

24 mechanism were applied to probe the causes of the above trends. In general,  $O_3$  production was controlled by VOC-limited regime throughout 13 years. The meteorological variability and 26 regional transport facilitated the  $O_3$  growth in period I. In contrast, the unchanged  $O_3$  level in period II was attributable to the negative impact of meteorological variability and reduction of 28 regional transport effect on  $O_3$  formation and accumulation, as well as the negligible change in 29 locally-produced  $O_3$ . In autumn of period II, the inhibitory meteorological variability, reduced regional transport, and alleviated local production were the driving force for the hard-earned decrease of O3. However, the remarkable rise of spring O3 was caused by the reduction of NO*x*, especially in the spring of period II. The findings of the long-term and seasonal variations of O3 pollution in Hong Kong are helpful for future O3 mitigation.

 **Keywords**: Ozone pollution; Long-term trends; Local formation; Meteorological variability impact; Regional transport.

### **1 Introduction**

37 Ground-level ozone  $(O_3)$  is the main component of photochemical smog, formed through complicated reactions among nitrogen oxides (NO*x*), volatile organic compounds (VOCs), carbon monoxide (CO), and methane under sunlight (Atkinson, 2000). Stratosphere- troposphere exchange and special meteorological conditions that enhance dynamic transport 41 and emissions of  $O_3$  precursors also have an impact on surface  $O_3$  levels (Collins et al., 2003; Cooper et al., 2004; Monks et al., 2015). Ground-level O3 adversely affect human health, crop yields, vegetation growth, and biodiversity (Brauer et al., 2016; Agathokleous et al., 2020). In 44 addition, O<sub>3</sub> is a greenhouse gas affecting climate change (Shindell et al., 2013). Over the years, O3 pollution has deteriorated severely, causing many environmental problems (Fishman et al., 2003; Vingarzan, 2004; Young et al., 2013). Numerous studies have been conducted to elaborate the variations of tropospheric  $O_3$  and the underlying causes from global to regional scales (Chang et al., 2017; Gaudel et al., 2018; Wang et al., 2019a; Lin et al., 2020). Continuous  increase of O3 was witnessed in the United States and European countries in the last century 50 (Derwent et al., 1996; Oltmans et al., 2006), whereas the decreases of upper-level O<sub>3</sub> and rural O3 concentrations in recent years were due to the effective control of O3 precursors (Simon et al., 2015; Yan et al., 2018; Georgoulias et al., 2019; Sicard, 2021). Unfortunately, due to the 53 enhanced anthropogenic emissions, O<sub>3</sub> in most Asian countries is still increasing rapidly (Cooper et al., 2014; Seo et al., 2014; Akimoto et al., 2015; Kim & Lee, 2018).

55 The O3 production is either in VOCs-limited or NO*x*-limited or transitional regime depending 56 on the levels of VOCs and NO*<sup>x</sup>* and their ratios (Derwent et al., 2003). The O3 production in 57 urban and suburban areas of China is generally in VOCs-limited regime (Li et al., 2019), where 58 the  $O_3$  production decreases with the reduction of VOCs emissions while cutting  $NO<sub>x</sub>$  level 59 exhibits a counterproductive effect. In contrast, the  $O<sub>3</sub>$  production in rural areas of China is 60 limited by  $NO_x$  (Wang et al., 2019b). As such, the rise of  $O_3$  is considered an intractable and 61 long-standing problem in China due to the diverse regimes of O3 production over the vast 62 territory which make the formulation and implementation of control strategies difficult. For 63 example, the regional background  $O_3$  in North China Plain (NCP) increased by 1.13  $\pm$  0.01 64 ppbv/yr from 2003 to 2015, which resulted from the elevated VOCs emissions in this region 65 (Ma et al., 2016), while a reduction in  $NO<sub>x</sub>$  was found to cause an increasing  $O<sub>3</sub>$  with a rate of 66 0.8 – 1.3 ppbv/yr from 2006 to 2015 in East China (Xu et al., 2019). Regional transport and 67 local production also exerted crucial impacts on  $O<sub>3</sub>$  variability in Pearl River Delta (PRD) 68 region of South China, which caused an upward trend by 0.56 ppbv/yr from 2007 to 2017 69 (Yang et al., 2019). Since the launch of the "Clean Air Action" in 2013 with the goal of 70 improving air quality in China, the concentration of fine particles  $(PM_{2.5})$  has been successfully 71 reduced, while  $O_3$  pollution has unexpectedly increased significantly at a rate of 2.4 ppbv/yr 72 from 2013 to 2019 (Lu et al., 2020). Multiple factors including little reduction in VOCs 73 emissions, reduced  $NO<sub>x</sub>$  in VOC-limited regime, and slowdown uptake of reactive gases in 74 particles, may account for this undesirable phenomenon (Zheng et al., 2018; Li et al., 2019; 75 Liu & Wang,  $2020a$ ). Interestingly, despite the rapid increase in  $O_3$  levels in the adjacent 76 mainland China during the same period, the O3 concentration in Hong Kong in autumn of 77 2013–2016 declined (Liu et al., 2019).

78 As an international metropolis, Hong Kong has been experiencing severe photochemical 79 pollution for decades. An increase of long-term vertical  $O_3$  level within the planetary boundary 80 layer was recorded (Liao et al., 2021), so was the surface  $O_3$  in suburban and background areas 81 (Wang et al., 2017; Wang et al., 2019a). Basically, the elevated  $O_3$  is closely related to weather 82 conditions (*e.g.*, typhoons, continental anticyclone, low pressure troughs, and cold fronts) and 83 mesoscale circulations, which favor O<sub>3</sub> formation and accumulation (Huang et al., 2005, 2006; 84 Zeren et al., 2019). In view of the short distance between Hong Kong and the PRD region, the 85 polluted plumes from cities in the PRD may also aggravate O3 pollution in Hong Kong. Apart 86 from the aforementioned factors, the role of anthropogenic and biogenic emissions of  $O_3$ 87 precursors in modulating O<sub>3</sub> concentration is vital (Cheng et al., 2013; Ling & Guo, 2014). In 88 the past decade, Hong Kong government devoted great efforts and developed manifold control 89 programs to alleviate O3 pollution (Table S1), *i.e.*, stepwise phasing out diesel commercial 90 vehicles, regulating VOCs emissions from solvent usage, upgrading catalytic converters in 91 vehicles powered by liquified petroleum gas, and joint controls with Guangdong and Macau 92 governments. These measures led to hard-earned and successful reduction of ground-level  $O_3$ , 93 which has been confirmed by previous studies with the intimate relationship between the 94 diminished VOCs emissions and the reduction of  $O_3$  (Xue et al., 2014; Lyu et al., 2016, 2017; 95 Wang et al., 2017). In addition, the regionally-transported  $O_3$  in Hong Kong was also confirmed 96 to be leveling-off during autumn of 2013-2016 (Liu et al., 2019). However, most of the studies 97 focused on the  $O_3$  formation from a chemical perspective, and the meteorological effects were 98 merely unveiled on high  $O_3$  episode days. To our knowledge, the influence of meteorological

99 variability on the long-term variations of surface  $O<sub>3</sub>$  level in Hong Kong is still vaguely 100 interpreted. Although the  $O_3$  variations in the autumn after 2013 and their underlying causes were discussed (Liu et al., 2019), a comprehensive analysis of long-term and seasonal variations of O3 levels in the post-2013 period is necessary, because since 2013, the implementation of rigorous air quality control measures in China has strongly affected the regional atmospheric chemistry due to the changes in chemical compositions. Such changes will also influence the air quality in Hong Kong through modulating the regional transport of O3 and its precursors from PRD and eastern China. Furthermore, previous studies investigated the O3 variations mainly in autumn (Xue et al., 2014; Liu et al., 2019), while few paid attention 108 to the variability of O<sub>3</sub> in spring. Although Wang et al. (2017) noticed remarkable increase of spring O3 in 2005-2014 in Hong Kong, the underlying causes were not fully explored.

 Therefore, the long-term and seasonal variations of surface O3 from 2005 to 2017 in Hong 111 Kong were examined by analyzing the continuing observation data. In addition, the  $O_3$  variation in the pre-2013 was re-examined and compared to that in the post-2013. The quantitative influence of meteorological variability on the O3 trends was investigated through a statistical calculation combining Kolmogorov-Zurbenko filter with multiple linear regressions. The effects of changes in the level of photochemical precursors, and the contribution of regional transport were revealed with the aid of a photochemical box model. Overall, this study is expected to provide new insights into the multiple driving factors responsible for the long-term O3 trend in the cities of South China, and potentially promote 119 control strategies to mitigate  $O_3$  in the future.

**2 Methodology**

### **2.1 Sampling site and data collection**

 Hong Kong is located on the southern coast of China (Figure 1a), where the subtropical maritime monsoon climate dominates. It is close to many other highly developed cities, *i.e.*,  Guangzhou, Dongguan, Shenzhen, Foshan, Zhongshan, Zhuhai and Macau, where extensive anthropogenic emissions occur. Severe O3 pollution in Hong Kong is confirmed to partly originate from adjacent waters, including the Pearl River Estuary and the offshore waters of the South China Sea (Wang et al., 2018a; Zeren et al., 2019). Overall, not only the air pollutants transported from mainland cities but also the polluted plumes received from nearby waters pose a great threat to the air quality in Hong Kong. In this study, data was collected at Tung Chung (TC, Figure 1b), which is a suburban site and locates in a new town area in western Hong Kong. Apart from local emissions, this site can receive not only air plumes from upwind urban areas and the adjacent PRD region, but also air masses from Pearl River Estuary and the South China Sea, making it an ideal and representative site for the investigation of long-term variations of local and regional photochemical pollution in this coastal city of South China.



Figure 1. Geographic location of sampling site and its surrounding environments.

137 Air pollutants *i.e.*, O<sub>3</sub>, NO<sub>x</sub>, CO, SO<sub>2</sub> and VOCs were measured from 2005 to 2017 at TC using online instruments by the Hong Kong Environmental Protection Department (HKEPD). The detailed information regarding instrument types, techniques, detection limits, and time resolution can be found in Table S2. The hourly values were adopted in this study, and the data validated percentage for above species ranged from 83% to 97%. Specifically, the online GC-FID analyzer detected 29 VOC species involving 10 alkanes, 10 alkenes, and 9 aromatics  (Table S3). Calibration of the gas analyzers, certificated by Hong Kong Laboratory Accreditation Scheme [\(https://www.aqhi.gov.hk/gt/monitoring-network/air-quality-](https://www.aqhi.gov.hk/gt/monitoring-network/air-quality-monitoring-network.html) [monitoring-network.html\)](https://www.aqhi.gov.hk/gt/monitoring-network/air-quality-monitoring-network.html), was conducted on a regular basis. In addition, a weekly calibration using standard gases and built-in computerized programs (*e.g.*, auto-linearization and autocalibration) was operated to guarantee the credibility of the VOCs data. The strict quality assurance and quality control (QA/QC) procedures were followed throughout the sampling periods, and the details can be found in our previous studies (Ou et al., 2015; Wang et al., 2017). Table S4 lists the data capture rates of VOCs species during 2005-2017, generally ranging from 65% to 92%, except for that of trans-2-Pentene (0.51%), mainly due to its low concentration which was always under the low detection limit of instrument. In addition, the regular comparison between online measured VOCs concentrations and the whole-air canister collected VOCs concentrations analyzed by the University of California, Irvine (UCI) showed 155 good agreement for alkanes (e.g.,  $R^2 = 0.93$  and 0.80, slope = 0.95 and 0.89 for propane and n- butane, respectively). Besides, the agreements for more reactive alkenes and aromatics were 157 also reasonable (e.g.,  $R^2 = 0.69$  and 0.88, slope = 0.82 and 0.80 for propene and toluene, respectively). Apart from the chemical datasets, meteorological parameters including temperature (Temp), relative humidity (RH), solar radiation (SR), wind speed (WS), and direction (WD) were continuously monitored at the Hong Kong International Airport, about 3 km away from TC, and the planetary boundary layer height (PBLH) was available from the hourly reanalysis data of European Centre for Medium-Range Weather Forecasts (EAR5 with resolution of 0.25°, [https://www.ecmwf.int/\)](https://www.ecmwf.int/).

# **2.2 Quantification of impact factors for O3 variation**

165 To quantify the driving force for the long-term  $O_3$  variation, the multiple statistical calculations and model simulation were adopted. Figure 2 shows the diagram of methods implemented in 167 this study.



168

169 Figure 2. Flow diagram of methodology.

## 170 **2.2.1 Quantification of meteorological impact and physical processes**

171 To quantify the impact of meteorology on the ground-level O3, a statistical calculation 172 combining Kolmogorov-Zurbenko (KZ) filter and stepwise multiple linear regression (MLR) 173 was implemented in this study. KZ filter is a moving average method to preserve the low-pass 174 signal processed by repeating iterations (Formula 1, Rao & Zurbenko, 1994):

$$
175 \t Y_i = \frac{1}{m} \sum_{j=-k}^{k} A_{i+j}
$$
 (Formula 1)

176 where k represents the number of variables included on each side of target values, and the 177 moving window length is calculated as  $m = 2k + 1$ .  $A_{i+j}$  and  $Y_i$  denote the time series of input 178 and output, respectively. *i* is the time interval, and *j* stands for the number of windows. The 179 output of  $i^{\text{th}}$  pass turns to be the input of  $(i+1)^{\text{th}}$  pass. KZ (m, n) indicates the filter calculated 180 through n iterations with a window length of m, aiming to remove the fluctuations higher than 181 period of  $m \times n^{1/2}$  (days). Previous studies applied filters of KZ (15, 5) and KZ (365, 3) to 182 separate the observed  $O_3$  (O(t), Formula 2) into three time-scale components (Rao et al. 1997; 183 Ma et al., 2016; Yang et al., 2019).

$$
184 \tO(t) = W(t) + S(t) + L(t) \t(Formula 2)
$$

185 
$$
L(t) + S(t) = KZ (15, 5) = B(t)
$$
 (Formula 3)  
\n186  $W(t) = O(t) - KZ(15, 5)$  (Formula 4)  
\n187  $L(t) = KZ(365, 3)$  (Formula 5)  
\n188  $S(t) = KZ(15, 5) - KZ(365, 3)$  (Formula 6)

189 where O(t) is the timeseries of observed daily maximum 8-hour average (DM8A) O3 190 concentration. L(t) (Formula 5) and S(t) (Formula 6) refer to the long-term and seasonal 191 components, respectively. W(t) (Formula 4) reflects the short-term variation calculated by 192 mesoscale- and/or synoptic-scale factors. The sum of L(t) and S(t) is defined as the baseline 193 (B(t)) (Formula 3) for convenience of the following calculations. The stepwise MLR was 194 established between DM8A O3 and the meteorological components in different time scales.

195 
$$
A_W(t) = \sum b_{W_i} \times M_{W_i} + c_W
$$
 (Formula 7)

$$
196 \t AB(t) = \sum b_{B_i} \times M_{B_i} + c_B \t (Formula 8)
$$

197 
$$
\varepsilon_W(t) = W(t) - A_W(t), \varepsilon_B(t) = B(t) - A_B(t)
$$
 (Formula 9)

$$
198 \quad \varepsilon(t) = \varepsilon_W(t) + \varepsilon_B(t) \tag{Formula 10}
$$

199 
$$
A(t) = \varepsilon(t) + \sum b_{W_i} \times \overline{M}_{W_i} + \sum b_{B_i} \times \overline{M}_{B_i} + c_W + c_B
$$
 (Formula 11)

200 where  $A_W(t)$  (Formula 7) and  $A_B(t)$  (Formula 8) calculated by the multiple linear regressions 201 are the short-term and baseline  $O_3$  concentrations, respectively.  $M_{W_i}$  and  $M_{B_i}$  stand for the 202 meteorological variables in the two-time scales. The variables incorporate daily maximum 203 Temp, daily minimum RH, daytime (07:00-19:00 LST) average of SR, U & V wind 204 components, and PBLH, which are acknowledged as the important factors affecting the 205 ambient O<sub>3</sub> concentrations (Sanchez-Ccoyllo et al., 2006; Lee et al., 2014; He et al., 2017; Liu

206 & Wang, 2020b).  $b_{W_i}$  and  $b_{B_i}$  represent the regression coefficients, while  $c_W$  and  $c_B$  indicate 207 the interceptions of regression formulas. The residuals between observations and calculations 208 can be expressed by  $\varepsilon(t)$  (Formula 10). In addition, a base condition related to the mean values 209 of meteorological data on the same date throughout entire period  $(\overline{M})$  was calculated to preserve 210 the annual variation pattern. The  $O_3$  concentration after ruling out the impact of meteorological 211 variability can be determined by  $A(t)$  (Formula 11), which is the sum of  $\varepsilon(t)$  and base 212 conditions. Moreover, the impact of each meteorological parameter was calculated by 213 considering the individual meteorological variables in  $M_{W_i}$  and  $M_{B_i}$ . This calculation method 214 has been proved valid in a previous work studying the  $O_3$  variation in the PRD region (Yang et 215 al., 2019).

216 In addition to the quantification of meteorological impact, the hourly  $O<sub>3</sub>$  dry deposition loss 217 rate was estimated through Formula 12 (Luhar et al., 2017; Wang et al., 2018b):

218 O<sub>3</sub> dry deposition rate = 
$$
\int_0^t \frac{V_d}{PBLH}
$$
 ×  $C_{O3}$  (Formula 12)

219 where  $V_d$  represents  $O_3$  dry deposition velocity, PBLH is planet boundary layer height, t stands 220 for one hour, and  $C_{03}$  depicts the hourly observed  $O_3$  concentration. Since our sampling site 221 locates in the suburban area, we applied  $0.6$  cm/s as the  $O<sub>3</sub>$  dry deposition velocity in this study 222 (Zhang et al., 2003).

223 According to the continuity equation of O3 budget at a given location (Text S1), the regionally-224 transported O3 is attributed to the physical processes of advection and turbulent diffusion, 225 which is part of the observed O3, in addition to the effects of chemical production and loss and 226 dry deposition. It is notable that this method might generate some negative values, which were 227 corresponding to the dilution effect of regional transport.

#### 228 **2.2.2 Observation-based box model**

229 A photochemical box model coupled with carbon bond mechanism (CB05) was employed to 230 simulate local net O3 formation (*i.e.*, O3 production minus O3 destruction) during 2005–2017. 231 CB05 is a condensed mechanism developed by U.S. Environmental Protection Agency (EPA) 232 (Yarwood et al., 2005). It can provide reasonable simulated results in chemical transport 233 models such as WRF-Chem/CMAQ (Appel et al., 2007; Wang et al., 2015) and in-situ 234 photochemical study (Wang et al. 2017). The hourly concentrations of NO, NO<sub>2</sub>, CO, SO<sub>2</sub> and 235 29 species of VOCs observed at TC were adopted as the input data at each hour. The original 236 VOC species for the lumped groups in the condensed mechanism are provided in Table S3. In 237 addition, the photolysis rates of individual species were determined using the Tropospheric 238 Ultraviolet and Visible Radiation model (TUV v5, Madronich & Flocke, 1997) on the basis of 239 actual conditions of Hong Kong, such as location, meteorological conditions, and time period 240 of sampling measurement. The model output included the values of radicals (*i.e.*, RO, RO2, 241 OH and  $HO_2$ ), intermediates and  $O_3$ . The hour-to-hour simulation was carried out every day 242 from 00:00 local standard time (LST) to 23:00 LST in 2005–2017, except those days with 243 missing data of precursors (i.e., days with any 6 consecutive hours of missing VOCs data, and 244 the data completeness lower than 75% during 07:00-19:00 LST). In total, the simulated results 245 of 3801 days performed well with 80% validity for 4748 days (the total number of days 246 throughout 13 years). The consecutive model running was independently conducted in each 247 year, in which an additional running for the first month was operated as spin-up. The correlation 248 coefficient (COE) (Rodgers & Nicewander, 1988), index of agreement (IOA) (Willmott, 1981), 249 and root mean square error (RMSE) (Willmott, 1981) between simulations and observations 250 were utilized to assess the simulation performance. The detailed calculation formulas and 251 statistical meanings are described in Text  $S2$ . The main  $O<sub>3</sub>$  production and destruction 252 pathways are presented in Text S3. Although the physical processes are not included in this 253 model, our previous study has proven the good performance of the model on the simulation of 254 photochemical reactions (Wang et al., 2017). In fact, the deficiency of the model in

255 consideration of atmospheric dynamics enables us to estimate the contribution of physical 256 processes to  $O_3$  concentration.

 $257$  Apart from the simulation of  $O<sub>3</sub>$  concentration, the model also calculates the relative 258 incremental reactivity (RIR) to identify the sensitivity of  $O_3$  production to its precursors (Cheng 259 et al., 2010; Xue et al., 2014; Wang et al., 2017). RIR is defined as the percentage change in 260 daytime (07:00 – 19:00 LST)  $O_3$  production per the percent change in  $O_3$  precursors. The 261 calculation equation of RIR is shown in Formula 13:

$$
262 \quad \text{RIR} \quad (X) = \frac{[P_{03-N0}^S(X) - P_{03-N0}^S(X - \Delta X)]/P_{03-N0}^S(X)}{\frac{\Delta S(X)}{S(X)}}
$$
 (Formula 13)

263 where *X* stands for the specific O<sub>3</sub> precursors, *i.e.* NO<sub>x</sub>, VOCs or CO. "S" denotes the sampling 264 site. *S(X)* is the measured concentration of *X*, and  $\Delta S(X)$  is the hypothetical change of *X* concentration. We hypothesized 10% for  $\Delta S(X) / S(X)$  in this study.  $P_{0.3-N0}^S(X)$  and 266  $P_{03-N0}^S(X - \Delta X)$  represent the net O<sub>3</sub> production in base run and a scenario with the change 267 of  $\Delta S(X)$  in *X* species, respectively.

268 Moreover, to study the  $O_3$  variation induced by the long-term changes of photochemical 269 precursors, comparisons were made between the base simulation using the concentrations of 270 all precursors and the scenario simulations with constrained NO*x*, CO and VOCs concentrations, 271 respectively. Specifically, the simulation based on measured concentrations of  $O<sub>3</sub>$  precursors 272 was set as the base case. The constrained scenarios were implemented under the same model 273 settings as those of the base case, except that one of the VOCs, NO*<sup>x</sup>* and CO concentrations 274 was maintained unchanged, *i.e.*, assuming no change for this specified precursor since 2005, 275 respectively. The impacts of individual precursors during 2005–2017 were examined by the 276 differences between the results of base case and scenario simulations. In addition, the model 277 was re-processed with the constrained concentrations of VOCs, NO*x*, and CO in 2013,

- 278 respectively, to exclusively study the impacts of the precursors on  $O_3$  trend in period II. Overall,
- 279 six scenario experiments were conducted in this study, which are shown in Table S5.

280

#### 281 **3 Results and discussion**

#### 282 **3.1 Trends of observations**

### 283 **3.1.1 Long-term variations of O3 and its precursors**

284 Figure 3 displays the temporal variations of  $O_3$  and its precursors throughout the period of 2005–2017. The long-term trends were evaluated based on a linear regression of daily averages (24-hour), where *p* value determined by t-test was used as a reference to indicate significant level of changes. For a better visualization of the annual and seasonal trends, the datasets were processed into monthly averages with 95% confidence intervals.

289 An increase in DM8A O<sub>3</sub> with a rate of  $0.32 \pm 0.07$  ppbv/yr ( $p < 0.01$ ) throughout 2005–2017 290 was observed, and the variation rate of daily average  $O_3$  was  $0.28 \pm 0.05$  ppbv/yr ( $p \le 0.01$ ) in 291 this period, indicating a long-term increase of  $O_3$  pollution in Hong Kong. The elevation of  $O_3$ 292 levels was consistent with previous findings of the long-term  $O_3$  variations in Hong Kong 293 (Table S6). However, this study found that the rising rate of  $O<sub>3</sub>$  at TC slowed down compared 294 to the upward trend in 2005–2014 with a rate of  $0.56 \pm 0.01$  ppbv/yr (Wang et al., 2017). By 295 dividing the study period into two periods, it was discovered that the  $O_3$  mainly increased 296 during 2005–2012 (defined as period I) at rates of  $0.96 \pm 0.15$  ppbv/yr ( $p < 0.01$ ) for DM8A 297 O<sub>3</sub> and  $0.72 \pm 0.09$  ppbv/yr ( $p < 0.01$ ) for daily average O<sub>3</sub>, whereas these values leveled off in 298 2013–2017 (period II). The insignificant variations of DM8A  $O_3$  ( $p = 0.57$ ) and daily average 299 O<sub>3</sub> ( $p = 0.12$ ) in period II revealed steady O<sub>3</sub> pollution at the suburban site in Hong Kong since 300 2013. A previous study even reported a decrease in  $O_3$  mixing ratio in autumn of 2013-2016 at 301 the same site (Liu et al., 2019). Moreover, the number of high  $O_3$  episode days (hourly

302 maximum O3 concentration exceeding 100 ppbv) presented an increasing trend in period I with 303 a rate of 1.6 day/yr ( $p < 0.05$ ), while it insignificantly decreased at a rate of -1.2 days/yr ( $p =$ 304 0.53) in period II (Figure S1). This phenomenon was also validated by the increasing number  $305$  of hours with O<sub>3</sub> concentration exceeding 160 μg/m<sup>3</sup> in period I (9.4 ± 3.2 hour/yr, *p* < 0.05) 306 and an insignificant decrease of that in period II (-9.4  $\pm$  10.9 hour/yr, *p* = 0.45) (Figure S2). 307 These results confirmed that O3 pollution in Hong Kong was alleviated from 2013 to 2017.



 $309$  Figure 3. Variations of  $O_3$  and its precursors from 2005 to 2017 (monthly averages are 310 presented and error bars indicate 95% confidence intervals).

308

311 Contrary to the increase in  $O_3$ , a notable decline of NO was found at a rate of  $-0.71 \pm 0.06$ 312 ppbv/yr  $(p < 0.01)$  during 2005–2017. This trend was also identified in period I (-0.60  $\pm$  0.14 313 ppbv/yr,  $p < 0.01$ ) and period II (-1.9  $\pm$  0.22 ppbv/yr,  $p < 0.01$ ). Weakened NO titration has 314 been confirmed to be the main reason for the increase of  $O_3$  in VOCs-limited regime (Wang et 315 al., 2017; Wang et al., 2018b). Hence, it is plausible to infer that the NO reduction in Hong 316 Kong might partly contribute to the elevation of  $O_3$  concentration. Furthermore, the NO<sub>2</sub> levels 317 also decreased  $(-0.38 \pm 0.05 \text{ ppb}v/\text{yr}, p < 0.01)$  during 2005–2017, mainly attributable to the 318 decrease of NO<sub>2</sub> in period II at a rate of -1.7  $\pm$  0.17 ppbv/yr ( $p$  < 0.01) despite no changes in 319 period I (-0.02  $\pm$  0.08 ppbv/yr,  $p = 0.83$ ). The reduced NO<sub>2</sub> decreased consumption of OH 320 radicals (Liu et al., 2019), which would enhance O<sub>3</sub> formation. Moreover, CO decreased at a 321 rate of -18  $\pm$  0.92 ppbv/yr ( $p < 0.01$ ) during 2005–2017, likely favorable to O<sub>3</sub> reduction. 322 Further examination found that the decline mainly occurred in period Ι (-29 ± 2.1 ppbv/yr, *p* < 323 0.01) compared to the constant trend in period II ( $p = 0.88$ ). The reduction in CO was due to 324 the effective control of vehicle emissions in Hong Kong (Table S1) (Guo et al., 2007; Liu et 325 al., 2019), and industrial and on-road mobile sources in PRD (Zheng et al., 2009; Li et al., 326 2017), which was also reported in other regions of China (Feng et al., 2020). The stable CO in 327 period II (0.43  $\pm$  2.8 ppbv/yr,  $p = 0.88$ ) might be affected by the long-range transport of CO 328 emitted from the biomass burning activities in Southeast Asian countries in recent years (Wang 329 et al., 2019a). Although the overall trend of TVOC (29 VOC species detected in this study) 330 was steady from 2005 to 2017 ( $-0.08 \pm 0.05$  ppbv/yr,  $p = 0.12$ ), an increase with a rate of 0.64 331  $\pm$  0.11 ppbv/yr ( $p$  < 0.01) was found in period I. Contrarily, a reduction (-0.33  $\pm$  0.16 ppbv/yr, 332  $p \le 0.05$ ) was observed in period II. However, the OH reactivity of TVOC (Text S4) 333 continuously increased from 2005 to 2017 (0.02  $\pm$  0.01 s<sup>-1</sup>/yr, Figure S3), indicating the 334 increasing contribution of TVOC to photochemical reactions (Yang et al., 2016), which  $335$  possibly resulted in the enhancement of local  $O<sub>3</sub>$  production. Further investigation on the OH 336 reactivity of TVOC in the two periods discovered an increase in period I (0.18  $\pm$  0.12 s<sup>-1</sup>/yr, *p* 337  $\leq$  0.01) but a stable pattern in period II (0.05  $\pm$  0.04 s<sup>-1</sup>/yr, *p* = 0.08), which suggested the 338 alleviation of VOCs after 2013. The declined TVOC and NO*<sup>x</sup>* levels in period II were ascribed 339 to the control measures formulated and implemented by local government, *e.g.*, diminished 340 emissions from diesel commercial vehicles and replacement of catalytic converters in vehicles 341 fueled by liquified petroleum gas (Lyu et al., 2016, 2017). Since the  $O_3$  formation at TC is 342 VOCs-limited (Wang et al., 2017; Liu et al., 2019), the growth of TVOC was one of the reasons  for the O<sub>3</sub> enhancement in period I. Instead, the decreased TVOC in period II was favorable 344 for the reduction in  $O_3$  production. Although previous work reported that the  $O_3$  concentration in Hong Kong decreased in autumn of 2013-2016 (Liu et al., 2019), no decline of O3 was observed throughout period II in this study. Thus, the seasonal  $O<sub>3</sub>$  variations were investigated as follows.

## 348 **3.1.2 Seasonal variations of O3 and its precursors**

349 Figure 4 shows the seasonal variations (March–May, June–August, September–November, and 350 December–February defined as spring, summer, autumn, and winter, respectively) of DM8A  $351$  O<sub>3</sub> and O<sub>3</sub> precursors from 2005 to 2017. It is notable that the rising trend of O<sub>3</sub> in spring (0.62)  $352 \pm 0.13$  ppbv/yr,  $p < 0.01$ ) was the most obvious in the four seasons. The springtime increase 353 occurred not only in period Ι (1.4 ± 0.27 ppbv/yr, *p* < 0.01) but also in period II with a more 354 rapid rate  $(1.8 \pm 0.48 \text{ pbV/yr}, p \le 0.01)$ . The overall O<sub>3</sub> trend in summer was  $0.40 \pm 0.15$ 355 ppbv/yr ( $p < 0.01$ ), while it was  $1.6 \pm 0.32$  ppbv/yr ( $p < 0.01$ ) in period I, and insignificant (-356 0.78  $\pm$  0.59 ppbv/yr,  $p = 0.19$ ) in period II. A moderate increase was found in winter (0.24  $\pm$ 357 0.10 ppbv/yr, *p* < 0.05), despite no significant variation in both period Ιand period II. Strikingly, 358 the autumn O<sub>3</sub> variation leveled off in 2005–2017 (-0.21  $\pm$  0.16 ppbv/yr,  $p = 0.20$ ), which was 359 obviously different from the increasing pattern in 2005–2014 (0.67  $\pm$  0.07 ppbv/yr, Wang et  $360$  al., 2017). Further investigation revealed that the autumn  $O_3$  increased in period I at a rate of 361 0.60  $\pm$  0.27 ppbv/yr ( $p$  < 0.05), while it remarkably decreased in period II at a rate of -2.0  $\pm$ 362 0.64 ppbv/yr ( $p < 0.01$ ), in agreement with the variation rate calculated using hourly O<sub>3</sub> values 363 during the same period (-2.02  $\pm$  0.003 ppbv/yr, Liu et al., 2019). The obvious increase of spring 364 O<sub>3</sub> (2.2  $\pm$  0.39 ppbv/yr, *p* < 0.01) and the decrease of autumn O<sub>3</sub> (-2.0  $\pm$  0.44 ppbv/yr, *p* < 0.01) 365 were also found in the daily average (24-hour) O3 variations during this period (Figure S4). 366 The sharp decrease of autumn  $O_3$  in period II led to the overall leveling-off of autumn  $O_3$  during 367 2005–2017. The reason for the alleviated O<sub>3</sub> pollution in autumn might be due to the levelled368 off regional transport of  $O_3$  and the mitigation of locally-formed  $O_3$  in autumn in Hong Kong during 2013-2016 (Liu et al., 2019).

 Overall, the O3 variations in both spring and autumn in period I presented increasing trends, while the hard-earned  $O_3$  decrease in autumn was offset by the remarkable increase of spring O<sub>3</sub> in period II, leading to an overall unchanged O<sub>3</sub> variation in this period. The underlying causes for the seasonal variations were thoroughly discussed in the following sections.



 Figure 4. Seasonal variations of DM8A  $O_3$  and  $O_3$  precursors during 2005–2017 (data points are integrated into monthly averages and 95% confidence intervals are presented as error bars).

377 Consistent with the overall trend of NO during 2005–2017, a decrease  $(p < 0.01)$  of NO was 378 discovered at rates of  $-0.54 \pm 0.22$  ppbv/yr,  $-0.52 \pm 0.07$  ppbv/yr,  $-0.45 \pm 0.08$  ppbv/yr and - 1.2  $\pm$  0.17 ppbv/yr in spring, summer, autumn, and winter, respectively. Furthermore, the NO decrease in spring (-1.2 ± 0.43 ppbv/yr, *p* < 0.01), summer (-0.73 ± 0.23 ppbv/yr, *p* < 0.01) and 381 winter  $(-2.9 \pm 0.52 \text{ pbV/yr}, p \le 0.01)$  in period II was greater than that in period I with 382 statistical significance ( $p < 0.05$ ), implying that the titration of NO in period II was weakened,  which might subsequently facilitate the increase of O3. Note: despite decreased autumn NO in 384 period I, it remained stable in period II ( $p = 0.32$ ). Analogously, NO<sub>2</sub> in spring, autumn and 385 winter decreased at rates of  $-0.41 \pm 0.09$  ppbv/yr ( $p < 0.01$ ),  $-0.52 \pm 0.08$  ppbv/yr ( $p < 0.01$ ) 386 and  $-0.55 \pm 0.09$  ppbv/yr ( $p < 0.01$ ), respectively. Same as NO, the NO<sub>2</sub> reduction in period II were more prompt than that in period I, probably causing increased  $O<sub>3</sub>$  formation to some extent due to decreased consumption of OH radicals by NO2. In addition, the TVOC levels remained 389 stable  $(p > 0.05)$  in spring and summer, but decreased in autumn and winter with rates of -0.33  $\pm$  0.07 ppbv/yr ( $p$  < 0.01) and -0.31  $\pm$  0.13 ppbv/yr ( $p$  < 0.05), respectively. Specifically, the reduction of TVOC in these two seasons was mainly associated with the decrease of TVOC in 392 period II ( $p < 0.05$ ), leading to the overall decrease of  $O<sub>3</sub>$  formation in autumn and winter because of the VOC-limited regime at TC. The reduced TVOC was attributable to aforementioned control measures implemented by Hong Kong government. It could also benefit from the alleviation of background pollution in PRD region because of the reduction in VOCs emissions since 2014 from Guangdong province (Figure S5), the upwind region of Hong Kong in autumn and winter under winter monsoon (Jiang et al., 2010). Furthermore, the 398 decreases ( $p < 0.01$ ) of CO in all seasons (-17  $\pm$  1.7 ppbv/yr, -9.4  $\pm$  1.1 ppbv/yr, -11  $\pm$  1.4 399 ppbv/yr, and  $-35 \pm 1.9$  ppbv/yr in spring, summer, autumn and winter, respectively) reduced 400 the  $O_3$  formation due to the positive contribution of CO to  $O_3$ . In contrast, CO exclusively 401 increased in spring of period II (20  $\pm$  5.1 ppbv/yr,  $p < 0.01$ ). Apart from emissions of local vehicles, the long-range transport of CO emitted from biomass burning in Southeast Asia might also be one of the reasons for the striking increase of CO in spring of period II, because of the extensive biomass burning activities in springtime over Indochina Peninsula (Xue et al., 2020; Liao et al., 2021).

### 406 **3.1.3 Temporal variations of meteorological parameters**

 $407$  Meteorological conditions are also closely related to the  $O<sub>3</sub>$  formation, accumulation, and 408 transport. The annual and seasonal variations of meteorological conditions during 2005–2017 409 are summarized in Table S7. Slow increase of temperature  $(0.09 \pm 0.02 \degree C/\text{yr}, p \le 0.01)$  and 410 solar radiation (SR,  $0.06 \pm 0.03$  MJ m<sup>-2</sup>/yr,  $p < 0.05$ ) as well as slight decrease of WS (-0.02  $\pm$ 411 0.01 m s<sup>-1</sup>/yr,  $p < 0.01$ ) were seen, favorable to the O<sub>3</sub> formation and accumulation from 2005 412 to 2017. However, above changes in temperature, SR and WS were not completely consistent 413 in each sub-period. Compared to the overall trend, the reduction of WS (-0.01  $\pm$  0.01 m s<sup>-1</sup>/yr, 414 *p* = 0.32) was insignificant in period Ι. Nevertheless, a much stronger enhancement of SR (0.16  $\pm 0.05$  MJ m<sup>-2</sup>/yr,  $p < 0.01$ ) was unveiled during this period, which is beneficial to the O<sub>3</sub> 416 formation. The increase of SR in period I was possibly caused by the sharp reduction of 417 particular matter over PRD region during this period (Lin et al., 2018). In period II, the rise of 418 temperature  $(0.35 \pm 0.10^{\circ}C/\text{yr}, p < 0.01)$  and decline of WS  $(-0.07 \pm 0.02 \text{ m s}^{-1}/\text{yr}, p < 0.01)$ 419 likely led to O<sub>3</sub> increase, whereas the growth of PBLH  $(6.3 \pm 2.5 \text{ m/yr}, p \le 0.05)$  tended to 420 reduce O3 (Ding et al., 2004; Haman et al., 2014). Seasonally, the meteorological conditions in 421 summer were more favorable to the formation of  $O<sub>3</sub>$ , because of the increases in temperature 422 (0.10  $\pm$  0.01 °C/yr, *p* < 0.01), SR (0.15  $\pm$  0.06 MJ m<sup>-2</sup>/yr, *p* < 0.01) as well as the decrease of Altridge RH (-0.23  $\pm$  0.07 %/yr,  $p < 0.01$ ) and WS (-0.05  $\pm$  0.01 m s<sup>-1</sup>/yr,  $p < 0.01$ ). These phenomena 424 were not found in other seasons except for a slight increase in temperature in spring (0.07 ± 425 0.03 °C/yr,  $p < 0.05$ ).

# 426 **3.2 Quantitative impact of meteorological variability**

427 The variations of O3 induced by meteorological variability (ΔDM8A O3, *O*(*t*) - *A*(*t*)) are 428 demonstrated in Figure 5a. Overall, the impact of meteorological variability on the long-term 429 O3 trend at TC was insignificant (*p* = 0.93). Furthermore, a slight increase of ΔDM8A O3 level 430 at  $0.12 \pm 0.06$  ppbv/yr ( $p \le 0.1$ ) was found in period I, whereas an obvious decreasing trend at 431 a rate of  $-0.25 \pm 0.12$  ppbv/y ( $p < 0.05$ ) was observed in period II. These results indicated that 432 the meteorological variability could result in an increase in  $O_3$  concentration in period I, and a 433 decrease in period II. The impacts of individual meteorological parameters are summarized in 434 Table S8. The enhancement of O3 in period Ι was probably attributed to SR, indicated by the 435 increase of the observed SR  $(0.08 \pm 0.03 \text{ pbV/yr}, p \le 0.05)$ . The U and V winds  $(0.13 \pm 0.02 \text{ pbV})$ 436 ppbv/yr,  $p < 0.01$  for U wind;  $0.03 \pm 0.005$  ppbv/yr,  $p < 0.01$  for V wind) also made positive 437 contributions though their effects were unable to be directly inferred from the observed wind 438 speeds in Section 3.1. Although the V wind favored the O<sub>3</sub> accumulation in period II (0.05  $\pm$ 439 0.01 ppbv/yr,  $p < 0.01$ ), the U wind and PBLH overwhelmed its effect and resulted in a 440 noticeable reduction of  $O_3$  (-0.19  $\pm$  0.03 ppbv/yr,  $p < 0.01$  and -0.34  $\pm$  0.05 ppbv/yr,  $p < 0.01$ , 441 respectively). The influence of PBLH was in line with its increasing trend since 2013 (Section 442 3.1).

443 Figure 5b presents the seasonal ΔDM8A O3 variations. The meteorological variability led to 444 the increase of O<sub>3</sub> levels in summer and winter with rates of  $0.18 \pm 0.05$  ppbv/yr, ( $p < 0.01$ ) 445 and  $0.14 \pm 0.07$  ppbv/yr ( $p < 0.05$ ), respectively, consistent with the discovery of Lam et al. 446 (2018), who reported that the summer  $O_3$  transported from PRD region increased at 0.2 ppbv/yr 447 in last decade due to the increase in the effect of tropical cyclones in West Pacific Ocean. 448 Similar to the analysis in Section 3.1, the meteorological parameters including Temp, RH, SR, 449 U wind, and V wind effectively accelerated  $O_3$  formation and accumulation in summer (Table 450 S8), while the increase of winter  $O_3$  was mainly attributed to the U wind, which might be related 451 to the contribution of aged air masses transported from East China Sea under northeasterly 452 wind (Wang et al., 2018). In contrast, the  $O<sub>3</sub>$  level in autumn was decreased due to 453 meteorological variability at a rate of  $-0.14 \pm 0.10$  ppbv/yr ( $p < 0.05$ ), which was mainly related 454 to the decreasing trend in period II ( $-0.65 \pm 0.24$  ppbv/yr,  $p < 0.01$ ), revealing that the reduction  $455$  of autumn O<sub>3</sub> partially benefited from the meteorological variability. It was also confirmed by 456 Liu et al. (2019) that the weather conditions in the autumns of 2013–2016 could restrain the  $O_3$  457 accumulation in Hong Kong. This study further discovered that RH, U wind and PBLH were 458 the main driving factors for the impact of meteorology on the inhabitation of autumn  $O_3$  after 459 2013 (Table S8). In addition, the impact of meteorology on spring O<sub>3</sub> during 2005–2017 was 460 insignificant ( $p = 0.53$ ), despite an increasing effect in period I ( $0.60 \pm 0.13$  ppbv/yr,  $p < 0.01$ ) 461 but no significant impact on period II ( $p = 0.25$ ).

462 Figure S6 displays long-term and seasonal O3 variations after ruling out the impact of 463 meteorological variability. It is notable that the O<sub>3</sub> variation during 2005-2017 presented the 464 same trend as that of observation data  $(0.32 \pm 0.07 \text{ pb}$  v/yr,  $p < 0.01$ ). Comparably, the spring 465 DM8A O<sub>3</sub> also increased with a rate of  $0.69 \pm 0.11$  ppbv/yr ( $p < 0.01$ ) after ruling out the  $466$  impact of meteorological variability. The results indicated that the  $O<sub>3</sub>$  increase, especially the 467 sharp increase of spring O3, was likely attributable to other factors. Therefore, the following 468 sections will further analyze the physical and chemical factors responsible for the long-term 469 and seasonal O3 variations.



470

471 Figure 5. (a) Annual and (b) seasonal variations of  $O_3$  induced by meteorological variability (ΔDM8A O3) during 2005–2017 (data are integrated into monthly averages, and 95% confidence intervals are shown as error bars).

## **3.3 Quantitative contributions of physical and chemical processes**

## **3.3.1 Impacts of physical processes**

 The annual and seasonal variations of dry deposition loss rate are shown in Figure S7. The 477 average O<sub>3</sub> dry deposition loss rate was  $1.1 \pm 0.02$  ppbv/hr during 2005-2017, and the mean 478 values in spring, summer, autumn and winter were  $1.3 \pm 0.05$  ppbv/hr,  $0.92 \pm 0.05$  ppbv/hr, 1.2 479  $\pm$  0.05 ppbv/hr, and 0.86  $\pm$  0.04 ppbv/hr, respectively. These values are lower than that (2.8  $\pm$  0.3 ppbv/hr) at TC simulated by a WRF-Chem model in our previous study (Zeren et al., 2019), 481 mainly because only high O<sub>3</sub> episode days were considered in the previous study. The dry 482 deposition of  $O_3$  would enhance with the increase of ambient  $O_3$  concentration. Overall, the dry deposition loss rates in this study are within a reasonable level.

 Moreover, the chemical production and destruction of O3 at TC was simulated using a photochemical box model. To assess the model simulation performance on photochemistry, the 486 monthly average diurnal patterns (07:00–19:00 LST) of simulated and observed O<sub>3</sub> from 2005 to 2017 are shown in Figure 6. In general, the model well reproduced the variations of observed O<sub>3</sub> concentrations in terms of diurnal and seasonal characteristics. The O<sub>3</sub> variations affected by the dynamic processes such as horizontal, vertical advections, turbulent diffusions and dry deposition were not considered in this model. Thus, the overall (00:00–23:00 LST) simulated 491 average (17  $\pm$  0.56 ppbv) was slightly lower than the observed value (23  $\pm$  0.48 ppbv). The model performance was evaluated based on the values of IOA, COE and RMSE for each year (Table S9). Specifically, the values of IOA ranged from 0.78 to 0.90, indicating the simulated results were acceptable. The IOA range was comparable to the previous studies (0.71–0.89,  Lyu et al., 2017; Wang et al., 2017). Furthermore, the simulated and observed values showed a good correlation indicated by the high values of COE (0.73–0.91). Besides, the range of RMSE (11–16) was within the reasonable range in comparison with previous work (10-24, Zhang & Dubey, 2009; Wang et al., 2019b). In spite of the good performance of model simulation, better agreement between simulation and observation was found in the upper-level O<sub>3</sub> than that in the lower-level O<sub>3</sub> concentrations, because the model excludes the background 501 and regionally-transported  $O_3$ , which account for higher percentage in the lower-level  $O_3$ concentrations.



 Figure 6. Monthly average diurnal patterns (07:00–19:00 LST) of simulated and observed O3 505 from 2005 to 2017 (the missing data in 2007 and other vacant periods in simulated  $O_3$  are due to the unavailable VOCs data during instrument maintenance).

507 Figure 7 presents the contribution of regional transport effect to  $O_3$ . The overall trend of 508 regionally-transported  $O_3$  remained stable (-0.04  $\pm$  0.05 ppbv/yr,  $p = 0.38$ ) in 2005–2017. 509 Furthermore, the regionally-transported O<sub>3</sub> notably increased in period I with a rate of 0.34  $\pm$ 510 0.11 ppbv/yr  $(p < 0.01)$ , consistent with the results reported in previous studies (Xue et al., 511 2014; Wang et al., 2017). However, a decline occurred with a rate of  $-0.33 \pm 0.16$  ppbv/yr ( $p \le$  512 0.05) in period II, which offset the increasing trend in period I, resulting in the overall stable 513 pattern. Further investigation was conducted on the variations of surface  $O_3$  during the same 514 periods at nine stations in inland PRD (started from 2006, Table S10). The average  $O_3$  trend in 515 inland PRD showed a remarkable reduction (*p* < 0.01) from 0.74 ± 0.43 ppbv/yr in period Ι to 516  $-0.21 \pm 0.52$  ppbv/yr in period II (Figure S8), verifying the results above. As discussed in 517 Section 3.1, the alleviation of O3 pollution over PRD might profit from the change of 518 meteorological conditions. In addition, the substantial reduction of NO*<sup>x</sup>* and VOCs emissions 519 plausibly contributed to the decreased O<sub>3</sub> level over PRD region (Li et al., 2019; Wang et al., 520 2019b).

521 Moreover, the variation of regionally-transported  $O_3$  in each season was insignificant ( $p > 0.05$ ), 522 except winter when the regional contribution to  $O_3$  decreased at  $-0.27 \pm 0.11$  ppbv/yr, (*p* < 523 0.01), mainly attributable to the reduction in period II (-0.58  $\pm$  0.28 ppbv/yr, *p* < 0.05). The 524 decline of the regionally-transported O<sub>3</sub> was also discovered in autumn of period II (-1.65  $\pm$ 525 0.36 ppbv/yr,  $p < 0.01$ ), despite an increasing effect of regional O<sub>3</sub> in period I (0.65  $\pm$  0.25 526 ppbv/yr,  $p < 0.01$ ). As discussed above, the reduced regional effect on  $O_3$  at TC in autumn and 527 winter of period II could be owing to the alleviated O3 pollution in whole PRD region. 528 Analogous to the impact of meteorological variability on spring  $O_3$  (Section 3.2), the regional 529 influence on  $O_3$  in spring during 2005–2017 was stable ( $p = 0.43$ ), though it increased in period 530 I (0.83  $\pm$  0.19 ppbv/yr,  $p < 0.01$ ), and a steady pattern was found in period II ( $p = 0.56$ ). Again, 531 it was inferred that the increase of spring  $O_3$  during 2005–2017 was mainly caused by local 532 photochemical production, particularly in period II.



534 Figure 7. (a) Annual and (b) seasonal variations of regionally-transported  $O_3$  during 2005–2017 535 (data are integrated into monthly averages, and 95% confidence intervals are given as error 536 bars).

## 537 **3.3.2 Impacts of O3 precursors**

538 The variations of locally-produced DM8A O<sub>3</sub> at TC are shown in Figure 8. Overall, an 539 increasing pattern with a rate of  $0.29 \pm 0.07$  ppbv/yr ( $p < 0.01$ ) was observed from 2005 to  $540$  2017, suggesting an overall  $O<sub>3</sub>$  increase from local photochemical formation. A higher 541 increasing rate  $(0.49 \pm 0.15 \text{ pbv/yr}, p < 0.01)$  was found in period I than that in period II (0.18) 542  $\pm$  0.26 ppbv/yr,  $p = 0.49$  ( $p < 0.01$ ). The insignificant variation rate in period II suggested that 543 the photochemical oxidative capacity levelled off. Seasonally, the locally-produced O<sub>3</sub> 544 enhanced at a rate of  $0.45 \pm 0.13$  ppbv/yr ( $p < 0.01$ ) in spring. Moreover, locally-produced O<sub>3</sub> 545 increased at rates of  $0.68 \pm 0.25$  ppbv/yr ( $p < 0.01$ ) in period I and  $0.72 \pm 0.44$  ppbv/yr ( $p <$ 546 0.05) in period II. Although insignificant variations of locally-produced  $O_3$  were witnessed in 547 summer, autumn and winter  $(p > 0.05)$ , a decrease was discovered in the autumn of period II 548 at a rate of -1.3  $\pm$  0.54 ppbv/yr ( $p$  < 0.05), implying significant alleviation of photochemical 549 pollution resulted from reduced local emissions in this period. Similar result was also reported 550 in a previous study in autumn of 2013–2016 (Liu et al., 2019).



552 Figure 8. (a) Annual and (b) seasonal variations of simulated DM8A O3 during 2005–2017 553 (data is integrated into monthly average at each point, and 95% confidence intervals are shown 554 as error bars).

555 To understand the relationship between  $O_3$  and its precursors, RIR was calculated to identify 556 the changes in sensitivity of  $O_3$  production to its precursors. Figure 9 presents the long-term 557 and seasonal variations of RIR values from 2005 to 2017. In general, TVOC and CO had 558 positive influences on  $O_3$  production, while the negative impact was found for  $NO_x$ . Namely, 559 the  $O_3$  formation regime at TC was VOC-limited in all the 13 years. Specifically, the RIR of 560 TVOC increased at a rate of  $0.06 \pm 0.02$  yr<sup>-1</sup> ( $p < 0.01$ ), while the RIR of CO decreased at a 561 rate of -0.04  $\pm$  0.02 yr<sup>-1</sup> ( $p$  < 0.05). The results suggested that the sensitivity of O<sub>3</sub> production 562 to TVOC was enhanced, while the sensitivity to CO was decreased. The lessened sensitivity to 563 CO might be attributed to the decrease of CO concentration (Figure 3). However, the reasons 564 for the increased sensitivity to TVOC are more complex. Although the TVOC concentration 565 remained stable during 2005-2017, other factors such as the variations of speciated VOCs 566 concentrations and  $VOCs/NO<sub>x</sub>$  ratios could influence the  $O<sub>3</sub>$  production sensitivity to TVOC. 567 Besides, the RIR values of NO<sub>x</sub> remained stable ( $p = 0.54$ ), indicating the sensitivity of O<sub>3</sub> 568 production to  $NO_x$  has been unchanged during these years.

569 Similar to the long-term variation of RIR values, positive responses were identified for TVOC 570 and CO while negative effect was found for  $NO<sub>x</sub>$  in four seasons. The results indicated that the 571 O3 production was in the VOC-limited regime in each season. It is worth noting that the RIR 572 values of TVOC increased at  $0.11 \pm 0.05$  yr<sup>-1</sup> ( $p < 0.01$ ) in spring, suggesting the enhancement 573 of O3 production sensitivity to TVOC in spring from 2005 to 2017.



574

575 Figure 9. (a) Long-term and (b) seasonal variations of RIR values of  $O_3$  precursors from 2005 576 to 2017 (the data is based on daytime average (7:00 – 19:00 LST) and integrated into monthly 577 average at each point. 95% confidence intervals are shown as error bars).

578 To further investigate the underlying causes for the long-term variations of in-situ  $O_3$  formation, 579 scenario simulations were conducted with constrained VOCs, NO*x*, and CO values (Section 580 2.3). Table S11 lists the  $O_3$  trend of each scenario. Specifically, the overall trend of  $O_3$  from 581 2005 to 2017 significantly (*p* < 0.01. Please note that the *p*-value here is for the significance 582 test between two data groups) decreased from  $0.29 \pm 0.07$  ppbv/yr ( $p < 0.01$ ) to  $0.11 \pm 0.09$ 583 ppbv/yr ( $p = 0.21$ ) with unchanged NO<sub>x</sub> set in 2005, implying that local O<sub>3</sub> increased due to  $584$  NO<sub>x</sub> reduction. However, there was no significant difference in the O<sub>3</sub> trends between the base 585 case and the unchanged VOCs scenario ( $p = 0.14$ ), suggesting no impact of TVOC due to its 586 stable pattern during 2005–2017 (Figure 3). The insignificant effect was also found for CO (*p* 587 = 0.45), possibly due to its low sensitivity to  $O_3$  production (Wang et al., 2017), despite a 588 decline of CO during this period (Figure 3). Same phenomena were observed in the sub-periods, 589 in which the O<sub>3</sub> variation rates insignificantly changed ( $p > 0.05$ ) under the scenario simulations 590 of unchanged VOCs and unchanged CO. However, the locally-produced O3 pronouncedly 591 decreased ( $p < 0.01$ ) to  $0.23 \pm 0.19$  ppbv/yr ( $p = 0.21$ ) and  $0.05 \pm 0.23$  ppbv/yr ( $p = 0.82$ ) with 592 unchanged NO<sub>x</sub> in periods I and II, respectively. Overall, the reduction of NO<sub>x</sub> was the main 593 contributor for the long-term increase of locally-produced O3.

594 Moreover, the seasonal variations of  $O_3$  also verified the critical role of  $NO_x$ . When all  $NO_x$ 595 concentrations were constrained as the specified value in 2005, the trends of locally-produced 596 O<sub>3</sub> significantly decreased ( $p < 0.05$ ) to  $-0.07 \pm 0.13$  ppbv/yr ( $p = 0.60$ ),  $-0.10 \pm 0.09$  ppbv/yr 597  $(p=0.27)$ ,  $-0.25 \pm 0.57$  ppbv/yr  $(p=0.29)$ , and  $0.08 \pm 0.17$  ppbv/yr  $(p=0.65)$  in spring, summer, 598 autumn and winter, respectively. It is noteworthy that the remarkable increases of locally-599 produced O<sub>3</sub> in spring of periods I and II dropped ( $p < 0.05$ ) from  $0.68 \pm 0.25$  ppbv/yr ( $p <$ 600 0.01) and  $0.72 \pm 0.44$  ppbv/yr ( $p < 0.05$ ) to  $-0.05 \pm 0.27$  ppbv/yr ( $p = 0.87$ ) and  $-0.58 \pm 0.44$ 601 ppbv/yr ( $p = 0.06$ ), respectively. In addition, no significant impact ( $p > 0.05$ ) on the spring O<sub>3</sub> 602 trends was identified with the unchanged TVOC and the unchanged CO scenarios. The above

603 results indicated that  $NO<sub>x</sub>$  reduction was the major culprit for the increased locally-produced 604 O<sub>3</sub> in spring. However, the insignificant difference  $(p = 0.49)$  in O<sub>3</sub> trends between the base 605 and the unchanged  $NO<sub>x</sub>$  scenarios in autumn of period II suggested that  $NO<sub>x</sub>$  has little 606 contribution to the decrease of autumn  $O_3$ , probably attributable to the stable pattern of NO 607 during this period (Figure 4). In contrast, the autumn  $O_3$  trend in period II significantly ( $p <$ 608 0.05) shifted from -1.3  $\pm$  0.54 ppbv/yr ( $p$  < 0.05) in base scenario to 0.04  $\pm$  0.53 ppbv/yr ( $p$  = 609 0.93) in unchanged TVOC scenario, implying that the locally-produced  $O_3$  in autumn of period 610 II was mostly reduced by the decline of TVOC.

#### 611 **4 Conclusions**

612 To investigate the driving forces for the increase of long-term O3 variation in Hong Kong, this 613 study thoroughly analysed the long-term and seasonal variations of  $O_3$  concentrations from 614 2005 to 2017. The underlying causes were explored from the perspectives of meteorological 615 variability and the components of O3 budget including physical processes, local 616 photochemistry, based on both statistical calculations and observation-based model. The 617 overall increase of O3 during 2005–2017 was found, including a rising stage (period I) and a 618 steady stage (period II). The elevated  $O_3$  in period I was attributable to the stimulation of 619 meteorological variability, increase of regional transport, and enhancement of local 620 photochemical production. In contrast, meteorological suppression, decrease of regional 621 transport, and insignificant change of local photochemical production resulted in stable  $O<sub>3</sub>$ 622 pattern in period II. Seasonally, leveled-off  $O_3$  was observed in autumn during 2005–2017 due 623 to the same reasons as those for long-term variationsin period II, which even led to a significant 624 decrease of autumn  $O_3$  in period II as the mitigation of local production. Although the 625 meteorological variability enhanced the  $O_3$  concentrations in summer and winter during 2005– 626 2017, the rise of  $O_3$  in these two seasons have terminated since 2013. However, it was 627 surprisingly found that the continuous  $NO<sub>x</sub>$  reduction led to the increase in spring  $O<sub>3</sub>$  during

628 2005–2017, particularly the sharp increase in period II, which overwhelmed the decrease of 629 autumn  $O_3$  in the same period. As a consequence, an overall increase of  $O_3$  was observed 630 throughout 2005–2017. In summary, the spring increase prevailed over the hard-earned autumn 631 decrease in  $O_3$  pollution between 2005 and 2017, leading to the increase in the overall  $O_3$  in 632 the past 13 years. Hence, it is of utmost importance to mitigate the increase of spring  $O_3$  to 633 achieve a real drop in overall  $O_3$  in Hong Kong.

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