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Soil thermal imbalance of ground source heat pump systems with spiral-coil energy pile groups under seepage conditions and various influential factors

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27 **Abstract**

28 Soil thermal imbalance of heating-dominant ground source heat pump systems with a large
29 number of energy piles without appropriately designed configurations will be more likely to
30 cause the soil temperature decrease and the heating performance degradation for long-term
31 operation. The ground source heat pump systems with spiral-coil energy piles are promising for
32 building energy saving in high-density cities. To analyze the effect of different influential
33 factors on the soil thermal imbalance of these systems, an analytical model for spiral-coil energy
34 pile group under seepage conditions is proposed, considering different heat fluxes of different
35 piles and time variation of heat fluxes. A sandbox experiment is set up to validate the precision
36 of the proposed model. Based on the proposed model, the ground source heat pump system
37 model is further established to investigate the system performance. Results show that 1) the
38 energy piles in the outer layers of group, at the upstream of seepage flow direction, with large
39 pile spacing, or arranged in a line shape can exchange more heat with soil; 2) the groundwater
40 effectively alleviates the temperature decreases of soil near the energy piles and located at the
41 upstream; 3) the groundwater flow, slim pile layout, large pile spacing, and short pile length are
42 effective to alleviate the decreases of outlet fluid temperature and heating coefficient of
43 performance, contributing to higher heating capacity and lower energy consumption.

44

45 **Keywords:** soil thermal imbalance; energy pile; spiral coil; analytical model; groundwater;
46 ground source heat pump

47

48 **Nomenclature**

<i>a</i>	thermal diffusion coefficient, m^2/s
<i>b</i>	coil pitch, m
<i>c</i>	specific heat, $\text{J}/(\text{kg}\cdot\text{K})$
<i>G</i>	volumetric flow rate, m^3/s
<i>H</i>	depths of the pile, m
<i>h</i>	convective heat transfer coefficient of the fluid, $\text{W}/(\text{m}^2\cdot\text{K})$
<i>L</i>	length, m
<i>m</i>	mass flow rate, kg/s
<i>P</i>	power consumption of the heat pump unit, kW
<i>Q</i>	heat capacity of the heat pump unit, kW
<i>q</i>	heat flux, W/m
<i>R</i>	thermal resistance, $(\text{°C}\cdot\text{m})/\text{W}$
<i>r</i>	radius, m
<i>t</i>	temperature, °C
<i>u</i>	velocity of groundwater flow, m/s
<i>x, y, z</i>	coordination of points in the soil, m

50 **Greek letters**

θ	dimensionless excess temperature
τ	time, s
θ	excess soil temperature, °C
λ	the thermal conductivity, W/(m·K)
η	efficiency of the water pump

51

52 **Abbreviations**

DeST	Designer's Simulation Toolkit
GHX	ground heat exchanger
GSHP	ground source heat pump
SEPGS	the analytical model for spiral-coil energy pile group with seepage
SSEPS	the model of single spiral-coil energy pile with seepage

53

54 **Subscript**

b	spiral pipe wall
c	cooling mode
ci	condenser inlet

<i>co</i>	condenser outlet
<i>ei</i>	evaporator inlet
<i>f</i>	Fluid
<i>h</i>	heating mode
<i>hp</i>	heat pump unit
<i>in</i>	inlet fluid of the spiral pipe
<i>ni</i>	serial number of energy piles in the soil ($ni=1, 2, \dots, N$)
<i>out</i>	outlet fluid of the spiral pipe
<i>s</i>	Soil
<i>wp</i>	water pump

56 **1 Introduction**

57 Ground source heat pump (GSHP) systems use the soil as the heat sink or heat source to provide
58 space heating or cooling for the buildings, reducing the energy consumptions and pollutant
59 emissions [1-3]. These advantages have attracted wide financial incentives from governments
60 [4], stimulating fast-increasing applications all over the world. However, the conventional
61 GSHPs require large land areas for the installation of boreholes, which prevents the wider
62 applications in dense cities. GSHPs with energy piles [5-7], of which the ground heat
63 exchangers are buried inside the building pile foundations, can greatly reduce the land
64 occupation and drilling cost, attracting increasing attention from researchers and engineers [8-
65 9]. Amongst different pipes inside the energy piles, the spiral pipes attached to the
66 reinforcement cage perform well in heat transfer [10-12]. However, problems caused by soil
67 thermal imbalance remain to be solved in heating-dominant GSHP systems [13-14]. Since the
68 accumulated heating load is far higher than the accumulated cooling load (on an annual basis)
69 in these systems, the heat extracted from the soil is much more than that injected into it. This
70 cold accumulation in the soil will cause the soil temperature decrease [15] and the heating
71 performance decline year after year. In addition, the imbalance is aggravated in energy pile
72 groups due to less heat dissipation boundaries per soil volume, leading to more serious problems.
73 The parameters of spiral-coil energy pile groups, including pile layout, pile spacing, pile depth
74 and groundwater flow, have great influences on the soil heat transfer and the system operating
75 performance. It is of significance to establish accurate heat and mass transfer models of energy
76 pile groups for optimizing the GSHP system design and operation. Currently, the ground heat

77 exchanger (GHX) group models are classified into two types: the analytical models and the
78 numerical models. The current analytical group models mainly target on the U-pipe borehole
79 group, instead of spiral-coil energy pile group with seepage. They assume the same heat flux
80 intensities and same pipe wall temperatures among different boreholes, with the soil
81 temperature directly superposed by the heat contribution of each individual borehole. These
82 models ignore the thermal interaction between boreholes and the heat flux differences among
83 different boreholes located at different positions in a borehole group. Cimmino et al. [16]
84 proposed a borehole group model based on the analytical finite line source model to
85 approximate the g-functions. Li et al. [17], Yu et al. [18] and Rang [19] assumed the same heat
86 fluxes among different boreholes to analyze the soil temperature variation, while the convective
87 heat transfer of fluid inside the pipe was ignored. Katsura et al. [20] reduced the calculation
88 time of analytical models for multiple ground heat exchangers by the approximation of the
89 temperature responses in different time scales. Co et al. [21] proposed the analytical model of
90 a single energy pile and assumed the same heat fluxes for different energy piles to calculate the
91 dimensionless soil temperature in a pile group with different layouts. The existing numerical
92 GHX group models were usually for two-dimensional simulations, ignoring the influence of
93 pipe depth and fluid velocity inside the pipes. Choi et al. [22] used the two-dimensional coupled
94 heat conduction-convection model to analyze the effect of groundwater flow on the
95 performance of borehole GHX arrays. Loveridge and Powrie [23] used a two-dimensional
96 numerical model to deduce the g-function for multiple energy pile GHXs. Gao et al. [24], Lee
97 and Lam [25] built the 3D numerical models of a single energy pile, but these models were not
98 suitable for a group of energy piles due to the heavy calculation load. As a summary, the

99 currently existing models are not suitable to analyze the variable outlet fluid temperature and
100 heat transfer of energy pile groups, as well as the transient performance of GSHP systems with
101 energy piles under seepage conditions and unbalanced building loads. The influences of
102 different factors on the soil thermal imbalance of GSHP systems with spiral-coil energy piles
103 are also difficult to be analyzed by the currently existing models.

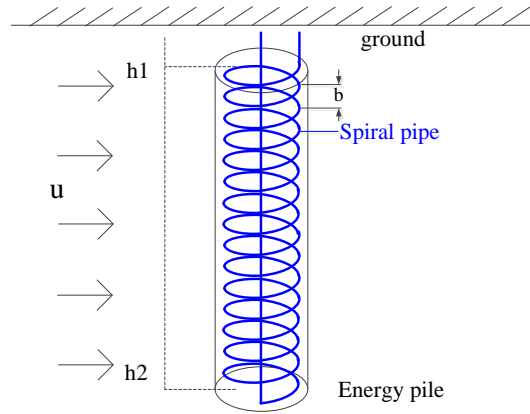
104 In this paper, an analytical model for spiral-coil energy pile group with seepage (SEPGS model)
105 is proposed. It takes into considerations the different heat fluxes of different piles, the heat
106 interaction between different piles, the actual geometry of spiral coils, the convective heat
107 transfer of fluid inside the pipe, the groundwater flow, the heat transfer of soil surface, and the
108 time variation of pipe heat fluxes. The software DeST (Designer's Simulation Toolkit) is used
109 to simulate the hourly building load for the system analysis. The GSHP system model is
110 established by combining the SEPGS model and other main component models. The influences
111 of groundwater velocity, pile layout, pile spacing, and pile depth on the soil thermal imbalance
112 of GSHP system will be investigated. This study aims to facilitate better design of GSHP
113 systems with SEPGS model to alleviate the performance decline caused by soil thermal
114 imbalance.

115 **2 Principles of the SEPGS model**

116 In this section, the SEPGS model is derived from the model of single spiral-coil energy pile with
117 seepage (SSEPS model) by applying the superposition principle through matrix operations. A
118 sandbox experiment is further set up to validate the accuracy of the proposed model for GHX
119 groups.

120 **2.1 Basic theories**

121 **2.1.1 The SSEPS model**



122

123 Figure 1 Diagram of a single spiral-coil energy pile with seepage

124 The diagram of a single coil energy pile with seepage is shown in Figure 1. Zhang [26, 27]
125 proposed an SSEPS model based on the Green function, as shown in Equation (1). The soil is
126 considered as a semi-infinite medium with a homogeneous initial temperature and the soil
127 surface keeps a constant initial temperature. The pipe in energy pile is deemed as a finite spiral
128 coil. The medium outside the spiral pipe is sole soil. Besides, the homogeneous groundwater
129 flow with a constant velocity is considered in the model.

130 The dimensionless excess soil temperatures influenced by the seepage and the pile geometry at
131 different coordinates and different time are the integral of Green function along the spiral line
132 and over the releasing time of constant heat fluxes. Based on Equation (1), the dimensionless
133 excess soil temperature has no relationship with the value of the heat flux. The dimensionless
134 excess soil temperature at τ^{th} time step (Θ) stands for the excess soil temperature at τ^{th} time step
135 ($\theta=t-t_0$) divided by the constant heat flux of an energy pile starting from the initial time step (q_1)
136 (Equation (1a)).

137

$$\Theta = \frac{B}{16\pi^{5/2}} \int_0^{Fo} \frac{1}{(Fo - Fo')^{3/2}} \int_{2\pi H_1/B}^{2\pi H_2/B} \exp \left[-\frac{[X - \cos \varphi' - S(Fo - Fo')]^2 + (Y - \sin \varphi')^2}{4(Fo - Fo')} \right] \times \left\{ \exp \left[-\frac{(Z - B\varphi'/2\pi)^2}{4(Fo - Fo')} \right] - \exp \left[-\frac{(Z + B\varphi'/2\pi)^2}{4(Fo - Fo')} \right] \right\} d\varphi' dFo' \quad (1)$$

$$\Theta = \frac{\lambda_s \times \theta}{q_l} = \frac{\lambda_s \times (t - t_0)}{q_l} \quad (1a)$$

138

139 where Θ is the dimensionless excess temperature; the dimensionless parameters are $B = \frac{b}{r_0}$,

140 $Fo = \frac{a\tau}{r_0^2}$, $X = \frac{x}{r_0}$, $Y = \frac{y}{r_0}$, $Z = \frac{z}{r_0}$, $H_1 = \frac{h_1}{r_0}$, $H_2 = \frac{h_2}{r_0}$, $S = \frac{ur_0}{a}$; b is the coil pitch, m; r_0 is the

141 radius of spiral coil, m; a is the thermal diffusion coefficient, m^2/s ; τ is the time, s; x, y, z is the

142 coordination of points in the soil, m; h_1 and h_2 are the depths of the top and bottom of the pile,

143 m; u is the velocity of groundwater flow, m/s; λ_s is the thermal conductivity of the soil, $W/(m \cdot K)$;

144 q_l is the heat flux of the energy pile, W/m ; t_0 is the initial soil temperature, $^{\circ}C$.

145 2.1.2 Superposition principle

146 Assuming that the soil thermal properties are not affected by the temperature, the heat transfer

147 in the infinite soil follows the space and time superposition principle [28]. Based on this

148 principle, the multi-pile model [29] and variable heat fluxes model [30] were deduced,

149 respectively.

150 (1) Multi-pile model

151 Following the space superposition principle, the soil temperature influenced by different

152 independent heat fluxes is the superposition of soil temperatures influenced by each heat flux.

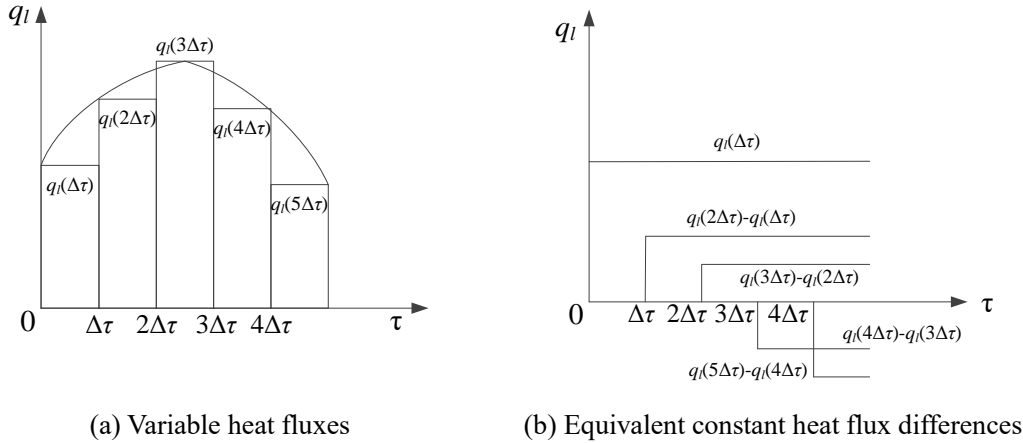
153 For the soil encompassing multiple piles, the actual excess soil temperature is the sum of excess

154 soil temperatures influenced by all the energy piles, as shown in Equation (2).

$$\theta(j\Delta\tau) = \sum_{ni=1}^N \theta_{ni}(j\Delta\tau) \quad (2)$$

155 where $\theta_{ni}(j\Delta\tau)$ is the excess soil temperature influenced by the ni^{th} energy pile, °C; ni is the
 156 serial number of energy piles in the soil ($ni=1, 2, \dots, N$).

157 **(2) Variable heat fluxes model**



(a) Variable heat fluxes

(b) Equivalent constant heat flux differences

158 Figure 2 Diagram of the superposition of variable heat fluxes

159 Following the time superposition principle, the soil temperature influenced by variable heat
 160 fluxes is the superposition of soil temperatures influenced by each separated constant heat flux
 161 difference starting from different time steps. The variable heat fluxes are the sum of all the
 162 equivalent constant heat flux differences starting from different time steps, as shown in Figure
 163 2. Therefore, the excess soil temperature under variable heat fluxes is equal to the equivalent
 164 constant heat flux differences timing the dimensionless excess soil temperatures at the
 165 corresponding time steps, as shown in Equation (3).

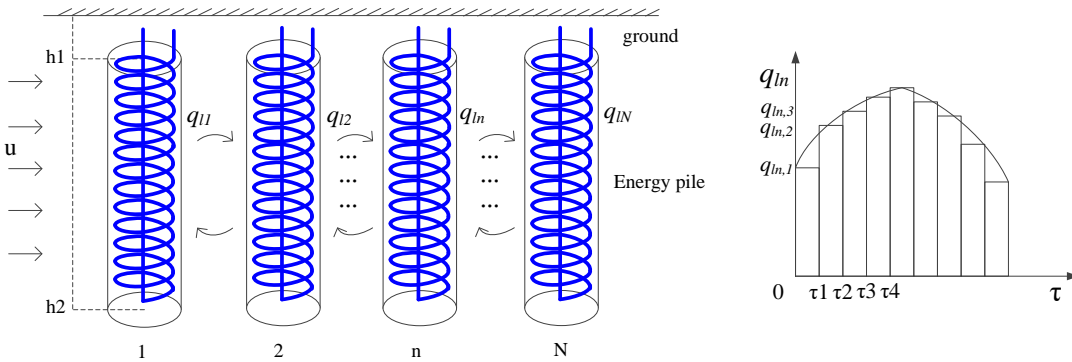
$$\theta(j\Delta\tau) = \frac{1}{\lambda_s} \sum_{i=1}^j [q_l(i\Delta\tau) - q_l((i-1)\Delta\tau)] \times \Theta((j-i+1)\Delta\tau) \quad (3)$$

$$q_l(0) = 0 \quad (3a)$$

166 where i, j are the serial numbers of the time step.

167 **2.2 The SEPGS model**

168 The diagram of an energy pile group with variable heat fluxes is illustrated in Figure 3. For the
 169 GSHP system, the inlet fluid temperatures of the energy piles in a group are usually identical.
 170 Due to different positions in the group, the heat fluxes of different piles are different and the
 171 wall temperatures of the spiral pipes are different as well. It should be noted that the wall
 172 temperature of each spiral coil is influenced by the heat fluxes of all the energy piles (including
 173 the pile itself and all other piles) in the soil. Based on the basic theories of the SSEPS model
 174 and the superposition principle, the SEPGS model is proposed in this section.



175

176 Figure 3 Diagram of energy pile group with variable heat fluxes

177 **2.2.1 Interactions of pipe wall temperatures in the energy pile group**

178 The excess wall temperature of each spiral pipe is influenced by the heat fluxes of all the pipes
 179 in the energy pile group all the time. It is calculated by superposing the products of the
 180 equivalent constant heat flux differences $[q_{1,ni}(i\Delta\tau) - q_{1,ni}((i-1)\Delta\tau)]$ and the corresponding
 181 dimensionless excess temperatures $[\theta_{ni,n}((j-i+1)\Delta\tau)]$ of each energy pile, as shown in Equation
 182 (4). In other words, in terms of the heat transfer outside a pipe, the heat flux of an energy pile
 183 can be expressed based on the dimensionless excess temperatures and the superposition

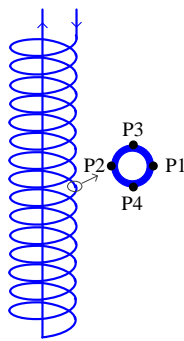
184 principle.

$$\theta_{b,n}(j\Delta\tau) = \frac{1}{\lambda_s} \sum_{m=1}^N \sum_{i=1}^j [q_{l,ni}(i\Delta\tau) - q_{l,ni}((i-1)\Delta\tau)] \times \Theta_{ni,n}((j-i+1)\Delta\tau) \quad (4)$$

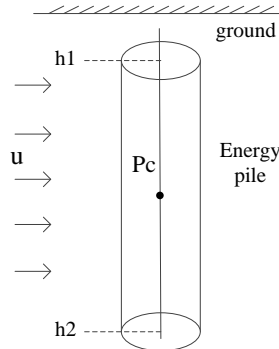
$$\theta_{b,n}(j\Delta\tau) = t_{b,n}(j\Delta\tau) - t_0 \quad (4a)$$

185 where $\theta_{b,n}(j\Delta\tau)$ is the excess wall temperature of the spiral pipe in the n^{th} energy pile at the j^{th}
 186 time step, °C; $q_{l,ni}(i\Delta\tau)$ is the heat flux intensity of the ni^{th} energy pile at the i^{th} time step, W/m;
 187 $\Theta_{ni,n}((j-i+1)\Delta\tau)$ is the dimensionless excess wall temperature of the spiral pipe in the n^{th} energy
 188 pile influenced by the heat flux of the ni^{th} energy pile at the $(j-i+1)^{\text{th}}$ time step; $t_{b,n}(j\Delta\tau)$ is the
 189 wall temperature of the spiral pipe in the n^{th} energy pile at the j^{th} time step, °C.

190 To simplify the calculation, the wall temperature at the middle depth ($z=0.5H$) is used as the
 191 average pipe wall temperature of the spiral pipe. Since the dimensionless excess temperatures
 192 are influenced by independent different heat sources and have no relationship with the heat
 193 fluxes of the heat sources, the dimensionless excess wall temperature of a spiral pipe influenced
 194 by the pipe itself and pipes in other energy piles can be calculated in advance based on the
 195 SSEPS model.



(a) Representative points for the temperature calculation influenced by the pipe itself



(b) Representative points for the temperature calculation influenced by the other energy piles

196 Figure 4 The representative points of the spiral pipe wall

197 For the calculation of the dimensionless excess wall temperature influenced by the pipe itself,

198 4 typical points at the middle depth of the pipe are selected, as shown in Figure 4(a). The
 199 dimensionless temperatures of these 4 typical points influenced by the pipe itself are shown in
 200 Table 1. For a certain groundwater velocity, the dimensionless temperatures of 4 points are
 201 nearly the same with only tiny differences. The average dimensionless temperatures of the 4
 202 points are considered as the dimensionless pipe wall temperatures influenced by the pipe itself.
 203 For the calculation of the dimensionless excess wall temperature influenced by the pipes in
 204 other energy piles, the center of the cross-section at the middle depth of the energy pile is
 205 selected as the typical point, as shown in Figure 4(b). The dimensionless temperatures of this
 206 point are considered as the dimensionless pipe wall temperature influenced by pipes in other
 207 energy piles. So, Equation (5) can be further deduced from Equation (4).

$$\begin{aligned}
 & \theta_{b,n}(j\Delta\tau) \\
 &= \frac{1}{\lambda_s} \sum_{i=1}^j [q_{l,n}(i\Delta\tau) - q_{l,n}((i-1)\Delta\tau)] \times \frac{1}{4} \sum_{P_i=P_1}^{P_4} \Theta_{n,P_i}((j-i+1)\Delta\tau) \\
 &+ \frac{1}{\lambda_s} \sum_{ni=1(ni \neq n)}^N \sum_{i=1}^j [q_{l,ni}(i\Delta\tau) - q_{l,ni}((i-1)\Delta\tau)] \times \Theta_{ni,P_c}((j-i+1)\Delta\tau)
 \end{aligned} \tag{5}$$

208
 209 where $\Theta_{n,P_i}((j-i+1)\Delta\tau)$ is the dimensionless excess temperature of P_i ($P_i=P_1 \sim P_4$) in the n^{th} energy
 210 pile influenced by the heat flux of the n^{th} energy pile itself at the $(j-i+1)^{\text{th}}$ time step; $\Theta_{ni,P_c}((j-$
 211 $i+1)\Delta\tau)$ is the dimensionless excess temperature of P_c in the n^{th} energy pile influenced by the
 212 heat flux of the ni^{th} energy pile ($ni \neq n$) at the $(j-i+1)^{\text{th}}$ time step.

213

214 Table 1 Dimensionless temperatures of 4 typical points at the middle depth of a spiral pipe

Groundwater velocity	P1	P2	P3	P4
0	0.42452	0.42688	0.42579	0.42565
6×10^{-7} m/s	0.22997	0.22993	0.23004	0.22990

215

216 **2.2.2 Heat flux matrix of the energy pile group**

217 In terms of the heat transfer inside a pipe, the heat flux of an energy pile is equal to the internal
 218 energy variation corresponding to the fluid temperature difference between the inlet and outlet
 219 of the whole pipe, as shown in Equation (6).

$$t_{out,n}(j\Delta\tau) = t_{in}(j\Delta\tau) - \frac{q_{l,n}(j\Delta\tau) \times H}{c_f m_f} \quad (6)$$

220 where $t_{in}(j\Delta\tau)$ is the inlet fluid temperature of the spiral pipe at the j^{th} time step, °C; $t_{out,n}(j\Delta\tau)$ is
 221 the outlet fluid temperature of the spiral pipe in the n^{th} energy pile at the j^{th} time step, °C; H is
 222 the depth of the energy pile, m; c_f is the specific heat of fluid inside the spiral pipe, J/(kg·K);
 223 m_f is the mass flow rate of fluid, kg/s.

224 In terms of the heat transfer between the fluid inside and the outer wall of the spiral pipe, the
 225 heat flux of the energy pile can be calculated by the method of thermal resistance, as shown in
 226 Equation (7). The fluid temperature is approximately equal to the average value between the
 227 inlet and outlet fluid temperatures (Equation (7(a))). The thermal resistance between the fluid

228 and the outer wall of the pipe is composed of the thermal conduction resistance $(\frac{1}{2\pi\lambda_p} \ln \frac{r_o}{r_i})$

229 and thermal convection resistance $(\frac{1}{2\pi r_i h})$ (Equation (7(b))). The convective heat transfer

230 coefficient of the fluid is based on the Nu number and the empirical equations [29] shown in

231 Equation (7(c)) and Equation (7(d)).

$$q_{l,n}(j\Delta\tau) = \frac{t_{f,n}(j\Delta\tau) - t_{b,n}(j\Delta\tau)}{R_p} \times \frac{L_{pipe}}{H} \quad (7)$$

$$t_{f,n}(j\Delta\tau) = \frac{t_{in}(j\Delta\tau) + t_{out,n}(j\Delta\tau)}{2} \quad (7a)$$

$$R_p = \frac{1}{2\pi\lambda_p} \ln \frac{r_o}{r_i} + \frac{1}{2\pi r_i h} \quad (7b)$$

$$h = \frac{\lambda_f \times Nu}{2r_i} \quad (7c)$$

$$\left\{ \begin{array}{ll} Nu = 0.023 \cdot Re^{0.8} \cdot Pr^{0.3} & Re > 10000 \\ Nu = 0.116 \cdot (Re^{2/3} - 125) \cdot Pr^{1/3} \cdot \left[1 + \left(\frac{2r_i}{L_{pipe}} \right)^{2/3} \right] & 2200 < Re < 10000 \\ Nu = 1.86 \cdot \left(Re \cdot Pr \cdot \frac{2r_i}{L_{pipe}} \right)^{1/3} & Re < 2200, Pr > 0.6 \end{array} \right. \quad (7d)$$

232

233 where L_{pipe} is the length of the spiral pipe in the n^{th} energy pile, m; R_p is the thermal resistance
 234 between the fluid and the outer wall of the pipe, $(^{\circ}\text{C}\cdot\text{m})/\text{W}$; $t_{f,n}(j\Delta\tau)$ is the average fluid
 235 temperature of the spiral pipe in the n^{th} energy pile, $^{\circ}\text{C}$; $t_{b,n}(j\Delta\tau)$ is the wall temperature of the
 236 spiral pipe in the n^{th} energy pile at the j^{th} time step, $^{\circ}\text{C}$; λ_p is the thermal conductivity of the
 237 spiral pipe, $\text{W}/(\text{m}\cdot\text{K})$; r_i and r_o are the inner and outer radii of the spiral pipe, m; h is the
 238 convective heat transfer coefficient of the fluid, $\text{W}/(\text{m}^2\cdot\text{K})$; λ_f is the thermal conductivity of the
 239 fluid, $\text{W}/(\text{m}\cdot\text{K})$.

240 Based on Equations (4)~(7), the heat flux of the energy pile can be calculated by 3 different
 241 processes, which are the heat transfer outside the spiral pipe, heat transfer inside the spiral pipe,
 242 and the heat transfer between the fluid and the outer wall of the spiral pipe. Through the
 243 intermediate parameters (the heat flux and pipe wall temperature), the heat transfer outside and
 244 inside the spiral pipe can be combined and the heat flux matrix of all the pipes can be constituted
 245 to calculate the actual heat flux of each energy pile, as shown in Equation (8). It considers the
 246 difference of heat fluxes among different piles and at different time steps. It also considers the
 247 heat transfer inside the pipe and the fluid temperature variations.

$$Q_l = A^{-1} \times B \quad (8)$$

$$Q_l = [q_{l,1}(j\Delta\tau) \quad q_{l,2}(j\Delta\tau) \quad \dots \quad q_{l,n}(j\Delta\tau) \quad \dots \quad q_{l,N}(j\Delta\tau)]^T \quad (8a)$$

$$A = \begin{bmatrix} \frac{R_p \times H}{L_{pipe}} + \frac{H}{2c_f m_f} + \frac{\Theta_{1,1}(\Delta\tau)}{\lambda_s} & \frac{\Theta_{2,1}(\Delta\tau)}{\lambda_s} & \dots & \frac{\Theta_{n,1}(\Delta\tau)}{\lambda_s} & \dots & \frac{\Theta_{N,1}(\Delta\tau)}{\lambda_s} \\ \frac{\Theta_{1,2}(\Delta\tau)}{\lambda_s} & \frac{R_p \times H}{L_{pipe}} + \frac{H}{2c_f m_f} + \frac{\Theta_{2,2}(\Delta\tau)}{\lambda_s} & \dots & \frac{\Theta_{n,2}(\Delta\tau)}{\lambda_s} & \dots & \frac{\Theta_{N,2}(\Delta\tau)}{\lambda_s} \\ \dots & \dots & \dots & \dots & \dots & \dots \\ \frac{\Theta_{1,n}(\Delta\tau)}{\lambda_s} & \frac{\Theta_{2,n}(\Delta\tau)}{\lambda_s} & \dots & \frac{R_p \times H}{L_{pipe}} + \frac{H}{2c_f m_f} + \frac{\Theta_{n,n}(\Delta\tau)}{\lambda_s} & \dots & \frac{\Theta_{N,n}(\Delta\tau)}{\lambda_s} \\ \dots & \dots & \dots & \dots & \dots & \dots \\ \frac{\Theta_{1,N}(\Delta\tau)}{\lambda_s} & \frac{\Theta_{2,N}(\Delta\tau)}{\lambda_s} & \dots & \frac{\Theta_{n,N}(\Delta\tau)}{\lambda_s} & \dots & \frac{R_p \times H}{L_{pipe}} + \frac{H}{2c_f m_f} + \frac{\Theta_{N,N}(\Delta\tau)}{\lambda_s} \end{bmatrix} \quad (8b)$$

$$B = \begin{bmatrix} t_{in}(j\Delta\tau) - t_0 + \sum_{ni=1}^N \left\{ q_{l,ni}((j-1)\Delta\tau) \times \Theta_{ni,1}(\Delta\tau) - \sum_{i=2}^{j-1} [q_{l,ni}(i\Delta\tau) - q_{l,ni}((i-1)\Delta\tau)] \times \Theta_{ni,1}((j-i+1)\Delta\tau) - q_{l,ni}(\Delta\tau) \times \Theta_{ni,1}(j\Delta\tau) \right\} / \lambda_s \\ t_{in}(j\Delta\tau) - t_0 + \sum_{ni=1}^N \left\{ q_{l,ni}((j-1)\Delta\tau) \times \Theta_{ni,2}(\Delta\tau) - \sum_{i=2}^{j-1} [q_{l,ni}(i\Delta\tau) - q_{l,ni}((i-1)\Delta\tau)] \times \Theta_{ni,2}((j-i+1)\Delta\tau) - q_{l,ni}(\Delta\tau) \times \Theta_{ni,2}(j\Delta\tau) \right\} / \lambda_s \\ \dots \\ t_{in}(j\Delta\tau) - t_0 + \sum_{ni=1}^N \left\{ q_{l,ni}((j-1)\Delta\tau) \times \Theta_{ni,n}(\Delta\tau) - \sum_{i=2}^{j-1} [q_{l,ni}(i\Delta\tau) - q_{l,ni}((i-1)\Delta\tau)] \times \Theta_{ni,n}((j-i+1)\Delta\tau) - q_{l,ni}(\Delta\tau) \times \Theta_{ni,n}(j\Delta\tau) \right\} / \lambda_s \\ \dots \\ t_{in}(j\Delta\tau) - t_0 + \sum_{ni=1}^N \left\{ q_{l,ni}((j-1)\Delta\tau) \times \Theta_{ni,N}(\Delta\tau) - \sum_{i=2}^{j-1} [q_{l,ni}(i\Delta\tau) - q_{l,ni}((i-1)\Delta\tau)] \times \Theta_{ni,N}((j-i+1)\Delta\tau) - q_{l,ni}(\Delta\tau) \times \Theta_{ni,N}(j\Delta\tau) \right\} / \lambda_s \end{bmatrix} \quad (8c)$$

248

249 where Q_l is the matrix of heat fluxes of different energy piles.

250 2.2.3 Soil temperature distribution

251 After the calculation of variable heat fluxes of all the pipes, the soil temperature distributions

252 can be achieved based on Equation (9). It is the superposition of the products of equivalent

253 constant heat flux differences $[q_{l,ni}(i\Delta\tau) - q_{l,ni}((i-1)\Delta\tau)]$ and the corresponding dimensionless

254 excess soil temperatures $[\Theta_{ni,s}((j-i+1)\Delta\tau)]$ of each energy pile.

$$\theta_s(j\Delta\tau) = \frac{1}{\lambda_s} \sum_{ni=1}^N \sum_{i=1}^j [q_{l,ni}(i\Delta\tau) - q_{l,ni}((i-1)\Delta\tau)] \times \Theta_{ni,s}((j-i+1)\Delta\tau) \quad (9)$$

255 where the subscript s denotes the point in the soil for temperature calculation.

256 **2.3 Model validation**

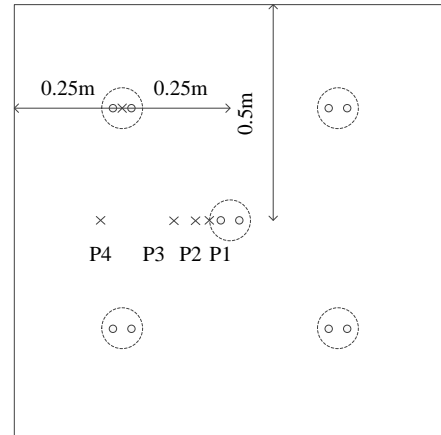
257 Since the SSEPS model had already been validated in the previous research [26], the proposed
258 SEPGS model can be validated as long as the calculation method for GHX group is validated.

259 In this part, the calculation method of GHX group is validated by a sandbox experiment.

260 The experiment rig set up is composed of a sandbox, five U pipes, a water bath, thermocouples,
261 a glass rotameter and a data logger. The $1\text{m} \times 1\text{m} \times 1\text{m}$ sandbox is filled with sand, and the
262 homogeneous initial temperature is $21\text{ }^\circ\text{C}$. Five U pipes are buried in the sand with the layout
263 shown in Figure 5. The inlet temperatures of all the pipes are kept constant at $31\text{ }^\circ\text{C}$ by a water
264 bath. The water pump with a constant frequency is used for the water circulation. A glass
265 rotameter with testing uncertainty about $\pm 0.1\text{ LPM}$ monitors the total flow rate of five pipes.
266 The flow rate is measured to be constant at about 4 L/min . Four T-type thermocouples are placed
267 next to the central pipe at the depth of 0.5 m to test the sand temperature variations, as shown
268 in Figure 5(b). The testing range of the thermocouples is $-10\sim 40\text{ }^\circ\text{C}$ and the testing uncertainty
269 is $\pm 0.5\text{ }^\circ\text{C}$. The thermophysical properties of sand are tested by the cutting-ring method, drying
270 method, and the transient hot-wire method [31-33]. The results show that the density and
271 thermal diffusivity of sand are respectively 1.26 g/cm^3 and $0.24\text{ mm}^2/\text{s}$.



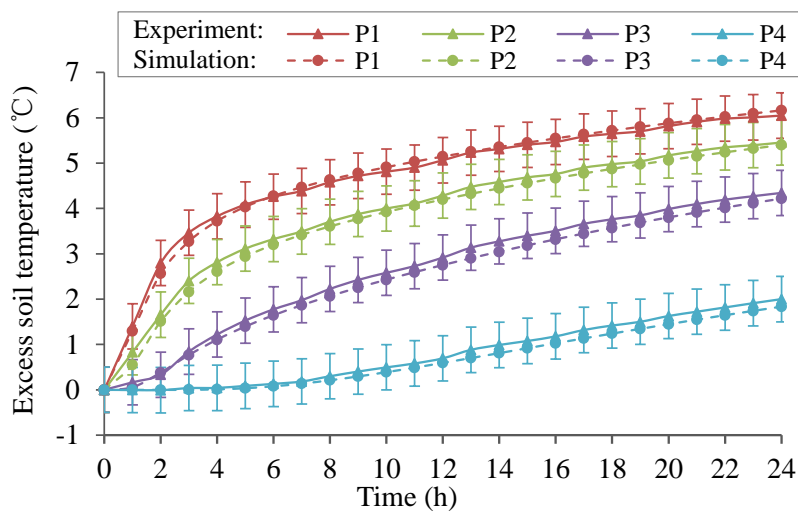
(a) The sandbox



(b) The layout of U pipes

272 Figure 5 The sandbox experiment rig

273 The sand temperature variations of four typical points are measured by the thermocouples for
 274 24 hours. They are also simulated by the analytical calculation method of GHX group based on
 275 Equations (8) ~ (9). The result comparisons of both experiment and analytical GHX group
 276 model are illustrated in Figure 6. For a certain soil point, the temperature variations obtained
 277 by both methods have the same trend and the absolute errors are less than 0.25 °C. Consequently,
 278 the analytical model for GHX group can be validated well by the experiment. The accuracy of
 279 the proposed SEPGS model can be validated as well.



280

281 Figure 6 The soil temperature variation obtained by experiment and simulation

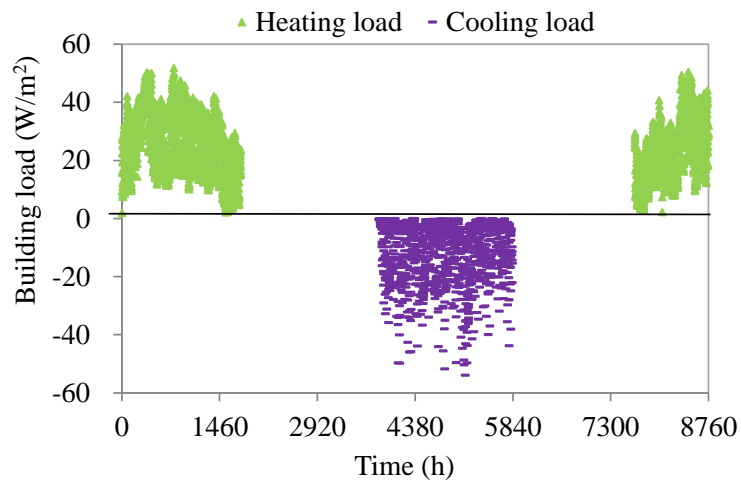
282 **3 System model and design**

283 In this section, the GSHP system model is built based on the SEPGS model and other main
284 component models for long-term simulations.

285 **3.1 Building model**

286 A 6200 m² residential building in Beijing is selected for simulation. The heating season is 15th
287 November ~ 15th March and the cooling season is 1st June ~ 31th August. The hourly building
288 load is simulated using DeST and the result is shown in Figure 7(a). A time step of one month
289 is selected for the system simulation, so the monthly building load is derived from the hourly
290 load. The maximum monthly heating and cooling loads are respectively 27.24 W/m² and -8.35
291 W/m². The accumulated heating load is about 60.93 MWh in a whole heating season, which is
292 much higher than the accumulated cooling load (13.89 MWh) in a cooling season.

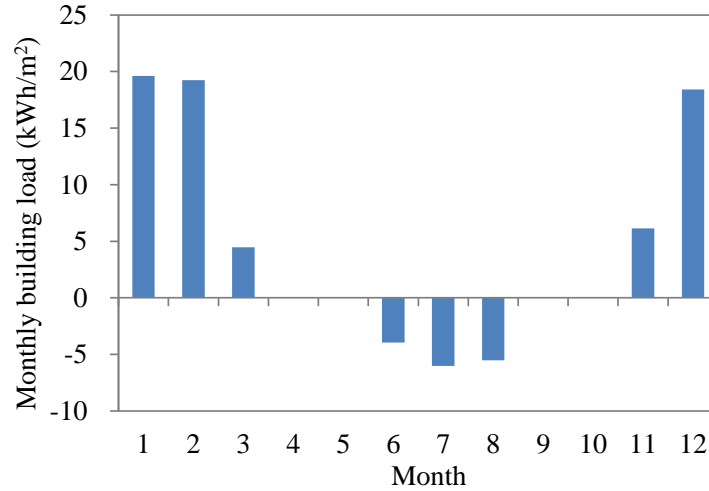
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(a) Hourly building load



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(b) Monthly building load

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Figure 7 Heating and cooling loads of the residential building

299 3.2 Heat pump model

300 The heat pump model is fitted based on the manufacturer performance catalog [34], as shown
 301 in Equation (10). The capacity and power consumption of the heat pump for heating and cooling
 302 are determined by the fluid temperatures of the evaporator and condenser.

$$Q_{hp,h} = 5.91t_{ei} - 1.24t_{co} + 162.99 \quad (10a)$$

$$P_{hp,h} = 0.46t_{ei} + 0.64t_{co} + 5.78 \quad (10b)$$

$$Q_{hp,c} = -1.64t_{ci} + 201.21 \quad (10c)$$

$$P_{hp,c} = 0.65t_{ci} + 22.40 \quad (10d)$$

303 where Q is the heat capacity of the heat pump unit, kW; P is the power consumption of the heat
 304 pump unit, kW; t is the fluid temperature, °C; the subscript hp stands for the heat pump unit; h
 305 and c stand for heating and cooling mode, respectively; ei , ci , and co stand for the fluid
 306 temperatures at the evaporator inlet, condenser inlet, and condenser outlet, respectively.

307 **3.3 Water pump model**

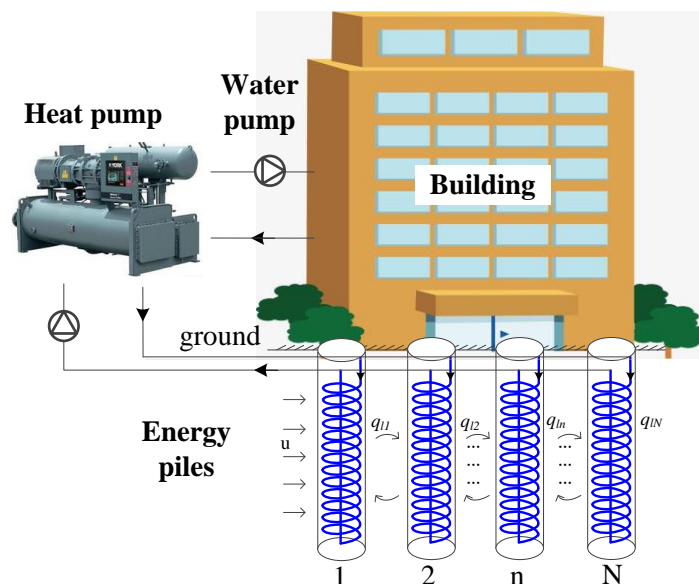
308 The water pump model is shown in Equation (11). The flow rate of the water pump (G_{wp}) is
 309 determined by the water temperature difference and the heat capacity of the heat exchanger in
 310 the circuit. The water head of the pump (H_{wp}) is determined by the flow resistance. Based on
 311 the flow rate and the water head, the power of the water pump (P_{wp}) can be calculated.

$$P_{wp} = \frac{G_{wp} \times H_{wp}}{\eta} \quad (11)$$

312 where G is the volumetric flow rate, m^3/s ; H is the water head, kPa; η is the efficiency of the
 313 water pump, 0.6; the subscript wp stands for the water pump.

314 **3.4 GSHP system design**

315 The schematic diagram of the GSHP system with spiral-coil energy pile group under seepage
 316 condition is shown in Figure 8. The main components of the system are the building, heat pump,
 317 energy piles and water pumps. Based on the SEPGS model, building model, heat pump model
 318 and the water pump model, the GSHP system model can be established.



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Figure 8 Schematic diagram of the ground source heat pump system with energy piles

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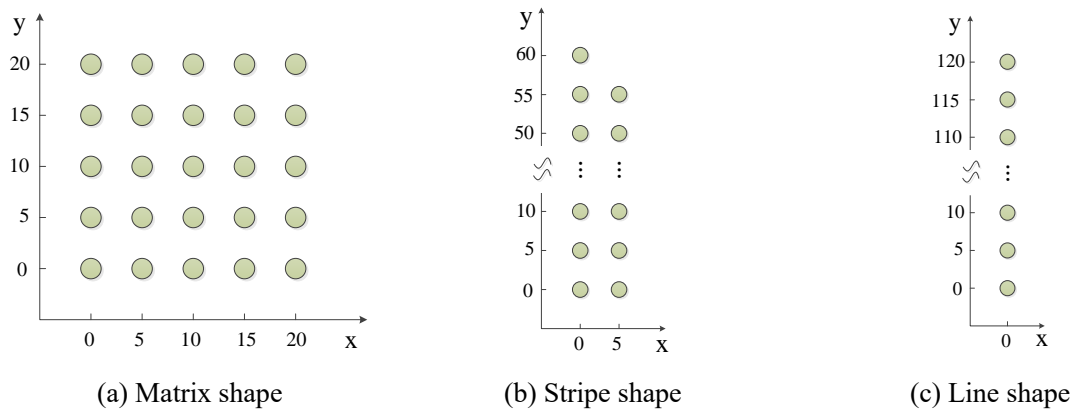
To satisfy the heating and cooling demand of the building, the heating capacity of the heat pump is designed as 178.6 kW under the rated condition (the inlet water temperature of the evaporator is 0 °C and the outlet water temperature of the condenser is 40 °C). A group of 25 spiral-coil energy piles is designed according to the pre-simulation. The initial soil temperature is 14 °C in Beijing, which is 1.5 °C higher than the local average annual air temperature [34]. The thermal conductivity, density and specific heat of soil are respectively 1.74 W/(m·K), 1690 kg/m³, and 1800 J/(kg·K).

To analyze the influence of different factors, including the groundwater velocity, pile layout, pile spacing as well as the pile depth on the system performance, different cases are simulated. The parameter designs of the energy pile group in different cases are shown in Table 2. The investigated influential factor is changed while other factors are kept the same in a contrasting case group. The velocity of groundwater flow is set to 0, 3×10⁻⁷ m/s, and 6×10⁻⁷ m/s. The pile layout includes a matrix shape, a stripe shape, and a line shape, as shown in Figure 9. The pile spacing can be 3 m, 5 m, and 7 m. The pile depth is designed as 10 m, 30 m, and 50 m. For case groups 1 to 3, the energy piles in each case have the same total pipe length and are designed to meet all the building loads in the first year. However, in case group 4, as the energy piles with different pile depths have different total pipe lengths, the total capacities provided by all the energy piles in each case are different while the provided capacities per depth of the energy pile are the same.

Table 2 Parameter designs of the energy pile group

Case groups	Influential factor	Other factors
1	Groundwater velocity: $0, 3 \times 10^{-7}$ m/s, 6×10^{-7} m/s	Pile layout: matrix shape, Pile spacing: 5 m, Pile depth: 50 m
2	Pile layout: matrix shape, stripe shape, line shape	Groundwater velocity: 0, Pile spacing: 5 m, Pile depth: 50 m
3	Pile spacing: 3 m, 5 m, 7 m	Pile layout: matrix shape, Groundwater velocity: 0, Pile depth: 50 m
4	Pile depth: 10 m, 30 m, 50 m	Pile layout: matrix shape, Groundwater velocity: 0, Pile spacing: 5m,

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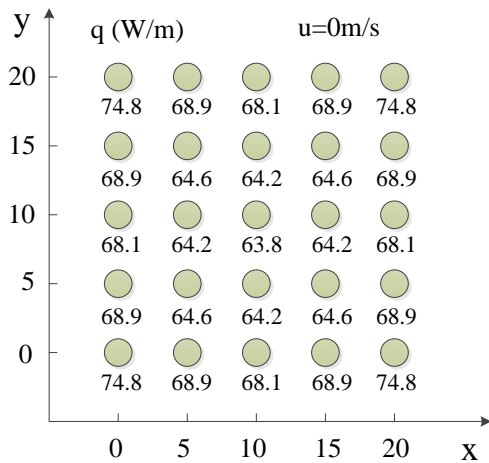
Figure 9 The layouts of energy pile groups

345 4 Results

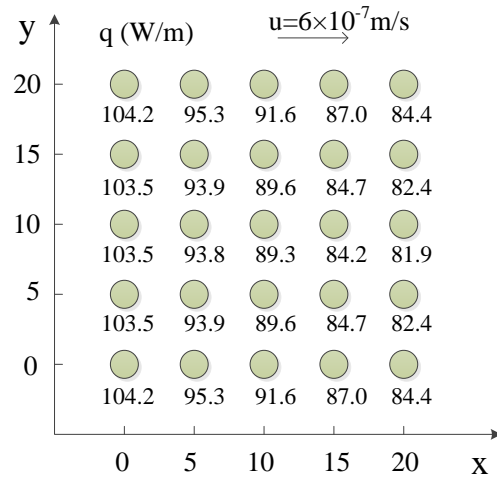
346 Based on the system model and the system design, the soil thermal characteristics and system
347 heating performance are investigated under different influential factors. In addition, the heat
348 fluxes of different energy piles and the soil temperature distribution are investigated. The outlet
349 fluid temperatures of different energy pile groups and the heating COP variations in 10 years
350 are analyzed under the influences of different factors. The capacity deficiencies of supplied heat
351 compared to the heating load in ten years are simulated in the time step of one month.

352 4.1 The heat fluxes of different energy piles

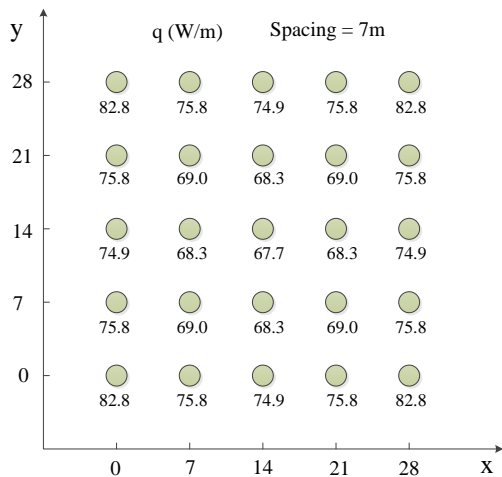
353 For the GSHP system, the inlet fluid temperatures of the energy piles in a group are usually
354 identical. Due to different positions in the soil, the heat fluxes of different piles are various.
355 Figure 10 shows the different heat fluxes of each energy pile at the end of 10 years under
356 different conditions. It can be seen that 1) the energy piles in the outer layers of the groups, 2)
357 the upstream energy piles along the groundwater flow, 3) the energy piles with large pile spacing,
358 and 4) the energy piles arranged in a line shape can exchange more heat with soil. For the energy
359 pile group in a matrix shape with a pile spacing of 5 m and no groundwater (Figure 10(a)), the
360 maximum heat flux of energy pile is about 74.8 W/m in the outside corner while the minimum
361 value is about 63.8 W/m at the center of the group. For the energy pile group with a groundwater
362 velocity of 6×10^{-7} m/s (Figure 10(b)), the maximum heat flux of energy pile is about 104.2 W/m
363 in the upstream outside corner of the group while the minimum value is about 81.9 W/m at the
364 downstream center. For the energy pile group with a pile spacing of 7 m (Figure 10(c)), the
365 maximum and minimum heat fluxes of energy piles are respectively 82.8 W/m and 67.7 W/m.
366 For the energy pile group in a line shape (Figure 10(d)), the maximum and minimum heat fluxes
367 of energy piles are respectively 93.1 W/m and 84.7 W/m. The total heat fluxes of the pile group
368 in Figure 10(a)~(d) are respectively 1701.1 W/m, 2286.2 W/m, 1853.9 W/m, and 2143.5 W/m.
369 The groundwater flow and the line-shape pile layout are more effective to increase the soil heat
370 exchange intensity.



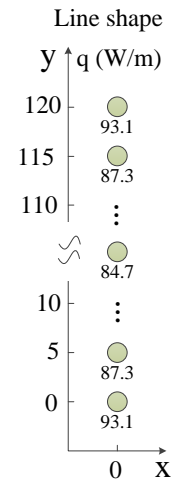
(a) Energy piles in a matrix shape with pile spacing of 5 m and no groundwater



(b) Energy piles in a matrix shape with pile spacing of 5 m and groundwater at 6×10^{-7} m/s



(c) Energy piles in a matrix shape with pile spacing of 7 m and no groundwater



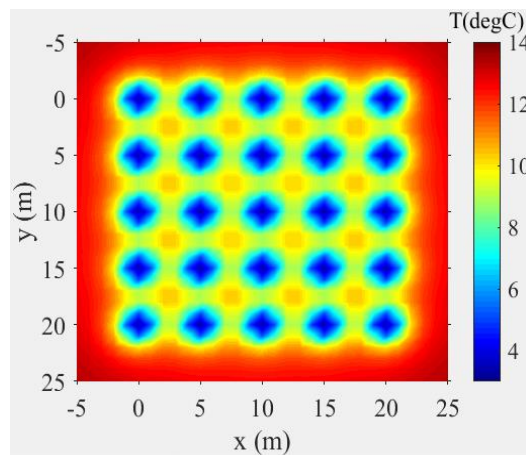
(d) Energy piles in a line shape with pile spacing of 5 m and no groundwater

371 Figure 10 Heat fluxes of energy piles under different conditions

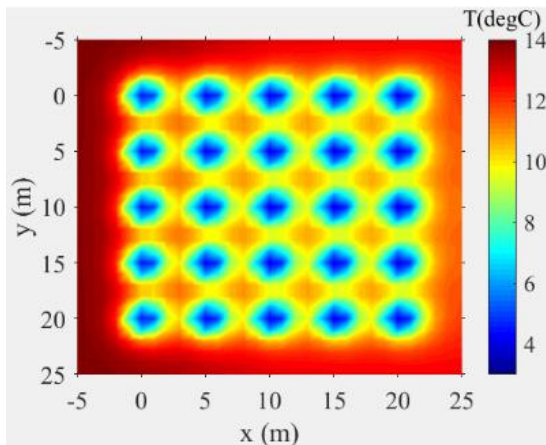
372 **4.2 The soil temperature distribution after one year**

373 The soil temperature distribution after one operation year (at the end of December) is shown in
 374 Figure 11. For the case with no groundwater flow, the soil temperature distribution is
 375 symmetrical. The soil temperature near the energy piles is as lowest as 3.2 °C in the group. For
 376 the case with groundwater flow, the soil temperatures in the downstream of groundwater flow

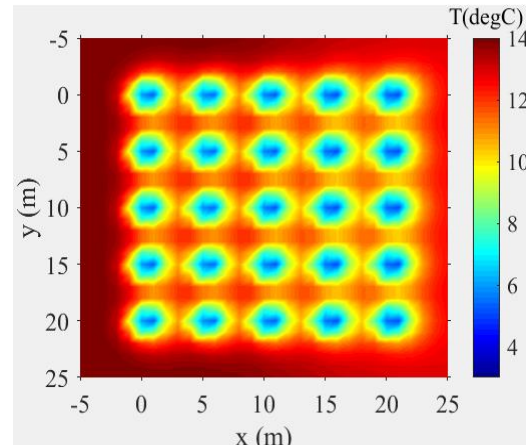
377 can be reduced, while the soil temperatures in the upstream can be increased in the heating
 378 season. The groundwater flow can also alleviate the soil temperature decreases near the energy
 379 piles. When the velocities of the groundwater flow are 3×10^{-7} m/s and 6×10^{-7} m/s, the lowest
 380 soil temperatures in the group are 4.0°C and 5.1°C , respectively.



(a) $v=0$



(b) $v=3 \times 10^{-7}$ m/s



(c) $v=6 \times 10^{-7}$ m/s

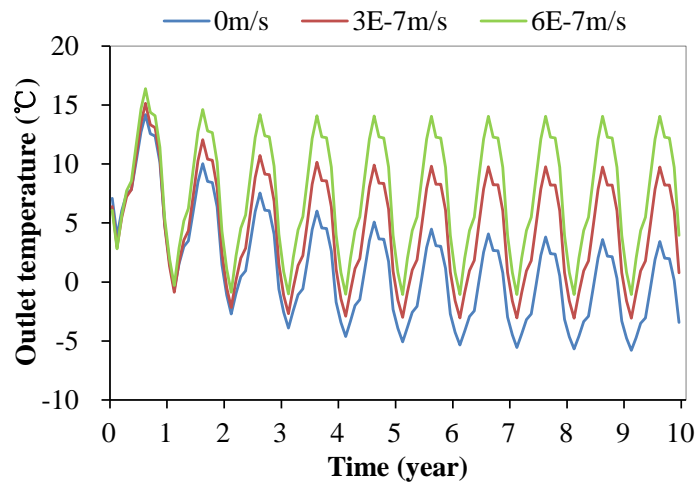
381 Figure 11 Soil temperature distribution influenced by groundwater after one operation year

382 4.3 Outlet fluid temperature of energy pile group

383 As mentioned in section 3.1, the heating and cooling loads are unbalanced. It causes a much
 384 higher accumulated heat extraction (about 309.5 MWh) than heat injection (about 112.1 MWh)
 385 in the first year. Consequently, the soil temperature and outlet fluid temperature decrease year

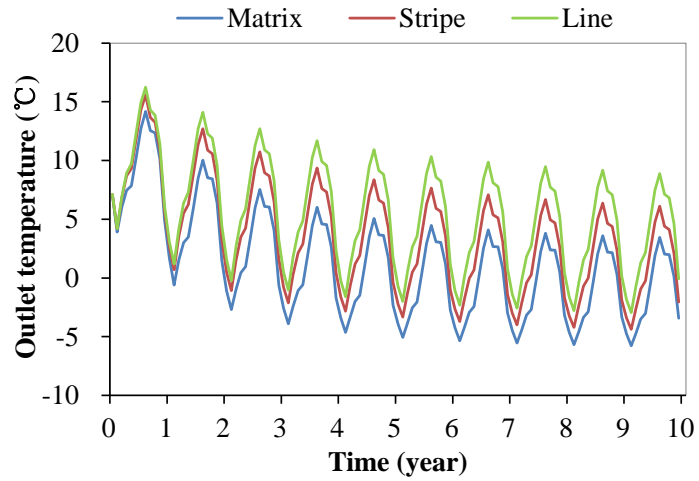
386 by year. The outlet fluid temperature variations of the energy pile group in 10 years influenced
387 by different factors are illustrated in Figure 12. The groundwater flow, slim pile layout, large
388 pile spacing, and short pile length are effective to alleviate the decrease of outlet fluid
389 temperature.

390 When the groundwater velocity is 0 m/s, the outlet fluid temperature decreases by 5.4 °C in the
391 first year. Although the decrease becomes gentle in the following years, the total decrease
392 reaches about 11.8 °C in 10 years and the minimum outlet fluid temperature is as low as -5.8
393 °C. When the seepage exists, the drop in outlet fluid temperature can be effectively mitigated.
394 With groundwater velocities of 3×10^{-7} m/s and 6×10^{-7} m/s, the outlet fluid temperature only
395 decreases by 8.0 °C and 5.3 °C in 10 years, respectively.



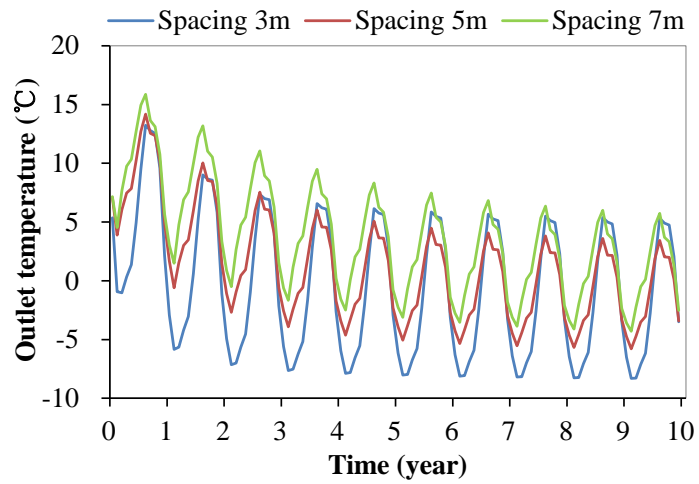
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(a) Different groundwater velocities



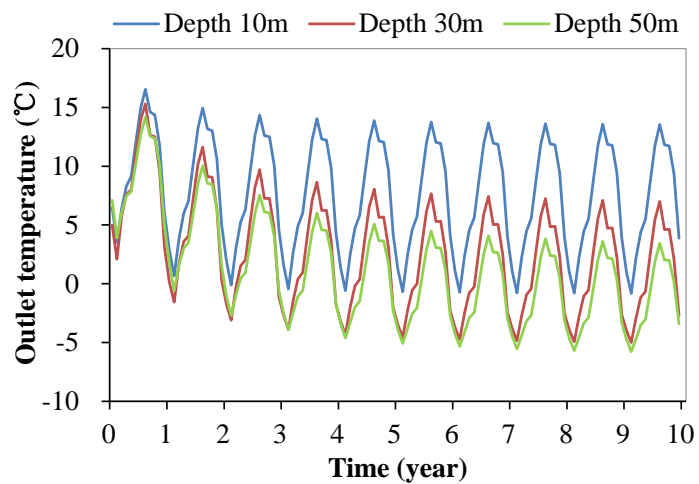
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(b) Different pile layouts



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(c) Different pile spacings



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(d) Different pile depths

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Figure 12 Outlet fluid temperature of energy pile groups in ten years

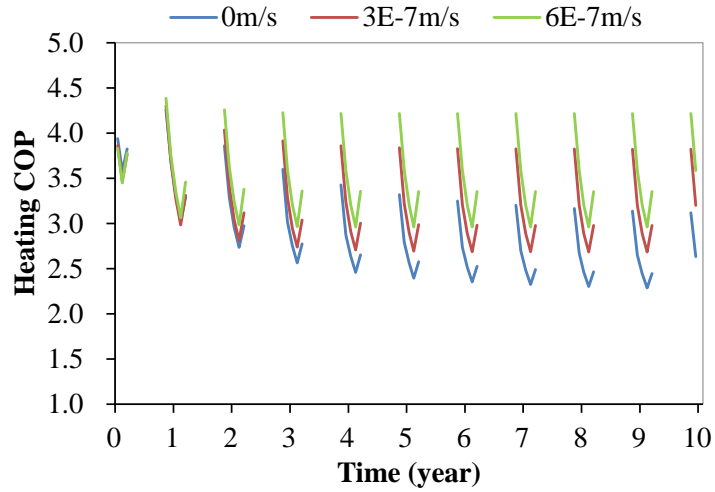
405 When the pile layout is arranged in a stripe shape or line shape (without groundwater flow), the
406 outlet fluid temperature decreases by 10.5 °C or 9.0 °C in 10 years. This is because the piles in
407 the slim layout have larger boundary areas per soil volume, which strengthens the heat exchange
408 with the soil outside the energy pile group. In addition, a larger pile spacing can increase the
409 occupied soil volume of a pile group. Consequently, when the pile spacing is 3 m and 7 m, the
410 outlet fluid temperature decreases by 11.9 °C and 10.7 °C in 10 years. When the pile depth
411 decreases to 30 m and 10 m, the heat from the soil surface per soil volume becomes higher,
412 which helps the soil temperature recovery and the outlet fluid temperature decreases by 9.0 °C
413 and 5.5 °C in 10 years, respectively.

414 **4.4 Heating COP**

415 Since the outlet fluid temperature of the energy pile group decreases year by year caused by the
416 unbalanced building loads, the heating COP of heat pump also declines, as shown in Figure 13.
417 Nonetheless, applying different design modifications can help reduce the deterioration of the
418 heat pump performance.

419 When the groundwater velocity is 0 m/s, the seasonal average heating COP drops from 3.86 to
420 2.59 in 10 years. When the groundwater velocity increases to 3×10^{-7} m/s and 6×10^{-7} m/s, the
421 average heating COP in the 10th year increases to 3.11 and 3.46.

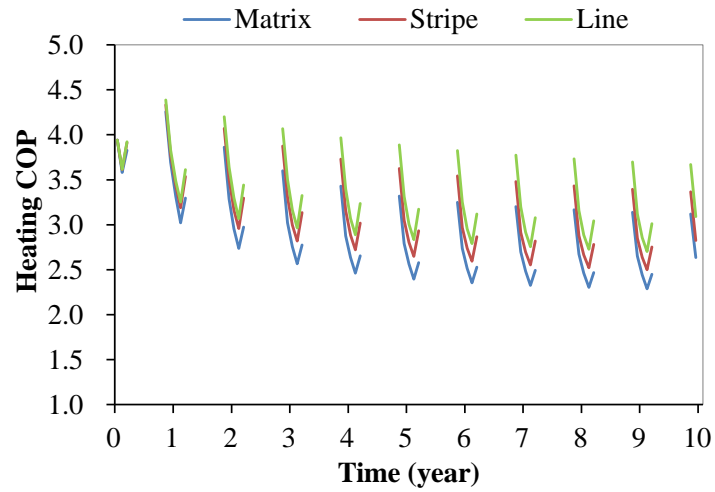
422 When the pile layout is arranged in the stripe shape and line shape, the average heating COP is
423 enhanced to 2.82 and 3.07 in the 10th year. When the pile spacing is changed to 3 m and 7 m,
424 the average heating COP becomes 2.39 and 2.79 in the 10th year. With a decreased pile depth
425 of 30 m and 10 m, average heating COP is improved to 2.75 and 3.47 in the 10th year.



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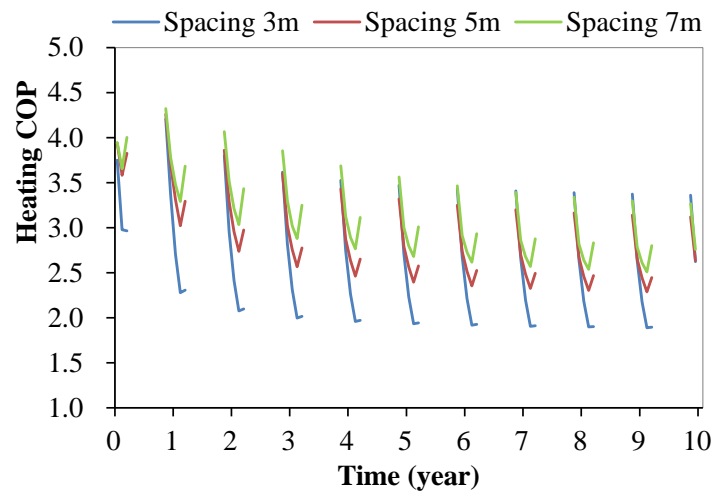
(a) Different groundwater velocities



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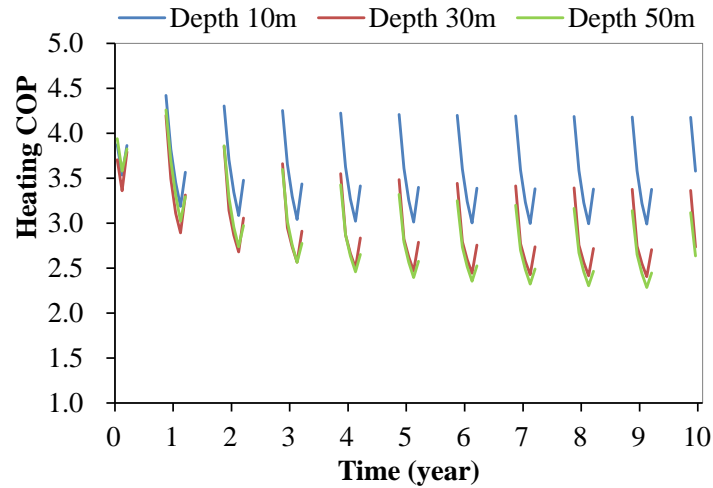
(b) Different pile layouts



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(c) Different pile spacings



(d) Different pile depths

Figure 13 Heating COP of heat pump unit in ten years

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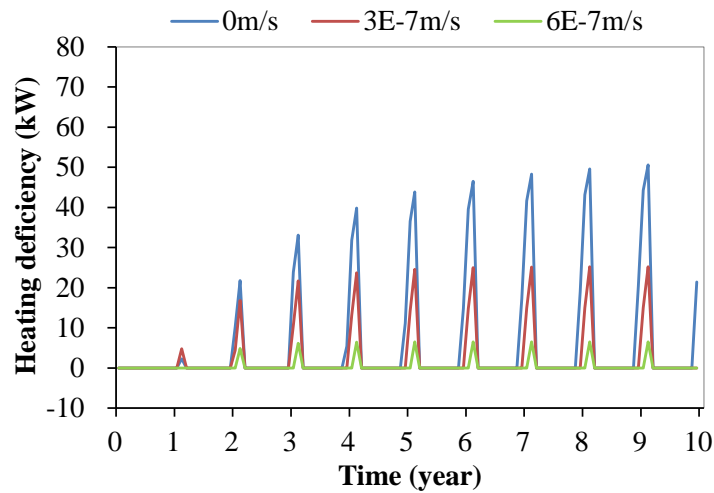
435 4.5 Heating deficiency

436 Since the soil imbalance between the heat extraction and injection causes the outlet fluid
 437 temperature decrease year by year, the GSHP heating capacity may not meet the building
 438 heating load in the following operation years. The heating deficiency is defined as the difference
 439 between the supplied heating capacity and required heating load at the same time. The heating
 440 deficiencies under different influential factors in 10 years are shown in Figure 14. It indicates
 441 that the groundwater flow, slim pile layout, large pile spacing, and short pile length are effective
 442 to reduce the heating deficiency.

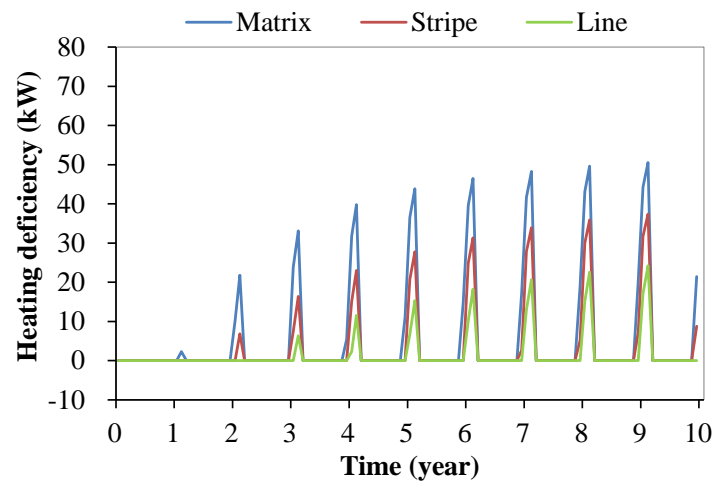
443 The heating demands can be satisfied in the first year for all the three different velocities of
 444 groundwater flow. However, in the following years, the heating deficiency continuously
 445 increases. When the groundwater velocity is 0, the annually accumulated heating deficiency is
 446 83.7 MWh in the 10th year and amounts to 515.7 MWh in total during the ten-year period. When
 447 the groundwater velocities are 3×10^{-7} m/s and 6×10^{-7} m/s, the annual heating deficiencies are

448 reduced to 29.0 MWh and 4.7 MWh in the 10th year. The total accumulated heating deficiencies
449 are respectively 211.8 MWh and 35.9 MWh during the ten-year period.

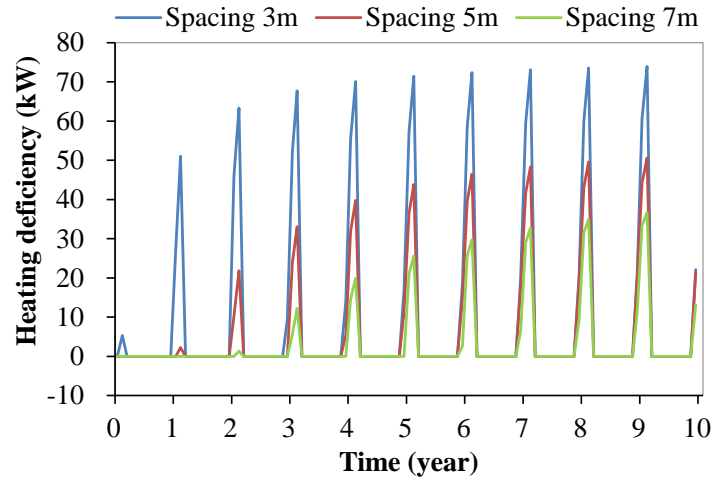
450 When the piles are arranged in a stripe shape and line shape, the annual heating deficiency is
451 reduced to 56.1 MWh and 29.7 MWh in the 10th year while the total accumulated value is
452 respectively 283.8 MWh and 132.1 MWh in the ten-year period. When the pile spacing is
453 changed to 3 m and 7 m, the annual heating deficiency increases to 112.9 MWh and decreases
454 to 59.9 MWh in the 10th year and the total accumulated value is respectively 894.1 MWh and
455 286.0 MWh during the ten-year period.



456
457 (a) Different groundwater velocities



458
459 (b) Different pile layouts



(c) Different pile spacings

Figure 14 Heating deficiency of GSHP system in ten years

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463 Although the heating capacity is deficient under a groundwater velocity of 0 m/s, the decreasing
 464 outlet fluid temperature causes declined heating performance, and the total power consumption
 465 for heating is still as high as 1312.4 MWh in 10 years. When the groundwater velocity increases
 466 to 3×10^{-7} m/s and 6×10^{-7} m/s, the accumulated power consumption for heating decreases to
 467 1286.3 MWh and 1241.4 MWh, respectively.

468 When the piles are arranged in a stripe shape and line shape, the accumulated power
 469 consumption for heating decreases to 1297.9 MWh and 1272.0 MWh, respectively. When the
 470 pile spacing is changed to 3 m and 7 m, the accumulated power consumption for heating
 471 becomes 1330.0 MWh and 1295.9 MWh, respectively. It can be concluded that the groundwater
 472 flow, slim pile layout, and large pile spacing can not only enhance the heating capacity but also
 473 lower the power consumption.

474 5 Conclusion

475 The analytical SEPGS model is proposed in this paper, considering the influences of the
 476 different heat fluxes of piles and the time variation of heat fluxes. The SEPGS model is

477 validated by a sandbox experiment, based on which the GSHP system model is built and the
478 GSHP system with spiral-coil energy piles is designed. The influences of groundwater velocity,
479 pile layout, pile spacing and pile depth on the soil thermal imbalance and long-term
480 performance of the GSHP system are analyzed. The conclusions are drawn as follows:

481 (1) In the energy pile group, with the same inlet fluid temperature, the heat fluxes of different
482 energy piles are various due to different pile positions in the soil. The energy piles in the outer
483 layers of the groups, the upstream energy piles along the groundwater flow, the energy piles
484 with large pile spacing, and the energy piles arranged in the line shape can exchange more heat
485 with soil.

486 (2) The comparison of soil temperature distributions shows that the groundwater alleviates the
487 temperature decreases of soil near the energy piles and located upstream. When the groundwater
488 velocity increases from 0 to 3×10^{-7} m/s and 6×10^{-7} m/s, the lowest soil temperature in the group
489 increases from 3.2 °C to 4.0 °C and 5.1 °C.

490 (3) The groundwater flow, slim pile layout, large pile spacing, and short pile length are effective
491 to alleviate the decreases of outlet fluid temperature and system heating COP, contributing to
492 higher heating capacity and lower power consumption.

493 (4) When the groundwater velocity increases from 0 to 3×10^{-7} m/s and 6×10^{-7} m/s, the outlet
494 fluid temperature drop is respectively reduced from 11.8 °C to 8.0 °C and 5.3 °C in 10 years,
495 the seasonal average heating COP respectively increases from 2.59 to 3.11 and 3.46 in the 10th
496 year, and the heating deficiency respectively decreases from 515.7 MWh to 211.8 MWh and
497 35.9 MWh in 10 years. When the pile layout is changed from the matrix shape to stripe shape
498 or line shape, the outlet fluid temperature drop is respectively reduced from 11.8 °C to 10.5 °C

499 and 9.0 °C in 10 years, the seasonal average heating COP respectively increases from 2.59 to
500 2.82 and 3.07 in the 10th year, and the heating deficiency respectively decreases from 515.7
501 MWh to 283.8 MWh and 132.1 MWh in 10 years. With the pile spacing increasing from 3 m
502 to 5 m and 7 m, the outlet fluid temperature drop is respectively reduced from 11.9 °C to 11.8
503 °C and 10.7 °C in 10 years, the seasonal average heating COP respectively increases from 2.39
504 to 2.59 and 2.79 in the 10th year, and the heating deficiency respectively decreases from 894.1
505 MWh to 515.7 MWh and 286.0 MWh in 10 years. With the pile depth decreasing from 50 m to
506 30 m and 10 m, the outlet fluid temperature drop is respectively reduced from 11.8 °C to 9.0 °C
507 and 5.5 °C in 10 years, and the seasonal average heating COP respectively increases from 2.59
508 to 2.75 and 3.47 in the 10th year.

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