

## **Lexical tone perception and production in Cantonese-speaking children with childhood apraxia of speech: A pilot study**

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## Lexical tone perception and production in Cantonese-speaking children with childhood apraxia of speech: A pilot study

**Introduction:** Childhood apraxia of speech (CAS) is a paediatric motor speech disorder. We investigated the lexical tone perception and production abilities of children with CAS and the relationships between the two. **Methods:** Three children with CAS, aged between 3;7 and 5;8, were given the Cantonese Tone Identification Test (CANTIT) and the Hong Kong Cantonese Articulation Test (HKCAT) for assessment of tone perception and production, respectively. Accuracy and error patterns were investigated based on their performance on the two tests. Correlation analysis was performed on children's perception and production scores. **Results:** Two children scored at the lowest rank on the CANTIT, while one child obtained a Z score of 0. All children scored three standard deviations below the mean on the HKCAT. No statistical differences were found among the six tones with respect to perception accuracy,  $H(5) = 3.731, p = 0.589$ . Error analysis showed that children with CAS demonstrated more confusion on perceiving tones compared with TD peers. There were no main effects for task ( $F(1,2) = 0.040, p = 0.859$ ) or tone ( $F(5,10) = 0.997, p = 0.467$ ); nor were there task or tone interaction effects on perception versus production accuracy ( $F(5,10) = 1.772, p = 0.206$ ). Tone perception and production accuracy were not significantly correlated ( $r^2 = 0.181, p = 0.078$ ). **Discussion:** Tone perception deficits were evident in two out of three children with CAS, while all children had lexical tone production difficulties. In this small sample, tone production was more universally affected than tone perception.

Keywords: Lexical tone; perception; production; childhood apraxia of speech

## 1 **Introduction**

2 Childhood apraxia of speech (CAS) is a type of pediatric motor speech disorder (Shriberg  
3 et al., 2019) marked by impairment of motor planning and/or programming of speech  
4 movements (Iuzzini-Seigel et al., 2015). Speech production characteristics clearly dominate the  
5 clinical features in English speakers with CAS (Iuzzini-Seigel & Murray, 2017) as well as  
6 speakers of tonal languages with CAS (Wong et al., 2020). The American Speech-Language-  
7 Hearing Association [ASHA] (2007) lists three core features of CAS, including inconsistent  
8 errors, lengthened and disrupted coarticulatory transitions, and deficits in prosody such as lexical  
9 stress errors. These core features imply that CAS is a speech disorder that is characterized by a  
10 deficit of both segmental and suprasegmental skills.

11 Speech perception skills in children with CAS have been experimentally explored based  
12 on scientific support for relationships between speech perception and production in children with  
13 speech sound disorders (Berti et al., 2021; Cabbage et al., 2016). Past studies of speech  
14 perception skills at the segmental level in children with CAS can be divided into those that focus  
15 on lower-order auditory perception/discrimination skills (e.g., non-word discrimination) and  
16 those that require higher-order processing, such as categorization, lexical identification, and  
17 rhyming (Nijland, 2009). Some discrimination studies have shown that children with CAS are  
18 less proficient at speech discrimination than children who are typically developing (TD),  
19 including perceptual differentiation of vowel continua (Maassen et al., 2003), nonwords  
20 (Bridgeman & Snowling, 1988; Nijland, 2009), and real words (Groenen et al., 1996). However,  
21 Zuk et al. (2018) used a [da]-[ga] continuum to demonstrate that children with CAS and  
22 concomitant language impairment perform more poorly on discrimination than those with CAS  
23 only or TD, while children with CAS only show similar syllable discrimination thresholds as the  
24 TD group. These authors concluded that poor speech perception is not a core deficit of CAS.

25 These findings suggest that concomitant language impairment plays a role in at least some  
26 speech perception deficits in children with CAS, which is reminiscent of findings that concurrent  
27 receptive language impairment in children with dyslexia is a quasi-measure of their speech  
28 perception difficulty (Robertson et al., 2009).

29 Higher-order speech perception studies of children with CAS have also had mixed  
30 findings. Children with CAS performed more poorly than those with TD in identification of  
31 vowels from two continua (Maassen et al., 2003) and in judging word similarity based on vowel  
32 differences (Marion et al., 1993). However, children with CAS and those with TD classified  
33 words from real word pair continua (e.g., a [bak] ‘box’ to [dak] ‘roof’ continuum in Dutch)  
34 similarly (Groenen et al., 1996; Nijland, 2009). On a highly metalinguistic task involving  
35 rhyming, children who had CAS and receptive language within normal limits nonetheless  
36 performed significantly worse than those with TD on determining whether nonword pairs  
37 rhymed (Nijland, 2009), generating rhymes for real words, selecting the best rhyme for a given  
38 real word, and judging which of a set of 10 words rhymed with a given real word (Marion et al.,  
39 1993). Overall, these results are suggestive of speech perception deficits among at least some  
40 children with CAS, at least in comparison to those with TD.

41 There have been few investigations of the perception of suprasegments in children with  
42 CAS. The suprasegmental aspects of speech that have been explored include vowel duration and  
43 pitch. Ingram et al. (2019) found that children with CAS (without controlling for language  
44 ability) were less accurate in discriminating vowel durations than TD children. Nijland (2009)  
45 attempted to examine the high and low pitch discrimination skills of TD children and children  
46 with CAS, phonologically disordered (PD), and “mixed” (symptoms of both PD and CAS). All  
47 of the children were asked to perform a frequency pattern task in which a sequence of three tones

48 was presented. One of the tones was different from the other two with respect to frequency. The  
49 children were to indicate which tone differed. However, the author reported that only 19% of  
50 children with SSDs (CAS and/or PD) finished the task even though practice trials were given,  
51 compared with an 80% completion rate among the TD children. Nijland (2009) attributed the  
52 task completion failures to deficient short-term memory in children with SSDs, which was  
53 demonstrated via another task in the same study. Nijland hypothesized that the children were not  
54 able to store the sounds in memory to determine which sound was different from the others. The  
55 nonsense nature of the high and low frequency stimuli did not provide any semantic information  
56 that would facilitate memory, thus resulting in heavy cognitive loads.

57         The current understanding of speech perception skills in children with CAS is mainly  
58 based on English speakers. Similar to other Indo-European languages, English has lexical stress  
59 patterns that are formed via simultaneous variations of three physical parameters, namely  
60 fundamental frequency (F0), duration, and intensity. These three parameters contribute to the  
61 perception of pitch, length, and loudness respectively. When F0 is varied in a lexically stressed  
62 syllable, duration and/or intensity usually vary at the same time. Lexical meanings cannot be  
63 changed by keeping the segments constant while varying pitch only. Therefore, in the context of  
64 speech, only nonsense syllables can be used to test pitch perception skills in English.

### 65 ***Cantonese as a medium for examining pitch perception skills***

66         Fortunately, there is a way to examine speech-related pitch perception skills in children  
67 with CAS who speak Cantonese. The problem of the heavy cognitive load of pitch perception  
68 tasks (as in Nijland, 2009) can be solved by using words with lexical tones as stimuli. Lexical  
69 tones are present in tonal languages, such as Mandarin, Cantonese, Thai and Vietnamese. Lexical  
70 tones are formed using variations of fundamental frequency to indicate meaning. In tonal

71 languages, the same segments can be realized with different F0 patterns in terms of levels and/or  
72 contours to form different lexical tones. Cantonese, a tonal language, has six contrastive tones  
73 (Yip & Matthews, 2011): Tones 1, 3 and 6 are high-, mid- and low-level tones, respectively.  
74 Tone 2 is high rising, tone 5 is low rising and tone 4 is a low falling tone. In English  
75 orthography, a number after the syllable indicates the tone of that syllable, as in /jɛu4 sɔɛy2/  
76 ('swim'; Chinese character: 游水), which has a low-falling tone on the first syllable and a high-  
77 rising tone on the second. The variations in F0 for the six tones indicate different lexical  
78 meanings. For example, /jɛu4 sɔɛy2/ (Chinese character: 游水) means 'swim' and /jɛu4 sɔɛy3/  
79 (Chinese character: 游說) means 'convince.' The two verbs share identical segmental features;  
80 the tones differentiate their lexical meanings.

81         Using words with lexical tones as the stimuli in a pitch perception task is a useful  
82 research strategy. Children can be asked to identify specific pitch variations in auditory stimuli  
83 by giving a non-verbal response (e.g. picture pointing), mapping the auditory information to  
84 meanings that are stored in lexical representations. This mapping reduces the memory demand.  
85 Wong et al. (2009) examined tone perception skills in preschool children with and without  
86 development language disorders by using contrastive word pairs. Their successful investigation  
87 demonstrates that pitch perception skills can be investigated even in young children.

### 88 ***Tone perception and production in Cantonese-speaking children with and without CAS***

89         Previous research has investigated tone perception skills in Cantonese-speaking children  
90 using the Cantonese Tone Identification Test (CANTIT; Lee, 2012). This standardized  
91 assessment is designed as a picture-pointing task with 15 combinations of tone pair contrasts  
92 (e.g., tone 1 vs. tone 2, tone 1 vs. tone 3). Normative data from three-year-old children to adults  
93 are provided in seven age groups, with 25 participants in each age group. Given that vocabulary

94 knowledge has an impact on tone identification skills in Cantonese-speaking preschool children  
95 (Wong et al., 2009), the test stimuli were assessed by a group of experts, whose consensus was  
96 that they are appropriate for children with receptive vocabulary age equivalents of at least three  
97 years (Lee, 2012). Research using this standardized assessment has indicated that tone 1 (high  
98 level) and tone 5 (low rising) are the easiest and the most difficult tones for 3-year-old TD  
99 children to identify, respectively (Lee et al., 2015; Wong et al., 2017). Another study extended  
100 the investigation to TD children aged from four to six years of age (Wong & Leung, 2018).  
101 Although 4-year-old children showed the lowest perception accuracy on tone 3 (mid-level), 5-  
102 and 6-year-old children found tone 5 (low rising) the most difficult tone to identify (Wong &  
103 Leung, 2018). Regarding error patterns, TD 3- to 6-year-old children confused the high-rising  
104 tone 2 with the low-rising tone 5, the mid-level tone 3 with the low-level tone 6 (Lee et al., 2015;  
105 Wong et al., 2017; Wong & Leung, 2018), the low-falling tone 4 with the low-level tone 6 (Lee  
106 et al., 2015) and the high-rising tone 2 with the low-falling tone 4 (Wong et al., 2017; Wong &  
107 Leung, 2018).

108         With respect to tone production skills, Wong et al. (2017) suggested that, when lexical  
109 priming effects on the listeners are controlled, children at age three do not produce the six  
110 Cantonese tones with adult-like accuracy. The descending order of production accuracy of the  
111 six tones was tone 5 (low rising), tone 4 (low falling), tone 1 (high level), tone 2 (high rising),  
112 tone 3 (mid level) and tone 6 (low level), with the first three easier than the last three tones.  
113 Wong and Leung (2018) extended the findings to children from ages four to six years. They  
114 found that no 4-year-old children produced any of the tones in an adult-like manner. Five-year-  
115 old children produced two tones (i.e., tone 5 and 6) and 6-year-old children produced three tones  
116 (i.e., tone 4, 5 and 6) with adultlike accuracy. These studies demonstrated that tone production

117 skills emerge in children starting at 5 years of age. However, in real clinical setting, tone  
118 production skills are usually tested using a standardized test, the Hong Kong Cantonese  
119 Articulation Test (HKCAT, Cheung et al., 2006), which includes a set of normative data for  
120 children (aged from 2;4 to 11;7) and adults (aged between 18 to 45) with about 120 participants  
121 in each group. During the test, clinicians are required to perceptually judge the children's  
122 productions by comparing them with the expected productions. Potential lexical priming effects  
123 on listeners are therefore involved in this procedure. In this situation, children are perceived to  
124 produce all Cantonese lexical tones before age 2;6 (Cheung et al., 2006; So & Dodd, 1995; To et  
125 al., 2013; Tse, 1978).

126         The predictive relationship between tone perception and production accuracy in TD  
127 Cantonese-speaking children aged between three to six years old has also been explored, by  
128 Wong et al. (2017) and Wong and Leung (2018). No significant correlation was found in 3-year-  
129 old children and a weak correlation was reported in 4- to 6-year-old children. It was observed  
130 that there were discrepancies between tone perception and production accuracies, for example: a  
131 tone that was perceived correctly may not be produced correctly, and the tone with the lowest  
132 perception accuracy was not the tone with the lowest production accuracy. These authors  
133 suggested that accurate tone perception is not sufficient for accurate tone production. They  
134 proposed that other factors such as reduced speech motor control may account for lexical tone  
135 production errors (Wong et al., 2017; Wong & Leung, 2018).

136         There are fewer studies of tone production or perception in Cantonese-speaking children  
137 with CAS. Wong et al. (2021) reported a deep investigation of tone production skills in children  
138 with CAS in the context of a tone sequencing task which required children to repeat one-, two-,  
139 and three-syllable words with one or more Cantonese tones (i.e. tones 1, 2 and 4). They reported



140 that, compared with children with non-CAS speech and/or language impairment and TD  
141 children, children with CAS showed a flatter slope of F0 values on high-rising tones (tone 2) and  
142 a higher F0 value with a similar falling pattern for low-falling tones (tone 4). They suggested that  
143 children with CAS have more difficulty varying their vocal pitch for tone than children without  
144 CAS. As children with CAS have difficulty in producing the high-rising tone (tone 2), they tend  
145 to use the high-level tone (tone 1) as a substitute.

146         There is also a lack of understanding of the relationship between tone perception and tone  
147 production in children with CAS. With respect to their tone perception, Wong and Lee (2018)  
148 reported the performance of two Cantonese-speaking children with CAS on the CANTIT. Both  
149 participants demonstrated Z scores lower than -1.35, which indicated a deficit in tone  
150 identification skills. With respect to their errors, no specific pattern was found when all possible  
151 tone pair contrasts were considered. Although the children showed poor test results, it is  
152 unknown whether their degraded lexical tone identification skills were impacted by their co-  
153 occurring poor receptive vocabulary skills, by deficits in F0 processing, or both. To understand  
154 pitch perception skills and their relationship to tone production skills in children with CAS, an  
155 investigation of participants who have sufficient vocabulary knowledge is needed.

156         Thus, the purpose of this study was to investigate tone identification skills and the  
157 relationship between lexical tone perception and production in children with CAS. Prior  
158 assessments of receptive vocabulary skills were conducted to rule out this possible confounding  
159 factor. The specific hypotheses developed for this study were:

- 160         1. Some Cantonese-speaking children with CAS would have similar tone identification  
161             skills as their age-matched TD peers, given that poor speech perception may not be a  
162             core deficit of CAS (Zuk et al., 2018).

- 163 2. Children with CAS would demonstrate similar patterns of perception accuracy among  
164 the six Cantonese tones as TD peers, i.e. having the most difficulty in perceiving tone  
165 3 and tone 5 (Wong & Leung, 2018).
- 166 3. Children with CAS would demonstrate similar confusion on perceiving Cantonese  
167 lexical tones as TD peers, i.e., showing confusion on tone 2 vs. tone 5, tone 3 vs. tone  
168 6, tone 4 vs. tone 6, and tone 2 vs. tone 4 (Lee et al., 2015; Wong et al., 2017; Wong  
169 & Leung, 2018).
- 170 4. No significant correlations between lexical tone perception and production would be  
171 found in children with CAS as accurate tone perception may not be sufficient for  
172 accurate tone production. Other factors such as reduced speech motor control may  
173 account for lexical tone production errors (Wong et al., 2017).

## 174 **Methods**

175 This study was approved by the Joint Chinese University of Hong Kong-New Territories East  
176 Cluster Clinical Research Ethics Committee (Reference Number: 2018.265).

## 177 ***Participants***

178 The inclusion criteria for the participants were 1) aged between 3;0 and 6;11, 2) Cantonese as the  
179 main language used in daily life, 3) no diagnosis of autism spectrum disorder or other biomedical  
180 conditions that affect speech, 4) no structural abnormalities that affect speech, and 5) diagnosed  
181 or suspected with CAS by a qualified speech-language therapist (SLT). Children who did not  
182 imitate sounds were excluded. Three Cantonese-speaking children with CAS (two boys and one  
183 girl) participated in this study. Their ages ranged from 3;7 to 5;8 (years;months;  $M = 54.33$   
184 months,  $SD = 12.67$  months). The same children also participated in another study by Wong et

185 al. (2021).

## 186 ***Procedure***

### 187 *Initial assessment*

188 All children were assessed by the first author, a Cantonese-speaking SLT who has more than 10  
189 years of experience in the differential diagnosis of paediatric speech sound disorders. The  
190 assessment protocol comprised a collection of case history, a hearing screening, a speech and  
191 language sample, a standardized receptive vocabulary test (Hong Kong Cantonese Receptive  
192 Vocabulary Test [HKCRVT; Lee et al., 2009]), a standardized articulation test (Hong Kong  
193 Cantonese Articulation Test [HKCAT; Cheung et al., 2006]) and a 2-hour motor speech  
194 assessment battery which comprised an assessment of the ability to imitate polysyllabic words or  
195 phrases and an oral motor assessment that included a diadochokinesis (DDK). All children  
196 passed a 20-dB pure-tone screening at 1000, 2000, and 4000 Hz (American Speech-Language-  
197 Hearing Association [ASHA], n. d.). Table 1 lists their assessment results. All the participants  
198 were diagnosed with severe speech sound disorders consistent with CAS (more details are  
199 provided in the next section). No symptoms of childhood dysarthria were observed. Two  
200 participants (A and C) had adequate receptive vocabulary skills while one participant (B) had a  
201 moderate deficit in receptive vocabulary skills. Nevertheless, age-equivalent scores showed that  
202 all participants had receptive vocabulary skills (> 3;0) adequate to understand all of the stimuli in  
203 the Cantonese Tone Identification Test (CANTIT; Lee, 2012).

204 *(Insert Table 1 here.)*

205 *Confirmation of CAS Diagnoses*

206 The first author confirmed the CAS diagnoses of all of the participants based on criteria modified  
207 from Murray et al. (2015) for Cantonese. Particularly, the children showed five clinical features  
208 across four tasks. The features were 1) inconsistent errors on consonants and vowels in repeated  
209 productions of syllables or words, 2) lengthened and disrupted coarticulatory transitions between  
210 sounds and syllables, 3) lexical tone errors, 4) reduced accuracy in multisyllabic words, i.e. the  
211 percentage of phonemes correct (PPC) in polysyllabic words or sentences is smaller than 60%  
212 and 5) reduced phone accuracy on the DDK task, i.e. the PPC in stimuli with three different  
213 syllables on DDK tasks is smaller than 70% . The working definitions of the clinical features  
214 were presented in Wong et al. (2021) in detail. The four tasks were 1) speech sample, 2)  
215 HKCAT, a standardized articulation test for Cantonese speakers, 3) DDK and 4) imitation of  
216 polysyllabic words. The first three clinical features were each observed at least once on all of the  
217 tasks except inconsistent errors on the speech sample. This is because children may not intend to  
218 produce the same stimuli several times in their spontaneous speech, as is needed for the  
219 consistency measure. The fourth clinical feature was observed at least once on all of the tasks  
220 except the DDK, while the fifth clinical feature was observed on the DDK only.

221 *Data collection*

222 The tone perception skills of the children were tested using the research version of the Cantonese  
223 Tone Identification Test (CANTIT-75; Lee, 2012). The test includes 75 monosyllabic words and  
224 was presented on a Windows computer. On each trial, the children were required to point to one  
225 among four pictures including the target word, a tone distractor (tone minimal pair with the  
226 target word), a consonant distractor (same initial consonant as the target word) and a vowel

227 distractor (same vowel as the target word). The stimuli were recorded by a male speaker in the  
228 sentence-final position of the carrier phrase: “邊幅係\_\_ (Which picture is \_\_?).” Performance on  
229 each item was recorded automatically by the programme. All the participants completed the test  
230 within 20 minutes. Raw scores, Z-scores, percentile scores and error patterns were recorded for  
231 later analyses.

232         The articulation skills of the children were tested in the initial assessment using the  
233 HKCAT, which is a commonly used assessment tool used with young children through adults in  
234 Hong Kong. The test consists of 50 items presented in 41 photos. The children were required to  
235 imitate each item and the assessor (first author) perceptually judged the children’s productions as  
236 either correct or incorrect, i.e., as matching or not matching the target words. Twelve items, two  
237 for each of the six Cantonese tones, were selected for tone production analysis, as these twelve  
238 items are used for scoring tone production accuracy on the HKCAT according to the test manual.  
239 All of the participants completed the test within 10 minutes. Raw scores, Z-scores and percentile  
240 scores were recorded for later analysis.

#### 241 *Data analyses*

242         Children’s performance on the perception and production tests were compared with the  
243 normative data from the tests. Regarding lexical tone perception, the accuracy of the six tones  
244 were compared using a Kruskal-Wallis Test to determine whether the children perceived the six  
245 tones differently. Descriptive statistics were also calculated. Based on Wong et al. (2017), 10%  
246 difference was set as the criterion for significance of error patterns. The relationship between  
247 lexical tone perception and production accuracy was examined using a two-way repeated-  
248 measures ANOVA to determine whether children performed similarly on tone perception and  
249 production. The within-subjects factor are task (perception or production) and tone (tone 1 to

250 tone 6). The number of correct items for each tone stimulus was transformed to a percentage for  
251 statistical analyses. A Pearson correlation coefficient was used to determine whether tone  
252 perception and production were correlated. The first author re-listened the children's productions  
253 three months later as an intra-rater reliability measure. An independent SLT who has more than  
254 10 years of experience in Cantonese paediatric speech sound disorders also was invited to judge  
255 the lexical tone correctness of the children's productions as an interrater reliability measure.

## 256 **Results**

### 257 *Intra- and inter-rater reliability*

258 Intra-rater and inter-rater agreement levels on perceptual ratings of Cantonese lexical tones were  
259 calculated using Cohen's Kappa coefficient. Both intra- and inter-rater agreement levels were  
260 strong (intra-rater:  $k = .827, p < 0.001$ ; inter-rater:  $k = .827, p = 0.001$ ).

### 261 *Tone perception skills*

262 Participants' tone perception ability, as assessed using the standardized Cantonese Tone  
263 Identification Test (CANTIT; Lee, 2012), are presented in Table 2. The test results include how  
264 often each child selected the target versus each of the distractors. Child C showed within-normal-  
265 limits tone identification skills. Child A showed mild and child B showed moderate difficulties  
266 on tone identification skills compared to age-matched TD peers.

267 *(Insert Table 2 here.)*

268 Table 3 shows the tone identification accuracy of each tone stimulus by the participants.  
269 All children performed the best on the tone 1 (high-level) stimuli. The two children (children A  
270 and B) who had poor tone identification skills performed the worst on tone 3 (mid-level) stimuli,

271 while the child with age-appropriate tone identification skills (child A) performed the worst on  
272 tone 6 (low-level) stimuli. No statistical differences were found among the six tones with respect  
273 to perception accuracy,  $H(5) = 3.731, p = 0.589$ .

274 *(Insert Table 3 here.)*

275 Table 4 is a confusion matrix of the tone responses of the three participants. There was  
276 one error pattern that occurred over 10% of the time: tone 3 (mid-level) stimuli were  
277 misperceived as tone 1 (high-level) stimuli. In addition, the participants misperceived tone 1  
278 (high-level) as tone 6 (low-level) stimuli; tone 2 (high-rising) as tone 4 (low-falling) stimuli; tone  
279 4 (low-falling) as tone 3 (mid-level), 5 (low-rising) and 6 (low-level) stimuli; tone 5 (low-rising)  
280 as tone 6 (low-level) stimuli; and tone 6 (low-level) as tone 2 (high-rising) stimuli.

281 *(Insert Table 4 here.)*

## 282 ***Tone production skills***

283 Table 5 displays the tone production accuracy of each selected HKCAT tone stimulus by the  
284 participants. The children produced tone 1 (high-level) and tone 3 (mid-level) stimuli the best,  
285 while tone 5 (low rising) stimuli were the most challenging among the six Cantonese tones. The  
286 descending order of production accuracy was tones 1 and 3, tones 2 and 6, tone 4 and tone 5.

287 *(Insert Table 5 here.)*

## 288 ***Relationship between tone perception and production skills***

289 A two-way repeated-measures ANOVA was conducted to determine if the children performed  
290 similarly on tone perception and production. There were no main effects for task ( $F(1,2) = 0.040,$   
291  $p = 0.859$ ) or tone ( $F(5,10) = 0.997, p = 0.467$ ); nor were there task or tone interaction effects on  
292 perception versus production test accuracy ( $F(5,10) = 1.772, p = 0.206$ ). A Pearson correlation

293 coefficient determined that tone perception and production accuracy were not significantly  
294 correlated ( $r^2 = 0.181, p = 0.078$ ).

## 295 **Discussion**

296 The purpose of this study was to investigate tone identification skills and the relationship  
297 between lexical tone perception and production in children with CAS, with four proposed  
298 hypotheses.

### 299 *Tone perception skills*

300 The first hypothesis, that some Cantonese-speaking children with CAS would have similar tone  
301 identification skills as their TD peers, was supported. The results of the CANTIT showed that  
302 two out of three participants had poorer tone identification skills than their peers, while one  
303 participant demonstrated age-appropriate skills (Table 2). Deficits in tone identification are not  
304 present in every child with CAS. This suggests that poor tone identification may not be a core  
305 deficit in Cantonese-speaking children with CAS, thus supporting the suggestion by Zuk et al.  
306 (2018) that poor speech perception is not a core deficit of CAS.

307 The vocabulary knowledge of the participants with CAS in this study was assessed and  
308 considered to be appropriate for understanding all of the stimuli on the tone identification test.  
309 Therefore, the difficulty in tone identification found in the participants with CAS may be  
310 attributed to factors other than poor vocabulary knowledge. It was noted that, on the CANTIT  
311 (Table 2), the participants selected not only tone distractors but also vowel and consonant  
312 distractors. This leads to the hypothesis that the participants may have had difficulty in  
313 identifying consonants and vowels when tones are involved, given that children with CAS do not



314 have significant problems in perceiving segments in words compare with their TD peers  
315 (Bridgeman & Snowling, 1988; Groenen et al., 1996; Nijland, 2009).

316         Regarding perception accuracy, there were no statistically significant differences among  
317 the six Cantonese tones. The second hypothesis was partially supported. The descending order of  
318 perception accuracy by the participants was tone 1, tone 2, tone 5, tone 6, tone 4 and tone 3  
319 (Table 3). The easiest tone for the participants to identify was tone 1, which matches well with  
320 previous findings (Lee et al., 2015; Wong et al., 2017; Wong & Leung, 2018). The most difficult  
321 tone for the participants to identify was tone 3. This is consistent with Wong and Leung (2018),  
322 which indicated that tone 3 was the most difficult tone for 4-year-old TD children. However,  
323 tone 5 was relatively easier for the children with CAS in this study than for those with TD in that  
324 study. The similarities with respect to the easiest and the most difficult tones to perceive across  
325 groups suggest that there may be a similar pitch perception mechanism shared by children with  
326 CAS and their TD peers.

327         However, the error patterns found in this study revealed that the children with CAS had  
328 more confusions on perceiving tones than TD children. In previous research, TD children  
329 showed confusion on similar tones, i.e., those with similar contours but different levels (such as  
330 high-rising tone 2 versus low-rising tone 5 and mid-level tone 3 versus low-level tone 6) or  
331 similar initial levels but different contours (such as low-falling tone 4 versus low-level tone 6)  
332 (Lee et al., 2015; Wong et al., 2017; Wong & Leung, 2018). The participants in this study shared  
333 one frequent confusion with the TD children (mid-level tone 3 versus low-level tone 6; 7.69%  
334 occurrence) but with two additional frequent tone perception errors (mid-level tone 3 versus  
335 high-level tone 1 with 10.26% occurrence and low-rising tone 5 versus low-level tone 6 with  
336 7.69% occurrence). These tone pairs do share a similar contour and a similar starting onset

337 frequency, respectively. In addition, other frequent tone perception errors (7.69% - 8.33%  
338 occurrence) among the children with CAS were also found on less similar tone pairs, which  
339 differed on dimensions of both starting level and contour (low level tone 6 versus high rising  
340 tone 2, mid-level tone 3 versus high-rising tone 2, high-rising tone 2 versus low-falling tone 4,  
341 and mid-level tone 3 versus low-falling tone 4). Though confusion between high-rising tone 2  
342 and low-falling tone 4 can be found in 3- and 4-year-old TD children (Wong et al., 2017; Wong  
343 & Leung, 2018), more non-similar confusions were found in our sample of children with CAS.  
344 Thus, the children with CAS may make more perception errors on tone pairs that are less similar  
345 to each other as well as on pairs that are similar to each other on one dimension, than their TD  
346 peers. The third hypothesis, that children with CAS would demonstrate similar perceptual  
347 confusions on Cantonese lexical tones as TD peers, was therefore partially supported. Further  
348 investigation is needed to understand the possible factors affecting lexical tone identification  
349 skills in children with CAS.

350 In summary, the preliminary findings on tone perception indicate that, despite the group  
351 differences, not all Cantonese-speaking children with CAS have a deficit in tone identification  
352 skills; there may be other factors affecting these skills.

### 353 *Tone production skills*

354 The current study reported the results of lexical tone production in three Cantonese-speaking  
355 children with CAS on the HKCAT. Table 5 shows that no one tone had a mean of 100%  
356 accuracy. Since the current study involved making perceptual judgements of tone correctness  
357 with knowledge of the target words, the lexical priming effects on the listeners were not  
358 controlled. Given that Cantonese speakers are expected to produce all Cantonese tones before  
359 age 2;6 under such circumstances (Cheung et al., 2006; So & Dodd, 1995; To et al., 2013; Tse,

360 1978), the results suggest that children with CAS have lexical tone production difficulties. This  
361 may be due to their underlying speech motor planning and/or programming impairment.  
362 Children with CAS not only have difficulty producing high-rising and low-falling tones (Wong  
363 et al., 2021) but also on other Cantonese lexical tones in the context of monosyllabic and  
364 multisyllabic words (i.e., the test stimuli on the HKCAT).

### 365 ***Relationship between tone perception and production***

366 This study also investigated the relationship between tone perception and production in children  
367 with CAS. No main or interaction effects were found and the correlation between lexical tone  
368 perception and production accuracy was not significant. This suggests that children with CAS do  
369 not perceive lexical tones better or worse than they produce them. The last hypothesis was  
370 supported. Compared with young TD children who have better tone identification than  
371 production skills (Wong et al., 2017), the current study suggests that, for children with CAS as  
372 well, tone identification and production skills may involve processing mechanisms that are  
373 independent in at least some respects, such that accurate tone perception skills do not guarantee  
374 accurate productions of lexical tones.

375 In summary, in this small sample, tone production was more universally affected than  
376 tone perception.

### 377 ***Clinical implications***

378 The current study informs clinical practice. First, clinicians who work with children with CAS  
379 should pay attention to both tone perception and production skills. Although accurate tone  
380 perception skills do not guarantee accurate tone production in children with CAS, degraded tone  
381 perception skills should be targeted as they affect communication. Given that varied F0 levels

382 and contours in tonal languages indicate lexical meaning, incorrect perception or production of  
383 lexical tones may result in misunderstandings. Therefore, a comprehensive assessment protocol  
384 for tonal language speakers with CAS should include pitch perception or tone identification tests  
385 as well as tone production tests. Moreover, given that most of the evidence-based treatment  
386 approaches for children with CAS (such as Dynamic Temporal and Tactile Cueing [Strand,  
387 2020] and Rapid Syllable Transition Treatment [McCabe et al., 2020]), require high-frequency  
388 practice of segmental and suprasegmental forms, degraded pitch perception skills may affect the  
389 course of treatment or even treatment outcomes.

#### 390 *Limitations and future investigations*

391 This study has several limitations. First, due to the small sample size of children with CAS,  
392 caution should be used when generalizing the results to the population. In future studies, more  
393 participants should be recruited to increase statistical power. Second, the current investigation  
394 was based on results from two standardized tests, one for tone identification and one for tone  
395 production. However, the numbers of stimuli on the tests are not the same. Testing the same  
396 number of items is recommended for further investigations to better balance the perception and  
397 production tasks. Third, the current study focused on higher-order tone identification. It is  
398 recommended that researchers look at lower-order tone discrimination skills as well to have a  
399 wider understanding of pitch perception skills in children with CAS. Notwithstanding these  
400 limitations, this study is the first-ever attempt to investigate lexical tone perception and its  
401 relationship with tone production in children with CAS.

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511 **Tables**

512 Table 1. Participant assessment results.

513 Table 2. Target and error responses on the Cantonese Tone Identification Test (CANTIT).

514 Table 3. Participants' tone identification accuracy on each tone on the Cantonese Tone  
515 Identification Test (CANTIT).

516 Table 4. Confusion matrix of tone responses on the Cantonese Tone Identification Test  
517 (CANTIT) perception test.

518 Table 5. Participants' accuracy producing tone stimuli on the Hong Kong Cantonese Articulation  
519 Test (HKCAT).

**Table 1**

*Participant assessment results*

#	Age	Sex	HKCRVT			HKCAT				Severity
			SD	Age equivalent	Severity	IC	V/Di	FC	T	
A	3;7	M	-0.5 < SD < 0.0	3;6	WNL	0.1	0.1	0.1	0.1	Severe
B	4;4	M	-2.5 < SD < -2.0	3;8	Moderate	0.1	0.1	0.1	0.1	Severe
C	5;8	F	0.0 < SD < +0.5	5;9-5;10	WNL	0.1	0.1	9	0.1	Severe

*Abbreviations.* HKCRVT = Hong Kong Cantonese Receptive Vocabulary Test (Lee et al., 2009); HKCAT = Hong Kong Cantonese Articulation Test (Cheung et al., 2006); WNL = within normal limits; IC = initial consonants; V/Di = vowels or diphthongs; FC = final consonants; T = tones; SD = standard deviation.

**Table 2**

*Target and error responses on the Cantonese Tone Identification Test (CANTIT)*

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	Target						
	answer						
	(Raw	Tone	Vowel	Consonant			
Child	score)	distractor	distractor	distractor	Z score	Percentile	Severity
A	36	15	12	12	<-1.35	<8	Mild
B	38	29	5	3	<-2.24	<4	Moderate
C	66	7	1	1	0	52	WNL

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*Abbreviation.* WNL = within normal limits; CANTIT = Cantonese Tone Identification Test

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**Table 3***Participants' tone identification accuracy on each tone on the Cantonese Tone Identification Test (CANTIT)*

	Tone 1		Tone 2		Tone 3		Tone 4		Tone 5		Tone 6	
Child	(n = 12)	%	(n = 13)	%	(n = 13)	%	(n = 12)	%	(n = 15)	%	(n = 12)	%
A	8	66.67	8	61.54	4	30.77	4	33.33	8	61.54	4	33.33
B	10	83.33	5	38.46	4	30.77	4	33.33	6	46.15	9	75.00
C	12	100.00	13	100.00	11	84.62	11	91.67	11	84.62	8	66.67
Mean	10.00	83.33	8.67	66.67	6.33	48.72	6.33	52.78	8.33	64.10	7.00	58.33
SD	2.00	16.67	4.04	31.09	4.04	31.09	4.04	33.68	2.52	19.36	2.65	22.05

*Abbreviation.* SD = standard deviation; CANTIT = Cantonese Tone Identification Test.

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**Table 4**

*Confusion matrix of tone responses on the Cantonese Tone Identification Test (CANTIT) perception test*

Targets	Responses (%)					
	Tone 1	Tone 2	Tone 3	Tone 4	Tone 5	Tone 6
Tone 1	83.33*	2.78	2.78	0.00	0.00	5.56
Tone 2	2.56	66.67*	0.00	7.69	5.13	2.56
Tone 3	10.26	7.69	48.72*	7.69	2.56	7.69
Tone 4	2.78	2.78	5.56	52.78*	5.56	5.56
Tone 5	2.56	5.13	0.00	5.13	64.10*	7.69
Tone 6	2.78	8.33	5.56	5.56	5.56	58.33*

\* indicates correct answers.

**Table 5***Participants' tone production accuracy on tone stimuli on the Hong Kong Cantonese Articulation Test (HKCAT)*

Child	Tone 1		Tone 2		Tone 3		Tone 4		Tone 5		Tone 6	
	(n = 2)	%	(n = 2)	%	(n = 2)	%	(n = 2)	%	(n = 2)	%	(n = 2)	%
A	1	50	2	100	1	50	1	50	1	50	0	0
B	2	100	1	50	2	100	0	0	0	0	2	100
C	2	100	1	50	2	100	2	100	0	0	2	100
mean	1.67	83.33	1.33	66.67	1.67	83.33	1.00	50.00	0.33	16.67	1.33	66.67
SD	0.58	28.87	0.58	28.87	0.58	28.87	0.58	50.00	0.58	28.87	0.58	57.74

*Abbreviation.* SD = standard deviation.

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