

# Nanoscale Friction Characteristics of Hydrated Montmorillonites Using Molecular Dynamics

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15 **Abstract:** The interparticle friction behavior of saturated clay controls its mechanical properties,  
16 but remains unclear at nanoscale. As one of major clay minerals, the hydrated montmorillonite  
17 (MMT) is selected to investigate the nanoscale friction characteristics using Molecular Dynamics  
18 simulation method. Two portions of MMT representing two particles with a water film in the  
19 middle are created to simulate an undrained system. A virtual spring is applied on the upper MMT  
20 portion to provide the sliding with a constant velocity relative to the bottom portion. The effects  
21 of normal load and sliding velocity on the frictional behavior are then investigated. The friction  
22 coefficients of hydrated MMT at different cases were measured and compared with other  
23 experimental and simulation results for the validation. The evolution of normal load with the  
24 number of hydrogen bonds for hydrated MMT was finally analyzed. All simulation results  
25 indicated that the friction load fluctuated periodically with a cycle of about  $9.10 \text{ \AA}$  at sliding  
26 velocity inferior  $0.001 \text{ \AA} \cdot \text{fs}^{-1}$ , which was nearly equal to montmorillonite's lattice constant along  
27 the sliding direction; the fluctuation amplitude of the friction load increased with the decreasing  
28 sliding velocity; the relationship between the average friction load and the logarithm of sliding  
29 velocity followed a power function; the friction coefficient and the cohesion were found to increase  
30 approximately linearly with sliding velocity.

31 **Keywords:** Hydrated montmorillonite; Molecular Dynamics; Nanoscale friction; Normal load;  
32 Sliding velocity

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## 33 1. Introduction

34 Soft clay is one of the problematic soils in geotechnical engineering due to its high  
35 compressibility, low undrained shear strength, significant time-dependent behavior, etc. (Zou et  
36 al., 2018; Salimi and Ghorbani, 2020; Zhu et al., 2020). It is widely distributed in considerable  
37 parts of the earth, including many low-land and coastal regions (Hamidi and Marandi, 2018). The  
38 complex physical and mechanical properties of soft clay are closely related to its microstructure  
39 (Wu, 2018). The clay's deformation is always because of the sliding between particles, and the  
40 friction properties between particles are fundamental in determining the mechanical properties of  
41 clay. Soft clay contains lots of clay minerals, and the montmorillonite (MMT) is one of major clay  
42 minerals (Ajmera and Tiwari, 2012; Zhang et al., 2018), which plays an important role in the  
43 mechanical properties of soft clay interacting with water due to its strong water sorption capacity  
44 (Zheng and Zaoui, 2011). Previous studies have indicated that MMT had an essential impact on  
45 controlling failure strength owing to its weak friction coefficient (Summers and Byerlee, 1977;  
46 Shimamoto and Logan, 1981; Tetsuka et al., 2018), low permeability, and high expansibility  
47 (Zheng and Zaoui, 2018). The abnormally large slip (50 ~ 80 m) in the 2011 Tohoku-Japan  
48 earthquake was attributed to the presence of MMT in the fault zone (Fulton et al., 2013; Ujiie et  
49 al., 2013). The micromechanics of clay minerals can essentially determine engineering properties  
50 in the soft clay (Zhang et al., 2018; Yang et al., 2019).

51 Experiments, such as rotary-shear friction test (Kubo and Katayama, 2015; Hirono et al., 2019),  
52 biaxial friction test (Tetsuka et al., 2018), and triaxial shear test (Behnsen and Faulkner, 2013)  
53 have been employed to study the friction behavior of MMT. Previous studies showed that the  
54 friction coefficients of MMT found by experiments were scattered under different test conditions,  
55 such as hydration state (Morrow et al., 2017; Tetsuka et al., 2018), temperature (Kubo and

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56 Katayama, 2015), normal stress (Saffer et al., 2001; Hirono et al., 2019), interlayer cation  
57 (Behnsen and Faulkner, 2013), and sliding velocity ( $v$ ) (Saffer et al., 2001). It was found that  
58 humidity could reduce the friction strength of MMT (Tetsuka et al., 2018); the dependence of shear  
59 stress on normal stress was highly linear (Hirono et al., 2019); the friction stress of MMT related  
60 to the sliding velocity and normal stress (Saffer et al., 2001). These studies have significantly  
61 advanced the knowledge of the friction behavior on MMT-based soils. However, macroscopic  
62 experiments were not able to reveal what happened precisely in the contact surface of particles.  
63 There are more basic mechanisms of friction that exist at the atomic scale (Krim, 1996). Thus, it  
64 is necessary to extend the research of the friction mechanism of the clay-water system from macro-  
65 to micro-scale.

66 With the rapid development of nanoscience and technology at the end of the 20th century, many  
67 mechanical phenomena and their mechanism have been explored from macroscale to nanoscale.  
68 Nano-tribology (Hölscher et al., 2008) has been gradually evolving into scientific and  
69 technological development in the field of tribology. It has been pointed out that microscopic or  
70 atomic scale tribology was a new field that needed to be developed urgently in the future (Winer,  
71 1990). So far, theoretical, experimental, and simulation methods have been well developed in the  
72 field of nano-tribology. Atomic force microscopy (AFM) experiment has been performed to study  
73 the nanoscale friction behavior of graphene (Ye et al., 2016), polystyrenes (Bistac et al., 2008),  
74 diamondlike carbon (Dunckle et al., 2010), and muscovite mica (Erlandsson et al., 1988). Friction  
75 Force Microscopy (FFM) (Bhushan and Kulkarni, 1996), Optical Microscope (OM), and Scanning  
76 Electron Microscopy (SEM) have been applied to explore the friction effect of nanocomposites  
77 (Sirong et al., 2007). Theoretical analysis has also been performed to study thermal activation in

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78 atomic friction (Dong et al., 2012) and the velocity dependence of atomic friction (Gnecco et al.,  
79 2000).

80 Molecular dynamics (MD) simulation is a well-established tool to investigate the sliding friction  
81 on graphene in water (Vilhena et al., 2016), the mechanism of friction in rotating carbon nanotube  
82 bearings (Cook et al., 2013), and the adhesion of silver nanoparticles on the clay substrates  
83 (Tokarský et al., 2010). At most friction processes, sliding can cause heating, deformation,  
84 chemical changes, and wear of the system's surface layer, affecting the friction behavior (Wen,  
85 2008). Therefore, the sliding state in nanoscale tribology could directly be affected by the sliding  
86 velocity, normal load ( $F_n$ ), and so on (Zhang and Zhang, 2014). Moreover, many studies in  
87 nanoscale tribology also showed that the relationship between friction load and many factors was  
88 very complex. For example, the relationship of normal load and friction load may be linear (Mate  
89 et al., 1987; Erlandsson et al., 1988; Filleter et al., 2009) or nonlinear (Fusco and Fasolino, 2004;  
90 Riedo et al., 2004; Gao et al., 2007; Mo et al., 2009); the relationship of velocity and friction load  
91 was found to be logarithmic (Riedo et al., 2003), power exponential (Dong et al., 2012), or linear  
92 at low velocity (Müser, 2011); others found that the relation of the logarithmic velocity and friction  
93 load followed power exponential (Sang et al., 2001), or linear only at high velocity (Müser, 2011).  
94 In nanoscale tribology, many studies have been performed on the nanoscale friction behavior of  
95 graphene (Ye et al., 2016), carbon (Dunckle et al., 2010), muscovite mica (Erlandsson et al., 1988),  
96 nanocomposites (Sirong et al., 2007), etc. However, the effect of external factors, such as normal  
97 load, sliding velocity, etc., on the nanoscale friction behavior of clay minerals remains unknown.

98 In this study, MD simulations have been performed to investigate the effect of normal load and  
99 sliding velocity on the nanoscale friction characteristic of hydrated MMT. The relationship  
100 between the friction load ( $f$ ) and the normal load, as well as the sliding velocity, has been

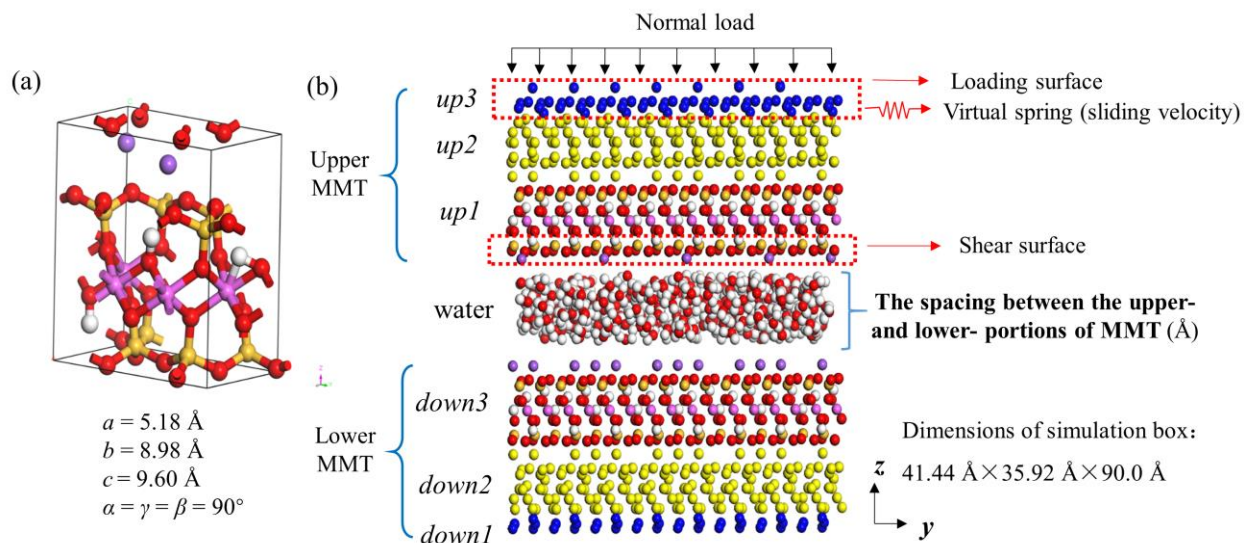
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101 investigated. The effect of normal load on the number of HBonds for hydrated MMT is analyzed.  
102 The evolution of friction load with time and sliding distance, the effect of the sliding velocity on  
103 the local deformations of the studied system, the friction coefficient, and the cohesion have also  
104 been discussed at the end.

## 105 **2. Simulation details**

### 106 2.1. System setup

107 MMT model introduced by Viani and coworkers (Viani et al., 2002) was used in this work,  
108 consisting of an alumina octahedral sheet sandwiched between two silica tetrahedral sheets, with  
109 the chemical formula of  $\text{Na}_{0.75}(\text{Si}_{7.75}\text{Al}_{0.25})(\text{Al}_{3.5}\text{Mg}_{0.5})\text{O}_{20}(\text{OH})_4 \cdot n\text{H}_2\text{O}$ , shown in Figure 1(a).  
110 The lattice parameters of MMT were  $a = 5.18 \text{ \AA}$ ,  $b = 8.98 \text{ \AA}$ ,  $c = 9.60 \text{ \AA}$ ,  $\alpha = \gamma = \beta = 90^\circ$ . The  
111 hydrated MMT model contained two MMT portions sandwiched with a water film, which  
112 contained 260 water molecules, as shown in Figure 1(b). The model in this work was to simulate  
113 an undrained system, so the water content of the system remained constant. This is a limit case  
114 with respect to real clay since there always exist exchanges between interlayer water and free water  
115 in larger pores. The upper (containing *up1*, *up2*, and *up3*) and the lower (containing *down1*, *down2*,  
116 and *down3*) portions of MMT both consisted of 64 unit cells ( $8a \times 4b \times 2c$ ). The simulation box  
117 dimensions were  $41.44 \text{ \AA} \times 35.92 \text{ \AA} \times 90.0 \text{ \AA}$ , including a vacuum of about  $40 \text{ \AA}$  in the  $z$ -direction,  
118 to avoid the interaction between the neighboring upper and lower portions of MMT due to the  
119 periodicity of the  $z$ -direction. The area of the loading surface (equal to the area of the shear surface,  
120  $[0\ 0\ 1]$  crystal plane) was  $41.44 \times 35.92 \text{ \AA}^2$ .



121  
 122 **Figure 1.** Hydrated MMT model used in this work: (a) Unit cell of MMT ( $1a \times 1b \times 1c$ ); (b)  
 123 two MMT portions sandwiched with a water film: *down1* (blue) – rigid and fixed the position; *up3*  
 124 (blue) – rigid and upon applied a normal load and a controlled sliding velocity via a virtual spring  
 125 along the *y*-direction; *up2* and *down2* (yellow) - temperature-controlled layers; other atoms  
 126 (colored) - free to move.

127 The whole simulation model was divided into three parts, the rigid part (blue), the thermal  
 128 control part (yellow), and the free regions (colored), as shown in Figure 1(b). The *up3* and *down1*  
 129 sheets were set as rigid bodies (blue), where the *up3* sheet transferred the external vertical loads  
 130 on the clay-water system without structural deformation, and the *down1* sheet was fixed in its  
 131 position during the whole simulation. The *up2* and *down2* sheets were set as temperature-  
 132 controlled layers (yellow) to keep the whole system at a target temperature. The *up1* and *down3*  
 133 sheets as well as the water film in between, were free to move. The same normal load was applied  
 134 on each atom of *up3* sheet perpendicular to the clay mineral layers, and a virtual spring was applied  
 135 on the *up3* sheet to provide a constant sliding velocity along the *y*-direction ( $[0\ 1\ 0]$  crystal  
 136 orientation). This virtual spring, at the same time, was used to obtain the friction load between the

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137 two clay mineral portions, which was the sum of the interaction forces between atoms along the  $y$ -  
138 direction. This simulation setting method of the system was drawn from the method presented in  
139 the literature (Li and Branicio, 2019).

140 To test the effect of the stiffness coefficient of the virtual spring on the simulation results, a  
141 series of simulations have been carried out with different stiffness of virtual spring, set as 10, 50,  
142 100, 500, and 1000 N/m, under the same conditions with the sliding velocity of virtual spring of  
143  $0.001 \text{ \AA} \cdot \text{fs}^{-1}$  and the normal load of 10 GPa. The evolution of the sliding velocity of the upper  
144 MMT ( $v_{\text{MMT}}$ ) and the friction load with time under different stiffness coefficients are provided in  
145 Figure S1, which can be found online in the Supplementary Material. The stiffness coefficient of  
146 the virtual spring had a great influence on the time of the upper MMT portion to achieve the  
147 determined velocity. The higher the stiffness coefficient, the faster the velocity of the upper MMT  
148 portion approached the virtual spring's velocity. The system with the spring stiffness coefficient  
149 of 10 N/m needed at least 100 ps to achieve the equilibrium state, as shown in Figure S1(a),  
150 whereas the system with the spring stiffness coefficient superior or equal to 50 N/m required at  
151 most 20 ps. On the other hand, the stiffness coefficient of the virtual spring did not affect the result  
152 of friction load when the sliding velocity of the upper MMT portion equaled to that of the virtual  
153 spring (Figure S1(b)). Therefore, the stiffness coefficient of 100 N/m was selected for all  
154 simulations performed in this work to ensure reliability and save computing resources.

## 155 2.2. Geometry optimization

156 MD simulations were performed with LAMMPS (Plimpton, 1995) code. CLAYFF (Cygan et al.,  
157 2004) force field was applied in the simulation models, which has been widely used for clay  
158 minerals in the MD simulations (Seppälä et al., 2016; Zheng and Zaoui, 2018). The non-bonded  
159 and bonded interaction parameters of the CLAYFF force field were taken from the literature



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160 (Cygan et al., 2004). Three-dimensional periodic boundary conditions, the Lennard-Jones potential  
161 rule with a cut-off radius of 10 Å, and the Verlet algorithm (Frenkel et al., 1997) for the integral  
162 of motion equation were applied in the simulations. The Ewald method (Darden et al., 1993) with  
163 an accuracy of 1.0e-6 kcal/mol and a cut-off radius of 8.5 Å were employed for Long-range  
164 electrostatic interactions. The water molecule model applied in this work was the SPC water model  
165 (Teleman et al., 1987), which has a rigid geometry with an angle of 109.47° and a bond length of  
166 1.0 Å controlled by the SHAKE method. Total potential energy was calculated by equation (1).  
167 Energy minimization was applied for geometry optimization using both the steepest descent (SD)  
168 method and the conjugate gradient (CG) method. The convergence tolerance was controlled for  
169 the energy of 1.0e-12, the force of 1.0e-14 kcal·(mol·Å)<sup>-1</sup>, and the maximum iteration number of  
170 1000.

$$171 \quad E_{\text{total}} = E_{\text{bond stretch}} + E_{\text{angle bend}} + E_{\text{Coulomb}} + E_{\text{VDW}} \quad (1)$$

172 where  $E_{\text{bond stretch}}$ ,  $E_{\text{angle bend}}$ ,  $E_{\text{Coulomb}}$ , and  $E_{\text{VDW}}$  are bond stretch energy, angle bend energy,  
173 coulombic energy, and van der Waals energy, respectively. Only the bond of oxygen and hydrogen  
174 (in water or hydroxyl) was included in the bond stretch energy.

$$175 \quad E_{\text{bond stretch}} = k_1 (r_{ij} - r_0)^2 \quad (2)$$

$$176 \quad E_{\text{angle stretch}} = k_2 (\theta_{ijk} - \theta_0)^2 \quad (3)$$

177 where  $k_1$  is force constant and  $r_0$  represents the equilibrium bond length, both taken from the  
178 flexible version of the SPC water model.  $r_{ij}$  is the distance between atoms  $i$  and  $j$ . Only the  
179 hydrogen-oxygen-hydrogen angle in water molecule was considered in the angle bend energy,  
180 where  $k_2$  is force constant,  $\theta_{ijk}$  is the bond angle of hydrogen-oxygen-hydrogen, and  $\theta_0$  represents  
181 the equilibrium bond angle.

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182 
$$E_{\text{VDW}} = 4\varepsilon_{ij} \left[ \left( \frac{\sigma_{ij}}{r_{ij}} \right)^{12} - \left( \frac{\sigma_{ij}}{r_{ij}} \right)^6 \right] \quad (4)$$

183 
$$E_{\text{Coulomb}} = \frac{e^2 q_i q_j}{4\pi\varepsilon_0 r_{ij}} \quad (5)$$

184 where  $q_i$  and  $q_j$  are the charges of atoms  $i$  and  $j$ , respectively.  $\varepsilon_0$  is the dielectric constant.  $\sigma$  and  $\varepsilon$   
 185 are the size and energy parameters, respectively. Moreover,  $\sigma_{ij}$  and  $\varepsilon_{ij}$  can be obtained by Mixing  
 186 Lorentz-Berthelot's law (Frenkel and Smit, 2001), as shown in equations (6) and (7).

187 
$$\sigma_{ij} = \frac{\sigma_i + \sigma_j}{2} \quad (6)$$

188 
$$\varepsilon_{ij} = \sqrt{\varepsilon_i \varepsilon_j} \quad (7)$$

189 **2.3. Relaxation and simulation details**

190 The system was equilibrated for 100 ps with a time step of 1.0 fs with the canonical (NVT)  
 191 ensemble, using the Langevin algorithm with a damp parameter of 100 to control the temperature  
 192 of the system; followed by 100 ps with the isothermal-isobaric (NPT) ensemble to ensure the  
 193 temperature and the pressure of the whole system as defined. The temperature of 300 K and the  
 194 pressure of 1.0 atm were set during the whole simulation. Subsequently, the system was  
 195 equilibrated for 100 ps with NVT ensemble at different normal loads. Thereafter, the friction tests  
 196 were performed for 50 ps with the NVT ensemble through steered molecular dynamics (SMD) at  
 197 different sliding velocities. However, to better study the friction behavior of the clay-water system  
 198 in cases at low sliding velocities (0.0001, 0.0005, and 0.001 Å•fs<sup>-1</sup>), simulation time was extended  
 199 to ensure the sliding distance of about 100 Å.

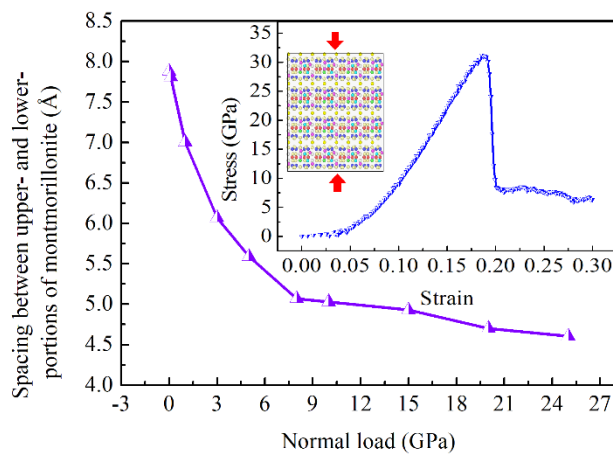
200 **3. Results and discussions**

201 **3.1. Effect of normal load on friction properties**

202 The relationship between the friction load and the normal loads at the nanoscale is more complex,  
203 because the atomic friction process involves complex surface effects such as adhesion effect and  
204 surface contact mode etc. (Luan and Robbins, 2005).

205 To avoid the structural failure of hydrated MMT during friction test due to the normal load  
206 exceeding its ultimate strength, a uniaxial compression test was carried out on a dry MMT ( $8a \times$   
207  $4b \times 4c$ , containing 128 unit cells) along  $z$ -direction ( $[0\ 0\ 1]$  crystal orientation) at the strain rate  
208 of  $5 \times 10^{-6} \text{ fs}^{-1}$ . The evolution of the stress with the strain of dry MMT along the  $z$ -direction is  
209 shown in the upper-right corner of Figure 2. The compressive strength of dry MMT obtained from  
210 the stress-strain curve was 31.24 GPa, corresponding to a strain of 0.186. Thereafter, the normal  
211 load on the hydrated MMT was controlled inferior to 25 GPa in the friction tests.

212 The evolution of the spacing between the upper and lower portions of MMT with increasing  
213 normal loads is shown in Figure 2. This spacing gradually declined from 7.88 to 4.605 Å as the  
214 normal load increased, indicating an increase in the contraction of the clay-water system under  
215 pressure. Consequently, the energy of the hydrated MMT rose with normal load with the evolution  
216 of the increment of energy shown in Figure S2, where the increment is relative to the energy of  
217 hydrated MMT at a normal load of 1 atm.

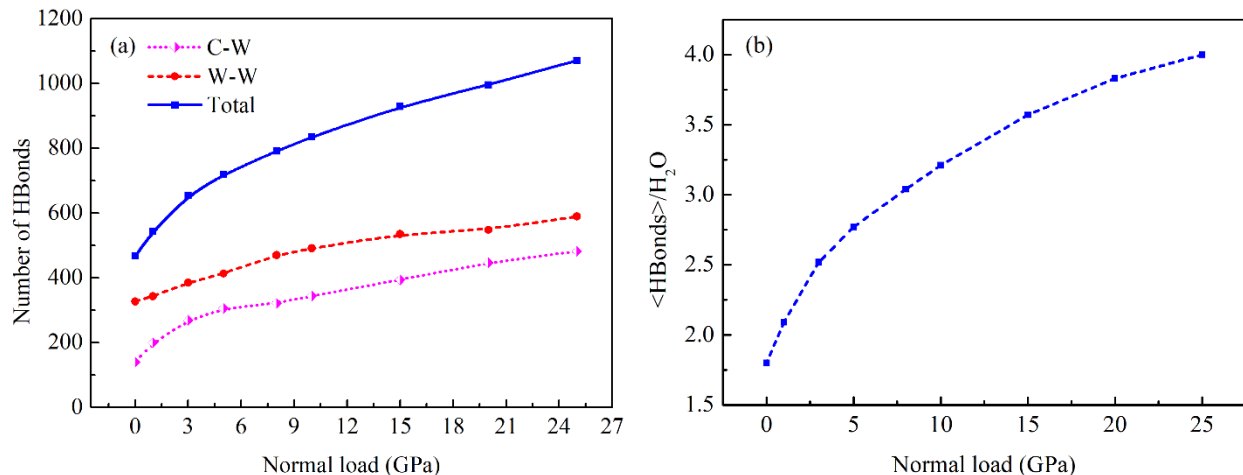


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219 **Figure 2.** The effect of the normal load on the hydrated MMT: Evolution of the spacing between  
220 the upper and lower portions of MMT with normal load during the relaxation with NVT ensemble;  
221 the relationship of stress and strain of dry MMT using uniaxial compression test along the  $z$ -  
222 direction at the strain rate of  $5 \times 10^{-6} \text{ fs}^{-1}$  shown in the upper-right graph.

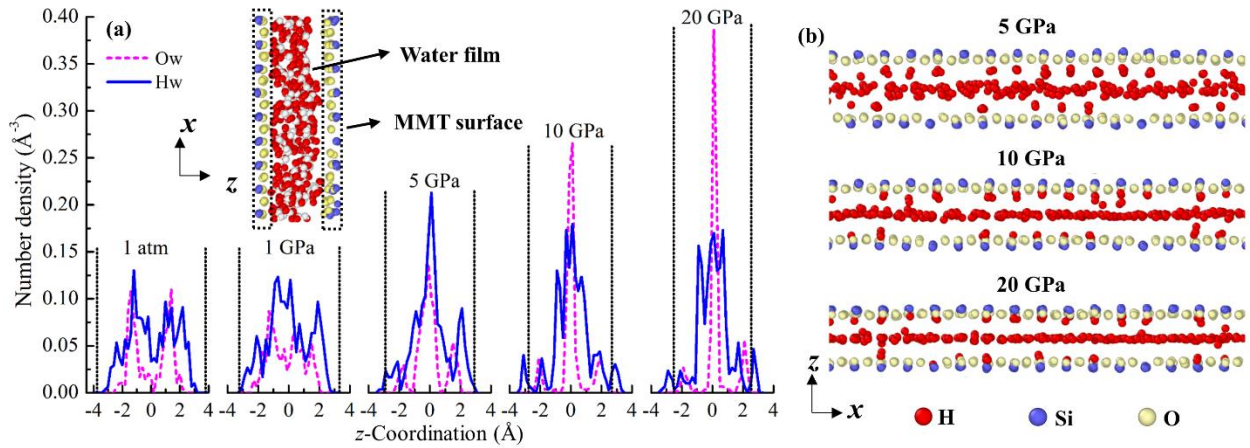
223 The narrowing of the space between the upper and lower portions of MMT can also influence  
224 the hydrogen bonds (HBonds) network. The effect of normal load on the number of HBonds for  
225 hydrated MMT was studied, as shown in Figure 3. For the hydrated MMT system, there are two  
226 types of HBonds: (1) the C-W HBonds (Clay-Water) is established between water molecules and  
227 the acceptor oxygen atoms of the MMT surface; (2) the W-W HBonds (Water-Water) is  
228 established between water molecules. A cut-off distance of 2.4 Å for O...H and an angle cut-off  
229 of 30° in H...O-O was employed to set the criterion for the formation of the HBonds, presented  
230 by Martí (Martí, 1999). The number of the C-W and the W-W HBonds in the hydrated MMT under  
231 different normal loads during the simulation were shown in Figure S3(a) and (b), respectively. The  
232 average number of HBonds of the latter 80 ps during the relaxation varied with the normal load,  
233 shown in Figure 3(a). The higher the normal load, the faster the formation of the HBonds, the more  
234 the number of the HBonds as well as the average number of total HBonds per water molecule  
235 (Figure 3(b)).



236  
 237 **Figure 3.** The effect of normal load on the number of the HBonds in hydrated MMT: (a) Evolution  
 238 of the average number for the latter 80 ps of C-W (Clay - Water), W-W (Water-Water), and total  
 239 HBonds with the normal load; (b) Average number of total HBonds per water molecule.

240 The distribution of oxygen and hydrogen in the water film at different normal loads is shown in  
 241 Figure 4. The peak in the density profile curve of oxygen can be interpreted as an approximate  
 242 number of layers of water molecules (Abbasi et al., 2020). As shown in Figure 4(a), it was a two-  
 243 layer water film under the normal load of 1 atm; with the increasing normal load, the water  
 244 molecules redistributed and formed an unstable three-layer water film at 1 GPa, and then a stable  
 245 three-layer water film under loads over 5 GPa. As the normal load rose, the intermediate peak of  
 246 the number density of the oxygen increased. With the increasing normal load, the distribution of  
 247 oxygens and hydrogens of free water molecules trended to be in a plane (Figure 4(b)), which  
 248 resulted in the concentration of the distribution of oxygen of free water, and then the augmentation  
 249 of the W-W HBonds number (Figure S3(b)). On the other hand, the distance between the nearby  
 250 water molecules and the MMT surface gradually decreased as the normal load increased. Moreover,  
 251 the hydrogens were close to the MMT surfaces with the increasing normal load, and then entered  
 252 the MMT surface constantly at normal load over 5 GPa (Figure 4(b)), corresponding to the blue

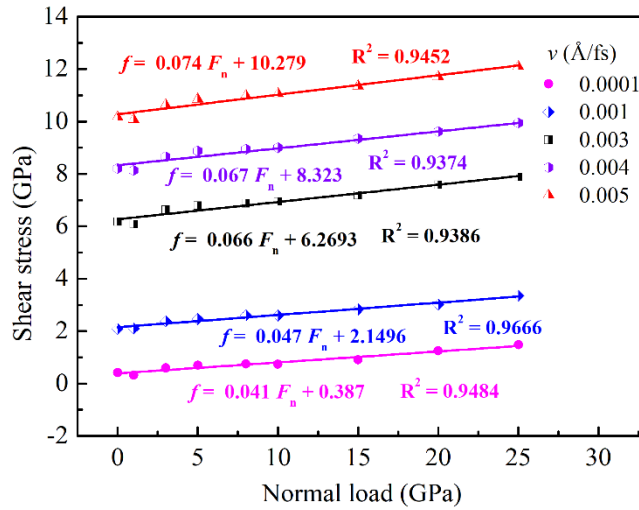
253 line crossing the black dotted line (Figure 4(a)). Therefore, under a high normal load, it favored  
 254 the formation of the C-W HBonds, which indicated the number of the C-W HBonds increasing  
 255 with the normal load.



256  
 257 **Figure 4.** The effect of normal load on the distribution of water molecules between MMT portions:  
 258 (a) The number density profile of oxygen (Ow) and hydrogen (Hw) in the water film at different  
 259 normal loads, where the zero corresponds to the center of the interlaminar space, and the black  
 260 dotted lines represent the MMT surface; a small slice of thickness for each chunk is 0.2 Å. (b) The  
 261 distribution of interlayer hydrogen between MMT surface sheets, only hydrogen of water  
 262 molecules as well as silicon and oxygen of MMT surface are rendered for better illustration.

263 Additionally, the shear stress (average friction load divided by shear surface area) augmented  
 264 with the normal load, as shown in Figure 5. The shear stress followed a linear relationship with  
 265 normal loads, which had the same tendency for the cases at different velocities. This similar  
 266 relationship was also found in some previous researches, such as the friction properties of the  
 267 muscovite mica using AFM (Erlandsson et al., 1988) and graphene films using means of angle-  
 268 resolved photoemission spectroscopy (Filleter et al., 2009). The narrowing of the space between  
 269 the upper and lower portions of MMT with increasing normal load, results in reducing the distance  
 270 between the MMT surface atoms, which augments the interlayer attraction, the number of total

271 HBonds and water layers at the interlaminar space, as well as the energy of the system. Therefore,  
 272 the higher the normal load, the more energy is needed to overcome these interlayer interactions to  
 273 slide, resulted in a continuous increase of the friction load.



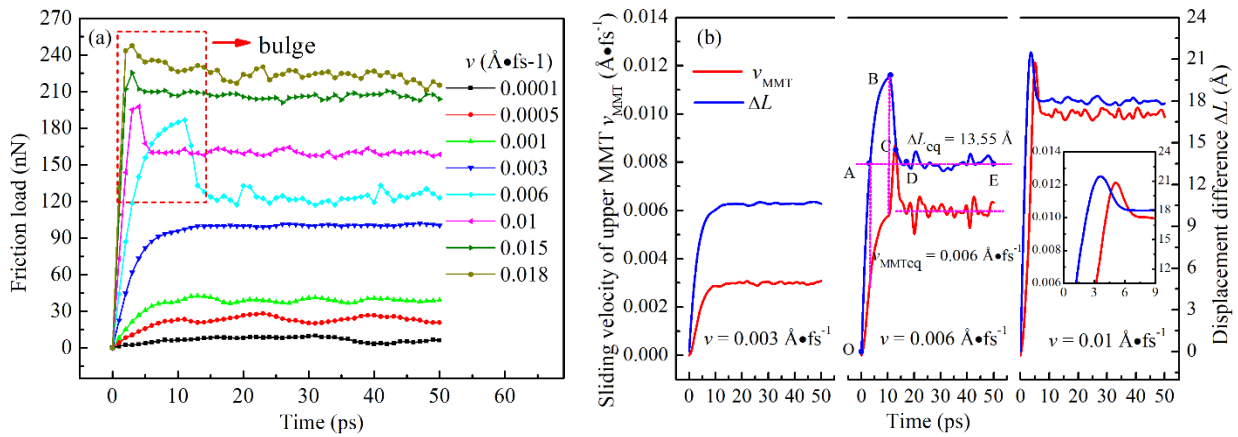
274  
 275 **Figure 5.** Evolution of shear stress with normal load at different sliding velocities.

276 3.2. Effect of sliding velocity on friction properties

277 The evolution of the friction load with time under different sliding velocities in the hydrated  
 278 MMT was investigated at a normal load of 10 GPa, as shown in Figure 6(a). At the sliding velocity  
 279 inferior or equal to  $0.003 \text{ \AA} \cdot \text{fs}^{-1}$ , the friction load rose gradually with time, and then fluctuated  
 280 around a certain value, which indicated that the sliding process reached a relatively stable state.  
 281 However, at a sliding velocity superior or equal to  $0.006 \text{ \AA} \cdot \text{fs}^{-1}$ , friction load rose firstly to its  
 282 maximum value and then decreased to a certain value.

283 To explore the bulging phenomenon of the friction load, the cases at the sliding velocity of  
 284 virtual spring of 0.003, 0.006, and  $0.01 \text{ \AA} \cdot \text{fs}^{-1}$  were analyzed and shown in Figure 6(b). Since the  
 285 existence of the virtual spring in the simulation, the sliding velocity of the upper MMT portion  
 286 ( $v_{\text{MMT}}$ ) was not exactly the sliding velocity of the virtual spring ( $v$ ). Thereafter, the displacement  
 287 of the MMT portion and the virtual spring was not exactly the same with a difference of  $\Delta L$ , where

288  $\Delta L$  is the difference of the sliding distance between the spring and the upper MMT portion. At the  
 289 sliding velocity over  $0.006 \text{ \AA}\cdot\text{fs}^{-1}$ , the bulging phenomenon of the friction load was attributed to  
 290 the inconsistent increase of the  $\Delta L$  and  $v_{\text{MMT}}$  with time. As shown in Figure 6(b), the  $v_{\text{MMT}}$  and  $\Delta L$   
 291 are not increasing to the  $v_{\text{MMTeq}}$  and  $\Delta L_{\text{eq}}$  at the same time at OA stage, where the  $v_{\text{MMTeq}}$  and  $\Delta L_{\text{eq}}$   
 292 are corresponding to the average of  $v_{\text{MMT}}$  and  $\Delta L$  during the equilibrium state (DE stage). The  
 293 asynchronism displacement of the MMT portion and the virtual spring, led to the excess elastic  
 294 potential energy of the virtual spring accumulating at AB stage and then releasing at BC and CD  
 295 stages, equilibrating at DE stage in the end. However, the bulging phenomenon was not present at  
 296 the velocity of  $0.003 \text{ \AA}\cdot\text{fs}^{-1}$  due to the increasing consistency of the  $\Delta L$  and  $v_{\text{MMT}}$ .

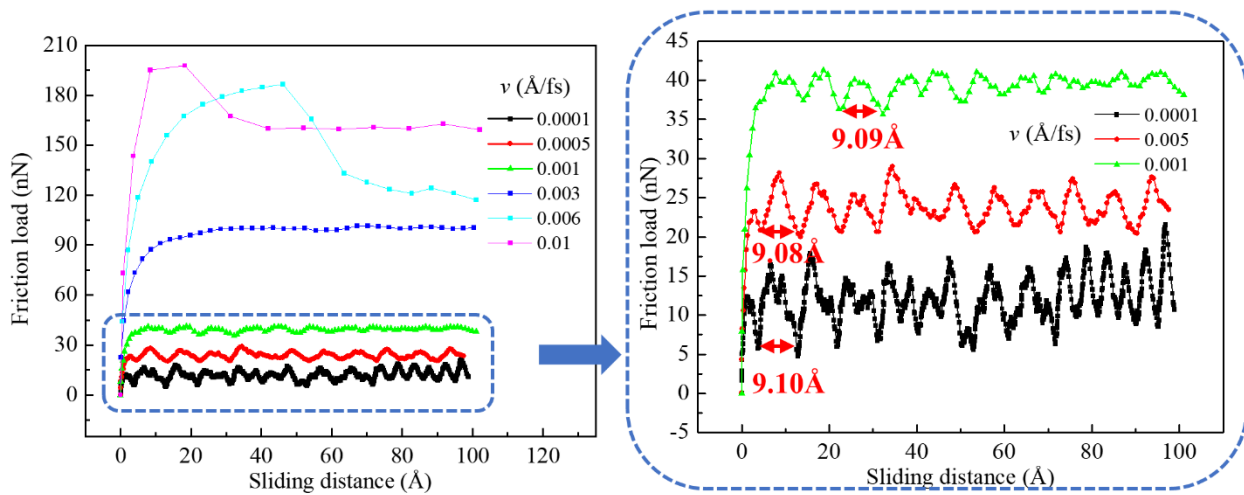


297  
 298 **Figure 6.** The development of the friction behavior with time for hydrated MMT: (a) evolution of  
 299 the friction load with time at different sliding velocities at a normal load of 10 GPa; (b) evolution  
 300 of the sliding velocity of upper MMT ( $v_{\text{MMT}}$ ) and the displacement difference ( $\Delta L$ ) of virtual  
 301 spring, with time at different sliding velocity ( $v$ ), where  $\Delta L$  is the difference of the sliding distance  
 302 between the spring and the upper MMT portion.;  $\Delta L_{\text{eq}}$  is the average of  $\Delta L$  during the equilibrium  
 303 state (DE stage);  $v_{\text{MMTeq}}$ : the average of  $v_{\text{MMT}}$  during the equilibrium state (DE stage).

304 The evolution of friction load with sliding distance at different sliding velocities was shown in  
 305 Figure 7. The friction load presented a periodic crenelated wave phenomenon at the sliding



306 velocity inferior or equal to  $0.001 \text{ \AA}\cdot\text{fs}^{-1}$ , illustrating that the stick-slip effect (Mate et al., 1987)  
 307 existed in the sliding process. The fluctuation of friction load presented a periodicity with sliding  
 308 distance, and the cycle was approximately equal to montmorillonite's lattice constant of  $8.98 \text{ \AA}$   
 309 (Figure S4(a) and (b)) along the sliding direction ( $y$ -direction). As the MMT portion slides, the  
 310 distance between the adjacent surface atoms changes, then the interaction between these atoms  
 311 alters accordingly. The slower the sliding velocity, the easier this interaction to be generated;  
 312 thereafter, the more energy is required to break these interactions and slide. As a result, the  
 313 fluctuation amplitude of the friction load increases with decreasing sliding velocity. However, the  
 314 fluctuation is negligible at a sliding velocity greater than  $0.001 \text{ \AA}\cdot\text{fs}^{-1}$ .



315  
 316 **Figure 7.** The effect of sliding velocity ( $v$ ) on the friction behavior of hydrated MMT: Evolution  
 317 of friction load with sliding distance at a normal load of 10 GPa.

318 The friction coefficient ( $\mu$ ) can be obtained via equation (8),

$$319 \quad f = \mu F_n + F_0 \quad (8)$$

320 where  $f$  is the friction load,  $\mu$  the friction coefficient,  $F_n$  the applied normal force, and  $F_0$  the offset  
 321 friction load when  $F_n = 0$ .

322 Fitting from the curves of shear and normal stress (Figure 5), the friction coefficient ( $\mu$ ) found  
323 in this work were 0.041, 0.047, 0.066, 0.067, and 0.074, corresponding to sliding velocities of  
324 0.0001, 0.001, 0.003, 0.004, and 0.005  $\text{\AA}\cdot\text{fs}^{-1}$ , respectively. Although the friction coefficient of  
325 hydrated MMT increased with the sliding velocity, it was still ultra-low on the MMT surface  
326 (Summers and Byerlee, 1977; Shimamoto and Logan, 1981; Tetsuka et al., 2018). Results in this  
327 work as well as those of the available experiments and simulations, were collected and shown in  
328 Table 1. The friction coefficients found in this work agreed well with the previous researches (Liu  
329 et al., 1998; Ikari et al., 2007; Takahashi et al., 2007; Behnsen and Faulkner, 2013; Morrow et al.,  
330 2017; Tetsuka et al., 2018; Hirono et al., 2019; Abbasi et al., 2020). The existence of minor  
331 differences between results in this work and previous results, maybe due to the difference in the  
332 scale effect (macro- and micro-scale), hydrated degree, sliding velocity, etc.

333 **Table 1.** Friction coefficient ( $\mu$ ) of hydrated MMT at room temperature (300 K) compared to  
334 previous experimental and simulation results.

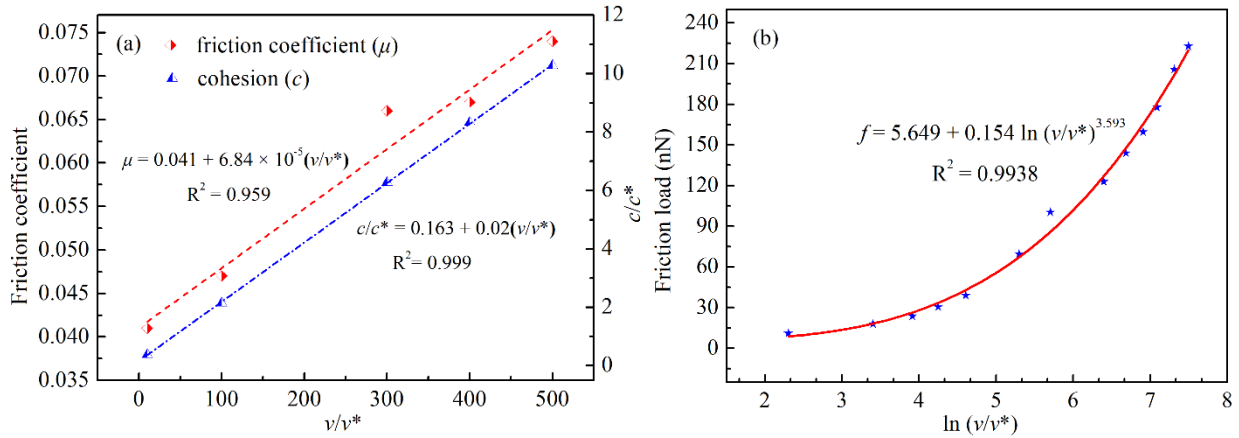
Friction coefficient ( $\mu$ )	Notes
0.041, 0.047, 0.066, 0.067, and 0.074	Simulation results of this work, corresponding to the sliding velocity of 0.0001, 0.001, 0.003, 0.004, and 0.005 $\text{\AA}\cdot\text{fs}^{-1}$ , respectively, at room temperature.
0.058 (Abbasi et al., 2020)	The average friction coefficient of Na-MMT with the water content of 10% ~ 40% obtained by a shear test using MD simulation, at a temperature of 300K, normal stress of 5 ~ 12.5 GPa, and a constant shear strain rate of $2 \times 10^9 \text{ s}^{-1}$ .
0 ~ 0.130 (Liu et al., 1998)	Using the AFM test, the value of the friction coefficient of mica depends on the lateral scan size and a scan rate of AFM.
0.018, 0.055, 0.064 (Hirono et al., 2019)	Rotary-shear friction test, at different shear stresses (initial shear strength, peak shear stress and dynamic shear stress, respectively).
0.030 ~ 0.220 (Tetsuka et al., 2018)	Biaxial friction testing (Ca-MMT), at different humidifies ( $11\% \pm 1 \sim 93 \pm 7\%$ ) and normal stress of 10 MPa.

0.060 ~ 0.330 (Tetsuka et al., 2018)	Biaxial friction testing (Na-MMT), at different humidities (10% ± 1 ~ 91 ± 7%) and normal stress of 10 MPa.
0.100 ~ 0.280 (Morrow et al., 2017)	Shear test, at room temperature and the range of normal stress 0 ~ 700 MPa.
0.110 (Ca-MMT and Mg-MMT), 0.150 (Na-MMT), 0.260 (K-MMT) (Behnsen and Faulkner, 2013)	Triaxial shear test, at the range of normal stress 10 ~ 100 MPa and loading rate of 0.5 μm/s.
0.150 ~ 0.320 (Saffer et al., 2001)	Shear test, at room temperature, room humidity, and range of normal stress 5 ~ 45 MPa.
0.120 (Behnsen and Faulkner, 2012)	Triaxial deformation apparatus, at a range of normal stress 5 MPa ~ 100 MPa.
0.120 (Tembe et al., 2010)	Conventional triaxial compression tests, at room temperature and normal stress of 40 MPa.
0.100 (Takahashi et al., 2007)	A triaxial testing machine, at room temperature.
0.090 ~ 0.480 (Ikari et al., 2007)	Biaxial stress experiments.

335

336 Duque-Redondo et al. (Duque-Redondo et al., 2014) proposed that the cohesion ( $c$ ) between clay  
337 sheets may have two origins: the electrostatic interactions between the charged clay mineral sheets  
338 and the interlaminar cations; and the hydrogen-bond network among water molecules and the clay  
339 mineral surface. The cohesion in this work was defined as the shear stress value, obtained as offset  
340 friction load ( $F_0$ ) divided by shear surface area. The cohesion of 0.387 GPa was found at the sliding  
341 velocity of  $0.0001 \text{ \AA} \cdot \text{fs}^{-1}$ , close to the cohesion range of 0.026 ~ 0.346 GPa for hydrated Na-MMT  
342 (Abbasi et al., 2020) through a shear test with a constant shear strain rate of  $2 \times 10^9 \text{ s}^{-1}$  using MD  
343 simulation. Furthermore, as shown in Figure 8(a), both the cohesion and the friction coefficient  
344 increased approximately linearly with the sliding velocity, where  $c^*$  was 1.0 GPa and  $v^*$  was

345 0.00001 Å•fs<sup>-1</sup> for the normalization purpose. This finding indicates that the sliding velocity is a  
 346 critical factor in the friction process of clay minerals.



347  
 348 **Figure 8.** (a) The effect of the sliding velocity ( $v$ ) on the friction coefficient ( $\mu$ ) and the cohesion  
 349 ( $c$ ), where  $c$  is shear stress obtained through the offset friction load ( $F_0$ ) divided by shear surface  
 350 area,  $c^*$  is 1.0 GPa, and  $v^*$  is 0.00001 Å•fs<sup>-1</sup>; (b) evolution of the friction load with  $\ln(v/v^*)$  at a  
 351 normal load of 10 GPa.

352 The average friction load increased with sliding velocity, and their relationship was found as  $f$   
 353  $\propto \ln(v/v^*)^{3.593}$ , shown in Figure 8(b). It is similar to the research of Sang et al. (Sang et al., 2001),  
 354 which found the power exponential relation ( $f \propto (\ln v)^{2/3}$ ) between the friction load and velocity of  
 355 the tip in an AFM test, when the tip of AFM was dragged across a surface. The higher the sliding  
 356 velocity, the more the sliding distance of atomic transition within the same time. In this case, large  
 357 forces was required to overcome more interlaminar energy. It is significantly distinct from  
 358 previous studies about classical tribology law (Sang et al., 2001) that friction load was independent  
 359 of sliding velocity, which may be due to the non-bonded interactions, such as coulombic energy  
 360 and van der Waals energy, not taken into account in macroscale friction behavior.

361 To understand the effect of sliding velocity on local deformations of the hydrated MMT system,  
 362 the local strain tensor proposed by Shimizu et al. (Shimizu et al., 2007) was used to represent the

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363 displacement of a given atom relative to its neighbor. The evolution of the spatial distribution of  
364 the local strain for hydrated MMT at a normal load of 10 GPa with sliding velocity was shown in  
365 Figure S5. At the sliding velocity inferior or equal to  $0.003 \text{ \AA}\cdot\text{fs}^{-1}$ , the friction load was dissipated  
366 by relaxation of the atoms of the water film and interlaminar surface atoms of the *up1* and the  
367 *down3*. When the sliding velocity was greater than  $0.006 \text{ \AA}\cdot\text{fs}^{-1}$ , the sliding occurred not only in  
368 the water film and the neighboring clay mineral surface, but also inside the upper MMT portion.  
369 As the sliding velocity rose, the more deformed atoms for hydrated MMT, the higher the friction  
370 load. Therefore, it also provides evidence for the friction load increasing with sliding velocity.

371 The evolution of temperature with time at different sliding velocities was shown in Figure S6(a).  
372 The system's temperature fluctuated around the controlled temperature (300 K) when sliding  
373 velocity inferior or equal to  $0.001 \text{ \AA}\cdot\text{fs}^{-1}$ . However, the temperature grew remarkably as sliding  
374 velocity increased when the sliding velocity greater than  $0.003 \text{ \AA}\cdot\text{fs}^{-1}$ . Figure S6(b) shows that the  
375 relationship of temperature and sliding velocity is approximately linear, mainly because the high  
376 sliding velocity could increase the possibility of atom collisions (Figure S5), and then increase the  
377 number of deformed atoms and atomic thermal energy for hydrated MMT.

378 Furthermore, to explore the effect of the damp parameter of thermostat on the temperature of the  
379 system upon friction, three damp parameters (10, 100, and 1000) were studied under the different  
380 sliding velocities at the same controlled temperature (300 K). As shown in Figure S7, the  
381 temperature of the system increased with the damp parameter at the same sliding velocity.  
382 Moreover, the greater the sliding velocity, the greater the influence of damp parameters on the  
383 temperature of the system. At the sliding velocity equal to  $0.006 \text{ \AA}\cdot\text{fs}^{-1}$ , the temperature of the  
384 system with a damp parameter of 10 fluctuated much more wildly than the other two cases. It  
385 indicated that the temperature could be well controlled at low sliding velocity but fluctuated wildly

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386 at high velocity (greater than  $0.006 \text{ \AA}\cdot\text{fs}^{-1}$ ) when the damp parameter of the thermostat was 10.  
387 This is consistent with the explanation for the damp parameter of thermostat in LAMMPS  
388 (Plimpton, 1995) that the temperature can fluctuate wildly when damp is too small; the temperature  
389 will take a very long time to equilibrate when damp is too large, and a good choice for many  
390 models is a damp of around 100 timesteps. It is worth noting that the high sliding velocity (greater  
391 than  $0.006 \text{ \AA}\cdot\text{fs}^{-1}$ ) involves a dramatic increase in the temperature of the system and an expansion  
392 of the shear strain range of the clay mineral layer in nanoscale tribology. Thus, further works need  
393 to be carried out to study the effect of high sliding velocity and temperature on friction properties  
394 in nanoscale, which is currently undergoing and will be reported in the future.

#### 395 4. Conclusions

396 Molecular Dynamics simulations have been performed to investigate the nanoscale friction  
397 behavior of undrained hydrated Montmorillonite (MMT), expanding further studies of nanoscale  
398 tribology for clay minerals. The conclusions are made as follows:

399 (1) The average friction load and the normal load followed an approximately linear relationship.  
400 The number of the total HBonds and water layers at the interlaminar space, as well as the energy  
401 of the system, could increase with normal load. More energy was needed to overcome the  
402 interlayer interactions for sliding, causing a continuous increase of friction load. The frictional  
403 coefficient in hydrated MMT was found as  $0.041 \sim 0.074$ , corresponding to  $0.0001 \sim 0.005 \text{ \AA}\cdot\text{fs}^{-1}$   
404 <sup>1</sup> of sliding velocities, which agreed well with experimental and simulation results. Moreover, the  
405 virtual spring's stiffness coefficient did not affect the simulation results, but the acceleration time  
406 to achieve the required velocity of the clay portion.

407 (2) The average friction load and the logarithm of sliding velocity followed a power function  
408 relationship of  $f \propto \ln(v/v^*)^{3.593}$  in the sliding process, which indicated that nanoscale tribology is

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409 very different from the classical tribology law of the macroscale. The number of deformed atoms  
410 in hydrated MMT increased when the sliding velocity rose. Moreover, both the friction coefficient  
411 and the cohesion increased approximately linearly with the sliding velocity.

412 (3) At sliding velocity inferior or equal to  $0.001 \text{ \AA} \cdot \text{fs}^{-1}$ , friction load fluctuated periodically  
413 around a certain value, with the cycle approximately equal to montmorillonite's lattice constant  
414 along the sliding direction. Furthermore, the fluctuation amplitude increased with decreasing  
415 sliding velocity. This work may give an insight into the friction behavior of hydrated clay minerals  
416 such as in soft clay.

#### 417 **Author Contributions**

418 ‡ **Peng-Chang Wei and Li-Lan Zhang:** Conceptualization, Methodology, Investigation, Data  
419 Curation, Writing - Review & Editing, Writing - Original Draft, Visualization; (‡ These authors  
420 contributed equally to this work)

421 **Yuan-Yuan Zheng:** Conceptualization, Writing-Review & Editing, Supervision; Project  
422 administration; Funding acquisition;

423 **Qiu-Feng Diao:** Methodology; Software;

424 **Dao-Yang Zhuang:** Software;

425 **Zhen-Yu Yin:** Writing - Review & Editing, Funding acquisition.

#### 426 **Declaration of Competing Interest**

427 The authors declare no competing financial interest.

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