

The following publication Yildirim, L., Zhang, Q., Kuang, S., Cheung, C. W. J., Chu, K. A., He, Y., ... & Zhao, X. (2019). Engineering three-dimensional microenvironments towards in vitro disease models of the central nervous system. *Biofabrication*, 11(3), 032003 is available at <https://doi.org/10.1088/1758-5090/ab17aa>.

1     **Engineering three-dimensional microenvironments towards *in***  
2                     ***vitro* disease models of the central nervous system**

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18

19     **Abstract:**

20     The central nervous system (CNS) has a highly complex biophysical and  
21     biochemical environment. Despite decades of intensive research, it is still an  
22     enormous challenge to restore its functions and regenerate lost or damaged  
23     CNS tissues. Current treatment strategies remain sub-optimal because of (1)  
24     the hostile microenvironment created post CNS injury, and (2) insufficient  
25     understanding of the pathophysiology of acute and chronic CNS diseases. Two-  
26     dimensional (2D) *in vitro* models have provided tremendous insights into a wide  
27     range of cellular interactions. However, they fail to recapitulate the complex  
28     cellular, topographical, biochemical, and mechanical stimuli found within the  
29     natural three-dimensional (3D) CNS. Also, the growing ethical needs to use  
30     fewer animals for research further necessitates 3D *in vitro* models to mimic all  
31     or part of the CNS. In this review, we critically appraise the status quo and  
32     design considerations of 3D *in vitro* neural disease and injury models that  
33     resemble *in vivo* conditions. This review mainly focuses on the most recent

1 advances in tissue engineering techniques such as microfluidics, organs-on-a-  
2 chip and stem cell technology. Furthermore, we review recent models aiming to  
3 elucidate the underlying pathophysiology of CNS diseases. If armed with  
4 deeper understanding, it will be possible to develop high-throughput drug  
5 screening platforms and new treatments for CNS diseases and injuries.

6  
7 **Keywords:** central nervous system, cellular microenvironment,  
8 microtechnology, 3D *in vitro* models, disease models

## 9 10 **1. Introduction**

11 The central nervous system (CNS) is the most complex entity of the human  
12 body. It is susceptible to irreversible degenerative and traumatic injuries, which  
13 can severely impair its function and significantly reduce the life quality of  
14 patients. CNS diseases and injuries remain some of the most challenging  
15 medical, social, and economic problems to date [1]. Specifically, CNS diseases  
16 such as Alzheimer's disease (AD) and Parkinson's disease (PD) are  
17 significantly more prevalent in the elderly [2, 3]. With an ageing global  
18 population, the number of people affected by these degenerative neurological  
19 disorders is expected to rise to unprecedented levels. Therefore, laboratory-  
20 based, bottom-up studies of the complicated pathophysiological mechanisms  
21 of the CNS diseases promise to accelerate the evaluation and development of  
22 novel repair strategies.

23 To study CNS pathology, researchers have relied on animal models and *in*  
24 *vitro* 2D cell cultures. These two methods have revealed many fundamental  
25 findings including the roles of specific genes, molecular and cellular signalling  
26 pathways. However, they both suffer from various drawbacks: (1) The use of  
27 animals for research has raised ethical concerns from animal rights groups; (2)  
28 There is a wide gap between animal and human physiology, so one must  
29 always extrapolate animal data to predict the human scenario; (3) On the other  
30 hand, although 2D cell cultures circumvent ethical issues, they fail to provide a  
31 realistic CNS microenvironment and thus cannot fully recapitulate cellular  
32 behaviours or cell-matrix interactions. More recently, organotypic cultures  
33 modelling traumatic CNS injuries have gained popularity for enabling the

1 simultaneous evaluation of different independent factors in the same animal,  
2 thereby considerably reducing time and costs associated with *in vivo* models.  
3 Comprising of a multi-cellular *in vitro* environment, organotypic cultures render  
4 the elucidation of the underlying mechanisms of injury as well as the evaluation  
5 of numerous treatments feasible. Compared to a 2D culture environment,  
6 organotypic conditions can, to a degree, bridge the gap between single/co-  
7 culture systems and *in vivo* models. However, there remain several challenges  
8 to further improve this system; (1) by its very nature, organotypic models exhibit  
9 axotomized neuronal pathways, which require reconstruction prior to exhibiting  
10 any functionality, (2) most organotypic brain slices to study diseases such as  
11 AD or PD are derived from embryonic or post-natal donors due to their superior  
12 survival, which, however, does not adequately reflect the adult characteristics  
13 of AD or PD, and (3) maintaining long-term culture conditions and sterility to  
14 study disease mechanisms and screen arrays of candidate drugs renders  
15 organotypic models relatively laborious and costly. Within a native tissue, cell-  
16 cell and cell- extracellular matrix (ECM) interactions establish a 3D  
17 communication network through biochemical and biophysical cues that is vital  
18 in maintaining the specificity and homeostasis of tissues [4]. These interactions  
19 also regulate key events in the cell life cycle, such as proliferation, migration,  
20 and apoptosis [5]. Therefore, a 3D model based on human-derived cells that  
21 re-establishes *in vivo* cell-cell and cell-ECM interactions is superior to  
22 conventional 2D cultures and animal models.

23 Ideal 3D *in vitro* models not only incorporate the appropriate cell types and  
24 biomimetic ECM, but also provide biochemical (e.g., growth factors) and  
25 biophysical cues (e.g., mechanical stimuli). This will ensure greater precision  
26 and reliability when recreating the complex and intricate nature of the  
27 microenvironment encountered within native tissues [6, 7]. These extracellular  
28 cues can significantly influence the cell viability, proliferation, migration, and  
29 differentiation within both the brain and the spinal cord [8-10]. Considering the  
30 great significance of the 3D CNS models, herein we will review the engineering  
31 efforts required to simulate various components of the native CNS  
32 microenvironment. First, various applicable techniques for mimicking *in vivo*  
33 CNS microenvironments are reviewed. Then, we discuss the importance of

1 various biochemical and biophysical cues in the CNS, such as ECM, bioactive,  
2 architectural, mechanical and electrical cues. Lastly, we dedicate the bulk part  
3 of this review to evaluating the most recent 3D *in vitro* models of common CNS  
4 diseases and injuries. Towards the end, we outline our projections with respect  
5 to the future directions of engineering more precise 3D *in vitro* CNS models.

## 7 **2. Techniques for engineering *in vitro* 3D cultures**

8 To recreate microenvironmental cues found under *in vivo* conditions, various  
9 micro-scale techniques are currently available, including spheroids, organoids,  
10 electrospinning, microfluidics and 3D printing, etc. [11-13]. Principally, these  
11 techniques can be either scaffold-free or scaffold-based. Recent reviews on the  
12 progress of micro-scale 3D brain modelling using various technologies can be  
13 found from [14-17].

### 15 **2.1 Scaffold-free *in vitro* 3D culture**

16 Scaffold-free models do not require a physical scaffold or matrix, as the cells  
17 can produce their own ECM. For example, spheroids are self-assembled  
18 spherical clusters of cell colonies and can closely mimic the 3D architecture of  
19 *in vivo* CNS tissues [18]. Due to easy synthesis, such technique has gained  
20 great popularity in modelling various CNS diseases [19]. Moreover, when co-  
21 cultured with other cell types, spheroids can model the *in vivo* intercellular  
22 signalling, architecture, and hence the complicated CNS pathophysiology [20-  
23 22]. For instance, Vadivelu et al. used a floating liquid marble technique to  
24 generate uniform-sized spheroids consisting of olfactory ensheathing cells  
25 (OECs) [23]. The transplantation of the OEC spheroids can bridge the defect  
26 and promote axonal regeneration in spinal cord injuries [24]. By co-culturing  
27 OEC spheroids with Swann cells and astrocytes, they observed unique cell  
28 characteristics that were unreported in 2D cultures.

29 Similar to spheroids, organoids also do not require scaffolds to form. They  
30 are self-assembled aggregates of multiple cell types derived from pluripotent  
31 stem cells or isolated organ progenitors [25]. An organoid is a miniaturized,  
32 simplified *in vitro* model of an organ, and can accurately reflect some of the  
33 human brain microenvironments [26-28]. For instance, Ormel et al. created

1 cerebral organoids, within which microglia spontaneously developed with their  
2 characteristic ramified morphology. Upon inflammatory stimulation, the  
3 organoid-derived microglia had similar responses compared to their native  
4 counterparts [29].

5 Both the spheroid- and organoid-based 3D cultures possess great potential  
6 in modelling CNS diseases and are believed to be effective tools for high-  
7 throughput drug testing [16, 17]. However, their reliance on passive oxygen and  
8 nutrient diffusion severely limits their maximum size, which results in necrotic  
9 cores if surpassed [28].

## 11 **2.2 Scaffold-based *in vitro* 3D culture**

12 A scaffold can overcome the above-mentioned limitation by providing a pathway  
13 for nutrients and oxygen to reach the core. In addition, a scaffold can have  
14 tuneable bioactive, architectural, mechanical, and electrical cues. With these  
15 controllable parameters, one can engineer a unique tissue microenvironment  
16 resembling those found within the ECM of CNS tissues.

### 18 **2.2.1 Electrospinning**

19 Electrospinning is an easy, versatile and popular technique for producing  
20 tissue-engineered scaffolds. It creates fibres with ECM-like structures and  
21 enables one to easily tune physical properties such as fibre diameter and  
22 porosity [30, 31]. In a typical setup, a high voltage potential over the working  
23 distance creates an electrostatic force, which draws charged polymer threads  
24 to a collector. If the collector is a stationary plate, the deposited micro- or  
25 nanofibres form a mat with random orientations. On the other hand, a collector  
26 with a rotating wheel leads to fibres with orderly, parallel alignments. For neural  
27 tissue engineering, a uniaxially aligned fibrous network that orients cell growth  
28 is an important criterion to ensure accurate simulation [32, 33]. Xie et al. found  
29 that the direction of neurite outgrowth can either be parallel or perpendicular to  
30 the nanofibre alignment, depending on parameters including fibre density,  
31 protein deposited on fibre surface, and surface properties of the supporting  
32 substrate [34].

1 In one study, Luo et al. used electrospun polylactic acid (PLA) nanofibrous  
2 scaffolds for long-term culture of neuromuscular junction (NMJ), a specialized  
3 synapse associated with neurodegenerative diseases. They co-cultured  
4 primary embryonic motor neurons from Sprague-Dawley rats and C2C12 cells  
5 in a random or aligned nanofiber configuration. While the conventional 2D glass  
6 substrate could only maintain the culture for 2 weeks, random and aligned PLA  
7 scaffolds had 7-week cell survival rates of 55 % and 70 % respectively [35].

8 In another study, Jakobsson et al. used a semi-spherical array of metal  
9 needles as the collector for electrospinning. Without the compression of fibres  
10 in a typical setup, they obtained low-density electrospun poly- $\epsilon$ -caprolactone  
11 (PCL) fibrous scaffolds. The high porosity allowed for full 3D infiltration of neural  
12 cells. Using neural progenitor cells, they observed a highly integrated network,  
13 synaptogenesis, and extensive neurite outgrowth. Compared to the 2D culture,  
14 where neuronal cells grew on top of glial cells in separate layers, cells  
15 intermixed in 3D culture, which is observed *in vivo* [36].

### 16 17 **2.2.2 Microfluidics**

18 Microfluidics controls microlitres to picolitres of fluids in networks of micro-  
19 channels. Microfluidic chips are excellent *in vitro* models to study CNS  
20 degeneration and regeneration for three reasons [12]. Firstly, these platforms  
21 enable one to analyse cell secretions, transcriptions, and protein expressions  
22 at the single-cell level through designed compartmentalisation. As a result, one  
23 can study more in-depth with regards to myelination, neurite outgrowth, signal  
24 propagation, and neuronal networks [37, 38]. Secondly, such platforms can  
25 help probe cell-cell communication by co-culturing different CNS cells in one or  
26 more connected chambers [39-41]. Thirdly, microfluidics can accurately model  
27 or control the biophysical and biochemical cues of the microenvironment, so  
28 that one can monitor various cellular events post-injury or post-disease [42].

29 For example, Wevers et al. used a microfluidic platform to culture induced  
30 pluripotent stem cells (iPSCs)-derived neural stem cells (NSCs) into 3D, ECM-  
31 embedded, neuronal-glia networks [43]. The platform had a 384-well microtiter  
32 plate format, with 96 tissue chips (4 wells per chip). The plated organoids were  
33 suitable for 3D analyses of biological processes such as cell differentiation, cell-

1 cell interactions, cell-ECM interactions and related gene expression. Similarly,  
2 Wang et al. created an organ-on-a-chip system to mimic blood brain barrier  
3 (BBB) for drug screening. They used human induced pluripotent stem cells  
4 (hiPSCs) to produce brain microvascular endothelial cells (BMECs), and  
5 cocultured them with rat primary astrocytes. They used this microfluidic system  
6 to test permeability of various model drugs, and obtained data that were  
7 comparable to *in vivo* values [44].

### 9 **2.2.3 3D printing**

10 3D printing is a technique that deposits materials in a layer-by-layer fashion to  
11 create 3D objects. With the help of computer-aided design (CAD) software, one  
12 has the capacity to produce customisable hardware parts for rapid prototyping  
13 of CNS disease models. For instance, Johnson et al. printed microchannels and  
14 compartmentalized chambers with PCL solid. They then deposited neurons,  
15 Schwann cells, and epithelial cells into separate chambers based on how cells  
16 are organized *in vivo*. The team proceeded to obtain insights about  
17 pseudorabies virus infection, thus highlighting the usefulness of this nervous  
18 system on a chip [45].

19 As a branch of 3D printing, 3D bioprinting utilizes the biological ingredients  
20 (e.g., biomaterials incorporated with viable living cells) as the ink to build  
21 functional 3D tissue constructs [46]. With precise spatiotemporal control over  
22 cell and biomaterial distributions, 3D-bioprinted objects can have accurate,  
23 complex and even personalized features that imitate the fine shape and  
24 architecture of natural tissues [47, 48]. While this technique has shown  
25 promising results in treating a range of brain-related injuries and disorders, it  
26 also possesses the capacity to produce normal or diseased tissues for cell  
27 behaviour studies [49]. For example, Lozano et al. printed brain-like structures  
28 with discrete layers as an *in vitro* model to study cortical cell survival and axonal  
29 development [50]. Specifically, primary cortical neurons were encapsulated  
30 within a peptide-modified hydrogel, gellan gum-RGD to create a three-layer  
31 construct that included two cellular layers (top and bottom) and an acellular  
32 middle layer. After culture for 5 days, they observed that the neuronal network  
33 was formed in the brain-like structure, and extensive axons penetrated into the

1 acellular layer. These results not only validated the versatility of bioprinting to  
2 control cell and ECM organisation for constructing a complex and viable 3D  
3 cell-containing construct, but also highlighted the possible reproduction of a  
4 more accurate 3D *in vitro* brain-like microstructure that might increase our  
5 understanding of neurological diseases and injuries.

### 6 7 **2.3 Stem cell technology**

8 Current disease and injury models mainly use primary cultures of CNS cells  
9 (e.g. NSCs, neurons, astrocytes, oligodendrocytes) dissociated from embryonic  
10 or early postnatal tissues of mice, rats, or adult neural cells [8]. Well-established  
11 difficulties extrapolating results derived from animal cells and the phenomenon  
12 of senescence associated with adult stem cells have fuelled the need for better  
13 alternatives that have a greater potential in mimicking human CNS disease  
14 states. One promising strategy involves harvesting recent exciting  
15 developments in human stem cell technology and integrating this knowledge  
16 into sophisticated engineered artificial 3D microenvironments to generate  
17 realistic CNS-like platforms to study damaged or diseased neural tissues. In  
18 particular, induced pluripotent stem cells (iPSCs) represent a revolutionary  
19 technology to obtain 3D *in vitro* cell-based tissue equivalents. iPSCs can be  
20 generated using readily accessible cells (e.g., human fibroblasts) from patients  
21 with any condition and then be differentiated into disease-relevant neural cell  
22 types through numerous reliable protocols [51, 52]. Although there are still  
23 some roadblocks to consider, an *in vitro* disease model with an iPSC-based  
24 technology has many advantages. The most distinct one being that patient-  
25 specific iPSCs carry the precise genetic profile that may result in relevant  
26 diseases in the respective individual, accurately recapitulating disease  
27 phenotypes and providing an enviable opportunity to study complex genetic  
28 diseases of the CNS, especially in the case of rare diseases [11, 53].  
29 Additionally, these disease models are able to elucidate the mechanisms of  
30 action by studying the initial development and pathological progression, as well  
31 as predicting patient treatment responses, which can pave the way for  
32 personalized regenerative medicine using the patient's own cells [53]. For  
33 example, iPSCs derived from PD patients, would not only represent a more

1 powerful tool in replicating PD *in vitro* and deciphering its pathophysiological  
2 mechanisms, but could also further provide a source for replacement therapies  
3 [54]. In addition, stem cell technology can be combined with other above-  
4 mentioned scaffold-free or scaffold-based techniques to model a more brain-  
5 like 3D *in vitro* microenvironment.

### 6 7 **3. Design considerations for recapitulating natural microenvironment in** 8 **the CNS**

9 The native CNS microenvironment is profoundly complex and is governed by a  
10 complex interplay between its 3D matrix, cellular components, naturally  
11 occurring signalling moieties, growth factors and cytokines. Novel advances in  
12 the microtechnological manipulation of tissue engineered 3D scaffolds focus on  
13 the mimicry of biochemical and biophysical cues found within the native CNS  
14 microenvironment (Figure 1). The following subsections will summarise the  
15 most recent advancements in tissue engineering of the environmental cues in  
16 the context of the latest microtechnologies used to obtain realistic 3D *in vitro*  
17 microenvironments.

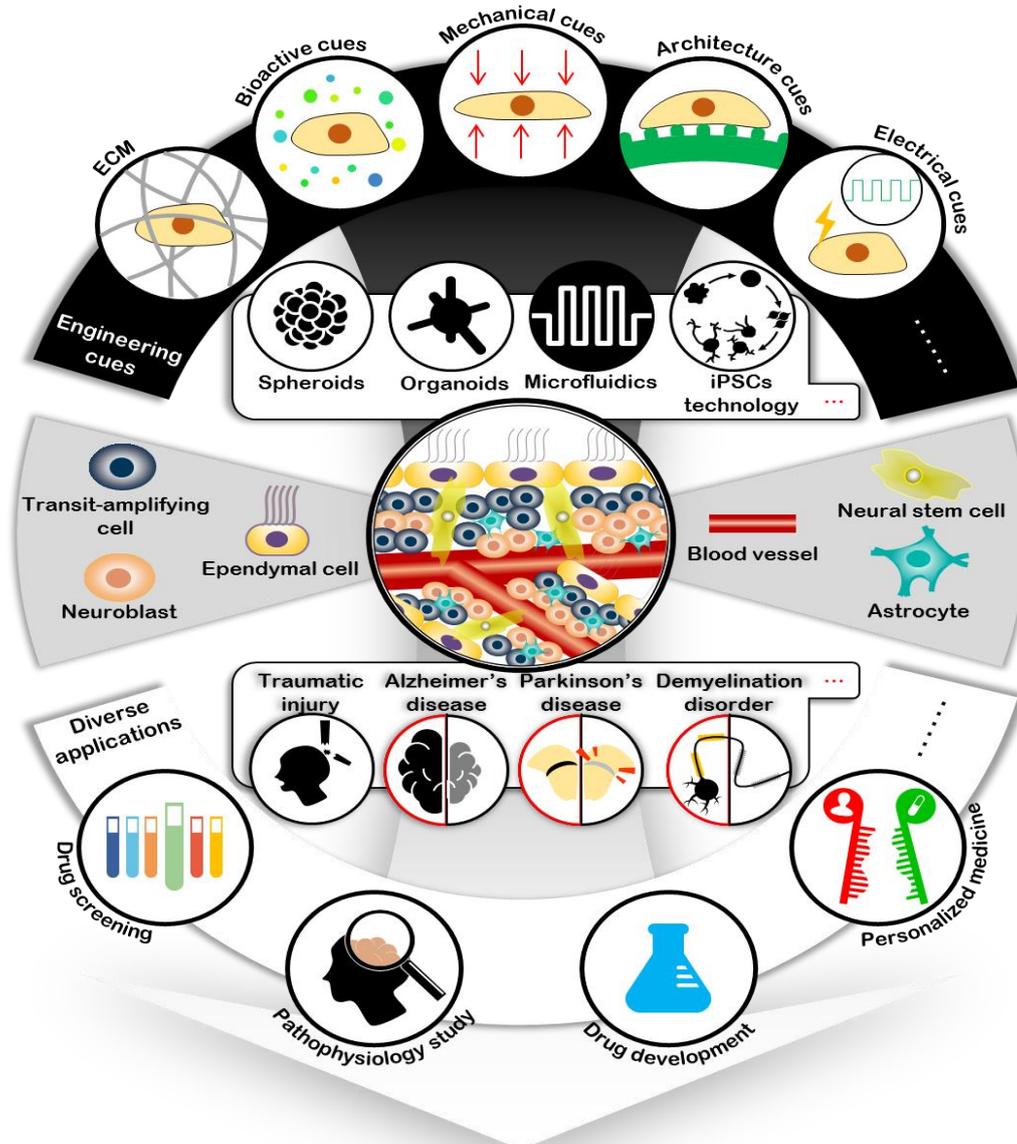
#### 18 19 **3.1 Engineering CNS extracellular matrix**

20 As an integral part of the CNS microenvironment, the ECM affects almost all  
21 aspects of the nervous system development and function (e.g. cell support,  
22 NSC maintenance, differentiation and behaviour of progeny cells) [55, 56].  
23 Currently, both natural and synthetic materials have been developed to  
24 construct a scaffold that can mimic the ECM properties of the *in vivo*  
25 microenvironment. The diverse composition of the ECM in the CNS is distinct  
26 compared to those of other organs. It is largely composed of proteoglycans of  
27 the lectican/hyalectan-family and their binding partners hyaluronic acid, link  
28 proteins, and tenascins [57, 58]. For this reason, natural materials that are the  
29 native ECM compounds or polymers extracted from tissues can inherently  
30 display many bioactive elements. For example, decellularized ECM, one of  
31 most promising nature materials, has received increasing attention. Medberry  
32 et al. used decellularised ECM derived from porcine brain and spinal cord to  
33 synthesise a hydrogel scaffold. They then proceeded to use such scaffold to

1 support unipolar or bipolar neurite growth and extension [59]. In comparison to  
2 decellularised scaffolds derived from the urinary bladder matrix, the CNS-ECM  
3 scaffolds resulted in longer neurites. This finding illustrated the importance of  
4 providing a relevant microenvironment to suit a particular tissue engineering  
5 purpose.

6 Despite these benefits, natural materials are difficult to purify reproducibly,  
7 frequently resulting in batch-to-batch variability. In addition, they are structurally  
8 weaker and liable to be disrupted when the cells attach on them and apply a  
9 cell-generated tension. Therefore, synthetic materials have been successfully  
10 introduced to scaffold fabrication because of their excellent mechanical support  
11 and scaffold stability. However, they usually lack the necessary bioactive  
12 elements. To combine the advantages of both natural and synthetic materials,  
13 synthetic materials modified with various bioactive elements have been  
14 proposed [60-63]. The usage of different scaffold modification techniques to  
15 alter material characteristics grants a tighter control over the behaviours of  
16 exogenous stem cells and can serve to guide the behaviours of endogenous  
17 ones. Overall, given the complexity of the CNS in both health and ill-health, the  
18 ability to externally control the features of scaffolds mimicking CNS  
19 microenvironments to guide cell behaviour is of fundamental importance to  
20 further understand the mechanisms of CNS pathologies. This will ultimately  
21 result in the development of better treatment strategies.

22 In addition to the ECM components, the extracellular microenvironment  
23 contains a variety of cues that guide cellular behaviours and determine the cell  
24 fate. They can be divided into two categories: biochemical (e.g. peptides,  
25 growth factors, cytokines, cell-cell co-culture) and biophysical (e.g. architecture,  
26 mechanical and electrical stimuli).



1

2 **Figure 1.** Schematic illustration of tissue engineering strategies employed to  
 3 model injuries and diseases of the CNS. Biophysical and biochemical cues are  
 4 engineered into a 3D matrix by various microtechnologies and then integrated  
 5 with different cell types. Using these 3D *in vitro* models, one can investigate  
 6 underlying pathophysiological mechanisms, screen drug candidates, and  
 7 develop platforms for personalised medicine.

8

### 9 **3.2 Engineering biochemical cues**

10 Bioactive moieties such as peptides and growth factors influence cell  
 11 behaviours with cell-material interactions for specific and controllable  
 12 responses [64]. A typical approach used in the designing of such bioactive

1 materials is to chemically integrate ECM whole proteins or ECM peptide  
2 sequences into scaffold materials. The use of peptide sequences as opposed  
3 to whole protein conjugates is particularly favoured due to its simpler  
4 conjugation chemistry and lower cost. For example, Wang et al. created a self-  
5 assembling nanofibre scaffold composed of RADA-16 peptides with alternating  
6 positively and negatively charged amino acid residues. The scaffold was  
7 modified with FG loop (FGL) motif that was the synthesized peptide ligand of  
8 fibroblast growth factor receptor (FGFR) derived from the neural cell adhesion  
9 molecule (NCAM) [65]. Using the unmodified scaffold as a negative control,  
10 they investigated how the FGL motif affects the behaviours and functions of rat  
11 spinal cord-derived NSCs [66]. The FGL-enriched scaffolds displayed better  
12 proliferation and migration into the 3D nanofibrous scaffolds, while maintaining  
13 similar levels of neural differentiation. Apart from peptides, the scaffolds can be  
14 modified with other bioactive agents such as neurotrophins [67, 68] and  
15 therapeutic drugs (e.g., chemotherapeutic compounds) [69, 70]. These agents  
16 can modulate the cellular environment both *in vitro* and *in vivo*, to accelerate  
17 neuronal growth and functional recovery after CNS injury.

18 Neurotransmitter-based materials are another type of bioactive scaffolds  
19 that can improve the cell-material interactions. Neurotransmitters such as  
20 dopamine and acetylcholine are chemical messengers secreted by neurons  
21 and are critical for modulating neural activity in the nervous system. Hence,  
22 integrating their functionalities into biomaterials may be a feasible alternative to  
23 guide axonal projections and promote neuronal growth [71-73]. Further details  
24 describing engineered bioactive cues for nerve tissue engineering can be found  
25 in other reviews [64, 74].

26 More recently, with the advances in microfluidic technology, researchers  
27 have developed organ-on-chip systems which manipulate bioactive cues. For  
28 instance, Kim et al. used a compartmentalised microfluidic device to control  
29 axonal growth by both surface modification and soluble factors [75]. After  
30 making a laser-induced lesion, they analysed cell-cell interactions between  
31 neurons and glial cells. Moreover, the platform enabled them to examine the  
32 complex bidirectional signalling processes that occur in the specific neuronal  
33 structures including axons and dendrites.

1

### 2 **3.3 Engineering biophysical cues**

3 Scaffold architecture also plays a vital role in cellular responses such as neural  
4 development [76-80]. The ECM offers a natural network of nanofibres to  
5 support cells and to guide cell behaviour via focal adhesion interactions [81]. In  
6 neural tissue engineering, there is tremendous potential in developing scaffolds  
7 that imitate the architecture of natural human tissues at the micro- and nano-  
8 scale [82-86]. For example, Lee et al. found that a larger diameter (2-4  $\mu\text{m}$ ) of  
9 electrospun polystyrene fibres tended to support myelination compared to  
10 smaller nanofibers (0.2-0.4  $\mu\text{m}$ ) [87]. Coating the smaller nanofibers with  
11 biopolymers such as laminin [88], nectin-like protein 1 (NECL1) [89], and  
12 poly(L-lysine) did not improve myelination, illustrating the strong influence of  
13 physical size. Mohtaram et al. discovered that compared with the larger  
14 diameter ( $85 \pm 4 \mu\text{m}$ ) of electrospun poly ( $\epsilon$ -caprolactone) fibrous scaffolds,  
15 fibrous scaffolds with a smaller diameter ( $43.7 \pm 3.9 \mu\text{m}$ ) could induce higher  
16 expressions of the neural markers (e.g., Nestin and Pax6) in iPSCs [90]. In  
17 addition, the aligned substrate topography can influence neurite outgrowth [91,  
18 92] and NSC differentiation [93, 94]. Bechara et al. found NSCs had better cell  
19 attachment, proliferation, and elongated morphology, when grown on micro-  
20 patterned nanowire surfaces bordered by surfaces without a structured  
21 topography [95]. Li et al. designed a high-throughput, microfluidic screening  
22 device with a large library of micro-patterned substrates. They assessed how  
23 those topographical features can act as physical cues to promote neuronal  
24 development including axon and dendritic outgrowth [96].

25 In addition to the fibre diameter, the aligned substrate topography has also  
26 been proven to influence neurite outgrowth [91, 92] and NSC differentiation [93,  
27 94]. In addition to the geometric features at the nanoscale level, the higher level  
28 of organisation of the substrate at the microscale level also proved to be  
29 important for engineering NSC microenvironments [95, 97]. For example, in a  
30 study by Bechara et al., NSCs grown on micro-patterned nanowire surfaces  
31 bordered by surfaces not exhibiting a structured topography exhibited improved  
32 cell attachment, proliferation and elongated morphologies [95]. Similarly,  
33 another study by Thapsukhon et al. showed that when nanofibrous sheets were

1 rolled into a tube to guide neural development, improved cell attachment and  
2 proliferation were observed [98]. The tubular shape provided adequate cell  
3 binding and increased permeability, which allowed for cell infiltration and fluid  
4 and nutrient diffusion. These findings indicate that there is greater potential for  
5 nanofibrous tubes to be used as temporary scaffolds in reconstructive nerve  
6 surgery.

7 Besides scaffold architecture, cells also respond to surrounding  
8 mechanical stimuli via membrane receptors, which can regulate their  
9 morphology, proliferation, and differentiation [97, 99]. Brain tissue is one of the  
10 softest tissues in the human body and its elastic modulus is about 1 kPa, with  
11 some variation depending on age and anatomical location [100, 101]. In one  
12 study, Leipzig grew forebrain-derived stem cells on a photo-polymerizable  
13 methacrylamide chitosan biomaterial with tuneable Young's elastic modulus  
14 [102]. The results showed that stem cells proliferated optimally on 3.5-kPa  
15 substrates and that they differentiated into mature neurons when Young's  
16 modulus of substrate was <1 kPa. Keung et al. further explored the molecular  
17 mechanisms through which stem cells could transduce mechanical cues to  
18 determine cell fate [103]. The study used Rho-family guanosine  
19 triphosphatases (Rho GTPases), the extensively studied molecular switches  
20 that regulate a wide range of signal transduction pathways in somatic cells.  
21 They found that Rho GTPases enabled NSCs to adjust their own stiffness in  
22 response to substrate stiffness. Consequently, the NSCs could selectively  
23 differentiate into either astrocytes or neurons. Another study found that  
24 increasing contact stiffness from physiological values (100 Pa) to shear moduli  
25 ( $\geq 10$  kPa) can lead to morphological and inflammatory changes of both  
26 primary rat microglial cells and astrocytes, *in vitro* and *in vivo*. In addition to  
27 matrix stiffness, applied *in vitro* mechanical force such as strain, compression  
28 and shear can trigger various cellular responses [104, 105]. For example,  
29 Chang et al. found that for neurites grown on parallel channels, stretching  
30 caused the neuronal marker  $\beta$ -tubulin III to rise and the expression of MAP2 to  
31 increase significantly. These findings indicated that mechanical tension may not  
32 only promote NSCs to differentiate towards neuronal cells, but also enhance  
33 neurite outgrowth and its maturation [106]. Overall, passive and active

1 mechanical cues both play a vital role in the physiological process of CNS cells.

2 Electrical activity also has a remarkable influence on both the CNS  
3 development and its regenerative processes post-injury [107]. There are many  
4 findings demonstrating that exogenous electrical stimulations (ESs) play a  
5 significant role in modulating neural behaviour [108-110]. For example, ESs at  
6 a physiological level may regulate and expedite the directed migration of NSCs  
7 towards the cathode [111, 112]. The migration directedness and distance to the  
8 cathode increased with increasing field strength, whilst reversal of the field  
9 polarity reversed the migration [113]. Another study by Aznar-Cervantes et al.  
10 proved that ESs are superior over neural growth factor treatments in causing  
11 PC-12 cells to differentiate into cells with neural phenotypes [114]. Depending  
12 on the voltage, the electrical field gradient can also affect adult NSCs, both  
13 morphologically and phenotypically. A direct current with short-duration  
14 (<10min/day for 2 days) ESs on NSCs *in vitro* combined with biochemical  
15 factors resulted in mature neuronal morphologies and signs of differentiation  
16 [115]. The neurite lengths were evidently longer compared to those with no  
17 stimulation. Additionally, the neurites and soma of neuronally differentiated  
18 NSCs displayed elevated levels of calcium ions during stimulation, indicating  
19 the presence of functional neurons with the ability of electrical conductance and  
20 communication with other cells.

21 CNS disease/injury models that combine both biochemical and biophysical  
22 cues can closely mimic their native counterparts [107]. In the following sections,  
23 we will comprehensively review the latest developments of 3D *in vitro* CNS  
24 models created by various microtechnologies.

## 25 26 **4. 3D *in vitro* disease and injury models of CNS**

### 27 **4.1 Neurodegenerative disease models**

#### 28 **4.1.1 Alzheimer's disease**

29 Alzheimer's disease (AD) is the most common form of dementia and is  
30 characterised by a gradual decline in cognitive and executive functions. AD  
31 affects 5.5 million people in the United States alone and with an ageing  
32 population, cases are predicted to increase dramatically [116, 117]. There is no  
33 curative treatment, and symptomatic management is limited [118-120]. The two

1 major pathological hallmarks of AD are  $\beta$ -amyloid plaques and the  
2 accumulation of neurofibrillary tangles [121, 122]. The ‘amyloid hypothesis’  
3 posits that the excessive accumulation of  $\beta$ -amyloid peptides results in  
4 neurofibrillary tangles composed of hyperphosphorylated tau, which  
5 subsequently accumulates in axons, dendrites, and cell bodies. These will  
6 ultimately cause neuronal death [123-125]. This hypothesis has only recently  
7 been proved in an *in vitro* setting. Commonly used cell models do not reflect  
8 the complexity of AD as it is still challenging to simultaneously incorporate  
9 various cellular components (e.g. axons, synapses) and AD-specific  
10 pathological proteins (e.g. tau) [126].

11 3D cultures can recapitulate the natural CNS microenvironment to promote  
12 neuronal maturation and increase tau formation, which is essential for  
13 reconstituting tauopathies such as AD [127]. In one study, Zhang et al. cultured  
14 neuroepithelial stem cells derived from human iPSCs, with RADA-16 self-  
15 assembling peptides (SAPs). Upon adding cations, the SAPs self-assembled  
16 into a 3D hydrogel (Figure 2A) [128]. Both P21-activated kinases (PAKs; a  
17 critical link in mechano-transduction pathways) and drebrin (an actin-stabilising  
18 protein in the brain) had high degrees of expressions in these 3D hydrogels,  
19 but not in 2D culture models. They postulated the reason to be related to the  
20 soft mechanical surroundings (stiffness of a few Pascal), which resembles brain  
21 tissue much more than conventional 2D culture dishes. The addition of  $A\beta$   
22 oligomers, which are thought to be directly linked to the pathogenesis of AD  
23 [129], attenuated the expressions of both proteins. This was observed in the 3D  
24 SAP matrix, but not in 2D culture systems, further proving the superiority of the  
25 3D model [130]. However, it did not evaluate the aforementioned ‘amyloid  
26 hypothesis’ by investigating whether excessive accumulation of  $A\beta$  oligomers  
27 would lead to neurofibrillary tangles composed of aggregated tau proteins.

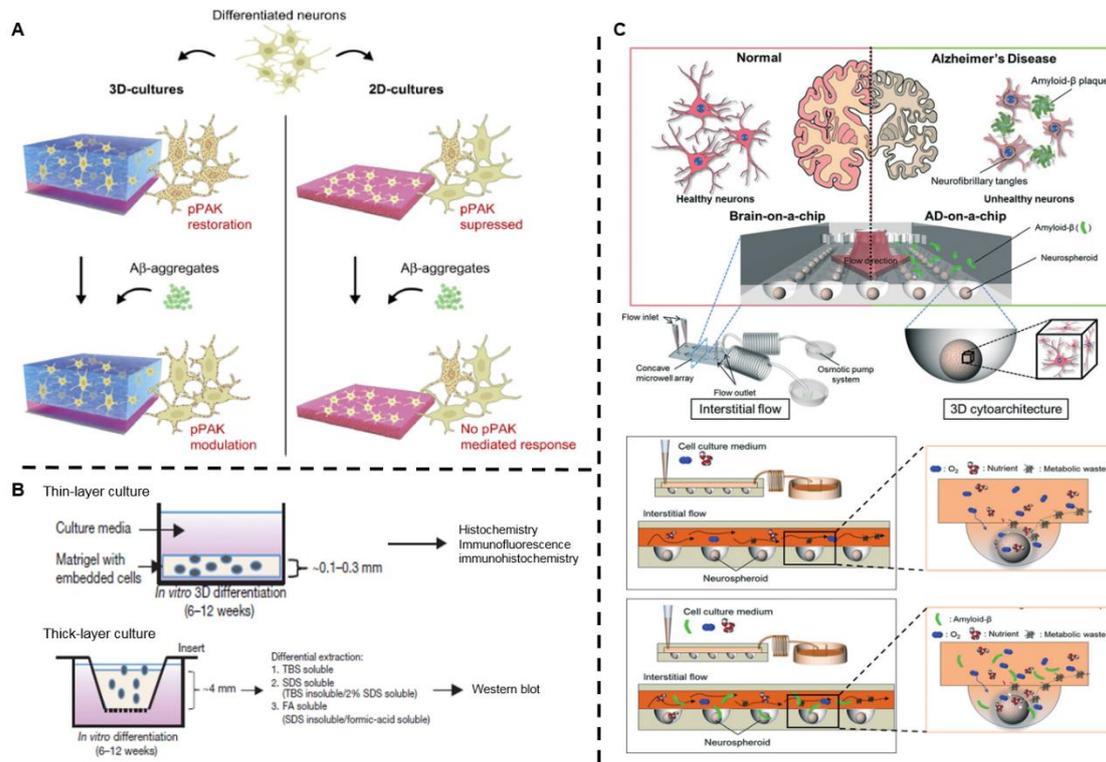
28 Subsequently, Choi et al. provided experimental validation of this  
29 hypothesis (Figure 2B) [131]. In their study, they used ReNcell VM human  
30 neural precursor (ReN) cells embedded in a Matrigel scaffolds and  
31 supplemented with neuronal growth factors. They first demonstrated the  
32 accumulation of high levels of both  $\beta$ -amyloid and phosphorylated tau. Then,  
33 they attenuated tauopathy by inhibiting  $\beta$ -amyloid generation. The findings thus

1 provided a possible causal link between these two pathological processes. The  
2 scaffold material had high levels of brain ECM proteins, providing appropriate  
3 bioactive and architectural cues for optimal cell differentiation. Furthermore,  
4 compared to 2D culture conditions, 3D culture displayed dramatically increased  
5 neuronal and glial differentiation,  $\beta$ -amyloid deposition and subsequent levels  
6 of tau isoforms. The authors postulated the reason to be bioactive cues and  
7 secreted  $\beta$ -amyloid diffusing into a large volume of media in 2D conditions with  
8 subsequent removal upon media changes. A 3D culture, on the other hand,  
9 would limit diffusion and thus accelerate neuronal and glial differentiation and  
10 aggregation of  $\beta$ -amyloid.

11 Microfluidics aims to enhance current 3D disease models to create *in vivo*-  
12 like dynamic microenvironments. In one study, Park et al. used a 3D culture-  
13 based microfluidic device as an AD model, and exposed neurospheroids to  
14 interstitial flow. Compared to static cell cultures, the dynamic culture conditions  
15 yielded larger spheroid sizes, had a greater neurite extension and an enhanced  
16 neural progenitor cell differentiation. This was likely due to the continuous  
17 supply of fresh medium and removal of metabolic waste through the flow.  
18 Additionally, exposure of neurospheroids to  $\beta$ -amyloid by an osmotic  
19 micropump remarkably decreased their viability compared to when they were  
20 exposed under static conditions (Figure 2C) [132]. The reason was believed to  
21 be the interstitial flow enabling deeper infiltration of  $\beta$ -amyloid into the  
22 neurospheroids, causing more neurons to undergo apoptosis. The addition of  
23 dynamic flow further adds to a more realistic *in vitro* brain model. This model  
24 was further enhanced by the same group to include microglial cells capable of  
25 inducing a neuroinflammatory microenvironment [133]. Using a microfluidic  
26 triculture system involving neurons, astrocytes and microglia resulted in  
27 microglial recruitment and secretion of neurotoxic soluble factors with  
28 subsequent loss of neurons and astrocytes. It was identified that chemotactic  
29 microglial recruitment depended on A $\beta$  accumulation and upregulation of  
30 chemokine (C-C motif) ligand 2/monocyte chemoattractant protein 1  
31 (CCL2/MCP-1), both factors are known to be upregulated in human AD brains.

32 Despite recent advances, the design of 3D *in vitro* models must account for  
33 some important limitations. For instance, the self-assembling nature of some

1 3D AD models makes them difficult to control, resulting in marked differences  
 2 in cell microenvironments [128] and batch-to-batch variations [134].  
 3 Furthermore, the use of iPSC-derived neurons to model AD holds several  
 4 limitations: (a) their relative developmental age does not consider the fact that  
 5 AD usually develops in adults beyond their fifth decade [135], and (b) the  
 6 variability within iPS cell lines and resulting genetic and epigenetic variations of  
 7 subsequent clones make it difficult to develop reproducible *in vitro* models [136].



8  
 9 **Figure 2.** 3D neural cell culture models for AD. (A) Schematic diagram  
 10 illustrating the differences of pPAK expression of differentiated neuron and its  
 11 responses to Aβ oligomers in 2D cultures and 3D SAP matrix cultures.  
 12 Reproduced from [128], Copyright 2014, with permission from Elsevier. CCBY-  
 13 NC-ND 3.0. (B) Schematic diagram of the thin-layer and thick-layer 3D culture  
 14 protocols as well as detergent extraction processes. Reproduced from [131],  
 15 Copyright 2014, with permission from Nature Publishing Group. (C) The  
 16 structural design of a 3D brain-mimicking microfluidic device. Normal and AD  
 17 brain-mimicking microfluidic platform with neurospheroids cultured under  
 18 dynamic conditions without or with addition of synthetic amyloid-β, respectively.  
 19 Reproduced from [132], Copyright 2015, with permission from The Royal  
 20 Society of Chemistry.

1

## 2 **4.1.2 Parkinson's disease**

3 Parkinson's disease (PD) is the second most common neurodegenerative  
4 disorder, characterised by bradykinesia, rigidity, resting tremor, and  
5 deteriorating cognitive function [137]. An abnormal accumulation of the  $\alpha$ -  
6 synuclein protein in the form of toxic Lewy bodies results in irreversible  
7 degeneration of dopamine-producing neurons originating in the substantia  
8 nigra pars compacta. PD has no cure, and current treatment options are mainly  
9 limited to dopamine replacement strategies, which are complicated by long-  
10 term movement-related side effects [138, 139]. Understanding the complex  
11 molecular pathophysiology of PD, it is crucial to developing effective therapies.  
12 However, it is nearly impossible to extract live neurons from PD patients, let  
13 alone making representative *in vitro* models to study the disease [140].

14 Stem cell-based *in vitro* models mimicking PD have thus been developed  
15 using cell cultures and synthetic scaffolds [141]. For example, iPSCs derived  
16 from PD patients have been differentiated into dopaminergic neurons exhibiting  
17 signs of PD pathophysiology *in vitro*. Up until recently, most *in vitro* studies have  
18 relied on 2D cultures to demonstrate dopaminergic differentiation of stem cells.  
19 This, as previously described, fails to fully mimic the microenvironment in a  
20 living organism. As a result, 3D SAP scaffolds composed of amino acid  
21 sequences have been developed to improve survival and differentiation of  
22 NSCs [142, 143]. SAP scaffolds could support cell viability and induce much  
23 higher differentiation of murine embryonic stem cells (ESCs) into dopaminergic  
24 neurons, compared to 2D plates ( $41.5\% \pm 3.4\%$  versus  $8.3\% \pm 1.4\%$ ) [144].  
25 This was thought to be due to the differences in spatiotemporal distribution of  
26 nutrients, cell surface receptors and other molecular signals in 3D culture  
27 environments. In particular, a higher surface exposure to midbrain patterning  
28 factors in the 3D SAP system was considered to be the crucial differentiating  
29 factor between 2D and 3D systems.

30 Although animal-derived stem cells are useful, their experimental results  
31 cannot be fully translated into human setting. Brito et al. cultured human  
32 midbrain-derived neural progenitor cells (hmNPCs) of foetal origin. Under  
33 stirred culture conditions, the hmNPCs aggregated into neurospheres and

1 differentiated towards a dopaminergic lineage [145]. The same group further  
2 developed this dynamic culture system for more efficient dopaminergic  
3 differentiation and neuronal maturation, making it suitable as a 3D *in vitro* PD  
4 model [146]. They exposed their neurospheres to the bioactive signalling factor  
5 cyclic adenosine monophosphate (cAMP). This led to significantly upregulated  
6 dopaminergic markers since cAMP is thought to promote the differentiation,  
7 maturation, and survival of midbrain dopaminergic neurons [147, 148]. These  
8 studies demonstrate the relevance of 3D *in vitro* neurospheres in elucidating  
9 PD pathogenesis. By taking advantages of neuronal precursor cells to self-  
10 organise into 3D structures [19, 149], one can mimic the fundamental  
11 processes of brain development [150]. This provides significant advantages in  
12 recapitulating physiological and pathophysiological mechanisms of brain  
13 function and dysfunction. Nevertheless, the foetal origin of the neural progenitor  
14 cells limits their clinical relevance in modelling PD associated with old age.

#### 15 16 **4.1.3 Multiple sclerosis**

17 Multiple sclerosis (MS) is a chronic inflammatory disorder of the CNS,  
18 characterised by immune cell infiltration, demyelination, axonal degeneration,  
19 and gliosis [151]. Myelin is essential for the efficient transmission of action  
20 potentials throughout the nervous system. Following demyelination, for  
21 unknown reasons, only limited remyelination occurs [152], characterised by a  
22 smaller diameter of myelin [153]. It is therefore crucial to develop reproducible  
23 *in vitro* models to study how demyelination happens, and what biochemical and  
24 biophysical cues can induce better remyelination in MS.

25 For instance, Harrer et al. exposed murine organotypic cerebellar slice  
26 cultures (OSCs) to demyelinating factors to characterise their *in vitro*  
27 regenerative abilities [154]. This *ex vivo* system was found to be useful for  
28 evaluating therapeutic strategies to prevent demyelination and enhance  
29 remyelination in MS patients. However, disadvantages like loss of myelin during  
30 staining and questionable data caused by insufficient diffusion of  
31 demyelination-inducing agents through whole brain slices remained. For these  
32 reasons, Vereyken et al. developed 3D whole brain spheroid aggregates *in vitro*,  
33 in which all cell types of the CNS were represented [155]. They exposed the

1 single cell suspensions of rodent embryonic brains to constant rotational forces  
2 on a gyratory shaker. These continuous mechanical cues supported the  
3 spherical aggregation of embryonic brain cells into 3D spheroids. Once cultured  
4 for 4 weeks, myelin formation was detected throughout the whole spheroid.  
5 Exposure of spheroids to lysophosphatidylcholine (LPC), an agent used to  
6 induce demyelination [156], led to isolated myelin breakdown while sparing  
7 axons and causing little astrogliosis. They achieved remyelination thanks to  
8 OPCs present within whole brain spheroids, which provide mitogenic cues [157]  
9 and trophic factors [158] that were required for myelin production and  
10 unaffected by LPC. Furthermore, LPC toxicity could be attenuated by  
11 compounds such as simvastatin, which supports process extension and OPC  
12 differentiation. These results demonstrate that this 3D *in vitro* model can model  
13 demyelination and investigate interventional strategies.

#### 14 15 **4.1.4 Huntington's disease**

16 Huntington's disease (HD) is an incurable hereditary neurodegenerative  
17 disorder, affecting around 5-7 individuals out of 100,000 (lower in Asian  
18 countries) [159]. It is inherited in an autosomal dominant fashion, typically  
19 manifesting between the ages of 35-55 with changes in personality, cognition,  
20 and motor skills [160]. The clinical course of HD is progressive for over many  
21 years, ultimately leading to severe brain atrophy and death [161]. For  
22 individuals affected with HD, there is an expansion of CAG repeat region within  
23 the huntingtin (HTT)-encoding genes, resulting in aggregates of polyglutamine  
24 [162].

25 Many stem cell-based 2D *in vitro* HD models have been developed [163-  
26 165], but a limited number of relevant physiological models exist. Similarly,  
27 animal models, for the aforementioned reasons, are increasingly falling out of  
28 favour. Therefore, researchers have developed more suitable *in vitro* systems  
29 to elucidate HD pathophysiology. For example, Zhang et al. used suspensions  
30 of self-aggregating HD-iPS cells to generate NSCs [166]. Within this 3D system,  
31 the HD-NSCs differentiated into striatal neurons containing the same CAG  
32 expansion found in the HD patient from whom the iPS cell line was established.  
33 Such differentiated cells could serve as a human HD cell model to analyse its

1 pathophysiology or for drug screening. Despite some success in developing  
2 stem cell-based 3D *in vitro* cultures, most knowledge about the molecular  
3 pathways of HD still comes from analyses of HD mouse models or post-mortem  
4 HD tissue. With the help of patient-derived iPSCs, new avenues of elucidating  
5 the pathophysiology of HD will be available in the future.

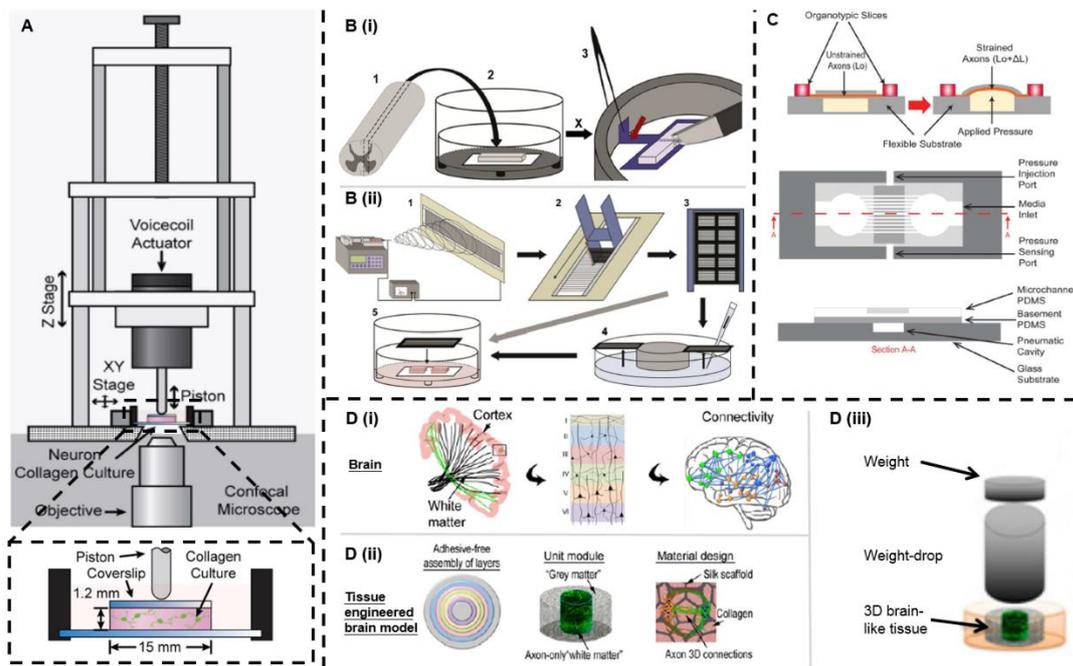
## 6 7 **4.2 Traumatic injury models**

8 Traumatic brain injury (TBI) is amongst the most serious public health problems  
9 worldwide [167]. It is usually caused by an external physical impact resulting  
10 from falls, sports injuries, motor vehicle accidents, and explosions [167].  
11 Currently, there are very few effective treatments available [12]. This is because  
12 the CNS has a limited regenerative capacity due to the lack of Schwann cells  
13 in peripheral nervous system. Moreover, glial scar tissue containing nerve  
14 growth inhibitory factors acts as a mechanical and biochemical barrier for both  
15 axon growth and myelination [168].

16 *In vitro* models of CNS trauma are typically obtained by using different  
17 mechanical stimuli, such as compression, stretch, and laceration. For example,  
18 Bar-Kochba et al. identified the effects of impact strain and strain rate on  
19 primary cortical neurons embedded in collagen gels (Figure 3A) [169]. In  
20 another study, Weightman et al. used a modified scalpel to sever an organotypic  
21 spinal cord slice and create an *in vitro* spinal cord injury (SCI) model. They then  
22 examined cell-nanomaterial interactions in such an injury-simulating  
23 environment (Figure 3B) [170]. This model not only replicated cellular  
24 responses to *in vivo* neurological injury, but also demonstrated that aligned  
25 topography could induce the outgrowth and alignment of astrocytes and  
26 neurons within injury sites. In another study, Zuidema et al. observed a similar  
27 effect of topography on cells following SCI. They seeded astrocytes and  
28 neurons on an anisotropic-to-isotropic electrospun poly-L-lactic acid (PLLA)  
29 fibre/film, which resembled the SCI-induced structural changes [171]. They  
30 showed that neurite outgrowth was aligned on the fibrous parts of the  
31 biomaterial, but reduced when approaching the isotropic, non-fibrous domains.

32 More recently, Dolle et al. used a microfluidic device to model diffuse axonal  
33 injury, one of the most common pathological features of TBI (Figure 3C) [172].

1 In the device, they cultured organotypic brain slices on a polydimethylsiloxane  
 2 substrate. This model could precisely control the strain on individual axons or  
 3 bundles of axons in a 3D environment. After applying external pressure to cells,  
 4 they observed axonal responses that are typically seen *in vivo* following human  
 5 brain injury. This model enables repeated testing and is a non-invasive research  
 6 platform.



7  
 8 **Figure 3.** 3D *in vitro* CNS traumatic injury models. (A) Schematic diagram of  
 9 neuronal compression model fitted onto a confocal microscope for  
 10 spatiotemporal nerve injury analysis. Reproduced from [169], Copyright 2016,  
 11 with permission from Nature Publishing Group. CCBY-NC-ND 4.0. (B)  
 12 Schematic diagram of (i) the production of organotypic lesioned spinal cord  
 13 slice cultures and (ii) the fabrication of the aligned electrospinning nanofibers  
 14 to cover the lesioned slices. Reproduced from [170], Copyright 2014, with  
 15 permission from Elsevier. (C) Schematic diagram (before and after strain  
 16 application, top and sectioned views) of the organotypic uniaxial axonal strain  
 17 model. Reproduced from [172], Copyright 2013, with permission from The  
 18 Royal Society of Chemistry. (D) Schematic diagram of the 3D brain-like cortical  
 19 tissue model. (Di) The architectural features of the brain; (Dii) The design  
 20 concept of the modular brain-like tissue model; (Diii) The design of TBI model  
 21 to study the brain-like tissue responses by the weight-drop impact on the tissue.  
 22 Reproduced from [173], Copyright 2014, with permission from Proceedings of

1 the National Academy of Sciences USA.

2  
3 To further engineer functional 3D *in vitro* brain-like cortical tissues, Tang-  
4 Schomer et al. designed a compartmentalised model. They seeded primary  
5 neurons in a porous silk scaffold and filled the space with a collagen gel (Figure  
6 3D) [173]. The scaffold-gel composites demonstrated mechanical properties  
7 comparable to rodent brain tissue and maintained the neural culture for months.  
8 They used a weight-drop model to induce TBI and demonstrated impact force-  
9 dependent injury responses. Furthermore, the model exhibited excitatory  
10 neurotransmitter glutamate release, and impact-induced transient hyperactivity  
11 which was similar to *in vivo* conditions.

### 12 13 **4.3 Neurodevelopmental disorder models**

#### 14 **4.3.1 Epilepsy**

15 Epilepsy encompasses a variety of syndromes which predispose the  
16 affected individual to generating an abnormal, transient discharge of neurons  
17 in the brain, or a seizure [174]. Depending on the brain region involved, the  
18 effects of seizures range from changes in cognition, convulsions and unusual  
19 sensations. Realistic *in vitro* disease models of epilepsy are useful for toxicity  
20 testing of new pharmacological agents and elucidating underlying mechanisms  
21 of seizure generation. Additionally, *in vitro* models enable the discovery of side  
22 effects of the tested drugs early on, thus reducing time and cost. Unfortunately,  
23 current models heavily rely on expensive animal work, rendering their results  
24 possibly untranslatable to the human scenario. Ideally, an *in vitro* model of  
25 epilepsy should employ cell types found in the human brain capable of forming  
26 functional neurophysiological networks and displaying seizure-like activity. It  
27 should further be amenable to high-throughput drug screening [175]. Currently  
28 available *in vitro* seizure models including their advantages and limitations are  
29 summarized in Table 1.

1 **Table 1.** Comparison of *in vitro* seizure models (Reproduced from [175],  
 2 Copyright 2018, with permission from copyright owner)  
 3

<b>Model</b>	<b>Advantages</b>	<b>Limitations</b>
Acute slice assay	✓ Validated representative of <i>in vivo</i> adult rodent brain ✓ Defined cytoarchitecture ✓ “Gold standard”	× Difficult inter-species extrapolation × Damage to cytoarchitecture and neuronal projections during preparation × Low throughput
Organotypic slice culture	✓ Representative of <i>in vivo</i> rodent ✓ Retains functional tissue networks	× Difficult inter-species extrapolation × Neonatal source, so not representative of matured system × Time consuming
Primary CNS culture	✓ Representative of <i>in vivo</i> cell types ✓ Validated model ✓ Higher throughput than slices	× Difficult inter-species extrapolation × Loss of 3D structure × Not representative of multicellular architecture of <i>in vivo</i> CNS
iPSC-derived culture	✓ Human-based, humanoid pheno- and genotype, can model genetic component of epilepsy of patients with specific mutations ✓ Fewer ethical considerations	× Expensive and time consuming × No standard protocol

4  
 5 **4.3.2 Autism**

6 Autism spectrum disorder (ASD) is a complex and heterogeneous  
 7 neurodevelopmental disorder, which is usually defined as deficits in social  
 8 communications, interactions, and restricted, repetitive patterns of behaviours.

1 In some cases, ASD can cause cognitive delay. Little is known about the  
2 pathophysiology of ASD [176]. Animal models still cannot fully recapitulate such  
3 disorders, due to the broad spectrum of behavioural phenotypes and the  
4 inherent difficulty in creating them in rodents. During differentiation of normal  
5 human neural progenitor cells, many ASD-related genes and signalling  
6 pathways are highly co-expressed indicating the neurodevelopmental origin of  
7 ASD [177, 178]. Thus, relevant models should focus on the early disease  
8 development during which genes and environmental factors may play a  
9 significant role.

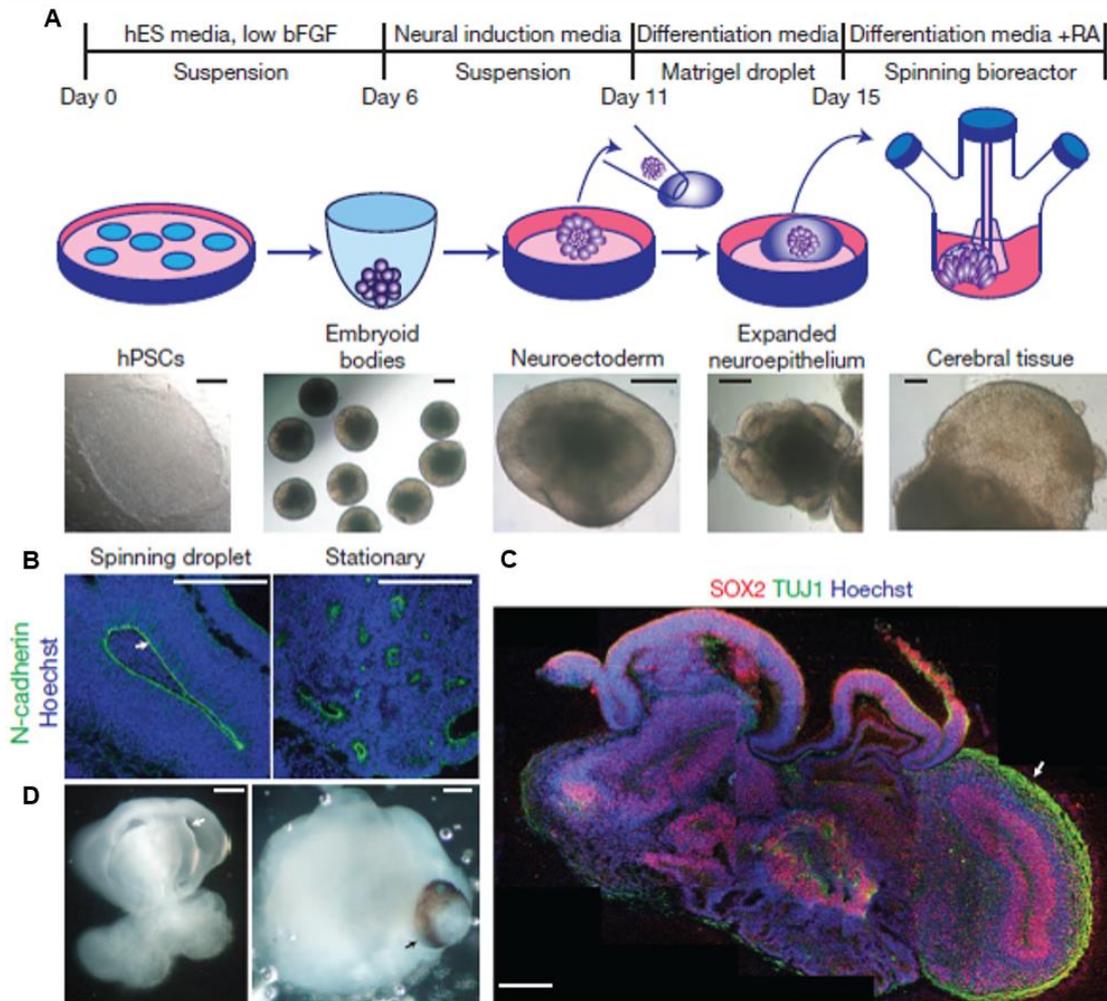
10 In a recent study, Mariani et al. developed 3D neural cultures in organoids  
11 derived from iPSCs to explore neurodevelopmental variations in patients with  
12 severe ASD [179]. While they did not identify a single underlying genomic  
13 mutation, gene network analyses showed an upregulation of genes involved in  
14 cell proliferation, neuronal differentiation, and synaptic assembly. Organoids  
15 developed from individuals with ASD displayed an accelerated cell cycle and  
16 overproduction of GABAergic inhibitory neurons, which are hypothesised to be  
17 an underlying cause of ASD.

18 Rett syndrome (RTT), while not technically part of ASD according to  
19 symptomatology, shares commonalities with ASD in its early stages [180, 181].  
20 Maria et al. isolated fibroblasts from patients with RTT symptoms, and infected  
21 them with retroviral reprogramming vectors [182]. After culture for 2-3 weeks,  
22 compact iPSC colonies appeared in the background of fibroblasts, and then  
23 were manually picked up and transferred to Matrigel. They dissociated the cell  
24 clusters and plated them onto low-adherence culture dishes for 5-7 days to  
25 obtain embryoid bodies (EBs) for neural differentiation. The formed EBs were  
26 then transferred and plated on poly-ornithine/laminin-coated dishes. After a  
27 week of culture, EB-derived rosettes became visible and were collected for use.  
28 The RTT-iPSCs maintained the ability to undergo X-inactivation and generate  
29 proliferating NSCs and functional neurons. This model has the potential to  
30 recapitulate the early stages of some neurodevelopmental diseases. It may also  
31 be a promising tool for disease diagnosis, drug screening, and personalised  
32 treatment for RTT and ASD.

1 Thus far, EBs are one of the most common methods of modelling  
2 neurodevelopmental disorders *in vitro*. However, it remains questionable  
3 whether these models can reflect neurodegenerative diseases accurately  
4 enough to draw clinically relevant conclusions. Much more research is required  
5 to progress from the current stages of infancy to technically mature models.

### 6 7 **4.3.3 Microcephaly**

8 Microcephaly is another neurodevelopmental disorder characterised by a  
9 reduction in brain size. Currently, the underlying causes and mechanisms of  
10 microcephaly are poorly understood [183], and there is still no available  
11 treatment. To address this issue, human iPSC-derived cerebral organoids have  
12 been applied in the study of microcephaly [28, 184]. For example, Lancaster et  
13 al. developed an *in vitro* model using fibroblast-derived iPSCs taken from a  
14 patient with severe microcephaly [28]. These iPSCs self-assembled into 3D  
15 EBs and were embedded into droplets of Matrigel to provide an *in vivo*-like  
16 scaffold (Figure 4). Continuous spinning acted as a mechanical cue to ensure  
17 optimal nutrient absorption, resulting in the rapid development of brain tissues  
18 within 20-30 days. Compared to control EBs, those derived from microcephalic  
19 iPSCs demonstrated smaller neural tissues with only a few progenitors, and  
20 exhibited premature differentiation into neurons. This phenotype could be  
21 reversed by reintroducing CDK5RAP2, a protein that causes premature  
22 neuronal death when mutated and is associated with microcephaly. This model  
23 recapitulates some fundamental mechanisms of mammalian  
24 neurodevelopment and could be used in the future to develop interventions to  
25 prevent the development of microcephaly in utero.



1  
2 **Figure 4.** (A) Schematic demonstration of the 3D cerebral organoids cell culture  
3 system. (B) Neuroepithelial tissues produced by this technique. (C)  
4 Immunohistochemical stains of neural progenitors (SOX2, red) and neurons  
5 (TUJ1, green) showing complex, heterogeneous regions of cerebral organoids.  
6 (D) Reminiscent ventricles (fluid-filled cavities) shown with white arrow and  
7 retina tissue shown with black arrow. Scale bar: 200  $\mu\text{m}$ . Reproduced from [28],  
8 Copyright 2013, with permission from Nature Publishing Group.

9  
10 **4.4 Other disease models**

11 Migraine is a common neurological disorder characterised by moderate to  
12 severe headaches, typically with throbbing or pulsating sensations which can  
13 significantly reduce the quality of life. It usually lasts from a few hours up to a  
14 day, heavily inhibiting the productivity of patients [185]. Cortical spreading  
15 depression (CSD), which is considered to be the physiological mechanism  
16 behind the migraine aura, is a propagating wave of large-scale grey matter

1 depolarisation. To better understand it, Tang et al. developed a CSD model  
2 using a microfluidic platform and mouse organotypic brain slices [186]. Through  
3 precise focal control of the chemical stimuli (potassium ions) in different areas  
4 of the cortical layers, they found that CSD may be induced under conditions  
5 related to brain damage and awake behaving states such as migraine.

6 Another CNS disorder called neuronal migration disorder usually occurs  
7 when developing neurons are unable to migrate to the appropriate areas within  
8 the brain. Possible causes include genetic mutations and deletions of genes,  
9 which cause denaturing in microtubules and actin-associated proteins,  
10 disrupting the accurate cortical patterning in the cytoskeleton development  
11 [187]. It is possible to study the genotype-phenotype correlations between  
12 mutated genes and neuronal migration disorder by observing how neurons  
13 arise from NSCs and migrate to the CNS [188]. More recently, Bamba et al.  
14 created a disease model using iPSCs derived from the cerebral cortex of a  
15 lissencephaly patient. They used the serum-free embryoid body-like aggregate  
16 (SFEB) method to develop brain-like structures in floating culture [189]. This  
17 model recapitulated the pathogenesis of human neuronal migration disorder  
18 and enabled the team to observe the real-time behaviour of human cortical  
19 neurons for a long time.

20 Friedreich's ataxia (FRDA) is another type of pathogenic mutation, and is  
21 caused by a transcriptional defect in the frataxin gene [190]. Atrophy of sensory  
22 and cerebellar pathways resulted in ataxia, dysarthria, unstable fixation, loss of  
23 deep sensory and tendon reflexes, later leading to a heightened risk of diabetes  
24 and death-inducing cardiomyopathy [191]. To understand how FRDA develops,  
25 Hick et al. isolated neural precursors and cultured them in suspension to form  
26 neurospheres. The neurospheres extended in all directions and formed a dense  
27 network over a month, thus mimicking the process of neural development [192].  
28 FRDA iPSC-derived neurons showed not only GAA expansion instability but  
29 also signs of mitochondrial functional damage.

30 Last but not least, Schizophrenia (SCZ), a severe psychiatric disorder, is  
31 characterised by delusions, hallucinations, social withdrawal, cognitive deficits,  
32 and loss of emotion and motivation [193]. Currently, iPSC-derived neurons from

1 the fibroblasts of schizophrenic patients are the gold standard for developing  
2 SCZ models [194-196].

3 Paulsen Bda et al. used such model to study oxygen metabolism in SCZ,  
4 and correlated SCZ development with changes in the levels of oxygen  
5 consumption and reactive oxygen species [196]. Despite some unresolved  
6 limitations, the iPSC-derived disease models are predicted to provide further  
7 insight into the molecular and cellular underpinnings during the initiation and  
8 progression of SCZ.

## 9 10 **5. Conclusion and future prospective**

11 The extracellular microenvironment is tremendously important in controlling the  
12 behaviour of CNS cells. *In vitro* models that mimic the natural microenvironment  
13 will enable one to study CNS pathology or effects of potential medications. In  
14 this review, we have outlined the typical parameters required to recreate CNS  
15 microenvironments including ECM, biological, architectural, mechanical and  
16 electrical cues. We have also described the commonly used techniques to  
17 engineer the abovementioned cues. Lastly, we have reviewed various CNS  
18 disease models that researchers have developed.

19 To date, most CNS disease and injury models have been designed primarily  
20 to elucidate known or suspected mechanisms, or to validate isolated  
21 observations. In addition, no single device has the capacity to completely  
22 reconstruct the *in vivo* CNS environment. Besides the challenge of incorporating  
23 every single cell type in their respective representative numbers, the realistic  
24 reconstruction of a functional 3D CNS microenvironment is commonly limited  
25 by a lack of or delayed vascularization of the core of the 3D construct. This, in  
26 turn, increases the risk of core necrosis and system failure. It becomes more  
27 and more evident that a multi-dimensional approach to tissue engineering is  
28 required to further understand the intricate workings of such microenvironments.  
29 Future efforts to engineer 3D CNS microenvironments will require a  
30 combination of different technologies while mimicking multiple aspects of the  
31 native CNS microenvironment. For *in vitro* and *ex vivo* tissue cultures, they are  
32 most likely to comprise a designer ECM scaffold inoculated with NSCs or their  
33 progeny cells, as well as a second, or even third, cell microenvironment

1 component. In addition, strategies to accelerate 3D vascular network formation,  
2 such as employing 3D scaffolds with prefabricated tubular networks could  
3 assist in rapid generation of realistic CNS microenvironments. With continuous  
4 advances, we envision that future CNS disease models will make it possible to  
5 fully elucidate specific mechanisms and to identify new treatment strategies.

## 6 7 **Acknowledgment**

8 This work is financially supported by the National Natural Science Foundation  
9 of China (11702233) and the start-up fund (1-ZE7S) and central research fund  
10 (G-YBWS) from the Hong Kong Polytechnic University.

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