

1 **Ozone and its precursors in a high-elevation and highly forested region in**
2 **central China: origins, in-situ photochemistry and implications of regional**
3 **transport**

4
5 **X. Lyu¹, H. Guo^{1*}, W. Zhang², H. Cheng², D. Yao¹, H. Lu¹, L. Zhang¹, Y. Zeren¹, X. Liu¹, Z.**
6 **Qian¹, S. Wang^{1,†}**

7 ¹ Department of Civil and Environmental Engineering, The Hong Kong Polytechnic University,
8 Hong Kong, China

9 ² School of Resource and Environmental Sciences, Wuhan University, Wuhan, China

10 [†] Now at Environmental Microbiology Laboratory, École polytechnique fédérale de Lausanne,
11 Lausanne, Switzerland

12 * Corresponding author: Hai Guo (hai.guo@polyu.edu.hk)

13
14 **Abstract:** Atmospheric chemistry observation and modelling in alpine areas have strong
15 indication of regional air quality. In this study, one-month continuous ozone (O₃) measurement at
16 a high-elevation and highly forested site in central China, in combination with observations at
17 similar stations, indicated elevated O₃ levels in the free troposphere (FT) over China.
18 Uninterrupted O₃ injuries to the old-growth forest in the study region were expected. FT O₃
19 overwhelmed daytime photochemical formation at the site, and the transport of O₃ from the north
20 (e.g., Guanzhong Plain) was most significant. Air masses from the adjacent southwest and south
21 regions (e.g., Chongqing) contained higher levels of nitric oxide, sulfur dioxide, fine particles and
22 volatile organic compounds (VOCs) in association with vehicular and industrial emissions. In
23 contrast, air masses from the northeast (e.g., North China Plain) were laden with combustion
24 tracers. The in-situ photochemistry modelling confirmed weak O₃ formation at the site. For the
25 reasons, the insufficient nitrogen oxides suppressed transformation of peroxy radicals to alkoxy
26 and hydroxyl radicals, resulting in considerable losses of peroxy radicals via self-reactions. VOCs
27 showed little impact on in-situ O₃ production, and accounted for net consumption of OH, with
28 isoprene as the most predominant OH depleting species. This study fills the gaps in observation of
29 O₃ and its precursors and modelling of in-situ photochemistry in high-elevation regions of central
30 China, and provides hints for the impacts of transport on air pollution in this region.

31 **Keywords:** Ozone; Volatile organic compounds; In-situ photochemistry; High-elevation station;
32 Background site

36 1. Introduction

37 Tropospheric ozone (O₃), as a greenhouse gas, exaggerates global warming effect (Fishman et al.,
38 1979). It also plays important roles in atmospheric chemistry by acting as an oxidant and a source
39 of hydroxyl radical (Atkinson and Aschmann, 1993). High levels of O₃ harm human health and
40 vegetation (Reich and Amundson, 1985; Lippmann, 1991). Tropospheric O₃ is formed through
41 reactions between volatile organic compounds (VOC) and nitrogen oxides (NO_x) in the presence
42 of sunlight (Atkinson, 2000), with intrusion of stratospheric O₃ as an additional source (Hocking
43 et al., 2007). The increasing anthropogenic emissions and post-2013 reductions in NO_x and fine
44 particulate matter (PM_{2.5}) concentrations have led to rising levels of tropospheric O₃ across China
45 in past decades (Sun et al., 2016; Wang et al., 2017; Li et al., 2019a, Liu and Wang, 2020).

46 O₃ levels at high-elevation stations with sparse anthropogenic emissions are essential indicator of
47 regional to hemispheric O₃ pollution. Study on four decades' O₃ variations at Mauna Loa (MLO,
48 3397 m a.s.l.) uncovered the modulations of El Niño–Southern Oscillation and Pacific–North
49 American pattern on cross-Pacific transport of Eurasian emissions (Lin et al., 2014). Surface
50 observations in and near the Alps indicated the leveling-off of O₃ since 2000, driven by the
51 balancing effect of emission reductions in Europe and North America and increasing hemispheric
52 background O₃ (Cui et al., 2011; Logan et al., 2012). The increase of autumnal O₃ over Qinghai-
53 Tibet Plateau, China during 1994–2013 was found to be mainly attributed to transport from South
54 and Southeast Asia (Xu et al., 2018). A global chemistry–climate model identified rising emissions
55 in the NCP as the primary factor leading to increase of summertime O₃ during 2003–2015 at Mt.
56 Tai (1534 m a.s.l.), the highest mountain in the NCP (Sun et al., 2016).

57 A handful of studies highlighted O₃ pollution in central China (Lu et al., 2017; Zeng et al., 2018).
58 However, the characteristics of air pollutants, their sources and/or O₃ photochemistry were seldom
59 investigated in regional background atmosphere. As far as O₃ is concerned, there was no ground-
60 based observations or ozonesonde measurements at high-elevation stations. Recently, Lei et al.
61 (2021) conducted a related study at a mountainous site in a rural area. However, the site was merely
62 750 m a.s.l. and it was not far enough away from big cities, *e.g.*, ~100 km to Wuhan (the capital
63 of Hubei province). In this study, we for the first time present 1-month data of O₃ and other criteria
64 air pollutants at Shennongjia (SNJ), the highest mountain in central China (3106 m a.s.l.). VOCs
65 were collected on 9 days, consisting of 67 daytime samples and 12 nighttime samples. The
66 nighttime O₃, which most likely represented O₃ in the FT, were compared with those at some other
67 high-elevation stations in the Northern Hemisphere. The dependences of concentrations and
68 compositions of air pollutants on air mass origins are discussed. In-situ O₃ photochemistry is
69 studied with an observation–based photochemical box model.

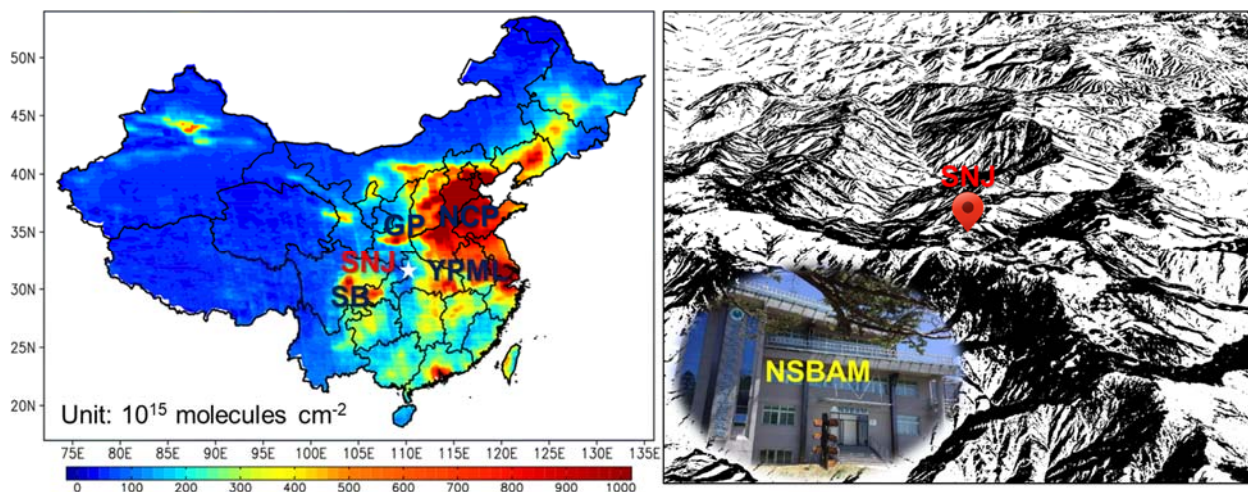
70

71 2. Methods

72 2.1. Continuous measurement, Sample collection and Chemical analysis

73 Continuous measurements of O₃ and other criteria air pollutants were conducted at the SNJ
74 National Station for Background Atmospheric Monitoring (NSBAM) (110.271° E, 31.456° N,

75 2950 m a.s.l.) throughout September 2019, as shown in Figure 1. The site was in the middle of
76 four city clusters, i.e. Guanzhong Plain (GP) to the north, NCP to the northeast, Yangtze Plain,
77 Middle and Lower (YPML) to the east and Sichuan Basin (SB) to the southwest, and located in
78 the largest old-growth forest in central China with the forest coverage rate of ~70%. Table S1 lists
79 the instruments, detection limits and time resolutions for the measurements. The sampling inlets
80 were 1–2 m above the rooftop of a 4-story building. 5-point calibrations were conducted to all the
81 instruments before the sampling campaign, and daily zero checks were automatically performed.
82 The original data were converted to and reported as hourly averages. It has been well documented
83 that nitrogen dioxide (NO₂) measurement with chemiluminescence technique and molybdenum
84 catalysis overestimates the real NO₂, due to conversion of oxidized nitrogen species to NO (Dunlea
85 et al., 2007; Xu et al., 2013). The measured nitrogen dioxide, referred to as NO₂* hereinafter,
86 should be used with caution. The CO data dropped by ~8% between 23:00 and 0:00 every day
87 caused by the daily zero calibrations, and returned to normal at ~9:00. In view of the comparable
88 magnitudes of drops among the days, we still use the data in this study. A mini weather station
89 was deployed on the rooftop to monitor the meteorological parameters.



90
91 Figure 1. Location of the sampling site (left) and the nearby terrain (right). Background map in the
92 left panel shows the mean column concentrations of NO₂ (a proxy of anthropogenic emissions) in
93 2019 (TEMIS-OMI data). The insert photo on the right panel is the NSBAM at SNJ.

94 1-hour VOC samples were collected using the pre-cleaned 2 L stainless steel canisters. The
95 oxygenated VOCs (OVOCs) were trapped with silica cartridges coated with 2,4-
96 dinitrophenylhydrazine (DNPH) for 2 hours per sample. Disposable O₃ scrubbers were connected
97 in front of the DNPH cartridges to reduce O₃ interferences. In total, we obtained 79 and 60 samples
98 of VOCs and OVOCs, respectively. The sampling scheme is shown in Table S2. While all the
99 other samples were collected at the NSBAM, 16 VOC samples were collected on the road to the
100 NSBAM at lower elevations, which are not used in this study.

101 The samples were shipped back to the laboratory for chemical analysis within 1 month. VOCs
102 were analyzed using gas chromatography system with mass selective detection, flame ionization
103 detection and electron capture detection. A high-performance liquid chromatography was used to

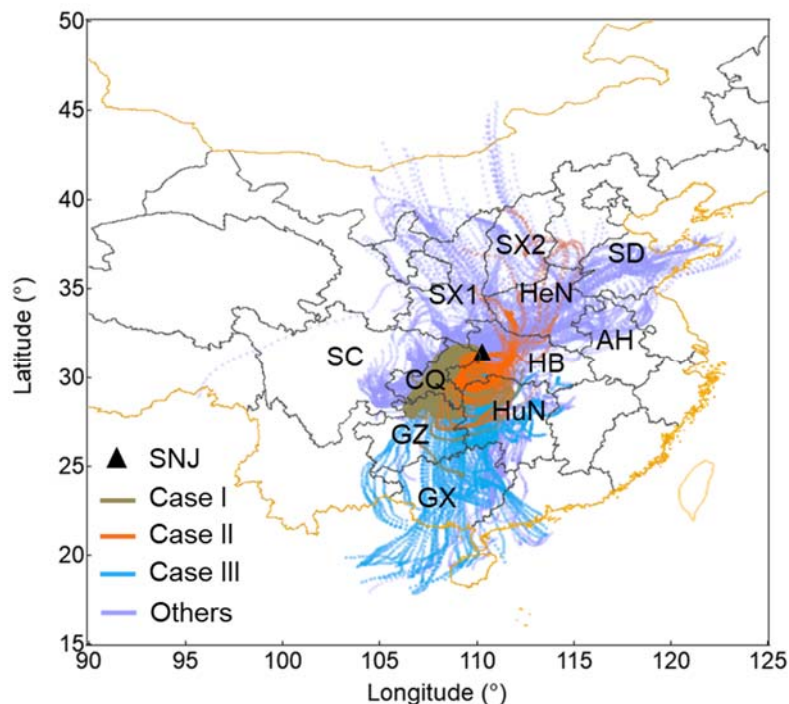
104 analyze OVOCs. The analysis procedures and quality control were described elsewhere (Lyu et
105 al., 2019).

106 2.2. Model simulations

107 2.2.1. Backward trajectory analysis

108 The HYSPLIT model (v4.9) was utilized to simulate the trajectories of air masses reaching the site.
109 The trajectory calculation was performed every 1 hour, lasting for 72 hours backwards. The
110 calculations started at the NSBAM, with the initial height of 1965 m above ground level (a.g.l.).
111 The height was determined based on the requirement that the simulated pressure at the starting
112 point was close to the real pressure monitored at the site (mean: 715 hPa). Mountains tend to get
113 smoothed out in HYSPLIT modelling which uses gridded meteorological data, leading to failure
114 of reproducing the real heights of mountains (<https://www.arl.noaa.gov/hysplit/hysplit-frequently-asked-questions-faqs/which-value-should-i-input-as-the-start-height/>). Pressure is a good
115 alternative to be referenced to. In this study, the mean simulated pressure was 909 hPa with the
116 height of 100 m a.g.l., which meant that the model actually ran at a starting point ~2155 m below
117 the sampling site. Through tests, we adopted the height of 1965 m a.g.l., with which the mean
118 simulated pressure at the starting point was 729 hPa (~2% higher than the real pressure).
119

120 Figure 2 shows the ensemble of hourly trajectories in the whole month. 4 types of air masses were
121 identified according to their origins, *i.e.*, short trajectories from the southwest and south (SWS),
122 long trajectories from the south (S), trajectories from the northeast (NE) and those from the north
123 (N). While the SWS, NE and N trajectories originated from or passed by the city cluster of SB,
124 NCP and GP shown in Figure 1, respectively, very few trajectories were associated with YPML.
125 The S trajectories were mainly originated from South China Sea (SCS). On the 9 VOC-sampling
126 days, the trajectories were composed of SWS (Case I, 39.4%), NE (Case II, 19.9%) and S (Case
127 III, 40.7%).



128

129 Figure 2. Ensemble of 72-hr backward trajectories at hourly resolution. AH: Anhui, CQ:
 130 Chongqing, GX: Guangxi, GZ: Guizhou, HB: Hubei, HeN: Henan, HuN: Hunan, SC: Sichuan, SD:
 131 Shandong, SX1: Shaanxi, SX2: Shanxi.

132 2.2.2. Modelling of in-situ photochemistry

133 An observation-based box model incorporating Master Chemical Mechanism (v3.2) was applied
 134 to understand the in-situ photochemistry. Details about the model have been elaborated in previous
 135 publications (Lyu et al., 2017a, 2019). The observed concentrations of air pollutants and values of
 136 meteorology, including 65 VOCs, 3 OVOCs (formaldehyde, acetaldehyde and acetone), sulfur
 137 dioxide (SO₂), carbon monoxide (CO), nitric oxide (NO), O₃, temperature and relative humidity
 138 were used to constrain the model. Specifically, the model read the observed values every 200
 139 seconds. Limited by sampling resolutions, the input data were treated as constants within 1 hour
 140 and 2 hours for VOCs and OVOCs, respectively. Hourly data of VOCs were obtained using linear
 141 interpolations. For the days when nighttime samples were not collected, the average diurnal cycles
 142 for individual species were applied to the daytime samples to estimate the missing values at night.
 143 The field observation did not cover peroxyacetyl nitrate and nitrous acid, which complied with
 144 photochemical equilibriums in the model. While temperature and relative humidity were assigned
 145 as parameters, all the air pollutants were defined as variables, which meant that their concentrations
 146 could evolve over time. The photolysis rates of O₃ and NO₂ were calculated by a Tropospheric
 147 Ultraviolet and Visible (TUV) radiation model constrained by the observed solar radiations,
 148 following the method described previously (Lyu et al., 2019). All the simulations had a spin-up of
 149 120 hours to stabilize the radicals and secondary species which were not input (e.g., OH and NO₂).
 150 For those of our interest, such as air pollutants, radicals or reaction pathways, the concentrations

151 or reaction rates were integrated and output at the resolution of 200 seconds, which were converted
152 to hourly averages.

153 The measured NO_2^* was not input to constrain the model. Instead, the model simulated NO_2 based
154 on photochemical equilibrium by taking the sources (e.g., oxidation of NO by O_3 and peroxy
155 radicals) and sinks (e.g., photolysis and reaction with OH) into account. Taking O_3 , NO , NO_2 and
156 isoprene (a representative of reactive VOCs) as examples, we compared their mixing ratios in
157 model outputs with the observed values during 7:00–17:00 when photochemical reactions were
158 relatively intensive (Figure S1). The mixing ratios of O_3 and isoprene were perfectly constrained
159 to the observations. NO mixing ratios output from the model were $\sim 36\%$ lower than the observed
160 values, due to the quick titration by O_3 . Because of the positive bias in NO_2 measurement, it was
161 reasonable that the simulated NO_2 was generally lower than NO_2^* . However, it was over-predicted
162 to exceed NO_2^* in the morning and afternoon of 9 & 15 September, when the solar radiations were
163 very weak ($< 100 \text{ W m}^{-2}$). This was caused by the inefficient photolysis of NO_2 and lack of
164 dispersion (an inherent drawback of box model). The consequent effects on NO_2 simulation were
165 amplified by reading the observed mixing ratios of NO and O_3 every 200 seconds. In spite of the
166 uncertainties, we adopted the simulated NO_2 rather than the observed NO_2^* in in-situ
167 photochemistry modelling, due to the potentially large overestimates of NO_2^* . The impacts of
168 model inputs on the simulation results can be inferred from the sensitivity analysis in section 3.3.

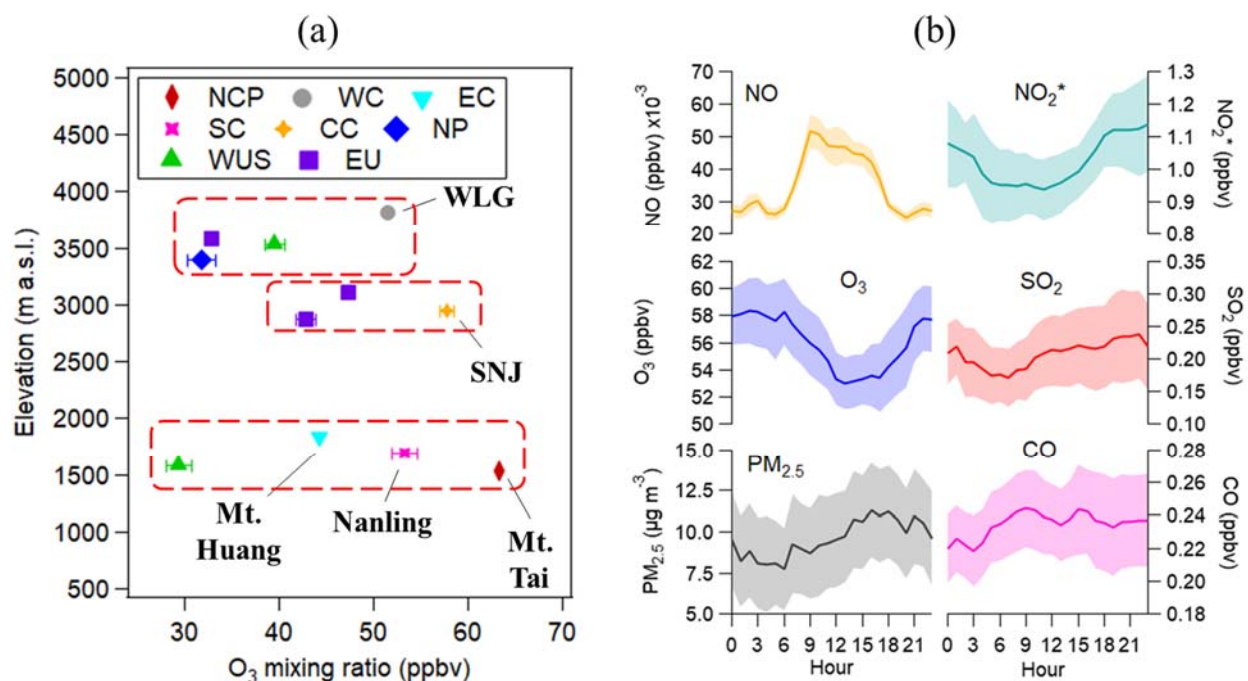
169

170 **3. Results**

171 **3.1. Concentrations and diurnal patterns**

172 Figure 3(a) shows the average mixing ratios of O_3 at SNJ and other mountainous stations during
173 22:00–6:00 in the Northern Hemisphere. The averages of all hourly data, sampling details and data
174 sources are provided in Table S3. Due to the high elevations of all the stations, the downhill winds
175 most likely brought FT air to the sites at night (Lin et al., 2014). Thus, the averages during 22:00–
176 6:00 when the O_3 levels were high and stable are treated as proxies of FT O_3 . The time period was
177 slightly different from that (0:00–8:00) adopted by Lin et al. (2014) at MLO, in order to reconcile
178 the calculation periods at all the stations. It should be kept in mind that the FT O_3 levels are
179 influenced by the abundances of O_3 precursors in the boundary layer and strength of stratosphere-
180 troposphere exchange (Hegglin et al., 2015). At comparable elevations ($\sim 3,000 \text{ m}$), SNJ in central
181 China (CC) had much higher O_3 than the stations in Europe (EU). The levels of FT O_3 over the
182 NCP, southern China (SC) and eastern China (EC) were 1.5–2.2 times that over the western United
183 States (WUS) at the elevation of 1,500–1,800 m, with the highest value observed at Mt. Tai. O_3 at
184 WLJ in western China also overwhelmed those over EU, WUS and North Pacific (NP). Overall,
185 the comparisons demonstrated high FT O_3 over China, particularly in the NCP. The FT O_3 levels
186 at SNJ in central China were only second to those at Mt. Tai in the NCP, bearing the different
187 elevations in mind. The patterns remained unchanged, when the averages were calculated with
188 whole day data (Table S3). Moreover, the dominance of O_3 at Mt. Tai over other stations was even
189 more pronounced, due to the intensive O_3 formation in daytime there (Sun et al., 2016).

190 At SNJ, the threshold of O₃ causing forest damage (hourly average of 40 ppbv) was exceeded at
 191 the rate of 98.2%, by taking the 8:00–20:00 data into account. The Accumulated Ozone exposure
 192 over a Threshold of 40 ppbv (AOT40) was 34,050 ppbv·h during April–September, as calculated
 193 following the formula in [Geernaert and Zlatev \(2004\)](#) and under the assumption of no O₃
 194 seasonality throughout this period. The AOT40 was most likely underestimated, as O₃ got the
 195 highest values in summer in central China. Despite this, the AOT40 was 3.4 times of 10,000 ppbv·h,
 196 a critical level corresponding to 5% loss of forest yield ([WHO, 2000](#)).



197
 198 Figure 3. (a) Average O₃ mixing ratio against elevation at high-elevation and/or forested stations
 199 in the Northern Hemisphere. Inside the red boxes are stations at comparable elevations. (b)
 200 Average diurnal patterns of trace gases and PM_{2.5} at SNJ in the whole September. Error bars and
 201 shaded areas represent 95% confidence intervals (C.I.s).

202 The diurnal patterns of O₃ and other criteria air pollutants at SNJ are shown in Figure 3(b). The
 203 higher levels of O₃ at night than in daytime were in line with those at many other high-elevation
 204 stations ([Lin et al., 2014](#); [Xu et al., 2016](#)). As aforementioned, FT air laden with O₃ intruded at
 205 night via downhill winds. Conversely, the uphill winds brought boundary layer (BL) air with
 206 relatively low O₃ to the site in daytime. The phenomenon of lower BL O₃ than FT O₃ is common
 207 in remote regions but is not necessarily universal, especially in areas where anthropogenic
 208 emissions cause efficient O₃ production within BL (*e.g.*, Mt. Tai). The intrusion of BL air also led
 209 to the increases of PM_{2.5} and SO₂ since the early morning and might partially contribute to the
 210 daytime NO, which increased at the same time as PM_{2.5} and SO₂ and presented a broad peak in
 211 daytime. In addition to transport via uphill winds, NO₂ photolysis and variation of O₃ levels also
 212 played key roles in shaping the diurnal pattern of NO ([Xue et al., 2011](#)). For example, the sharp
 213 decrease in NO mixing ratio at ~15:00 was most likely due to the enhanced titration by O₃ and
 214 weakened photolysis of NO₂. The diurnal cycle of measured NO₂* was opposite to that of NO.

215 However, it could not be explained by photochemical equilibrium, due to the gaps in magnitudes
 216 of their changes, *i.e.*, the large increment of NO₂* (~200 pptv) versus NO reduction of merely ~20
 217 pptv from noon to evening. Instead, the resembling of NO₂* cycle to that of O₃ indicated the
 218 dominance of oxidized nitrogen species in NO₂*, which were formed as byproducts in O₃
 219 formation. The diurnal variation of CO was not obvious, except for the drop (~8%) at 0:00 and
 220 recovery between 0:00 and ~9:00 caused by zero calibrations as mentioned in section 2.1. The
 221 relatively stable levels symbolized comparable mixing ratios of CO in FT and BL in this region.

222 Furthermore, Table 1 shows the average mixing ratios (or concentrations) of air pollutants and
 223 mean values of meteorological parameters in 4 types of air masses. O₃ and NO₂* mixing ratios
 224 were highest in type-N air masses, though the differences from those in other types were small and
 225 even insignificant. The lowest temperature and weak solar radiation implied that the higher levels
 226 of O₃ and NO₂* in type-N air were mainly attributable to photochemical processes in source
 227 regions (*i.e.*, GP) or during transport, instead of local formation. NO, SO₂ and PM_{2.5}, which were
 228 more associated with primary emissions, were most abundant in type-SWS air masses, indicating
 229 the transport of these air pollutants from Chongqing and the northern part of Hunan and Guizhou
 230 provinces. CO had the highest mixing ratios in type-NE air masses, in line with the enrichments
 231 of other combustion tracers identified from VOC measurements (*e.g.*, ethane, ethyne, benzene and
 232 methyl chloride, see section 3.2). This demonstrated the higher intensities of combustion activities,
 233 such as biomass burning, in the NCP. The type-S air contained relatively low levels of air
 234 pollutants. On one hand, most of the air masses originated from SCS, where anthropogenic
 235 emissions were sparse. On the other hand, the average wind speed was the highest with the arrival
 236 of type-S air, which favored dispersion. In contrast, the other 3 types of air masses were more
 237 stagnant, based on the much lower wind speeds.

238 To sum up, O₃ and oxidized nitrogen species (inferred from the patterns of NO₂*) in the FT
 239 overwhelmed their daytime photochemical productions, and the transport was somewhat more
 240 significant from the north. BL development led to increases of NO, SO₂ and PM_{2.5}, which were
 241 most enhanced in air masses from the southwest and south adjacently. Bearing the measurement
 242 uncertainties in mind, we found no obvious difference between the FT and BL CO, but it had the
 243 highest mixing ratios in air masses from the northeast.

244 Table 1. Mixing ratios (or concentrations) of air pollutants and meteorology with 95% C.I.s in 4
 245 types of air masses. Bolded fonts are the highest values.

Species/Parameter	SWS (<i>n</i> = 214)	S (<i>n</i> = 183)	NE (<i>n</i> = 224)	N (<i>n</i> = 99)
O ₃ (ppbv)	56.5 ± 1.0	55.5 ± 1.0	54.9 ± 1.0	57.2 ± 0.7
NO (pptv)	39.5 ± 2.0	34.4 ± 1.4	32.7 ± 1.7	32.1 ± 2.0
NO ₂ * (ppbv)	1.07 ± 0.04	0.88 ± 0.03	1.06 ± 0.05	1.08 ± 0.05
SO ₂ (ppbv)	0.29 ± 0.03	0.14 ± 0.01	0.18 ± 0.01	0.20 ± 0.02
CO (ppbv)	234 ± 6.5	231 ± 10.2	255 ± 9.9	214 ± 9.2
PM _{2.5} (µg m ⁻³)	14.3 ± 1.1	5.7 ± 0.9	8.4 ± 1.0	9.0 ± 0.9
Temperature (°C)	9.6 ± 0.3	10.2 ± 0.3	7.7 ± 0.6	4.0 ± 0.4
Relative Humidity (%)	87 ± 1.5	96 ± 1.1	84 ± 3.6	93 ± 1.7

Wind Speed (m s ⁻¹)	2.3 ± 0.2	4.3 ± 0.3	2.2 ± 0.3	2.4 ± 0.5
Solar Radiation (W m ⁻²)	189 ± 41	77 ± 18	137 ± 49	109 ± 36
Pressure (hPa)	716 ± 0.4	717 ± 0.3	718 ± 0.5	719 ± 0.4
BL height (m) *	354 ± 59	295 ± 45	346 ± 62	433 ± 96

246 * BL height is derived from the ERA5 reanalysis dataset of European Centre for Medium-Range
 247 Weather Forecasts and is calculated with whole-day data.

248

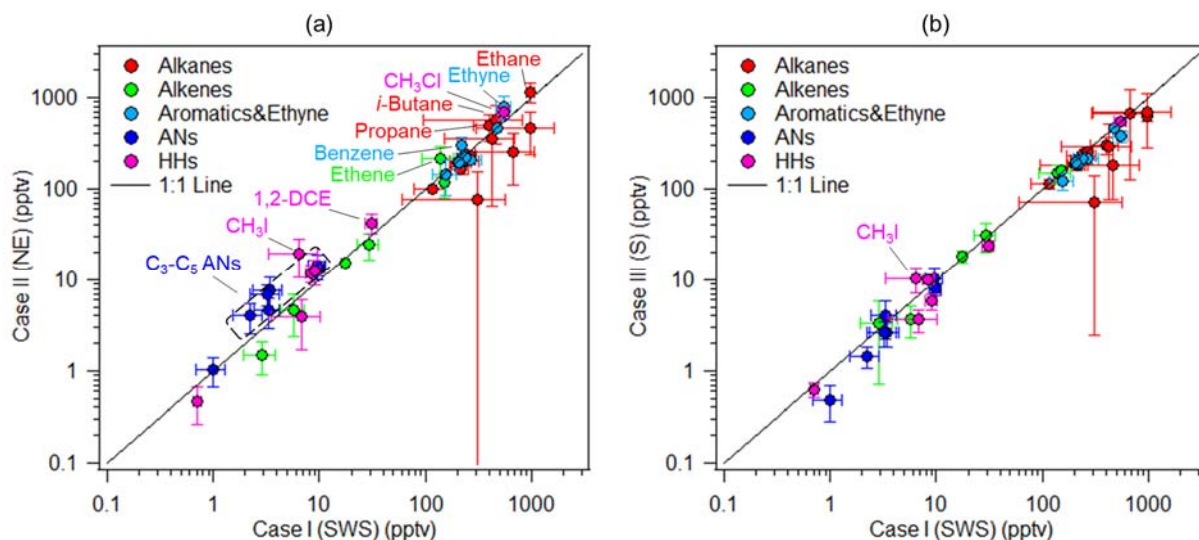
249 3.2. Characteristics of VOCs

250 Measurements of VOCs in high-elevation regions were even scarcer than O₃ observations. The
 251 average mixing ratios of individual VOC and OVOC species at SNJ are listed in Table S4. Isoprene
 252 was the most abundant VOC at SNJ (2.24±0.53 ppbv), due to the emissions from old-growth forest.
 253 Only second to isoprene was acetone, followed by ethane, *i*-pentane, cyclopentane, methyl
 254 chloride (CH₃Cl) and ethyne, indicating the potential impacts of diverse sources including
 255 combustion, vehicular emissions and industrial processes (Liu et al., 2008).

256 Figure S2 compares the mixing ratios and compositions of VOCs at several mountainous stations
 257 in China. VOCs were collected in 3 types of air masses at SNJ and are discussed in 3 cases in this
 258 section, *i.e.*, Case I, II and III belonging to the type of SWS, NE and S, respectively. 29 VOCs,
 259 which were measured at all the stations, were focused. The total mixing ratios was highest at Tai
 260 Mo Shan (TMS) in Hong Kong, and lowest at WLG. Distance to sources was an important factor
 261 leading to the difference, as the altitude of WLG is ~6 times that of TMS. Besides, the Qinghai-
 262 Tibet Plateau where WLG located had much fewer emissions than Hong Kong. Despite that the
 263 sampling site had a higher altitude in this study, the mixing ratios of VOCs exceeded those at Mt.
 264 Tai in the NCP. This was partially due to the great abundances of biogenic VOCs (isoprene, α -
 265 pinene and β -pinene) at SNJ. When excluding these species, the total mixing ratios of VOCs in
 266 Case III at SNJ were ~12.5% lower than those at Mt. Tai. However, air masses in Case I and Case
 267 II still contained elevated levels of VOCs, with the mixing ratios ~10.4% and ~6.5% higher than
 268 those at Mt. Tai, respectively. In contrast to the impacts of aforementioned sources on VOCs at
 269 SNJ, combustion activities likely dominated the sources of VOCs at Mt. Tai, due to the high levels
 270 of ethane, ethyne, benzene and ethene (Liu et al., 2008; Zhu et al., 2017), while the dominance of
 271 toluene, propane and *n/i*-butanes at TMS signified large influence of vehicular emissions,
 272 especially emissions from vehicles fueled by liquefied petroleum gas (Lyu et al., 2017a,b).

273 Furthermore, we compared the VOC mixing ratios among the 3 types of air masses, as shown in
 274 Figure 4. OVOCs and biogenic VOCs are not included, due to the low detection rates and strong
 275 local emissions, respectively. Case I air masses were characterized by highest mixing ratios for
 276 VOCs related to gasoline vehicle emissions, such as *n*-butane, *n/i*-pentanes, 2-methylpentane and
 277 toluene. Cyclopentane and trichloroethylene also presented with greatest abundances in Case I,
 278 indicative of industrial emissions (Liu et al., 2008). In contrast, the Case II air masses were laden
 279 with low carbon number alkanes (ethane, propane and *i*-butane), ethene, ethyne and benzene, most
 280 of which are common tracers of combustion activities (Liu et al., 2008). In view of the high mixing
 281 ratios of some halogenated hydrocarbons (HHs) including CH₃Cl, methyl iodine (CH₃I) and 1,2-

282 dichloroethane (1,2-DCE), we infer that VOCs in Case II were associated with coal and/or biomass
 283 burning. Besides, Case II air masses contained more abundant C₃-C₅ alkyl nitrates (ANs), which
 284 moderately correlated with these HHs, with the maximum correlation coefficient (*r*) ranging from
 285 0.41 for 2-pentyl nitrate vs. 1,2-DCE to 0.89 for *i*-propyl nitrate vs. 1,2-DCE. Thus, combustion
 286 activities also accounted for the enrichments of C₃-C₅ ANs in Case II air masses. In fact, emissions
 287 of ANs from biomass burning have been confirmed in previous studies (Simpson et al., 2002; Lyu
 288 et al., 2017a). Most of VOC species showed the lowest mixing ratios in Case III air masses. In
 289 comparison with those in Case I, only methyl iodine (CH₃I) exhibited higher levels in Case III,
 290 likely resulting from marine emissions in SCS (Blake et al., 1999). A few alkanes (*i*-pentane and
 291 cyclopentane) and alkenes (propene, 1,3-butadiene and *cis*-2-butene) were more abundant in Case
 292 III than in Case II, which might be ascribed to vehicular and industrial emissions (Liu et al., 2008).



293
 294 Figure 4. Scatter plots of average VOC mixing ratios in Case II (a) and Case III (b) against those of corresponding species in Case I. Error bars represent 95% C.I.s.
 295

296 In conclusion, the old-growth forest significantly contributed to VOCs at SNJ, whereas the impacts
 297 of vehicular and industrial emissions from the southwest and south, and combustion emissions
 298 from the northeast were also obvious.

299
 300 **3.3. In-situ photochemistry**

301 In this study, the purpose of applying the box model was not to simulate O₃, which was hardly
 302 achieved due to significant impacts of transport on O₃ in high-elevation and remote region. Instead,
 303 we aimed to assess the in-situ photochemistry, irrespective of origins of the air masses and how
 304 the air pollutants were transported to the site. The modelling results were acceptable under the
 305 premise that both O₃ and its precursors were reasonably constrained to observations (Figure S1).

306 Figure 5 shows the main reactions responsible for O₃ formation and budget balance of oxidative
 307 radicals. The reaction rates were calculated as averages over the period of 7:00–17:00. According
 308 to the simulations, the net ozone production rate (OPR) was 0.32 ± 0.06 ppbv hr⁻¹, comparable to

335 *e.g.*, 454 pptv/pptv in California Sierra Nevada Mountains (Mao et al., 2012). Fundamentally, this
 336 could be explained by the RO_x cycling, as shown in Figure 5. The cycling rate was 0.71 ppbv hr⁻¹
 337 for the transformation from OH to RO₂ (written as OH–RO₂, same for others). However, due to
 338 insufficient NO_x, it decreased to 0.52 ppbv hr⁻¹ for RO₂–HO₂, and was further restricted to 0.26
 339 ppbv hr⁻¹ for HO₂–OH. The equilibrium for each radical was maintained via other pathways,
 340 especially the self-reactions as sinks. A large fraction (~42.0%) of RO₂ was consumed by reacting
 341 with HO₂ (0.42 ppbv hr⁻¹). Similarly, the reaction between HO₂ and RO₂ (HO₂) constituted 44.7%
 342 (18.1%) of the total loss rate of HO₂, and only 37.2% of HO₂ was converted to OH. Overall, the
 343 sinks of RO_x at SNJ were mainly through self-reactions of peroxy radicals which are typical chain
 344 termination reactions in NO_x-lean environment (Thornton et al., 2002), in contrast to the reaction
 345 of OH + NO₂ in polluted atmosphere (Xue et al., 2016).

346 To further reveal the roles of O₃ precursors in modulating the in-situ photochemistry, sensitivity
 347 analysis was performed through increasing the model inputs by 10%. Since weather was highly
 348 changeable at the high-elevation site, the sensitivity to solar radiation was also tested. Table 2
 349 summarizes the percentage changes in RO_x concentrations and rates of reactions involved in O₃
 350 production and destruction, in response to the addition of 10% of O₃ precursors or 10% increase
 351 of solar radiations. The net OPR was most sensitive to NO, which increased by 22.9% with the
 352 addition of 10% of NO. The breakdowns of the net OPR clearly indicated the acceleration of the
 353 O₃ production pathways (HO₂ + NO, RO₂ + NO). While the rates of some O₃ destruction pathways
 354 (OH + O₃, HO₂ + O₃, OH + NO₂) were also enhanced, these reactions were much slower than the
 355 O₃ productions (Figure 5). Initiated with the accelerated reaction of RO₂ + NO, the RO₂
 356 concentration decreased, in contrast to the rise of HO₂ and OH levels. The addition of CO
 357 facilitated transformation of OH to HO₂, which however restrained the production of RO₂, due to
 358 the consumption of OH and its low concentration in this reaction system. Restrictions on the two
 359 O₃ production pathways were still not alleviated, thereby minor impacts of the added CO on net
 360 OPR. This was also applicable to the additions of AVOC and BVOC. Moreover, the addition of
 361 VOCs speeded up the reactions of O₃ + VOC, the dominant O₃ destruction pathway at SNJ. As a
 362 result, the added VOCs made nil or even negative contributions to the net OPR. It is noteworthy
 363 that both CO and VOCs caused net consumptions of OH. Isoprene alone accounted for 33.4% of
 364 the total reaction rate of OH-initiated oxidations of VOCs, manifesting as the principal OH
 365 depleting species. The intensified solar radiation caused an increase of net OPR, with the
 366 sensitivity of 0.38 (3.8%/10%). The decrease of the reaction rate of OH + NO₂ was attributable to
 367 the enhanced photolysis of NO₂.

368 Overall, both O₃ formation and RO_x cycling were significantly limited by the insufficient NO_x at
 369 SNJ. CO and VOCs, especially isoprene, caused OH deficit, because the peroxy radicals generated
 370 from the OH-initiated oxidations could not be efficiently returned to OH.

371 Table 2. Percentage changes in RO_x concentrations and reaction rates of pathways modulating net
 372 O₃ production in response to 10% increases of O₃ precursors or solar radiations (Unit: %).

Pathways / Radicals		NO	CO	AVOC*	BVOC#	S.R.
O ₃ Production	HO ₂ + NO	16.2	0.7	0.4	-1.3	1.9
	RO ₂ + NO	8.9	-0.4	2.0	1.5	3.2

O ₃ Destruction	O ¹ D + H ₂ O	-0.01	-0.04	0.01	-0.03	10.0
	OH + O ₃	4.7	-0.5	-1.2	-3.5	3.0
	HO ₂ + O ₃	3.8	0.4	1.4	0.8	1.0
	OH + NO ₂	16.7	-0.3	-1.1	-4.4	-4.8
	O ₃ + VOC	-0.03	-0.02	4.1	5.9	-0.004
Net OPR		22.9	-0.3	0.1	-2.7	3.8
Oxidative Radicals	OH	4.6	-0.5	-1.2	-3.5	3.0
	HO ₂	3.7	0.4	1.4	0.8	1.0
	RO ₂	-3.0	-0.7	3.2	3.7	2.4

373 * and #: 65 and 3 VOC species are included in AVOC and BVOC, respectively. S.R.: Solar
374 Radiation.

375

376 4. Conclusions

377 The observations of O₃ and its precursors in a high-elevation and highly forested region in central
378 China, in combination with literature and public data, indicated that O₃ levels in the FT over China
379 were higher than those over the United States and Europe. The O₃ concentrations were high enough
380 to cause damages to the old-growth forest in the study region. Air masses from the north were
381 characterized by elevated levels of O₃, which however did not show big differences from those in
382 air masses of other origins. The adjacent southwest and south territories were significant source
383 regions of NO, SO₂ and PM_{2.5} at the site, but air from the northeast contained higher levels of CO.
384 We identified abundant VOCs in this background atmosphere. In addition to local biogenic
385 emissions, vehicular and industrial emissions in adjoining regions to the southwest and south, as
386 well as combustion activities in regions to the northeast, were the potential sources of VOCs at the
387 site. This study provides rare insights into the in-situ O₃ photochemistry in remote regions. It was
388 revealed that O₃ production was significantly limited by the insufficient NO_x, which also caused
389 intensive self-reactions among RO_x radicals. The in-situ O₃ production was not sensitive to CO
390 and AVOCs, while BVOCs even made some negative contributions to the net O₃ production rate.
391 Both CO and VOCs caused net consumptions of OH, and the first position of OH depleting species
392 was occupied by isoprene. Therefore, it was most likely that the old-growth forest did not
393 aggravate O₃ injuries to itself by releasing VOCs. The in-situ modelling could not rule out the
394 possibility of CO and VOCs fueling O₃ formation during the transport of them to the site. This
395 study highlighted high levels of FT O₃ over China, and provided evidence of regional transport
396 affecting air quality in central China. The observational data in such a region without any high-
397 elevation or ozonesonde measurements before will provide important references for future studies.

398

399 Acknowledgements

400 This study was supported by the Research Grants Council of the Hong Kong Special
401 Administrative Region via Theme-Based Research Scheme (TRS) (Project T24-504/17-N), and
402 the Strategic Focus Area scheme of The Research Institute for Sustainable Urban Development at

403 The Hong Kong Polytechnic University (1-BBW9). The observation data are available in an online
404 data repository (Lyu, 2020).

405

406 **References**

407 Atkinson, R. (2000). Atmospheric chemistry of VOCs and NO_x. *Atmospheric Environment*, 34(12-
408 14), 2063–2101.

409 Atkinson, R. & Aschmann, S.M. (1993). Hydroxyl radical production from the gas-phase reactions
410 of ozone with a series of alkenes under atmospheric conditions. *Environmental Science &*
411 *Technology*, 27(7), 1357–1363.

412 Blake, N.J., Blake, D.R., Wingenter, O.W., Sive, B.C., Kang, C.H., Thornton, D.C., et al. (1999).
413 Aircraft measurements of the latitudinal, vertical, and seasonal variations of NMHCs, methyl
414 nitrate, methyl halides, and DMS during the First Aerosol Characterization Experiment (ACE 1).
415 *Journal of Geophysical Research: Atmospheres*. 104(D17), 21803–21817.

416 Cui, J., Pandey Deolal, S., Sprenger, M., Henne, S., Staehelin, J., Steinbacher, M. & Nédélec, P.
417 (2011). Free tropospheric ozone changes over Europe as observed at Jungfraujoch (1990–2008):
418 An analysis based on backward trajectories. *Journal of Geophysical Research: Atmospheres*, 116,
419 D10304. <https://doi.org/10.1029/2010JD015154>.

420 Dunlea, E.J., Herndon, S.C., Nelson, D.D., Volkamer, R.M., San Martini, F., Sheehy, P.M., et al.
421 (2007). Evaluation of nitrogen dioxide chemiluminescence monitors in a polluted urban
422 environment. *Atmospheric Chemistry & Physics*, 7, 2691–2704.

423 Fishman, J., Ramanathan, V., Crutzen, P.J. & Liu, S.C. (1979). Tropospheric ozone and climate.
424 *Nature*, 282(5741), 818–820.

425 Geernaert, G. & Zlatev, Z. (2004). Studying the influence of biogenic emissions on AOT40 levels
426 in Europe. *International Journal of Environment and Pollution*, 22(1-2), 29–42.

427 Hocking, W.K., Carey-Smith, T., Tarasick, D.W., Argall, P.S., Strong, K., Rochon, Y., et al.
428 (2007). Detection of stratospheric ozone intrusions by windprofiler radars. *Nature*, 450(7167),
429 281–284.

430 Hegglin, M.I., Fahey, D.W., McFarland, M., Montzka, S.A. & Nash, E.R. (2015). Twenty
431 questions and answers about the ozone layer: 2014 Update-Scientific Assessment of Ozone
432 Depletion: 2014. Geneva, Switzerland: World Meteorological Organization.

433 Kanaya, Y., Pochanart, P., Liu, Y., Li, J., Tanimoto, H., Kato, S., et al. (2009). Rates and regimes
434 of photochemical ozone production over Central East China in June 2006: a box model analysis
435 using comprehensive measurements of ozone precursors. *Atmospheric Chemistry & Physics*, 9(20),
436 7711–7723.

437 Lippmann, M. (1991). Health effects of tropospheric ozone. *Environmental Science & Technology*,
438 25(12), 1954–1962.

439 Lei, X., Cheng, H., Peng, J., Jiang, H., Lyu, X., Zeng, P., et al. (2021). Impact of long-range
440 atmospheric transport on volatile organic compounds and ozone photochemistry at a regional
441 background site in central China. *Atmospheric Environment*, 246,
442 doi.org/10.1016/j.atmosenv.2020.118093.

443 Lyu, X. (2020). Observation data at Shennongjia - Criteria air pollutants, VOCs, OVOCs, and
444 meteorological parameters. figshare. Dataset. <https://doi.org/10.6084/m9.figshare.12950528.v1>.

445 Lu, X., Chen, N., Wang, Y., Cao, W., Zhu, B., Yao, T., et al. (2017). Radical budget and ozone
446 chemistry during autumn in the atmosphere of an urban site in central China. *Journal of*
447 *Geophysical Research: Atmospheres*, 122(6), 3672–3685.

448 Lyu, X.P., Guo, H., Wang, N., Simpson, I.J., Cheng, H.R., Zeng, L.W., et al. (2017a). Modeling
449 C₁–C₄ alkyl nitrate photochemistry and their impacts on O₃ production in urban and suburban
450 environments of Hong Kong. *Journal of Geophysical Research: Atmospheres*. 122(19), 10539–
451 10556.

452 Lin, M., Horowitz, L.W., Oltmans, S.J., Fiore, A.M. & Fan, S. (2014). Tropospheric ozone trends
453 at Mauna Loa Observatory tied to decadal climate variability. *Nature Geoscience*, 7(2), 136–143.

454 Li, K., Jacob, D.J., Liao, H., Shen, L., Zhang, Q. & Bates, K.H. (2019a). Anthropogenic drivers of
455 2013-2017 trends in summer surface ozone in China. *Proceedings of the National Academy of*
456 *Sciences*, 116(2), 422–427.

457 Liu, X., Lyu, X., Wang, Y., Jiang, F. & Guo, H. (2019). Intercomparison of O₃ formation and
458 radical chemistry in the past decade at a suburban site in Hong Kong. *Atmospheric Chemistry &*
459 *Physics*, 19, 5127–5145.

460 Liu, Y., Shao, M., Fu, L., Lu, S., Zeng, L. & Tang, D. (2008). Source profiles of volatile organic
461 compounds (VOCs) measured in China: Part I. *Atmospheric Environment*, 42(25), 6247–6260.

462 Logan, J.A., Staehelin, J., Megretskaia, I.A., Cammas, J.P., Thouret, V., Claude, H., et al. (2012).
463 Changes in ozone over Europe: Analysis of ozone measurements from sondes, regular aircraft
464 (MOZAIC) and alpine surface sites. *Journal of Geophysical Research: Atmospheres*. 117, D09301,
465 <https://doi.org/10.1029/2011JD016952>.

466 Liu, Y. & Wang, T. (2020). Worsening urban ozone pollution in China from 2013 to 2017-Part 2:
467 The effects of emission changes and implications for multi-pollutant control. *Atmospheric*
468 *Chemistry and Physics*. 20(11):6323-6337.

469 Lyu, X.P., Wang, N., Guo, H., Xue, L.K., Jiang, F., Zeren, Y.Z., et al. (2019). Causes of a
470 continuous summertime O₃ pollution event in Jinan, a central city in the North China Plain.
471 *Atmospheric Chemistry & Physics*, 19, 3025–3042.

472 Lyu, X.P., Zeng, L.W., Guo, H., Simpson, I.J., Ling, Z.H., Wang, Y., et al. (2017b). Evaluation of
473 the effectiveness of air pollution control measures in Hong Kong. *Environmental Pollution*, 220,
474 87–94.

475 Mao, J., Ren, X., Zhang, L., Van Duin, D.M., Cohen, R.C., Park, J.H., et al. (2012). Insights into
476 hydroxyl measurements and atmospheric oxidation in a California forest. *Atmospheric Chemistry
477 & Physics*, 12, 8009–8020.

478 Reich, P.B. & Amundson, R.G. (1985). Ambient levels of ozone reduce net photosynthesis in tree
479 and crop species. *Science*, 230(4725), 566–570.

480 Sun, L., Xue, L., Wang, T., Gao, J., Ding, A., Cooper, O.R., et al. (2016). Significant increase of
481 summertime ozone at Mount Tai in Central Eastern China. *Atmospheric Chemistry & Physics*, 16,
482 10637–10650.

483 Thornton, J.A., Wooldridge, P.J., Cohen, R.C., Martinez, M., Harder, H., Brune, W.H., et al.
484 (2002). Ozone production rates as a function of NO_x abundances and HO_x production rates in the
485 Nashville urban plume. *Journal of Geophysical Research: Atmospheres*, 107(D12),
486 doi.org/10.1029/2001JD000932.

487 Wang, Y., Wang, H., Guo, H., Lyu, X., Cheng, H., Ling, Z., et al. (2017). Long-term O₃-precursor
488 relationships in Hong Kong: field observation and model simulation. *Atmospheric Chemistry &
489 Physics*. 17(18), 10919–10935.

490 Xue, L., Gu, R., Wang, T., Wang, X., Saunders, S., Blake, D., et al. (2016). Oxidative capacity
491 and radical chemistry in the polluted atmosphere of Hong Kong and Pearl River Delta region:
492 analysis of a severe photochemical smog episode. *Atmospheric Chemistry and Physics*, 16(15),
493 9891-9903.

494 Xu, W., Lin, W., Xu, X., Tang, J., Huang, J., Wu, H. & Zhang, X. (2016). Long-term trends of
495 surface ozone and its influencing factors at the Mt Waliguan GAW station, China—Part 1: Overall
496 trends and characteristics. *Atmospheric Chemistry & Physics*, 16(10), 6191–6205.

497 Xue, L.K., Wang, T., Guo, H., Blake, D.R., Tang, J., Zhang, X.C., et al. (2013). Sources and
498 photochemistry of volatile organic compounds in the remote atmosphere of western China: results
499 from the Mt. Waliguan Observatory. *Atmospheric Chemistry & Physics*, 13(17), 8551–8567.

500 Xu, Z., Wang, T., Xue, L.K., Louie, P.K., Luk, C.W., Gao, J., et al. (2013). Evaluating the
501 uncertainties of thermal catalytic conversion in measuring atmospheric nitrogen dioxide at four
502 differently polluted sites in China. *Atmospheric Environment*, 76, 221–226.

503 Xue, L.K., Wang, T., Zhang, J.M., Zhang, X.C., Poon, C.N., Ding, A.J., et al. (2011). Source of
504 surface ozone and reactive nitrogen speciation at Mount Waliguan in western China: new insights
505 from the 2006 summer study. *Journal of Geophysical Research: Atmospheres*, 116, D07306,
506 <https://doi.org/10.1029/2010JD014735>.

507 Xu, W.Y., Xu, X.B., Lin, M.Y., Lin, W.L., Tarasick, D., Tang, J., et al. (2018). Long-term trends
508 of surface ozone and its influencing factors at the Mt Waliguan GAW station, China-Part 2: the
509 roles of anthropogenic emissions and climate variability. *Atmospheric Chemistry & Physics*, 18,
510 773–798.

- 511 Zeng, P., Lyu, X.P., Guo, H., Cheng, H.R., Jiang, F., Pan, W.Z., et al. (2018). Causes of ozone
512 pollution in summer in Wuhan, Central China. *Environmental Pollution*, 241, 852–861.
- 513 Zhu, Y., Yang, L., Kawamura, K., Chen, J., Ono, K., Wang, X., et al. (2017). Contributions and
514 source identification of biogenic and anthropogenic hydrocarbons to secondary organic aerosols
515 at Mt. Tai in 2014. *Environmental Pollution*, 220, 863–872.