

# 23 **Highlights**



## **Abstract**

 We present the continuously measurements of volatile organic compounds (VOCs) at a receptor site (Wan Qing Sha, WQS) in the Pearl River Delta (PRD) region from September to November of 2017. The average mixing ratios of total VOCs (TVOCs) 35 was  $36.4 \pm 27.9$  ppby with the dominant contribution from alkanes (55.6%), followed by aromatics (33.3%). The diurnal variation of TVOCs showed a strong photochemical consumption during daytime, which is related to the formation of ozone (O3). Five VOC sources were resolved by the positive matrix factorization (PMF) model, including solvent usage (28.6%), liquid petroleum gas (LPG) usage (24.4%), vehicle exhaust (21.0%), industrial emissions (13.2%) and gasoline evaporation (12.9%). The regional transport air masses from the upwind cities of south China can result in the elevated 42 concentrations of TVOCs. Lower ratios of TVOCs/NO<sub>x</sub>  $(1.59 \pm 1.12)$  suggested that the O<sup>3</sup> formation regime at WQS site was VOC-limited, which also confirmed by a photochemical box model with the master chemical mechanism (PBM-MCM). Furthermore, the observation on high-O<sup>3</sup> episode days revealed that frequent O<sup>3</sup> outbreaks at WQS were mainly caused by the regional transport of anthropogenic VOCs especially for aromatics and the subsequent photochemical reactions. This study provides valuable information for policymakers to propose the effective control strategies on photochemical pollution in a regional perspective.



## **1. Introduction**





96 from non-O<sub>3</sub> episode days to O<sub>3</sub> episode days.

 As one of the most developed city clusters in China, the PRD region is densely 98 populated and rapidly developed with the pollution of  $O_3$  and particulate matter {Lai, 2016 #174}. Over the last decade, a series of field campaigns and modeling studies were performed in this region to understand the characteristics of VOCs including abundances, composition, temporal variations, source contributions and chemical reactivity, as well as the role in photochemical pollutant production [\(Barletta et al., 2008;](#page-29-9) [Guo et al., 2011;](#page-29-4) [Guo et al., 2009;](#page-29-7) [Ling et al., 2011;](#page-30-5) [Liu et al., 2008b;](#page-30-6) [Louie et al., 2013;](#page-31-5) [Yuan et al., 2013;](#page-32-3) [Zeng et al., 2019;](#page-32-4) [Zhang et al., 2013;](#page-32-5) [Zou et al., 2015\)](#page-32-6). Although significant advances have obtained to understand the photochemical pollution formation processes and to implement the control strategies, the PRD region is still suffered from the frequent outbreaks of high-O<sup>3</sup> episodes. Even in the downwind areas of PRD with sparse anthropogenic emissions, high-O<sup>3</sup> episodes were often observed as well [\(He et al., 2019;](#page-30-3) [Wang et al., 2017;](#page-32-1) [Zeren et al., 2019\)](#page-32-2). Here, we presented the results of online VOCs observation at a receptor site in the PRD region in autumn 2017. 111 We intend (1) to characterize the concentration levels and diurnal variations of VOCs; (2) to understand the contribution of VOC sources; and (3) to assess the impacts of VOCs on O<sup>3</sup> formation with a focus on photochemical pollution periods.

**2. Experimental**

## **2.1. Sampling site**

A field measurement was carried out at the site of Wan Qing Sha (WQS, 22°43'N,

 113°33'E) from 1 September to 30 November 2017 (**Fig. S1**). WQS is a coastal site located at the Pearl River Estuary (PRE) connecting the land areas of PRD and the SCS. 120 This site is located in the downwind area of urban Guangzhou  $(-50 \text{ km}$  southeast to the city center of Guangzhou) and surrounded by several fast-developing cities (Dongguan, 122 Shenzhen and Zhongshan, ~25-40 km). During autumn and winter seasons, this site can be regarded as a receptor site and frequently influenced by the air masses carrying large amounts of atmospheric pollutants from the upwind areas [\(Guo et al., 2011;](#page-29-4) [Ling and](#page-30-4)  [Guo, 2014\)](#page-30-4). It is an ideal site to study the characteristics of regional pollution in the PRD region.

**2.2. Sampling and chemical analysis**

 Ambient VOCs were sampled and analyzed continuously by an online gas chromatography-mass spectrometry/flame ionization detector system (GC-MS/FID, Tianhong Instrument (TH-300B), Wuhan) with 1-h time resolution. The sampling tube 132 inlet was 1.5 m above the rooftop  $(\sim 15 \text{ m})$ , and the outlet was connected to a PFA-made manifold with a by-pass pump drawing air at a rate of 15 L/min. Ambient air was continuously drawn through a PFA tube with an inner diameter of 7.6 cm. The air was then pre-concentrated by passing through a cold trap maintaining −80 °C for the 136 removal of water and carbon dioxide (CO2), and then trapped at  $-150$  °C with an empty capillary column. After pre-concentration, the VOCs were desorbed by heating up to 100 °C rapidly and introduced into the GC-MS/FID system for analysis. The Photochemical Assessment Monitoring Station (PAMS) gas was used for the daily



**2.3. Models**

## **2.3.1 Air mass back-trajectories**

 To trace the potential source regions of atmospheric pollutants, air mass back- trajectories are computed by using the Hybrid Single Particle Lagrange Integrated Trajectory (HYSPLIT) model [\(Stein et al., 2015\)](#page-31-6). In this study, three-day air mass back- trajectories for each day were ran using HYSPLIT model with the arrival height of 200 m at every 6 hours for WQS site. Furthermore, we performed the air mass cluster analysis to categorize the back-trajectories into three groups for source origin analysis. ## **2.3.2 Positive matrix factorization (PMF) model**

 In this study, US EPA PMF 5.0 model was used for the source apportionment of VOCs [\(Norris, 2014\)](#page-31-7). The PMF model is a multivariate factor analysis tool that decomposes a matrix of sample data into two matrices of factor contributions and factor profiles, which can be interpreted to explore the source types and contributions at a receptor site [\(Paatero, 1997;](#page-31-8) [Paatero and Tapper, 1994\)](#page-31-9). The decomposition of sample data matrix can be simplified as follows:

170 
$$
x_{ij} = \sum_{k=1}^{p} g_{ik} f_{kj} + e_{ij}
$$
 (1)

171 where  $x_{ij}$  is the jth species concentration measured in the ith sample,  $g_{ik}$  is the species 172 contribution of the kth source to the ith sample,  $f_{kj}$  is the jth species fraction from the 173 kth source,  $e_{ij}$  is the residual for each species and  $p$  is the total number of independent sources. Based on the least squares method, the number of sources and VOC source profiles of each species in the individual source can be obtained from PMF model calculation. The detailed operation of PMF model is given in supplement (**Text S1**).

## **2.3.3 Photochemical box model (PBM)**

 A photochemical box model incorporating the Master Chemical Mechanism (PBM- MCM) was used to simulate the photochemical formation of O3. This model has been successfully applied to investigate the O<sup>3</sup> formation and its relationships with precursors (VOCs and NO*x*) in previous studies [\(He et al., 2019;](#page-30-3) [Lam et al., 2013;](#page-30-7) [Ling](#page-30-4)  [and Guo, 2014;](#page-30-4) [Lyu et al., 2016;](#page-31-1) [Wang et al., 2017;](#page-32-1) [Zeng et al., 2019\)](#page-32-4). The sensitivity 184 of O<sup>3</sup> formation to its precursors was assessed using the relative incremental reactivity 185 (RIR), which can be calculated using the following equation (Carter and Atkinson 186 1989):

187 
$$
RIR^{S}(X) = \frac{[P_{O_3 - NO}^{S}(X) - P_{O_3 - NO}^{S}(X - \Delta X)]/P_{O_3 - NO}^{S}(X)}{\Delta S(X)/S(X)}
$$
(1)

188 where  $P^{S}$ <sub>O3−NO</sub> $(X)$  and  $P^{S}$ <sub>O3−NO</sub> $(X - \Delta X)$  represent the O<sub>3</sub> formation potential in a base 189 run with original concentrations, and in a run with a hypothetical change  $(\Delta X)$  (10% in 190 this study) in source/species X. In both runs, net  $O_3$  production with titration by NO is 191 considered. S(X) means the measured concentration of X, and  $\Delta X$  is the concentration 192 change of X caused by the hypothetical change  $\Delta S(X)$ . According to equation (1), a 193 higher positive RIR value of a given precursor indicates a higher probability that  $O<sub>3</sub>$ 194 production will be more effectively reduced by cutting down emissions of this precursor. 195 A more detailed description of PBM-MCM is shown in supplement (**Text S2**).

196

#### 197 **3. Results and discussion**

#### 198 **3.1. Data overview**

199 The time series of air pollutant concentrations including VOCs, O3, NO*x*, CO as 200 well as meteorological parameters during the campaign are shown **Fig. 1**. During the 201 whole measurement period, the ambient temperature ranged from 13.2 to 36.4 °C with 202 an average of  $25.7 \pm 4.6$  °C. The relative humidity (RH) ranged from 24.0 % to 87.0 % 203 with an average of  $63.0 \pm 13.2$  %. The wind speed varied between 0.3 m/s to 5.4 m/s 204 (average:  $1.8 \pm 0.8$  m/s) with the prevailing wind direction of northeasterlies. The 205 average concentrations of NO<sub>x</sub> and CO were  $26.2 \pm 19.3$  ppbv and  $497 \pm 158$  ppbv,



 The mixing ratios of total VOCs (TVOCs) ranged from 4.51 to 190 ppbv with an 217 average of  $36.4 \pm 27.9$  ppbv (**Fig. 1**). Among the measured VOCs, the group of alkanes accounts for the largest fraction of TVOCs (55.6%), followed by aromatics (33.3 %), alkenes (6.6 %) and alkyne (4.6 %). The dominance of alkanes followed by aromatics is consistent with previous results widely reported in Chinese cities [\(Cai et al., 2010;](#page-29-3) [Liu et al., 2008b;](#page-30-6) [Louie et al., 2013\)](#page-31-5). The top 10 VOC species were ethane, propane, *n*- butane, *i*-butane, *i*-pentane, *n*-pentane, ethyne, toluene, ethylbenzene and *m,p*-xylene, totally accounting for 78.3% of TVOCs. Generally, ethane and ethyne are typical species emitted from incomplete combustion of fossil fuel and biomass/biofuel [\(Guo et](#page-30-8)  [al., 2007b;](#page-30-8) [Lai et al., 2011;](#page-30-9) [Tang et al., 2009\)](#page-32-0). Their average mixing ratios were  $2.40 \pm 1$ 226 1.40 and  $1.69 \pm 1.10$  ppbv, respectively. Propane, *i*-butane and *n*-butane are the major components of liquid petroleum gas (LPG), which are widely regarded as tracers of  LPG usage [\(Blake and Rowland, 1995;](#page-29-10) [Ling and Guo, 2014\)](#page-30-4). The average mixing ratios 229 were  $4.63 \pm 3.51$ ,  $2.22 \pm 1.94$  and  $3.86 \pm 3.74$  ppbv for propane, *i*-butane and *n*-butane, respectively. The average concentration of two pentane isomers, *i*-pentane and *n*-231 pentane were  $2.31 \pm 3.05$  and  $1.67 \pm 2.10$  ppbv respectively, which were mainly emitted from gasoline evaporation and/or gasoline-fueled vehicle exhaust [\(Liu et al., 2008a;](#page-30-1) [Liu](#page-30-6)  [et al., 2008b\)](#page-30-6). In addition, high levels of aromatic hydrocarbons were observed with 234 average mixing ratios of  $5.96 \pm 4.90$  ppbv for toluene,  $2.11 \pm 1.15$  ppbv for 235 ethylbenzene and  $2.75 \pm 2.00$  ppby for  $m, p$ -xylene, respectively. Toluene, ethylbenzene and *m,p*-xylene (TEX) are common species emitted from traffic-related and industrial solvent usage in southern China [\(Zhang et al., 2012;](#page-32-7) [Zhang et al., 2013\)](#page-32-5). Overall, high concentrations of alkanes and aromatics suggest the important contributions of traffic emissions and industrial solvent usage to VOCs.

 We also made a comparison of major VOC species (**Table S1**) with the offline 241 measurement results at the same site from October to December 2007 [\(Ling et al., 2011\)](#page-30-5). The mixing ratios of LPG tracers (propane, *i*-butane and *n*-butane) were significantly higher in 2017 than those observed in 2007. In contrast, we found lower concentrations of fossil fuel combustion-related species including ethane, ethylene, ethyne, *i*-pentane and benzene in 2017 compared to those in 2007. This could be attributable to the implementations of switching from fossil fuel/biofuel to LPG as vehicle fuel in the PRD region in recent years [\(Zhang et al., 2018\)](#page-32-8). Besides, the mixing ratios of TEX were lower in 2017 than those in 2007. It suggests the effective reduction of VOCs emitted from industrial emissions in the PRD region. It should be noted that the concentration

 and composition of VOCs could be also influenced by other factors such as the sampling period, meteorological conditions, analytical method and atmospheric reactions besides source emissions.



 **Fig. 1** Time series of air pollutants and meteorological conditions at WQS site from September to November 2017: (a) temperature (T), relative humidity (RH) and precipitation; (b) wind speed (WS) colored by wind direction (WD);(c) carbon 257 monoxide (CO); (d) ozone (O<sub>3</sub>) and nitrogen oxide (NO<sub>*x*</sub>); the red dash line in (d) shows 258 a threshold  $(O_3 > 100$  ppbv) for the definition of high- $O_3$  days. (e) mixing ratios of VOCs

 The pie chart shows the relative abundance of VOCs during the whole measurement period.

## **3. 2. Diurnal variations of VOCs, NO***<sup>x</sup>* **and O<sup>3</sup>**

**Fig.** 2 shows the diurnal variations of TVOCs, VOC groups,  $NO_x$ , and  $O_3$  during the sampling period. The diurnal variations of VOCs at 22:00 to 0:00 local time (LT) were missing due to the daily calibration. In general, meteorological conditions play an important factor influencing the diurnal variations of atmospheric pollutants. In this study, lower wind speeds were observed during nighttime than daytime, indicating a more stagnant condition in favor of air pollutant accumulation during nighttime (**Fig. S2**). In addition to meteorology, emission sources and chemical reactions can also influence the diurnal variations of air pollutants. The diurnal variation of TVOC concentration was characterized with a peak at 8:00 to 9:00 LT in the morning. This peak of TVOCs can be attributed to the strong emissions of traffics in the morning rush hours. Subsequently, the concentration of TVOCs decreased quickly during the daytime (10:00 to 18:00 LT), which is related to the strong photochemical consumption of TVOCs. After 19:00 LT, the concentration of TVOCs increased and then stayed constantly during nighttime. It is likely caused by the increase of traffic emissions during evening rush hours and the shrink of atmospheric boundary layer during nighttime. The groups of alkanes, aromatics and alkenes showed fast photochemical consumptions during daytime (10:00 to 18:00 LT). The diurnal variations of some representative VOC species were shown in **Fig. S3**. C<sup>2</sup> species (ethane, ethylene, ethyne), propane, *i*/*n*-butanes, *n*-pentane and benzene exhibited the highest concentrations in the morning rush hours (8:00-9:00 LT), which are related to traffic emissions. However, there were no obvious morning peaks observed for toluene,  ethylbenzene and xylene, indicating that they mainly came from other sources such as solvent usage rather than traffic emissions. After the morning rush hours, the concentrations of ethene, propane, *i*/*n*-butanes, *n*-pentane, the so call BTEX (benzene, toluene, ethylbenzene and xylene) and styrene showed significant decreases during daytime, whereas they showed higher concentrations but with relatively flat trends during nighttime. Ethene and BTEX are highly reactive species with OH radicals, and thus their fast decreases were due to strong photochemical reactions during daytime. However, the constant trends of reactive VOC species during nighttime could be due to reduced oxidation capacity and increased stagnant condition of the atmosphere.

 Similarly, the NO*<sup>x</sup>* concentration also peaked at 8:00-9:00 LT, following a decreasing trend during daytime. This suggests the strong traffic emissions in the morning rush hours, followed by the fast-photochemical consumption of both TVOCs and NO*x*. The diurnal variation of O<sup>3</sup> showed an opposite trend with TVOCs and NO*<sup>x</sup>* during daytime with the highest concentration during the afternoon (13:00-16:00 LT). This indicates that the consumptions of VOCs and NO*<sup>x</sup>* result in the photochemical 299 formation of  $O_3$  during daytime. During nighttime, the reduction of  $O_3$  was caused by 300 the titration of NO (NO +  $O_3 \rightarrow NO_2 + O_2$ ). We further investigated the diurnal variation of TVOCs/NO<sub>x</sub> ratios, which have been widely used to determine the O<sub>3</sub> formation regime [\(Li et al., 2019;](#page-30-10) [Liu et al., 2016;](#page-30-11) [Seinfeld, 1989;](#page-31-10) [Zou et al., 2015\)](#page-32-6). Generally, VOC-limited regimes occur when TVOCs/NO*<sup>x</sup>* ratios are lower than 8, while NO*x*- limited regimes occur when TVOCs/NO*<sup>x</sup>* ratios are larger than 8 [\(Li et al., 2019;](#page-30-10) [Liu et](#page-30-11)  [al., 2016;](#page-30-11) [Zou et al., 2015\)](#page-32-6). In this study, the average ratio of TVOCs/NO<sub>x</sub> was  $1.59 \pm 1.59$   1.12 during the whole measurement period. Furthermore, high concentrations of O<sup>3</sup> were often observed with TVOCs/NO*<sup>x</sup>* ratios of 1~6 from midday to afternoon (**Fig. S4**). These results suggest that O<sup>3</sup> formation is VOC-limited at WQS site.



 **Fig. 2** Diurnal variations of TVOCs, VOCs groups, NO<sup>x</sup> and O<sup>3</sup> at WQS site from 311 September to November 2017: (a) TVOCs, (b) VOC groups, (c)  $NO<sub>x</sub>$  and (d)  $O<sub>3</sub>$ The values of VOCs at 22:00-0:00 were excluded due to daily calibration.

## **3.3 Source identification of VOCs**

 The ratios of specific VOCs are useful indicators for investigating the sources of VOCs and the aging of air masses [\(Gilman et al., 2013;](#page-29-11) [Guo et al., 2007a;](#page-29-12) [Rossabi and](#page-31-11)  [Helmig, 2018\)](#page-31-11). As *i*-pentane and *n*-pentane have similar sources and reactivity, their



 Benzene is usually emitted from vehicle exhaust in the urban and rural areas [\(Li et](#page-30-13)  [al., 2017\)](#page-30-13), while toluene mainly originated from the evaporation of industrial solvents used in paint, printing and coating [\(Yuan et al., 2010;](#page-32-9) [Zhang et al., 2013\)](#page-32-5). The ratio of 335 toluene to benzene  $(T/B)$  is often used to identify the non-traffic source contributions to VOCs [\(Barletta et al., 2008;](#page-29-9) [Elbir et al., 2007\)](#page-29-15). When the ratio of T/B ratio is lower than 2, it indicates the strong influences from combustion sources including vehicle 338 emissions and/or biomass burning. When the ratio of T/B is greater than  $> 2$  indicate the major contributions from non-traffic sources especially paint solvent usage [\(Barletta](#page-29-9)   [et al., 2008;](#page-29-9) [Elbir et al., 2007\)](#page-29-15). In this study, benzene showed a weak correlation with 341 toluene  $(R^2 = 0.36,$  Fig. S4), indicating that they came from different sources. 342 Furthermore, the ratios of T/B ranged from 1.1 to 33.0 with an average of  $7.3 \pm 5.2$ , suggesting the important influence of solvent usage on VOCs at WQS.

 The PMF model was used to resolve the source profiles and contributions of VOCs in this study. Five-factor was chosen as the optimal solution of PMF model results and the factor profile are shown in **Fig. 3**. Factor 1 is dominated by TEX, *n*-hexane, 3- methylpnene and *n*-heptane. TEX are the most common VOC species emitted from solvent usage in the PRD region [\(Zhang et al., 2012;](#page-32-7) [Zhang et al., 2013\)](#page-32-5). *n*-Hexane is used in the solvents for cooking oil extraction and as one of the cleaning reagents for shoe-making, furniture and textile. It can also be regarded as a tracer for household solvent usage [\(Guo et al., 2011\)](#page-29-4). 3-methylpnene and *n*-heptane are also associated with the solvent usage in painting and varnishing [\(Guo et al., 2011;](#page-29-4) [Ling and Guo, 2014\)](#page-30-4). Therefore, factor 1 can be assigned to solvent usage. Factor 2 is characterized with high loadings of propane, *i*-butane and *n*-butane that are typical tracers of LPG usage [\(Blake](#page-29-10)  [and Rowland, 1995\)](#page-29-10). As mentioned earlier, LPG has been widely used as the fuel for vehicles in the PRD regions in recent years [\(Zhang et al., 2018\)](#page-32-8). This factor also has relative high percentages of ethene, propylene, *i*-pentane, which are likely associated with LPG usage. Therefore, we attributed to factor 2 to LPG usage. Factor 3 is identified with high abundances of ethane, ethylene, ethyne and benzene, which are typical tracers of incomplete combustion including fossil fuel and biomass burning [\(Guo et al., 2007b;](#page-30-8) [Lai et al., 2011;](#page-30-9) [Tang et al., 2009\)](#page-32-0). In terms of the diurnal variations of ethane, propane,  ethylene, ethyne and benzene showing significant peaks in morning rush hours, it is reasonable to expect that they come from traffic emissions rather than biomass burning. Therefore, factor 3 is assigned to vehicle exhaust. Compared to factor 1, factor 4 is characterized with high loadings of ethylbenzene, *m/p*-xylene, *o*-xylene, and lower percentages of benzene and toluene. In addition to solvent usage, other industrial processes can also emit considerable amounts of aromatics in the PRD region [\(Zhang](#page-32-7)  [et al., 2012;](#page-32-7) [Zhang et al., 2013\)](#page-32-5). For example, xylenes are widely used in shoemaking, printing, packaging, toy and textiles industry in the PRD region [\(Guo et al., 2011;](#page-29-4) [Ling](#page-30-5)  [et al., 2011\)](#page-30-5). Therefore, we assigned factor 4 to industrial emissions. Factor 5 is dominated by high percentages of *i*-pentane and *n*-pentane, which were mainly emitted from gasoline evaporation [\(Guo et al., 2011;](#page-29-4) [Ling et al., 2011\)](#page-30-5). Therefore, factor 5 was regarded as gasoline evaporation.

 Overall, solvent usage (28.6%) was the largest contributor to VOCs at WQS site, followed by LPG usage (24.4%) and vehicle exhaust (21.0%). We also compared the source contributions of VOCs with the previous study at WQS site in 2007 [\(Guo et al.,](#page-29-4)  [2011\)](#page-29-4). Although lower contribution of solvent usage in 2017 than that in 2007 (46%) suggests the effective control in recent years, it is still an important source of VOCs at WQS site. The contribution of LPG was higher in 2017 than that (9%) in 2007, indicating the influence of LPG usage in the region over the last decade. In addition, the contribution of vehicle exhaust in 2017 was slightly lower than that (26%) in 2007.



 **Fig. 3** Source profiles of VOCs identified by PMF model and the relative contributions of each source to the measured VOCs at WSQ site.

## **3.4 Atmospheric processing of VOCs**

 The ratios of VOCs with different photochemical lifetimes can be used to evaluate the atmospheric processes including photochemical aging and atmospheric transport [\(Guo et al., 2007b;](#page-30-8) [Louie et al., 2013;](#page-31-5) [Song et al., 2018\)](#page-31-14). Ethyne and CO share common sources but have different photochemical lifetime with approximately 2 weeks for

 ethyne and 2 months for CO. The ratio of ethyne/CO (E/C) have been widely used as a measure of photochemical aging. In the PRD region, high ratios of E/C (5.6-7.5 pptv/ppbv) were reported for fresh combustion sources [\(Guo et al., 2007b;](#page-30-8) [Louie et al.,](#page-31-5)  [2013\)](#page-31-5). In this study, the ratios of E/C ranged from 0.28 to 12.4 with an average of 3.49  $\pm 1.48$ , suggesting that the air masses at WQS site have experienced a certain extent of photochemical aging.

 The sources of ethylbenzene and *m/p*-xylene have similar sources such as solvent usage, while they have different reactivities with OH radical. Therefore, the ratio of *m/p*-xylene/ethylbenzene (X/E) can be used to assess the photochemical aging 400 processes. In this study, a good correlation  $(R^2 = 0.87, \text{Fig. S5})$  was observed between ethylbenzene and *m/p*-xylene, confirming that they came from the common sources. 402 Generally, the ratio of X/E is 3 for fresh emissions in urban environment (Monod et al., [2001\)](#page-31-15), while when the ratio lower than 3 indicates the occurrence of photochemical 404 aging. In this study, the ratios of X/E ranged from 0.68 to 2.23 with an average of 1.25  $405 \pm 0.30$ , suggesting the importance of photochemical aging and regional air mass transport.

 To investigate the atmospheric transport pathways, three-day air mass back- trajectories were calculated using HYSPILIT model and further grouped into three clusters (**Fig. 4**). The first cluster (Cluster-1) originated from the upwind areas of south China (Jiangxi province) and upwind PRD cities (e.g., Dongguan and Huizhou), accounting for 51.6% of total back-trajectories. In this cluster, the highest 412 concentrations of TVOCs  $(43.2 \pm 30.1 \text{ pb}v)$  were observed, pointing to the influence  of upwind areas of south China. The second cluster (Cluster-2) accounted for 34.1% of total back-trajectories, which shows the air masses transported along the southeast coastal regions of China (Fujian and Zhejiang provinces). In the Cluster-2, the average 416 mixing ratio of TVOCs was  $32.9 \pm 23.8$  ppby. In addition, the third cluster (Cluster-3) originated from the SCS, accounting for 14.3% of total air mass back-trajectories, in 418 which lower level of TVOCs were observed  $(20.9 \pm 17.6 \text{ pb})$ . Although the compositions of measured VOCs showed no significant changes in different clusters, the predominance of alkanes and aromatics suggested the importance of traffic and industrial emissions by regional transport.



## **3.5. Effects of VOCs on O<sup>3</sup> formation**

In order to estimate the potential effects of VOCs on O<sup>3</sup> formation, we calculated

firstly the OFP of VOC species as the following equation:

$$
OFP_i = VOC_i \times MIR_i
$$

430 where  $\text{OFP}_i$  and  $\text{VOC}_i$  are the  $\text{OFP}$  and the mixing ratios of  $\text{VOC}$  species i, and the MIR values are obtained from the study by Carter [\(1994\)](#page-29-8). **Fig. 5** shows the contributions of different VOC groups and top 15 VOC species to the total OFP during the whole measurement period. Aromatics were the largest contributor, accounting for 57.1% of total OFP, followed by alkenes (22.3%) and alkanes (19.8%). As for individual VOC species, five abundant aromatics including toluene, ethylbenzene, *m*/*p*-xylene, styrene and o-xylene totally accounted for 55.6% of total OFP. Since toluene, ethylbenzene, *m/p-xylene, styrene and o-xylene mainly contributed by the emissions of solvent usage,*  their high contributions to total OFP suggest that the reduction of regional industrial emissions could be the key to control photochemical O<sup>3</sup> pollution in the PRD region. In addition, ethene, propylene, propane and *i*/*n*-butanes also showed large contributions to total OFP, which are emitted from LPG usage. Even though LPG is commonly regarded as a 'cleaner' vehicle fuel, its usage can emit VOCs contributing to O<sup>3</sup> formation significantly. Therefore, LPG usage might also play important role in the formation of photochemical pollution.



 **Fig. 5** Contributions of major VOCs to total ozone formation potential (OFP) at WQS site. The pie chart shows the relative contribution of each VOC group.

449 Furthermore, we simulated the O<sub>3</sub> formation processes by PBM-MCM and 450 calculated the RIR values of precursors to investigate their effects of on  $O<sub>3</sub>$  production. **Fig. 6** shows the RIR of anthropogenic VOCs (AVOC), biogenic VOCs (BVOC), CO 452 and  $NO<sub>x</sub>$  to investigate the sensitivity of  $O<sub>3</sub>$  to the changes of the precursors. As 453 mentioned in Section 3.1, we totally characterized 26 high- $O<sub>3</sub>$  days during the entire sampling period. Therefore, we classified the whole measurement period into O3- episode and non-O<sup>3</sup> episode days. Meanwhile, in order to better understand the influence of regional transport in these photochemical pollution events, we further 457 classified these  $O_3$ -episode and non- $O_3$  episode days based on the influence of different  types of source origins as discussed above. On both O3-episode and non-O3-episode 459 days, the RIR values of AVOC were always positive, indicating that the  $O<sub>3</sub>$  formation regime is AVOC-limited, which is in accordance with the discussion based on the 461 TVOCs/NO<sub>x</sub> ratios. In contrast, the RIR values of NO<sub>x</sub> were always negative, 462 suggesting that reducing  $NO<sub>x</sub>$  levels would increase the  $O<sub>3</sub>$  production.



 **Fig. 6** Average RIR values of O<sup>3</sup> precursors during O<sup>3</sup> episodes and non-O<sup>3</sup> episodes. The precursors include anthropogenic VOCs (AVOC), biogenic VOCs (BVOC), CO,

NO*x*. C1, C2 and C3 represents the different influence of source origins.

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471 **Table 1** Summary of air pollutant concentrations and typical diagnostic ratios of

| Clusters                 | Cluster-1 $(n = 18)$ |         |        | Cluster-2 $(n = 4)$   |      |        | Cluster-3 $(n=4)$ |      |        |
|--------------------------|----------------------|---------|--------|-----------------------|------|--------|-------------------|------|--------|
| Air mass origins         | Southeast China/PRD  |         |        | Southeast China coast |      |        | South China Sea   |      |        |
|                          | Mean                 | $S.d^a$ | Median | Mean                  | S.d  | Median | Mean              | S.d  | Median |
| $VOCs$ (ppbv)            |                      |         |        |                       |      |        |                   |      |        |
| Alkanes                  | 32.4                 | 23.2    | 22.6   | 20.6                  | 12.6 | 17.6   | 19.1              | 13.1 | 15.9   |
| Alkenes                  | 3.3                  | 2.2     | 2.5    | 2.2                   | 1.3  | 1.9    | 2.0               | 1.0  | 0.7    |
| Alkyne                   | 2.4                  | 0.9     | 2.3    | 1.6                   | 0.9  | 1.3    | 1.1               | 0.7  | 0.9    |
| Aromatics                | 16.8                 | 10.0    | 14.2   | 11.5                  | 6.1  | 10.0   | 10.4              | 5.3  | 9.3    |
| <b>TVOCs</b>             | 54.9                 | 34.6    | 41.3   | 35.9                  | 20.5 | 30.6   | 32.6              | 18.9 | 26.4   |
| Air pollutants (ppbv)    |                      |         |        |                       |      |        |                   |      |        |
| $NO_x$                   | 35.4                 | 22.1    | 29.0   | 27.2                  | 16.0 | 24.7   | 21.4              | 10.5 | 18.8   |
| $O_3$                    | 56.0                 | 41.0    | 46.7   | 49.1                  | 42.2 | 37.3   | 53.8              | 52.1 | 32.0   |
| CO                       | 572                  | 168     | 560    | 535                   | 124  | 560    | 561               | 126  | 560    |
| <b>Diagnostic ratios</b> |                      |         |        |                       |      |        |                   |      |        |
| Ethyne/CO                | 4.24                 | 1.13    | 4.42   | 2.75                  | 1.33 | 2.33   | 1.83              | 0.88 | 1.61   |
| X/E                      | 1.31                 | 0.28    | 1.24   | 1.07                  | 0.31 | 0.98   | 1.03              | 0.26 | 0.93   |

472 VOCs during 26 high-O<sup>3</sup> days in three types of air mass clusters.

474 During all O<sub>3</sub>-episode days, in addition to AVOC, CO also showed positive RIR values, 475 indicating that reducing the levels of CO would lead to the decease of  $O_3$  formation as 476 well. Given the different origins of air mass clusters, the RIR value of AVOC and CO 477 were higher in Cluster-1 than those in other two clusters (Cluster-2 and Cluster-3). This 478 suggests that the formation of  $O<sub>3</sub>$  was mainly caused by regional transport of 479 anthropogenic emissions from the upwind PRD urban regions. In particular, the 480 occurrence frequency on high-O<sub>3</sub> days in Cluster-1 ( $n = 18$ ) was higher than that in 481 Cluster-2  $(n = 4)$  and Cluster-3  $(n = 4)$ . Therefore, we suggested that the regional 482 reduction of anthropogenic VOCs emissions would be effective for controlling the O<sub>3</sub> 483 formation in the downwind PRD region. The variations of VOC and NO*x*, CO and O<sup>3</sup> 484 concentrations as well as the diagnostic ratios of  $E/C$  and  $X/E$  during O<sub>3</sub>-episode days 485 in different clusters were given in **Table 1**. In Cluster-1, higher concentrations of

<sup>473</sup>



 aging under the influence of SCS air. However, the limited number of high-O<sup>3</sup> days under the influence of air masses from the SCS cannot sufficiently demonstrate the effects of sea-land breezes on O<sup>3</sup> formation in this study. More long-term field measurements and modeling studies are necessary to investigate the role of sea-land breezes in photochemical pollution in the PRD region.



 **Fig. 7** Diurnal variations of O<sup>3</sup> concentrations, TVOCs/NO*<sup>x</sup>* ratios and *m,p*-515 xylene/ethylbenzene (X/E) ratios during high-O<sub>3</sub> days with the influence of three air mass clusters.

## **4. Conclusions**

In this study, VOCs were continuously measured at a receptor site in the PRD region from September to November 2017. The results showed that the dominant VOC species were alkanes and aromatics. The source apportionment results suggest solvent usage, LPG usage, vehicle exhaust were the major contributors of VOCs. The air mass back- trajectories showed that the upwind south China was the major source region of VOCs. The ratios of VOCs/NO*<sup>x</sup>* and the result of PBM-MCMs indicated that the photochemical O<sup>3</sup> formation was anthropogenic VOC-limited at WQS site. Moreover, toluene, ethylbenzene, xylene, ethylene, propylene and *i/n*-butanes were the major 526 contributors of total OFP. Finally,  $O_3$  formation during the high- $O_3$  days were further investigated by PBM model simulation and diagnostic ratios of VOCs. The results suggest that frequent high-O<sup>3</sup> outbreaks were caused by the regionally transported pollutants followed by strong photochemical reactions. In future, more studies on O3- VOC-NO*<sup>x</sup>* relationship at downwind region are still needed, which is crucial for further improvement of regional photochemical pollution control strategies in the PRD region.

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