

1 **Optimal Control Strategy of Central Air-conditioning Systems of**  
2 **Buildings at Morning Start Period for Enhanced Energy**  
3 **Efficiency and Peak Demand Limiting**

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9

10 **Abstract:** Air-conditioning systems in commercial buildings are usually switched on  
11 before office hour to precool buildings to create an acceptable working environment  
12 at the beginning of the office hour in cooling seasons. However, due to high cooling  
13 demand during morning start period particularly in hot seasons, often much higher  
14 than the capacity of cooling supply, the feedback control strategies in air-conditioning  
15 systems often fail to control this cooling process properly. The imbalanced cooling  
16 distribution and large difference of cooling-down speeds among different spaces result  
17 in the need of significantly extended precooling duration as well as over-speeding of  
18 water pumps and fans that lead to serious energy waste and high peak demand. An  
19 optimal control strategy is therefore developed to determine the number and schedule  
20 of operating chillers and particularly to achieve an optimal cooling distribution among  
21 individual spaces. Case studies are conducted and results show that the proposed  
22 control strategy could shorten the precooling time about half an hour because of  
23 similar cooling-down speeds among individual zones. The energy consumption of the  
24 air-conditioning system during morning start period is also reduced over 50%. In  
25 addition, the peak demand is reduced significantly contributed by the improved  
26 controls of secondary pumps and fans.

27

28 **Keywords:** air-conditioning, precooling control, building energy efficiency, indoor  
29 environment control, peak load limiting.

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## 33 **1. Introduction**

### 34 **1.1 Research background**

35 The energy consumption of buildings has increased rapidly in recent years due to  
36 the increased population, the increased demand on indoor environment control, the  
37 global climate changes, etc. Approximately 40% of global energy is consumed by  
38 buildings [1-3]. In the United States, buildings accounted for 74% of electricity use in  
39 2010 [4] and even more in Hong Kong (i.e., 91% of total electricity [5]). In addition  
40 to the challenge from buildings due to their large energy consumptions, peak load is  
41 another serious issue, which leads to huge investment in the power grid development  
42 and reduce grid energy efficiency. It is estimated that the capacity to meet demand  
43 during the top 100 hours in a year accounts for nearly 20% of electricity costs [6],  
44 since generation and transmission capacity of power grids are provided to meet the  
45 peak demand that occurs infrequently [7]. Facing to these two issues (i.e., large  
46 energy consumption and high peak demand), the monthly electricity bills of buildings  
47 paid for utility companies are always based on two parts, such as in Hong Kong. In  
48 Hong Kong, one is the charge for the monthly electrical peak demand, which refers to  
49 the maximum energy consumed in a demand interval (e.g. 30 min) for an entire month.  
50 The other is the cost for the overall energy consumption in the month. Although  
51 different price structures exist, the monthly peak demand cost of a commercial  
52 building always constitutes a great part of the electricity bill [8].

53 As buildings have great potentials in energy saving and peak demand reduction,  
54 many researches have been conducted aiming at minimizing energy consumption  
55 and/or operating cost of buildings. The efforts could be grouped into three main  
56 categories according to their targets: energy efficiency and conservation, peak load  
57 management and demand response. Energy efficiency and conservation focus on the

58 energy saving of buildings [9-12] while the last two categories address the peak  
59 demand reduction using different mechanisms [13-18]. Heating, ventilation and  
60 air-conditioning (HVAC) system accounts for about 50% of building energy use on  
61 average and it could therefore play an important role to realize energy saving and  
62 peak demand management [18-21]. This can be achieved due to the elastic nature of  
63 buildings and building manager system (BMS), particularly for commercial premises.

64 Currently, many control strategies are developed and applied for the  
65 air-conditioning systems. In general, almost commonly-used automatic control  
66 strategies today for centralized air-conditioning systems are demand-based feedback  
67 control. The basic control principle of typical demand-based feedback control of an  
68 air-conditioning system is illustrated in Fig.1. The regulators, typically PID  
69 (proportion-integration-differentiation) controllers, modulate the cooling intakes from  
70 their suppliers to maintain the states of the spaces served by the terminal units (or the  
71 states of AHU (air handling unit) outlets) at their set-points. The distribution of the  
72 cooling based on the demand-based feedback control loops can be managed properly  
73 in normal conditions when the total demand to each device is not more than the  
74 available cooling and all users can get what they need from their suppliers. This  
75 demand-based feedback control with PID regulators based on feedback information is  
76 capable to ensure the accuracy, adaptiveness and simplicity of cooling distribution in  
77 buildings and it is hard for a simple predictive control to achieve the same control  
78 performance due to the complexity and ever change of conditions and disturbances.  
79 This is why this control method is widely adopted in buildings.

80

81 Fig.1 Basic principle of demand-based feedback control

82

## 83 **1.2 Motivation and challenges**

84 In fact, air-conditioning systems in commercial buildings are usually switched on  
85 before office hour in the morning to precool the indoor spaces. This can not only  
86 create an acceptable indoor environment at the beginning of office hour, but also  
87 avoid high peak demand if occupants turn on all the cooling devices at the beginning  
88 of office hour to force indoor temperature resume to its set-point immediately.  
89 Although this scheduled operating strategy is very easy to be implemented and widely  
90 adopted by air-conditioning systems, serious problems often exist in practice today.

91 First of all, serious energy waste caused by extended precooling time and failure  
92 of conventional control strategies. As the indoor air temperature is much higher than  
93 its corresponding set-point when air-conditioning starts in the morning, the cooling  
94 supply side cannot satisfy the very high cooling demand required by air-side (i.e.,  
95 indoor spaces) and each air-conditioned spaces would compete for the limited cooling  
96 supply. Hence, all water valves and air dampers would be fully open, resulting in the  
97 failure of demand-based feedback control strategies. Due to pipe resistances and  
98 pressure losses, imbalanced cooling distribution would happen inherently and remote  
99 spaces get much lower cooling. Both the difference of space cooling load profiles and  
100 imbalanced cooling distribution would result in different cooling-down speeds among  
101 different indoor spaces. Consequently, time durations for indoor precooling of  
102 different spaces will be quite different and required precooling time has to be  
103 extended in order to allow all the spaces of a building reaching their indoor  
104 environment control set-points.

105 Moreover, high peak power demand would be potentially appeared during  
106 morning start period resulting from the excessive speeding of chilled water pumps and  
107 air delivery fans [22]. Although the number of operating chillers is usually limited

108 during morning start period, the pumps and fans would fully operate, resulting in very  
109 high peak demand. The excessive speeding of pumps and fans are not helpful for  
110 cooling but would lead to some unexpected problems. For example, the excessive  
111 chilled water flow delivered by secondary pumps would cause serious deficit flow.

112 The aforementioned problems occurred during morning start period have not been  
113 addressed in academic literature and engineering practice. In this study, an optimal  
114 control strategy is therefore developed for morning start period to optimize the  
115 distribution of limited cooling supply among individual zones/rooms as well as the  
116 number and schedule of operating chillers. Examples of typical on-site data from a  
117 super-high-rise commercial building are collected and used in this paper to illustrate  
118 the problems during morning start period. Case studies are conducted to test and  
119 validate the proposed control strategy.

## 120 **2. Problems illustration using on-site data**

### 121 **2.1 System description in a high-rise commercial building**

122 In this session, on-site data collected from the BMS of a super-high-rise  
123 commercial building in Hong Kong is used to illustrate the problems during morning  
124 start period. The building has over one hundred storeys. A central chiller plant with  
125 six identical centrifugal chillers is responsible to provide cooling to the building  
126 spaces. Each chiller interlocks with one corresponding constant primary chilled water  
127 pumps and one constant condenser cooling water pump. All pumps in the secondary  
128 chiller water distribution system are equipped with variable frequency drives. Two  
129 AHUs involving variable air volume (VAV) boxes serve each office floor. The chillers  
130 and AHUs are switched on before office hour. The main parameters of the central  
131 air-conditioning system in the building are presented in Table 1.

132 Table 1 Main parameters of central air-conditioning system in the building

133 The central air-conditioning system in the building is a primary  
134 constant-secondary variable chilled water system. Fig.2 presents the schematic of  
135 such central system and the basic control principle is presented as follows: the water  
136 valve opening of each AHU is modulated to maintain supply air temperature at the  
137 pre-defined set-point. The speed control of secondary chilled water pumps will be  
138 adjusted to ensure the measured pressure drop of main supply side (or the remote  
139 critical loop) at its set-point. The chiller sequence/capacity will be properly controlled  
140 to meet the required building cooling load. Similarly, at the air side, the damper of  
141 each VAV box is controlled to keep indoor air temperature at its set-point. The supply  
142 fan is based on the pressure control to maintain the static pressure set-point in order to  
143 deliver sufficient air flow for each room. Such demand-based feedback control  
144 strategy, commonly-used today, is based on the assumption that the cooling supply by  
145 chillers is set to be enough to fully satisfy the requirements of the terminal units (e.g.,  
146 AHUs). However, the limited cooling supply during morning start period, which is  
147 always exacerbated by the limit of operating chiller number, would lead to the  
148 extension of precooling time, extra energy waste and high peak demand. In the  
149 condition of limited cooling supply during morning start period, almost all the  
150 conventional feedback control strategies, which are based on a premise of sufficient  
151 cooling supply, would result in these inherent problems regardless of the type of  
152 central systems. In this study, precooling time refers to the time duration for  
153 precooling the indoor space back to its set-point of office hour in the morning.

154

155 Fig.2 Schematic of considered central air-conditioning system

156 **2.2 Problems during morning start period**

157 During morning start period, the cooling-down speeds of different indoor spaces  
158 are obviously different. Six rooms, which are used as offices, are selected at the  
159 fifteenth floor in the high-rise commercial building. Their cooling supply are served  
160 by an AHU. The ceiling height of each room is about 3.5m and the orientation of  
161 windows of each room faces north. Fig.3 presents the temperature deviations (TD)  
162 between the measured indoor air temperatures ( $T_{measured}$ ) and their corresponding  
163 set-points ( $T_{set}$ ) of six selected rooms. During the start period in the morning, it is  
164 obvious that the cooling-down speeds of different rooms are significantly different. In  
165 addition to the different room cooling load profiles, the failure of feedback control  
166 strategy would also be the cause of this phenomenon. In Fig.3, the indoor air  
167 temperature of Room 2 reached its set-point much more quickly than the others. In  
168 contrast, the indoor temperature of Room 1 reached its set-point nearly two hours later  
169 than Room 2. In such a case, the duration for precooling these rooms should be  
170 extended to meet the requirement of the worst one (i.e., Room 1) although the other  
171 five rooms had already finished the cooling-down process very earlier. As for Room 2,  
172 about two hours precooling time was unnecessary and just used to wait for the others  
173 back to their corresponding indoor air temperature set-points. Therefore, the different  
174 cooling-down speeds of indoor spaces would extend the precooling time and cause  
175 large amounts of energy waste as well as unfair thermal comfort among individual  
176 spaces during morning start period.

177

178 Fig.3 Measured cooling-down profiles of rooms during morning start period

179 Moreover, during morning start period, the cooling supply is often insufficient and  
180 therefore cooling devices at the demand side (e.g., AHUs and VAV boxes) will  
181 compete for the limited cooling supply. The water valves and air dampers at the

182 demand side will be fully open in order to force the control variables (i.e., temperature)  
183 resume to their set-points as soon as possible. Fig.4 shows measured air damper  
184 openings of six VAV terminals served by an AHU. The openings of all VAV dampers  
185 were fully open during the start phase. They were eventually closed down to some  
186 extent can assume their abilities to control the indoor air temperatures at their  
187 set-points but at different times. Due to fully open valves/dampers, cooling  
188 distributions delivered by chilled water flow/air flow were not controlled properly.  
189 The remote rooms with larger hydronic resistances and at disadvantaged positions  
190 could only receive much less cooling than that received by the zones near to the  
191 cooling supply as well as the average cooling. Compared with the indoor air  
192 temperature profiles (i.e., Fig.3) at the same period, it can be observed that the  
193 sequences of rooms whose indoor air temperatures reached the set-points and the  
194 ending of damper saturation were similar. For instance, Room 1 was the very last one  
195 for both.

196

197 Fig.4 Measured air damper openings of VAV terminals during morning start period

198 Furthermore, the fully open valves of AHUs lead to secondary chilled water  
199 pumps over-speeding in order to maintain the differential pressure set-point according  
200 to the control logic. Similarly, air delivery fans also operate at full speeds to maintain  
201 the controlled static pressures at their set-points. Fig.5 shows the speed profiles of the  
202 AHU fans at the same floor in the building. The speeds of the fans reached the full  
203 speed right after being switched on. Similar phenomenon and problems also occurred  
204 on secondary pumps. The over-speeding pumps and fans will make the power demand  
205 of air-conditioning system increase significantly resulting in very high peak power  
206 demand at starting period. Such over-speeding of pumps and fans are not helpful for



207 the indoor air temperature. Therefore, the operation of secondary pumps and air  
208 delivery fans during morning start period should be controlled properly to avoid  
209 energy waste and very high peak demand.

210

211 Fig.5 Measured speed profiles of AHU fans during morning start period

### 212 **3. Proposed optimal control strategy for morning start period**

#### 213 **3.1 Schematic of control strategy and chiller sequencing optimizer**

214 The basic control logic of an air-conditioning system during morning start period  
215 is shown in Fig.6. This strategy optimizes the cooling distribution among individual  
216 zones during morning start period as well as determining the sequencing control of  
217 chiller (i.e. number and on-off schedule of chillers). According to the inputs, i.e.,  
218 measured outdoor air temperature, relative humidity, average indoor air temperature  
219 of the building and the day in a week, the scheme of chiller sequencing optimizer  
220 determines the number and schedule of operating chillers for precooling the building.  
221 The cooling distribution optimizer optimizes the cooling distribution among different  
222 zones in order to achieve a uniform cooling-down speed of indoor spaces. The total  
223 chilled water flow to be distributed by the cooling distribution optimizer is equal to  
224 that in the primary loop to prevent excessive and unnecessary water flow circulated in  
225 the secondary loop. The secondary pumps are still modulated based on the pressure  
226 control. Same control logic of cooling distribution optimizer is used for the air side.  
227 The total air flow to be distributed by VAV terminals served by an AHU during  
228 morning start period is limited at its design flow rate and the air delivery fans are  
229 controlled to maintain the differential pressures at their set-points. The proposed  
230 control strategy is not back to the conventional control strategy until the indoor

231 temperatures of all zones recover to their corresponding set-points.

232 In this study, the sequencing control of chillers during morning start period is  
233 determined by chiller sequencing optimizer using a performance map based on  
234 measured outdoor air temperature, relative humidity, average indoor air temperature  
235 of the building and the day in a week (i.e., weekday or weekend) [23]. The  
236 performance map for morning start chiller sequencing control is designed offline by  
237 analyzing the historical cooling load profiles of morning start period at different  
238 conditions.

239

240 Fig.6 Schematic of proposed control strategy for morning start period

### 241 **3.2 Cooling distribution optimizer**

242 A reasonable and proper cooling distribution can effectively shorten the  
243 precooling time and reduce the energy consumption by achieving a uniform  
244 cooling-down speeds among different indoor zones/rooms during morning start period.  
245 At the same time, the high peak demand resulted from ineffectiveness of conventional  
246 control strategies during morning start period can be prevented by the cooling  
247 distribution optimizer. To achieve these objectives, supply-based feedback control  
248 strategy is employed instead of conventional (demand-based) feedback controls.

249 Fig.7 illustrates the basic principle of the supply-based control strategy used for  
250 cooling distribution optimizer. This control strategy uses the actual measurements as  
251 the feedback information to ensure the accuracy, adaptiveness and simplicity of  
252 control in practical applications. The major difference compared with the  
253 conventional feedback control strategy is that all the feedbacks are from the end-users  
254 (i.e., space temperatures) rather than from their immediate outlets (e.g., outlet air

255 temperatures of AHUs). At chilled water side, the cooling distribution optimizer (i.e.,  
256 global cooling distributor) manages the distribution of the total available chilled water  
257 supply (i.e., water flow in the primary loop) among individual zones. The required  
258 measurements as the feedback information are the average temperatures (i.e., return  
259 air temperatures) and chilled water flows of zones as well as the total water flow in  
260 the primary loop. Similar to the air side, the cooling distribution optimizer (i.e., local  
261 cooling distributor) manages the cooling distribution among the spaces served by an  
262 AHU. The required measurements are the indoor air temperatures and air flows of all  
263 spaces in a zone as well as the total available air flow for the zone.

264

265 Fig.7 Basic control principle of supply-based feedback control strategy [24]

266 The analytical method of cooling distribution optimizer is adopted from an  
267 economic concept, utility function, to realize an expected cooling distribution under  
268 limited cooling supply, which also is used for building demand response control  
269 responding to smart grids [25-26]. The numerical procedure for cooling distribution at  
270 the water side is illustrated here as an example and the procedure is the same for  
271 cooling distribution at the air side, except the parameters and variables used at the  
272 water side are replaced by the corresponding ones.

273 Eq.(1) shows the calculation of utility value of a zone. Where,  $T_{band}$  is the  
274 deviation between  $T_i^k$  (measured indoor air temperature) and  $T_{set,i}$  (original indoor  
275 set-point), which should be large enough to fully cover the whole possible indoor  
276 temperature range of zones in practical situations. Its value is set to be 10°C.  $U_i^k$   
277 is the utility value, which is originally an economic concept adopted in this application.

278 
$$U_i^k = 1 - \frac{|T_i^k - T_{set,i}|}{T_{band}} \quad U_i^k \in [0,1] \quad (1)$$

279 The online calculation process for determining the chilled water flow set-points of  
 280 individual zones is shown in Eqs.(2-4).

$$281 \quad M_{U=1,i}^k = M_{w,i}^k - \sqrt{\frac{1-U_i^k}{a_i}} \quad (2)$$

$$282 \quad M_{U=1,i}^k = \lambda M_{U=1,i}^{k-1} + (1 - \lambda) M_{U=1,i}^k \quad (3)$$

$$283 \quad M_{sp,i}^k = M_{U=1,i}^k + \sqrt{\frac{1-\bar{U}_{sp}^k}{a_i}} \quad (4)$$

284 where,  $M_{U=1,i}^k$  is the required chilled water flow of  $i^{th}$  zone to maintain the original  
 285 utility value, that is, when the indoor air temperature is at its set-point of office hour.  
 286  $M_{w,i}^k$  is the measured chilled water flow of  $i^{th}$  zone at  $kth$  time step.  $\lambda$  is the forgetting  
 287 factor selected to be 0.95 in this study.  $\bar{U}_{sp}^k$  is the target utility value of all zones at  
 288  $kth$  time step, which is the expected utility value of all zones if utility values of all  
 289 zones are controlled to be the same. The value is determined based on the available  
 290 cooling capacity (i.e., total chilled water flow in the primary loop).  $M_{sp,i}^k$  is the  
 291 chilled water flow set-point for  $i^{th}$  zone at  $kth$  time step.

$$292 \quad a_i = \frac{\Delta T_i}{T_{band} \times \Delta M_i^2} \quad (5)$$

$$293 \quad \Delta T_i = (T_{out,i} - T_{set,i}) + 5 \quad (6)$$

294 Parameter  $a_i$  represents the thermodynamic characteristics of  $i^{th}$  zone and the  
 295 values are calculated by Eqs.(5-6) [24]. Where,  $\Delta T_i$  is the indoor air temperature rise  
 296 (stabilized) of  $i^{th}$  zone from its set-point, which can be obtained when the  
 297 air-conditioning system is shut down at a selected working point in the morning. The  
 298 value is assumed to be 5°C higher than the design outdoor air temperature ( $T_{out,i}$ ), as  
 299 calculated by Eq.(6).  $\Delta M_i$  is the chilled water flow rate of  $i^{th}$  zone at the selected  
 300 working point when the air-conditioning is shut down in the morning. This value can  
 301 be obtained based on the chilled water flow of  $i^{th}$  zone in the reference day

302 considering the current outdoor temperature, relative humidity, the average  
303 temperature of the building and the type of the day.

#### 304 **4. Test platform**

305 A virtual simulation platform is constructed using dynamic models developed in  
306 TRNSYS [27] to test and validate the effectiveness of proposed control strategy for  
307 morning start period. The detailed physical models, building envelop and major  
308 components (e.g. chillers, pumps, fans, hydraulic network, air ducts, AHUs) of a  
309 central air-conditioning system, are included in this dynamic simulation platform. The  
310 models used in the test platform are calibrated using real data [28-29]. The tests using  
311 conventional and proposed control strategies are simulated under a same day in order  
312 to have a clear comparison and hence to present the improvements clearly using the  
313 proposed control strategy during morning start period.

314 Table 2 Main parameters of simulated central air-conditioning system

315 The central chiller plant simulated is a typical primary constant-secondary  
316 variable chilled water system and the schematic of such system is shown in Fig.2.  
317 This type of system is typical and most popularly adopted in real projects and hence is  
318 selected for the test and validation. But the proposed control strategy would be useful  
319 for other types of central systems (not only limited on this specific system). This is  
320 because the proposed control strategy is based on the measured temperature and total  
321 available cooling supply to achieve its control function and not based on the specific  
322 information of considered systems.

323 The simulated central air-conditioning system is modified based on the  
324 air-conditioning system of a super-high-rise building in Hong Kong and the main  
325 parameters are presented in Table 2. It consists of four identical chillers, each with

326 rated capacity of 4080 kW. Each chiller is associated with a primary chilled water  
327 pump of constant speed (172.5 L/s). Two variable speed chilled water pumps are  
328 employed in the secondary loop. The cooling source for the building comes from the  
329 chilled water circulating in the AHUs which cools down the supply air temperature to  
330 a pre-defined set-point. After the heat exchanger in the AHU, the returned chilled  
331 water is distributed evenly to the operating chillers to be chilled again. The building is  
332 simulated by a multi-zone model (Type 56) in TRNSYS. Six typical air-conditioned  
333 zones with different cooling load profiles in this building cooled by six AHUs are  
334 selected. For the air side, one zone served by one AHU is simulated, which contains a  
335 supply and a return fan with rated capacity 34 kW and 32 kW respectively. The zone  
336 consists of eight rooms with different cooling load profiles and air duct resistances are  
337 considered. The design supply air static pressure is 650 Pa and the fresh air flow  
338 set-point for a zone is set to be constant according to the ASHRAE Standard  
339 62.1-2013.

340 A typical summer day in Hong Kong is selected. The outdoor weather condition of  
341 the test day is obtained by TMY (typical meteorological year) data and the outdoor air  
342 temperature and relative humidity are shown in Fig.8. The office hour of the building  
343 is from 08:00am to 20:00pm. The indoor air temperature set-point during office hour  
344 is set as 24°C. The simulation is run 24 hours (i.e., a whole summer day) and time  
345 step of simulation is 1second.

346

347 Fig.8 Outdoor dry-bulb temperature and relative humidity in the test day

## 348 **5. Results and discussion**

349 Based on measured outdoor air temperature (i.e., 28°C), relative humidity (i.e.,  
350 76%), the average indoor air temperature of the building (i.e., 29.1°C) and the day in a

351 week (i.e., weekday), chiller sequencing optimizer determined two chillers to be  
352 switched on for precooling the building before office hour. In addition, the total water  
353 flow to be distributed was equal to that in the primary loop and the total air flow of  
354 each zone to be distributed was set as its design value in order to avoid the  
355 over-speeding of secondary water pumps and AHU fans.

## 356 **5.1 Precooling time and control performance**

357 The precooling time refers to the time duration for cooling down the indoor air  
358 temperature of all air-conditioned zones/rooms in a building back to the set-points or  
359 certain thresholds. Fig.9 shows the indoor air temperature profiles of the six selected  
360 zones using conventional control and proposed control strategies. In Fig.9(a), the  
361 cooling-down speeds of indoor air temperatures among the six zones were  
362 significantly different after switching on the air-conditioning system. The indoor air  
363 temperatures of Zone 5 and Zone 6 reached their set-points about one hour earlier  
364 than Zone 1. It meant that the precooling time needed to be extended to satisfy the  
365 thermal environment control requirement of the zone with slowest cooling-down  
366 speed (i.e., Zone 1). At the same condition, it could be observed that, using the  
367 proposed control strategy, the temperature profiles of the six zones were almost the  
368 same during morning start period, as shown in Fig.9(b). The precooling time duration  
369 using the proposed control strategy was shortened significantly, i.e. about half an hour  
370 (i.e., time duration ①), as shown in Fig.9.

371

372 Fig.9 Temperature profiles of six selected zones during morning start period using  
373 conventional strategy (a) and proposed strategy (b)

374 In order to achieve similar cooling-down speeds among different indoor  
375 zones/rooms, even cooling distribution (i.e. proportional to cooling loads) should be

376 realized. Fig.10 shows the valve openings of AHUs using conventional and proposed  
377 control strategies. In Fig.10(a), the control valves were fully open to compete for the  
378 cooling supply to force their corresponding control variables (i.e., temperature) back  
379 to the set-points. Thus, the controllability of each control valve was failure and the  
380 imbalanced cooling distribution occurred during morning start period. Using the  
381 proposed control strategy, the openings of control valves were under control to  
382 achieve expected cooling distribution among different zones, as shown in Fig.10(b).  
383 Fig.11 presents the actual chilled water flow rates distributed to individual zones  
384 using conventional and proposed control strategies. In Fig.11(a), the distribution of  
385 chilled water flow was not properly controlled after switching on the air-conditioning  
386 system. The zone far from the cooling source, i.e., Zone 1, obtained the least chilled  
387 water flow, which was much less than that for the nearest one, i.e., Zone 6. Such  
388 uneven cooling distribution led to the cooling-down speeds of individual zones very  
389 different and the precooling duration had to be extended significantly. Using the  
390 proposed control strategy, proper and even chilled water distribution among  
391 individual zones was realized when the air-conditioning system started. In addition,  
392 the total chilled water flow was obviously decreased compared with that using the  
393 conventional control strategy. Thus, the excessive chilled water circulating in the  
394 secondary loop was avoided, achieving reduced energy consumption of secondary  
395 pumps. Similar to the air side, proper and even air flow distribution was achieved and  
396 the total air flow allocated was also reduced, as shown in Fig.12.

397

398 Fig.10 Valve openings of AHUs during morning start period using conventional  
399 strategy (a) and proposed strategy (b)

400

401 Fig.11 Chilled water flow rates of zones during morning start period using



402 conventional strategy (a) and proposed strategy (b)

403

404 Fig.12 Air flow rates of rooms in a zone during morning start period using

405 conventional strategy (a) and proposed strategy (b)

## 406 **5.2 Energy saving and peak demand reduction**

407 Fig.13 presents the energy consumptions of different components of the  
408 air-conditioning system using conventional and proposed control strategies. The  
409 system overall energy saving was mainly the results of two facts: shortened  
410 precooling time and reduced pump and fan speeds. The precooling time was  
411 shortened about half an hour due to the uniform cooling-down speed of different  
412 zones/rooms. At the end of precooling (i.e., 8:00am), the total energy consumption  
413 was reduced about 50% using the proposed control strategy. The details of energy  
414 consumption on the components concerned using conventional and proposed control  
415 strategies are listed in Table 3. The energy savings of secondary pumps and air  
416 delivery fans were significant, both more than 50%, as the results of two  
417 improvements: the reduced precooling duration and the improved control to avoid the  
418 over-speeding during morning start period. The energy savings of chillers and primary  
419 pumps were the result of reduced precooling duration and their saving percentages  
420 were nearly proportional to the reduction in precooling duration. The overall daily  
421 energy saving, achieved by the proposed control strategy, approximately accounted  
422 for 4.43% of the whole energy consumed in the test day (note: the total electricity  
423 consumption of the building in the test day was 75,937 kWh). According to the Hong  
424 Kong electricity tariff [30], the daily electricity cost saving of 2065 HKD was  
425 achieved for the building only concerned as the results of improved system control  
426 during morning start period.

427

428 Fig.13 Energy consumptions of air-conditioning components during morning start  
429 period using conventional strategy (a) and proposed strategy (b)

430

431

432 Table 3 Precooling duration and energy consumptions using conventional and  
433 proposed control strategies in morning start period (by 8:00am)

434 In Fig.14(a), the power demand of secondary pumps jumped to the maximum and  
435 the deficit flow was serious during morning start period. Using the proposed control  
436 strategy, the total chilled water flow distributed for the secondary loop was controlled  
437 to be equal to that in the primary loop. This effectively prevented the phenomenon of  
438 deficit flow and significantly reduced the power demand of secondary pumps (i.e.,  
439 about 75%), as shown in Fig.14(b). Fig.15 presents the power demands of chillers, air  
440 delivery fans and overall air-conditioning system during morning start period using  
441 conventional and proposed control strategies. For air delivery fans, the total air flow  
442 delivered in a zone was set as a constant value (i.e., design flow) for a local  
443 distribution to avoid fans working at full speeds. Hence, the peak power demand of air  
444 delivery fans was significantly reduced (i.e. 36.4%). For chillers, the two profiles of  
445 power demand during morning start period using different control strategies were  
446 similar except the operating duration because two operating chillers both work at full  
447 load. The peak power demand of the air-conditioning system including the chillers,  
448 (primary and secondary) pumps and fans in the morning was reduced from 7300kW  
449 to 5400kW using the proposed control strategy. This not only avoids the possibly  
450 extra payments for end-users, but also benefits the power grids to relieve the stress if  
451 quite high power demands of many buildings occur at the same time in the morning.

452

453 Fig.14 Water flow in by-pass line and power demand of secondary pumps using  
454 conventional strategy (a) and proposed strategy (b)

455

456 Fig.15 Power demands of chillers, fans and air-conditioning system using  
457 conventional strategy (a) and proposed strategy (b)

## 458 **6. Conclusions**

459 In this study, on-site data collected in a super-high-rise commercial building is  
460 used to illustrate the operation problems occurred in air-conditioning systems during  
461 morning start period. The precooling time is extended significantly caused by  
462 obviously different cooling-down speeds of different indoor spaces. In addition, the  
463 secondary pumps and air delivery fans are over-speeding during this period caused by  
464 the failure of conventional feedback control strategies. These problems significantly  
465 increase the energy consumption and also induce quite high peak demand during  
466 precooling period in the morning.

467 An optimal control strategy is developed for morning start period. The proposed  
468 control strategy can effectively achieve an optimal cooling distribution, which ensures  
469 a similar cooling-down speed of indoor temperature among different zones during  
470 morning start period, and hence a possibly shortest precooling time. The control  
471 strategy determines the number and schedule of chillers to be operated for precooling.  
472 Then an optimized cooling distribution among individual zones/rooms is achieved by  
473 proposed optimal control strategy in order to shorten the precooling time and reduce  
474 the peak power demand. Test results show that the proposed control strategy can  
475 reduce the precooling time about half an hour, i.e., 35%. In addition, the  
476 over-speeding of secondary pumps and air delivery fans are avoided. During morning  
477 start period, about 50% of energy consumption for precooling is saved and peak  
478 power demand is decreased nearly 27%, while about 4.5% saving of the  
479 air-conditioning system overall energy consumption is achieved by the improved  
480 control during morning start period.

481

## 482 **7. Acknowledgements**

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486 Development (RISUD) in The Hong Kong Polytechnic University.

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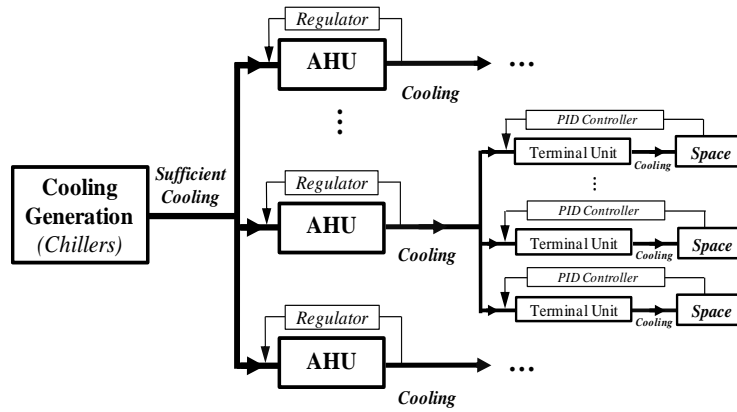
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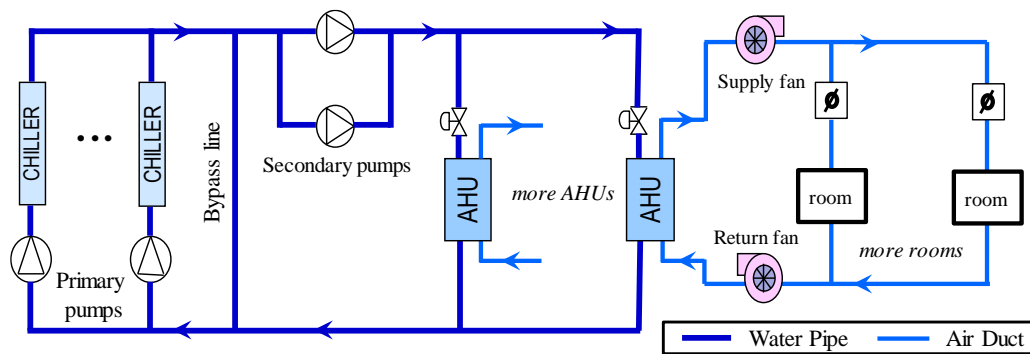


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Fig.1 Basic principle of demand-based feedback control

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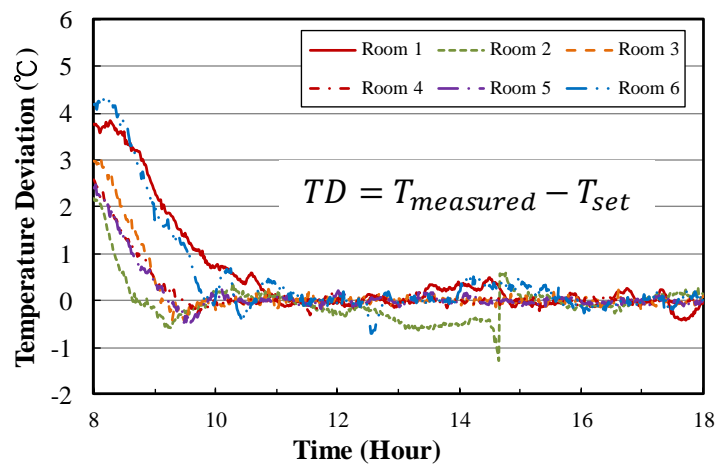


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Fig.2 Schematic of considered central air-conditioning system

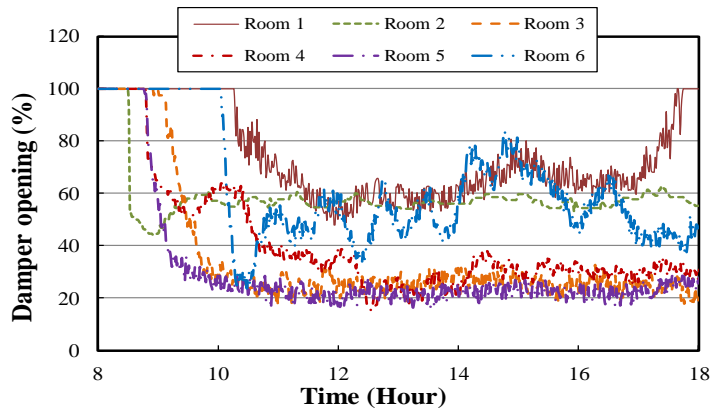
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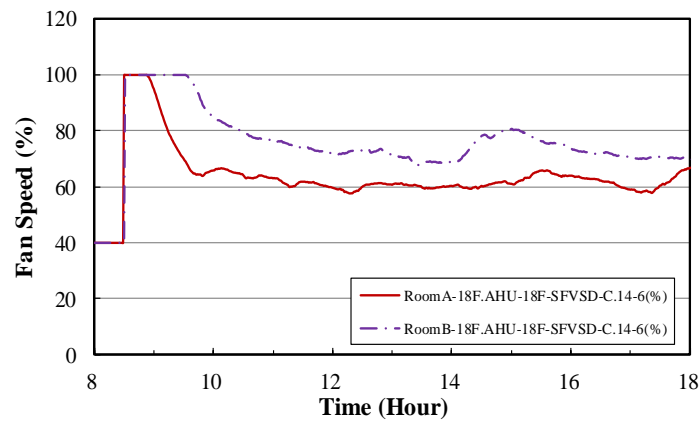
Fig.3 Measured cooling-down profiles of rooms during morning start period



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573 Fig.4 Measured air damper openings of VAV terminals during morning start period

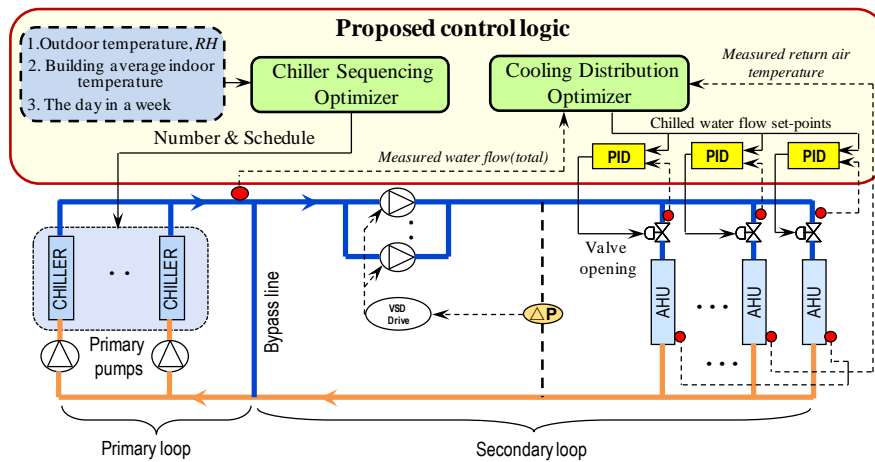
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576 Fig.5 Measured speed profiles of AHU fans during morning start period

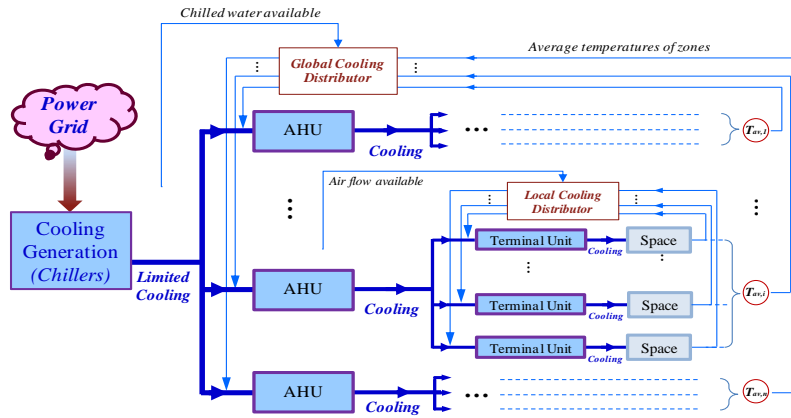
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579 Fig.6 Schematic of proposed control strategy for morning start period



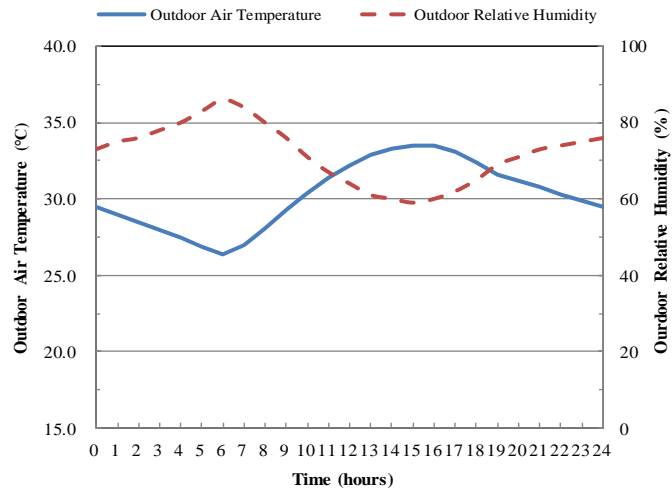


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Fig.7 Basic control principle of supply-based feedback control strategy

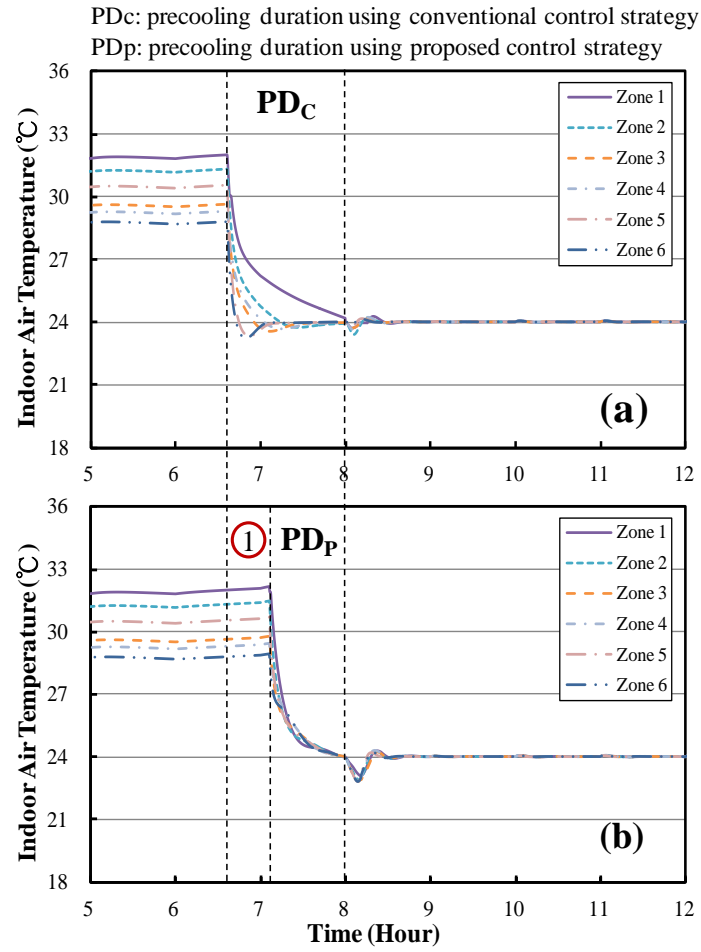
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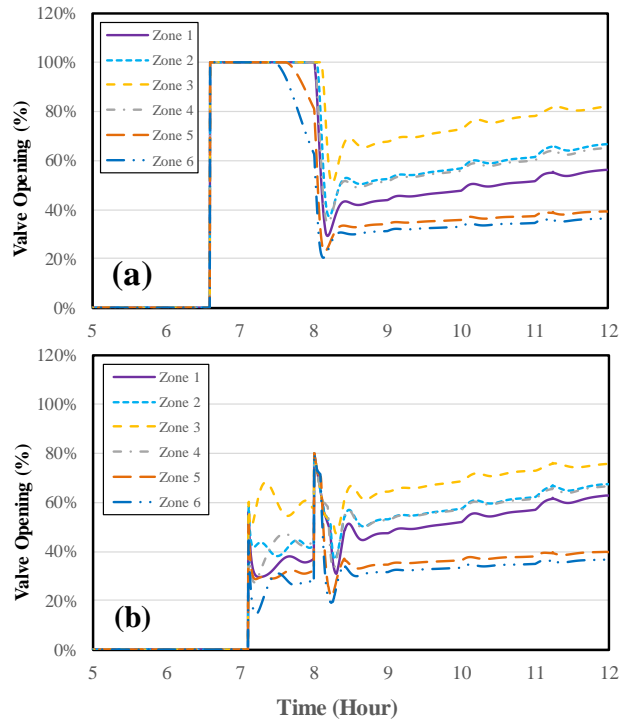
Fig.8 Outdoor dry-bulb temperature and relative humidity in the test day



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586 Fig.9 Temperature profiles of six selected zones during morning start period using  
 587 conventional strategy (a) and proposed strategy (b)

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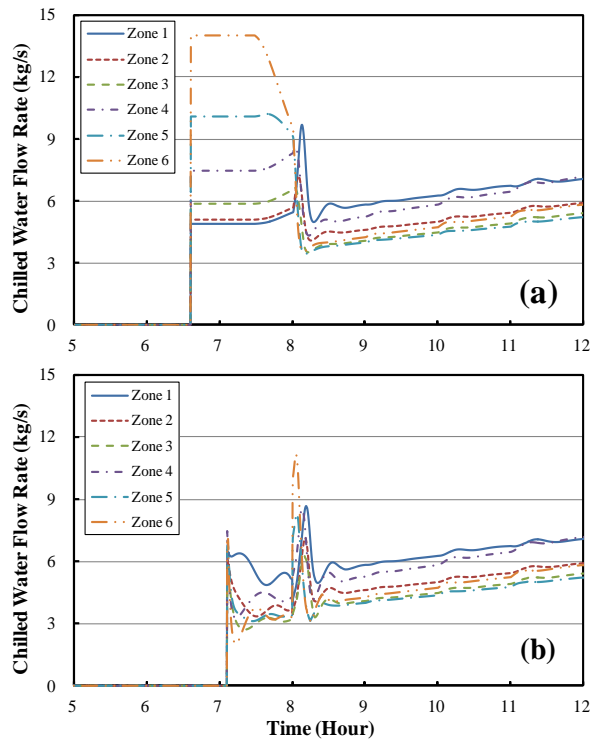
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Fig.10 Valve openings of AHUs during morning start period using conventional strategy (a) and proposed strategy (b)

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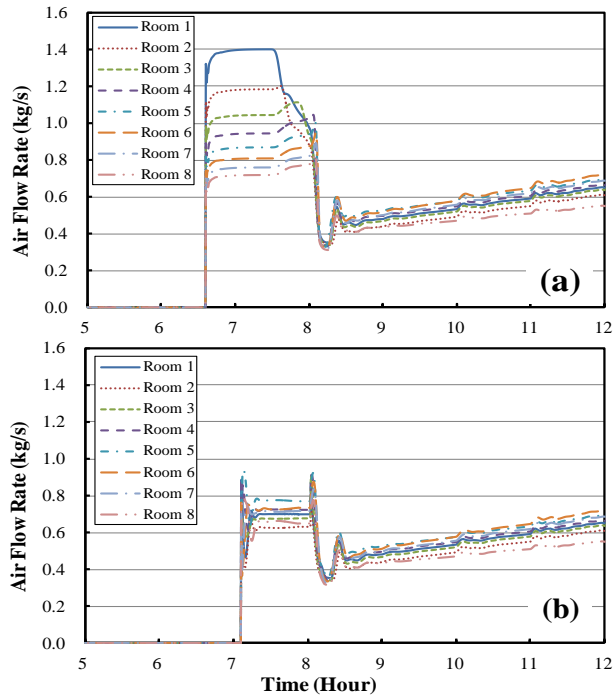


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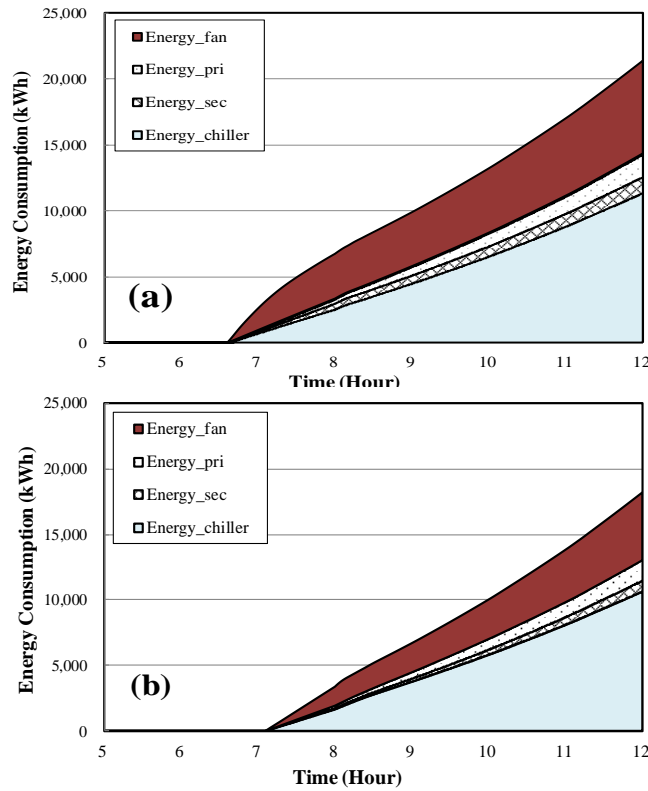
Fig.11 Chilled water flow rates of zones during morning start period using conventional strategy (a) and proposed strategy (b)

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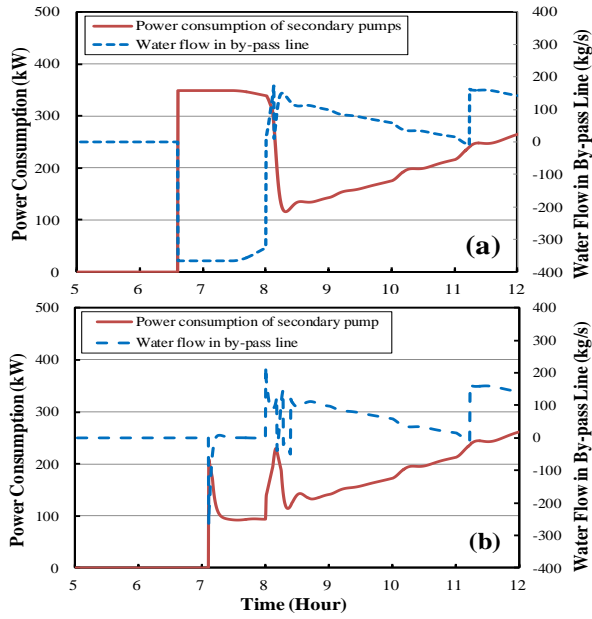
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Fig.12 Air flow rates of rooms in a zone during morning start period using conventional strategy (a) and proposed strategy (b)



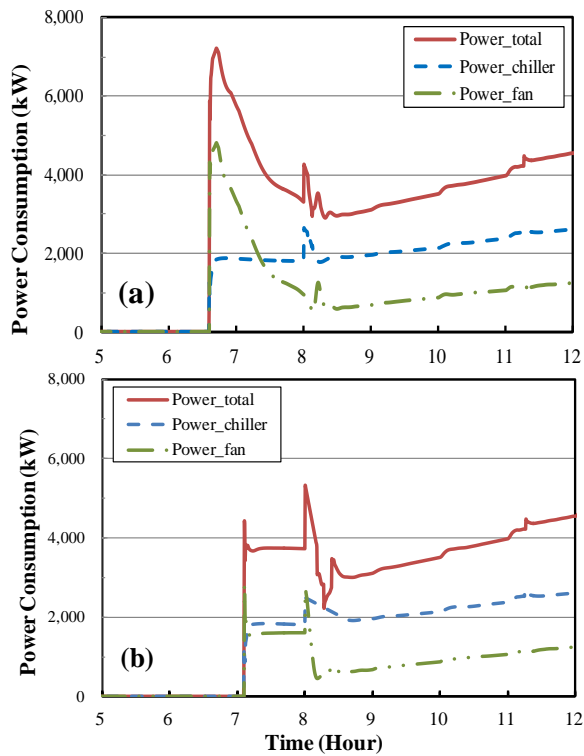
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Fig.13 Energy consumptions of air-conditioning components during morning start period using conventional strategy (a) and proposed strategy (b)



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Fig.14 Water flow in by-pass line and power demand of secondary pumps using conventional strategy (a) and proposed strategy (b)



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Fig.15 Power demands of chillers, fans and air-conditioning system using conventional strategy (a) and proposed strategy (b)

614

Table 1 Main parameters of central air-conditioning system in the building

<b>Chiller</b>	Cooling capacity (kW)	Rated power (kW)	Rated flow (evaporator) (L/S)	Rated flow (condenser) (L/S)	Number
	7230	1346	345	410	6
<b>Pump</b>	Head (m)	Rated power (kW)	Rated flow (L/S)	Description	
Primary pump	31.6	126	345	Constant speed	
Secondary pump	41.4	163	345	Variable speed	
Condenser pump	41.6	202	410	Constant speed	
<b>Fan</b>	Number		Total rated power (kW)		
AHU fan	152		4600		

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Table 2 Main parameters of simulated central air-conditioning system

<b>Chiller</b>	Cooling capacity (kW)	Rated flow (evaporator) (L/S)	Rated flow (condenser) (L/S)	Rated power (kW)	Number
	4080	172.5	205	960	4
<b>Pump</b>	Rated flow (L/S)	Rated power (kW)	Head (m)	Efficiency (%)	Number
Primary pump	172.5	110	45.1	72.5%	4
Secondary pump	345	163	41.4	85.7%	2
<b>AHU Fan</b>	Impeller diameter (m)	Rated power (kW)	Efficiency (%)	Number	
Supply fan	1.26	34	81%	One per AHU	
Return fan	1.18	32	81%	One per AHU	

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\*The line filled with grey background indicates the pumps are constant speed pump

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Table 3 Precooling duration and energy consumptions using conventional and proposed control strategies in morning start period (by 8:00am)

	Precooling duration ( <i>min</i> )	Overall ( <i>kWh</i> )	Chillers ( <i>kWh</i> )	Primary pumps ( <i>kWh</i> )	Secondary pumps ( <i>kWh</i> )	Fans ( <i>kWh</i> )
Conventional	84	6749	2548	309	488	3404
Proposed	55	3385	1623	199	112	1451
Reduction	29	3364	925	110	379	1953
Reduction (%)	34.5%	49.8%	36.3%	35.6%	74.8%	57.4%
Cost saving ( <i>HKD</i> )	--	2065	567	68	232	1198

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