

# Probabilistic performance of Coastal Bridges under Hurricane Waves using Experimental and 3D Numerical Investigations

Deming Zhu<sup>1</sup>, Peng Yuan<sup>2</sup>, and You Dong<sup>3,\*</sup>

## Abstract

This paper proposes a comprehensive framework for performance and reliability analyses of coastal bridges under hurricane surge and waves, including a three-dimensional (3D) Computational Fluid Dynamics (CFD) model to simulate the wave-structure interaction, laboratory experiments to improve the model accuracy, a 3D Finite Element Model (FEM) to evaluate bridge and component responses, surrogate models for performance prediction, as well as effects of uncertainties and climate changes in long-term vulnerability analyses. The experimental validation ensures the credibility of the established model and computational results. For accurate and efficient quantification of the structural responses under different surge and wave conditions, surrogate models with different parameters are introduced for investigated scenarios, which could not be well predicted by using existing methods. Based on the detailed 3D CFD and FEM results, a new component-level overturning failure mode of a bridge subjected to the hurricane is developed by considering wave force, overturning moment, bearing damages, and uncertainties in structural and hazard parameters. Given fragility surface and potential changing climate scenario, long-term reliability analysis is performed. The established framework could be accurately and widely applied to other bridges and hurricane scenarios by adjusting the model and experimental parameters. This study could help in exploring the resistance of coastal bridges against natural hazards, and in developing specifications to mitigate future hurricane risk.

**Keywords:** Coastal bridges; 3D numerical models; Laboratory experiment; Overturning effects; Bearing performance; Probabilistic fragility model.

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## 33 1. Introduction

34 Due to the climate change scenario in recent years, hurricanes have a devastating impact on  
35 coastal communities, threatening public life and property. For instance, Hurricane Wilma in  
36 2005 attacked the US and caused 23 deaths and a total loss of \$28.1 billion (2005 USD) [1].  
37 Typhoon Hato in 2017 struck Macau and led to 10 fatalities and a \$1.42 billion loss (2017 USD)  
38 [2]. The infrastructures, especially for those coastal bridges, are particularly susceptible to  
39 damage for their inadequate design. Under hurricane events, damaged bridges may not only  
40 result in traffic disruption and economic loss, but also the subsequent rescue and material  
41 transportation problem. Reliability assessment of the coastal bridge under hurricanes is of vital  
42 importance for enhancing resistance and resilience capacity of coastal communities against  
43 natural hazards, and for developing structure specifications to mitigate future risks as well.

44 For systematic reliability analysis, the structural capacity and demand associated with  
45 failure mode should be identified, which require a deep exploration of the structural  
46 performance under hazards [3], [4]. Generally, a primary failure mode for coastal bridges under  
47 hurricane events is the deck unseating by comparing the uplift wave force with the deck weight  
48 [5]. For instance, [6] conducted laboratory experiments to measure the hurricane wave forces  
49 on the superstructure of coastal bridges. [7] tested the quasi-static wave forces on the bridge  
50 deck. However, an important thing that has often been neglected is the characteristic that the  
51 wave tends to impact the deck from only one side, causing an extreme force concentrated on  
52 the seaward side of the deck. Considering the large width of the deck, the uneven load  
53 distribution could lead to significant structural stability problems such as extreme overturning  
54 moment and component damage (*e.g.*, bearing). [8] tried to calculate the overturning moment  
55 from the measured wave loads but neglected the contribution of the horizontal force. [9] used  
56 a CFD model to compute the overturning moment, while the constraints of the bearings were  
57 not examined. Studies on the wave force distribution and overturning moment were very  
58 limited. Therefore, this study focuses on detailed structural performances under hurricane  
59 waves, including wave force, overturning moment, bearing damage, and failure sequence.

60 To explicitly assess the bridges and components performance under the relevant forces,

61 the complex wave-structure interaction, such as the trapped air between the girders and the  
62 deck, should be well addressed. Traditional two-dimensional (2D) computational fluid  
63 dynamics (CFD) model has often been adopted to simulate the wave-structure interaction  
64 considering the high expense of prototype scale experiments [10]–[13]. Although several  
65 empirical formulas have been reached based on this method, the 2D model is limited in the  
66 longitudinal ( $z$ ) axis in providing accurate results. [14] simulated the wave-bridge interaction  
67 and found there existed differences between their 2D computational results with the analytical  
68 ones. [9] conducted numerical research of solitary wave forces on bridges and pointed out the  
69 2D numerical model may not fully capture the wave features. [15] concluded that simplification  
70 by using a 2D model could lead to errors, and a three-dimensional (3D) model should be studied  
71 for better simulation of the wave process. [16] examined 2D and 3D models with experimental  
72 measurements and proved the 3D model's better performance in simulating the trapped air and  
73 calculating wave load. Thus, a 3D CFD model is established in this study to investigate the  
74 wave-structure interaction and to measure the external wave loads on the bridge model. In  
75 addition, laboratory experiments are conducted in this study to improve and validate the  
76 numerical model considering the complicated hydrodynamic problem. The wave-induced  
77 forces are extracted from the CFD model and then imported into a 3D structural finite element  
78 model (FEM) for the investigated bridge. The established 3D FEM bridge model could  
79 compute structural responses and bearing performance of the bridge under wave impacts,  
80 comprehensively considering the effects of structural dimensions, bearing constraints, and  
81 material properties (e.g., stiffness, density, and elastic modulus).

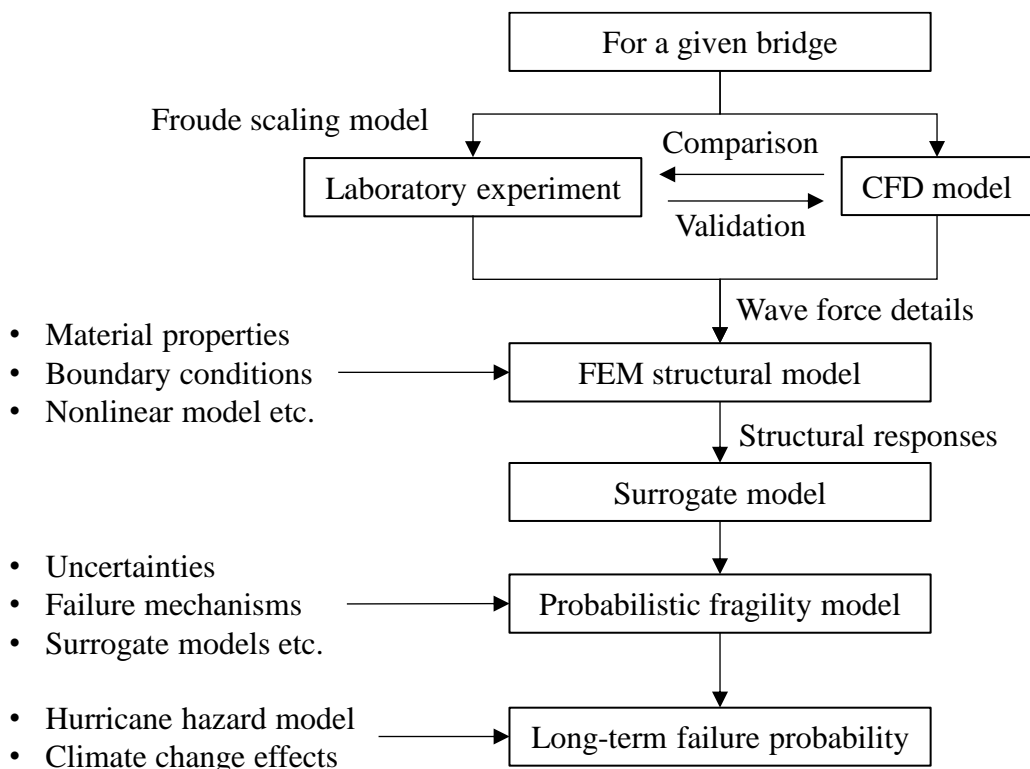
82 On the other hand, a systematic probabilistic assessment framework of coastal bridges  
83 under hurricane waves has not been well established. Existing ones are established based on  
84 some general empirical formulas, neglecting the complex wave-structure interaction process  
85 and the component performance. For instance, [17] investigated damaged bridges during  
86 Hurricane Katrina, and qualitatively described bridge damage levels. [5] proposed the bridge  
87 deck unseating failure mode by comparing empirical wave forces and the static weight of the  
88 bridge. Furthermore, most previous studies focused on the deterministic performance

89 assessment of the coastal bridge under wave loads, which does not allow uncertainty  
90 quantification associated with capacity and demand [18]–[21]. Only a few studies assessed the  
91 reliability of bridges under hurricanes [22]. [23] attempted to assess the vulnerability of the  
92 coastal bridge under hurricane events using multiple environmental parameters. [24] utilized  
93 surrogate models to evaluate the structural responses with different intensity measures based  
94 on a 2D model. [25] discussed uncertainties in demand and capacity models and pointed out  
95 that more studies should be conducted on the failure model at a structural component level.  
96 There also exist some other studies [26]–[30] on the reliability assessment of coastal bridges  
97 under hurricane wave loads. However, in these probabilistic studies, the overturning failure  
98 mechanism and performance of the components (*e.g.*, bearings) were not considered. To the  
99 best knowledge of the authors, there has been no study focusing on a systematic and detailed  
100 probabilistic performance assessment of coastal bridges under hurricane surge and waves using  
101 both experimental and numerical methods. Therefore, based on the 3D numerical and  
102 experimental investigations, this study develops a new component-level overturning failure  
103 mode of a bridge subjected to hurricane waves by considering the overturning moment, bearing  
104 damage, and uncertainties in structural capacity and demand.

105         Nowadays, climate change has an increasing impact on the built environment and civil  
106 infrastructure performance [31], [32]. There has been growing evidence that global climate  
107 change may affect both the frequency and severity of the extreme event from natural hazards  
108 [33], [34]. [35] estimated that hurricane speed may increase by 20% around the world in the  
109 21<sup>st</sup> century. Australian Greenhouse office reported that the peak wind speed would increase  
110 by 2-5% by year 2030 and 5-10% by 2070. The IPCC (Intergovernmental Panel on Climate  
111 Change) also indicated that hurricane intensity and frequency may be affected by the increase  
112 of sea surface temperature. Under the climate change scenario, the increased vulnerability of  
113 civil infrastructure poses a significant challenge to city planners and bridge managers. Thus,  
114 studies are needed to assess the potential impact of climate change on the bridge vulnerability  
115 and reliability under hurricanes.

116         Overall, this paper proposes a comprehensive framework for performance, vulnerability,

117 and reliability assessment of coastal bridges under hurricane surge and waves, and develops a  
 118 novel component-level overturning failure mode. The framework, as shown in Fig. (1),  
 119 includes an experimental verified 3D CFD model to investigate the fluid-structure interaction,  
 120 a 3D FEM to evaluate bridge and component responses, surrogate models to predict structural  
 121 performance, a probabilistic bridge fragility model considering the uncertainties associated  
 122 with structural capacity and demand, as well as a long-term failure probability analysis  
 123 considering climate change effect. The 3D CFD model and experimental measurement are  
 124 introduced in section 2. The FEM setups and results are presented in section 3. The new  
 125 component-level overturning failure mode and relative limit states are discussed in section 4.  
 126 The probabilistic long-term failure probability is presented in section 5. Conclusions are drawn  
 127 in section 6. The proposed framework can be used in reliability assessment of other structure  
 128 and hazard types and extended to evaluate the effectiveness of alternative retrofit measures.



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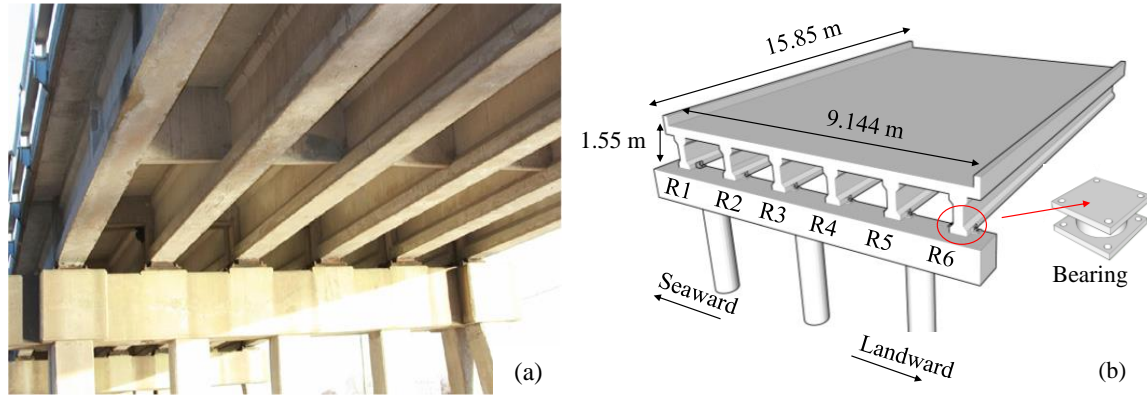
**Fig. 1** Computational flowchart of the developed framework

## 131 2. 3D CFD modeling and experimental investigation on wave-structure interaction

132 In this section, the wave-structure interaction is studied with *ANSYS* Fluent. The traditional 2D  
133 CFD model could simulate the solitary wave but may yield errors due to the simplification in  
134 the longitudinal direction ( $z$  axis). A 2D model could not reflect the uneven wave force  
135 distribution on the deck, which is detrimental to the subsequent structural response analyses  
136 such as the bearing performance. The 3D model could better address the trapped air between  
137 the deck and girders, calculate wave load distribution, and thus provide more accurate results.  
138 Therefore, a 3D CFD model is established to investigate the hurricane wave for more accurate  
139 results.

140 Furthermore, laboratory experiments are adopted as an improvement and validation for  
141 the numerical model. The complex hydrodynamic problem, especially for the wave-structure  
142 interaction with trapped air in this study, could significantly influence the accuracy of the  
143 numerical model. Although there exist several theoretical models to describe the fluid motion,  
144 it requires specific analyses for the given case considering practical engineering issues.  
145 Laboratory experiments could provide insights into the characteristics of wave-structure  
146 interaction, as well as the basis of numerical simulation. Hence, experiments are conducted  
147 herein to measure the relevant load on the bridge and to validate the CFD model. With the  
148 established model, differences between the results from 2D and 3D models are compared,  
149 horizontal and vertical wave forces are computed, properties of wave forces are discussed.

150 For the ease of the following discussion, the bridge model is introduced first. Based on  
151 the reconnaissance report after hurricanes, most of the severely damaged bridges during  
152 hurricanes were simply supported concrete bridges, of which the old design cannot meet the  
153 requirement of extreme hurricane waves and climate change effects [36]. For illustrative  
154 purposes, the bridge model investigated herein is a typical simply supported bridge widely built  
155 in coastal regions as Fig. 2 (a). Detailed information and damage reports of this type of bridge  
156 could be found in [36]. The deck is supported by 6 bearings at each side, labeled from L1-L6  
157 and R1-R6 as Fig. 2 (b). The water depth is assumed as 6 m, and clearance, which is the distance  
158 from the bottom of bridge girders to still water level before storm surge arrives, is set as 4 m.



159

160 **Fig. 2** (a) Simply supported bridge and (b) schematic diagram of the investigated bridge

161 2.1 3D CFD modeling and experimental validation

162 The boundary conditions of the CFD numerical domain in the *ANSYS* Fluent are shown in Fig.  
 163 3 (a). The numerical domain is 140 m in length ( $x$  direction), 20.85 m in width ( $z$  direction),  
 164 and 30 m in height ( $y$  direction). The bridge is located 20 m from the inlet plane, and there is a  
 165 100-m long region between the bridge model and the outlet plane to minimize wave reflection  
 166 effects. Note that only part of the numerical domain is shown in Fig. 3 for clear presentation.  
 167 As indicated, plane ABCD is the velocity inlet; plane EFGH is the pressure outlet to keep the  
 168 balanced pressures for the air and water zones; plane BCGF is set as pressure outlet with the  
 169 constant atmosphere pressure (*i.e.*, 101,325 Pa); and others are set as no-slip stationary wall  
 170 conditions. In the CFD model, the I-shaped girders are simplified to rectangular, which is a  
 171 common method to reduce the high computational cost associated with hydrodynamics. The  
 172 wave forces on the bridge deck are calculated as the sum of the dot product of the pressure and  
 173 viscous forces on each face with the specified force vector [37]. The volume of fluid (VOF)  
 174 method is used to determine the dynamic free surface, and two phases are included. Air is set  
 175 as phase-1, the primary phase, and water-liquid is set as phase-2, the secondary phase. The  
 176 shear-stress transport (SST  $k-\omega$ ) model is utilized as the turbulence closure for the Reynolds-  
 177 averaged Navier-Stokes (RANS) equations.

178 In this study, the solitary wave theory is applied to simulate extreme waves, which is a  
 179 widely accepted model to investigate hydrodynamic effects on coastal bridges in the  
 180 engineering field [38]. In addition, a solitary wave has a relatively stable wave profile within

181 processing [39], [40], which is beneficial to validate the CFD model using experiments and  
 182 conduct the parametric study. The free surface profile  $\eta$  of the applied solitary wave theory is  
 183 [41], [42]

$$\eta(x, t) = H \operatorname{sech}^2 \sqrt{\frac{3}{4} \frac{H}{D^3}} (x - ct) \quad (1)$$

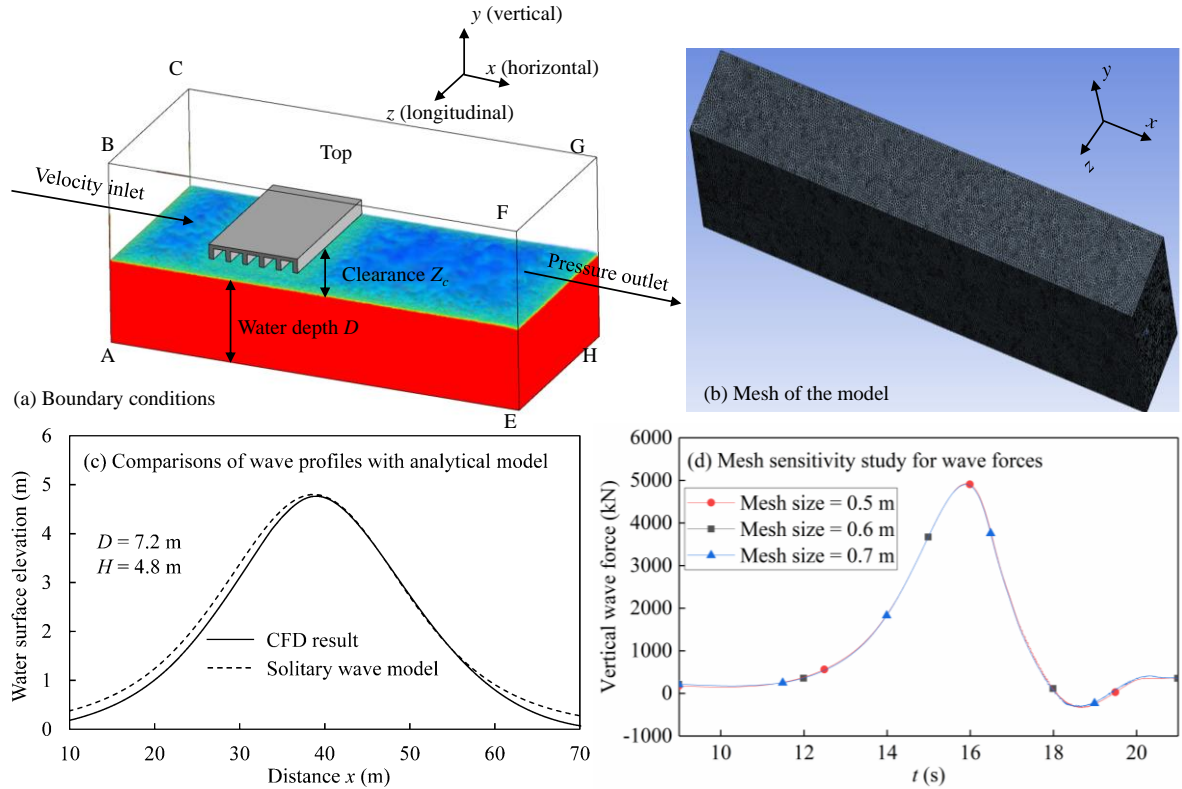
$$t_0 = \frac{\tanh^{-1}(0.999)}{c \sqrt{\frac{3}{4} \frac{H}{D^3}}} = \frac{3.8}{c \sqrt{\frac{3}{4} \frac{H}{D^3}}} \quad (2)$$

184 where  $H$  = wave height;  $D$  = water depth;  $c$  = wave celerity;  $x$  = coordinate; and  $t_0$  = the time  
 185 interval between the wave crest and still water level. To meet the requirement of practical  
 186 experimental and numerical tests, an approximate method of using three significant figures,  
 187 *i.e.*, 0.999 in Eq. (2), is adopted to calculate the infinite solitary wave period [42]. The  
 188 simplified wave period can be calculated as  $2t_0$ , and the wavelength  $\lambda$  equals the product of  
 189 wave period and celerity.

190 In the numerical domain, a Boolean subtract is applied for the bridge model, and  
 191 tetrahedron mesh is used to fit the irregular surface of the model. To ensure accuracy of the 3D  
 192 numerical domain, a mesh sensitivity study is conducted, and different time steps are tested to  
 193 satisfy the Courant Number [43]. After several calculations and comparisons for different mesh  
 194 resolutions, the mesh size is determined as 0.6 m and the fixed time step is set as 0.01 s. The  
 195 Courant Number is around 1/3. The generated mesh of the model is shown in Fig. 3 (b). Results  
 196 of mesh sensitivity analysis are plotted in Fig. 3 (d). Little differences among each condition  
 197 are observed. Before testing the wave-structure interaction, the authors examine the simulation  
 198 stability of the generated solitary waves. After several calculations, the generated solitary  
 199 waves could forward with a steady wave profile under a wave height to water depth ratio ( $H/D$ )  
 200 from 0.2 – 0.6. Given further examinations, more  $H/D$  scenarios could be applicable in other  
 201 studies. The measured water surface elevations in the numerical model are compared with  
 202 analytical models. A typical case with  $D = 7.2$  m and  $H = 4.8$  m is shown in Fig. 3 (c), and good  
 203 agreements are observed. The initial water depth is set as 6 m, and additional water depth  
 204 (considering the effects of storm surge, tidal, and sea-level rise, *etc.*) ranges from 1 – 8 m, *i.e.*,



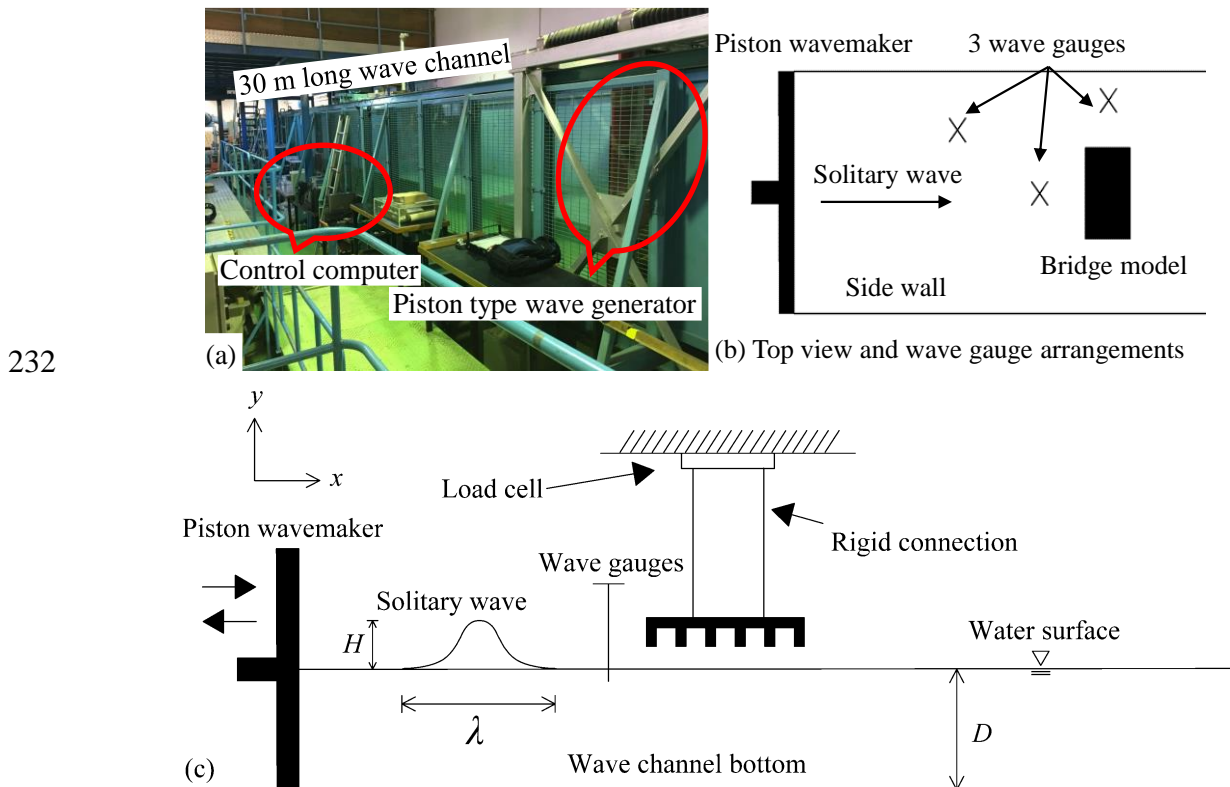
205 the overall water depth  $D$  is from 7 – 14 m. Multiple wave heights are tested for each water  
 206 depth with considering the  $H/D$  ratio.  
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208  
 209 **Fig. 3** (a) Boundary conditions of the 3D numerical domain; (b) generated mesh of the  
 210 model; (c) comparisons of simulated wave profile with the analytical model; and (d) results  
 211 of the mesh sensitivity analysis

212  
 213 A 1:30 scale experiment, which is designed according to the Froude scale model [44], is  
 214 conducted in the wave channel at the Hydraulics Laboratory of the Hong Kong Polytechnic  
 215 University. The wave channel is 30 m in length, 1.5 m in width, and 2 m in height as Fig. 4 (a).  
 216 The Froude scale model [44] is suitable for phenomena where gravity and inertial forces are  
 217 dominant, particularly for free surface flows (e.g., coastal structures and waves). For a  
 218 geometric scale ratio  $\tau = L_m / L_p$  (model/prototype), other scale ratios can be derived from the  
 219 Froude similarity, e.g., velocity ratio  $= \tau^{1/2}$ , pressure ratio  $= \tau$ , and force ratio  $= \tau^3$ . The tested  
 220 bridge model is made of acrylic board, 0.52 m in length and 0.32 m in width. A piston-type  
 221 wavemaker is used to generate waves at one side of the wave channel using DHI's (Danish

222 Hydraulic Institute) control system. A wave attenuation slope is set at the end of the wave  
 223 channel to minimize wave reflection effects. Water surface elevation is measured using  
 224 capacitive wave height gauges. Three wave gauges are arranged as Fig. 4 (b). A multi-axis load  
 225 cell is used to measure wave forces on the bridge model at a frequency of 100 Hz. The signal  
 226 from the load cell sensor is converted to electrical signal ranging  $0 \sim \pm 5 \text{ V}$  by a signal  
 227 transmitter and then collected by a multi-channel data acquisition board. Instrument calibration  
 228 is performed for all the wave gauges and load cell in  $x$ ,  $y$ , and  $z$  directions, respectively. A  
 229 schematic diagram of the wave channel and experimental setups is presented in Fig. 4 (c). After  
 230 several tests, the piston type wave generator could generate stable solitary wave with a  $H/D$   
 231 ratio from 0.15 – 0.5.



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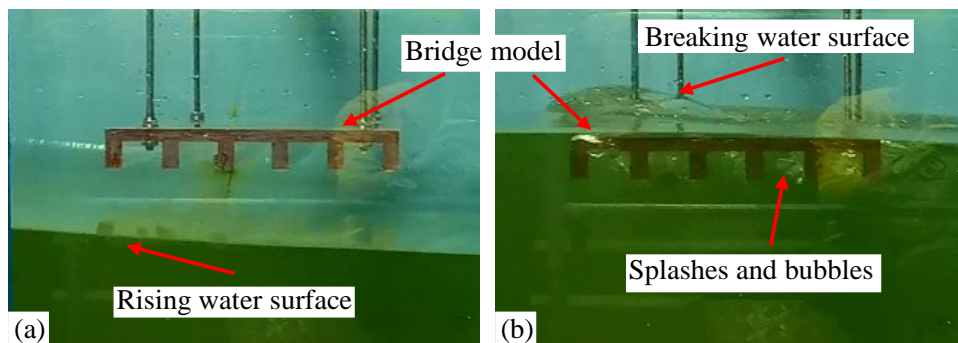
234 **Fig. 4** (a) Photo of the 30 m long wave channel; (b) top view of the wave channel and

235 arrangement of wave gauges; and (c) schematic diagram of experimental setups

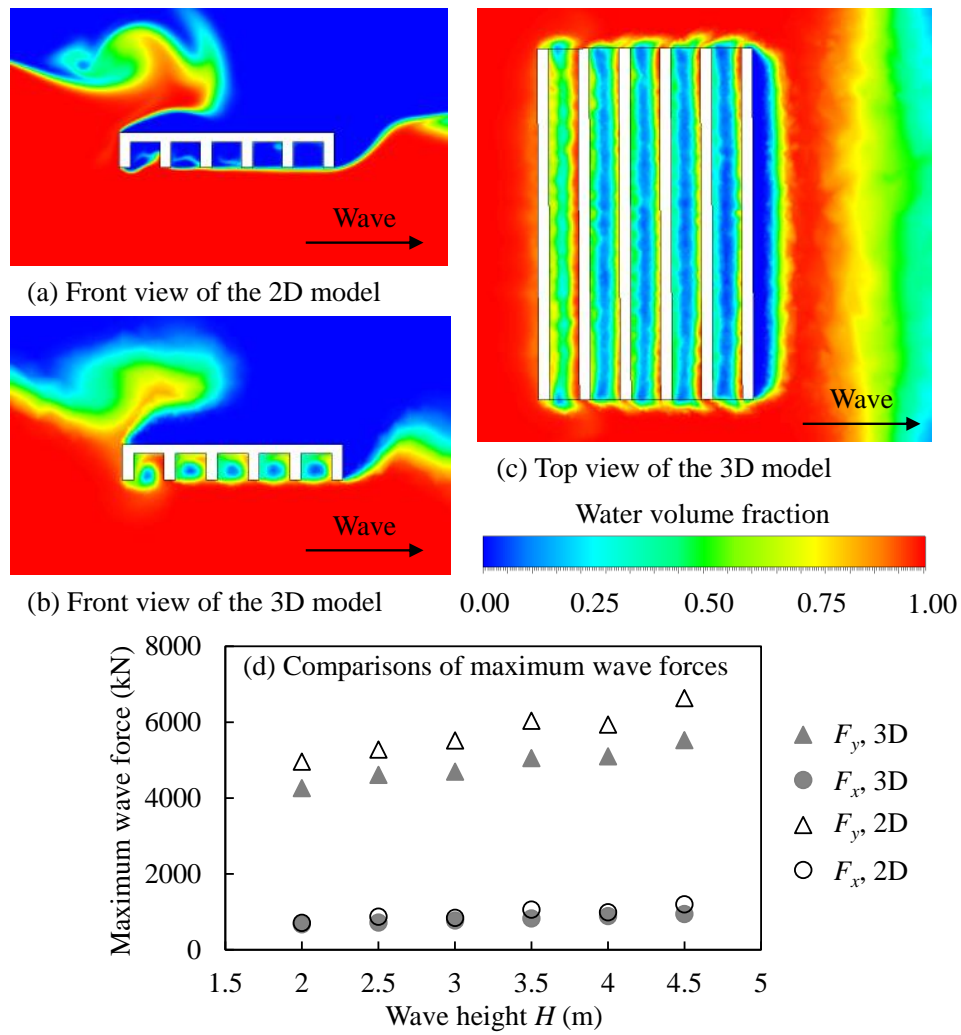
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237 Photos of two typical moments in the experiment test are shown in Fig. 5. The wave comes  
 238 from the left side to the right side. When the water surface rises and reaches the deck, the water  
 239 surface is broken by the bridge model, causing splashes as indicated in Fig. 5 (b). The solitary

240 wave could not only exceed the top of the deck but also flow around the deck in the longitudinal  
241 direction (in  $z$  direction as indicated in Fig. 3 (a)). The trapped air between the deck and water  
242 surface escapes from both ends of the deck, as the bubbles shown in Fig. 5 (b). Comparisons  
243 of wave-deck interaction simulated in the 2D and 3D CFD models are presented in Fig. 6,  
244 where the water volume fraction is represented by different colors (1 for water phase and 0 for  
245 air phase based on the VOF method). The wave profile of the 2D model is shown in Fig. 6 (a).  
246 The 2D model could not simulate the escape of the trapped air in the longitudinal direction ( $z$   
247 direction), so the air is fully trapped beneath the deck. Thus, the 2D model underestimates the  
248 interaction area between wave and bridge, and the results could deviate from real values. On  
249 the other hand, the 3D model better simulates the flow of trapped air, as the green and red  
250 between deck and girders in Fig. 6 (b), representing the mixture of water and air phases. A top  
251 view of the 3D model is presented in Fig. 6 (c), from which we could better identify the airflow  
252 around the deck. In addition, the 3D CFD model simulates the wave-deck interaction at both  
253 ends of the model, which is closer to the experimental measurements, and thus could provide  
254 more reliable results. Comparisons between maximum vertical and horizontal wave forces ( $F_y$   
255 and  $F_x$ ) computed from 2D and 3D models are presented in Fig. 6 (d). As indicated,  $F_y$   
256 computed from a 2D model are larger than those from a 3D model, while values of  $F_x$  are  
257 similar. This feature may be caused by the fact that waves and air cannot flow around the bridge  
258 in a 2D model (i.e., along the  $z$  or longitudinal direction), which could increase the pressure on  
259 the interaction surface. Generally, the 3D model could better solve the end effects, including  
260 the wave effects on the ends and the escape of trapped air, and could also simulate the complex  
261 wave-air-deck interaction for its spatial advantages.



262  
263 **Fig. 5** Photos of the bridge model during the experiment



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**Fig. 6** Comparisons of wave-deck interactions and maximum wave forces in the 2D and 3D CFD models

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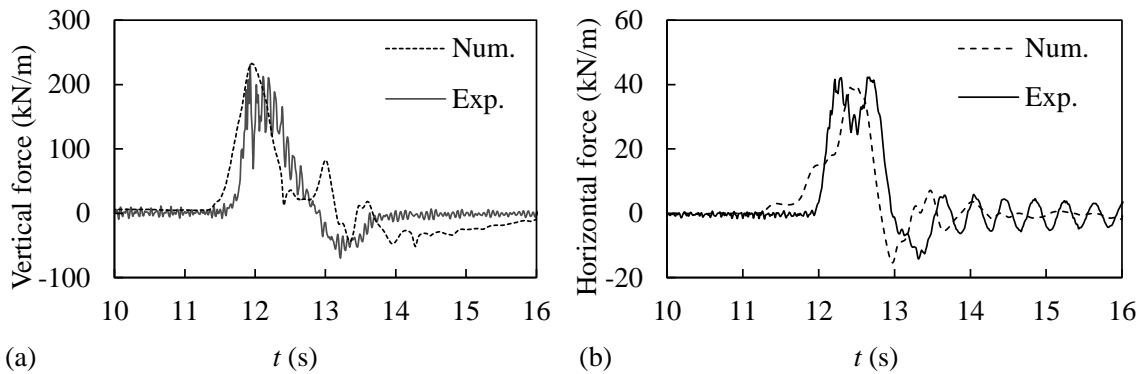
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To further validate the 3D model, comparisons of the wave force time histories between numerical results and experimental measurements, for a case with  $D = 8.4$  m and  $H = 3$  m in prototype scale ( $D = 0.28$  m and  $H = 0.1$  m in 1:30 laboratory scale), are shown in Fig. 7. The experimental measurements are converged to prototype scale according to the Froud scaling model. It should be noted that in all the following figures and discussions, the origin of time  $t$  does not necessarily refer to the actual starting point of the test wave, but for better presentation. As indicated, wave forces on the deck first reach the maximum value and then drop down to a trough. The vertical force has a larger magnitude as compared with horizontal force. Generally,

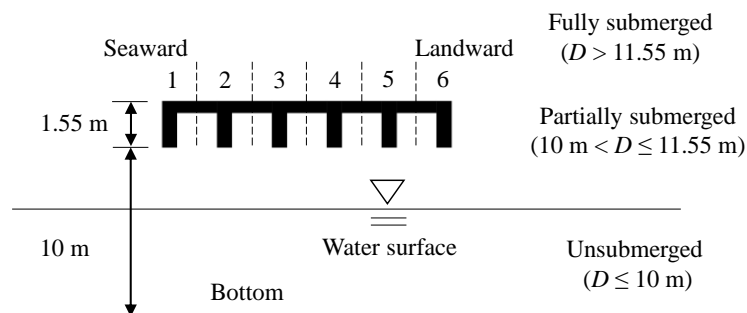
277 there exist acceptable deviations between experimental and numerical results due to the scale  
 278 conversion and measurement error, proving the accuracy of the established 3D CFD model.



279 (a)  $t$  (s) (b)  $t$  (s)  
 280 **Fig. 7** Comparisons of wave force time histories between experimental and 3D CFD results

281 2.2 Properties of wave force using 3D CFD model

282 Schematic diagrams of different submerged conditions and bridge deck sections are illustrated  
 283 in Fig 8. The unsubmerged condition is the case where the bridge deck is elevated from the  
 284 water level before the wave arrives ( $D \leq 10$  m). The partially submerged condition refers to the  
 285 scenario where the surge water level reaches the bottom of the girder but not exceeds the top  
 286 of the deck ( $10 < D \leq 11.55$  m). For fully submerged cases, the surge water level is higher than  
 287 the top of the deck ( $D > 11.55$  m). To investigate the asymmetric wave force and structural  
 288 responses, this study not only measures the overall wave force on the bridge deck but also the  
 289 force on each section as indicated in Fig. 8. The investigation of wave force on each girder  
 290 section can provide valuable information for the performance assessment of bearings under  
 291 different scenarios, which could act as input for the overturning assessment of the bridge  
 292 structure. The sections are numbered as 1 to 6 from the seaward to the landward side.



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 294 **Fig. 8** Different submerged scenarios and investigated bridge sections

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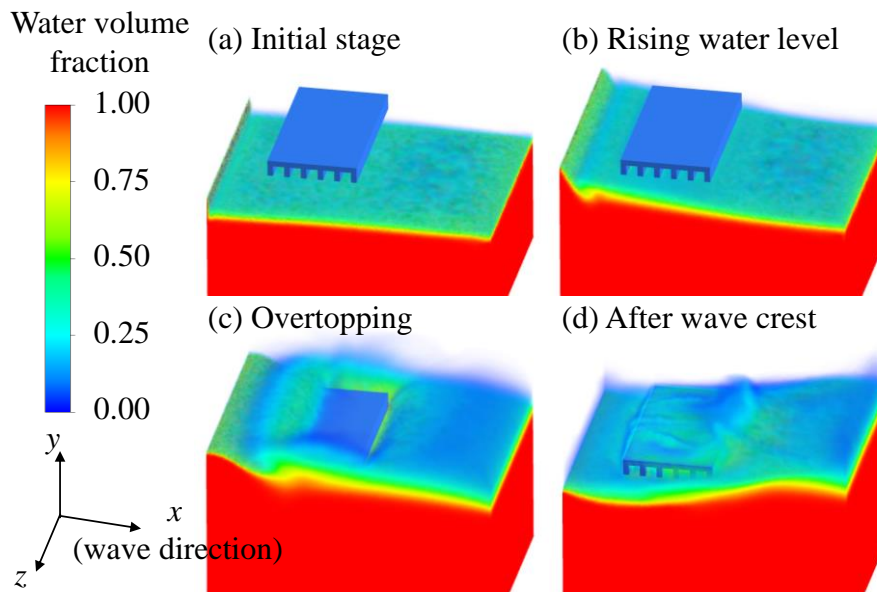
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A typical case of the simulated wave-structure interaction in the 3D model is presented in Fig. 9. Four stages are illustrated: (a) initial stage; (b) water surface starts to rise; (c) wave overtops the bridge; and (d) after the wave. The pressure distributions on the bridge deck during this process are shown in Fig. 10 (in the bottom view for better illustration of the wave slamming effects). When the wave crest first overtops the bridge deck (*i.e.* Fig. 10 (c)), the total pressure is unevenly distributed on the bridge deck. Wave force concentrates on the seaward side, which would cause a large overturning moment on the deck and further lead to local component damage. Hence, it is vital to assess the influence of the extreme overturning moment when investigating structural performance.

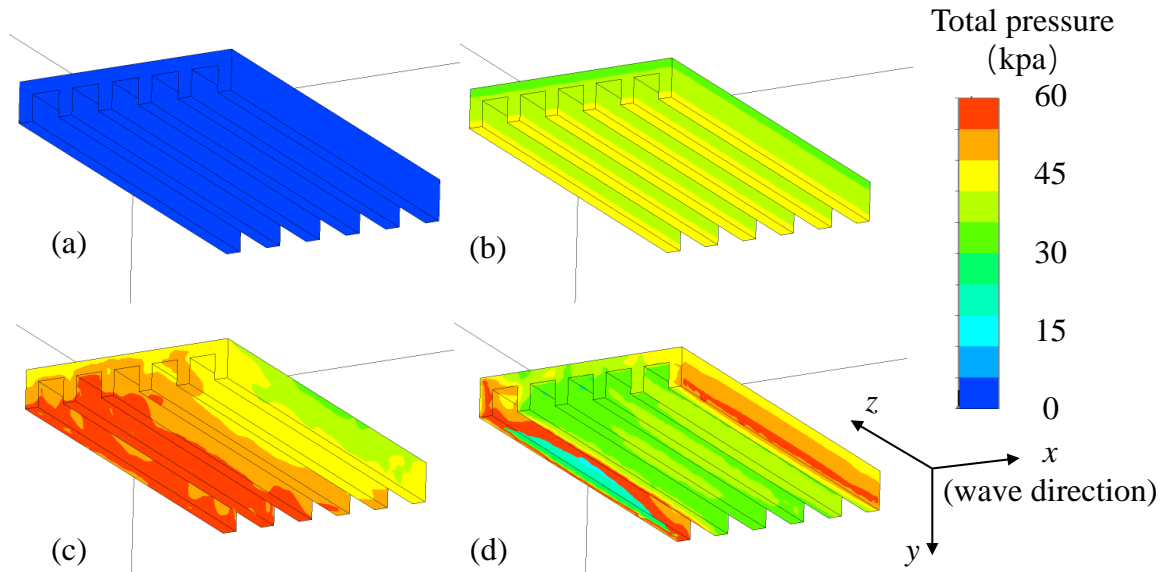


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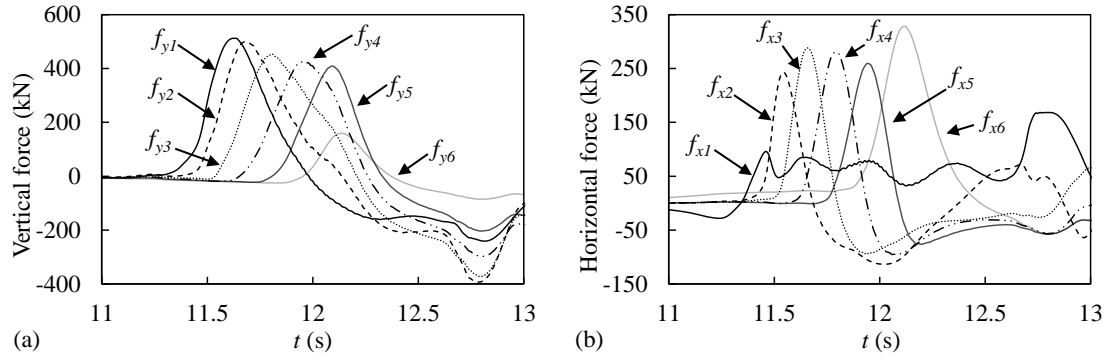
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**Fig. 9** Wave profiles simulated in the 3D numerical model



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309 **Fig. 10** Pressure distribution on the bridge considering the wave-structure interaction  
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311 The time series of the solitary wave force on each bridge section for a typical case with  $H$   
312  $= 4.6$  m and  $D = 7$  m are presented in Fig. 11. In this case, the bridge deck is elevated from the  
313 surge water level, but the wave is large enough to exceed the top of the deck. The vertical and  
314 horizontal wave forces on each bridge section ( $f_{yi}$  and  $f_{xi}$ ) are shown in Figs. 11 (a) and (b),  
315 respectively. Positive values represent upward or forward forces (*i.e.* same direction as the  
316 wave flow), while negative values represent downward or backward forces (*i.e.* opposite  
317 direction as the wave flow). During the wave-structure interaction,  $f_{yi}$  and  $f_{xi}$  not only have  
318 different peak values but also reach their peaks at different moments. Peak vertical forces at  
319 the seaward side are larger than those at the landward side, while peak horizontal forces at the  
320 seaward side are smaller and  $f_{x6}$  is the largest one. These characteristics may be caused by the  
321 irregular shapes of the girders and the trapped air between the girders and the deck. The value  
322 of  $f_{yi}$  may be mainly contributed by the wave slamming impact, and hence gradually reduces  
323 as the wave progresses. In this process, the uplifting water surface is deformed and ‘knocked’  
324 down by the deck and trapped air, and then pushed forward by the advancing wave. This part  
325 of deformed water could gather and increase as the wave moves forward, resulting in larger  $f_{xi}$   
326 caused by the hydrostatic pressure. Generally, the maximum vertical force on each section of  
327 the deck  $f_{yi}$  decreases from seaward ( $f_{y1}$ ) to landward side ( $f_{y6}$ ), while  $f_{xi}$  is just the opposite.



**Fig. 11** Time series of wave forces on different bridge sections

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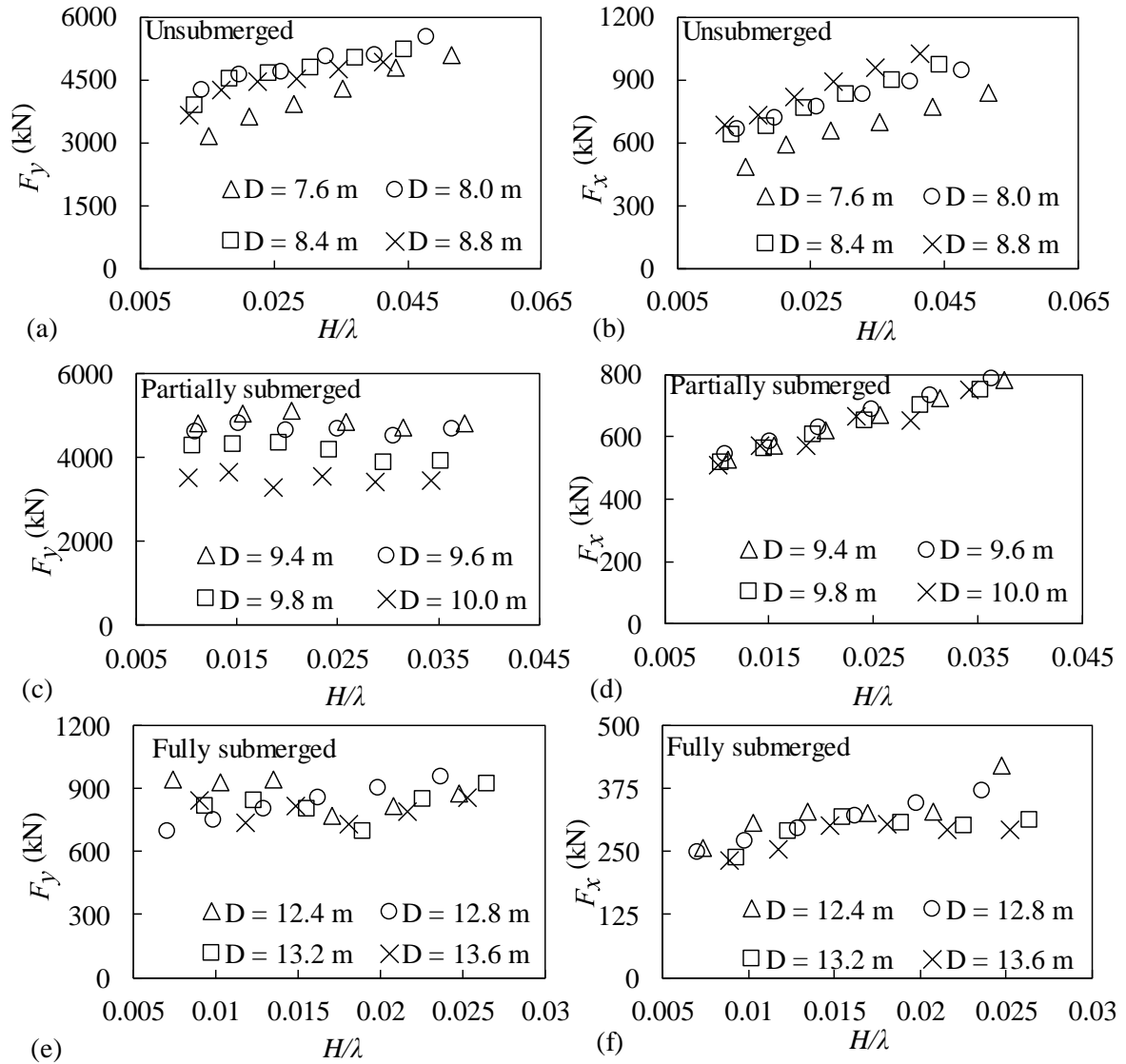
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The maximum vertical and horizontal wave forces on the whole bridge span ( $F_y$  and  $F_x$ ) under different submerged scenarios are presented in Fig. 12, where the dimensionless parameter wave steepness  $H/\lambda$  is plotted along the  $x$  axis for the ease of comparison with the previous dimensionless study. Figs. 12 (a) and (b) show the results for the unsubmerged scenarios.  $F_y$  shows a close linear relationship with the wave steepness  $H/\lambda$  and the largest value occurs when  $H/\lambda > 0.045$ . Similarly,  $F_x$  increases linearly with  $H/\lambda$  and near-linearly with  $D$ . With respect to partially submerged scenarios as Figs. 12 (c) and (d),  $F_y$  changes little with  $H/\lambda$ , and decreases as  $D$  increases.  $F_x$  is larger than that for unsubmerged conditions, and is well fitted in a linear relationship with  $H/\lambda$ . For the fully submerged conditions shown in Figs. 12 (e) and (f), both  $F_y$  and  $F_x$  slightly increase for larger steepness, and gradually stabilize for  $D$ . Since the wave crest does not induce significant forces on the girder in fully submerged cases,  $F_y$  and  $F_x$  are much smaller as compared with other two scenarios. Generally, the wave-induced vertical and horizontal forces  $F_y$  and  $F_x$  vary and depend on different submerged scenarios, water depth  $D$ , and wave steepness  $H/\lambda$ . Wave forces show different characteristics under unsubmerged and fully submerged conditions, and those with respect to partially submerged cases could be seen as transitions between these two scenarios.





347

348 **Fig. 12** Maximum vertical and horizontal wave forces under different submerged scenarios

349 **3. Component-level analysis under wave forces based on 3D FEM**

350 Solitary waves are simulated, and wave forces are computed using the 3D CFD model, while  
 351 the complex loading and supporting conditions of the bridge could not be well solved in such  
 352 a model. More specifically, the deck is constrained by multiple bearings with different  
 353 constraint conditions, and their working states vary under the changing wave forces. Thus, a  
 354 3D FEM is adopted in this study for an accurate calculation of detailed structural responses,  
 355 including overturning moment, bearing reaction force, and bearing performance. The model  
 356 setups and results are introduced in this section.

357 3.1 FEM model setups

358 The 3D FEM is established by using the *ANSYS* Mechanical APDL package as shown in Fig.  
 359 13 (a). In this numerical model, SOLID 65 and COMBIN 39 (compression only) are used to  
 360 simulate the concrete and constrain at each bearing, respectively. The ultimate concrete  
 361 compressive strength  $f_c'$  is set as  $37.1 \times 10^6$  N/m<sup>2</sup> and the axial tensile cracking stress  $f_t'$  is  
 362  $3.25 \times 10^6$  N/m<sup>2</sup> [45]. The stress-strain relationship for the used concrete is defined as follows  
 363 [45]:

$$\sigma_c = f_c' \left[ 1 - \left( 1 - \frac{\varepsilon_c}{\varepsilon_0} \right)^2 \right] \quad \varepsilon_c \leq \varepsilon_0 \quad (3)$$

$$\sigma_c = f_c' \quad \varepsilon_0 \leq \varepsilon_c \leq \varepsilon_{cu} \quad (4)$$

364 where  $\sigma_c$  = concrete stress;  $\varepsilon_c$  = concrete strain; and  $\varepsilon_0$  and  $\varepsilon_{cu}$  = peak and ultimate strains, which  
 365 equal 0.002 and 0.0033, respectively. It is assumed that there is no descent stage considered for  
 366 the concrete. Detailed material properties adopted in the model are listed in Table 1. The  
 367 calculated weight of the bridge model is  $1.36 \times 10^5$  N/m ( $2.15 \times 10^3$  kN per span).

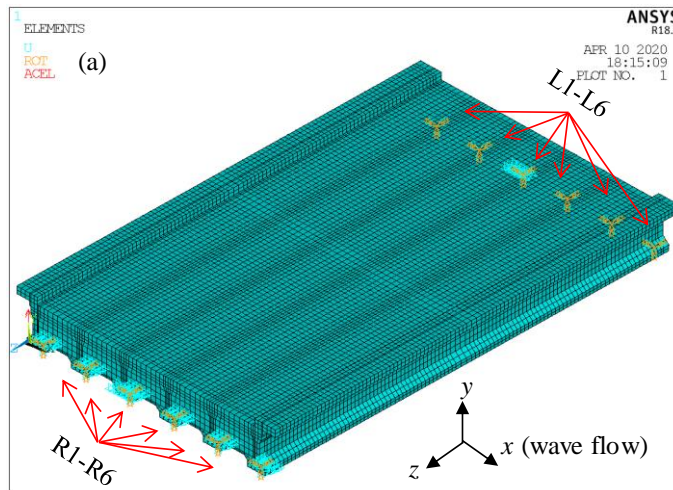
368 **Table 1** Material properties defined in the FEM

No.	Element	Material properties	
1	SOLID65	Concrete-(MISO)	
		Modulus of elasticity (EX)	$3.524 \times 10^{10}$ N/m <sup>2</sup>
		Poisson's ratio (PRXY)	0.167
		Density	2600 kg/m <sup>3</sup>
		Shear transfer coefficient for open crack	0.3
		Shear transfer coefficient for closed crack	0.5
		Axial tensile cracking stress	$3.25 \times 10^6$ N/m <sup>2</sup>
Ultimate concrete compressive strength	$37.1 \times 10^6$ N/m <sup>2</sup>		
2	COMBIN	Stiffness (compression only)	$1.0 \times 10^{10}$ N/m

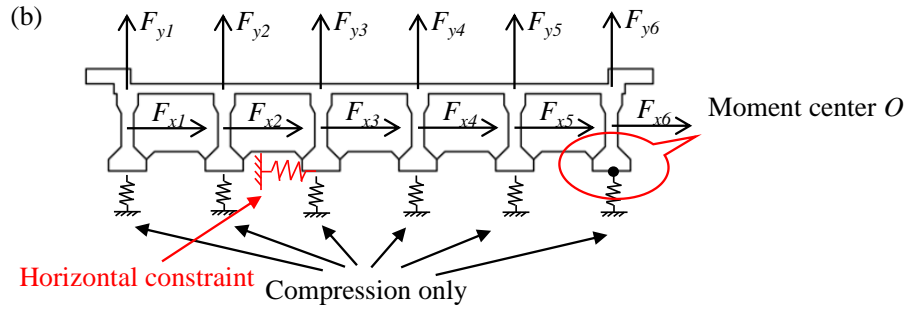
369  
 370 The boundary conditions, *i.e.*, bearing constraints, of the model are determined based on  
 371 practical engineering design. All the bearings are set as compression only in the vertical

372 direction since generally the bearings are not designed to resist the uplift force [46], [47]. For  
 373 the investigated simply supported bridge, the displacement in the longitudinal direction ( $z$ ) is  
 374 constrained at one end (*i.e.*, right side) of the span. Note that the longitudinal forces and rotation  
 375 caused by longitudinal constraints are neglected in this model, which have a tiny influence on  
 376 the results. In addition, bearings of the simply supported bridge are often designed to allow  
 377 thermal movement caused by changing temperature, especially for hot and humid coastal  
 378 regions [46], [48]. Thus, the constraints in the horizontal direction ( $x$ ) are only set at bearing  
 379 L3 and R3 at the two ends of the span. Details of the boundary conditions are listed in Table 2.

380 Based on the 3D CFD numerical model, the vertical wave forces mainly act on the deck,  
 381 while the horizontal forces mainly act on girders. Therefore, the wave forces obtained from the  
 382 CFD model are applied on the bridge along the longitudinal direction as Fig. 13 (b). The applied  
 383 wave loads are constant forces determined by tracing the wave force time series and selecting  
 384 values of several most unfavorable moments, *e.g.*, when the overall wave force on the bridge  
 385 span reaches the peak value and when the wave force on certain section(s) (as Fig. 8) reaches  
 386 the maximum. The overturning moment is calculated by accumulating the contribution of each  
 387 force component as indicated in Fig. 13 (b). The reference point of the overturning moment  $O$   
 388 is set at the bottom of the landward girder (girder 6), which is consistent with the simulated  
 389 overturning results and in line with guide specifications [49]. The horizontal moment arm  $L_{Fhi}$   
 390 equals half of the girder height, while the vertical moment arm  $L_{Fvi}$  depends on the horizontal  
 391 projection to center  $O$ .



392



393

394 **Fig. 13** (a) FEM bridge model and (b) illustration of the boundary conditions and overturning

395

moment center

396

397

**Table 2** Setups of the constraints associated with the bearings

No.	Horizontal ( $x$ )	Vertical ( $y$ )	Longitudinal ( $z$ )
R1	-	C_O	Constraint
R2	-	C_O	Constraint
R3	Constraint	C_O	Constraint
R4	-	C_O	Constraint
R5	-	C_O	Constraint
R6	-	C_O	Constraint
L1	-	C_O	-
L2	-	C_O	-
L3	Constraint	C_O	-
L4	-	C_O	-
L5	-	C_O	-
L6	-	C_O	-

398

Note: Constraint represents the bearing cannot move along the corresponding direction, - means no constraint in the corresponding direction, and C\_O refers to the bearings with the property of compression only. For a simply supported bridge, displacement in the longitudinal direction ( $z$ ) is constrained at the right end of the bridge. Furthermore, only one constraint is set at each end of the bridge to release the concrete temperature effect in the horizontal direction ( $x$ ). More specifically, only R3 and L3 cannot move in the horizontal direction ( $x$ ).

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### 3.2 Bearing working state and reaction force

406

Given the relevant parameters used in the FEM, the peak overturning moments, corresponding

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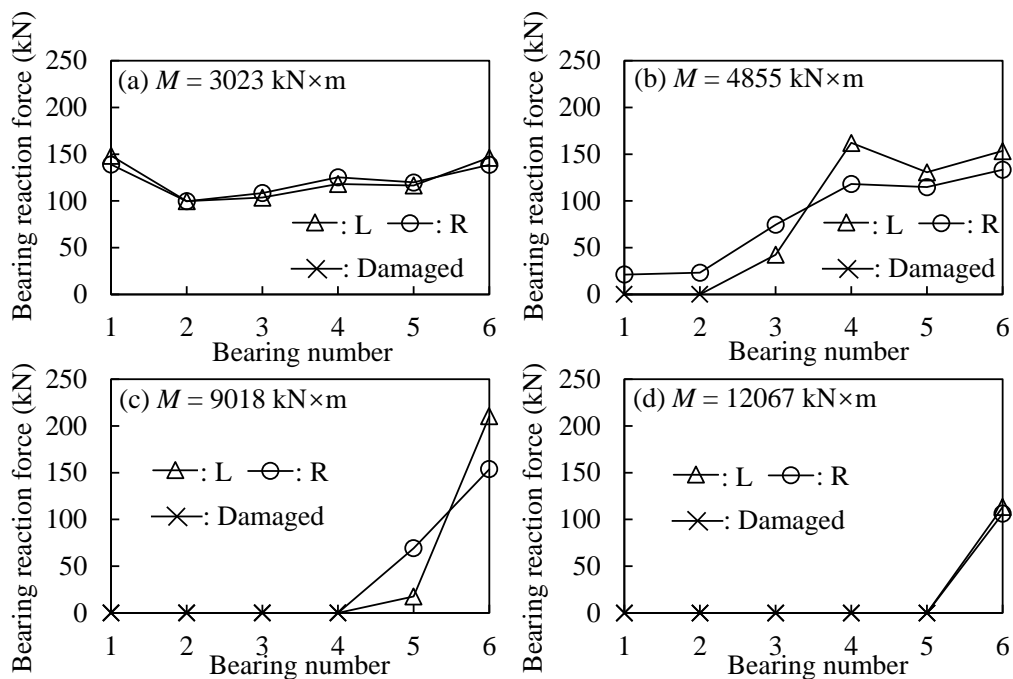
bearing reaction forces, and working states are presented in Fig. 14. The positive value

408

represents compressed (normal) working state, while a zero value refers to the disengaged state

409 since there is no tension (negative value) from compression-only bearings. Disengaged bearing  
 410 no longer provides constraint and is considered a component failure. Due to the different  
 411 constraints at L and R sides, two bearings connecting to the same girder could have different  
 412 performances.

413 Fig. 14 (a) shows the case where the peak overturning moment  $M = 3023 \text{ kN}\times\text{m}$ , which  
 414 is relatively small as compared with the capacity from the deck weight. All the bearings are  
 415 working properly. Reaction forces and working states of bearings at seaward and landward  
 416 sides gradually vary for larger  $M$  as indicated in Fig. 14 (b). L1 and L2 are disengaged, and R1  
 417 and R2 are also close to the limit state. With  $M$  further increases, more bearings are damaged,  
 418 and the deck weight is concentrated on L6 and R6 as shown in Fig. 14 (c). Horizontal  
 419 constraints provided by the bearings are significantly reduced in this case, expediting the failure  
 420 of the deck. Fig. 14 (d) presents the results for  $M = 12067 \text{ kN}\times\text{m}$ , where most of the bearings  
 421 are damaged, and only L6 and R6 still work. It is difficult for two bearings to maintain structural  
 422 stability and the deck could be easily washed away.



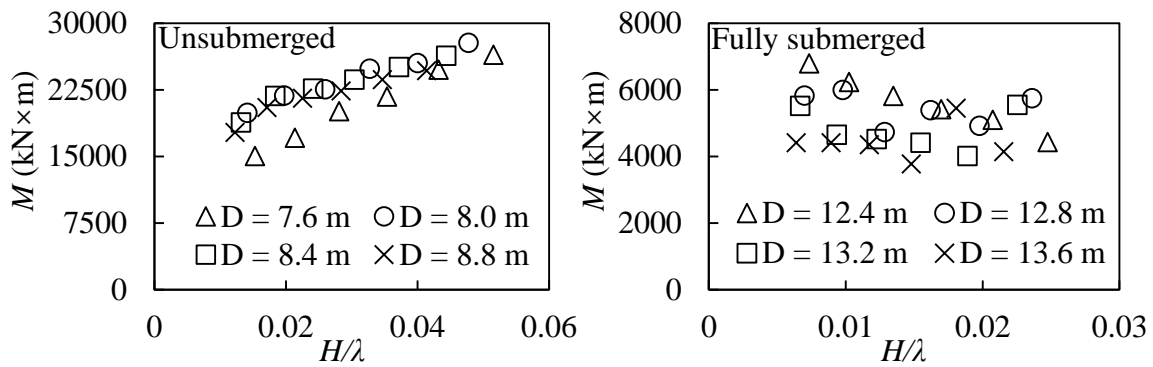
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**Fig. 14** Bearing reaction forces and performance

425 3.3 Overturning moment under different wave scenarios

426 The peak overturning moment considering bearing performance is calculated with FEM as well.  
427 Similarly,  $M$  shows different characteristics under different submerged scenarios, and typical  
428 results are presented in Fig. 15. For unsubmerged cases,  $M$  increases for larger  $H/\lambda$ , but is less  
429 affected by water depth  $D$ . The maximum value occurs when  $H/\lambda$  is larger than 0.05. On the  
430 other hand,  $M$  has no obvious monotonic relationship with  $H/\lambda$  under fully submerged  
431 conditions. It tends to reduce as  $D$  increases. Generally, peak overturning moments in  
432 unsubmerged cases are larger than those in fully submerged cases. The extreme overturning  
433 moment could destroy seaward bearings first, and the changed constraints would further affect  
434 the structural stability, causing the bridge deck to overturn.



435  
436 **Fig. 15** Overturning moment under different submerged scenarios

437 **4. Proposed novel component-level overturning failure mode**

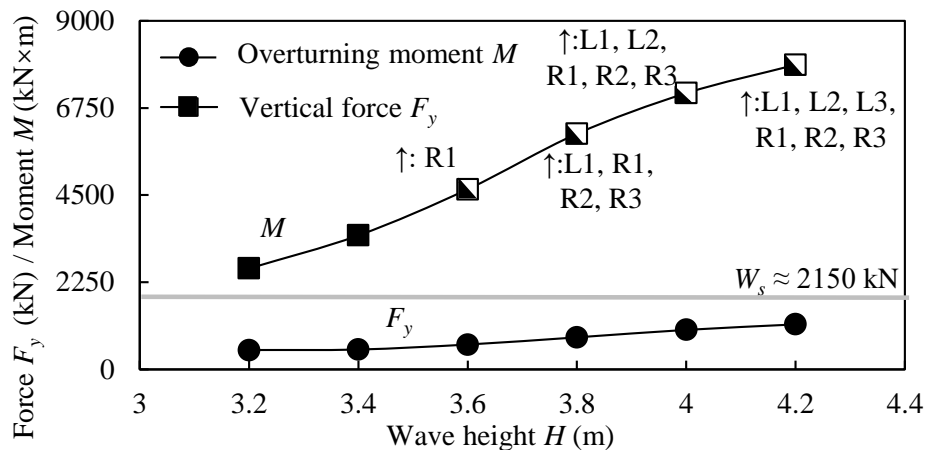
438 Based on the numerical and experimental investigations on the wave-structure interaction, a  
439 novel component-level overturning failure mode is proposed considering the wave-induced  
440 overturning moment, bearing working state, and reaction force. Damage states are defined, and  
441 criteria are computed using 3D FEM.

442 **4.1 Comparison of the overturning and unseating failure modes**

443 The primary failure mode of the coastal bridge under hurricane considered in previous studies  
444 is the deck unseating by comparing the maximum wave force  $F_y$  with the deck capacity [2].

445 Once  $F_y$  exceeds the sum of deck weight  $W_s$ , the deck is considered as a failure. Although this  
 446 model could describe some damaged bridges during hurricane events, it does not account for  
 447 wave force distribution, bearing performance, and overturning moment. Moreover, the  
 448 overturning failure mode could be more critical, since a small but concentrated load could cause  
 449 component damage, expediting deck failure.

450 Component damage refers to the damage of bearings herein. Once the compression-only  
 451 bearing is disengaged by the uplift force, the bearing is considered damaged. Comparisons of  
 452 the deck overturning and unseating failure modes are presented in Fig. 16 for a typical case  
 453 where the surge water depth  $D$  is 7 m (clearance  $Z_c = 3$  m). As indicated, the peak vertical force  
 454  $F_y$  is relatively small as compared with the deck weight  $W_s$ , and the limit state (the gray line)  
 455 has not been reached. However, component damage occurs due to the overturning effects. The  
 456 extreme overturning moment leads to bearing failure when  $H > 3.4$  m, and more bearings are  
 457 destructed for larger wave height and overturning moment. Since the unseating failure mode is  
 458 considered by comparing total wave force on the bridge deck with the deck weight, it fails to  
 459 identify the loading condition and damage of local bearings, which may overestimate the  
 460 overall capacity of the bridge.



Note: ↑ refers to damaged bearings. Half-filled symbols indicates component damage cases.

461

462

**Fig. 16** Comparisons of overturning and unseating effects

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#### 4.2 Limit states by considering overturning failure mode

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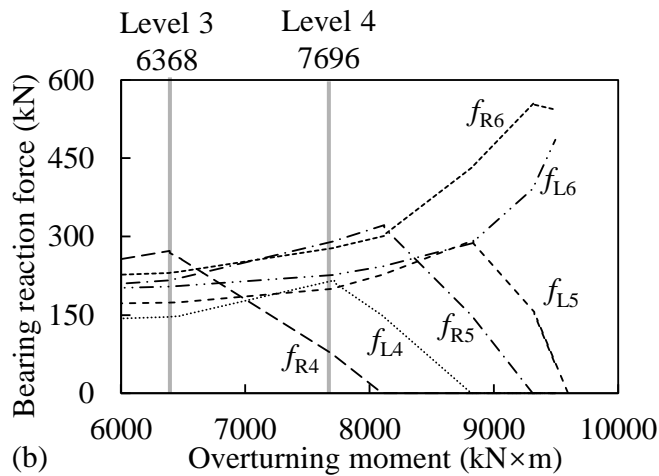
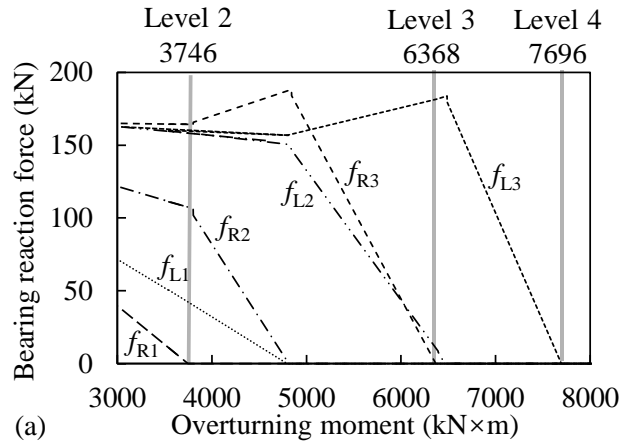
For clear clarification of the relationship within bearing damage, overturning effects, and deck

465 failure, four limit states are defined by tracing the CFD and FEM simulations for the  
466 investigated bridge as:

- 467 • Level 1: No damage;
- 468 • Level 2: Slight damage, where component damage occurs;
- 469 • Level 3: Moderate damage, where the constraint is severely reduced; and
- 470 • Level 4: Extensive damage, where deck failure occurs.

471 An uplift line load is applied at the seaward girder (connecting bearings L1 and R1) in the  
472 3D FEM, and the overturning moment, bearing reaction force, and bearing performance are  
473 computed. The applied load is proportionally increased (amplified) until the final failure or  
474 calculation divergence. The overturning moment  $M$  and corresponding bearing reaction forces  
475  $f_{Li}$  and  $f_{Ri}$  are recorded and presented in Fig. 17. Every time a bearing is disengaged (reaction  
476 force becomes 0), the bridge constraints and the reaction forces of the residual bearings would  
477 change. Fig. 17 (a) shows the results for seaward bearings which would be destroyed earlier.  
478 At the initial state without external overturning moment, the weight of the bridge deck is evenly  
479 distributed on each bearing, which means the extension lines of each segment on the left side  
480 will converge at the same point. As indicated, bearing R1 is the first to be disengaged,  
481 indicating the bridge reaches the component damage Level 2. The limit overturning moment at  
482 this state is 3746 kN×m. With  $M$  further increases, multiple bearings are damaged in turn  
483 including L1, R2, and L2. When  $M$  reaches 6368 kN×m, bearing R3 damages, which means  
484 the deck loses  $x$ -direction-constraint at R side. This state is determined as the Level 3 limit state  
485 for the investigated bridge, since unacceptable and nonreversible movement may generate in  
486 this state due to insufficient constraint in the  $x$  direction. Level 4 limit state is defined as when  
487 bearing L3 is disengaged (7696 kN×m). At this state, both bearings providing constraints in the  
488  $x$  direction (L3 and R3) are destroyed, and the deck could be washed away laterally. Results  
489 for landward bearings are illustrated in Fig. 17 (b). Although the failure limit states of these  
490 bearings are larger than the Level 4 limit state, the remaining bearings are not enough to provide  
491 sufficient constraints.





**Fig. 17** Limit states, bearing reaction forces, and external overturning moments

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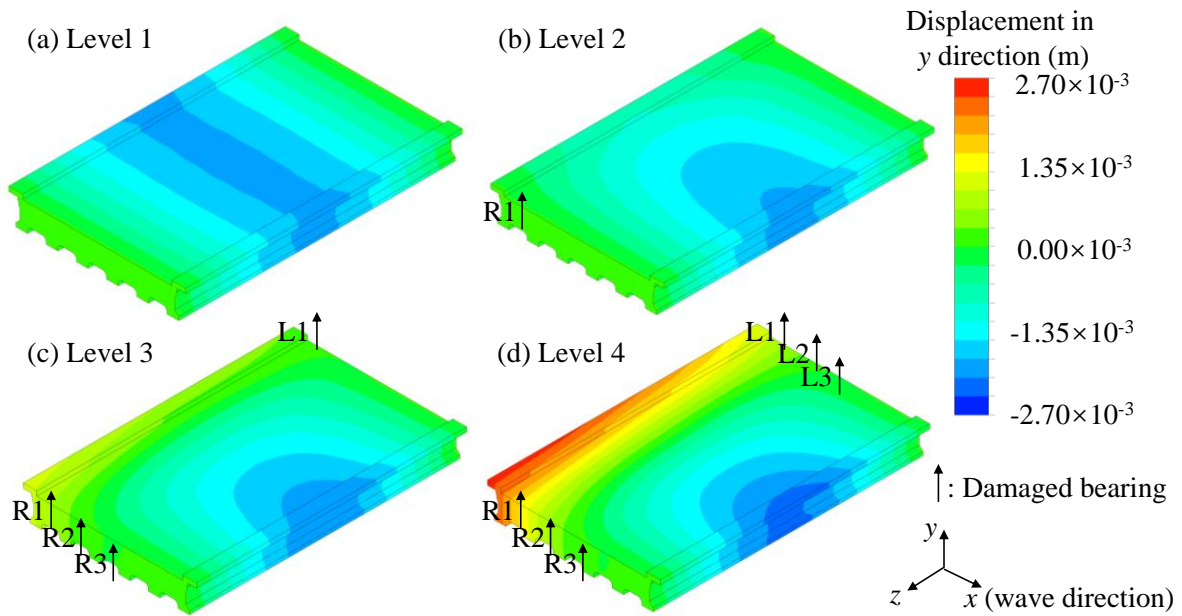
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506

For a better illustration, the vertical displacements of the bridge deck at each limit state are presented in Fig. 18. The downward deformation is represented by blue, and the uplifted part caused by the wave force is presented in red and yellow. Destructive bearings at each damage level are marked with arrows. No damage Level 1 is shown in Fig. 18 (a). Two ends of the deck are supported by bearings and the middle section is downward because of the deck weight. No bearing disengagement or component damage occurs at this stage. At Level 2 limit state as shown in Fig. 18 (b), the downward deformation at the seaward side is reduced by uplift force. Then, multiple bearings are damaged at Level 3 and Level 4 limit states as Figs. 18 (c) and (d), respectively. The uneven force and displacement distributions not only exist on the seaward and landward sides, but also on the L and R sides. The new overturning failure mode not only provides more critical limit states but also comprehensively considers deck and

507 component spatial performances, facilitating the reliability analyses of coastal bridges.



508

509

**Fig. 18** Displacement of the deck and bearings at different limit states

## 510 **5. Vulnerability analyses based on new failure mode**

### 511 5.1 Surrogate models for peak wave force and overturning moment

512 In order to reduce the high computational expense of the 3D numerical model, surrogate models  
513 are examined to quantify the peak wave force and overturning. The 3D simulation could  
514 provide reliable and detailed results as introduced previously but may be limited to its high  
515 computational cost, especially for probabilistic performance assessment which requires a large  
516 amount of calculation. Based on typical calculation results, the general function between the  
517 structural responses and the wave parameters could be summarized. After verification of its  
518 prediction accuracy through mathematical methods, such a function (*i.e.*, surrogate model)  
519 could be utilized to calculate the general structural responses. The experimentally verified 3D  
520 numerical model also ensures the credibility of the data source for the surrogate model.

521 After several calculations and comparisons, the polynomial surface model with stepwise  
522 regression method [50] is employed, which has also been adopted in several engineering  
523 studies [51]. The general equation is

$$y' = \theta_0 + \sum_{i=1}^q \theta_i m_i + \sum_{i=1}^q \sum_{j=1}^q \theta_{ij} m_i m_j \quad (5)$$

524 where  $y'$  = the approximating function;  $m_i$  and  $m_j$  = the model predictors such as wave height  
 525 and wavelength;  $\theta_i$  and  $\theta_{ij}$  = the model parameters; and  $q$  = the number of total predictors  
 526 considered in the model.

527 A total of 389 datasets (168 for the unsubmerged conditions, 84 for the partially  
 528 submerged conditions, and 137 for the fully submerged conditions) from the numerical analysis  
 529 are used within the surrogate model. The 5-fold cross-validation method is utilized to evaluate  
 530 the predictive performance of the surrogate model. The original sample (*i.e.*, structural  
 531 responses computed from the numerical model) is randomly partitioned into 5 equal-sized  
 532 subsamples, and one single subsample is retained to validate the model trained from the other  
 533 4 subsamples. The cross-validation process is repeated for each subsample, and the coefficient  
 534 of determination ( $R^2$ ) and the normalized root-mean-square error (NRMSE) are adopted as the  
 535 goodness-of-predict, which are calculated as

$$R^2 = 1 - \frac{\sum_{i=1}^q (y_i - y'_i)^2}{\sum_{i=1}^q (y_i - y_{\text{mean}})^2} \quad (6)$$

$$\text{NRMSE} = \frac{\text{RMSE}}{y_{\text{max}} - y_{\text{min}}} = \frac{\sqrt{\frac{\sum_{i=1}^q (y_i - y'_i)^2}{n}}}{y_{\text{max}} - y_{\text{min}}} \quad (7)$$

536 where  $y'$  and  $y$  = predicted values and observed values respectively;  $y_{\text{mean}}$  = the mean value of  
 537 the samples; and  $y_{\text{max}}$  and  $y_{\text{min}}$  = the maximum and minimum sample in each subsample,  
 538 respectively. Small NRMSE value indicates good prediction performance of the surrogate  
 539 model.

540 Since the characteristics of the wave forces and structural responses vary for different  
 541 submerged scenarios, different predictors are determined for each scenario. For the  
 542 unsubmerged cases, the wave forces are quantified with clearance  $Z_c$  and wave height  $H$  as

$$F' = \theta_0 + \theta_1 Z_c + \theta_2 H + \theta_{11} (Z_c)^2 + \theta_{12} H Z_c + \theta_{22} H^2 \quad (8)$$

543 The fitting coefficients and NRMSE values are listed in Table 3.

544 **Table 3** Fitting coefficients for unsubmerged cases

	NRMSE	$R^2$	$\theta_0$	$\theta_1$	$\theta_2$	$\theta_{11}$	$\theta_{12}$	$\theta_{22}$
$F_y$	0.059	0.929	3515	1851	-324.9	-1053	51.57	148.4
$F_x$	0.056	0.910	318.3	-14.24	178.6	-77.72	21.92	-8.23
$M$	0.048	0.918	13690	5979	1505	-4723	837	181.5

545

546 For the partially submerged cases, the wave forces are quantified with wave steepness  $H/\lambda$   
 547 and initial trapped air ratio  $A_r$ , accounting for both the various water depths and the trapped air  
 548 quantity as

$$F' = \theta_0 + \theta_1 \left( \frac{H}{\lambda} \right) + \theta_2 A_r + \theta_{11} \left( \frac{H}{\lambda} \right)^2 + \theta_{12} \left( \frac{H}{\lambda} \right) A_r + \theta_{22} A_r^2 \quad (9)$$

$$A_r = \frac{C_d + d_g - D}{d_g} \quad (10)$$

549 where  $C_d$  = design clearance and  $d_g$  = girder height. The relative coefficients are listed in Table  
 550 4.

551 **Table 4** Fitting coefficients for partially submerged cases

	NRMSE	$R^2$	$\theta_0$	$\theta_1$	$\theta_2$	$\theta_{11}$	$\theta_{12}$	$\theta_{22}$
$F_y$	0.093	0.922	3036	3662	5047	98910	19510	-2886
$F_x$	0.038	0.984	367.6	13150	89.96	-83620	258	-95.03
$M$	0.089	0.902	13630	45760	21190	65170	98000	-12600

552

553 For fully submerged cases, the wave force is mainly affected by the submerged ratio, and  
 554 changes little with wave height and steepness. Wavelength  $\lambda$  and submerged ratio  $M_r$  are  
 555 determined as the predictors as:

$$F' = \theta_0 + \theta_1 \lambda + \theta_2 M_r + \theta_{11} \lambda^2 + \theta_{12} \lambda M_r + \theta_{22} M_r^2 \quad (11)$$

$$M_r = \frac{-(C_d - S)}{d_b + d_g} \quad (12)$$

556 where  $d_b$  = deck thickness and  $S$  = surge height.

557 **Table 5** Fitting coefficients for fully submerged cases

	NRMSE	$R^2$	$\theta_0$	$\theta_1$	$\theta_2$	$\theta_{11}$	$\theta_{12}$	$\theta_{22}$
$F_y$	0.106	0.851	4289	-7.671	-2120	0.0257	-1.699	497.3
$F_x$	0.058	0.942	1050	-1.754	-317.6	0.001961	-0.0204	54.7
$M$	0.099	0.887	12650	7.561	-5460	-0.01727	2.834	615

558 5.2 Probabilistic vulnerability analysis

559 Comprehensively considering the unseating failure mode and the overturning failure mode, the  
 560 limit state function is developed as

$$P(F) = P\left[G_F(C_F, D_F) \leq 0 \text{ or } G_M(C_M, D_M) \leq 0 | IM\right] \quad (13)$$

561 where  $G$  = the limit state function;  $C_i$  = structural capacity;  $D_i$  = structural demand;  $P(F)$  = the  
 562 probability failure of the bridge span;  $IM$  = the hazard intensity measure; and the subscript  $F$   
 563 and  $M$  represent unseating and overturning failure mode, respectively.

564 The structural demand  $D_F$  and  $D_M$ , which are the peak vertical wave force and overturning  
 565 moment on the bridge deck under a certain wave, could be derived from the surrogate model  
 566 results as introduced previously. With respect to the overturning failure mode, the structural  
 567 capacity  $C_M$  for the 4 limit states are 0, 3746, 6368, and 7696 kN×m, respectively (as Fig. 17).  
 568 The capacity  $C_F$  for the unseating failure mode can be calculated as [5]

$$C_F = W_s = (d_b W + A_g n_g) \gamma l \quad (14)$$

569 where  $W_s$  = the static weight of the deck;  $W$  = the deck width;  $A_g$  = cross-sectional area of  
 570 girders;  $n_g$  = girder number;  $\gamma$  = unit weight of the deck; and  $l$  = span length.

571 The experimental and numerical based methods provide deterministic estimates of surge  
 572 and wave impacts, which may yield conservative estimations for undetermined wave  
 573 uncertainties. The probabilistic distributions employed variables are introduced as follows. A  
 574 classic Rayleigh distribution [52] is adopted to model wave heights during a hurricane, which  
 575 has been examined by several studies with measured data including [53], [54]. The probability

576 density function (PDF) is as

$$f_H(h) = \frac{h}{H_{\text{mode}}^2} \exp\left(-\frac{h^2}{2H_{\text{mode}}^2}\right) \quad (15)$$

$$H_{\text{mode}} = \frac{1}{2} H_s \quad (16)$$

577 where  $H_{\text{mode}}$  = the mode wave height and  $H_s$  = the significant wave height, which equals the  
 578 mean wave height of the highest third of the waves in an event.

579 The surge height distribution during a hurricane is hard to predict because of the large  
 580 number of meteorological and environmental factors involved. [55] used the Freund bivariate  
 581 exponential distribution to represent the joint distribution of rainfall intensities and the  
 582 corresponding storm surges. [56] proposed a Gumbel logistic model for representing a  
 583 multivariate storm event. [57] utilized the Logistic correlation model to correlate the extreme  
 584 surge and waves. However, these methods lack data support due to field measurement  
 585 difficulties. Herein, a uniform distribution ranging  $\pm 20\%$  is utilized for the surge height [25].

586 Uncertainties in the unit weight of construction materials, workmanship error, and  
 587 construction error are considered in the capacity modeling. A uniform distribution with lower  
 588 and upper limits of 95 and 105% is used to account for workmanship and construction errors  
 589 in deck thickness. A normal distribution for concrete and steel density is used in this study  
 590 according to [58]. The mean density for concrete is taken as 2,600 kg/m<sup>3</sup>, with a coefficient of  
 591 variation (COV) of 0.04. For steel, the mean density is 7,850 kg/m<sup>3</sup> and COV is 0.01. The  
 592 calculated bridge deck density also follows a normal distribution with a mean of  $2.2 \times 10^5$  kg/m<sup>3</sup>  
 593 and a COV of 0.036. Similarly, the overturning capacity at each limit state is considered as  
 594 normally distributed with a COV of 0.04. Table 6 lists the main hurricane hazard parameters  
 595 with respect to demand modeling.

596 **Table 6** Hurricane hazard parameters used in reliability analysis

Hurricane hazard parameters	
Wave height $H$	Rayleigh distribution
Surge height $S$	Uniform distribution
Surge water depth $D$	Function of $S$

Wavelength $\lambda$	Determined by applied wave theory
Wave steepness $H/\lambda$	Function of $H$ and $\lambda$
Initial air ratio $A_r$	Function of $C_d$ , $d_g$ , and $D$
Submerged ratio $M_r$	Function of $C_d$ , $d_g$ , $d_b$ , and $S$

597 5.3 Reliability analysis and fragility surface

598 With the probabilistic vulnerability model proposed above, the fragility surface could be  
599 calculated by performing 1,000,000 Monte-Carlo simulations for each combination of  $IMs$ .  
600 The fragility surface intuitively displays the bridge failure probability under a certain intensity  
601 measure. A fragility surface of exceeding the Level 4 limit state for the investigated bridge is  
602 presented in Fig, 19 (a). A sharp increase in the failure probability is observed when  $S$  is around  
603 2 - 3 m, which corresponds to a category 2 or 3 hurricane based on the Saffir-Simpson  
604 Hurricane Wind Scale (SSHWS). This method defines hurricane scale with several parameters,  
605 including wind speed, surge height, air pressure, and wave height, *etc.*, and the wind speed  $U$   
606 and surge height  $S$  are adopted in this study. After  $S > 3$  m, the bridge span is under a relatively  
607 higher failure probability due to the large surge water depth. Generally, the failure probability  
608 is more sensitive to the value of  $S$  than  $H_s$ .

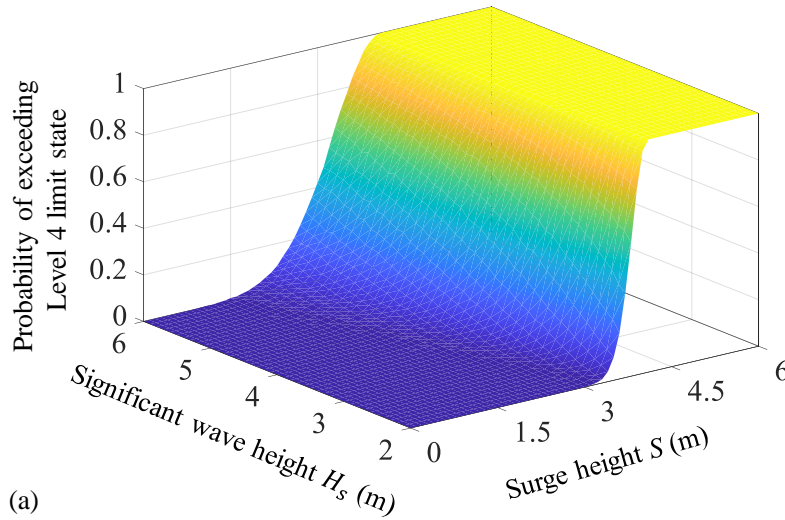
609 The bridge failure probability for a certain hurricane category (classified by wind speed)  
610 could be estimated by determining the relationship of maximum hurricane wind speed  $U_{max}$   
611 with  $H_s$  and  $S$ . The significant wave height during a hurricane event can be calculated as [59]

$$H_s = 5.112 \times 10^{-4} U_A F^{1/2} \quad (17)$$

$$U_A = 0.71 U_{max}^{1.23} \quad (18)$$

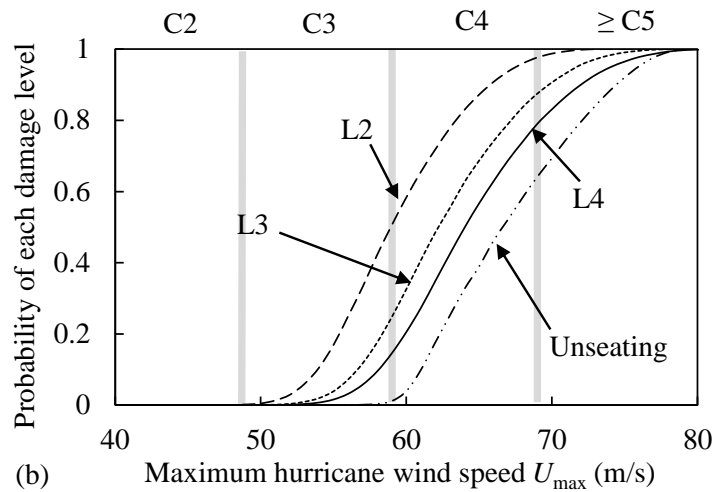
612 where  $U_A$  = the wind stress factor;  $F$  = the fetch length, which is treated deterministically as  
613 5000 m; and  $U_{max}$  = the maximum hurricane wind speed. The surge height  $S$  is taken as a linear  
614 function with  $U_{max}$  [60], [61]. The exceeding probability of different overturning limit states as  
615 well as the unseating failure mode are plotted in Fig. 19 (b), and the regions for each hurricane  
616 category are highlighted as well. It is observed that the bridge is under relatively small failure  
617 probability under a category 1 hurricane, and the failure probability sharply increases for

618 hurricanes over category 2 due to the increasing surge and wave heights. The unseating failure  
 619 mode underestimates the failure probability of coastal bridges during hurricane events.



620

(a)



(b)

621

622 **Fig. 19** (a) Fragility surface under surge and wave conditions and (b) Failure probability

623 associated with different damage levels under specific hurricane intensities

624 5.4 Long-term failure probability and climate change effects

625 The long-term failure probability is calculated by accumulating the product of hurricane  
 626 occurrence rate and corresponding bridge failure probability. Changing hurricane wind speed  
 627 could affect the coastal environment and wave scale, which further contributes to the deck-  
 628 wave interaction and structural responses. Several studies utilized annual wind speed  
 629 distribution over an area as the hurricane occurrence model. For instance, [62], [63] modeled  
 630 the hurricane wind speed in a given year as a Weibull distribution based on the annual wind



631 speed meteorological data. Such a method could describe the annual weather of a region but  
632 may not be suitable for extreme events such as a hurricane. Therefore, this study adopts the  
633 method of the probability distribution of the maximum wind speed during a hurricane event to  
634 assess the hurricane failure probability [64]. Accordingly, a two-parameter Weibull distribution  
635 of the maximum hurricane wind speed during each hurricane is used to simulate the  
636 probabilistic wind speed, and a Poisson point process model is utilized as the hurricane  
637 occurrence model within the investigated time interval. Accordingly, the cumulative density  
638 function (CDF) of the maximum wind speed during hurricane events  $F_U$ , and the CDF of  
639 maximum wind speed during  $[0, T]$  period  $F_r$  are given by

640

$$F_U(u) = 1 - \exp\left[-\left(\frac{u}{\alpha}\right)^\beta\right] \quad (19)$$

$$F_r(u) = \exp\left[-\omega T(1 - F_U(u))\right] \quad (20)$$

641 where  $u$  = wind speed;  $\alpha$  and  $\beta$  = two parameters sorted from the weather record data;  $T$  = the  
642 investigated time interval in year; and  $\omega$  = the mean annual occurrence rate of the hurricane.

643 Furthermore, to describe the effects of climate change on the long-term hurricane hazard  
644 model, the shape parameter  $\beta$  is assumed unchanged, while the hurricane occurrence rate  $\omega$  and  
645 the maximum wind scale parameter  $\alpha$  are time-variant [58]

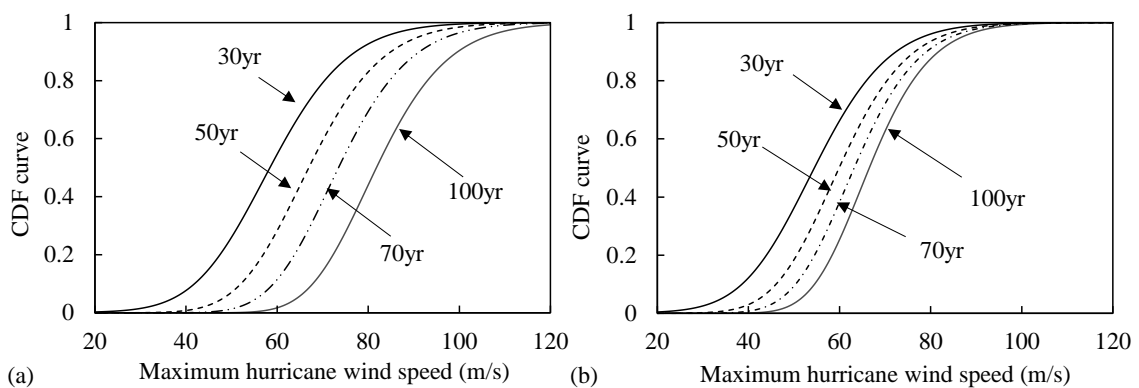
$$\omega(t) = \omega_0 + r_\omega t \quad (21)$$

$$\alpha(t) = \alpha_0 + r_\alpha t \quad (22)$$

646 where  $r_\omega$  and  $r_\alpha$  = the annual increment rate in hurricane occurrence rate and maximum wind  
647 speed, respectively. The parameters  $\omega_0$ ,  $\alpha_0$ , and  $\beta$  are 0.245, 35.9, and 2.06, respectively based  
648 on historical data obtained from US National Oceanic and Atmospheric Administration [37].

649 Based on previous research on climate change effects [33], [35], an 10% increment rate  
650 during 50 years for both  $\omega(t)$  and  $\alpha(t)$  is assumed in this study, and the CDF curves of the  
651 maximum hurricane wind speed during a period ( $T$ ) of 30, 50, 70 and 100 years with and  
652 without considering the climate change effects are plotted in Figs. 20 (a) and (b), respectively.

653 In Fig. 20, the point on the curve represents the probability of the maximum wind speed  
654 resulting from the hurricanes not exceeding a certain value. Given the CDF, the probability  
655 density function (PDF) can be easily obtained to determine the occurrence probability of the  
656 relevant maximum wind speed within hurricanes. The curve on the right side of the figure  
657 means that a large-scale hurricane (with a large maximum wind speed) is more likely to occur  
658 within the investigated period. It could be found by comparing Figs 20 (a) with (b) that,  
659 considering the impact of climate change, the occurrence probability of extreme wind speed  
660 resulting from hurricanes would become larger under a longer investigated period. The failure  
661 probabilities (e.g., probability of exceeding the damage level) within the investigated time  
662 interval under different scenarios are listed in Table 7. Results from the unseating failure mode  
663 without considering the overturning effects are calculated as well, and the climate change  
664 effects are examined and compared. The failure probability remarkably increases for a longer  
665 estimation period, especially for Level 3 and Level 4 limit states (from 0.3743 to 0.9331 and  
666 0.3301 to 0.9008, respectively). The 100-yr period has the highest bridge failure probabilities  
667 of 0.9639, 0.9331, and 0.9008 due to the cumulative effect of the time period. Neglecting the  
668 overturning effect could result in underestimation of bridge failure probability by 5 - 15% based  
669 on different time intervals.



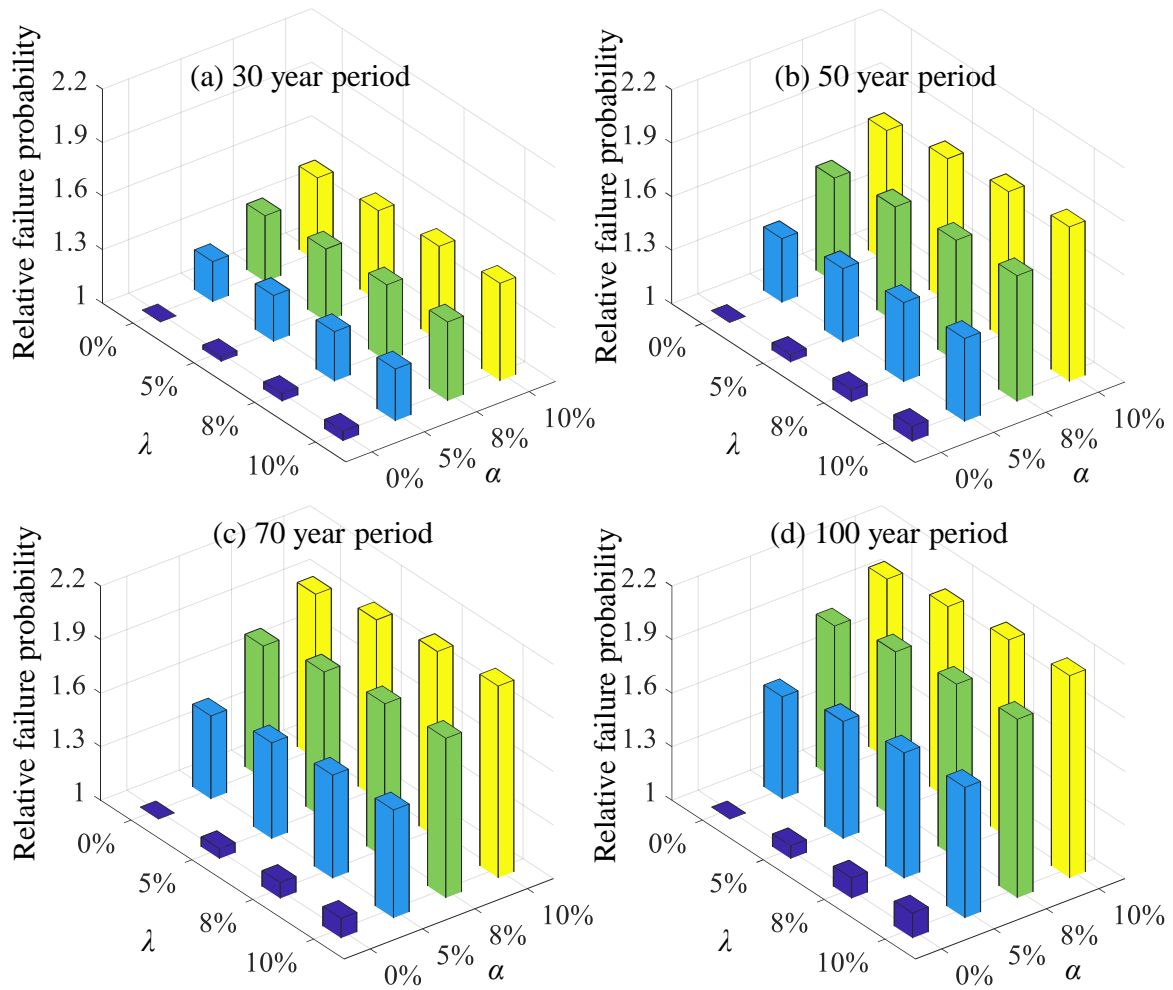
670  
671 **Fig. 20** CDF curves of maximum hurricane wind speed under different time periods (a) with  
672 considering climate change effects and (b) without considering climate change effects  
673

674 **Table 7** Probability of failure within the investigated period

		30 yr	50 yr	70 yr	100 yr
With CM effects	Level 2	0.4618	0.7093	0.8667	0.9639
	Level 3	0.3743	0.6138	0.7932	0.9331
	Level 4	0.3301	0.5600	0.7463	0.9008
	Unseating	0.2692	0.4830	0.6767	0.8708
No CM effects	Level 2	0.3511	0.5050	0.6174	0.7340
	Level 3	0.2719	0.4032	0.5063	0.6225
	Level 4	0.2340	0.3520	0.4476	0.5594
	Unseating	0.1827	0.2814	0.3654	0.4691

675

676        Apparently, climate change could significantly increase the bridge failure probability as  
677 listed in Table 7. For a better understanding of such phenomenon, a sensitivity analysis is  
678 performed by using different time-variant hurricane scale parameter  $\alpha(t)$  and occurrence rate  
679  $\omega(t)$  as shown in Fig. 21. Increment rates of 0%, 5%, 8%, 10% during a 50-year interval [35]  
680 are examined for both  $\alpha(t)$  and  $\omega(t)$ . The relative failure probability is calculated as the ratio of  
681 Level 4 failure probability under each climate change scenario to that calculated without  
682 considering climate change effects (*i.e.*, 0% for both  $\alpha(t)$  and  $\omega(t)$ ). As indicated, the bridge  
683 failure probability could increase by 40% during a 30-year period (relative failure probability  
684 equals 1.4), while which could be over 90% for a 100-year period (relative failure probability  
685 exceeds 1.9). Bridge with longer service life would suffer more from the climate change effects.  
686 The increment in  $\alpha(t)$  has a greater impact on the failure probability as compared with  $\omega(t)$   
687 since it directly affects multiple demand parameters including surge and wave heights.



Note:  $\lambda$  and  $\alpha$  refer to an increment rate per 50 years for hurricane occurrence rate and maximum hurricane wind scale, respectively. The relative failure risk is calculated as the ratio of Level 4 failure risk under each CM scenario over the initial risk without CM effects (i.e. 0% for both  $\lambda$  and  $\alpha$ )

688

689 **Fig. 21** Sensitivity analysis of climate change effects on long-term failure probability

690 **6. Conclusions**

691 This study focuses on the performance, vulnerability, and reliability of coastal bridges  
 692 susceptible to hurricane waves based on 3D numerical and experimental studies. The wave-  
 693 structure interaction is simulated by a 3D CFD model, which is validated by experimental  
 694 measurements. The external wave forces are then imported into the 3D FEM to further calculate  
 695 the overturning moment, bearing reaction force, and working states. Surrogate models are  
 696 examined to quantify wave force and overturning moment with different parameters for cases

697 which the empirical method could not well predict. Based on the numerical results, a new  
698 component-level bridge overturning failure mode is developed, which considers the effects of  
699 the overturning moment and bearing damage. Limit states are defined, and criteria for each  
700 limit state are calculated from FEM. Given the fragility surface derived from the new  
701 overturning failure mode, long-term failure probability is assessed by considering climate  
702 change effects.

703 The conclusions are drawn as follows:

- 704 1. A 3D CFD model is established to simulate the wave-structure interaction and  
705 validated with experimental measurements. This model could better simulate the  
706 uneven force distribution on the deck and provide reliable results for its spatial  
707 advantage in  $x$ ,  $y$ , and  $z$  directions. During the wave-structure interaction, the  
708 maximum vertical force on each deck component reduces, while horizontal force  
709 increases, from seaward to landward side.
- 710 2. A 3D FEM model is established to investigate the uneven wave force distribution on  
711 the deck and the resulting large overturning moment. The extreme overturning  
712 moment could destroy seaward bearings first, and the changed constraints would  
713 further affect the structural stability, causing the bridge deck to overturn.
- 714 3. For a more accurate prediction of structural response, different parameters are  
715 introduced to quantify the results under different surge and wave scenarios, including  
716 clearance  $Z_c$  and wave height  $H$  for unsubmerged conditions, wave steepness  $H/\lambda$  and  
717 initial trapped air ratio  $A_r$  for partially submerged conditions, and wavelength  $\lambda$  and  
718 submerged ratio  $M_r$  for fully submerged conditions.
- 719 4. Based on the 3D numerical results, a new component-level bridge overturning failure  
720 mode is developed by considering the effects of the overturning moment, bearing  
721 damage, and failure sequence. Each damage level is defined according to the degree  
722 of structural damage, and limit states are computed with FEM.
- 723 5. It is demonstrated that the overturning failure mode could identify component-level  
724 damage of the bridge and calculate a larger failure probability for the investigated

725 case as compared with the unseating mode. It is suggested by the authors that both  
726 failure modes should be considered in future studies since the structural responses  
727 vary and depend on wave forms as well as structural dimensions.

728 6. It is concluded that the bridge failure probability could increase by up to 40% during  
729 a 30-year period and by over 90% for a 100-year period when considering climate  
730 change effects. The increment in hurricane scale has a great impact on the bridge  
731 failure probability since it affects multiple demand parameters such as surge and wave  
732 heights.

733 The proposed framework can aid the robust design and management of coastal bridges  
734 subjected to hurricanes in a life-cycle context by considering different failure modes (e.g., uplift,  
735 overturning) and reliability. Future studies are expected to examine the structural responses and  
736 bearing performance of a bridge under oblique wave effects, to assess the monetary loss caused  
737 by the hurricanes, and to consider the deterioration effects of RC structures in the reliability  
738 assessment.

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### 744 **Notation**

745 *The following symbols are used in this paper:*

$A_g$  = cross-sectional area of girders;

$A_r$  = initial trapped air ratio;

$C_d$  = design clearance;

$C_F$  = structural capacity of unseating failure mode;

$C_M$  = structural capacity of overturning failure mode;  
 $c$  = wave celerity;  
 $D$  = water depth;  
 $D_F$  = structural demand of unseating failure mode;  
 $D_M$  = structural demand of overturning failure mode;  
 $d_b$  = deck thickness;  
 $d_g$  = girder height;  
 $F$  = fetch length;  
 $F_x$  = horizontal wave force on bridge span;  
 $F_y$  = vertical wave force on bridge span;  
 $f_{Li}$  = reaction force of the  $i^{th}$  bearing on the span L side;  
 $f_{Ri}$  = reaction force of the  $i^{th}$  bearing on the span R side;  
 $f_{xi}$  = horizontal wave force on the  $i^{th}$  bridge section;  
 $f_{yi}$  = vertical wave force on the  $i^{th}$  bridge section;  
 $f_c'$  = ultimate concrete compressive strength;  
 $f_t'$  = axial tensile cracking stress;  
 $G$  = limit state function;  
 $H$  = wave height;  
 $H_{mode}$  = mode wave height;  
 $H_s$  = significant wave height;  
 $L_{Fhi}$  = horizontal moment arm;  
 $L_{Fvi}$  = vertical moment arm;  
 $L_m$  = bridge length in model scale;  
 $L_p$  = bridge length in prototype scale;  
 $l$  = span length;  
 $M$  = overturning moment on bridge span;  
 $M_r$  = submerged ratio;  
 $m$  = model predictors;

$n_g$  = girder number;  
 $O$  = moment center;  
 $q$  = number of total predictors;  
 $r_\alpha$  = increment rate in maximum wind speed;  
 $r_\omega$  = increment rate in hurricane occurrence rate;  
 $S$  = surge height;  
 $T$  = investigated time interval in year;  
 $t$  = time;  
 $t_0$  = time interval between wave crest and still water level;  
 $U_A$  = wind stress factor;  
 $U_{\max}$  = maximum wind speed;  
 $W$  = deck width;  
 $W_s$  = deck weight;  
 $y$  = observed values;  
 $y_{\max}$  = maximum sample in the subsample;  
 $y_{\text{mean}}$  = mean value of the samples;  
 $y_{\min}$  = minimum sample in the subsample;  
 $y'$  = approximating function;  
 $Z_c$  = clearance;  
 $\alpha$  = scale parameter;  
 $\beta$  = shape parameter;  
 $\gamma$  = unit weight of deck;  
 $\lambda$  = wavelength;  
 $\varepsilon_c$  = concrete strain;  
 $\varepsilon_{cu}$  = ultimate concrete strain;  
 $\varepsilon_0$  = peak concrete strain;  
 $\eta$  = water surface elevation;  
 $\theta$  = model parameters;



- $\sigma$  = scale parameter of Rayleigh distribution;
- $\sigma_c$  = concrete stress;
- $\tau$  = scale ratio;
- $\omega$  = mean annual hurricane occurrence rate.

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