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1	Reliability-based Retrofit Assessment of Coastal Bridges Subjected to Wave Forces
2	using 3D Numerical modeling and Machine Learning
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# 4 Abstract

5 This paper proposes a comprehensive analysis framework, combining three-dimensional (3D) numerical model and machine learning, to investigate probabilistic performance of retrofit 6 7 actions on coastal bridges subjected to extreme wave forces. Specifically, a 3D Computational 8 Fluid Dynamics (CFD) model is developed to calculate extreme wave load on the bridge 9 superstructure, which could provide more accurate results as compared with traditional two-10 dimensional (2D) model. The established 3D model is validated by laboratory experiments. 11 The characteristics of wave forces are parametrically investigated, and an Artificial Neural 12 Network (ANN) model is utilized to quantify the loading effects with multiple surge and wave 13 parameters. Such numerical-based ANN model could predict wave forces under variable scenarios accurately, and significantly reduce the high computational cost of the 3D numerical 14 model. Based on the numerical and machine learning results, the bridge fragility curve is 15 16 derived by considering uncertainties associated with structural demand, capacity, and hurricane 17 hazard. Long-term failure risk is assessed under different climate change scenarios. Furthermore, different retrofit methods to improve structural performance and reduce failure 18 risk are examined according to the proposed framework, including inserting air venting hole, 19 20 enhancing connection strength, and elevating bridge structure. The proposed framework could 21 facilitate the optimal and robust design and maintenance of coastal infrastructures under 22 hurricane effects in a long-term time interval.

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Keywords: Coastal bridge; 3D CFD model; Retrofit; Artificial Neural Network; Climate
 change; Probabilistic fragility model.

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#### 31 **1. Introduction**

32 Due to the climate change scenarios (e.g., the increasing sea level rise and amplification of 33 cyclone intensity), the extreme waves could approach the coastal bridges and lead to severe 34 damage, especially for the low-lying simply supported bridges. For instance, the hurricane Ivan 35 (2004) and Katrina (2005) destroyed a number of coastal bridges along the Gulf Coast of 36 Mexico (Huang & Xiao 2009; Okeil & Cai 2008). Since then, wave load calculation for bridge 37 under hurricane induced surge, wind and wave has attracted increasing attention. Damaged 38 bridges not only cause direct financial loss, but also affect transportation and rescue problem, 39 threatening public safety. Vulnerability and reliability analysis for existing bridges, and 40 identification of appropriate retrofit measure could reduce bridge failure risk as well as 41 maintenance cost (Dong & Frangopol, 2016; Li et al., 2020; Qin, 2018). Thus, a systematic analysis of coastal bridge performance under hurricane waves, and evaluation of retrofit 42 43 methods are of vital importance.

44 The bridge failure mode and associated capacity and demand should be first identified, 45 which requires a deep exploration on bridge performance under hurricane-induced wave and 46 surge loads. Douglass et al., (2004); Padgett et al., (2008); and Robertson et al., (2007) 47 conducted field surveys on the damaged coastal bridges during Hurricane Ivan and Hurricane Katrina, and accordingly, one of the major failure modes is deck unseating (Akiyama et al. 48 49 2012; Ataei & Padgett 2013; BRICKER 2011). The combination of hydrodynamic and 50 hydrostatic forces, as well as effects of trapped air, could overcome the weight of the 51 superstructure (Hayatdavoodi et al. 2014a; Hayatdavoodi & Ertekin 2016). The wave-deck 52 interaction has aroused increasing concern in last decade, and more studies are required 53 considering the complicated hydrodynamic problem. For instance, Bradner et al., (2011) 54 conducted a 1:5 scale experiment to measure the wave loads on the bridge superstructure, 55 observing a second-order relationship between force and wave height. Guo et al., (2015) 56 experimentally measured wave force on a bridge deck under regular waves and compared with 57 analytical results. Considering the high expense of large-scale experiment, numerical studies 58 based on two-dimensional (2D) model have also been well adopted (Jin & Meng, 2011; Seiffert

59 et al., 2014; Xiao et al., 2010). However, Xu et al., (2016) conducted numerical research of 60 solitary wave forces, and concluded that a 2D model may not fully capture some features and 61 a three-dimensional (3D) model was recommended for future studies. Bozorgnia & Lee, (2012) 62 and Motley et al., (2016) also pointed out that simplification by using 2D model could lead to 63 errors, and 3D model should be studied for more reliable results. There is growing focus on the 64 utilization of spatial (3D) model on the structural reliability analysis and risk management (Qin 2012; Qin & Faber 2012). Therefore, this study utilizes a 3D Computational Fluid Dynamics 65 66 (CFD) model to simulate the wave-deck interaction and to compute the maximum wave loads. 67 Such method was compared and validated by experimental measurements (Zhu & Dong 2020). 68 Also, discussion and comparisons of the differences between 2D and 3D computational results 69 are presented in this paper. In this study, the solitary wave model is adopted to simulate extreme 70 hurricane waves for its stable form and advantages of parametric study (Veritas 2000). Given 71 a preliminary understanding on structural responses, other types of waves (e.g., periodic wave 72 and cnoidal waves) will be investigated in future studies.

73 Since bridge damages can result in large economic and safety consequences, retrofit 74 measures applied to existing bridges are important for coastal infrastructure management 75 (Mondoro et al. 2017). Three retrofit methods investigated in this study include elevating 76 bridge structure, enhancing connection strength, and inserting air venting holes. Elevation of 77 the bridge superstructure for larger clearance is one of the most effective methods, since the 78 surge and wave load depends significantly on the relative distance from the water level to the 79 bridge deck (Xu & Cai 2017). Based on investigations on wave forces on coastal bridge decks, 80 elevating bridge structures to reduce failure risk was highlighted (Padgett et al., 2008; Xu et 81 al., 2017). Such method has been adopted to prevent surge and flooding risk in New York City 82 region for coastal infrastructures (NYCDEP 2008; Rosenzweig et al. 2011).

In addition, tie-down, restrainer, and anchorage bar, among others, may be added to bridge to provide additional connection between the substructure and superstructure (Zheng et al. 2018; Zheng & Dong 2019). These devices increase the capacity to resist upward and transverse movement subjected to surge and wave forces during hurricanes (Robertson et al. 2007). Robertson et al., (2011) conducted survey on the damaged bridges during Hurricane Katrina,
and found the connections were inadequately designed. Lehrman et al., (2012) examined
structural performance of three commonly used connections: headed studs, through bolts, and
clip bolts under different loading conditions. It was concluded that none of the anchorages
could withstand the extreme wave forces, and combination of these connections is necessary.
Thus, enhancing connection strength as a potential adaptation method is investigated in this
study.

94 Furthermore, inserting holes in the superstructure to allow entrapped air beneath the deck 95 to flow out can reduce total vertical force (AASHTO 2008; Sawyer 2008). It has been proven 96 that the trapped air between girders and deck could significantly increase vertical wave loads 97 (Azadbakht & Yim 2016; Bricker & Nakayama 2014; Istrati & Buckle 2019; Matemu et al. 98 2020), and there were several studies focusing on the countermeasure of inserting air venting 99 holes (Cuomo et al., 2009; Hayatdavoodi et al., 2014b; Xu et al., 2017). However, due to the 100 complex wave-air-deck interaction, there are deviations when converting experimental-scale 101 results to prototype-scale results (Seiffert, 2014). Detailed investigations targeting on specific 102 cases are recommended for practical engineering problem (AASHTO 2008), and this aspect is 103 conducted in this study.

104 Nowadays, the climate change has caused an increasing threat to coastal infrastructures. 105 There has been growing evidence that the climate change could affect both the frequency and 106 intensity of hurricane events (Bender et al. 2010; Elsner et al. 2008). Knutson et al., (2010) 107 assessed the hurricane speed may increase by 20% around the world in the 21<sup>st</sup> century. Australian Greenhouse office also claimed that wind speed would increase by 5-10% by year 108 109 2070. Long-term performance of coastal bridges considering climate change issues has aroused 110 widespread concern within the hazard management process. For example, Biondini & 111 Frangopol, (2016), Dong & Frangopol, (2017), and Guo & Chen, (2016) focused on life-cycle 112 cost of infrastructure systems and highlighted the necessity of applying mitigation strategies to 113 deal with climate change effects. Moftakhari et al., (2017) assessed the increase in failure probabilities caused by compounding effects of sea level rise and flooding. Khelifa et al., (2013) 114

estimated that the long-term loss of bridge infrastructures would increase 15% under climate change scenarios. Thus, adaptation methods of coastal bridges are necessary considering the intensifying climate change scenarios.

118 Although there existed several studies on hydraulic loads on coastal bridges caused by 119 surge and waves, it still lacks a systematic investigation on the structural reliability and effects 120 of relative retrofit measures on structural long-term performance based on 3D numerical model. 121 To address this issue, the first objective of this study is to better investigate the vulnerability 122 and reliability of coastal bridges subjected to extreme waves. The second objective is to 123 examine the effects of different retrofit measures in reducing the long-term bridge failure risk. 124 The considered retrofit actions include inserting air venting hole, enhancing connection 125 strength, and elevating bridge structure. To this end, this study proposes a systematic 126 framework to investigate the vulnerability and reliability of coastal bridges under different retrofit actions. An experimental validated 3D numerical model is established to investigate the 127 128 complex wave-structure interaction, calculating more accurate wave force as compared with 129 traditional 2D model. Differences between 2D and 3D are presented and discussed. Then, the 130 characteristics of the wave forces are parametrically investigated and modeled by the Artificial 131 Neural Network (ANN), which provides a prediction method for wave forces under various 132 conditions and significantly reduces computational cost. Subsequently, bridge fragility curves 133 are derived for different hurricane scenarios by considering the uncertainties associated with 134 structural capacity and demand. The long-term bridge failure risk is assessed by considering 135 the climate change effects. Based on the proposed framework, different retrofit methods are 136 examined and compared, including inserting air venting holes, enhancing connection strength, 137 and elevating bridge structure. The remainder of the paper are organized as follows. The 3D 138 numerical investigations on wave-structure interaction and ANN modeling are presented in 139 section 2. The bridge reliability and risk analysis are introduced in section 3. Evaluation and 140 comparison of different retrofit methods are shown in section 4. Finally, conclusions are drawn, 141 and future work is highlighted in section 5.

## 142 **2.** Investigation on the wave force using 3D CFD model

143 Due to the complex wave-structure interaction and high expense of large-scale experiment, 144 numerical simulation is a common method to assess the wave induced force on the bridge. 145 However, results from traditional 2D model could deviate from the real values due to spatial 146 limitation of the numerical domain (Zhu & Dong 2020). The complicated hydrodynamic 147 problem, including the wave deformation, trapped air between girders and deck, and wave 148 overtopping phenomenon, could not be well simulated in a 2D model. A more sufficient model 149 (*i.e.*, 3D model) is required for better results. Recognizing this, this study establishes a 3D 150 Computational Fluid Dynamics (CFD) model with ANSYS Fluent. The relative model setups, 151 numerical results, experimental validation, comparisons between 2D and 3D models, and data 152 processing and discussion are presented in this section.

# 153 2.1 3D CFD modeling and boundary conditions

154 A typical I-10 simply supported bridge located in the south-east coastline of Florida, USA is 155 selected as shown in Fig. 1 (a). The type of bridges built over Escambia Bay (Florida) were 156 severely damaged during Hurricane Ivan (2004). Detailed reconnaissance report could be 157 found in Douglass et al., (2004). For the investigated bridge model, the span is 15.85 m long, 158 and has six I-shaped girders evenly distributed along the deck. The deck width is 9.6 m, and the total height, as the sum of girder height and deck thickness, is 1.44 m. 4 pairs of flip-bolt 159 160 connections (a total of 8) are used to connect the bridge superstructure and substructure at 161 seaward and landward bearings (Yuan et al. 2018).



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Fig. 1 (a) Investigated bridge model and (b) 3D CFD model and boundary conditions

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The established 3D CFD domain and boundary conditions are shown in Fig. 1 (b). The I-165 166 shaped girders are simplified as rectangular to increase the computational efficiency (Huang & 167 Xiao 2009). The initial water depth is set as 10 m, and initial clearance is 4 m. The wave is 168 generated at the velocity inlet plane ABCD by using User Defined Functions (UDF) and flows 169 towards the pressure outlet plane EFGH. Plane ADHE is set as pressure outlet with one atmosphere (*i.e.*, 101, 325 kPa). Other planes are set as stationary wall. Note that Fig. 1 (b) 170 171 only presents part of the computational domain, and there is another 100 m long domain 172 between the outlet plane and bridge model to minimize the wave reflection effects. The meshes 173 of this part are relatively coarsen, which could both increase the calculation speed and reduce wave reflection. 174

In this study, the solitary wave model (Sarpkaya & Isaacson 1981) is employed to simulate the extreme waves. A soliton could maintain a relatively stable waveform within processing, which is beneficial for parametric study on wave force and experimental validation for the numerical model. In addition, the extreme impact from a soliton could highly exceed the deck weight, and thus this model has been often adopted in previous research on tsunamis and hurricanes (Madsen et al. 2008; MUNK 1949; Zhang et al. 2015). The dimensional quantities to describe the wave profile are

$$\eta(x,t) = H\operatorname{sech}^{2} \sqrt{\frac{3}{4} \frac{H}{D^{3}}} (x - ct)$$
(1)

$$c = \sqrt{g(D+H)} \tag{2}$$

182 where  $\eta$  = free surface elevation above still water level; x = distance from the origin; t = time; 183 D = water depth; H = wave height; c = wave celerity; and g = gravitational acceleration. The 184 wave particle velocities u (in x direction, same as the wave flow) and v (in y direction, vertical 185 to the ground) are determined as (Sarpkaya & Isaacson 1981)

$$\frac{u}{\sqrt{gD}} = \varepsilon \operatorname{sech}^2 q + \varepsilon^2 \operatorname{sech}^2 q \left\{ \frac{1}{4} - \operatorname{sech}^2 q - \frac{3}{4} \left( \frac{s}{D} \right)^2 \left( 2 - 3 \operatorname{sech}^2 q \right) \right\}$$
(3)

$$\frac{v}{\sqrt{gD}} = \varepsilon \sqrt{3\varepsilon} \left(\frac{s}{D}\right) \operatorname{sech}^2 q \tanh q \left\{ 1 - \varepsilon \left[\frac{3}{8} + 2\operatorname{sech}^2 q + \frac{1}{2} \left(\frac{s}{D}\right)^2 \left(1 - 3\operatorname{sech}^2 q\right) \right] \right\}$$
(4)

$$q = \frac{\sqrt{3\varepsilon}}{2D} \left( 1 - \frac{5}{8} \varepsilon \right) (x - ct) \tag{5}$$

186 where  $\varepsilon = H / D$ ; s = y + D; and y = the distance from the still water level to the wave crest, 187 which is negative if the free surface is lower than the initial water level.

In the simulation process, the volume of fluid method (VOF) is used to predict the 188 189 changing dynamic free surface. Air is set as phase-1, and water-liquid is set as phase-2. The 190 water and air are assumed as incompressible flow. The shear stress transport (SST k- $\omega$ ) model 191 is used to capture the turbulent characters of the wave-deck interaction. In the numerical 192 domain, tetrahedron mesh is utilized around the bridge model to fit its irregular shape. The 193 mesh sizes are examined by performing mesh sensitivity analysis to satisfy the Courant Number (Robertsson & Blanch 2020). After several calculations and comparisons, the 194 195 tetrahedron mesh size is determined as 0.6 m and the fixed time step is 0.01 s. The corresponding Courant Numbers of the investigated cases are around 1/3. 196

# 197 2.2 Experimental setups and validation

The established 3D CFD model was validated by laboratory experiment. A 1:30 scale experiment designed according to Froude similitude (Steffler 1999) was conducted at the Hydraulics Laboratory of the Hong Kong Polytechnic University as shown in Fig. 2 (a). Wave induced forces on the bridge model under different wave conditions were measured and compared with numerical results, proving the accuracy of the 3D CFD model.

The 1:30 scale bridge model was made of acrylic board, 0.52 m in length and 0.32 m in width. The laboratory experiment was conducted in a 30 m long wave channel. The wave channel is 1.5 m in width and 2 m in height. A piston type wave maker controlled by DHI (Danish Hydraulic Institute) system was set at one end of the channel to generate waves. A slope and several floating foam blocks were set on the other end to minimize wave reflections. Capacitive wave gauges were utilized to measure the changing water surface, and a multi-axis 209 load cell was equipped to measure the wave loads on the deck at a frequency of 100 Hz (as Fig. 210 2 (b)). Instrument calibration is performed for the load cell in x, y, and z directions, respectively. 211 A schematic diagram of the experimental setup is shown in Fig. 2 (c). This study mainly focuses 212 on vulnerability analyses of coastal bridges and retrofit measures, and more details of 213 experimental setups and model validation could be found in Zhu & Dong, (2020).



Fig. 2 (a) Photo of the bridge model during the test; (b) photo of installation of experimental
facilities; and (c) schematic diagram of the experimental setup

218 2.3 Comparisons of 2D and 3D CFD models

Comparisons of the simulated wave progressing in the 2D and 3D CFD models are presented in Fig. 3, in which the interactions of water and air phases are represented by different colors (1 for water phase and 0 for air phase based on the VOF method). Apparently, the 2D model could not simulate the wave and air flow in the longitudinal direction (z axis), thus, the air is fully trapped between girders and deck, as indicated in Fig. 3 (a). In contrast, the 3D model contains more structural details including girders and diaphragms, and successfully simulates the wave-air interaction between deck and water surface, as shown in Fig. 3 (b). More details could be found in the top view of the 3D model as Fig. 3 (c). Thus, a 3D model could provide



227 more reliable results as compared with a 2D model.



Fig. 3 Comparisons of wave profiles in the 2D and 3D models

In addition, comparisons of maximum vertical and horizontal wave forces ( $F_v$  and  $F_h$ ) calculated from 2D and 3D CFD models are presented in Fig. 4. The water depth of the illustrated cases is 12 m, and the associated clearance  $Z_c$  is 2 m. Note that wave forces computed from the 2D model are in per unit length and converted by multiplying the deck length. Generally, wave forces calculated by 2D model are 15 – 20% larger than those by 3D model due to the fully trapped air beneath the deck as mentioned previously. Applying a 3D CFD mode could get more reliable results.



Fig. 4 Comparisons of maximum wave forces between 2D and 3D models

#### 239 2.4 Characteristics of wave forces

The wave-air-structure interaction simulated in the 3D CFD model for a typical case (D = 12.5240 241 m and H = 3 m) is presented in Fig. 5. In this case, the bridge deck is elevated from the surge 242 water level, and the wave is large enough to exceed the top of the deck. The changing 3D 243 numerical domains are presented in Figs. 5 (a), (c), and (e), respectively, and the corresponding 244 wave profiles in the middle of the deck are shown in Figs. 5 (b), (d), and (f). The three stages 245 include: initial stage before the wave arrives, the water surface starts to rise, and overtopping 246 occurs. The solitary wave progresses along the x axis, from the left side to the right side. The 247 air phase in the upper part of the numerical domain is not shown for a clear illustration. The 248 wave profile is disturbed when the crest reaches the bridge deck as indicated in Fig. 5 (d), and 249 overtopping occurs as the water surface further increases. It is observed in Fig. 5 (f) that there 250 exists a large amount of air trapped in the small cell formed by deck, girders, and diaphragms. 251 The trapped air could significantly increase the total uplift wave forces on the bridge deck, 252 threatening the structural safety.



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Fig. 5 Simulated wave-air-structure interaction in the 3D numerical model

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Fig. 6 shows the peak vertical and horizontal wave forces on the bridge deck during the wave-structure interaction for different surge and wave scenarios. The peak vertical wave force  $F_v$  is in a near linear relationship with wave height *H*, and increases for larger surge water depth *D*. For small *H*,  $F_h$  has similar value for all the water depths, while for larger *H*,  $F_h$  increases as *D* increases. Generally,  $F_v$  has a much larger magnitude than  $F_h$ . The maximum vertical wave force  $F_v$  could reach an extreme large value over the self-weight of the bridge span, which is about 2200 kN per span, resulting in deck unseating failure.





Fig. 6 Peak vertical and horizontal wave forces

### 265 2.5 Quantification of the results based on the ANN

266 The 3D CFD model could provide reliable results as introduced previously but may be limited to its high computational cost. In addition, the wave force on the bridge model is affected by 267 268 many variables including surge and wave parameters as well as structural dimensions. It could 269 hardly reach an accurate estimation of the wave force by using common analytical methods. 270 For instance, AASHTO (2008) proposed complicated formulas to calculate maximum wave 271 forces; however, the estimated results could also deviate from experimental measurements 272 (Guo et al., 2015), and specific investigations are recommended on different cases (AASHTO 273 2008). For a more accurate prediction of wave forces under various wave conditions, especially 274 for the probabilistic risk assessment which requires large amount of calculations, Artificial 275 Neural Network (ANN) is adopted as a multivariate regression method to model the correlated 276 results (Demuth et al. 2014).

The general structure of an ANN is shown in Fig. 7, which is comprised of a collection of connected neurons associated with three types of layers: the input layer, the hidden layer(s) and the output layer. The nonlinear relations between the input X and the output Y are modeled through the connections between the neurons. The output of a neuron in a hidden layer is a function of the linear combinations of the outputs from the neurons in the previous layer as

$$Y = k \left( \sum w_i X_i + b \right) \tag{6}$$

where k = activation function considered as the sigmoid function;  $X_i$  = outputs from the previous layer;  $w_i$  = weight (importance) of each output; and b = bias term.



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Fig. 7 Schematic of Artificial Neural Network (ANN) structure

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287 ANN model is trained using stochastic gradient descent, which uses randomness to find a good enough set of weights from the inputs to the outputs. To prevent all of weights in the 288 machine learning model from being the same, the network weights are first randomly initialized. 289 A total of 235 samples (i.e. computational wave forces from the 3D model) are calculated. 70% 290 291 (165) are used to train the neural mode. 15% (35) are used as a validation set, which is 292 employed to determine the termination point of the training process and avoid over fitting. 293 Another 15% (35) is retained to test the model trained from the rest data. Different wave 294 parameters and their interaction effects with each other (i.e., the product of two parameters) are 295 used as inputs to the neural network, including water depth D, wave height H, clearance  $Z_c$ , wave celerity c, wave period T, wavelength  $\lambda$ , and wave steepness S. After several calculations 296 297 and comparisons, 3 hidden layers are adopted in this study, 10 neurons are used in each layer, 298 and the Levenberg-Marquartdt backpropagation algorithm is adopted as the transfer functions. 299 The training results are presented in Fig. 8. As indicated, the output from the ANN model 300 matches the target value (input) well. The Normalized Root-Mean-Square Error (NRMSE) is 301 adopted as the goodness-of-predict, which is calculated as

NRMSE = 
$$\frac{\text{RMSE}}{y_{\text{max}} - y_{\text{min}}} = \frac{\sqrt{\sum_{i=1}^{n} \frac{(y_i - y_i)^2}{n}}}{y_{\text{max}} - y_{\text{min}}}$$
 (7)

302 where n = number of the observations; and  $\hat{y}$  and y = predicted values and observed values 303 respectively. The ANN model for  $F_v$  has small NRMSE value as 0.036, which indicates good

304 training and prediction performance.



305 306

Fig. 8 Training results from Artificial Neural Network (ANN)

# 307 3. Bridge reliability analysis under hurricane-induced waves

The 3D CFD model presents the structural responses under hurricane wave intuitively, while the application of this method in practical engineering issue relies on the subsequent vulnerability and reliability analysis. The reliability analysis could assess the structural probabilistic performance during hurricane event by comprehensively considering the structural properties, hazard intensities, and uncertainties associated with demand and capacity. Furthermore, the climate change effects (e.g., amplification in hurricane intensity and occurrence rate) could have significant influence on the long-term structural performance and 315 should be investigated as well. To address all these issues, this section mainly introduces the 316 probabilistic vulnerability model of coastal bridges and the long-term failure risk analysis 317 considering climate change effects.

318 3.1 Probabilistic vulnerability model and fragility surface

A primary failure mode of coastal bridges subjected to wave forces is deck unseating (Ataei & Padgett 2013). Once the external wave loads on the bridge superstructure exceeds the capacity (or resistance), the limit state reaches, and deck failure occurs. The general function of the vulnerability model is given as

$$P(F) = P[G(C_{\nu}, D_{\nu}) \le 0 \text{ or } G(C_{h}, D_{h}) \le 0 | IM]$$
(8)

where P(F) = probability of deck unseating failure; G = limit state function; C = structural 323 capacity; D = structural demand; IM = hurricane hazard intensity measure; and the subscript v 324 325 and h account for wave effects in vertical and horizontal directions, respectively. The structural 326 demand, *i.e.* the maximum vertical and horizontal wave loads on the bridge deck, could be derived from the ANN prediction model as mentioned previously. The vertical structural 327 328 capacity consists of the dead weight of the bridge span as well as the connection strength 329 between the bridge superstructure and substructure (Ataei & Padgett 2013). The horizontal 330 capacity is mainly provided by connections and the friction between the bridge deck and bent. The static weight of the bridge span  $W_s$  can be calculated as 331

$$W_s = \left(d_b W + A_g n_g\right) \gamma l \tag{9}$$

where W = the deck width;  $A_g =$  cross-sectional area of girders;  $n_g =$  girder numbers;  $\gamma =$  unit weight of the material; and l = span length. The friction between the bridge deck and bent  $F_f$  is calculated as

$$F_f = \left(W_s - F_v\right)\mu\tag{10}$$

335 where  $\mu$  = coefficient of friction between concrete surfaces taken as 0.6 (ACI 2008). Note that 336  $F_f$  is only considered when  $W_s > F_{\nu}$ . The flip-bolt connection strength can be estimated by the 337 concrete spalling strength as (Ataei & Padgett 2013; Yuan et al. 2018)

$$N_{cb} = \frac{A_N}{A_{N0}} \psi_2 \psi_3 N_b \tag{11}$$

where  $N_{cb}$  = the concrete breakout strength;  $A_N$  = projected area of the failure surface for the anchor;  $A_{N0}$  = projected area of the failure surface of a single anchor remote from edges;  $N_b$  = the basic concrete breakout strength of a single anchor; and  $\psi_2$  and  $\psi_3$  = modification factors. Based on previous investigations on connection strength (Robertson et al. 2011; Yuan et al. 2018), the vertical capacity provided by each flip-bolt connection is about 44 kN, while horizontal capacity is 96 kN on average.

The numerical and analytical methods provide deterministic results for structural demand and capacity, which may deviate from real values because of the uncertainties associated with structural demand and capacity. For instance, the concrete density could be slightly different from the standard values, and its strength would also be different. Thus, the probabilistic distributions of demand and capacity variables are considered in the reliability analysis and introduced in this section. A Weibull-generalized Pareto (WGP) model (Wu et al. 2016) is employed to model the wave height distribution in coastal shallow water as

$$f_{W}(h) = \frac{\kappa\varphi}{\rho H_{s}} \left(\frac{h}{\rho H_{s}}\right)^{\kappa-1} \exp\left[-\varphi\left(\frac{h}{\rho H_{s}}\right)^{\kappa}\right] \qquad h \le H_{s}$$
(12)

$$\kappa = 2 \left( 1 - \omega \left( \frac{H_s}{D} \right)^{1.7} \right)^{-1} \tag{13}$$

$$f_{GP}(h) = \frac{1}{\alpha \rho H_s} \left( 1 + \frac{\xi}{\alpha} \frac{(h - \rho H_s)}{\rho H_s} \right)^{-\frac{1}{\xi} - 1} \qquad h > H_s$$
(14)

$$\xi = \alpha \left( 1 - 2\beta \pi \frac{\tanh(k_L D)}{k_L \rho H_s} \right)^{-1}$$
(15)

351 where  $\varphi$  = scale parameter of the Weibull distribution taken as 5;  $H_s$  = significant wave height; 352  $\omega$  = Weibull shape adjustment coefficient taken as 1;  $k_L$  = wave number;  $\alpha$  = GP scale parameter 353 taken as 0.22;  $\beta$  = Miche limit coefficient taken as 0.15 (Miche 1944); and  $\rho$  = estimation factor 354 taken as 1 (Wu et al. 2016). The surge height distribution during a hurricane is hard to predict 355 due to the complex meteorological environment. Several investigations tried to predict the surge height distribution, but lack of data support due to difficult field measurement. Hence, a uniform distribution ranging  $\pm 20\%$  is utilized for the surge height (Saeidpour et al. 2019).

358 With respect to the structural capacity, uncertainties in the unit weight of construction 359 materials, workmanship error and construction error are considered in the capacity modeling. 360 A normal distribution for concrete and steel density is used in this study according to JCSS (2006). The mean density for reinforced concrete is  $2,400 \text{ kg/m}^3$  and the coefficient of variation 361 (COV) is 0.04. For steel, the mean density is 7,850 kg/m<sup>3</sup> and COV is 0.01. A uniform 362 distribution with lower and upper limits of 95 and 105% is used to account for workmanship 363 and construction errors in deck thickness. Additionally, a model error  $\varepsilon$  accounting for the 364 365 concrete strength uncertainties with a mean of 1 and COV of 0.23 (Eligehausen et al. 2006) is 366 applied when calculating  $N_{cb}$ . Table 1 lists parameters with respect to demand and capacity 367 modeling, where  $\mu$  is the mean value and  $\sigma$  is the standard deviation.

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## Table. 1 Parameters required for demand and capacity calculation

Structural parameters							
Deck thickness $d_b$	Uniform	95%~105%					
Concrete density $\gamma_c$	Normal	$\mu = 2400,  \sigma = 96$					
Steel density $\gamma_s$	Normal	$\mu = 7850,  \sigma = 78.5$					
Concrete breakout strength $N_b$	Normal	$\mu = 176, \sigma = 40.48$					
Breakout model error $\varepsilon$	Normal	$\mu = 1, \sigma = 0.23$					
Wave parameters							
Wave height H	WGP distribution						
Surge height S	Uniform distribution	$\pm 20\%$					
Surge water depth D	Initial water depth plus surge height						

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Furthermore, the bridge failure probability under certain intensity hurricane could be assessed by tracing the correlation between wave properties with hurricane intensity. The significant wave height is determined by the maximum hurricane wind speed as (CERC, 1984)

$$H_s = 5.112 \times 10^{-4} U_A F^{1/2} \tag{16}$$

$$U_{A} = 0.71 U_{\max}^{1.23} \tag{17}$$

373 where  $U_A$  = wind-stress factor; F = fetch length, which is treated deterministically as 1 km; and 374  $U_{\text{max}}$  = maximum hurricane wind speed. The surge height *S* is assumed as a linear function with 375  $U_{\text{max}}$  (Liang & Julius 2011).

376 With the probabilistic vulnerability model introduced above, the bridge fragility probability under given IM(s) could be assessed. Fig 9 (a) presents the fragility surface 377 378 generated for different surge and wave scenarios. As illustrated, the bridge failure probability 379 sharply increases with larger D and  $H_s$ . P is more sensitive to the value of D than  $H_s$ , which 380 indicates increasing the relative distance from the deck to water level could be an effective retrofit. Additionally, the fragility curve derived for various hurricane intensities is plotted as 381 382 Fig. 9 (b). Hurricane categories based on the Saffir-Simpson Hurricane Wind Scale (SSHWS) 383 are highlighted as well. It is observed that the bridge is under relatively small failure risk under 384 a category 2 or 3 hurricane, while sharply increases for hurricane above category 4.



Fig. 9 (a) Bridge fragility surface and (b) bridge failure risk versus maximum hurricane
wind speed



389 The long-term failure risk refers to the bridge failure probability under hurricane wave impacts 390 during its service life, which could be assessed by accumulating the product of hazard 391 occurrence rate and the corresponding bridge failure probability under the investigated hazard 392 scenario. Combining the fragility surface with appropriate hurricane occurrence model, as well as the climate change effects, the long-term bridge failure risk during the service life could beassessed, which expedites management and maintenance.

Several studies utilized annual wind speed distribution over an area as the hurricane 395 396 occurrence model (Batts et al. 1980; Peterka & Shahid 1998), but such method may 397 underestimate the duration when the hurricane wind speed is zero (i.e., hurricane does not 398 occur). Recognizing this, the method of utilizing the probability distribution of the maximum wind speed during a hurricane event to describe the hurricane risk (Li et al., 2016) is adopted 399 400 in this study. Li et al., (2016) summarized a two parameter Weibull distribution for the 401 maximum hurricane wind speed by collecting historical hurricane data record (for a period over 402 100 years) from the US National Hurricane Center's Database. Additionally, a Poisson point 403 process model is utilized to calculate hurricane occurrence rate. The cumulative density 404 function (CDF) of the maximum wind speed during hurricane events  $F_U$ , and the CDF of maximum wind speed during [0, T] period  $F_r$  in this method are given by 405

$$F_{U}(u) = 1 - \exp\left[-\left(\frac{u}{\alpha}\right)^{\beta}\right]$$
(18)

$$F_r(u) = \exp\left[-\omega T\left(1 - F_U(u)\right)\right]$$
(19)

406 where u = wind speed;  $\alpha$  and  $\beta =$  parameters sorted from the weather record data; T = duration 407 in year; and  $\omega =$  mean annual occurrence rate of the hurricane.

408 Furthermore, to describe the long-term climate change effects including the amplification 409 in hurricane occurrence rate and intensity, the  $\omega$  and  $\alpha$  are treated time-variant as  $\omega(t)$ ,  $\alpha(t)$ , 410 while the scale parameter  $\beta$  is assumed unchanged as (Bjarnadottir et al. 2011)

$$\omega(t) = \omega_0 + r_\omega t \tag{20}$$

$$\alpha(t) = \alpha_0 + r_a t \tag{21}$$

411 where  $r_{\omega}$  and  $r_a$  = annual increment rate in hurricane occurrence rate and maximum wind speed. 412 Based on previous research on climate change effects (Knutson et al. 2010),  $r_{\omega}$  and  $r_a$  are taken 413 as  $4.9 \times 10^{-4}$  and 0.0718, respectively, which corresponds to a 10% increase in 50 years. The 414 relative parameter  $\omega_0$ ,  $\alpha_0$ , and  $\beta$  are determined as 0.245, 35.9, and 2.06, respectively based on 415 historical hurricanes record of Miami-Dade region obtained from the US National Hurricane
416 Center's Database (Li et al., 2016).

417 Comparisons of climate change (CM) effects on the maximum hurricane wind speed 418 during 20-year, 50-year, and 100-year assessment periods are presented in Fig. 10. It is apparent 419 that the  $U_{\text{max}}$  is significantly increased in all the cases. Correspondingly, the bridge failure risks 420 without considering CM effects are 0.1806, 0.3825, and 0.5991, while once with CM effects 421 included, these values enlarge to 0.2306, 0.5938, 0.9264, respectively.



Fig. 10 Cumulative distribution function (CDF) of maximum hurricane wind speed with and
without considering Climate Change (CM) effects

### 425 **4. Evaluation and comparison of different retrofit actions**

The performance and reliability investigations reveal high potential risk of bridge failure subjected to wave forces during a hurricane event. Such vulnerable bridges threaten public's life and property severely and retrofitting techniques could be a solution to this problem. In this section, effects of different retrofits in reducing the bridge failure risk are examined and compared. The investigated retrofit actions include inserting air venting holes, enhancing connection strength, and elevating bridge structure.

432 4.1 Inserting air venting holes

422

Air entrapment is an integral part of the total wave load on a coastal bridge deck. The presenceof air pockets between the water surface and bridge deck can result in an increase of the

effective volume of the deck and an increase in the buoyancy force. Through the laboratory experiments, El Ghamry, (1963) found that the formation of the air entrapment underneath the deck can result in ten times larger uplift forces. Cuomo et al., (2009) conducted laboratory experiments on a 1:10 scale bridge deck to examining the effects of air venting hole. It was shown that the air venting hole could significantly reduce the total pressure on the bridge deck. McPherson, (2010) discussed the hydrostatic effect of the trapped air and pointed out a more sufficient model for the wave-air-structure interaction is required.

Thus, a 3D CFD model is established in this study to investigate the effects of air venting hole on reducing the total wave loads for the investigated bridge. Considering the cored holes could affect the structural capacity, a conservative venting ratio of 3% (hole area over the deck area) is adopted in this study. It should be noted that although the component of trapped air would decrease in this case, the trapped air could not be fully released. The 3D bridge model with inserted holes is presented in Fig. 11, and other numerical model setups are similar to those introduced previously.



449 450

Fig. 11 3D bridge model with air venting holes

451 Comparisons of peak vertical and horizontal wave forces on bridge deck with and without 452 air venting holes are presented in Fig. 12. Four different surge water depths are shown including 453 D = 12.5, 13.0, 13.5, and 14.0 m. It is observed that inserting air holes could reduce the peak 454 vertical wave force  $F_v$  for 10 - 20% for the investigated cases. However, the peak horizontal 455 force  $F_h$  slightly increases, which may be attribute to the following reasons: (1) air venting hole 456 decreases the  $F_v$  forcing area (lateral surface), but increases the  $F_h$  forcing area (vertical 457 surface); (2) the escaped trapped air could cause hydrodynamic influence on the bridge deck; 458 and (3) the air venting hole disturbs the solitary wave profile and changes the wave force 459 property. Similarly,  $F_{y}$  is modeled by ANN for the subsequent reliability analysis.



461 Fig. 12 Comparisons of peak wave forces on bridge deck with and without air venting holes

# 462 4.2 Enhancing connection strength

460

463 The idea of using existing seismic retrofitting techniques for simply supported bridges as a solution to surge and wave problem has been proposed recently (Okeil & Cai 2008). The deck 464 465 unseating risk could be reduced by enhancing the connection strength between bridge 466 superstructure and substructure. Typical ways to stiffen the connection strength are through 467 flip-bolt connections or joint restrainers as shown in Fig. 13. These methods are designed to limit the relative displacement at the expansion joints when the original joint design does not 468 prevent loss of support. While pounding may still occur at the joint, these methods could 469 470 prevent unseating of the bridge deck and total damage of the structure.

The capacity of a flip-bolt connection could be determined by the concrete spalling strength, and that for the joint restrainer is estimated by tensile capacity of cables. There is a limit on the number of the constraints considering the drilling or coring of the existing concrete 474 structure is required. Based on previous research on connection strength (Robertson et al. 2011; 475 Yuan et al. 2018), the average vertical capacity from each flip-bolt connection is about 44 kN, 476 *i.e.*, 4 connections installed on the two ends of a girder could provide a total of 176 kN vertical 477 capacity. Considering the deck is only constrained at the seaward and landward bearings in the 478 original design, the retrofit action is to add connections on the remaining four girders as Fig. 479 13 (a). Thus, the additional connection strength is about  $44 \times 4 \times 4 = 704$  kN.



480

481

Fig. 13 (a) Flip-bolt connections and (b) typical joint restrainers

## 482 4.3 Elevating bridge structure

Since the uplift wave force is significantly influenced by the relative distance from the deck to the water level, elevating the structure could be one of the most effective ways to reduce the wave loads, which is widely adopted in post-disaster reconstruction. In this study, this method is investigated as well, and the bridge deck is assumed to be lifted by 0.5 m. The numerical modeling and reliability analysis can be conducted accordingly.

488 4.4 Comparisons of different retrofit methods

The fragility curves generated for the bridge deck improved by different retrofit actions are plotted in Fig. 14. As illustrated, 3% air venting hole decreases  $F_v$  by 10 - 20%, and similar effect is observed in reducing bridge failure probability. Enhancing connection strength has a better improvement effect for all the hurricane scenarios as compared with inserting air venting holes, and larger elevation has better retrofitting effects. Elevating bridge structure has a best performance among all the three methods, especially to resist large scale hurricane.



495

496 Fig. 14 Comparison of bridge failure probabilities with different retrofits 497 The bridge long-term failure risks with different retrofits are listed in Table. 2. As 498 indicated, elevating bridge structure is the most effective retrofit method in reducing the long-499 term failure risk. The failure probabilities for investigated time periods 20-, 50- and 100-year 500 with considering CM effects are 0.0759, 0.2655, and 0.6584, respectively. Influence of 501 inserting air venting hole is limited when considering the uncertainties associated with 502 hurricane hazard model and climate change effects. It should be noted that enhancing 503 connection strength has similar effects with elevating bridge structure during a short-term 504 period (0.1402 and 0.1909 for 20 year), which means that it could be utilized as an effective 505 emergency measure. Generally, neglecting the climate change effects could result in an 506 underestimation of long-term bridge failure risk by 10 - 20 %.

Table. 2 Bridge long-term failure risk under different scenarios

Retrofits		No retrofit	IAVH	ECS	EBS
	20 year	0.2306	0.1909	0.1402	0.0759
With CM effects	50 year	0.5938	0.5246	0.4196	0.2655
	100 year	0.9264	0.8921	0.8145	0.6584
	20 year	0.1806	0.1465	0.1045	0.0533
No CM effects	50 year	0.3825	0.3188	0.2345	0.1256
	100 year	0.5991	0.5180	0.3981	0.2288

Note: CM means Climate Change; IAVH means Inserting Air Venting Holes; ECS means
 Enhancing Connection Strength; and EBS means Elevating Bridge Structure.

#### 510 **5.** Conclusions

511 This study focuses on reliability-based retrofit assessment of coastal bridges subjected to extreme wave forces and proposes a systematic analysis framework using the 3D CFD model 512 513 and ANN method. The established 3D CFD model could simulate the extreme solitary wave, 514 as well as the complex wave-structure interaction. The wave-induced forces are investigated 515 and quantified with multiple surge and wave parameters by ANN model. According to the 516 numerical and machine learning results, bridge fragility curve is plotted comprehensively 517 considering the uncertainties involved in capacity, demand, and hurricane hazard. Given 518 climate change effects (e.g., increment in hurricane occurrence rate and amplification of 519 intensity), long-term failure risk is assessed.

520 Three retrofit measures are examined: inserting air venting holes, enhancing connection 521 strength, and elevating bridge structure. An additional 3D numerical model for the bridge deck 522 inserted with air venting holes is established to explore the effects of trapped air and air holes. 523 Their effects in improving structural performance and reducing bridge failure risk are compared. 524 The conclusions are as follows:

- The 3D CFD model reveals that the extreme wave force on the bridge deck could
   reach over 5000 kN per span, much larger than the static weight of the deck (about
   2500 kN). The deck could be easily uplifted and then washed away by lateral wave
   force.
- The original bridge is inadequately designed to resist hurricane surge and waves,
   especially under the climate change scenarios. The bridge failure risk reaches 0.2306,
   0.5938, and 0.9264 for a 20-, 50-, and 100-year evaluation duration, respectively.
- 3. A 3% venting ratio air holes could reduce the peak vertical wave force by 10% 20%,
  but the peak horizontal wave force increases, which may lead to problem of
  overturning and structural vibration.
- 535
  4. Enhancing connection strength between the bridge superstructure and substructure
  536
  could be an effective method in reducing the bridge failure risk when
  537
  comprehensively considering uncertainties and climate change effects.

538 5. Climate change effects have significant influence on bridge reliability, especially for
539 those with long-term service life. The long-term failure risk could increase by about
540 0.05 during a 20-year service life, while for a 100-year period, the amplification could
541 reach over 0.15.

The evaluation and comparison of different retrofit methods in this study could help guide future engineering practice for bridges in coastal regions. Other bridge types should be considered, effects of overturning moment, and updating climate change model developing with meteorological research should be considered in future study.

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