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20 **Bio-inspired cooling technologies and the applications in buildings**

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26

27 **Abstract**

28 In response to the growing demand for indoor environmental quality (IEQ) and
29 energy efficiency, abundant innovative bio-inspired cooling technologies have been
30 proposed and their applications in buildings have been greatly demonstrated in the
31 previous decades to enhance the benefits of building occupants. IEQ is associated
32 with human health and productivity but maintaining good IEQ requires continuous
33 air-conditioning resulting in a high energy consumption, especially space cooling.
34 Bio-inspired cooling technologies focus on the fundamental mechanisms of heat
35 transfer used by animals or plants which are considered as the keys to create a
36 harmony between buildings and the nature, whereby IEQ can be enhanced while
37 achieving energy efficiency. This review provides a comprehensive summary on the
38 current bio-inspired cooling technologies, including the concepts in the research stage
39 and the well-developed products applied in buildings, and discusses some promising
40 designs that have the most potential for future applications. This paper is structured
41 according to building elements, in which technologies regarding HVAC system,
42 building materials, opaque building envelope and transparent building envelope are
43 reviewed. The heat transfer mechanisms behind each technology including conduction,
44 convection, evaporation or phase change and radiation are discussed. Yet successful

45 green buildings involve a smart thermal management system for which a section is
46 dedicated to discussing various approaches in design optimization. In the last section,
47 a case study simulation of implementation of bio-inspired cooling technologies in a
48 house and its energy efficient performance are analyzed. The authors attempt to
49 motivate the future research and development in energy efficient buildings.

50

51 **Keywords:** bio-inspired; nature-inspired; biomimicry; cooling; conduction;
52 convection; evaporation; phase change; radiation; thermal management.

53

54 **1. Introduction**

55 Archaeological and historical evidence indicates that buildings existed since the
56 Iron Age. Great Pyramid of Giza was constructed over 3800 years ago. In ancient time,
57 the purpose of houses was to protect mankind from any kind of danger like extreme
58 weather conditions, animal attacks, or human enemies. As there were no active
59 air-conditioning units in the past, those houses were designed and built adopting their
60 surrounding environment, thereby passively providing occupants enough fresh air,
61 sunlight, warmth, and other necessities for survival. Following the timeline of
62 civilization, those houses were no longer just shelters but integrated with many
63 functionalities powered by natural resources to provide a more favorable environment
64 than the outdoor, giving a higher standard of living and improving human's health,
65 comfort, and productivity. Due to the development of heating, ventilation, and air
66 conditioning (HVAC) a century ago, the architecture of buildings can be less limited
67 by the natural environment, but at the cost of dramatic increase of energy usage.
68 Modern buildings, especially in developed countries, constitute a significant portion
69 (around 20-40%) of the total social energy consumption. For example, in 2018, about

70 40% of total energy in U.S. was consumed in residential and commercial buildings [1].
71 Both governments and scientists have identified the imperative need for energy saving
72 in buildings. Several regulations and labeling schemes have been set up in national
73 and international level to promote energy efficiency in green buildings. These
74 regulations and labeling schemes focus on various life cycle stages of buildings and
75 levels of building components. For example, in Europe, a concept called nearly Zero
76 Energy Building (nZEB) has been implemented by the European Union and other
77 agreeing countries to have all buildings in the region under nZEB standards by 2020
78 [2], which means all the buildings have to use green resources with very high energy
79 performance. Regulations have been enforced so that the buildings, especially the new
80 public buildings, should have nearly zero or very low energy consumption, and the
81 amount should be mainly covered by on-site or nearby renewable sources. Other than
82 the regulations, labeling schemes have been established in the globe. Most of them are
83 voluntary labeling programs, but many state and local governments rely on some of
84 them. The world green building council has networked around 70 local councils to
85 initiate green buildings around the world, so that a number of localized green building
86 certification systems for environmental labeling have been set up, e.g. Leadership in
87 Energy and Environmental Design (LEED) from U.S., Building Research
88 Establishment Environmental Assessment Method (BREEAM) from U.K. and
89 Building Environmental Assessment Method (BEAM) Plus from Hong Kong. LEED
90 evaluates a building through its design, constructions, operations, and performance to
91 make sure not only the occupant but also the community can benefit from the
92 sustainable building. Similar to LEED, BREEAM certificates are served for master
93 planning projects, infrastructures and buildings, which consider their sustainable
94 performance throughout the environmental lifecycle. The Green Building Councils in

95 Hong Kong has launched BEAM Plus to promote and help the industry to adopt green
96 building. BEAM Plus offers a comprehensive set of performance criteria for a wide
97 range of sustainability issues relating to the planning, design, construction,
98 commissioning, management, operation and maintenance of a building. Other than the
99 environmental labeling, for the use of green products and systems in buildings, there
100 are various labeling and certification throughout the world. Energy Star is a popular
101 labeling system established in the United States, of which the considering criteria are
102 how much energy the appliance uses, the strength among similar products, as well as
103 the annual costs. The product lists of Energy Star include audiovisual equipment,
104 office equipment, heating and cooling equipment, etc. Energy Star belongs to the
105 group of Ecolabels and it is voluntary. Another voluntary labeling system is EU
106 Ecolabel in Europe, which takes the environmental impact on the whole product life
107 cycle into consideration. Hong Kong has recently launched the CIC Green Product
108 Certification, which is a building and construction products/materials certification
109 scheme serving the Hong Kong construction industry. The certified products ranged
110 from a title to a chiller.

111 Adopting energy efficient strategies in buildings, especially in space cooling, is a
112 promising direction. Among different kinds of energy consumptions in building, space
113 cooling or space conditioning takes up a significant portion. Surveys show that
114 approximately 31% of the end use of building energy in HK [3] and 50% in
115 worldwide [4] is consumed in space conditioning. There are various strategies for
116 saving energy in space conditioning, and they can be broadly categorized as active or
117 passive strategies [5]. Active strategies regard as the energy efficient improvements of
118 the HVAC systems which is a popular research topic. Effective heat exchanger and
119 advanced working fluid are some hot research topics. Passive strategies improve the

120 building envelope elements in order to reduce the energy or heat loss. A building
121 envelope element refers to roof, wall, windows, façade, etc. which separates the
122 indoor and outdoor environments of a building, through which a huge amount of heat
123 fluxes is transferred due to their large surface area. Innovative building materials with
124 designed and functional thermal mass and thermal insulation have been studied. Other
125 than the building materials, energy usage can also be reduced significantly by a proper
126 architectural design. For example, a large portion of the heat transmission comes from
127 solar energy which occurs in daytimes with time-dependent orientation. Thus, a smart
128 design to block or shade summer sun while to permit winter sun in suitable time can
129 also be regarded as a passive strategy [6]. This example demonstrated the importance
130 of the relationship between buildings and nature. Ensuring harmony between
131 buildings and the natural environment is a potential direction for a successful strategy.

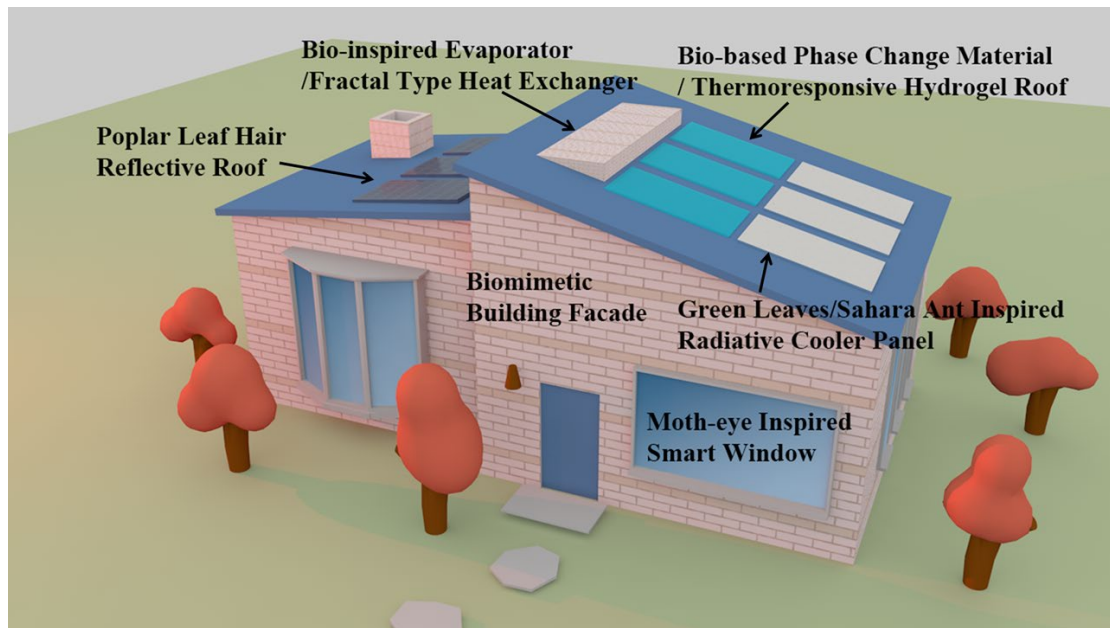
132 Nature has already inspired many scientists and engineers to solve various
133 technical problems. By searching keywords: “bio-inspired”, “nature-inspired”,
134 “biomimicry”, “biomimetic” or “bio-mimic” in Scopus, it is found that the number of
135 research papers (i.e. journal articles, conference papers and meeting abstracts) is
136 increased from 202 in 1998, 1659 in 2008, to 4141 in 2018. The statistics from Web
137 of Science are similar (i.e. 206 in 1998, 1081 in 2008 and 4138 in 2018). It should be
138 noted that the keywords for searching are not exhaustive, but the quadratic increase of
139 the number of research papers per year shows that researchers believed that
140 bio-inspiration is a promising approach in tackling engineering problems. The
141 solution given from nature is more likely to be in harmony with the natural
142 environment, which is important for developing energy efficient building technologies.
143 Nature has inspired a lot of research works in different fields of technologies. For
144 example, nature-inspired or bio-inspired technologies have already drawn worldwide

145 attention in construction engineering [7]. In this review paper, we are interested in all
146 bio-inspirations that can achieve cooling purpose and applied in buildings. Moreover,
147 the bio-inspirations to be considered are not only to imitate plants and animals shapes
148 and forms, but to find strategies, logic and methods in design that are analogous to
149 nature's process. Under this definition, different classification methods can be used to
150 discuss the application of bio-inspired cooling technologies to buildings. One
151 approach is to classify them in different heat transfer mechanism: conduction,
152 convection, evaporation/phase change and radiation. Another approach is to classify
153 the technologies in different application scales: building elements, architectures, and
154 systems [8]. Building elements include components in HVAC system, building
155 materials, opaque building envelope (e.g. roof, wall, etc.) and transparent building
156 envelope (e.g. window). Most of the bio-inspired techniques aim at mimicking the
157 nature and to achieve the cooling or thermal management function in particular
158 building elements. For example, recently, radiative materials for roof/wall by the
159 principle of passive radiative cooling observed from Saharan silver ants was proposed.
160 Another example is an evaporative heat exchanger inspired by animal sweat glands
161 system that enhances the cooling effect by evaporation [9]. Figure 1 illustrates how
162 different bio-inspired building elements integrate into a house to achieve energy
163 efficiency. The application in scale of architectures means different building elements
164 form together in architectural scale to achieve a function mimicking the nature.
165 Termite mound is an example in this scale that makes good use of the natural
166 convection. In system scale, both passive and active strategies, and different
167 techniques work together under a bio-inspired thermal management system. For
168 instance, some birds dissipate heat by both gular flutter (i.e. panting and causing
169 airflow induced vibration in the gular region) and vasodilation (i.e. expansion of

170 blood vessel in the gular region). Their brains control these two mechanisms
171 simultaneously to achieve the optimal thermoregulation. Similarly, thermal
172 management systems are needed to monitor the systems of multiple strategies. Table 1
173 lists a number of bio-inspired technologies in real application or in research stage
174 applied in buildings, together with the classifications in heat transfer mechanism and
175 in application scale for each technology.

176 Bio-inspired cooling technologies applied in buildings are reviewed in this paper.
177 The objectives are to review the current technologies including both in real
178 application and in research stage, to discuss its feasibility, and to identify the potential
179 trend of the field. We aim at answering the following questions: what phenomena
180 have been observed in the nature that inspired the scientists and engineers? How is the
181 phenomena related to heat transfer? What technologies have been developed by this
182 observation? The paper will be organized in terms of building elements and
183 application scale. Firstly, bio-inspired technologies to improve HVAC systems will be
184 discussed followed by the review of building element technologies. Opaque and
185 transparent building elements will be introduced one-by-one. Then, a bio-inspired
186 natural convection case in architectural scale will be discussed and bio-inspired
187 thermal management techniques will be reviewed. Understanding the heat flux
188 mechanism behind of each bio-phenomenon would be helpful to achieve our
189 objectives. Therefore, the heat transfer mechanisms will also be explained. Finally, a
190 case study simulation will be conducted to analyze the potential of using bio-inspired
191 technologies in buildings to improve energy efficiency.

192



193

194 Figure 1. Different bio-inspired building elements integrate into a house to achieve
 195 energy efficiency.

196

197 Table 1. List of bio-inspired cooling technologies applied in buildings

198

Inspired by	Application inspired	Application Scale	Building elements involved	Heat transfer mechanism	References
Leaf vein structure, lung and blood vein structure	Fractal channel, fractal tube-in-tube heat exchanger	building elements	Heat exchanger, heat sink	Convection	[9-17]
Elephant fluttering ear and bird's gular flutter	Fan integrated heat exchanger, heat sink or façade cooling enhancement by flutter (potential)	building elements	Heat exchanger, heat sink	Convection	[10,18-23]
Sweat glands system of mammals	Evaporative condensers in heat exchanger technology	building elements	heat exchanger, condenser	Evaporation	[9,24-26]
Catus and beetle	Two-phase	building	Heat sink	Evaporation	[27-29]

shell	micro-pillar heat sink	elements			
Hairs of polar bears	Hollow fiber structure with low thermal conductivity, potential for building materials	building elements	Building material	Conduction	[30-32]
Penguins' pelts	Biomimetic building façades	building elements	Building façade material	Conduction	[33-35]
Beehives or wasp nests	Planar hexagonal comb structure for building materials	building elements	Building material	Conduction	[36-44]
Sweating skin of mammals	Thermoresponsive hydrogel as roof coating, artificial skin material,	building elements	Roof	Evaporation	[45-49]
Phase change properties of blubbers in northern mammals and dolphin blubbers	Phase change material used in roofs, ceilings, glass windows, walls and floors, building concretes, building furniture, equipment and systems. Bio-based PCM, less flammable and safer for building applications	building elements	Roofs, ceilings, glass windows, walls, floors	Phase change	[5,50-68]
Poplar leaf hair	Reflective roof that increases the heat reflectivity	building elements	Roof	Radiation	[69-72]
Mist on a surface, green leaves, natural	Passive radiative cooler, Daytime radiative cooling	building elements	Roof, wall	Radiation	[73-83]

wood, Sahara Ant					
Moth-eye antireflection surfaces	High-performance thermochromic smart window to block solar heat but allow sunlight transmission	building elements	Window	Radiation	[84-89]
Termite mounds, bee nest	Building façade facilitating natural convection	architectures	-	Convection	[38,90-94]
Neural systems in biological brains	Residential thermal comfort, energy savings, HVAC and thermal control by Artificial neural network	Systems	-	All	[95-112]
Evolution through the process of natural selection	Building design optimization by genetic algorithms	Systems	-	All	[113-129]

199

200

201 2. Bio-inspired technologies to improve HVAC system

202 To improve the heat transfer of an existing HVAC system in order to enhance its
203 efficiency, there are two major approaches: increasing the convective heat transfer and
204 enhancement by phase change or evaporation. Convection, the heat transfer process
205 through the movement of fluid driven by temperature gradient, has been largely
206 adopted by natural species to regulate their body temperature. Another body
207 temperature regulating mechanism is phase change, which is a process that matters
208 change from one state to another, i.e. from solid to liquid, liquid to vapor, solid to
209 vapor directly, and vice versa. The most familiar phase change based cooling strategy

210 should be sweating. When the body temperature rises, animals sweat, thereby
211 dissipating heat through the evaporation of the droplets. Indeed, nature creatures are
212 good at using phase change properties to survive in extreme environments or climates.
213 These two energy efficient mechanisms are the major inspirations behind some
214 current cooling and ventilation systems in buildings.

215

216 *2.1 Heat exchanger enhancement by fractal blood networks*

217 Elephants have a vast and fine blood vessel networks embedded inside their large
218 pinnae to facilitate heat dissipation [10]. The fractal geometry of their blood vessel is
219 commonly found in the respiratory and vascular systems of many animals and plants,
220 and widely employed to facilitate convective heat transfer and mass exchange [9]. The
221 concept has been employed in some high-performance heat exchanger designs to
222 minimize energy consumption. Many experimental and theoretical research studies
223 have been done on fractal heat sinks. Among them, most have concluded that fractal
224 heat sinks have a higher overall heat transfer rate and lower pressure drop than
225 parallel and serpentine channel heat sinks [11-17].

226

227 *2.2 Heat sink enhancement inspired by birds' gular fluttering*

228 Under heat challenges, birds, e.g. cormorants, pelicans, quail, open their bill
229 widely and pant like dogs. However, this active motion consumes a large amount of
230 energy, and panting alone sometimes cannot prevent them from overheating [18].
231 When it happens, birds flutter their gular region rapidly supplementing to panting
232 [19-20]. This phenomenon can also be observed in other animals, e.g. elephants'
233 flapping ears and bats' fanning wings. The cooling principle behind is forced
234 convection. Animals boost the convective heat transfer and the evaporative heat losses

235 from the mud or dirt of elephant' body, sweat of bats, or dog's and bird's respiratory
236 and digestive tracts by increasing the rate and amplitude of their breathing [10,21].
237 During gular flutter, heat is transferred from the blood vessels to the skin surface and
238 through the moist membranes into the rapid moving air, and finally the airflow brings
239 the heat from the birds' mouth to the ambient. The overall body temperature of the
240 bird is greatly reduced through the increase of blood flow and the cooling of the
241 fluttering gular skin [22]. In some birds, the amplitudes of gular flutter increase with
242 ambient temperature, but the frequencies are independent of the heat stress. It is
243 suggested that these frequencies match with the natural frequencies of the gular
244 structure [18,22], thus the extra metabolic cost is little in the process. Many studies
245 suggested that the rate of heat transfer can be enhanced by the fluttering mechanism
246 and it is due to the induced vortices on the thermal boundary layer developed on the
247 heated surfaces [23]. This is potential to be developed into a novel technologies for
248 heat exchanger.

249

250 *2.3 Evaporative heat exchanger inspired by sweating skin of mammal*

251 To meet the energy requirement of green buildings, scientists have also started to
252 search for inspiration from those phase-change related natural phenomena. Phase
253 transitions involve a large amount of latent heat release or heat absorption whereby
254 they have a high potential to solve or ease the building thermal energy consumption
255 problems. The energy consumption for cooling in buildings in hot summer is huge
256 and the design of an effective HVAC system or heat exchanger is critical to decrease
257 the total building energy consumption. Besides, it would be desirable if the thermal
258 energy in over-heating conditions can be stored for solving the building heating
259 problems in the cold area or winters. Nature provides us some vivid solutions and

260 here are some biomimicry examples of phase-change related applications in energy
261 saving for building applications.

262 People sweat when the inner body temperature rises. Sweating absorbs the
263 excess heat from the body and dissipates it to the surrounding environment, thereby
264 cooling down the body. Inspired by this phenomenon, some evaporative heat
265 condensers and exchangers have been developed [24]. Application of evaporative
266 condensers in heat exchanger technology [9,25] was reported to reduce up to 58% of
267 the power consumption, compared with an air-cooled condenser [26].

268

269 *2.4 Two-phase (liquid-vapor) micro-pillar heat sink inspired by cactus and beetle*

270 Another bio-inspiration is about water collection by tip of cactus spine or peaks
271 of beetle bump. *Opuntia microdasys* [27] and desert beetle [28] can survive in
272 extremely dry environments because the staggered wettability surface of cactus and
273 beetle shell help collecting water from the arid surroundings. The tip of the cactus
274 spine and the peaks of the beetle bump are hydrophobic, while the sides and the base
275 of the cactus spine and the bumps are hydrophilic. This structure is beneficial for
276 collecting small fog water droplets, which are easily lost in the desert environment.
277 Inspired by this, Ma et al. [29] proposed a two-phase (liquid-vapor) micro-pillar heat
278 sink with hydrophobic pillar tops and a hydrophilic base to separate vapor and liquid
279 paths, and found this bio-inspired heat sink has higher nucleate boiling heat transfer
280 and higher critical heat flux. Their results provide a possibility of developing a
281 high-performance heat sink in HVAC system to decrease the cooling energy
282 consumption in buildings.

283

284 **3. Bio-inspired building envelope – opaque and transparent building elements**

285 Building elements for building envelope are divided into opaque and transparent
286 building elements. Examples are interior construction materials, wall, roof, exterior
287 for façade, windows, etc. The related bio-inspired technologies basically, involve
288 either these three major heat transfer mechanisms: conduction, phase change
289 including evaporation or radiation.

290

291 *3.1 Building material with low conductivity by air trapping similar to Polar bear's fur*

292 Conduction occurs when two bodies at different temperature are in touch, in
293 which heat flows from the higher-temperature body to the lower-temperature one.
294 This happens not only between solid objects, but also between solids and their
295 surrounding fluid. Thus, animals may loss a significant amount of heat to the air
296 through conduction leading to risks of survival, especially when the weather is
297 extremely cold like in the poles. Human beings put on clothes to keep warm, while
298 wild animals have evolved thermal insulation methods to keep themselves alive. The
299 most known thermal barrier is probably fur and feathers of some animals like
300 mammals and birds, which reduce thermal conductivity by trapping a layer of air
301 covering the animal body. Scientists and engineers were inspired by these phenomena
302 and employed the mechanisms of natural conductive heat transfer suppression on
303 building technology, whereby buildings could be insulated from their local
304 environment including climate. To transform bio-strategies into technologies, research
305 studies aim to develop building materials and construction methods that are analogy
306 to the elements forming the skin of animals and their structures.

307 Polar bears can maintain their body temperature at about 35°C even in extreme
308 cold environments, where the winter temperature reaches -20°C. The insulating power
309 comes from the thick layer of fur on their skins that traps a lot of air to provide a

310 thermal barrier. Besides, the water resistant feature of their hair also prevents water
311 drops from staying on and gaining heat from the skin of polar bears. The excellent
312 thermal insulation has attracted attentions from the research field of designing
313 building material with enhanced thermal insulated property [30]. With reference to the
314 hollow structure of the non-wettable hair of polar bears, Zhan et al. [31] has
315 successfully developed and fabricated carbon nanotube aerogel with hollow fiber
316 structure, which has low thermal conductivity ($\sim 0.023 \text{ W m}^{-1} \text{ K}^{-1}$) as well as excellent
317 elastic and fatigue resistant property, showing a high potential in energy efficient
318 buildings application [32]. In general, the development tends to investigate the
319 thermal properties of porous and tubular structures, which are expected to have a high
320 level of insulation due to the air filled inside the structures.

321

322 *3.2 Building façade material using unique hierarchy structure of Penguins' pelt to* 323 *trap air*

324 Like polar bears, penguins also live under extreme cold conditions. However, they,
325 as birds, don't have fur to keep them warm. What plays a major role in keeping
326 penguins from the coldness is their pelts. Contrasting from polar bear hair's simple
327 structure, the penguin feather's structure consists of: 1. rachis, which is the main
328 supporting stem of the structure; 2. ramus, barb and barbules, which are branches
329 from the main stems; 3. cilia, which are little hooks on the branches; and 4.
330 after-feather, which are the softer parts of the feather structure. Its unique hierarchy
331 structure in different scales allows the feathers to align in layers to maximize the air
332 trapped inside, as well as the ability of the after-feather to provide conduction
333 insulation. The average overall thermal conductivity of the thick skin and feathers can
334 reach as low as $1.35 \text{ W m}^{-2} \text{ K}^{-1}$ [33], by which penguins can survive up to 120 days

335 without food supply when they are incubating eggs [34]. Aslam conducted a computer
336 simulation with Design Builder to investigate the possibility of employing the
337 penguin pelt design on building façades, and demonstrated that the biomimetic façade
338 has a lower U-value than the traditional double wall system [35].

339

340 *3.3 Minimizing building material usage by conductive thermal management as in* 341 *Beehives*

342 Besides the surface features on animals' skin, smart thermoregulation approaches
343 can also be found in hives. Temperature inside the breeding chambers in beehives and
344 wasp nests must be controlled precisely to ensure the health of the newborns [36,37].
345 The nest architecture is associated with temperature regulation, which has drawn
346 much attention from scientists and researchers to study the correlation [38]. Using the
347 least amount of material, bees construct their honeycomb structure with a plenty of
348 stationary and millimeter scale air spaces to achieve excellent insulation from thermal
349 conduction whereby the hives are less influenced by their outside conditions. The
350 ends of the breeding chambers have adjustable valves. By actively opening and
351 closing these valves and by altering their materials and thickness, the temperature
352 inside the chamber can be continuously maintained at desired values. Because of the
353 excellent thermal insulating properties, such planar hexagonal comb structure has
354 been tested and widely utilized in building material construction [39,40]. Putting
355 insulating materials in hexagonal cavities for building applications can minimize the
356 material usage. Walls, panels and roofs with comb array cladding or embedment have
357 a high potential for future building applications regarding thermal management. Not
358 only can they offer better structural integrity compared to traditional design, but also
359 provide advanced conductive insulation [41–44].

360

361 *3.4 Building roof as a sweating skin*

362 Another bio-inspiration is the evaporation of mammal's perspiration. The
363 concept of 'sweating skin for building cooling' have been developed [45–49].
364 Rotzetter et al. [48] synthesized a special thermoresponsive hydrogel (PNIPAM)
365 which can store up to 90 wt% in its swollen state. When it is heated to roughly 32 °C,
366 the gel transits from a wet state to a dry state, and releases water, during which a large
367 amount of the building heat is taken away. They compared the heat transfer effects
368 between two small-scale model houses, an uncoated house and a house coated with
369 the hydrogel. Their results indicated that the model house with roofs coated with these
370 heat-sensitive hydrogels can reach up to 20 °C cooler by comparing with the uncoated
371 model house when exposed to simulated tropical midday sun. It is estimated that this
372 is equivalent to saving 220 kWh of energy per year for a single house.

373

374 *3.5 Building wallboard and floor using phase change materials inspired by blubber of* 375 *dolphin*

376 For better energy saving, it is desirable if we can decrease the heat loss through
377 the building envelopes in winter or at night, and store the excessive heat in hot summer
378 or daytime which may be used to compensate the heating energy consumption in
379 winter or at night, under the premise of keeping the indoor environment stable and in
380 a comfortable temperature [50]. This thermal consistency property has been found in
381 human beings and a lot of northern mammals. For normal mammals, their fatty tissue
382 plays a role as thermal insulators, but it is also found that phase change properties of
383 the blubbers in the outer layer of the northern mammals can also be applied to store or
384 release heat [51-52]. In particular, it is noted that the deep blubber of the Atlantic

385 bottlenose dolphin has significantly higher heat flux than the superficial surface.
386 Considering the fatty acid composition in the blubber, it is highly suggested that the
387 dolphin blubber can absorb heat as a phase change material [53]. Currently, using
388 phase change material (PCM) to cool building or store building heat is a hot and
389 promising approach. PCM can efficiently absorb the thermal energy in the
390 surrounding environment and store the energy through phase transformation, and
391 release the stored thermal energy through vice versa process [54], keeping the
392 temperature in a relatively steady range. There are many applications of using PCM in
393 buildings [55-57]. For example, Schossig et al. [58] integrated some
394 micro-encapsulated PCMs into plaster and found that the room with PCM plasters
395 could be 4 °C cooler when the indoor temperature was over the melting range. Lv et al.
396 [59] incorporated the building wallboards with PCM and found that the energy cost of
397 HVAC system can be significantly decreased. When the indoor temperature exceeds
398 18.49 °C, the PCM in wallboards began to melt and absorbed the heat in 39.12 kJ/kg
399 till the temperature at 24.26 °C, which provided a ‘cooling’ storage for the building
400 and save the electricity cost of air conditioning. The stored latent heat can be released
401 when the room temperature is lower than 18.59 °C, which can greatly decrease the
402 heat energy cost. Besides, the demonstration building with ultra-low energy
403 consumption in Tsinghua University (China, Beijing) applied phase change floor
404 through inserting the PCM with phase transition temperature at 20-22 °C into the
405 building floor [60]. The phase change floor can store the radiation heat that introduced
406 by the glass walls and windows in the winter daytime and release the heat to the
407 indoor environment through reverse phase change process in cold winter night,
408 resulting that the temperature fluctuation indoors would not exceed 6 °C. Another
409 general application of PCM in buildings is the PCM-based concrete [60-65] and it is

410 reported by Figueiredo et al. [65] that the concrete with PCM can slightly reduce the
411 indoor temperature fluctuations. Although PCM application is very popular in
412 building elements, there exists a widely concerned safety problem. Usually, traditional
413 PCMs are flammable and thus hinder their application in building, while the
414 bio-based PCMs are less flammable and safer to use [5,66]. Lipid derived PCMs
415 prepared from fatty acids have a higher heat capacity and a higher desirable phase
416 change temperature [67,68], which provide a possible trend for future PCM
417 development for high thermal energy storage in buildings.

418

419 *3.6 A highly reflective roof coating similar to the structure of leaf hair*

420 Solar spectrum consists mainly, 44.7 % of visible radiation (380 ~ 780 nm), 6.6
421 % of ultraviolet radiation (< 380 nm) and 48.7 % of infrared radiation (>780 nm).
422 When an object absorbs light waves, the energy carried by the light waves is
423 converted into heat energy if no photovoltaic effect exists. Therefore, buildings are
424 forced to gain excess heat during hot summer days through the absorption of solar
425 energy by building envelopes, including walls, windows and roofs. For example,
426 approximately one-third of heat gain comes from the roof of the building [69]. To
427 prevent undesirable heat gain through solar absorption, building envelopes need to be
428 designed in order to control the transmitted sunlight.

429 Studies estimate that about 60% of urban areas are covered by roofs and
430 pavements, and the percentage continues to increase [70]. A study also concluded that
431 residents in buildings could save an average of 23 % of their cooling costs if the
432 reflectivity of the roof increases [69]. A reflective roof is a design concept that aims to
433 reduce the heat gain from solar absorption through building roofs during sunny days.
434 Some research show that during hot days, the temperature of regular dark roofs

435 reaches 66 °C or higher. By contrast, a reflective roof under a similar environmental
436 condition maintains its temperature at about 28 °C. Control of reflectivity in animal
437 biophotonics gives numerous inspirations for reflective roofs designs, e.g. hairs on
438 edelweiss bracts [71] and the scales of *Cyphochilus* spp. Beetles. Ye et al. [72]
439 demonstrated that poplar leaf hair, which is the white coating on the lower surface of
440 the leaf, provides the leaf with an efficient cooling effect. They designed a highly
441 reflective superhydrophobic white coating using a similar structure to the leaf hairs.
442 The film has high reflectance in visible and infrared wavelengths. High reflectance of
443 the lower surface mainly originates from the hair layer of the lower surface. Inspired
444 by the structure of the leaf hairs on the lower surface, they fabricated a series of
445 hollow fibrous polymer films with high reflectance using coaxial electro-spinning
446 technology.

447

448 *3.7 Radiative cooling façade inspired by green leaves and Saharan silver ants*

449 Radiative cooling is another radiation approach that can be easily found in the
450 natural world. An instant example can be the forming of mist on a surface (such as
451 leaves) exposed to a cloudless night sky even when the surrounding temperature is
452 higher than the freezing point of the water. This unusual natural phenomenon can be
453 explained by the fact that a sky-facing surface dissipates heat effectively by strongly
454 emitting radiation to the cold universe (the temperature of the universe is only 3 K)
455 [73] through the Earth's atmosphere transparency window, also known as the
456 atmospheric window, with wavelength between 8 and 13 μm . As a result, the surface
457 can maintain a temperature well below the ambient temperature to facilitate the water
458 nucleation and condensation. The radiation of wavelength in the range of 8~13 μm
459 can pass through the atmospheric window to the universe directly without significant

460 absorption and re-emission. Some pioneering researchers realized the atmospheric
461 window coincides with the peak thermal radiation of a black body defined by Plank's
462 law at the ambient temperature (at around 300 K). Therefore, materials those can
463 strongly and selectively emit radiation within the atmospheric window could preserve
464 a sub-ambient temperature at night. This is the idea of passive nighttime radiative
465 cooling [74-77]. Therefore, the radiative cooling technology itself is a bio-inspired
466 cooling technology.

467 Compared to nighttime radiative cooling, daytime radiative cooling is more
468 challenging; solar radiation needs to be carefully handled [78]. At the early stage, a lot
469 of researchers try to get daytime radiation cooling, but all failed. Recently, scientists
470 and engineers renewed their interest in this topic because a breakthrough in daytime
471 radiative cooling was demonstrated by Raman et al. [79] who used a photonic
472 radiative cooler which has a high reflectance in the solar spectrum and a high
473 emissivity in the atmospheric window wavelength. They also conclude that a daytime
474 radiative cooler needs to radiate strongly within the infrared atmospheric transparency
475 window (8~13 μm) and to reflect strongly within the solar spectrum simultaneously
476 so that net heat flux can be negative and cool the rooms. However, materials with
477 natural high-infrared emissive materials also tend to absorb visible wavelengths.

478 It should be noticed that the material used for radiative cooling also needs to
479 have high reflectivity in solar radiation wavelengths. However, in this paper, the
480 reflective roof/walls and radiative roof are classified as two radiation mechanisms.
481 Here are the differences: (1) Reflective roof/wall focuses on reflecting solar radiation
482 and obtain energy-saving effect in the daytime, as for radiative cooling roof, which
483 emits solar radiation to outer space and can achieve both daytime and nighttime
484 cooling effect. (2) A reflective surface can be used in other building envelopes such as

485 walls or windows (when the coating is visible transmittance). (3) Reflective roofs
486 cannot lower surface temperature more than the ambient temperature (heat
487 prevention/reduction); however, radiative cooling can provide a sub-ambient
488 temperature (heat dissipation). Therefore, radiative cooling can save more energy
489 compared to the reflective roof technique.

490 Living nature, such as green plants and trees, have a similar problem of
491 controlling temperature. However, the temperatures of green leaves rarely reach or
492 even exceed 40 °C because the photosynthesis process has maximum efficiency when
493 the temperature is between about 20 °C and 30 °C [80]. In 2008, Henrion et al. [80]
494 discovered how the trees survive in intensive solar radiation by cooling themselves
495 and concluded that the green leaves absorb the minimum useful radiation and emit
496 efficient infrared thermal to the outer space. They attribute these properties to the
497 leaves, effectively emit radiation of wavelengths between 6 and 10 μm because of the
498 properties of tannin and cellulose. The unique thermal rectification in green plants
499 also attracts some researches recently. Li et al. [81] engineered the natural wood with
500 complete delignification followed by mechanical pressing. Similarly, they also
501 conclude the special emission properties are due to cellulose whose molecular
502 vibration and stretching facilitate intense emission in the mid-infrared region (8 ~ 13
503 μm), while the multiscale fibers and channels function as randomized and disordered
504 scattering elements for an strong broadband reflection at all visible wavelengths. The
505 heat flux emitted by the cooling wood exceeds the absorbed solar irradiance,
506 contribute to passive sub-ambient radiative cooling for both day and night.

507 *Cataglyphis bombycine*, namely Saharan silver ants, live in an extremely hot
508 desert climate (60-70 °C). Shi et al. [82] discovered that the densely patterned
509 triangular hairs was the reason for their silvery appearance. The special hairs have two

510 thermoregulatory effects: 1) Enhance the broadband reflectivity over the visible and
511 near-infrared (NIR) range by total internal reflection, and 2) enlarge the emissivity in
512 the mid-infrared region, which can enhance heat dissipation efficiently, and keep body
513 temperature much lower than the ambient surroundings. Based on the discovery of
514 survivor of Saharan silver ants in extreme climates, the principles are basically the
515 working principles of passive radiative cooling. Therefore, Shi et al. [83]
516 demonstrated a synthetic approach for the creation of biomimetic nanostructures
517 (triangular arrays) for radiative cooling via a nano-3D lithography technique. Their
518 results showed that the artificially fabricated material could enhance the reflectivity in
519 the visible and NIR region from ~10 % to ~30 %. Thus, passive cooling should be a
520 potential trend for further developing cooling technologies for buildings.

521

522 *3.8 Transparent building elements - the eyeballs of moths-based design in smart* 523 *window*

524 Smart window, an advanced window technology, modulates the solar radiation
525 whereby the energy consumption in buildings can be mitigated [84-87]. Its principle
526 is to block the excess solar energy during hot seasons but maximize the transmitted
527 solar radiation during winter. Thermochromic smart window is the most famous smart
528 window that has been largely investigated. The requirements of an ideal
529 thermochromic smart window are high luminous transmittance (T_{lum}) and a large solar
530 modulation ability (ΔT_{sol}) [85]. Numerous efforts have been made to increase T_{lum} and
531 ΔT_{sol} including some bio-inspired technologies. Bioinspired structures such as
532 antireflection surfaces have been applied for smart windows application to enhance
533 the performances. Inspired by the eyeballs of moths which contain nanostructures as
534 hexagonally arranged circular paraboloid cones, Taylor et al. [88] first used

535 finite-difference-time-domain simulation to analyze the moth-eye antireflection
536 surfaces and demonstrated that SiO₂ nanoarrays (~130 nm periodicity) with VO₂
537 nanocoating could enhance the ΔT_{sol} up to 15 % and obtain a high visible
538 transmittance of 70 %. Later, based on the simulation results above, Qian et al. [89]
539 fabricated VO₂ films with moth-eye antireflection nanostructures via reactive ion
540 etching approach to enhance the VO₂ thermochromic smart windows performances.
541 Compared with the planar VO₂ film, the bioinspired nano-patterned antireflection
542 surfaces showed about 10 % enhancement of T_{lum} and 24.5 % increase in ΔT_{sol} .

543

544 **4. Natural convection in architectural scale**

545 Researchers aim to investigate the possibilities of employing the ideas of the
546 active and passive fluid flow as well as the air ventilation management found in social
547 insects on building technology to achieve energy saving [7,8,37,90]. In this section,
548 the convective mechanism of the species that are settled in extreme climates and the
549 related bio-inspired technologies are discussed.

550

551 *4.1 Termite mounds which enhances natural convection*

552 Residing on desert ground of temperature variation as large as 50°C, termites living in
553 their mounds manage to keep the average temperature within their nest at around
554 28°C [91]. This phenomenon has inspired some preliminary efforts in harnessing the
555 superior thermal properties of the termite mounds and putting them into building
556 application. For instance, architect Mick Pearce got his inspiration from the mounds
557 in his design of Eastgate Center building in Zimbabwe's capital Harare [92]. The
558 chimneys along the roof resembles the chimneys at the top part of the termite mounds,
559 and the interior atrium facilitates natural convection like the mounds. Traces of

560 termite mound inspiration can also be found in his line of architectures, such as the
561 wavy ceiling in the atrium of the second Municipal Office Building in Melbourne
562 [93].

563

564 After an extensive study of architectures and functional organizations of termite
565 mounds, Turner and Soar claimed that there were more advantageous elements that
566 can be extracted from termite mounds for building design than air-handling systems
567 [92]. According to them, termites have high thermal resistance against extreme
568 climate because they can actively control the openings at the top and bottom of the
569 mound, and the intricate design of the mounds facilitates thermoregulation, ventilation
570 and gaseous exchange effectively. Termite mounds consist of three major parts: 1.
571 Egress tunnels and surface conduits, where the strong wind-driven forced convection
572 occurs; 2. Reticulum, where mixed forced convection and natural convection
573 dominates; 3. Nest, chimney and subterranean tunnels, where natural convection
574 occurs mostly. Indeed, some beehives and ant nests also employ similar strategy of
575 active covering or opening air passages to control the temperature and air ventilation
576 inside the nest [38]. Inspired by this configuration, porous walls, which allows mass
577 and thermal energy exchange, are developed for future application in building
578 envelopes. A similar idea has already been applied to walls in existing buildings. The
579 administration headquarters of German RWE AG used double glass curtain wall,
580 which has a layer of air sandwiched between two glasses with controllable valves to
581 promote air ventilation beneath the exterior walls, thereby regulating the indoor
582 temperature [94].

583

584 **5. Thermal management**

585 Current bio-inspired cooling technologies have been advanced and their
586 potential implementation in buildings have been widely demonstrated. However,
587 thermal comfort, indoor air quality (IAQ) and energy efficiency can only be achieved
588 with a well-developed thermal control system [95-98]. The most common example is
589 probably the simple thermostat technology, by which heating and cooling units
590 operate to maintain the air-conditioned spaces within a desired set-point range based
591 on the real-time indoor condition. Time-lag of these equipment and late thermal
592 response of the spaces are the major reasons responsible for thermal overshoots and
593 energy waste. The increasing concerns about building energy efficiency has led to the
594 rapid development of bionic green buildings worldwide utilizing the animal
595 thermoregulation strategies, which is ought to create sustainable designs. In this
596 section, a number of strategies inspired by the nature are discussed. Although these
597 strategies involve control and optimization theories, which can also be applied in
598 other fields of applications, we shall focus on their application on cooling purpose in
599 buildings in this paper. They are classified as the system application scale as in Table
600 1.

601

602 *5.1 Artificial Neural Network mimicking the brain and the neural systems*

603 In the natural, every organism has its own thermal control system which governs
604 the heat transfer process between its body and the environment, thereby preventing
605 them from overheating and hypothermia. Cold-blooded animals like alligators and
606 desert iguanas regulate their body temperature by altering the metabolic activity
607 [99-101]. Varying their heartbeat pattern allows them to control heat and cold
608 generation effectively while achieving the least energy consumption [95]. Some
609 species avoid heat losses by manipulating blood circulation [102,103]. For instance,

610 marine iguanas stopped blood flowing through the lungs in cold temperature. Even
611 plants response indirectly to heat [104]. Most plants rely on sunlight for living but too
612 much solar energy may burn up the plants. Some plants like sunflower and cotton
613 plants limit the amount of in-coming heat by tracing after or deviate from the sunlight
614 using their photo-sensory organs [105]. For human, the body temperature is always
615 maintained stably at around 37°C, but it may differ slightly depending on the physical
616 conditions of individuals. Infants sometimes have a higher normal body temperature
617 than adults. Our brain acts as a biological thermal control system which deicides the
618 optimal body temperature and keeps us at the desired stage using various heat
619 regulation strategies in our body. When we are sick, our body temperature tends to go
620 above or below normal giving an alert that our body physical function has problems.
621 At only 0.5°C above normal, it is already called a fever. The symptoms include
622 shivering, sweating, hyperalgesia, problems concentrating, etc. These thermal
623 management strategies have inspired scientists and researchers to investigate potential
624 implementation methods for existing building energy systems, among which Artificial
625 Neural Network (ANN) is believed to be the most broadly adapted method.

626 ANNs are information processes systems inspired by neural systems in
627 biological brains to predict energy consumptions in buildings [96,106]. ANN is
628 basically a network of neurons in many layers that are categorized in three areas,
629 namely input, hidden and output. The input neurons form an input layer which
630 receives signals from outside while the output neurons form the last layer called the
631 output layer which supplies the results evaluated by the system. It can be many hidden
632 layers between the input and output layers. The number of hidden layers determine
633 the complexity of a system. Neurons on adjoint layers are connected in which transfer
634 functions are employed. The computing systems are trained using previously recorded

635 data representing the relationship between input and output variables, thereby being
636 able to foresee how a system behavior under various conditions [106]. In building
637 thermal control, ANN models can precisely calculate the start and stop times of the air
638 conditioning units before the indoor temperature reaches the thermostat setpoint
639 whereby temperature overshoots and the associated energy waste can be significantly
640 reduced [107]. Besides thermal comfort, other control objectives include energy
641 efficiency [108,109], IAQ [110], and operating cost [111,112].

642

643 *5.2 Evolution: Genetic algorithms*

644 Genetic algorithms (GAs) are computational models inspired by natural
645 evolution [113]. In a GA, a population of individuals with different genome, which
646 represents parameters to be optimized, is evolved through the process of natural
647 selection. The individuals with better fitness will survive and reproduce the next
648 generation. Mutation might occur during the process to bring in additional possible
649 variations. The process ends when the number of iterations reaches the preset
650 maximum or a specific fitness has been generated. GA has been widely adopted in
651 improving the building overall design [114–120]. According to Hamdy et al. [121],
652 GA is the most frequently used optimization algorithms in more than 200 building
653 design optimization studies, in which twenty one design variables have been studied
654 with the goal of optimizing the thermal performance and energy efficiency of
655 residential buildings [114]. Since thermal comfort and energy expenditure are
656 contradicting criteria in indoor environment, the objective function is usually
657 described by the thermal discomfort degree-hours and the energy consumption of
658 air-conditioners. Zhang et al. [120] have developed a multi-objective GA to optimize
659 the thermal and daylight performance of school buildings. Through the optimization

660 process, different design parameters, including orientation, depth of classroom, depth
661 of corridor, window-to-wall ratio, glazing material and shading type were investigated.
662 Their results showed that the energy demand for heating and lighting can be reduced
663 by up to 28%, thermal discomfort in hot season by 9-23%, and the useful daytime
664 illuminance can be raised by 15-63%. Other multi-objective GA models have also
665 been developed successfully [115,122,123]. Carlucci et al. [115] developed an
666 optimization method to address a four-dimensional problem which are: (1) thermal
667 discomfort during winter, (2) thermal discomfort during summer, (3) visual
668 discomfort due to glare, and (4) visual discomfort due to an inappropriate quantity of
669 daylight. The objectives were minimized with U-values of external walls, roof, floor
670 and glazing units, visible light transmittance of glazing units, solar shading devices
671 and windows opening as design variables [115]. Besides typical building design
672 parameters, GA can also be employed in determining the placement of building
673 integrated photovoltaics (BIPV) [119].

674 GA was also adopted in optimizing the use of various building systems to
675 achieve better comfort and reduce energy consumption. It can be applied in underfloor
676 heating system [124] and HVAC system [125–127]. The typical proportional and
677 integral (PI) controller for HVAC systems would be optimized by GA [125]. The
678 overshoot and settling time were largely reduced when compared with using
679 Ziegler-Nichols method. In addition, a more advanced adaptive fuzzy logic controller
680 for an air conditioning system would also be developed by using GA and evolutionary
681 strategies [126,128]. Chang et al. [127] has optimized the chiller loadings to minimize
682 the chiller plant energy consumption by the Lagrangian method and GA. The results
683 showed that GA can save 20% to 74% of electrical energy compared to the
684 Lagrangian method. GA also showed better convergent ability in low load condition.

685 On the water-side, the water flow rate can be optimized by applying GA on the
686 position of the valves [97]. On the air-side, the velocity and temperature of supply air
687 can be taken as controlled variables to optimize the thermal comfort, head to ankle
688 temperature difference and CO₂ level [129]. Due to its promising performance, it is
689 expected that abundant research and building designs will be generated by using GA
690 in the future.

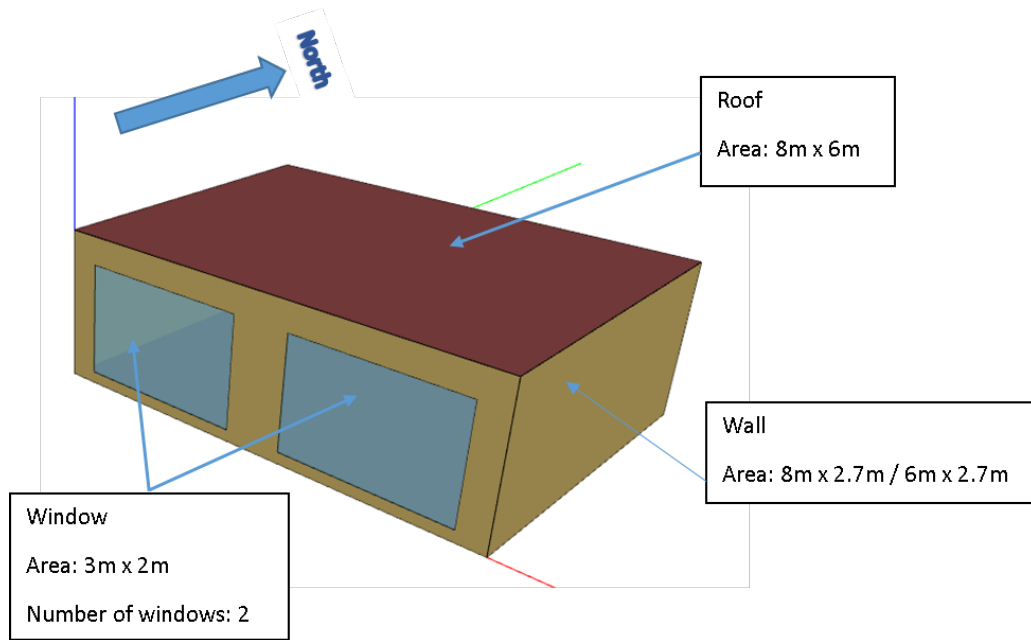
691

692 **6. A case study simulation**

693 In order to compare the current developed bio-inspired cooling technologies and
694 to study the feasibility of applying these technologies in a house, a simulation study
695 was conducted using EnergyPlus, an open-source whole-building energy modeling
696 (BEM) engine developed by U.S. Department of Energy. Detailed building physics
697 for air, moisture, and heat transfer were included in EnergyPlus. Radiative and
698 convective heat transfers were treated separately to support modeling of radiant
699 systems and calculation of thermal comfort metrics. Because of its high flexibility,
700 different component-level configuration of HVAC, plant, and refrigeration systems is
701 supported. The transient states of the building were simulated in EnergyPlus, so fast
702 system dynamics and control strategies would be realized. EnergyPlus is tested
703 according to ASHRAE Standard 140, which applies to building energy computer
704 programs that calculate the thermal performance of a building and its mechanical
705 systems. In this section, four simulated bio-inspired cooling technologies including
706 carbon nanotube aerogel coating, passive radiative cooler, thermochromic smart
707 window and evaporative condensers are analyzed by EnergyPlus. These four
708 bio-inspired technologies are considered because they have been experimentally
709 tested, and these technologies have potential to be widely applied in the coming future

710 for improving energy efficiency. Details and performance of each of the four
711 bio-inspired technologies is presented one after another in the following sections. In
712 this study, ASHRAE standard test case 600 was adopted (Figure 2). Standard test case
713 600 is the recommended base case for building thermal envelope and fabric load tests
714 according to ASHRAE Standard 140 [130]. It is a room with a rectangular floor plan
715 of 8m x 6m and height of 2.7m equipped with two double pane windows at size of 3m
716 x 2m on south facing wall. The thermal and material properties for the wall, floor and
717 roof were listed in Table 2. Since the performance of the bio-inspired technologies is
718 highly depended on the weather conditions, three cities were considered: (1) Hong
719 Kong, (2) New York, and (3) Singapore in the simulation study. They are highly
720 civilized cities located in different climate conditions. Hong Kong's climate is
721 sub-tropical, tending towards temperate for nearly half the year, with very mild
722 winters and hot, rainy, and muggy summers. The climate of New York is generally
723 humid continental. Winter temperatures average below freezing during January and
724 February in much of New York State. Singapore is situated near the equator and has a
725 typically tropical climate, with abundant rainfall, high and uniform temperatures, and
726 high humidity all year round. The annual energy consumptions in the three cities of
727 the standard case are calculated by assuming the coefficient of performance of the air
728 conditioning system to be 3, and listed in Table 3.

729



730

731

Figure 2. Schematic diagram of ASHRAE standard test case 600

732

733

Table 2. Thermal and material properties for ASHRAE standard test case 600

Element	Thermal			Heat		
	conductivity W/(m.K)	Thickness, m	U-value, W/(m ² .K)	R-value, (m ² .K)/W	Density, kg/m ³	capacity c _p , J/(kg.K)
Lightweight Case: Exterior Wall (inside to outdoors)						
Interior surface coefficient			8.290	0.121		
Plasterboard	0.160	0.012	13.333	0.075	950.000	840.000
Fiberglass quilt	0.040	0.066	0.606	1.650	12.000	840.000
Wood sidling	0.140	0.009	15.556	0.064	530.000	900.000
Exterior surface coefficient			29.300	0.034		
Lightweight Case: Floor (inside to outdoors)						
Interior surface coefficient			8.290	0.121		
Timber flooring	0.140	0.025	5.600	0.179	650.000	1200.000
Insulation	0.040	1.003	0.040	25.075	0.000	0.000
Lightweight Case: Roof (inside to outdoors)						
Interior surface coefficient			8.290	0.121		
Plasterboard	0.160	0.010	16.000	0.063	950.000	840.000
Fiberglass quilt	0.040	0.112	0.357	2.800	12.000	840.000
Roofdeck	0.140	0.019	7.368	0.136	530.000	900.000
Exterior surface coefficient			29.300	0.034		
Summary: Lightweight Case						

Component	U, W/m ² K	Area, m ²	UA, W/K
Wall	0.5144	63.600	32.715
Floor	0.0394	48.000	1.892
Roof	0.3177	48.000	15.253
Window		12.000	36.000
Infiltration			18.440
Total UA (with window)			104.300
Total UA (without window)			68.300

734

735

Table 3. Annual energy consumptions in three cities of the standard case

	Cooling Load (kWh)												Annual Energy Consumption (kWh)	
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total	
Hong Kong	428	296	328	413	548	645	768	750	753	879	771	630	7,210	2,403
New York	173	261	314	372	469	665	829	818	744	595	237	211	5,689	1,896
Singapore	882	774	700	689	733	709	703	695	618	753	780	815	8,851	2,950

736

737 *6.1 Performance of carbon nanotube aerogel*

738 The simulated annual cooling load under base case in Hong Kong, New York and

739 Singapore are 7,210 kWh, 5,689 kWh and 8,851 kWh, respectively (see Table 3).

740 According to [32], the thermal conductivity of the carbon nanotube aerogel inspired

741 by polar bear hair can reach as low as 0.023 mW/mK. If the carbon nanotube aerogel

742 was applied to replace fiberglass quilt in the wall, the U-value of the wall can be

743 substantially reduced from 0.5144 W/m²K to 0.3161 W/m²K. The annual cooling load

744 power in Hong Kong, New York and Singapore would change to 6,565 kWh, 5,747

745 kWh and 7,872 kWh, respectively, with a reduction of 8.94%, -1.03% and 11.06%

746 respectively. This technology works particularly well in tropical and sub-tropical

747 climates, but it may also lead to an increase in energy consumption in other regions.

748 In New York, the outdoor temperature is generally lower than Hong Kong and

749 Singapore. When the outdoor temperature is lower than the indoor set point
750 temperature, a heat loss to the outdoor would reduce the cooling load of the building,
751 so a better insulated wall would reduce such beneficial heat loss in some occasions
752 resulting in higher cooling load.

753

754 *6.2 Performance of bio-inspired passive radiative cooler*

755 A passive radiative cooler is a passive device that can dissipate heat by strongly
756 and selectively emitting radiation to the cold universe. According to Jeong et al. [76],
757 a passive radiative cooler inspired by Saharan silver ant can provide a net cooling
758 power of 19.7W/m^2 in a field test in Hong Kong during the daytime. Considering a
759 cooling unit with the same size as the window of $3\text{m} \times 2\text{m}$ installing on the roof, it
760 can reduce the net annual cooling load by 1,035 kWh theoretically. However, the
761 performance of radiative cooler relies on the transparency of the sky that the infrared
762 heat energy can be radiated to the universe. Any blockage between the cooling device
763 and universe will affect the cooling effect. In this study, we considered the cloud
764 coverage among the three cities (data provided by EnergyPlus), while the effect of
765 relative humidity is neglected to simplify the calculation. A more humid air has high
766 infrared absorptivity that lower the cooling effect of the radiative cooler. In general, a
767 place with higher cloud coverage is usually more humid, so the effect of relative
768 humidity would be represented by the cloud coverage. The annual average cloud
769 coverage in Hong Kong, New York and Singapore are 69.68%, 56.24% and 85.63%
770 respectively. Hence, the annual cooling load reduction in Hong Kong, New York and
771 Singapore are 314 kWh (4.35%), 453 kWh (7.97%) and 149 kWh (1.68%)
772 respectively. It can be seen that the radiative cooler would function well in places with
773 dry climate like New York. However, several drawbacks exist and hinder its further

774 practical development. First, most of the designs utilized photonic nanostructures.
775 Large-scale manufacture of the coolers with equal performance is challenging. Second,
776 the long-term maintenance and proper methods to incorporate the coolers into
777 building infrastructure are also big challenges. Any surface dust or air pollutants
778 would reduce the cooling performance of the radiative cooler. Third, in order to
779 achieve the optimal cooling performance, the cooler should be fully exposed to the
780 sky, so that the cooler can only be installed on horizontal roof. However, the roof area
781 is limited and the cooler cannot meet the cooling requirements of multi-story
782 buildings [77].

783

784 *6.3 Performance of bio-inspired thermochromic smart window*

785 As discussed in Section 3.8, thermochromic smart window can change its color
786 to block the solar energy from getting indoor during hot seasons and maximize the
787 transmitted solar radiation during winter. Ye et al. [86] has demonstrated the effect of
788 a thermochromic smart window in Hefei, China, showing a reduction in cooling load
789 of a room from 10.2% to 19.9% comparing to ordinary glazing. The annual cooling
790 load reduction was also simulated to be 9.4% for a room with window-to-wall ratio
791 (WWR) of 0.13 [86]. As the WWR for the standard test case 600 is 0.16, two
792 thermochromic smart windows of size of 12 m² in total would save 11.44% of power
793 consumption. The transition temperature for smart window in this research is 41.3 °C,
794 which is still quite above the ambient temperature. It is believed that if the transition
795 temperature can be tuned to 14~20 °C, more energy can be saved [87].

796

797 *6.4 Performance of bio-inspired evaporative condensers*

798 As discussed previously, evaporative condensers inspired by sweating skin of

799 mammal were reported to reduce up to 58% of the power consumption of the air
800 conditioning system, compared with an air-cooled condenser [26]. For the base case,
801 the coefficient of performance of the air conditioning system is assumed to be 3. With
802 58% of power reduction, the COP would increase up to 7.14. The annual energy
803 consumption of each bio-inspired cooling technologies and the overall performance
804 are shown in Table 3. With the three building envelope bio-inspired technologies
805 integrated (carbon nanotube aerogel, passive radiative cooler and thermochromic
806 smart window), the annual energy consumption in Hong Kong, New York and
807 Singapore are 1,845 kWh, 1,563 kWh and 2,280 kWh, respectively, while the energy
808 consumption would be reduced to 775 kWh, 657 kWh and 958 kWh, respectively if
809 evaporative condensers are employed. The use of evaporative condensers would be
810 promising but the exposed wet surface on the condensers might cause some health
811 problems. The hot and humid surface is ideal for legionellae to grow and proliferate
812 [131]. Thus, additional care should be given to disinfect the circulating water and
813 biocide has to be dosed in the water.

814

815 Table 3 Summary of annual performance of bio-inspired cooling technologies with
816 standard case

	Cooling Load Required (kWh)	Cooling Load Reduction (kWh)	Annual Energy Consumption (kWh)	%Reduction
Standard Case in Hong Kong	7,210		2,403	
Applying carbon nanotube aerogel	6,565	645	2,188	8.94%
Applying radiative Cooler	6,896	314	2,299	4.35%
Applying Smart Window	6,385	825	2,128	11.44%
Applying above three technologies	5,536	1,674	1,845	23.22%
Applying evaporative condensers	5,536	1,674	775	67.74%
Standard Case in New York	5,689		1,896	

Applying carbon nanotube aerogel	5,747	-59	1,916	-1.03%
Applying radiative Cooler	5,235	453	1,745	7.97%
Applying Smart Window	5,038	651	1,679	11.44%
Applying above three technologies	4,688	1,000	1,563	17.58%
Applying evaporative condensers	4,688	1,000	657	65.37%
Standard Case in Singapore	8,851		2,950	
Applying carbon nanotube aerogel	7,872	979	2,624	11.06%
Applying radiative Cooler	8,702	149	2,901	1.68%
Applying Smart Window	7,838	1,013	2,613	11.44%
Applying above three technologies	6,840	2,011	2,280	22.72%
Applying evaporative condensers	6,840	2,011	958	67.53%

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818

819 7. Conclusions

820 The bio-inspired cooling technologies according to the classification of building
821 elements and application scale were reviewed. Technologies for the improvement of
822 HVAC systems were discussed followed by the review of building element
823 technologies - opaque and transparent building elements. Their heat transfer
824 mechanisms, i.e. conduction, convection, evaporation/phase change and radiation,
825 were also explained. Energy efficient building cannot be achieved effectively by a
826 single technology. Optimization of different cooling technologies by thermal
827 management is essential and some bio-inspired algorithms, e.g. artificial neural
828 network, genetic algorithms were compared. A case study was conducted using
829 EnergyPlus simulation to analyze the performance of different bio-inspired
830 technologies in three different cities. Four types of bio-inspired technologies
831 regarding to carbon nanotube aerogel, passive radiative cooler, thermochromic smart
832 window and evaporative condensers were considered and showed their promising
833 capabilities for future applications. Drawbacks and limitations in applying these
834 technologies were discussed.

835

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