

# A SYSTEM BOUNDARY-BASED CRITICAL REVIEW ON CRANE SELECTION IN BUILDING CONSTRUCTION

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## Abstract

This study presented a system boundary-based review to systematically investigate the existing research on crane selection in building construction. The system boundary model comprised 9 boundary elements, namely year, location, research source, project height, project typology, crane type, selection constraint, selection criteria, and selection method. Three detailed analyses were carried out with reference to the boundary elements. Firstly, distribution analysis reflected an increasing research trend in crane selection worldwide. Secondly, regression analysis, considering 25 real cases, indicated that tower crane is better suited for high-rise building construction. Thirdly, systematic analysis identified a total of 19 constraints, 71 criteria, and 8 methods adopted for crane selection. Subsequently, the relationships between crane selection constraints and criteria were revealed. Furthermore, this study disclosed the selection differences between tower and mobile cranes, and the best practices in crane type and model selection. The main future research opportunities include i) using advanced AI technologies for crane type selection; ii) developing simulation models for tower crane model selection; iii) establishing a hybrid method for crane selection; and iv) extending the selection considerations to a larger spatio-temporal and functional scope.

**Keywords:** crane selection, system boundary, building construction, review

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# 1 1. INTRODUCTION

2 Crane is a key element used in building construction projects owing to its role as the primary lifting  
3 equipment. Crane has a huge impact on construction in terms of cost, safety, and efficiency. These factors  
4 largely determine the success of a project [1-3]. Thus, proper selection of crane is one of the critical  
5 decisions facing construction managers. The crane selection problem mainly involves two issues: 1)  
6 selection of crane type and 2) selection of crane model. Owing to the importance of these issues, significant  
7 scholarly endeavors have been devoted to realizing a feasible solution. These include consideration of  
8 various site settings and use of a variety of research approaches, such as expert interviews, simulation,  
9 optimization algorithms, and fuzzy theory [4-7]. Despite these research efforts, several key inquiries require  
10 further investigation: 1) Is there any best practice in crane selection? 2) What are the latest research trends  
11 and future research opportunities in this domain? 3) What are the most important considerations for  
12 determining the most appropriate crane? Therefore, this study, via an innovative system boundary review  
13 method, addresses these inquiries to benefit both the industry and academia.

14 A system is typically defined as a set of entities and their relationship with one another [8], for example,  
15 ecological systems. A system should only be understood considering specific spatio-temporal  
16 circumstances [9,10]. The “system boundary” method is one such approach for characterizing a system [11].  
17 This method is typically defined as “a distinction made by an observer which marks the difference between  
18 an entity he takes to be a system and its environment” [11]. The methodology of system boundary has  
19 advanced considerably in many disciplines including social science [12], manufacturing engineering [13],  
20 and sustainable construction [14]. Even in the context of crane selection research, system thinking is not  
21 new. Crane selection activities involve complex relationships among people (i.e. managers), objects (i.e.  
22 crane types), and environment (i.e. construction site). Consequently, crane selection models have been  
23 developed in the past using system thinking, such as LOCRANE [15], CRANES [16], and D-CRANE [7].  
24 This present research applies a systematic boundary method to reveal the complex relationships existing in  
25 previous research studies focusing on crane selection in building construction. The main aims of our study

26 include 1) revealing the history of crane selection research and its trend; 2) identifying the critical crane  
27 selection methods, constraints, and required data items; 3) exploring the best practice in crane selection; 4)  
28 illuminating the differences between the selection of tower and mobile cranes; and 5) highlighting the future  
29 research opportunities.

30 The remainder of the paper is organized as follows. Section 2 presents the research scope and methodology.  
31 Literature identification is covered in Section 3, while Section 4 focuses on the development of the system  
32 boundary model. Section 5 shows the analysis processes and results based on the developed model. Several  
33 key concerns of the crane selection domain are discussed in Section 6. Finally, Section 7 provides  
34 conclusions and future works.

35

## 36 **2. RESEARCH SCOPE AND METHODOLOGY**

### 37 **2.1 Research scope**

38 In order to limit and clarify the research scope, only the research studies that simultaneously focused on  
39 “crane selection”, “dominant crane types” and “building construction” were considered.

#### 40 **2.1.1 Crane selection scope**

41 Existing literature on cranes included structural design, type and model selection, location determination,  
42 and safety monitoring [17-19]. Our study is limited to the selection of crane (type and model) only. Finding  
43 the relevant pure crane selection studies was challenging as crane selection research largely interacts with  
44 other research aims such as crane location [20,21]. Therefore, the scope of the present study was limited by  
45 the following if-then rules:

46 **If** [the study concentrated only on crane selection]

47 **Then** it was *within* the scope,

48 **Elseif** [the study contained crane selection contents]

49           **Then** crane selection related content was *within* the scope,

50   **Else**

51           The study was *outside* the scope.

52   **Endif**

### 53   **2.1.2           Crane type scope**

54   Numerous crane models have been designed and produced by crane manufacturers to suit various working  
55   conditions. Mobile and tower cranes, as the dominant types [22,23], were *within* the research scope. The  
56   mobile crane is capable of moving under its own power without being restricted to predefined routes [5].  
57   Mobile cranes include crawler-mounted, truck-mounted, and wheel-mounted cranes. Conversely, the tower  
58   crane has a fixed vertical mast that is equipped with a rotating boom and a winch for hoisting and lowering  
59   load [23]. Tower cranes combine different types of towers (e.g. mono and telescopic), jibs (e.g. horizontal  
60   trolley and luffing), and bases (e.g. static and rail-mounted).

### 61   **2.1.3           Building construction scope**

62   Construction project types could be broadly classified into building construction and infrastructure  
63   construction [24]. Building construction was considered to be *within* the research scope due to the close  
64   relationship between construction efficiency and cranes for the vertical transportation of materials/modules.  
65   Cranes are nearly imperative for most building construction projects regardless of their type and scale.

## 66   **2.2   Research methodology**

67   Figure 1 shows an overview of the review process. The review consisted of three main stages: literature  
68   collection, literature analysis, and discussions of results. To begin with, a systematic literature identification  
69   and further classification of the identified articles were conducted in the first and second steps, respectively  
70   (*see Figure 1 for details of the 9 steps involved*). In the third step, a system boundary model was developed  
71   to reveal the current status of research on crane selection. The model utilized three types of analytical means

72 (distribution, relationship, and systematic analyses) to examine nine proposed boundaries: 1) year, 2)  
73 location, 3) research source, 4) project height, 5) project typology, 6) crane type, 7) selection constraint, 8)  
74 selection criteria, and 9) selection method. In the fourth step, distribution analysis focused on boundaries  
75 1-3 to explore publication trends. Fifthly, a relationship analysis examined boundaries 4-6 to investigate  
76 the relationships between building height and crane type, and building type and crane type. Step six applied  
77 a systematic analysis on boundaries 7-9 to identify the existing crane selection constraints, criteria, and  
78 methods. Additionally, the systematic analysis also revealed the relationship between the constraints and  
79 criteria for crane selection. The seventh step elaborated on the differences in selection between tower and  
80 mobile cranes. In the eighth step, cluster analysis was used to propose the best practice in crane selection.  
81 Finally, in the ninth step, further research opportunities in the domain of crane selection were highlighted.

82 [Insert Figure 1]

83

### 84 **3. LITERATURE IDENTIFICATION**

85 To search for the relevant crane selection research in building construction, a systematic literature  
86 identification road map was proposed. The roadmap comprised 1) search strategy, (ii)2) inclusion and  
87 exclusion criteria, and 3) quality evaluation and data clustering.

#### 88 **3.1 Search strategy**

89 This research adopted (“crane” AND “selection”) AND (“construction” OR “building”) as the search query  
90 to identify the relevant studies. To provide a large coverage of potential research, three digital databases  
91 were selected: 1) *Scopus*, the largest database offering indexing of research in the field of engineering and  
92 technology; 2) *WoS (Web of Science)*, a comprehensive citation database that includes 12,000 high impact  
93 journal sources and 160,000 conference proceedings; and 3) *ScienceDirect*, another famous platform for  
94 retrieving engineering related scientific and technical research articles.

## 95 3.2 Literature filtering

96 The literature filtering process took place over four iterations. In the first iteration, duplicate articles  
97 retrieved from the three search engines were removed. In the second iteration, all irrelevant studies were  
98 excluded by screening their titles and abstracts. In the third iteration, four inclusion criteria (*please see*  
99 *Figure 2*) were used to scrutinize the full research articles. Once an article satisfied the criteria, it was  
100 selected as part of the target studies. The final iteration involved backward snowballing (retrieval of other  
101 relevant articles by screening the references of a target study) and forward snowballing (retrieval of other  
102 relevant articles that cited a target study) were examined against the inclusion criteria [25]. Figure 2 shows  
103 the workflow of filtering the literature.

104 [Insert Figure 2]

105 The initial query retrieved 307 articles: 212 from Scopus, 73 from WoS, and 22 from ScienceDirect. During  
106 the first iteration, 47 out of 307 papers were excluded as duplicates. During the second iteration, 198 papers  
107 were removed after screening their titles and abstracts. Twenty papers were further ruled out by reviewing  
108 their full text in the third iteration. Finally, snowballing was applied to the remaining 42 articles, which  
109 yielded 31 additional relevant studies. In total, 73 articles were listed in the final set.

## 110 3.3 Quality evaluation and data clustering

111 The 73 articles identified from the literature filtering process were evaluated on their quality. Quality  
112 evaluation consisted of two dimensions “article property metric” and “article quality metric”. Article  
113 property metric was measured by means of two reference questions (Q1 and Q2), while article quality  
114 metric was determined from four reference questions (Q3 through Q6). These questions (*please refer to*  
115 *Figure 3 for the description of the above-mentioned six questions*) were used to cluster the articles into  
116 three databases. The description of each database is as follows:

- 117 • Database 1: a group of identified review articles relevant to crane selection for building construction.

118 The articles in this database helped to identify the past research trends.

119 • Database 2: a group of journal articles that met all the quality evaluation constraints. The articles in this  
120 database facilitated further in-depth analysis, including research data and methods.

121 • Database 3: a group of the remaining studies on crane selection for building construction. Database 3,  
122 together with the studies in Database 2, contributed to the research attributes analysis e.g. the  
123 distribution investigation of research location and period.

124 As for the article property metric, Q1 directly screened the articles and placed the conference papers into  
125 Database 3. Whereas the journal articles that passed Q2 were to be placed in Database 1 that stored review  
126 articles, however, no single review journal article was found in this domain. The remaining journal articles  
127 were further securitized against Q3 through Q6 on article quality metrics. Journal articles that satisfied the  
128 four queries in this metric were entered into Database 2. Journal articles that didn't satisfy any of the four  
129 queries were placed into Database 3. The finalized articles in Database 1, Database 2, and Database 3 were  
130 0, 36, and 37, respectively.

131 [Insert Figure 3]

132

## 133 **4. SYSTEM BOUNDARY MODEL FOR CRANE SELECTION IN BUILDING** 134 **CONSTRUCTION**

### 135 **4.1 System boundary theory**

136 Von Bertalanffy [9] is regarded as the first to systematically develop the system theory. He identified a  
137 system as a set of elements standing in interaction, wherein boundaries distinguish a system from others.

138 Bailey [26] mentioned that it is the boundary that separates a system from its environment and therefore,  
139 effectively defines the system. In a similar way, Checkland [11] defined system boundary as a distinction  
140 made by an observer to mark the difference between an entity (system) and its environment. Recently,

141 system thinking has spread its wings in the construction industry for examining productivity [27] and the  
142 performance of zero-carbon buildings [14].

143 It should be noted that the identification and description of a system boundary is highly subjective, and rely  
144 largely on the experience of investigators, the purpose of research, and the availability of data [10,11]. For  
145 instance, in a system for life cycle carbon assessment of a construction project, Pan, et al. [28] regarded the  
146 climatic zone, building material, and prefabrication level as the system boundaries. On the other hand, in a  
147 system for construction collaboration, participants and contracts were taken as boundaries [29].

148 Furthermore, to make the boundary visible and measurable, Luhmann [30] described the boundary via two  
149 principal components: the boundary itself (distinction), and its metrics (indication). While a distinction  
150 defines the nature of the boundary, an indication describes the degree of its nature.

## 151 **4.2 System boundary model for crane selection**

152 In this research, a holistic system boundary model was developed to elaborate on the boundaries and  
153 indicators of crane selection research in building construction. In total, nine boundaries in three dimensions  
154 were developed (please refer to Figure 4). These dimensions were “research attributes”, “research objects”,  
155 and “research methods”. The nine boundaries comprised year (B1), location (B2), research source (B3),  
156 project height (B4), project typology (B5), crane type (B6), selection constraint (B7), selection criteria (B8),  
157 and selection method (B9).

158 [Insert Figure 4]

### 159 **4.2.1 *Research attribute dimension***

160 The research attribute dimension delineated the basic features of the studies, including year (B1), location  
161 (B2), and research source (B3). Each of these features is described as follows:

162 1) Year boundary (B1) referred to the year of publication of a research study, such as 2020, 2019, and so  
163 on.



164 2) Location boundary (B2) illustrated the geographical location of a study. Its indicators were  
165 countries/regions, such as China, Hong Kong, Australia, etc.

166 3) Research source boundary (B3) indicated the research outlets, such as the Journal of Construction  
167 Engineering and Management, Automation in Construction, etc.

#### 168 **4.2.2 Research object dimension**

169 The research object dimension comprised two boundaries, namely project height boundary (B4) and crane  
170 type boundary (B5), which are described below:

171 1) Project height boundary (B4) depicted the height of a building, which largely determined the required  
172 lifting height of a crane. Following the recommendations in [31], the indicators of B4 were high-rise  
173 (10 stories and above) and low-rise (9 stories and below) buildings.

174 2) Project typology boundary (B5) represented the project type, which could be classified as residential,  
175 commercial (e.g. office, shopping mall), public (e.g. school, hospital), and industrial (e.g. factory,  
176 warehouse) buildings.

177 3) Crane type boundary (B6) characterized the objects of the research. For example, the proposed  
178 methodology in a research article might be applicable for selecting an appropriate crane type (e.g. tower  
179 and mobile cranes), and determining an optimal crane model based on a predetermined crane type.  
180 Hence, the indicators of B6 were mobile crane, tower crane, crane type, and mixed. Mobile and tower  
181 cranes portrayed the research studies that provided the optimal mobile and tower crane models,  
182 respectively. On the other hand, crane type denoted the studies that determined the best crane type.  
183 Finally, the studies with multiple/integrated purposes were labelled as mixed.

#### 184 **4.2.3 Research method dimension**

185 The research method dimension described the research methods adopted in the literature. This dimension  
186 consisted of three boundaries: selection constraint boundary (B7), selection criteria boundary (B8), and  
187 selection method boundary (B9). Each of these is further depicted in the following:

188 1) The selection constraint boundary (B7) reflected the constraints required for determining an optimal  
189 crane, such as the considerations of clients, lifting capacity constraint, and working radius constraint.

190 2) The selection criteria boundary (B8) implied the specific data items used for crane selection. The  
191 indicators here concerned the actual data derived from the real world, including crane lifting radius,  
192 crane rental cost, and others.

193 3) The selection method boundary (B9) showed the techniques adopted for the crane selection process,  
194 including simulation, AHP model, interview, and so on.

#### 195 **4.2.4** *An example on the utilization of boundaries*

196 Figure 5 presents the profiles of the system boundaries for some selected studies. For instance, research  
197 study [6], represented with red lines, was conducted in Canada (B2) in the year 2018 (B1) and was published  
198 in Automation in Construction (B3). This study focused on low-rise (B4) industrial construction (B5).  
199 Mobile crane model selection (B6) was the research question. The data items related to crane, project, and  
200 site were collected (B8). Simulation method (B9) was adopted to determine the best crane model under  
201 constraints, such as lifting coverage, lifting load, and collision-free maneuvering (B7).

202 [Insert Figure 5]

203

## 204 **5. ANALYSIS OF RESULTS OF THE SYSTEM BOUNDARY MODEL**

### 205 **5.1 Distribution analysis of research attributes**

206 Analysis of research attributes was based on the boundaries B1 to B3 and Databases 1 to 3. Seventy-three  
207 articles were used for the distribution analysis.

208 **5.1.1** *Distribution by the time period of publication (B1)*

209 Distribution analysis was carried out to reveal the popularity and trend of crane selection research over the  
210 years. We utilized a 4-year period as the scale to measure the time distribution of studies to eliminate the  
211 influence of zero publication in some specific years (e.g. in 1986 and 1991). Figure 6 shows an overall  
212 increasing publication trend. This reflected that researchers have consistently concentrated on crane  
213 selection to improve construction performances in building projects. In total, 73 articles were found  
214 between 1984 and 2020. Notably, 21 research studies were published since 2016, which accounted for 28.8%  
215 of the total publications. This could be attributed to the recent boom in modular construction, whose  
216 schedule and productivity depend much on effective crane planning.

217 [Insert Figure 6]

218 **5.1.2** *Distribution by the location of research (B2)*

219 Geospatial analysis indicated that the finalized 73 studies were conducted in 18 countries. Canada (24),  
220 United States (11), China (7), and Israel (6) were the major contributors. Other contributing countries  
221 included India (4), United Kingdom (3), South Korea (3), Iran (3), Germany (2), Czech Republic (2), Japan  
222 (1), Jordan (1), Slovakia (1), Egypt (1), Australia (1), Singapore (1), Saudi Arabia (1), and Poland (1).  
223 Figure 7 shows the geospatial distribution of the selected articles. These 18 countries were distributed across  
224 5 continents including Asia, Europe, Australia, North America, and Africa. This distribution established  
225 that crane selection research had gained attention worldwide.

226 [Insert Figure 7]

227 **5.1.3** *Distribution by the research source (B3)*

228 The selected studies were published in 23 journals and also in some conference proceedings (Table 1).  
229 “Journal of Construction Engineering and Management” published the highest number of articles i.e. 8.

230 “Automation in Construction”, and “Construction Management and Economics” published 7 and 5 articles,  
231 respectively. Scholars may use this information when submitting their future research articles.

232 [Insert Table 1]

## 233 **5.2 Relationship analysis of research objects**

234 Existing studies showed that project type and height might affect crane selection [4,32,33]. This view was  
235 majorly appreciated as a rule of thumb. To further investigate this proposition, we studied the relationships  
236 between 1) project height boundary (B4) and crane type boundary (B6), and 2) project type boundary (B5)  
237 and crane type boundary (B6), through binary regression analysis using SPSS (v. 26.0) [34-36].

238 Twenty-five real cases (Table 2) of crane selection scenarios were extracted from Database 2 (*please see*  
239 *section 3.3*). Thirteen cases represented tower cranes and 12 represented mobile cranes. Besides, 15 cases  
240 focused on low-rise buildings, while 10 focused on high-rise buildings. Residential, commercial, public,  
241 and industrial buildings accounted for 4, 8, 2, and 11 cases, respectively. The *P*-value of the project height  
242 came out to be  $0.015 < 0.05$  (Table 3), demonstrating its significant influence on crane type selection. On  
243 the other hand, all the *P*-values (Table 4) of building types came out to be larger than 0.05, depicting little  
244 influence of building types on crane selection.

245 [Insert Table 2]

246 [Insert Table 3]

247 [Insert Table 4]

248 Some studies argued that the building type contributed to crane type selection. On the contrary, our study  
249 presented a different but an interesting opinion. A larger-scale investigation, though, is needed to  
250 quantitatively investigate the correlations between “building type” and “crane type”.

## 251 **5.3 Systematic analysis of research methods**

252 Systematic analysis was applied on the existing research methods, including crane selection constraints  
253 (B7), crane selection criteria (B8), and selection methods (B9). It was found that several terms depicting  
254 the same semantic meanings were used interchangeably in the literature (e.g. “crane size” vs “crane  
255 dimension” vs “crane geometry”). This study therefore, used a cyclic unification philosophy to unify such  
256 terms (Figure 8). As per the philosophy, the terms were re-adjusted and updated accordingly, once a new  
257 or inconsonant term having the same semantic meanings was found.

258 [Insert Figure 8]

### 259 5.3.1 *Systematic analysis of crane selection constraints (B7)*

260 Referring to the classifications in the literature [50-53], this study found three types of constraints for crane  
261 selection, namely “economic constraints”, “technical constraints”, and “environmental constraints” (Table  
262 5).

263 [Insert Table 5]

#### 264 5.3.1.1 Economic constraints

265 Economic constraints mainly occur due to the arrangements and allocations of resources that influence the  
266 progress, productivity, and cost of projects. This study found 8 economic constraints: project’s budget,  
267 assembly sequence, crane number, crane operation duration, crane management convenience, construction  
268 schedule, crane location, and resource availability constraints. For instance, proper [assembly sequence  
269 (constraint 2)] and larger [crane number (constraint 3)] might improve lifting efficiency. Thus, the duration  
270 of a construction project might be shortened, however, lower [project’s budget (constraint 1)] might not  
271 allow it [40,56].

#### 272 5.3.1.2 Technical constraints

273 Technical constraints limit the technical feasibility and availability to use a crane, such as a restrictive site  
274 space or weak soil condition for crane installation. This category encompassed 9 constraints, divided into

275 two branches, namely “capacity constraints” and “practical feasibility and operational constraints”.  
276 Capacity constraints include lifting capacity, site coverage, lifting height, and clearance requirement  
277 constraints. Whereas, practical feasibility and operational constraints consisted of foundation stability,  
278 functional area size, site accessibility, and site boundary constraints. For example, considering [foundation  
279 stability (constraint 13)] and [site boundary (constraint 16)], the selected crane might become incapable of  
280 entering and standing at some locations on site.

#### 281 5.3.1.3 Environmental constraints

282 Environmental constraints were derived from crane operations that affect the surrounding environment such  
283 as [energy performance (constraint 18)] and [neighbor impact (constraint 19)]. [Special weather (constraint  
284 17)] might restrict and impede the usage of certain crane types. For example, heavy wind might negatively  
285 impact crane stability and the winter season might extend the construction time beyond schedule. Therefore,  
286 both weather conditions might necessitate stable and faster crane models, respectively.

#### 287 5.3.1.4 Prevalence of crane selection constraints in the literature

288 Figure 9 presents the above-mentioned 19 constraints as three dashed-circles, which are grouped with  
289 respect to their frequency of occurrence in the literature. For example, orange-colored [site coverage  
290 (constraint 10)] was placed in the high-frequency group. This constraint appeared exactly 25 times in the  
291 literature.

292 [Insert Figure 9]

293 Project’s budget (constraint 1) was the most popular constraint and it appeared 27 times in 36 studies. This  
294 is justifiable as cost control is always a prerequisite for any project’s success. Apart from constraint 1,  
295 which was an economic constraint, all the other constraints in “high” and “middle” frequency groups were  
296 technical constraints. This result demonstrated that considerable research attention was given to technical  
297 constraints. Specifically, capacity constraints were extensively investigated in the literature.

298 The “low” frequency group contained all the three environmental constraints, one technical constraint, and  
299 seven economic constraints. Three conclusions could be deduced on the constraints in the low-frequency  
300 group. Firstly, compared to other categories, environmental constraints were given less attention. Secondly,  
301 sometimes the consideration of low-level constraints might not be necessary for a particular project. For  
302 example, a project on a flat site had nothing to do with [foundation stability (constraint 13)], while a low-  
303 rise building project might not need to account for [crane number (constraint 3)]. Thirdly, some constraints  
304 were out of the research scope. For example, [assembly sequence (constraint 2)] would not be investigated  
305 if the research did not study specific crane lifting schedules.

### 306 5.3.2 *Systematic analysis of crane selection criteria (B8)*

307 Crane selection criteria represented the data items required for overcoming the constraints. A three-layer  
308 data tree was established to conduct a systematic analysis of the data items (Figure 10). The data tree  
309 illustrated the realization of the unification of all the data items extracted from studies in Database 2 (*please*  
310 *see section 3.3*). All the data items were placed in three branches, namely “project data”, “crane data”, and  
311 “user demands”. The project data branch had 6 second-layer data items and 32 third-layer items. The crane  
312 data branch had 5 second-layer and 27 third-layer items, and the user demands branch had 3 second-layer  
313 and 12 third-layer items. The description of second-layer data items of each of the three branches is given  
314 hereunder. Third-layer items were described with each second-layer data item.

315 [Insert Figure 10]

#### 316 5.3.2.1 Project data

317 1) Company-level data referred to the requirements of a company that could affect the crane selection  
318 decision-making: a) previous experience (item 1.1.5) might raise concerns about handling unfamiliar crane  
319 types; b) commercial considerations (item 1.1.2), such as the collaboration with specific crane suppliers,  
320 might possibly restrict the choice to a limited crane pool.

321 2) Crane layout data considered the spatial characteristics of the site, including its boundary (item 1.2.1),  
322 space (item 1.2.3), elevation plan (item 1.2.4), and others.

323 3) Site condition data estimated the site accessibility (item 1.3.1) using gauges, such as preparation cost  
324 (item 1.3.2), site terrain (item 1.3.3), soil type (item 1.3.4), and allowable bearing pressure (item 1.3.5).

325 4) Project cost data accommodated the items of planned project costs, including construction budget (item  
326 1.4.1) and storage cost (item 1.4.2).

327 5) Load data involved load information i.e. type, weight, size, location, and quantity of modules to be lifted.

328 6) Project schedule data signified the scheduled milestones, namely project completion time (item 1.6.1)  
329 and load lifting date (item 1.6.2). It also included other scheduling data, such as the sequence logic and the  
330 duration of lifting activities (items 1.6.3 and 1.6.4, respectively), and the shift and daily working hour  
331 arrangements of projects (items 1.6.5 and 1.6.6, respectively).

#### 332 5.3.2.2 Crane data

333 1) Crane market information indicated the state of the cranes in the market, which reflected the three major  
334 concerns of the contractor: the availability of crane (item 2.1.1); the market location of crane (item 2.1.3);  
335 and the provision of sufficient technical support from the supplier (item 2.1.2).

336 2) Crane capacity referred to the required crane working radius, lifting height, load, and speed.

337 3) Crane cost measured the overall expenses for operating a crane, from the installation stage to demolishing.

338 4) Crane environmental parameter reflected the environmental effects, such as dust and noise caused by  
339 crane operations. The crane power (item 2.4.1) could be associated with energy consumption.

340 5) Crane feature contained other items apart from the above 4 categories. For instance, crane weight (item  
341 2.5.1) was used to evaluate the ground pressure constraint. Crane location on-site (item 2.5.2) could be used



342 to check the coverage and clearance for crane operations, and operator's visibility (item 2.5.7) contributed  
343 to safety and productivity.

#### 344 5.3.2.3 User demands

345 User demands were used to satisfy the users' considerations and expectations in crane selection. The  
346 considerations primarily focused on three aspects: safety, environment, and productivity.

347 1) Safety consideration accommodated some subjective elements, such as empirical judgment (item 3.1.3)  
348 to guarantee the safety of the crane model. Moreover, some objective conditions were also added to achieve  
349 safer choices. For example, a safety calculation margin (item 3.1.1) and minimum clearance value (item  
350 3.1.2) were widely considered in the crane selection decision-making.

351 2) Environmental consideration comprised other environmental-related data items in the literature. The  
352 influences of these items were often multiple. The wind level (item 3.2.1), season factor (item 3.2.2), and  
353 visibility condition (item 3.2.4) were utilized to improve crane safety or/and productively performance. The  
354 carbon emission factor (item 3.2.3) corresponded to the environmental influence assessment.

355 3) Productivity consideration used subjective judgment (item 3.3.1) and an objective calculation margin  
356 (item 3.3.2) to evaluate different crane choices. Labor availability (item 3.3.3) checked whether the  
357 proposed crane could be appropriately handled.

#### 358 5.3.2.4 Relationships between crane selection constraints and data items

359 Figure 11 establishes the relationships between crane selection constraints and data items. The colorful  
360 lines, in the figure, clearly visualized what data items were needed to fulfill the proposed constraints. For  
361 example, 6 data items were required to satisfy crane foundation stability (constraint 13). Ground condition  
362 should be first checked, which included site terrain (item 1.3.3) and soil type (item 1.3.4) [33,65,66]. In  
363 doing so, some crane models (item 2.5.9) that were not suitable for some specified ground conditions (e.g.  
364 slope and soft soil ground) could be ruled out. Further, the force applied on the ground, which was estimated

365 from the weight of the crane and loads (items 2.5.1 and 1.5.2), should be less than the allowable bearing  
366 pressure (item 1.3.5) [3,48].

367 For the site coverage (constraint 10), the proposed crane location (item 2.5.2) and its working radius (item  
368 2.2.1) estimated the cover capacity of the crane layout. If all the supply points (item 1.2.7) and demand  
369 points (e.g. item 1.5.4 load location, item 1.2.5 building location) could be covered then, constraint 10 was  
370 satisfied [6,20,32].

371 [Insert Figure 11]

### 372 5.3.3 *Systematic analysis of selection methods (B9)*

373 The analysis of the selection methods was on the basis of the literature classified in Database 2 (*please see*  
374 *section 3.3*). A total of 8 methods were identified, and further classified into qualitative and quantitative  
375 techniques (Table 6). Qualitative techniques included interviews and case studies, whereas quantitative  
376 techniques included optimization, simulation, evaluation models, artificial intelligence, manual calculation,  
377 and augmented reality. Details of the techniques are as follows:

378 [Insert Table 6]

#### 379 5.3.3.1 Interviews

380 Interview is one of the most common qualitative techniques utilized to learn from human experiences [68].  
381 In a complex construction system, practical engineering experience significantly contributes to decision-  
382 making, and crane selection is no exception. Interviews could be unstructured, such as those adopted in  
383 [38], which investigated 27 soft factors for crane selection from the perspective of six project managers.  
384 Another kind could be structured interviews, where some specific questions are typically asked in order.  
385 For instance, Shapira and Schexnayder [4] investigated the practitioners' subjective opinions on the  
386 significance of 14 factors on crane selection.

#### 387 5.3.3.2 Case studies

388 A case study involves a detailed examination of a particular case/project to extract relevant information.  
389 Case studies reflect the viewpoints of the real world and add credibility to research design. King and  
390 Schexnayder [47] explored the crane selection process of a real project which used a tower crane. They  
391 discussed the pros and cons of a tower crane over mobile crane from a life cycle perspective. Factors, such  
392 as site congestion and quiet working condition of a tower crane, influenced the company's decision to opt  
393 for a tower crane.

#### 394 5.3.3.3 Optimization

395 Optimization is a generic approach to finding the best solution from all feasible solutions. Existing  
396 literature has often optimized crane selection in integration with other crane operations, such as crane  
397 location, supply point location, etc. Three types of optimization methods were employed 1) problem-  
398 specific heuristic methods, 2) metaheuristics, such as genetic algorithm; and 3) mathematical methods, such  
399 as linear and mixed-integer programming, and dynamic programming [69,70].

400 The problem-specific heuristic accounted for the largest portion in the optimization-based research (Table  
401 6). Two potential reasons led to its popularity: the diversities of features amongst different projects and the  
402 various optimization objectives used. Each construction project system is distinctive in accordance with its  
403 specific characteristics, thereby presenting a different optimization problem. For example, Moselhi, et al.  
404 [44] used an algorithm to select a mobile crane for replacing the components of a residential building in  
405 Concordia University. The project's features were different from a new construction project. Similarly,  
406 optimization objectives also largely influenced the problem's uniqueness. For instance, Sohn, et al. [3]  
407 assessed crane types by considering only their cost, while [41] further took the overall construction period  
408 into account.

409 Unlike exact methods, metaheuristics do not guarantee a globally optimal solution for a problem. Instead,  
410 metaheuristics implement stochastic optimization to find good solutions for large-scale complex problems.  
411 In this regard, desirable outcomes can be achieved with less computational effort [71]. Some metaheuristics

412 were used for optimizing the complex combinatorial (i.e. crane type and crane location) optimization  
413 problem, such as the genetic algorithm adopted in [32] and [46]. Regarding mathematical methods, a mixed-  
414 integer programming model and dynamic programming model have been used to determine optimal  
415 solutions for crane problems. Mixed-integer programming was applied to solve a crane problem with the  
416 integration of a large number of parameters (e.g. crane cost, jib length, etc.) [1,63]. The dynamic  
417 programming simplifies a complicated problem by breaking it down into simpler sub-problems. For  
418 example, Furusaka [55] solved a  $3 \times 10^{18}$  complex combinatorial crane optimization problem using dynamic  
419 programming.

#### 420 5.3.3.4 Simulation

421 Simulation is an approximate imitation of the operations of a process. This reflection of reality helps  
422 designers to ensure the efficiency of designs before execution. For crane selection, simulation provides a  
423 systematic view of the interactions between the crane and its complex surroundings (e.g. the building,  
424 ground, loads, and environment). For example, clearance requirements [6,42], lifting capacity [37,40], and  
425 even the environment effects [60] could be systematically assessed in one simulation model.

426 Simulation enables the dynamic evaluation of crane performance throughout the full construction stage.  
427 Crane type influences the cost and effectiveness of site operations continuously from activity to activity,  
428 which demands dynamic considerations in crane planning. Existing studies deployed a variety of simulation  
429 means and platforms (e.g. Symphony in [60] and 3D CAD in [48]) to analyze and visualize crane options.  
430 Some recent research also attempted to incorporate time dimension into traditional 3D simulation models,  
431 thereby realizing the 4D visualization of crane performance on-site [37,40]. 4D models, through visual  
432 demonstration, further enhanced the decision-making ability of project stakeholders.

#### 433 5.3.3.5 Evaluation model

434 Evaluation model refers to the quantitative techniques applied to evaluate the subjective data gathered from  
435 field and academic experts. For example, AHP (analytic hierarchy process) is a classical decision-making  
436 technique that uses interviewees' judgment on the pairwise significance of factors [72]. AHP allows the  
437 best crane selection considering project goals, sub-goals, and requirements. For instance, Dalalah, et al. [2]  
438 evaluated crane types on the basis of five sub-goals: building design, capacity, economy, safety, and site  
439 condition. Apart from AHP, the fuzzy theory was also used in crane selection literature [5].

#### 440 5.3.3.6 Artificial intelligence (AI)

441 Artificial neural networks and expert systems are labeled as AI approaches, as they both demonstrate their  
442 machine-based intelligence to assist in crane selection. For the artificial neural networks, real cases of crane  
443 selection as learning examples are used as the building blocks to grasp and set up the correlations between  
444 inputs and outputs. Sawhney and Mund [33] developed an automated artificial neural network, which  
445 incorporated processing elements and rules for input variables, for the selection of optimal crane type.  
446 Expert systems, on the other hand, CRANES [15] and LOCRANE [16], formed their knowledge bases (i.e.  
447 crane selection rules) from experts' experiences to automatically realize the preferred crane model.

#### 448 5.3.3.7 Manual calculation

449 Manual calculation includes all manual methods that are used to select cranes through the evaluation of  
450 cost and technical capacities (e.g. coverage, lifting load, etc.). The adoption of manual calculation could be  
451 attributed to the lack of advancement in computational technologies in the early years e.g. the study [56] in  
452 1985 and [66] in 1987.

#### 453 5.3.3.8 Augmented reality (AR)

454 Augmented reality (AR) enhances users' experience by adding computer-generated digital visualization  
455 onto the model. Jang and Yi [67] first investigated the AR usage for tower crane selection in 2013. They  
456 used Eclipse to establish the AR environment for a dynamic and 3D view of crane selection and layout.

457

## 458 **6. DISCUSSION**

### 459 **6.1 Selection difference between tower and mobile cranes**

460 Tower and mobile cranes are the two dominant crane types used in building construction. These cranes  
461 have significant differences in structures and features, leading to some variations in selection considerations  
462 and required data items. Table 7 summarizes the selection differences between tower and mobile cranes.

463 [Insert Table 7]

#### 464 **6.1.1 *Discrepancies in evaluation focuses***

465 Satisfying capacity constraints (i.e. coverage, lifting capacity, lifting height, etc.) is mandatory in a crane  
466 selection process. Capacity-related focus on tower and mobile crane selections show some disparities,  
467 especially in the lifting coverage and capacity considerations.

468 1) Coverage capacity: It is understandable that the coverage of the whole site is a necessary condition for  
469 delivering all lifting tasks. Therefore, designers usually calculate the coverage performance relative to  
470 the jib length and location of the proposed tower crane. For a mobile crane, however, the coverage  
471 constraint is not an issue because the covering capacity of any mobile crane is theoretically unlimited  
472 due to its mobility. It is well noted that the rail-mounted tower crane has limited movability. The  
473 coverage capacity of the rail-mounted tower crane is measured by the length of the crane jib and the  
474 rail together [32].

475 2) Lifting capacity: Regarding the lifting capacity, tower crane often uses the load chart provided by the  
476 manufacture, which is fair enough. Calculations of the load capacity for a mobile crane is more

477 complicated. As the crane configuration changes, its maximum lifting capacity also changes. Hence,  
478 existing research always have collected configuration data (e.g. the weight of slings, spreader, and hook  
479 block) of a mobile crane to calculate its lifting capacity.

480 3) Clearance evaluation: Clearance evaluation is another divergence. The clearance checking for the  
481 mobile crane is much more complex than that for a tower crane due to the flexible configurations of  
482 mobile cranes. Unlike a tower crane, which swings or rotates its jib within the horizontal or vertical  
483 plane, the movements of a mobile crane are the synergy of several crane elements (e.g. main boom, jib,  
484 etc.). Hence, the clearance required for a mobile crane should be addressed carefully considering every  
485 element.

#### 486 **6.1.2 Disparities in the considerations for ground condition**

487 Ground condition considerations mainly differ in three items: ground space, ground bearing capacity, and  
488 ground slope.

489 1) Ground space: Apparently, the mobile crane requires enough space on-site during its movements. On  
490 the contrary, the tower crane only requires adequate operating space in the lifting process, thus it can  
491 be used on a constricted/congested site.

492 2) Ground bearing capacity: Since the mobile crane moves around the construction site, the ground  
493 capacity should be supportive enough. On the other hand, even if the ground is unsupportive, a tower  
494 crane can be used by strengthening and enhancing the foundation.

495 3) Ground slope: The last difference is the ground slope. While some mobile types (e.g. crawler crane)  
496 can move on a slightly sloped ground, a tower crane is a must for steep slopes [54].

#### 497 **6.1.3 Diversities in the integrated factors**

498 Crane selection is often solved in integration with other crane-related problems. In this regard, the factors  
499 integrated with the selection of tower and mobile cranes are diverse. The tower crane selection problem is  
500 more likely to be solved in combination with the location factor because crane type and location determine

501 the coverage capacity together. Comparatively, literature on mobile crane has modeled and simulated the  
502 crane selection problem as a part of the whole crane operating process. Since every single lifting task of a  
503 mobile crane corresponds to a unique crane “gesture”, simulating all the operation processes can eliminate  
504 any potential scenario that may not satisfy the clearance requirements.

## 505 **6.2 Best practice in crane selection**

506 The best practice mainly involves three important considerations: 1) what constraints to consider? 2) what  
507 data items to gather? and 3) what kind of method to operate? The first two considerations are highly project-  
508 specific as different projects have particular requirements/restrictions. For instance, a project with a poor  
509 ground condition should treat the foundation stability constraint (constraint 13) as the main constraint.  
510 Figure 10 and 11 provide a useful reference for future studies to identify their constraints and data items.  
511 This leaves us with the last consideration i.e. what kind of method to operate?

512 Three primary types of studies with different research objectives have been identified from the literature:  
513 1) determining an optimal tower crane model, 2) determining an optimal mobile crane model, and 3)  
514 determining an appropriate crane type. These three questions are labeled as a boundary (B6) (*please see*  
515 *point 3 in section 4.2.2*). The studies with “mixed” (the fourth indicator of B6) purposes can be seen as an  
516 integration of the above methods. To explore the best practice in crane selection, cluster analysis is  
517 developed to examine the relevance between research questions and preference for specific methodologies.  
518 This analysis is based on the features of the studies, specifically research object (B6) and selection method  
519 (B9) (*please refer to Figure 4 to recall boundaries*). For example, the study of Briskorn and Dienstknecht  
520 [1] has been coded as a tower/optimization/mathematical method because they adopt mixed-integer  
521 programming models to optimize the tower crane selection process. NVivo platform, which performs  
522 cluster analysis according to the similarities of coded feature, is used to conduct the clustering process. The  
523 36 studies in Database 2 (*please see section 3.3*) are grouped into 4 clusters (Figure 12). The cells with the  
524 grey fill (on the right side) are the dominant methods for a specific research problem, and therefore, they



525 are recommended as the preferred practice. From top to bottom (Figure 12), AI and evaluation models are  
526 the preferred practice for determining an appropriate crane type. Optimization is the preferred practice in  
527 determining an optimal tower crane type, and simulation and optimization are the preferred practices in  
528 determining an optimal mobile crane type.

529 [Insert Figure 12]

### 530 **6.2.1 Best practice in mixed studies**

531 Three studies are grouped in a mixed cluster (cluster 1 in Figure 12). Two of the studies combine the  
532 objectives of crane type and crane model selection [55,56], whereas the third study attempts to generalize  
533 the selection method for both tower crane and mobile crane models [58]. Although it is useful to achieve  
534 multiple goals via a single methodology from the academic point of view, certain impediments hamper the  
535 practicality of such methods. For instance, in comparing cranes of different types and models  
536 simultaneously (e.g. Mobile 1, 2, 3 & Tower 1, 2, 3), previous studies have used cost comparisons. However,  
537 the cost-oriented evaluations fail to comprehensively consider the pros and cons of the different crane types,  
538 which make the results less reliable. Hence, existing practices often decide the ideal crane type first, and  
539 optimize its model afterward. Also, developing one general selection method for both tower and mobile  
540 cranes is impractical due to the difference in the configurations and features of the two cranes (*please recall*  
541 *6.1*). In a word, mixed research has not become mainstream theme and therefore, the best method for it  
542 doesn't exist.

### 543 **6.2.2 Best practice in crane selection type**

544 Seven studies are grouped in the selection of crane type cluster (cluster 2 in Figure 12). Amongst the  
545 research techniques, AI and evaluation models appear to be the most popular ones, with each having three  
546 applications in the literature. Generally, AI and evaluation models stand for two diverse directions for crane  
547 type selection. AI method relies solely on objective data from past crane selection cases. Evaluation models,  
548 however, are built upon the experts' subjective judgments on different crane types. Thus, it is concluded

549 that AI technology can be the best practice in crane type selection for companies. Employing the data of  
550 their previous real construction projects, AI-based selection enables fast determination of the crane type.  
551 Moreover, as the database increases, the accuracy and reliability of AI systems rise. For special scenarios  
552 that are not similar to the past cases, an evaluation model can be used instead.

### 553 **6.2.3 Best practice in tower crane model selection**

554 Ten studies are grouped in the tower crane cluster (cluster 3 in Figure 12). Apart from the dominant  
555 optimization method that accounts for 50% of the studies, other techniques, e.g. AR and evaluation model,  
556 are also identified. The optimization method is employed frequently to solve the tower crane model  
557 selection problem due to the following reasons. Firstly, the best crane model is often equal to the most  
558 economic choice, which should be determined among numerous crane models. The manual calculation  
559 method can handle the comparison of limited cases, but the optimization algorithm has the superiority of  
560 dealing with a large pool of crane models. Secondly, tower crane planning is a complex problem involving  
561 many parameters, including crane number, crane location, and crane type. In this respect, the optimization  
562 method enables the 1) integration of parameters by setting different constraints for each factor, and 2)  
563 determination of the optimal solution among numerous combinations of the factors involved. This study  
564 considers optimization as the best method for solving tower crane selection problem not just due to its  
565 comparatively high maturity, but due to its capacity in evaluating the performance of crane types with an  
566 all-round perspective.

### 567 **6.2.4 Best practice in mobile crane model selection**

568 Mobile crane is the largest cluster with 16 studies (cluster 4 in Figure 12). Eight of these use problem-  
569 heuristic optimization methods, four apply simulation, and the last four adopt other techniques (i.e.  
570 interview and manual calculation). Clearance evaluation is considered as the core of mobile crane selection.  
571 Optimization models are typically developed to satisfy the space (i.e. clearance requirements) between the  
572 crane and its surroundings (e.g. buildings). As the configurations of crane types vary, a problem-heuristic

573 rule is applied to 1) establish spatial relationships amongst different crane components, 2) evaluate the  
574 lifting height of the crane, and 3) further calibrate the safety clearance. This explains why all the  
575 optimization practices for mobile crane belong to problem-heuristic approaches. Another significant  
576 method is simulation, which can evaluate and visualize the performance of potential crane models over the  
577 full construction cycle in a vivid animation environment.

578

## 579 **6.3 Crane selection research trends and opportunities**

### 580 **6.3.1 *Research trends***

581 The studies with their publication years and adopted research methods are marked in Figure 13. After  
582 investigating the development status quo of each category, some conclusions about the research trends are  
583 as follows.

584 [Insert Figure 13]

#### 585 6.3.1.1 Mixed crane selection has not attracted enough research attention

586 The purple triangles, in Figure 13, imply that only 3 studies have solved a mixed crane selection problem  
587 and all are published in the early years (i.e. 1984, 1985, and 1995). After that, no such mixed study has  
588 been conducted. This shows the desire of early researchers to overcome the complicated crane type and  
589 model determination problem as a whole. However, as explained in *section 6.2*, this vision has not gained  
590 enough attention afterward due to the lack of its practicality. Thus, the trend in the mixed research stopped  
591 since 1996 and the later studies have focused on individual research questions.

#### 592 6.3.1.2 Crane type selection research is quite mature

593 The brown squares, in Figure 13, illustrate the development of crane type research. Seven studies are  
594 published between 1990 and 2010, and no such study has been found thereafter. Our study concludes that

595 the crane type selection has been thoroughly investigated. Several types of AI technologies and evaluation  
596 models have been successfully deployed for optimal crane type selection objectively and subjectively,  
597 respectively.

#### 598 6.3.1.3 Mobile crane selection studies have shifted from labor-oriented methods to simulation

599 Mobile crane model selection studies, indicated by blue circles in Figure 13, show a continuous publication  
600 trend since the 1980s. In the early years, manual techniques are employed. Gradually, the focus shifts to  
601 optimization techniques in the 2000s. In recent years, researchers have focused on simulation. We deduce  
602 that an ongoing trend of simulation exists due to its benefits in clearance evaluation. Via simulation, all the  
603 potential collision scenarios of mobile crane operations can be modeled and identified in advance.

#### 604 6.3.1.4 Trend of implementing optimization method in tower crane research is a recent discovery

605 The red rhombuses, in Figure 13, stand for tower crane model selection research. Optimization techniques  
606 account for over 50% of all studies on tower crane model selection. The Figure shows a steadily increasing  
607 trend in optimization study since 2013. This trend predicts a further increase in the exploration of  
608 optimization-based tower crane model selection.

### 609 **6.3.2 *Research opportunities***

#### 610 6.3.2.1 Advanced AI technologies for crane type selection

611 The benefits of AI-based crane type decision-making have been demonstrated by the development of neural  
612 network and expert system in the early studies. Deep learning and machine learning are two significant  
613 subsets of AI that have advanced the automation and precision of data analysis and decision-making. A  
614 standard neural network consists of many simple processors called neurons within a one-layer input and  
615 output system [73]. However, a deep learning-based neural network (i.e. deep neural network) can establish  
616 a multiple-layer structure. In doing so, the correct mathematical manipulation to turn input to output can be

617 realized, whether the relationships are linear or non-linear [74]. Machine learning, on the other hand, has  
618 been extensively investigated for handling structured and unstructured big data, thus illustrating its  
619 efficiency and reliability. More machine learning techniques, such as decision trees, regression analysis,  
620 and Bayesian networks, can be employed to promote crane type selection.

#### 621 6.3.2.2 Simulation of tower crane model selection

622 While simulation has been attempted to model the tower crane layout and operation [75,76], little research  
623 has been conducted by taking the tower crane selection into account. For tower crane planning problems, a  
624 predetermined crane model is often implemented. This is because including one more datum (i.e. crane  
625 type) results in an exponential increase in the overall computing load for crane planning problems. Yet, the  
626 crane type considerably influences the overall crane planning performance including lifting ability, lifting  
627 speed, and lifting cost. Therefore, it is essential to consider the selection of tower crane model in the  
628 integrated crane planning problem in the future.

629 Furthermore, the internal features of the crane models can be simulated as well. Take the machine  
630 breakdown percentage as an example, simulation approaches can model the potential productivity and  
631 economic losses due to such breakdowns in different scenarios.

#### 632 6.3.2.3 A hybrid method for crane selection

633 Each methodology for crane selection has its limitations and advantages. Adopting a hybrid method, which  
634 refers to a combination of several methods, can overcome the drawbacks of using a single approach. For  
635 instance, optimization is suitable for finding the optimal solution but inappropriate to describe the details  
636 of the possible issues in a specific case. This drawback can be overcome through simulation.

637 A good practice in combining methods might be conducting the approaches in stages. For example, using  
638 an AHP evaluation model to determine the appropriate crane type and then a genetic algorithm may be

639 applied to find the preferred crane model [32]. The stage-by-stage hybrid thinking is also appropriate for  
640 predicting and examining the crane performance using simulation. Despite the impracticality to simulate  
641 all crane models before the final decision, simulations for a small number of feasible cranes, generated by  
642 other methods, such as manual calculation or optimization, are practical and reasonable. This achieves the  
643 delicate balance between the computing resources and the performance of the final outputs.

#### 644 6.3.2.4 Selection considerations should extend to a larger temporal, spatial and functional scope

645 As mentioned before, crane selection is a dynamic problem that interacts with other factors. From the  
646 perspective of the temporal dimension, the influence of the final crane option is continuous throughout the  
647 whole construction phase. It is, therefore, suggested to assess the overall performance (e.g. throughout the  
648 construction period) of the cranes during the selection process. Regarding the spatial aspect, crane  
649 operations have an influence on the surroundings, for example, noise, dust, and carbon emission. Soft  
650 considerations have been proposed in the existing literature but should be further investigated [38]. A third  
651 consideration is from the functional perspective, which stands for the unexpected factors that influence the  
652 performance of the crane option. The majority of the studies have selected cranes as per the significant cost  
653 and time objectives. However, unpredicted risks are also vital. For example, rainy weather may result in  
654 the loss of mobility of a mobile crane and an older crane tends to encounter failure during operations.  
655 Taking into accounting these nondeterministic and probabilistic situations would better reflect reality,  
656 enhance the resilience of the crane selection decision-making, and alleviate economic loss from the  
657 potential risks.

658

## 659 7. CONCLUSIONS

660 This research provided deep insights in characterizing and analyzing crane selection studies via a system  
661 boundary model. Three kinds of analyses, namely distribution, relationship, and systematic analyses, were  
662 deployed. Firstly, distribution analysis revealed an increasing trend and worldwide popularity of crane

663 selection research. “Journal of Construction Engineering and Management”, “Automation in Construction”  
664 and “Construction Management and Economics” were the preferred journals for publishing crane selection  
665 studies. Secondly, relationship analysis indicated a strong correlation between building height and crane  
666 type determination via a binary logistic regression model. Thirdly, systematic analysis identified 19  
667 constraints, 71 criteria, and 8 methods for crane selection. The 19 constraints were grouped into  
668 environmental, technical, and economic clusters. The 5 most popular constraints with higher appearance  
669 frequency were project budget, lifting capacity, site coverage, lifting height, and clearance requirement.  
670 Regarding the selection criteria, the 71 data items were grouped into three categories, namely crane data,  
671 project data, and user considerations. Besides, the relationships between the crane selection constraint and  
672 criteria were revealed and visualized. This study used qualitative and quantitative categories to  
673 accommodate the identified 8 methods. Qualitative methods included interviews and case studies, while  
674 the quantitative methods comprised manual calculation, augmented reality, optimization, simulation,  
675 evaluation model, and artificial intelligence.

676 Afterward, three key concerns on crane selection research were discussed. Firstly, in light of the identified  
677 research types in six boundaries, corresponding best practice was analyzed and deduced. For crane type  
678 selection, AI and evaluation models were found to be the preferred practices. Optimization was considered  
679 as the best practice in selecting a tower crane model. The best mobile crane option could be evaluated by  
680 the simulation and/or optimization method. Secondly, the selection differences between tower and mobile  
681 cranes were analyzed. Three issues were emphasized i.e. evaluation focuses, ground condition  
682 considerations, and integrated factors. Lastly, four research opportunities were identified: advanced AI  
683 technologies for crane type selection, simulation for tower crane model selection, a hybrid method for crane  
684 selection and extending the selection considerations to a larger temporal, spatial and functional scope.

685 The contributions of this study are two-fold. From an academic perspective, a novel system boundary  
686 review method was proposed for characterizing and analyzing the features of crane selection research. To  
687 a certain extent, compared to personal judgment, this method provided an objectively systematic, thorough,

688 and consistent means for investigating the status quo for any research topic. From a practical perspective,  
689 this study comprehensively unified and summarised all the crane selection constraints, criteria, and methods.  
690 The industry planners can simplify and expedite the crane selection process using the gathered crane  
691 selection factors (e.g. selection constraints, criteria, and methods) identified in this study. The formation of  
692 the factor pool also facilitates planners to scrutinize all the potential attributes and determine the appropriate  
693 ones, so as to guarantee the credibility of the crane selection process. The proposed best practices in  
694 different types of crane selection problems provide credible solutions in the decision making of crane  
695 selection. This will be a reliable reference for planners in developing their crane selection models.

696 Three future research directions were proposed. Firstly, researchers can put efforts on the identified four  
697 research opportunities, such as implementing advanced AI technologies to determine the crane type.  
698 Secondly, the crane selection problem can be further integrated with other crane planning progress as crane  
699 location and crane operation problems. The third is concerned with the developed methodology. Future  
700 research can also implement the proposed system boundary model to other research topics such as  
701 construction site layout problem.

702

### 703 **ACKNOWLEDGEMENTS**

704 This is to acknowledge that the project leading to the publication of this paper was fully funded by the  
705 Chinese National Engineering Research Centre for Steel Construction (CNERC), Hong Kong Branch, at  
706 the Hong Kong Polytechnic University (BBV3). The authors would like to thank the four anonymous  
707 reviewers for their constructive comments.

708



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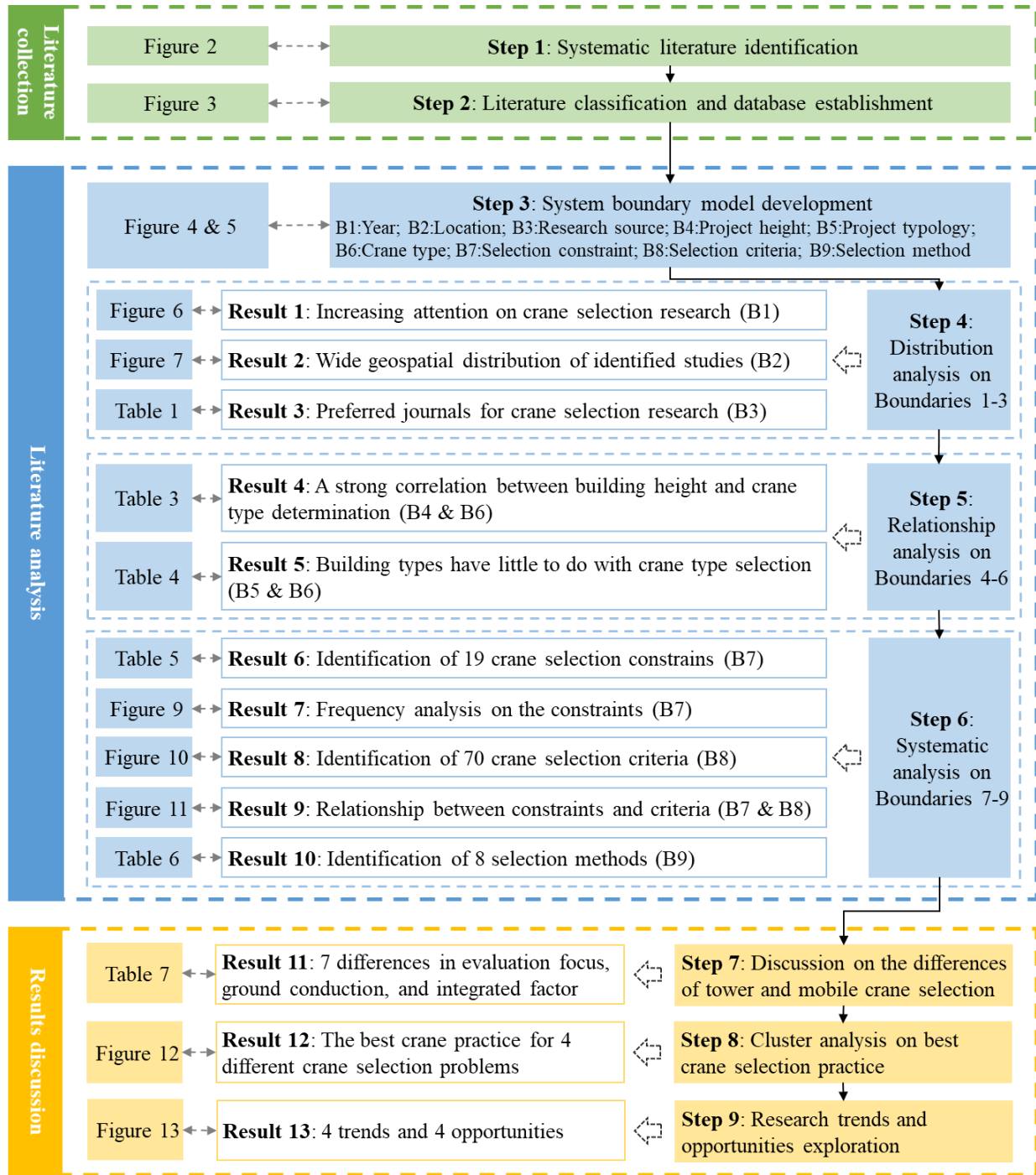
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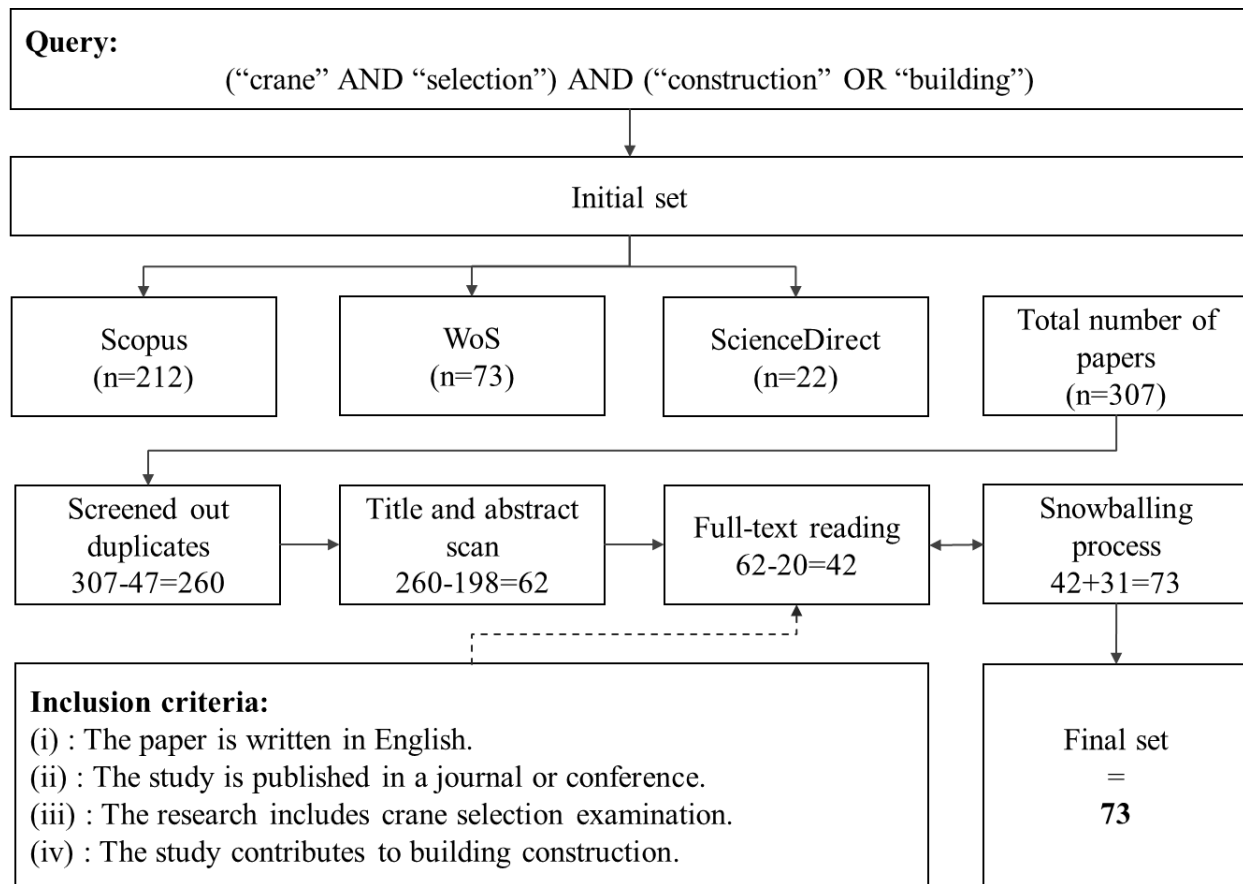
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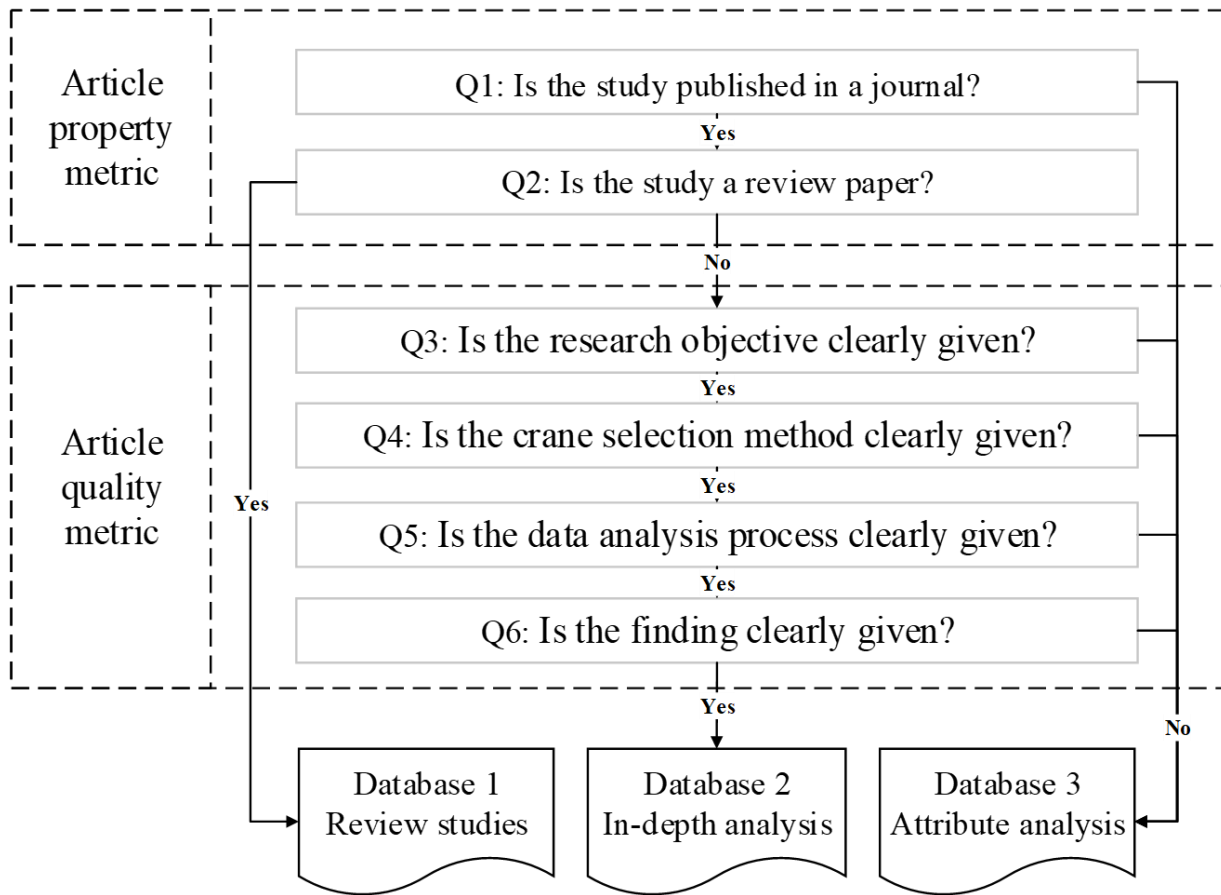
931 Figure 1 The overall review methodology



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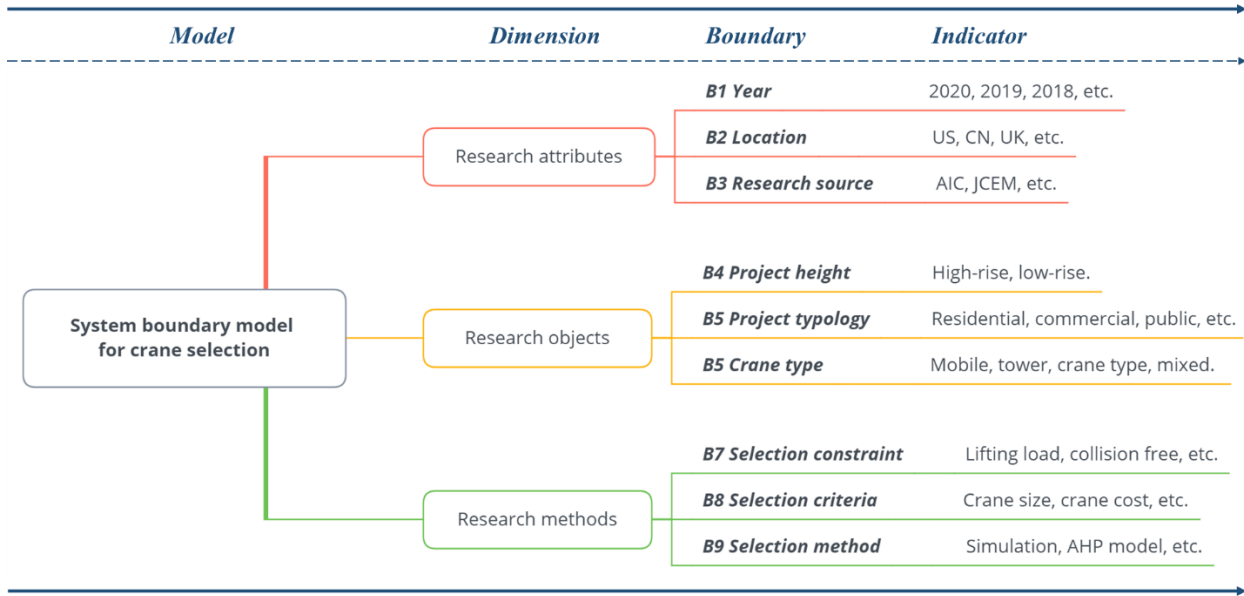
Figure 2 The flowchart of study selection, including search query and filtering process



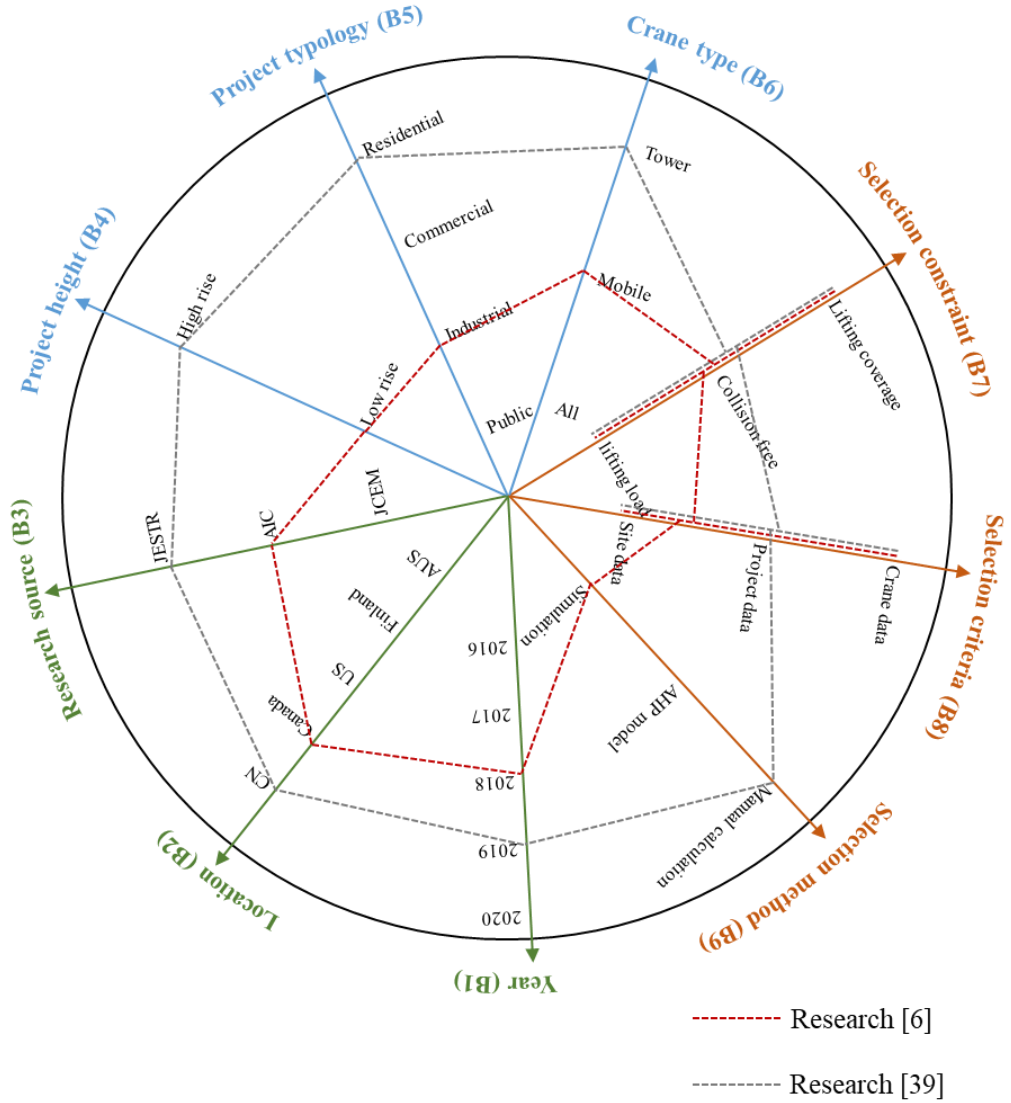


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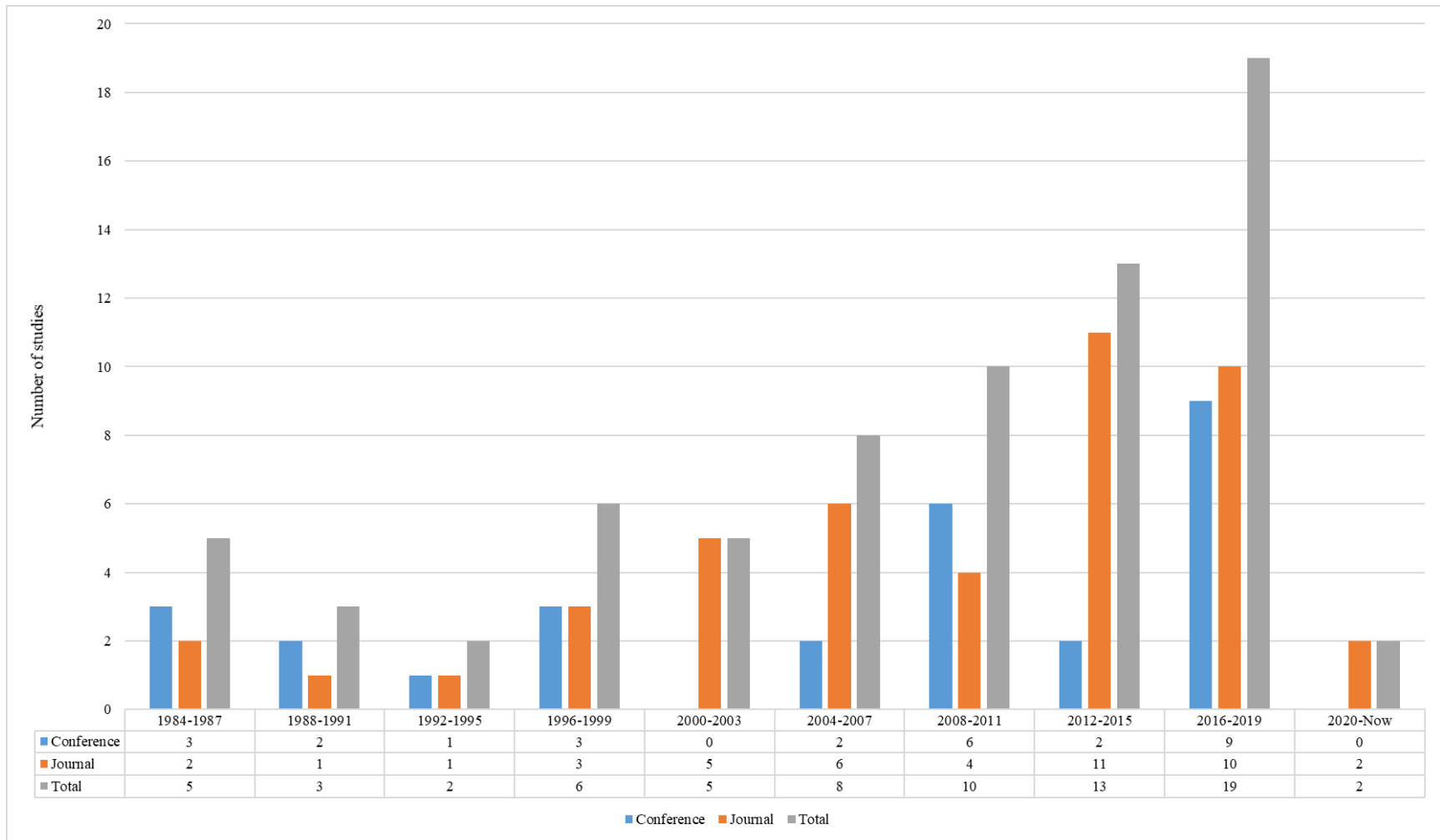
Figure 3 The flowchart of quality assessment to establish the target literature databases



941 Figure 4 The hierarchy of system boundary model for crane selection



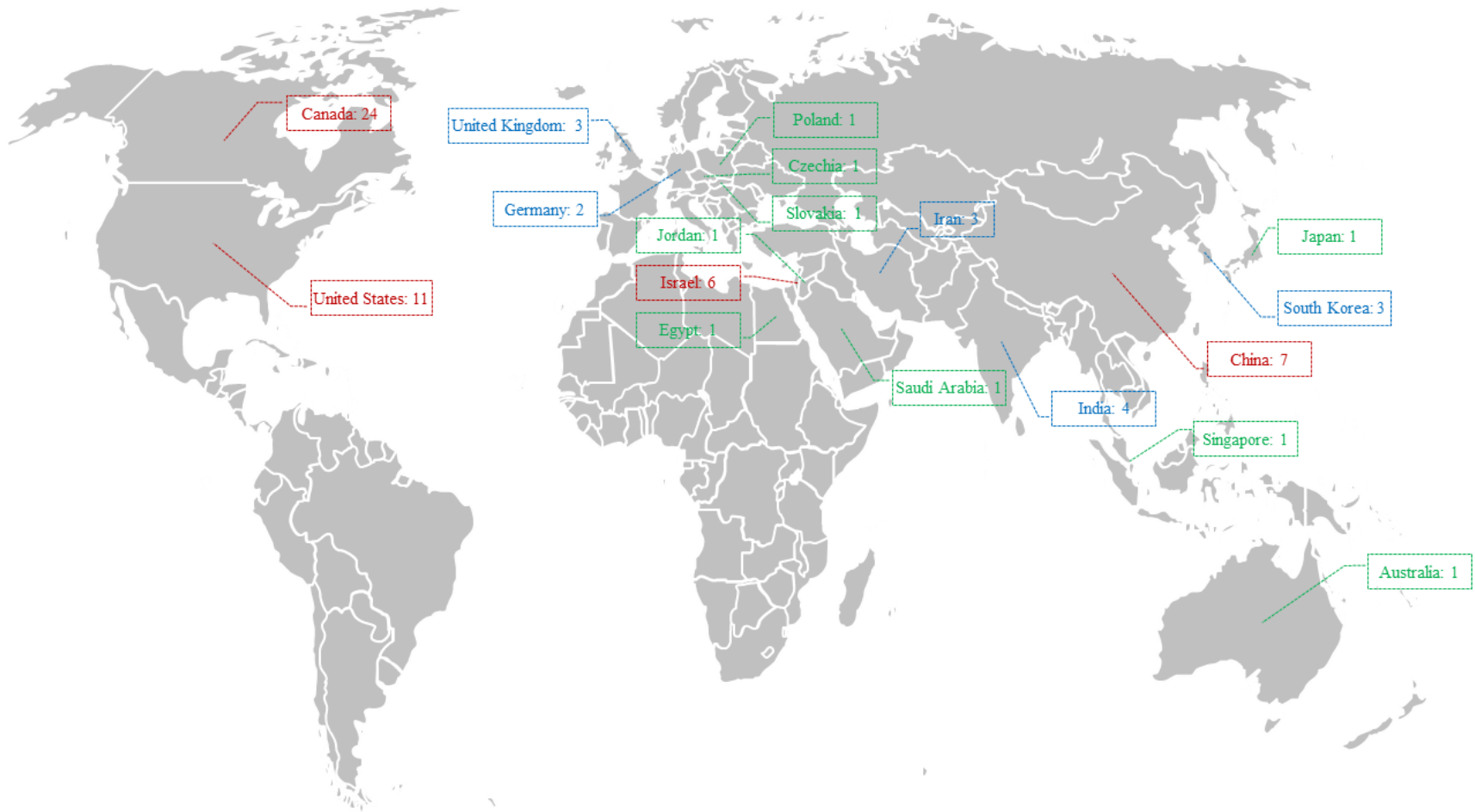
944 Figure 5 Examples to illustrate the developed system boundary model



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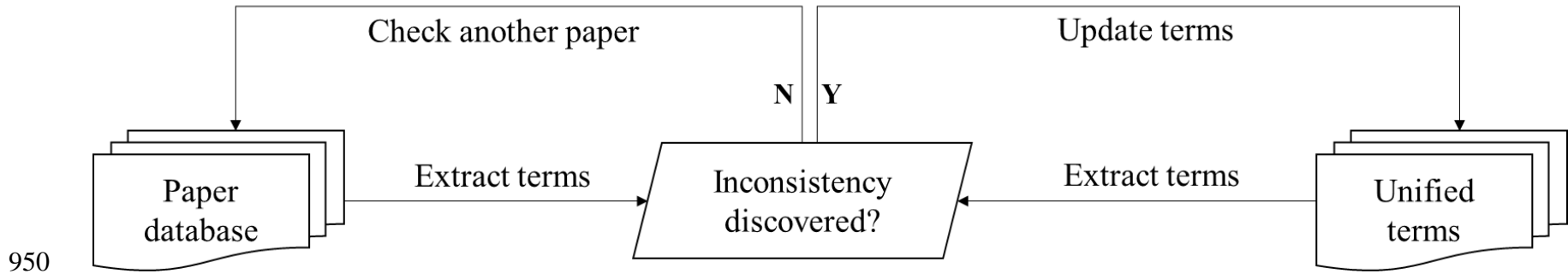
946 Figure 6 Publications' trend on crane selection for building construction

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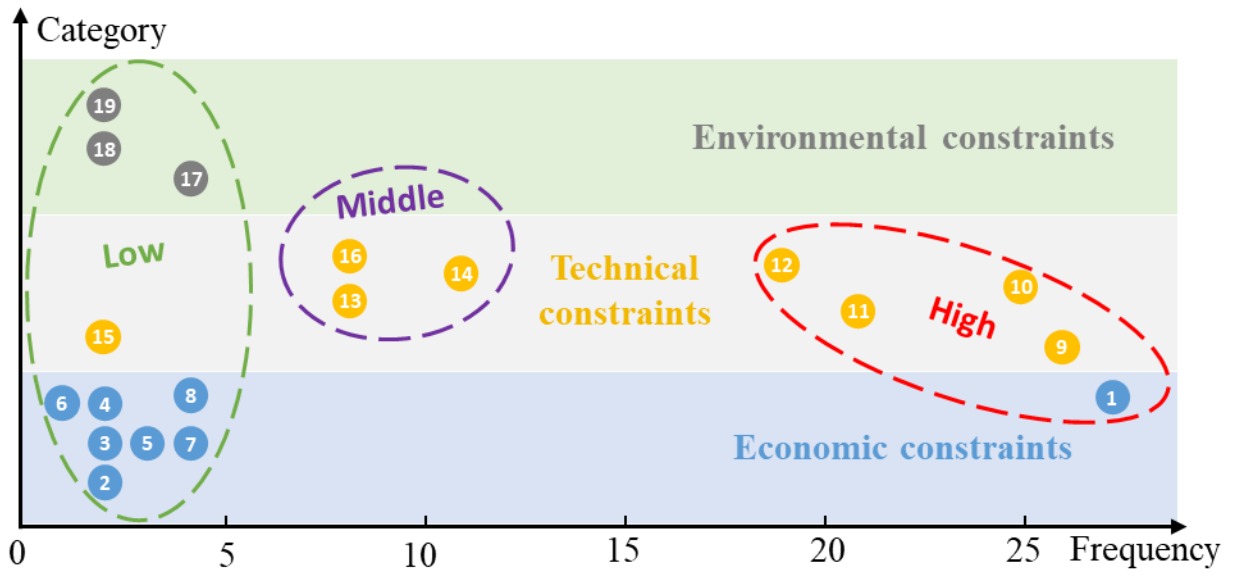
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949 Figure 7 Geospatial distribution of crane selection research



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951 Figure 8 Cyclic unification philosophy to unify terms



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953 Figure 9 The quantitative grouping results of crane selection constraints

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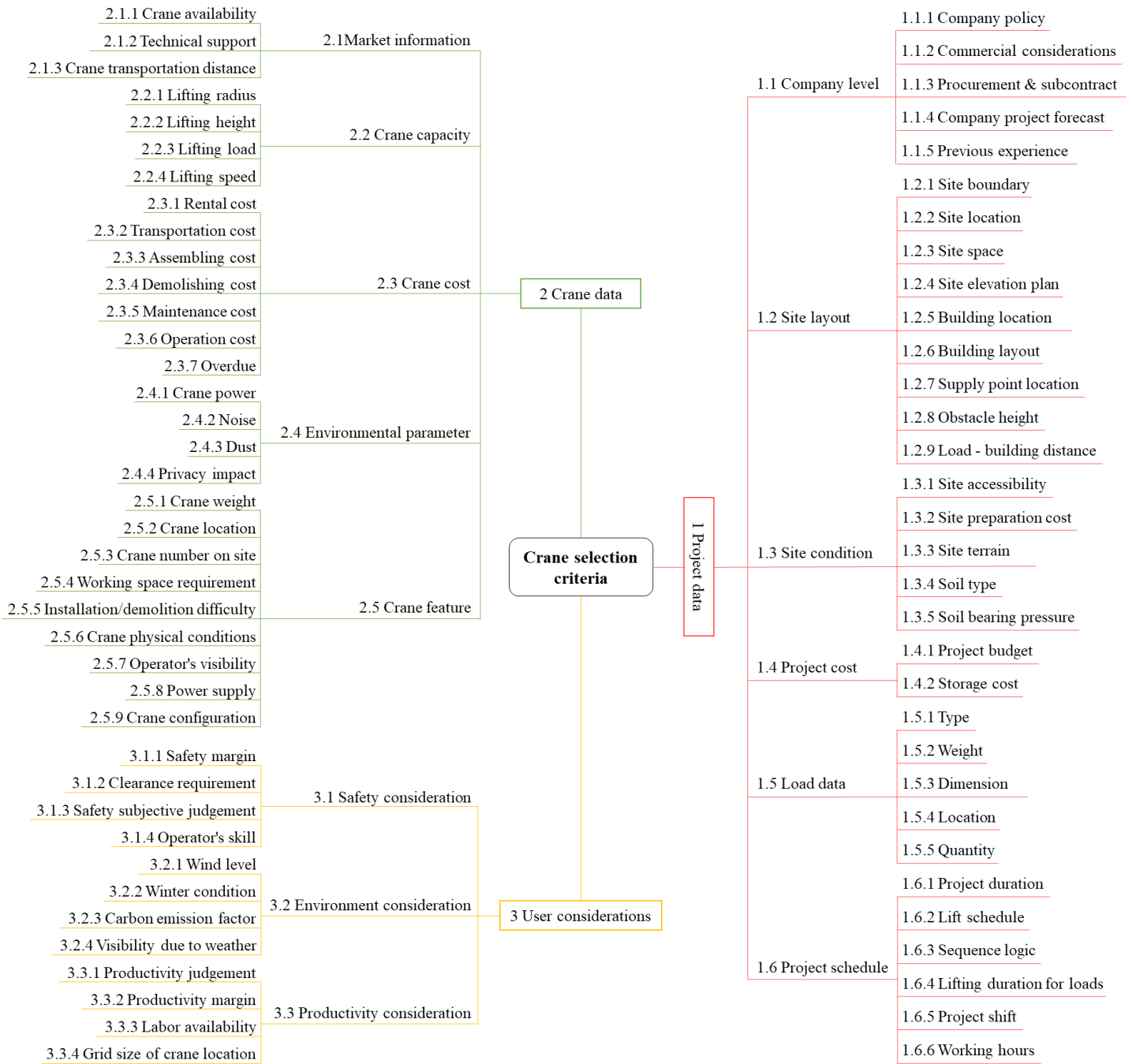


Figure 10 A three-layer data tree to present crane selection criteria



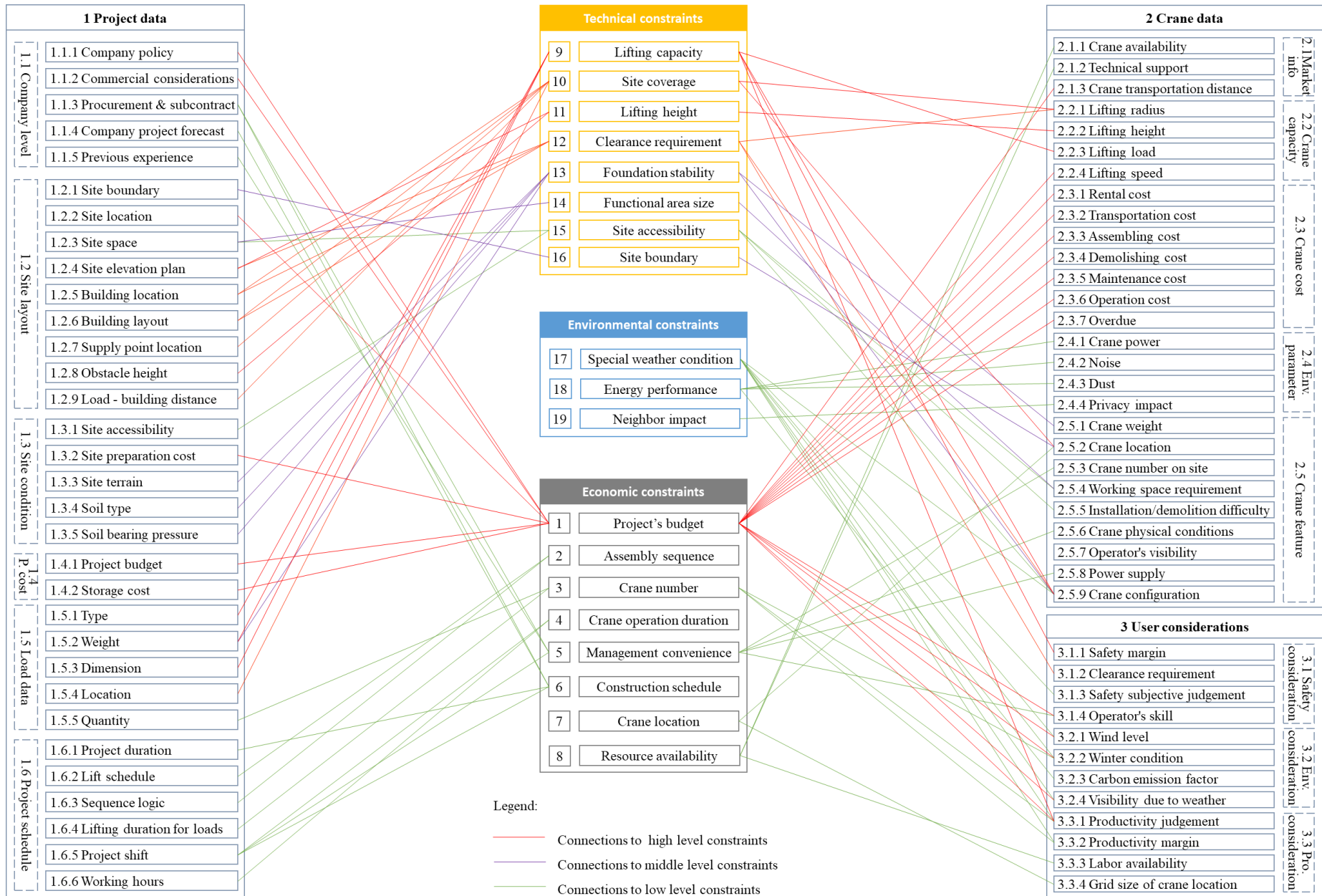


Figure 11 Relationships between crane selection constraints and criteria

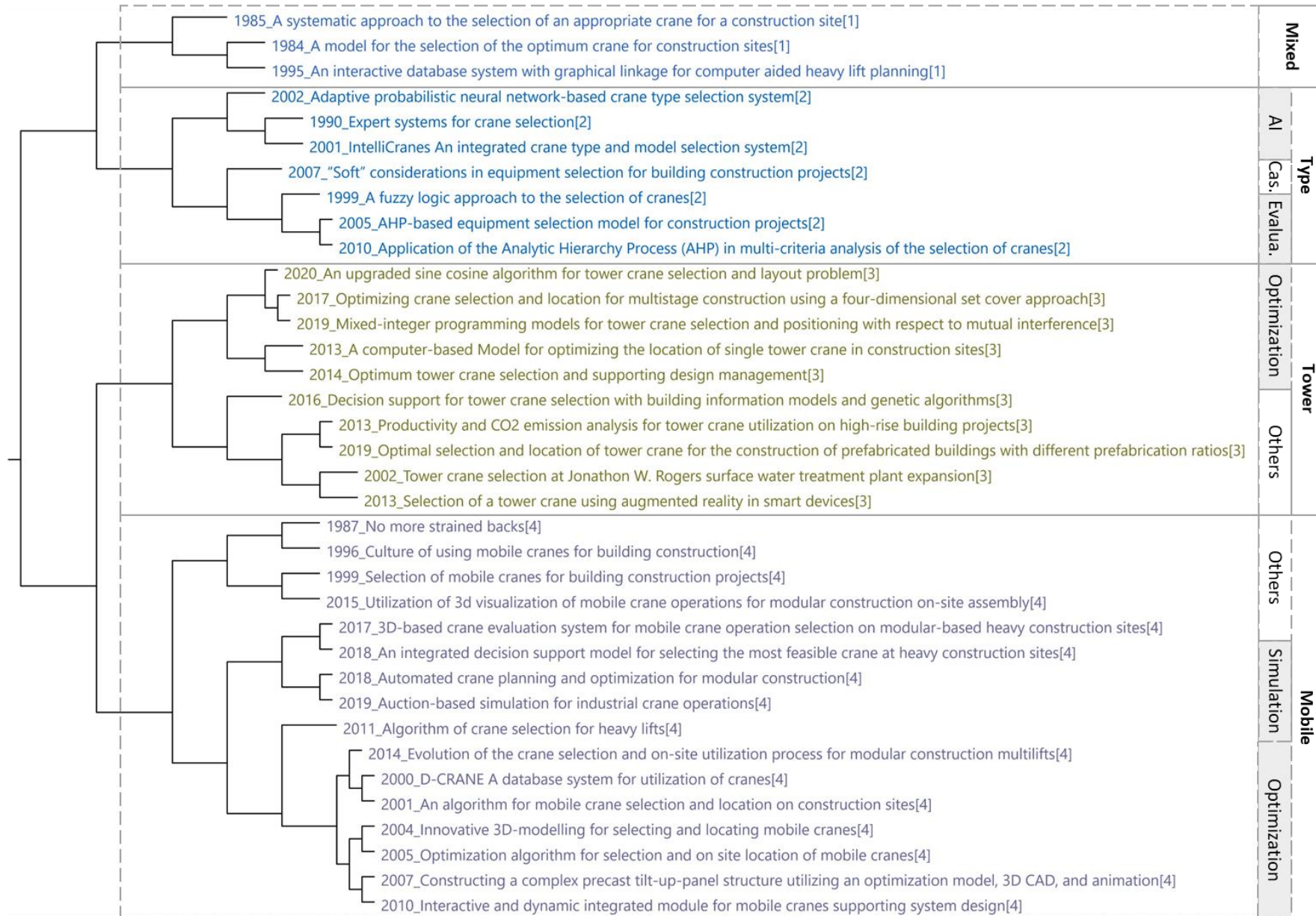


Figure 12 Cluster analysis to explore the best selection method for crane selection problem (\*cas. = case studies; evalua. = evaluation methods)

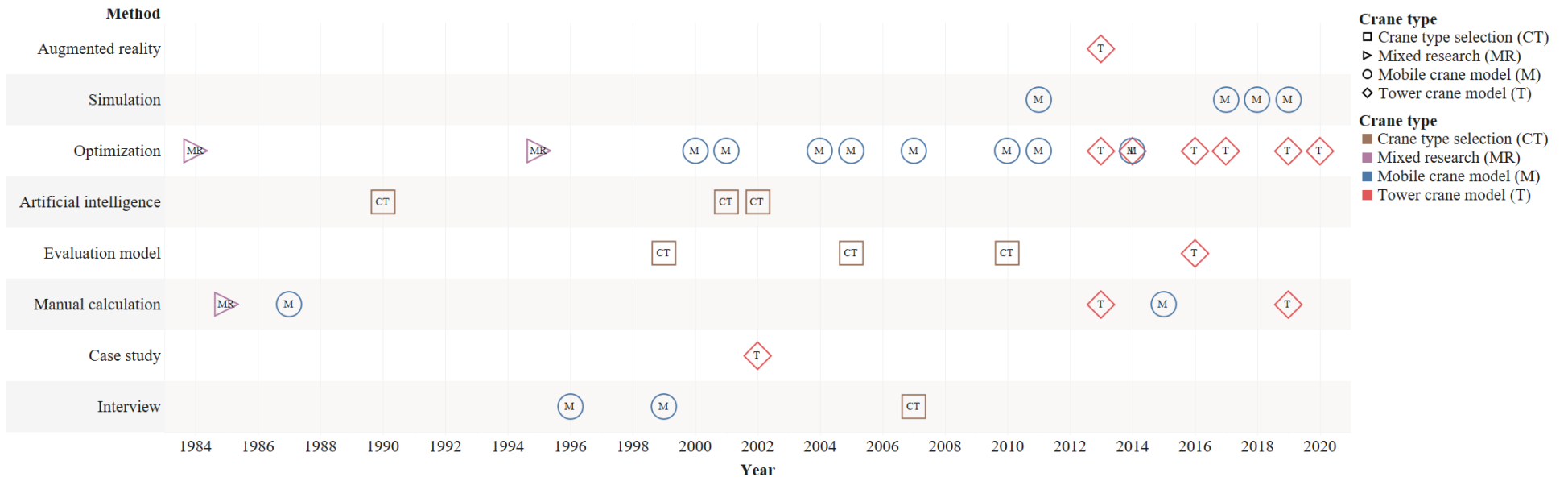


Figure 13 Temporal distribution of crane selection research

1 **List of Tables**

2 Table 1 Distribution of research sources

<b>Name of research sources</b>	<b>Number of articles</b>
Journal of Construction Engineering and Management	8
Automation in Construction	7
Construction Management and Economics	5
Advanced Materials Research	1
Buildings	1
Canadian Journal of Civil Engineering	1
Civil Engineering	1
Construction Innovation	1
Engineering, Construction and Architectural Management	1
European Journal of Operational Research	1
International Journal of Advanced Robotic Systems	1
International Journal of Civil, Structural, Construction and Architectural Engineering	1
International Journal of Engineering Management and Economics	1
International Review of Applied Sciences and Engineering	1
Jordan Journal of Mechanical & Industrial Engineering	1
Journal of Computing in Civil Engineering	1
Journal of Engineering Science and Technology Review	1
Journal of Image Processing & Pattern Recognition Progress	1
Journal of Management in Engineering	1
Journal of Structural Engineering (Madras)	1
Omega (United Kingdom)	1
Periodica Polytechnica Civil Engineering	1
Practice Periodical on Structural Design and Construction	1
Conference	33

3

4 Table 2 Research objects information (B4-B6) of identified cases in Database 2

<b>ID</b>	<b>Project height B4</b>	<b>Project type B5</b>	<b>Crane type B6</b>	<b>Ref.</b>		<b>ID</b>	<b>Project height B4</b>	<b>Project type B5</b>	<b>Crane type B6</b>	<b>Ref.</b>
1	Low	Industrial	Mobile	[37]		14	High	Commercial	Tower	[38]
2	High	Residential	Tower	[39]		15	High	Commercial	Tower	[38]
3	Low	Industrial	Mobile	[6]		16	High	Commercial	Tower	[38]
4	Low	Industrial	Mobile	[40]		17	High	Commercial	Tower	[38]
5	Low	Industrial	Mobile	[40]		18	Low	Residential	Mobile	[41]
6	Low	Industrial	Mobile	[42]		19	Low	Industrial	Mobile	[43]
7	Low	Commercial	Tower	[32]		20	Low	Public	Mobile	[44]
8	Low	Residential	Mobile	[45]		21	Low	Commercial	Tower	[33]
9	High	Residential	Tower	[46]		22	Low	Industrial	Tower	[47]
10	High	Industrial	Mobile	[48]		23	Low	Industrial	Mobile	[20]
11	Low	Industrial	Mobile	[49]		24	High	Industrial	Mobile	[7]
12	High	Commercial	Tower	[38]		25	Low	Public	Tower	[5]
13	High	Commercial	Tower	[38]						

5

6

7 Table 3 SPSS analysis of the relationship between B4 and B6

Variables	B	S.E.	Wald	df	Sig.	Exp(B)	95% C.I. for EXP(B)	
							Lower	Upper
Height_B4(1)	2.398	.983	5.953	1	.015	11.000	1.603	75.502
Constant	-1.012	.584	3.002	1	.083	.364		

8

9 Table 4 SPSS analysis of the relationship between B5 and B6

Variables	B	S.E.	Wald	df	Sig.	Exp(B)	95% C.I.for EXP(B)	
							Lower	Upper
Building_ B5			3.001	3	.391			
Building_ B5(1)	21.203	14210.361	.000	1	.999	16154748 42.851	.000	.
Building_ B5(2)	-2.303	1.449	2.525	1	.112	.100	.006	1.712
Building_ B5(3)	.000	1.732	.000	1	1.000	1.000	.034	29.807
Constant	.000	1.000	.000	1	1.000	1.000		

10

Table 5 A list of constraints for crane selection in existing studies

<b>Categ ories</b>	<b>ID</b>	<b>Constraints</b>	<b>Specifications</b>	<b>Sources</b>	<b>Number of Sources</b>
<b>Economic constraints</b>	1	Project's budget constraint	The determined crane type should be within the allocated budget and should contribute to an economic crane plan.	[1] [2] [17] [5] [6] [7] [20] [32] [37] [38] [40] [41] [43] [44] [47] [48] [54] [55] [56] [57] [58] [59] [60] [61] [3] [62] [63]	27
	2	Assembly sequence constraint	The lifting of loads and modules should be in order.	[37] [40]	2
	3	Crane number constraint	The determined crane number should have the capacity to handle the workloads throughout the project.	[55] [56]	2
	4	Crane operation duration constraint	There would be a maximum working duration of crane per day.	[46] [60]	2
	5	Crane management convenience constraint	The company should have knowledge, experience, and skills to manage and operate the selected crane.	[5] [38] [58]	3
	6	Construction schedule constraint	The selected crane should achieve the schedule requirements of project.	[38]	1
	7	Crane location constraint	Each potential location can accommodate at most one crane.	[55] [56] [58] [63]	4
	8	Resource availability constraint	The determined resources (e.g., crane and its operators) should be available in the market during construction.	[37] [40] [56] [58] [3]	5
<b>Technical constraints</b>	9	Lifting capacity constraint	The weight of the load/module should be less than the lifting capacity of the crane.	[1] [6] [7] [20] [32] [33] [37] [39] [40] [41] [42] [43] [44] [45] [46] [47] [48] [55] [56] [58] [60] [3] [63] [64] [65] [66]	26
	10	Site coverage constraint	The crane layout can reach every demand points.	[1] [6] [7] [20] [32] [33] [37] [39] [40] [41] [43] [44] [45] [46] [47]	25



				[48] [55] [56] [58] [60] [3] [63] [64] [65] [66]	
	11	Lifting height constraint	The feasible crane lifting height should be larger than the maximum project height.	[1] [6] [7] [33] [37] [40] [42] [44] [45] [46] [47] [48] [55] [56] [58] [60] [3] [63] [64] [65] [66]	21
	12	Clearance requirement constraint	A minimum clearance requirement should be met to avoid collisions during crane operations.	[1] [6] [7] [20] [33] [37] [40] [41] [42] [43] [44] [45] [48] [56] [58] [63] [64] [65] [66]	19
	13	Foundation stability constraint	The ground pressure should be calculated to guarantee safety and stability of crane from its installation to operation. The slope condition should be also checked.	[33] [45] [48] [56] [58] [3] [65] [66]	8
	14	Functional area size constraint	There would be a minimum spatial requirement for performing some activities (e.g., crane installation and demolishing).	[20] [32] [33] [37] [40] [41] [43] [44] [56] [63] [64]	11
	15	Site accessibility constraint	The selected crane could access the assigned areas and locations.	[33] [65]	2
	16	Site boundary constraint	The crane locations should be always within the site.	[1] [6] [40] [42] [46] [55] [56] [65]	8
Environmental constraints	17	Special weather condition constraint	The selected crane should consider the influence of special weather conditions such as winter season, wind, and fog.	[6] [38] [47] [66]	4
	18	Energy performance constraint	The crane with less energy consumption should be appreciated.	[6] [60]	2
	19	Neighbor impact constraint	The selected crane should have acceptable/minimal impact (e.g., dust, noise, privacy) on its surrounding environment.	[6] [38]	2

1 Table 6 Selection methods adopted in existing studies

Category	Methods		Sources
Qualitative techniques	Interview		[4] [38] [54]
	Case study		[47]
Quantitative techniques	Optimization	Problem-specific heuristic	[7] [20] [41] [43] [44] [48] [58] [61] [3] [64]
		Metaheuristics	[32] [46]
		Mathematical methods	[1] [55] [63]
	Simulation	3D simulation	[6] [42] [48]
		4D simulation	[37] [40]
	Evaluation model	AHP model	[2] [32] [59]
		Fuzzy theory	[5]
	Artificial intelligence	Artificial neural networks	[33] [65]
		Expert system	[57] [65]
	Manual calculation		[39] [45] [56] [60] [66]
	Augmented reality		[67]

2

3 Table 7 Selection difference between tower and mobile cranes

<b>Different items</b>		<b>Tower cranes</b>	<b>Mobile cranes</b>
Evaluation focuses	Lifting capacity	Easy to consider the constraint	Complicated to consider
	Lifting coverage	Easy to consider	N/A
	Clearance requirement	Easy to consider	Complicated to consider
Ground condition Considerations	Ground space	Needs adequate operation space	Needs adequate move and operation space
	Ground bearing capacity	Can operate where ground conditions are poor	Requires good ground conditions
	Ground slope	Feasible on steep slopes	Feasible on slight slopes
Integrated factors	Crane location	Being integrated	Being integrated
	Crane operation	N/A	Being integrated

4