1	Defect-based building condition assessment
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3	Faisal Faqih ^{a*} and Tarek Zayed ^b
4	^a Department of Building & Real Estate, The Hong Kong Polytechnic University, Hong Kong;
5	*Corresponding Author Email: f.faqih@connect.polyu.hk
6	^b Department of Building & Real Estate, The Hong Kong Polytechnic University, Hong Kong;
7	Email: tarek.zayed@polyu.edu.hk
8 9	ABSTRACT: Building defects accelerates the deterioration of building condition leading to more
10	frequent repairs with increased operating and maintenance costs up to 4% or more of total
11	construction cost per annum. Building condition assessments are carried out in order to identify
12	defects and evaluate health status of building. However, existing assessment models are subjective,
13	time consuming and tedious. To address the need for more objective and expeditious condition
14	assessment this paper proposes a novel defect-based condition assessment model for existing
15	concrete buildings considering both building physical and environmental condition. In order to
16	deduce weighting coefficients for building defects Analytic Network Process (ANP) was used
17	while severity of building defects is assessed using a grading scale. To incorporate uncertainty in
18	judgement of inspection personnel, fuzzy membership functions were used to ascertain degree of
19	belief in assessment. Evidential reasoning algorithm was used to aggregate and integrate different
20	types of defects and to compute the overall condition assessment of building. This model is limited
21	to concrete buildings only. The proposed model is implemented on BIM platform for exchange of
22	information and better documentation during inspection. Proposed model was tested on a case
23	study building and results were promising with organized inspection data management on a
24	common BIM platform with potential to expedite inspection process while managing large amount
25	of inspection data on handheld tablet.
26	Keywords: building condition assessment; analytic network process; fuzzy set theory;

27 evidential reasoning; building information modelling.

28 1.0 INTRODUCTION

29 Degradation of building is inevitable due to detrimental actions from improper use, external factors 30 such as weather, wear and tear and inadequate maintenance. It is important to understand different 31 types of defects which influences the overall condition of the building. Through periodic building 32 condition assessment, it is possible to intervene at the early stage of building degradation. 33 According CIB-W086 Building Pathology (2013) report, building defects accelerates the 34 deterioration of building condition resulting in more frequent repairs with increased operating 35 costs up to 4% or more of total construction cost per annum in addition to social and environmental 36 costs. Identifying building defects accurately through structured condition assessment before they 37 become worse will help reducing the need for maintenance and repair of building components 38 which can help to extend the service life of existing buildings (Paulo, Branco, & de Brito, 2014). 39 Condition assessment of buildings is typically physical inspection and diagnosis of health of 40 building. Building condition assessment is generally conducted to assess the current state of a 41 building and estimate the extent of its deterioration (Silva & de Brito, 2019). It is important to 42 interpret building defects accurately and with adequate objectivity to obtain an accurate building 43 condition assessment. According to Bernat and Gil (2013), appropriate building inspection and a 44 maintenance plan are required for safety of building during its service life. Condition assessment 45 of building occurs at component level (Donald R. Uzarski, Grussing, & Clayton, 2007) and further 46 each component ratings are aggregated and rolled up to arrive at building condition assessment. 47 Building deterioration has negative consequences from an economic and environmental point of 48 view. There is a growing necessity to extend the service life of existing buildings from economic 49 and sustainability point of view (Alba-Rodríguez, Martínez-Rocamora, González-Vallejo, 50 Ferreira-Sánchez, & Marrero, 2017; Amaral & Henriques, 2013).

51 Visual inspection complimented with non-destructive technology will help to reduce subjectivity 52 and human errors during inspection and also reduce mistakes in overall assessment of the building 53 (Faqih, Zayed, & Soliman, 2020). Due to complex inter dependency between building components 54 and systems governed by intended design of the building the condition of a building may vary 55 from one individual component to another (D.R Uzarski, Hicks, & Zahorak, 2002). The 56 deterioration of a building reduces its ability to perform its intended function (Marcel et al., 2013), 57 while environmental deterioration influences the comfort and health of building occupants 58 (Heinzerling, Schiavon, Webster, & Arens, 2013). Existing building condition assessment models 59 are time consuming and lack objectivity hence there is a need for development of better assessment 60 models supported by objective diagnosis providing expeditious assessments (Faqih & Zayed, 61 2021; Ferraz, Brito, Freitas, & Silvestre, 2016). In addition, managing large amount of building 62 inspection data using conventional tools such as spreadsheets or hard paper copies is inefficient, 63 tedious and sometimes error prone. To address the need for a comprehensive building condition 64 assessment, this paper presents a novel defect-based condition assessment model for existing 65 buildings considering both building physical and environmental condition in same model.

66 2.0 RESEARCH OBJECTIVES

To address the gaps in existing building condition assessments this study presents a defect-based
building condition assessment model for integrated physical and environment assessment of
existing buildings. The primary objective of this study are as follows:

- Design a defect-based integrated building condition assessment model to assess physical
 and environmental building defects using different non-destructive technologies.
- Develop a software program which can be used on field in a portable windows tablet for
 centralized data input and management of building inspection data.

• Test and implement proposed defect-based condition assessment model on a case study

75 **3.0 RESEARCH BACKGROUND**

76 Building stakeholders conduct condition assessment to identify the current state of the building. 77 Effective building condition assessment is therefore vital to ensure the safety and sustainability of 78 existing buildings. Building condition assessment reports are often used for decision making and 79 budget allocation for maintenance, repair and rehabilitation for existing buildings (Fagih & Zayed, 80 2021). After reviewing literature about existing condition assessment models (Amani, Nasly, & 81 Samat, 2012; CHAN & Hung, 2015; HHSRS, 2005, 2006; Kuijper & Bezemer, 2017; National 82 Forum on Education Statistics., 2012; Pedro, Paiva, & Vilhena, 2008; Straub, 2009), the authors 83 have not found a comprehensive building condition assessment model that considers both physical 84 as well as environment of the building together. The aim of this study is to develop a defect-based 85 condition assessment model considering both physical and environmental defects to provide a 86 comprehensive condition assessment of building that can help building stakeholders in decision 87 making for repair, maintenance and rehabilitation. Existing physical building inspection are 88 generally dependant on visual observation, which can lead to subjective results dependant on 89 experience, training and perception of the inspection personnel (Anuar et al., 2019; Faqih & Zayed, 90 2021; Hegazy, Attalla, & Ahluwalia, 2010; Silva & de Brito, 2019; Straub, 2002). The limitation 91 of existing building condition assessment models is that they do not assess the comprehensive 92 safety of the building, which will require more in-depth inspection (Anuar et al., 2019; Ferraz et 93 al., 2016; Vilhena, Pedro, & Brito, 2011). Building condition and its indoor environment changes 94 over time. It is important to evaluate building by measuring substantial changes in its condition 95 that could impact building performance as well as to assess maintenance requirements (Abbott,

McDuling, Parsons, & Schoeman, 2007). The safety and health of building user is affected by
malfunction of building elements and adverse indoor environment.

Building defect can be defined as "a fault, or deviation from the intended level of performance of a building or its parts" as per ISO 15686-1:2000(E). Building defects generally are inevitable and occur in different forms with various severity in different buildings irrespective of building age (Buildings Department, 2002; Yacob, Ali, & Peng, 2016). Physical condition of a space inside the building can be characterized by physical building defects, while environmental condition of a space inside the building is characterized by environmental factors that influence them.

104 **3.1 Physical Defects of Buildings**

105 Building user safety, comfort, convenience and health are affected by a malfunction of any 106 element, component, or part of a building. Generally, the design of building governs the inter-107 relationship between individual building elements with entire building. It is important to know 108 different defects that influence the building condition in order to assess the correct building 109 diagnosis during building inspection (Faqih et al., 2020). Minor defects can become serious defects 110 if they are not promptly rectified, which can lead to failure that is more difficult to remedy 111 (Ahzahar et al. 2011). It is also possible that one type of defect can cause another type of building 112 defect. Several studies have noted most common defects in concrete buildings are spalling of 113 plaster finishes, seepage of rainwater, cracks in structural members, non-structural cracks in 114 plasters and tiles, and faulty finishes (Bortolini & Forcada, 2018; Chong & Low, 2006; Haryati, 115 Kharizam, Zainol, & Othman, 2016; Hassan, Ismail, Isa, & Takim, 2011; Kian, 2004; Marshall, 116 Worthing, & Heath, 2013; Othman, Jaafar, Harun, & Ibrahim, 2015; Suffian, 2013).

117 Visually inspecting spalling of concrete, cracks in beams, columns and extensive deformation of118 beams, leakage and dampness in the building is still one of the easiest and most reliable methods

119 of assessing the condition of building (D'Aloisio, 2017). Visual inspection is one of the quickest 120 and cheapest non-destructive inspection techniques, however, it is also very subjective and highly 121 dependent on the competency and experience of inspection personnel. The tapping hammer test is 122 used to detect the spalled de-bonded concrete or tiles on walls. Defects can be identified by 123 listening to the 'void' sound created when tapping the hammer (D'Aloisio, 2017). The hammer 124 tapping test is a comparatively cheaper alternative to other NDT tests. Cracks are often the most 125 common building defects visible which can be measured using simple crack width scale (Stawiski 126 & Kania, 2018). The moisture meter is used to measure the water content of building elements, 127 such as roofs, drywalls, plaster, timber, tiles. Often, walls and ceilings have water seepage, which 128 affects the building element causing dampness and peeling off of paint or plaster. Drywalls, wood, 129 plaster and painting are easily damaged by moisture. A standard guide for the evaluation of the 130 moisture condition of concrete, gypsum, or other floor slabs using electronic moisture meter can 131 be found in ASTM F2659 (2015).

132 **3.2 Environmental Defects of Buildings**

133 Environmental factors are not defined as defects as certain level of concentration is almost always 134 present in the environment, only when they exceed the safe limit and affect human comfort, they 135 can be considered as environmental defects as their concentration exceeds the desired level 136 analogous to physical defects. Good indoor environment quality ensures the comfort, well-being 137 and health of building users. Certain level of concentration is almost always present in the 138 environment, only when they exceed the safe limit and affect human comfort, they can be 139 considered as environmental defects as their concentration exceeds the desired level analogous to 140 physical defects. Four main categories of environmental factors which influence the environmental 141 condition of the building are indoor air quality (IAQ), thermal environment, acoustics, and lighting (Faqih et al., 2020). People spend a large part of their lives inside buildings hence there is a large influence of indoor environments quality on health and well-being of building occupants (World Health Organization, 2010). Poor indoor air quality may also become cause of sickness, discomfort, and low productivity at workplace (Al Horr et al., 2016). Table 1 shows environmental factors namely Indoor Air Quality (IAQ), thermal environment, acoustics, and lighting that influence the environmental condition of the building and their main sources of emissions and the effects on the health of building occupants.

149

[Table 1 near here]

150 Both physical and environmental condition is considered to be important to evaluate 151 comprehensive building condition. Although a wide variety of building defects affect building 152 condition, however for simplicity of this study common defects were selected such as structural 153 cracks, spalling of concrete, corrosion of steel and water seepage. These defects are very common 154 in concrete buildings and affect the safety, function and appearance of building. Similarly, for 155 environmental condition assessment following factors were selected temperature & humidity that 156 affects thermal condition; light intensity; noise level that affects acoustics; carbon dioxide, carbon 157 monoxide, nitrogen dioxide, formaldehyde and volatile organic compounds that affects the indoor 158 air quality.

Different types of detectors and monitors are available for indoor air quality measurement. Many handheld instruments cover almost all types of gas detection for indoor air quality, such as carbon dioxide, carbon monoxide, nitrogen dioxide, particulate matter PM2.5 and ozone. A luminance meter is used to measure the intensity of light in terms of Lux. A thermometer is used to measure the temperature, while a relative humidity meter is used to measure relative humidity in percentage. 164 Generally, handheld instruments can measure both temperature and humidity. Noise is measured165 using a sound level meter in decibels.

166 4.0 BACKGROUND OF RESEARCH METHODS

167 **4.1 Fuzzy Set Theory**

168 Fuzzy logic was first introduced by Lofti Zadeh (1965) and the term fuzzy refers to information 169 that are not clear or are vague. Many real-world problems require to have results based on objective 170 manner however, human judgement based on the information available which are often inaccurate, 171 incomplete or have uncertainty makes decision making more complex. Hence the concept of fuzzy 172 logic fuzzy logic provides a very valuable flexibility for reasoning similar to human reasoning in 173 many ways while considering uncertainties and inaccuracies of the situation. Lofti Zadeh 174 introduced the concept of membership function that characterize fuzziness i.e. all the information 175 in a fuzzy set whether the elements in the fuzzy set are discrete or whether they are continuous and 176 they represent the degree of truth in a fuzzy logic system (Jezewski, Czabanski, & Leski, 2017). 177 A classical set is a collection of distinct objects with crisp values and they contain objects that 178 satisfy exact membership properties. Hence a classical set can be defined as the set with certain 179 defined boundaries without uncertainty while fuzzy set can be defined as a set with vague 180 boundaries due to uncertainty in its properties. We can understand fuzzy sets in the context of set 181 membership which allows partial membership which means that it contains elements that are 182 varying degrees of membership in the set. The membership function symbolizes the mathematical 183 representation of membership in a set which is represented as shown below.

184

$$\mu_A(x) \in [0,1] \tag{1}$$

185 where $\mu_A(x)$ = degree of membership of element x in a fuzzy set A.

186 Consequently $\mu_A(x)$ is a value on the unit interval that measures the degree to which element x 187 belongs to fuzzy set A i.e. $\mu_A(x)$ = degree to which $x \in A$ (Ross, 2010).

188 To deduce useful information from vague situations, the uncertain information in a universe set is 189 transformed into fuzzy sets. All information contained in a fuzzy set is described by its membership 190 function. Since the output is desired to be single crisp number, the fuzzy set after evaluation needs 191 to be defuzzified. The conversion of a fuzzy quantity to a precise quantity is called defuzzification similar to the conversion of a precise quantity to a fuzzy quantity is called as fuzzification. Several 192 193 methods are available for defuzzification of fuzzy output functions. The weighted average method 194 is the most commonly used in defuzzification because it is considered to be one of the most 195 computationally efficient methods (Ross, 2010). Fuzzy membership functions were utilized in 196 proposed model since physical and environmental condition are two different types of assessment 197 with fuzzy attributes.

198 **4.2 Analytical Network Process (ANP)**

199 Analytic Network Process (ANP) is a method for Multi criteria decision making methods 200 (MCDM) developed by Thomas Saaty that can provide a comprehensive structure to integrate 201 measurements for tangible criteria and derive priorities for intangible criteria for better decision 202 making (Saaty, 2005; Saaty & Sodenkamp, 2010). ANP accounts for interdependencies and 203 interactions between elements and alternatives with optional hierarchical structure (Saaty, 2004a, 204 2004b). Generally users of multiple criteria decision making methods simplify the complex 205 problem into hierarchy structures composed of goal, criteria and alternatives however, multi-level 206 hierarchy decisions may significantly differ from those decisions obtained from a network (Saaty, 2016). 207

208 The first step in ANP is to define set of hierarchies or network followed by pairwise comparison 209 which can be based on questionnaire survey response from industry experts. Using pairwise 210 comparison from the experts, unweighted pairwise matrix is formed. Next step is to calculate 211 weighted super matrix to incorporate interdependencies among the elements. Weighted super 212 matrix is further multiplied with itself until limiting super matrix is achieved giving final priorities 213 are attained. The pairwise comparison matrix is composed of elements displayed on a numerical 214 scale and decision makers give element values based on their experiences and expertise. When 215 evaluating relative importance between different assessment criteria and degrees of severity of 216 building defects inspection personnel often make judgement based on their experience, expertise 217 and intuition. Multi criteria decision making methods (MCDM) can be helpful in decision making 218 especially when information available in building condition assessment are incomplete and 219 uncertain due to inherent human judgement.

220 4.3 Evidential Reasoning

221 During visual inspection of buildings often human judgements are characterized by varying 222 degrees of uncertainty, inaccurate and incomplete information. To address this and yield adequate 223 assessments evidential reasoning can be helpful. Evidential reasoning is based upon Dempster-224 Shafer theory of evidence (Dempster, 2008; Shafer, 1976, 2016) also called Dempster-Shafer 225 theory or evidence theory, is prominently used to handle uncertainty in information. This theory 226 was first proposed by Dempster and then further developed by Shafer. Building diagnosis is 227 essential task during condition assessment to deduce explanations for a set of observation. These 228 observations can be initial symptoms of building defects. The symptoms observed can be 229 considered as evidence for a particular defect and thus evidence theory can be used for diagnosis. 230 (Kohlas, 1996).

The evidential reasoning approach uses an expanded decision matrix, in which every attribute of alternative is described by a distributed assessment using a belief structure. To represent the assessment of an alternative against a criterion, belief structure is used as a distributed assessment using belief degrees. The evaluation of an alternative on a criterion can be measured by exact data or uncertain data. To represent uncertainty belief structure is utilised. If evaluation can be assessed precisely without any doubt, then decision matrix can be termed as special case of belief decision matrix (Xu, 2012).

238 In case these is absence of data availability for assessment, such case can be represented by belief 239 structure that has sum of total belief degrees as zero. In case of partial data availability for 240 assessment, the sum of total belief degrees in the distributed assessment for that attribute will be 241 between 0% and 100%. While precise data can be termed as special cases of belief structures when 242 all degrees of belief in each belief structure are either 1 or 0 provided that the sum of degree of 243 belief in each belief structure is 1. Evidential reasoning algorithm for assignment of degrees of 244 belief to different element and aggregate the outcome were referred from (Jian-Bo Yang & Dong-245 Ling Xu, 2002; Xu, 2012).

246 **5.0 RESEARCH METHODOLOGY**

The methodology adopted for development of proposed defect-based building condition assessment model is shown in Figure 1. Proposed model of building condition assessment is comprised of two types of assessment physical condition assessment and environmental condition assessment. Physical building defects and environmental factors that influence the condition of the building are determined. A questionnaire survey was carried out to evaluate pairwise comparison of physical and environmental defects. This pairwise comparison was further used to compute 253 relative weights of defects using Analytic Network Process (AHP) incorporating interdependence 254 of defects. To incorporate uncertainty in judgement of inspection personnel fuzzy set theory and 255 evidential reasoning algorithm were used. Fuzzy membership functions were developed using 256 defect thresholds for both physical defects and environmental thresholds derived from guidelines 257 and codes of practices. Using condition grading scale and environmental instrument readings with 258 their corresponding fuzzy membership functions appropriate degree of belief is calculated to assess 259 severity of defects. Degree of belief calculated from fuzzy membership function and relative 260 weights derived from ANP were used as input in evidential reasoning algorithm based on 261 Dempster-Shafer (D-S) theory to compute integrated condition assessment comprised of physical 262 and environmental condition of each room. The final condition rating is represented as a crisp 263 value calculated by the weighted average defuzzification method. The proposed model developed 264 was tested on a building as a case study. To implement the proposed defect-based condition 265 assessment model on a case study building, a plugin software is developed that can run proposed 266 condition assessment model inside Rivet software and utilize BIM model for exchange of 267 information, for better documentation and displaying condition assessment results in graphical 268 format. The data used in this research was obtained from questionnaire survey, previous research, 269 building inspection data and environmental condition data. Finally, this study is concluded with 270 suggestions and future scope of research.

271

[Figure 1 near here]

272 6.0 DEVELOPMENT OF DEFECT BASED CONDITON ASSESSMENT MODEL

The proposed model utilises three theories namely, Fuzzy sets, Analytical Network Process (ANP) and Evidential reasoning. To develop this integrated model that can combine physical and environmental condition into a single rating system, a hierarchy of assessment criteria was 276 developed with two major branches physical condition and environmental condition. Physical 277 buildings is affected by four major condition of existing factors Safety. i.e. 278 Significance/Importance, Function and Appearance of element/components in the building. These 279 four factors were chosen as assessment criteria as it is independent with type of building and 280 applicable to all kinds of building. However, this model is primarily focussed on concrete buildings 281 as common defects related to concrete are only considered. Environmental condition is affected 282 by four major factors i.e. Indoor Air Quality (IAQ), Thermal, Lighting & Acoustics. A new 283 condition scale is used to assesses the severity of defects while ANP is used to determine the 284 relative weights between different defects. This model follows Fuzzy evaluation process composed 285 of fuzzification, aggregation and defuzzification. Using this model every type of space in a 286 building can be assessed for its physical and environmental condition based on the severity of their 287 defects and then aggregated to determine overall condition assessment of the building.

Building components can be grouped according to their function within different systems such as Structural, Mechanical, Electrical and Plumbing which together forms the core physical assets of the building. A well-constructed hierarchy that incorporates upstream and downstream relationships will facilitate to focus on the tangible physical assets depending on the function of component from highest level to lowest level. Building stakeholders can use this hierarchy coupled with condition data that can be used as a framework for decision-making for higher up in the organization.

295 **6.1 Relative Weights**

In order to understand relative importance of different factors influencing the building condition, a questionnaire survey method was chosen. To determine pairwise comparison between different criteria a questionnaire survey was conducted among industry experts. Using expert judgement of 299 industry professionals from facility management, building services and health and safety field 300 pairwise comparison was framed. Based on pairwise comparison ANP network model is 301 formulated with hierarchy of defects for assessment. Questionnaire survey was distributed among 302 industry professionals to determine the relative weights between different type of defects and their 303 criteria. Out of total survey respondents 50% were having expertise in facility management, 25% 304 experts were from Health and Safety field, 10% were from building services and 15% were other 305 building professionals. Seventy-five percent survey respondents were based in Hong Kong and 306 25% were based out of Hong Kong. The professional experience of survey respondents with 0-15 307 years were 30%, respondents with 15-20 years were 30%, respondents with 20-25 years were 25% 308 and 15 % respondents were having professional experience of over 25 years. Figure 2 shows 309 questionnaire survey respondent's expertise, location and years of experience.

310

[Figure 2 near here]

Both online and paper-based survey was conducted to generate pairwise comparison from expert opinions using Saaty's Scale. Using pairwise comparison from questionnaire survey response from industry experts pairwise comparison matrix is developed. This pairwise matrix is evaluated using fuzzy AHP to obtain fuzzified weights. Fuzzified Saaty's scale was used for fuzzy AHP calculations. The weights are defuzzifed to get crisp values in the form of Eigen vectors which will be further used to generate super matrix for ANP calculations.

ANP analysis was used to determine relative weightage to be accounted for different category of defects affecting physical and environmental condition of the building. To reduce uncertainty in judgement made by experts in pairwise comparison due to inherent subjectivity and sometimes imprecise decision making in questionnaire survey fuzzy evaluation was used. A new methodology was implemented in this study to determine the weightage of individual factors using Fuzzy evaluation of Eigen vectors, which are to be used for developing super matrix for further ANP analysis. An excel sheet was used to evaluate this pairwise matrix using fuzzy theory by converting pairwise matrix in to fuzzified pairwise matrix using fuzzified Saaty Scale. Crisp relative weights were calculated by defuzzification. Relative weights of each cluster matrix of different criteria and defects were calculated. Using Eigen vector of calculated matrix, a super matrix was generated for further evaluation. Limit super matrix was reached to determine the final relative weights which would be used for further calculation.

329 Figure 3 shows building defects and corresponding relative weights adopted for this study.

330

[Figure 3 near here]

331 6.2 Defect Threshold

332 Based on literature different factors were identified which affects the condition of concrete 333 building. Although a wide variety of defects affect building condition, however for simplicity of 334 assessment common defects were selected such as structural cracks, delamination/spalling of 335 concrete, corrosion of steel and water leakage/seepage. These defects affect the safety, function 336 and appearance of building. Similarly, for environmental condition assessment following factors 337 were selected temperature & humidity that affects thermal condition; light intensity; noise level 338 that affects acoustics; carbon dioxide, carbon monoxide, nitrogen dioxide, formaldehyde and 339 volatile organic compounds that affects the indoor air quality. Defect thresholds were determined 340 from codes of practice and guidelines. These thresholds may differ and can be changed according 341 to codes of practice and guidance updates. Physical building defects are inspected as per proposed 342 defect-based condition assessment model using in visual observation in conjunction with handheld 343 non-destructive instruments such as moisture meter, crack width scale and infrared thermal

344 cameras while environmental condition measured using instruments such as, thermometer, sound345 meter, light meter and air quality meters.

346 **6.3 Fuzzy Membership Function**

Fuzzy membership functions were utilized to incorporate uncertainty in assessment as well as provide a common assessment platform since physical and environmental condition are two different types of assessment. Condition grading scale were defined as fuzzy sets. Severity of defects were deduced from literature to define fuzzy membership functions. Only triangular distribution was used for each linguistic grade. The severity of defect was distributed over the condition grading scale and fuzzy membership functions were created for all defects for assessment. Fuzzy membership functions adopted for this study are as shown in figure 4.

354

[Figure 4 near here]

355 **6.4 Condition Grading Scale**

A grading scale compares the condition of different building components. Grading scales can be represented alphabetically or as a numerical score. A new grading scale to compare the severity of defects and to assesses the condition of different building components was developed. This condition grading scale is represented linguistically with corresponding numerical score. Proposed grading scale is in terms of number ranging from 0-10 and corresponding linguistic description for easy understanding. Proposed grading scale is shown in Table 2.

362[Table 2 near here]

363 6.5 Integrated Condition Assessment Model

364 ANP network model is created with hierarchy of defects for assessment. Questionnaire survey was

365 distributed among industry professionals to determine the relative weights between different type 366 of defects and their criteria. Both online and paper-based survey was conducted to generate 367 pairwise comparison from expert opinions using Saaty's Scale. Using pairwise comparison from 368 questionnaire survey response from industry experts pairwise comparison matrix is developed. An 369 excel sheet was used to evaluate this pairwise matrix using fuzzy theory by converting pairwise 370 matrix in to fuzzified pairwise matrix using fuzzified Saaty Scale. Crisp relative weights were 371 calculated by defuzzification. Consistency index and the consistency ratio were calculated using 372 to check the consistency of the pairwise comparison of each matrix respectively.

Relative weights of each cluster matrix of different criteria and defects were calculated. Using Eigen vector of calculated matrix, a super matrix was generated for further evaluation. Limit super matrix was reached to determine the final relative weights which would be used for further calculation. A rating scale to compares the severity of defects to assesses the condition of different building components was developed. This rating scale is represented linguistically with corresponding numerical score.

Evidential reasoning was used to account for the uncertainty accompanied with judgement whilemaking decision to convert the visible symptoms of building defects to condition grading scales.

381 Physical building defects were observed by visual observation in conjunction with handheld non-382 destructive instruments such as moisture meter and infrared thermal cameras while environmental 383 condition was observed using instruments such as, thermometer, sound meter, light meter and air 384 quality meters.

For assignment of degrees of belief to different element and aggregate the outcome, evidential reasoning algorithm from Yang & Xu (Jian-Bo Yang & Dong-Ling Xu, 2002; Xu, 2012) were referred. The degree of belief was extracted from fuzzy membership functions to assign to each 388 detected defect over rating scale with corresponding relative weights earlier deduced from ANP.
389 The degrees of beliefs of each of the defects were multiplied by their corresponding weights to get
390 the basic probability assignments as shown in equation 2 while the remaining probability mass that
391 remained unassigned to any of the condition scales was calculated as shown in equation 3.

$$m_{n,i} = \omega_i \beta_{n,i} \quad \text{where } n = 1, \dots, N \tag{2}$$

393
$$m_{H,i} = 1 - \sum_{n=1}^{N} m_{n,i} = 1 - \omega_i \sum_{n=1}^{N} \beta_{n,i} \text{ where } n = 1, \dots, N$$
(3)

where, $m_{n,i}$ = basic probability mass representing the degree to which the assessor evaluates defect from grading scale of 1-10. $m_{H,i}$ = remaining probability mass unassigned to any individual grade after all the N = 10 grades have been considered. ω_i is the respective weight of defect calculated from ANP.

398 Leftover unassigned degrees of belief were redistributed over the condition scale after aggregating 399 all of the defects recursively. The same above steps were applied for each defect group of each 400 component to determine the condition of space/room. The final step was aggregate all the 401 individual conditions to determine the overall condition.

402 Then probability masses $m_{n,l(i)}$ and $m_{H,l(i)}$ can be deduced by combining the basic probability

- 403 masses $m_{n,j}$ and $m_{H,j}$ for all n = 1, ..., N and j = 1, ..., i.
- 404 Hence the recursive evidential reasoning algorithm can be summarized as follows

$$m_{n,I(i)} = K_{I(i+1)} (m_{n,I(i)} m_{n,i+1} + m_{n,I(i)} m_{H,i+1} + m_{H,I(i)} m_{n,i+1}) \text{ where } n = 1, \dots, N \quad (4)$$

$$m_{H,I(i+1)} = K_{I(i+1)} m_{H,I(i)} m_{H,(i+1)} \tag{5}$$

405

$$K_{I(i+1)} = \left[1 - \sum_{t=1}^{N} \sum_{\substack{j=1\\j \neq t}}^{N} m_{t,I(i)} m_{j,(i+1)}\right]^{-1} where \ i = 1, \dots, L-1$$
(6)

406 where $K_{I(i+1)}$ is a normalizing factor in which $\sum_{n=1}^{N} m_{n,i+1} + m_{H,i+1} = 1$

407 also
$$m_{n,I(i)} = m_{n,1}$$
 $(n = 1, ..., N)$ and $m_{H,I(1)} = m_{H,1}$

408 It is important to note that attributes in *E* are numbered arbitrarily that means $m_{n,I(L)}$, 409 (n = 1, ..., N) and $m_{H,I(L)}$ do not depend on the order in which the basic attributes are 410 aggregated. The combined degree of belief β_N is given by equation 7.

411
$$\beta_N = m_{n,I(L)}, \quad where \ n = 1, \dots, N$$
 (7)

412 The remaining unassigned degree of belief β_H after all the *L* basic attributes are assessed is given 413 by equation 8.

414
$$\beta_H = m_{H,I(L)} = 1 - \sum_{n=1}^N \beta_N$$
(8)

415 The incompleteness in the assessment is represented by β_H and when there is no incompleteness 416 in basic assessment $\beta_H = 0$.

For decision making with crisp values after calculating the aggregated degree of belief in terms of percentages the output has to be defuzzified using the weighted average method of the fuzzy set theory. Aggregate of combined degree of belief for all components were defuzzified to compute the overall condition. This overall condition can be used by building stakeholders to assist them in decision making process for budget allocation for maintenance and repair.

422 **7.0 CASE STUDY AND MODEL IMPLEMENTATION**

The proposed defect-based condition assessment model was implemented as a case study. For model implementation block Z building in Hong Kong Polytechnic University campus was selected. To test the developed defect-based condition assessment model for this research, an entire floor was selected of block Z building. This building is divided into two blocks North and South. The selected floor is situated on level 7. This floor has 44 rooms in North block and 37 rooms in South block majority of the rooms are offices and research lab on this floor. In addition, there are
5 staircases, 9 lifts blocks, 4 toilet rooms along with separate electrical rooms and maintenances
rooms for building services.

431 **7.1 BIM model development for case study**

432 A BIM model was developed as a part of model testing and validation. Floor plan drawings were 433 retrieved from facility management office (FMO) of the university. Using 2D AutoCAD drawings as base, 3D BIM model was generated in Rivet 2019 software. The floor plan of 7th floor of Block 434 435 Z building used a case study is shown in Figure 5(a). Figure 5(b) shows 3D BIM model generated using CAD drawings of 7th floor of Block Z of Poly U. To easily identify the rooms during 436 437 inspection each room was color coded and assigned room number. To assign room numbers at 438 desired location tagging function was used in Rivet software. Figure 5(c) shows color coded room 439 number tagging of BIM model in Rivet.

440 [Figure 5 near here]

441 **7.2 Development of BIM based software program**

442 Current practices of using conventional methods of handling data using spreadsheets or managing 443 hard paper copies for storing inspection data is inefficient. Transferring building inspection data is 444 time consuming, tedious and sometimes error prone. To address management of building 445 inspection data Building Information Modeling (BIM) is used and a plugin is developed to 446 integrate the physical & environmental condition assessment with BIM model of facility. To 447 encourage application of BIM for condition assessment and utilize same BIM model environment 448 to manage building inspection data for condition assessment, a Windows based GUI was 449 developed. Autodesk Rivet was used to create BIM model of case study building floor. Rivet was

chosen for BIM model development as it provides API (Application Programming Interface). API
gives user ability to extract building data from BIM model to another program. Microsoft Visual
Studio was used to develop a plugin program with window based Graphical user interface using
C# language.

The defect based integrated condition assessment model discussed earlier was implemented into a Revit plugin software to interact with BIM models of existing buildings using Revit API. This plugin will act a tool during condition assessment process to act as input from user, extract building data from BIM models, store inspection data and pictures, analyze, store and display assessment results in graphical format. Figure 6 shows the system architecture of Revit plugin named Integrated Facility Management (iFM) developed for this study.

460

[Figure 6 near here]

461 **7.3 iFM Graphical User Interface (GUI)**

462 Entire condition assessment process can be carried out using portable windows table installed with 463 iFM plugin in Rivet software and all the inputs can be entered on field followed by quick analysis 464 and result on the field. Figure 7(a) shows windows 10 tablet with BIM model. To provide a user-465 friendly input tool a window based graphical user interface is developed similar to any window 466 layout in PC. This window user interface can be used by user to interact with iFM software to enter 467 their desired input. GUI interface consist of menus bar with icons for different functions. Figure 468 7(b) shows the graphical user interface of iFM plugin running within Rivet software. This window-469 based GUI allows users to input information of project, physical evaluation of defects, 470 environmental instrument readings, attach photos of defects and add comments or remarks if any. 471 This program helps in centralized data input and management of inspection data such as defect 472 severity, images, comments and instrument readings. This program also helps in management of 473 building inspection data for both physical defects and environmental condition on one single474 platform.

475

[Figure 7 near here]

476 **7.4 Physical evaluation input**

477 After checking all the required tools and selection of desired rooms for inspection next step is to 478 input physical evaluation into the application program. Physical defects as per our proposed model 479 can be detected by visual inspection complimented with NDT instruments such as moisture meter, 480 crack width scale and other handheld instruments. Figure 7(c) shows tab for physical evaluation 481 input. In this tab after detecting the building defects, severity of defects can be used as an input. 482 User also has the option to attach a picture of the room with or without defects for record. User 483 can also add comments and remarks about any specific observation during the inspection in the 484 program. This input will be saved in database for future analysis once the user clicks save button 485 in menu bar. This input can be retrieved at any time based on date of assessment under view facility condition which can be assessed using navigation tree in the program. 486

487 7

7.5 Environmental measurement input

488 Similar to physical input tab environmental instrument readings can be used as an input for 489 different readings of IAQ, thermal, lighting and noise level in the program. Handheld 490 environmental instruments such as Air Quality monitoring device for IAQ, temperature and 491 humidity meter for thermal readings, Lux meter for lighting and sound level meter to measure the 492 noise can be used. Figure 7(d) shows tab for environmental instrument measurement readings input 493 in the program. In this tab the instrument readings can be used as an input with their respective 494 units as shown in the program. Along with instrument readings for a particular room user also has 495 the option to attach a picture of the room for record. User can also add comments and remarks 496 about any specific observation during the inspection. This input will be saved in database for 497 further analysis once the user clicks save button in menu bar. This input can be retrieved at any 498 time based on date of assessment under view facility condition which can be assessed using 499 navigation tree in the program.

500 8.0 RESULTS AND DISCUSSION

501 To test and validate the proposed defect-based condition assessment model it is implemented on a 502 case study. After entering all the required physical evaluation input and environmental instrument 503 readings for all the desired tagged rooms next step is to analyse and compute the integrated 504 condition rating of individual tagged rooms and aggregated whole building condition. To compute 505 click Run under Analysis tab of iFM plugin GUI. Figure 7(e) shows graphical presentation of 506 analysis result in the form of bubble diagram plotting the group of tagged rooms where x-axis 507 represents physical condition and y-axis environmental condition. Each bubble represents each 508 type of room in the building with separate colours. User can also view individual condition of a 509 room from view facility condition located in navigation tree. Results are also available in tabular 510 format in the iFM plugin.

511 The case study used in this research of entire floor with of university building was in good 512 condition hence very few defects were detected (e.g., hairline cracks), there was no major cracks, 513 or any spalling and no water seepage was detected. Similarly, the environmental instrument 514 readings were all within permissible limits and in excellent condition. A sample calculation is 515 shown for condition assessment based on ER algorithm in figure 8. Successful implementation of 516 defect-based condition assessment model as proof of concept has shown potential to provide better 517 documentation for building inspection process which in turn can reduce time and cost of inspection 518 compared to traditional paper-based condition assessment.

519 Building information data for desired space to be assessed along with their respective physical 520 inspection data and IAQ data are fed into the developed application program software. Entire 521 building inspection process can be carried out using portable windows tablet installed with 522 application software developed and all the inputs can be entered on field using NDT and 523 environmental instruments. Proposed model is thus implemented in the form of an application 524 software which can be run on any windows-based tablet during inspection process. After 525 completing the condition assessment, one of four decisions can be made: no action, minor repairs, 526 major repairs, or rehabilitation, based on the severity of the defects detected.

527 Defect based condition assessment framework is developed to address the need for comprehensive 528 and structured approach to perform building inspection. Identifying building defects accurately 529 through structured building inspection before they become worse will help reducing the need for 530 maintenance and repair of building components which can help to extend the service life of 531 existing buildings. Defect based condition assessment will also help to reduce subjectivity, human 532 errors during inspection and reduce mistakes in overall assessment of the building. The integrated 533 tool developed as an application program for input from user, store inspection data pictures, and 534 comments during inspection process can transform the traditional field of paper-based building 535 inspection into more efficient and interactive process.

The developed application program which runs within windows environment has potential to improve productivity of inspection personnel by digitally entering inspection data for huge number of building components within large building complex. This program also provides better documentation and data management for building inspection data that can save time involved in manual data operations and transfer in traditional paper-based processes. This program developed for implementation of defect-based condition assessment model for physical and environmental 542 condition can be used by building stakeholders to assist them in decision making process for543 maintenance, repair and rehabilitation of existing buildings.

544 Buildings will deteriorate over time due to ageing, and wear and tear that will reduce the ability of 545 building elements to perform their intended function (Grussing, Uzarski, & Marrano, 2009). The 546 interest and demand of building inspection is increasing due to rapidly deteriorating conditions of 547 building (Alba-Rodríguez et al., 2017; Amaral & Henriques, 2013). However, high cost of 548 inspection and time consumption to inspect existing buildings are deterring factors that restricts 549 building owners and facility managers from adopting periodic condition assessment for decision 550 making in maintenance and repair (Ahluwalia, 2008). Literature review reveals that very few 551 countries have implemented periodic building condition assessment system as a statutory 552 requirement (Bortolini & Forcada, 2018; Faqih & Zayed, 2021; Vilhena et al., 2011). Hence for 553 sustainable management of existing buildings there is a need for cost effective building condition 554 assessment model with reduced subjectivity and expedited processes. There was also lack of 555 comprehensive assessment model that considers both physical and environmental condition of the 556 building together (Anuar et al., 2019; Ferraz et al., 2016; Vilhena et al., 2011). The model 557 developed in this study fills the gap with defect based comprehensive condition assessment of 558 building considering both physical and environmental condition. Conventional building inspection 559 are generally dependent on visual observation, that can lead to subjective results dependent on 560 experience, training and perception of the inspection personnel. Defect based model developed in 561 this study along with visual inspection gives a framework to adopt non-destructive technology for 562 condition assessment. The model also utilizes research techniques such as fuzzy membership 563 functions and evidential reasoning to incorporate subjectivity of inspection which was not 564 addressed in previous research. Although previous research studies have highlighted the need for

565 regular periodic condition assessment and improved the process with use of checklist forms and 566 computer programs (Faqih & Zayed, 2021; Vilhena et al., 2011). In this model BIM platform was 567 used for storing and analysing inspection data during building inspection that also addresses the 568 need for structured data management in building condition assessment process thereby reducing 569 the time and cost of data handling. The defect-based condition assessment model develop in this 570 study is a step forward to reduce the cost and time of building inspection by providing a framework 571 to conduct effective building inspections that can help building stakeholders in decision making 572 for repair, maintenance and rehabilitation.

573 **9.0 CONCLUSION**

574 Ageing and deterioration of buildings are inevitable and challenging tasks for facility management. 575 Facility stakeholders with limited resources are facing increased difficulties in making decisions 576 for budget allocation, maintenance and repair based on condition assessment of building. During 577 building inspection decisions for evaluation of condition are often ingrained with uncertainty of 578 human judgement based on visual inspection only. Multi-storey buildings with increased 579 complexity and multiple assessment criteria demands a more systematic framework for building 580 condition assessment. One of the major challenges during condition assessment of buildings by 581 visual inspection is uncertainty in judgement of inspection personnel due to subjective and 582 sometimes imprecise decision making on site. The proposed defect-based condition assessment 583 model can help to incorporate the uncertainty in judgement of inspection personnel for evaluation 584 and consequently help building stakeholders with robust aggregated building condition in decision 585 making for maintenance, repair and rehabilitation. This paper proposed a novel defect-based 586 condition assessment model for existing buildings incorporating both building physical and 587 environmental condition. The proposed assessment model utilised multiple criteria decision-

588 making methods such as analytic network process (ANP) in order to deduce weighting coefficients 589 for building defects that determines their relative importance. The severity of building defects is 590 assessed using a grading scale to ascertain degree of belief in assessment using fuzzy membership 591 functions. Degree of belief and defects weighting coefficients are used in aggregation model based 592 on Evidential Reasoning theory to integrate different types of defects and to compute the overall 593 condition assessment of building. This study is limited to selected common building defects that 594 influence the condition of the concrete building hence the model developed is limited to concrete 595 buildings only. The framework developed is flexible and can be expanded to incorporate various 596 different types of building defects in future studies. Taking appropriate remedial action based on 597 accurate condition assessment of buildings could reduce time and cost required for major repairs 598 due to propagation of minor defects into major defects.

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Fig. 1. Methodology adopted for proposed model







- Figure 3. Building defects and their relative weights shown in brackets



Fig. 4. Fuzzy Membership functions









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(a) Windows 10 tablet with BIM software (b) Graphical User Interface (GUI) iFM Revit plugin 855





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(c) Input window for physical

(d) Input window for environmental conditions



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(e) Integrated building condition assessment result represented by bubble chart

Fig. 7. Screenshot showing different windows of developed software application program for 860 implementation of defect-based condition assessment model 861



Fig. 8. Sample calculation shown for condition assessment based on ER algorithm

Categories	Factors Affecting	Source	Environmental	Detection	Health effect on	-	
	Environmental condition		defects affecting Human comfort	Instruments or Equipment	building occupants	References	
	Carbon dioxide (CO ₂)	By-product of human exhalation	High Concentration	Carbon dioxide meter	Difficulty in breathing, sweating, tiredness, increased heart rate	IAQMG HKSAR (2019), ASHRAE 62.1 (2016), World Health Organization (2010), World Health Organization (2009), (Al horr et al., 2016; Altomonte, Schiavon, Kent, & Brager, 2019; Faqih et al., 2020; MacNaughton et al., 2017; Mujan, Anđelković, Munćan, Kljajić, & Ružić, 2019; Tham, 2016; Wolkoff, 2018)	
	Respirable Suspended Particulates Matter (RSPM)	Dust, copiers, printers, cigarette smoking	High Concentration	Particulate monitor	Affects respiratory system, Coughing, Allergic effects		
Indoor	Carbon monoxide (CO)	Flue gas of burning stove, diesel car exhaust, cigarette smoking	High Concentration	Carbon monoxide meter	Impaired vision and coordination; Headaches; Dizziness; Nausea at high concentration		
Quality (IAQ)	Nitrogen dioxide (NO ₂)	Flue gas of burning stove, diesel car exhaust, cigarette smoking	High Concentration	Nitrogen dioxide meter	Eye, nose, and throat irritation. Respiratory infections, affects lungs		
	Ozone (O ₃)	Refrigerators, Air conditioners, Copiers, Laser printers	High Concentration	Ozone monitor	Affects respiratory system, Chronic respiratory disease		
	Formaldehyde (HCHO)	Pressed wood products, Paint and Glues	High Concentration	Formaldehyde detector	Affects respiratory system, watery eyes		
	Total volatile organic compounds (TVOC)	Paint, Solvents and aerosol products	High Concentration	VOC monitor	Sensitive and irritation symptom, Nuisance odour, Carcinogenic		

Table 1. Factors affecting environmental condition of buildings and environmental defects

Lighting	Artificial Lighting	Room lighting	High/Low light intensity	Luminance Meter	Visual Discomfort	BS EN 12464-1 (2011), (Huang, Zhu, Ouyang, & Cao, 2012; Ricciardi & Buratti, 2018)
Thermal	Temperature Humidity	Weather conditions / Ventilation / HVAC	High/Low temperature High/Low humidity	Thermometer Relative Humidity Meter	Thermal Discomfort	ASHRAE 55 (2017), ASHRAE 62.1 (2016), IAQMG HKSAR (2019) (Al horr et al., 2016; Kim, de Dear, Cândido, Zhang, & Arens, 2013; Maykot, Rupp, & Ghisi, 2018; Walkoff, 2018)
Acoustics	Noise	Internal or external unwanted sound	High Noise	Sound Level Meter	Acoustic Discomfort	ASHRAE Handbook (2017) (Al horr et al., 2016)

Condition Scale	Linguistic Description
1	Unsafe
2	Undesirable
3	Unsatisfactory
4	Poor
5	Mediocre
6	Fair
7	Satisfactory
8	Good
9	Very Good
10	Excellent/ No Problem

 Table 2. Proposed condition grading scale for assessment