1	Customization of On-site Assembly Services by Integrating the
2	Internet of Things and BIM Technologies in Modular Integrated
3	Construction
4	Jason Xin ZHOU <sup>a</sup> , Geoffrey Qiping SHEN <sup>a,*</sup> , Sun Ho YOON <sup>b</sup> , Xin JIN <sup>a</sup>
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6	<sup>a</sup> Department of Building and Real Estate, The Hong Kong Polytechnic University, Hong Kong
7	<sup>b</sup> Department of Computing, The Hong Kong Polytechnic University, Hong Kong
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9	Abstract
10 11 12 13 14 15 16 17 18 19 20 21 22	Modular integrated construction (MiC) has attracted considerable attention for accelerating the delivery of public housing projects in Hong Kong. Building information modelling (BIM) serves as a powerful tool in facilitating on-site assembly services (OAS). However, challenges still exist in deploying BIM owing to inefficient data capture methods, inadequate progress monitoring, and lack of automatic decision support. This paper proposes an Internet of Things (IoT)-enabled smart BIM platform (SBIMP) for OAS by integrating IoT, BIM, and computing technologies to address these issues. A trial project located in Hong Kong was deeply investigated and probed to develop the platform. Smart construction objects (SCOs) equipped with STT and GPS sensors are defined and configured to enable an efficient and reliable data collection process. The smart gateway system prompts seamless and timely information sharing and interchange among different stakeholders to support the decision-making process. The application scenarios have demonstrated the effectiveness of SBIMP.
23 24 25	Keywords: Internet of Things (IoT), Building Information Modelling (BIM), Modular Integrated Construction (MiC), On-site Assembly Services
26	1. Introduction
27	The scarcity of residential land supply along with the increase in the population has posed

27 The scarcity of residential land supply, along with the increase in the population, has posed 28 significant challenges to the construction industry of Hong Kong, one of the most densely populated cities in the world (Jaillon and Poon, 2009, Li et al., 2018). Hong Kong is a compact city with over 29 30 7.5 million people and covers only 1,106 square kilometres of the area with a population density of 31 6,777 people per square kilometre (Census and Statistics Department, 2019). The congested living 32 environment is intensified because only 24.9% of land areas are buildable, with only 3.8% for residential use (Planning Department, 2019). Due to the limited availability of land and expensive 33 34 land prices, high-rise buildings with prefabricated construction are prevalent in Hong Kong, with 35 approximately 50% of the population residing in public rental or subsidized housing (Jaillon and 36 Poon, 2008). To address these housing shortages, the Hong Kong Housing Society (HKHS) has

37 developed a total of 89 housing schemes serving 131,692 units for low-income residents (HKHS,

2019). Additionally, the Hong Kong Housing Authority (HKHA) also set up a ten-year construction

- 39 scheme to offer 315,000 public housing units from 2019/20 to 2028/29 (HKHA, 2019). Despite
- 40 these efforts, however, the Hong Kong construction industry has been facing increasingly pressing
- 41 challenges in improving labour productivity, decreasing construction costs, shortening construction
- 42 schedules, achieving better safety, and environmentally friendly construction (Li et al., 2016, Zhong
- 43 et al., 2017).
- 44

45 Prefabricated construction as a solution to housing straits has been progressively gaining impetus 46 and widely adopted in the public housing projects of Hong Kong due to its underlying benefits of a 47 more efficient and productive production process and a safer and cleaner working environment 48 (Gibb, 1999, Gibb and Isack, 2003, Tam et al., 2007, Chen et al., 2015, Tam et al., 2015, Li et al., 49 2017). Over the past two decades, Hong Kong has witnessed an expanding adoption of offsite 50 fabrication construction through public housing schemes. With the aim of raising the prefabrication 51 rate of public housing construction and enhancing site productivity, the innovative concept of 52 modular integrated construction (MiC) was recently introduced in the construction industry of Hong 53 Kong, accompanied by the development of advanced production techniques and equipment 54 (Warszawski, 2003, Jaillon and Poon, 2009, Construction Industry Council, 2019).

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56 Modular integrated construction is defined as a form of innovative offsite construction approach 57 where a building's free-standing integrated components or modules (completed with finishes, 58 fixtures, and fittings) are produced and assembled in the manufacturing plant setting before being 59 delivered to site for installation (Building Department, 2019). Pan and Hon (2018) defined MiC as 60 a game-changing, disruptive groundbreaking method to transform fragmental cast-in-situ construction of a building or facility into integrated value-driven production and fabrication of 61 62 prefabricated modules. Three categories of offsite construction, nonvolumetric, volumetric, and 63 modular buildings, were demonstrated by Gibb (1999). Nevertheless, he argued that there exists a 64 controversial line of dividing each type. MiC generally involves a higher ratio of prefabricated 65 modules and deploys large numbers of integrated free-standing modules (Zhai et al., 2019). As a 66 solution to the widespread discontent of housing problems in Hong Kong, the MiC drew 67 considerable attention both in industry and academia once it appeared. In an MiC project, efficient 68 collaboration strategies among different stakeholders for on-site assembly service (OAS) should be 69 excessively investigated and improved to facilitate delivery (Pan et al., 2018, Li et al., 2018). 70 Fragmented and burdensome on-site activities typically impede the performance of OAS.

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72 However, a well-formatted information exchange platform needs to be explored and developed to 73 ensure strong coordination and timely communication among cross-interdisciplinary professionals, 74 such as prefabricated module manufacturers, module carriers, and on-site builders. Building 75 information modelling (BIM) is typically deemed an effective implementation to foster information 76 exchange and enhance interoperability from the initial inception design stage to demolition (Lu and 77 Li, 2011). Babič et al. (2010) deployed BIM as a linkage tool between enterprise resource planning 78 (ERP) information systems to integrate the design, manufacturing, and construction processes. Chen 79 et al. (2015) demonstrated the potential to utilize BIM to enhance collaborative working 80 environments and decision-making processes. However, the manually captured data are apt to be

incomplete and improper, which cannot provide efficient and timely support for the decision-making 81 82 process, leading to poor traceability in the OAS. To address these problems, some cutting-edge 83 technologies have been employed to enhance the feasibility of real-time information exchange and decision support activities. The Internet of Things (IoT) was introduced to facilitate information 84 85 collection and progress monitoring processes, such as radio frequency identification (RFID) and 86 global positioning system (GPS) (Shahi et al., 2013, Li et al., 2017). Cloud computing is another 87 core technique equipped with a shared pool of configurable computing resources that can be quickly 88 provisioned and released with minimal effort or service provider interaction (Xu et al., 2018). The IoT has gradually become a promising technology for connecting various smart devices and objects 89 90 with self-configuration capabilities to construct a global network (Karunanithy and Velusamy, 2020, 91 Da Xu et al., 2014). Smart devices can be considered a set of standard and interoperable 92 communication protocols with unique identities and attributes (Zhang and Chen, 2020). For example, 93 Li et al. (2018) adopted IoT and cloud technology based on a centralized BIM platform to realize real-time visualization and traceability of prefabricated modules. By applying RFID tags and GPS 94 95 sensors, information such as the location or status of the prefabricated modules can be easily obtained and shared by different stakeholders. The transferability of three interaction modes that 96 97 integrated MiC and construction robotics was identified by Yang et al. (2019). The findings laid a 98 theoretical foundation for the government to investigate and facilitate the implementation of 99 innovative building technologies.

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101 Although the preceding efforts can be supportive references for integrating BIM and other core techniques, challenges still exist that deteriorate site productivity and hinder the performance of the 102 103 IoT-enabled BIM platform. In addition, scholars advocate that more studies should be investigated 104 into the quick and accurate decision-making process (Chen et al., 2010), systems integration and 105 collaboration (Shen et al., 2010), and comprehensive information collection approaches (Lu and 106 Lee, 2017). Arising from the literature, we conduct several investigations into practical MiC projects, 107 probing the difficulties encountered by construction practitioners during the on-site assembly 108 process. It was found that construction professionals typically suffered from poor site productivity, 109 insufficient supervision towards progress, insignificant labour-consuming activities, duplicated 110 work, and tardy reactions facing emergences. In general, three primary challenges are identified to be the major causes of previous hardships, namely, inefficient data capture methods, ineffective 111 112 progress supervision, and lack of automatic decision support. First, on-site operators mainly rely on 113 paper-based and hand-operated approaches to collect and share data, which is time consuming and 114 error prone. Large quantities of project data, such as specific information on prefabricated modules, available storage space, rectification and inspection records, are involved and supposed to be 115 116 addressed in the MiC project. As proposed by Li et al. (2018), the embedded tag would cause 117 information loss due to the likely failure of a single type of auto-ID tag. The hand-held RFID reader is cumbersome and hard to use for an on-site foreman in a compact space. The second challenge is 118 ineffective progress supervision. As proposed by on-site end-users, four-stage supervision (Li et al., 119 2018) may not adequately present the real progress of the MiC process. The management of buffer 120 121 control should be incorporated into the system to better manage the limited site buffer. Thus, just-122 in-time delivery with close monitoring is vitally essential for a project with a small buffer space and high tardiness cost. The third problem refers to a lack of automatic decision support and an 123 information-sharing system. On-site managers are restrained owing to the deployment of 124

125 conventional communication tools such as phone calls, MSG, or email to share information and 126 assign tasks among different practitioners (Zhong et al., 2017). When any unexpected events occur, 127 such as the delay of delivery or mislocated modules, managers might fail to perceive them and make 128 quick decisions within a dynamic and fragmented working environment. The implementation of 129 BIM technology can only record these events but fails to afford automatic decision support and send 130 notifications to the involved parties.

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132 To address these issues, an IoT-enabled smart BIM platform (SBIMP) is developed in this research by applying advanced technologies. Several tracking and sensing techniques are employed in the 133 SBIMP. First, automatic and complete information collection is realized by smart construction 134 objects (SCOs) (e.g., prefabricated modules, machines, workers, on-site buffers, and vehicles) 135 equipped with smart trinity tags (STTs) and GPS sensors. The STT tag integrates the QR (quick 136 137 response) code, RFID tag, and NFC (near field communication) tag into one smart tag. Second, the 138 smart gateway system and data source management service (DSMS) are developed and utilized for 139 information storage, exchange, communication, and management. Third, the location-based service (LBS) and rule-based progress control service (RBPCS) are assumed to provide value-added 140 141 applications and automatic decision-making support. The cloud-based BIM is employed by 142 integrating BIM and computing technology to allow end-users to monitor and alter the BIM model 143 in real time. This paper aims to 1) survey and analyse the business process of on-site assembly and 144 demonstrate the customized features of OAS systems in MiC projects, 2) design the architecture 145 system and exploit the Internet of Things-enabled smart BIM platform, and 3) deploy the smart 146 platform in a real-life project to demonstrate its performance and efficiency.

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Following this introduction, Section 2 outlines the business process analysis of the MiC project and identifies the problems and requirements through field interviews during the customization of the OAS management system. Section 3 proposes the development of the SBIMP system and the OAS decision support system. Section 4 describes the real project to present and demonstrate practical application. Feedbacks collected from end-users are described in Section 5. Conclusions are displayed in Section 6.

## 154 2. Business process analysis and customization of SBIMP

155 This section aims to explore the business process, ascertain the requirements and problems 156 confronted by on-site practitioners, and propose possible solutions and customization plans. After investigating several MiC projects in Hong Kong, the Subsidized Sale Flats Project at Tseung Kwan 157 158 O Area 73A was selected as the target project due to the rationality and feasibility of developing the 159 SBIMP system and conducting pilot implementation. The research team conducted four rounds of 160 field study and interviews during January and December 2018. The interviewees included major 161 stakeholders, namely, the client, the main contractor, the offshore manufactory, and the cross-border logistics company. The associated findings can lay a solid foundation for developing and 162 163 customizing the IoT-enabled smart BIM platform.

## 164 **2.1 Background of the target project**

165 The Housing Society has been regarded as a devoted housing provider, aiming at continuously 166 demonstrating and solving the housing requirements of the public and probing housing strategies

coordinated to their needs. To date, a total of over 71,000 units have been built upon various housing 167 schemes, including Rental Estate, Rural Public Housing, Urban Improvement Scheme, Flat-for-Sale 168 Scheme, and Subsidized Sale Flats Project. The housing department is responsible for managing 169 170 public housing estates that are built by HKHS, which is a non-government organization aiming to 171 afford the resources of housing and relevant services in Hong Kong. The target project is the 172 Subsidized Sale Flats Project at Tseung Kwan O Area 73A, as presented in Table 1, which aims to build one residential tower of 33 stories that provides 330 flats (1 to 3 bedrooms) with 1020 units, 173 174 including one basement (car park, plant room), 4-level podium for commercial shops, car parks, landscape areas, plant rooms, podium gardens, and multifunctional rooms. The prefabricated 175 modules are adopted in HKHS's project, which incorporates nine different kinds of modules to form 176 26 different types of modules, as shown in Table 2. 177

178 **Table 1** Description of the target project

Project Title	Subsidized Sale Flats Project at Tseung Kwan O Area 73A			
	Basement (Car Park, Plant Room)			
	G/F (Shop/Plant Room/Landscape Area)			
	1/F (Commercial Shop, Landscape Area, Plant Room)			
	2/F (Government Accommodation/Plant Room)			
Project Scope	3/F (Podium Garden/E&M Zone/Recreational Facilities)			
	Transfer Plate 2650 mm depth at 3/F			
	33 Storeys Residential Tower			
	330 Flats (1 to 3 Bedroom)			
	Roof Landscape Area			
Contract Commencement	10.0.4.17			
Data	10-Oct-17			
Completion Date	29-Nov-19			
Project Duration	780 Calendar Days + 1 Day EOT			
Contract Sum	Approx. HK\$477,700,000			
Green Area	530 m <sup>2</sup>			
Building Height	117 m			

## 179

## 180 Table 2 Summary of prefabricated modules in the target project

Elements Name	Туре	Flat	Floor	Quantity	Subtotal
	A-B-01	А	4F-36F	1	33
	A-G1-02	А	4F-7F	1	29
	A-G2-02A	А	8F-36F	1	4
	A-A-03	А	4F-36F	1	33
Dusfahuisstad Madulas	B-C2-04	В	4F-36F	1	33
r reladricated wiodules	B-C1-05	В	4F-36F	1	33
	B-H-06	В	4F-36F	1	33
	C-D-07	С	4F-36F	1	33
	D-I2-08	D	4F-36F	1	33
	D-I1-09	D	4F-36F	1	33

			26	825
K-B-25	K	4F-36F	1	33
K-G2-24	K	4F-36F	1	33
K-A-23	K	4F-36F	1	33
J-C2-22	J	4F-36F	1	33
J-C1-21	J	4F-36F	1	33
J-H-20	J	4F-36F	1	33
H-D-19	Н	4F-36F	1	33
G-F1-18	G	4F-36F	1	33
G-F2-17	G	4F-36F	1	33
G-E1-16	G	4F-36F	1	33
G-E2-15	G	4F-36F	1	33
F-B-14	F	4F-36F	1	33
F-G2-13	F	4F-36F	1	33
F-A-12	F	4F-36F	1	33
E-I2-11	Е	4F-36F	1	33
E-I1-10	Е	4F-36F	1	33

## Total

## 181 **2.2 Business process analysis**

182 The related business processes of the whole target project are presented in Fig. 1. It starts with the design stage, which produces the design drawings with the BIM model, and finally, the scheduled 183 master plan. Three primary phases are involved in the process after the approval of design drawings 184 and completion of the scheduled master plan, namely, the production stage, logistics stage, and on-185 186 site assembly stage. The responsibilities of the production stage are mainly for 1) making a production plan based on the master schedule and actual project progress, 2) preparing the required 187 188 materials grounded on the production schedule, 3) producing the prefabricated modules with 189 conformed quality, and 4) appropriately storing the finished products for transportation. Cross-190 border logistics is the linkage between the production phase and the on-site assembly phase, which fulfils the tasks of delivering the manufactured modules from the manufacturing plant to 191 construction sites for installation. The tasks include 1) making transportation schedules based on the 192 delivery order, 2) assigning delivery orders to trunk drivers, and 3) monitoring the delivery process 193 194 and arriving on time. On-site assembly is the last step for the MiC project. After the production of prefabricated modules in the manufactory and then delivered through cross-border logistics to the 195 construction site, the field operators set out to perform the installation work. 196



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Fig. 1. The business process of the target project

## 199 2.2.1 On-site assembly process analysis

200 To outline the business needs and requirements of the on-site assembly process and to further determine solutions to the identified challenges, a detailed business process analysis should be 201 202 conducted by group and individual interviews and frequent site observations. The on-site assembly process can be categorized into four main stages by purpose, as described in Fig. 2. The first stage 203 is the site facilities set up and operation, which aims to provide adequate resources and a safe 204 205 working environment to on-site practitioners, such as establishing accommodations, office rooms, 206 maintaining site security, and setting site boundaries. Temporary works include preparation works 207 such as tower crane erections, material and passenger hoist erections, and other temporary metal working platforms. The R.C. structure construction stage is the main focus of the on-site assembly 208 process. It covers the podium structure (B/F - G/F including basement tanking system; G/F - 2/F; 209 3/F - 4/F E&M zone and transfer plate) and tower structure (4/F - R/F slab; bamboo scaffolding 210 211 erection). It should be noted that the tower crane needs to be escalated after the completion of slabs 212 (13/F, 18/F, 23/F, 28/F, 33/F). The construction duration of one typical floor from 5/F to 6/F is considerably longer (23 days and 19 days, respectively) than 6/F above due to the learning storey 213 214 of deploying prefabricated modules in an MiC project. The third stage is architectural finishing 215 works and external landscaping works, which include tower finish tasks, podium finish works and external finish works. After the R.C. structure construction works and architectural works and 216 217 building service-related electrical and mechanical works will be installed, mainly including (1) fire 218 service installation work, (2) plumbing drainage installation work, (3) MVAC (podium and tower) 219 installation work, (4) electrical and ELV installation works, and (5) lift shaft and lift machine room 220 installation.





Fig. 2. The workflow of typical floor construction with prefabricated modules

223 The workflow of the prefabricated module on-site assembly process can be divided into three stages. 224 First, the operator at the laydown yard inspects the prefabricated modules after receiving them. If rectification is needed due to some flaws or defects after delivery, the operator notified the on-site 225 226 senior managers personally or via call and email. The module manufacturer is contacted using the 227 same methods for further actions, and the relevant process and information is manually recorded. 228 After quality inspection, the qualified modules are stored in the laydown yard, waiting for erection 229 the next day. Later, the prefabricated modules are hauled for installation by a crane tower. In a standard installation, a prefabricated module is adjusted horizontally and then vertically. Inspection 230 231 is executed after completed assembly and concreting. Thus, this prefabricated module on-site 232 assembly came to an end. The operator uses inspection forms to record the installation and 233 inspection process. In this case, two zones of prefabricated modules are delivered and installed in turn. Two laydown yards are generally located close to the target building for the accessibility of the 234 235 tower crane and conform to the site layout plan for the erection of prefabricated modules. In general, 236 the construction foremen coordinate the scheduled actions and supervise the whole erection process 237 in case of emergency events.

#### 238 2.2.2 Problems and challenges identification

239 Based on the business process analysis, after several rounds of site visits, discussions with major stakeholders, including the client, the main contractor, and the subcontractors, are conducted. There 240 are still some challenges during the on-site assembly process that remain to be solved during the 241 242 whole installation process of the prefabricated modules. First, upon arrival, conventional manual 243 scans and paper-based records are customarily employed during the handover process. Information 244 on the arrived modules, such as type, location, and quality, is hand-marked with the paper-based format, which is perceived as time consuming and error prone when retrieving or re-inputting the 245 data. If the received modules require rectification, the operator informs the on-site managers and 246 247 contact the manufacturer and trunk driver via MSG, call, or email, which has an adverse effect on 248 the installation process owing to the inefficient and untimely manner. In addition, the operator 249 cannot retrieve large numbers of modules from laydown yards, such as buffers, when required for 250 efficient assembly. Due to the limited storage space and tight schedule, the modules must be unloaded, stored, retrieved, and hauled promptly. Moreover, the on-site workers of the previous pilot 251 252 project gave their feedback about the difficulties in scanning the RFID tags accurately and 253 sometimes failed to obtain data due to the broken tag or information loss. Overall, the intrinsic 254 tediousness and tendency towards error and failure associated with the tracking process adversely 255 affected the motivation and efficiency of on-site operators. Second, if any unexpected events occurred, such as delivery delays, schedule changes, and on-site machine breakdowns, they can 256 257 adversely affect the working efficiency and hinder the installation process. The corresponding 258 stakeholders cannot be notified immediately and make associated decisions in time. Delay response 259 can cause installation lag, leading project duration, and cost overruns, as stated by the on-site 260 interviewees. Third, because of the tight schedule and compact buffer space, on-site managers 261 usually fail to reallocate resources and take prompt actions to coordinate with other upstream or 262 downstream stakeholders in a timely manner. Real-time information exchange and sharing systems 263 need to be improved to streamline the on-site assembly process with enhanced coordination and 264 cooperation.

#### 265 **2.3 Customization of on-site assembly service (OAS)**

To address the issues mentioned above, the research team conducted several in-depth interviews and discussions with the primary end-users. Findings and observations are summarized below:

268 (1) Due to the possible failure or loss of the RFID tag, the smart trinity tag, which includes RFID,

NFC, and QR code, is deployed in this project. If the RFID tag is out of work, the QR code could
be utilized to capture data and keep track of information. The smart tag is glued on the interior
surface of prefabricated modules, and the position is on the left-hand side of prefabricated modules,
1,200 mm above the structure floor level (S.F.L).

- (2) After comparing the hand-held RFID reader with the wearable RFID reader and based on the
  experience of the operators, the wearable reader is deemed more convenient and portable to capture
  data. The wearable RFID reader reads data stored on the tags and then sends data to the attached
  cell phone via self-connecting Bluetooth
- (3) Considering that the client and the main contractor showed extreme concern about the real-time
   monitoring of prefabricated modules, a six-stage strategy is employed in the MiC process, namely,

279 producing, produced, delivering, arriving, ready to install, and installation.

(4) Real-time supervision of the storage area is envisaged to enhance the efficiency of resourceutilization and installation processes owing to the limited laydown yard space.

(5) A notification service is added to the system to make all parties involved keep well informed and
 make associated decisions collaboratively. It allows related stakeholders to perceive current progress
 and status in a dynamic and changing environment.

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Based on the requirements of corresponding stakeholders, the proposed features intend to establish an IoT-enabled SBIMP serving OAS. With the assistance of the IoT technique and cloud BIM technology, SBIMP can improve the efficiency and reliability of the information collection process, realize real-time visibility and traceability, support collaborative decision making and rapid action for unforeseen events, and enhance coordination and cooperation among all stakeholders. Details of

the customized features of OAS are presented in Table 3.

#### Table 3 Summary of required customized features of OAS 292

No.	Category	Designed Features of OAS	Priority
		Functional Features	
1	Order tracking	Required to track the prefabricated module delivery (with or without ID) for the current working day, and the next time of delivery on one floor (4-day cycle for typical floor construction)	Preferred
2	Laydown yard	Must be aware of whether the modules are successfully delivered	Must-Have
3	Laydown yard	Must be aware of the allocation of the corresponding materials and assembly crew	Must-Have
4	Laydown yard	Must be aware of the accurate location of on-site materials	Must-Have
5	Laydown yard	and able to retrieve the prefabricated modules when required for inspection or installation	Must-Have
6	Installation inspection	Must be aware of modules are hauled and installed completely	Must-Have
7	inspection	Be aware of the GPS location of installed modules	Preferred
8	Rectification	Must be aware of the completion of defect rectification	Must-Have
9	Data collection	In case of the failure of the RFID tag, a QR code can be used to collect and record information	Must-Have
10	Notification	Automatic SMS, email, website system, or Android/iOS notifications or alerts on modules delivery/installation/unforeseen events	Preferred
11	Notification	Automatic SMS, email, website system, or Android/iOS notifications or alerts on the abnormal status of materials and workers	Optional
12	Installation inspection	Multiple scanners or floor partitioning for scanning	Optional
13	Installation inspection	Random order scanning within one floor after inspection	Preferred
14	Installation inspection	Digital or vocal signature of inspector	Optional
15	Installation management	Be aware of uploading and synchronizing on-site photos and scanned data	Optional
16	Installation management	Able to record operators' GPS locations of delivery and erection as EXIF in JPG images and automatically retrievable as supplementary location information	Optional
17	Installation management	Electronic file sharing of related documents or drawings such as shop drawings, material approval forms, inspection reports or progress reports	Preferred
		Non-Functional Features	
1	Performance	Availability of real-time data and status	Preferred
2	Performance	Availability of real-time feedback	Preferred

3	Availability	Accessible through wireless/wired network out of office/site	Must-Have
4	Availability	Accessible on iOS/Android smart devices	Preferred
5	Security	One shared input to account for one zone/building	Preferred
6	Security	Binding PC/Phones' IP/MAC ID address for/HS's access	Optional

## **3. Overall system architecture**

Three interactive service models are exploited to support the IoT-enabled smart BIM platform for on-site assembly services, namely, the infrastructure as a service layer (IaaS), platform as a service layer (PaaS), and software as a service layer (SaaS). The overall system architecture of the IoTenabled smart BIM platform is presented in Fig. 3.



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Fig. 3. The system architecture of OAS in SBIMP

## 301 **3.1 Infrastructure as a service layer**

The IaaS layer comprises smart construction objects (SCOs), as shown in Fig. 4, and the smart 302 303 gateway system. Construction resources, such as prefabricated modules, trucks, operators, machines, 304 and laydown yards, are transformed into IoT-enabled smart objects by labelling with STT auto-ID tags and GPS sensors. Unique data strings and numerics are stored in the STT tags. To avoid possible 305 information loss and obtain reliable information access, three different identification tags (RFID, 306 307 NFC, and QR code) are utilized in the MiC project. In the case of a single tag failing, the other two 308 will ensure that information is not lost. During the system definition stage, the unique identifiers of 309 each object will be encoded with a unique string of data that is consistent among the three tags. The 310 identification encoding is then sorted into the central cloud database that is now able to recognize

- 311 attribute data collected from wearable RFID readers and hand-held NFC or QR code readers.
- 312 Additionally, GPS sensors are employed to capture real-time location-based data of construction
- 313 objects, leading to the development of location-based services in PaaS.



Fig. 4. Smart construction object

316 A middleware system operates as a smart gateway that runs on fixed workstations or mobile devices. The gateway is an IoT-enabled industrial computer that fulfils several essential functions in SBIMP. 317 First, it links and manages a batch of SCOs via wired or wireless communication standards and 318 allows operators to define, configure, execute on-site assembly tasks in a user-friendly manner. 319 320 Second, it functions as a bridge that interacts with the defined SCOs and the upper-level cloud-based 321 database and decision support systems in PaaS, enabling seamless synchronization of real-time data 322 captured from SCOs and presented in a standardized scheme. Third, in the case of communication 323 problems with the central system, real-time data can be processed and stored locally and 324 provisionally in the gateway and updated automatically when the connection is secure. The smart 325 gateway system and SCOs perform as a management mechanism to ensure real-time information 326 collection, sharing, exchange, and monitoring, thus supporting decision-making systems. The 327 XML/JSON (JavaScript Object Notation)-based message exchange protocol is deployed to prompt communication and interactions between SCOs and other services of SBIMP. 328

## 329 3.2 Platform as a service layer

The PaaS layer, containing the data source management service (DSMS), rule-based progress control service (RBPCS), and location-based services (LBS), mediates the information between the laaS and SaaS layers. It provides a level of organization and structure to the multidata streams from different devices, facilitating data integrity, homogeneity, accessibility, and enabling value-added applications. The central cloud database allows a set of allocated computing resources (e.g., servers, services, networks, cloud storage) to be securely and rapidly allocated, coordinated, and released with the least administrative efforts or service provider interaction.

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The DSMS facilitates the seamless integration of heterogeneous data collected from the SCOs. Related databases such as MySQL and Access are utilized to reposit design information of prefabricated modules and processed data from SCOs that are reserved in numeric or string forms. With the assistance of the service-oriented architecture (SOA), data streams are integrated and standardized in a type that can be easily shared, transmitted, exchanged, and employed among different isolated systems. To fetch the data, the operator sends a request token in the form of a structured query language (SQL) statement. The data interoperability service is applied to receive the token, parse, and retrieve the target data from relevant designated databases. The retrieval data are then converted into standardized XML files for further handling by other services of the SBIMP.

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348 The LBS collects locational and time information by applying GPS sensors attached to the SCOs to 349 afford value-added services. It aggregates captured location information from heterogeneous sources and facilitates real-time information access for end-users. The pull and push functions are 350 351 utilized to realize up-to-date information integration. While the reader scans the SCOs to record 352 information, the pull function integrates the location information of the prefabricated modules. The 353 push function is adopted in the delivery process by actively recording and integrating the location 354 information of trunks. It is especially powerful in tracking the real-time movement of specified and tagged objects. Just-in-time delivery and transportation routine of prefabricated modules can be 355 356 achieved by deploying both mechanisms of LBS.

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The RBPCS gives rise to decision-making support for progress monitoring through rule-based computations. The rule-based approach, written in semantic web rule language (SWRL), is envisaged to isolate knowledge with the inference process to adapt diversified progress control scenarios and present the best knowledge and experience of experts. By applying SWRL, knowledge is programmed as rules, and data are abstracted as events. Once the rules are triggered, the RBPCS generates optimal and logical solutions automatically to meet the requirements of back-end applications, such as proposing possible actions for installation delay.

## 365 3.3 Software as a service layer

The SaaS layer incorporates the final applications for OAS, namely, OAS web applications, OAS 366 mobile applications, and software development toolkits. Web-based OAS applications are envisaged 367 368 for frequently used browsers (e.g., Safari and Chrome), which are compatible with HTML 5, 369 JavaScript, and WebGL. The WebGL data file converted from the cloud-based BIM model is 370 redefined into 3D interactive graphics to display and visualize the on-site assembly progress. By using JavaScript, the real-time progress curve can be presented on Windows or iOS. With the aid of 371 cascading style sheet (CSS) animation, menu items are reorganized by objects and services. The 372 373 OAS mobile app is designed to read and write data collected from STT tag readers through the smart 374 gateway and transmit time and location information to the server. Multilevel menu, waterproof 375 function, and switchable English/Traditional Chinese version are designed for the system UI. The 376 integration of cloud-based BIM technology supports information transmission and exchange among the web-based system, mobile app, and gateway. Cloud computing technology enables a higher level 377 378 of collaboration and cooperation and enhances the transparency and accessibility of information.

## 379 4. Application of SBIMP for on-site assembly services

This section presents a real-life project (Subsidized Sale Flats Project) that deploys the developed SBIMP for the on-site assembly process. The implementation period began in January 2018 and ended in December 2018. A total of 32 stories of prefabricated modules were installed successfully with the assistance of SBIMP. Two training courses, several rounds of interviews, and regular site

- 384 visits were executed, aiming to make good use of the system and collect corresponding data. The
- developed SBIMP for on-site assembly service is presented in Fig. 5 and Fig. 6.

#### Assembly Monitoring Service



386 387 388

Fig. 6. Application of SBIMP for on-site assembly monitoring service



Fig. 6. Application of SBIMP for on-site assembly scheduling and notification service

#### 391 4.1 On-site assembly scheduling and operation service

392 The on-site assembly service is designed to support on-site scheduling, operation, and management 393 during the installation process of prefabricated modules, as shown in Fig. 6. It provides the critical 394 information and analysis results of the on-site assembly process for end-users involved to monitor 395 the progress in real time, better manage the on-site SCOs, and make associated decisions to dispose 396 of unexpected events promptly. The assembly plan is created and altered based on the construction 397 master programme. Any unforeseen circumstances causing assembly delay is recorded in the 398 platform, automatically generating a modified schedule for on-site managers. The master 399 programme and assembly schedule are adjusted accordingly. The on-site operators follow the latest 400 plan and working sequences to execute their installation tasks.

401

402 The flowchart of the on-site assembly process by deploying IoT technology is demonstrated in Fig. 403 7. Two groups (zones A and B) of prefabricated modules are delivered and erected in turn. Upon the arrival of prefabricated modules, the on-site operator scans the STT tag to confirm the arrival of 404 405 specified modules. The operator at the laydown yard inspects the prefabricated modules after 406 receiving them upon good delivery and presses the 'confirm inspection' button on the mobile app to record the "ready-to-install" status. Then, the qualified modules are stored on the laydown yard for 407 408 further installation. If modules need rectification after delivery, the operator should click the "reject" 409 button. Senior managers such as the on-site foreman, on-site project manager, superiors from 410 logistics company and module manufacture are immediately and simultaneously received "quality alerts" through mobile app and website notification, which can help relevant stakeholders take 411 412 immediate actions when some unexpected events occur. Following the scheduled installation date, 413 the operator receives tasks and installation instructions by scanning the OR code. The dynamic video demo is also provided for operators to visualize the assembly work through the OAS mobile app. 414 Once the installation work completes, the operator scans the STT attached to the module and clicks 415 the 'confirm installation' button on the mobile app to record the actual installation time of 416 417 prefabricated modules. The system provides a more convenient data capture method by utilizing a 418 wearable reader, which is more convenient and portable than the hand-held reader. The collected 419 data is integrated and synchronized into SBIMP immediately for further processing.

420

Additionally, due to the compact buffer space and tight assembly schedule, the laydown yard should be operated efficiently to realize just-in-time delivery and catch up with the assembly schedule. With the implementation of SBIMP, effective operation of the laydown yard can be achieved by presenting different colours to denote the status (occupied/empty) of the laydown yard. After inspection of the received modules, the laydown yard displays occupied; once the modules are erected, it indicates empty.

427





Fig. 7. Flowchart of the on-site assembly process by deploying IoT technology

#### 430 **4.2 On-site assembly monitoring service**

Six critical phases are deployed to realize real-time monitoring of the status of prefabricated 431 432 modules during the whole construction process of the MiC project. The on-site assembly process 433 contains real-time progress monitoring, real-time progress visualization, and real-time clash 434 detection. The Gantt chart is utilized to display the real-time assembly progress by using the total 435 consumption (volume) of prefabricated modules. It allows the comparison between the planned schedule and the actual installation date. Any delay events (e.g., production delay, delivery delay, 436 437 tower crane climbing, machine breakdown, violent typhoon, etc.) are recorded and revealed in the 438 right-hand column, as shown in Fig. 5.

439

440 Real-time progress visualization proposes to supervise the construction process in a virtual and 441 digital environment by importing and processing real-time information. The prefabricated modules 442 are marked with discriminative colours to indicate the current status, enabling real-time supervision 443 of the assembly process. Modules can be retrieved and highlighted while clicking on a particular 444 colour. In addition, the 2D floor plan is deployed to visualize the real-time assembly progress of 445 each module on each typical floor. It provides more detailed and complete information in light of a single prefabricated module. Real-time clash detection refers to 3D BIM animation usage to 446 simulate the real site situation to probe any discrepancy, disruption, or safety issues. It provides a 447 448 virtual environment to investigate and resolve all (interface check/clash detection) potential risks on 449 critical issues.

## 450 **4.3 On-site assembly notification service**

The real-time feedback model's development lays a necessary foundation for alarm, alert, and action (3A) notification services, aiming at reporting the current status of the on-site assembly process to various stakeholders and other associations of interest. It allows project stakeholders to perceive the current situation (real-time progress, challenges, or barriers) and make associated decisions collaboratively. Collected essential information is used to develop the real-time feedback model, enabling interrelated parties to make associated decisions and adjustments to cope with the latest

assembly schedule. The notification services are achieved by retrieving the intended schedule data 457 458 from the OAS database and tracking the day difference between the present day and the planned day regarding the assembly work. By using a notification JavaScript library, alerts are generated 459 using SQL data retrieval requests and visualized as browser alerts shortly after the operator logs into 460 461 the system. The notification shows concise information as a pop-up window on the web-based 462 system and mobile app, as shown in Fig. 6. For example, any unexpected events such as delivery 463 delays would be marked and sent out alert dialog on the website and mobile app. On-site managers 464 and operators receive delay notifications and rational solutions immediately. The notification service is also designed to detect the GPS locations of prefabricated modules. If any modules are mislocated, 465 466 the system sends an error alert by comparing the actual GPS location with the designed location. In 467 addition, email and SMS notification approaches are employed by this system to notify the 468 corresponding managers working in the office or out of site.

#### 469 **5. Discussion**

470 MiC is a novel paradigm that is rapidly gaining ground in the construction industry of Hong Kong. 471 The above sections present an Internet of Things-enabled smart BIM platform (SBIMP), which 472 integrates the Internet of Things (IoT), BIM technologies, and computing techniques. It facilitates real-time information capture, processing, display, and sharing among various stakeholders, thus 473 supporting their decision-making process during the on-site assembly process. Problems in the 474 475 business process of MiCs are identified, and the customization requirements of stakeholders are analysed. The usage of STT substantially decreases the possibility of information loss due to a single 476 ID tag's potential failure. Additionally, it enhances the assembly efficiency by effectively retrieving 477 478 a large number of modules in the laydown yard and improving the rightness of the installed location. 479 With the help of SCOs and smart gateways, accurate and complete information is seamlessly and 480 simultaneously synchronized in the system to realize real-time monitoring service, enabling 481 cooperation and collaboration among different parties to make rational and optimal decisions. Proactive notification services significantly reduce the emergency detection time and the response 482 483 time with immediate and appropriate actions.

484

The statistical analysis of KPIs is implemented before the pilot study (February 2018 to March 2018) 485 and after the deployment of SBIMP (October 2018 to December 2018). As shown in Table 4, the 486 487 on-site assembly process efficiency was dramatically enhanced by deploying the SBIMP. By implementing the platform, the unloading time and number of inspection crews decrease by 50%. 488 489 Reliable and completed information collection approaches allow information collection time and retrieval time to be reduced by more than 80% and 50%, respectively. The assembly crew could 490 easily follow the assembly instructions on the mobile phone and record the installed components by 491 492 using mobile devices and STT readers, which were executed by the extra recorder in the previous project. Thus, the assembly crew was reduced by one. Additionally, due to fast track and fewer errors, 493 494 the average time to install modules in the right location was reduced by one hour. With the assistance 495 of notification services, the error and emergency detection times are largely improved by 496 accelerating the perception of exceptional events. Alone with the enhancement, the feedback time 497 also increases by more than 86.7%. Due to the above improvements, the assembly time and assembly cycle are improved by 40% and 33.3%, respectively. Substantial improvements 498

499 demonstrate the efficiency of deploying the SBIMP and imply higher productivity with time and

500 cost savings.

K DI items	Description	Before	After	Enhancement
KITItenis	Description	(Average)	(Average)	Elinancement
Unload time	Average time to unload modules from the truck to the laydown yard	40 min	20 min	50%
Number of inspection crew	Number of crews required for inspecting and unloading received modules	2	1	50%
Information collection time	Average time needed to capture complete time and location information of modules for one zone	5 min	< 1 min	More than 80%
Retrieval time	Time required to retrieve specific modules for installation	2 min	< 1 min	More than 50%
Number of assembly crew	Number of crews required for assembly work	5	4	20%
Error detecting time	Average time to identify the misplaced prefabricated modules	5	< 1 min	More than 80%
Assembly time	Average time to erect modules in the right location for one zone	2.5 h	1.5 h	40%
Emergency detecting time	Average time to notice the urgency regarding the on-site assembly process	10 min	2 min	80%
Feedback time	Average time to make responses and take actions	15 min	2 min	86.70%
Assembly cycle	Time required to complete the assembly and R.C. structure work for one typical floor	5-7 days	4 days	Average 33.3%

#### 501 **Table 4** KPI comparisons for the on-site assembly process

#### 502 6. Conclusion

The proposed SBIMP, especially for addressing the specific problems of an inefficient data capture 503 approach, ineffective progress monitoring, and lack of automatic decision support, is developed to 504 streamline the successful delivery of the MiC project. The real-life subsided sale flats project in 505 Hong Kong is selected as a trial project to demonstrate the underlying benefits of this platform. The 506 507 SCOs are outfitted with STT and GPS sensors, enabling an efficient and complete data collection 508 process with timely, accurate, complete information. The smart gateway system prompts seamless and flexible information sharing and transmission among the different stakeholders involved. The 509 service-oriented open architecture is utilized as an essential innovation to enable the platform as a 510 service. The objective of the on-site assembly service is to collect and extract valuable and reliable 511 information from an extensive data set to format real-time and useful information to enhance the 512 visibility and traceability of the whole on-site assembly process and coordinate different parties 513 514 involved. Moreover, SBIMP enables notification service by employing the RBPCS. It allows 515 subscribed stakeholders to be aware of any exceptional events and make reasonable responses to cope with the dynamic working environment to support decision making. Additionally, the platform 516 will provide optimal and rational solutions to corresponding end-users, aiding them in perceiving 517 the actual situations and making associated decisions collaboratively. With the assistance of a higher 518

level of cooperation and collaboration, the information collection time, retrieval time, assemblyduration, and feedback time have been dramatically shortened by deploying this platform.

Despite these benefits, the limitations of this study should be presented for further research. First, 521 the SBIMP is only adopted in one real-life project to demonstrate the effectiveness owing to the 522 523 availability of applicable projects in Hong Kong. More practical project trials should be executed to 524 test the operational and economic efficiency of this platform. In addition, the SBIMP should be 525 further explored and developed for extensive and comprehensive deployment in a standardized 526 approach, which can be widely adopted in diversified construction projects. Second, the developed 527 platform is mainly concentrated on exploring the features efficient for scheduling and cost, ignoring the quality, safety, and sustainability issues in the MiC project. Therefore, more advanced 528 529 technologies and functions need to be exploited and extended to achieve a higher level of applicability and serviceability of SBIMP, dealing with the increasingly complex and fragmented 530 531 construction project.

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