

1 **A Patrol Routing Problem for Maritime Crime-Fighting**

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3

4 **Abstract**

5 In this study, we investigate a patrol routing problem for fighting maritime crime that is  
6 motivated by challenges faced in actual practice. Although maritime shipping and ecosystems  
7 are critical to human well-being, shipping lanes and related natural resources are vulnerable to  
8 maritime crimes. However, the time, location, and extent of the illegal activities in these areas  
9 are largely unknown, and maritime authorities have scant resources (such as patrol boats and  
10 aircraft) to monitor them. To tackle this challenge, we propose a novel approach to identify  
11 suspicious ships and develop patrol routing methods to enhance the patrol efficiency. The  
12 problem of enhancing patrol efficiency is analyzed in three scenarios that differ according to  
13 the availability of aerial photographs. We formulate three mathematical programming models  
14 to address this problem in each scenario. The patrol route is optimally designed based on  
15 information available online. Extensive numerical experiments are conducted to validate the  
16 effectiveness and efficiency of the proposed patrol routing models. Maritime illegal activities  
17 are attracting increasing attention, and our proposed approach can be applied to various  
18 maritime crime scenarios in oceans and seas around the world.

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20 **Keywords:** maritime crime-fighting, patrol routing, selective traveling salesman problem,  
21 integer programming, maritime security.

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## 24 1. Introduction

25 Crime at sea (e.g., illegal fishing, smuggling of banned animal products, and drugs) poses a  
26 serious threat to maritime shipping lanes and marine ecosystems, both of which are particularly  
27 vulnerable. For instance, according to the United Nations Food and Agriculture Organization,  
28 34.2% of global fisheries have been fished beyond their sustainable limits (UNFAO, 2020).  
29 The World Wildlife Fund for Nature reports that the population of marine vertebrates  
30 decreased by almost half (49%) between 1970 and 2012 (WWF, 2015). Key factors  
31 contributing to this problem are overfishing and illegal fishing (MSC, 2022). Illegal  
32 (unregulated, or unreported) fishing threatens the sustainability of fish populations, ecosystems,  
33 and the livelihoods of those who fish legitimately, with the economic losses associated with  
34 illegal fishing estimated to be worth USD10–23.5 billion annually (MSC, 2022). In addition to  
35 illegal fishing, kidnapping, human trafficking, drug smuggling, and trade in banned animal  
36 products, such as rhino horn and ivory, are creating maritime security issues and have attracted  
37 the attention from maritime authorities (ICC, 2022; Nordling, 2017).

38 The most significant challenge in combating maritime crime is the contradiction between  
39 the shortage of physical resources available to monitor illegal activities and the vastness of the  
40 waters to be monitored. For example, South Africa (SF) reports that “the navy has only four  
41 frigates, three submarines, a handful of patrol vessels and aircraft to patrol this country’s  
42 exclusive economic zone which exceeds its land area by 25%,” which is equivalent to a country  
43 of 2.38 million km<sup>2</sup> using six police cars to patrol its entire territory (Nordling, 2017). Although  
44 there are abundant historical records describing the coastal poaching of high-value species (e.g.,  
45 rock lobster and abalone) in SF, the extent of illegal fishing in SF’s open oceans is largely  
46 unknown, indicating the likelihood that most transgressions go undetected (Nordling, 2017).

47 In the last decade, a number of countries have developed remote sensing systems to  
48 enhance the efficiency of maritime crime detection. For example, SF introduced a vessel-  
49 tracking system (VTS) that requires ships above a certain size to carry an automatic  
50 identification system (AIS) tracker. This VTS is capable of remotely tracking ships in real time  
51 and sending alerts to suspicious ships that enter SF’s waters. Similar remote sensing systems

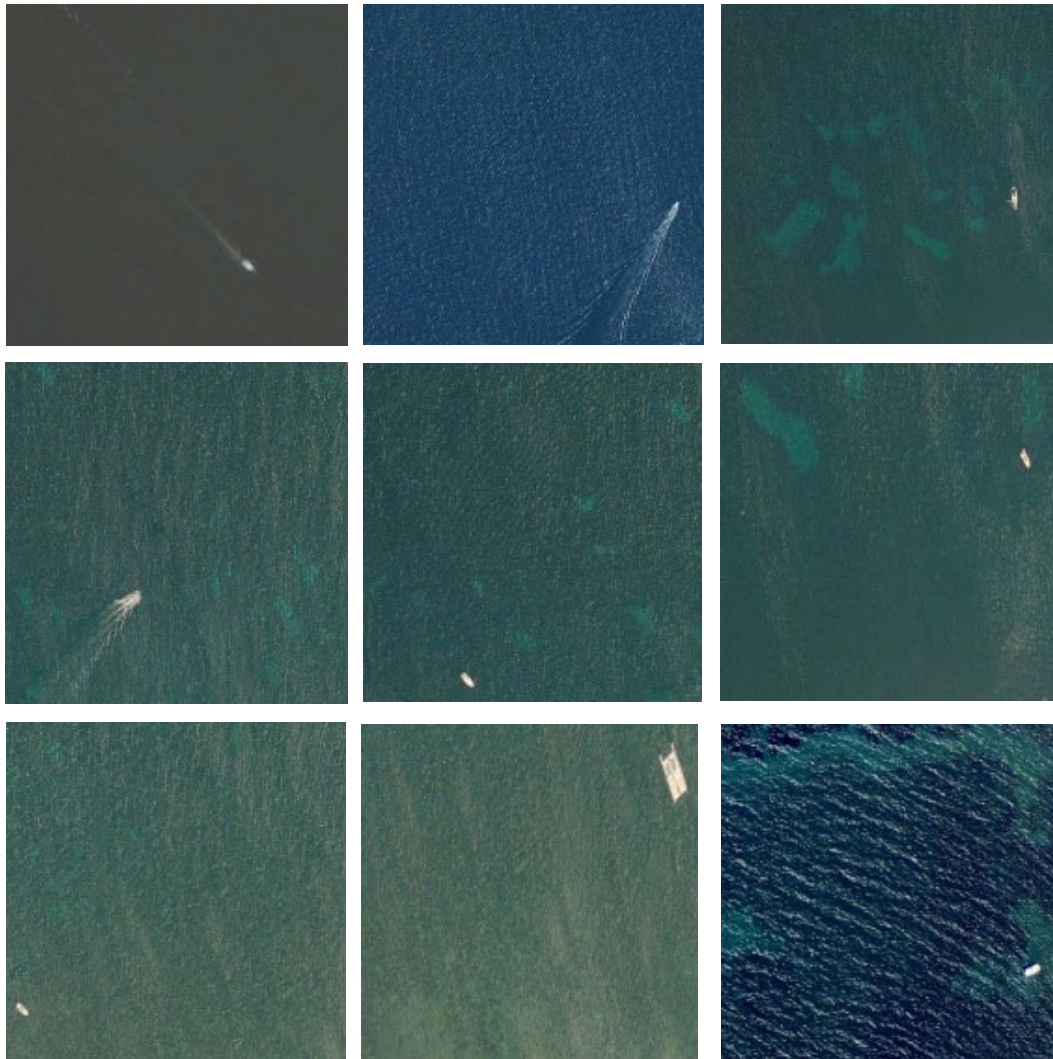
52 have been developed by countries such as the United States, Australia, and India (Nordling,  
53 2017). Plans are also in place to use satellites equipped with high-resolution cameras or  
54 synthetic-aperture radar to collaborate with the AIS and detect ships that have deactivated their  
55 trackers (Nordling, 2017).

56 Compared with ships with operating AIS trackers, “dark” ships with deliberately  
57 deactivated AIS trackers are more likely to engage in crimes. However, it is much more  
58 difficult to detect these ships without obtaining additional information through other forms of  
59 detection. Although satellites carrying cameras or radar can provide essential information for  
60 detecting dark ships, the huge investment required to launch the satellites makes this approach  
61 impractical. Therefore, in some areas, it is more practical to collaborate with commercial  
62 airlines. For example, attaching cameras to planes that fly over marine reserves can facilitate  
63 the detection of any vessels that enter these sensitive areas. **Figure 1 shows examples of aerial**  
64 **photographs (APs) which is obtained from Gallego et al. (2018).** APs such as these can be  
65 transmitted to a ground station and used to detect suspicious ships, with any dark ships not  
66 detected by the AIS appearing in the APs. We refer to this innovative joint application of AIS  
67 and APs as the AIS-AP approach.

68 The ultimate goal of maritime crime-fighting is not simply to observe poachers or  
69 smugglers. Rather, maritime crime needs to be prevented in a timely fashion. Thus, the relevant  
70 maritime authorities need to dispatch patrol boats to specific maritime reserves and perform  
71 on-the-spot inspections, even when they have the support of AIS-AP. Some ships may simply  
72 lose their AIS tracker signal due to hardware or software failure, whereas others may  
73 deliberately engage in poaching or smuggling. To identify real, specific illegal activity,  
74 maritime inspectors need to board dark ships when they enter restricted waters.

75 Maritime patrols need to ascertain which suspicious ships they need to observe and inspect  
76 during a patrol. Because suspicious ships are not necessarily committing crimes, maritime  
77 inspectors have no option but to inspect as many ships as possible. Therefore, it is reasonable  
78 for maritime inspectors to use the number of inspected suspicious ships as a performance

79 indicator to measure the achievements of a patrol mission. More proficient maritime inspectors  
80 are able to observe and inspect a large number of suspicious ships each day.



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Figure 1 Some aerial photograph samples

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Although numerous factors can affect the performance of a patrol (i.e., the number of suspicious ships inspected), patrol routing decisions undoubtedly play a crucial role in determining the outcomes of a patrol. Patrol routing essentially involves addressing two core sub-problems: learning the locations of suspicious ships and designing patrol routes. With the aid of AIS-AP, maritime inspectors are now able to determine the location of previously undetectable ships, which has significantly altered the routing problem and enhanced the patrol efficiency.

90 To evaluate the benefits of using AIS and APs for patrol routing, we consider three  
91 scenarios with and without the support of AIS-AP. In the first scenario (Scenario 1), we  
92 consider a situation in which the locations of suspicious ships are completely unknown. We  
93 can only assume the ships are randomly distributed throughout the maritime area, and patrol  
94 boats may encounter suspicious ships while on patrol. In the second scenario (Scenario 2), we  
95 consider a situation in which the AIS-AP approach is used to locate suspicious ships. In that  
96 scenario, the maritime inspectors need only to determine the necessary patrol route to inspect  
97 as many suspicious ships as possible, while considering the possible travel time and distance  
98 restrictions. Because APs generally do not cover the entire restricted areas, we also investigate  
99 a partial AIS-AP supported scenario that can be treated as a mix of Scenarios 1 and 2. The main  
100 difference between these scenarios is whether the inspectors are aware of a ship's location,  
101 which results in different modeling approaches.

102 The value of investigating the patrol routing problem with either the full or the partial  
103 support of AIS-AP is self-explanatory. Given the lack of available location information prior  
104 to the introduction of AIS-AP, it was difficult to set specific objectives for patrolling. In this  
105 respect, the introduction of AIS-AP has clarified the patrol objective by transforming the  
106 location of dark ships from a probability to a deterministic Boolean value. With accurate  
107 location information, maritime inspectors are no longer forced to conduct searches based on  
108 uncertain objectives, and thus their workload has been greatly reduced. This, in turn, has  
109 substantially enhanced the efficiency of maritime patrols. Patrol missions require much less  
110 travel distance and time to inspect the same number of suspicious ships or equivalently, to  
111 inspect more ships within the same travel time or distance. This improvement in patrol  
112 efficiency is even more valuable in light of the small number of patrol boats compared with the  
113 vastness of the waters to be monitored.

114 Although maritime security is a hot topic in maritime studies, most studies in this area  
115 focus on strategic, political, and empirical issues. The major topics include the ecosystem  
116 assessment (Frederiksen et al., 2021; Menegon et al., 2018), the relationship between the blue  
117 economy and maritime security (Voyer et al., 2018a; Voyer et al., 2018b), geopolitical

118 dimension (De Santo, 2020; Germond, 2015), regional maritime security investigation  
119 (Belhabib et al., 2019; Guilfoyle, 2019; Nordling, 2017; Okafor-Yarwood, 2020), law and  
120 maritime security (Guilfoyle, 2019; Ryan, 2019). For a comprehensive understanding of  
121 research on maritime security, refer to Bueger (2015) and Bueger and Edmunds (2017).

122 It should be noted that operational level research in this field attracts limited attention  
123 compared with the rich literature on strategic, political, and empirical studies. To the best of  
124 our knowledge, no studies focus on the maritime patrol routing problem. Some studies focus  
125 on the patrol routing problem on land. Table 1 summarizes the literature on land troopers patrol  
126 routing. These studies can be divided into two categories. The first category uses simulation-  
127 based methods to derive the patrol routes, e.g., Chen et al. (2017), Portugal and Rocha (2013),  
128 and Steil et al. (2011). Although their study background is more elaborated, the research results  
129 lack analytical insights. Another category of studies is developed from the vehicle routing  
130 problem. One pioneer study is Keskin et al. (2012) who established a mixed-integer linear  
131 programming model to determine optimal patrol routes of state troopers for maximum coverage  
132 of highway spots with high frequencies of crashes (hot spots). This formulation is similar to  
133 the team orienteering problem with time windows. The major difference is that Keskin et al.  
134 (2012) do not have a fixed “profit” associated with each location. Subsequent studies made  
135 extensions from different aspects. Dewil et al. (2015) reformulate Keskin et al. (2012)’s model  
136 as a minimum cost network flow problem and extend it to the multi-commodity minimum cost  
137 network flow model by considering several practical additions. Çapar et al. (2015) extend  
138 Keskin et al. (2012)’s model and demonstrate performance improvement through intensive  
139 instances. Li and Keskin (2014) extend Keskin et al. (2012)’s model to the scenario of  
140 multiperiod, bi-criteria, and joint optimization of dynamic location-routing decisions.  
141 Willemse and Joubert (2012) study the patrol routing problem from another aspect. They  
142 propose a min-max k postmen problem to the routing of security guards. Compared with land  
143 troopers, maritime police are responsible for vaster regions, which has implications for the  
144 design of patrol routing problems. The number of suspicious ships is much lower than that of  
145 hot spots in the road network. Little information can be obtained and utilized to locate the

146 position of suspicious ships, and limited resources (e.g., the number of patrol boats) can be  
 147 invested to complete this mission. These characteristics require a novel approach and tailored  
 148 models to address this problem. Routing is widely investigated in other transportation problems,  
 149 such as drone-truck joint routing (Gonzalez-R et al., 2020; Zeng et al., 2022), liner shipping  
 150 routing (Duan et al., 2021; Li et al., 2022; Zhen et al., 2020), vehicle routing (Bahrami et al.,  
 151 2020; Basso et al., 2022; Gmira et al., 2021; Lin et al., 2021; Liu et al., 2021; Poon et al., 2022;  
 152 Wang et al., 2020), as well as orbit and machine layout problem (Qi et al., 2022) to name a few.  
 153 We enrich the literature by applying routing methods to the maritime patrol process.

154 **Table 1 Summary of Literature on Patrol Routing**

Paper	Problem and major considerations	Approach
Keskin et al. (2012)	Patrol routing; Maximum coverage; Team orienteering problem with time windows;	Local and tabu-search based heuristics
Chen et al. (2017)	Police patrols; Multi-agent patrol routing; Bayesian-based decision making; Online agent-based simulation	Ant colony algorithm
Portugal and Rocha (2013)	Multi-robot patrol; Fault-tolerance; Security	Greedy Bayesian strategy; State exchange Bayesian strategy
Dewil et al. (2015)	Minimum cost network flow problem; Multi-commodity;	Cplex
Li and Keskin (2014)	Bi-criteria; Multi-period; Dynamic location and routing problem;	$\epsilon$ -constraint approach; Cplex; Heuristic algorithm
Çapar et al. (2015)	Maximum coverage patrol routing; Multi-start routes; Diversion from patrols; Delay starting the shifts	Cplex
Willemse and Joubert (2012)	Chinese postman problem; Security guards routing; Continual patrolling; Arc Routing	Tabu search
Steil et al. (2011)	Nondeterministic patrol routing;	Simulation
Sun and Wang (2018)	Freeway service patrol; Route coverage and fleet allocation	Genetic algorithm; Simulated annealing
Jiang et al. (2022)	Patrol routing optimization; Smart city management; Road segment classification	Genetic algorithm
Saint-Guillain et al. (2021)	Partrol routing; Stochastic programming; On-demand transportation; Optimization under uncertainty; Recourse strategies	Recourse strategy; Expected cost computation
Sun et al. (2018)	Patrol routing; Fleet allocation on freeways; Overlapping/non-overlapping patrol	Genetic algorithm

155 Every moment, maritime crimes are taking place around the world, including but not  
 156 limited to illegal fishing and harvesting, ocean dumping and discharge, illicit drug trafficking,

157 and other crimes (ICPO, 2019; UNODC, 2011, 2021). Areas such as Eastern and Southern  
158 Africa, the Indian Ocean region, the Gulf of Guinea, the Red Sea and the Gulf of Aden, and  
159 the Sulu and Celebes Seas are recognized hot-spots for maritime crime (ICPO, 2020, 2021a, b;  
160 Stable Seas, 2019). Therefore, our proposed patrol routing methods are applicable in a wide  
161 range of scenarios.

162 The remainder of this paper is organized as follows. Section 2 provides a detailed  
163 description of the considered problem and formulates three mathematical programming models  
164 for three specific scenarios in this problem. To validate the proposed models, we conduct two  
165 numerical experiments in Section 3. Conclusions are drawn in Section 4.

## 166 **2. Problem statement**

167 Maritime management authorities patrol given maritime regions to detect suspicious ships and  
168 identify maritime crimes. To focus on the major objective of our problem, we make the  
169 following assumptions:

- 170 a) The given maritime region to be inspected is a rectangular area. Without loss of generality,  
171 the length of the horizontal side of the region is no smaller than that of its vertical side.
- 172 b) Only one patrol boat is assigned to fulfill the inspection mission in a given maritime region.
- 173 c) The speed and range/voyage of the patrol boat are given and constant.
- 174 d) The patrol boat can discover and identify illegal ships within the given latitudinal and  
175 longitudinal range of the patrol route. Therefore, the vessel inspects a belt/band region on  
176 the patrol route.
- 177 e) The patrol route is a loop.
- 178 f) Not every part of the maritime district can be inspected by the patrol boat because of the  
179 limitations on the travel distance and/or time.
- 180 g) The fuel consumption during onboard inspections is not considered.

181 The objective of the problem is to maximize the number of suspicious ships inspected. In  
182 Scenario 1, because the locations of suspicious ships are unknown, we formulate the problem

183 as maximizing the expected number of ships inspected. We adopt a simple  
 184 serpentine/switchback route pattern. A mixed-integer nonlinear model is built to optimize the  
 185 route configuration. In Scenario 2, with the help of AIS-AP, the locations of suspicious ships  
 186 can be identified. In this case, our objective is to inspect as many suspicious ships as possible.  
 187 Scenario 3 combines Scenarios 1 and 2, so we maximize the summation of the number of  
 188 inspected suspicious ships in the AP-covered region and the expected number of suspicious  
 189 ships inspected in the regions without AP coverage.

## 190 2.1. Notation

191 The notation for describing the following models is listed in Table 2.

192 Table 2 Notation

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### Sets

$A$	Set of links
$V$	Set of nodes
$V^+$	Set of nodes including origin and dummy origin
$V_r$	Set of nodes in region $r$
$\bar{V}_r$	Set of boundary nodes in region $r$
$V_0$	Set of nodes (location identified)

### Indices

$i$	Index of node
$j$	Index of node
$s$	Depot
$t$	Depot replica
$r$	Index of region

### Parameters

$b$	Inspection scope of patrol boat
$c$	Area covered by the study water region
$c_r$	Area covered by region $r$
$d_{ij}$	Travel distance between $i$ and $j$
$q$	Weighted parameter derived from historical records
$q_r$	Weighted parameter derived from historical records in region $r$
$l_h$	Horizontal length of patrol region
$l_v$	Vertical length of patrol region
$l_0$	Maximum traveling range of patrol boat

### Decision Variables

$\bar{l}$	Total length of patrol route in Scenario 1
$n$	Number of switchback segments in Scenario 1
$x$	Unit length of horizontal link in Scenario 1
$y$	Unit length of vertical link in Scenario 1
$l$	Patrol route in Scenario 1
$u_{ij}$	A binary variable to indicate whether node $i$ is followed by node $j$ in Scenarios 2 or 3
$v_i$	A binary variable to indicate whether node $i$ is selected in Scenarios 2 or 3
$w_i$	Sequence/position of node $i$ in the route $l$

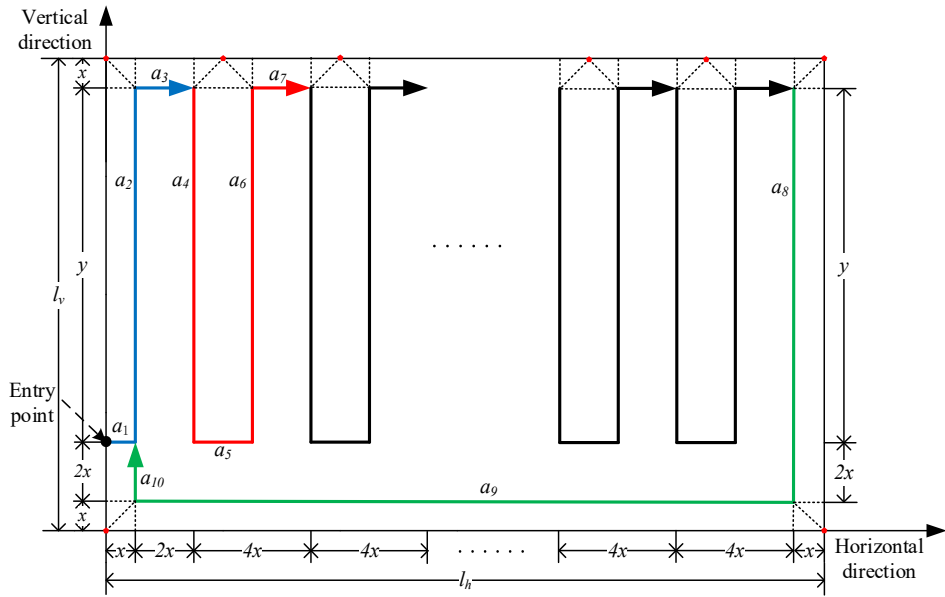
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## 193 2.2. Basic scenario

194 We first consider the basic scenario without the support of AIS-AP (Scenario 1). In this  
195 scenario, the extent of illegal activities is largely unknown (Nordling, 2017). Suspicious ships  
196 may be located in any part of the marine protected area. A patrol boat should inspect the whole  
197 area, rather than several identified hotspots. Therefore, we set the objective in this scenario as  
198 maximizing the expected number of suspicious ships inspected. We assume that the suspicious  
199 ships are randomly distributed in the maritime district. Accordingly, it is important to design a  
200 patrol route  $l$  to maximize the likelihood of discovering suspicious ships, i.e., maximizing the  
201 detection band coverage of the route. In this case, owing to the lack of prior information, the  
202 patrol boat is expected to inspect the whole area. Therefore, in the first scenario, we consider a  
203 simple route pattern/structure, i.e., a serpentine/switchback patrol route, as shown in Figure 2.  
204 This route is easy to execute and can cover the entire region in a roughly even manner. We then  
205 propose a model to optimize the parameters of this route structure.

206 To begin with, the patrol route is assembled by a set of horizontal and vertical links. Take  
207 Figure 2 for example, the horizontal links involve links  $a_1, a_3, a_5, a_7,$  and  $a_9,$  and the vertical  
208 links involve links  $a_2, a_4, a_6, a_8,$  and  $a_{10}.$  The horizontal links can be further classified into three  
209 sub-categories according to their length: (i) initial horizontal link  $a_1$  whose length is  $x;$  (ii)  
210 horizontal links whose length is  $2x,$  such as  $a_3, a_5,$  and  $a_7;$  (iii) last horizontal link  $a_9$  whose  
211 length is the sum of links in the second sub-category. Similarly, the vertical links can also be  
212 classified into three sub-categories: (iv) vertical links whose length is  $y,$  such as  $a_2, a_4,$  and  $a_6;$

213 (v) last vertical link  $a_{10}$  whose length is  $2x$ ; (vi) second-last vertical link  $a_8$  whose length is  
 214  $2x+y$ .



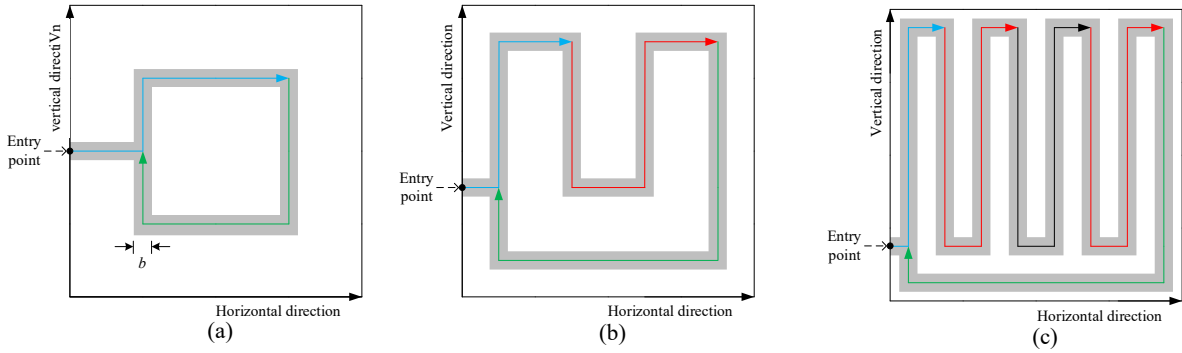
215

216 Figure 2 Basic structure of patrol route in the scenario without the support of AIS

217 The patrol boat inspects the maritime region in the following sequence. It goes along the  
 218 entry channel  $a_1$  to enter the inspection area. Then, after the initial entry procedure, it turns a  
 219 corner and goes along the link  $a_2$ . When it approaches the boundary of the water district, it  
 220 turns to the horizontal direction and goes along the link  $a_3$ . Links  $a_1$ ,  $a_2$  and  $a_3$  form the route  
 221 segment  $s_1$ , which is a common component for each potential patrol route. Then, the patrol boat  
 222 enters the next segment  $s_2$  which is a switchback segment and is shown by red/black color.  $s_2$   
 223 is a flexible component of the patrol route and consists of links  $a_4$ ,  $a_5$ ,  $a_6$ , and  $a_7$ . The number  
 224 of  $s_2$  depends on the specific situations. The patrol boat could repeatedly use this segment to  
 225 build the patrol route and cover the whole area. When the patrol boat approaches the other side  
 226 of the maritime region, it turns into the route segment  $s_3$  (shown by green color) and enters the  
 227 return procedure. The patrol boat sequentially goes along the links  $a_8$ ,  $a_9$ ,  $a_{10}$ , returns to the  
 228 entry channel  $a_1$ , and then leaves the maritime region.

229 In summary, the whole patrol route consists of three types of route segments: Entry  
 230 segments  $s_1$ , switchback segment  $s_2$ , and return segments  $s_3$ . This structure is extendable to a

231 variety of patrol routes by assembling and modifying the three modules, as shown in Figure 3.  
 232 The grey belt in Figure 3 reflects the patrol boat's inspection scope. Note that the patrol route  
 233 can be adjusted, e.g., move along horizontal and vertical directions or extend/shorten the certain  
 234 link's length, task by task to inspect every spot of the water district.



235

236

Figure 3 Some specific switchback patrol routes

237 Note that this route structure is a special case of the swath path (Daganzo, 1984), which  
 238 shows the swath path is near-optimal solution for the traveling salesman problem in zones of  
 239 different shapes. Numerical studies show that the gap between the swath path and the optimal  
 240 solution is within 5%.

241 Since the location of suspicious ships is uncertain, a weighted parameter is needed to  
 242 represent the potential of capturing and inspecting suspicious ships. Let  $b$  denote the inspection  
 243 scope of patrol boat (the breadth of inspection belt as shown in Figure 3),  $\eta$  denote the average  
 244 number of inspected suspicious ships every day in the given water district in history and  $c$   
 245 denote the area covered by the entire water district. The weighted parameter can be expressed  
 246 as  $q = b \cdot \eta / c$ . Let  $\bar{l}$  denote the total length of patrol route  $l$ . The specific problem can be  
 247 simply formulated as:

248 [M1] 
$$\max q\bar{l} \tag{1}$$

249 subject to

250 
$$4x + 4n \cdot x = l_h \tag{2}$$

251 
$$4x + y = l_v \tag{3}$$

252 
$$\bar{l} = (x+y+2x) + n(2y+4x) + ((y+2x) + (4n \cdot x + 2x) + 2x+x) \quad (4)$$

253 
$$\bar{l} \leq l_0 \quad (5)$$

254 
$$x \geq \frac{b}{2} \quad (6)$$

255 
$$x, y > 0, n \in \phi . \quad (7)$$

256 Objective (1) maximizes the covered area which subsequently maximizes the likelihood of  
 257 inspecting suspicious ships. Eq. (2) means the sum of horizontal length of patrol route  
 258 (projection on the horizontal direction) and its margins to both sides equals the region's  
 259 horizontal side length  $l_h$ . Eq. (3) requires the sum of vertical length of patrol route (projection  
 260 on the vertical direction) and its margins to both sides equals the region's vertical side length  
 261  $l_v$ . An illustration of Eq. (2) and Eq. (3) are shown in Figure 2. Eq. (4) defines the total length  
 262 of patrol route  $l$ . As illustrated in Figure 2, the right term of Eq. (4) is the summation of the  
 263 length of route segments  $s_1 (a_1, a_2, a_3)$ ,  $s_2 (a_4, a_5, a_6, a_7), \dots, s_2, \dots$ , and  $s_3 (a_8, a_9, a_{10}, a_1)$ . Eq.  
 264 (5) requires the patrol route length  $\bar{l}$  should be no larger than the patrol boat's voyage/range  
 265  $l_0$ . Eq. (6) prevents inspection belts overlap. Eq. (7) defines the domain of variables. [M1] is  
 266 a mixed-integer nonlinear programming model but can be easily solved by enumerating  $n$  from  
 267 0, 1, 2, ..., and terminating when the constraints no longer hold. Detailed steps of the solution  
 268 method are presented as follows:

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**Algorithm for [M1]**

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- |   |   |
|---|---|
| 1 | Set $n \leftarrow 0$ .  |
| 2 | Given $n$ , calculate $x$ by Eq. (2).   |
| 3 | Given $n$ and $x$ , calculate $y$ by Eq. (3).   |
| 4 | Given $n$ , $x$ , and $y$ , calculate $\bar{l}$ by Eq. (4).                                       |
| 5 | If constraints (5) and (6) are satisfied, $n \leftarrow n + 1$ and go to Step 2. Otherwise, stop. |
- 

269 **2.3. AIS-AP aided scenario**

270 In this section, we consider the extended scenario with the support of AIS-AP (Scenario 2).  
 271 The AIS could identify the location of ships that are willing to provide their locations, while  
 272 the APs could identify the location of all ships, no matter they are willing to or not. By matching

273 the ships in both AIS and AP systems, the dark ships can be identified. In this section, we make  
 274 the following assumption:

275 h) The air view provided by the airlines can identify the majority of suspicious ships.

276 Assumption h) implies that the air view taken by airlines can cover the whole area of the  
 277 maritime region. Then, the maritime inspectors just need to design a patrol route  $l$  to visit these  
 278 identified locations and inspect these suspicious ships. Considering the constraint of travel  
 279 time/distance, not every suspicious ship can be visited. Therefore, the patrol route  $l$  should visit  
 280 as many suspicious ships as possible. In this section, we build an AIS-AP joint aided patrol  
 281 routing (AAPR) model to address this problem.

282 Given an undirected graph  $G(V^+, A)$ , where  $V^+$  denotes the set of nodes and  $A$  denotes the  
 283 set of links.  $V^+ = V \cup \{s, t\}$  includes a set of target sites  $V$  and one depot  $s$  with its replica  $t$ .  
 284  $V$  represents the locations of suspicious ships. A patrol boat departs from the depot  $s$ , visits the  
 285 selected sites (to be determined) to inspect the suspicious ships, and then returns to the depot  $t$ .  
 286 A link  $(i, j) \in A$  is the traveling leg between node  $i \in V \cup \{s\}$  and node  $j \in V \cup \{t\}$ ,  $i \neq j$ . The  
 287 travel distance of link  $(i, j)$  is denoted as  $d_{ij}$ . The total travel distance should be no longer than  
 288 the maximum traveling range  $l_0$ . Let  $u_{ij}$  denote a binary variable to indicate whether node  $i$  is  
 289 followed by node  $j$  in the route  $l$ ,  $v_i$  denote a binary variable to indicate whether node  $i$  is  
 290 selected and  $w_i$  denote the position of node  $i$  in the route  $l$  (the depot  $s$  is the first node). The  
 291 objective can be represented as  $\sum_{i \in V} v_i$ . Then, the AAPR model can be formulated as

292 [M2] 
$$\max \sum_{i \in V} v_i \quad (8)$$

293 subject to

294 
$$\sum_{j \in V \cup \{t\}} u_{sj} = \sum_{i \in V \cup \{s\}} u_{ti} = v_s = v_t = 1 \quad (9)$$

295 
$$\sum_{i \in V \cup \{s\}} u_{ik} = \sum_{j \in V \cup \{t\}} u_{kj} = v_k, \forall k \in V \quad (10)$$

296 
$$\sum_{i \in V \cup \{s\}} \sum_{j \in V \cup \{t\}} d_{ij} u_{ij} \leq l_0 \quad (11)$$

297 
$$2 \leq w_i \leq |V| + 2, \forall i \in V \cup \{t\} \quad (12)$$

298 
$$w_i - w_j + 1 \leq (|V| + 1)(1 - u_{ij}), \forall i, j \in V \cup \{t\}, i \neq j \quad (13)$$

299 
$$u_{ij} \in \{0, 1\}, \forall i \in V \cup \{s\}, j \in V \cup \{t\}, i \neq j \quad (14)$$

300 
$$v_i \in \{0, 1\}, \forall i \in V \quad (15)$$

301 
$$w_i \in \phi^+, \forall i \in V. \quad (16)$$

302 Objective (8) aims to maximize the total number of inspected suspicious ships. Constraints  
 303 (9) ensure that the patrol route  $l$  start at the depot  $s$  and return to the depot (i.e., replica  $t$ ) after  
 304 finishing inspection. Constraints (10) ensure the connectivity of the patrol route, and each  
 305 selected inspection site can only be visited once. Constraint (11) defines the total travel  
 306 distance limitation. Constraints (12) and (13) prevent the subtours (Miller et al., 1960; Zhang  
 307 et al., 2020). Constraints (14)–(16) define the domains of decision variables. Note that [M2]  
 308 is essentially an application of the traveling salesman problem with profits (Vidal et al., 2016),  
 309 namely selective traveling salesman problem (Laporte and Martello, 1990).

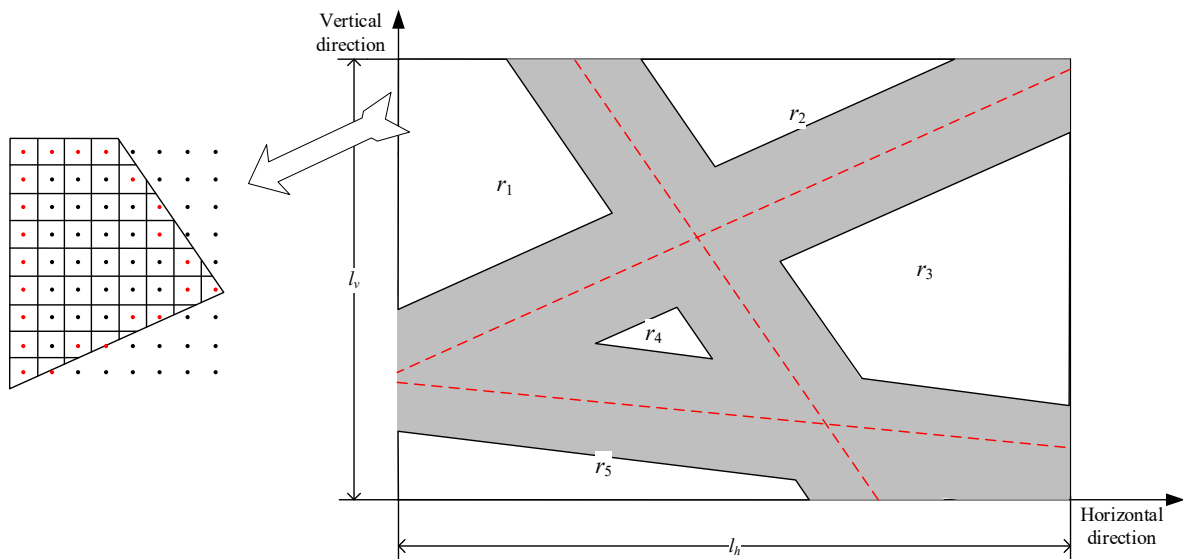
#### 310 **2.4. Partial AIS-AP aided scenario**

311 In this section, we consider the scenario that the planes' fly routes could only cover a part of  
 312 the maritime region, and discover a few dark ships (Scenario 3). Essentially, this scenario can  
 313 be considered as a blend of the basic scenario presented in Section 1.1 and the extended  
 314 scenario presented in Section 1.2. For the sake of completeness, we make the following  
 315 assumption

- 316 i) The air view provided by the airlines could only identify a limited number of suspicious  
 317 ships.

318 Note that incomplete AP information described by Assumption i) is still useful since it can  
 319 help the maritime inspectors eliminate the region already covered by APs and then narrow the  
 320 area they have to patrol without knowing the targets. As shown in Figure 4, the red lines  
 321 represent the air routes that pass through the maritime region, and the grey belts represent the  
 322 area covered by the photographs taken from the airborne camera. The whole area is divided

323 into several regions. In blank regions, the location of suspicious ships is unknown, while in  
 324 grey belts, the suspicious ships can be photographed and identified. That implies different  
 325 patrol strategies should be adopted to deal with different water regions. In blank regions, the  
 326 patrol boat needs to cover as much area as possible. Meanwhile, in grey regions, the patrol boat  
 327 just needs to investigate as many locations of suspicious ships as possible. Therefore, in this  
 328 section, we build a new model to address the routing problem in this scenario.



329

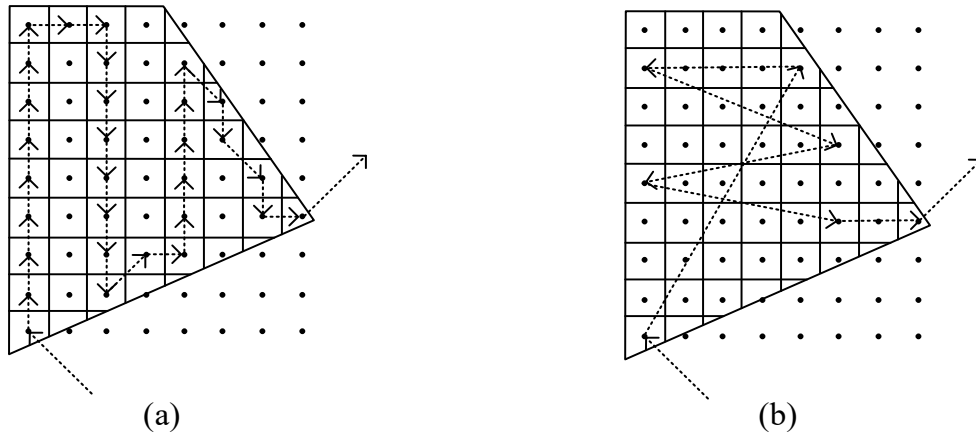
330

Figure 4 An illustration of Scenario 3

331 It is easy to see that the blank district is divided into several independent regions. The  
 332 whole district composed of these independent regions is non-convex. The objective function  
 333 cannot be easily represented by a closed-form function. Therefore, we provide here an  
 334 approximation method toward an integer programming model. We discretize the water regions  
 335 to be inspected by a set of polygons (most of which are squares). Each polygon is represented  
 336 by a representative spot/node in it. For example, in Figure 4, the region  $r_1$  is discretized by a  
 337 set of square polygons and represented by a set of centroids. The side length of polygons should  
 338 be larger than the inspection scope of a patrol boat (i.e., the breadth of grey belts as illustrated  
 339 in Figure 3), because small polygons make the scanning/inspection belts overlap, and the  
 340 overlapping areas provide no additional information. **The set of representative nodes in region**

341  $r_1$  is denoted as  $V_1$ . The patrol boat should select some of these polygons, and then design a  
 342 route to visit them.

343 In addition, the patrol route in blank regions should not cross itself, since the crossed route  
 344 segments also make the scanning/inspection belts overlap, and thus, the overlapping areas  
 345 provide no additional information. Therefore, in this study, we design a rule to prevent this  
 346 issue. Specifically, we require that the selected polygons should be neighboring with each other,  
 347 and the patrol boat should visit these neighboring polygons step by step. In other words, the  
 348 behavior that skipping the neighboring polygon and directly visiting other enclaves (i.e.,  
 349 polygons do not share a border) is not allowed. Figure 5 further illustrates this rule by taking  
 350 region  $r_1$  (shown in Figure 4) as an example. Figure 5 (a) shows that a representative route  
 351 segment in  $r_1$  that its component links only connect their neighboring polygons. Combing with  
 352 the requirement that each selected node should be visited only once, the link-crossing situation  
 353 presented in Figure 5 (b) can be prevented.



354

355

Figure 5 An illustration of the rule to prevent route overlap

356 Since the specific location of suspicious ships is unknown in blank regions, a weighted  
 357 parameter  $q_r$  should be attached to the route segment in each blank water region  $r$  to indicate  
 358 the potential of capturing suspicious ships. Such a weight can be determined according to the  
 359 historical patrol records, i.e.,  $q_r = b\eta_r/c_r$  where  $\eta_r$  and  $c_r$  are related to historical  
 360 inspection records in region  $r$ :  $\eta_r$  denotes the average number of captured illegal ships and

361  $c_r$  denotes the area covered by the inspection belt in region  $r$ . Then,  $q_r$  can be taken as a  
 362 multiplier w.r.t. the length of route segment in region  $r$ .

363 Let  $r$  denote the index of a blank region, and  $R$  denote the set of  $r$ . Then, the representative  
 364 node set in region  $r$  can be denoted as  $V_r$ . The length of route segment in blank region  $r$  can be  
 365 expressed as the sum of its links  $\sum_{i \in V_r} \sum_{j \in V_r} d_{ij} u_{ij}$ , where  $d_{ij}$  is the length of link  $(i, j)$  and  
 366  $u_{ij}$  is the binary decision variable to indicate whether link  $(i, j)$  is selected. Let  $V_r^i$  denote the  
 367 set of neighboring nodes of node  $i \in V_r$  ( $V_r^i \subseteq V_r$ ),  $\bar{V}_r$  denote the boundary nodes of region  
 368  $r$  (i.e., red nodes as illustrated in Figure 4),  $\bar{V} = \{\bar{V}_r, r \in R\}$  denote the boundary nodes of all  
 369 regions, and  $V_0$  denote the location set of identified suspicious ships. Then, [M2] can be  
 370 extended to address the routing problem in this scenario.

371 [M3] 
$$\max \sum_{k \in V_0} v_k + \sum_{r \in R} q_r \sum_{i \in V_r} \sum_{j \in V_r} d_{ij} u_{ij} \quad (17)$$

372 subject to

373 
$$\sum_{j \in V \cup \{t\}} u_{sj} = \sum_{i \in V \cup \{s\}} u_{it} = v_s = v_t = 1 \quad (18)$$

374 
$$\sum_{i \in V_0 \cup \{s\} \cup \bar{V}} u_{ik} = \sum_{j \in V_0 \cup \{t\} \cup \bar{V}} u_{kj} = v_k, \forall k \in V_0 \quad (19)$$

375 
$$\sum_{i \in V_r^m} u_{im} = \sum_{j \in V_r^m} u_{mj} = v_m, \forall m \in V_r \setminus \bar{V}_r, r \in R \quad (20)$$

376 
$$\sum_{i \in V_r^m \cup V_0 \cup \{s\} \cup_{r \in R \setminus \{r\}} \bar{V}_r} u_{im} = \sum_{j \in V_r^m \cup V_0 \cup \{t\} \cup_{r \in R \setminus \{r\}} \bar{V}_r} u_{mj} = v_m, \forall m \in \bar{V}_r, r \in R \quad (21)$$

377 
$$\sum_{i \in V \cup \{s\}} \sum_{j \in V \cup \{t\}} d_{ij} u_{ij} \leq l_0 \quad (22)$$

378 
$$2 \leq w_i \leq |V| + 2, \forall i \in V \cup \{t\} \quad (23)$$

379 
$$w_i - w_j + 1 \leq (|V| + 1)(1 - u_{ij}), \forall i, j \in V \cup \{t\}, i \neq j \quad (24)$$

380 
$$u_{ij} \in \{0, 1\}, \forall i \in V \cup \{s\}, j \in V \cup \{t\}, i \neq j \quad (25)$$

381 
$$v_i \in \{0, 1\}, \forall i \in V \quad (26)$$

382 
$$w_i \in \phi^+, \forall i \in V. \quad (27)$$

383 Objective (17) aims to simultaneously maximize the number of visiting nodes (i.e., location  
 384 of suspicious ships) in area covered by APs and the likelihood of capturing suspicious ships in

385 blank regions. Constraints (18) impose the patrol boat to start at the depot  $s$  and to return to  
386 the depot (i.e., replica  $t$ ). Constraints (19)–(21) ensure the connectivity of the patrol route, and  
387 each selected inspection site can only be visited once. Constraints (19) allow the patrol boat  
388 to visit both the chosen nodes in  $V_0$  and the chosen boundary node in  $\bar{V}$ . Constrains (20)  
389 allow the patrol boat in the interior region  $r$  to visit the neighboring nodes. Constrains (21)  
390 allow the patrol boat at the boundary of region  $r$  to visit the interior neighboring nodes, the  
391 depot, and the other regions. Constraint (22) concerns the patrol boat’s travel distance  
392 limitation. Constraints (23) and (24) eliminate the subtours. Constraints (25)–(27) define the  
393 domains of decision variables.

### 394 **3. Numerical studies**

395 In this section, we perform extensive numerical experiments to demonstrate the applicability  
396 and effectiveness of the proposed model. The experiments are performed based on an  
397 illustrative and a large-scale instance with different input parameters. The experiments are  
398 coded in Python calling Gurobi of version 9.5.2 and implemented on a computer with AMD 8  
399 Cores 2.9 GHz central processing unit and 16GB RAM.

400 Note that in all three scenarios, the overall objective is to inspect as many suspicious ships  
401 as possible. To better illustrate the comparison, the three models are applied to the same task  
402 (i.e., determining the numbers and locations of suspicious ships) and their performance is  
403 compared.

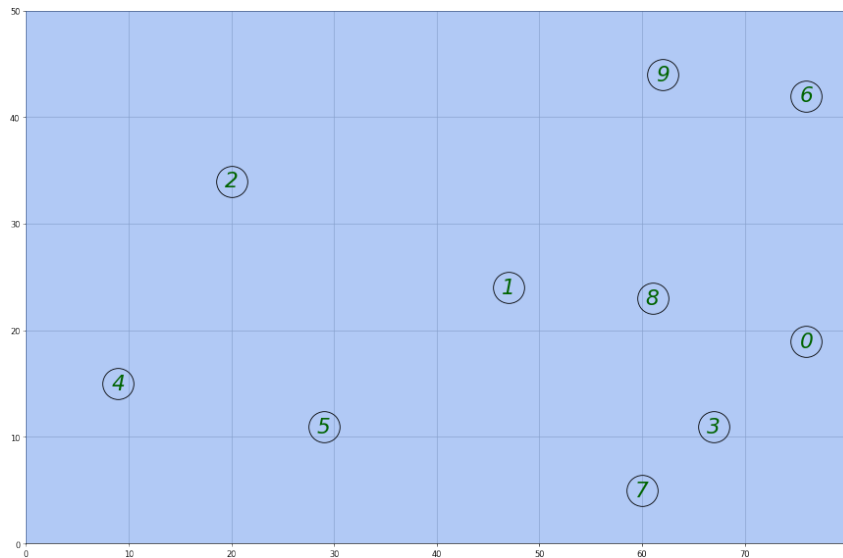
#### 404 **3.1. An illustrative instance**

405 We first examine an illustrative example of a small area to demonstrate the applicability of the  
406 proposed models.

##### 407 **3.1.1. Description of parameters**

408 As shown in Figure 6,  $R$  is an  $80 \times 50$  km<sup>2</sup> region. Suppose that there are 10 suspicious ships  
409 in this region. The spots plotted in Figure 6 represent these suspicious ships. In Scenario 1, the  
410 geographical locations of the ships are unknown. The patrol boat follows the serpentine-style

411 path in performing its monitoring task. The inspection radius is assumed to be 5 km. Both  
 412 sides of the patrol boat are monitored, and thus the inspection scope  $b$  is  $5 \times 2 = 10$  km. In  
 413 Scenario 2, the locations of suspicious ships are identified via AIS-AP as shown in Figure 7  
 414 (a). Therefore, the patrol boat only needs to perform an on-the-spot investigation. Figure 7 (b)  
 415 shows Scenario 3, in which the inspection area is divided into two regions. The light-colored  
 416 region  $R_1$  is covered by the AP, whereas the dark region  $R_2$  is not covered.  $R_2$  is an equilateral  
 417 right triangular region measuring  $1250 \text{ km}^2$ . The patrol speed is 30 knots. The upper limit of  
 418 patrol time is 4 hours and the corresponding upper bound of the patrol distance is 222.24 km.  
 419 To obtain the average number of inspected illegal ships, we conduct several randomized  
 420 experiments and find that the average value is 3. The weighted parameter  $q_2$  is calculated as  
 421  $\frac{10 \times 3}{1250} = 0.024$ .



422  
 423 Figure 6 Scenario 1 in the illustrative instance

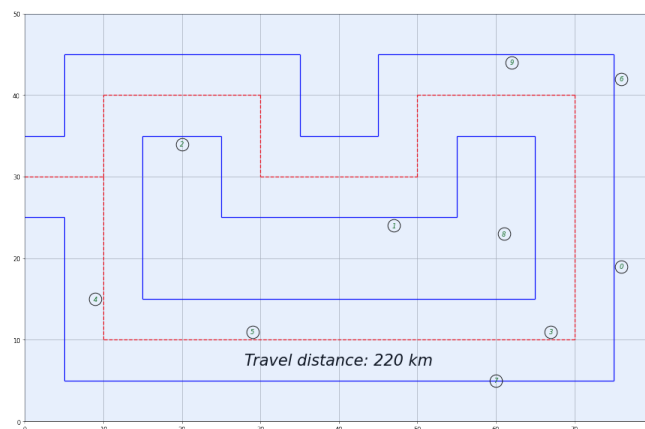


424  
 425 Figure 7 Scenarios 2 and 3 in the illustrative instance

426 **3.1.2. Result analysis**

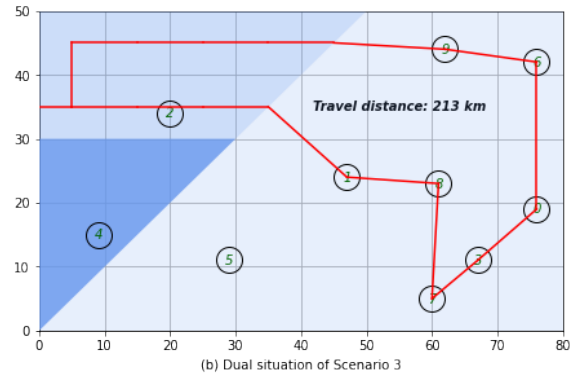
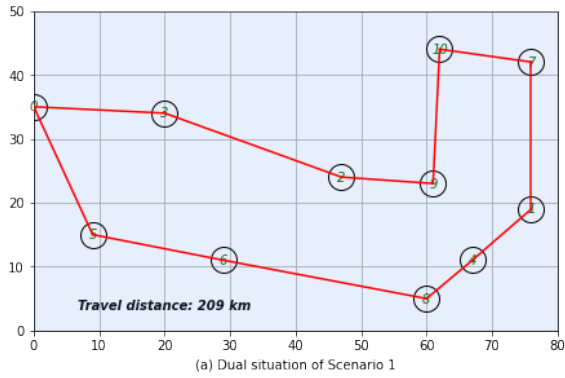
427 We first solve [M1] to obtain the patrol route in Scenario 1. As shown in Figure 8, without the  
428 support of AIS-AP, the patrol boat follows a serpentine style path. The red solid line represents  
429 the patrol trajectory. The blue dotted line depicts the boundary of the inspection belt. The  
430 suspicious ships within this belt are observed and investigated, whereas the ships outside the  
431 belt escape inspection. The corresponding travel distance in this scenario is 220 km, which is  
432 close to the upper bound. However, 50% of the suspicious ships remain undetected, i.e., spots  
433 0, 1, 2, 6, and 8.

434 We then examine Scenario 2. As shown in Figure 9 (a), the specific locations of the  
435 suspicious ships are known and the patrol boat needs only to determine the shortest route to  
436 visit these nodes, i.e., the loop represented by solid red lines. The total distance traveled is 209  
437 km, similar to the distance traveled in Scenario 1. However, in this case, the inspection  
438 efficiency is different. In Scenario 2, all of the suspicious ships can be inspected, whereas in  
439 Scenario 1, only 50% of the ships can be investigated. Scenario 3 is a more general situation  
440 than Scenarios 1 and 2. One part of the region, i.e., Region  $R_2$ , is not covered by the Aps, and  
441 the locations of the suspicious ships in this region are not known. Therefore, we solve [M3] to  
442 obtain the optimal solution. The resulting optimal path is drawn in Figure 9 (b). The total  
443 distance traveled is 213 km. Note that owing to the distance limitation, not all suspicious ships  
444 and regions can be investigated. In this example, two suspicious ships, spots 4 and 5, escape  
445 investigation. In other words, 80% of the suspicious ships can be investigated in Scenario 3.



446  
447

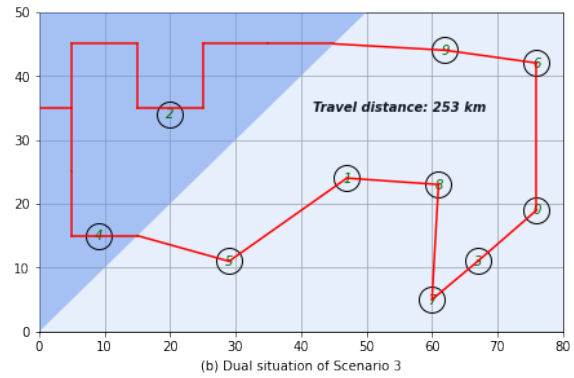
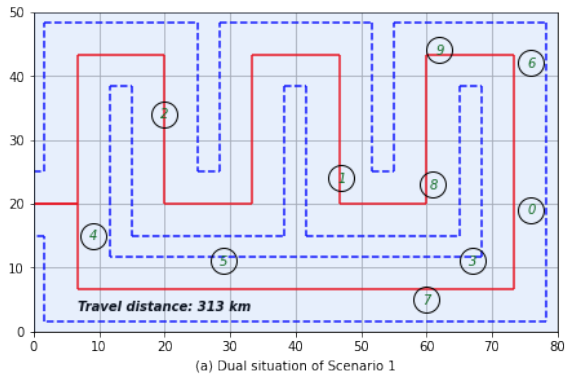
Figure 8 Patrol route in Scenario 1



448

449

Figure 9 Patrol route in Scenarios 2 and 3



450

451

Figure 10 Dual situation of Scenarios 1 and 3

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To further explore this problem, we examine a dual situation in where the objective is to determine the shortest route to inspect all potential nodes. Here, we use a trial-and-error procedure. Specifically, we iteratively increase the travel distance limitation to obtain different patrol routes. We then select the shortest patrol route that is capable of inspecting all potential nodes. The results are shown in Figure 10. If we want to investigate every suspicious ships, the patrol boat needs to travel at least 313 km in Scenario 1 and 253 km in Scenario 3. Without the support of AIS-AP, significant increases in travel distance are required to increase the numbers of suspicious ships investigated. These findings demonstrate that the proposed patrol routing model has the potential to significantly improve patrol efficiency.

### 461 3.2. A large instance

462

463

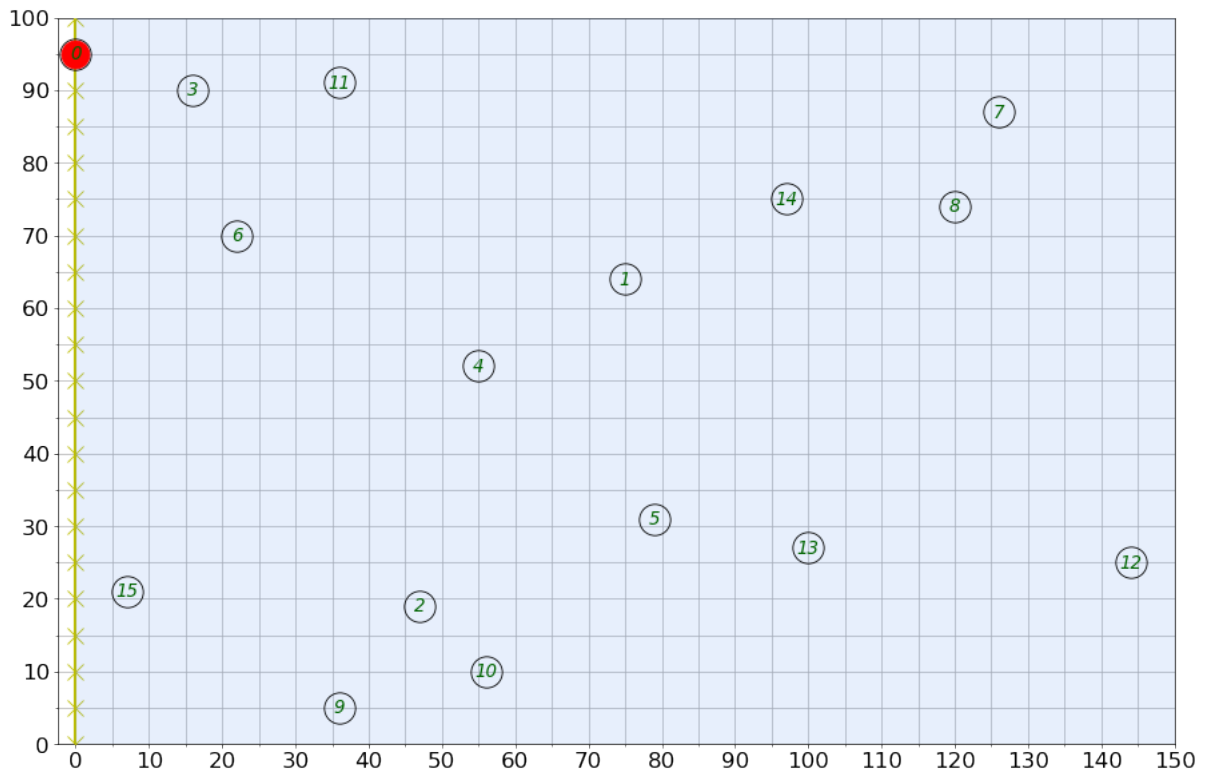
464

In this section, we further examine the effectiveness of the proposed patrolling approach in a large setting. To make our case study more realistic and comprehensive, we searched relevant public websites on maritime crimes, namely <https://spyglass.fish/> and <https://www.icc-ccs.org/>.

465 The first website focuses on fishery-related crimes, and the second website reports piracy-  
466 related crimes. The records of fisheries-related crime, as well as other transnational crimes such  
467 as transshipment crimes and drug and arms smuggling, cover 2000 to 2020. The typical hot  
468 spots for illegal fishing are the Gulf of Guinea, Southeast Asia, and Indonesia. For instance,  
469 370 fisheries-related crimes were reported in the Gulf of Guinea during the study period. The  
470 International Chamber of Commerce also lists the Gulf of Guinea as a hotspot for piracy.  
471 Globally, 135 crew members were kidnapped from their vessels in 2020, with the Gulf of  
472 Guinea accounting for over 95% of the kidnappings (ICC, 2022). In 2020, a record number of  
473 130 crew members were kidnapped in 22 separate incidents (ICC, 2022). Overall, on average,  
474 40 illegal fishing and piracy offenses are reported per year in this area, i.e., approximately 0.1  
475 incidents per day.

476 We use public information to generate our case study scenario. As shown in Figure 11, the  
477 inspection region is a  $150 \times 100$  km<sup>2</sup> area and 15 suspicious ships are detected in this  
478 instance. The yellow vertical line with “x”-type markers represents the coast line, and the red  
479 node represents the patrol boat depot. Given that only a limited number of suspicious ships  
480 enter this area at any given time (because otherwise the authorities would have deployed more  
481 resources and implemented stricter measures to govern the area), we believe that it is  
482 reasonable to assume that 15 suspicious ships are within the study region. Figure 12 shows a  
483 specific situation in Scenario 3 in which four airlines cover the region and divide the area into  
484 several parts. The other parameters remain the same as in the previous cases. The suspicious  
485 ships are randomly distributed within the study area. Because the required travel distance in  
486 Scenario 1 is much longer than the distances in Scenarios 2 and 3, we focus on the latter two  
487 scenarios.

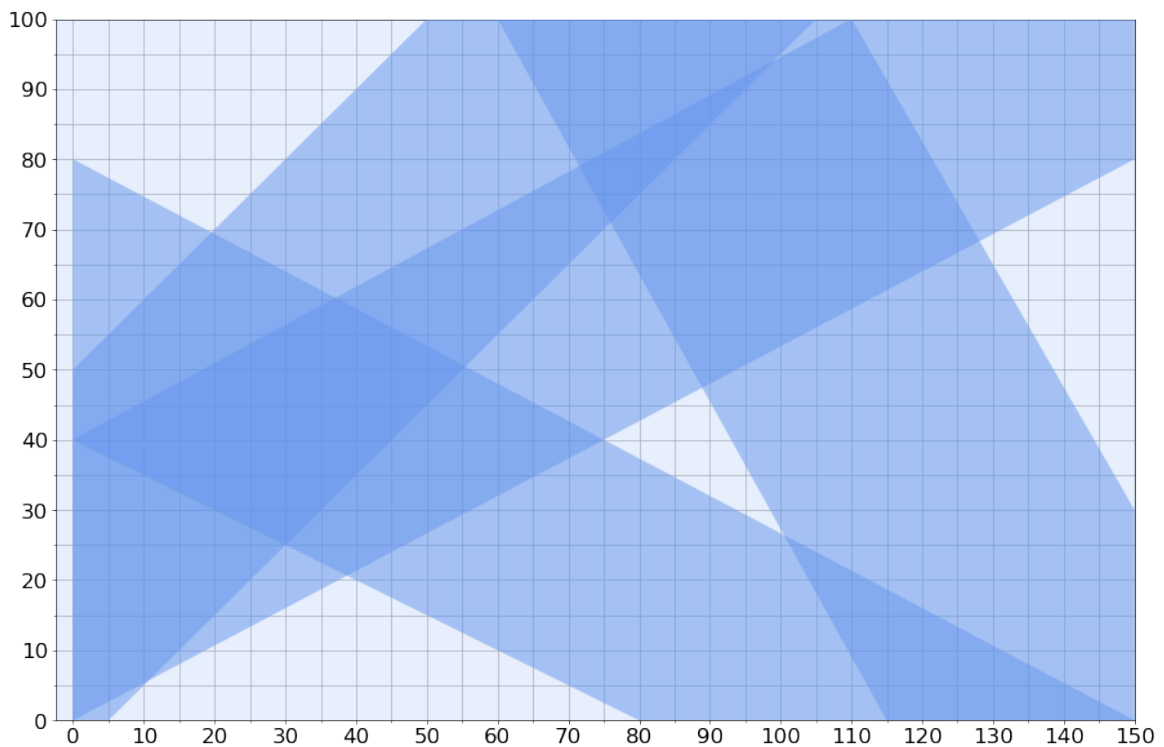
488 We first examine the optimal patrol routes in Scenarios 2 and 3 using different performance  
489 requirements. We then solve [M2] to obtain the optimal patrol routes. Figure 13 shows the  
490 shortest patrol routes in Scenario 2 with different numbers of selected spots (i.e., suspicious  
491 ships). [M2] maximizes the number of visiting nodes.



492

493

Figure 11 The locations of suspicious ships in the large instance



494

495

Figure 12 The area covered by AP in Scenario 3 in the large instance

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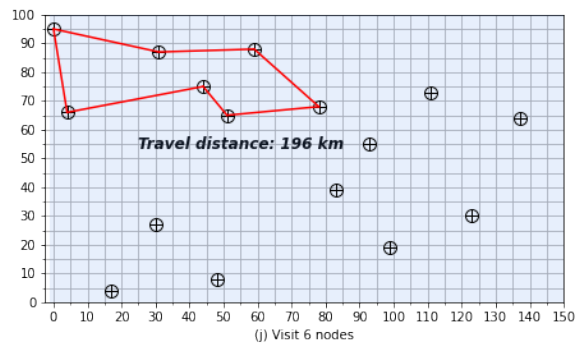
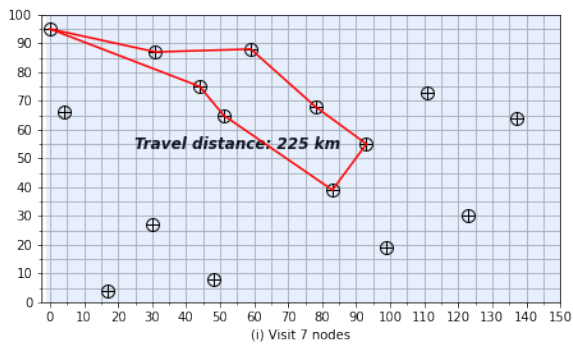
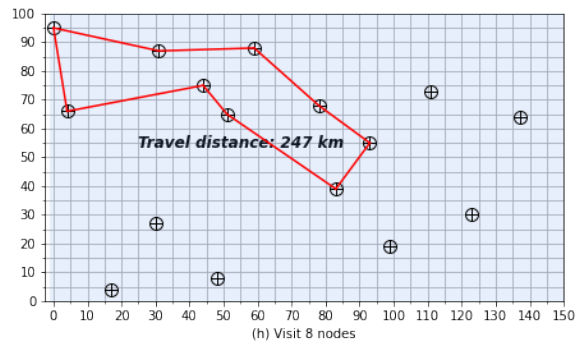
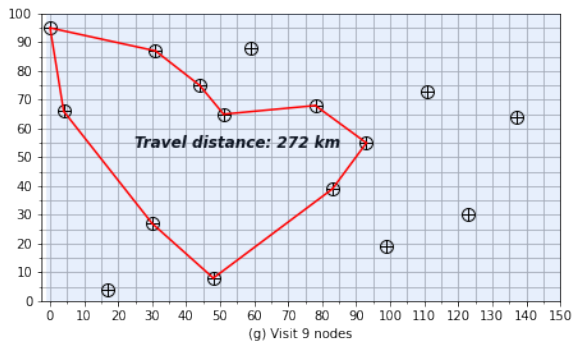
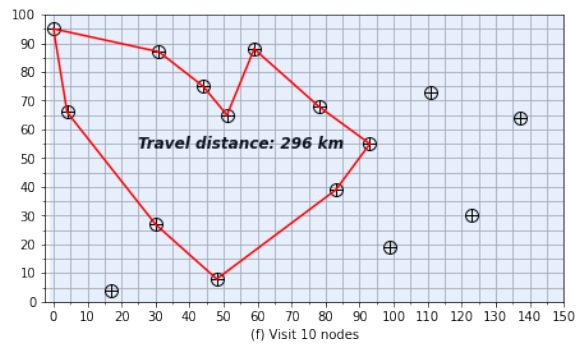
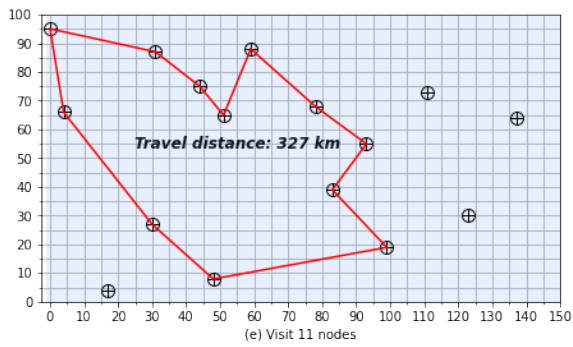
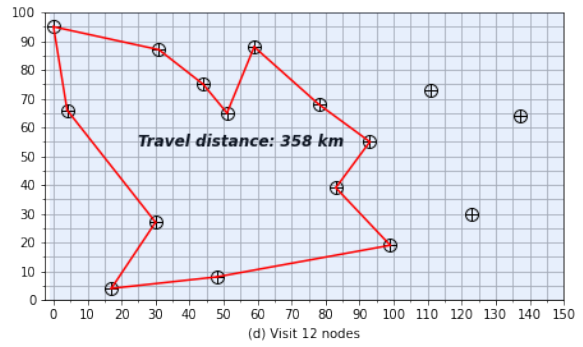
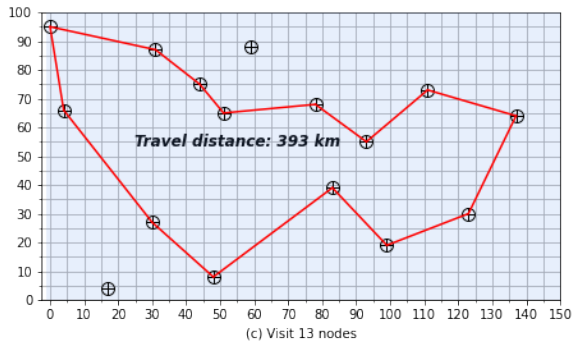
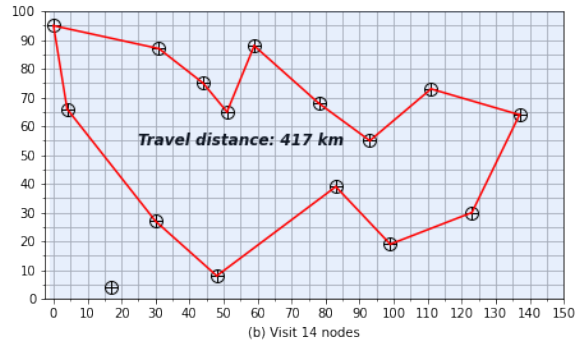
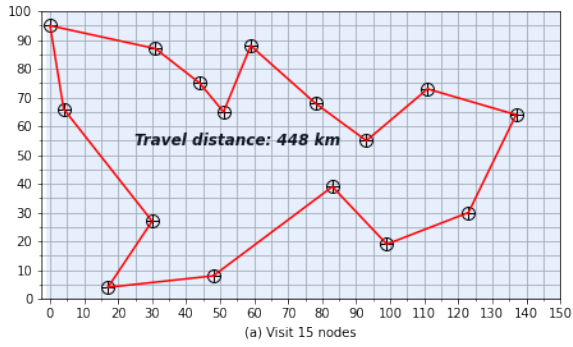
497

Similarly, Figure 14 shows the shortest patrol routes with the different requirements in Scenario 3. In this scenario, the locations of the suspicious ships in the area not covered by APs

498 are unknown and thus the ships may not be detected. In this case, to increase the likelihood of  
499 detecting the suspicious ships, we need to increase the patrol distance. Thus, Scenario 3  
500 requires a much longer patrol distance than in Scenario 2 to visit the same number of suspicious  
501 ships.

502 Figure 15 compares the patrol distances required in Scenarios 2 and 3. The horizontal axis  
503 represents the numbers of nodes, which vary from 7 to 16. The vertical axis represents the  
504 minimal travel distance needed to investigate these nodes. In Scenario 2, the minimal travel  
505 distance is monotonously increasing with the number of nodes. However, in Scenario 3, this  
506 monotonicity is not necessarily held. As shown in Figure 15, the travel distance needed to visit  
507 9 nodes is longer than the travel distance for visiting 10 nodes. Given that the locations of the  
508 suspicious ships are unknown, this phenomenon is not surprising. Another finding is that the  
509 minimal travel distance in Scenario 3 increases faster than in Scenario 2. When visiting 7 nodes,  
510 the difference in distance is 33 km, whereas the difference increases to 160 km when visiting  
511 16 nodes. This phenomenon indicates the importance of AIS-AP.

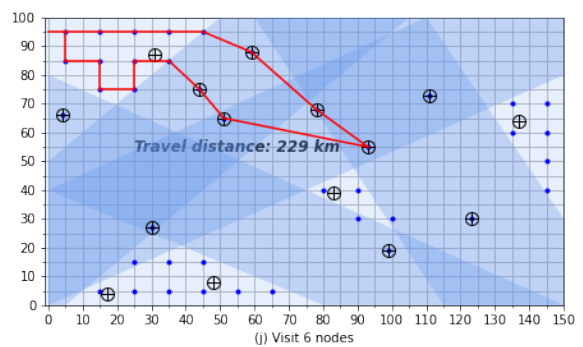
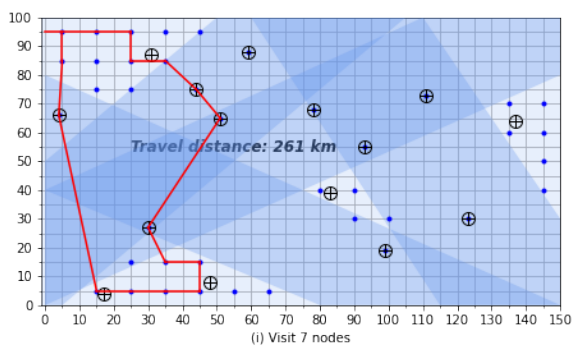
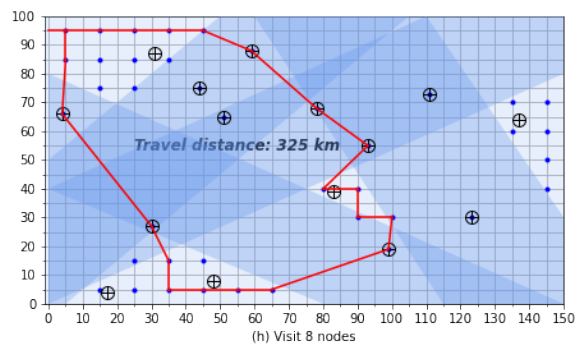
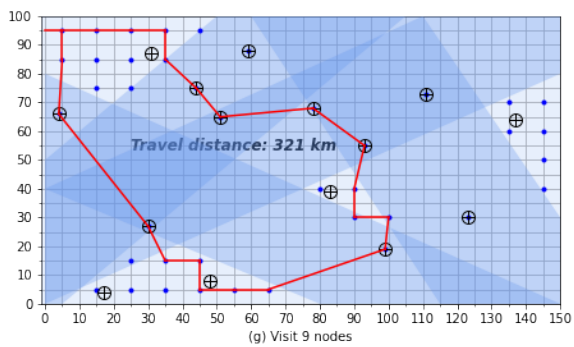
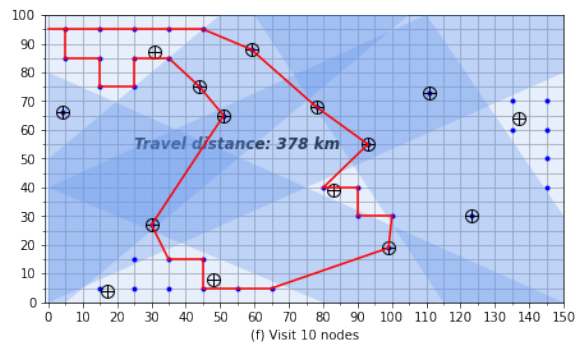
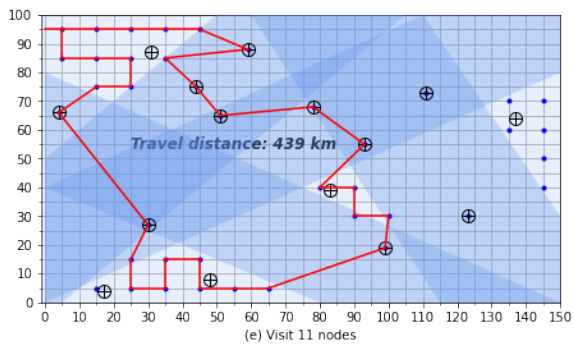
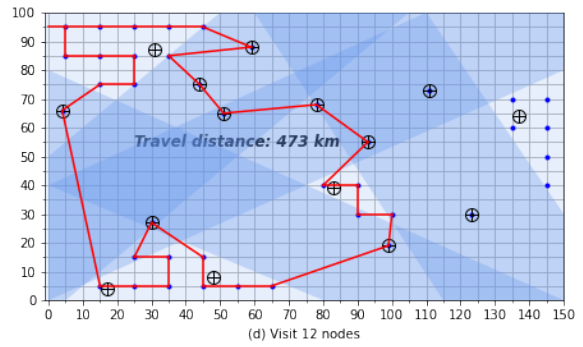
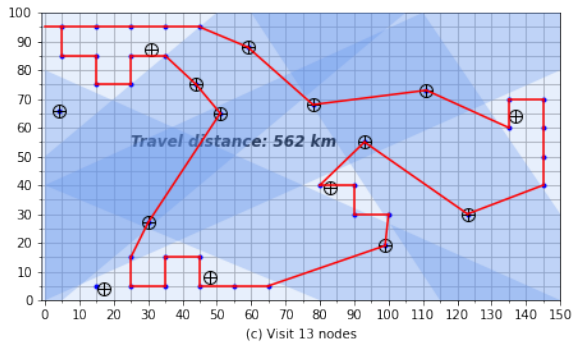
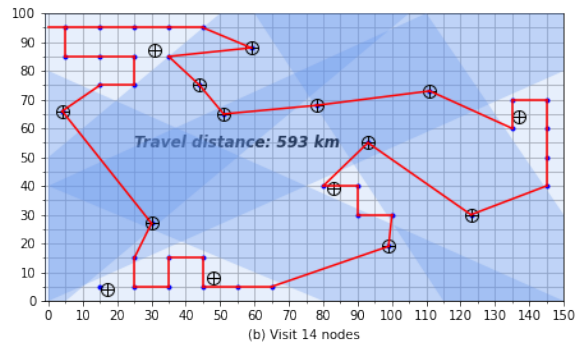
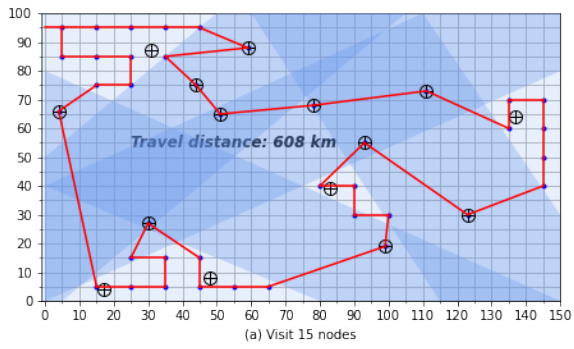
512 To investigate how knowledge of the suspicious ship locations affects the performance,  
513 we generate another three scenarios. Retaining the setting of 15 suspicious ships randomly  
514 distributed in the study area, we add four different travel distance requirements, i.e., 200, 300,  
515 400, and 500 km to Scenarios 2 and 3. The numbers of inspected ships are reported separately  
516 in Table 3 for Scenarios 2 and 3. The column labeled “Gap” shows the difference between the  
517 two scenarios. We can see all of the suspicious ships can be inspected within the travel limit of  
518 500 km in all instances in Scenario 2, while this performance is not achieved in any instance  
519 in Scenario 3. In addition, if the travel distance is not sufficient for the patrol boat to visit all  
520 ships under both scenarios, the gap between Scenarios 2 and 3 tends to monotonically increase  
521 with respect to travel distance. This phenomenon is consistent with the trend shown in Figure  
522 15. These results further demonstrate the value of the AIS-AP information for optimizing the  
523 patrol routes in maritime areas.



524

525

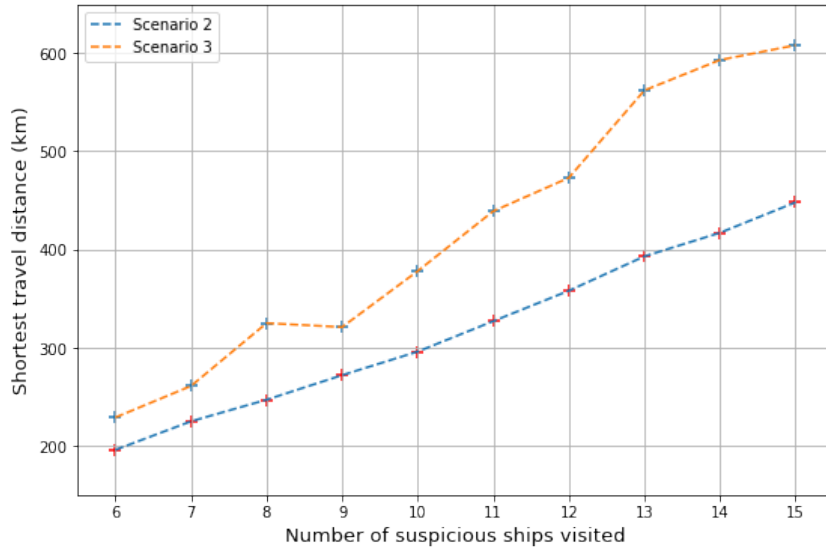
Figure 13 Patrol routes with different numbers of visiting nodes in Scenario 2



526

527

Figure 14 Patrol routes with different numbers of visiting nodes in Scenario 3



528

529

Figure 15 Shortest travel distance to visit a certain number of suspicious ships

530

Table 3 Number of nodes that can be visited under different travel distance constraints

Travel distance (km)	Instance 1			Instance 2			Instance 3		
	Scenario 2	Scenario 3	Gap	Scenario 2	Scenario 3	Gap	Scenario 2	Scenario 3	Gap
200	4	3	1	5	3	2	5	4	1
300	9	5	4	10	6	4	9	7	2
400	13	8	4	13	9	3	13	10	3
500	15	10	5	15	9	6	15	11	4

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### 3.2.1. Sensitivity analysis

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Considering that even in maritime crime hotspots, the available data suggest that only 0.1

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fishery-related and piracy-related offenses are reported on average per day, we believe the

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actual extent of maritime crimes is largely unknown. Therefore, it is necessary to further

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explore Scenario 3 with different numbers and distribution patterns of suspicious ships. We set

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the number of suspicious ships (i.e.,  $|V|$ ) in the inspection area as 8, 10, 12, and 15,

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respectively. These numbers were chosen following consultation with researchers focusing on

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maritime and water security at several institutes for security studies and estimates that they

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provided. Moreover, to better reflect the real-world situation (i.e., crime decreases with

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increased distance from shorelines), we also study the influence of both even and uneven

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distribution patterns. Table 4 provides two specific distribution patterns, in which D1 and D2

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represent the uneven and even distribution patterns, respectively.

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Table 4 Probability distribution of suspicious ships at seas

Distance from shorelines (km)		(0, 50]	(50, 100]	(100, 150]
D1	Probability function	0.6	0.3	0.1
	Cumulative probability function	0.6	0.9	1
D2	Probability function	0.33	0.33	0.33
	Cumulative probability function	0.33	0.66	1

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We then perform 80 experiments to further investigate Scenario 3. The parameters settings are as follows. Given  $|V|$ , we generate 10 random cases and let  $\alpha$  denote the index of a case. The suspicious ships are randomly distributed in the marine conservation areas. The location of suspicious ships is generated based on the distribution functions, i.e., D1 or D2, defined in Table 4. The other parameters are the same as the previous setting. For notational simplicity, we denote a case by  $|V|(\alpha)-D\beta$ , where  $|V|$  denotes the number of suspicious ships,  $\alpha$  denotes the index for the case in a group of cases that share the same  $|V|$ , and  $D\beta$  denotes the distribution pattern defined in Table 4.

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The results for these cases are reported in Table 5. In this table, the performance of the model is reflected by the number of inspected ships, which is reported in the column labeled “No. of inspected ships”. The relative performance is measured by the percentage of inspected ships which is defined as  $100\% \times (|V'|/|V|)$  and reported in the column labeled “Inspection percentage.” In this formula,  $V'$  denotes the set of inspected ships and  $|V'|$  denotes the set of all suspicious ships. The travel distance of each patrol is also derived and reported in the column labeled “Patrol distance.”

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As expected, the patrol efficiency is higher when the suspicious ships are unevenly distributed. This phenomenon becomes more obvious as the number of suspicious ships, i.e.,  $|V|$ , increases. For example, in the group 15-D1, an average of 11.9 ships (i.e., 79% of the ships) are inspected. By comparison, only an average of 9.6 ships (i.e., 64%) are inspected in the group 15-D2. Considering the uneven distribution pattern (i.e., D1) yields a higher distribution density in offshore waters and our proposed model can adaptively select the inspection spots, it is not surprising the uneven distribution pattern achieves better inspection efficiency. However, this phenomenon is not obvious, when  $|V|$  is small. In this study, when  $|V| \leq 10$ ,

567 the difference between D1 and D2 is not obvious, mainly because the density of suspicious  
 568 ships in both distributions is too sparse.

569 We also check the travel distance of each patrol route. The travel distance of all patrol  
 570 routes is equal to or close to the travel distance limitation, which further demonstrates that the  
 571 proposed model can maximize the objective of improving the inspection performance by fully  
 572 utilizing the given travel distance range.

573 **Table 5 Results of instances in Scenario 3.**

Instance	No. of inspected ships	Inspection percentage	Patrol distance	Instance	No. of inspected ships	Inspection percentage	Patrol distance
15(1)-D1	11	73%	496	15(1)-D2	10	67%	499
15(2)-D1	12	80%	499	15(2)-D2	8	53%	500
15(3)-D1	11	73%	497	15(3)-D2	11	73%	499
15(4)-D1	11	73%	497	15(4)-D2	10	67%	499
15(5)-D1	14	93%	499	15(5)-D2	10	67%	499
15(6)-D1	10	67%	499	15(6)-D2	9	60%	499
15(7)-D1	14	93%	499	15(7)-D2	10	67%	498
15(8)-D1	13	87%	496	15(8)-D2	11	73%	497
15(9)-D1	11	73%	499	15(9)-D2	8	53%	499
15(10)-D1	12	80%	499	15(10)-D2	9	60%	499
15-D1-Min	10	67%	496	15-D2-Min	8	53%	497
15-D1-Max	14	93%	499	15-D2-Max	11	73%	500
15-D1-Ave	11.9	79%	498	15-D2-Ave	9.58	64%	498.8
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12(1)-D1	9	75%	495	12(1)-D2	4	33%	500
12(2)-D1	8	67%	497	12(2)-D2	10	83%	499
12(3)-D1	7	58%	500	12(3)-D2	8	67%	499
12(4)-D1	6	50%	500	12(4)-D2	8	67%	500
12(5)-D1	10	83%	498	12(5)-D2	7	58%	498
12(6)-D1	9	75%	500	12(6)-D2	7	58%	496
12(7)-D1	8	67%	500	12(7)-D2	5	42%	498
12(8)-D1	10	83%	497	12(8)-D2	7	58%	499
12(9)-D1	9	75%	498	12(9)-D2	6	50%	498
12(10)-D1	7	58%	499	12(10)-D2	5	42%	497
12-D1-Min	6	50%	495	12-D2-Min	4	33%	496
12-D1-Max	10	83%	500	12-D2-Max	10	83%	500
12-D1-Ave	8.3	69%	498.4	12-D2-Ave	6.7	56%	498.4
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10(1)-D1	7	70%	500	10(1)-D2	6	60%	500

10(2)-D1	8	80%	499	10(2)-D2	7	70%	497
10(3)-D1	8	80%	499	10(3)-D2	9	90%	498
10(4)-D1	5	50%	495	10(4)-D2	6	60%	499
10(5)-D1	6	60%	496	10(5)-D2	7	70%	497
10(6)-D1	9	90%	500	10(6)-D2	7	70%	499
10(7)-D1	8	80%	499	10(7)-D2	8	80%	500
10(8)-D1	7	70%	499	10(8)-D2	5	50%	495
10(9)-D1	9	90%	500	10(9)-D2	6	60%	499
10(10)-D1	6	60%	498	10(10)-D2	7	70%	496
10-D1-Min	5	50%	495	10-D2-Min	5	50%	495
10-D1-Max	9	90%	500	10-D2-Max	9	90%	500
10-D1-Ave	7.3	73%	498.5	10-D2-Ave	6.8	68%	498
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8(1)-D1	5	63%	498	8(1)-D2	6	75%	499
8(2)-D1	7	88%	500	8(2)-D2	7	88%	499
8(3)-D1	7	88%	495	8(3)-D2	7	88%	497
8(4)-D1	5	63%	497	8(4)-D2	6	75%	498
8(5)-D1	4	50%	499	8(5)-D2	5	63%	499
8(6)-D1	6	75%	500	8(6)-D2	7	88%	500
8(7)-D1	7	88%	499	8(7)-D2	6	75%	499
8(8)-D1	7	88%	497	8(8)-D2	5	63%	498
8(9)-D1	5	63%	499	8(9)-D2	5	63%	495
8(10)-D1	7	88%	500	8(10)-D2	7	88%	499
8-D1-Min	4	50%	495	8-D2-Min	5	63%	495
8-D1-Max	7	88%	500	8-D2-Max	7	88%	500
8-D1-Ave	6	75%	498.4	8-D2-Ave	6.1	76%	498.3

#### 574 4. Conclusions

575 Patrol is of great importance to fight maritime crimes, improve maritime security, and protect  
576 the maritime ecosystem and industry. We study a patrol routing problem to maximize the  
577 efficiency of maritime patrolling and prevent maritime crimes, i.e., detect and inspect as many  
578 suspicious ships as possible, by appropriately planning a patrol route. We are the first to study  
579 the benefit of using a novel suspicious ship sensing approach, i.e., the joint application of AP  
580 and AIS, in maritime patrolling. To this end, we consider three scenarios for this problem based  
581 on the availability of AIS-AP support. We build tailored model for each scenario. Numerical  
582 experiments are conducted, and the results demonstrate that the proposed patrol routes using  
583 AIS and AP (in Scenarios 2 and 3) greatly enhance the efficiency of maritime patrolling.

584 Our study is of significant value to maritime affairs authorities, especially in areas with a  
585 high risk of maritime crimes. Our approach can enable maritime authorities to create  
586 appropriate route plans for their patrol boats, including choosing the regions or locations to  
587 visit, creating visit sequences, defining the scope of the visits, and determining the required  
588 travel distances. Maritime authorities can also select the optimal patrol routes based on the  
589 different travel distances and work hour requirements. In other words, they can plan the best  
590 patrol routes for different scenarios and performance requirements. The proposed patrol route  
591 planning method can increase the efficiency and reduce the consumption of resources (e.g., the  
592 workload of inspectors and the number of patrol boats) on maritime patrol missions. **For further  
593 study, multiple-ship patrols should be considered for the maritime patrol routing problem,  
594 which has been demonstrated to be NP-Hard. Special attention should be paid to the complexity  
595 of the solution algorithm. An efficient algorithm should also be developed to resolve the  
596 maritime patrol routing problem for real-sized cases.**

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