1	Characteristics, sources and evolution processes of atmospheric organic aerosols at a				
2	roadside site in Hong Kong				
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9 Abstract

10 A sampling campaign was conducted at an urban roadside site in Hong Kong from Nov. to Dec. 11 in 2017 using a suite of state-of-the-art instruments to monitor compositions of non-refractory sub-12 micron particulate matter (NR-PM₁) and gaseous pollutants. Results showed that the average NR-PM₁ concentration was $26.1 \pm 0.7 \,\mu\text{g/m}^3$ (average $\pm 95\%$ confidence interval) and organic aerosol 13 14 (OA) contributed the most to NR-PM₁ with a proportion of 57.7 \pm 0.2%. The aerosol size 15 distributions of bulk composition of NR-PM₁ presented a peak at ~600 nm with internal mixtures 16 of the organic and inorganic components, while there were a larger proportion of primary organic 17 particles at < 200 nm, indicating intensive emissions of primary organics at this site. Positive 18 matrix factorization (PMF) analysis was applied to the measurement data and four OA components 19 were identified, including a hydrocarbon-like OA (HOA) factor, a cooking organic aerosol (COA) 20 factor and two oxygenated OA (OOA) factors of different oxidation levels: less oxidized OOA 21 (LO-OOA) and more oxidized OOA (MO-OOA). Strikingly, the contribution of MO-OOA was 22 the highest $(30.9 \pm 0.4\%)$, suggesting high oxidation degree and/or high regional background in 23 the roadside environment. Moreover, the proportion of COA reached $25.4 \pm 0.3\%$ at this roadside 24 site with heavy traffic fleet, which was even higher than the percentage of HOA (p < 0.01). The average ratio of $C_3H_3O^+$ / $C_3H_5O^+$ (2.01 ± 0.01) and the opposite pattern of $C_3H_3O^+$ / $C_3H_5O^+$ 25 26 to O_x during daytime hours suggested that the COA was oxidized to some extent when transported 27 to the site. The findings implied that cooking activities are a significant source of organic aerosols 28 in Hong Kong, even at a busy road. Control measures should focus on both cooking and traffic 29 emissions in Hong Kong.

30 Keyword: Organic aerosol; Positive matrix factorization; Cooking organic aerosol; Oxygenated
 31 organic aerosol.

32 **1 Introduction**

33 Atmospheric particulate matters (PM) affect climate change (Racherla et al., 2006; Tai et al., 2010) 34 and pose a threat to public health (Dockery et al., 1993, Pope and Dockery, 2006). PM also has 35 adverse effects on air quality and our visibility (Cheung et al., 2005; Han et al., 2016). In the 36 atmosphere, a large fraction (20-90%) of the submicron particulate mass is organic aerosol (OA), 37 which contains primary organic aerosol (POA) from the direct emissions of various anthropogenic 38 and natural sources, and secondary organic aerosol (SOA) formed in the atmosphere from gas-39 phase precursors. Apart from local sources, the characteristics and evolution of aerosol pollution 40 are also influenced by multiple factors such as photochemistry, meteorological parameters and 41 regional transport (Hu et al., 2017). Thus, it is crucial to investigate the OAs in the atmosphere so 42 that appropriate control strategies can be proposed.

43 With the rapid development of mass spectrometry techniques, the High Resolution - Time of Flight 44 - Aerosol Mass Spectrometer (HR-Tof-AMS) is currently one of the most popular technologies 45 used to identify the size-resolved abundance and composition of both organic and inorganic 46 components of non-refractory submicron particulate matter (NR-PM1) in the atmosphere (Jayne et 47 al., 2000; Canagaratna et al., 2007). The HR-Tof-AMS can also provide the information of aerosols 48 with a temporal resolution of a few minutes, which enables us to understand the quick changes and 49 formation of aerosols (Ng et al., 2010; Hu et al., 2016). In addition, by combining the OA mass 50 spectra gained from AMS high-resolution data with source receptor models, e.g. positive matrix 51 factorization (PMF) model, the sources of OAs can be identified as POAs (e.g. hydrocarbon-like 52 OA (HOA), cooking OA (COA)) and oxygenated OAs (OOAs) at each specific sampling site 53 (Jimenez et al., 2009; Zhang et al., 2019). While HOA, COA and OOAs made substantial 54 contributions to OAs, the proportion varied at the same site as the POAs emissions were different 55 and the OOAs could be formed locally or transported regionally. Moreover, extensive studies have 56 demonstrated that emissions from vehicle exhaust (Chirico et al., 2010; Nordin et al., 2013; 57 Gordon et al., 2014a, 2014b) and cooking activities (Klein et al., 2016; Liu et al., 2017a; Liu et al., 58 2018) can form SOA during photochemical aging. But these studies are mostly based on chamber 59 experiments which cannot fully represent the real environment in the atmosphere. Hence, it is still

of great significance to quantify the contributions of primary emissions and secondary formation
to OAs, and to further understand the formation mechanisms and the aging process of OAs in the
atmosphere (Ulbrich et al., 2009; Hu et al., 2017).

63 Hong Kong (HK), as a highly urbanized city in East Asia, has been influenced by PM pollution in 64 the past decades (So et al., 2007; Cheung et al., 2015). Due to large number of on-road motor 65 vehicles and poor quality of fuel, roadside PM pollution was ever severe (Guo et al., 2009; Huang 66 et al., 2014). However, the PM levels at roadside have been significantly reduced in recent years 67 after the implementation of a series of control measures by the local government (HKEPD, 2017). 68 Instead, other anthropogenic emissions, i.e. cooking activities and solvent use still cause PM 69 pollution in HK (Lee et al., 2015; Lyu et al., 2017a). Moreover, air pollution in the adjacent Pearl 70 River Delta (PRD) region aggravates the PM pollution in HK, particularly in autumn and winter 71 when prevailing winds bring in polluted air masses (Louie et al., 2005; Lyu et al., 2017b). What is 72 more, these locally-emitted and regionally-transported air pollutants can be further oxidized into 73 SOA during dispersion and transport, resulting in elevated PM values (Hu et al., 2010). Thus,

74 mitigating aerosol pollution in HK remains a great challenge.

75 A handful of OA studies using HR-Tof-AMS technique were carried out in HK (Li et al., 2013; 76 Lee et al., 2015; Liu et al., 2017b), which help us understand the sources in different seasons and 77 areas in HK. For instance, SOA dominated (~80 %) the organic portion of NR-PM₁ in suburban 78 area, while POA accounted for two thirds in urban roadside area (Li et al., 2013; Lee et al., 2015). 79 To investigate the aging processes of OAs in the atmosphere, Qin et al., (2016) summarized the 80 episode events at a suburban site and examined the photochemical evolution of aerosols during the 81 events. They found that the less oxidized semi-volatile oxygenated OA (SV-OOA) was clearly 82 transformed to higher oxidized low-volatility oxygenated OA (LV-OOA) at the later stage of 83 photochemical aging. Liu et al. (2019) investigated in-situ SOA formation from urban roadside air 84 in HK in winter using an oxidation flow reactor (OFR) and highlighted the importance of potential 85 SOA formation from vehicle emissions. Although a study reported that the contribution of cooking 86 to OA and POA exceeded that of vehicle emissions at a roadside site in HK (Lee et al., 2015), the 87 SOA formation from massive cooking emissions is still elusive and needs in-depth investigation. 88 Therefore, it is essential to use the real-time size-resolved chemical composition data to understand

the dynamic and chemical processes of aerosol evolution in the atmosphere, especially for theaerosols from cooking emissions in urban Hong Kong.

91 In this study, a HR-Tof-AMS together with other online instruments for real-time measurements 92 of particulate pollutants was deployed from 2 November to 13 December 2017 at an urban roadside 93 site in HK. The abundance, variations and size distributions of chemical components in PM1 were 94 firstly investigated. The characteristics and sources of OAs were then explored. Finally, the 95 evolution processes of OA at the roadside site were elaborated. Specifically, the characteristics 96 and evolution processes of OA, particularly COA, during high PM episode events were 97 investigated. The findings are helpful for us to better understand the PM pollution in the roadside 98 environment, and to provide scientific support for the remediation of organic aerosols in the 99 atmosphere.

100 2 Methods and experiments

101 **2.1 Sampling site**

102 The sampling campaign took place between 2 November and 13 December 2017 at a location in 103 the campus of Hong Kong Polytechnic University (PolyU) (Fig. 1). The site (22.30° N, 114.18° E) 104 was immediately next to the eight-lane entry and exit of the Cross Harbor Tunnel, the busiest 105 traffic junction with an average daily traffic volume of about 114,000 vehicles in 2017 (HKATC, 106 2017). Hence, this site is an ideal location to study the impacts of vehicular emissions on OAs. 107 Within 1 km radius, the Tsim Sha Tsui commercial centre is located west of the sampling site, where many shops and restaurants are situated, while to the south is the Victoria Harbor. In the 108 109 northeast and east of the site are residential areas with densely distributed buildings and numerous 110 restaurants. As a metropolis, Hong Kong possess many densely populated commercial and 111 residential areas. There are many vehicles driving on the narrow and busy canyon streets, and a lot 112 of restaurants on both sides of the streets. Hence, the air in urban Hong Kong is full of vehicular 113 exhaust and cooking smells. In view of the location of the sampling site and the surrounding 114 environment, it represents a typical roadside environment in Hong Kong. Namely, vehicles and 115 cooking activities are the main emissions in the area around the site, and there are almost no 116 biogenic emissions nearby. The sampling inlet (~2 m long, 3.2 cm i.d.) equipped with a PM_{2.5} 117 cyclone, approximately 3.5 m above the ground, was installed on the rooftop of a container, and 118 the air was drawn into the HR-Tof-AMS and another online instrument at a total flow rate of 16.7

L/min. Before entering the AMS, the sampled air passed through a 0.6m long diffusion dryer filled with silica gel to remove bulk gas- and particle-phase water. The residence time of less than 6s in the sampling line would reduce the loss of organic vapors (Pagonis et al., 2017). Our previous study calculated and proved the minor losses of organic vapors in the same sampling line (Liu et al., 2019).



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Figure 1. Location of the sampling site and the surrounding environment

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127 2.2 HR-Tof-AMS measurements

128 The NR-PM₁ compositions including organics, sulfate, nitrate, ammonium and chloride were 129 measured using a HR-Tof-AMS. Detailed information of the instrument is described in previous 130 AMS studies (e.g. Jayne et al., 2000; DeCarlo et al., 2006). In brief, an aerodynamic lens is used 131 in AMS to sample and focus ambient particles into a narrow beam. Time zero of particle flight is 132 defined by a rotating mechanical chopper, and the end of particle flight is defined as the time of 133 mass spectrometric detection. Particles are transmitted to be vaporized by impaction on a 134 resistively heated surface (~ 600 °C) with non-refractory species detected and measured at this 135 vaporizer temperature and ionized by electron ionization (70 eV) (Canagaratna et al., 2007).

In this study, the HR-Tof-AMS used a Tofwerk high-resolution time-of-flight mass spectrometer (H-TOF Platform, Thun, Switzerland). The instrument was operated between two ions optical modes alternatively every 5 min, *i.e.* V-mode and W-mode. The V-mode is more sensitive while the W-mode has higher mass resolution. In V mode, 15 cycles of 10s in the mass spectrum (MS) 140 mode (5s open and 5s closed) plus 10s in particle time-of-flight (PToF) mode yielded the size

141 distributions of all the NR-PM1 components in unit of mass-weighted aerodynamic diameter. In

142 W mode, 30 cycles of 5s open and 5s closed data in MS mode were acquired before saving. The

143 high mass resolution (~5000–6000) of the W-mode allowed us to determine the ion-specific mass

spectra and thus the elemental compositions of OA (DeCarlo et al., 2006; Aiken et al., 2008; Sun

145 et al., 2011).

146 The collected NR-PM₁ mass concentrations and size distribution data were treated in accordance 147 with the general principles laid out in previous studies (Jimenez et al., 2003; DeCarlo et al., 2006), 148 employing the standard Tof-AMS Analysis Toolkit 1.59D and Tof-AMS HR Analysis 1.19D 149 based on WaveMetrics Igor Pro 6.37 version available from the Tof-AMS Resource Web page 150 (http://cires.colorado.edu/jimenez-group/ToFAMSResources/ToFSoftware/index.html) and using 151 the default relative ionization efficiency (RIE) values of 1.2 for sulfate, 1.1 for nitrate, 1.3 for 152 chloride, and 1.4 for organics (Canagaratna et al., 2007). The RIE for ammonium was chosen as 153 4.3 based on the mean of RIE values obtained from the regular weekly ionization efficiency (IE) 154 calibrations during the sampling period. By comparing with the particle mass concentrations 155 estimated from the continuous measurement data of a scanning mobility particle sizer (SMPS, 156 model 5.400, GRIMM, Germany) and a black carbon analyzer (BC, model AE16, Magee, USA), 157 a collection efficiency (CE) factor of 0.7 was determined and applied to AMS-derived NR-PM1 158 mass concentration (Fig. S1). Particle-free filtered ambient air was sampled using an inline HEPA-159 filter for about 60 min. every two days to obtain background mass spectra and the instrument 160 minimum detection limit (MDL). The MDLs were also calculated using the background data, 161 which were determined to be 0.201, 0.020, 0.016, 0.012, and 0.010 μ g/m³ for organic, sulfate, nitrate, ammonium and chloride, respectively. CO_2^+ signals were corrected with the time-162 163 dependent gas-phase CO₂ contributions. The contributions of organic nitrate and organic sulfate 164 were checked and modified based on the correlation plot between the related fragments. 165 Transmission curves for the standard lens of most AMS instruments were determined in previous 166 study (Knote et al., 2011), which showed nearly 100% efficient transmission in the range of 100 -167 550 nm and fell off at both sides. In this study, we considered the transmission efficiency as a part 168 of the combined correction factor CE to avoid additional uncertainties from the application of non 169 on-site calibrated lens transmission values. The elemental ratios between oxygen, carbon and 170 hydrogen, as well as the organic mass-to-carbon ratio (OM/OC) of OA, were determined from

171 analysis of the W-mode high-resolution mass spectra (HRMS) data, following the method recently 172 reported by Canagaratna et al. (2015). It is noteworthy that the neglected H⁺ signal from 173 fragmentation of H₂O⁺ ions may affect the oxidation state calculations. However, based on the estimation of Hildebrandt Ruiz et al. (2014), the impact was minor (< 3% variation in H:C ratio). 174 175 The HR-MS data of organic aerosol were analyzed using the PMF2 algorithm in robust mode 176 (Paatero and Tapper, 1994), with the PMF Evaluation Toolkit (PET ver 2.05) (Ulbrich et al., 2009). 177 A minimum error value was applied to the error matrix and each ion was assessed and treated 178 according to its signal-to-noise ratio (SNR). Ions with an average SNR of less than 0.2 were 179 removed, and those with a SNR between 0.2 and 2 were down-weighted by increasing their errors by a factor of 2. Furthermore, ions related to m/z 44 (i.e., CO_2^+ , CO^+ , H_2O^+ , HO^+ , and O^+) were 180 also down-weighted 2-3 times to avoid overestimating the importance of CO_2^+ . Isotopes were 181 182 removed from the matrices because their signals were scaled to their parent ions rather than being 183 measured directly. After these treatments, the resulting matrix consisted of 286 ions between m/z's 184 12 and 120, and the number was close to previous studies (Sun et al., 2011; Kim et al., 2017).

185 **2.3 Trace gases and meteorological measurements**

Trace gases including CO, O₃, NO and NO₂ were continuously monitored during the sampling period. CO was measured with a gas filter correlation CO analyser (API model 300 EU). NO– NO₂–NO_x was measured by chemiluminescence technique (API model 200E) and an UV photometric analyzer (API model T400) was used to monitor O₃ mixing ratio. The detection limits for CO, NO, NO₂ and O₃ were 40, 0.5, 0.5 and 2.0 ppbv, respectively. All the instruments were regularly calibrated and tested with quality control and assurance (QC/QA) procedures identical to those in the US air quality monitoring program (Wang et al., 2017).

193 Meteorological parameters including temperature, relative humidity (RH), wind speed, wind 194 direction, atmospheric pressure and solar radiation were measured using a weather station 195 (Vantage Pro2TM, Davis Instruments Corp., Hayward, CA) on the rooftop of the container. The 196 time-resolution of the measurement was 1 min., which were integrated into hourly data. The 197 variations of hourly meteorological parameters are shown in Fig. S2. The average ambient 198 temperature, RH, wind speed, atmospheric pressure and daily maximum solar radiation were 22.6 ± 0.2 °C, 70.2 $\pm 0.8\%$, 0.5 ± 0.02 m/s, 1017 ± 0.2 bar and 442.6 ± 65.3 W/m², respectively. The 199 200 overall weather conditions during the sampling period were relatively stable, with only two short showers recorded. The dominant wind was a low-speed northeasterly wind, which brought in airpollutants from nearby areas.

203 **3 Results and discussion**

204 **3.1 General characteristics**

205 **3.1.1 Temporal variations of air pollutants**

206 The day-to-day variations and average mass concentrations of the composition of NR-PM1 are 207 shown in Fig. 2 ((a)-(b)). The hourly NR-PM₁ concentration ranged from 5.6 to 82.6 μ g/m³ with 208 an average of $26.1 \pm 0.7 \,\mu\text{g/m}^3$ (average ± 95 confidence interval), higher than the values at other 209 suburban and roadside sites in HK in winter, spring and/or summer (Lee et al., 2015; Li et al., 210 2015; Liu et al., 2019), but analogous to the levels found in autumn and early winter in HK (Sun 211 et al., 2016), implying that this study site was more strongly affected by anthropogenic emission 212 sources and/or weather conditions. For example, compared to the values in Liu et al. (2019) at this 213 same sampling site, the concentrations of NR-PM₁ were indeed higher in this study. Based on the 214 traffic volume counted (HKATC, 2017), the vehicular emissions did not have seasonal differences at this roadside site. A main reason for this difference was the weather conditions. The sampling 215 216 period in Liu et al. (2019) was mainly in winter while our study period was in late autumn and early winter. A cold front over southern China moved across the coastal areas of Guangdong at 217 218 the beginning of January, bringing gloomy and rainy weather to Hong Kong. The colder weather 219 affected the formation of secondary pollutants, such as the oxidation from POA to SOA. 220 Furthermore, the stronger northerly winds more readily diluted the air pollutants in the atmosphere 221 of HK. For each bulk composition, though their temporal variations presented similar trends, their 222 concentrations varied greatly. Organics was the most dominant component ranging from 3.4 to 55.8 μ g/m³ with an average of 15.1 \pm 0.4 μ g/m³, accounting for 57.7 \pm 0.2% of all the measured 223 224 components, while sulfate was the second dominant species with an average of $5.8 \pm 0.2 \ \mu g/m^3$ 225 $(23.1 \pm 0.2\%)$. The concentrations of nitrate and ammonium were similar, with an average of 2.8 $\pm 0.2 \ \mu\text{g/m}^3$ and $2.3 \pm 0.1 \ \mu\text{g/m}^3$, respectively. 226



Figure 2. Day-to-day variations (a) and average composition (b) of NR-PM₁ components



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230 The diurnal variations of NR-PM₁ and the compositions are shown in Fig. 3. The diurnal patterns 231 of NR-PM₁ and total organics were similar (Fig. 3(a) - (b)), with the highest peak at 19:00 and two small peaks at 9:00 and 14:00, implying possible contributions of local traffic and/or cooking 232 233 activities. In comparison, flat diurnal cycle of sulfate was observed (Fig. 3(d)), indicating the 234 regional characteristics of sulfate aerosol (Sun et al., 2015). The diurnal patterns of nitrate, 235 ammonium and chloride (Fig. 3(c), (e) - (f)) showed a trough at noon and in early afternoon, and a peak in the evening (19:00 - 20:00), consistent with the previously-observed daytime 236 evaporation of semi-volatile species (Li et al., 2015). In addition, higher boundary layer height at 237 238 noon and in the afternoon and lower ambient temperature at night also facilitated the dispersion of 239 air pollutants and the gas-particle partitioning, respectively (Hu et al., 2017).



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Figure 3. Diurnal variations of NR-PM1 and the components

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243 To further investigate the pollution characteristics at this site, Fig. S3 presents the diurnal variations of trace gases. Both NO and NO₂ showed a peak at 9:00, in accordance with the patterns 244 245 of NR-PM₁ and total organics, revealing intensive vehicle emissions at this site. Noteworthily, the NO level in the evening rush hours was much lower than that in the morning, mainly due to the 246 247 distinct traffic flow characteristics of vehicles in the cross-harbor tunnel (HKATC, 2017). The low 248 evening NO level was opposite to the patterns of $NR-PM_1$ and total organics. Thus, the 249 enhancements of NR-PM1 and total organics in the evening were unlikely related to vehicular 250 emissions but other sources such as cooking activities, which will be further discussed in Section 251 3.2.2. Unsurprisingly, at this typical roadside site, the O₃ levels were low with an obvious trough 252 in the morning traffic hours due to the titration effect of NO on O₃ values. The increase in NO₂ 253 levels from 12:00 to 16:00 also partially implied the conversion from NO titration. The CO levels 254 were less variable except for the trough at 13:00, which was mainly due to the elevation of the 255 boundary layer height and fewer vehicular emissions.

256 **3.1.2 Size distributions of NR-PM₁ components**

257 The size distributions of bulk compositions of NR-PM₁ are shown in Fig. 4(a). All the measured 258 non-refractory components peaked at ~ 600 nm in vacuum aerodynamic diameter (D_{va}), which 259 suggested that these particles were most likely internal mixtures of the organic and inorganic 260 components (Lee et al., 2015). Furthermore, the total organics exhibited a clear shoulder at 100-261 200 nm which might be caused by fresh organic aerosols of primary origin. Fig. 4(b) presents the size distributions of specific organic ions, i.e. all ions at m/z 43 (mostly $C_3H_7^+$), m/z 44 (mostly 262 CO_2^+), m/z 55 (mostly $C_4H_7^+$ and $C_3H_3O^+$) and m/z 57 (mostly $C_4H_9^+$ and $C_3H_5O^+$). These specific 263 264 ions were selected as they had remarkable peaks in the spectra. It was found that hydrocarbon-like 265 organics (m/z 43, 55, 57) had higher concentrations at sizes of 100–200 nm than m/z 44 (p < 0.01). The small peaks of these typical ions were also found in previous studies, indicating a large 266 267 contribution of hydrocarbon-like organics from primary emissions such as traffic to the total 268 organics (Canagaratna et al., 2010; Sun et al., 2011).



Figure 4. Size distributions of bulk compositions (a) and specific m/z ions (b)

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272 **3.1.3 Elemental ratios of OAs**

There are several ways to evaluate the degree of OA oxygenation using AMS data (Aiken et al., 2008; Heald et al., 2010; Kroll et al., 2011). The hydrogen-to-carbon molar ratios (H:C) and oxygen-to-carbon molar ratios (O:C) are often used to estimate the average carbon oxidation state (OS_C) and to evaluate the degree of oxygenation of organics. Based on the measurements of H:C and O:C ratios, the OS_C value can be simply calculated as $2 \times O$:C – H:C, which more accurately captures the degree of oxidation of organics than the O:C ratio (Kroll et al., 2011). Fig. 5 shows the diurnal patterns of elemental ratios in this study. The average organic mass to organic carbon

280 ratios (OM:OC), O:C and H:C ratios were 1.77 ± 0.01 , 0.47 ± 0.01 and 1.69 ± 0.01 , respectively. These values are comparable with the H:C (1.4 - 1.9) and O:C ratios (0.2 - 0.8) previously 281 282 measured at urban roadside sites in HK, perhaps due to the similar emission sources at these sites 283 (Lee et al., 2015; Liu et al., 2019). The H:C ratio was low in the evening, had a morning peak at 284 9:00-10:00 with a value of 1.79 ± 0.02 , and then decreased with time until 21:00 when a small 285 evening peak appeared, suggesting more intensive primary emissions (e.g. traffic and cooking) in 286 these peak hours and photochemical oxidation of organics in the afternoon and/at dusk, which 287 were proved by the opposite diurnal trend of the O:C ratio; namely troughs at 9:00-10:00 and 21:00 288 and increase in the afternoon and at dusk. The dramatic decrease of POA emissions at night led to 289 a higher proportion of secondary OA, so the O:C ratio from 1:00 to 6:00 was the highest. 290 Meanwhile, OS_C showed an evening peak and an afternoon peak, with an average of -0.76 ± 0.02 291 during the whole sampling period.







Figure 5. Diurnal patterns of OM:OC, H:C, O:C and OS_C ratios.

3.2 Source apportionment of OAs

295 **3.2.1 Source identification**

296 In order to obtain physically meaningful results, several criteria were used to evaluate and select

appropriate number of factors from model simulation in this study (Ulbrich et al., 2009; Zhang et

al., 2011; Kim et al., 2017). Fig. S4(a) shows the Q/Qexp values for factors 1-6. The Q/Qexp values

decreased with the increase of number of factors, and the possible optimal solution should be 6 or

300 more factors. However, when the source profiles of 5 factors are compared (Fig. S4 (b)), it was 301 found that the two OOA factors had similar spectra and close elemental ratios. In addition, after 302 consideration of the elucidation of the mass spectra of OAs, the ability of 5 factors or more to 303 explain the data was not improved compared to 4 factors. Hence, the solution of 4 factors was the 304 most optimal solution. The rotational ambiguity of the optimal solution was examined by the 305 FPEAK value, which ranged from -2 to 2. The uncertainty of each factor was estimated by 50 306 bootstrapping runs, and the average factor with 1σ variation for each point is shown in Fig. S4 (c). 307 It is noteworthy that Liu et al. (2019) identified 5 factors at the same site for the campaign, which 308 started 11 days after the campaign in this study. The reason for this difference was that the OA 309 levels and atmospheric oxidative capacity during this sampling period were generally higher. As 310 such, the less oxidized OA factor with the lowest contribution in Liu et al. (2019) was not identified 311 in this study. The similar diel cycles of the four factors in our study to the rest factors in Liu et al. 312 (2019) showed the consistency and reliability of the results, which will be further discussed in 313 section 3.2.2.

314 In this study, four OA factors were determined, including two POA factors (HOA and COA) and 315 two SOA factors (less oxidized oxygenated OA (LO-OOA) and more oxidized OOA (MO-OOA)). 316 The mass spectra of the four identified factors are shown in Fig. 6. Table 1 gives the H:C, O:C and 317 OM:OC ratios of the MO-OOA, LO-OOA, COA and HOA factors. Alkyl fragments ($C_n H_{2n+1}^+$ and $C_n H_{2n-1}^+$) made a substantial contribution to the HOA factor. The major peaks of HOA mass 318 spectrum were m/z 41, 43, 55 and 57, which were mostly composed of $C_3H_5^+$, $C_3H_7^+$, $C_4H_7^+$ and 319 320 $C_4H_9^+$ ions, respectively. These major peaks and the overall abundant alkyl fragments are the 321 typical features of the HOA spectra. This fragmentation pattern was similar to that reported in 322 other studies and was mainly due to the primary aerosols emitted from fossil fuel combustion 323 (Zhang et al., 2005; Lanz et al., 2008; Ng et al., 2011; Sun et al., 2011). Because of the dominance 324 of chemically-reduced hydrocarbon species, HOA factor had the highest H:C ratio (average: 2.10), 325 and conversely the lowest O:C (0.03) and OM:OC (1.22) ratios. The oxidation degree of the HOA 326 in this study was comparable to the updated values of HOA (O:C ratio: 0.05-0.25) from other 327 studies (Canagaratna et al., 2015). The relatively low O:C ratio was mainly due to the intensive 328 primary emissions from vehicle exhausts at this roadside site.

329 To a lesser extent, the mass spectrum of COA factor in this study also contained many alkyl 330 fragments. However, this factor had significantly larger amounts of oxygen-containing ions than 331 HOA. While COA shares similar spectra to HOA, it is identified by a high contribution of $C_3H_3O^+$ at m/z 55, and a much lower contribution of ions at m/z 57 than HOA (Mohr et al., 2009; Mohr et 332 333 al., 2012). Previous studies found that $C_3H_3O^+$ and $C_3H_5O^+$ are in COA as they are the major 334 fragments of aliphatic acids (e.g., linoleic acid and palmitic acid) in cooking oils or animal fat (Sun et al., 2011). It was also reported that $C_5H_8O^+$ (m/z 84) and $C_6H_{10}O^+$ (m/z 98) are the typical 335 tracers in COA (Lee et al., 2015; Kim et al., 2017). Fig. S5 (a)-(h) shows the scatter plots of COA 336 337 and HOA with the cooking tracers $(C_3H_3O^+, C_3H_5O^+, C_5H_8O^+ \text{ and } C_6H_{10}O^+)$. It was obvious that 338 the COA concentration had much better correlations than HOA with these four cooking tracers, 339 confirming that the second source was COA. Despite primary origins for both factors, COA was 340 more oxidized than HOA because it contained some oxidizing components as discussed above. 341 Therefore, the O:C (0.22) and OM:OC (1.45) ratios of COA were higher than those of HOA while 342 the H:C ratio was lower (1.88). Besides, Xu et al. (2018) revealed that COA may include 343 contribution of other OA such as sesquiterpene SOA. In this study, there was almost no biogenic 344 emissions and other sources except vehicular and cooking emissions around the sampling site, 345 which would exclude the interference from biogenic emissions and other sources. In fact, relatively weaker correlation between COA source and the tracer of biogenic emissions, i.e. $C_5 H_6 O^+$ at m/z 346 82 was identified (Fig. S6), confirming that COA was less interfered by other OAs at this site. 347

- In this study, the two identified OOA factors had less alkyl fragments and more oxygenated species than the HOA and COA. The mass spectra of the two OOAs were characterized by a dominant peak at m/z 44 (CO_2^+), similar to the OOA profiles determined in other studies (Lanz et al., 2007;
- Ulbrich et al., 2009; Sun et al., 2010, 2011). To distinguish these two OOA factors, it was found
- 352 that the third factor had a lower fraction of m/z 44 (f44) and less oxidation degree (O:C = 0.78,
- 353 H:C = 1.53) than the fourth factor (O:C = 0.97, H:C = 1.40). As such, Factor 3 was characterized
- as LO-OOA while Factor 4 was defined as MO-OOA.



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360 **3.2.2 Source contributions**

OM:OC

1.22

361 Fig. 7(a) presents the contribution of each identified source to OAs in both absolute concentration 362 and percentage. Surprisingly, although this roadside site was proximity to intensive vehicular sources, the contribution of MO-OOA was the highest, followed by COA and LO-OOA, while the 363 contribution of HOA was the lowest. The SOA sources (LO-OOA+MO-OOA) contributed more 364 365 than the POA sources (COA+HOA), which is consistent with the result of Liu et al. (2019) who 366 collected data at the same site, implying possibly prompt oxidation of OAs and/or high regional background at the roadside site. Moreover, the contribution of LO-OOA was lower than MO-OOA 367 368 (p < 0.01), suggesting high oxidation degree and/or high regional background in the roadside 369 environment. More striking feature was that the contribution of COA was even higher than that of

1.45

2.18

2.41

370 HOA (p < 0.01) at this site with very high-density passing vehicles and very few restaurants nearby. 371 This phenomenon implied that the control of vehicular emissions might be efficient while the 372 COA-containing air was full of the urban environment including the roadside environment in HK. 373 Our previous studies found that volatile organic compounds (VOCs) emitted from diesel-fuelled 374 and LPG-fuelled vehicles have dropped during these years due to the control measures in HK (Lyu 375 et al., 2016, 2017a; Yao et al., 2019), whereas VOCs from gasoline-fuelled vehicles have shown 376 an increasing trend. However, these studies did not measure OAs. Instead, OAs were measured in 377 2013 at an urban roadside site, i.e. Mong Kok (MK). Similar to the study site, the MK site is also 378 a typical urban roadside site with heavy traffic and minimal biogenic emissions. In comparison, 379 the HOA concentration at MK (2.7 μ g/m³, Table 2) was comparable to that in this study (2.8 ± 1.9 380 $\mu g/m^3$, Table 2) in the same season (autumn and early winter). The comparable HOA values at the 381 roadside sites in different years seemed contradictory to the results of the previous studies, which 382 claimed the efficiency of control measures for vehicular emissions. However, if we looked deeper 383 into the HOA values and considered the traffic volume at MK and at the Cross-Harbor tunnel, we 384 found that the HOA level associated with each vehicle indeed decreased twice from 2013 to 2019, 385 because the average daily traffic of the main road (Nathan Road) immediately next to the MK site 386 was ~50,000, much less than the traffic volume of ~114,000 at the Cross-Harbor tunnel (HKATC, 387 2017). In addition, it was found that regardless of the season and sampling location, the level of 388 COA was always higher than that of HOA, which further indicated that cooking emissions were 389 more dominant than vehicle emissions even in heavy traffic locations (Table 2).

390 Regarding the diurnal variations of the contributions of the four sources, the HOA source showed 391 a high peak at 9:00 and a small peak at 17:00-18:00 (Fig. 7(b)), analogous to the pattern of NO 392 due to road traffic (Fig. S3). Similarly, two peaks were found for COA source with a small peak 393 at 12:00-13:00 and a big peak at 20:00 ($8.2 \pm 0.8 \,\mu\text{g/m}^3$). The contrary pattern of NO (Fig. S3) and 394 similar variations of NR-PM₁ and total organics (Fig. 3) to the COA source in the evening, which 395 is in line with the dinner time in HK (Lee et al., 2015; Vu et al., 2018), supported the identification 396 of the COA source. To further clarify the cooking emissions at this site, the diurnal pattern of oleic 397 acid, a typical tracer of cooking activities (Schauer et al., 2002; Zhao et al., 2007), measured at 398 PolyU (~300 m away from the sampling site) is shown in Fig. S7. It was clear that the peak value 399 appeared at 20:00, consistent with the diurnal variation of COA source, confirming the intensive 400 cooking emissions during the dinner time in urban HK. Furthermore, the LO-OOA source

- 401 presented a bimodal distribution with a peak at 9:00 and another broad peak at 17:00-18:00, which
- 402 was coincidently opposite to the O₃ pattern, indicating immediate morning formation of LO-OOA
- 403 from HOA of vehicular exhausts and progressive oxidation in the afternoon (Sun et al., 2016).
- 404 However, the MO-OOA source was relatively constant throughout the day, inconsistent with the
- 405 patterns of all the trace gases in Fig. S3, confirming high regional background value of this source.
- The variations of the percentages of individual sources in the sum of PMF-resolved OAs are shown in Fig. 7(c). It was found that the percentage of COA increased with the increase of total PMFresolved OAs, implying that COA was the major contributor when OA levels were high. HOA showed the same pattern as COA but its increasing rate was much lower than that of COA. In contrast, the percentage of MO-OOA decreased while LO-OOA remained stable.
- 411 To further investigate the HOA and COA sources, the diurnal trends of them on Saturday, Sunday 412 and weekdays are given (Fig. 7(d)). A much higher HOA morning peak was found on weekdays 413 and Saturday (p < 0.01), whereas COA presented a much higher evening peak on Sunday (p < 0.01), 414 consistent with the fact that there are much fewer on-road vehicles and much more Hong Kong 415 residents like to have dinner at restaurants on Sunday (Chan, 2010). Indeed, the contribution of 416 COA on Sunday to the overall COA concentration reached 22.3% in this study. Moreover, the 417 COA levels were much higher than the HOA concentrations (p < 0.01). Although it is difficult to 418 differentiate the emissions from restaurants from those from domestic cooking due to lack of 419 survey data, we can infer that emissions from restaurants were much higher on Sunday than on 420 other days, and most likely larger than domestic cooking emissions from the big difference in peak values of COA on Sunday (~15 μ g/m³) and on the other days (~6 μ g/m³) (Fig. 7(d)). The results 421 422 suggested that cooking activities particularly in restaurants are a noticeable source of OAs in Hong 423 Kong and much more efforts should be made to control it apart from remediation of vehicular 424 emissions.

425



Figure 7. (a) Source contributions to OAs. (b) Diurnal patterns of the OA sources. (c) Percentage
variations of individual sources in the sum of PMF-resolved OAs. The values of the x-axis
represent the hourly OA concentrations measured. (d) Diurnal patterns of HOA and COA on
Saturday, Sunday and weekdays.

Table 2 HOA and COA levels in urban roadside environments in HK

Time	Sampling time	Site	HOA concentration	COA concentration	COA proportion
			$(\mu g/m^3)$	$(\mu g/m^3)$	
Nov Dec. 2017,	24h	PolyU	2.8 ± 1.9	3.8 ± 2.9	25.4 ± 1.0
This study					
	Mealtime	PolyU	3.0 ± 1.4	5.8 ± 4.0	33.5 ± 10.6
Mar May 2013	24h	MK	3.5 ± 2.4	4.4 ± 4.3	34.4
(Lee et al., 2017)					
May - Jul. 2013	24h	MK	2.0 ± 1.3	3.6 ± 3.4	46.2
(Lee et al., 2017)					
Mar Jul. 2013	24h	MK	2.8	4.0	38.6
(Lee et al., 2015)					
Sep Dec. 2013	24h	MK	2.7	3.6	24.2
(Sun et al., 2016)	Mealtime	MK	3.2	7.8	36.9
	1	1	1		1

(mean \pm standard deviation)

434 **3.3 Evolution of OA sources**

435

436 fatty acids, including unsaturated (e.g. oleic acid and linoleic acid) and saturated (e.g. palmitic acid

Typical ions are usually chosen to study the evolution of a specific OA source. Long straight-chain

- 437 and stearic acid), are unique markers for cooking emissions as they are from oils during cooking
- 438 processes (He et al., 2004; Zhao et al., 2007). Based on the source profile of COA (Mohr et al.,
- 439 2012), and the strong correlations with COA factor in this study (Fig. S5), here $C_3H_3O^+$ at m/z 55 440 and $C_3H_5O^+$ at m/z 57 were chosen as the proxy of unsaturated fatty acids and saturated fatty acids, 441 respectively. In addition, ion CO_2^+ at m/z 44 was regarded as the typical tracer of OOA as it had 442 strong correlations with the OOA sources (R > 0.9). The diurnal patterns of $C_3H_3O^+$, $C_3H_5O^+$ and 443 CO_2^+ are shown in Fig. 8(a). The diel cycles of $C_3H_3O^+$ and $C_3H_5O^+$ were the same as that of COA 444 source (Fig. 8(a)), confirming their common source of cooking emissions. Different from $C_3H_3O^+$
- 445 and $C_3H_5O^+$, CO_2^+ presented a broad peak starting from 10:00 and maximizing at 17:00, 446 suggesting the photochemical oxidation of OAs in the atmosphere.
- To further look into the oxidation of OAs at this roadside site, the diurnal patterns of $C_3H_3O^+/$ 447 $C_3H_5O^+$ ratio and total oxidant (O_x = O₃ + NO₂) are illustrated in Fig. 8(b). The ratio of $C_3H_3O^+$ 448 $/C_3H_5O^+$ was used to elaborate the oxidation degree of cooking emissions in the atmosphere, 449 because unsaturated fatty acids (*i.e.* $C_3H_3O^+$) have shorter lifetime than saturated fatty acids (*i.e.* 450 451 $C_3H_5O^+$), which means that unsaturated fatty acids are photochemically destroyed more rapidly than saturated fatty acids, leading to decline of $C_3H_3O^+/C_3H_5O^+$ ratio. Besides, the level of O_x 452 453 was used to characterize the atmospheric oxidative capacity (Clapp and Jenkin, 2001). The diurnal variation of $C_3H_3O^+$ / $C_3H_5O^+$ ratio showed one peak at 13:00 (2.04 ± 0.01) and another at 20:00 454 455 (2.17 ± 0.01) , in consistence with that of cooking emissions. Clearly, during the daytime hours, the ratio of $C_3H_3O^+/C_3H_5O^+$ showed opposite trend to that of O_x, proving the evolution of 456 457 cooking emissions in the atmosphere. However, the reversed pattern was not observed in the 458 evening even though the cooking emissions reached the highest, reflecting that the nighttime 459 chemical evolution of cooking emissions on average was insignificant. In addition, the average ratio of $C_3H_3O^+ / C_3H_5O^+$ during the whole sampling period was 2.01 ± 0.01, much lower than 460 461 the ratio of oleic acid/stearic acid (4.8) of the cooking source (Zhao et al., 2007), implying that 462 COA was oxidized to some extent during the transport to the site. Furthermore, the average O_x level during the sampling period was 56.0 ± 1.4 ppbv, analogous to the values (55.9 ± 3.4 ppbv) 463

464 at another roadside site in HK (Yao et al., 2019). Previous studies reported that COA could be 465 oxidized in an hour under a moderate O₃ level (Kaltsonoudis et al., 2017). The reversed daytime 466 patterns of $C_3H_3O^+ / C_3H_5O^+$ and O_x in this study confirmed this hypothesis, especially in the 467 afternoon when the photochemical reactions were generally strong. The results demonstrated that 468 the COA emitted elsewhere was progressively oxidized, leading to enhanced OOA at this roadside 469 site.



470

471 Figure 8. Diurnal variations of $C_3H_3O^+$, $C_3H_5O^+$ and CO_2^+ (a) and ratio of $C_3H_3O^+ / C_3H_5O^+$ 472 and O_x (b) during the whole sampling period

473

474 4 Conclusions

475 In this study, an intensive sampling campaign was conducted for six weeks at a roadside site in

- 476 Hong Kong. HR-Tof-AMS and trace gas analyzers (*i.e.* CO, O₃ and NO-NO₂-NO_x) were used to
- 477 collect data simultaneously to investigate and understand the characteristics, sources and evolution

478 processes of OAs in the roadside environment. Results showed that the average NR-PM1 479 concentration was $26.1 \pm 0.7 \,\mu\text{g/m}^3$ and OA contributed the most to NR-PM₁ with the proportion 480 of $57.7 \pm 0.2\%$. The aerosol size distributions of all measured NR-species showed an accumulation 481 mode peaked at around 600 nm while there were more primary organics at < 200 nm. PMF analysis 482 identified four OA components (HOA, COA, LO-OOA and MO-OOA), and the proportion of 483 COA was $25.4 \pm 0.3\%$, even higher than that of HOA ($19.2 \pm 0.4\%$) at this traffic-busy site. The 484 COA concentration reached the highest value at 20:00 with an average of $8.2 \pm 0.8 \ \mu g/m^3$. 485 Especially on Sundays, the average maximum COA concentration was $16.1 \pm 3.0 \ \mu g/m^3$. Furthermore, with the increase of PMF-resolved total OA concentrations, the proportion of COA 486 increased. The ratio of $C_3H_3O^+$ / $C_3H_5O^+$ was used to elaborate the oxidation degree of cooking 487 emissions in the atmosphere, and the daytime opposite trend of the ratio of $C_3H_3O^+/C_3H_5O^+$ to 488 that of O_x confirmed the evolution of cooking emissions in the atmosphere. This study concludes 489 490 that cooking activities are an important source of organic aerosols in the urban areas of Hong Kong, 491 and efforts should be made to control emissions from cooking and traffic.

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