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# Atomically Unveiling an Atlas of Polymorphisms in Transition Metal Trihalides

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- 15 Abstract:

16 Transition metal trihalides MX<sub>3</sub> (M=Cr, Ru; X=Cl, Br, and I) belong to a family of novel 2D 17 magnets that can exhibit topological magnons and electromagnetic properties, thus affording great promises in next-generation spintronic devices. Rich magnetic ground states observed 18 19 in the MX<sub>3</sub> family are believed to be strongly correlated to the signature Kagome lattice and interlayer van der Waals (vdW) coupling raised from distinct interlayer stacking orders. 20 21 However, the intrinsic air instability of the MX<sub>3</sub> family makes their direct atomic-scale 22 analysis challenging. Therefore, information on the stacking registry-dependent-magnetism for various MX<sub>3</sub> remains elusive, which greatly hinders the engineering of the desired phase. 23 Here, we report a completely non-destructive transfer method, and successfully realize a one-24

step intact transfer of bilayer  $MX_3$  films, as evidenced by scanning transmission electron 25 microscopy (STEM). After surveying hundreds of MX<sub>3</sub> thin flakes, we provide a full 26 spectrum of stacking orders in the MX<sub>3</sub> family with atomic precision and calculated their 27 associated magnetic ground states, unveiled by combined STEM and density functional 28 theory (DFT). In addition to well-documented phases, we discover a new monoclinic C2/c 29 30 phase widely existing in most MX<sub>3</sub>. Besides, a high density of strain soliton boundaries is consistently found in all MX<sub>3</sub>, allowing FM-rhombohedral to AFM-monoclinic stacking 31 transitions in CrI<sub>3</sub>. Therefore, our study sheds light on the the structural basis of the diverse 32 magnetic orders in MX<sub>3</sub>, paving the way for modulating magnetic couplings via stacking 33 engineering. 34

# 35 INTRODUCTION

Since the discovery of intrinsic long-range magnetic order in atomically-thin van der Waals (vdW) crystals, two-dimensional (2D) vdW magnetic materials have attracted significant attention for their exceptional performance in magnetic spintronic and magnetoelectric devices.<sup>1–5</sup> Notably,  $MX_3$  (M = Cr, Ru; X=Cl, Br, and I) is a crucial member of 2D magnets with intriguingly tunable magnetic properties,<sup>6</sup> such as large tunneling magnetoresistance,<sup>7,8</sup> spin liquid,<sup>9,10</sup> topological magnons,<sup>11,12</sup> electron-phonon coupling,<sup>13</sup> which have great prospects in spin-filter magnetic tunnel junction,<sup>14,15</sup> non-volatile memory,<sup>16</sup> spin valve,<sup>17</sup> spintronic and magnonic applications.<sup>18</sup>

Intrinsically rich magnetic orders inherited in the MX<sub>3</sub> family can be further precisely modulated by electric gating,<sup>19</sup> pressure,<sup>20</sup> electrostatic doping,<sup>21</sup> stacking registry,<sup>22</sup> etc. The essence of triggering such magnetic order modulation is to change the interlayer vdW coupling.<sup>19–22</sup> In addition to introducing an external force to control the vdW coupling, a more natural and generic

way is to create different stacking arrangements via interlayer sliding or rotating. For example, 47 there are two representative phases in CrI<sub>3</sub>, *i.e.*, antiferromagnetic (AFM) monoclinic (space group 48 C2/m) stacking and ferromagnetic (FM) rhombohedral (space group  $R\overline{3}$ ) stacking registries.<sup>23</sup> 49 Different FM and AFM magnetic orders have been observed in two phases due to unique M-X-X-50 M superexchange interaction and spin-lattice coupling stemming from distinct interlayer stacking 51 orders.<sup>23–25</sup> Taking bilayer CrBr<sub>3</sub> as an example, the interlayer magnetic coupling can be either FM 52 or AFM depending on the stacking order controlled by the interlayer twist angle and in-plane 53 translation.<sup>22</sup> Therefore, stacking engineering has been demonstrated as a promising approach to 54 tuning the magnetic properties of MX<sub>3</sub>. 55

Magnetic ground states induced by interlayer gliding have been a core focus of DFT calculations 56 in MX<sub>3</sub>,<sup>26,27</sup> which is in good agreement with most experimental observations so far.<sup>28</sup> However, 57 less attention has been paid to how the stacking order affect magnetic properties in 2D few-layer 58 MX<sub>3</sub>. The lack of knowledge on the various polymorphs and polytypes of MX<sub>3</sub> stems partly from 59 the poor stability of MX<sub>3</sub>, where rapid sample degradation in air precludes microscopic 60 characterization.<sup>29</sup> Despite the absence of phase transition occurring in atomically-thin CrI<sub>3</sub> as 61 verified by electron microscopy,<sup>30</sup> intiguing AFM-to-FM magnetic orders have been widely 62 observed.<sup>2,20,31</sup> The origin of the different (AFM/FM) magnetic ground states of CrI<sub>3</sub> thin films 63 remains elusive. Given a rich interplay between stacking order and interlayer exchange,<sup>26,32,33</sup>, the 64 atomic-scale stacking features, and associated magnetic profiles are interesting subjects to be 65 investigated in few-layer MX3 crystals. 66

The layered vdW materials, MX<sub>3</sub> are a three-atom-thick sandwich-like structure analogous to 1Tphase MoS<sub>2</sub>. The key difference from 1T-MoS<sub>2</sub> is that the sandwiched *M* metal layer only occupies

octahedral vacancies with 66.7% occupancies created by two layers of X planes, exhibiting orderly 69  $\sqrt{3}a \times \sqrt{3}a$  periodic metal vacancies. The structure is endowed with rich stacking polytype 70 chemistry which differs in stacking arrangements of neighboring MX<sub>3</sub> slabs along the 71 crystallographic c axis. Bulk chromium trihalides CrX<sub>3</sub> (X=Cl, Br, and I) are suggested to undergo 72 temperature-dependent crystallographic phase transitions, from high-temperature monoclinic 73 C2/m stacking to low-temperature rhombohedral  $R\overline{3}$  phase with neglectable (significant) change 74 in the intralayer (interlayer) structure  $^{23,34-36}$  as verified by X-Ray diffraction and the theory  $^{23}$ . The 75 phase transition takes place near 240 K, 420 K, and 210 K in bulk CrX<sub>3</sub> (X=Cl, Br, and I), 76 respectively,<sup>36</sup> which was not observed in few-layer CrX<sub>3</sub>.<sup>30,37</sup> The layered RuCl<sub>3</sub> compounds have 77 been reported to adopt the monoclinic C2/m phase<sup>38</sup> at low temperatures with a zigzag AFM 78 structure and exhibit the trigonal P3<sub>1</sub>12 phase at high temperatures.<sup>39</sup> Given the weak interlayer 79 vdW coupling,<sup>2</sup> there is a tendency to form stacking faults and metastable polytypes, owing to 80 their very similar formation energies with the normal phase.<sup>10,40</sup> Other than the most commonly 81 observed C2/m and  $R\bar{3}$  phases, other interesting stacking polytypes with intriguing physical 82 properties remain to be explored. In addition, most of the recently reported MX<sub>3</sub> suffer from a 83 fundamental obstacle, *i.e.*, instability under air conditions, which severely hinders the structural 84 characterization of MX<sub>3</sub> with atomic precision.<sup>41</sup> 85

Here, we employed a completely dry transfer method to transfer atomically-thin MX<sub>3</sub> crystals (Figure S1) for atomic-scale observations inside the glove box. Using atomic-resolution annular dark field-scanning transmission electron microscopy (ADF-STEM) and image simulation, an atlas of stacking polytypes, including the newly discovered C2/c phase, have been atomically identified, and their associated magnetic orders are further predicted by DFT calculations. The C2/c monoclinic stacking has similar formation energy with C2/m in the few-layer CrI<sub>3</sub> and co92 exist, whereas P3<sub>1</sub>12 and R $\overline{3}$  phases are less common. Analogous to the C2/m phase, the C2/c 93 polytypes reveal an AFM structure. On the other hand, P3<sub>1</sub>12, and R $\overline{3}$  phases illustrate distinct 94 magnetic couplings in different MX<sub>3</sub>. After counting over few hundreds crystals, we found that 95 four different MX<sub>3</sub> exhibit unique stacking polytypes distributions, paving an alternative way to 96 design spintronic devices with desirable magnetic properties by switching the stacking structures 97 in the same vdW 2D magnet.

#### 98 **RESULTS AND DISCUSSIONS**

The dry-stamp transfer method was employed to produce atomically thin and clean 2D flakes 99 suitable for atomic-resolution STEM imaging,<sup>42</sup> especially for the MX<sub>3</sub> crystals sensitive to air, 100 light, and/or moisture. As illustrated in Figure 1a, the mechanically exfoliated thick MX<sub>3</sub> flakes 101 prepared in an inert argon glovebox were further exfoliated by polydimethylsiloxane (PDMS), and 102 then dry-transferred onto a targeted Cu Quantifoil grid after removing PDMS by mild heating, 103 leaving few-layer flakes suspended over the holes of the support STEM grid. Figure 1b shows the 104 atomic-scaled STEM images of successfully transferred CrBr<sub>3</sub>, where the local thickness is down 105 to bilayers, as corroborated by the simulated image. This dry transfer method also applies to the 106 air-sensitive CrI<sub>3</sub>. It can be seen that the atomic structure of the CrI<sub>3</sub> flake remains intact and 107 108 homogeneous even along the edge region without substantial degradation (Figure 1c). Interestingly, the atomically sharp edge is highly faceted, preferring to terminate along the  $\{33\overline{1}\}$  planes of the 109 110 crystal (Figure 1c). In 2D layered MX<sub>3</sub>, the *M* ions in each layer are arranged in a honeycomb network with distorted edge-sharing octahedral coordination by six X ions (Figure 1d). On the 111 basis of the atomic structure of monolayer MX<sub>3</sub> (point group,  $D_{3d}$ ), there are nine possible 112 interlayer stacking sites per unit cell if only staggered or eclipsed configurations were considered, 113

*i.e.*, labeled by A, B, C, D, E, F, G, H, and I (Figure 1d, right panel), so that the M in the top layer could be directly stacked over the center of a hole (A), two Cr (B, F) or six X (C, D, E, G, H, I) positions in the bottom layer. The basis vectors a and b (purple lines) are along the zigzag directions, whereas the armchair directions are marked by cyan lines in the monolayer framework (Figure 1d). Beyond monolayer, the stacking phases of layered MX<sub>3</sub> involve interlayer gliding to change their relative positions upon stacking sequences (Figure 1e), *e.g.*, AB (zigzag direction [010]) or FB (armchair direction [210]).

To unravel the atomic structure of stacking polytypes in few-layered MX<sub>3</sub> crystals, an aberration-121 corrected ADF-STEM was employed to characterize the as-transferred atomically-thin MX<sub>3</sub> 122 samples. Representative atomic-resolution ADF-STEM images of  $CrI_3$  taken along the c axis at 123 room temperature are illustrated in Figure 2e-2h. The intensity of an atomic column in ADF-STEM 124 images is roughly proportional to  $\sim Z^{1.6-1.9}$ , where Z denotes the atomic number.<sup>43,44</sup> The periodic 125 intensity variations in these STEM images indicate different ordering sequences. Therefore, based 126 on different projected 2D intensity maps shown in ADF-STEM images (Figure 2e-2h), the crystal 127 128 structures of atomically-thin CrI<sub>3</sub> can be unambiguously classified into four distinct stacking types: (1) the C2/m monoclinic stacking; (2) the C2/c monoclinic stacking; (3) the P3112 trigonal stacking; 129 and (4) the  $R\overline{3}$  rhombohedral phase. The layer arrangements of the Cr framework for the four 130 phases with one period are shown in Figure 2a-2d. The atomic-level consistency between the 131 experimental image and its simulation (Figure 2e-2h) derived from the DFT optimized structural 132 models of CrI<sub>3</sub> unambiguously demonstrates that the ADF-STEM is reliable for directly 133 differentiating the polytypes and local topological defects in 2D materials. 134

# 135 Atomic-level phase identifications

As reported by McGuire,<sup>23</sup> there are two representative phases in bulk CrI<sub>3</sub>, *i.e.*, rhombohedral ( $R\bar{3}$ ) 136 and monoclinic (C2/m) phases. The C2/m monoclinic (ABC) stacking can be uniquely identified 137 by the top-view atomic-resolution images revealing a signature stripy pattern in which each layer 138 glides sequentially along the zigzag direction by 1/3a fractional unit cell (Figure 2b). The well-139 defined striped topology can be directly visualized by STEM images exhibiting a triple-striped 140 structure. The lattice arrangements of the C2/c phase (Figure 2e<sup>ii</sup>) display a triple-stripe in which 141 the center line has one bright line, and the other two lines are relatively weaker. The situation, 142 however, is different in the C2/m structure (Figure 2f<sup>ii</sup>), whereas every third line substantially 143 darker than the other two (Figure  $2f^{ii}$ ).<sup>29,45</sup> It is worth noting that in the C/2m phase the darker line 144 contains only I2-hollow atomic columns and two bright lines are composed of mixed Cr-I2 (Figure 145 S2). The intensity variation and periodic ordering can be seen clearly in the intensity line profiles 146 (Figure 2e<sup>iii</sup>, 2f<sup>iii</sup>). These contrast variations can be well explained by the stacking differences 147 between the two phases. Notably, the striped patterns can be observed in almost all CrI<sub>3</sub> few-layers 148 149 in this study (Figure 2k), indicating that it is the energetically preferred phase, which is consistent with our calculations in later section. To the best of our knowledge, the specific structural 150 151 periodicity of the the monoclinic C2/c phase in CrI<sub>3</sub> has not been observed in either exfoliated or chemically produced MX<sub>3</sub> materials before. 152

To precisely distinguish the stacking difference between these two monoclinic phases, we statistically analyzed the intensity of all atom sites in STEM images (see Figure S3). The corresponding histograms of the intensity distribution of two monoclinic structures are shown in Figure 2e<sup>iv</sup> and 2f<sup>iv</sup>, respectively. Notably, the intensity distribution is divided into three peaks in C2/m (Figure 2f<sup>iv</sup>), whereas there are only two significant peaks in C2/c stacking (Figure 2e<sup>iv</sup>). Based on the Z-contrast ADF-STEM image, we can deduce that the striped structure shown in

Figure 2e exhibits a C2/c monoclinic stacking, confirmed by the simulated image (Figure 2e<sup>ii</sup>, 159 bottom panel). The C2/c three-step staircase pattern contains six layers in one unit cell, which can 160 be decomposed into the zigzag stacks of -A-D-B-E-C-F- as illustrated in the atomic model (Figure 161 2a). The regular intensity feature (Figure 2e<sup>iv</sup>) suggests that the stacking sequence of C2/c is 162 definite, otherwise that will result in irregular image contrast since the layer number is changeable. 163 The stacking of -D-, -E-, -F- are similar to the -A-, -B-, -C-, but they glide relatively along the 164 other degenerate zigzag direction by 1/3a fractional unit cell (Figure 2a). The stripe contrast is 165 caused by the alignment of Cr-I<sub>2</sub> positioned on the Cr-I<sub>2</sub> (bright stripes) or I<sub>2</sub>-hollow (dark stripes) 166 sites in adjacent layers, as indicated by {Cr<sub>2</sub>I<sub>4</sub>} and {CrI<sub>4</sub>}, respectively. The frequency of bright 167 spots caused by additional Cr atoms is statistically half the frequency of dark spots in the lattice, 168 indexing the stoichiometric ratio of CrI<sub>3</sub>. Besides, the simulated intensity line profiles (Figure 2e<sup>iii</sup>) 169 agree well with the experimental results, reconfirming the C2/c stacking sequence. 170

In addition, a structural distortion induced by the periodic in-plane Cr-I bond contraction and 171 elongation from ideal monoclinic symmetry (i.e., undistorted CrI6 octahedron) was observed in 172 multilayer C2/c and C2/m crystals (Figure 2e<sup>ii</sup>, 2f<sup>ii</sup>). Two bright CrI<sub>2</sub> mixed lines keep away from 173 the dark I<sub>2</sub> line (2.2 Å) in C2/m, while the columns of {CrI<sub>4</sub>} dark lines move towards bright {Cr<sub>2</sub>I<sub>4</sub>} 174 columns lines (1.9 Å) in C2/c. The resulting deviation of the Cr-I bonds leads to a distorted 175 176 octahedral symmetry, which was further corroborated by the DFT-optimized structure, and is robust against the stacking registry and interlayer coupling ((Figure S4, S5). Furthermore, the 177 observation of the monoclinic phases with lattice distortion suggests a real-space atomic-level 178 evidence for distorted octahedra in MX<sub>3</sub>, which is vital to the resultant magnetic ground state as it 179 is highly sensitive to the local geometry.<sup>39,46</sup> 180

Besides monoclinic phases, we also found the  $R\overline{3}$  (Figure 2d, *BFG*) rhombohedral stacking (Figure 181 2h<sup>i</sup>), commonly denoted as low temperature phase, and it only exists as a tiny fraction (~5%) after 182 counting over hundred atomically-thin CrI<sub>3</sub> flakes (Figure 2k). As shown in Figure 2h<sup>ii</sup>, the atom 183 arrangements of  $R\overline{3}$  phase illustrates a signature hexagon-shaped periodic bright spots with one 184 185 dark spot in the middle of a hexagon, confirmed by the consistency with the simulated image (Figure  $2h^{ii}$ , bottom panel). The low population of the R $\overline{3}$  phase suggests that it is not energetically 186 favorable at room temperature. Interestingly, an unusual periodic structure, different from the 187 above-mentioned rhombohedral and monoclinic stackings, can be observed in few-layer CrI3 188 (Figure 2g<sup>i</sup>). The periodic structure (Figure 2g<sup>ii</sup>) consists of a repetition of one unit cell containing 189 190 six bright spots (marked by a white dashed line) and three less bright spots (marked by a yellow dashed line), similar to the observed P3112 phase in  $\alpha$ -RuCl<sub>3</sub> thin film.<sup>39</sup> Besides, the simulated 191 image (Figure 2g<sup>ii</sup>, bottom panel) from the P3<sub>1</sub>12 atomic model agrees well with the experimental 192 193 results, confirming the P3<sub>1</sub>12 (Figure 2c, *ABD*) stacking sequence. As expected, the bright spots are assigned to Cr-I<sub>2</sub> atoms columns, while the dark one is I<sub>2</sub>-hollow atomic columns, producing a 194 specific repeating pattern in  $P3_112$  trigonal symmetry. In addition, a relative displacement (7.2%) 195 marked by white arrows was observed in the positions of iodine columns, consistent with previous 196 reports in α-RuCl<sub>3</sub>.<sup>39</sup> The energy-dispersive X-ray spectroscopy (EDS) and electron energy loss 197 spectroscopy (EELS) verified that the as-exfoliated atomically-thin CrI<sub>3</sub> flakes were purely 198 composed of Cr and I, with the chemical stoichiometry agreed very well with MX<sub>3</sub> (Figure 2e<sup>i</sup> and 199  $2f^{i}$ ). 200

Indeed, the C2/m, C2/c, P3<sub>1</sub>12, and R $\overline{3}$  phases are unique stacking polytypes of CrI<sub>3</sub> arising from distinct interlayer sliding. In C2/m, C2/c, and P3<sub>1</sub>12 stacking registries, one unit layer is collectively translated by 1/3*a* along the zigzag directions relative to its neighboring layers. On the

other hand, adjacent two layers slide relatively for  $\frac{1}{\sqrt{3}}a$  along the armchair direction in the R $\overline{3}$ 204 phase. Across from the edge few-layer regions to the interior thick domains, the 2D CrI<sub>3</sub> flakes 205 may be subject to interlayer gliding to modify its stacking sequence (Figure S1).<sup>45</sup> Collectively, 206 after surveying hundreds of few-layer crystals, we confirm that the C2/c (~46%) and C2/m (~41%) 207 have much higher frequencies in the few-layer CrI<sub>3</sub> system as shown in the statistical counting 208 (Figure 2k), whereas other stacking polytypes including P3<sub>1</sub>12 and R $\overline{3}$  only account for 13%. 209 Therefore, the magnetic properties of few-layer CrI<sub>3</sub> are predominantly determined by C2/c and 210 C2/m phases. 211

## 212 Magnetic properties of various stacking polytypes

To investigate the stacking order-dependent magnetic properties, we carried out first-principles 213 DFT calculations to predict all available magnetic ground states in four different stacking registries. 214 215 Our results show that the magnetic order of the C2/m, C2/c, and P3112 structures is consistently AFM, while that of  $R\overline{3}$  is FM (Figure 3b). These results are in good agreement with the previously 216 reported results, that is, sliding along the zigzag direction tends to interlayer AFM coupling, while 217 translating along the armchair direction favors FM coupling.<sup>47</sup> Specifically, we focused on 218 monoclinic stackings of C2/c and C2/m, since the monoclinic stackings are ubiquitous in as-219 exfoliated few-layer CrI<sub>3</sub>, and the rhombohedral stacking is rare (Figure 2k). The relative total 220 energy difference between the magnetic configurations in C2/c and C2/m stackings, with respect 221 to the C2/c ground state, is illustrated in Figure 3a. The magnetization directions are indicated by 222 the black arrows. We found that the C2/c phase with AFM magnetic configuration (3 up and 3 223 down) is at the energy minimum (Figure S6), consistent with the experimentally observed C2/c 224 structures with the largest proportion. Since STEM imaging is projecting a 3D crystal into a 2D 225

plane with limited resolution perpendicular to the zone axis, we do not know the exact intralayer 226 stacking order of a single layer MX<sub>3</sub>, e.g. either A-abc or its reversal A'-cba stacking sequence 227 (Figure S7). The uncertainty of such stacking order is a common phenomenon in 2D materials, 228 and their consequence on interlayer magnetic interactions have not been elucidated.<sup>22,48</sup> To analyze 229 the consequences of such a scenario, we constructed C2/m and C2/c inversion polytypes with 230 inverse intralayer stacking of two iodine atomic planes at the second, fourth and sixth layers and 231 investigated their magnetic configurations, as depicted in Figure 3b, 3c, and S8. The energy 232 233 difference between inversion structures and the C2/c ground state is relatively small about 0.014 meV per formula unit (f.u.). Surprisingly, the inversion structures tend to be FM interlayer 234 coupling, consistent with the calculation in bilayer CrI<sub>3</sub>.<sup>48</sup> Besides, inversion types combined with 235 interlayer gliding induced  $R\overline{3}$  and other stacking polytypes along the armchair direction, indicate 236 a strong FM coupling in CrI<sub>3</sub> thin film as observed experimentally, which are potential origins for 237 238 the mysterious FM order observed in bulk CrI<sub>3</sub> crystals.

We now elucidate the stacking-dependent electronic structures of the C2/m and C2/c phases 239 (Figure 3d, and S9). We note that band structures and partial density of states (PDOS) distribution 240 241 of C2/m and C2/c are similar, reflecting their nearly equivalent stacking modes gliding 1/3a along zigzag directions if ignoring the changes of iodine atoms. For each layer, the local magnetic 242 moment is attributed to the half-occupied  $t_{2g}$  orbital. However, the adjacent layers alternatively 243 244 occupy the spin majority and spin minority  $t_{2g}$  orbital, resulting in the disappearance of the net magnetic moment. The band structures and PDOS for inversion C2/m and C2/c are plotted in 245 Figure S10. Compared to the C2/m and C2/c phases with an AFM ground state (Figure S9), the 246 band splitting of the inversion phases is greater due to the severe breaking of parity-time symmetry 247 in ferromagnets. Our calculation results also show that the magnetic moments of adjacent layers 248

in the inversion phases slightly alternate, which is different from those in the C2/m and C2/c phases with the same absolute value, reflecting the influence of different interlayer superexchanges on magnetism. In addition, from the PDOS in Figure S10, it can be seen that there are additional  $t_{2g}$ orbitals at 0.5 eV above the Fermi level, and the enhanced  $t_{2g}-e_g$  interactions favor the interlayer FM coupling.

# 254 Rich stacking polytypes in various MX<sub>3</sub>

Rich stacking polytypes are consistently found in other layered chromium trihalides (CrX<sub>3</sub>, X = 255 Cl, Br, and I), as the entire family of CrX<sub>3</sub> possesses an identical basic-layer structure together 256 257 with relatively strong (weak) in-plane (out-of-plane) exchange couplings. Since the compositions 258 and magnetic properties of CrX<sub>3</sub> are different, the stacking registries in CrX<sub>3</sub> are diverse and highly 259 variable. Experimentally, only two stacking orders, *i.e.*, C2/m (Figure 4a, 4c) and R3 (Figure 4b, 4d), exist in few-layer CrBr<sub>3</sub>. The elementary composition was verified by EDS and EELS (Figure 260 4e, 4f). Our atomic-resolution ADF-STEM imaging confirms that the proportion of rhombohedral 261 stacking in the CrBr3 samples is more than 80% (Figure 4g), consistent with previous 262 observations<sup>29</sup>. Regardless of the stacking orders, both C2/m and  $R\overline{3}$  phases in the CrBr<sub>3</sub> illustrate 263 FM interlayer coupling as suggested by the theory (Figure 4h). 264

In fact, C2/c (Figure 5a, 5d), C2/m (Figure 5b, 5e), and P3<sub>1</sub>12 (Figure 5c, 5f) polytypes showing different interlayer couplings (Figure 5j) also exist widely in CrCl<sub>3</sub>, but are different from those in CrI<sub>3</sub>. For instance, the same C2/c structure type with six atomic layers per unit cell only accounts for 18% (Figure 5i). As expected, the CrCl<sub>3</sub> prefers C2/m stacking with a percentage of 55% (Figure 5i), reconfirming the hypothesis of C2/m enhanced AFM interlayer exchange in few-layer CrCl<sub>3</sub>,<sup>37</sup> consistent with our calculation results (Figure 5j). The elementary composition of the
CrCl<sub>3</sub> sample was verified by EDS and EELS (Figure 5g, 5h).

Besides, multiple structure types have also been assigned to the layered  $\alpha$ -RuCl<sub>3</sub>, whose 272 elementary composition was confirmed by EDS and EELS (Figure 6g, 6h). Consistently, we found 273 274 11% C2/c stacking polytypes in few-layer  $\alpha$ -RuCl<sub>3</sub> crystals (Figure 6a, 6d). As shown in Figure 6, C2/m (Figure 6b, 6e) and P3<sub>1</sub>12 (Figure 6c, 6f) polytypes are also present in  $\alpha$ -RuCl<sub>3</sub> with a 275 percentage of 14% and 37%, respectively. The results are similar to CrCl<sub>3</sub> but with a preferred 276 ferromagnetic P3112 stacking (Figure 6i, 6i), reported recently in the  $\alpha$ -RuCl<sub>3</sub> thin film.<sup>39</sup> Because 277 of the relatively smaller Z atomic number of Cl (Z = 17) atoms compared to Ru (Z = 44) atoms, 278 the signature striped topologies in the C2/c and C2/m phases are more pronounced compared to 279 that in CrI<sub>3</sub>. Based on our DFT results, C2/m and C2/c phases adopt AFM interlayer exchange 280 couplings except in CrBr<sub>3</sub>, which is always FM regardless of stacking orders, as shown in Table 281 1. However,  $P_{3_1}12$  and  $R_3^{\overline{3}}$  stackings exhibit completely different magnetic properties in MX<sub>3</sub> 282 (Figure 3b, 5j, and 6j). Surprisingly, C2/c and C2/m inversion structures in CrCl<sub>3</sub> and RuCl<sub>3</sub> tend 283 to be FM and ferrimagnetic (FerriM) interlayer coupling, respectively. 284

Interestingly, regardless of the MX<sub>3</sub> crystals produced by chemical vapor transport (CVT) or purchased from commercial products, CrBr<sub>3</sub> is almost pure R $\overline{3}$  phase, mixed phases often occur in CrCl<sub>3</sub>, whereas other novel structures existing in all the MX<sub>3</sub> are waiting for exploration especially in  $\alpha$ -RuCl<sub>3</sub>, as depicted in Figure S11. Furthermore, metal nanoparticles are observed by electron beam reduction from Ru (III) ions, similar to previous observation.<sup>39</sup>

Our atomic-scale STEM results provide direct evidence that various stacking polytypes can exist
in few-layer MX<sub>3</sub> flakes. The distinct magnetic ground states of MX<sub>3</sub> polytypes demonstrate an

effective way to modulate the 2D magnetism via changing the stacking sequences.<sup>6</sup> For instance, the AFM interlayer exchange in CrI<sub>3</sub> is broken by changing the stacking sequence to FM C2/cand C2/m-inversion symmetry. The multiple structures provide insights into understanding different ground states in ultrathin CrI<sub>3</sub> films, which also give clues for the mystery of phase transitions. The internal strain and multiple phases may suppress the C2/m to R $\overline{3}$  (AFM to FM) transformation when the layer number of MX<sub>3</sub> is reduced to atomically thin.

# 298 Strain soliton boundaries induced phase transition

299 When one stacking polytype translates into another, this is usually accompanies by a strain soliton boundary, which creates non-trivial magnetic or electronic states,<sup>49,50</sup> as shown in Figure 7. It can 300 be clearly seen that the stacking order transition from the rhombohedral (R) sequence (upper region) 301 to the monoclinic (M) sequence (lower region), is gradually accommodated by strain soliton 302 303 boundaries (Figure 7b). The layer stacking in the R phase is illustrated by periodic dark spots arrangement while that in the M sequence is the signature stripy topology (Figure 7b). Toward the 304 top and bottom sides of the STEM image (Figure 7c), the periodic bright spot arrangement 305 represents the stacked C2/m and  $R\bar{3}$  crystals. The brightness decreases at the center of the 306 boundary, and the hexagonal patterns evolve into linear features along the  $(33\overline{1})$  plane of the C2/m 307 structure (Figure 7c). Thus, the symmetry is broken as the stacking changes across the boundary, 308 which is always accompanied by the formation of large strain gradients (Figure S12). However, 309 the measurement of  $(33\overline{1})$  interplane distance at the boundary region shows no change compared 310 to that of the R and M phase (Figure 7c), indicating that the transition from R to M can be achieved 311 solely through the shear force along the  $(33\overline{1})$  plane. The high-density dislocations in MX<sub>3</sub> suggest 312 313 that the stacking transition is likely intrinsic, which is universal to vdW materials with weak

interlayer coupling, resulting in the local interlayer gliding on one side of the boundary and the
creation of discrete stacking domains (Figure S1).<sup>51</sup>

A schematic of stacking across the R/M boundary exhibiting shear and tensile strain is shown in 316 317 Figure 7a. Based on our observations, we present the following picture to describe the magnetic configurations in CrI<sub>3</sub> flakes, as illustrated in Figure 7d, describing the coupling of the magnetic 318 response to the stacking order. It is known that the magnetic ground state of few-layer CrI<sub>3</sub> is 319 highly dependent on the stacking order<sup>26,31</sup> and strain.<sup>47</sup> Therefore, this observation provides the 320 opportunity to unveil the complexities of the magnetism of this compound where a structural phase 321 fails to be captured. For instance, the gap between AFM coupling in monoclinic (C2/c and C2/m) 322 thin layers and FM bulk with a rhombohedral stacking ( $R\overline{3}$ ) can be compensated by increasing the 323 proportion of the R sequence. We found that few-layer MX<sub>3</sub> materials are composed of a mixture 324 of R and M phases, which may be retained at a low temperature since no phase transition happens 325 during the cooling in few-layer MX<sub>3</sub><sup>30,37</sup> and the M phase can coexist with the R phase down to 326 low temperature in the bulk.<sup>52</sup> In light of our findings, it is not surprising that diverse magnetic 327 orders can coexist in the same flake because each can have different amounts of various types pf 328 stacking order. 329

# 330 CONCLUSION

In summary, we demonstrated a robust dry-transfer method to transfer air-sensitive few-layer MX<sub>3</sub> layers for atomic-resolution electron microscopy study. We systematically analyzed the polytypes in a library of MX<sub>3</sub>, which provides a comprehensive picture of the magnetism in MX<sub>3</sub> and points to the possibility of engineering magnetic heterostructures and textures within the same material by interlayer vdW coupling. Our observations illustrate that the monoclinic stackings (C2/c and C2/m) are predominant in several few-layer MX<sub>3</sub> and are responsible for the 2D magnetism. The reversed structure of C2/c and C2/m together with a high density of strain soliton boundaries contribute to the FM order in few-layer MX<sub>3</sub>. These results provide useful insights into the complex magnetic behavior in atomically thin CrI<sub>3</sub>, and also clues to designing magnetic phases and developing new functionalities in 2D magnets via stacking engineering.

#### 341 Experimental Section

Sample preparation. The atomically-thin MX<sub>3</sub> flakes were exfoliated from bulk crystals produced 342 by the CVT method<sup>8</sup> or from the commercial products (CrI<sub>3</sub> and CrBr<sub>3</sub> are commercial products, 343 RuCl<sub>3</sub> and CrCl<sub>3</sub> are from both), and then transferred to the STEM substrate (Cu Quantifoil grid) 344 by dry transfer technique for STEM observation. Specially, the atomically-thin MX<sub>3</sub> flakes were 345 exfoliated on PDMS stamps, contacted MX<sub>3</sub> flakes with the target STEM substrate, and then 346 peeled the PDMS from the substrate by mild heating. During this transfer process, no chemical 347 etchants nor water are applied. Low-magnification STEM image (Figure S1), EDS, and EELS 348 verified that the as-exfoliated atomically-thin MX<sub>3</sub> flakes were composed of M and X, with the 349 chemical stoichiometry agreeing very well with MX<sub>3</sub> (Figure 2i-j, 4e-f, 5g-h, 6g-h). 350

**ADF-STEM imaging, processing, and simulation**. Atomic-resolution ADF-STEM imaging was performed on an aberration-corrected Nion U-HERMES200 microscope, equipped with a cold fieldemission gun operating at 60 kV. The convergence semiangle of the probe was around 35 mrad. Image simulations were performed with the Prismatic package assuming an aberration-free probe with a probe size of approximately 1 Å. The convergence semiangle and accelerating voltage were in line with the experiments. The collection angle for ADF imaging was between 80 and 200 mrad. ADF-STEM images were filtered by Gaussian filters, and the positions of atomic columns were located by finding the local maxima of the filtered series. The EDS and EELS were collected and processed in JEOL ARM200F at 80kV.

DFT calculations. Our first-principles calculations were based on density functional theory (DFT) as 360 implemented in the Vienna Ab initio Simulation Package (VASP),<sup>53</sup> using the projector augmented-wave 361 method.<sup>54</sup> The generalized gradient approximation (GGA) with the Perdew-Burke-Ernzerhof<sup>55</sup> realization 362 was adopted for the exchange-correlation functional. We set the on-site effective Hubbard interaction U=363 4 eV (2 eV) in considering the electron correlation of 3d (4d) electrons of Cr (Ru) atoms. The plane-wave 364 cutoff energy was set to 450 eV. A Monkhorst-Pack k-point mesh<sup>56</sup> with a size of 9×9×2 was used for the 365 366 Brillouin zone sampling. The crystal structure was optimized until the forces on the ions were less than 0.01 eV/Å, and the total energy was converged to  $10^{-5}$  eV with the Gaussian smearing method. The zero-367 damping DFT-D3 method was adopted to describe the interlayer van der Waals interaction. 368

#### 369 ASSOCIATED CONTENT

#### **370** Supporting information

371 The supporting information is available free of charge at

372 Discussion on the geometrical and electronic structure differences between various stacking373 polytypes of MX<sub>3</sub>.

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<sup>1</sup>X. H., & J. Y., contributed equally to this work. X. Z., and K. P. L. conceived the idea and supervised the execution of the experimental work. X. H., X. Z., and S. W. performed the electron microscopy experiments and data analysis. J. Y. did DFT calculations and theoretical analysis under the supervision of Y. P. F. and R. L. conducted sample preparations. X. H. and S. W. did the image simulation.

- 399 Notes
- 400 The authors declare no competing financial interest
- 401

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# 604 Figures:



Figure 1. Dry transfer method for atomically thin MX<sub>3</sub> flakes. a) Schematic illustration of the 606 607 dry transfer method. b, c) Atomic-resolution ADF-STEM images showing the success of the dry transfer method. The as-transferred bilayer CrBr3 (b) was confirmed by the consistency of 608 experimental (i) and simulated (ii) images. The as-transferred atomically-thin CrI<sub>3</sub> (c) displays a 609 610 clean and atomically sharp edge in both ADF (i) and annular bright field (ABF) (ii) imaging. d) Atomic model of the DFT optimized monolayer CrI<sub>3</sub>. The top and side views are depicted in the 611 top and bottom panels. An enlarged atomic model showing 9 stacking sites for the second layer is 612 depicted in the right panel. The armchair and zigzag directions are labeled by light cyan and purple 613

lines, respectively. e) Top and side views of bilayer MX<sub>3</sub> in *AB* [010] and *FB* [210] stackings. In
the top view, iodine atoms are omitted for clarity. Scale bars: b, c, 2 nm; b<sup>i, ii</sup>, 0.5 nm; c<sup>i, ii</sup>, 1 nm.



Figure 2. Atomic structures of various stacking polytypes of CrI<sub>3</sub>. a-d) The atomic models of layered CrI<sub>3</sub> viewed along the *c* axis in C2/c (a), C2/m (b), P3<sub>1</sub>12 (c), and R $\overline{3}$  (d) phases. For clarity,

the Cr atoms in different layers are shown by different colors (I<sup>-</sup> ions are omitted for clarity). e-h) 619 Top (i), atomic-resolution ADF-STEM images showing different stacking polytypes of few-layer 620 CrI<sub>3</sub> crystals consisting of C2/c, C2/m, P3<sub>1</sub>12, and R $\overline{3}$  phases from left to right, respectively; 621 middle (ii), the zoom-in images from white regions in (i) and corresponding simulated images; 622 bottom (iii and iv), the averaged intensity of all atomic sites (iii) and statistical analysis of intensity 623 (iv) from experimental images of C2/c and C2/m in (e<sup>i</sup>) and (f<sup>i</sup>). The simulated intensity line 624 profiles (labeled by a black dotted line) are presented for comparison. g, h) EDS and EEL spectra 625 of core-level edges of CrI<sub>3</sub> thin film. k) The frequency of different stacking structures, including 626 C2/c, C2/m, P3<sub>1</sub>12, and R $\overline{3}$  and other stackings, after counting 110 flakes. The white arrows 627 indicated the displacement of iodine columns in (g<sup>ii</sup>). Scale bars: (e-h)<sup>i</sup>, 2 nm; (e-h)<sup>ii</sup>, 0.5 nm. 628



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630 Figure 3. Electronic structures of various stacking polytypes of CrI<sub>3</sub>. a) The relative total energy of the C2/c and C2/m structure with different magnetic configurations, with respect to the 631 C2/c ground state. The magnetization directions along the c axis are indicated by black arrows 632 with blue (spin up) and red background (spin down). b) The calculated magnetic ground state of 633 different stacking polytypes. c) The side view of inversion structures of C2/c and C2/m, denoted 634 as C2/c In and C2/m In. Inversion stacking arrangements are created by switching the two iodine 635 atomic layers upside down in one sandwich unit cell (intralayer polymorph). d) The corresponding 636 PDOS for the ground state of C2/c. The PDOS of each orbital channel is represented by different 637 638 colors as denoted in (d).



Figure 4. Atomic structures of various stacking polytypes of CrBr<sub>3</sub> a, b) Atomic-resolution ADF-STEM images showing the R $\overline{3}$  (a) and C2/m (b) stacking polytypes in few-layer CrBr<sub>3</sub> crystals. c, d) Left, enlarged STEM images corresponding to the regions highlighted with white boxes in (a, b), respectively; right, the corresponding simulated images; e, f) EDS (e) and EEL (f) spectra of core-level edges of CrBr<sub>3</sub> thin film. g, h) The frequency (g) and calculated magnetic ground state (h) of different stacking polytypes. Scale bars: a, b, 2 nm; c, d, 0.5 nm.



**Figure 5.** Atomic structures of various stacking polytypes of  $CrCl_3$  a-c) Atomic-resolution ADF-STEM images showing different stacking polytypes of few-layer CrCl<sub>3</sub> crystals, consisting of C2/c, C2/m, and P3<sub>1</sub>12 phases from left to right, respectively. d-f) Left, enlarged STEM images in (a-c); right, the corresponding simulated images; g, h) EDS (g) and EEL (h) spectra of corelevel edges of CrCl<sub>3</sub> thin film. i, j) The frequency (i) and calculated magnetic ground state (j) of different stacking polytypes. Scale bars: a-c, 2 nm; d-f, 0.5 nm.



**Figure 6. Atomic structures of various stacking polytypes of \alpha-RuCl<sub>3</sub>. a-c) Atomic-resolution ADF-STEM images showing different stacking polytypes of few-layer \alpha-RuCl<sub>3</sub> crystals, consisting of C2/c, C2/m, and P3<sub>1</sub>12 phases from left to right, respectively. d-f) Left, enlarged STEM images in (a-c); right, the corresponding simulated images; g, h) EDS (g) and EEL (h) spectra of core-level edges of the \alpha-RuCl<sub>3</sub> thin film. i, j) The frequency (i) and calculated magnetic ground state (j) of different stacking polytypes. Scale bars: a-c, 2 nm; d-f, 0.5 nm.** 



Figure 7. Strain soliton boundaries in few-layer CrI<sub>3</sub>. Atomic-resolution ADF-STEM images 661 of monoclinic-rhombohedral (M-R) domain boundaries, exhibiting interlayer shear and tensile 662 strain. a) A schematic of stacking across a domain boundary. Three main bilayer Cr-network 663 stacking configurations are shown (C2/m, boundary, and  $R\overline{3}$  stacking, respectively). From left to 664 right, the teal lattice translates left downward (zigzag direction), whereas the orange lattice moves 665 downward (armchair direction), completing a/3-length translation from M to R stacking. b) 666 Atomic-resolution ADF-STEM image showing an M-R boundary in CrI<sub>3</sub>. The enlarged images of 667 the M and R stacking phases are depicted in the right panel. c) The enlarged STEM image of the 668 R-M boundary and the corresponding inverse fast Fourier transforms (IFFT) image. d) The lateral 669 shifts of a top layer relative to a lower layer give M-R type stacking configuration along in-plane 670

armchair and zigzag direction translation. The magnetic order in few-layer CrI<sub>3</sub> with mixed
stackings is depicted in the below panel. Scale bars: b, 2 nm; b-right, 0.5 nm; c, 1 nm.

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| 674 | Table 1 Summary of stacking structures and correlated magnetic ground states |
|-----|--|
|     |  |

| Phases            | C2/m | R3     | C2/c | P3112  | C2/m_In | C2/c_In |
|-------------------|------|--------|------|--------|---------|---------|
| CrI3              | AFM  | FM     | AFM  | AFM    | FM      | FM      |
| CrBr <sub>3</sub> | FM   | FM     | /    | /      | /       | /       |
| CrCl <sub>3</sub> | AFM  | FerriM | AFM  | FM     | FM      | FM      |
| RuCl <sub>3</sub> | AFM  | AFM    | AFM  | FerriM | FerriM  | FerriM  |
|                   |      |        |      |        |         |         |

The dominant phases in MX<sub>3</sub> were indicated by green.

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TOC: Stacking polytypes including a new monoclinic C2/c phase of 2D MX<sub>3</sub> generated by
 interlayer sliding was revealed at atomic precision. The magnetic properties of 2D MX<sub>3</sub> are
 modulated primarily by stacking engineering.