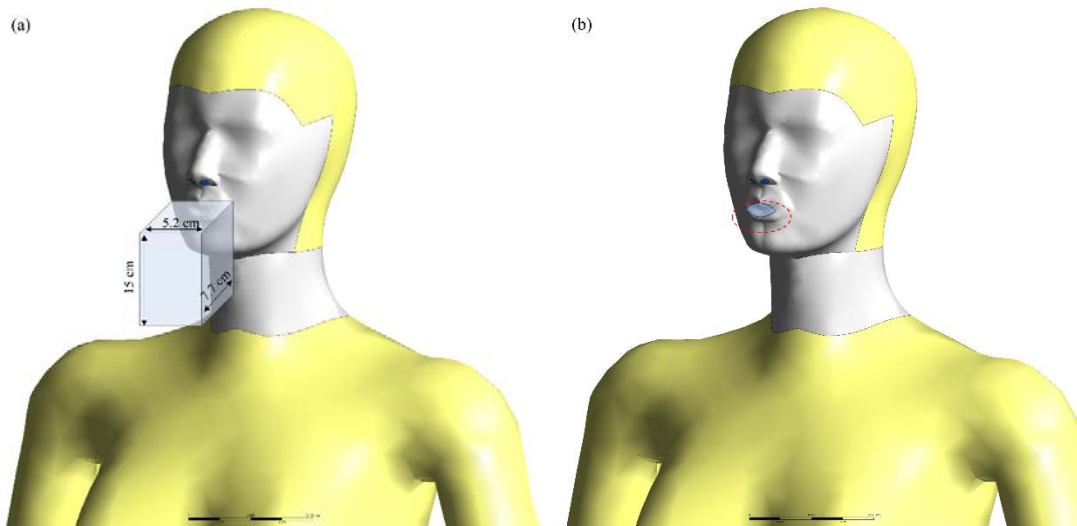
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539 In comparison, if the study focuses on short-term events, the formation of the breathing
540 zone is not steady. The instant exposure risk assessment should consider the turbulence
541 intensity and concentration fluctuation characteristics (in Fig. 9 and Fig. 10), especially
542 considering the randomness and discreteness characteristics of short-term events [68].

543 In addition, the Point_Az is especially not suitable for the instant exposure risk analysis
544 since it cannot reflect the contaminant fluctuation characteristics in the facial region.

545 Therefore, the identified breathing zone proposed by comparing the time-average
546 concentration field is not applicable for short-term events, along with the over-and
547 under-estimation. Instead of using the identified breathing zone, Point_A, Point_B, and

548 Point_C can be employed as the appropriate monitor points to evaluate the infection
549 risk in short-term events. Fig. 11 presents the identified breathing zone in mixing
550 ventilation under different conditions: steady-formation and unsteady-formation in
551 short-term events. In Fig.11 (a), the volume of recommended sampling region is in line
552 with the tidal volume. If the study only focuses on the time-average concentration field,
553 the contaminant monitored at the sampling points in the box is approximately in line
554 with the contaminant inhaled by the infected person. The suggested breathing zone is
555 similar to a recent study using the reversed time-traced virtual flow field and the scale
556 for ventilation efficiency 5 (SVE 5) [4]. The slight discrepancy in the breathing zone
557 dimension could be accounted for by the difference in the breathing pattern and tidal
558 volume. For short-term events, the formation of the breathing zone could be treated as
559 unsteady. Instead of using the identified breathing zone, Point_A (located at the center
560 of the lips), Point_B (located at the left corner of the mouth), and Point_C (located at
561 the center between the chin and lower lip) perform well in capturing the fluctuation
562 characteristics of short-term events [69, 70]. Considering the difficulty of performing
563 the measurement at the three aforementioned points, the air sampling tube attached to
564 the headset and even glasses have been designed for accurate measurement [5, 45].



565

566 Fig. 11 Identified breathing zone in mixing ventilation under different conditions: (a)

567 steady-formation; (b) unsteady-formation in short-term events.

568

569 Owing to the instantaneous and tidal properties of the respiratory airflow, it gradually

570 becomes fully turbulent and further mixes with the ambient ventilation airflow with

571 development. The interaction between the respiratory, ventilation airflow, and the

572 thermal plume rising from the heated human body significantly affect the turbulence

573 intensity and concentration fluctuation in the facial region of the susceptible subjects.

574 Although the known instant exposure risk may be caused by tidal breathing, to the best

575 of our knowledge, there is no study to distinguish the difference between the

576 concentration distribution in the facial region and inhalation in short-term events. The

577 observed randomness and discreteness characteristics of the short-term events highlight

578 the necessity of developing the targeted precautionary measures. When two subjects are

579 in close physical proximity, increasing the room ventilation rate may have a moderate

580 or limited impact on short-term events [67, 68]. Although the room ventilation can help

581 dilute and reduce the background concentration, the background value in the present

582 study (building-up scenario) is relatively lower than that in the steady-state scenario.
583 Therefore, engineering control measures like the localized ventilation/exhaust system,
584 air purifiers, and physical barriers should be highly recommended [71, 72]. Maintaining
585 physical distancing and wearing surgical masks still act as the best protection against
586 the Delta and Omicron variants [73].

587

588 **5 Limitation and future work**

589 The general requirement of the LES is to determine the allowable cell size to resolve at
590 least 80% turbulent kinetic energy [74]. Owing to the difficulty of obtaining the
591 complete energy spectrum, the Length Scale Resolution (LSR) has been adopted as a
592 metric to present the mesh resolution [75]. $LSR = \bar{\Delta}/l_{DI}$ where the l_{DI} is the lower
593 limit of the inertial sub-range. The average LSR in the present study is 6.21, slightly
594 exceeding the upper limit value (5.0). Considering that the global quality of the
595 simulation cannot be estimated by the LSR metrics only, it should also be evaluated
596 from the match between the simulation and experiments [76]. The study is limited
597 without considering the humidity of the exhaled airflow, while the exhaled airflow
598 humidity might impact the breathing zone at different humidity levels. Special
599 scenarios like dental surgery environment [77, 78] and gym [79] should be further
600 studied. The present study has validated the thermal plume around the human body. A
601 future experimental study should be conducted to monitor the exhaled contaminant
602 dispersion in other room points and thus act as supplementary validation data.
603 Additionally, the breathing pattern and the phase difference between two breathings

604 might also affect the scale of the breathing zone. Since human subjects can
605 unconsciously change their breathing mode, breathing in the same mode is quite
606 challenging. Thus, examining the identified breathing zone in different breathing
607 combinations is necessary. Since the room occupants could move around, the breathing
608 zone could be considerably impacted by the change of thermal plume. Therefore,
609 further investigation into the breathing zone should be conducted.

610

611 **6 Conclusions**

612 The present study quantitatively assesses the eight commonly-used inhalation monitor
613 points in the facial region by recording the contaminant distribution and fluctuation
614 characteristics under steady-state conditions and short-term events. The results could
615 help to select the proper monitor points under different conditions and further develop
616 the targeted precautionary measures:

617 1) Based on the statistical difference in the contaminant distribution at the short
618 physical distance (0.35 m), the breathing zone could be identified from the time-
619 averaged concentration field.

620 2) The identified breathing zone proposed by comparing the time-average
621 concentration field is not applicable for short-term events. Point_E, at the nose tip,
622 is not the optimal location to represent the inhaled concentration. In comparison,
623 Point_A (located at the center of the lips), Point_B (located at the left corner of the
624 mouth), and Point_C (located at the center between the chin and lower lip) perform
625 much better in short-term events. Wearable devices for accurate measurement

626 should be developed.

627 3) Due to the substantial temporal variation of the contaminant in the identified
628 breathing zone, the analysis of instant exposure risk in short-term events should
629 consider its turbulence intensity and concentration fluctuation characteristic. The
630 localized method with direct interference on the respiratory airflow should be
631 recommended in short-term events.

632

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639

640 **Declaration of competing interest**

641 The authors declare that they have no known competing financial interests or personal
642 relationships that could have appeared to influence the work reported in this paper.

643

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