

# Ambient acidic ultrafine particles in different land-use areas in two representative Chinese cities

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## Abstract

The adverse effects of acidic ultrafine particles (AUFPs) have been widely recognized in scientific communities. However, a handful of studies successfully acquired the concentrations of AUFPs in the atmosphere. To explore the AUFPs pollution, six extensive measurements were for the first time conducted in the roadside, urban and rural areas in Hong Kong, and the urban area in Shanghai between 2017 and 2020. The concentrations of AUFPs and UFPs, and the proportions of AUFPs in UFPs were obtained. The concentration of UFPs was the highest at the roadside site, followed by the urban site and the rural site, while the proportion of AUFPs in UFPs showed a contrary trend. The difference, on one hand, indicated the potential transformation of AUFPs from non-acidic UFPs during the transport and aging of air masses, and on the other hand, suggested the minor contribution of anthropogenic sources to the emission of AUFPs. In addition, the urban area in Hong Kong suffered from heavier pollution of UFPs and AUFPs than that in Shanghai. As for size distribution, the proportion of AUFPs in UFPs peaked in the size range of 35-50 nm and 50-75 nm in roadside and urban area, respectively. In rural area, the peak was observed in the size range of 5-10 nm, which might indicate the stimulation of new particle formation with the AUFPs as seeds. Furthermore, in the urban areas of Hong Kong and Shanghai, no significant difference was found for the geometric mean diameters of UFPs and AUFPs ( $p > 0.05$ ). At last, the sulfuric acid proxy was positively correlated with the proportions of AUFPs in UFPs but not well correlated with the AUFPs levels. The results suggested the important roles of interaction between sulfuric acid vapor and non-acidic UFPs in AUFPs formation. Due to the significant reduction of sulfur dioxide in China during the last decade, the pollution of AUFPs in urban areas was alleviated.

**Key words:** Acidic Ultrafine Particles (AUFPs); Field measurements; Diffusion Sampler (DS);

29 Atomic Force Microscope.

## 30 **1. Introduction**

31 Aerosol is defined as airborne particles, which contain more than 90% ultrafine particles (UFPs, i.e.,  
32 aerodynamic diameter <100nm) in terms of number concentration (Karotki et al., 2015; Rim et al.,  
33 2016). It is believed that UFPs are able to carry the greatest amount of inflammation per unit PM mass  
34 because of high particle number (PN), high lung deposition efficiency and large surface area, compared  
35 to fine and coarse particles (Wang et al., 2012). However, components of UFPs are not equally  
36 detrimental (Utell et al., 1982; Schlesinger, 1989; McGranahan and Murray, 2012). Among all the  
37 chemical components in ambient UFPs, sulfuric acid (H<sub>2</sub>SO<sub>4</sub>) and ammonium bisulfate (NH<sub>4</sub>HSO<sub>4</sub>)  
38 are the significant and harmful chemicals, forming acidic ultrafine particles (AUFPs). AUFPs have  
39 been proved to be closely associated with total mortality, morbidity and hospital admissions for  
40 respiratory diseases (e.g., Thurston et al., 1989, 1992, 1994; Lippmann and Thurston, 1996; Peters et  
41 al., 1997; Wichmann et al., 2000; Cohen et al., 2000; Lu et al., 2020). Specifically, the respiratory  
42 diseases include the prevalence of bronchitis and lung function decrements. In addition to health effect,  
43 AUFPs are closely related to new particle formation (NPF) as AUFPs can facilitate the particle  
44 formation and growth (Guo et al., 2012; Wang et al., 2014a). However, the relationships of AUFPs  
45 with NPF and particle growth were only evidenced by the concentrations of sulfuric acid vapor and/or  
46 pH of particles in the NPF events in previous studies, rather than the concentration of AUFPs (Riipinen  
47 et al., 2007; Sipilä et al., 2010). Thus, it is crucial to collect sufficient data of AUFPs in the atmosphere  
48 to better understand the association of AUFPs with health impact and the direct connection of NPF  
49 with AUFPs.

50 A handful of studies successfully measured AUFPs in the atmosphere. In 1990s, Cohen et al. (2000,  
51 2004a) firstly applied an iron nanofilm detector to measure the AUFPs in downtown New York, but  
52 no acidic particles were detected due to insufficient sampling duration. Later, Cohen et al. (2004b)  
53 reported AUFPs levels of 100-1800 /cm<sup>3</sup> in Tuxedo town of New York state after a longer sampling  
54 duration. More than 10 years later, Wang et al. (2012) successfully observed and quantified the AUFPs  
55 at a mountain site of Hong Kong by collecting airborne UFPs onto a nanofilm detector mounted in an  
56 electrostatic precipitator (ESP) and then scanning the detector using an Atomic Force Microscope

57 (AFM). They found that the daily average concentration of AUFPs was  $\sim 2 \times 10^3 / \text{cm}^3$ , which  
58 accounted for  $\sim 30\%$  of total UFPs. Further, to overcome the shortage of the previous method, Wang et  
59 al. (2014b) applied their own developed diffusion sampler (DS) together with the same nanofilm  
60 detectors to measure UFPs and AUFPs at an urban site in Hong Kong. The daily average concentrations  
61 of AUFPs and UFPs were  $\sim 9 \times 10^3 / \text{cm}^3$  and  $\sim 2 \times 10^4 / \text{cm}^3$ , respectively. These limited studies clearly  
62 showed that the measurements of ambient AUFPs are far from enough, not to mention the inconsistent  
63 methods used in these previous studies. As such, the abundance, size distribution, and spatiotemporal  
64 characteristics of AUFPs in the atmosphere are poorly understood.

65 To fill the gap, in the study, AUFPs were extensively measured in different land-use areas in different  
66 Chinese cities using the method developed by Wang et al. (2014b) (*i.e.*, DS + AFM). Three types of  
67 land-use areas were chosen to conduct the samplings, including urban, roadside and rural areas. In  
68 general, particulate pollution in urban and roadside areas is mainly influenced by anthropogenic  
69 emissions, while in rural area it is mostly affected by biogenic emissions and regional transport.  
70 Therefore, in urban and roadside areas, the number concentration of UFPs could be high due to strong  
71 source emissions and AUFPs could be abundant if sulfur content in the fuel/oil is high. Moreover, the  
72 values of UFPs and AUFPs in rural areas could indicate the background level of particulate pollution  
73 and the formation mechanism of AUFPs in natural environment and during regional transport.  
74 Specifically, two field measurements were carried out at an urban site (one on 6 January-17 February  
75 2017 and another on 15 - 25 April 2019) and at a roadside site (23 November-14 December 2017 and  
76 10 -17 July 2019, respectively) in Hong Kong, while another sampling campaign was conducted in a  
77 rural area of Hong Kong from 2 November to 23 November 2020 to understand the spatiotemporal  
78 variations of AUFPs pollution in Hong Kong. In addition, to investigate the difference of AUFPs  
79 pollution in different cities, a sampling campaign was undertaken in Shanghai on 11 - 29 September  
80 2019. Shanghai was specifically chosen for inter-comparison of AUFPs pollution because of its distinct  
81 geographical feature, meteorological conditions, anthropogenic emissions, and urban infrastructures.  
82 This is the first comprehensive attempt to unravel the concentrations, size distributions and  
83 spatiotemporal variations of AUFPs. Obtainment of the concentration of AUFPs is the basic and

84 prerequisite information to conduct health risk assessment of AUFPs pollution. The findings are  
85 expected to enhance our understanding of AUFPs in the atmosphere, help establish a database of  
86 AUFPs and provide additional references for AUFPs control guideline and future air quality research.

87

## 88 **2. Methodology**

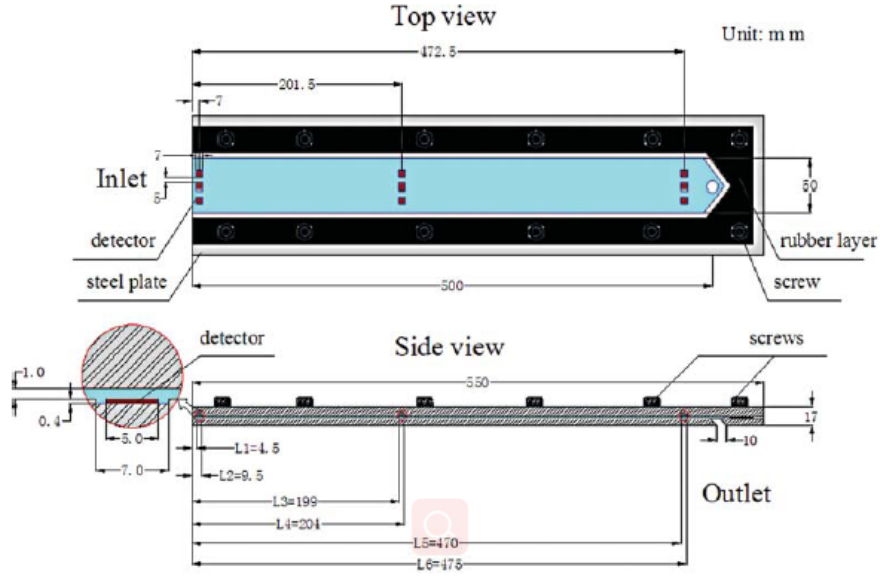
### 89 **2.1 DS+AFM system**

90 The concentrations of UFPs and AUFPs were measured using the DS+AFM method in the study,  
91 developed by Wang et al. (2014b). In brief, the UFPs and AUFPs were deposited on the surface of  
92 metallic nano-film detectors, which were placed in the DS. After collection, the UFPs and AUFPs were  
93 visualized, identified and counted using the AFM. By considering the collection efficiency, sampling  
94 period and sample flow, the number concentrations of UFPs and AUFPs in the atmosphere were  
95 ascertained. More details of the method can be found in the previous study (Wang et al., 2014b).

#### 96 2.1.1 Structure of the diffusion sampler (DS)

97 The DS was originally developed in our previous study to measure AUFPs in the atmosphere (Wang  
98 et al., 2014b). The DS was made of stainless steel with a flat and rectangular channel with 1.0 mm  
99 height, 50 mm width, and 500 mm length. The size of the DS inlet was 1×50 mm (height × width).

100 The DS had nine circular sampling spots to place the metal-silicon detectors (diameter × height: 7×  
101 0.4 mm) comprising three groups (Wang et al., 2014b; Fig. 1). The locations of the three sampling  
102 spots were at 7.0, 201.5, and 472.5 mm (midpoint of the circular recess) from the inlet along the length  
103 of the channel, respectively. The L1~L2, L3~L4, and L5~L6 were the distances of left and right sides  
104 of metal-silicon detectors from the inlet at the three locations, respectively. Air was drawn through the  
105 DS by a low-flow pump (0.05 L/min). Air leakage was avoided by sealing the channel with a layer of  
106 rubber. The detectors collected by the DS were topographically analyzed by the AFM (NanoScope,  
107 Multi-mode 8, Veeco Instrument Inc., USA) to identify and enumerate the acidic and non-acidic  
108 particles and obtain the sizes of particles. In a field measurement, the sampling durations were 2-4  
109 days, depending on the concentrations of atmospheric particles.



110

111 Fig. 1 Schematic diagram of the DS (Wang et al., 2014b).

112

### 113 2.1.2 Collection efficiency of DS

114 The collection efficiencies of the DS at each spot were calibrated and ascertained in the previous study  
 115 (Eqs. 1, 2, 3, 4 and 5; Wang et al., 2014b).

$$116 \quad \Delta\eta_a = 3.20 \times \mu_{L2}^{0.576} - \mu_{L1}^{0.576} \quad \text{Eq. 1}$$

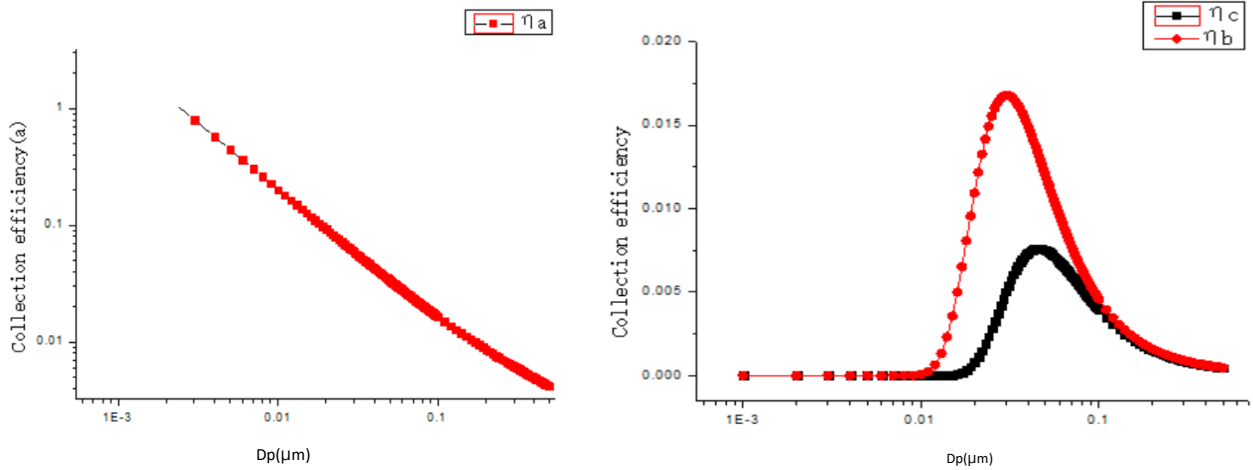
$$117 \quad \Delta\eta_b = 1.844 \times [\exp(-8.04\mu_{L3}) - \exp(-8.04\mu_{L4})] \quad \text{Eq. 2}$$

$$118 \quad \Delta\eta_c = 1.957 \times [\exp(-7.43\mu_{L5}) - \exp(-7.43\mu_{L6})] \quad \text{Eq. 3}$$

$$119 \quad \mu_{Li} = (D \times L_i \times W) / (Q \times h) \quad \text{Eq. 4}$$

$$120 \quad D = (k \times T \times C_c \times 10^{10}) / (3\pi \times \gamma \times d_p) \quad \text{Eq. 5}$$

121 where  $\Delta\eta_a$ ,  $\Delta\eta_b$ , and  $\Delta\eta_c$  are the collection efficiencies at the sampling spots A, B and C, respectively,  
 122  $\mu$  is deposition parameter, L is channel length (cm), W is channel width (cm), Q is flow rate (cm<sup>3</sup>/sec),  
 123 and h is channel height (cm); D is the diffusion coefficient of the particle (cm<sup>2</sup>/sec), k is Boltzmann's  
 124 constant ( $1.38 \times 10^{23}$ ), T is the absolute temperature,  $C_c$  is the slip correction factor,  $\gamma$  is the air viscosity  
 125 ( $1.79 \times 10^{-5}$  Pa·sec), and  $d_p$  is the particle diameter ( $\mu\text{m}$ ). As such, the collection efficiency of particles  
 126 with different sizes at each spot at the flow rate of 0.05 L/min is calculated and plotted (Fig. 2).



127

128 Fig. 2 The stepwise particle collection efficiency of the three sampling spots in the DS.

129

130 Thus, the particle concentrations in the atmosphere, derived from the number of deposited particles on  
 131 the detectors scanned by the AFM, are given as follows (Eq. 6).

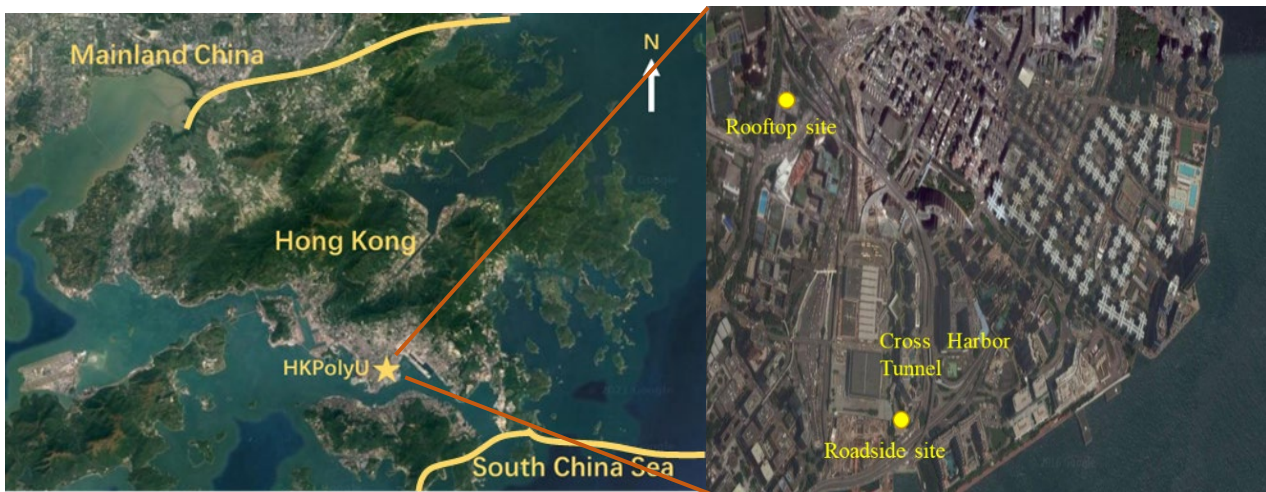
$$132 \text{ Concentration} = (2.5 \times 10^7) / A \times \sum \{N_{dpi} / (\eta_i \times Q \times t)\} \quad \text{Eq. 6}$$

133 where  $2.5 \times 10^7$  is the area of the metal-silicon detector ( $5\text{mm} \times 5\text{mm} = 2.5 \times 10^7 \mu\text{m}^2$ ); A is the scanned  
 134 area on the detector by AFM ( $\mu\text{m}^2$ );  $N_{dpi}$  is the counted number of particles in the  $i^{\text{th}}$  size bin;  $\eta_i$  is the  
 135 deposition efficiency of the particles in the same size bin; and t is the sampling time (sec.). By summing  
 136 up the calculated number concentration in each size bin, the average number concentrations of particles  
 137 were obtained.

## 138 2.2 Sampling sites and sampling periods

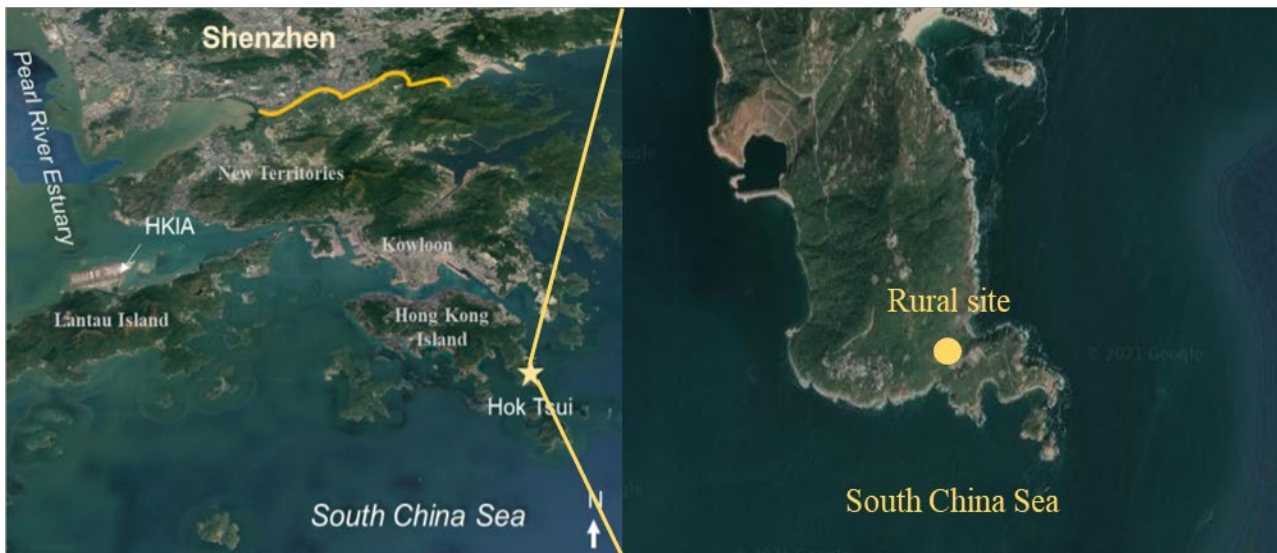
139 In this study, AUFPs were measured using the DS+AFM method at different sites in Hong Kong and  
 140 Shanghai from 2017 to 2020 through six sampling campaigns. Field measurements were conducted in  
 141 three land-use areas in Hong Kong, including an urban site, a roadside site and a rural site. At the urban  
 142 site, two campaigns were carried out from 6 January to 17 February 2017 and from 11 April to 25 April  
 143 2019 (Fig. 3). At the roadside site, two measurements were also conducted from 23 November to 14  
 144 December 2017 and from 10 July to 17 July 2019 (Fig. 3). The samplings in urban and roadside areas  
 145 aimed to explore the seasonal variations of AUFPs pollution (cool season vs. warm season). The one  
 146 at the rural site (Hok Tsui) was performed from 2 November to 23 November 2020 (Fig. 4). Outside  
 147 Hong Kong, the sampling in Shanghai was implemented at an urban site from 11 September to 29

148 September 2019 (Fig. 5). Only one sampling was conducted in the rural area in Hong Kong and the  
149 urban area in Shanghai due to limited manpower and measurement device. In this study, the  
150 measurements above were marked as samplings I, II, III, IV, V and VI, for 2017 Hong Kong roadside  
151 sampling, 2017 Hong Kong urban sampling, 2019 Hong Kong roadside sampling, 2019 Hong Kong  
152 urban sampling, 2020 Hong Kong rural sampling and 2019 Shanghai urban sampling, respectively.  
153 The urban site (22.303°N, 114.180°E) in Hong Kong is on the rooftop of a building in the campus of  
154 Hong Kong Polytechnic University at Hung Hom, Kowloon (Z Core). This site is significantly affected  
155 by the anthropogenic emissions as it is located near main roads and surrounded by residential areas  
156 (Fig. S1). The roadside site (22.306°N, 114.179°E) is near the cross-harbour tunnel (CHT), which is  
157 one of the busiest roads in Hong Kong. Traffic emission is the primary source at the roadside site (Fig.  
158 S1).



159  
160 Fig. 3 Geographical location of the urban and roadside sites in Hong Kong

161  
162 The rural site of Hong Kong is in Hok Tsui (HT). The HT site (22.209°N, 114.253°E) is a relatively  
163 remote coastal site, located at the southeastern tip of Hong Kong. A country park locates 2 km to the  
164 north of the sampling site, and there are many broad-leaved trees within 500 m of the site (Fig S2).  
165 The site has long been regarded as a regionally urban background site in South China, given that air  
166 pollutants in the adjoining Pearl River Delta reach the site within a few hours (Zhang et al., 2012).

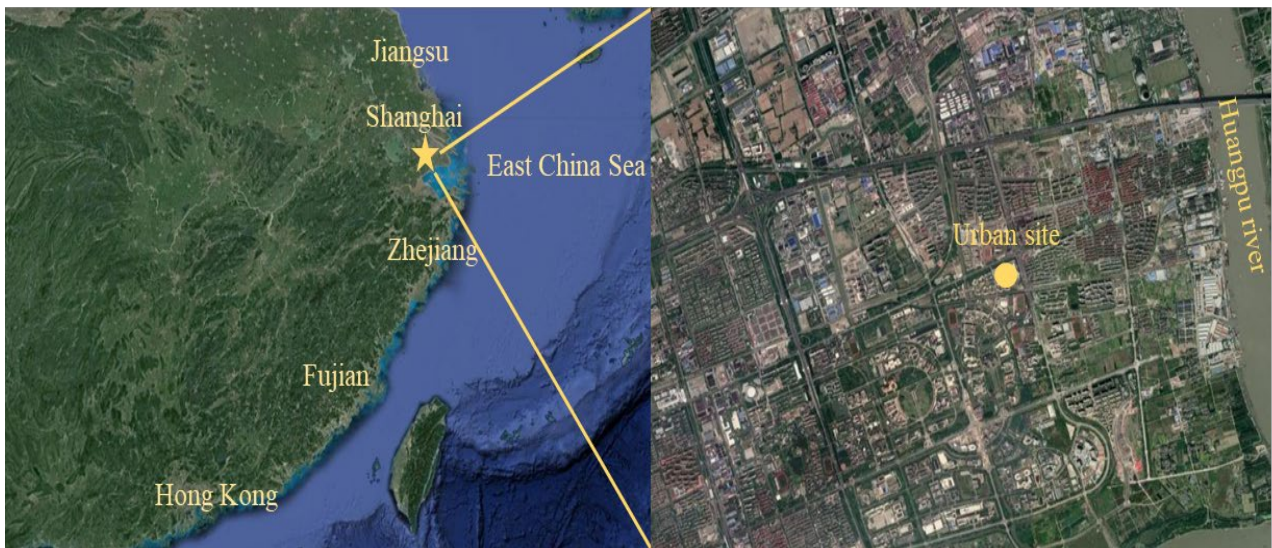


167

168 Fig. 4 Geographical location of the rural site in Hong Kong

169

170 The urban site in Shanghai is in the East China Normal University (Minhang Campus) (31.228°N,  
 171 121.407°E). Sampler was put on the top of a container located in a playground of the university (Fig.  
 172 S3). The site is located in the south of downtown Shanghai, where residential activities and vehicle  
 173 emissions are the main sources of air pollutants.



174

175 Fig. 5 Geographical location of the urban site in Shanghai

176

### 177 2.3 Concentration of sulfuric acid (SA) vapor

178 To investigate the relationship of sulfuric acid vapor ( $Q_{sa}$ ) with AUFPs, a predictive proxy based on  
 179 solar radiation,  $SO_2$  concentration, condensation sink (CS) and relative humidity was used to estimate



180 sulfuric acid concentration (Mikkonen et al., 2011; Eq. 7). The CS, presented as the loss rate of  
 181 molecules onto existing particles, was calculated based on the particle size distribution. In the study,  
 182 the SO<sub>2</sub> data for Q<sub>sa</sub> estimation in Hong Kong were obtained from Hong Kong Environmental  
 183 Protection Department (HKEPD) (<https://cd.epic.epd.gov.hk/EPICDI/air/station/?lang=zh>), while in  
 184 Shanghai they were collected from China National Environmental Monitoring Center  
 185 (<http://106.37.208.233:20035/>). Meteorological data in Hong Kong and Shanghai were acquired from  
 186 Hong Kong Observatory (<https://www.hko.gov.hk/tc/>) and the fifth generation European Centre for  
 187 Medium-Range Weather Forecasts reanalysis data (<https://www.ecmwf.int/>), respectively. The average  
 188 atmospheric conditions used to calculate the Q<sub>sa</sub> in different samplings are listed in Table S1. The  
 189 equations for calculating Q<sub>sa</sub> and CS are shown in Eq. 7 and Eq. 8.

$$190 \quad Q_{sa} = 8.21 \times 10^{-3} \cdot k \cdot [\text{SO}_2]^{0.62} \cdot [\text{SR}] \cdot (\text{CS} \cdot \text{RH})^{-0.13} \quad \text{Eq. 7}$$

191 where k is a constant value 1.035, SO<sub>2</sub> is the measured concentration in ppb, SR is the solar radiation  
 192 in W/m<sup>2</sup>, RH is the relative humidity in % and CS is the condensation sink in s<sup>-1</sup>.

$$193 \quad \text{CS} = 2\pi d \int D_p \cdot \beta_M(D_p) N(D_p) dD_p = 2\pi d \sum \beta_{Mi} D_{pi} N_i \quad \text{Eq. 8}$$

194 where d is the diffusion coefficient of the condensing vapor, β<sub>Mi</sub> is the transitional regime correction  
 195 factor in size bin *i*, D<sub>pi</sub> is the average particle diameter in size bin *i*, and N<sub>i</sub> is the particle number  
 196 concentration in the corresponding size bin.

197

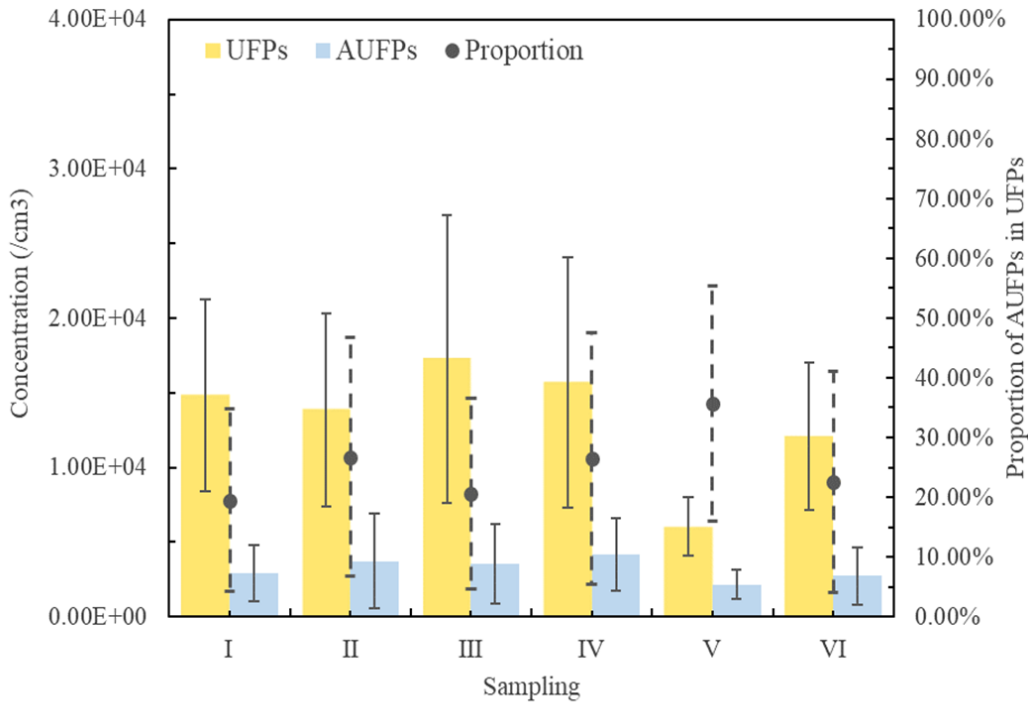
### 198 **3. Results and discussion**

#### 199 **3.1 Concentrations of UFPs and AUFPs**

200 Fig. 6 presents the concentrations of AUFPs and UFPs in different land-use areas and cities together  
 201 with the proportions of AUFPs in UFPs. The concentrations of UFPs (mean ± standard deviation (SD))  
 202 were (1.48 ± 0.64) × 10<sup>4</sup>, (1.39 ± 0.65) × 10<sup>4</sup>, (1.71 ± 0.92) × 10<sup>4</sup>, (1.57 ± 0.84) × 10<sup>4</sup>, (0.60 ± 0.20) × 10<sup>4</sup>  
 203 and (1.21 ± 0.49) × 10<sup>4</sup> /cm<sup>3</sup> for sampling I (2017 Hong Kong roadside), II (2017 Hong Kong urban),  
 204 III (2019 Hong Kong roadside), IV (2019 Hong Kong urban sampling), V (2020 Hong Kong rural  
 205 sampling) and VI (2019 Shanghai urban sampling), respectively. In comparison, the concentrations of  
 206 UFPs were the lowest in the rural area in Hong Kong (*p* < 0.05) due to sparse anthropogenic emissions.  
 207 The levels of UFPs at the roadside site were slightly higher than those at the urban site because the

208 roadside site was closer to emission sources, *i.e.*, motor vehicles which directly emit abundant UFPs  
209 (Zhai et al., 2016; Campagnolo et al., 2019). Nevertheless, the difference was not significant ( $p > 0.05$ ).  
210 In addition, despite different years and seasons when the measurements were conducted at the roadside  
211 site or the urban site, no significant differences in the concentrations of UFPs were found (both  $p >$   
212  $0.05$ ), suggesting the pollution associated with UFPs was relatively stable in both urban and roadside  
213 areas of Hong Kong in these years and in different seasons. Noteworthy, the concentration of UFPs  
214 in urban area of Shanghai was lower than that in urban area of Hong Kong ( $p < 0.05$ ), probably  
215 implying less pollution of UFPs in urban Shanghai. However, it is worth noting that the sampling in  
216 Shanghai was conducted in the plum rainy season, which might also lead to the low concentrations of  
217 UFPs. Compared to the UFPs levels in the world, the UFPs level in China was higher than that in Japan  
218 (Yoshino et al., 2021), Korea (Park et al., 2008), Europe and USA (De Jesus et al., 2019).  
219 For AUFPs, the concentration was  $(0.31 \pm 0.18) \times 10^4$ ,  $(0.37 \pm 0.30) \times 10^4$ ,  $(0.37 \pm 0.26) \times 10^4$ ,  
220  $(0.42 \pm 0.28) \times 10^4$ ,  $(0.22 \pm 0.10) \times 10^4$  and  $(0.27 \pm 0.19) \times 10^4$  /cm<sup>3</sup> for sampling I, II, III, IV, V  
221 and VI, respectively. Clearly, the concentration of AUFPs was the lowest in rural area ( $p < 0.05$ ). No  
222 significant spatial and temporal differences were found in the concentration of AUFPs between the  
223 urban site and the roadside site in Hong Kong between 2017 and 2019 (all  $p > 0.05$ ), consistent with  
224 the stable level of UFPs pollution in both urban and roadside areas. Similar to UFPs, the concentration  
225 of AUFPs in urban area of Shanghai was lower than that in urban Hong Kong ( $p < 0.05$ ).  
226 The AUFPs concentration accounted for the highest proportion of UFPs concentration in rural area  
227 (*i.e.*, 36%) (Fig. 6), followed by that in urban areas (*i.e.*, sampling II: 27%, sampling IV: 26% and  
228 sampling VI: 23%) and in roadside areas (*i.e.*, sampling I: 20% and sampling III: 21%). The proportion  
229 of AUFPs in UFPs had inverse correlation with the distance to the emission sources, implying that the  
230 AUFPs emitted from anthropogenic sources was minor and the AUFPs might be potentially  
231 transformed from non-acidic UFPs by heterogeneous reaction of acidic vapors with preexisting non-  
232 acidic particles and/or condensation of acidic vapor on the surface of non-acidic particles during the  
233 transport and aging of air masses. Nevertheless, it must be admitted that the field measurements in the  
234 study were basically short-term, which may exist some uncertainties for the above comparisons since

235 the ambient particulates vary with the atmospheric conditions as well as the source emission profiles.  
 236 Therefore, it is strongly suggested that prolonged and more samplings be conducted in future study.



237  
 238 Fig. 6 Concentrations of UFPs and AUFPs, and proportion of AUFPs in UFPs in different land-use  
 239 areas and cities

240

### 241 3.2 Size distributions of UFPs and AUFPs

242 Fig. 7 shows the size distributions of AUFPs and UFPs in different land-use areas and cities, as well  
 243 as the proportions of AUFPs in UFPs in different size bins. Eight size bins were categorized for  
 244 particles with sizes from 5 nm to 200 nm (*i.e.*, 5-10 nm, 10-20 nm, 20-35 nm, 35-50 nm, 50-70 nm,  
 245 70-100 nm, 100-150 nm and 150-200 nm). The geometric mean diameter (GMD) of the size  
 246 distribution was calculated using the following equation (Eq. 9):

$$247 \quad \text{GMD} = \frac{\sum N_i \cdot d_{pi}}{N} \quad \text{Eq. 9}$$

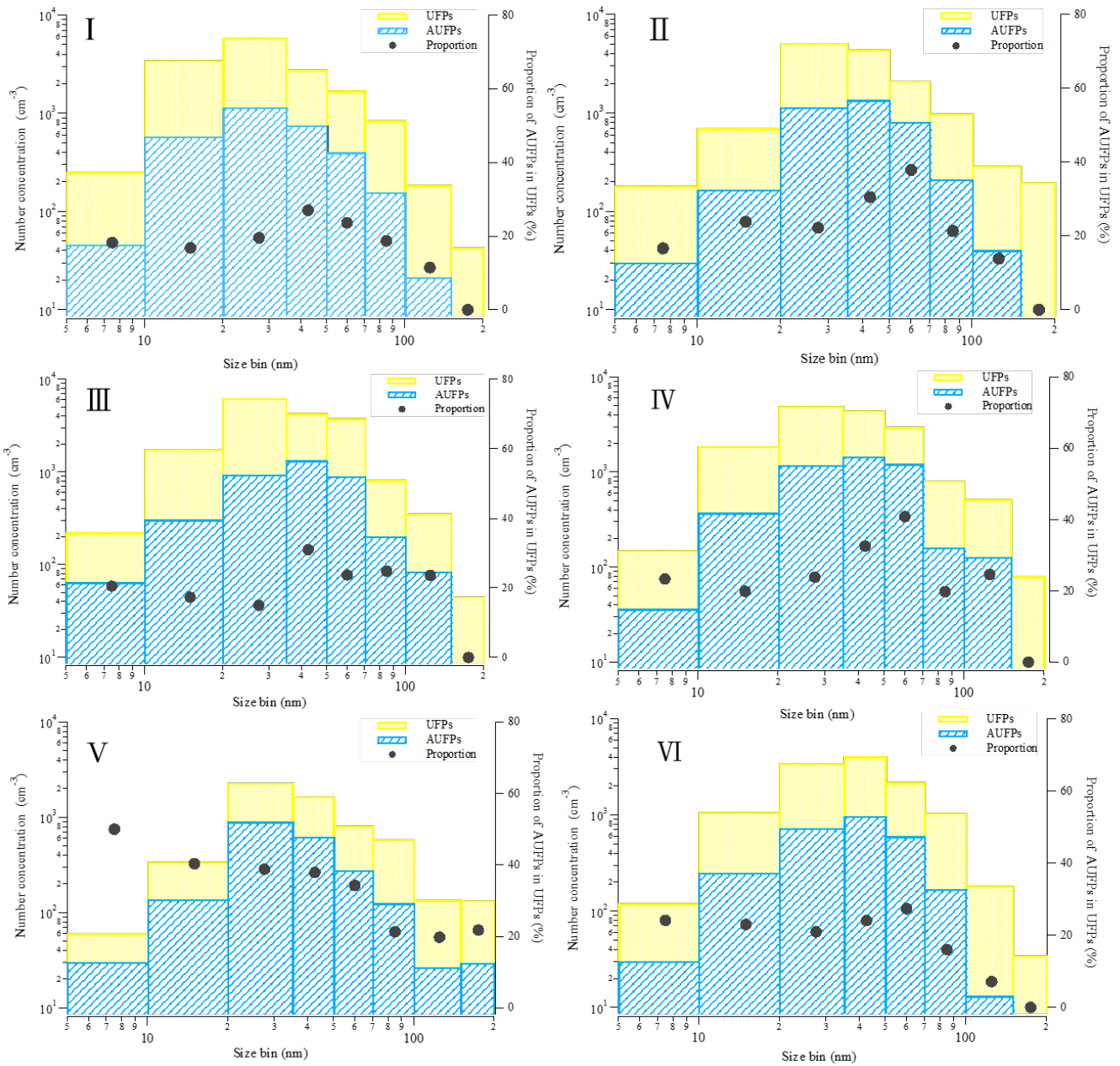
248 where  $N_i$  is the particle number concentration in  $i_{th}$  size bin,  $d_{pi}$  is the average particle diameter (nm)  
 249 in  $i_{th}$  size bin, and  $N$  is the total particle number concentration of all size bins.

250 The size distributions of UFPs were normal in all the six sampling campaigns. Concentration of UFPs  
 251 generally peaked in the size range of 20-35 nm or 35-50 nm, in line with the results of previous studies  
 252 (Li et al., 2007; Cheng et al., 2012). The nucleation-mode and Aitken-mode particles (<100 nm)

253 dominated the UFPs concentration, accounting for ~90% of the total particle number concentration.  
254 The GMD of UFPs was 28.0 nm, 35.8 nm, 33.6 nm, 34.5 nm, 38.1 nm and 35.4 nm in samplings I, II,  
255 III, IV, V and VI, respectively. The highest GMD value was found in rural area (*i.e.*, 38.1 nm), while  
256 the GMD values in urban areas (34.5 – 35.8 nm) were similar to those in roadside areas (28.0 – 33.6  
257 nm). The GMD values were generally associated with the age of air masses in different land-use areas.  
258 The transport of pollutants from an urban area to a rural area provided enough time for particles to  
259 coagulate and grow up and thus increased the GMD (Yao et al., 2010; Šmejkalová et al., 2020).  
260 Similarly, the size distributions of AUFPs were normal with peaks at 20-35 nm or 35-50 nm. The  
261 GMDs of AUFPs were 28.7 nm, 34.3 nm, 36.1 nm, 36.0 nm, 32.8 nm and 34.3 nm for samplings I, II,  
262 III, IV, V and VI, respectively, analogous to the corresponding GMDs of UFPs ( $p > 0.05$ ). Further,  
263 over 90% of AUFPs composed of particles in nucleation and Aitken modes. Few AUFPs were in the  
264 size range of 150-200 nm, consistent with the results of previous studies, which found that sulfuric  
265 acid and/or nitric acid are usually present in UFPs at the initial formation stage to promote particle  
266 formation and growth (Schlesinger and Cassee, 2003; Wang et al., 2020). In addition, no significant  
267 difference in GMDs of AUFPs and UFPs was found in urban areas between Hong Kong and Shanghai  
268 ( $p > 0.05$ ), perhaps suggesting similar emission sources and/or chemical formation mechanisms of  
269 UFPs and AUFPs in these two cities.

270 The proportion of AUFPs in UFPs showed distinct patterns in different land-use areas. In roadside  
271 areas, the proportion peaked at 35-50 nm, while the maximum proportion in urban areas was in the  
272 size range of 50-75 nm. The hysteretic peak in urban areas might indicate the aggregation of AUFPs  
273 with non-acidic UFPs during the transport from source areas to receptor areas. However, the highest  
274 proportion in rural area was observed in the range of 5-10 nm. The high proportion of AUFPs in UFPs  
275 in small size range in rural area might suggest the stimulation of new particle formation (NPF) with  
276 the AUFPs as seeds that were not easy to be aggregated by other low-concentration preexisting  
277 particles in a relatively clean environment. In addition, anthropogenic sources are scarce in rural area,  
278 especially for vehicle emissions. Automobile exhaust is an important source of particles smaller than  
279 20 nm (Mathis et al., 2004; Casati et al., 2007). Hence, a large amount of automobile exhaust emissions

280 in urban and roadside areas resulted in a lower proportion of AUFPs in UFPs in the small size range  
 281 than in rural areas.



282  
 283 Fig. 7 Size distributions of UFPs and AUFPs with the proportions of AUFPs in UFPs in different size  
 284 bins in different land-use areas and cities.

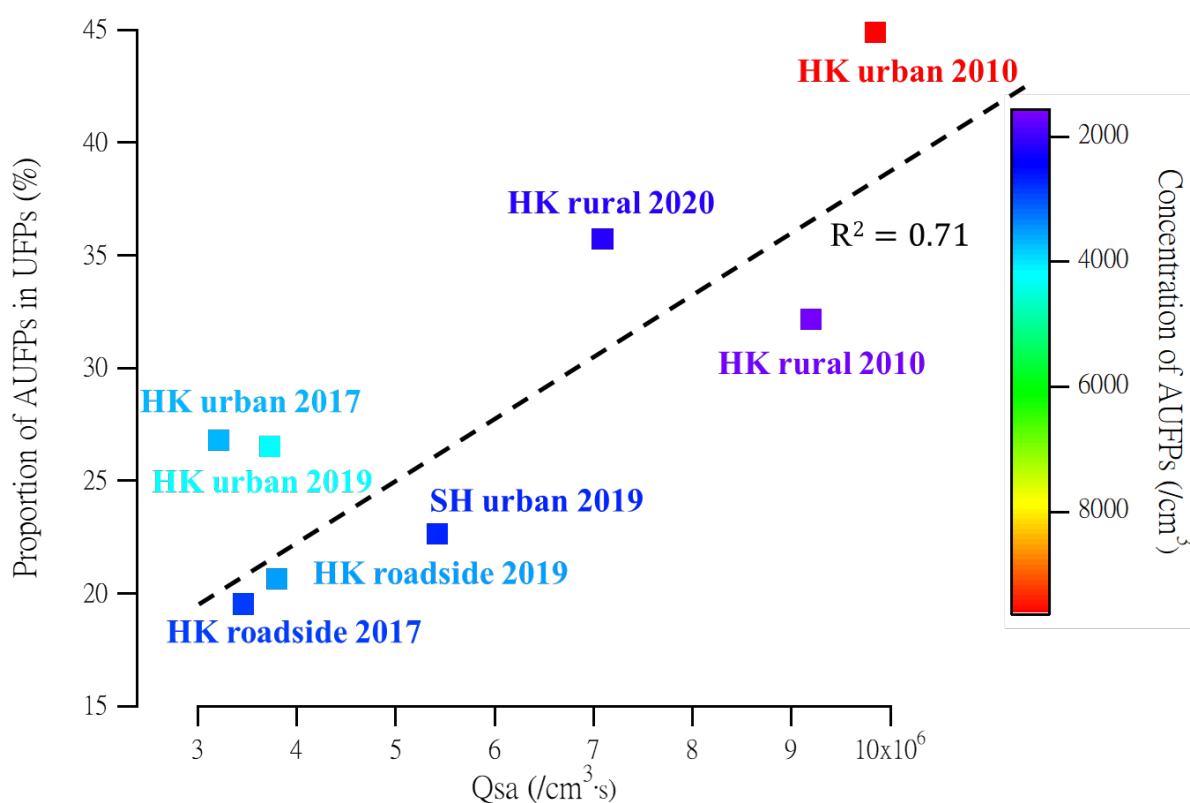
285

### 286 3.3 Correlation of estimated sulfuric acid vapor with AUFPs

287 Sulfuric acid vapor has been proved to be related to NPF (Kulmala et al., 2000; Guo et al., 2012; Wang  
 288 et al., 2014a). Thus, it is expected that some of these newly-formed particles are acidic. Fig. 8 illustrates

289 the correlation between proportion of AUFPs in UFPs and  $Q_{sa}$  at different concentrations of AUFPs in  
290 field measurements. It is noteworthy that the AUFPs data measured in previous studies were also used  
291 for comparison purpose (Wang et al., 2012, 2014b). It was found that the proportion of AUFPs in UFPs  
292 was positively correlated with the  $Q_{sa}$  ( $R^2 = 0.71$ ), while no obvious relationship was observed between  
293 the concentration of AUFPs and the  $Q_{sa}$  ( $R^2 = 0.17$ ), especially in rural areas. In other words, although  
294 the levels of  $Q_{sa}$  were high in the rural areas, concentrations of AUFPs were even lower than those in  
295 urban and roadside areas. It is well known that condensation of compounds with low vapor pressure  
296 such as sulfuric acid and nitric acid on preexisting particles and coagulation of these compounds are  
297 important mechanisms to form new particles (Schlesinger and Cassee, 2003; Wang et al., 2020). Both  
298 pathways could increase the acidity of particles and lead to the formation of AUFPs if the vapor of  
299 those compounds is acidic. Theoretically, a high  $Q_{sa}$  level would result in the formation of more AUFPs.  
300 However, another factor determining the concentration of AUFPs is also important, namely, the  
301 concentration of preexisting particles. If their concentration is higher together with higher  $Q_{sa}$  level,  
302 more AUFPs will be generated. As such, it is understandable why  $Q_{sa}$  does not have positive correlation  
303 with the concentration of AUFPs but the proportion of AUFPs in UFPs. In rural areas, although the  
304 concentration of  $Q_{sa}$  was higher, the concentration of preexisting particles was low, which led to lower  
305 AUFPs but higher proportion of AUFPs in UFPs. In comparison, the higher level of preexisting  
306 particles in urban and roadside areas was favorable to more AUFPs formation by providing more  
307 chances for condensation of sulfuric acid vapor on non-acidic UFPs. However, since the  $Q_{sa}$  level in  
308 urban/roadside areas was not as high as that in rural area, and the preexisting particles concentration  
309 was higher, the proportion of AUFPs in UFPs would be lower. Moreover, the close relationship of  $Q_{sa}$   
310 with AUFPs might indicate the minor contribution of other acids such as nitric or organic acids to the  
311 AUFPs. Noteworthily, the AUFPs pollution in urban areas in Hong Kong seemed to be alleviated  
312 compared to ten years ago in terms of the AUFPs concentration and the proportion of AUFPs in UFPs.  
313 Extremely high AUFPs concentration and proportion of AUFPs in UFPs were found at an urban site  
314 in 2010 in Hong Kong (*i.e.*,  $9.6 \times 10^3 \text{ cm}^3$  and 45%), significantly higher than those measured at the  
315 same urban site and roadside site in 2017 and 2019 in this study (all  $p < 0.05$ ). While the meteorological  
316 conditions were different in all the measurements, it was still worth mentioning that the significant

317 reduction in SO<sub>2</sub> in China might play an important role in the alleviation of AUFPs pollution in urban  
318 area. The annual SO<sub>2</sub> concentrations in Hong Kong decreased from 12.0 µg/m<sup>3</sup> in 2010 to 4.0 µg/m<sup>3</sup>  
319 in 2019, observed at a roadside monitoring station. In the past, the Hong Kong government  
320 implemented several measures to cut the SO<sub>2</sub> emission from vehicles. Although low sulfur fuel oil  
321 (LSFO) (Euro IV standard) was set as the minimum requirement for vehicle use in April 2002, the  
322 statutory standard has been further tightened to Euro V standard since 2010, which could reduce the  
323 SO<sub>2</sub> emissions of existing vehicles by 80% (Hedley et al., 2002; Zhang et al., 2010;  
324 <https://www.info.gov.hk/gia/general/201111/09/P201111090187.htm>). Moreover, by the end of 2016,  
325 about 50,000 old diesel commercial vehicles (older than Euro IV) were phased out. Low emission  
326 zones were set up on busy roads such as Central, Causeway Bay and Mong Kok to only allow buses  
327 that met Euro IV emission levels or above to run. The above measures also resulted in lower SO<sub>2</sub>/Q<sub>sa</sub>  
328 levels in urban/roadside areas than that in rural area in Hong Kong because LSFO was widely and  
329 strictly used in vehicles but not in marine vessels and the standard of LSFO usage in marine vessels  
330 (maximum sulfur content: 0.05%) was not as tight as that in vehicles (maximum sulfur content: 0.001%)  
331 ([https://www.epd.gov.hk/epd/english/environmentinhk/air/air\\_maincontent.html](https://www.epd.gov.hk/epd/english/environmentinhk/air/air_maincontent.html)). Since the rural site  
332 was located in a coastal area, it would suffer from more emission of marine vessels and had the higher  
333 SO<sub>2</sub>/Q<sub>sa</sub> level. In Shanghai, the SO<sub>2</sub> concentrations sharply decreased from 30 µg/m<sup>3</sup> to 7 µg/m<sup>3</sup> during  
334 this decade according to the Shanghai environmental bulletin. On one hand, the mandatory usage of  
335 LSFO in vehicles was proposed in 2013 and was completely implemented in mainland China at the  
336 end of 2017 ([http://www.nea.gov.cn/2013-07/09/c\\_132525509.htm](http://www.nea.gov.cn/2013-07/09/c_132525509.htm)). On the other hand, the SO<sub>2</sub>  
337 emissions from industries and power plants were dramatically reduced in these ten years because of  
338 the combustion of low sulfur coal (Wang et al., 2018).



339

340 Fig. 8 Correlation of sulfuric acid proxy ( $Q_{sa}$ ) with proportion of AUFPs in UFPs at different  
 341 concentrations of AUFPs in different field measurements (HK roadside 2017: sampling I, HK urban  
 342 2017: sampling II, HK roadside 2019: sampling III, HK urban 2019: sampling IV, HK rural 2020:  
 343 sampling V, SH urban 2019: sampling VI, HK rural 2010: Wang et al., 2012 and HK urban 2010: Wang  
 344 et al., 2014b).

345

#### 346 4. Summary

347 This is the first study to conduct extensive measurements of UFPs and AUFPs in different land-use  
 348 areas (*i.e.*, roadside, urban and rural) and cities (*i.e.*, Hong Kong and Shanghai) in China. In total, six  
 349 field measurements were carried out using the DS+AFM method. The results indicated that the  
 350 concentration of UFPs was the highest at the roadside site, followed by the urban and rural sites.  
 351 However, an opposite trend was found for the proportion of AUFPs in UFPs. The phenomena  
 352 suggested the potential transformation of AUFPs from non-acidic UFPs through heterogeneous  
 353 reaction of acidic vapor with non-acidic UFPs and/or condensation of acidic vapor on the surface of  
 354 non-acidic UFPs during the transport and aging of air masses and the insignificant emissions of AUFPs



355 from automobile vehicles. In addition, lower concentrations (mean  $\pm$  SD) of UFPs ((1.21 $\pm$ 0.49)  
356  $\times 10^4/\text{cm}^3$ ) and AUFPs ((0.27 $\pm$ 0.19)  $\times 10^4/\text{cm}^3$ ) were found in urban Shanghai than in Hong Kong  
357 ((1.48 $\pm$ 0.64) and (0.40 $\pm$ 0.27)  $\times 10^4/\text{cm}^3$ , respectively) ( $p < 0.05$ ). Regarding size distribution, the sizes  
358 of both UFPs and AUFPs were normally distributed at all sampling sites and the GMDs of both UFPs  
359 and AUFPs were from 28 nm to 38 nm. Furthermore, the proportion of AUFPs in UFPs peaked in a  
360 larger size range (50-75 nm) in urban areas than that in roadside areas (35-50 nm), suggesting the  
361 potential aggregation of AUFPs with non-acidic UFPs during the transport from source areas to  
362 receptor areas. In rural area, however, the peak was observed in the smallest size range (*i.e.*, 5-10 nm),  
363 indicating the stimulation of NPF with AUFPs as seeds, which were not easily aggregated by other  
364 preexisting particles with low concentrations in the relatively clean environment. The GMDs of UFPs  
365 and AUFPs in the urban areas between Hong Kong and Shanghai were similar ( $p > 0.05$ ), implying  
366 similar emission sources and/or chemical formation mechanisms of UFPs and AUFPs in these two  
367 cities. Lastly, the  $Q_{\text{sa}}$  was positively correlated with the proportion of AUFPs in UFPs ( $R^2=0.71$ ), while  
368 no obvious relationship was found between the  $Q_{\text{sa}}$  and AUFP levels ( $R^2=0.17$ ). The results suggested  
369 significant formation of AUFPs through heterogeneous reaction of sulfuric acid vapor with non-acidic  
370 UFPs and/or condensation of sulfuric acid vapor on non-acidic UFPs at high  $Q_{\text{sa}}$  level, which led to  
371 high proportion of AUFPs in UFPs. However, the AUFPs level might not be high even though the  $Q_{\text{sa}}$   
372 level was high if the concentration of preexisting particles was low. Compared to the AUFPs pollution  
373 in urban Hong Kong ten years ago, the pollution was lowered due to the fact that the concentrations of  
374 AUFPs and the proportions of AUFPs in UFPs decreased, possibly due to the successful reduction of  
375  $\text{SO}_2$  in China. The reduction in  $\text{SO}_2$  in Hong Kong during the last decade was mainly attributed to  
376 stricter standards for the use of LSFO and the phase out of old diesel vehicles, while the decrease in  
377  $\text{SO}_2$  emissions in Shanghai resulted from the widespread use of LSFO in vehicles nationwide, and the  
378 combustion of low sulfur coal in industries and power plants.

379

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386

### 387 **CRedit authorship contribution statement**

388 **Haoxian Lu:** Methodology, Data analysis, Writing – original draft. **Gehui Wang:** Samples collection.

389 **Hai Guo:** Supervision, Resources, Funding acquisition, Writing – review & editing.

390

### 391 **Declaration of competing interest**

392 The authors declare that they have no known competing financial interests or personal relationships  
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394

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