1	A novel maraging stainless steel ultra-high-strengthened by multi-
2	nanoprecipitations
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10	Abstract:
11	A novel ultra-high strength maraging stainless steel with a chemical composition of Fe-
12	11.5Cr-8.5Co-8.0Ni-5.0Mo-1.2Al-0.01C (in wt.%) has been developed. It utilizes a unique
13	combination of nanometer scale intermetallic precipitates of Laves, β -NiAl, and sigma phases
14	to achieve an ultra-high ultimate tensile strength up to 3.15 GPa, together with balanced
15	ductility and uniform elongation of about 2.74% and 2.05%, respectively. Maximizing the
16	austenite content in the as-hot-rolled steel before cold-rolling and ageing treatments is
17	essential to remove work-hardening behavior and obtain an ultra-high strength without
18	uniform elongation loss of the maraging steel. It is found that precipitation hardening sharply
19	peaks at the ageing temperature of 525 °C for 3.5 h, which is mainly due to the peak
20	strengthening effect of net-like sigma phase through its transcrystalline fracture, which forms
21	small globular particles during tensile deformation.
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23	Key words: Ultra-high strength; Maraging steel; Deformation-induced martensite
24	transformation; Nanoprecipitation

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25 Maraging steel is recognized as the king of high strength steel with acceptable ductility [1], which is continually sought after in engineering applications to service for sustainable 26 27 economy. It is strengthened by both martensite and precipitation hardening, and nano-sized precipitation is designed to obtain the ultrahigh strength [2]. Since the concept of maraging 28 steel was firstly proposed from 1960s [3], various maraging steels have been developed, such 29 as 18Ni (250), 18Ni (300), 18Ni (350), and 13Ni (400), etc. The 18Ni (350) steel exhibits the 30 31 ultimate tensile strength (UTS) and ductility of about 2.4 GPa and 8.9%, respectively [4]. By increasing the contents of Co and Mo, the 13Ni (400) steel was designed to obtain an UTS of 32 33 2.8 GPa, but it is difficult to be realized in most cases [5]. Various precipitates have been found in strengthening maraging steels, depending on chemical composition and heat 34 treatment, such as η -phase (Ni₃(Ti, Al)) [6], G-phase [7], and copper precipitates [8], etc. The 35 traditional 18Ni maraging steels [9, 10] mainly utilize Ni₃Ti and Laves precipitates to realize 36 37 the strengthening effect, while the present work is aimed at developing a low Ni and low Co maraging stainless steel through strengthening by three nanometre-sized intermetallic 38 precipitates, namely β -NiAl, Laves, and sigma (σ) phases. The purposes are threefold. First, 39 we want to decrease the particle size of precipitates by forming multiple types of precipitates, 40 e.g., the β -NiAl particles in this work are much smaller and denser than those introduced by 41 Suna et al. [11] in their development of a maraging steel strengthened by β -NiAl and Laves 42 phases. Second, the co-precipitation of multiple types of nanoparticles is more attractive than 43 44 the dispersion of a single type of nanoparticle because the former may lead to a better combination of mechanical properties resulting from the synergistic effect of multiple types 45 of nanoparticles [12, 13]. Lastly, we intend to utilize peak-strengthening effect of the σ phase 46 with a net-like shape formed during the over ageing treatment which was found in our 47 previous study [14]. It is noted that some maraging steels contained three precipitates, e.g., 48 Custom 455 (Copper nanoclusters, Ni₃Ti, and Ni₃(Ti, Al)) [15] and 13Cr-13Co-4.5Ni-3.5Mo-49

50 0.5Ti (Mo-rich R', Ni₃(Ti, Al), and Cr-rich α') [16], obtaining the UTS of about 2 GPa after
51 proper ageing treatments.

Although the precipitation behavior in maraging steels has been extensively investigated, 52 austenitizing the steels before cold-rolling and ageing treatments, which can maximize the 53 martensite transformation and enhance the strength of maraging steel, is rarely discussed in 54 the open literature. Herein, we specially clarify the effects of two types of ferrites called δ 55 and α phases. The δ phase originates from non-equilibrium solidification due to an 56 incomplete austenitic transformation, which is enriched in Cr, Mo and Al, but depleted in Ni 57 [17]. It is extremely difficult to the dissolve δ phase in subsequent forging and thermo-58 mechanical treatments. Note that a long-time high-temperature diffusion process can reduce δ 59 60 phase, but it causes burning loss and grain coarsening of the steel [18, 19]. The α phase originates from solid-state phase transformation which is the consequence of non-equilibrium 61 phase transformation from γ phase during cooling of the steel. It also reduces martensitic 62 phase and debates mechanical performances. Therefore, the development of a ultrahigh-63 strength maraging steel must involve the further understanding of the evolution of both 64 ferritic phases and the co-precipitation of various nanoparticles. 65

The present maraging steel has a specific composition of Fe-11.5Cr-8.5Co-8.0Ni-66 5.0Mo-1.2Al-0.01C (in wt.%) obtained by spectroscopic analysis. In maraging steels, 67 68 additions of Co and Ni promote the formation of Mo-enriched [20] and NiAl precipitates [11], respectively. The casting was hot-rolled at 1250 °C for 2 h, leading to steel sheets with 6 mm 69 70 thickness. Specimens with gage length of 10 mm were used for tensile test at room temperature, with the initial strain rate of 10^{-3} s⁻¹. The thickness of tensile samples were 71 measured by height indicator at the left, middle, and right sides of the gage part, and their 72 average value is taken as final one. Vickers indentations were performed with a load of 50 73

74 mN during 10 s using FM-ARS9000, FUTURE-TECH JAPAN. For each sample, a minimum of 10 indentations were performed. The fracture surface of tensile samples were examined by 75 using a field-emission scanning electron microscope (SEM, Zeiss Merlin) equipped with an 76 energy dispersive X-ray spectrometry (EDS). The detailed design of transmission electron 77 microscope (TEM), atom-probe tomography (APT), and corrosion property experiments were 78 shown in Supplementary Text. All the solution treatments were conducted with water 79 80 quenching after heating. Cold rolling (CR) with 65% and 90% thickness reductions were conducted along the hot rolling direction of samples, and steel sheets with thickness of about 81 82 2.1 and 0.6 mm were obtained, respectively. It was found that martensite was reversed to γ phase at ageing temperatures of about 650 °C and above as indicated in Fig. S1. Thus, no 83 reverted y phase was formed in the CR steel samples after ageing at 525 °C. The XRD 84 analysis was performed using a Cu-Ka radiation for phase identification, and volume fraction 85 of the γ phase is calculated based on the integrated intensities of diffraction peaks pertaining 86 to δ (200), δ (211), γ (200), γ (220), and γ (311) [21]. Volume fraction of the γ phase can be 87 calculated by using the following formula [22, 23]: 88

89

$$f_{r} = \frac{\frac{1}{n} \sum_{j=1}^{n} \frac{I_{r}^{j}}{R_{r}^{j}}}{\frac{1}{n} \sum_{j=1}^{n} \frac{I_{r}^{j}}{R_{r}^{j}} + \frac{1}{n} \sum_{j=1}^{n} \frac{I_{\delta}^{j}}{R_{\delta}^{j}}}$$
(1)



where R is the theoretical intensity for a crystallographic plane and given in Ref. [23], I is the integrated intensity for the plane, and n is the number of diffraction peaks examined. 91

92 According to the XRD pattern shown in Fig. 1(a), the as-hot-rolled steel contained about 70 vol.% γ phase and 30 vol.% ferrite or α -martensite phase, since ferrite and α -martensite 93 phases exhibit the same peaks. TEM test was used to study the microstructural features as 94 shown in Fig. 1(b), and the corresponding selected area diffraction pattern (SADP) in the 95

inset confirmed that it is the ferrite instead of α '-martensite phase. About 6 vol.% and zero 96 contents of γ phase were remained in 65% and 90% CR samples, respectively, as indicated by 97 another two XRD patterns shown in Fig. 1(a). Therefore, it can be concluded that large 98 plastic deformation promotes the α' martensite formation in the maraging steel [24]. The 65% 99 100 and 90% CR samples were conducted with ageing at 525 °C for 2 h, and they are denoted as 65CRA and 90CRA, respectively. The Vickers hardness of these samples as a function of 101 cold rolling ratio and ageing were shown in Fig. 1(c). Micro-hardness values are about 200, 102 380, and 400 HV for as-hot-rolled, 65% and 90% CR samples, respectively. They are further 103 increased to 507 and 663 HV for 65CRA and 90CRA samples, respectively. It suggests that 104 both plastic deformation and ageing exhibit a significant hardening effect for steels, and the 105 latter is apparently stronger than the former, which is the rationality of developing nano-106 precipitation strengthening [25]. Tensile stress-strain curves of 65CRA and 90CRA samples 107 are shown in Fig. 1(d). The 65CRA sample exhibits UTS and ductility of about 2.24 GPa and 108 5.2%, respectively. Comparatively, UTS and ductility of the 90CRA sample are increased 109 and decreased by 18.3% and 28.8%, with corresponding values of 2.65 GPa and 3.7%, 110 respectively. It suggests that increase of the CR ratio followed with ageing leads to a 111 significant enhancement in strength of the maraging steel. This is consistent with the finding 112 [26] that the higher volume-fraction of α' -martensite contributes to higher flow stress of the 113 steel. It is well known that the sub-microstructure of α' martensite is characterized by high 114 density dislocations, so the increased α' martensite content promotes the strength of a steel 115 due to the enhancement in the dislocation strengthening effect [27]. The TEM image in Fig. 116 1(e) shows that the average width of martensite laths in the 90CRA sample is only about 47.4 117 nm, which is responsible for ultra-high strength of the steel matrix, according to the Hall-118 Petch relationship [28]. More severe plastic deformation not only promotes the formation of 119 α' martensite which provides a proper matrix for age hardening but also induces more 120

dislocations which provide additional nucleation sites for the formation of precipitates during
ageing [29]. Therefore, the 90CRA steel is an ideal candidate to achieve an ultra-high
strength maraging steel through proper thermo-mechanical treatments [30].

124 Tensile curve of the 90CRA sample exhibits a work-hardening exponent of about 0.15,125 as calculated by the Ludwik equation [31]:

126
$$\sigma_{\rm T} = \sigma_{\rm v} + k\varepsilon^n \tag{2}$$

127 where n, $\sigma_{\rm T}$, ε , $\sigma_{\rm y}$, and k are work-hardening exponent, true stress, true strain, yield strength (YS), and strength coefficient, respectively. It is significantly different with tensile curves of 128 129 typical maraging steels [32, 33] which have no any work-hardening behavior. It should be attributed to the ferrite phase in the as-hot-rolled sample which is remained in the steel after 130 cold rolling and ageing treatments. Thus, a proper heat treatment was applied to as-hot-rolled 131 steel samples to maximize the γ phase content, because plastic deformation can not be applied 132 since it changes the microstructure of γ phase. Volume fractions of the γ phase versus 133 annealing temperature and time are shown in Fig. 2 (a, b). The γ phase content is maximized 134 at annealing temperature of 600 °C as shown in Fig. 2(a), while annealing time also affects 135 stability of the metastable γ phase as observed in Fig. 2(b). The γ phase content is maximized 136 at 89 vol.% due to the undissolved δ phase, when the as-hot-rolled steel was solution-treated 137 at 600 °C for 1 h. Thus, the as-hot-rolled sample was solution-treated at 600 °C for 1 h 138 followed with 90CR and then ageing at 525 °C for 2 h, and it is denoted as 600-90CRA. Its 139 tensile curve is shown in Fig. 2(c). Compared to the 90CRA sample, UTS and ductility of the 140 600-90CRA sample are increased and decreased by 7.5% and 21.6%, with corresponding 141 values of 2.85 GPa and 2.9%, respectively. However, they are about the same uniform 142 elongation of 2.8%, which is the strain that true stress and work hardening rate are coincident 143 at the maximum load point. Also, the tensile curve of 600-90CRA sample shows no work-144

145 hardening behavior as that of typical maraging steels.

146 APT investigation was applied to the 600-90CRA sample to analyze the precipitates, and three precipitations were revealed. They are NiAl, Fe-Cr, and Mo-enriched phases, 147 respectively, as shown in Fig. 3(a). The NiAl phase consists of almost spherical particles with 148 an average size of several nanometers, which are distributed evenly throughout the matrix. 149 Particles of the Fe-Cr phase exhibit a similar average size as that of the NiAl phase, with 150 151 more irregular shapes and a lower number density. However, the Mo-enriched phase exhibits an average particle size of about 20 nm, with irregular shapes and an uneven distribution [34], 152 which makes it difficult to determine its number density. The Co element shows no 153 154 partitioning as shown in Fig. 3(b), which is consistent with the finding that it does not form precipitations during an ageing process due to the high Fe-Co binding energy [20]. 155 Quantification of the solute partitioning between nanoparticles and the matrix in the 600-156 157 90CRA sample was calculated from proximity histograms [35] shown in Fig. 3(c-e), in which the average three-dimensional compositional information with respect to the distance from 158 the particle/matrix interface is demonstrated. Fig. 3(c) shows an apparent partitioning of Ni 159 and Al elements in the nanoparticle which contains not pure NiAl but also contains Fe. 160 Quantitative analysis shows that it is a Ni/(Al+Fe) atomic ratio of 1.14, which indicates that 161 162 Fe atoms occupy the sites of Al. It is a B2-type Ni (Al, Fe) nanoparticle, called β -NiAl phase. Fig. 3(d) shows an apparent partitioning of Mo and Cr elements in the nanoparticle, and the 163 quantitative analysis shows that the stoichiometric composition of the nanoparticle is (Fe, 164 Cr)₂ Mo. It is the Laves phase [36] which tends to form at boundaries, with sizes in the range 165 of 10-several 100 nm [37]. In Fig. 3(e), the partitioning of Cr element in the nanoparticle is 166 167 apparent, and the corresponding stoichiometric composition is $Fe_{60}Cr_{40}$, which is known as a sigma (σ) phase. Based on the well-known Orowan mechanism, the increase in the YS of a 168 steel due to precipitation strengthening is attributed to the decrease in particle size and the 169

increase in density of the precipitates. It increases not only the force of dislocation movement 170 but also the dislocation density, which are particular significant to achieve ultrahigh strength 171 of the maraging steel [38]. The average radius and number density of these nanoparticles are 172 summarized in Table 1. Such intensive nanoprecipitations are responsible for high strength of 173 the maraging steel [39]. Among these precipitates, the β -NiAl phase has a high coherency 174 with the BCC matrix, and the coherent nanoparticles tend to nucleate uniformly in the matrix 175 176 with ultra-fine particle sizes [40-42]. It exhibits a lattice mismatch with the surrounding martensitic matrix low to 0.14%. These nanoparticles keep a spherical shape when their size 177 178 is below 45 nm [43], resulting in a high number density and enhanced strengthening effect [40, 44]. Since the average size of β -NiAl nanoparticle is below the critical value for Orowan 179 looping, dislocation motion follows the particle shearing mechanism [45] in which the 180 increase of YS results mainly from contributions of order strengthening (σ_{order}), modulus 181 strengthening (σ_{modulus}), and coherency strengthening ($\sigma_{\text{coherency}}$). Equations for these 182 contributions are given as follows [45]: 183

184
$$\sigma_{\text{order}} = M\left(\frac{r_{\text{apb}}^{3/2}}{b}\right) \left(\frac{4r_{\text{s}}f}{\pi T}\right)^{1/2}$$
(3)

185
$$\sigma_{\text{modulus}} = M \frac{Gb}{L} (1 - (\frac{E_p}{E_m})^2)^{3/4}$$
 (4)

186
$$\sigma_{\text{coherency}} = 4.1 \text{MG}\epsilon^{3/2} f^{1/2} (\frac{R}{b})^{1/2}$$
 (5)

187 where all factors are detailly described in **Supplementary Text**. The strengthening 188 contribution of β -NiAl phase is calculated and listed in Table 2, which is significantly higher 189 than that of the NiAl precipitate [45]. It indicates that an increase in the number density of β -190 NiAl precipitate sharply increases the strength of a steel when it reaches nearly E24 level, in 191 which modulus strengthening is the most significant.

192 The σ phase has a high hardness, but it is very brittle due to the topologically close-193 packed (TCP) structure. Thus, net-like σ phase particles can be refined into a stable globular

morphology through plastic deformation [46]. The impact of σ phase on the mechanical 194 property of a steel depends on various factors, such as its volume fraction, size, morphology, 195 and distribution in the matrix [47]. Fine and homogenous dispersion of the σ phase exhibits 196 an enhanced precipitation-strengthening effect in a steel [46]. The σ phase is low coherent 197 with the BCC Fe-matrix and usually precipitates in the form of coarse particles [48]. 198 Therefore, it is sensitive to the ageing time and exhibits a strong peak-strengthening effect, 199 200 especially in the Mo-added steel, which is called over ageing phenomenon [49]. The Laves phase is precipitated after the β -NiAl phase which is formed in the early stage [50]. They 201 202 both exhibit a high thermodynamic stability, resulting in a stable precipitation strengthening effect upon a prolonged ageing treatment [39, 50-52]. Thus, the as-hot-rolled steel samples 203 were solution-treated at 600 °C for 1 h followed with 90% CR and then ageing at 525 °C for 204 205 2 h~5 h, respectively. The measured micro-hardness for these ageing samples are shown in Fig. 4(a), with a peak value in the 3.5h-aged sample. A representative TEM image of the 206 3.5h-aged sample before tensile test is shown in Fig. 4(b). The high-angle annular dark-field 207 (HAADF) image in Fig. 4(c) reveals that most of precipiates were evenly distributed in the 208 martensite lath which contains numerous dislocations. The σ phase particles show a net-like 209 structure, with an average dimension of 9.2 nm*37.5 nm (width*length). Fig. 4(d, e) display 210 high-resolution transmission electron microscopy (HRTEM) images of Laves and β -NiAl 211 phases, respectively, with the crystallographic information derived from the fast Fourier 212 transform (FFT) analysis shown in their insets. It confirms the above analyses on these 213 precipitates by APT. Finally, tensile tests were conducted on these ageing samples, and an 214 ultra-high precipitation strengthening was observed, as shown in Fig. 5(a). For the 3.5h-aged 215 sample, the UTS has a peak value of about 3.15 GPa, while YS, ductility, and uniform 216 elongation are about 3.12 GPa, 2.74%, and 2.05%, respectively. Both YS and UTS decrease, 217 while ductility increases, as the ageing time is longer than 3.5 h. HAADF image of the 3.5h-218

aged sample after tensile test is depicted in Fig. 5(b). Particles of the σ phase show a 219 spherical morphology with an average diameter of about 9.5 nm. Thus, peak strength of the 220 3.5h-aged sample is mainly attributed to particles of the σ phase for its transcrystalline 221 fracture during tensile deformation. With the increasing ageing time in the range of 1.5-3.5 h, 222 fine σ phase particles tended to aggregate and formed a net-like shape, which led to its 223 transcrystalline fracture during tensile deformation due to the high brittle TCP structure. Thus, 224 225 a large number of spherical σ particles were formed, resulting in a significant strengthening effect [49]. On the other hand, the bulky σ phase formed after ageing for longer than 3.5 h, 226 resulting in a reduction in strength of the steel [53]. Moreover, it causes the deflection of 227 cracks, which can even make the surrounding brittle martensite matrix fail in a ductile mode 228 [47]. These analyses have been confirmed by the SEM test. Typical morphologies on the 229 fracture surface of these samples aged at 525 °C for 2, 3.5, and 5 h after tensile test are shown 230 in Fig. S2. The fractographic morphology of the 2h-aged sample is characterized by fine 231 dimples, while that of the 5h-aged one exhibits large ductile dimples which is in line with the 232 apparent necking phenomenon during the tensile test. However, on the fracture surface of 233 3.5h-aged sample, ultra-fine dimples are observed, which could be associated with fine 234 intermetallic precipitates [52]. It is confirmed by the presence of σ phase particles on the 235 fracture surface, with a chemical composition derived from EDS data and listed in Table 3. 236 The σ phase served as the crack embryos and led to a low uniform elongation of the 3.5h-237 aged sample. 238

Through large plastic deformation combined with the co-precipitation of three nanoscale intermetallic precipitates (Laves, β -NiAl, and σ phases), a novel maraging stainless (Fig. S3) steel was developed, which has a high UTS and an acceptable uniform elongation of 2.85 GPa and 2.8%, respectively. After ageing at 525 °C for 3.5 h (peak ageing time), an ultrahigh UTS of 3.15 GPa was obtained, and the uniform elongation can remain at about 2.05%.

Austenitizing the as-hot-rolled steel before cold-rolling and ageing treatments is necessary for 244 improving its mechanical performance. It maximizes the martensite phase in the maraging 245 stainless steel, which removes work-hardening behavior and increases strength without 246 uniform elongation loss of the maraging steel. Large plastic deformation promotes the 247 formation of α' martensite transformed from the γ phase, resulting in a high-strength matrix. 248 Furthermore, multiply types of nanoprecipitations with a high density render an even stronger 249 strengthening effect for the maraging steel. In particular, the β -NiAl precipitate sharply 250 increases strength of the maraging stainless steel when its density reaches E24 level, and the 251 net-like σ phase exhibits a peak strengthening effect on the steel through transcrystalline 252 fracturing into many small globular particles during tensile deformation. 253

Above results indicate that the co-precipitation through a suitable ageing treatment can bring about a maraging steel with a YS of over 3 GPa and maintain a reasonable uniform elongation of over 2%. It is noted that the δ phase is still remained in the maraging steel. If it can be totally dissolved, the maraging steel can exhibit an even better mechanical performance, and this will be our future work.

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264 **Declaration of Competing Interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Fig. 1 (a) XRD patterns of the as-hot-rolled, 65% and 90% CR samples. (b) Vickers hardness of the as hot-rolled samples after different cold rolling ratios (black), 65CRA (red) and 90CRA (red). (c) Engineering stress-strain curves of the as-hot-rolled samples treated with 65%CR and 90%CR followed by ageing at 525 °C for 2 h, respectively (65CRA and 90CRA). (d) Bright-field TEM image of the 90CRA sample showing the elongated martensite laths.



Fig. 2 Volume fractions of γ phase in the as-hot-rolled maraging steel depending on temperature for a fixed annealing time of 1 h (a) and annealing time at fixed 600 °C (b). (c) Engineering stress-strain curve of the as-hot-rolled sample solution-treated at 600 °C for 1 h followed with 90CR and then ageing at 525 °C for 2 h (600-90CRA).



Fig. 3 Atom probe analysis showing tomography and composition of the precipitates in the 600-90CRA sample: (a) Iso-surfaces from APT of the steel, with Mo-enriched phase in yellow, NiAl in green and FeCr in purple; (b) Distribution profiles of Co atoms. Proximity histograms of the three precipitates 1, 2, and 3 in panel (a): (c) β -NiAl phase for 1, (d) Laves phase for 2 and (e) Sigma phase for 3.



Fig. 4 (a) Micro-hardness of the as-hot-rolled steel samples solution-treated at 600 °C for 1 h followed with 90% CR and then ageing at 525 °C for 2 h (600-90CRA)~5 h,

respectively. (b) Bright field image of the 3.5h-aged sample before tensile test; (c) Dark field image of Fig. 4(b), showing precipitates and dislocations in the martensite laths. (d, e) HRTEM images corresponding to the Laves and β -NiAl phases, respectively, with the insets illustrating the FFT analysis results.



Fig.5 (a) Engineering stress-strain curves of the as-hot-rolled steel samples solution-treated at 600 °C for 1 h followed with 90% CR and then ageing at 525 °C for 2 h (600-90CRA)~5 h, respectively; (b) Dark field image of the 3.5h-aged sample after tensile test.