



34 **Abstract:**

35 Influence of particle angularity on the suffusion in gap-graded granular soils remains unclear  
36 up to now. In this study, systematical numerical simulations that consider the particle shape as  
37 quasi-spherical polyhedra in different angularity are performed with the coupled discrete  
38 element method (DEM) and the computational fluid dynamics (CFD) approach. The suffusion  
39 of six gap-graded soil samples with 25% fines content is examined by imposing an upward  
40 seepage flow. Conventional triaxial tests are also conducted on the pre-eroded and post-eroded  
41 specimens to study the coupling influence of angularity and suffusion on the mechanical  
42 characteristics of granular soils. Fines loss, vertical displacement, soil strength, volume flow  
43 rate, microstructural analyses of force networks, the cumulative percentage of contact force,  
44 and the anisotropy of contact are investigated. Results turn out that the angularity intensifies  
45 the internal erosion resistance as the fines loss decreases significantly with the increasing  
46 angularity. The soil peak strength and friction angle are approximately linearly correlated with  
47 angularity. Erosion-induced particle redistribution reduces the degree of anisotropy of contact  
48 normal and contact normal force. This study may improve our understanding of the effect of  
49 particle angularity on suffusion with both microscopic and macroscopic evidence.

50

51 **Keywords:** CFD-DEM; suffusion; angularity; polyhedral particles; gap-graded soils

52

## 53 **1 Introduction**

54 For gap-graded granular soils, fine particles may migrate through the pores connected by coarse  
55 fractions when they are subjected to external hydraulic force, which can be defined as suffusion  
56 erosion (Liu et al., 2020a). The fines loss may lead to a progressive degradation in soil  
57 mechanical properties, raising the risk of failure for geotechnical structures (e.g., tunnels,  
58 slopes, dams) (Chang and Yin, 2011; Fell et al., 2003; Foster et al., 2000; Yin et al., 2016). The  
59 hazard of suffusion has motivated many studies on revealing its mechanism. According to the  
60 available literature, the initiation and progression of suffusion are governed by many factors,  
61 including grain size distribution (GSD) (Benamar and Bennabi, 2015; Kim et al., 2019; Wan  
62 and Fell, 2008), confining pressure (Liang et al., 2019; Shire et al., 2014), initial fines content  
63 (Ke and Takahashi, 2012; Yang et al., 2020a, 2019a) as well as hydraulic gradient (Fonseca et  
64 al., 2012; Indraratna et al., 2011). Recently, many studies pointed out that suffusion is also  
65 closely associated with particle shape (e.g., the angularity and roundness of particle) (Hu et al.,  
66 2020; Xiong et al., 2020), which simultaneously governs the interparticle force and hydraulic  
67 force. Unfortunately, there are still no detailed studies on the influence of particle angularity  
68 on suffusion up to now.

69  
70 Previous theoretical analysis (Horikoshi and Takahashi, 2015; Yang et al., 2020b, 2020a, 2019b)  
71 and physical experiments (Israr and Irfan, 2020; Ni et al., 2004; Pitman et al., 1994; Reddi et  
72 al., 2000) have laid a foundation to gain a first insight into suffusion. Nevertheless, fine  
73 particles within the soils will be eroded during the suffusion so that the continuum mechanics  
74 is no longer applicable. Currently, numerous numerical simulations of such problems have been  
75 carried out by the coupled computational fluid dynamics (CFD) and the discrete element  
76 method (DEM) since Zhao and Shan (2013) firstly introduced this approach into mining and  
77 geotechnical engineering. In this method, the interparticle forces and fluid-particle forces can  
78 be analyzed separately. The migration trajectory of each particle and the redistribution of force  
79 chains due to particle reorganization can also be visualized. Compared to the macroscopic level  
80 studies of experiments, the coupled DEM-CFD has a natural advantage in understanding the  
81 fundamental mechanism from a microscopic viewpoint (Yang et al., 2017). For example, Xiong  
82 et al. (2020) investigated the effect of flow direction in suffusion based on the CFD-DEM  
83 approach and concluded that the greater the angle between gravity and flow, the harder  
84 suffusion occurs. Liu et al. (2020a) examined the effect of fines content and confining pressure,  
85 shedding micromechanical insights into the macroscopic phenomenon of suffusion. Hu et al.

86 (2020) studied the preparation of specimens in DEM and pointed out that different particle  
87 generation methods can lead to qualitatively different conclusions in suffusion. However, it  
88 should be noted that the shapes were assumed to be the perfect 3D spheres in their studies,  
89 whereas naturally formed sandy particles are not a regular sphere due to the physicochemical  
90 effects in their formation. In other words, the effect of particle shape in suffusion has not been  
91 considered.

92  
93 Various shapes have been conducted to investigate the effect of particle shape in the DEM  
94 simulations. Up to now, the commonly used representations of DEM irregular shape are  
95 summarized as follows (Zhong et al., 2016): (1) Polygons and polyhedrons, for which particles  
96 are defined as the collection of corners, edges, and faces. The representation has developed into  
97 the most popular approach to model real particles in soils and rock issues, as it can simulate  
98 the angularity and roughness of the particle surface very well (Kohring et al., 1995; Wachs et  
99 al., 2012). (2) Continuous function representations, by which regular nonspherical particles can  
100 be simulated. For a specific particle, continuous function representations (CFR) implicitly  
101 describe the shape using a series of continuous functions in the Cartesian coordinate system  
102 (Cleary, 2008; Mustoe and Miyata, 2001). It believes that 80% of particles' shapes with  
103 symmetry can be realized by the continuous function of superquadrics or higher-dimensional  
104 hyper-quadrics (Williams and Pentland, 1989), whereas CFR has difficulty modeling arbitrary  
105 irregular particles. (3) Virtual space method, using which the arbitrary space shape is digitized  
106 by a coherent collection of pixels and voxels based on digitization (Hogue, 1998). These  
107 discrete digital cells form a virtual space, representing the real space occupied by the objected  
108 particles. The information used to reproduce different shapes is obtained by scanning real  
109 particles through 3D optical and X-ray scanners (Lu et al., 2012; Williams and O'connor, 1995).  
110 (4) Combined geometric element method (also known as clump), which simulates arbitrary  
111 particles by a group of essential elements, such as planar discs and spheres (Dong et al., 2015;  
112 Guo et al., 2013). Combining the essential elements to approximate the real particle shape,  
113 theoretically, the more essential elements used, the better the approximation effect (Guo et al.,  
114 2012). Unfortunately, an excessive number of essential elements will seriously increase the  
115 computational burden, and the clumps consisting of spheres cannot reflect the angularity of  
116 real particles.

117  
118 In addition to the different particle shape representations, the particle shape's accurate  
119 quantification is also of great essence. There are three indexes (e.g., form, roughness, sphericity)

120 to characterize the shape dissimilarity from different scales (Zhao and Wang, 2016). At a large  
121 scale, the form indicates the principle size and entire shape; at an intermediate scale, the  
122 roundness indicates the changes in corners; at a small scale, the roughness indicates the surface  
123 texture. When the soils are subjected to hydraulic action, as in the case of suffusion erosion  
124 studied in this paper, the interparticle forces are closely related to the roundness due to the  
125 resistance torque between the particles increases as the shape of the particles changes from  
126 'well-rounded' to 'angular' (Zhu et al., 2020). Numerous studies have tried to explore the  
127 influence of angularity. For example, Zhao et al.(Zhao et al., 2015) firstly proposed a quasi-  
128 spherical polyhedron to reflect the change of particle angularity and applied them in the  
129 simulations of direct shear tests, indicating that the soil shear resistance is enhanced by particle  
130 angularity. Zhao arbitrated the phenomenon to the interlocking force between the nonspherical  
131 particles, which is confirmed by Yin et al. (2020). Nie et al. (Nie et al., 2020) explored the  
132 change of angularity caused by erosion in the triaxial tests, concluding that the peak shear  
133 strength and critical states decrease with decreasing angularity. Xiong et al. (Xiong et al., 2021)  
134 studied the effect of aspect ratio on suffusion with the CFD-DEM approach. The coarse  
135 particles adopted in their paper were nonspherical particles, whereas the fines were still  
136 assumed to be standard spheres. In addition, the effect of particle shape and suffusion on  
137 mechanical properties was not examined.

138

139 The main objective of this study is to investigate the effect of angularity on the suffusion of  
140 gap-graded sand samples. The particle shapes adopted are quasi-spherical polyhedra with  
141 different vertexes in a wide range of angularity. Based on the coupled DEM-CFD method, the  
142 simulated macro responses (including void ratio, fines loss, vertical displacement) and micro  
143 responses (including force transfer and particles spatial rearrangement) are presented, showing  
144 a significant role played by angularity. Triaxial tests are also performed on both pre-eroded and  
145 post-eroded samples.

## 146 **2 Methodology of coupled CFD-DEM**

147 The simulations in this study are enabled by combining the computational fluid dynamics (CFD)  
148 and the discrete element method (DEM). The coupling method is proposed by Kloss et al.  
149 (2012), and its reliability and accuracy have been confirmed by previous researches (Hu et al.,  
150 2020; Liu et al., 2020a; Nguyen and Indraratna, 2020; Xiong et al., 2020). The particle shape  
151 employed in this paper and governing equations of the coupling method will be introduced

152 below.

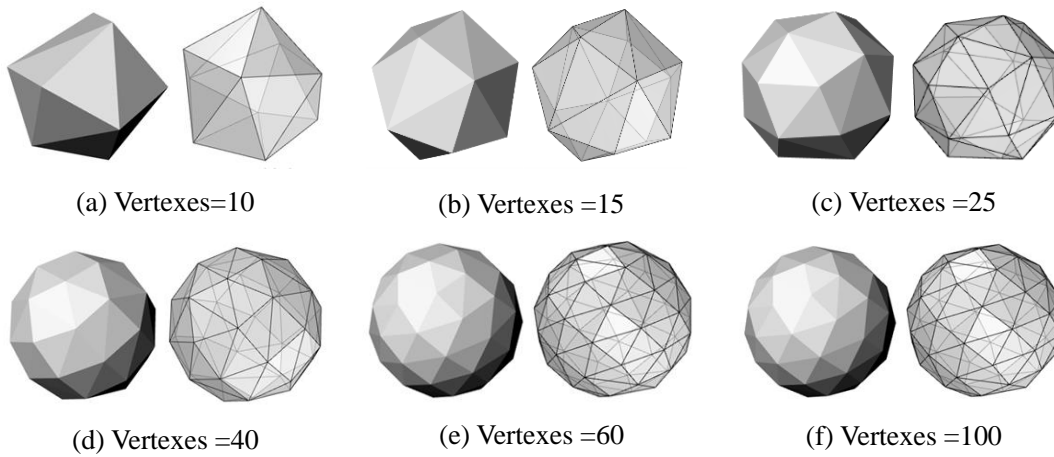
## 153 2.1 Particle shape

154 The particle shape adopted in this paper is quasi-spherical polyhedral (Zhao et al., 2015), which  
155 has different vertexes neglecting the non-convexity and eccentricity effect, thereby only  
156 angularity being considered (as shown in Fig.1). A polyhedron with  $N$  bounding planes can be  
157 defined using a set of inequalities:

$$158 P = \{(x, y, z) \mid a_i x + b_i y + c_i z \leq d_i, \quad i = 1, \dots, N\} \quad (1)$$

159 where  $(a_i, b_i, c_i)$  is the normal vector of the plane  $i$ ,  $d_i$  is the distance of the plane to the  
160 coordination origin.

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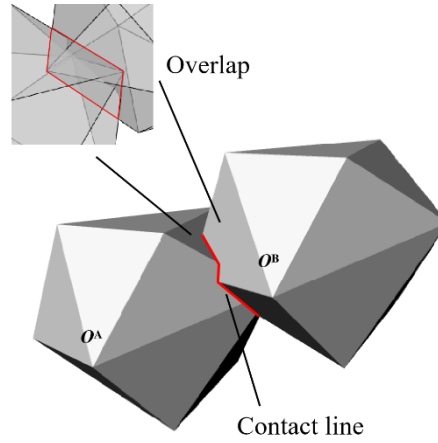


162 Fig. 1. Quasi-spherical polyhedral particle shapes with different vertexes.

163

164 The DEM domain calculates the forces acted on particles based on the contact area (i.e.,  
165 overlap), which means that only if there is a conjecture overlap between two particles will there  
166 be a mutual contact force between them. For spheres, whose contact can be detected by  
167 comparing the distance between their centers and the sum of their radius. Nevertheless, for  
168 nonsphere particles, the overlap between two quasi-spherical polyhedral particles is the  
169 intersection for two sets of inequalities (Eq. (1)). This paper introduces a common plane  
170 (Cundall, 1988) to figure whether two polyhedral particles are in contact. If a plane defined as  
171  $S = \{(x, y, z) \mid a_s x + b_s y + c_s z = d_s\}$  can divide all the vertices of particle A on one side and all  
172 the vertices of particle B on the other, then it means the two particles don't contact. If such a  
173 plane does not exist, the particles are touching (as shown in Fig.2, two particles have a contact  
174 line and overlap volume).

175



176

Fig. 2 Three-dimensional illustration of the interaction between two particles.

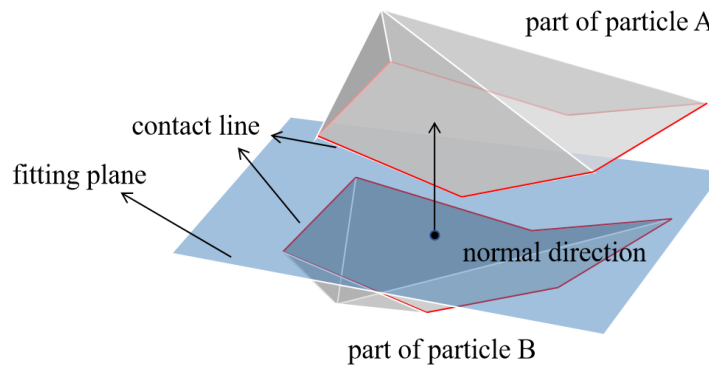
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The normal orientation of contact for spheres is defined as the vector connecting two spherical centers, whereas, for the polyhedral particles, the vector is determined utilizing the least-squares fitting method (Eliáš, 2014). Based on the contact line (see the red line in Fig.2), which can be obtained by solving the set of bounding faces inequalities, a fitting plane can be determined ( as shown in Fig.3). The vector perpendicular to the fitting plane through the mass center of overlap is defined as the normal contact direction of polyhedral particles.

183

184



185

Fig. 3. Contact and fitting plane between polyhedral particles.

### 186 2.2.1 Governing equations of the DEM

187

The motivation of massive dispersed particles is governed by Newton's law. Given a specific time  $t$  and particle  $i$ , the translation and rotational motion of the particle can be calculated by:

188

$$189 \quad m_i \frac{dv_i}{dt} = \sum_{j=1}^{j=n} F_{ij}^n + \sum_{j=1}^{j=n} F_{ij}^t + F_i^f + F_i^g \quad (2)$$

$$190 \quad I_i \frac{d\omega_i}{dt} = \sum_{j=1}^{j=n} M_{ij} \quad (3)$$

191

where the subscript  $i$  denotes the particle number; the  $m_i$  is the particle's mass;  $v_i$  and  $\omega_i$  represents

192 the translational and angular velocity, respectively;  $F_{ij}^n$  and  $F_{ij}^t$  are the normal and tangential  
 193 contact force acting on the particle  $i$  by particle or wall at the contact point  $j$ , and  $n$  means  
 194 the total number of contacts the particle  $i$  engaged;  $I_i$  denotes the moment of inertia and  $M_{ij}$  is  
 195 the torque acting on particle  $i$  by particle  $j$ ;  $F_i^f$  is the particle-fluid interaction force acting on  
 196 particle  $i$ , while  $F_i^g$  is imposed by gravity. In this paper, the Hertz-Mindlin contact force model,  
 197 which is generally adopted to characterize certain critical features of granular soils (Hu et al.,  
 198 2020, 2019; Liu et al., 2020a; Xiong et al., 2020; Yang et al., 2020b), is employed to calculate  
 199 the interparticle forces. Both the elastic and the damping components are non-linear functions  
 200 of the contact overlap.

## 201 2.2.2 Governing equations of the CFD

202 In this paper, the CFD domain is partitioned into a finite number of volume cells, where the  
 203 pressure and velocity are averaged. By iteratively solving the averaged Navier-Stokes (N-S)  
 204 equation, the velocity and pressure of any cell at a certain time can be obtained. Accounting  
 205 for the presence of particles in the fluid, the locally averaged N-S equation is written as:

$$206 \frac{\partial \alpha_f}{\partial t} + \nabla \cdot (\alpha_f \mathbf{u}) = 0 \quad (4)$$

$$207 \frac{\partial (\alpha_f \mathbf{u})}{\partial t} + \nabla \cdot (\alpha_f \mathbf{u} \mathbf{u}) = -\alpha_f \nabla \frac{p}{\rho_f} - \mathbf{R}_{pf} + \nabla \cdot \boldsymbol{\tau} \quad (5)$$

208 where  $\alpha_f$  is the volume fraction occupied by the fluid, and its density is  $\rho_f$ ;  $\mathbf{u}$  is the average  
 209 velocity of fluid in a cell;  $p$  and  $\boldsymbol{\tau}$  represent the pressure and stress tensor in the cell.  $\mathbf{R}_{pf}$  is  
 210 the exchange of momentum with the particulate phase, which can be calculated by the particle-  
 211 based drag force and is expressed as:

$$212 \mathbf{R}_{pf} = \frac{|\sum F_i^f|}{V_{cell} \cdot |\mathbf{u} - \langle v_p \rangle|} (\mathbf{u} - \langle v_p \rangle) \quad (6)$$

213 where  $V_{cell}$  is the volume of a CFD cell;  $\langle v_p \rangle$  is the average velocity of the particles in the cell.

## 214 2.2.3 Fluid-particle interaction forces

215 The dominant fluid-particle interaction forces should be chosen depending on the different flow  
 216 conditions so that some negligible items can be excluded and the problem can be simplified.



217 For a case where the mass ratio of two phases is small enough (e.g.,  $m_f \ll m_p$ ), neglecting  
 218 other forces except drag force and pressure gradient force is reasonable and time-saving for  
 219 analysis. Thus, the particle-fluid interaction force  $F^f$  is calculated as:

$$220 \quad F^f = F_{\nabla p} + F_D \quad (7)$$

221 The pressure gradient force is defined as  $F_{\nabla p} = -V_p \nabla p$ , where  $V_p$  is the volume occupied by the  
 222 solid phase and  $\nabla p$  is the average local pressure gradient.

223

224 Different drag force ( $F_D$ ) models for individual nonsphere particles were proposed in the past  
 225 (Chien, 1994; Ganser, 1993; Loth, 2008; Thompson and Clark, 1991). When considering a  
 226 practical particle dense flow such as the situation in this study, drag force has to be corrected  
 227 over the single-particle laws based on some parameters such as local voidage and Reynolds  
 228 numbers (Di Felice, 1994; Ergun, 1952; Wen and Yu, 1966). It should be pointed out that there  
 229 is still no consensus up to now as to the perfect model to calculate the particle-fluid interaction  
 230 drag force even in sphere particles dense flow, let alone the nonsphere particles (Zhong et al.,  
 231 2016). Although it lacks a definitely accurate drag force model for nonsphere particles dense  
 232 flow, some well-known equations can still be applied to CFD-DEM simulations (Adema et al.,  
 233 2010; Hilton et al., 2010; Hilton and Cleary, 2011; Kuang and Yu, 2011; Liu et al., 2020b;  
 234 Oschmann et al., 2015, 2014; Ren et al., 2013, 2012; Zhou et al., 2011). The drag force model  
 235 developed by Lu and Gidaspow (LU and Gidaspow, 2003) for nonsphere particles dense flow  
 236 is adopted in this study, which was developed based on the study of Ergun (Ergun, 1952) and  
 237 Wen and Yu (Wen and Yu, 1966). Ergun firstly proposed a drag force model for relatively high  
 238 particle concentration ( $\alpha_s > 0.2$ ). Subsequently, Wen and Yu modified the theory for relatively  
 239 low particle concentration ( $\alpha_s < 0.2$ ). Lu and Gidaspow then applied a blending function to  
 240 make the transition between Ergun and Wen in a smooth way and has been widely accepted  
 241 (Adamczyk et al., 2014; Almuttahir and Taghipour, 2008; Wang et al., 2012). The model is  
 242 expressed by:

$$243 \quad F_D = \frac{1}{2} C_D \rho_f A' |\mathbf{u} - \mathbf{v}_p| (\mathbf{u} - \mathbf{v}_p) \quad (8)$$

244 where  $\mathbf{u} - \mathbf{v}_p$  is the relative velocity between particles and fluid;  $\rho_f$  denotes the density of the  
 245 fluid;  $A'$  is the particles' projected area in the flow direction;  $C_D$  is the corrections of drag  
 246 coefficient calculated based on the Reynolds number  $Re_p = \rho_f |\mathbf{u} - \mathbf{v}_p| d_p / \mu_f$ ,  $d_p$  is the

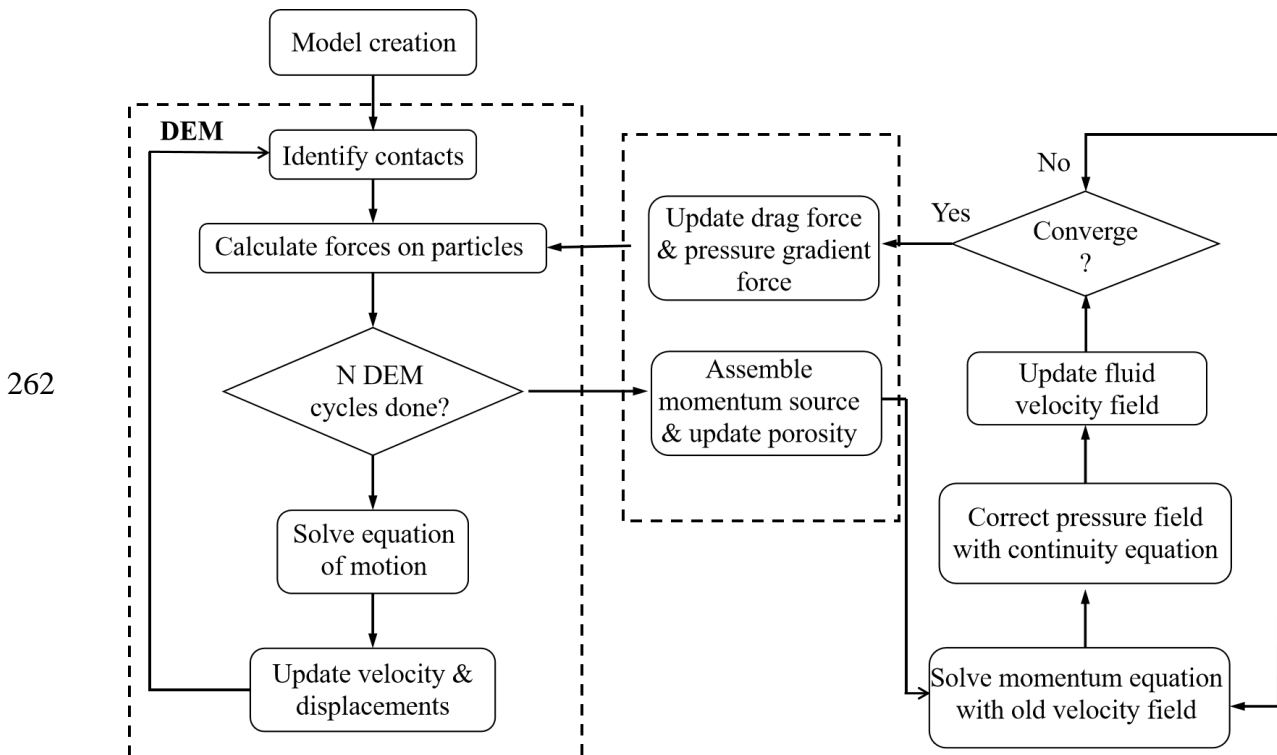
247 equivalent sphere diameter of the particle, and the  $\mu_f$  is fluid viscosity.  $C_D$  is in the expression  
 248 as:

$$249 \quad C_D = \psi \left( \frac{200\alpha_s}{\alpha_f \phi^2 Re} + \frac{7}{3\phi} \right) + (1 - \psi) \alpha_f^{-1.65} \max \left\{ \frac{24}{\alpha_f Re_p} \left[ 1 + 0.15 (\alpha_f Re_p)^{0.687} \right], 0.44 \right\} \quad (9)$$

250 where the  $\phi$  is the sphericity of the nonsphere particle, representing the ratio of the actual area  
 251 of the particle  $A_p$  and the equivalent area  $A_{equ}$  of a sphere having the same volume with the  
 252 particle;  $\alpha_s$  is a parameter to measure particle concentration;  $\psi$  is a function of the liquid  
 253 volume fraction  $\alpha_f$ .

### 254 2.3 Coupling procedure

255 The coupling procedure between the particles and fluid is realized by a staggered scheme that  
 256 enables the parallel calculation of DEM and CFD. Once the kinematics of DEM is updated,  
 257 these dates are submitted to the CFD to provide a solid fraction and assemble momentum for  
 258 solving the locally averaged Navier–Stokes equations, and CFD transfers back the drag force  
 259 and pressure gradient force to the DEM as part forces acting on the particles. DEM recalculates  
 260 the contact forces and motions of particles for the next simulation loop. The flowchart of the  
 261 coupling is shown in Fig. 4.



263 Fig. 4 Computational Computational fluid dynamics and discrete element method (CFD-DEM) coupling

### 265 3 Verification of the models

266 Since the quasi-spherical polyhedral particle is newly implemented in CFD-DEM coupling, the  
 267 Ergun test (Ergun, 1952), which describes the fluid flow through a particle column, is  
 268 examined to verify the models. As shown in Fig. 5, the particles are deposited at the bottom  
 269 of the cube due to gravity, and then fluid flows upward into the particle column at a constant  
 270 superficial velocity  $v_s$ , resulting in a pressure drop between the inlet and outlet (for  
 271 presentation purposes, the particle column is rendered separately on the left). According to the  
 272 analytical solution of Ergun, the pressure drop  $\Delta p$  is a quadratic function of the superficial  
 273 velocity, which can be described by the following expression:

$$274 \Delta p = \frac{150\mu L(1-e)^2}{d^2 e^3} v_s + \frac{1.75L\rho(1-e)}{de^3} v_s |v_s| \quad (10)$$

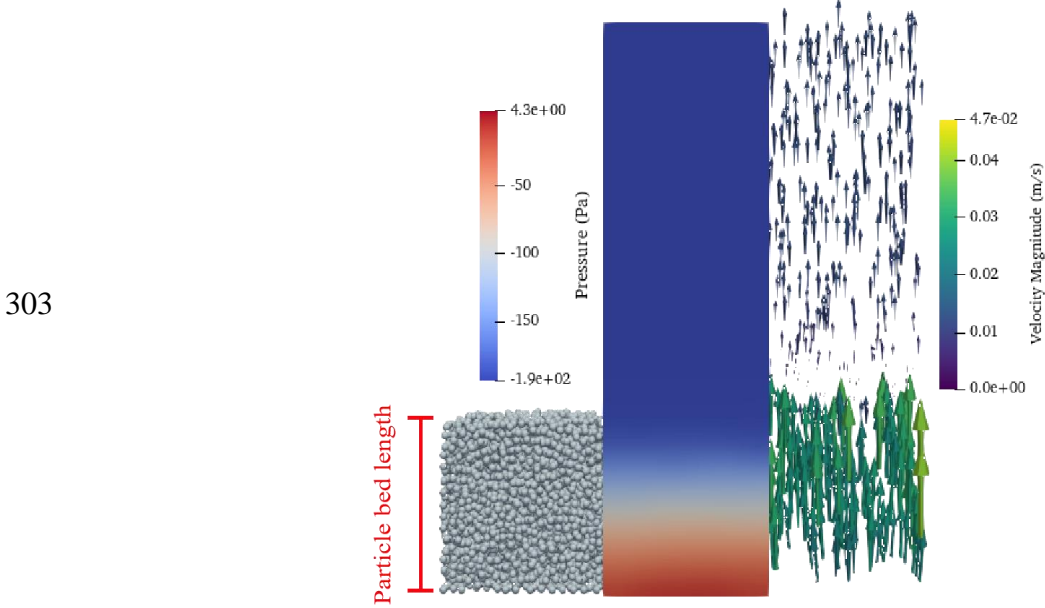
275 where  $\Delta p$  is the pressure drop between the fluid inlet and fluid outlet;  $L=0.0156\text{m}$  denotes the  
 276 particle bed length,  $d=0.001\text{m}$  is the particle diameter, and fluid density  $\rho$  is  $1000\text{kg/m}^3$ .  
 277 Dynamic viscosity  $\mu$  is  $1.5 \times 10^{-3} \text{ Pa}$ , and the void ratio of particle column  $e$  is 0.45.  
 278 According to Ergun, once the superficial velocity  $v_s$  reaches the minimal fluidization velocity  
 279  $v_{mf}$ , the particle packing will fluidize and the pressure drop will no longer vary despite the  
 280 increasing of superficial velocity. The coefficients in Eq.(10) were obtained by the method of  
 281 least squares based on 640 experimental results (Ergun, 1952).

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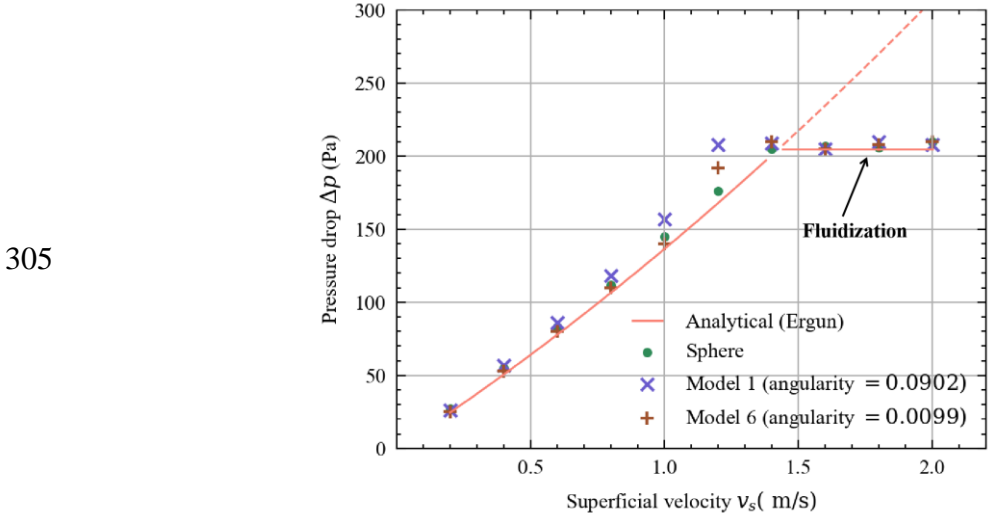
283 It should be noted that the shape effect is taken into account in CFD drag force calculations of  
 284 nonspherical particles by introducing the correction of the drag coefficient  $C_d$  based on the  
 285 sphericity (see Eq.(9)). As mentioned before, the particle shape adopted in this paper is quasi-  
 286 spherical polyhedral, which is generated from a standard sphere, indicating that the non-  
 287 convexity and eccentricity are neglected so as to highlight the angularity difference. Therefore,  
 288 the difference in the sphericity of quasi-spherical polyhedral used to calculate the drag force is  
 289 slight (the sphericity of these models is illustrated in the Table.1). In other words, the fluid-  
 290 particle interactions should be close to each other according to the current drag force model.  
 291 By introducing a quasi-spherical particle shape, it is possible to ensure that the current model  
 292 for drag force calculation is still applicable while at the same time taking into account the  
 293 influence of angularity on the interparticle contact during suffusion.

294 To calibrate and verify, the Ergun tests of spherical and quasi-spherical polyhedral particles

295 with the angularity of 0.0099 and 0.0902 are simulated. Fig.6 shows a good agreement between  
 296 these simulations and the analytical solution. The consistency of the results of sphere particles  
 297 and the Ergun equation (Eq.(10)) verifies the accuracy of the coupled CFD-DEM method used  
 298 in this paper. Furthermore, the results of Model 1 (correspond to the maximum angularity  
 299 0.0902) and Model 6 (correspond to the minimum angularity 0.0099) are only somewhat  
 300 different from those of the sphere at  $v_s=0.014\text{m/s}$ . The pressure drop at the rest of the velocities  
 301 and the maximum pressure drop after fluidization are similar to those of the sphere, indicating  
 302 that current drag force model is suitable to quasi-spherical polyhedral particle.



304 Fig. 5 Illustration of Ergun test.



306 Fig. 6 Comparison of the Ergun test results of different particle shapes against the analytical solution.

## 307 4. Simulation Procedure

### 308 4.1 Particle preparation and model setup

309 The nonsphere particle adopted in this study is a quasi-spherical polyhedron with  $n$  vertexes.  
310 As illustrated in Fig.1, the particle with fewer vertexes will have many sharp protrusions on  
311 the surface and a larger angularity. To quantify the difference of these shapes, the angularity is  
312 taken as a dimensionless index defined as the ratio of particle's sphericity  $\phi$  (the definition of  
313 sphericity see the Eq.(9)) and the number of its vertexes  $n$  (i.e., angularity= $\frac{\phi}{n}$ ). Table 1 lists the  
314 calculated angularity of the models in the simulation.

315

316 The gap-graded soils consist of two groups of particles, i.e., coarse particles ( $D_c = 1.8 \sim 2\text{mm}$ )  
317 and fine particles ( $D_c = 0.3 \sim 0.33\text{mm}$ ). According to Kenney and Lau (Kenney et al., 1985),  
318 when the particles finer than  $d$  occupy a larger content proportion than the particles of grain  
319 size from  $d$  to  $4d$ , the fines would likely be eroded. The index ratio  $d_{15} / D_{85}$ , where  $d_{15}$  the  
320 particle size of 15% mass passing in the fine fraction and  $D_{85}$  the particle size of 85% mass  
321 passing in the coarse fraction, is considered as an accessing criterion (Sherard et al., 1984).  
322 If  $d_{15} / D_{85} > 4$  the soil is deemed internally unstable (Fannin and Moffat, 2006; Kenney et al.,  
323 1985) and suffusion erosion would be triggered once the external disturbance (e.g., hydraulic  
324 force) happens. Therefore, a gap-graded specimen consisting of more than 50000 particles with  
325 fines content  $FC = 25\%$  in mass and  $d_{15} / D_{85} = 6$  is generated. The grain size distribution (GSD)  
326 of the specimen is presented in Fig. 7.

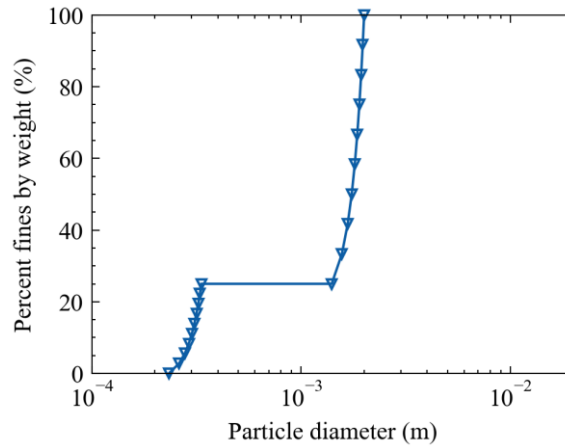
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Table 1. Angularity of particles

	Corners Number	Sphericity	Angularity
Model 1	10	0.9024	0.0902
Model 2	15	0.9351	0.0623
Model 3	25	0.9663	0.0397
Model 4	40	0.9790	0.0245
Model 5	60	0.9870	0.0165
Model 6	100	0.9918	0.0099

328

329



330 Fig. 7. Grain size distributions of gap-graded granular material in numerical simulations

331

332 The sample is modeled as a cuboid with a size of 16mm×16mm×16mm. The size ratio between  
 333 cube length and maximal coarse particles is set to 8 to eliminate the border effect (Hu et al.,  
 334 2020, 2019; Liu et al., 2020a; Xiong et al., 2020). The CFD domain overlaps the DEM with  
 335 the size of 16mm×16mm×20mm with an extra in height, ensuring the flow covering soils  
 336 entirely. The fluid is decomposed into 2mm×2mm×2mm cells, approximately six times the fine  
 337 particle diameter (0.3mm). By controlling the motion of six surrounding rigid walls, stress and  
 338 strain can be imposed on the sample. In the process of suffusion, the top wall is considered to  
 339 be permeable, whereas the other four upright side walls are impermeable so that a one-way  
 340 fluid flows from bottom to top through the solid fractions. The determination of upward  
 341 seepage direction is referred to previous experiments (Hu et al., 2019; Tao and Tao, 2017;  
 342 Tomlinson and Vaid, 2000). Only the friction between particles is counted, and the friction  
 343 coefficient between the particle and wall is zero. The Young's modulus has a significant  
 344 influence on the determination of time step and particle overlapping, while the value of the  
 345 modulus varies over a wide range in the existing studies (Chang and Zhang, 2013; Liu et al.,  
 346 2020a; Xiong et al., 2020). Following Chand et al. (2012), the magnitude is chosen as  $1 \times 10^8$   
 347 Pa to ensure the sum overlap is smaller than 2%. The time step equals  $2 \times 10^{-5}$  s in the CFD  
 348 domain, corresponding to 100 times that of the DEM. Other related parameters used in the  
 349 paper are summarised in Table 2.

350

Table 2. Input parameters for suffusion erosion simulation

Parameter	Value	Unit
Particles		
Particle density	2650	kg/m <sup>3</sup>
Young's modulus	$1 \times 10^8$	Pa

Friction coefficient	0.5	
Restitution coefficient	0.3	
Timestep	$2 \times 10^{-7}$	s
Diameter	0.3~0.33	mm
	1.8~2	
Poisson's ratio	0.3	
Gravitational acceleration	9.8	m/s <sup>2</sup>
Walls		
Young's modulus	$1 \times 10^{10}$	
Friction coefficient	0	
Restitution coefficient	0.3	
Hole size of the filter wall	0.75×0.75	mm
Fluid		
Cell size	2×2×2	mm
Fluid density	998	kg/m <sup>3</sup>
Hydraulic gradient	4	
Timestep	$2 \times 10^{-5}$	s

351

## 352 4.2.Simulation procedure

353 The simulation can be generalized into four stages:

354 Stage 1: Sample generation. A specific mass of non-overlapping particles is dispersed in a cube  
355 larger than the established size. A force acts on the walls to move them towards particles and  
356 gradually compact the sample. The gravity in this step is switched off to ensure homogeneity.  
357 Otherwise, the fines would accumulate at the bottom through the pores of large particles  
358 because of dead-weight. When the system is balanced, there is a 100kPa stress acting on the  
359 surrounding walls to consolidate the soils (as shown in Fig.8). According to Zhao et al. (Zhao  
360 et al., 2015), particle shape affects the inner fabric and alignment of particles, which are closely  
361 related to the void ratio in soils. Table 3 lists the initial void ratio after generation. At the same  
362 stress level, the initial void ratios are not exactly the same due to the variance of shapes.

363

364

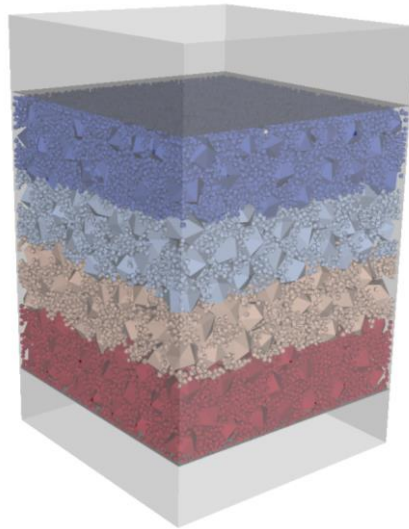


Fig. 8. Generated particle assembly.

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366

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Table 3. Details of Generated samples

	Angularity	Particle Numbers	Initial Void ratio
Model 1	0.0902	50590	0.418
Model 2	0.0623	50590	0.415
Model 3	0.0387	50574	0.394
Model 4	0.0245	50583	0.386
Model 5	0.0165	50594	0.378
Model 6	0.0099	50590	0.382

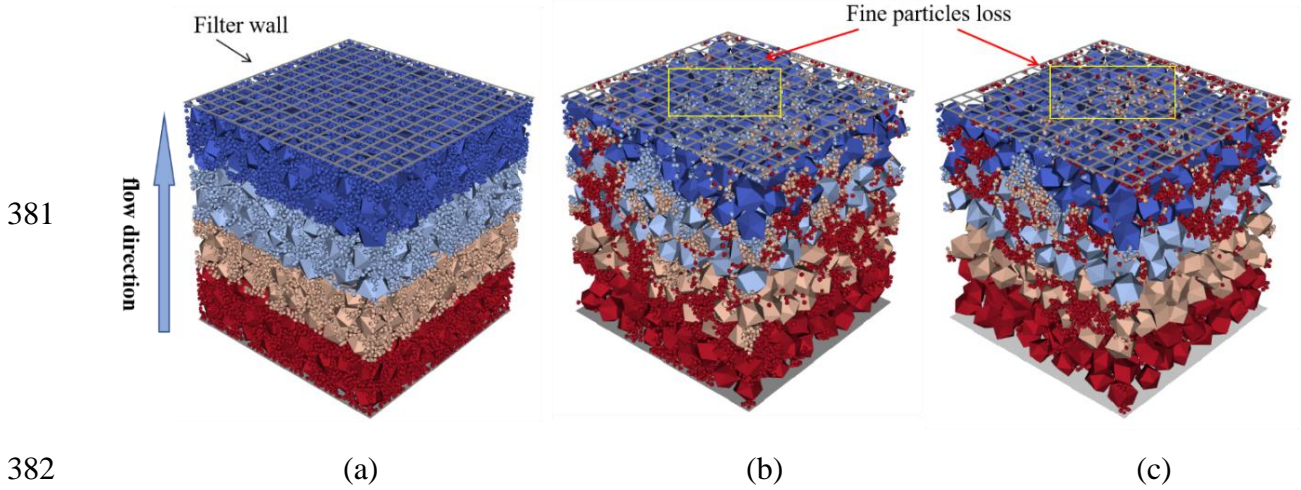
368

369 Stage 2: Gravity balance. Gravity in this step is switched on, leading to internal rearrangement  
370 of the sample. The balance stage lasts 2s, and then the top is replaced with a filter wall, which  
371 has filter holes of the size  $0.75 \times 0.75$ mm. The hole is 2.5 times the diameter of fines and is  
372 smaller than the coarse particles, ensuring the flow can smoothly carry away the fines, and the  
373 coarse particles are blocked.

374

375 Stage 3: Suffusion erosion. The coupling of the DEM and CFD starts from this stage. Fluid  
376 flows at a constant hydraulic gradient, and interaction forces come into play. Fines are taken  
377 away through the voids of the coarse matrix. Once the fines rush out of the filter, they will be  
378 deleted by the DEM domain, resulting in mass loss. The coupling process lasts 10s (Fig. 9).  
379 The four sidewalls and the top filter wall keep fixed, whereas the bottom wall keeps a constant  
380 stress level of 100kPa.

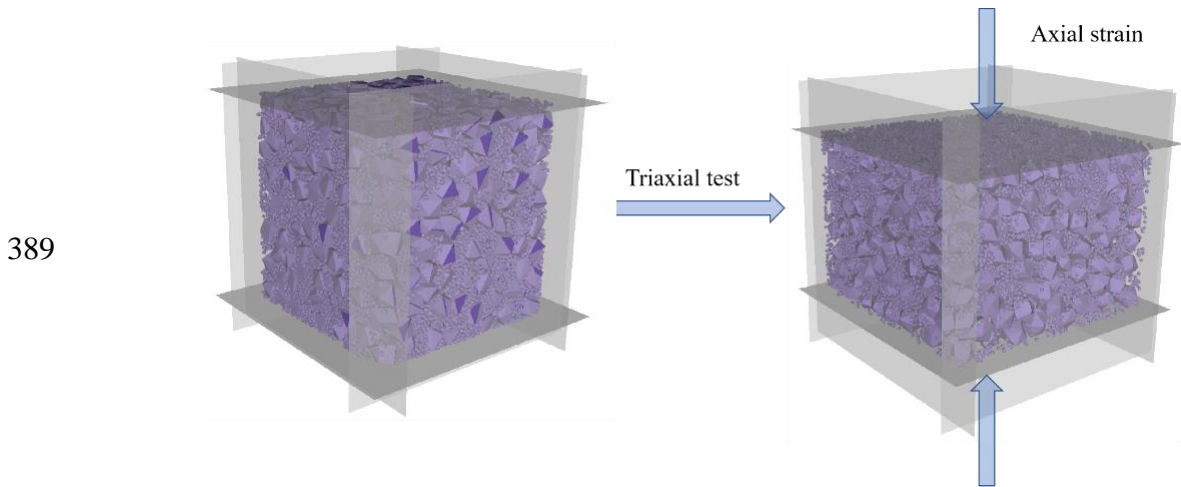




383 Fig. 9. The seepage development process. (a)time=0s; (b)time=5s; (c)time=10s

384

385 Stage 4: Triaxial test. Triaxial tests are carried out on the post-eroded specimens under strain  
 386 loading, where the largest axial strain is set to 20% with confining stress of 100kPa (as shown  
 387 in Fig. 10). Also, the sample that didn't undergo the suffusion erosion (e.g., skipping stage 3)  
 388 will be loaded to explore the effect of both erosion and angularity on mechanical property.



390 Fig. 10. Schematic diagram of stress servo-control triaxial test

391 **5.Results analysis**

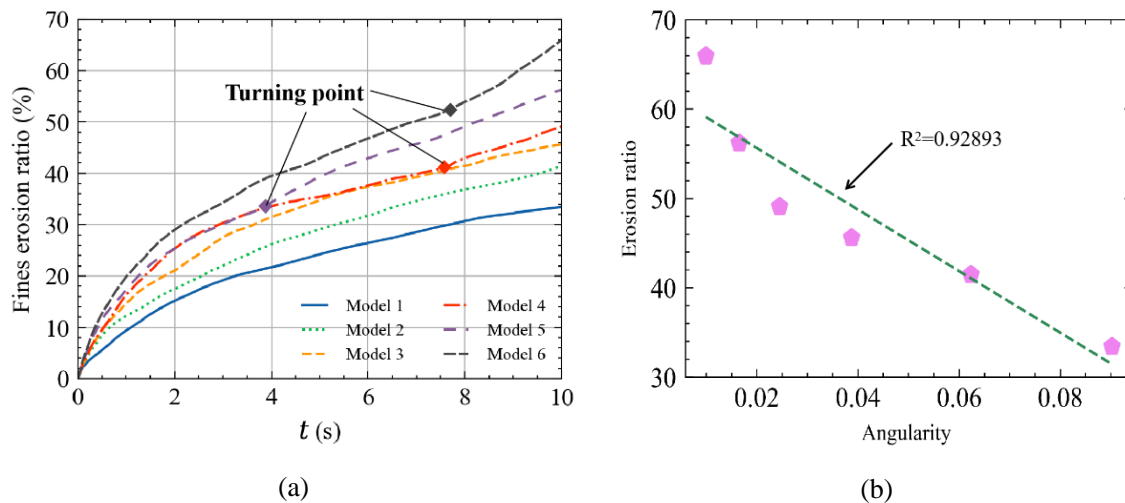
392 **5.1 Analysis of the macroscopic behaviors**

393 **5.1.1 Fines loss**

394 Fines mass loss ratio  $m_e$ , defined as the cumulative fine loss normalized by the total fine mass

395 ( $m_e = \frac{\text{eroded fine mass}}{\text{total fine mass}}$ ), is an essential parameter to quantify suffusion erosion. Fig. 11 plots

396 the cumulative mass loss versus time. In the first two seconds, the fines loss is rapid, and then  
 397 it starts to slow down in the sample with a relatively large angularity (see Model 1 to Model 3,  
 398 the corresponding angularities are 0.0902, 0.0623, 0.0387). Conversely, there is a turning point  
 399 in the samples with a relatively small angularity (Model 4 to Model 6), from which the rate of  
 400 fines loss is again accelerated (the corresponding turning points for Model 4, Model 5, and  
 401 Model 6 are 7.6s, 3.8s, and 7.8s). By comparing the cumulative mass loss ratio at 10s, we can  
 402 find that the results corresponding to different angularity vary notably. Generally, the ultimate  
 403 erosion fines ratio increases significantly as the angularity decreases. For example, the value  
 404 of Model 1 (the angularity of particle is 0.0902) is 31.8%, while that of Model 6 (the angularity  
 405 of particle is 0.0387) doubles up to 65.7%. This phenomenon suggests that particles with large  
 406 angularity are more resistant to erosion by fluids. Zhao et al. (2015) point out that a self-locking  
 407 effect is formed between the polyhedral particles, increasing particle-to-particle occlusion,  
 408 resulting in strong resistance to the fluid. Coarse particles are not easily migrated due to the  
 409 angularity enhancing the self-block effect. As a result, fines can hardly traverse soil skeletons.

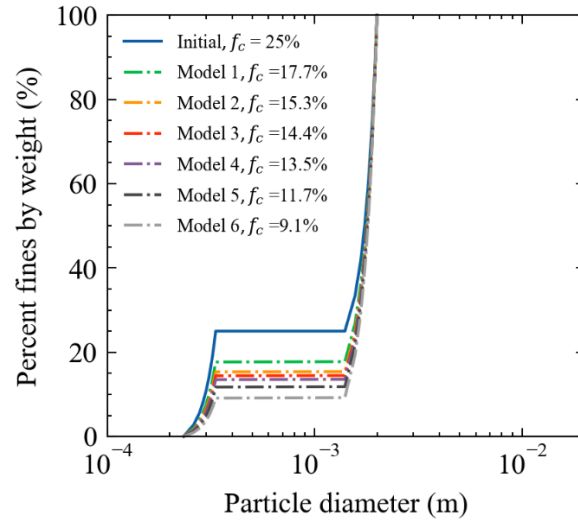


410 Fig. 11. Evolution of fines mass loss: (a) cumulative fines erosion ratio; (b) ultimate eroded mass

411

412 To further reveal the relationship between ultimate fines mass loss and angularity, the two are  
 413 simply linear fitted in Fig. 11(b). There is a negative correlation between them. Especially when  
 414 the angularity is in the range of 0~0.03, the fines mass loss ratio has a sudden drop with  
 415 angularity increasing. In addition, Fig. 12 also plots the comparison of the grain size  
 416 distributions (GSD) after the models have undergone suffusion. Fines content decreases with  
 417 the decline of particle angularity, reminding that suffusion erosion leads to a vital  
 418 transformation in the soils' initial state.

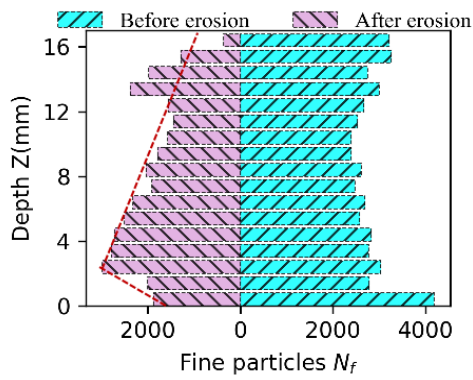
419



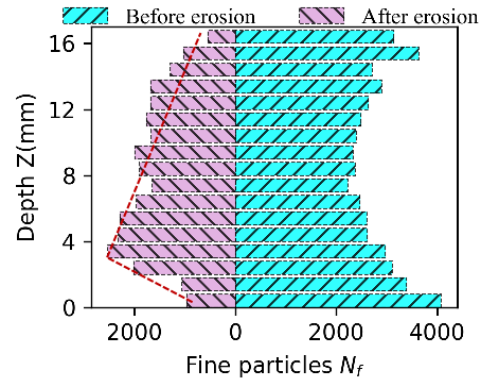
420

Fig. 12. Grain size distributions of models after erosion

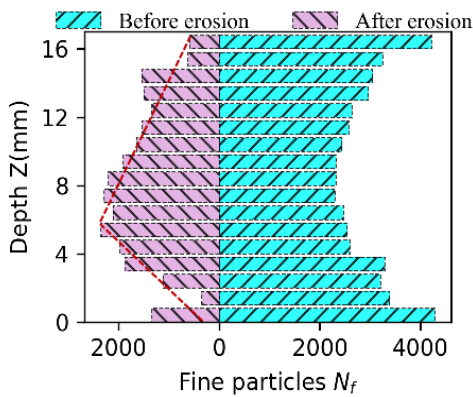
421



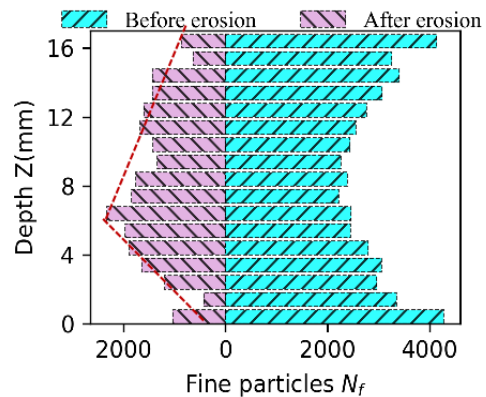
(a) Model 1



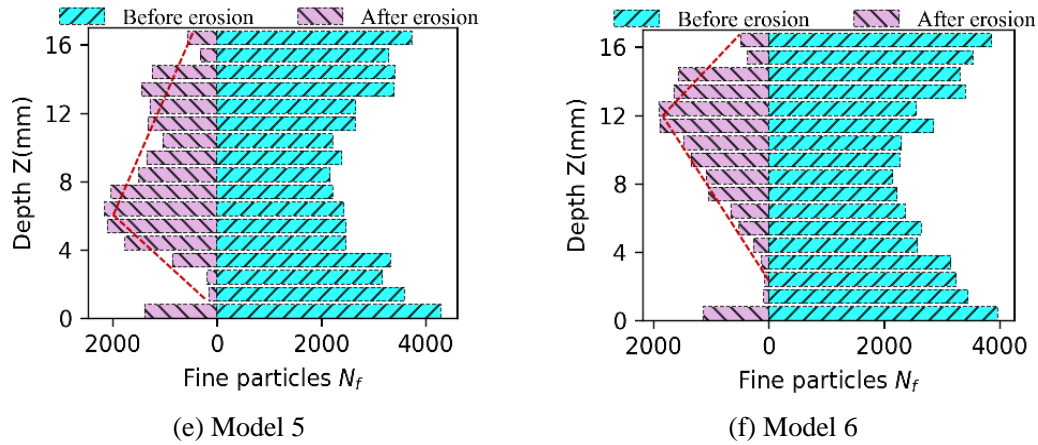
(b) Model 2



(c) Model 3



(d) Model 4



422 Fig. 13 Comparison of fine particle distribution along depth Z at the state before and after erosion

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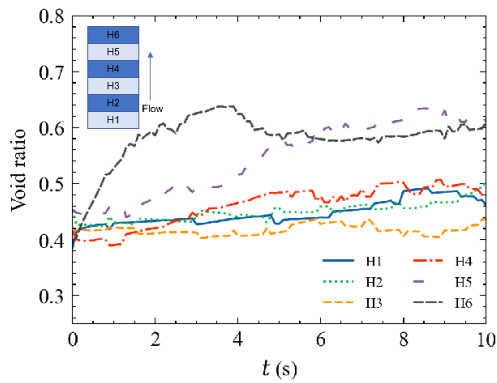
435

436

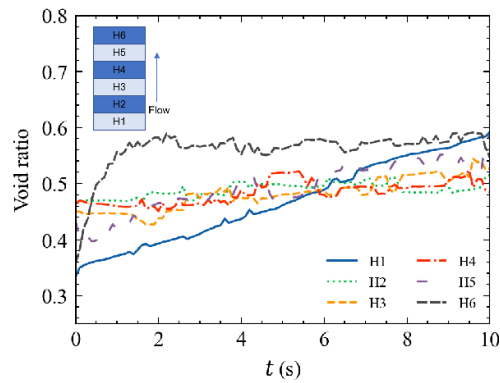
437

438

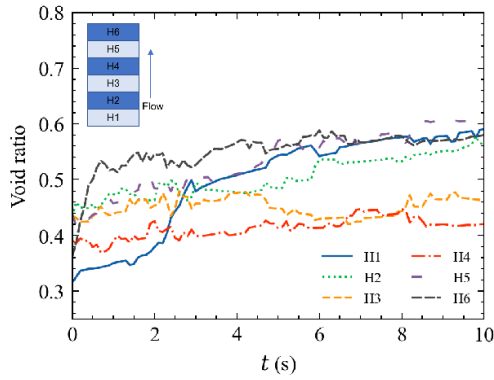
Fig. 13 plots the distribution of fines along the seepage direction. The depth represents the distance from a point to the bottom of the specimen. Firstly, the distribution of fine particles before erosion is relatively uniform along with the depth, indicating that the aforementioned specimen generation method in stage 1 is suitable. However, after erosion, a triangle-like distribution is presented. The number of fines at the ends drops abruptly compared to the pre-erosion, while that of fines located in the middle part of the specimen does not change significantly, showing that the fines are clogged in the midst. This phenomenon is also observed by Xiong et al. (2020). The result is explained as follows: the seepage path of the fine particles near the filter wall is short. Consequently, these particles are easily eroded away under the fluid-particle interaction force. The further away from the filter wall, the more likely it is that particles will be clogged, so many fines are blocked in the middle of the specimen, which can also be seen from the variation of void ratio (Fig.14). The void ratio of the top layer (H5 and H6 in Fig.14) and the bottom layer (H1 and H2 in Fig.14) varies dramatically (due to the small amount of fines loss in Model 1, the variation of the bottom layer void ratio is not very significant). In contrast, the middle layer (H3 and H4) changes relatively more smoothly.



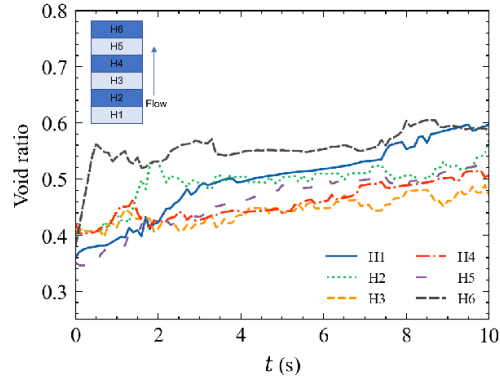
(a) Model 1



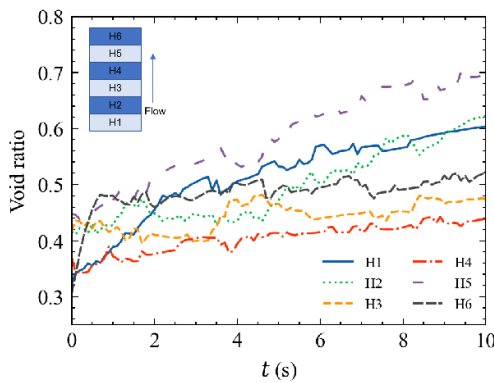
(b) Model 2



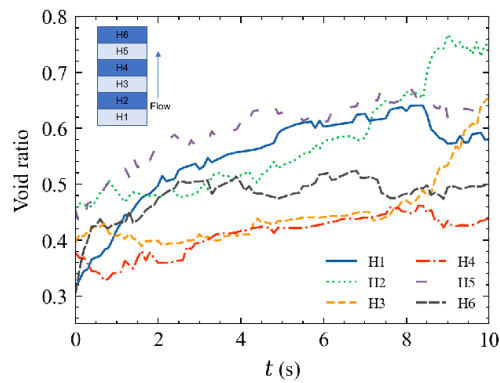
(c) Model 3



(d) Model 4



(e) Model 5



(f) Model 6

439  
440

Fig. 14. Evolution of void ratio in different layers.

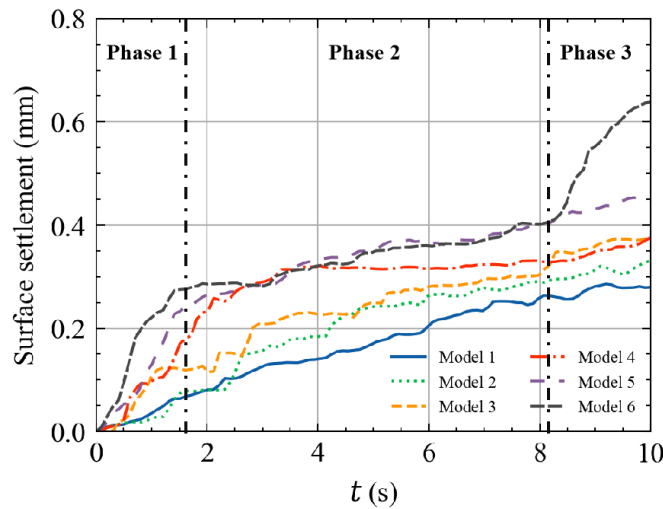
441 **5.1.2 Vertical displacement**

442 The fluid is considered as upward flow, i.e., seepage direction opposite the direction of gravity,  
 443 and the top filter wall maintains stationary, which is consistent with laboratory tests (Shi et al.,  
 444 2018; Tomlinson and Vaid, 2000). Only the bottom wall moves upward under a fixed 100kPa  
 445 stress due to the loss of particles. The amount of upward displacement of the bottom wall can  
 446 be considered vertical displacement.

447

448 Erosion-induced settlement in the six models is plotted in Fig. 15. A distinctive feature is that  
 449 settlement variation with time can be divided into two or three phases: (1) Acceleration phase:  
 450 during this process, the surface settles rapidly. This is mainly due to the loss of the fine particles  
 451 initially close to the filter wall. Each model goes through this phase, but they do not last the  
 452 same amount of time. For example, the accelerated loss phase of Model 6 lasts until about 1.6s,  
 453 but the curve of Model 1 does not gradually start to level off until about 7.2s. (2) Metastable

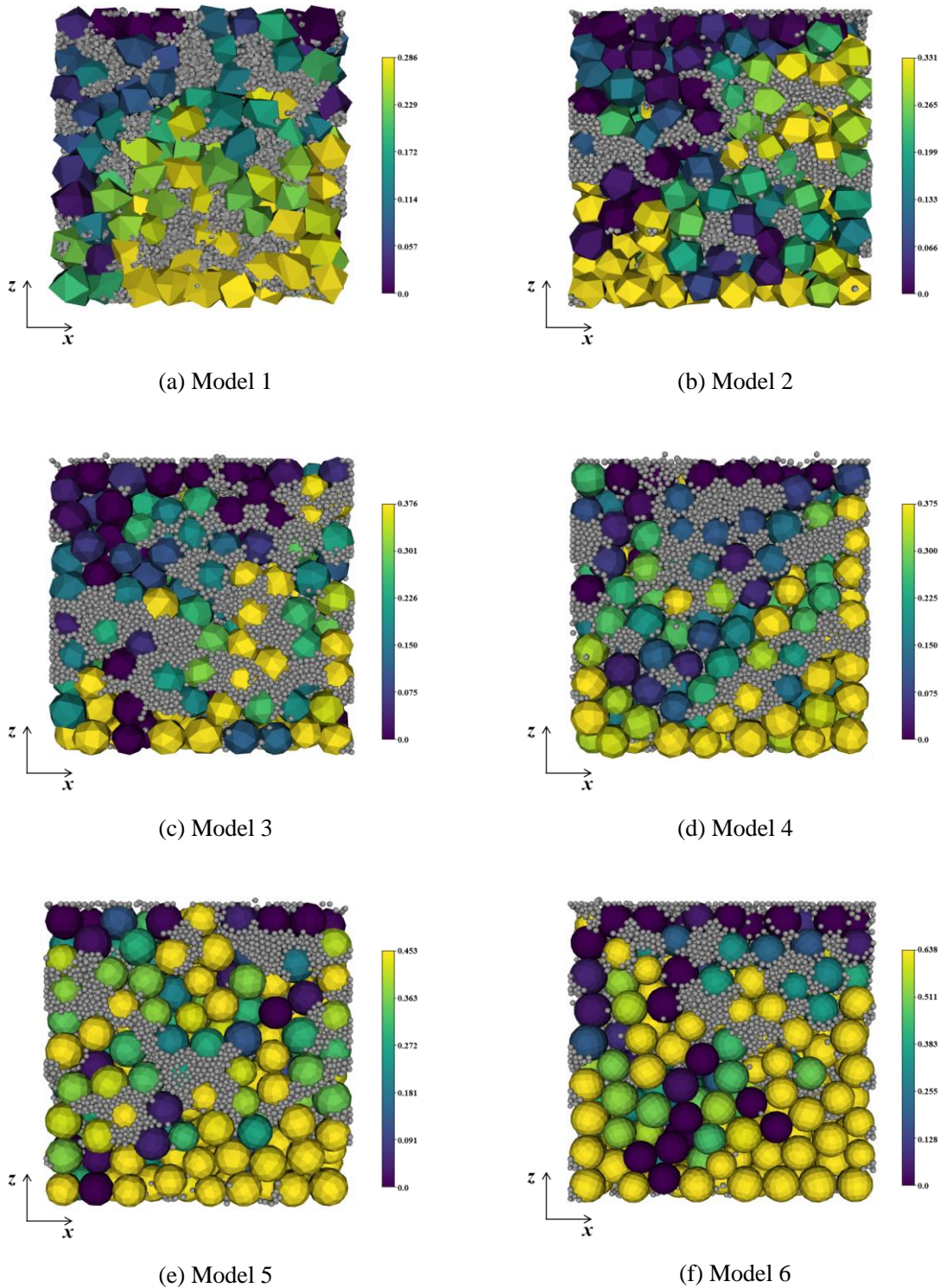
454 phase: In this phase, the fine particles far from the filter wall have a long percolation path, and  
 455 the angularity of particles makes their percolation path not smooth. Consequently, the loss of  
 456 fines slows down. Since the external loads acting on the soil are mainly carried by the soil  
 457 skeleton formed by coarse particles, and the accumulated fines loss at this phase is not enough  
 458 to destabilize the current skeleton, thus the settlement throttles. Even though a displacement  
 459 surge occurs at some moments, the magnitude of the increase is small, indicating that the soil  
 460 skeleton is only locally adjusted at this time and that there is no overall redistribution of the  
 461 coarse particles. For example, Model 3 has a step increase at 4.6s and 8.3s, respectively, but  
 462 the duration of this surge is short, and the displacement settlement is not significant. Therefore,  
 463 Model 3 is still considered to be in the metastable stage during 2.7-10s. (3) Soil skeleton  
 464 redistribution phase: This phase only occurs in Model 6 ( as shown in Fig. 15, three phases of  
 465 Model 6 are delineated by the dash line). Due to the small angularity in Model 6, the particles  
 466 are prone to misalignment with each other. In addition, the severe fines loss makes the  
 467 metastable structure destroyed, and the coarse particles undergo redistribution, as a result,  
 468 surface displacement increases sharply.



470 Fig. 15. Temporal evolution of vertical displacement;

471  
 472  
 473 Fig. 16 plots the vertical displacement field inside the specimen after suffusion. For  
 474 presentation purposes, the fines are set in gray, and only the displacement field of the soil  
 475 skeleton (i.e., coarse particles) is highlighted. Because the top wall is set as a fixed boundary  
 476 during suffusion and the bottom wall maintains a stress servo, the loss of fines will lead to  
 477 upward displacement of the bottom wall and, as a consequence, a large displacement of coarse  
 478 particles at the bottom of the specimen will follow. The closer the particles are to the top, the

479 smaller the displacement will be. Besides, the increase of the angularity can effectively restrict  
 480 the particle displacement. For example, the percentage of small-displacement particles (in dark  
 481 color) in model 1 is greater than that in Model 6. Simultaneously, the maximum displacement  
 482 in Model 6 (the angularity is 0.0099) is 0.638mm, larger than the maximum displacement of  
 483 0.286mm in Model 1 (the angularity is 0.0902).  
 484

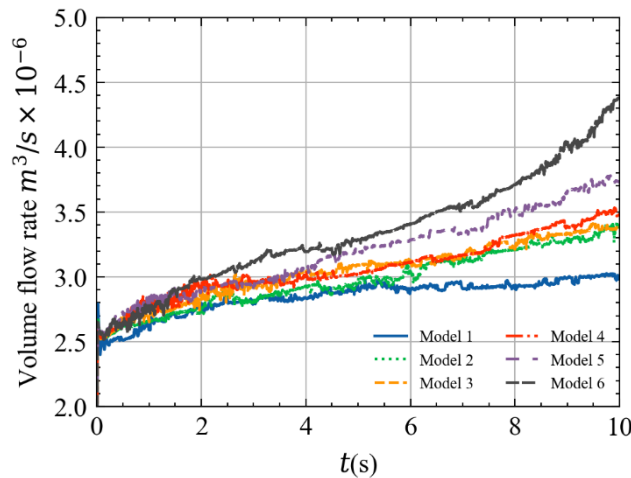


485

Fig. 16 Vertical displacement field

### 486 5.1.3 Volume flow rate

487 Suffusion erosion involves complicated solid and fluid interaction. Thus the liquid phase would  
488 also be affected due to the loss of particles. The volume flow rate  $Q$  is introduced to reflect the  
489 behavior of the water flow. Volume flow rate  $Q$  is defined as  $Q = v \cdot A$ , where  $v$  is the velocity of  
490 flow and  $A$  is the cross-sectional vector area (in this paper,  $A$  is the area of filter wall). Fig. 17  
491 plots the evolution of volume flow rate versus erosion time, from which we can find that at the  
492 beginning of the simulation, the value of the rate is relatively small (approximately  $2.5 \times 10^{-6}$   
493  $\text{m}^3/\text{s}$ ). At this moment, the permeability of the specimen is poor, and the hydraulic conductivity  
494  $k$  is very small. Therefore, the velocity of flow is slow at a given hydraulic gradient ( $v = k \cdot i$ ).  
495 With the development of erosion, the volume flow rate gradually increases, indicating that the  
496 samples tend to be loose and more permeable to water. In addition, the smaller the angularity,  
497 the more serious the loss of fines (Fig. 11) and the greater the volume flow rate (Fig. 17).  
498 Specifically, the significant fines loss in Model 6 leads to a considerable void ratio variation  
499 and the permeability of the specimen increases. As a result, the volume flow rate in Model 6 is  
500 more prominent than in others. The consistency of particle transport and fluid transmutation  
501 confirms the effectiveness of the coupled CFD-DEM method used in this paper.



502

503 Fig. 17. Volume flow rate versus time

### 504 5.1.4 Mechanical behavior of pre-and post-eroded soils

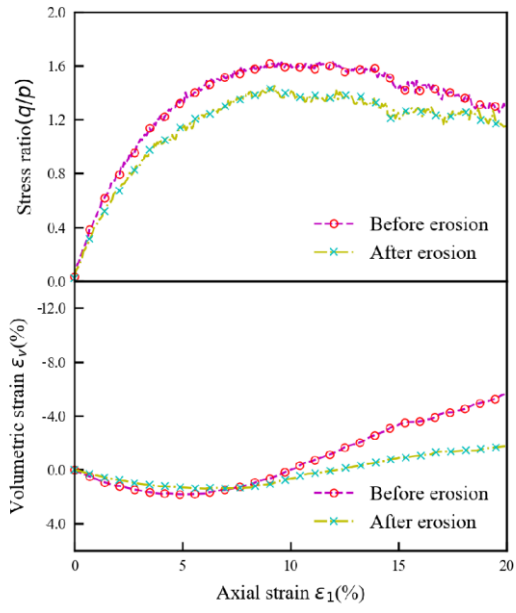
505 Fig. 18 plots the stress ratio and volumetric strain versus the axial strain for the virgin sample  
506 (i.e., without suffusion) and eroded ones in different angularity. Stress ratio ( $q/p$ ) is defined  
507 as the ratio of deviatoric stress ( $q = \sigma_1 - \sigma_3$ ) and mean effective stress ( $p = (\sigma_1 + \sigma_2 + \sigma_3)/3$ ).  
508 As the applied strain increases, the stress ratio first gradually increases to a threshold and then



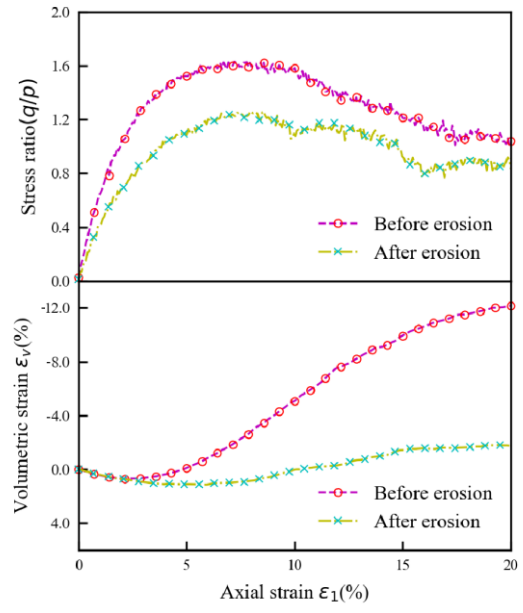
509 decreases to the critical state. The corresponding volumetric deformation first decreases (i.e.,  
510 shear shrinkage), followed by an increase in axial strain, and then dilatation occurs. Even when  
511 the soil underwent severe suffusion, the pore ratio has increased significantly, but the post-  
512 eroded samples still maintain the characteristics of strain-softening. By comparing the peak  
513 stress ratio of specimens with different angularity, it can be found that angularity facilitates  
514 soils' shear strength. As is illustrated in Fig. 19a, the peak stress ratio increases with increasing  
515 angularity. The peak stress ratio varies remarkably for the specimens with large angularity  
516 before erosion, whereas there is no significant difference in the peak stress ratio when the  
517 angularity is small. However, for the specimens after suffusion, there is a linear relationship  
518 between the peak stress ratio and the angularity. A similar phenomenon is also observed in the  
519 soil's friction angle (Fig. 19b), which is defined as  $\varphi = \arcsin\left[\frac{(\sigma_1 - \sigma_3)}{(\sigma_1 + \sigma_3)}\right]$ . The  
520 angularity enhances the self-locking effect (Zhao et al., 2015) between particles, and the  
521 rotational misalignment is thus suppressed. As a result, both the internal friction angle and  
522 shear strength of soil are intensified.

523

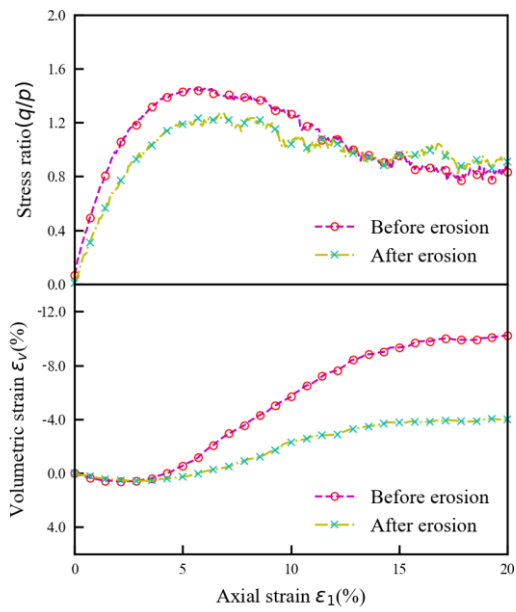
524 Due to the nonlinearity of the soil at the beginning of loading (Xiong et al., 2020),  $E_{50}$ , which  
525 is defined as the secant modulus corresponding to half of the peak stress, is introduced. Fig. 20  
526 plots the  $E_{50}$  of both pre-eroded and post-eroded specimens. It can be observed that: (1) erosion  
527 causes a sudden decrease in soil stiffness  $E_{50}$  in Model 1~3; and (2) erosion has minimal effect  
528 on  $E_{50}$  in Model 4~6, and the post-eroded  $E_{50}$  is even improved compared to the pre-eroded  
529 soils. Actually, the particles in Model 1 are so closely aligned due to a large angularity that the  
530 soil skeleton hardly deformed under external loading. Therefore, the peak strength is not  
531 reached until the axial strain reaches about 13%, after which softening begins. Compared to  
532 Model 1, peak strengths of pre-eroded specimens of Model 2 and 3 do not decrease significantly.  
533 Nevertheless, they start to soften when the strains reach only about 8%, and their  $E_{50}$  increases  
534 instead. After erosion, the strength of soils decreases due to fines loss and readjustment of  
535 particle fabric, and  $E_{50}$  of Model 1-3 show different degrees of reduction. In Model 4-6, due to  
536 excessive fines loss and minor rolling resistance between particles, the soils form a sub-stable  
537 structure (Liu et al., 2020a; Xiong et al., 2020). This results in a decrease in their peak strength  
538 compared to the pre-eroded samples, but the  $E_{50}$  modulus is slightly larger than that before  
539 suffusion.



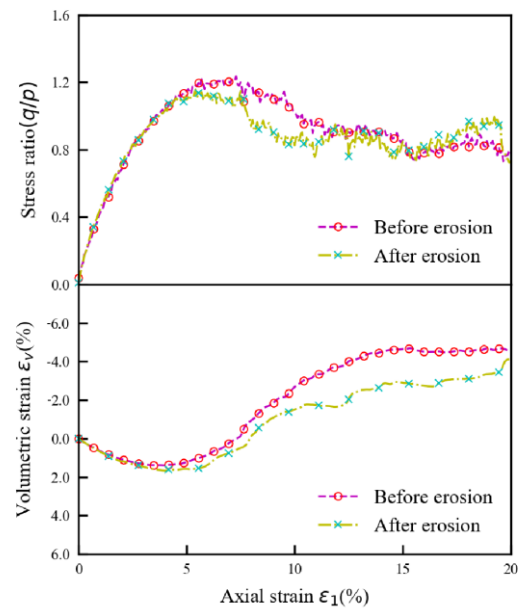
(a) Model 1



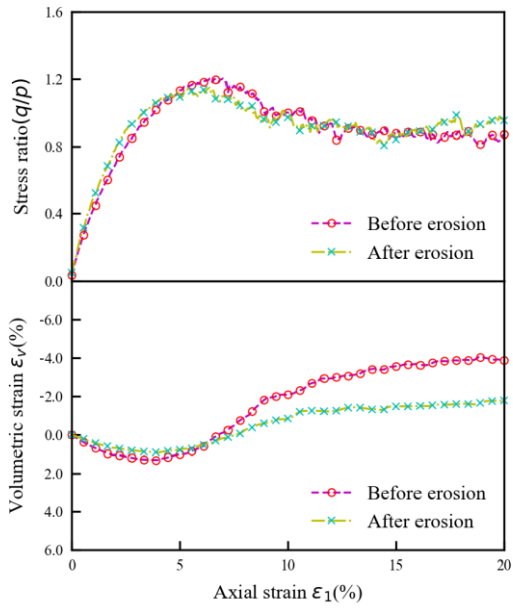
(b) Model 2



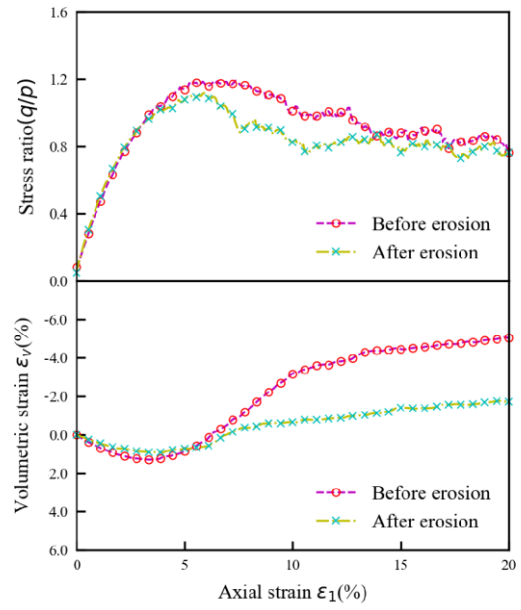
(c) Model 3



(d) Model 4

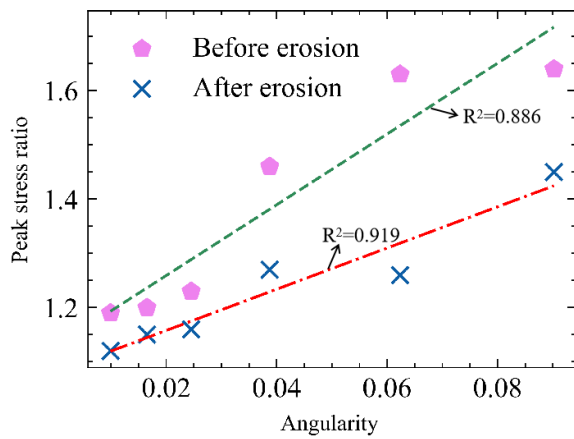


(e) Model 5

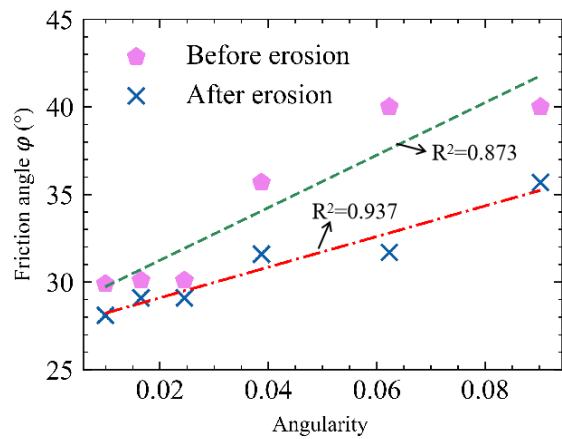


(f) Model 6

540 Fig. 18 Variation of strength and deformation characteristics expressed by stress ratio (deviatoric stress over  
541 mean effective stress) versus axial strain and volumetric strain versus axial strain.



(a) Peak stress ratio variation versus angularity

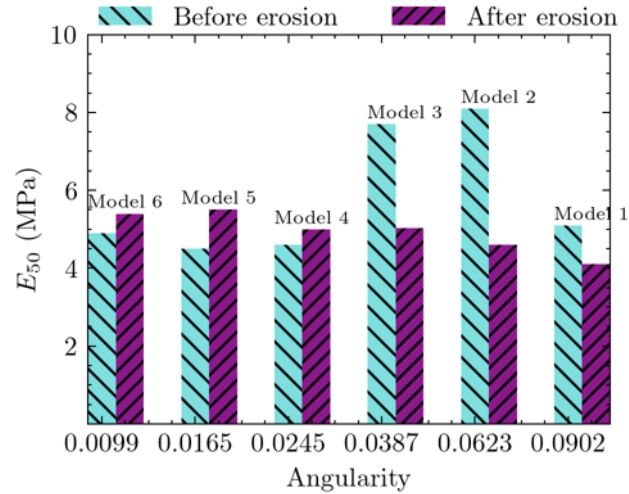


(b) Friction angle variation versus angularity

542 Fig. 19 Variation of peak stress ratio and friction angle versus angularity.

543

544



545

Fig. 20  $E_{50}$  in different samples

546

## 547 5.2 Analysis of the microscopic behaviors

### 548 5.2.1 Strong force chains

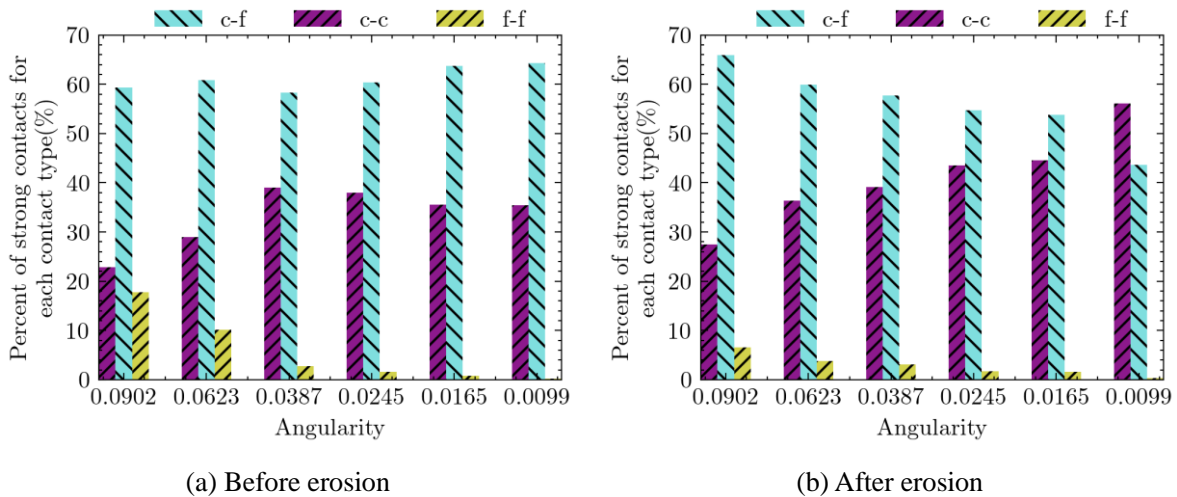
549 A significant advantage of the discrete element method is to record and analyze forces and  
550 contact information for each particle, which facilitates the study of soil behavior from a  
551 microstructural perspective. Previous investigations show that the normal contact force has a  
552 more pronounced effect than the tangential contact force (Azéma et al., 2009; Thornton, 2000).  
553 The evolution of normal contact forces at the microscopic level can mechanistically explain  
554 some macroscopic phenomena.

555

556 Based on the size of the two particles, three types of contact are categorized: (1) c-c contact:  
557 the contact between coarse particle and coarse particle; (2) c-f contact: the contact between  
558 coarse particle and fine particle; (3) f-f contact: the contact between fine particle and fine  
559 particle. According to Azema and Thornton (Radjai et al., 1998; Thornton and Antony, 1998),  
560 force transmission is divided into two patterns: strong force contributes mainly to macroscopic  
561 deviatoric stresses, and weak force contributes mainly to isotropic stresses that maintain the  
562 stability of the strong force chain. Referring to the Minh et al. (Minh et al., 2014), the criterion  
563 for distinguishing between strong and weak forces is set to be  $1.2\langle f_n \rangle$  in this paper, where  $\langle f_n \rangle$   
564 is the average normal force of all the contacts. The forces above  $1.2\langle f_n \rangle$  are strong forces. On  
565 the other hand, those who less than  $1.2\langle f_n \rangle$  are the weak forces.

566

567 Fig. 21 counts the strong force distribution in the three contact types of different angularity  
 568 samples. The type of c-c and c-f contact dominates both pre-eroded and post-eroded specimens,  
 569 implying that coarse particles are involved mainly in strong force chains. The proportion of  
 570 strong force in f-f contacts decreases significantly with decreasing angularity (Fig. 21a). For  
 571 example, their distributions in c-c and f-f contacts of Model 1 (the corresponding angularity is  
 572 0.0902) are similar, whereas, in Model 6 (the corresponding angularity is 0.0099), almost no  
 573 strong force exists in f-f contacts. The phenomenon indicates that angularity favors the fine  
 574 particles to bear greater contact forces, consistent with others' points (Zhao et al., 2015) that  
 575 angularity intensifies the self-locking effect between particles. Fig. 21b illustrates the results of  
 576 post-eroded specimens, from which we can find that as the angularity decreases, the proportion  
 577 of c-c gradually increases, while that of c-f gradually decreases. However, it should be  
 578 emphasized that the discrepancy in allotment of c-c and c-f is not remarkable before suffusion.  
 579 This indicates that the angularity has little effect on the initial strong force distribution in c-c  
 580 and c-f, whereas it has significant effects after erosion. The reason is that with the reduction of  
 581 angularity, fines loss considerably proliferates, and the c-c contacts consequently create more  
 582 strong force chains to bear forces.



583 Fig. 21 Distribution of strong force chains in c-f, c-c, f-f contact types

584

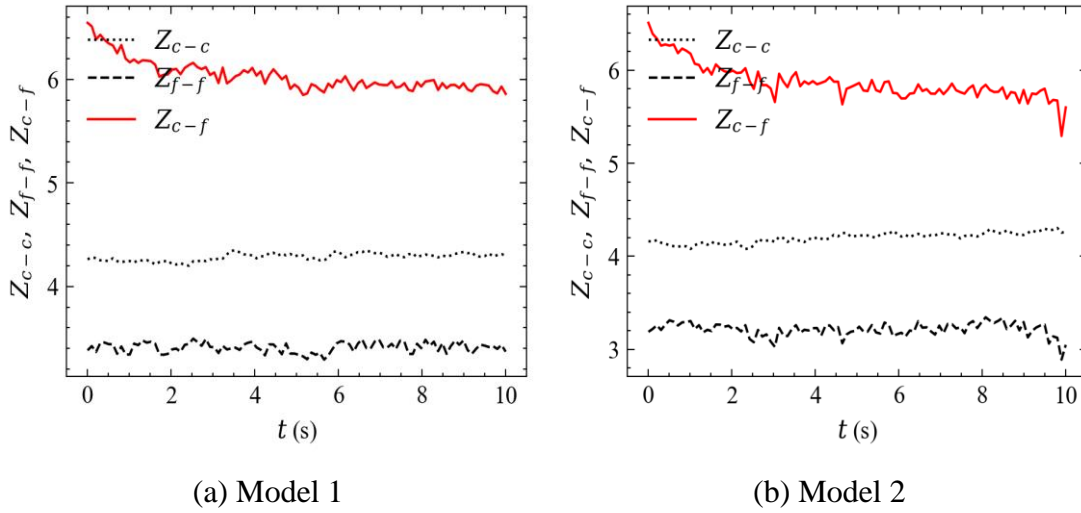
585 **5.2.2 Mechanical coordination number**

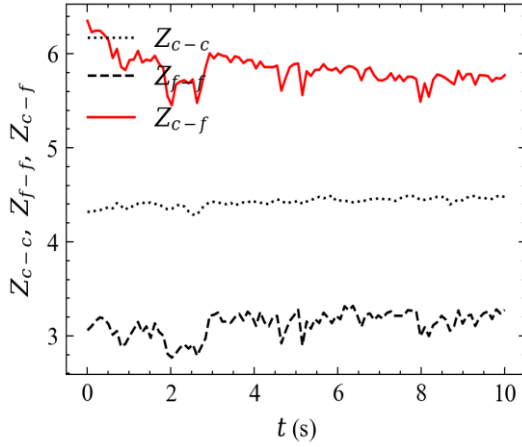
586 Coordination number is defined as the number of particles touching with the neighboring  
 587 particles. For the gap-graded soils, given that the particles having none or one contact barely  
 588 contribute to force transmission, the mechanical coordination number  $Z_m$  exhibits a granular

589 assembly structure more accurately (Thornton and Antony, 2000).  $Z_m$  is calculated as:

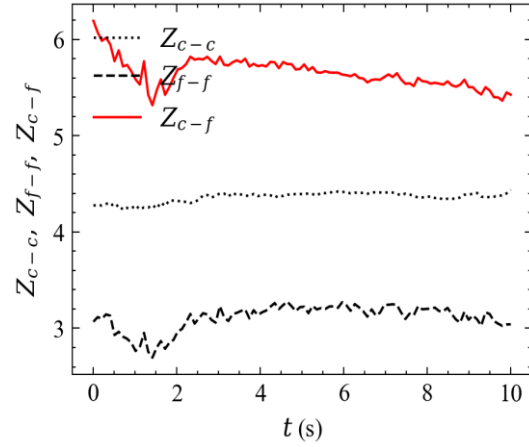
$$590 \quad Z_m = \frac{2C - N_1}{N - N_0 - N_1} \quad (11)$$

591 where  $N_0$  and  $N_1$  denote the number of particles with null and one contact, respectively;  $C$  is  
 592 the total contact number in the assembly. Specifically,  $Z_m$  can be further classified into three  
 593 types based on the contacts (Hu et al., 2020): the average contact number of c-c contacts per  
 594 coarse particle  $Z_{c-c}$ , c-f contacts per particle  $Z_{c-f}$ , and f-f contacts per fine particle  $Z_{f-f}$ . The  
 595 evolution of the mechanical coordination number in three different contacts is shown in Fig.  
 596 22. It's observed that the  $Z_{c-f}$  is remarkably larger than  $Z_{f-f}$  and  $Z_{c-c}$ , showing that many fines  
 597 will be attached around coarse particles for gap-graded soils, raising the contacts between  
 598 coarse and fine particles. What is more,  $Z_{c-f}$  decreases, and  $Z_{c-c}$  increases gradually with elapsed  
 599 time. The tendency is more pronounced in the models with severe fines loss (e.g., Model 5 and  
 600 Model 6). This indicates that fines loss leads to a reorganization of the internal structure, and  
 601 coarse particles will create more contacts to maintain the stability of the soils that have  
 602 weakened due to erosion. On the contrary,  $Z_{f-f}$  remains almost constant. It is because that fines  
 603 diffusing in the pores of coarse particles mainly play a filling role. The contribution of these  
 604 particles in force transmission is tiny (Liu et al., 2020a; Minh et al., 2014).  
 605

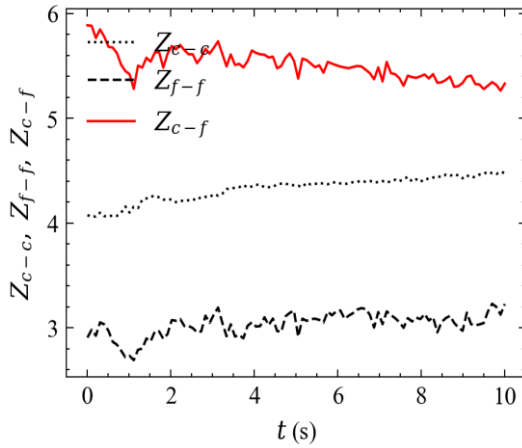




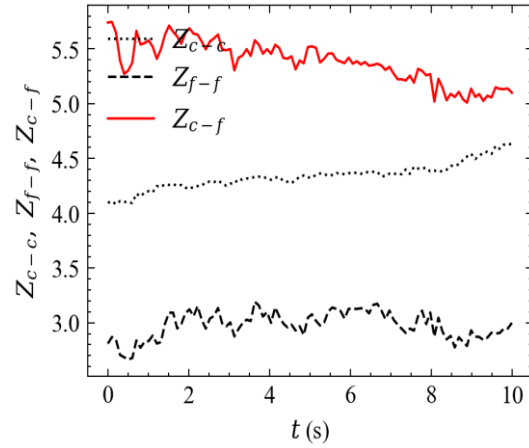
(c) Mode 3



(d) Model 4



(e) Model 5



(f) Model 6

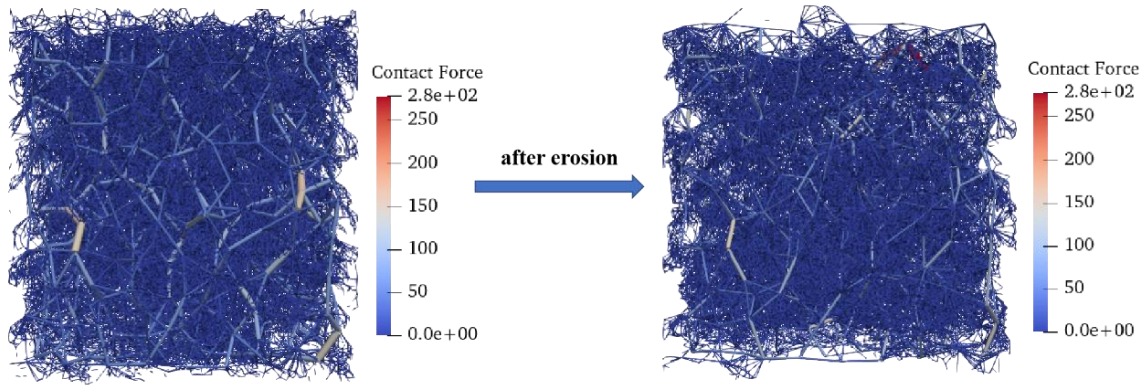
606  
607

Fig. 22 Evolution of mechanical coordination numbers during suffusion.

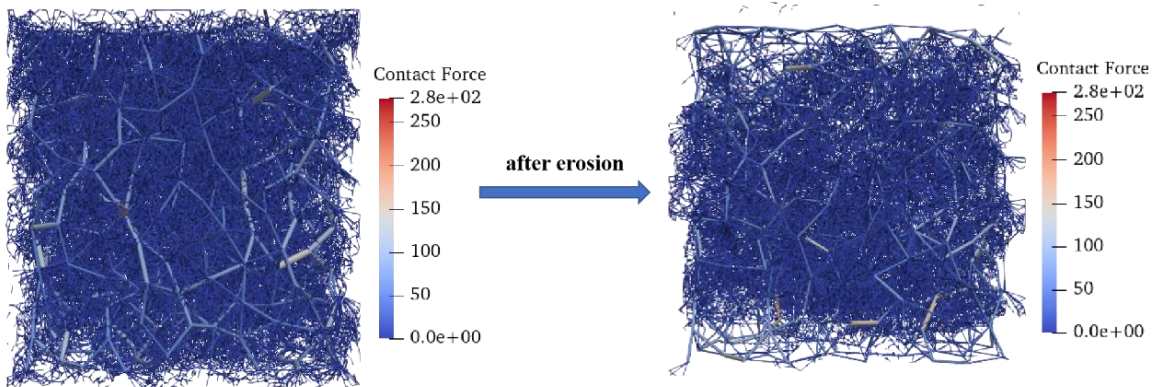
608 **5.2.3 Evolution of force network**

609 The force network consists of two force categories (strong force and weak force) as mentioned  
 610 above, which can be represented in the form of the force chain (as shown in Fig. 23). All the  
 611 contact forces are presented by the cylinders linking the adjacent particles whose radius and  
 612 colors represent the magnitude of the force.

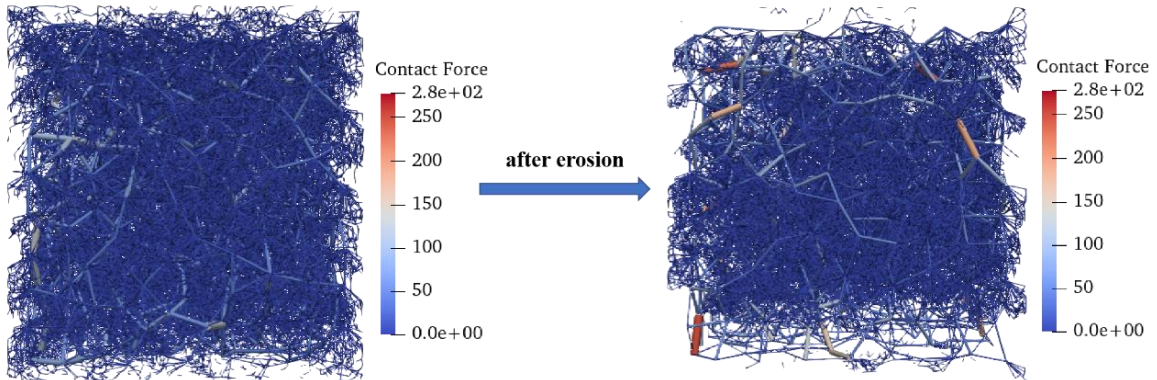
613



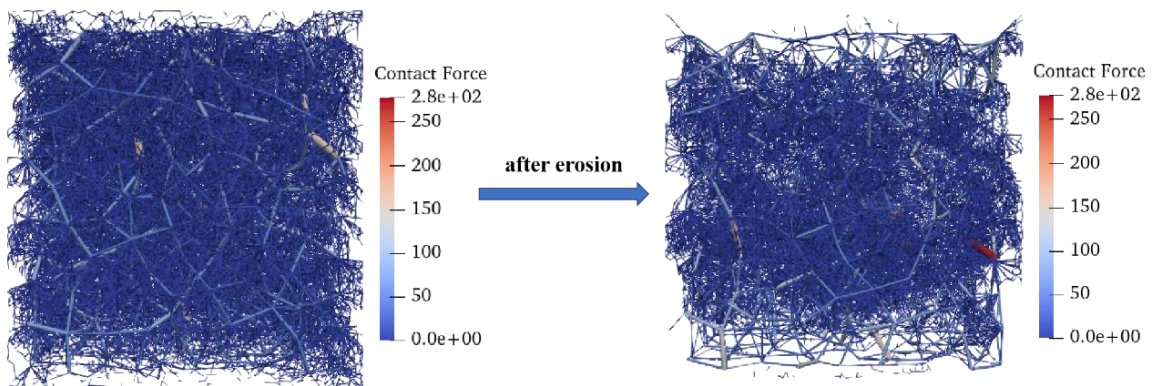
(a) Model 1



(b) Model 2

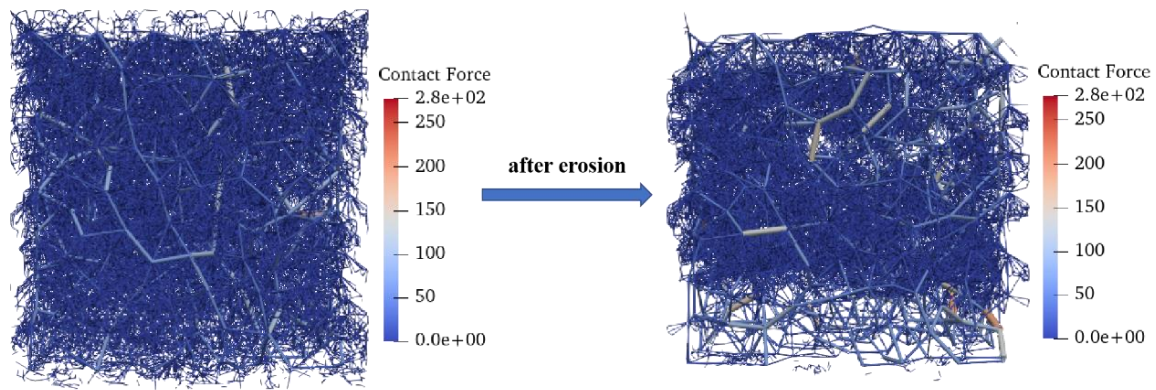


(c) Model 3

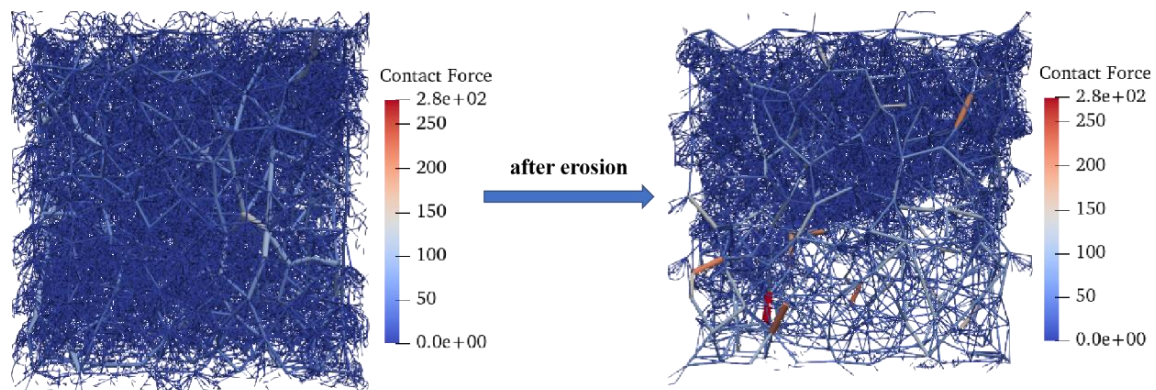


(d) Model 4





(e) Model 5



(f) Model 6

Fig. 23 Force chains of all models before and after erosion

614

615

616 There are two significant features in the evolution of the force network. Firstly, before the

617 erosion, the force chain has a spatially uniform distribution. The strong force chains form the

618 skeleton, and the weak force chains are mainly found in their pores. After erosion, in addition

619 to the increase in strong force chains, the entire force network becomes more sparse and

620 inhomogeneous. The reduction of fines and weak chains allows more strong chains to show up

621 in Model 3-6, while this change is not evident in Model 1-2. Moreover, the weak force chains

622 are mainly concentrated in the middle of the specimen. The inhomogeneity is more pronounced

623 in small angularity models, which correspond to more fines loss, e.g., weak force chains barely

624 visible at the bottom of the specimen in Model 6. Secondly, the phenomenon of particle

625 clogging is observed in all the models. The flow path becomes obstructive due to the

626 accumulation of large amounts of fine particles in the middle, i.e., the movement of the particles

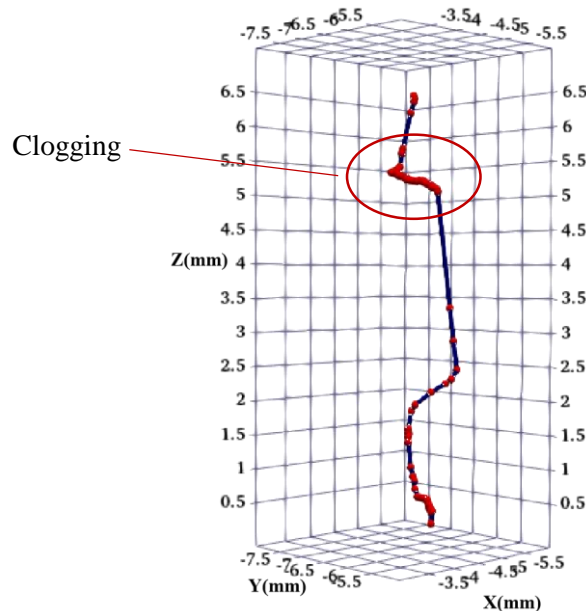
627 is forced to interrupt due to the blockage zone in the sample. It is for this reason that the fines

628 do not being eroded indefinitely. Fig. 24 presents a flow path of a selected particle, in which the

629 motion of the particle is recorded during the whole simulation. The initial positions of the

630 selected particle are relatively far from the filter wall, i.e., it has the longest flow path in Model

631 6. At the beginning of the seepage path, the location points are very dense, indicating that the  
 632 adjacent displacements are small and the particle movement is hindered, and the corresponding  
 633 coordinates precisely in the middle of the soil sample. Once the particles are eroded out of the  
 634 blocking zone, the displacement between adjacent location points increases, and the seepage  
 635 path is smooth.



636

Fig. 24. Flow path of the selected eroded particle in Model 6

637

638

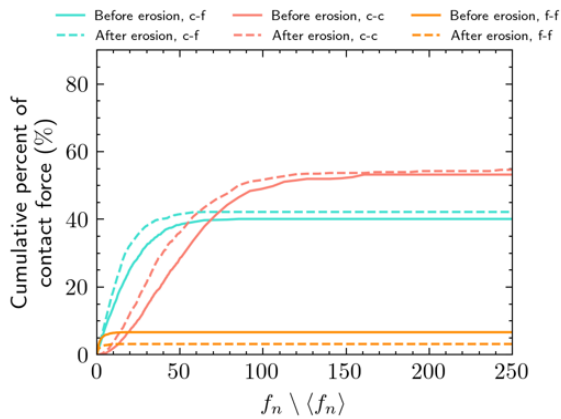
### 639 5.2.4 Cumulative percentage of contact force

640 Following Radjai et al. (1998), we consider the cumulative percentage of contact force of the  
 641 three contact types, as shown in Fig. 25. For any point on the curve, the horizontal coordinate  
 642 is the magnitude of the force normalized by  $\langle f_n \rangle$ , and the vertical coordinate is the cumulative  
 643 percentage of contact forces that are smaller than the corresponding horizontal value. Among  
 644 these contacts, the cumulative percentage of c-c exceeds 50% in all six groups of models. In  
 645 addition, the c-c reaches horizontal the latest, indicating that the maximum force of the c-c  
 646 contact is greater than the maximum force of the f-f and c-f contacts.

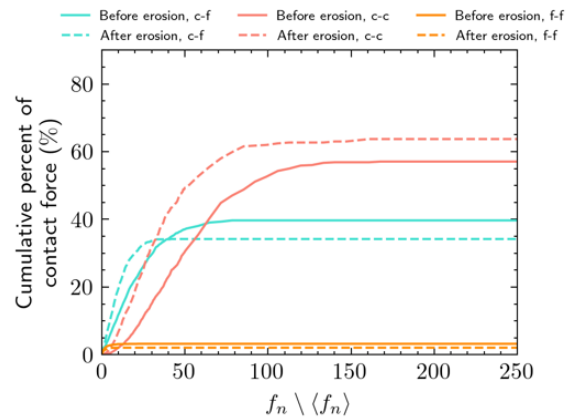
647

648 In general, for a given  $f_n / \langle f_n \rangle$ , the cumulative percentage of post-erosion in c-c is larger than  
 649 that before erosion, whereas the cumulative percentage of c-f, f-f contacts exhibit a shrink. This  
 650 change is more pronounced as the angularity decreases, which means that the force  
 651 transformation from f-f contacts to c-c contacts occurs. The coarse particles take on many of

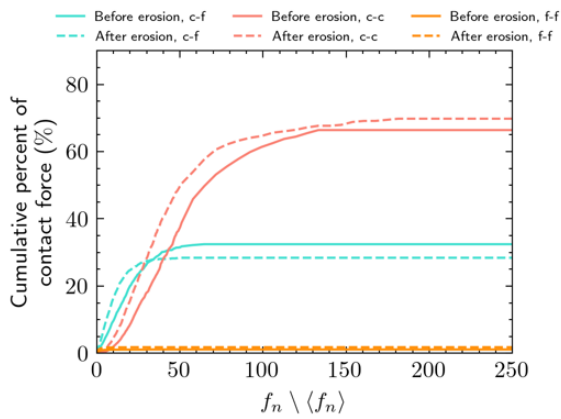
652 the forces that originally act on the fines, making them denser with each other. Macroscopically,  
 653 the specimen exhibit typical strain-softening behavior even after suffusion (Fig.18). Model 1  
 654 has a large angularity and a strong resistance to the fluid. Therefore, both the changes of fines  
 655 and the transformation of c-c and c-f contact forces are not obvious. More details about the  
 656 maximum and average normal forces are listed in Table 4. The average force  $\langle f_n \rangle$  and  $\langle f_n^{c-c} \rangle$   
 657 enlarge with the loss of fines, while  $\langle f_n^{c-f} \rangle$  has a decline in most models ( $\langle f_n^{c-f} \rangle$  of Model 1  
 658 and Model 2 has slight increase). Although the number of fine particles has been greatly  
 659 reduced, the average contact force between the fines  $\langle f_n^{f-f} \rangle$  has still increased. This indicates  
 660 that the hydraulic force acting on the fines increases the interparticle forces between fines.



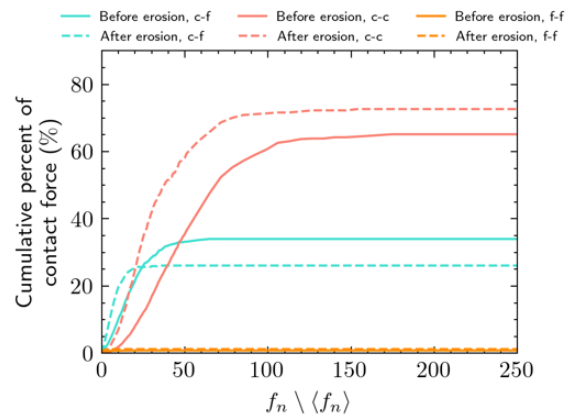
(a) Model 1



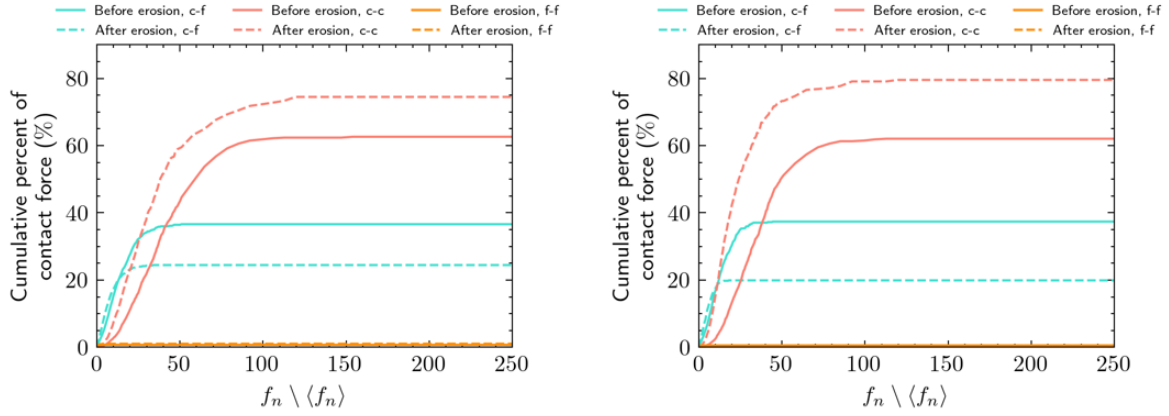
(b) Model 2



(c) Model 3



(d) Model 4



(e) Model 5

(f) Model 6

661 Fig. 25 Cumulative percent of contact force of three contact types before and after erosion

662

663 Table 4 Maximum and average normal forces for different contact type

664 (a) before erosion

Unit:N

Angularity	max (fn)	<fn>	max (fn <sup>c-c</sup> )	<fn <sup>c-c</sup> >	max (fn <sup>c-f</sup> )	<fn <sup>c-f</sup> >	max (fn <sup>f-f</sup> )	<fn <sup>f-f</sup> >
0.0902	155.16	1.18	155.16	30.89	81.19	8.54	16.34	2.57
0.0623	155.05	1.15	155.05	30.47	72.41	9.72	20.52	2.50
0.0387	130.89	1.20	130.88	31.94	62.80	10.11	30.43	2.95
0.0245	159.75	1.14	159.75	30.65	59.18	9.77	19.37	3.15
0.0165	166.77	1.34	166.77	29.77	56.35	9.34	5.06	2.26
0.0099	131.82	1.48	131.82	30.44	54.56	9.73	2.98	2.17

665

666 (b) after erosion

Unit:N

Angularity	max (fn)	<fn>	max (fn <sup>c-c</sup> )	<fn <sup>c-c</sup> >	max (fn <sup>c-f</sup> )	<fn <sup>c-f</sup> >	max (fn <sup>f-f</sup> )	<fn <sup>f-f</sup> >
0.0902	302.03	1.50	302.03	30.96	77.01	9.21	18.30	3.26
0.0623	277.42	2.10	277.42	34.03	72.19	10.39	16.98	4.35
0.0387	249.24	1.67	249.24	34.04	69.30	8.72	28.15	3.65
0.0245	269.65	2.17	269.65	33.52	60.17	8.79	8.51	3.49
0.0165	197.88	1.99	197.88	32.99	56.23	8.16	8.59	3.33
0.0099	292.47	2.94	292.47	33.78	43.86	9.68	8.24	4.53

## 667 5.2.5 Distribution of the contact orientation

668 The fabric of granular soils can be reflected by the 3D spatial orientation distribution of normal

669 contact force  $F_n$ , shear contact force  $F_t$  and the contact normal  $N_c$  (Xiong et al., 2020; Yin

670 et al., 2013, 2010). Considering the symmetry of Z axis, the distribution function can be  
 671 expanded by Fourier coefficients in the following form:

$$672 \quad E(\varphi) = \frac{1}{2\pi} [1 + \alpha \cos 2(\varphi - \beta)] \quad (12)$$

673 where  $\varphi$  is the angle between the vector direction of contact force ( $F_n, F_t$ ) and Z-axis;  $\alpha$   
 674 reflects the magnitude of the anisotropy of the distribution function;  $\beta$  reflects the principal  
 675 direction of anisotropy, which can be computed as below:

$$676 \quad \alpha = 2\sqrt{\left[ \int_0^{2\pi} E(\varphi) \cos 2\varphi d\varphi \right]^2 + \left[ \int_0^{2\pi} E(\varphi) \sin 2\varphi d\varphi \right]^2} \quad (13)$$

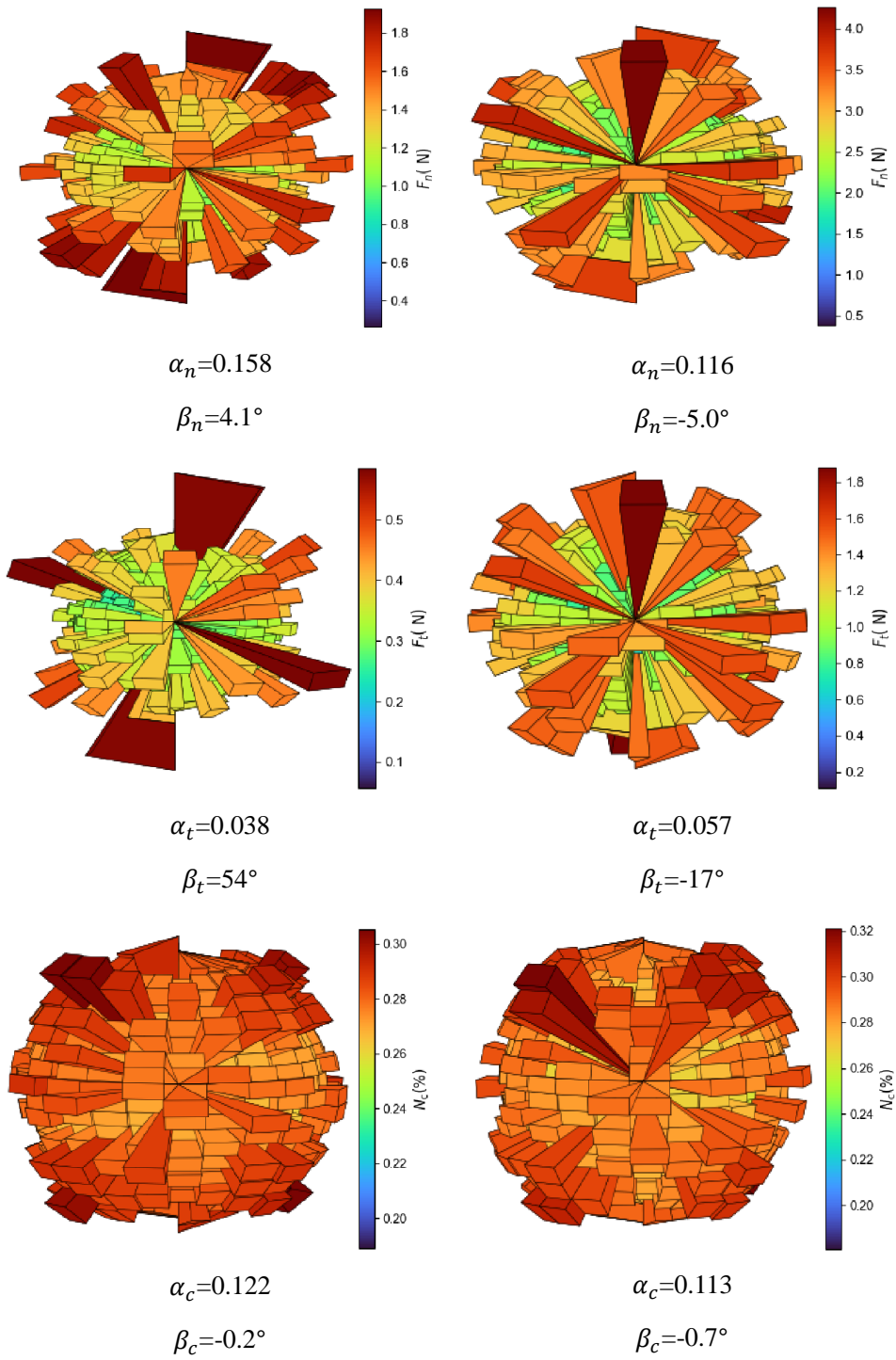
$$677 \quad \beta = \frac{1}{2} \arctan \frac{\int_0^{2\pi} E(\varphi) \sin 2\varphi d\varphi}{\int_0^{2\pi} E(\varphi) \cos 2\varphi d\varphi} \quad (14)$$

678

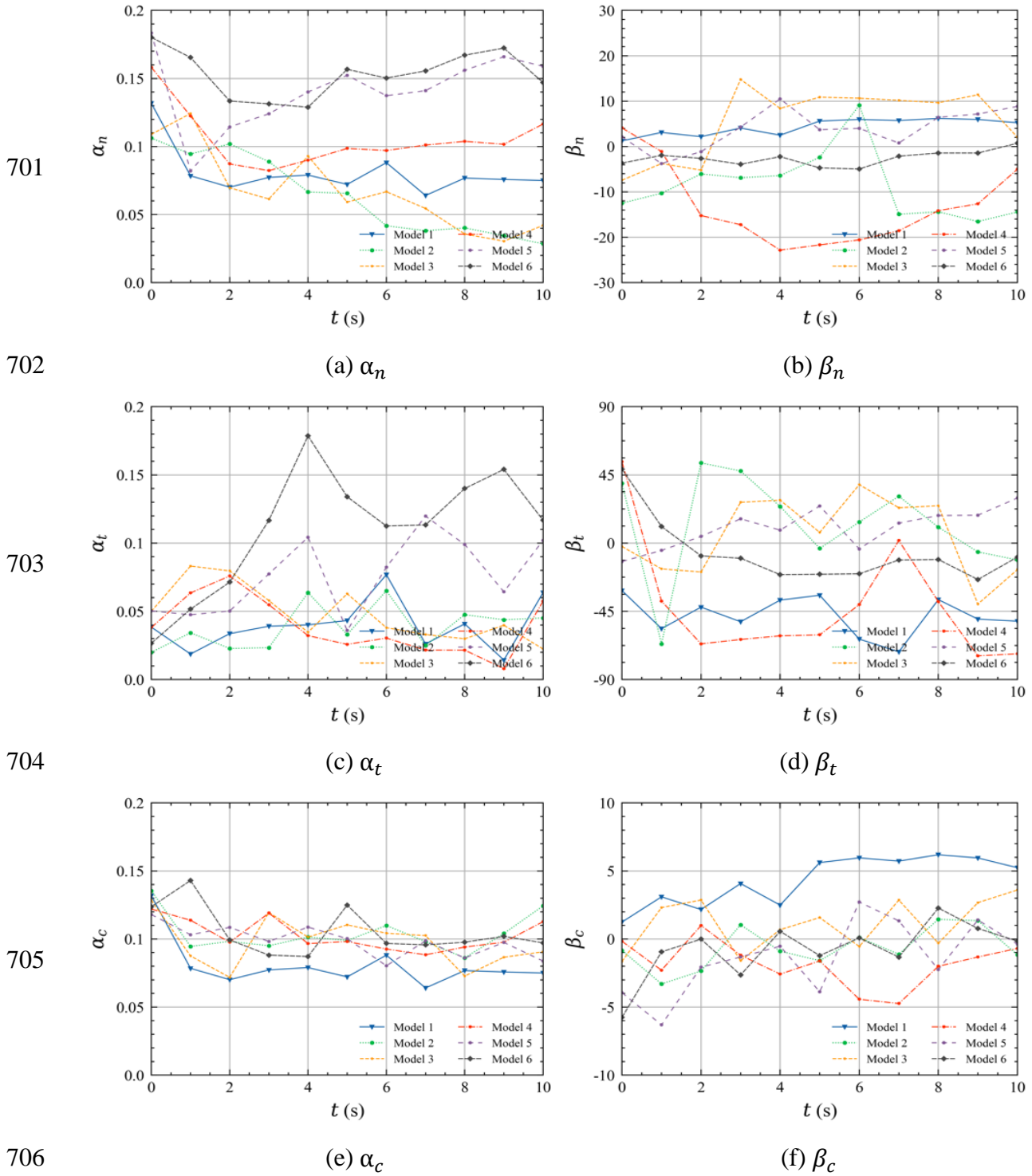
679 Fig. 26 shows the 3D rose diagram of the microscopic contact information before and after  
 680 suffusion ( $\alpha_n, \beta_n$  in 1st row for normal contact force  $F_n$ ;  $\alpha_t, \beta_t$  in 2nd row for tangential  
 681 contact force  $F_t$ ;  $\alpha_c, \beta_c$  in 3rd row for contact normal  $N_c$ ). As illustrated, the anisotropy of  
 682  $F_n, N_c$  has been slightly reduced due to suffusion, which can be interpreted as bellow: the soils  
 683 are in  $K_0$  consolidation state before suffusion, the surrounding fixed boundary conditions  
 684 impose restrictions on the arrangement of the particle microstructure. During the erosion phase,  
 685 the upper impermeable boundary is replaced with a permeable wall. Lots of fines loss leads to  
 686 particle rearrangement and also causes stress release. The anisotropy of the fabric structure  
 687 ( $F_n, N_c$ ) decreases because the boundary confinement is broken. Under the action of  
 688 hydrodynamic force, the mutual motion of particles increases the diversity of tangential force  
 689 distribution, but in comparison, the anisotropy of  $F_t$  is still much smaller than that of  $F_n$ .

690

691 Fig. 27 demonstrates the evolution of anisotropy parameters during erosion for all the cases.  
 692 For  $F_n$  and  $N_c$ , the anisotropy of all specimens has been reduced to different degrees, which  
 693 has a good agreement with the results of Xiong (2020). In addition, another remarkable feature  
 694 is that the average degree of normal contact force and tangential contact force anisotropy is  
 695 greater in soil samples with smaller angularity (Model 5-6) than in those with larger angularity  
 696 (Model 1-4). As for the principal direction, the  $\beta_n$  varies between  $\pm 10^\circ$  and  $\beta_c$  varies  
 697 between  $\pm 5^\circ$ , whereas for  $\beta_t$ , the range of variation increases to  $\pm 45^\circ$ . In general, the drastic  
 698 change in the principal direction ( $\beta_n, \beta_t, \beta_c$ ) decreases as the angularity increases.



699 Fig. 26 Distribution of contact orientation of Model 4 before and after suffusion (1st column of the pre-  
700 coded situation; 2nd column of the post-eroded situation.)



707 Fig. 27 Evolution of anisotropy parameters during suffusion for all cases.

708 **6. Conclusions**

709 This paper presents a coupled CFD-DEM method to simulate the suffusion erosion in the gap-  
 710 graded soils. The adopted particle shape is a quasi-spherical polyhedron with a different  
 711 number of vertexes, enabling the primary focus to be placed on the effect of angularity on the  
 712 suffusion. Six assemblies of a typical fines content of 25% and confining pressure of 100kPa

713 are generated. Suffusion erosion is triggered at a constant hydraulic gradient. Triaxial tests for  
714 eroded and non-eroded models are subsequently conducted to investigate the influence of  
715 suffusion and angularity on mechanical properties. The main conclusions through macroscopic  
716 and microscopic analysis are summarized as follows:

717 (1) Angularity significantly intensifies the self-locking effect of nonsphere particles, enhancing  
718 the resistance of suffusion for gap-graded soils. With the increase of angularity, the amount of  
719 fines loss and soil settlement is effectively controlled. Metastable structure in the models of  
720 large angularity inhibits the continuous development of erosion.

721

722 (2) Suffusion causes inhomogeneity in the arrangement of fine particles. A triangle-like  
723 distribution is presented in post-eroded specimens due to fines clogging in the middle. The top  
724 and bottom void ratios vary dramatically, while that of the midsection exhibits a slight  
725 perturbation.

726

727 (3) The shear strength is significantly enhanced for samples with high angularity, while  
728 suffusion decays soil strength conspicuously. Peak soil strength and internal friction angle are  
729 approximately linearly related to angularity.  $E_{50}$  is influenced by the redistribution of particle  
730 structure during shear, which varies in specimens with different angularity.

731

732 (4) Soil forces are mainly born by coarse particles, and most strong force chains are found in  
733 c-c and c-f contacts. Suffusion leads to the force transformation from f-f contacts to c-c contacts.  
734 Coarse particles take on some forces that initially act on the fines. As angularity increases, the  
735 ability of fines to bear force enhances.

736

737 (5) The anisotropy of normal contact force  $F_n$  and contact normal  $N_c$  decreases with elapsed  
738 time in suffusion. The anisotropy of the tangential force  $F_t$  is small compared to the normal  
739 force. The anisotropy degree of  $F_n$  is greater in soil samples with smaller angularity, while the  
740 situation of  $F_t$  is the opposite

741

742 This simulation conducted in this paper highlights how critical it is that the particle angularity  
743 affects suffusion erosion, emphasizing the critical role the particle shape plays through  
744 comprehensive macroscopic and microscopic analysis. Based on the framework of this paper,  
745 future studies about the effect of confining stress fines content and hydraulic gradient



746 considering the different particle shapes (e.g., aspect ratio) on the suffusion of gap-graded soil  
747 can be conveniently executed.

748

749

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753

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