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# Maximum-norm stability of the finite element Ritz projection under mixed boundary conditions

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**Abstract** As a model of the second order elliptic equation with non-trivial boundary conditions, we consider the Laplace equation with mixed Dirichlet and Neumann boundary conditions on convex polygonal domains. Our goal is to establish that finite element discrete harmonic functions with mixed Dirichlet and Neumann boundary conditions satisfy a weak (Agmon-Miranda) discrete maximum principle, and then prove the stability of the Ritz projection with mixed boundary conditions in  $L^\infty$  norm. Such results have a number of applications, but are not available in the literature. Our proof of the maximum-norm stability of the Ritz projection is based on converting the mixed boundary value problem to a pure Neumann problem, which is of independent interest.

**Keywords** stability · maximum norm · finite element method

**Mathematics Subject Classification (2000)** MSC code1 · MSC code2 · more

## 1 Introduction

We consider a finite element approximation of the mixed boundary value problem

$$\begin{aligned} -\Delta u &= f, & \text{in } \Omega, \\ u &= u_\Gamma, & \text{on } \Gamma, \\ \partial_n u &= 0, & \text{on } \partial\Omega \setminus \Gamma, \end{aligned} \tag{1.1}$$

where  $\Omega$  is a convex polygon in  $\mathbb{R}^2$ ,  $\Gamma$  is a union of some edges of  $\Omega$  ( $\Gamma \neq \emptyset$ ) and  $u_\Gamma$  is a given function defined on  $\Gamma$ .

Let  $S_h$  denote a space of continuous piecewise polynomials of degree  $r \geq 1$  on a quasi-uniform triangulation of  $\Omega$  of size  $h$  and let  $S_h^\Gamma = S_h \cap H_\Gamma^1(\Omega)$ , with  $H_\Gamma^1(\Omega) := \{u \in H^1(\Omega) : u = 0 \text{ on } \Gamma\}$ . We define a finite element approximation  $u_h \in S_h$  of (1.1) as

$$(\nabla u_h, \nabla v_h) = (f, v_h), \quad \forall v_h \in S_h^\Gamma, \tag{1.2}$$

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with  $u_h = I_h u_\Gamma$  on  $\Gamma$ , where  $I_h$  denotes the Lagrange interpolation operator. It is well known (cf. [31, Sec. 4.4]) that the finite element solution  $u_h$  defined this way is stable in  $H^1(\Omega)$  norm and as a result satisfies the following approximation property

$$\|u - u_h\|_{H^1(\Omega)} \leq C \|u - I_h u\|_{H^1(\Omega)}. \quad (1.3)$$

The main goal of this paper is to establish the analogous approximation (stability) result in  $L^\infty(\Omega)$  norm, i.e.

$$\|u - u_h\|_{L^\infty(\Omega)} \leq C \ell_h \|u - I_h u\|_{L^\infty(\Omega)}, \quad (1.4)$$

with a constant  $C$  independent of the solution  $u$  and the mesh size  $h$ , and

$$\ell_h = \begin{cases} 1 + |\ln h| & \text{if } r = 1, \\ 1 & \text{if } r \geq 2. \end{cases} \quad (1.5)$$

The logarithmic factor in the piecewise linear case cannot be removed in general [17] (see also [10]). The estimate (1.4) by the triangle inequality and the stability of the interpolant implies that the Ritz projection  $R_h : H^1(\Omega) \cap C(\overline{\Omega}) \rightarrow S_h$  defined by

$$(\nabla R_h u, \nabla v_h) = (\nabla u, \nabla v_h), \quad \forall v_h \in S_h^\Gamma, \quad (1.6)$$

with  $R_h u = I_h u$  on  $\Gamma$ , extends to a bounded linear operator  $R_h : C(\overline{\Omega}) \rightarrow S_h$ , and satisfies

$$\|R_h u\|_{L^\infty(\Omega)} \leq C \ell_h \|u\|_{L^\infty(\Omega)}. \quad (1.7)$$

Such result has important applications in maximum-norm error estimates of finite element methods for elliptic and parabolic equations [19–21, 33], discrete resolvent estimates of elliptic operators [3, 6], and maximal  $L^p$  regularity of finite element solutions of parabolic equations [11, 12, 22, 23]. However, all papers that establish (1.7) only deal with Dirichlet or Neumann boundary conditions. Thus, (1.7) has been established for the Dirichlet problem on polygonal domains [30], convex polyhedral domains [18], and smooth domains in  $\mathbb{R}^N$  [29]. The mixed boundary conditions are not covered in the literature so far, however such problems have a number of applications [9].

A similar result in  $W^{1,\infty}$  norm, namely

$$\|u - u_h\|_{W^{1,\infty}(\Omega)} \leq C \|u - I_h u\|_{W^{1,\infty}(\Omega)} \quad (1.8)$$

has been established for Dirichlet problems on convex polygonal domains [24], [27] and convex polyhedral domains with quasi-uniform [16] and mildly graded meshes [8] without any logarithmic factor even for the piecewise linear case. The corresponding  $W^{1,\infty}$  estimates were also established for the Stokes problem in convex polyhedra [15] with Dirichlet boundary conditions.

Our work was motivated by [30], where the error estimate (1.4) and as a result (1.7) were established for Dirichlet problems on polygonal domains. The proof is based on a weak discrete maximum principle (Agmon-Miranda maximum principle), namely

$$\|u_h\|_{L^\infty(\Omega)} \leq C \|u_h\|_{L^\infty(\Gamma)}, \quad (1.9)$$

for any discrete harmonic function  $u_h$  satisfying

$$(\nabla u_h, \nabla v_h) = 0, \quad \forall v_h \in S_h^\Gamma, \quad (1.10)$$

with  $\Gamma = \partial\Omega$ . Using (1.9) and the knowledge of the finite element solution on the boundary, the Dirichlet problem in a polygon can be converted to a problem on a larger domain by extending the exact solution out of the polygon. Then (1.4) can be proved by applying an interior maximum-norm estimates of [28]. Unfortunately, this argument

cannot be extended to the Neumann problem or a problem with mixed boundary conditions since the values of the finite element solutions on the boundary in these cases are unknown.

In this paper, we prove the weak discrete maximum principle for mixed boundary value problems on convex polygons, where  $\Gamma$  is only a proper subset of the boundary  $\partial\Omega$  on which the Dirichlet boundary condition is specified. Then we apply this weak discrete maximum principle to prove the maximum-norm error estimate (1.4) by converting the mixed boundary conditions to a pure Neumann problem. Our main results are presented in the following two theorems.

The first theorem establishes a weak discrete maximum principle for the problem with mixed boundary conditions.

**Theorem 1 (Weak discrete maximum principle)** *Let  $\Omega$  be a convex polygon,  $\Gamma$  be the union of some edges of  $\Omega$ , and  $u_h \in S_h$  be a discrete harmonic function satisfying (1.10). Then there exists a constant  $C$ , independent of  $h$  and  $u_h$ , such that*

$$\|u_h\|_{L^\infty(\Omega)} \leq C\|u_h\|_{L^\infty(\Gamma)}.$$

The second result establishes the best approximation property of the error in  $L^\infty$  norm.

**Theorem 2 (Best approximation property)** *Let  $\Omega$  be a convex polygon,  $\Gamma$  be the union of some edges of  $\Omega$ , and  $u_h \in S_h$  be the finite element solution of (1.1) defined by (1.2) with  $u_h = I_h u_\Gamma$  on  $\Gamma$ . Then there exists a constant  $C$  independent of  $u$ ,  $u_h$  and  $h$  such that*

$$\|u - u_h\|_{L^\infty(\Omega)} \leq C\ell_h \|u - I_h u\|_{L^\infty(\Omega)},$$

where  $\ell_h$  is given in (1.5).

**Corollary 1 (Maximum-norm stability)** *By the triangle inequality one can immediately deduce that Theorem 2 is equivalent to the stability of the Ritz projection in  $L^\infty$  norm, i.e.*

$$\|u_h\|_{L^\infty(\Omega)} := \|R_h u\|_{L^\infty(\Omega)} \leq C\ell_h \|u\|_{L^\infty(\Omega)}.$$

**Remark 1** Since the regularity of the solution of a mixed boundary value problem on convex polygonal domains is similar to the regularity of a Dirichlet problem on nonconvex polygonal domains, our results for mixed boundary value problems on convex polygonal domains are as sharp as the results for Dirichlet problems on nonconvex polygonal domains.

As we mentioned above, the current paper is inspired by [30] and some elements of the analysis are similar. However, there are some significant technical differences. The paper [30] deals with Dirichlet problem on non-convex domains  $\Omega$  and the analysis in [30] used an assumption that the original (non-convex) domain  $\Omega$  can be extended to a larger convex domain  $\tilde{\Omega} \supset \Omega$  and each quasi-uniform triangulation  $T_h$  of  $\Omega$  can be extended to a quasi-uniform triangulation  $\tilde{T}_h$  of  $\tilde{\Omega}$ . In our paper, we already dealing with convex domains, but with mixed boundary conditions. Although the regularity of the solution in our case is very similar to the regularity of the solution in [30], new technical difficulties need to be overcome due to the mixed boundary conditions. The key argument in our analysis is essentially reducing the problem to showing the stability of the Ritz projection with only Neumann boundary conditions (cf. Lemma 9).

The rest of the paper is organized as follows. In the next section we provide some important local and global regularity results for the continuous problem (1.1) to be used later in the proofs. In Section 3 we provide proofs of Theorems 1 and 2. Finally, Section 4 is devoted to a proof of the stability of the Ritz projection for a pure inhomogeneous Neumann problem which plays a central role in the proofs of the main results Theorem 1 and Theorem 2.

## 2 Preliminaries

Throughout the paper we use the usual notation for Lebesgue and Sobolev spaces. We denote by  $(\cdot, \cdot)$  the  $L^2(\Omega)$  inner-product and we will specify a subdomain in the case it is not the whole  $\Omega$ . Throughout this paper we assume that  $\Omega$  is a convex polygonal domain. The boundary segments of  $\partial\Omega$  are denoted by  $\Gamma_j$ ,  $j = 1, \dots, M$  with the corresponding angles  $\alpha_j$ ,  $j = 1, \dots, M$ . Without loss of generality we assume  $\alpha_M < \pi$  to be the largest interior angle of  $\Omega$ .

### 2.1 Global Regularity Results

In this section we state the regularity results for the Poisson equation with the Neumann boundary conditions

$$\begin{cases} -\Delta u = f, & \text{in } \Omega, \\ \partial_n u = g, & \text{on } \partial\Omega, \end{cases} \quad (2.1)$$

with the compatibility condition

$$\int_{\Omega} f + \int_{\partial\Omega} g = 0.$$

The first result is  $H^{2+s}(\Omega)$  estimate of the solution to (2.1).

**Lemma 1** *Let  $s \in [0, 1 - \frac{\alpha_M}{\pi})$  and  $u \in H^1(\Omega)$  be a solution of (2.1) with  $f \in H^s(\Omega)$  and  $g \in H_{\text{piecewise}}^{1/2+s}(\partial\Omega)$ , where*

$$H_{\text{piecewise}}^{1/2+s}(\partial\Omega) = \{q \in L^2(\partial\Omega) : q \in H^{1/2+s}(\Gamma_i), i = 1, \dots, M\},$$

*with  $\partial\Omega = \cup_{i=1}^M \Gamma_i$ . Then there exists a constant  $C$  such that*

$$\|u\|_{H^{2+s}(\Omega)} \leq C(\|f\|_{H^s(\Omega)} + \|g\|_{H_{\text{piecewise}}^{1/2+s}(\partial\Omega)}).$$

The case  $s = 0$  can be found in [2]. For  $s \in (0, 1 - \frac{\alpha_M}{\pi})$ , the  $H^{2+s}$  regularity is a consequence of the following two lemmas.

**Lemma 2** *For  $s \in (0, 1 - \frac{\alpha_M}{\pi})$ , suppose that  $u \in H^1(\Omega)$  is a solution of (2.1) with  $g = 0$  and  $f \in H^s(\Omega)$ . Then we have*

$$\|u\|_{H^{2+s}(\Omega)} \leq C\|f\|_{H^s(\Omega)}. \quad (2.2)$$

**Lemma 3** *Let  $s \in (0, 1 - \frac{\alpha_M}{\pi})$ . Then there exists a bounded linear lifting operator  $\mathcal{A} : H_{\text{piecewise}}^{1/2+s}(\partial\Omega) \rightarrow H^{2+s}(\Omega)$  such that*

$$\partial_n \mathcal{A}g = g.$$

Lemma 2 is a special case of (23.3) in [7] and Lemma 3 is a consequence of Theorem 5.2 and Corollary 5.3 in Chapter 1 of [4].

## 2.2 Local Regularity Results

For the Neumann problem (2.1) with  $g = 0$ , by using Lemma 1 we can show the following local regularity result.

**Lemma 4 (Local  $H^{2+s}$  regularity in balls)** *Let  $u$  be a solution to (2.1) with  $g = 0$  and  $s \in [0, 1 - \frac{\alpha_M}{\pi})$ . Let  $B_d(z) \subset B_{2d}(z)$  be balls of radius  $d$  and radius  $2d$  centered at the point  $z \in \Omega$ , respectively, and set  $\Omega_d = B_d(z) \cap \Omega$  and  $\Omega'_d = B_{2d}(z) \cap \Omega$ . Then the following estimate holds:*

$$\|u\|_{H^{2+s}(\Omega_d)} \leq Cd^{-s} \left( \|f\|_{L^2(\Omega'_d)} + d\|\nabla f\|_{L^2(\Omega'_d)} + \|\nabla u\|_{L^\infty(\Omega'_d)} \right).$$

*Proof* Let  $z$  be any point in  $\Omega'_d$  and set  $\tilde{u} = (u - u(z))\omega$ . We consider a smooth cut-off function  $\omega$  with the following properties

$$\omega(x) \equiv 1, \quad x \in B_d(z) \quad (2.3a)$$

$$\omega(x) \equiv 0, \quad x \in \Omega \setminus B_{2d}(z) \quad (2.3b)$$

$$|\nabla^k \omega| \leq C_k d^{-k}, \quad k = 0, 1, 2, \dots \quad (2.3c)$$

There holds:

$$\begin{cases} -\Delta \tilde{u} = -\nabla \cdot ((u - u(z))\nabla \omega) - \nabla u \cdot \nabla \omega + f\omega, & \text{in } \Omega, \\ \partial_n \tilde{u} = (u - u(z))\nabla \omega \cdot \vec{n} & \text{on } \partial\Omega, \end{cases} \quad (2.4)$$

and therefore  $\tilde{u}$  satisfies the following equation

$$\begin{cases} -\Delta \tilde{u} = \tilde{f}, & \text{in } \Omega, \\ \partial_n \tilde{u} = \tilde{g} \cdot \vec{n} & \text{on } \partial\Omega, \end{cases} \quad (2.5)$$

where

$$\tilde{f} = f\omega - 2\nabla u \cdot \nabla \omega - (u - u(z))\Delta \omega \quad \text{and} \quad \tilde{g} = (u - u(z))\nabla \omega,$$

and the compatibility condition is satisfied, i.e.  $\int_\Omega \tilde{f} + \int_{\partial\Omega} \tilde{g} \cdot \vec{n} = 0$ .

Note that

$$\|f\omega\|_{L^2(\Omega)} \leq C\|f\|_{L^2(\Omega'_d)}$$

and

$$\|f\omega\|_{H^1(\Omega)} \leq Cd^{-1}\|f\|_{L^2(\Omega'_d)} + C\|\nabla f\|_{L^2(\Omega'_d)},$$

which imply by the interpolation and Young's inequalities,

$$\begin{aligned} \|f\omega\|_{H^s(\Omega)} &\leq \|f\omega\|_{L^2(\Omega)}^{1-s} \|f\omega\|_{H^1(\Omega)}^s \\ &\leq Cd^{-s}\|f\|_{L^2(\Omega'_d)} + C\|f\|_{L^2(\Omega'_d)}^{1-s} \|\nabla f\|_{L^2(\Omega'_d)}^s \\ &\leq Cd^{-s}\|f\|_{L^2(\Omega'_d)} + Cd^{1-s}\|\nabla f\|_{L^2(\Omega'_d)}. \end{aligned} \quad (2.6)$$

Since the domain  $\Omega'_d$  is convex, any two points  $z_1, z_2 \in \Omega'_d$  can be connected by a line of length at most  $4d$  in  $\Omega'_d$ , by the properties of  $\omega$  and the Hölder inequality, we have

$$\begin{aligned} \|(u - u(z))\Delta \omega\|_{L^2(\Omega)} &\leq Cd^{-2}\|u - u(z)\|_{L^2(\Omega'_d)} \\ &\leq Cd^{-1}\|u - u(z)\|_{L^\infty(\Omega'_d)} \leq C\|\nabla u\|_{L^\infty(\Omega'_d)} \end{aligned}$$

and

$$\begin{aligned}
\|(u - u(z))\Delta\omega\|_{H^1(\Omega)} &\leq \|(u - u(z))\Delta\omega\|_{L^2(\Omega)} + \|\nabla(u - u(z))\Delta\omega\|_{L^2(\Omega)} \\
&\quad + \|(u - u(z))\nabla\Delta\omega\|_{L^2(\Omega)} \\
&\leq Cd^{-2}\|u - u(z)\|_{L^2(\Omega'_d)} + Cd^{-2}\|\nabla(u - u(z))\|_{L^2(\Omega'_d)} \\
&\quad + Cd^{-3}\|u - u(z)\|_{L^2(\Omega'_d)} \\
&\leq Cd^{-1}\|\nabla u\|_{L^\infty(\Omega'_d)},
\end{aligned}$$

which imply

$$\begin{aligned}
\|(u - u(z))\Delta\omega\|_{H^s(\Omega)} &\leq \|(u - u(z))\Delta\omega\|_{L^2(\Omega)}^{1-s} \|(u - u(z))\Delta\omega\|_{H^1(\Omega)}^s \\
&\leq Cd^{-s}\|\nabla u\|_{L^\infty(\Omega'_d)}.
\end{aligned} \tag{2.7}$$

Similarly, we have

$$\|\nabla u \cdot \nabla \omega\|_{L^2(\Omega)} \leq Cd^{-1}\|\nabla u\|_{L^2(\Omega'_d)}$$

and

$$\|\nabla u \cdot \nabla \omega\|_{H^1(\Omega)} \leq Cd^{-2}\|\nabla u\|_{L^2(\Omega'_d)} + Cd^{-1}\|u\|_{H^2(\Omega'_d)},$$

which imply by the interpolation and Young's inequalities,

$$\begin{aligned}
\|\nabla u \cdot \nabla \omega\|_{H^s(\Omega)} &\leq \|\nabla u \cdot \nabla \omega\|_{L^2(\Omega)}^{1-s} \|\nabla u \cdot \nabla \omega\|_{H^1(\Omega)}^s \\
&\leq Cd^{-(1-s)}\|\nabla u\|_{L^2(\Omega'_d)}^{1-s} (Cd^{-2}\|\nabla u\|_{L^2(\Omega'_d)} + Cd^{-1}\|u\|_{H^2(\Omega'_d)})^s \\
&\leq Cd^{-1-s}\|\nabla u\|_{L^2(\Omega'_d)} + Cd^{-1}\|\nabla u\|_{L^2(\Omega'_d)}^{1-s} \|u\|_{H^2(\Omega'_d)}^s.
\end{aligned} \tag{2.8}$$

By using Lemma 1, (2.6), (2.7) and (2.8), we obtain

$$\begin{aligned}
\|u\|_{H^2(\Omega_d)} &= \|\tilde{u}\|_{H^2(\Omega_d)} \leq \|\tilde{u}\|_{H^2(\Omega)} \\
&\leq C\|\tilde{f}\|_{L^2(\Omega)} + C\|\tilde{g} \cdot \vec{n}\|_{H^{1/2}_{\text{piecewise}}(\partial\Omega)} \\
&\leq C\|\tilde{f}\|_{L^2(\Omega)} + C\|\tilde{g}\|_{H^1(\Omega)} \\
&\leq C\|f\|_{L^2(\Omega'_d)} + Cd^{-1}\|\nabla u\|_{L^2(\Omega'_d)} + C\|\nabla u\|_{L^\infty(\Omega'_d)} \\
&\leq C\|f\|_{L^2(\Omega'_d)} + C\|\nabla u\|_{L^\infty(\Omega'_d)},
\end{aligned}$$

and for  $s \in (0, 1 - \frac{\alpha_M}{\pi})$

$$\begin{aligned}
\|u\|_{H^{2+s}(\Omega_d)} &= \|\tilde{u}\|_{H^{2+s}(\Omega_d)} \\
&\leq \|\tilde{u}\|_{H^{2+s}(\Omega)} \\
&\leq C\|\tilde{f}\|_{H^s(\Omega)} + C\|\tilde{g} \cdot \vec{n}\|_{H^{1/2+s}_{\text{piecewise}}(\partial\Omega)} \\
&\leq C\|\tilde{f}\|_{H^s(\Omega)} + C\|\tilde{g}\|_{H^{1+s}(\Omega)} \\
&\leq Cd^{-s}\|f\|_{L^2(\Omega'_d)} + C\|f\|_{L^2(\Omega'_d)}^{1-s} \|\nabla f\|_{L^2(\Omega'_d)}^s + Cd^{-1-s}\|\nabla u\|_{L^2(\Omega'_d)} \\
&\quad + Cd^{-1}\|\nabla u\|_{L^2(\Omega'_d)}^{1-s} \|u\|_{H^2(\Omega'_d)}^s + Cd^{-s}\|\nabla u\|_{L^\infty(\Omega'_d)} \\
&\leq Cd^{-s}\|f\|_{L^2(\Omega'_d)} + Cd^{1-s}\|\nabla f\|_{L^2(\Omega'_d)} \\
&\quad + Cd^{-1-s}\|\nabla u\|_{L^2(\Omega'_d)} + Cd^{-s}\|u\|_{H^2(\Omega'_d)} + Cd^{-s}\|\nabla u\|_{L^\infty(\Omega'_d)} \\
&\leq Cd^{-s}\|f\|_{L^2(\Omega'_d)} + Cd^{1-s}\|\nabla f\|_{L^2(\Omega'_d)} \\
&\quad + Cd^{-s}\|u\|_{H^2(\Omega'_d)} + Cd^{-s}\|\nabla u\|_{L^\infty(\Omega'_d)}.
\end{aligned}$$

By substituting the  $H^2$  estimate into the  $H^{2+s}$  estimate, we complete the proof.

Since any dyadic annulus  $R_d(x_0) = \{x \in \mathbb{R}^2 : d \leq |x - x_0| < 2d\}$  can be covered by a finite number of balls  $B_{2/d}(z_j)$ ,  $j = 1, \dots, M$ , where  $z_j \in R_d$  and  $M$  is bounded by constant. Lemma 4 immediately implies the following result.

**Lemma 5 (Local  $H^{2+s}$  regularity in annulus)** *Let  $u$  be a solution to (2.1) with  $g = 0$  and  $s \in [0, 1 - \frac{\alpha_M}{\pi})$ . Let  $\Omega_d = \{x \in \Omega : d \leq |x - x_0| < 2d\}$  and  $\Omega'_d = \{x \in \Omega : d/2 \leq |x - x_0| < 4d\}$ . Then the following estimate holds:*

$$\|u\|_{H^{2+s}(\Omega_d)} \leq Cd^{-s} \left( \|f\|_{L^2(\Omega'_d)} + d\|\nabla f\|_{L^2(\Omega'_d)} + \|\nabla u\|_{L^\infty(\Omega'_d)} \right).$$

### 2.3 Discretization and local energy estimate

For  $h \in (0, h_0]$ ,  $h_0 > 0$ , let  $\mathcal{T}$  denote a quasi-uniform triangulation of  $\Omega$  with a mesh size  $h$ , i.e.,  $\mathcal{T} = \{\tau\}$  is a partition of  $\Omega$  into cells (triangles)  $\tau$  of diameter  $h_\tau$  such that for  $h = \max_\tau h_\tau$ ,

$$\text{diam}(\tau) \leq h \leq C|\tau|^{\frac{1}{2}}, \quad \forall \tau \in \mathcal{T},$$

hold.

Then the usual nodewise interpolant  $I_h : C(\overline{\Omega}) \rightarrow S_h$  has the following approximation properties (cf., e.g., [5, Theorem 3.1.5])

$$\|u - I_h u\|_{L^q(\Omega)} \leq Ch^{2+2(\frac{1}{q}-\frac{1}{p})} \|u\|_{W^{2,p}(\Omega)}, \quad \text{for } q \geq p > 1, \quad (2.9a)$$

$$\|u - I_h u\|_{L^\infty(\Omega)} \leq Ch^{1+\alpha} \|u\|_{C^{1+\alpha}(\overline{\Omega})}, \quad \text{for } 0 \leq \alpha \leq 1, \quad (2.9b)$$

where  $C^{1+\alpha}(\overline{\Omega})$  is the space of Hölder continuous functions.

We will also require the following superapproximation result [25].

**Lemma 6** *Assume  $\Omega_0 \subset \Omega_1 \subset \Omega$  with  $\{x \in \Omega : \text{dist}(x, \Omega_0) < d\} \subset \Omega_1$  and let  $\omega$  be a smooth cut-off function satisfying*

$$\omega(x) \equiv 1, \quad x \in \Omega_0 \quad (2.10a)$$

$$\omega(x) \equiv 0, \quad x \in \Omega \setminus \Omega_1 \quad (2.10b)$$

$$|\nabla^k \omega| \leq C_k d^{-k}, \quad k = 0, 1, 2, \dots \quad (2.10c)$$

Then there exists a constant  $C$  independent of  $h$  and  $d$  such that for any  $\chi \in S_h$

$$\|\nabla(\omega^2 \chi - I_h(\omega^2 \chi))\|_{L^2(\Omega)} \leq Ch \left( d^{-1} \|\nabla \chi\|_{L^2(\Omega_1)} + d^{-2} \|\chi\|_{L^2(\Omega_1)} \right).$$

We will also require a local energy estimate for a problem with mixed boundary conditions that is valid up to the boundary.

**Lemma 7** *Suppose that  $u \in H^1_\Gamma(\Omega)$  and  $u_h \in S_h^\Gamma$  satisfy*

$$(\nabla(u - u_h), \nabla v_h) = 0 \quad \forall v_h \in S_h^\Gamma,$$

and  $\Omega_0 \subset \Omega_1 \subset \Omega$  with  $\{x \in \Omega : \text{dist}(x, \Omega_0) < d\} \subset \Omega_1$ , then

$$\begin{aligned} & \|u - u_h\|_{H^1(\Omega_0)} \\ & \leq C \left( \|u - I_h u\|_{H^1(\Omega_1)} + d^{-1} \|u - I_h u\|_{L^2(\Omega_1)} + d^{-1} \|u - u_h\|_{L^2(\Omega_1)} \right). \end{aligned}$$

*Proof* Let  $\omega$  be the cut-off function satisfying (2.10). Then inserting  $I_h u$  and using that  $I_h(\omega^2(I_h u - u_h)) \in S_h^F$  and the superapproximation Lemma 6, we have

$$\begin{aligned}
& \|\nabla(u - u_h)\|_{L^2(\Omega_0)}^2 \leq \|\omega \nabla(u - u_h)\|_{L^2(\Omega_0)}^2 = (\omega^2 \nabla(u - u_h), \nabla(u - u_h)) \\
& = (\omega^2 \nabla(u - u_h), \nabla(u - I_h u)) + (\omega^2 \nabla(u - u_h), \nabla(I_h u - u_h)) \\
& \leq \|\omega \nabla(u - u_h)\|_{L^2(\Omega)} \|\omega \nabla(u - I_h u)\|_{L^2(\Omega)} \\
& \quad + (\nabla(u - u_h), \nabla[\omega^2(I_h u - u_h) - I_h(\omega^2(I_h u - u_h))]) \\
& \quad - (2\omega \nabla(u - u_h), (I_h u - u_h) \nabla \omega) \\
& \leq \frac{1}{2} \|\omega \nabla(u - u_h)\|_{L^2(\Omega)}^2 + \frac{1}{2} \|\omega \nabla(u - I_h u)\|_{L^2(\Omega)}^2 \\
& \quad + Chd^{-1} \|\nabla(u - u_h)\|_{L^2(\Omega_1)} (\|\nabla(I_h u - u_h)\|_{L^2(\Omega_1)} + d^{-1} \|I_h u - u_h\|_{L^2(\Omega_1)}) \\
& \quad + Cd^{-1} \|\omega \nabla(u - u_h)\|_{L^2(\Omega)} \|I_h u - u_h\|_{L^2(\Omega_1)} \\
& \leq \frac{1}{2} \|\omega \nabla(u - u_h)\|_{L^2(\Omega)}^2 + \frac{1}{2} \|\omega \nabla(u - I_h u)\|_{L^2(\Omega)}^2 \\
& \quad + Chd^{-1} \|\nabla(u - I_h u)\|_{L^2(\Omega_1)}^2 + Cd^{-2} \|u - I_h u\|_{L^2(\Omega_1)}^2 \\
& \quad + Chd^{-1} \|\nabla(u - u_h)\|_{L^2(\Omega_1)}^2 + Cd^{-2} \|u - u_h\|_{L^2(\Omega_1)}^2.
\end{aligned}$$

Canceling both sides by  $\frac{1}{2} \|\omega \nabla(u - u_h)\|_{L^2(\Omega)}^2$  and taking the square root, the above estimate implies

$$\begin{aligned}
\|u - u_h\|_{H^1(\Omega_0)} & \leq C \|u - I_h u\|_{H^1(\Omega_1)} + Cd^{-1} \|u - I_h u\|_{L^2(\Omega_1)} \\
& \quad + Ch^{\frac{1}{2}} d^{-\frac{1}{2}} \|u - u_h\|_{H^1(\Omega_1)} + Cd^{-1} \|u - u_h\|_{L^2(\Omega_1)}.
\end{aligned}$$

Iterating the argument and using the inverse estimate, we obtain

$$\begin{aligned}
\|u - u_h\|_{H^1(\Omega_0)} & \leq C \|u - I_h u\|_{H^1(\Omega_1)} + Cd^{-1} \|u - I_h u\|_{L^2(\Omega_1)} \\
& \quad + Chd^{-1} \|u - u_h\|_{H^1(\Omega_1)} + Cd^{-1} \|u - u_h\|_{L^2(\Omega_1)} \\
& \leq C \|u - I_h u\|_{H^1(\Omega_1)} + Cd^{-1} \|u - I_h u\|_{L^2(\Omega_1)} \\
& \quad + Chd^{-1} \|I_h u - u_h\|_{H^1(\Omega_1)} + Cd^{-1} \|u - u_h\|_{L^2(\Omega_1)} \\
& \leq C \|u - I_h u\|_{H^1(\Omega_1)} + Cd^{-1} \|u - I_h u\|_{L^2(\Omega_1)} \\
& \quad + Cd^{-1} \|I_h u - u_h\|_{L^2(\Omega_1)} + Cd^{-1} \|u - u_h\|_{L^2(\Omega_1)} \\
& \leq C \|u - I_h u\|_{H^1(\Omega_1)} + Cd^{-1} \|u - I_h u\|_{L^2(\Omega_1)} \\
& \quad + Cd^{-1} \|u - u_h\|_{L^2(\Omega_1)}.
\end{aligned}$$

The proof of Lemma 7 is complete.

### 3 Proof of Theorems 1–2

#### 3.1 Proof of Theorem 1

To prove Theorem 1, we choose an arbitrary fixed  $x_0 \in \Omega$  and prove that  $|u_h(x_0)| \leq C \|u_h\|_{L^\infty(\Gamma)}$ , where the constant  $C$  is independent of  $x_0$ . Let  $B_d(x_0)$  denote the ball of radius  $d$  centered at  $x_0$  and let  $S_d(x_0) := B_d(x_0) \cap \Omega$ . Then we have the following lemma, which is a consequence of the maximum norm estimates proved in [28] (also see [30]).

**Lemma 8** *Let  $\rho = \max(\text{dist}(x_0, \partial\Omega), 2h)$ . Then we have*

$$|u_h(x_0)| \leq C \rho^{-1} \|u_h\|_{L^2(S_\rho(x_0))}.$$



In view of Lemma 8, it suffices to estimate  $\|u_h\|_{L^2(S_\rho(x_0))}$  in order to prove Theorem 1. By a duality argument, it suffices to estimate  $|(u_h, \varphi)|$  for any given function  $\varphi \in L^2(S_\rho(x_0))$  which is supported in  $S_\rho(x_0)$  and  $\|\varphi\|_{L^2(S_\rho(x_0))} = 1$ . For this purpose, we define  $v \in H^1_\Gamma(\Omega)$  as the solution of

$$\begin{cases} -\Delta v = \varphi & \text{in } \Omega, \\ v = 0 & \text{on } \Gamma, \\ \partial_n v = 0 & \text{on } \partial\Omega \setminus \Gamma, \end{cases} \quad (3.1)$$

and  $v_h \in S_h^\Gamma$  as the solution of

$$(\nabla v_h, \nabla \psi_h) = (\varphi, \psi_h), \quad \forall \psi_h \in S_h^\Gamma. \quad (3.2)$$

Then  $v \in W^{2,p}(\Omega) \cap H^1_\Gamma(\Omega)$  for any  $p \in (1, \frac{2}{2-\pi/(2\alpha_M)})$ , where  $\alpha_M$  is the maximal interior angle of the polygon  $\Omega$  (Theorem 4.4.3.7 of [14]), and  $v_h \in S_h^\Gamma$  is the finite element approximation of  $v$ . Since  $\frac{2}{2-\pi/(2\alpha_M)} > \frac{4}{3}$ , there exists some  $p_0 > \frac{4}{3}$  such that

$$\|v\|_{W^{2,p_0}(\Omega)} \leq C\|\varphi\|_{L^{p_0}(\Omega)}. \quad (3.3)$$

Let  $w$  be the solution of

$$\begin{cases} \Delta w = 0 & \text{in } \Omega, \\ w = u_h & \text{on } \Gamma, \\ \partial_n w = 0 & \text{on } \partial\Omega \setminus \Gamma, \end{cases} \quad (3.4)$$

which satisfies the maximum principle (cf. [26])

$$\|w\|_{L^\infty(\Omega)} \leq \|w\|_{L^\infty(\Gamma)} = \|u_h\|_{L^\infty(\Gamma)}. \quad (3.5)$$

Then we have

$$\begin{aligned} |(u_h, \varphi)| &\leq |(u_h - w, \varphi)| + |(w, \varphi)| \\ &= |(\nabla(u_h - w), \nabla v)| + |(w, \varphi)| \\ &= |(\nabla u_h, \nabla v)| + |(w, \varphi)| \\ &= |(\nabla u_h, \nabla(v - v_h))| + |(w, \varphi)| \\ &= |(\nabla(u_h - \tilde{u}_h), \nabla(v - v_h))| + |(w, \varphi)| \\ &\leq |(\nabla(u_h - \tilde{u}_h), \nabla(v - v_h))| + \|w\|_{L^\infty(\Omega)} \|\varphi\|_{L^1(S_\rho(x_0))} \\ &\leq |(\nabla(u_h - \tilde{u}_h), \nabla(v - v_h))| + \rho \|u_h\|_{L^\infty(\Gamma)} \|\varphi\|_{L^2(S_\rho(x_0))}, \end{aligned} \quad (3.6)$$

where  $\tilde{u}_h$  can be any function in  $S_h^\Gamma$ . We simply choose  $\tilde{u}_h$  to be equal  $u_h$  at the nodes of  $\Omega \setminus \bar{\Gamma}$  and equal zero on  $\bar{\Gamma}$ . If we define  $D_h = \{x \in \bar{\Omega} : \text{dist}(x, \Gamma) \leq h\}$ , then we have

$$\begin{aligned} |(u_h, \varphi)| &\leq \|\nabla(u_h - \tilde{u}_h)\|_{L^\infty(D_h)} \|\nabla(v - v_h)\|_{L^1(D_h)} + \rho \|u_h\|_{L^\infty(\Gamma)} \\ &\leq (Ch^{-1} \|\nabla(v - v_h)\|_{L^1(D_h)} + \rho) \|u_h\|_{L^\infty(\Gamma)}. \end{aligned} \quad (3.7)$$

Thus, in order to prove the theorem we need to show

$$\|\nabla(v - v_h)\|_{L^1(D_h)} \leq C\rho h. \quad (3.8)$$

To establish (3.8), we divide the domain  $D_h$  into  $S_{8\rho}(x_0) \cap D_h$  and  $O_j \cap D_h$ ,  $j = 0, 1, 2, \dots, J = \log_2(R_0/8\rho)$ , with

$$O_j = \{x \in \Omega : d_{j+1} \leq |x - x_0| < d_j\}$$

and  $d_j = 2^{-j} \text{diam}(\Omega)$ . Then we have  $|O_j \cap D_h| \leq Chd_j$  and

$$\begin{aligned} \|\nabla(v - v_h)\|_{L^1(D_h)} &\leq \sum_{j=0}^J \|\nabla(v - v_h)\|_{L^1(O_j \cap D_h)} + \|\nabla(v - v_h)\|_{L^1(S_{8\rho}(x_0) \cap D_h)} \\ &\leq C \sum_{j=0}^J h^{\frac{1}{2}} d_j^{\frac{1}{2}} \|\nabla(v - v_h)\|_{L^2(O_j \cap D_h)} + Ch^{\frac{1}{2}} \rho^{\frac{1}{2}} \|\nabla(v - v_h)\|_{L^2(S_{8\rho}(x_0) \cap D_h)}. \end{aligned} \quad (3.9)$$

The second term on the right-hand side of (3.9) can be estimated as

$$\begin{aligned} \|\nabla(v - v_h)\|_{L^2(S_{8\rho}(x_0) \cap D_h)} &\leq \|\nabla(v - v_h)\|_{L^2(\Omega)} \\ &\leq \|\nabla(v - I_h v)\|_{L^2(\Omega)} \\ &\leq Ch^{2-\frac{2}{p_0}} \|v\|_{H^{3-2/p_0}(\Omega)} \\ &\leq Ch^{2-\frac{2}{p_0}} \|v\|_{W^{2,p_0}(\Omega)} \\ &\leq Ch^{2-\frac{2}{p_0}} \|\varphi\|_{L^{p_0}(S_\rho(x_0))} \\ &\leq Ch^{2-\frac{2}{p_0}} \rho^{\frac{2}{p_0}-1} \|\varphi\|_{L^2(S_\rho(x_0))}. \end{aligned}$$

As a result

$$h^{\frac{1}{2}} \rho^{\frac{1}{2}} \|\nabla(v - v_h)\|_{L^2(S_{8\rho}(x_0) \cap D_h)} \leq Ch^{\frac{5}{2}-\frac{2}{p_0}} \rho^{\frac{2}{p_0}-\frac{1}{2}} = Ch\rho \left(\frac{h}{\rho}\right)^{\frac{3}{2}-\frac{2}{p_0}} \leq Ch\rho, \quad (3.10)$$

where in last step we used that  $h \leq \rho$  and  $\frac{3}{2} > \frac{2}{p_0}$ .

To estimate the first term on the right-hand side of (3.9), it suffices to consider the sets  $O_j$  such that  $O_j \cap D_h \neq \emptyset$ . For such a set  $O_j$  we have  $O_j''' \subset \{x \in \Omega : \text{dist}(x, \Gamma) < 8d_j\}$ , and by Lemma 7 we have

$$\begin{aligned} \|\nabla(v - v_h)\|_{L^2(O_j \cap D_h)} &\leq C(\|\nabla(v - I_h v)\|_{L^2(O_j')} + d_j^{-1} \|v - I_h v\|_{L^2(O_j')} \\ &\quad + d_j^{-1} \|v - v_h\|_{L^2(O_j')}) \\ &\leq Ch^{2-\frac{2}{p_0}} \|v\|_{W^{2,p_0}(O_j'')} + Cd_j^{-1} \|v - v_h\|_{L^2(O_j')}. \end{aligned}$$

Since  $v$  is harmonic in  $O_j'''$ , a classical interior estimate for elliptic equations gives

$$\|v\|_{W^{2,p_0}(O_j'')} \leq Cd_j^{\frac{2}{p_0}-2} \|\nabla v\|_{L^2(O_j''')} + Cd_j^{\frac{2}{p_0}-3} \|v\|_{L^2(O_j'')}, \quad (3.11)$$

and a standard energy estimate shows

$$\|\nabla v\|_{L^2(\Omega)} \leq C\rho \|\varphi\|_{L^2(S_\rho(x_0))}. \quad (3.12)$$

Moreover, since  $v = 0$  on  $\Gamma$  and  $O_j''' \subset \{x \in \Omega : \text{dist}(x, \Gamma) < 8d_j\}$ , it follows from Lemma 1.1 of [30] that

$$\|v\|_{L^2(O_j''')} \leq Cd_j \|\nabla v\|_{L^2(\Omega)}. \quad (3.13)$$

The last four inequalities imply

$$\begin{aligned} \sum_{j=0}^J h^{\frac{1}{2}} d_j^{\frac{1}{2}} \|\nabla(v - v_h)\|_{L^2(O_j \cap D_h)} &\leq C \sum_{j=0}^J \left(\frac{h}{d_j}\right)^{\frac{3}{2}-\frac{2}{p_0}} \rho h + h^{\frac{1}{2}} d_j^{-\frac{1}{2}} \|v - v_h\|_{L^2(O_j')} \\ &\leq C\rho h + C \sum_{j=0}^J h^{\frac{1}{2}} d_j^{-\frac{1}{2}} \|v - v_h\|_{L^2(O_j')}, \end{aligned} \quad (3.14)$$

where in the last step we used  $h \leq d_j$  and  $\frac{3}{2} > \frac{2}{p_0}$ .

The second term on the right-hand side above can be estimated by a duality argument. We consider  $|(v - v_h, \phi)|$  for  $\phi \in L^2(O'_j)$ . For this purpose, we define  $w \in H^1_\Gamma(\Omega)$  and  $w_h \in S_h^\Gamma$  by

$$\begin{cases} -\Delta w = \phi & \text{in } \Omega, \\ w = 0 & \text{on } \Gamma, \\ \partial_n w = 0 & \text{on } \partial\Omega \setminus \Gamma, \end{cases} \quad (3.15)$$

and

$$(\nabla w_h, \nabla \psi_h) = (\phi, \psi_h), \quad \forall \psi_h \in S_h^\Gamma, \quad (3.16)$$

respectively. Then we have

$$\begin{aligned} (v - v_h, \phi) &= (\nabla(v - v_h), \nabla w) = (\nabla(v - v_h), \nabla(w - w_h)) \\ &\leq \|\nabla(v - v_h)\|_{L^2(\Omega)} \|\nabla(w - w_h)\|_{L^2(\Omega)} \\ &\leq \|\nabla(v - I_h v)\|_{L^2(\Omega)} \|\nabla(w - I_h w)\|_{L^2(\Omega)} \\ &\leq Ch^{4-\frac{4}{p_0}} \|v\|_{H^{3-\frac{2}{p_0}}(\Omega)} \|w\|_{H^{3-\frac{2}{p_0}}(\Omega)} \\ &\leq Ch^{4-\frac{4}{p_0}} \|v\|_{W^{2,p_0}(\Omega)} \|w\|_{W^{2,p_0}(\Omega)} \\ &\leq Ch^{4-\frac{4}{p_0}} \|\varphi\|_{L^{p_0}(S_\rho(x_0))} \|\phi\|_{L^{p_0}(O'_j)} \\ &\leq Ch^{4-\frac{4}{p_0}} \rho^{\frac{2}{p_0}-1} d_j^{\frac{2}{p_0}-1} \|\varphi\|_{L^2(S_\rho(x_0))} \|\phi\|_{L^2(O'_j)}, \end{aligned} \quad (3.17)$$

that implies

$$h^{\frac{1}{2}} d_j^{-\frac{1}{2}} \|v - v_h\|_{L^2(O'_j)} \leq C\rho h \left(\frac{h}{\rho}\right)^{2-\frac{2}{p_0}} \left(\frac{h}{d_j}\right)^{\frac{3}{2}-\frac{2}{p_0}}. \quad (3.18)$$

Since  $h \leq d_j$ ,  $h \leq \rho$  and  $\frac{3}{2} > \frac{2}{p_0}$ , we have

$$\sum_{j=0}^J h^{\frac{1}{2}} d_j^{-\frac{1}{2}} \|v - v_h\|_{L^2(O'_j)} \leq C\rho h,$$

which together with (3.10) establishes (3.8). The inequalities (3.7) and (3.8) show

$$\|u_h\|_{L^2(S_\rho(x_0))} \leq C\rho \|u_h\|_{L^\infty(\Gamma)}, \quad (3.19)$$

which together with Lemma 8 implies

$$|u_h(x_0)| \leq C \|u_h\|_{L^\infty(\Gamma)}. \quad (3.20)$$

This completes the proof of Theorem 1.  $\square$

### 3.2 Proof of Theorem 2

For  $u$ , the solution of (1.1), we define  $\tilde{u}_h$  as the finite element solution of

$$(\nabla(u - \tilde{u}_h), \nabla \psi_h) = 0, \quad \forall \psi_h \in S_h. \quad (3.21)$$

with the condition  $\int_\Omega (u - \tilde{u}_h) dx = 0$  for the uniqueness of  $\tilde{u}_h$ . In other words,  $\tilde{u}_h$  is the finite element approximation of  $u$  with certain “inhomogeneous” Neumann boundary condition. We shall use the following lemma, whose proof is deferred to the next section.

**Lemma 9** *In a convex polygon  $\Omega$ , we have*

$$\|\tilde{u}_h\|_{L^\infty(\Omega)} \leq C\ell_h \|u\|_{L^\infty(\Omega)}. \quad (3.22)$$

Now we have

$$\|u_h\|_{L^\infty(\Omega)} \leq \|u_h - \tilde{u}_h\|_{L^\infty(\Omega)} + \|\tilde{u}_h\|_{L^\infty(\Omega)} \leq \|u_h - \tilde{u}_h\|_{L^\infty(\Omega)} + C\ell_h \|u\|_{L^\infty(\Omega)}. \quad (3.23)$$

From (1.1), (1.2) and (3.21) we see that

$$(\nabla(u_h - \tilde{u}_h), \nabla\psi_h) = 0, \quad \forall \psi_h \in S_h^F,$$

which together with Theorem 1 implies

$$\|u_h - \tilde{u}_h\|_{L^\infty(\Omega)} \leq C\|u_h - \tilde{u}_h\|_{L^\infty(\Gamma)} = C\|I_h u - \tilde{u}_h\|_{L^\infty(\Gamma)} \leq C\ell_h \|u\|_{L^\infty(\Omega)}. \quad (3.24)$$

Overall, (3.23) and (3.24) imply Theorem 2.  $\square$

#### 4 Proof of Lemma 9

To establish the result we require pointwise estimates of the Green's function for the pure Neumann problem. Such estimates on convex polygonal domains are established for example, in [1].

**Lemma 10** *Let  $\Omega \subset \mathbb{R}^2$  be a convex polygonal domain and  $\Gamma(x, \xi)$  be the Green's function defined by*

$$\begin{cases} -\Delta \Gamma(\cdot, \xi) = \delta(\cdot - \xi) - \frac{1}{|\Omega|} & \text{in } \Omega, \\ \partial_n \Gamma(\cdot, \xi) = 0 & \text{on } \partial\Omega, \end{cases} \quad (4.1)$$

where  $\delta(x - \xi)$  is the delta function satisfying  $\int_\Omega \delta(x - \xi)\phi(x) dx = \phi(\xi)$  for any  $\phi \in C(\overline{\Omega})$ . We also impose the normalization condition  $\int_\Omega \Gamma(x, \xi) dx = 0$  for the uniqueness of the solution. Then there exists a constant  $C$  such that

$$|\nabla_x \Gamma(x, \xi)| \leq C|x - \xi|^{-1}. \quad (4.2)$$

The following local energy estimate can be proved in the same way as Lemma 7.

**Lemma 11** *Suppose that  $u \in H^1(\Omega)$  and  $\tilde{u}_h \in S_h$  satisfy*

$$(\nabla(u - \tilde{u}_h), \nabla v_h) = 0 \quad \forall v_h \in S_h,$$

with  $\int_\Omega (u - \tilde{u}_h) dx = 0$ , and  $\Omega_0 \subset \Omega_1 \subset \Omega$  with  $\{x \in \Omega : \text{dist}(x, \Omega_0) < d\} \subset \Omega_1$ , then

$$\begin{aligned} & \|u - \tilde{u}_h\|_{H^1(\Omega_0)} \\ & \leq C \left( \|u - I_h u\|_{H^1(\Omega_1)} + d^{-1} \|u - I_h u\|_{L^2(\Omega_1)} + d^{-1} \|u - \tilde{u}_h\|_{L^2(\Omega_1)} \right), \end{aligned}$$

where  $I_h$  is the Lagrange interpolant.

For a fixed  $x_0 \in \Omega$ , we shall prove that  $|\tilde{u}_h(x_0)| \leq C\ell_h \|u\|_{L^\infty(\Omega)}$ , where the constant  $C$  does not depend on  $x_0$ . Without loss of generality, we suppose that  $x_0$  is contained in a triangle  $\tau_0$ . We introduce a smooth Delta function [32, Lemma 2.2], which we will denote by  $\tilde{\delta}_{x_0}^h := \tilde{\delta} \in C_0^2(\tau_0)$  such that

$$(\tilde{\delta}, \chi)_{\tau_0} = \chi(x_0), \quad \forall \chi \in S_h, \quad (4.3a)$$

$$\int_\Omega \tilde{\delta}(x) dx = 1, \quad (4.3b)$$

$$\|\tilde{\delta}\|_{W^{l,p}(\Omega)} \leq Ch^{-l-2(1-\frac{1}{p})} \quad \text{for } 1 \leq p \leq \infty, \quad l = 0, 1, 2. \quad (4.3c)$$

Thus in particular  $\|\tilde{\delta}\|_{L^1(\Omega)} \leq C$ ,  $\|\tilde{\delta}\|_{L^2(\Omega)} \leq Ch^{-1}$ , and  $\|\tilde{\delta}\|_{L^\infty(\Omega)} \leq Ch^{-2}$ .

Let  $G(x, x_0)$  be the regularized Green's function defined by

$$\begin{cases} -\Delta G(\cdot, x_0) = \tilde{\delta}_{x_0}(\cdot) - \frac{1}{|\Omega|} & \text{in } \Omega, \\ \partial_n G(\cdot, x_0) = 0 & \text{on } \partial\Omega. \end{cases}$$

Since  $\int_\Omega (\tilde{\delta}(x) - \frac{1}{|\Omega|}) dx = 0$ , the equation above admits a unique solution up to a constant. Let  $G_h(\cdot, x_0) \in S_h$  be the discrete Green's function, i.e. finite element solution of

$$(\nabla G_h(\cdot, x_0), \nabla v_h) = v_h(x_0) - \frac{1}{|\Omega|} \int_\Omega v_h(x) dx, \quad \forall v_h \in S_h.$$

The function  $G_h(\cdot, x_0) \in S_h$  is also well defined up to a constant. For the uniqueness, we further impose the condition

$$\int_\Omega G(x, x_0) dx = \int_\Omega G_h(x, x_0) dx = 0.$$

Then we have

$$\begin{aligned} & \left| \tilde{u}_h(x_0) - (I_h u)(x_0) - \frac{1}{|\Omega|} \int_\Omega (\tilde{u}_h - I_h u) dx \right| \\ &= |(\nabla G_h, \nabla(\tilde{u}_h - I_h u))| \\ &= |(\nabla G_h, \nabla(u - I_h u))| \\ &= |(\nabla(G_h - G), \nabla(u - I_h u)) + (\nabla G, \nabla(u - I_h u))| \\ &= \left| (\nabla(G_h - G), \nabla(u - I_h u)) + u(x_0) - I_h u(x_0) - \frac{1}{|\Omega|} \int_\Omega (u - I_h u) dx \right| \\ &= \left| \sum_{\tau \in \mathcal{T}_h} (-\Delta(G_h - G), u - I_h u)_\tau + \sum_{e \in \mathcal{E}_h} ([\partial_n(G_h - G)], u - I_h u)_e \right. \\ &\quad \left. + u(x_0) - I_h u(x_0) - \frac{1}{|\Omega|} \int_\Omega (u - I_h u) dx \right| \\ &\leq \left( \sum_{\tau \in \mathcal{T}_h} \|\Delta(G_h - G)\|_{L^1(\tau)} + \sum_{e \in \mathcal{E}_h} \|[\partial_n(G_h - G)]\|_{L^1(e)} + C \right) \|u - I_h u\|_{L^\infty(\Omega)} \\ &\leq C(\|G_h - G\|_{W_h^{2,1}(\Omega)} + h^{-1}\|\nabla(G_h - G)\|_{L^1(\Omega)} + 1) \|u - I_h u\|_{L^\infty(\Omega)}, \end{aligned} \tag{4.4}$$

where we have used the notation

$$\|v\|_{W_h^{2,1}(\Omega)} := \sum_{\tau \in \mathcal{T}_h} \|v\|_{W^{2,1}(\tau)}$$

and the trace inequality

$$\|\nabla v\|_{L^1(e)} \leq C(h^{-1}\|v\|_{W^{1,1}(\tau)} + \|v\|_{W^{2,1}(\tau)}).$$

We will estimate  $\|G_h - G\|_{W_h^{2,1}(\Omega)} + h^{-1}\|\nabla(G_h - G)\|_{L^1(\Omega)}$  in the next two subsections for the two cases  $r = 1$  and  $r \geq 2$ , respectively, via the decomposition

$$\begin{aligned} & \|G_h - G\|_{W_h^{2,1}(\Omega)} + h^{-1}\|\nabla(G_h - G)\|_{L^1(\Omega)} \\ & \leq \|G_h - G\|_{W_h^{2,1}(O_*)} + h^{-1}\|\nabla(G_h - G)\|_{L^1(O_*)} \\ & \quad + \sum_{j=0}^J (\|G_h - G\|_{W_h^{2,1}(O_j)} + h^{-1}\|\nabla(G_h - G)\|_{L^1(O_j)}) \end{aligned}$$

where

$$O_* = \{x \in \Omega : |x - x_0| < d_{J+1}\}, \quad (4.5a)$$

$$O_j = \{x \in \Omega : d_{j+1} \leq |x - x_0| < d_j\}, \quad j = 0, 1, \dots, J, \quad (4.5b)$$

with  $d_j = 2^{-j} \text{diam}(\Omega)$  and  $J = \log_2 \left( \frac{\text{diam}(\Omega)}{\kappa h} \right)$ . Here  $\kappa$  is a positive parameter to be determined later, and in the rest part of this paper we shall keep the generic constant  $C$  to be independent of  $\kappa$  until the parameter  $\kappa$  is determined.

The following lemma concerns some local estimates of the regularized Green's function, which will be used in the next two subsections.

**Lemma 12** *Let  $s \in (0, 1 - \frac{\pi}{\alpha_M})$ ,  $x_0 \in \tau_0$  and  $\text{dist}(\tau_0, O_j) \geq d_j/C$ . Then the regularized Green's function  $G$  satisfies the following estimates*

$$\|G\|_{H^1(O_j)} \leq C, \quad (4.6a)$$

$$\|\nabla G\|_{L^\infty(O_j)} + \|G\|_{H^2(O_j)} \leq C d_j^{-1}, \quad (4.6b)$$

$$\|G\|_{H^{1+s}(O_j)} \leq C d_j^{-s}, \quad (4.6c)$$

$$\|G\|_{H^{2+s}(O_j)} \leq C d_j^{-1-s}. \quad (4.6d)$$

*Proof* We use the Green's function representation

$$G(x, x_0) = \int_{\Omega} \Gamma(x, y) \left( \tilde{\delta}(y - x_0) - \frac{1}{|\Omega|} \right) dy = \int_{\Omega} \Gamma(x, y) \tilde{\delta}(y) dy,$$

where  $\Gamma(x, y)$  is the Green's function of the Neumann problem, which also satisfies the normalization condition  $\int_{\Omega} \Gamma(x, y) dy = 0$ . For  $x \in O_j$ , we have

$$|\nabla_x G(x, x_0)| \leq \int_{\tau_0} |\nabla_x \Gamma(x, y)| |\tilde{\delta}(y)| dy \leq C d_j^{-1} \|\tilde{\delta}\|_{L^1(\tau_0)} \leq C d_j^{-1},$$

where we used Lemma 10 and the fact that  $\text{dist}(x_0, O_j) \geq d_j/C$ . Hence

$$\|\nabla G\|_{L^\infty(O_j)} \leq C d_j^{-1}, \quad (4.7)$$

and the Hölder inequality also implies

$$\|\nabla G\|_{L^2(O_j)} \leq C. \quad (4.8)$$

Clearly, if we define  $O'_j := O_{j-1} \cup O_j \cup O_{j+1}$ , then we have the similar estimates

$$\|\nabla G\|_{L^\infty(O'_j)} \leq C d_j^{-1} \quad \text{and} \quad \|\nabla G\|_{L^2(O'_j)} \leq C. \quad (4.9)$$

To obtain (4.6d), we apply Lemma 5 to  $\|G\|_{H^{2+s}(O_j)}$  and using that  $\tilde{\delta}$  is supported outside of  $O_j$ , we obtain

$$\|G\|_{H^{2+s}(O_j)} \leq C d_j^{-s} \left\| \frac{1}{|\Omega|} \right\|_{L^2(O'_j)} + C d_j^{-s} \|\nabla G\|_{L^\infty(O'_j)} \leq C d_j^{-1-s}. \quad (4.10)$$

#### 4.1 Linear elements

For piecewise linear elements (the case  $r = 1$ ), we have  $\|G_h - G\|_{W_h^{2,1}(\Omega)} = \|G\|_{W^{2,1}(\Omega)}$ . To estimate  $\|G\|_{W^{2,1}(\Omega)}$  we use  $W^{2,p}$  regularity for convex domains. Thus for any  $p > 1$ ,

$$\begin{aligned} \|G\|_{W^{2,1}(\Omega)} &\leq \|G\|_{W^{2,p}(\Omega)} \leq C_p \left( \left\| \tilde{\delta} - \frac{1}{|\Omega|} \right\|_{L^p(\Omega)} \right) \\ &\leq C_p \left( \|\tilde{\delta}\|_{L^p(\Omega)} + \left\| \frac{1}{|\Omega|} \right\|_{L^p(\Omega)} \right) \leq C_p h^{-2(1-1/p)}, \end{aligned}$$

where the precise behavior of the constant  $C_p \approx \frac{1}{p-1}$  as  $p \rightarrow 1$  can be traced for example from Theorem 9.9 in [13]. Choosing  $p = 1 + 1/\ell_h$  we obtain

$$\|G\|_{W^{2,1}(\Omega)} \leq C\ell_h. \quad (4.11)$$

It remains to prove that

$$\|\nabla(G_h - G)\|_{L^1(\Omega)} \leq C\ell_h h. \quad (4.12)$$

Since  $|O_*| \leq C(\kappa h)^2$  using the approximation theory and  $H^2$  regularity, we have

$$\begin{aligned} \|\nabla(G - G_h)\|_{L^1(O_*)} &\leq C\kappa h \|\nabla(G - G_h)\|_{L^2(O_*)} \\ &\leq C_\kappa h^2 \|G\|_{H^2(\Omega)} \leq C_\kappa h^2 \|\tilde{\delta}\|_{L^2(\Omega)} \leq C_\kappa h, \end{aligned} \quad (4.13)$$

where we have used the standard energy error estimate and (4.3c) in the last inequality. By applying the Hölder inequality and Lemma 11, we also obtain

$$\begin{aligned} \|\nabla(G - G_h)\|_{L^1(O_j)} &\leq Cd_j \|\nabla(G - G_h)\|_{L^2(O_j)} \\ &\leq Cd_j \|G - I_h G\|_{H^1(O'_j)} + C \|G - I_h G\|_{L^2(O'_j)} + C \|G - G_h\|_{L^2(O'_j)} \\ &\leq Chd_j \|G\|_{H^2(O'_j)} + C \|G - G_h\|_{L^2(O'_j)} \\ &\leq Ch + C \|G - G_h\|_{L^2(O'_j)}, \end{aligned}$$

where we have used Lemma 12 in the last step of the inequality above. We see that

$$\begin{aligned} \|\nabla(G - G_h)\|_{L^1(\Omega)} &\leq \|\nabla(G - G_h)\|_{L^1(O_*)} + \sum_{j=0}^J \|\nabla(G - G_h)\|_{L^1(O_j)} \\ &\leq C\kappa h + \sum_{j=0}^J Ch + \sum_{j=0}^J C \|G - G_h\|_{L^2(O'_j)} \\ &\leq C\kappa h + C\ell_h h + \sum_{j=0}^J C \|G - G_h\|_{L^2(O'_j)} \\ &\leq C\kappa h + C\ell_h h + \left( \sum_{j=0}^J 1 \right)^{1/2} \left( \sum_{j=0}^J \|G - G_h\|_{L^2(O'_j)}^2 \right)^{1/2} \\ &\leq C\kappa h + C\ell_h h + C\ell_h^{1/2} \|G - G_h\|_{L^2(\Omega)} \\ &\leq C\kappa h + C\ell_h h + C\ell_h^{1/2} h^2 \|\tilde{\delta}\|_{L^2} \leq C(\kappa + \ell_h)h. \end{aligned} \quad (4.14)$$

This proves (4.12) and completes the proof of Lemma 9 in the case  $r = 1$ .

## 4.2 Higher order elements

To estimate  $\|G_h - G\|_{W^{2,1}(\Omega)}$  by the triangle inequality and the inverse inequality, we have

$$\begin{aligned} \|G_h - G\|_{W^{2,1}(\Omega)} &\leq \|G_h - I_h G\|_{W^{2,1}(\Omega)} + \|G - I_h G\|_{W^{2,1}(\Omega)} \\ &\leq Ch^{-1} \|G_h - I_h G\|_{W^{1,1}(\Omega)} + \|G - I_h G\|_{W^{2,1}(\Omega)} \\ &\leq Ch^{-1} (\|G_h - G\|_{W^{1,1}(\Omega)} + \|G - I_h G\|_{W^{1,1}(\Omega)}) + \|G - I_h G\|_{W^{2,1}(\Omega)}. \end{aligned}$$

The last two terms in the inequality above are estimated in the following lemma.

**Lemma 13** *For  $r \geq 2$ , there exists a constant  $C$  independent of  $h$  such that*

$$h^{-1} \|G - I_h G\|_{W^{1,1}(\Omega)} + \|G - I_h G\|_{W^{2,1}(\Omega)} \leq C.$$

*Proof* Using the dyadic decomposition we have

$$\begin{aligned} &h^{-1} \|G - I_h G\|_{W^{1,1}(\Omega)} + \|G - I_h G\|_{W^{2,1}(\Omega)} \\ &\leq h^{-1} \|G - I_h G\|_{W^{1,1}(O_*)} + \|G - I_h G\|_{W^{2,1}(O_*)} \\ &\quad + \sum_{j=1}^J h^{-1} \|G - I_h G\|_{W^{1,1}(O_j)} + \|G - I_h G\|_{W^{2,1}(O_j)}. \end{aligned} \tag{4.15}$$

Using the Cauchy-Schwarz inequality and  $H^2$  regularity, and the properties of  $\tilde{\delta}$ , we obtain

$$\begin{aligned} &h^{-1} \|G - I_h G\|_{W^{1,1}(O_*)} + \|G - I_h G\|_{W^{2,1}(O_*)} \\ &\leq C \|G - I_h G\|_{H^1(O_*)} + h \|G - I_h G\|_{H^2(O_*)} \\ &\leq Ch \|G\|_{H^2(\Omega)} \leq Ch \|\tilde{\delta}\|_{L^2(\Omega)} \leq C. \end{aligned} \tag{4.16}$$

To estimate the terms in the sum we use the Cauchy-Schwarz inequality together with the approximation theory and (4.6d) to obtain

$$\begin{aligned} &h^{-1} \|G - I_h G\|_{W^{1,1}(O_j)} + \|G - I_h G\|_{W^{2,1}(O_j)} \\ &\leq Cd_j (h^{-1} \|G - I_h G\|_{H^1(O_j)} + \|G - I_h G\|_{H^2(O_j)}) \\ &= Cd_j h^s \|G\|_{H^{2+s}(O_j)} \leq Cd_j^{-s} h^s, \end{aligned} \tag{4.17}$$

for some  $0 < s < 1 - \frac{\pi}{\alpha_M}$ . Combing (4.15)–(4.17), we obtain

$$h^{-1} \|G - I_h G\|_{W^{1,1}(\Omega)} + \|G - I_h G\|_{W^{2,1}(\Omega)} \leq C + \sum_{j=1}^J h^s d_j^{-s} \leq C.$$

To finish the proof of Lemma 9, it remains to prove that

$$\|\nabla(G_h - G)\|_{L^1(\Omega)} \leq Ch. \tag{4.18}$$

Using the dyadic decomposition and the Cauchy-Schwarz inequality again, we obtain

$$\begin{aligned} \|\nabla(G - G_h)\|_{L^1(\Omega)} &\leq \|\nabla(G - G_h)\|_{L^1(\Omega_*)} + \sum_{j=1}^J \|\nabla(G - G_h)\|_{L^1(O_j)} \\ &\leq Ch \|\nabla(G - G_h)\|_{L^2(\Omega_*)} + \sum_{j=1}^J d_j \|\nabla(G - G_h)\|_{L^2(O_j)}. \end{aligned}$$



Using the global best approximation property of the Galerkin solution in  $H^1$  norm we obtain

$$\|\nabla(G - G_h)\|_{L^2(\Omega_*)} \leq \|\nabla(G - G_h)\|_{L^2(\Omega)} \leq Ch\|G\|_{H^2(\Omega)} \leq Ch\|\tilde{\delta}\|_{L^2(\Omega)} \leq C.$$

Thus we have

$$\|\nabla(G - G_h)\|_{L^1(\Omega)} \leq Ch + \sum_{j=1}^J M_j, \quad (4.19)$$

where

$$M_j := d_j \|\nabla(G - G_h)\|_{L^2(O_j)}. \quad (4.20)$$

By the local energy estimates, Lemma 11, and using Lemma 5 and that  $h \leq d_j$ , we obtain

$$\begin{aligned} d_j \|\nabla(G - G_h)\|_{L^2(O_j)} &\leq C \left( d_j \|\nabla(G - I_h G)\|_{L^2(O'_j)} + \|G - I_h G\|_{L^2(O'_j)} + \|G - G_h\|_{L^2(O'_j)} \right) \\ &\leq C \left( d_j h^{1+s} \|G\|_{H^{2+s}(O'_j)} + \|G - G_h\|_{L^2(O'_j)} \right) \\ &\leq C d_j^{-s} h^{1+s} + C \|G - G_h\|_{L^2(O'_j)}. \end{aligned} \quad (4.21)$$

Since  $\sum_j d_j^{-s} h^{1+s} \leq C$ , we only need to estimate  $\|G - G_h\|_{L^2(O'_j)}$ . We will accomplish that by a duality argument. By duality

$$\|G - G_h\|_{L^2(O'_j)} = \sup_{\substack{\psi \in C_0^\infty(O'_j) \\ \|\psi\|_{L^2(\Omega)} \leq 1}} (G - G_h, \psi).$$

For each such  $\psi$ , we define  $w$  as the solution of

$$\begin{cases} -\Delta w = \psi - \bar{\psi} & \text{in } \Omega, \\ \partial_n w = 0 & \text{on } \partial\Omega, \end{cases} \quad (4.22)$$

where  $\bar{\psi} = \frac{1}{|\Omega|} \int_\Omega \psi(x) dx$ . Such a solution  $w$  exists and is unique if we impose the condition  $\int_\Omega w(x) dx = 0$ . Thus,

$$\begin{aligned} (G - G_h, \psi) &= (G - G_h, \psi - \bar{\psi}) = (G - G_h, -\Delta w) = (\nabla(G - G_h), \nabla w) \\ &= (\nabla(G - G_h), \nabla(w - I_h w)) \\ &= (\nabla(G - G_h), \nabla(w - I_h w))_{O'_j} + (\nabla(G - G_h), \nabla(w - I_h w))_{\Omega \setminus O'_j} \\ &:= I_1 + I_2. \end{aligned}$$

Using the Cauchy-Schwarz inequality and  $H^2$  regularity

$$I_1 \leq \|\nabla(G - G_h)\|_{L^2(O'_j)} \|\nabla(w - I_h w)\|_{L^2(\Omega)} \leq Ch \|\nabla(G - G_h)\|_{L^2(O'_j)}.$$

To estimate the second term we use the Hölder inequality, the approximation theory and embedding  $H^{2+s} \hookrightarrow C^{1+s}$ , to obtain

$$\begin{aligned} I_2 &= (\nabla(G - G_h), \nabla(w - I_h w))_{\Omega \setminus O'_j} \\ &\leq \|\nabla(G - G_h)\|_{L^1(\Omega)} \|\nabla(w - I_h w)\|_{L^\infty(\Omega \setminus O'_j)} \\ &\leq \|\nabla(G - G_h)\|_{L^1(\Omega)} Ch^s \|w\|_{C^{1+s}(\Omega \setminus O'_j)} \\ &\leq \|\nabla(G - G_h)\|_{L^1(\Omega)} Ch^s \|w\|_{H^{2+s}(\Omega \setminus O'_j)}, \end{aligned}$$

for some  $s \in (0, 1 - \frac{\pi}{\alpha_M})$ . Using the representation

$$w(x) = \int_{\Omega} \Gamma(x, y) (\psi(y) - \bar{\psi}(y)) dy = \int_{\Omega} \Gamma(x, y) \psi(y) dy,$$

similarly to the Lemma 12 for  $x \in \Omega \setminus O_j''$  and since  $\Omega \setminus O_j''$  is separated from  $O_j'$  by at least  $d_j$ , we obtain

$$\|w\|_{H^{2+s}(\Omega \setminus O_j'')} \leq C d_j^{-s}.$$

That implies that

$$(\nabla(G - G_h), \nabla(w - I_h w))_{\Omega \setminus O_j''} \leq C h^s d_j^{-s} \|\nabla(G - G_h)\|_{L^1(\Omega)}.$$

Therefore,

$$\|G - G_h\|_{L^2(O_j')} \leq C h^s d_j^{-s} \|\nabla(G - G_h)\|_{L^1(\Omega)} + C h \|\nabla(G - G_h)\|_{L^2(O_j'')}.$$

To summarize, for some  $s \in (0, 1 - \frac{\pi}{\alpha_M})$ , we have

$$M_j \leq C h (h/d_j)^s + C (h/d_j)^s \|\nabla(G - G_h)\|_{L^1(\Omega)} + C h \|\nabla(G - G_h)\|_{L^2(O_j'')}.$$

Summing over  $j$  we obtain

$$\sum_{j=0}^J M_j \leq \frac{C h}{\kappa^s} + \frac{C}{\kappa^s} \|\nabla(G - G_h)\|_{L^1(\Omega)} + \frac{C}{\kappa} \sum_{j=0}^J d_j \|\nabla(G - G_h)\|_{L^2(O_j'')},$$

where we have used that

$$\sum_{j=0}^J (h/d_j)^s \leq h^s \sum_{j=0}^J 2^{js} \leq C h^s 2^{sJ} \leq C \kappa^{-s} \quad \text{and} \quad d_j^{-1} \leq d_J^{-1}.$$

Clearly,

$$\begin{aligned} \sum_{j=0}^J d_j \|\nabla(G - G_h)\|_{L^2(O_j'')} &\leq C \sum_{j=0}^J M_j + C \kappa h \|\nabla(G - G_h)\|_{L^2(O_*)} \\ &\leq C \sum_{j=0}^J M_j + C \kappa h. \end{aligned}$$

Thus, using that  $h/d_J \leq \kappa^{-1}$ , and taking  $\kappa$  large enough we have

$$\sum_{j=0}^J M_j \leq C h + \frac{C}{\kappa^s} \|\nabla(G - G_h)\|_{L^1(\Omega)}.$$

Therefore, if we plug this result into (4.19) we get

$$\|\nabla(G - G_h)\|_{L^1(\Omega)} \leq C h + \frac{C}{\kappa^s} \|\nabla(G - G_h)\|_{L^1(\Omega)}.$$

Again by choosing  $\kappa$  large enough we can conclude

$$\|\nabla(G - G_h)\|_{L^1(\Omega)} \leq C h.$$

This proves (4.18) and completes the proof of Lemma 9 in the case  $r \geq 2$ .

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