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# Automated Rebar Diameter Classification using Point Cloud Data based Machine Learning

## Abstract

Inspecting the diameter and spacing of rebar is an important task conducted by fabricators and site engineers during the manufacturing and construction stages. This is because the bearing capacity of reinforced concrete structures is affected by the size and position of the rebar, so installing rebar of the correct size and position should be ensured to safeguard the structural integrity of the structure. This study presents a new terrestrial laser scanning (TLS)-based method using machine learning to automatically classify rebar diameters and accurately estimate rebar spacing. To this end, a new methodology, named density based machine model, is proposed to improve classification accuracy. To validate the proposed method, experimental tests on laboratory specimens with rebars of seven different diameters are conducted. The results show that the prediction accuracy for large rebar diameters measuring D25-D40 are up to 97.2%, demonstrating great potential for the application of the proposed technique on manufacturing and construction sites. The key findings of the study are: (1) the proposed DBM method for rebar diameter prediction is superior to the traditional machine learning approach; (2) scan density is one of the most important factors in the prediction results, especially in the small rebar diameter group; and (3) a scan density value of at least 80 pts/mm<sup>2</sup> computed on the cross section plane for a rebar instance with 100 mm length is necessary for successful rebar diameter prediction. It is expected that the proposed rebar diameter and rebar spacing technique will be useful in providing autonomous and accurate rebar inspection in manufacturing factories and on construction sites.

**Key words:** Rebar diameter, classification, machine learning, point cloud data, laser scanning

## 31 **1. Introduction**

32 Inspecting rebar diameters and rebar spacing is important for fabricators and site engineers to  
33 check dimensional compliance with the design model during the manufacturing and  
34 construction stages. This is because the bearing capacity of the reinforced concrete structures  
35 is dictated by the size and position of the rebar. Therefore, rebar of the correct size should be  
36 installed in the correction position, as determined by the blueprints, to ensure the structural  
37 integrity of the reinforced concrete structure. In this regard, dimensional inspection of rebars is  
38 conducted primarily by qualified workers to detect any abnormalities related to rebar diameter  
39 and rebar spacing using measurement tapes. However, this is a time-consuming and labor-  
40 intensive task, so there is an urgent need for automated rebar diameter and rebar spacing  
41 measurement that can save time and increase the reliability of the inspection.

42 Thanks to improvements in 3D sensing technology, several studies have been conducted  
43 into dimensional inspection of prefabricated RC components such as precast slabs [1–5] and  
44 precast girders [6] over the past decade. However, there have been relatively few studies [7-9]  
45 into rebar inspection. Recently, the authors' group proposed a technique that estimates the  
46 dimensions of rebar and formwork using the RANdom SAMple Consensus (RANSAC) [10]  
47 based on terrestrial laser scanning (TLS) approach. However, the prior study assumes rebar  
48 diameters are known prior to use as the input parameters of the RANSAC algorithm, making  
49 the algorithms unreliable due to the manual input. To fully automate the process, rebar  
50 diameters will need to be predicted from raw rebar scan data without any manual input.  
51 However, determining rebar diameters is a challenging task due to the small size of the rebar  
52 and irregular shapes of the rebar surfaces. In addition, diameter prediction in the current circle  
53 fitting methods remains a major challenge in the presence of noise, outliers, distortion, and  
54 missing boundaries in the unregistered scan data [11]. In order to tackle these technical issues,  
55 this paper aims to develop a TLS-based rebar diameter classification technique that  
56 automatically classifies rebar diameters using a machine learning approach. In this study, a new  
57 concept of density-based machine model is proposed and validation tests are conducted to  
58 demonstrate the applicability of the proposed rebar diameter prediction technique. The  
59 uniqueness of the study are (1) the development of a rebar diameter classification technique for  
60 the first time; and (2) successful applicability validation of the proposed technique through  
61 various tests including comparison tests with traditional methods.

62 This paper is organized as follows. Related background of the study and state-of-the-art  
63 studies is detailed in Section 2, followed by explanation of the proposed method and its

64 procedure in Section 3. Next, validation tests and results are presented and interpreted  
65 comprehensively in Section 4. The primary factors affecting the result of the proposed method  
66 are discussed in Section 5. Finally, this study is concluded with a summary and future work.

## 67 **2. Research Background**

### 68 **2.1 Overview of circle fitting methods**

69 There are three main approaches in classical circle fitting, which are 1) geometric, 2) algebraic,  
70 and 3) robust fitting. Geometric fitting minimizes the sum of the squared geometric (orthogonal)  
71 distance from the estimated circle to the given data points. According to [12], geometric fit is  
72 commonly regarded as the most accurate, but it is implemented by iterative schemes that are  
73 computationally intensive and subject to occasional divergence. Another limitation of  
74 geometric fitting is that its accuracy depends on the choice of the initial parameters of center  
75 circle and diameter of the circle [12-13]. Algebraic fitting is a form of non-iterative fitting that  
76 minimizes the approximate (algebraic) distances by determining the constraint of the algebraic  
77 equations based on mathematical law and theory [12]. Algebraic fits are faster than geometric  
78 fitting, which is an advantage for large size point cloud data. Kasa [14] developed a simple and  
79 fast algebraic fitting method that has been used as a basis of many circle fitting methods.  
80 However, Al-Sharadqah and Chernov [15] found that the method introduced by Kasa [14] is  
81 heavily biased toward smaller circular arcs. This limitation was studied by Pratt [16] and Taubin  
82 [17] who each developed a popular method by changing the parameter constraints of the Kasa  
83 fit. Al-Sharadqah and Chernov [15] subsequently developed a method, called ‘Hyper’, which  
84 works by minimizing the algebraic functions associated with the two constraints used by Pratt  
85 [16] and Taubin [17]. On the other hand, Gander-Golub-Strebel (GGS) fit [18] developed the  
86 concept of the least squares for estimating the circle center points and radius. Nievergelt [19]  
87 enhanced the GGS fit by using translation shifts of the center coordinates and the ‘Constrained  
88 Total Least-Squares’ concept for approximation in the algebraic objective function. However,  
89 the geometric and algebraic algorithms has limitations – namely, the presence of outliers.

90 To counter the effect of outliers, several researchers have proposed robust statistical  
91 methods for circular fitting. Wang and Suter, for example [20], developed the Least Trimmed  
92 Symmetry Distance (LTSD) approach for robust model fitting using Symmetry Distance,  
93 coupled with a Least Trimmed Square (LTS) regression. However, their method is limited to  
94 spatially symmetric points [21]. The RANSAC, one of the most widely used methods, was  
95 developed in order to cope with high presence of outliers. The RANSAC iteration process starts

96 by randomly fitting a model with pre-determined threshold and computes number of points  
97 located inside the area of the RANSAC model. At the end of iteration, an optimal RANSAC  
98 model with maximum number of points is selected. However, the RANSAC algorithm is  
99 sensitive to thresholding parameters [22-23], which needs to be selected manually. In order to  
100 overcome this problem, a method to determine the RANSAC parameters is required. In this  
101 study, a machine learning approach that enables automatic determination of RANSAC input  
102 parameters is presented.

## 103 **2.2 Circle fitting applications in the construction industry**

104 In recent years, studies on circle fitting have been conducted using 3D measurement sensors  
105 such as Kinect and TLS. Nahangi et al. [24], for example, put forward a curvature estimation  
106 method for estimating the cylinder radius of pipes using Kinect. The study proposed a radius  
107 estimation algorithm involving normal vector estimation and curvature computation and shows  
108 an accuracy ranging from  $\pm 1.1$  cm to  $\pm 2$  cm. Separately, Díaz-Vilariño et al. [25] used the  
109 Hough Transform algorithm [26] to detect and estimate the radius of columns in a residential  
110 building. The study found that the Hough Transform algorithm is sensitive to the circumference  
111 of columns. In addition, Bueno et al. [27] examined the Hough Transform algorithm reliability  
112 using simulated column data. The study shows that completeness of edges is the most sensitive  
113 variable affecting the performance of the Hough transform algorithm and it was found that at  
114 least 30% to 40% edge completeness is required to robustly estimate the radius of columns. In  
115 addition, to deal with incomplete circular edges and noisy data, Nurmunabi et al [21] introduced  
116 a circle fitting method named Repeated Least Trimmed Squares (RLTS) that combines the  
117 concept of the least trimmed square [28] and the hyper-algebraic fitting [15]. The RLTS was  
118 validated with 1000 quarter-circle datasets with noise, and the results showed an average mean  
119 square error of 0.42. In summary of the circle fitting applications in the construction industry,  
120 the existing literature are focused on estimating the circular radius of target objects having a  
121 circular surface. However, considering the focus of this study that is rebar diameter  
122 classification, circle fitting and rebar diameter estimation is a challenging task because  
123 construction rebar has deformed shapes and its diameter is relatively small. In addition, the  
124 rebar diameter gaps between different sizes of rebar is relatively low, e.g. D10 and D12.

## 125 **2.3 Rebar inspection studies in the construction industry**

126 Thanks to the fast and accurate nature of laser scanning, there have been multiple studies  
127 conducted on sensing-based rebar and formwork inspection. Han et al. [8] used a density  
128 histogram of scan points generated based on the Structure-from-Motion (SfM) and multi-view

129 stereo algorithms for rebar layout inspection. In the study, a validation test was performed using  
130 fifteen targets placed near the rebar, and 850 images were used for the implementation of SfM  
131 to generate a set of point cloud data. However, the study focused on the generation of a dense  
132 point cloud data from images and numerous high-resolution photos were required from  
133 different angles to generate the formwork and rebar scan points. Subsequently, Akula et al. [7]  
134 used a drilling monitoring framework that maps the locations of rebars using the SfM and laser  
135 scanning to provide real-time feedback for for drill operator based on the position and  
136 orientation of the drill. A comparison analysis in the study showed that the accuracy of the  
137 vision-based technique was 28.9% lower than the laser scanning-based method. Nishio et al.  
138 [29] conducted a study with a rebar core wire extraction algorithm designed to perform well in  
139 noisy scan data. A density distribution function was proposed in the study to filter out unwanted  
140 scan points near the rebars. However, the study was focused on the extraction of rebar scan  
141 points, and there was no further analysis of rebar spacing and rebar diameter estimation.  
142 Recently, Kim et al [11] proposed a new technique that estimates the dimensions of rebar and  
143 formwork, concrete covers and rebar spacing. The study used a RANSAC algorithm to  
144 automatically detect and estimate rebar layers. Validation results showed an estimation  
145 accuracy of around 2.5 mm. However, the study assumed that the rebar diameters used for input  
146 parameters of the RANSAC algorithm were known, making the method unreliable. In order to  
147 achieve full automation and make the method reliable, rebar diameters needs to be classified  
148 accurately from raw rebar scan data. For this, a rebar classification technique that predicts  
149 correct rebar diameters is needed to ensure accurate and automated rebar spacing estimation  
150 during the fabrication stages or on construction sites.

#### 151 **2.4 Point cloud data based machine learning application in the construction industry**

152 There have been multiple studies that have looked into adopting machine learning  
153 methodologies with point cloud data in the construction industry. Wang et al. [30] developed  
154 an automated technique for position estimation of rebars in reinforced precast slabs. A one class  
155 SVM, which is an unsupervised outlier detection method, was used to extract rebars based on  
156 the geometric and color features of scan points. Experiments on two reinforced precast concrete  
157 decks were conducted, and the results showed a rebar position estimation error of 0.9 mm.  
158 Valero et al. [31] proposed a strategy for the automatic detection and classification of defects  
159 on masonry walls. They achieved this using geometric and color features including roughness,  
160 circularity and RGB values, for their machine learning approach. Lastly, Bassier et al. [32]  
161 proposed an automated classification method for building elements for Scan-to-BIM. A

162 Random Forests classifier was used for the classification of the floors, ceilings, roofs, walls and  
163 beams. Both contextual and geometric features were used, culminating in an average precision  
164 of 85% for structural element classification. In summary, a few machine learning methods have  
165 been implemented using point cloud data previously. However, these previous studies are  
166 limited in that they mainly focus on the extraction of planar-type elements including roofs,  
167 walls and slabs.

## 168 **2.5 Research gaps and objectives**

169 Based on the review of related literature, there has been no study conducted into rebar diameter  
170 classification using point cloud data. In addition, the direct use of circle fitting methods,  
171 including geometrical and algebraic fits, may not be accurate and robust due to the small size  
172 and potentially deformed shape of rebars. Moreover, the RANSAC, which is a robust circle  
173 fitting method, requires initial manual parameters including the circle radius and tolerance  
174 threshold. To overcome these gaps in research the objectives of this study are to 1) develop a  
175 technique that classifies rebar diameters using machine learning approach for accurate and  
176 automated estimation of rebar spacings; and 2) validate the feasibility of the proposed rebar  
177 classification technique on rebars with various diameters.

## 178 **3. Methodology**

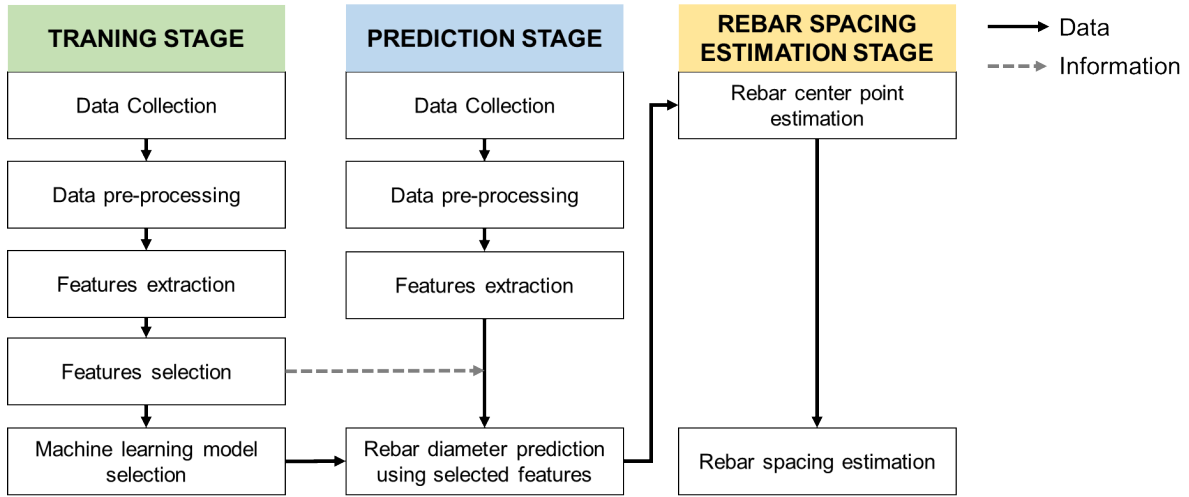
179 Figure 1 shows the workflow of the proposed rebar diameter classification, which consists of  
180 three stages: 1) training, 2) prediction and 3) estimation stages. The training stage is composed  
181 of five sub-steps, including data collection, data pre-processing, feature extraction, feature  
182 selection and machine learning model selection. In the prediction stage, new data sets are  
183 prepared and predicted using the learning model chosen in the training stage. Note that there is  
184 no step involving feature selection, as optimal features are already determined in the feature  
185 selection step of the training stage. In the last stage, rebar spacings between adjacent individual  
186 rebars are estimated based on the predicted rebar diameters in the rebar estimation stage. Details  
187 of each step are presented in the following sections.

### 188 **3.1 Data collection**

189 In the first step of the training process, deformed rebars with various rebar diameters are  
190 scanned by a TLS in the laboratory to collect rebar point cloud data. In this study, seven rebar  
191 diameters of 10, 12, 16, 20, 25, 32 and 40 mm, named as D10, D12, D16, D20, D25, D32 and  
192 D40, which are widely used in the Hong Kong construction industry, are selected and used for  
193 the data collection. Three scan variables including the incident angle, distance and angular

194 resolution, are controlled in the data collection to collect rebar surface point cloud data in as  
 195 many different conditions as possible to ensure the generation of a high-accuracy machine  
 196 learning model.

197



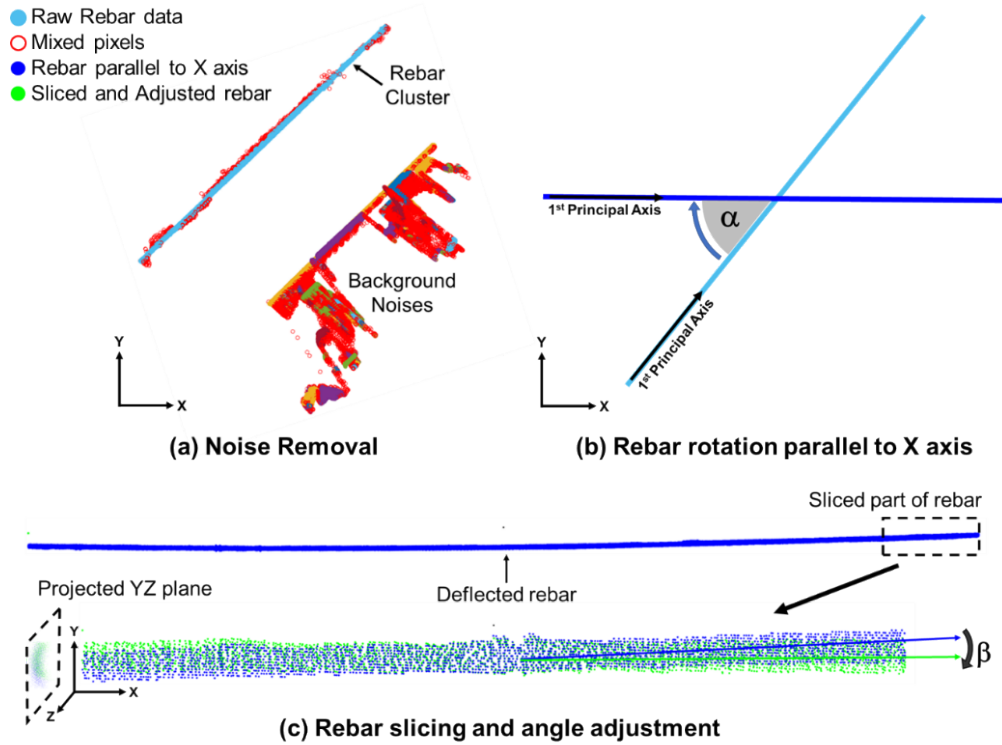
**Figure 1.** Overall procedure of the proposed rebar diameter classification technique for autonomous rebar spacing estimation

### 198 3.2 Data pre-processing

199 It is important to eliminate unwanted scan points near the rebar scan data including background  
 200 noise and mixed pixels prior to the feature extraction of each rebar instance. Thus, the data pre-  
 201 processing step aims to 1) remove background noise and mixed pixels in raw scan points and  
 202 2) slice the individual rebars into multiple small instances to be used for the next step, i.e.  
 203 feature extraction. Figure 2 shows the data pre-processing steps consisting of three steps: 1) the  
 204 elimination of the background noise and mixed pixels; 2) rebar rotation; and 3) rebar slicing  
 205 and angle adjustment. Firstly, the DBSCAN (Density-based spatial clustering of applications  
 206 with noise) [34] is used to segment the rebar scan points with respect to scan density and to  
 207 remove the scan points of the background noises and mixed pixels [35]. Secondly, the  
 208 segmented scan points of rebars are rotated by angle ( $\alpha$ ) between the 1<sup>st</sup> principal axis of the  
 209 rebar scan points and the X axis as shown in Figure 2(b) for the purpose of easy further data  
 210 processing. Third, the rotated rebars are sliced into multiple instances and the scan points of the  
 211 sliced rebar instances are then projected into the YZ plane. Note that a minor rotation by the  
 212 angle  $\beta$  (defined as the angle discrepancies between X axis and 1<sup>st</sup> principal axes of the sliced  
 213 rebar part) is performed before the projection to ensure that the rebar part is parallel to the X  
 214 axis as illustrated in Figure 2(c). Here, the projected scan points of each sliced on to the YZ

215 plane is used to implement the feature extraction.

216



**Figure 2.** Data pre-processing steps: (a) Noise removal - mixed pixels and background noises are eliminated as sets of rebar scan data clusters using the DBSCAN; (b) Rebar rotation - the 1<sup>st</sup> rebar principal axis is computed then rotated by  $\alpha$  degree to make it parallel to the X axis; (c) Rebar slicing and angle adjustment - the individual rebar is sliced, rotated by  $\beta$  degree and projected onto the YZ plane.

### 217 3.3 Features extractions

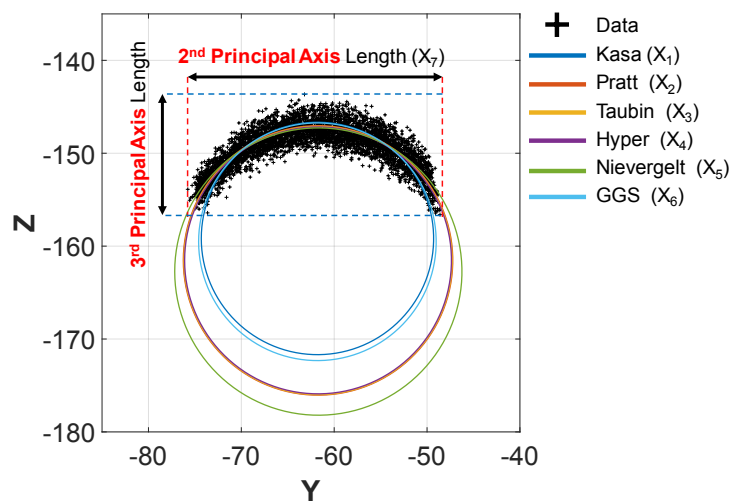
218 The purpose of this step is to extract the key features of rebar instance for machine learning.  
219 For this study, several features of algebraic fitting and principal axes of the instance's scan  
220 points were used due to the fact that they are sensitive to geometrical properties of rebar  
221 diameter. Unlike previous studies [33, 36-38], which used non-geometrical features such as  
222 material intensity [37], surface color [33] and surface roughness [36, 38], this study used seven  
223 geometrical features that can be extracted from the algebraic fittings and PCA [39] because the  
224 material property of rebar was assumed to be same.

225 Firstly, six algebraic fittings method were chosen to extract the six geometrical features:  
226 Kasa [14], Pratt [16], Taubin [17], Hyper [15], Nievergelt [19], GGS [18]. This is because no  
227 parameters are required for the algebraic fittings. Note that the outputs of the algebraic fitting  
228 that uses point cloud data of rebar instance as input are 1) estimated rebar diameter and center



229 point of the circle fit. Figure 3 shows the feature values of the six algebraic fittings. The  
 230 estimated rebar diameter values vary largely depending on fitting method. It is for this reason  
 231 that the 6 diameter features are used in the study for machine learning instead of the directly  
 232 use of estimated rebar diameters based on algebraic fitting methods. Secondly, the 2<sup>nd</sup>  
 233 eigenvalue of point cloud of the rebar instance is used as the 7<sup>th</sup> feature. Figure 3 shows that the  
 234 2<sup>nd</sup> eigenvalue feature indicates the length of the point cloud data in the cross section and is  
 235 closely associated with rebar diameter. In other words, the larger rebar diameter is, the larger  
 236 the 2<sup>nd</sup> eigenvalue obtained, illustrating that the 2<sup>nd</sup> eigenvalue of rebar instance can be used as  
 237 a unique feature that represents the rebar diameter. Note that only 2<sup>nd</sup> eigenvalue feature is used  
 238 among the three eigenvalues because 1) the 1<sup>st</sup> eigenvalue ( $\lambda_1$ ) indicating the length of sliced  
 239 rebar instance has the same value of 100 mm; and 2) the 3<sup>rd</sup> eigenvalue ( $\lambda_3$ ) is unreliable because  
 240 of its small range of variation, as seen in Figure 3.

241



**Figure 3.** Features extraction of rebar instance in the YZ plane

### 242 3.4 Features selection

243 Feature selection aims to identify the most relevant or key features that increase machine  
 244 learning prediction accuracy and reduces computational time [40]. In this study, correlation-  
 245 based selection (CFS) [41], which is a robust method in selecting features when there are linear  
 246 relationships between feature values and predicted values [42], was utilized because the  
 247 extracted feature values of rebar instance tend to have a linear relationship with the predicted  
 248 rebar diameters. The CFS selects the best subsets of features using the correlation coefficients  
 249 of features which can be computed using Pearson's coefficient [43]:

250

$$r_{xy} = \frac{\sum_i (x_i - \bar{x})(y_i - \bar{y})}{\sqrt{\sum_i (x_i - \bar{x})^2} \sqrt{\sum_i (y_i - \bar{y})^2}} \quad \text{Eq. (1)}$$

251 where  $x$  and  $y$  are the series of features with the  $i^{\text{th}}$  number,  $\bar{x}$  and  $\bar{y}$  are the average value  
 252 of the  $x$  and  $y$  array.  $r_{xy}$  is the Pearson's correlation value ranging from -1 to 1. The larger  
 253 the absolute value of  $r_{xy}$ , the more strongly  $x$  and  $y$  are correlated. If the value is zero, the  
 254  $x$  and  $y$  variables are independent each other. In the CFS, a scoring method called Merit [41]  
 255 is used to determine the best sets of features using the correlation coefficients of the features.  
 256 Here,  $Merit_{Sk}$  is the Merit score of a feature subset  $S$  consisting of  $k$  features.

257

$$Merit_{Sk} = \frac{k \overline{r_{fc}}}{\sqrt{k + k(k-1) \overline{r_{ff}}}} \quad \text{Eq. (2)}$$

258 where  $\overline{r_{fc}}$  is the average value of all feature-classification correlations and  $\overline{r_{ff}}$  is the average  
 259 value of all feature-feature correlations. Finally, the feature subset with the highest Merit score  
 260 is determined as the features to be used for machine learning.

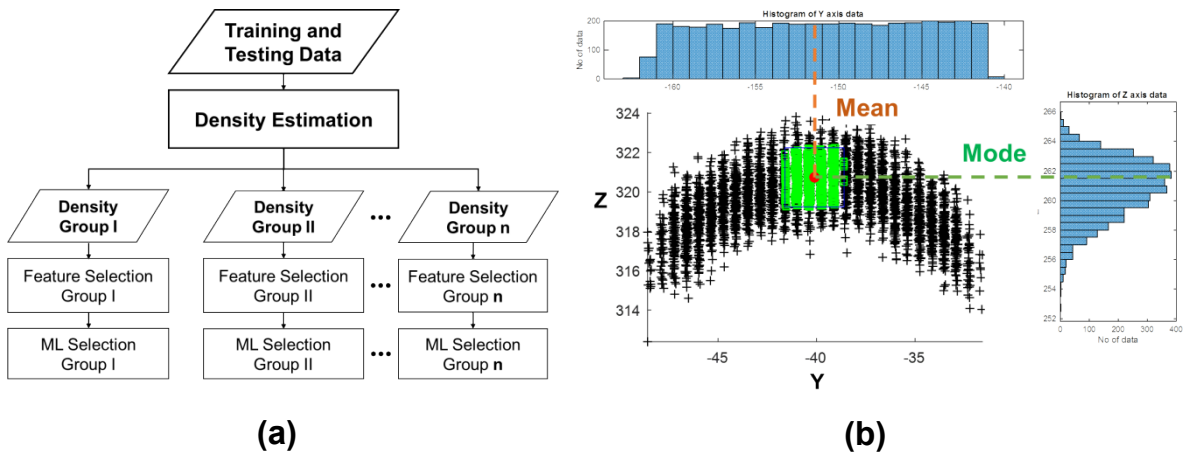
### 261 3.5 Machine learning classification test and selection

262 To classify different rebar diameters, machine learning classifiers are used to learn unique data  
 263 patterns based on the selected features. Although machine learning is able to find key patterns  
 264 from a set of training data [44-45], as well as accurately predicting classes based on the patterns  
 265 found, the performance of rebar prediction varies with different classifiers. Thus, it is necessary  
 266 to test diverse classifiers to see which classifier fits best the rebar scan data. To this end, five  
 267 supervised machine learning algorithms, which are the Naïve Bayes (NB) [46-47], Discriminant  
 268 Analysis (DA) [48-49], Classification Tree (CT) [50], Nearest Neighbor (NN) [51-52], and  
 269 Support Vector Machines (SVM) [53] were employed in this study to find the optimal classifier.  
 270 For the evaluation of the performance, the 10-fold cross-validation [54] method was used to  
 271 decrease the bias and variance of the classifiers. Finally, the optimal model with the best  
 272 features and classifier was used for predicting rebar diameters in the prediction stage.

### 273 3.6 Density-based modeling

274 In this study, a new methodology, named Density based Modeling (DBM), is proposed for the

275 purpose of enhancing the classification accuracy. The DBM was developed based on  
 276 observations from this study as well as previous related studies [1-5, 11, 31-33] that a higher  
 277 scan density results in a higher accuracy. For example, rebar instances with high scan density  
 278 form much clearer circular shape compared to those with low scan density. In this regard,  
 279 density-based modeling is important in increasing the accuracy of machine learning classifiers.  
 280 Figure 4 shows the concept of the proposed DBM method. The training data sets can be divided  
 281 into a certain number of density groups so each density group has an equal or a similar  
 282 percentage of training data. For instance, if the training data is divided into 4 density groups,  
 283 every density group will have 25% of the training data. Once the data has been divided, 7  
 284 features are extracted for every instance and feature selection and machine learning selection  
 285 are performed independently for each group.  
 286



**Figure 4.** Density-based modeling (DBM): (a) The schematic of the DBM. The training data sets are divided into a number of density groups for machine learning; and (b) The estimation of scan density for a rebar instance

287  
 288 In order to estimate the scan density of each instance, a small area in the center of the scan  
 289 points in the YZ plane is used. Figure 4(b) shows the scan density calculation in a rebar instance.  
 290 Note that the Y and Z coordinates of the center point of each rebar instance in the YZ plane are  
 291 calculated as the mean of the Y coordinates and the mode of Z coordinates of the scan points,  
 292 respectively. Also note that the area with the dimensions of  $9 \text{ mm}^2$  ( $3 \text{ mm} \times 3 \text{ mm}$ ) was used  
 293 for the scan density calculation.

### 3.7 Rebar diameter prediction and rebar spacing estimation

Once a machine learning model was determined for each density group using the DBM method, the prediction of rebar diameters was performed on new rebar scan data with different rebar diameters using the optimized machine learning model. After the rebar diameter prediction, the rebar spacings between adjacent rebars was estimated using the predicted rebar diameters. The details of rebar spacing estimation, including the process of rebar center point estimation, are presented in [11]. It is important to note that compared to the previous study [11], the proposed method provides automatic rebar spacing estimation based on predicted rebar diameters, whereas two manual inputs, i.e, circle radius and tolerance threshold, are necessary for the implementation of the circular RANSAC in the previous literature.

## 4. Experimental Validation

### 4.1 Data collection for training

Figure 5 shows the two different data collection set-ups. A phase-shift TLS, FARO M70, with a measurement accuracy of  $\pm 3$  mm in a range of 0.6 m to 70 m and a measurement rate of up to 488,000 points/sec., was used for data acquisition. For the first set-up as shown in Figure 5(a), 14 individual rebars with 7 different diameters (D10-D40), as shown in Figure 5(c), and a standard length of 3 m, were scanned. Note that the rebar layers were scanned at 14 random scan positions with two different scan angular resolutions of  $0.036^\circ$  and  $0.072^\circ$  to collect extensive training data with different scan densities, resulting in a total of 11,099 rebar slicing instances from the first data collection. The second data collection, configured as shown in Fig. 5(b), was focused on collecting scan data of rebar diameters of 12 mm and 16 mm at scan angles of  $45^\circ$  and  $90^\circ$  with respect to the rebar cage. For this configuration, the TLS was positioned on a desk to scan the entire rebar cage and a total of 2,888 instances of rebar diameter 12 mm and 16 mm were collected. In total, 13,987 rebar slicing instances were collected.

### 4.2 Feature selection and machine learning classifier selection using the DBM

Table 1 shows the results of the feature set selection based on the CFS in three different scan density groups. Note that each density group has its own feature set selected based on the Merit Score. It was found that the features of 1, 6 and 7 corresponding to Kasa fit, GGS fit and the 2<sup>nd</sup> principal axis length respectively were selected in most cases. As can be seen in the table, the primary finding was that the best performance on the feature selection was obtained in the highest density group, i.e. density group 3. For example, the selected feature sets in the density

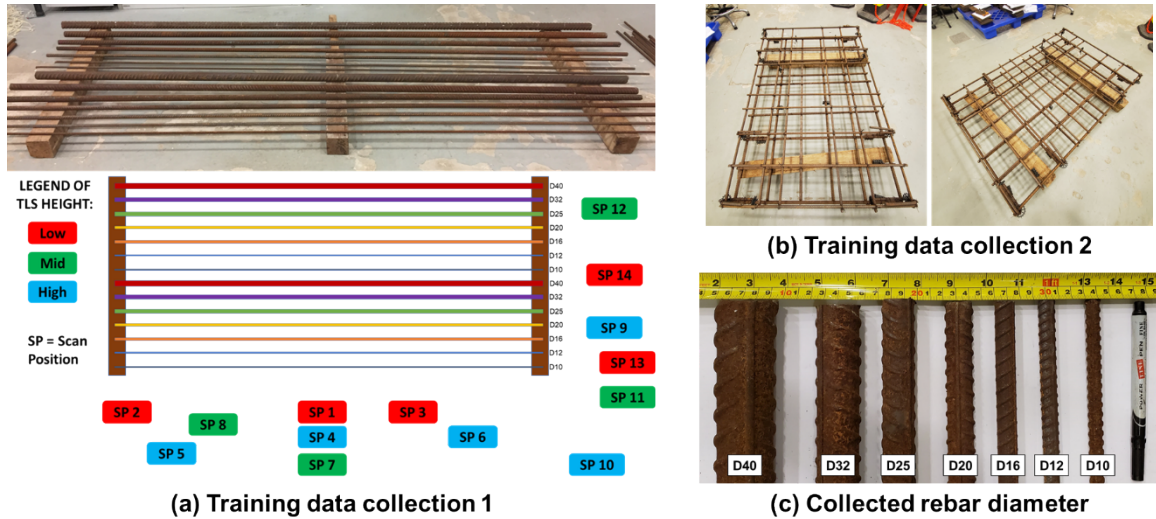
325 group 3 provided higher Merit scores when the number of features in the feature set were same.

326

327

328

329



**Figure 5.** Data collection set-ups: (a) The first data collection configuration - the training data collection on 14 individual rebars with 7 different rebar diameters from 14 different positions with three different TLS heights; (b) The second data collection configuration – the training data collection on the rebar cage specimen having rebar diameters of 12 mm and 16 mm with two different scan angles of 45° and 90° with respect to the rebar cage; and (c) used rebars with seven different diameters (D10-D40)

330 **Table 1.** Feature selection results in three scan density groups

Density group 1		Density group 2		Density group 3	
Selected features	Merit Score	Selected features	Merit Score	Selected features	Merit Score
6, 7	<b><u>0.9680</u></b>	6, 7	<b><u>0.9724</u></b>	<b><u>6</u></b>	<b><u>0.9838</u></b>
6	0.9624	6	0.9704	6, 1	0.9837
6, 7, 1	0.9676	6, 7, 1	0.9723	6, 1, 7	0.9821
6, 7, 1, 5	0.9160	6, 7, 1, 4	0.9311	6, 1, 7, 4	0.9532
6, 7, 1, 5, 4	0.7985	6, 7, 1, 4, 5	0.8706	6, 1, 7, 4, 5	0.9111
6, 7, 1, 5, 4, 2	0.6776	6, 7, 1, 4, 5, 2	0.7897	6, 1, 7, 4, 5, 2	0.8726
6, 7, 1, 5, 4, 2, 3	0.6776	6, 7, 1, 4, 5, 2, 3	0.7897	6, 1, 7, 4, 5, 2, 3	0.8726

331

332 Table 2 shows the rebar diameter classification accuracy of the training data with five  
 333 different machine learning algorithms. Note that the rebar diameter classification accuracy sets  
 334 are divided into two groups: small diameters (D10-D20) and large diameters (D25-D40). Here,  
 335 the number of 3 was selected as the optimal number of scan density group. The reasoning  
 336 behind the selection is discussed in section 5.2. There are three primary observations. Firstly,  
 337 in most cases, the classification accuracy increased as the density increases. Secondly, the  
 338 average accuracy of the small rebar diameter cases (61.4%) was much lower than that (95.9%)  
 339 of the large rebar diameter cases. This is attributed to the lack of scan points on the rebar surface  
 340 in the cases of small diameters. Thirdly, the SVM provides the highest average classification  
 341 accuracy of 78.7% and 96.0% for the total cases (D10-40) and the large diameters (D25-D40),  
 342 respectively. Therefore, the SVM was chosen as the optimal classifier for the implementation  
 343 of new rebar scan data prediction.

344

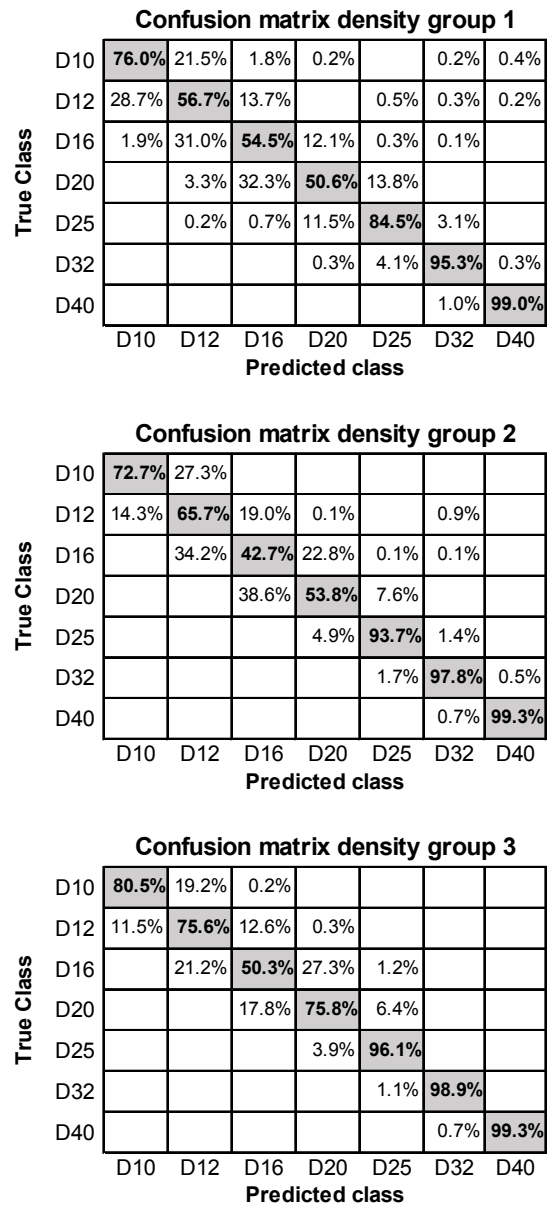
345 **Table 2.** Comparison of the training accuracy among the five machine learning classifiers in  
 346 the three density groups

Classifier	Classification accuracy								
	Small diameter (D10-D20)				Large diameter (D25-D40)				Total avg.
	Density group			Avg.	Density group			Avg.	
	1	2	3		1	2	3		
	Selected features				Selected features				
	6, 7	6, 7	6		6, 7	6, 7	6		
Naïve Bayes (NB)	52.9%	44.4%	64.5%	53.9 %	92.7%	95.8%	98.7%	95.7%	74.8 %
Discriminant Analysis (DA)	52.3%	45.5%	64.0%	53.9 %	92.0%	94.6%	99.2%	95.3%	74.6 %
Classification Tree (CT)	59.0%	65.6%	61.5%	62.0 %	90.6%	96.0%	96.5%	94.4%	78.2 %
Nearest Neighbours (NN)	58.5%	65.0%	59.1%	60.9 %	90.3%	96.2%	96.8%	94.4%	77.6 %
Support Vector Machine (SVM)	59.3%	56.5%	68.6%	61.5 %	93.1%	96.9%	98.0%	<b>96.0</b> <b>%</b>	<b>78.7</b> <b>%</b>

347

348 In order to investigate the details of the classification result, the confusion matrix of the  
 349 SVM in three different density groups as shows in Figure 6. Three key pieces of information  
 350 obtained through this are: (1) A large number of the false predictions occur in the small rebar

351 diameter group (D10-D20) due to the lack of scan points on the rebar surface for small  
 352 diameters; (2) the false prediction occurs in the adjacent to the diagonal cells, indicating falsely  
 353 predicted rebar diameters have similar diameters. For example, most falsely predicted rebar  
 354 diameters for the cases of rebars with D12 are D10 or D16; and (3) classification accuracy is  
 355 largely affected by scan density. In the confusion matrices, as the density increases, the number  
 356 of non-diagonal cases is reduced. The causes are described in detail in Section 5.1, which  
 357 compares the performance of the traditional machine model and the DBM.



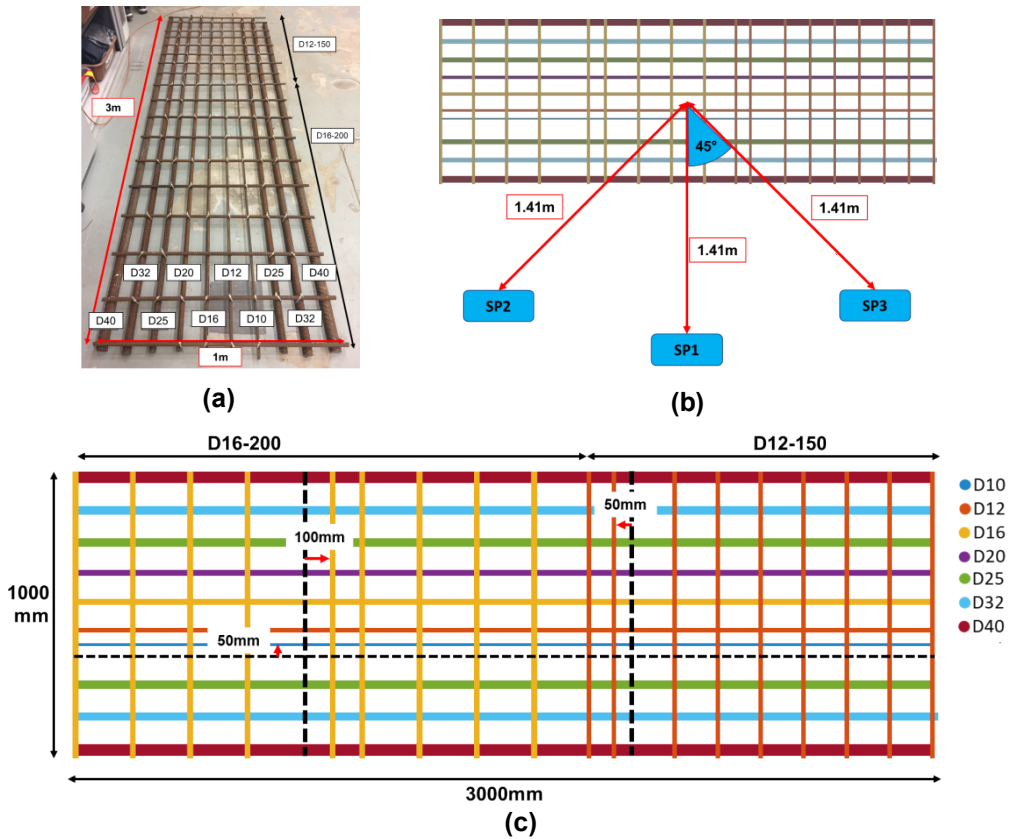
**Figure 6.** Confusion matrix result in the three different density groups

358 **4.3 Prediction result of Rebar grid with varies diameter**

359 In order to validate the proposed rebar diameter classification method, prediction tests were

360 conducted on a specimen with 3 m length and 1 m width. Figure 7 shows the experimental set  
 361 up for the prediction test. The specimen is composed of 10 longitudinal rebars and 18  
 362 transversal rebars. The longitudinal rebars also have 7 different diameters from D10 to D40 and  
 363 the transversal rebars have 2 different diameters of D12 and D16.

364



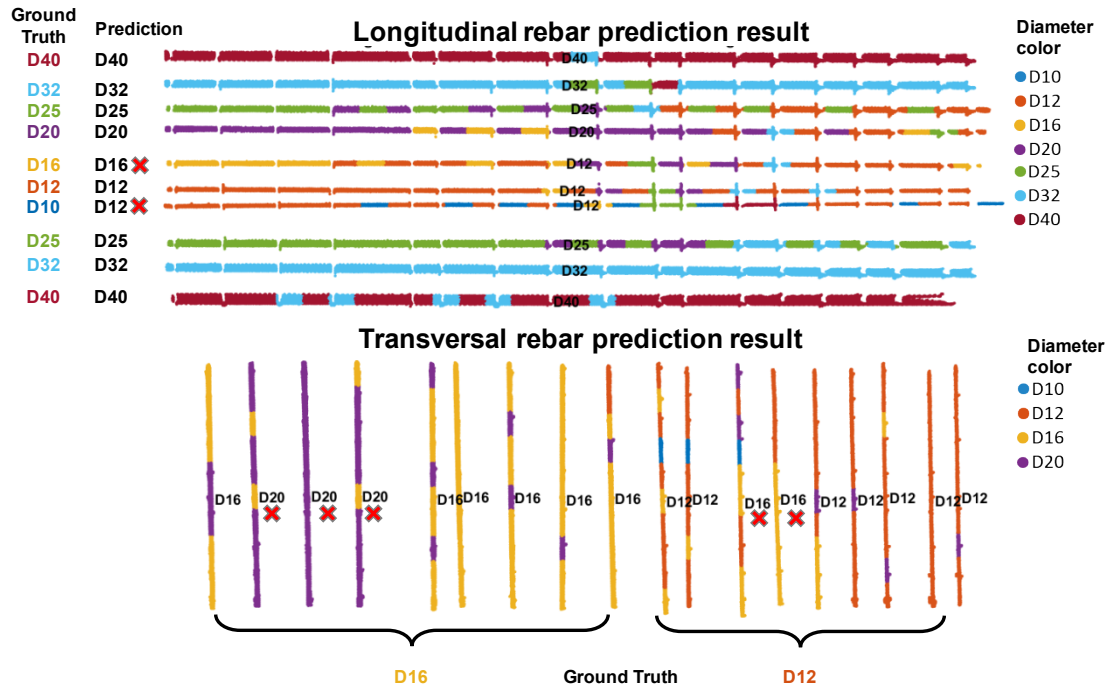
**Figure 7.** Experimental set up for the prediction test: (a) Specimen with 3 m long and 1 m width; (b) the TLS at three positions (SP1-SP3) for the prediction test acquisition; and (c) artificial dimensional changes on the 3 individual rebars (1 longitudinal and 2 transversal) were introduced for rebar diameter prediction and rebar spacing estimation.

365 Figure 8 shows the prediction results of the longitudinal and transversal rebars. Note that  
 366 the results were generated from the scan location of SP2 with the high scan density. Also note  
 367 that the instances with different colors indicate the predicted diameters. Here, there are two  
 368 types of prediction results, which are 1) instance-level prediction and 2) rebar-level prediction  
 369 in the figure. The instance-level prediction gives the prediction value for each instance.  
 370 Meanwhile, the rebar-level prediction provides one prediction value for each individual rebar  
 371 based on the assumption that the diameter of each individual rebar is predicted as the diameter  
 372 having the largest number of predicted diameter at the instance-level. For example, taking the



373 example of the D40 bottom longitudinal rebar in Figure 8, the diameter with the largest number  
 374 of predicted diameter at the instance-level is D40, although there are 5 instances of false  
 375 predictions, as seen in D32, in blue. Note that the rebar-level prediction results are presented in  
 376 the middle of each individual rebar in Figure 8.

377

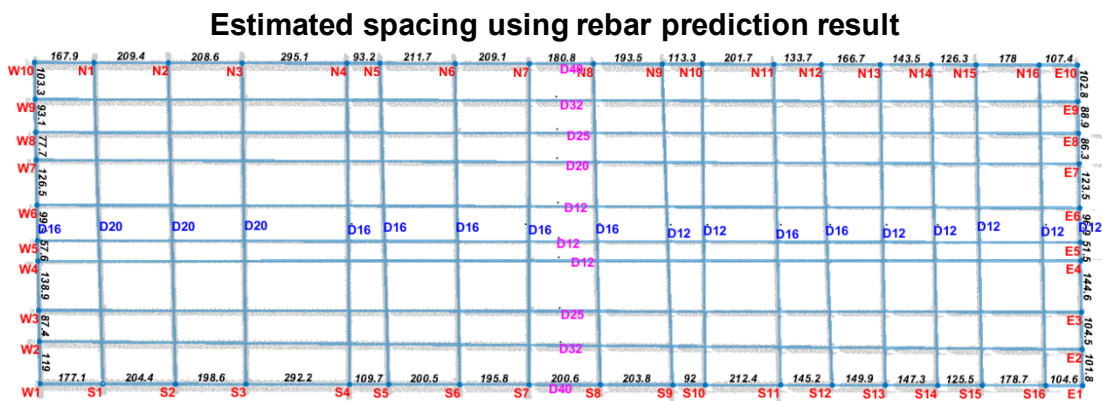


**Figure 8.** Rebar diameter prediction results. The upper and bottom parts indicate the longitudinal and transversal rebars prediction results respectively. (The results come from the scan location of SP2 with the high scan density)

378 Table 3 shows the prediction results obtained from the 3 scan positions of SP1 to SP3. There  
 379 are three primary observations. First, a higher prediction accuracy is obtained in high scan  
 380 density cases on both the instance-level and the rebar-level from the three scan positions of SP1  
 381 to SP3. As can be seen in the bottom part of the table, the average accuracy improvement is  
 382 17% in the high scan density among SP1 to SP3. Further, as expected, higher prediction  
 383 performance was obtained at the rebar-level prediction compared to the instance-level  
 384 prediction in both diameter groups. For instance, the average prediction accuracy at the  
 385 instance-level is 71.1% whereas that at the rebar-level is 97.2% for the large diameter group.  
 386 Finally, similar to the trend observed in the training data classification results, the small rebar  
 387 diameters were hard to accurately classify (56.0%) at the rebar-level compared to of the large  
 388 rebar diameters (97.2%).

389 **Table 3.** The prediction test results with three scan positions (SP1 to SP3)

	<b>Prediction accuracy</b>						Avg. at Inst. level	Num. of correct rebar out of total rebar	Avg. at rebar level
	Scan position								
	SP1		SP2		SP3				
	Scan density								
	Low	High	Low	High	Low	High			
<b>D10</b>	0.0%	33.3%	38.1%	30.0%	30.0%	46.6%	<b>35.1%</b>	4/6	<b>56.0%</b>
<b>D12 (L&amp;T)</b>	58.5%	53.6%	60.0%	61.4%	36.3%	41.3%		47/60	
<b>D16 (L&amp;T)</b>	30.2%	50.0%	22.5%	52.5%	12.4%	37.5%		20/60	
<b>D20</b>	0.0%	29.6%	13.3%	57.5%	3.3%	45.0%		3/6	
<b>D25</b>	50.0%	43.3%	70.0%	61.6%	63.3%	50.0%	<b>71.1%</b>	12/12	<b>97.2%</b>
<b>D32</b>	76.6%	66.6%	85.4%	78.0%	61.6%	75.0%		12/12	
<b>D40</b>	90.0%	76.6%	88.3%	90.0%	73.3%	80.8%		11/12	
Avg. at Inst. level	43.6%	50.4%	53.9%	61.6%	40.0%	53.7%			
Avg. at rebar level	<b>64%</b>	<b>82%</b>	<b>57%</b>	<b>75%</b>	<b>46%</b>	<b>61%</b>			



**Figure 9.** Estimation result using predicted rebar under the left scan position with a high scan density (The results come from the scan location of SP2 with the high scan density)

390 **4.1 Rebar spacing estimation result**

391 Figure 9 shows the rebar spacing estimation results based on the results of rebar prediction in  
 392 Section 4.3. Note that four directions of the rebars are denoted as ‘N’, ‘S’, ‘E’, and ‘W’, and  
 393 the value presented between two points at the outer boundaries refers to the estimated rebar  
 394 spacing. Also, note that the diameter presented in the middle of rebars represents the predicted

395 rebar diameters in Section 4.3. The rebar spacing estimation was made at 52 locations (17  
396 locations in each ‘N’ and ‘S’ directions, and 9 locations in each ‘E’ and ‘W’ directions). Table  
397 3 shows the rebar spacing estimation discrepancies for the longitudinal and transversal rebars.  
398 Note that the rebar spacing estimation discrepancy is defined as the difference between the  
399 estimated rebar spacing using the proposed method and a manual measurement using a  
400 measurement tape. The results show the rebar spacing achieves estimation accuracy of 2.2 mm  
401 with the proposed automatic rebar diameter prediction - a comparable accuracy to that (2.1 mm)  
402 of on actual rebar diameter, indicating that the proposed method has potential for automated  
403 rebar diameter prediction as well as rebar spacing estimation in an accurate manner.

404 **Table 4.** Comparison of rebar spacing estimation results between the proposed automatic  
405 method and the manual method. Here, the discrepancy between the estimated rebar spacing and  
406 the manually measured (ground-truth) rebar spacing

		<b>Discrepancy between the estimated rebar spacing and the measured rebar spacing (mm)</b>						
		Scan position						Total avg.
		SP1	SP2		SP3			
		Scan density						
		Low	High	Low	High	Low	High	
<b>Proposed method</b> (automatic rebar diameter estimation)	N. Trans.	2.3	2.4	3.2	2.7	2.9	1.8	2.5
	S. Trans.	1.6	1.7	2.4	1.5	2.0	1.8	1.8
	W. Long.	2.5	1.7	3.1	1.3	3.2	2.9	2.4
	E. Long.	3.3	1.7	2.8	2.2	3.0	1.7	2.4
	<b>Average</b>	<b>2.3</b>	<b>1.9</b>	<b>2.8</b>	<b>2.0</b>	<b>2.6</b>	<b>2.0</b>	<b>2.2</b>
<b>Manual method</b> (rebar diameter are given manually)	N. Trans.	2.3	2.0	3.0	2.8	2.0	2.0	2.3
	S. Trans.	1.5	1.8	2.0	1.7	1.9	1.7	1.7
	W. Long.	2.3	2.2	2.8	1.7	2.6	2.5	2.3
	E. Long.	2.4	1.6	3.3	2.4	2.6	1.5	2.3
	<b>Average</b>	<b>2.1</b>	<b>1.9</b>	<b>2.7</b>	<b>2.2</b>	<b>2.2</b>	<b>1.9</b>	<b>2.1</b>

## 407 5. Discussion

408 To further identify the effectiveness of the proposed method, further investigation into the three  
409 aspects, which are 1) accuracy comparison between the traditional machine learning approach  
410 and the proposed DBM approach; 2) optimal number of density group; and 3) recommendation  
411 of scan density for performing rebar diameter classification, was conducted.

412 **5.1 Accuracy comparison with traditional machine learning approach**

413 A comparison test was performed using the SVM which was as selected as the optimal machine  
 414 learning classifier. Note that the traditional machine learning approach uses training data sets  
 415 to generate one model, while the DBM generates a number of models according to the number  
 416 of scan density groups. Table 4 shows the comparison results. Overall, the DBM approach  
 417 offers better accuracy compared to the traditional model in both the cases of small diameters  
 418 (D10-D20) and large diameters (D25-D40). Particularly, a significant improvement of 17.9%  
 419 and 27.8% was observed in the large diameter group (D25 to D40) at the instance level and the  
 420 rebar level respectively.

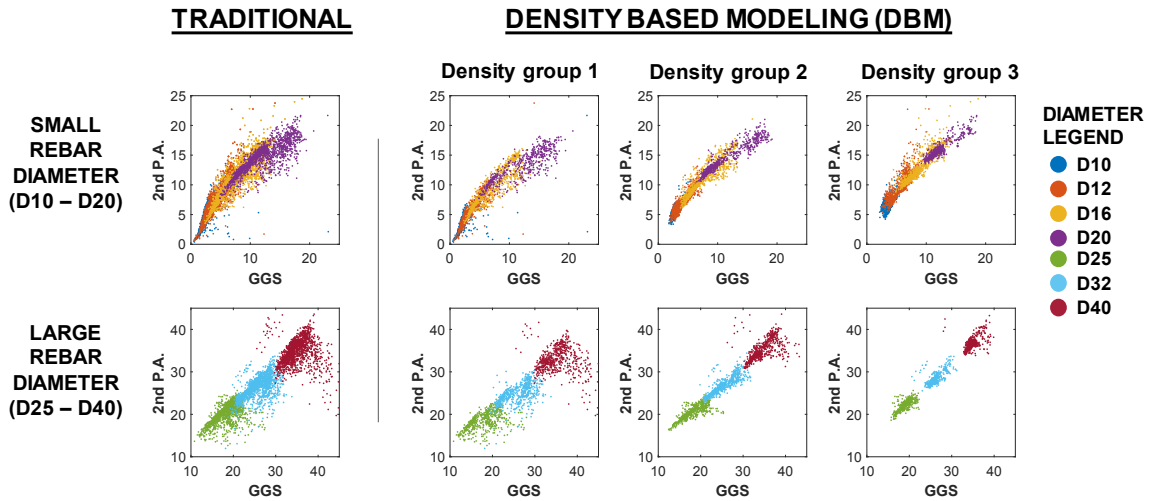
421  
 422 **Table 4.** Accuracy comparison between the traditional method and the proposed DBM method

		<b>Classification Accuracy</b>					
		<b>Small diameter (D10 to D20)</b>			<b>Large diameter (D25 to D40)</b>		
		Traditiona l	DBM	Improvem ent	Traditiona l	BDM	Improvem ent
<b>Training</b>	Instance level	57.6%	61.7%	<b>4.1%</b>	90.5%	95.8%	<b>5.3%</b>
<b>Prediction</b>	Instance level	33.9%	35.1%	<b>1.2%</b>	53.2%	71.1%	<b>17.9%</b>
	Rebar level	57.6%	56.1%	<b>-1.5%</b>	69.4%	97.2%	<b>27.8%</b>

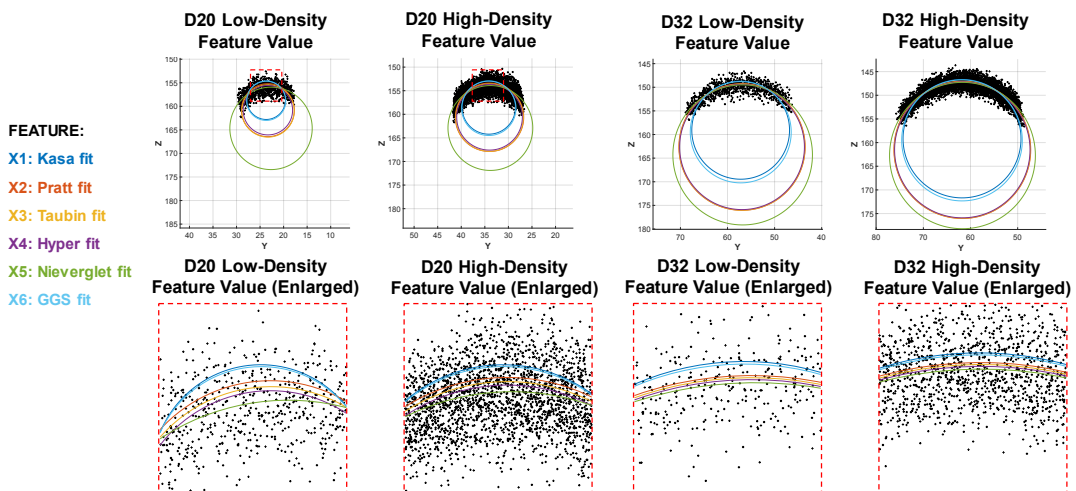
423  
 424 The reasons for the improvement can be found in Figure 10, which illustrates the effect of  
 425 the density-based modeling on the feature extraction results. Figure 10(a) shows the 13,987  
 426 rebar instances comprising the training data plotted in the feature space. Note that the chosen  
 427 features in the plot are GGS (Feature #6) and the 2<sup>nd</sup> principal axis (Feature #7), which are the  
 428 primary selected features by the CFS. In traditional modeling, the instances' feature values  
 429 largely overlap with the adjacent rebar diameters. However, a clear separation between the  
 430 different rebar diameters can be observed in the DBM, particularly in the highest-density  
 431 (Density group 3). For example, in the highest scan density group of the large rebar diameter  
 432 group, the separation level between the rebar diameter D25, D32 and D40 in the feature space  
 433 can be seen in Figure 10. This indicates that high scan density needs to be assured for  
 434 performing high accurate rebar diameter prediction. Meanwhile, Figure 11 illustrates the reason  
 435 why prediction accuracy in the small rebar diameter group is relatively low compare to the large  
 436 rebar diameter group. Taking the example of D20, the variation level of feature value among  
 437 the 6 fitting features is relatively large compared to that of the large diameter cases, which

438 results in the large overlap between adjacent small rebar diameters and reduce prediction  
 439 accuracy. On the other hand, the variation level of feature values among the 6 features is much  
 440 lower in the large rebar diameter group and the feature values are closer to the actual rebar  
 441 diameters, resulting in a clear separation in the feature space and achieving a high prediction  
 442 accuracy.

443



**Figure 10.** Performance comparison between the traditional method and the proposed DBM method on the feature extraction results. Compared to the traditional method, a clear separation among the different rebar diameters can be observed using the DBM method, particularly in the highest-density (Density group 3) in the large rebar diameter group.



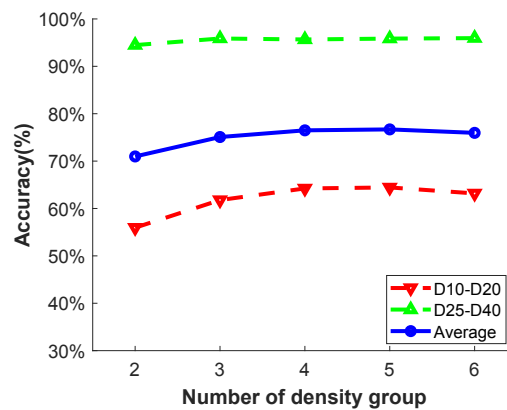
**Figure 11.** Density effect on the feature values in both the small and large rebar diameter groups. Compared to the large variation level of feature values among the 6 features in the small rebar diameter group (e.g. D20), the variation level of feature values in the large rebar

diameter group (e.g. D32) is much lower and the feature values are close to the actual rebar diameters.

## 444 5.2 Selection of the number of density group

445 The selection of the optimal number of density group is another important issue in the proposed  
446 DBM method. Figure 12 shows the effect of number of density group on instance-level  
447 classification accuracy in training data using the SVM. It can be seen that although a 4.1 %  
448 increase is obtained when increasing the number from 2 to 3, there is no significant increase in  
449 accuracy when the number of density group is equal to or larger than 3. Based on the results,  
450 the selected number of density group was 3 in this study.

451



**Figure 12.** The effect of number of density group on instance-level classification accuracy in training data using the SVM

## 452 5.3 Recommended scan density for rebar diameter prediction

453 Throughout the investigation in Section 4 and Section 5.1, it was found that the scan density is  
454 one of the most important factors for rebar diameter classification. This section investigates the  
455 recommended scan density level for accurate rebar diameter prediction. Figure 13 shows the  
456 effect of scan density on rebar diameter prediction accuracy. Figures 13(a) and (b) show the  
457 percentages of correctly predicted instances out of the total instances with respect to the scan  
458 density in the small and large rebar diameter groups, respectively. Note that each bin has a  
459 width of 10 pts/mm<sup>2</sup>. In the small rebar diameter group, it was found that in order to achieve an  
460 accuracy over 75%, a scan density value of at least 80 pts/mm<sup>2</sup> is necessary. In contrast, for the  
461 large rebar diameter group, all the density bins from 0-150 pts/mm<sup>2</sup> had a prediction accuracy  
462 over 80%, even in the case of the lowest scan density ranging between 0-10 pts/mm<sup>2</sup>, which

463 had an accuracy of 84.8%. This is because the cross section shape of each rebar section instance  
464 formed in the large rebar diameter groups is always larger and clearer than that of small rebar  
465 diameter groups, resulting in a robust and consistent prediction accuracy. Figure 13(c) shows  
466 an exemplary case that represents the impact of scan density on prediction accuracy in both the  
467 small and large diameter rebars. The TLS was positioned on the left and as a result, the area of  
468 small rebar diameter groups in the middle of the horizontal rebar cage has many cases of false  
469 predictions. This is because the area has small rebar diameters, resulting in a low scan density  
470 ranging from 14.3 pts/ mm<sup>2</sup> to 82.4 pts/ mm<sup>2</sup>. Based on the findings, a scan density value of at  
471 least 80 pts/mm<sup>2</sup> on small rebar diameters from D10-D20 is necessary for successful rebar  
472 diameter prediction. Note that for each instance, the length of the instance is 100 mm, so much  
473 larger scan points are contained in the unit area (mm<sup>2</sup>). In summary, it can be concluded that it  
474 is essential to conduct scan planning before actual scanning in order to achieve a high rebar  
475 diameter prediction, as well as rebar spacing estimation.

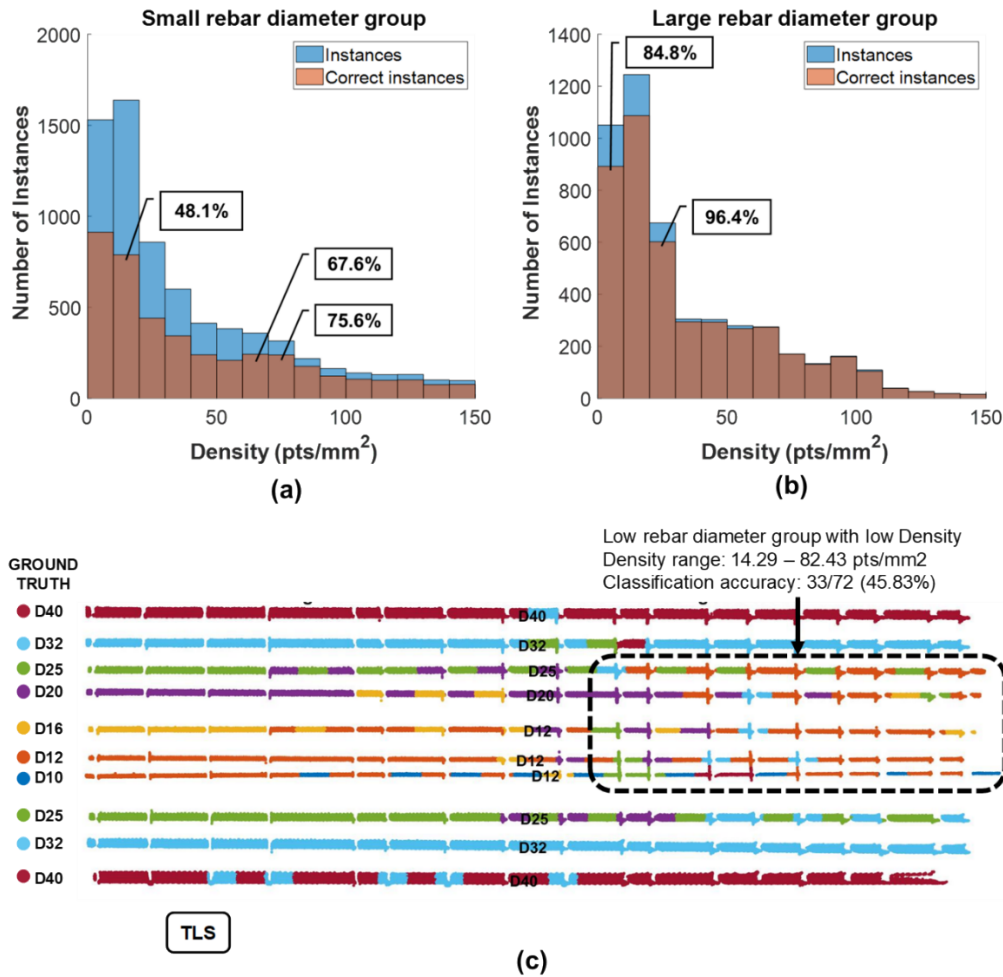
## 476 **6. Conclusion and future work**

477 This study presents a new TLS-based approach that automates the classification of rebar  
478 diameters using machine learning in order to enable accurate rebar spacing inspection. In this  
479 study, a new methodology named Density based Modeling (DBM) is proposed to improve  
480 classification accuracy. Experimental tests on laboratory specimens with rebars of seven  
481 different diameters (D10-D40) were conducted and the results show that the prediction accuracy  
482 for large rebar diameter group (D25-D40) was up to 97.2%. However, it was found that its  
483 performance in predicting small rebar diameter group (D10-D20) is much lower - around 56.0%.  
484 The lessons learned from the results are (1) the proposed DBM method for rebar diameter  
485 prediction is superior to the traditional machine learning approach; and (2) scan density is one  
486 of the most important factors affecting the prediction results, especially in the small rebar  
487 diameter group (D10-D20); and (3) based on the findings of the study, a scan density value of  
488 at least 80 pts/mm<sup>2</sup> on the cross section plane to rebar instance with 100 mm length is necessary  
489 in small rebar diameters from D10-D20 for successful rebar diameter prediction. In addition, in  
490 practice, it is essential to conduct scan planning before actual scan in order to achieve a high  
491 rebar diameter prediction as well as rebar spacing estimation.

492 However, there are some limitations of the proposed technique, which are avenues for future  
493 research. First, accurate prediction of small-diameter rebars is still a challenging task using the  
494 proposed method. This issue may be further investigated by finding more robust features for

495 accurate machine learning performance. In addition, a scan planning method that computes the  
 496 scan density and determines an optimal scan position may address the issue of low accuracy in  
 497 small-diameter rebars.

498



**Figure 13.** Effect of scan density on the rebar diameter prediction accuracy at the instance level: (a) In the small rebar diameter group; (b) in the large rebar diameter group; and (c) on the left scan (SP1) with the scan high density

499

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