1	Ambient volatile organic compounds at a receptor site in the
2	Pearl River Delta region: variations, source apportionment and
3	effects on ozone formation
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# 23 Highlights

24	•	Ambient VOCs were measured by an online GC-MS/FID system.
25	•	Solvent usage, LPG usage and vehicle exhaust are main VOC sources.
26	•	The formation of O <sub>3</sub> is anthropogenic VOC-limited with dominant contributions
27		from aromatics.
28	•	Frequent high-O3 episodes revealed the importance of regional transport in
29		photochemical pollution.

30

#### 31 Abstract

We present the continuously measurements of volatile organic compounds (VOCs) 32 33 at a receptor site (Wan Qing Sha, WQS) in the Pearl River Delta (PRD) region from September to November of 2017. The average mixing ratios of total VOCs (TVOCs) 34 was  $36.4 \pm 27.9$  ppbv with the dominant contribution from alkanes (55.6%), followed 35 by aromatics (33.3%). The diurnal variation of TVOCs showed a strong photochemical 36 consumption during daytime, which is related to the formation of ozone (O<sub>3</sub>). Five VOC 37 sources were resolved by the positive matrix factorization (PMF) model, including 38 39 solvent usage (28.6%), liquid petroleum gas (LPG) usage (24.4%), vehicle exhaust (21.0%), industrial emissions (13.2%) and gasoline evaporation (12.9%). The regional 40 transport air masses from the upwind cities of south China can result in the elevated 41 42 concentrations of TVOCs. Lower ratios of TVOCs/NO<sub>x</sub> ( $1.59 \pm 1.12$ ) suggested that the O<sub>3</sub> formation regime at WQS site was VOC-limited, which also confirmed by a 43 photochemical box model with the master chemical mechanism (PBM-MCM). 44 45 Furthermore, the observation on high-O<sub>3</sub> episode days revealed that frequent O<sub>3</sub> outbreaks at WQS were mainly caused by the regional transport of anthropogenic VOCs 46 especially for aromatics and the subsequent photochemical reactions. This study 47 provides valuable information for policymakers to propose the effective control 48 strategies on photochemical pollution in a regional perspective. 49

50



#### 52 **1. Introduction**

Volatile organic compounds (VOCs) play important roles in atmospheric 53 environmental chemistry because they can affect regional air quality and human health 54 and climate (Guo et al., 2017; Seinfeld and Pandis, 2006). VOCs can react with nitrogen 55 oxides  $(NO_x = NO + NO_2)$  in the presence of sunlight leading to the production of 56 tropospheric ozone (O<sub>3</sub>) and thus trigger photochemical pollution (Atkinson, 2000). 57 VOCs such as aromatic compounds and biogenic species are significant precursors for 58 the formation of secondary organic aerosols (SOA) (Hallquist et al., 2009). 59 60 The sources of VOCs include biogenic and anthropogenic emissions. Biogenic VOCs mainly emitted from terrestrial plants, marine phytoplankton and other natural 61 sources, which are regarded as the largest contributor of VOCs globally (Guenther et 62 63 al., 2012). In urban and rural areas, anthropogenic VOCs can be dominant (Guo et al., 2017). Compared to biogenic VOCs, the sources of anthropogenic VOCs are often 64 complex including vehicular exhaust, fuel evaporation, industrial emissions and 65 biomass burning (Guo et al., 2017; Liu et al., 2008a). The emissions of anthropogenic 66 VOCs depend largely on the energy consumption and industries. In many Chinese 67 urban areas, vehicular emission is widely reported as one of the major sources of 68 anthropogenic VOCs (Cai et al., 2010; Guo et al., 2011; Li et al., 2016; Lyu et al., 2016), 69 while industrial emissions are the largest contributor of anthropogenic VOCs in highly 70 industrialized regions (An et al., 2014; Mo et al., 2017). Urban and industrial emissions 71 can contribute to the VOCs in downwind rural regions through long-range or regional 72 transport (He et al., 2019; Russo et al., 2010b; Song et al., 2020; Tang et al., 2009). 73

74	It is well known that the photochemical formation of O <sub>3</sub> is non-linearly related to
75	the precursors of VOCs and $NO_x$ . The formation of $O_3$ is usually found to be VOC-
76	limited in highly-polluted urban areas. While in the downwind rural regions, the O <sub>3</sub> -
77	VOC-NO $x$ relationship is not always constant with the changes of meteorological
78	conditions such as tropical cyclones, continental anticyclones and mesoscale
79	circulations (e.g., see-land breezes) (Ding et al., 2004; Guo et al., 2009; Wang et al.,
80	2017; Zeren et al., 2019). The concentrations and photochemical reactivity of the VOC
81	species are key factors in terms of the impacts on O3 formation. Ozone formation
82	potential (OFP) is widely used as a parameter to evaluate the combination effects by
83	multiplying VOC concentration and its corresponding maximum incremental reactivity
84	(MIR) factor (Carter, 1994). In addition, the observation-based model (OBM) coupled
85	with Master Chemical Mechanisms (MCM) is developed as a powerful tool to
86	investigate the O <sub>3</sub> -VOC-NO <sub>x</sub> relationship in recent years (He et al., 2019; Ling and Guo,
87	2014; Wang et al., 2017; Zeren et al., 2019). It can simulate the O <sub>3</sub> photochemical
88	production and destruction based on observed ambient concentrations of O3 and its
89	precursors. He et al., (2019) used a photochemical box model incorporating the master
90	chemistry mechanism (PBM-MCM) to investigate the photochemical formation of O <sub>3</sub>
91	at a downwind rural site (Heshan) in the Pearl River Delta (PRD) during autumn 2014,
92	and found that O <sub>3</sub> formation was VOC-limited. Wang et al., (2017) applied the PBM-
93	MCM investigated the O <sub>3</sub> photochemistry at a downwind coastal site over the South
94	China Sea (SCS). They revealed that the O <sub>3</sub> formation changed from transition (VOC
95	and NO <sub>x</sub> -limited jointly) to VOC-limited regime under the influence of sea-land breezes

96 from non-O<sub>3</sub> episode days to  $O_3$  episode days.

As one of the most developed city clusters in China, the PRD region is densely 97 populated and rapidly developed with the pollution of O<sub>3</sub> and particulate matter {Lai, 98 2016 #174}. Over the last decade, a series of field campaigns and modeling studies 99 were performed in this region to understand the characteristics of VOCs including 100 abundances, composition, temporal variations, source contributions and chemical 101 reactivity, as well as the role in photochemical pollutant production (Barletta et al., 2008; 102 Guo et al., 2011; Guo et al., 2009; Ling et al., 2011; Liu et al., 2008b; Louie et al., 2013; 103 Yuan et al., 2013; Zeng et al., 2019; Zhang et al., 2013; Zou et al., 2015). Although 104 significant advances have obtained to understand the photochemical pollution 105 formation processes and to implement the control strategies, the PRD region is still 106 107 suffered from the frequent outbreaks of high-O<sub>3</sub> episodes. Even in the downwind areas of PRD with sparse anthropogenic emissions, high-O<sub>3</sub> episodes were often observed as 108 well (He et al., 2019; Wang et al., 2017; Zeren et al., 2019). Here, we presented the 109 110 results of online VOCs observation at a receptor site in the PRD region in autumn 2017. We intend (1) to characterize the concentration levels and diurnal variations of VOCs; 111 (2) to understand the contribution of VOC sources; and (3) to assess the impacts of 112 VOCs on O<sub>3</sub> formation with a focus on photochemical pollution periods. 113

114

115 **2. Experimental** 

# 116 **2.1. Sampling site**

117 A field measurement was carried out at the site of Wan Qing Sha (WQS, 22°43'N,

113°33'E) from 1 September to 30 November 2017 (Fig. S1). WQS is a coastal site 118 located at the Pearl River Estuary (PRE) connecting the land areas of PRD and the SCS. 119 120 This site is located in the downwind area of urban Guangzhou (~50 km southeast to the city center of Guangzhou) and surrounded by several fast-developing cities (Dongguan, 121 Shenzhen and Zhongshan, ~25-40 km). During autumn and winter seasons, this site can 122 be regarded as a receptor site and frequently influenced by the air masses carrying large 123 amounts of atmospheric pollutants from the upwind areas (Guo et al., 2011; Ling and 124 Guo, 2014). It is an ideal site to study the characteristics of regional pollution in the 125 126 PRD region.

127

128 **2.2.** Sampling and chemical analysis

129 Ambient VOCs were sampled and analyzed continuously by an online gas chromatography-mass spectrometry/flame ionization detector system (GC-MS/FID, 130 Tianhong Instrument (TH-300B), Wuhan) with 1-h time resolution. The sampling tube 131 inlet was 1.5 m above the rooftop (~15 m), and the outlet was connected to a PFA-made 132 manifold with a by-pass pump drawing air at a rate of 15 L/min. Ambient air was 133 continuously drawn through a PFA tube with an inner diameter of 7.6 cm. The air was 134 then pre-concentrated by passing through a cold trap maintaining -80 °C for the 135 removal of water and carbon dioxide (CO<sub>2</sub>), and then trapped at -150 °C with an empty 136 capillary column. After pre-concentration, the VOCs were desorbed by heating up to 137 100 °C rapidly and introduced into the GC-MS/FID system for analysis. The 138 Photochemical Assessment Monitoring Station (PAMS) gas was used for the daily 139

140	calibration of GC-MS/FID system at 22:00 to 0:00 local time. The coefficients $(R^2)$ of
141	calibration curves were higher than 0.99 for each VOC species presented in this study.
142	The method detection limits (MDLs) for VOC species ranged from 0.003-0.047 ppbv.
143	The trace gases including O <sub>3</sub> , nitric oxide (NO), nitrogen dioxide (NO <sub>2</sub> ), carbon
144	monoxide (CO), and sulfur dioxide (SO2) were simultaneously monitored at this
145	sampling site. Briefly, O3 was monitored with a commercial UV photometric analyzer
146	(Thermo 49i) with the lower detection limit of $1.0$ ppbv. NO and NO <sub>x</sub> were detected by
147	a chemiluminescence analyzer (Thermo 42i) with the detection limit of 0.5 ppbv. CO
148	was measured by a nondispersive infrared analyzer (Thermo 48i). SO <sub>2</sub> was measured
149	by a pulsed UV fluorescence (Thermo 43i) with the detection limit of 0.5 ppbv. The
150	meteorological parameters (i.e., temperature, relative humidity, wind direction, wind
151	speed, and pressure) were recorded by a portable weather station (Model WXT520,
152	Vaisala, Finland).

154 **2.3. Models** 

# 155 **2.3.1 Air mass back-trajectories**

To trace the potential source regions of atmospheric pollutants, air mass backtrajectories are computed by using the Hybrid Single Particle Lagrange Integrated Trajectory (HYSPLIT) model (Stein et al., 2015). In this study, three-day air mass backtrajectories for each day were ran using HYSPLIT model with the arrival height of 200 m at every 6 hours for WQS site. Furthermore, we performed the air mass cluster analysis to categorize the back-trajectories into three groups for source origin analysis.

# 163 **2.3.2** Positive matrix factorization (PMF) model

In this study, US EPA PMF 5.0 model was used for the source apportionment of VOCs (Norris, 2014). The PMF model is a multivariate factor analysis tool that decomposes a matrix of sample data into two matrices of factor contributions and factor profiles, which can be interpreted to explore the source types and contributions at a receptor site (Paatero, 1997; Paatero and Tapper, 1994). The decomposition of sample data matrix can be simplified as follows:

170 
$$x_{ij} = \sum_{k=1}^{p} g_{ik} f_{kj} + e_{ij}$$
(1)

where  $x_{ij}$  is the jth species concentration measured in the ith sample,  $g_{ik}$  is the species contribution of the kth source to the ith sample,  $f_{kj}$  is the jth species fraction from the kth source,  $e_{ij}$  is the residual for each species and p is the total number of independent sources. Based on the least squares method, the number of sources and VOC source profiles of each species in the individual source can be obtained from PMF model calculation. The detailed operation of PMF model is given in supplement (**Text S1**).

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#### 178 **2.3.3 Photochemical box model (PBM)**

A photochemical box model incorporating the Master Chemical Mechanism (PBM-MCM) was used to simulate the photochemical formation of O<sub>3</sub>. This model has been successfully applied to investigate the O<sub>3</sub> formation and its relationships with precursors (VOCs and NO<sub>x</sub>) in previous studies (He et al., 2019; Lam et al., 2013; Ling and Guo, 2014; Lyu et al., 2016; Wang et al., 2017; Zeng et al., 2019). The sensitivity of O<sub>3</sub> formation to its precursors was assessed using the relative incremental reactivity
(RIR), which can be calculated using the following equation (Carter and Atkinson
1989):

187 
$$RIR^{S}(X) = \frac{\left[P_{O_{3}-NO}^{S}(X) - P_{O_{3}-NO}^{S}(X - \Delta X)\right] / P_{O_{3}-NO}^{S}(X)}{\Delta S(X) / S(X)}$$
(1)

where  $P^{S}_{O3-NO}(X)$  and  $P^{S}_{O3-NO}(X-\Delta X)$  represent the O<sub>3</sub> formation potential in a base 188 run with original concentrations, and in a run with a hypothetical change ( $\Delta X$ ) (10% in 189 this study) in source/species X. In both runs, net O<sub>3</sub> production with titration by NO is 190 considered. S(X) means the measured concentration of X, and  $\Delta X$  is the concentration 191 192 change of X caused by the hypothetical change  $\Delta S(X)$ . According to equation (1), a higher positive RIR value of a given precursor indicates a higher probability that O<sub>3</sub> 193 production will be more effectively reduced by cutting down emissions of this precursor. 194 195 A more detailed description of PBM-MCM is shown in supplement (Text S2).

196

#### 197 **3. Results and discussion**

#### 198 **3.1. Data overview**

The time series of air pollutant concentrations including VOCs, O<sub>3</sub>, NO<sub>x</sub>, CO as well as meteorological parameters during the campaign are shown **Fig. 1**. During the whole measurement period, the ambient temperature ranged from 13.2 to 36.4 °C with an average of  $25.7 \pm 4.6$  °C. The relative humidity (RH) ranged from 24.0 % to 87.0 % with an average of  $63.0 \pm 13.2$  %. The wind speed varied between 0.3 m/s to 5.4 m/s (average:  $1.8 \pm 0.8$  m/s) with the prevailing wind direction of northeasterlies. The average concentrations of NO<sub>x</sub> and CO were  $26.2 \pm 19.3$  ppbv and  $497 \pm 158$  ppbv,

206	respectively. The average concentrations of O <sub>3</sub> ranged from 2.33 to 192 ppbv with an
207	average of $34.9 \pm 32.2$ ppbv. According to the National Ambient Air Quality Standard
208	II of China, high O <sub>3</sub> episodes are defined as the days with maximum hourly average
209	mixing ratio of O <sub>3</sub> exceeding ~100 ppbv. It can be seen clearly in Fig. 1 that high-O <sub>3</sub>
210	days occurred during the sampling period. Specifically, 26 high-O3 days were observed
211	during 1-3, 11-12, 15-21, 27-28 September, 23-27, 31 October, 1-2, 5, 9-10, 16, 29
212	November (Table S1). During most of the high O3 days, we also observed high
213	concentrations of VOCs, suggesting that the O <sub>3</sub> formation is probably VOC-limited at
214	WQS site. We will further discuss the effects of VOCs on O <sub>3</sub> formation in Section 3.5
215	to illustrate the causes of these photochemical pollution episodes.

The mixing ratios of total VOCs (TVOCs) ranged from 4.51 to 190 ppbv with an 216 average of  $36.4 \pm 27.9$  ppbv (Fig. 1). Among the measured VOCs, the group of alkanes 217 accounts for the largest fraction of TVOCs (55.6%), followed by aromatics (33.3 %), 218 alkenes (6.6 %) and alkyne (4.6 %). The dominance of alkanes followed by aromatics 219 is consistent with previous results widely reported in Chinese cities (Cai et al., 2010; 220 Liu et al., 2008b; Louie et al., 2013). The top 10 VOC species were ethane, propane, n-221 butane, *i*-butane, *i*-pentane, *n*-pentane, ethyne, toluene, ethylbenzene and *m*,*p*-xylene, 222 totally accounting for 78.3% of TVOCs. Generally, ethane and ethyne are typical 223 species emitted from incomplete combustion of fossil fuel and biomass/biofuel (Guo et 224 al., 2007b; Lai et al., 2011; Tang et al., 2009). Their average mixing ratios were  $2.40 \pm$ 225 1.40 and  $1.69 \pm 1.10$  ppbv, respectively. Propane, *i*-butane and *n*-butane are the major 226 components of liquid petroleum gas (LPG), which are widely regarded as tracers of 227

LPG usage (Blake and Rowland, 1995; Ling and Guo, 2014). The average mixing ratios 228 were  $4.63 \pm 3.51$ ,  $2.22 \pm 1.94$  and  $3.86 \pm 3.74$  ppbv for propane, *i*-butane and *n*-butane, 229 230 respectively. The average concentration of two pentane isomers, *i*-pentane and *n*pentane were  $2.31 \pm 3.05$  and  $1.67 \pm 2.10$  ppbv respectively, which were mainly emitted 231 from gasoline evaporation and/or gasoline-fueled vehicle exhaust (Liu et al., 2008a; Liu 232 et al., 2008b). In addition, high levels of aromatic hydrocarbons were observed with 233 average mixing ratios of 5.96  $\pm$  4.90 ppbv for toluene, 2.11  $\pm$  1.15 ppbv for 234 ethylbenzene and  $2.75 \pm 2.00$  ppbv for *m*,*p*-xylene, respectively. Toluene, ethylbenzene 235 and *m*,*p*-xylene (TEX) are common species emitted from traffic-related and industrial 236 solvent usage in southern China (Zhang et al., 2012; Zhang et al., 2013). Overall, high 237 concentrations of alkanes and aromatics suggest the important contributions of traffic 238 239 emissions and industrial solvent usage to VOCs.

We also made a comparison of major VOC species (Table S1) with the offline 240 measurement results at the same site from October to December 2007 (Ling et al., 2011). 241 242 The mixing ratios of LPG tracers (propane, *i*-butane and *n*-butane) were significantly higher in 2017 than those observed in 2007. In contrast, we found lower concentrations 243 of fossil fuel combustion-related species including ethane, ethylene, ethyne, *i*-pentane 244 and benzene in 2017 compared to those in 2007. This could be attributable to the 245 implementations of switching from fossil fuel/biofuel to LPG as vehicle fuel in the PRD 246 region in recent years (Zhang et al., 2018). Besides, the mixing ratios of TEX were 247 lower in 2017 than those in 2007. It suggests the effective reduction of VOCs emitted 248 from industrial emissions in the PRD region. It should be noted that the concentration 249

and composition of VOCs could be also influenced by other factors such as the sampling period, meteorological conditions, analytical method and atmospheric reactions besides source emissions.



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**Fig. 1** Time series of air pollutants and meteorological conditions at WQS site from September to November 2017: (a) temperature (T), relative humidity (RH) and precipitation; (b) wind speed (WS) colored by wind direction (WD);(c) carbon monoxide (CO); (d) ozone (O<sub>3</sub>) and nitrogen oxide (NO<sub>x</sub>); the red dash line in (d) shows a threshold (O<sub>3</sub> > 100 ppbv) for the definition of high-O<sub>3</sub> days. (e) mixing ratios of VOCs

260 The pie chart shows the relative abundance of VOCs during the whole measurement261 period.

#### 3. 2. Diurnal variations of VOCs, NO<sub>x</sub> and O<sub>3</sub>

Fig. 2 shows the diurnal variations of TVOCs, VOC groups, NO<sub>x</sub>, and O<sub>3</sub> during 263 the sampling period. The diurnal variations of VOCs at 22:00 to 0:00 local time (LT) 264 were missing due to the daily calibration. In general, meteorological conditions play an 265 important factor influencing the diurnal variations of atmospheric pollutants. In this 266 study, lower wind speeds were observed during nighttime than daytime, indicating a 267 more stagnant condition in favor of air pollutant accumulation during nighttime (Fig. 268 S2). In addition to meteorology, emission sources and chemical reactions can also 269 270 influence the diurnal variations of air pollutants. The diurnal variation of TVOC concentration was characterized with a peak at 8:00 to 9:00 LT in the morning. This 271 peak of TVOCs can be attributed to the strong emissions of traffics in the morning rush 272 273 hours. Subsequently, the concentration of TVOCs decreased quickly during the daytime (10:00 to 18:00 LT), which is related to the strong photochemical consumption of 274 TVOCs. After 19:00 LT, the concentration of TVOCs increased and then stayed 275 constantly during nighttime. It is likely caused by the increase of traffic emissions 276 during evening rush hours and the shrink of atmospheric boundary layer during 277 nighttime. The groups of alkanes, aromatics and alkenes showed fast photochemical 278 consumptions during daytime (10:00 to 18:00 LT). The diurnal variations of some 279 representative VOC species were shown in Fig. S3. C2 species (ethane, ethylene, 280 ethyne), propane, *i*/*n*-butanes, *n*-pentane and benzene exhibited the highest 281 concentrations in the morning rush hours (8:00-9:00 LT), which are related to traffic 282 emissions. However, there were no obvious morning peaks observed for toluene, 283

ethylbenzene and xylene, indicating that they mainly came from other sources such as 284 solvent usage rather than traffic emissions. After the morning rush hours, the 285 286 concentrations of ethene, propane, i/n-butanes, *n*-pentane, the so call BTEX (benzene, toluene, ethylbenzene and xylene) and styrene showed significant decreases during 287 daytime, whereas they showed higher concentrations but with relatively flat trends 288 during nighttime. Ethene and BTEX are highly reactive species with OH radicals, and 289 thus their fast decreases were due to strong photochemical reactions during daytime. 290 However, the constant trends of reactive VOC species during nighttime could be due to 291 292 reduced oxidation capacity and increased stagnant condition of the atmosphere.

Similarly, the NO<sub>x</sub> concentration also peaked at 8:00-9:00 LT, following a 293 decreasing trend during daytime. This suggests the strong traffic emissions in the 294 295 morning rush hours, followed by the fast-photochemical consumption of both TVOCs and NO<sub>x</sub>. The diurnal variation of O<sub>3</sub> showed an opposite trend with TVOCs and NO<sub>x</sub> 296 during daytime with the highest concentration during the afternoon (13:00-16:00 LT). 297 This indicates that the consumptions of VOCs and  $NO_x$  result in the photochemical 298 formation of O<sub>3</sub> during daytime. During nighttime, the reduction of O<sub>3</sub> was caused by 299 the titration of NO (NO +  $O_3 \rightarrow NO_2 + O_2$ ). We further investigated the diurnal variation 300 of TVOCs/NO<sub>x</sub> ratios, which have been widely used to determine the  $O_3$  formation 301 regime (Li et al., 2019; Liu et al., 2016; Seinfeld, 1989; Zou et al., 2015). Generally, 302 VOC-limited regimes occur when TVOCs/NOx ratios are lower than 8, while NOx-303 limited regimes occur when TVOCs/NO<sub>x</sub> ratios are larger than 8 (Li et al., 2019; Liu et 304 al., 2016; Zou et al., 2015). In this study, the average ratio of TVOCs/NO<sub>x</sub> was  $1.59 \pm$ 305

1.12 during the whole measurement period. Furthermore, high concentrations of  $O_3$ were often observed with TVOCs/NO<sub>x</sub> ratios of 1~6 from midday to afternoon (**Fig. S4**). These results suggest that  $O_3$  formation is VOC-limited at WQS site.



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Fig. 2 Diurnal variations of TVOCs, VOCs groups, NO<sub>x</sub> and O<sub>3</sub> at WQS site from
September to November 2017: (a) TVOCs, (b) VOC groups, (c) NO<sub>x</sub> and (d) O<sub>3</sub>
The values of VOCs at 22:00-0:00 were excluded due to daily calibration.

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# 314 **3.3 Source identification of VOCs**

The ratios of specific VOCs are useful indicators for investigating the sources of VOCs and the aging of air masses (Gilman et al., 2013; Guo et al., 2007a; Rossabi and Helmig, 2018). As *i*-pentane and *n*-pentane have similar sources and reactivity, their

318	ratio is widely used to reveal the VOC sources (Gilman et al., 2013; Rossabi and Helmig
319	2018; Swarthout et al., 2013). In general, lower <i>i</i> -pentane/ <i>n</i> -pentane ratios were
320	observed in the areas highly-influenced by tropical forest fires (0.43-0.57) (Andreae
321	and Merlet, 2001; Rossabi and Helmig, 2018), oil and natural gas operations (0.81-1.1)
322	(Gilman et al., 2013; Swarthout et al., 2013). However, relatively high values of <i>i</i> -
323	pentane/ $n$ -pentane ratios were reported for gasoline fuel evaporation (1.8-4.6), and in
324	urban areas or tunnels with strong vehicle emissions (2.2-3.8) (Gentner et al.; Jobson et
325	al., 2004; Russo et al., 2010a). In this study, <i>i</i> -pentane and <i>n</i> -pentane correlated well
326	$(R^2 = 0.88, Fig. S5)$ , indicating that they originated from the common sources. The
327	average ratio of <i>i</i> -pentane/ <i>n</i> -pentane was $1.45 \pm 0.45$ , which is close to the values for
328	gasoline evaporation. Moreover, the average ratio of <i>i</i> -pentane/ <i>n</i> -pentane was lower
329	than that (4.16) observed at WQS site in 2007 (Ling et al., 2011). This implies the
330	effective reduction of VOCs from gasoline-fueled vehicular emissions in the PRD
331	region over the last decade.

Benzene is usually emitted from vehicle exhaust in the urban and rural areas (Li et 332 al., 2017), while toluene mainly originated from the evaporation of industrial solvents 333 used in paint, printing and coating (Yuan et al., 2010; Zhang et al., 2013). The ratio of 334 toluene to benzene (T/B) is often used to identify the non-traffic source contributions 335 to VOCs (Barletta et al., 2008; Elbir et al., 2007). When the ratio of T/B ratio is lower 336 than 2, it indicates the strong influences from combustion sources including vehicle 337 emissions and/or biomass burning. When the ratio of T/B is greater than > 2 indicate 338 the major contributions from non-traffic sources especially paint solvent usage (Barletta 339

et al., 2008; Elbir et al., 2007). In this study, benzene showed a weak correlation with 340 toluene ( $R^2 = 0.36$ , Fig. S4), indicating that they came from different sources. 341 342 Furthermore, the ratios of T/B ranged from 1.1 to 33.0 with an average of  $7.3 \pm 5.2$ , suggesting the important influence of solvent usage on VOCs at WOS. 343 The PMF model was used to resolve the source profiles and contributions of VOCs 344 in this study. Five-factor was chosen as the optimal solution of PMF model results and 345 the factor profile are shown in Fig. 3. Factor 1 is dominated by TEX, n-hexane, 3-346 methylpnene and *n*-heptane. TEX are the most common VOC species emitted from 347 348 solvent usage in the PRD region (Zhang et al., 2012; Zhang et al., 2013). *n*-Hexane is used in the solvents for cooking oil extraction and as one of the cleaning reagents for 349 shoe-making, furniture and textile. It can also be regarded as a tracer for household 350 351 solvent usage (Guo et al., 2011). 3-methylpnene and *n*-heptane are also associated with the solvent usage in painting and varnishing (Guo et al., 2011; Ling and Guo, 2014). 352

Therefore, factor 1 can be assigned to solvent usage. Factor 2 is characterized with high 353 354 loadings of propane, *i*-butane and *n*-butane that are typical tracers of LPG usage (Blake and Rowland, 1995). As mentioned earlier, LPG has been widely used as the fuel for 355 vehicles in the PRD regions in recent years (Zhang et al., 2018). This factor also has 356 relative high percentages of ethene, propylene, *i*-pentane, which are likely associated 357 with LPG usage. Therefore, we attributed to factor 2 to LPG usage. Factor 3 is identified 358 with high abundances of ethane, ethylene, ethyne and benzene, which are typical tracers 359 of incomplete combustion including fossil fuel and biomass burning (Guo et al., 2007b; 360 Lai et al., 2011; Tang et al., 2009). In terms of the diurnal variations of ethane, propane, 361

ethylene, ethyne and benzene showing significant peaks in morning rush hours, it is 362 reasonable to expect that they come from traffic emissions rather than biomass burning. 363 Therefore, factor 3 is assigned to vehicle exhaust. Compared to factor 1, factor 4 is 364 characterized with high loadings of ethylbenzene, m/p-xylene, o-xylene, and lower 365 percentages of benzene and toluene. In addition to solvent usage, other industrial 366 processes can also emit considerable amounts of aromatics in the PRD region (Zhang 367 et al., 2012; Zhang et al., 2013). For example, xylenes are widely used in shoemaking, 368 printing, packaging, toy and textiles industry in the PRD region (Guo et al., 2011; Ling 369 et al., 2011). Therefore, we assigned factor 4 to industrial emissions. Factor 5 is 370 dominated by high percentages of *i*-pentane and *n*-pentane, which were mainly emitted 371 from gasoline evaporation (Guo et al., 2011; Ling et al., 2011). Therefore, factor 5 was 372 373 regarded as gasoline evaporation.

Overall, solvent usage (28.6%) was the largest contributor to VOCs at WQS site, 374 followed by LPG usage (24.4%) and vehicle exhaust (21.0%). We also compared the 375 source contributions of VOCs with the previous study at WQS site in 2007 (Guo et al., 376 2011). Although lower contribution of solvent usage in 2017 than that in 2007 (46%) 377 suggests the effective control in recent years, it is still an important source of VOCs at 378 WQS site. The contribution of LPG was higher in 2017 than that (9%) in 2007, 379 indicating the influence of LPG usage in the region over the last decade. In addition, 380 the contribution of vehicle exhaust in 2017 was slightly lower than that (26%) in 2007. 381



**Fig. 3** Source profiles of VOCs identified by PMF model and the relative contributions of each source to the measured VOCs at WSQ site.

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# 386 3.4 Atmospheric processing of VOCs

The ratios of VOCs with different photochemical lifetimes can be used to evaluate the atmospheric processes including photochemical aging and atmospheric transport (Guo et al., 2007b; Louie et al., 2013; Song et al., 2018). Ethyne and CO share common sources but have different photochemical lifetime with approximately 2 weeks for ethyne and 2 months for CO. The ratio of ethyne/CO (E/C) have been widely used as a measure of photochemical aging. In the PRD region, high ratios of E/C (5.6-7.5 pptv/ppbv) were reported for fresh combustion sources (Guo et al., 2007b; Louie et al., 2013). In this study, the ratios of E/C ranged from 0.28 to 12.4 with an average of 3.49  $\pm$  1.48, suggesting that the air masses at WQS site have experienced a certain extent of photochemical aging.

The sources of ethylbenzene and m/p-xylene have similar sources such as solvent 397 usage, while they have different reactivities with OH radical. Therefore, the ratio of 398 m/p-xylene/ethylbenzene (X/E) can be used to assess the photochemical aging 399 processes. In this study, a good correlation ( $R^2 = 0.87$ , Fig. S5) was observed between 400 ethylbenzene and m/p-xylene, confirming that they came from the common sources. 401 402 Generally, the ratio of X/E is 3 for fresh emissions in urban environment (Monod et al., 2001), while when the ratio lower than 3 indicates the occurrence of photochemical 403 aging. In this study, the ratios of X/E ranged from 0.68 to 2.23 with an average of 1.25 404 405  $\pm$  0.30, suggesting the importance of photochemical aging and regional air mass transport. 406

To investigate the atmospheric transport pathways, three-day air mass backtrajectories were calculated using HYSPILIT model and further grouped into three clusters (**Fig. 4**). The first cluster (Cluster-1) originated from the upwind areas of south China (Jiangxi province) and upwind PRD cities (e.g., Dongguan and Huizhou), accounting for 51.6% of total back-trajectories. In this cluster, the highest concentrations of TVOCs ( $43.2 \pm 30.1$  ppbv) were observed, pointing to the influence

of upwind areas of south China. The second cluster (Cluster-2) accounted for 34.1% of 413 total back-trajectories, which shows the air masses transported along the southeast 414 415 coastal regions of China (Fujian and Zhejiang provinces). In the Cluster-2, the average mixing ratio of TVOCs was  $32.9 \pm 23.8$  ppbv. In addition, the third cluster (Cluster-3) 416 originated from the SCS, accounting for 14.3% of total air mass back-trajectories, in 417 which lower level of TVOCs were observed (20.9  $\pm$  17.6 ppbv). Although the 418 compositions of measured VOCs showed no significant changes in different clusters, 419 the predominance of alkanes and aromatics suggested the importance of traffic and 420 421 industrial emissions by regional transport.



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# 426 **3.5. Effects of VOCs on O<sub>3</sub> formation**

427 In order to estimate the potential effects of VOCs on O<sub>3</sub> formation, we calculated

428 firstly the OFP of VOC species as the following equation:

$$0FP_i = VOC_i \times MIR_i$$

430 where OFP<sub>i</sub> and VOC<sub>i</sub> are the OFP and the mixing ratios of VOC species i, and the MIR values are obtained from the study by Carter (1994). Fig. 5 shows the contributions of 431 different VOC groups and top 15 VOC species to the total OFP during the whole 432 measurement period. Aromatics were the largest contributor, accounting for 57.1% of 433 total OFP, followed by alkenes (22.3%) and alkanes (19.8%). As for individual VOC 434 species, five abundant aromatics including toluene, ethylbenzene, m/p-xylene, styrene 435 and o-xylene totally accounted for 55.6% of total OFP. Since toluene, ethylbenzene, 436 m/p-xylene, styrene and o-xylene mainly contributed by the emissions of solvent usage, 437 their high contributions to total OFP suggest that the reduction of regional industrial 438 439 emissions could be the key to control photochemical O<sub>3</sub> pollution in the PRD region. In addition, ethene, propylene, propane and i/n-butanes also showed large contributions 440 to total OFP, which are emitted from LPG usage. Even though LPG is commonly 441 regarded as a 'cleaner' vehicle fuel, its usage can emit VOCs contributing to O<sub>3</sub> 442 formation significantly. Therefore, LPG usage might also play important role in the 443 formation of photochemical pollution. 444



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446 Fig. 5 Contributions of major VOCs to total ozone formation potential (OFP) at WQS
447 site. The pie chart shows the relative contribution of each VOC group.

Furthermore, we simulated the O<sub>3</sub> formation processes by PBM-MCM and 449 450 calculated the RIR values of precursors to investigate their effects of on O<sub>3</sub> production. Fig. 6 shows the RIR of anthropogenic VOCs (AVOC), biogenic VOCs (BVOC), CO 451 and  $NO_x$  to investigate the sensitivity of  $O_3$  to the changes of the precursors. As 452 mentioned in Section 3.1, we totally characterized 26 high-O<sub>3</sub> days during the entire 453 sampling period. Therefore, we classified the whole measurement period into O<sub>3</sub>-454 episode and non-O3 episode days. Meanwhile, in order to better understand the 455 456 influence of regional transport in these photochemical pollution events, we further classified these O<sub>3</sub>-episode and non-O<sub>3</sub> episode days based on the influence of different 457

types of source origins as discussed above. On both O<sub>3</sub>-episode and non-O<sub>3</sub>-episode days, the RIR values of AVOC were always positive, indicating that the O<sub>3</sub> formation regime is AVOC-limited, which is in accordance with the discussion based on the TVOCs/NO<sub>x</sub> ratios. In contrast, the RIR values of NO<sub>x</sub> were always negative, suggesting that reducing NO<sub>x</sub> levels would increase the O<sub>3</sub> production.



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464 Fig. 6 Average RIR values of O<sub>3</sub> precursors during O<sub>3</sub> episodes and non-O<sub>3</sub> episodes.
465 The precursors include anthropogenic VOCs (AVOC), biogenic VOCs (BVOC), CO,

NO<sub>x</sub>. C1, C2 and C3 represents the different influence of source origins.

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471 **Table 1** Summary of air pollutant concentrations and typical diagnostic ratios of

Clusters	Cluster-1 $(n = 18)$			Cluster-2 $(n = 4)$			Cluster-3 $(n = 4)$		
Air mass origins	Southeast China/PRD			Southeast China coast			South China Sea		
	Mean	S.d <sup>a</sup>	Median	Mean	S.d	Median	Mean	S.d	Median
VOCs (ppbv)									
Alkanes	32.4	23.2	22.6	20.6	12.6	17.6	19.1	13.1	15.9
Alkenes	3.3	2.2	2.5	2.2	1.3	1.9	2.0	1.0	0.7
Alkyne	2.4	0.9	2.3	1.6	0.9	1.3	1.1	0.7	0.9
Aromatics	16.8	10.0	14.2	11.5	6.1	10.0	10.4	5.3	9.3
TVOCs	54.9	34.6	41.3	35.9	20.5	30.6	32.6	18.9	26.4
Air pollutants (ppbv)									
$NO_x$	35.4	22.1	29.0	27.2	16.0	24.7	21.4	10.5	18.8
O <sub>3</sub>	56.0	41.0	46.7	49.1	42.2	37.3	53.8	52.1	32.0
СО	572	168	560	535	124	560	561	126	560
Diagnostic ratios									
Ethyne/CO	4.24	1.13	4.42	2.75	1.33	2.33	1.83	0.88	1.61
X/E	1.31	0.28	1.24	1.07	0.31	0.98	1.03	0.26	0.93

VOCs during 26 high-O<sub>3</sub> days in three types of air mass clusters.

During all O<sub>3</sub>-episode days, in addition to AVOC, CO also showed positive RIR values, 474 475 indicating that reducing the levels of CO would lead to the decease of O<sub>3</sub> formation as well. Given the different origins of air mass clusters, the RIR value of AVOC and CO 476 were higher in Cluster-1 than those in other two clusters (Cluster-2 and Cluster-3). This 477 suggests that the formation of O3 was mainly caused by regional transport of 478 anthropogenic emissions from the upwind PRD urban regions. In particular, the 479 occurrence frequency on high-O<sub>3</sub> days in Cluster-1 (n = 18) was higher than that in 480 Cluster-2 (n = 4) and Cluster-3 (n = 4). Therefore, we suggested that the regional 481 reduction of anthropogenic VOCs emissions would be effective for controlling the O<sub>3</sub> 482 formation in the downwind PRD region. The variations of VOC and NO<sub>x</sub>, CO and O<sub>3</sub> 483 concentrations as well as the diagnostic ratios of E/C and X/E during O<sub>3</sub>-episode days 484 in different clusters were given in Table 1. In Cluster-1, higher concentrations of 485

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486	TVOCs, NO <sub>x</sub> , O <sub>3</sub> and CO were observed compared to those in another two clusters.
487	Furthermore, the average ratios of E/C and X/E were higher in Cluster-1 than those in
488	other two clusters (Table 1). The results indicate that more fresh emissions of
489	anthropogenic pollutants transported regionally from the upwind PRD urban regions.
490	Besides, we also investigated the diurnal variations of O <sub>3</sub> , TVOCs/NO <sub>x</sub> and X/E
491	ratios during O <sub>3</sub> -episodes in different clusters (Fig. 7). In Cluster-1, the concentrations
492	of $O_3$ were elevated with the increase of TVOCs/NO <sub>x</sub> ratios from 10:00 to 16:00 LT
493	during daytime. Correspondingly, the ratios of X/E showed fast decrease after 10:00 to
494	16:00 LT. The results suggest that the subsequent photochemical reactions lead to the
495	formation of O3 after the regional transport of anthropogenic emissions from upwind
496	urban regions to WQS site. This result is in line with the recent findings that the
497	downwind regions (PRE) can be act as a sink area of O <sub>3</sub> in the PRD region resulting
498	from the transported air pollutants and intensive photochemical reactions (Zeren et al.,
499	2019). Compared to Cluster-1, Cluster-2 had lower concentrations of O3 during daytime
500	(10:00-16:00) with the corresponding weak decrease of X/E ratios, indicating less
501	photochemical reactions when WQS site was influenced by the air masses from
502	Southeast coastal regions. However, in Cluster-3, we found that $TVOCs/NO_x$ ratios
503	showed fast decrease from 10: 00 to 12:00 with continuously increase of O <sub>3</sub> . This is
504	likely related to the shift of sea-land breezes during daytime. As shown Fig. 7c, a
505	slightly increase of X/E ratios were observed in morning hours (7:00-9:00 LT), which
506	is likely related to fresh emissions from the land air. In contrast, the ratios of X/E
507	showed decrease continuously from 10:00 to 16:00, suggesting the photochemical

aging under the influence of SCS air. However, the limited number of high-O<sub>3</sub> days under the influence of air masses from the SCS cannot sufficiently demonstrate the effects of sea-land breezes on O<sub>3</sub> formation in this study. More long-term field measurements and modeling studies are necessary to investigate the role of sea-land breezes in photochemical pollution in the PRD region.



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**Fig. 7** Diurnal variations of  $O_3$  concentrations, TVOCs/NO<sub>x</sub> ratios and *m*,*p*xylene/ethylbenzene (X/E) ratios during high-O<sub>3</sub> days with the influence of three air mass clusters.

#### 517 **4. Conclusions**

In this study, VOCs were continuously measured at a receptor site in the PRD region 518 from September to November 2017. The results showed that the dominant VOC species 519 were alkanes and aromatics. The source apportionment results suggest solvent usage, 520 521 LPG usage, vehicle exhaust were the major contributors of VOCs. The air mass backtrajectories showed that the upwind south China was the major source region of VOCs. 522 The ratios of VOCs/NOx and the result of PBM-MCMs indicated that the 523 photochemical O<sub>3</sub> formation was anthropogenic VOC-limited at WQS site. Moreover, 524 toluene, ethylbenzene, xylene, ethylene, propylene and i/n-butanes were the major 525 contributors of total OFP. Finally, O<sub>3</sub> formation during the high-O<sub>3</sub> days were further 526 investigated by PBM model simulation and diagnostic ratios of VOCs. The results 527 528 suggest that frequent high-O<sub>3</sub> outbreaks were caused by the regionally transported pollutants followed by strong photochemical reactions. In future, more studies on O<sub>3</sub>-529 VOC-NO<sub>x</sub> relationship at downwind region are still needed, which is crucial for further 530 531 improvement of regional photochemical pollution control strategies in the PRD region.

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