

1 MADs of semi-automatic UCA against XCA were less than 5 °, which is clinically
2 insignificant.

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5 **Conclusion**

6 The semi-automatic UCA method had demonstrated the possibilities of estimating
7 manual XCA and UCA. Further advancement in image processing to detect the vertebral
8 landmarks in ultrasound images could help building a fully automated measurement
9 method.

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11 **Level of evidence:** Level III

12 **Key words:** Transverse process, 3D ultrasound, AIS, Scoliotic angles, Cobb

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1. Introduction

Clinically described as a lateral curvature of the spine, scoliosis is a common spinal deformity that affects 0.5-5.2% of the population [1]. 70% of scoliosis cases occurred during the growth spurt of teenagers, known as adolescent idiopathic scoliosis (AIS) [2]. AIS has high chance to progress rapidly without proper intervention [3]. Except for cosmetic impairment, severe AIS may cause cardiopulmonary disability or restricted physical mobility [4].

X-ray is commonly used in evaluating spine conditions for AIS clinical management [5]. Cobb angle measurement (XCA) on radiographs is the standard protocol to assess the conditions [6]. AIS progression is indicated by the increase of Cobb angle large than 6° between visits. However, studies had shown the caveats of frequent radiation exposure to teenagers using radiography [7-9]. Doody et.al (2000) even revealed that AIS radiography may induce breast cancer [9].

Scolioscan, a three-dimensional ultrasound imaging system, led the trends in radiation-free spine imaging [10]. Scolioscan reconstructs 3D spinal volume through stacking each frame of B-mode ultrasound image with spatial and directional information. In order to compare with conventional anterior-posterior standing radiography, the 3D volume data is then projected to coronal plane. Such imaging technique is known as volume projection imaging (VPI) (**Fig.1(a)**) [11], and had been consistently and steadily improved

1 throughout years [12,13]. In our previous works, Scolioscan had been demonstrated its
2 validity and reliability for coronal spinal curvature measurement compared against X-ray
3 [14-16]. In these previous studies, ultrasound spinous process angle (USSPA), the angle
4 measured based on the shadow of the spinous processes, was used to evaluate the
5 magnitude of the scoliotic curve (**Fig.1(b)**). This compromise came from the invisibility
6 of the vertebra body by the nature of ultrasound imaging. USSPA values were generally
7 slightly underestimated XCA (0.833-0.866) [14], due to the morphological difference
8 between spinous processes and vertebrae bodies [17]. Brink et al. (2017) attempted to
9 identify most tilted transverse processes from coronal spine ultrasound to estimate XCA,
10 and harvested higher correlation against USSPA [15]. Lee et al. (2020) extended to a
11 larger cohort and proved the value of transverse process features in ultrasound
12 measurements [18]. The results of the spinal transverse process features inspired studies
13 and various earlier validation studies using USSPA are presented in **Table.1** [14-16,18].
14 It could be judged that using transverse features achieved higher correlation with XCA
15 than USSPA [15,18]. Assumption from observation shows that the lines drawn on the
16 bilateral transverse processes on ultrasound images resembled that on the endplates of the
17 vertebrae on radiographs.

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19 Automatic USSPA measurement had been proved its validity and reliability in previous
20 endeavors [12,14]; while an automatic UCA method, which demonstrated better
21 agreement with XCA, is challenging and yet to be developed. Therefore, the objective of
22 this study is to propose a systematic framework to identify spinal transverse processes
23 features at all levels; and to calculate the AIS angles semi-automatically. This work also
24 targets at validating the results of the proposed framework.

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26 **2. Materials and Methods**

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28 **2.1. Subjects and Data Acquisition**

1 This study included 100 AIS subjects (Age: 15.0 ± 1.9 years, Gender: 19 M & 81 F,
2 Cobb: $25.5 \pm 9.6^\circ$) that intended as trial cases for Scolioscan validation research. Two
3 spine imaging modalities were involved: low-dose X-ray EOS imaging system (EOS
4 Imaging, France) and Scolioscan 3D ultrasound imaging system (Model SCN801,
5 Telefield Medical Imaging Ltd, Hong Kong) with a linear probe (central frequency of 7.5
6 MHz and 7.5 cm width). Each subject underwent both ultrasound and X-ray scanning on
7 the same day with standing posture. The subjects were asked to stand with arms naturally
8 rested in ultrasound scanning. The average scanning time for ultrasound assessment was
9 30-40 seconds. The scoliosis angle measurements of various methods were performed
10 after data acquisition [12,18].

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12 **2.2. Scoliosis angle measurement methods**

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14 In order to validate the proposed semi-automatic method, manual X-ray Cobb and
15 ultrasound transverse angle measurement were included for comparison. The
16 measurements and respective annotations of all methods had been performed by the same
17 spine imaging analyst. For the ease of elaboration, two terms were developed: X-ray
18 Cobb angle (XCA) method, manual angle measurement using Cobb angle on X-ray
19 image; manual ultrasound curve angle (manual UCA) method, manual angle
20 measurement using ultrasound transverse angle on 3D ultrasound volume projection
21 image (VPI) [18]. Semi-automatic UCA method (this method), semi-automatic angle
22 measurement based on drawn transverse features upon 3D ultrasound VPI.

23

24 XCA method had been well-accepted as the gold-standard for quantifying the severity of
25 scoliosis in clinical management [6]. Lines were drawn to characterize the most tilted
26 vertebrae as the start/end of the curves presented in the X-ray film (**Fig.2(a)**). Manual
27 UCA method (**Fig.2(b)**) had been proven to have better correlation with XCA method
28 than USSPA [15,18]. Since vertebral body could not be observed with ultrasound,
29 alternate landmarks were selected to follow the similar inclination of the tilted end

1 vertebrae of scoliotic curves. In the thoracic region, lines were drawn passing the centers
2 of the pairs of the thoracic transverse processes of the upper and lower end-vertebra of
3 the curves (**Fig.3(a, b)**). The upper and lower end-vertebra thoracic transverse processes
4 were manually identified by the nature of spinous process shadow from the coronal
5 ultrasound VPI image. Distinct from coronal X-ray film, the lumps in the lumbar region
6 are the combined shadow of the laminae and the inferior articular processes of the
7 superior vertebrae and the superior articular processes of the inferior vertebrae (**Fig.3(a,**
8 **c)**). Commonly, six lumbar lumps could be observed in the ultrasound images [18]. Five
9 lumbar vertebrae together with the T12 laminae and the upper part of the sacrum
10 contribute the lumps. The upmost lumbar lump is formed by the T12 laminae and the L1
11 articular processes; the last lumbar lump (usually 6th) is formed by the L5 laminae and
12 the upper part of the sacrum; the rest N^{th} lump is formed by the L(N-1) laminae and the
13 articular process of the L(N) vertebrae (N=2-5). Lines were drawn passing through the
14 lower boundary of the most tilted lumbar lumps to characterize the curve magnitude. The
15 contours on the discussed anatomical features that shown in **Fig.3(a)** were not drawn on
16 the ultrasound image by the manual UCA method: they were shown as the decision-
17 making process of the image analysts on locating the desirable landmarks.

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19 In contrast with the XCA method and manual UCA method which are manual
20 procedures, our proposed method is divided into two stages: 1) Manual spinal transverse
21 process-related features identification and contouring; and 2) Automatic angle
22 measurement based on manual contoured masks. In the first stage, inspired by the manual
23 UCA method, the identification of transverse process-related features was similar to
24 manual contouring (**Fig.4(a), (b)**). Instead of only locating the most tilted transverse
25 processes pairs, semi-automatic UCA method required drawing all transverse process-
26 related features. This step required clinician's involvement in determining the related
27 important spinal transverse-related features. Prior training for the clinician for identifying
28 relevant features from different depths of the ultrasound VPI images was needed.
29 Similarly, pairs of thoracic transverse processes (in green) were drawn for subsequent
30 comparison of the tilting angles of each pair. Lumbar lumps (in red) were drawn for

1 evaluating the thoracolumbar/lumbar angles. In addition, ribs (in blue) were drawn to
2 provide reference of vertebrae levels. Due to the limitations of ultrasound scanning
3 around the cervico-thoracic region, which may affect the upper thoracic region imaging
4 [19]. Ribs are also contoured for vertebrae levels referencing. For example, 12th rib
5 commonly points downwardly in spine ultrasound coronal images; it could be used to
6 navigate through the VPI image. In the second stage, the manual contours were
7 forwarded to the program for automatic filling to create masks for subsequent analysis.
8 The color code for the mask was adhere to the contours: thoracic transverse processes
9 (green), ribs (blue) and lumbar lumps (red) (**Fig.4(c)**). The program ran in parallel for
10 each mask, and the overall schematic diagram is shown in **Fig.5**. After integrating the
11 analysis from different masks, the curve characteristics (number of curves, number of
12 level and start/end level of each curve) could be established; and the transverse process
13 angle could be calculated accordingly.

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15 **2.3 Statistical analysis**

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17 Statistical analyses were conducted using SPSS 25.0 for Mac (SPSS Inc., Chicago IL,
18 USA). Our proposed semi-automatic UCA method were compared with the manual UCA
19 and XCA methods using linear correlation for thoracic curves, thoracolumbar/lumbar
20 curves and combined curves. Linear regression equations with intersections were studied.
21 Correlation efficient 0.25 to 0.50 indicates a poor correlation; 0.50 to 0.75 indicates
22 moderate/good correlation; and 0.75-1.00 indicates very good/excellent correlation [20].
23 In order to validate the usefulness of the proposed method, its correlation with manual
24 XCA should be at least comparable to manual UCA. Bland-Altman method was adopted
25 to test the agreement between the semi-automatic UCA and manual UCA/XCA. In order
26 to measure the differences in agreement for the semi-automatic UCA method against
27 others, the mean absolute differences (MAD) were calculated. The MADs of the
28 mentioned three methods were paired for the paired t test. The significance level was
29 0.05.

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2 **3. Results**

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4 From the cohort of 100 AIS subjects (Age: 15.0 ± 1.9 years, Gender: 19 M & 81 F, Cobb:
5 $25.5 \pm 9.6^\circ$), for the average of thoracic angles: XCA, manual UCA, and semi-automatic
6 UCA methods were $25.8 \pm 10.9^\circ$, $25.6 \pm 11.1^\circ$, $26.7 \pm 11.4^\circ$, respectively; for the average
7 of thoracolumbar/lumbar angles: $25.1 \pm 8.4^\circ$, $23.2 \pm 8.2^\circ$, $23.3 \pm 8.6^\circ$; for the average of
8 all angles: $25.5 \pm 9.6^\circ$, $24.3 \pm 9.7^\circ$, $24.9 \pm 10.1^\circ$, respectively.

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10 Very good to excellent correlation between the proposed semi-automatic ultrasound-
11 based transverse process-related features angle measurement method and manual UCA /
12 XCA method. For proposed method with manual UCA, correlation coefficient $R^2=0.866$
13 ($p<0.05$), with thoracic angles $R^2=0.921$ ($p<0.05$) and lumbar angles $R^2=0.780$ ($p<0.05$),
14 respectively (**Fig.6**); for proposed method with XCA, correlation coefficient $R^2=0.815$
15 ($p<0.05$), with thoracic angles $R^2=0.857$ ($p<0.05$) and lumbar angles $R^2=0.787$ ($p<0.05$),
16 respectively (**Fig.7**). The results had demonstrated that the performance of semi-
17 automatic method was as good as manual UCA, while at the same time semi-automatic
18 UCA was capable of estimating XCA measurements. We had observed that both manual
19 UCA and this method were slightly larger than XCA, the transformation coefficients
20 were between 0.86-0.93. In addition, the results of our method were very close to the
21 results of manual UCA, with the transformation coefficient of 0.92.

22

23 The Bland-Altman plot demonstrated a good agreement between pairs of the semi-
24 automatic method with manual UCA and XCA corrected with the linear regression
25 equations (**Fig.8**). Regarding the MADs for measurement results validation, no clinical
26 difference was found. MADs of the proposed method and manual UCA: $2.9 \pm 2.4^\circ$, range
27 $0-16.8^\circ$ (thoracic angles: $2.7 \pm 2.1^\circ$, range $0-11.4^\circ$; lumbar angles: $3.0 \pm 2.7^\circ$, range $0.1-$

1 16.8°); MADs of the proposed method and XCA: $3.5 \pm 2.7^\circ$, range 0-18.1° (thoracic
2 angles: $3.6 \pm 2.5^\circ$, range 0-14.8°; lumbar angles: $3.4 \pm 2.9^\circ$, range 0-18.1°).

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4 **4. Discussion**

5 Using spinal transverse process-related features to estimate scoliosis angles had
6 demonstrated promising results, when compared with XCA: $R^2=0.815$, with thoracic
7 angles $R^2=0.857$ and lumbar angles $R^2=0.787$ (Fig.7). MADs were smaller than 5° , which
8 indicates no significant difference between ultrasound and X-ray measurements on the
9 same batch of subjects. These findings further strengthened the pioneer study of manual
10 UCA measurements with main thoracic curve $R^2 \geq 0.892$ and lumbar curve $R^2 \geq 0.872$ [18].
11 Strong correlations were established between transverse process-related method and
12 XCA. Compared with the USSPA method from the early Scolioscan validation study:
13 $R^2=0.760$, with thoracic angles $R^2=0.780$ and lumbar angles $R^2=0.721$ against XCA [14];
14 and large-scale Scolioscan research on 952 subjects with thoracic angles $R^2=0.762$ and
15 lumbar angles $R^2=0.548$ against XCA [16]; transverse process angle showed better
16 correlation with the XCA. The clinical significance was established that transverse
17 process angle measurements could accompany the conventional Scolioscan USSPA
18 method in AIS management and reduce the use of X-ray. Also, X-ray complement was
19 very meaningful in screening, as normal subjects could avoid unnecessary radiation
20 exposure [21].

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22 The proposed method also showed comparable results with manual UCA. These two
23 methods both involved using transverse process-related features from ultrasound. The
24 identification of these features required expertise. Anatomical abnormalities including
25 deformity of the transverse process could cause difficulties in the interpretation of the
26 anatomy, thus the corresponding features in the ultrasound images as well. This is a
27 limitation for the manual contouring process introduced in this study. Considering the
28 acquired ultrasound images about spine are in 3D, future studies will be focused on
29 whether some types of anatomical abnormalities can be identified in the 3D image data

1 set. The training involved an orientation from an ultrasound expert with knowledge of
2 spine anatomy. The experienced operator first explained the related anatomical
3 landmarks (thoracic transverse process, rib and lumbar lump) of transverse process on
4 ultrasound spine images; a guidance sheet of typical shapes of these features were
5 provided to the “non-experienced” operators. Then a practice set was given to the “non-
6 experienced” operators for practicing contours; and their results would be reviewed by
7 the experienced operator. Moreover, the “non-experienced” operators were guided to
8 contour pertinent features when encountering missing or deformed transverse process.
9 Two assumptions were used for the interpretation. First, we assumed that the transverse
10 processes came in pairs. If one side of the transverse process was deformed or unable to
11 identify, we would consider its respective position and shape with the reference of the
12 other side. Second, if the pairs of transverse process could not be properly shown on the
13 same layer (depth) of the spine VPI image; we would search for the features from
14 adjacent layers and fused the features on the same image. Contours drawn by
15 “unexperienced” operators would be assessed by the expert for “fine-tuning”. Once the
16 designated personnel grasp the technique for contouring transverse features, the angle
17 measurement would be processed by the software automatically. With such insights,
18 semi-automatic UCA method saved substantial human efforts in angle measurements,
19 users only needed to circle the relevant features; and the program would compute the
20 results. As discussed, the semi-automatic method also demonstrated very good
21 correlation with XCA ($R^2=0.815$). Since the prior knowledge for this study was given by
22 the same expert who practiced manual UCA, we could understand that its performance
23 was constrained by the manual UCA. Comparing with manual UCA results, we can
24 observe that very close correlations $R^2=0.866$, with thoracic angles $R^2=0.921$ and lumbar
25 angles $R^2=0.780$ (**Fig.6**). MADs were smaller than 5° , which indicates no significant
26 difference between manual UCA and our method. Therefore, it could be judged that the
27 semi-automatic UCA method was valuable. It reduced human efforts and errors in
28 measurement after manual identification step. At the same time, the semi-automatic
29 results were comparable upon manual ones. Our method further implied that as long as
30 the segmentation of the relevant features could be extracted, the performance of the angle
31 measurements could be guaranteed. For our current practice, we invited an experienced

1 operator to manually contour the transverse process-related features; then the contours
2 together with the raw ultrasound images were passed to two other experienced operators
3 to confirm whether they have been correctly drawn. If the drawn pieces could not be
4 mutually agreed, re-drawing of the contour was conducted until all the three operators
5 agreed the result. Intra-rater/operator or inter-rater/operator reliability test upon contour
6 drawing was not conducted, which was a limitation for this validation study and will be
7 investigated in our future studies. The future direction of the fully-automatic system is to
8 generate segmentation of the transverse features as of manual contouring.

9
10 Spine transverse process angles were slightly smaller than XCA, the transformation
11 coefficients were between 0.908-0.969 (Fig.7). XCA were slightly underestimated the
12 transverse angles from ultrasound. The consistency indicated that the cause was from
13 anatomy. Transverse process and spinous process are posterior structure where vertebra
14 body that projected on coronal plane is anterior structure. Linking the tips of a pair of
15 transverse process is differently angulated compared with projecting the vertebra body
16 arc [22]; which could be partially explained this phenomenon. In our future studies,
17 large-scale validation research would be conducted to prove the discovery.

18
19 Implied from semi-automatic UCA method, major limitations came from the prefix
20 'semi-automatic'. The program required manual input in transverse process-related
21 features identification, which relied on the subjective expertise of contouring on
22 ultrasound at Stage 1 of the proposed method. Obviously, manually contouring each pair
23 of transverse process-related features can document all features and standardize the
24 protocol, which could lower the risks of arbitrarily defining the transverse process angle
25 from observation. However, the performance was still affected by manual UCA, when
26 the two methods were practiced by the same person. In our next step of the endeavor of
27 transverse process angle, we would test on intra-operator and inter-operator reliability on
28 the manual contouring part of the semi-automatic UCA method.

29

1 Moreover, fully-automated version of the proposed method would be our next milestone.
2 Manual contouring of transverse process-related features still cost human efforts and
3 suffered from human errors. As described in **Section 2** and shown in **Fig.3**, transverse
4 process features are in distinct shapes from thoracic (circular disk) and lumbar regions
5 (lumbar lump). Hence, the classification and contouring of related features could be
6 automated through machine learning. Our group had put forward a bone feature
7 segmentation model for the spine coronal ultrasound VPI images using a hybrid U-net
8 and showed promising possibilities of supervised deep learning in features contouring
9 [23]. The experience could be used in the fully-automated protocol drafts. With the
10 continuous development of series of imaging processing methods and deep learning
11 approaches, the next-generation of automated version of the proposed method can be
12 pervasive on AIS measurements.

13

14 **5. Conclusions**

15 To conclude, the proposed semi-automatic UCA method had demonstrated very good
16 correlations ($R^2=0.815$) with conventional XCA method, which could be taken as good
17 reference in scoliosis assessment. The proposed method also had shown comparable
18 results ($R^2=0.852$) against manual UCA, which indicated the possibilities of reduction of
19 manual efforts in transverse process angle measurements. Reliability test should be
20 conducted to further strengthen the effectiveness of the proposed method. The current
21 method was limited by the quality of the input coronal ultrasound VPI image and the
22 manual contouring process. Thus, future studies would focus on development a fully-
23 automated version of this method with 3D spine profile and supervised deep learning.

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5 **List of figure captions and table**

6 **Fig.1 (a)** Illustration of the generation process of ultrasound coronal spine image by Scolioscan
7 volume projection imaging (VPI) technique. 3D spinal volume representation is formed by stacks
8 of 2D B-mode ultrasound images with spatial and directional information. 2D coronal projection
9 plane is cut from a customized skin-bone depth. **(b)** An example of conventional Ultrasound
10 Spinous Process Angle (USSPA) measurement by Scolioscan. Both manual and automatic
11 measurement results were presented. Lines in blue are manual drawn along with the medial
12 spinous process shadow; while the curve in red is automatically interpolated by Scolioscan.
13 (Images were extracted from [13])

14

15 **Fig.2 (a)** An example of X-ray Cobb Angle (XCA) method* on coronal X-ray images, lines were
16 drawn crossing the middle of the most tilted vertebra to characterize a scoliotic curve. Two curves
17 were presented, thoracic curve (T6-T11) and thoracolumbar curve (T11-L3).*This is a revised
18 XCA method in order to compare with UCA method directly. Lines were drawn passing through
19 the midpoint of the vertebrae **(b)** an example of Ultrasound Cobb Angle (UCA) on ultrasound
20 coronal VPI image, lines were drawn linking the centers of transverse processes in thoracic
21 region and passing through the lower boundary of lumps in lumbar region to characterize a
22 scoliotic curve. Two curves were presented, thoracic curve (T6-T11) and thoracolumbar curve
23 (T11-L3) Both scans were taken on the same subject on the same day

24

25 **Fig.3. (a)** Illustration of semi-automatic transverse process-related features used by the
26 Ultrasound Cobb Angle (UCA) method. The contours are used in UCA calculation. **(b)** An
27 example of the contour showing a pair of thoracic transverse process. **(c)** An example of the
28 contour showing a typical lumbar lump (combined shadow of the laminae of the superior
29 vertebrae and the articular processes of the inferior vertebrae) [18]

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2 **Fig.4** Illustration of the process of the proposed semi-automatic UCA method using transverse
3 process-related features. **(a)** Raw ultrasound VPI image; **(b)** spinal transverse process-related
4 features identification (contours were drawn manually): green - thoracic transverse process; blue -
5 rib; red - lumbar lump; **(c)** transverse process-related features mask generation for image
6 processing purpose; **(d)** automatic angle calculation based on the masks

7

8 **Fig.5** Schematic diagram of the automatic program (the proposed semi-automatic UCA method,
9 Stage 2) for computing angles based on transverse process-related features. The block diagrams
10 are color coded according to the respective color of masks (Thoracic transverse process: green;
11 Lumbar lump: red; Rib: blue. Each mask was processed in parallel until the curve characteristics
12 (number of curves, start/end levels) were understood by the program; then the angles were
13 automatically calculated

14 **Fig.6** Correlation and linear equations between manual calculated Ultrasound Cobb Angle (UCA)
15 (x) and the proposed semi-automatic UCA method (y). **(a)** Thoracic angles; **(b)**
16 thoracolumbar/lumbar angles; **(c)** combined angles of (a)&(b)

17

18 **Fig.7** Correlation and linear equations between manual measured X-ray Cobb Angle (XCA) (x)
19 and semi-automatic Ultrasound Cobb Angle (UCA) method (y). **(a)** Thoracic angles; **(b)**
20 thoracolumbar/lumbar angles; **(c)** combined angles of (a)&(b)

21

22 **Fig.8** Bland-Altman plots indicate the differences between the pairs **(a)** manual UCA and the
23 proposed semi-automatic UCA method; **(b)** XCA and the proposed semi-automatic UCA method
24 corrected with the linear regression equations for all angles (combination of thoracic and lumbar
25 angles)

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