

## Fatal Construction Accidents in Hong Kong

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### ABSTRACT

The construction industry is infamous for its dismal safety records. In Hong Kong, the ratio of fatal accidents per thousand workers increases with the gross value of work performed per worker for a 21-year period between 1995 and 2015. There were more deaths when workers worked more. There has not been any significant drop in construction fatalities. This paper offers a comprehensive analysis of the fatal accidents, including when and how they occurred. Contextual data of fatal incidents in the construction industry of Hong Kong from 2006 to 2015 were collected from local news compiled by WiseNews. The majority of victims were workers aged 45 and above, reflecting acute problems of labor aging and skilled labor shortages, which are issues not only in Hong Kong but throughout the world. Not unexpectedly, most workers died in the hot humid days in summer after working for 2 hours in the morning or 1 hour after a lunch break. Principal component analysis (PCA) and cluster analysis suggest that more fatal accidents occurred in repair, maintenance, alteration and addition (RMAA) works from the private sector. Hence safety governance should be more focused on this particular sector. This is the first study to explore the overall fatalities in Hong Kong construction trades with a focus on the analysis of the relationships between the

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20 recorded variables. The findings for Hong Kong provide insight for future research on  
21 solutions to reduce accidents in the construction industry around the world.

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23

## 24 INTRODUCTION

25 Construction is one of the most dangerous industries around the world. Sunindijo and  
26 Zou (2012) found that in many countries, “the fatality and incidence rates of the construction  
27 industry are considerably higher than the all-industry average”. Quoting International Labour  
28 Organization (ILO), Murie (2007) stated that construction “accounts for around 7% of the  
29 world’s employment but 30–40% of the world’s fatal occupational injuries. 100,000 workers  
30 are killed on construction sites every year—one person killed every five minutes because of  
31 bad, and illegal, working conditions”. Wong et al. (2016) found that in the United States (US)  
32 in 2012 alone, 775 construction workers (representing 19.6% of worker fatalities) lost their  
33 lives, and in the United Kingdom (UK) in 2013, construction accounted for 27% of fatal  
34 injuries and 10% of major injuries though it employed only about 5% of the workforce. The  
35 case of Hong Kong would provide an insight into the problem and inform further research to  
36 enhance construction safety.

## 37 LITERATURE REVIEW

### 38 Construction Accidents

39 Table 1 gives a snapshot of the grim situations in a number of countries, as reported by  
40 their respective statistics agencies. In the US in 2015, construction had the largest number of  
41 fatal accidents (one fifth of all fatalities) though its fatality rate ranked the fourth (after  
42 “agriculture, forestry, fishing and hunting”, “transportation and warehousing”, and “mining,  
43 quarrying, and oil and gas extraction”) (Occupational Safety and Health Administration  
44 2017). In the UK in 2015/2016, construction had the second largest number of fatal accidents,

45 accounting for 43 fatalities, after “Services Industry” (45 cases) (HSE 2016). The fatality rate  
46 of construction activities was the top one in 2014 among all economic activities of the total of  
47 28 countries in European Union (EU-28) (Statistics Explained 2017). In Japan, construction  
48 also had the most fatal accidents from 2014 to 2016, followed by “tertiary industry” and  
49 “manufacturing” (JISHA 2017). Back in Hong Kong in 2015 alone, of the total of 25  
50 industrial fatalities, 19 happened in the construction industry (The Labour Department 2016).  
51 With the highest fatality rate, Hong Kong appears to be the worst performer among the  
52 advanced economies listed in the table. In general, Table 1 shows that in all the listed  
53 countries except Australia and Great Britain, more people have died working in the  
54 construction industry than in any other industries.

55 No one should die for constructing or maintaining a building or a bridge, not in the  
56 contemporary civilized world. Nothing should be more important than the protection of  
57 workers’ lives if the construction industry is to be sustainable. In Hong Kong, perceived  
58 dangerous and unpleasant working conditions are considered to be a major barrier in  
59 attracting young people to replenish the ageing workforce of the construction industry (Tao et  
60 al. 2017). Measures and policies have been introduced to attract younger workers to join the  
61 construction industry, including increased wages and improved site safety. More stringent  
62 laws and regulations for onsite safety measures have been legislated and enforced by the  
63 Labour Department and the Buildings Department since an accident occurred during the  
64 demolition of the Wing On Building (early 2000s). In addition, since 1997, workers must

65 receive mandatory occupational safety and health training before they can work on sites.

66 However, progress in construction safety has been slow.

67 While the number of accidents continued to drop throughout the 21 years between 1995  
68 and 2015, the number of fatalities did not show a similar decreasing trend since 2000. Table 2  
69 shows the occurrences of accidents and fatalities, as well as the gross value of construction at  
70 constant (2000) market prices in Hong Kong for these 21 years. Both accident and fatality  
71 rates were very high during 1995-1999, when the Airport Core Program was near its  
72 completion and the construction industry reached its peak. Both rates have since decreased as  
73 the industry went into recession. It is suggested that there may not have been a real  
74 improvement in site safety. Accident rates declined simply when there was less work and  
75 workers were not required to work long hours. On the other hand, when there was more work,  
76 the increased demand on workers could not be readily met because the labor supply was  
77 inelastic. Hong Kong is not well known for innovation in technology or management to drive  
78 up total factor productivity. Hence, it is believed that the available workforces had to work  
79 extra-long hours to meet demand and were thus more accident-prone. For example, during  
80 the peak (1995), each worker produced \$1.528 million of gross work per annum. This was  
81 the highest level of labor productivity in the 21-year period, and the accident and fatality  
82 rates both peaked during this time. Strong correlations were found between the accident/  
83 fatality rates and the gross value of work per worker, defined as the gross value of  
84 construction work at constant (2000) prices divided by the number of site workers (Figure 1  
85 and Figure 2). The correlation coefficient between the accident rate and gross value of work

86 per worker and the correlation coefficient between the fatality rate and gross value of work  
87 per worker are 82% and 81% respectively, both significant at the 0.01-level (2-tailed). The  
88 results indicate that the higher the labor productivity (gross value of work per worker) is, the  
89 higher the accident and fatality rates are.

90 Previous research has studied different perspectives of construction safety in Hong Kong  
91 (Chan and Hon 2016; Chan et al. 2008; Wong et al. 2005; Yi and Chan 2014). **Indeed, Zhou,**  
92 **et. al. (2015) in their extensive review of literature on construction safety identified 439**  
93 **relevant papers published between 1978 and 2013. Of these papers, almost 20% were**  
94 **conducted in the United States, and Hong Kong was one of the other “notable countries or**  
95 **regions with significant number of studies”. They found that Hong Kong had 28 papers**  
96 **published.** However, there is no research on fatal accidents covering the entire industry over a  
97 long period. It is vital to understand where, when and how fatalities occur. This study  
98 covered fatalities only because all individual cases were reported by the local press. The data  
99 are thus in the public domain, and there is no problem of “under-reporting”, where  
100 contractors do not report accident cases to avoid fines and penalties. Individual accident cases  
101 are tracked by the Labour Department, but these are not accessible to us due to  
102 confidentiality, despite our repeated calls. Nevertheless, this study covered the population of  
103 the worst cases of construction accidents, i.e., fatalities, so that further informed research can  
104 be conducted.

105 **Working Hours**

106 The issue of working hours is explored here, since we have identified statistically  
107 significant strong correlations between the fatality/accident rates and gross value of work per  
108 worker.

### 109 **Weekly working hours around the world**

110 There were two primary standards for weekly working hours across nations by the  
111 mid-twentieth century. At the end of World War I, the work week was generally limited to 48  
112 hours (Lee, McCann, & Messenger 2007). By 1962, more countries adopted the 40-hour limit  
113 instead, according to a review on laws governing working time by the International Labour  
114 Organisation (Lee et. al 2007). Out of 93 countries, 35 had 48-hour limit while the others  
115 adopted the lower 40-hour limit for weekly work. Asia generally used to adopt the 48-hour  
116 limit, but then trended to the lower statutory hours during the 20th century, e.g. China  
117 adopted the 40-hour limit in 1995. To date, the 40-hour limit is the most prevalent standard  
118 (Lee et. al, 2007, Tucker & Folkard 2012). Working over 8 to 9 hours a day, and more than a  
119 total of 40 hours a week can be regarded as extended working hours (Tucker & Folkard  
120 2012). However, there are a large number of workforces working more than 40 hours per  
121 week. With reference from the data of the Third EU Survey on Working Conditions in 15  
122 European countries, Costa et al. (2004) reported that there were 84% of the employed and 44%  
123 of the self-employed working more than 40 hours weekly. In addition, Tucker & Folkard  
124 (2012) looked at 2005 labor statistics, and concluded that nearly one third of the workforces  
125 in the United States had regularly worked over 40 hours per week.

126 Hong Kong has not had laws governing the working hours in the construction industry.  
127 According to a survey conducted by UBS (2015), a banking group in Switzerland, Hong  
128 Kong had the longest working week of 71 cities. The average weekly working hours was 50.1,  
129 followed by the distant second, Mumbai, where the average was 43.8. The global average  
130 being 36 hours and 23 minutes, a Hong Kong employee typically worked 38 percent  
131 more hours. Construction was one of the 15 occupations covered by the survey. In their  
132 survey, a typical construction worker is “unskilled or semi-skilled laborer without technical  
133 training, approximate age and status: 25, single”. The gross annual income was US\$29,930 in  
134 2015. The UBS (2015) made a sarcastic remark that “(a)ll workaholics should move to Hong  
135 Kong, where working hours average over 50 per week, with only 17 days of holiday annually.  
136 Those who like time off should consider finding a job in Paris, where people work only  
137 around 35 hours per week (in line with new government regulations) and have 29 days of  
138 paid vacation”.

139 To address the community concerns over employees’ long working hours in Hong  
140 Kong, the Government set up the Standard Working Hours Committee (SWHC) in April  
141 2013. The SWHC would advise the Government “on the working hours situation in Hong  
142 Kong including whether a statutory SWH regime or any other alternatives should be  
143 considered” (Standard Working Hours Committee 2017). Understandably, this is a very  
144 controversial issue. There has been great divide between employers and employees. All the  
145 six employees’ representatives have walked away from the negotiations ever since November  
146 of 2015 (Siu 2015). Finally, the Executive Council on 13<sup>th</sup> June, 2017 passed a proposal that



147 employers will be required to enter into contract with their employees earning monthly salary  
148 of no more than HK\$11,000 the number of working hours and the rate of overtime payment,  
149 which shall not be less than the regular rate (Li 2017). Unionists and workers have generally  
150 expressed anger at the proposal. Instead of having to comply with standard working hours,  
151 employers can negotiate with their workers the number of working hours and the overtime  
152 rate. Further, skilled construction workers these days are typically paid more than HK\$11,000  
153 a month. They are not even covered by this proposal. It is not anticipated that there would be  
154 any reduction or major change in working hours in construction in the foreseeable future.

### 155 **Long working hours**

156 Construction work in Hong Kong is often performed over long hours. According to a  
157 questionnaire survey of 92,933 on-the-job students from the Green Card Courses organized  
158 by the Hong Kong Occupational Safety and Health Council and the Construction Industry  
159 Training Authority between June 1997 and October 1999 (Occupational Safety and Health  
160 Council et. al 2003), 70 percent of the participants experienced overtime work. The results  
161 revealed that overtime working is common in the construction trades of Hong Kong. Long  
162 work hours in the construction industry have been attributed to unrealistic tendering and  
163 programming. Contractors are often required to complete construction projects within a tight  
164 schedule. Without bargaining power over their clients, contractors are often “bullied” into  
165 accepting whatever time is left after a prolonged design and pre-construction process.  
166 Consequently, a six- or even seven-day work week is not uncommon for contractors to

167 complete projects on time, provided that they comply with the relevant laws and regulations,  
168 such as the Noise Control Ordinance by obtaining a Construction Noise Permit.

169 Long work hours not only have an adverse impact on health (Dembe et al. 2008;  
170 Burchell et al. 2007; Boisard et al. 2003; and Sparks et al. 1997) but have been identified as  
171 the cause of two major problems: site safety and recruitment. Sites have to be perceived to be  
172 safe enough to attract young people. However, there are more construction site fatalities in  
173 Hong Kong than in many other countries. Compared to other countries, Hong Kong was  
174 much worse than Australia in 2003-2013 and the US in 2014 (Safe Work Australia 2015; U.S.  
175 Bureau of Labor Statistics 2016a). Overtime working adversely affects site safety. Dembe et  
176 al. (2005) analyzed 110,236 job records in the United States and found that overtime work  
177 (over 40 working hours per week) increased the occurrence of occupational injury, with a 61%  
178 higher injury rate compared with non-overtime work. In addition, overtime work was  
179 identified as one of the factors that “clearly affect occupational accident rate” (Occupational  
180 Safety and Health Council et al. 2003). The odds ratios of getting injured for those who  
181 described themselves doing overtime work as “seldom”, “sometimes” and “frequent” are 1.0,  
182 1.19 and 1.23 respectively. These results are statistically significant (P-values<0.001).

183 Furthermore, long work hours often lead to fatigue. Fatigue was regarded by respondents  
184 of a questionnaire survey as the most critical factor resulting in accidents in oil and gas  
185 construction (Chan 2011). Fatigue is also suggested to be associated with physical and  
186 cognitive function among construction workers and therefore poses safety hazards to  
187 individuals (Zhang et al. 2015). Therefore, the ILO recommended that individuals should not

188 work more than 48 hours per week (Tucker and Folkard 2012). In addition to injuries and  
189 loss of life, overtime work can also lead to economic loss. The cost for working time lost and  
190 days-off due to industrial injuries can severely impact productivity. In the survey of more  
191 than nine thousand participants from the Green Card Courses mentioned previously, the  
192 average annual time lost per person was 1.8 days, i.e., 165,730 working-days lost in a year  
193 (Occupational Safety and Health Council et al. 2003). This number is derived from only the  
194 sampled subjects only; the real number for the whole industry would be much larger.

### 195 **The research gap**

196 There are previous studies on construction safety in Hong Kong (Chan and Hon 2016;  
197 Chan et al. 2008; Wong et al. 2005; and Yi and Chan 2014) that have addressed different  
198 aspects of construction safety, e.g., fall from height, thermal environment and safety in the  
199 RMAA works. However, there is a lack of comprehensive analysis of the timing of accidents  
200 and how they occurred, covering both new works and RMAA sectors. Tucker and Folkard  
201 (2012) conducted a comprehensive review of previous research on the links between working  
202 time arrangement and health, wellbeing and safety. They concluded that many researches on  
203 working time arrangement relied on self-reported data and with relatively small samples. To  
204 address the problems above, this research investigated the potential impacts of the working  
205 time on construction site safety in Hong Kong by making reference to reported fatality cases.  
206 Additionally, this study covered all sectors of the construction industry, bridging the research  
207 gap of RMAA and the entire industry. A background study like this will inform further  
208 research on working hours and the related issues of fatigue, site safety and work-life balance.

## 209 **METHODOLOGY**

### 210 **Data collection**

211 The records held in the Labour Department, the statutory body to which all accident and  
212 fatal cases must be reported, were not made available to us. The Department claimed that it  
213 was due to data privacy reasons. We therefore had to resort to the public domain to identify  
214 fatal cases one by one to obtain the data. After comparing the search results from WiseNews  
215 and Factiva, two major search engines for local and regional newspapers, we found that  
216 WiseNews would return more reports than Factiva under the same key words. Thus,  
217 WiseNews was employed to collect contextual data of fatal incidents. The collection of data  
218 consisted of three stages. With reference to research of Hon and Chan (2013) on RMAA  
219 works, several possible key words (in Cantonese Chinese) were identified. After discussion  
220 with academic and industrial experts, more key words were identified to supplement the  
221 search. After a trial search of the year 2015, the final list of key words was finalized  
222 accordingly. The cases were reported in the press for a ten-year period between 1 January  
223 2006 and 31 December 2015. Consequently, we found more cases from WiseNews than  
224 reported by the Labour Department. Previous literature indicates that trade, age, and day of  
225 incident are major factors associated with construction fatalities (Chan et al. 2008; Chi et al.  
226 2005; Huang and Hinze 2003). Therefore, these factors were employed for data  
227 categorization and coding. A total of 256 cases were reported, and case details from different  
228 local newspapers were coded.

229 **Data analysis**

230 Data were analyzed to explore the patterns in fatal cases. In addition to descriptive  
231 statistics, Pearson correlation coefficients were utilized to analyze the relationships in the  
232 data. Principal Component Analysis (PCA) was performed to combine the number of  
233 variables into a smaller set of linear combinations, which are called “principal components”,  
234 for easy interpretation of what is otherwise a larger set of variables. PCA is used here to  
235 identify the hidden factors as “collective terms” (Backhaus, Erichson & Weiber 2013) or  
236 “virtual variables” to highlight the most distinguish characteristics/variables that can describe  
237 these fatal cases. Technically speaking, it is a method for deriving sets of variables that  
238 collectively have the largest variance. The first set will have the largest variance, which will  
239 become less and less in the subsequent sets. As Wendler & Gröttrup (2016) explained, PCA  
240 “is a method for determining factors that can be used to explain common variance among  
241 several variables. It tries to reproduce the variance of the original variables (principal  
242 components) after determining subsets of them”. PCA looks for “collective terms” (principal  
243 components) that can be described as “the general description” for the common variance  
244 (Backhaus 2011 and Wendler & Gröttrup 2016). Thus, principal component analysis (PCA)  
245 was performed to identify the combinations of variables that could best distinguish fatal  
246 cases.

247 Data collected from the press include the date and hour of the day when the fatal  
248 accident occurred, gender and age of victim, type of accident, and type and sector  
249 (public/private) of the construction project. Listwise deletion was adopted to exclude cases

250 with missing data. Consequently, 225 cases were analyzed via PCA, with focus on the 8  
251 variables from the dataset obtained through WiseNews.

252 While the purpose of PCA is “to find subsets of variables”, the aim of cluster analysis is  
253 “to cluster objects” themselves (Wendler & Gröttrup 2016). Thus, in an attempt to distinguish  
254 the fatal cases into different groups and to explore the common features of them, cluster  
255 analysis was performed to identify the most distinguishable groups as in Chan and Hon  
256 (2016). Two-step cluster analysis was adopted to analyze both categorical and continuous  
257 variables, as found from data collected through WiseNews.

## 258 **RESULTS**

### 259 *Descriptive statistics*

#### 260 *Overall fatal incidents on construction sites in Hong Kong*

261 Table 3 shows that there are more fatal accidents reported in WiseNews (Set 2) than  
262 from the Labour Department (Set 1). This may be due to the different definitions adopted by  
263 different sources. With reference to Table 3, one distinguishing figure from these two sets of  
264 data is the number of fatalities caused by “others”. A total of 42 fatalities are included in Set  
265 2, while there is none in Set 1. This research includes all types of fatalities on site, e.g.,  
266 sudden death from uncertain causes, such as heart attack and heat stroke, are included in our  
267 set but not necessarily in that of the Labour Department. These sudden deaths may have  
268 occurred due to fatigue after long hours of hard work. All these cases are grouped under our  
269 “others” category. However, these types of deaths are not listed under any type of incidents

270 by the Labour Department. Another notable difference is the fatalities resulting from “Struck  
271 by moving vehicle”, in which Set 2 has 9 more cases than Set 1. The fatalities in Set 2  
272 include death caused by vehicles not exactly on site but nearby, which may be the reason why  
273 there is a difference. “Fall of person from height” is the top killer of construction workers  
274 based on the data obtained from both WiseNews and the Labour Department. Wong et al.  
275 (2005) investigated all fall injuries in the Architectural Services Department’s projects from  
276 1994 to 2003 and provided recommendations for safety improvement. However, there has not  
277 been a discernible reduction in falls. In general, the differences in the numbers can be  
278 explained by the complexity of accidents. Site fatalities may occur from a chain of events and  
279 are thus categorized into different types by different sources. However, the local press has  
280 reported 34% more fatal cases than the Labour Department.

281

### 282 *Hours of the day of the incidents*

283 Construction workers in Hong Kong usually start work at 8:00 am and finish at 6:00 pm,  
284 with a one-hour lunch break at noon and a 30-minute tea break at 3:15 pm (Yi and Chan  
285 2014). As shown in Figure 3 most fatal incidents occurred in late morning and early  
286 afternoon, that is, between 10:01 and 11:00, and 14:01 and 15:00, with 33 and 34 cases,  
287 respectively. These periods are two hours before and one hour after lunch, i.e., two hours  
288 after working in the morning and one hour after the lunch break. **This is similar to the finding  
289 of Huang and Hinze (2003). They analyzed data provided by the US Occupational Safety and  
290 Health Administration (OSHA) between 1990 and 2001, and found that most accidents**

291 occurred “between 10:00 and 11:00 in the morning and between 13:00 and 14:00 in the  
292 afternoon”. An explanation for this timing is fatigue, which can affect the physical and  
293 cognitive functions of construction workers (Zhang et al. 2015). Fang et al. (2015) found a  
294 linear relationship between error rates and fatigue when the level of fatigue exceeded 20. The  
295 fatigue level of a subject in their study was measured by the Chinese version of the ‘Fatigue  
296 Assessment Scale for Construction Workers’ (FASCW), which was developed by Zhang  
297 (2014). It consisted of a three-dimension scale, which included “physical inactiveness”,  
298 “mental fatigue”, and “discomfort”. The participants indicated their perception in accordance  
299 with each dimension. The results were then put into reliability and validity test. Fatigue is  
300 also considered a leading risk in the construction industry. Wong et al. (2005) found that  
301 exhaustion or fatigue in construction workers is a main causal factor for fall incidents. The  
302 effects of fatigue may result from the effects of overtime work. Dembe et al. (2005)  
303 concluded that working more than 40 hours per week increases the risk of occupational  
304 injuries. Construction workers from Hong Kong normally work more than 48 hours weekly.  
305 The long work week can lead to cumulative fatigue, resulting in greater risk of occupational  
306 injury.

307

308 *Days of the week of the incidents*

309 Figure 4 shows the total fatal accidents by day of the week and by age distribution. Most  
310 fatal accidents between 2006 and 2015 occurred on Mondays and Tuesdays (43 cases on  
311 each), the beginning of the 6-day workweek, and most victims were aged 45 and above.



312 Wong et al. (2005) reported that, in general, Mondays were more prone to fatal accidents of  
313 all kinds, and they recommended that pre-work safety talks at the beginning of the week  
314 could be introduced to enhance safety on Mondays. Wednesdays, with 40 cases, have the  
315 second largest number of incidents. Apart from 7 cases without available data, the age  
316 distribution of the fatalities is also displayed in Figure 4. The age groups were categorized  
317 with reference to the Construction Workers Registration Authority and Hon (2012). As  
318 shown in Figure 4, the age groups of “45-54” and “over 55” have the most fatalities. This is  
319 consistent with the findings from The Current Population Survey in the US. The greatest  
320 number of fatal work injuries were in age groups “45 to 54” and “55 to 64”, while the age  
321 group over 65 held the highest fatal injury rate in all industries (U.S. Bureau of Labor  
322 Statistics 2016b). In Hong Kong, victims who were older than 55 accounted for most of the  
323 fatal incidents that occurred on Saturdays (21 cases). Their physical conditions may not be as  
324 good as that of younger workers, and they may get tired sooner. They are thus more  
325 vulnerable to accidents after working for five days on site and are in need of more and/or  
326 longer breaks during a day’s work, if not more training in preventive measures.

327

328 *Fall of persons from height*

329

330 Figure 5 shows the days fatal accidents occurred due to “fall of person from height”, the  
331 leading cause of death. Among the 117 cases, most occurred on Mondays (24 cases),  
332 followed by Thursdays (20 cases) and Saturdays (17 cases). This type of fatality occurs at the

333 beginning, the middle and the end of week, and there does not seem to be a specific pattern.  
334 However, Chan et al. (2008) found that Fridays had the largest number of fatal falls, followed  
335 by Tuesdays and Thursdays. This difference may be attributed to the different time series of  
336 their studies. This study has a longer and more recent time series compared to the previous  
337 two, thus providing updated results.

338

### 339 *Months of the year of the incidents*

340 As shown in Figure 6, July to October had the higher number of site fatalities. Similarly  
341 in Japan, August had the greatest number of fatal construction accident in 2015, 40 fatalities,  
342 followed by October, 35 cases (JISHA 2017). The month July in Hong Kong has the longest  
343 sunshine and the highest daily global solar radiation in the year. It has the hottest, most  
344 humid and longest sunshine (Hong Kong Observatory 2015), giving rise to a very unpleasant  
345 environment for work and making workers prone to site accidents. This hostile climate  
346 continues right into the autumn month of October and beyond. Heat stress is found to be a  
347 major occupational hazard to construction workers in Hong Kong (Chan et al 2012). In  
348 addition, for outdoor activities, rainfall can lead to wet and slippery floor surfaces, thus  
349 leading to more accidents (Kim et al. 2013). All these factors make July the most dangerous  
350 month for outdoor work in Hong Kong. The Labour Department (2005) reports that July and  
351 August are the most accident-prone. Wong et al. (2005) also found more fall injuries in the  
352 summer. To date, a number of controls have been put in place to address safety issues of  
353 working in hot and humid environments, e.g., anti-heat stress clothing and additional breaks.

354 However, the effectiveness of these controls needs to be monitored as they have been  
355 implemented for only a couple of years. In addition, the effect of long work hours remains  
356 unclear when working in hot and humid conditions. Further research should focus on the  
357 effect of fatigue on site safety, especially with respect to working in a hostile environment.

358

### 359 *Age of victims*

360 As indicated in Figure 7, apart from the 7 cases marked as ‘Unknown’, there are more  
361 deaths among the older groups, except for the group aged 60 or above. In addition, the  
362 correlation coefficient between age group and number of total fatal incidents is 0.829 and is  
363 significant at the 0.01 level. More aged workers get killed, likely due to the decline in  
364 physical and psychosocial conditions as manual workers are getting older. The number of  
365 deaths expectedly drops at the retirement age group of 60 and above. Those who continue to  
366 work may work fewer hours because it may take longer time for aged workers to recover  
367 from the strain of site work.

368 More aged workers died not only in absolute but also in relative terms. Figure 8 presents,  
369 for the 10-year period between 2006 and 2015, the proportions of the total fatalities to the  
370 total number of registered workers for each age group. The age groups of “45-49”, “50-54”,  
371 and “55-59” have higher percentages of fatalities, indicating that the aged workforce is more  
372 prone to site fatalities, especially the age group “45 to 59”. **This is also consistent with the  
373 findings from the United States Bureau. It is found that most victims were aged “45 to 54”  
374 and “55 to 64” (U.S. Bureau of Labor Statistics 2016b). Statistics from Japan’s Industrial**

375 Safety and Health Association indicated that in 2015, most fatal construction injuries  
376 occurred in the age group of “60 or above”. There were 125 victims died at the age of “60 or  
377 above”, followed by the age of “50-59” and “40-49”, 73 and 58 cases respectively (JISHA  
378 2017). However, our findings suggested that the age group of “45 to 59” had greater fatal  
379 injury rate instead of “45 to 64”. In Hong Kong, workers older than 60 might still hold on to  
380 their registration but might not work as much as others, if they have not retired altogether.  
381 Otherwise, the majority of deaths were from the older workforce (aged 45-60), who may be  
382 more skilled and experienced, suggesting a dire consequence of aging laborers in the  
383 construction industry. In addition, the survey from the US covered all industries while our  
384 findings focus specifically on construction. It appears that being more skillful and  
385 experienced does not make up for the demands of a strenuous work site. There is, however, a  
386 relatively low ratio in the age group of “40-44”. This is probably the “golden age”, when a  
387 worker may still be physically strong enough to sustain the hardship of site work, yet  
388 experienced enough to avoid site accidents. However, in the younger group (aged 20-39), the  
389 younger the workers were, the less accidents they experienced, suggesting that for  
390 construction, the physical condition of the workers is more important than their years of  
391 experience in preventing accidents.

392

393 *Principal component analysis*

394

395 PCA analyzes and determines the principal components (PC) that account for the most  
396 variance and have the highest correlation with the original variables. The variance is  
397 represented by eigenvalues. The loading values represent the eigenvector in the extracted  
398 components and variables. Eigenvalues greater than 1.0 are usually adopted to extract the  
399 PCs (Field 2009). Four PCs were extracted. These four PCs together explain 61.976% of the  
400 variance (Table 4).

401 The four PCs were then rotated using the varimax method, as shown in Table 5, to assign  
402 components with associated variables that have high loading values and consequently high  
403 correlations. The PCs categorize and group the fatal cases into 4 groups described by a set of  
404 variables reduced from 8 to 4.

405 The first PC is strongly related to the sector and type of project, the second is related to  
406 gender and hour of the day of the incident, the third is related to month and age, and the  
407 fourth is related to day of the week. Hence, PCA suggests that the most distinguishing factor  
408 is the nature of the project. Indeed, the two variables “type of works” and “sector of site”  
409 have correlation coefficients greater than 0.5 with the first component. PC1 can be considered  
410 a “project component” as the two variables describe the nature of the construction project.  
411 Explaining almost one-fifth of the total variance, PC1 suggests that the nature of the project  
412 alone differentiates the analyzed fatal cases the most. Fatal incidents that occurred in RMAA  
413 works in the private sector form the most distinguishable group of fatal accidents. **In Japan in  
414 2015, small workplace that had 9 workers or below had the greatest number of industrial  
415 fatality in the construction sector. 183 industrial fatalities happened in this kind of small**

416 workplace, accounting for more than a half of the total fatal work injuries in construction  
417 (JISHA 2017). Likewise, a study on the fatal construction accident pattern of Taiwan found  
418 that small companies were under higher risk in fatal falls (Chi, Chang, and Ting 2005). Zhou,  
419 et. al. (2015) in their extensive review of literature on construction safety identified 439  
420 relevant papers published between 1978 and 2013 also found that “accident rate is higher in  
421 small construction businesses than in larger ones”. They attributed this to the few resources  
422 that small construction businesses have, and the consequent difficulty in effectively  
423 performing construction safety management. RMAA works in Hong Kong usually were  
424 carried out in median and small workplaces with relatively small group of workers. In  
425 addition, RMAA works are often carried out by subcontractors which are median and small  
426 size of private companies. These characteristics are consistent with the findings from Japan  
427 and Taiwan. This type of construction project might have limited safety governance. RMAA  
428 works are dispersed, and it is difficult to legislate, impose and monitor safety standards and  
429 requirements. For example, contractors are required by law to employ safety officers on site.  
430 However, there is no such law stipulated for RMAA projects. The resources allocated to  
431 safety management, if any, could be minimal. Therefore, some safety measures  
432 commensurate with the nature of RMAA projects should be formulated and implemented to  
433 safeguard workers.

434 Hour of the day, month, and day of the week of the incident have the strongest  
435 correlations with PC2, PC3 and PC4 respectively, reflecting the most accident-prone timing  
436 of the incidents, as shown in Figures 3, 6 and 4.

437

438 ***Cluster analysis***

439 Two-step cluster analysis was employed to analyze both categorical and continuous  
440 variables. After excluding 5 cases with large amounts of missing data, 251 cases were used  
441 for two-step cluster analysis.

442 The variables “month”, “day of the week”, “hour of the day”, “type of the incident”,  
443 “victim’s age” and “victim’s trade of work” were analyzed first. “Type of incident” and  
444 “victim’s trade of work” dominated all clusters, and the other variables had little effect on  
445 cluster formation. Therefore, these two variables were excluded in the two-step cluster  
446 analysis so that clusters could be formed. The variables “month”, “day”, “hour”, and “victims’  
447 age” were then analyzed via cluster analysis, and 25% was employed for the noise handling.  
448 The data were found to be clustered into four groups. The average silhouette was 0.3,  
449 indicating that the cluster quality is fair. The predictor importance of the four variables was:  
450 Month (1.00) > age (0.96) > time (0.63) > day of the week (0.21).

451 **Cluster 1: Accident occurred in the middle of the year (around July)**

452 **Median age of victims was 30-34**

453 **Accident occurred around 2:01-3:00 pm**

454 **Accident occurred in the beginning of the week**

455 **Cluster 2: Accident occurred around August**

456 **Median age of victims was 50-54**

457 **Accident occurred around 10:01-11:00 am**

458 Accident occurred in the middle of the week

459 Cluster 3: Accident occurred around October

460 Median age of victims was 45-49, early 50s

461 Accident occurred around 4:01-5:00 pm

462 Accident occurred Thursday and Friday

463 Cluster 4: Accident occurred around March

464 Median age of victims was 50-54, and 55 above

465 Accident occurred around 2:01-3:00 pm

466 Accident occurred in the beginning of the week

467 The results of the cluster analysis suggest that:

468 1. The variable “month of the incident” has the strongest predictor importance,  
469 followed by “age of victim”, “time of the incident”, and “day of the week of the  
470 incident”. This is consistent with the PCA results. Male workers dominate the manual  
471 workforce in construction and hence the number of fatalities. Accordingly, “gender” was  
472 excluded from this cluster analysis.

473 2. Cluster 1 includes cases where the victim’s median age was 30 to 34 years old,  
474 accidents that occurred at the beginning of the workweek at approximately 2:01-3:00 pm  
475 and in the middle of the year. Chan and Hon (2016) found that young workers were  
476 prone to accidents in RMAA projects after, somewhat paradoxically, a long rest from  
477 the weekend. Both findings suggest that a safety briefing session should be held on  
478 Mondays to remind workers, especially younger workers, of the safety measures, and a



479 more comprehensive safety check should be performed at the start of the week. Clusters  
480 2, 3 and 4 include victims whose ages are between 45 and 54. Table 6 shows that these  
481 workers are generally prone to accidents after the age of 45. More training should be  
482 provided for aged workers so that they can look after their physical well-being as they  
483 are aging.

484 3. In terms of the hour of the day of the incident, Clusters 1, 3 and 4 have accidents that  
485 occur in the afternoon. Workers might become fatigued after long hours of work.  
486 Measures such as longer and more frequent breaks should be introduced to help workers  
487 recover to enhance site safety. Day of the week of the accident is another dimension of  
488 the dataset. However, both PCA and cluster analysis suggest that its weighting is less  
489 than that of the other variables. Finally, as expected, more fatal accidents occurred in  
490 summer.

491 4. There are more fatal accidents in the private sector (151) than in the public sector  
492 (79). All clusters involve private sector projects. In addition, the results of another  
493 two-step cluster analysis on “sector” and “type of work” confirm the findings of our  
494 PCA, that is, RMAA projects in the private sector are prone to fatal incidents.

## 495 **LIMITATION AND FUTRUE RESEARCH**

496 This is the first study to explore the overall fatalities in Hong Kong with a focus on the  
497 relationships between the recorded variables. As WiseNews covers press reports, the data  
498 may not be consistent with those provided by the government. However, the findings were

499 derived from the most comprehensive set of publicly available fatality reports. This study  
500 provides insight for future research on, for example, the contextual situations and the chain of  
501 events that lead to the occurrence of not only construction fatalities but non-fatal accidents,  
502 finally providing solutions to reduce construction fatalities and accidents in Hong Kong and  
503 beyond. However, there are limitations in the study. WiseNews were relied on press reports.  
504 Firstly, there might be missing cases when the press did not follow up non-fatal cases, where  
505 the victims died later. Secondly, the cause of death for some cases could not be ascertained.  
506 For example, the fall of persons to death might be primarily due to sudden stroke or heat  
507 stress, rather than carelessness. Thirdly, the data were limited to manual construction workers  
508 of Hong Kong in a ten-year period. Further research into boarder geographical regions and  
509 longer periods would help put this study into a wider context.

## 510 CONCLUSION

511 This study explored all the reported fatalities in the construction industry of Hong Kong  
512 from 2006 to 2015. Two hours before and one hour after lunch, or two hours after working in  
513 the morning and one hour after the lunch break, were found to be more fatality-prone on  
514 construction sites. The age groups of “45-49”, “50-54”, and “55-59” dominated the fatalities,  
515 in both absolute and relative numbers. The results highlight the acute problems arising from  
516 labor aging and labor shortages. July was the most fatality-prone month. As shown by the  
517 statistical analyses, an exhausted and fatigued worker would be particularly exposed to  
518 dangers, especially during the hot and humid season. PCA and cluster analysis suggested that  
519 safety governance should be focused on RMAA projects from the private sector. Training or

520 retraining programs should be provided to manual works aged 45 and beyond. Improved  
521 safety briefing sessions should be introduced on Monday mornings for young workers. More  
522 rests and breaks, and avoidance of high risk work in the afternoons, especially in the hottest  
523 months (July and August) should be planned at the beginning of the project to help enhance  
524 site safety. Our findings on the timing of the accidents, age of the victims and identification  
525 of RMAA sector as the most accident-prone are similar to what have been found elsewhere.  
526 This is not unexpected because the construction industry has something in common among  
527 countries around the globe. Two of them are: (1) the labor aging and shortage problems,  
528 especially in advanced economies, and (2) building contractors, especially maintenance  
529 contractors, are usually small indigenous local firms. This in turn raises more questions on  
530 what we should do to rectify the problems. The provision of further training and of more  
531 breaks during the hot season should be done immediately. However, for the long-term, we  
532 have to address the structural problems of labor shortage and labor-intensiveness of the  
533 building and maintenance sector through capital-labor substitution. Further mechanization,  
534 automation and prefabrication have to be explored to reduce the need of labor and working  
535 hours. This labor supply crisis has indeed given us the opportunity to seriously reflect on  
536 what has to be done. Is there an optimal mix of labor and technology that will sustain the  
537 construction industry? What would be the changes in the required skill sets and the  
538 consequences for training? Based on the findings presented here, the next stage of our  
539 research will involve different stakeholders to explore the short and long term, and the more  
540 specific, solutions. The talk of reforming the industry cannot remain just talk forever. With

541 the highest fatality and the longest working hours, and hence the possible connection between  
542 the two, Hong Kong probably provides the extreme case for further comparative study. This  
543 study nevertheless suggests that the construction industry around the globe probably shares  
544 more commonalities of accidents than differences. Surely one can learn from each other to  
545 address the acute problem of safety in construction.

546

547

#### 548 **DATA AVAILABILITY STATEMENT**

549 Some of data generated or analyzed during the study are included in the published paper.

550 Other data generated or analyzed during the study are available from the corresponding

551 author by request.

552

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