

# 1 **Effects of height-asymmetric street canyon configurations on outdoor** 2 **air temperature and air quality**

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8

## 9 **Abstract:**

10 This paper investigates the effects of height-asymmetric street canyon configurations  
11 on air temperature and air quality at the pedestrian level using the ANSYS Fluent®  
12 software. The study concerns the situation with a subtropical city where there is a  
13 predominant wind direction (as is the case in, e.g., Hong Kong) and where the direction  
14 of that wind is perpendicular to the street canyon, since this is the worst-case from air  
15 pollution and overheating point of view. In particular, this North-South oriented street  
16 has been studied with the realistic solar irradiance at two different sun directions,  
17 corresponding to morning (08:00) and afternoon (16:00) hours, respectively. Two step-  
18 up and two step-down North-South oriented street canyons are considered under two  
19 different incoming wind speeds (high and low). The corresponding ratios of upwind  
20 and downwind building heights are  $= 1/3, 2/3$  and  $3/1, 3/2$ , respectively.

21 The results demonstrated that for the step-up canyon, a higher upwind building was  
22 found to produce a hotter air temperature only at a low wind speed and polluted more  
23 severely at both high and low wind speeds, compared with its lower upwind building  
24 counterpart. In contrast, for the step-down canyon, a higher downwind building was  
25 found to produce cooler air temperatures at both high and low wind speeds and  
26 accumulated more pollutants only at a low wind speed, compared with its lower  
27 downwind building counterpart. On the other hand, at the high wind speed, both air  
28 quality and thermal environment were better in the step-up canyon than in the step-  
29 down canyon. However, at the low wind speed, the air quality was higher in the step-  
30 down canyon than the step-up canyon, while the step-up canyon still provided better

1 thermal environment than the step-down canyon. Moreover, a Richardson number ( $Ri$ )  
2 for the asymmetric street canyons is defined for the evaluation of the buoyancy force  
3 versus the inertial force. When  $|Ri| > 20$ , the flow field was mainly dominated by natural  
4 convection, and an increase of  $|Ri|$  resulted in an increase in the air temperature and a  
5 decrease in the pollutant concentration. In contrast, when  $|Ri| < 20$ , the flow field was  
6 dominated by forced convection, and the variation of  $|Ri|$  had an insignificant influence  
7 on air quality and air temperature. The simulated pollutant concentration and thermal  
8 environment results were further processed to obtain optimization guidelines for a  
9 north-south asymmetric canyon in the city centers of Hong Kong via the application of  
10 multivariate regression analysis with a group of dimensionless parameters. These  
11 guidelines will facilitate the renewal of north-south asymmetric street canyons while  
12 enhancing air quality and lowering air temperature by serving as a reference for  
13 architects.

14 **Keywords:** Asymmetric street canyon; outdoor thermal comfort; air quality; realistic  
15 solar radiation; computational fluid dynamics

## 16 **1. Introduction**

17 Recently, urban renewal has become a critical issue throughout the transitional  
18 periods of large- and medium-sized cities all over the world [1–4]. By replacing old and  
19 low constructions with high-rise buildings, the resulting rise of the urban air  
20 temperature and deterioration of the urban air quality can be expected to affect humans  
21 if no careful urban planning is conducted for these high-rise buildings [5–10]. The  
22 rational utilization of urban renewal and the development of more comfortable urban  
23 spaces have become significant research areas due to health concerns [11–15]. Thus,  
24 the objective of this study is to propose a practicable asymmetric street canyon model  
25 for the simultaneous realization of higher air quality and lower air temperature.

26 During the urban renewal process, there are always variations in terms of building  
27 height; thus, urban structures often present irregular building patterns in urban canyons  
28 [16]. However, the effect of the uneven building layout is often neglected. According

1 to Gu et al. [17], studies that are based on uniform street canyon models cannot identify  
2 the flow structure in non-uniform street canyons. Consequently, investigation of the  
3 effects of asymmetric urban arrangement will facilitate our understanding of pollutant  
4 and heat dispersion in actual urban areas and enable us to create better outdoor  
5 environments at the phase of urban renewal.

6 A few studies have focused on asymmetrical urban structures for the enhancement  
7 of ventilation or the reduction of thermal stress, which are summarized in Table 1. The  
8 following general observations are made:

9 (i) Without considering solar radiation  
10 Xie et al.[18], Yang et al.[19], and Hao et al.[20] reported that the flow patterns  
11 are strongly related to the asymmetry aspect ratio (the ratio between the leeward  
12 and windward building heights) for 2D models. The change in flow patterns  
13 could further affect the dilution potential of in-canyon traffic-induced pollutants.  
14 Hence, Assimakopoulos et al. [21] and So et al.[22] compared the pollutant  
15 concentration in step-up and step-down street canyons. It is found that pollutant  
16 levels are raised in a step-down street canyon (higher buildings upstream) but  
17 reduced in a step-up street canyon (higher buildings downstream) in two-  
18 dimensional (2D) models. Moreover, the influence of asymmetric aspect ratios  
19 (upwind building height to downwind building height) on the pollutant  
20 dispersion process was investigated by Yang et al. [19] and Hao et al. [20]. Yang  
21 et al. [19] showed that asymmetric aspect ratios from  $2/7$  to  $7/2$  are favorable  
22 for the realization of higher air quality. According to Hao et al. [20], an increase  
23 of the height of a windward building of the step-up notch hinders the diffusion  
24 of pollutants. Furthermore, the ventilation potential and flow characteristics of  
25 the 3D asymmetric street canyons were discussed. Gu et al. [17] and Miao et al.  
26 [23] determined that an asymmetric configuration (step-up or step-down street  
27 canyon) could provide better ventilation because the lower parts of the street  
28 canyon are occupied by the divergent flows of the strong downdraft flow. Park

1 et al. [24] investigated the flow characteristic around step-up street canyons with  
2 a various building aspect ratio (building length-width ratio and asymmetric  
3 aspect ratio). With an increase in the building length-width ratio, the in-canyon  
4 flows can undergo development and mature stages. Besides, a deep asymmetric  
5 aspect ratio causes a strong downward airflow in the center of the street canyon  
6 but a weak outward airflow near the ground, particularly at the mature stage.  
7 Similarly, Addepalli and Pardyjak [25,26] found that the topological flow  
8 features around the asymmetric street canyon are largely sensitive to the ratio  
9 of upwind and downwind building heights.

10 (ii) With the consideration of solar radiation

11 Xie et al. [27] investigated the impact of solar radiation on pollutant dispersion  
12 in two asymmetric 2D street canyons. The results demonstrated that the  
13 pollutant concentration increases when the windward side of the step-up notch  
14 or the leeward side of the step-down notch is heated. Qaid and Qssen [28]  
15 reported that asymmetrical streets outperform shallow symmetry streets in  
16 enhancing wind flow and blocking solar radiation to provide superior thermal  
17 comfort. Similarly, Rodríguez-Algeciras et al.[29] showed that high facades that  
18 are located on the east-facing side of north-south (N-S) streets reduce the  
19 thermal burden effectively.

20 Although previous studies have provided many findings regarding the design of  
21 uneven building layouts for the realization of higher air quality or a better thermal  
22 environment, they are still not sufficient for the formulation of guidelines for practical  
23 urban planning to reduce thermal stress and improve air quality simultaneously. First,  
24 the effect of solar radiation was neglected by most previous studies on asymmetric  
25 street canyons. In practice, the thermally induced flow is typically combined with the  
26 mechanically induced flow, which significantly affects the flow field and dispersion of  
27 pollutants and heat[30–33]. Second, most of the papers focused on either air  
28 temperature or air quality only when solar radiation was considered; only very limited

1 studies addressed the increasingly severe issues of air temperature and air quality  
 2 simultaneously[34]. Finally, the effect of the incoming wind speed should be considered  
 3 to cover different atmospheric wind conditions with the consideration of solar radiation  
 4 [35,36]. Notably, the step-up canyon is easily switched to the step-down canyon with  
 5 a significant variation of prevailing wind direction. It, then, makes little sense to study  
 6 the difference of step-up and step-down canyons. However, for some cities, there could  
 7 be a very clear prevailing wind direction. In Hong Kong, the east wind is quite  
 8 prominent for the whole year [37], as shown in Table A.1. Accordingly, it is important  
 9 to differentiate the step-up street canyon from the step-down canyon.

10 On the basis of this background, the objectives of this study are 1) to simulate  
 11 emissions from vehicle exhausts and the thermal environment under the effects of solar  
 12 radiation; 2) to evaluate the effects of realistic solar radiation and the corresponding  
 13 shading effects for various configurations (the step-up and step-down street canyons)  
 14 under two different incoming wind conditions; and 3) to identify critical building  
 15 configurations that would enhance ventilation and improve the thermal environment  
 16 for some tropical or subtropical cities suffering strong urban heat island effects and poor  
 17 air quality (e.g. Hong Kong, Singapore and Kuala Lumpur)[38].

18 Table 1 Overview of Computational Fluid Dynamic (CFD) studies on the urban microclimate problem  
 19 (air quality and air temperature) in asymmetric street canyons

Study	Ref.	Solar radiation	Focus	Sensitivity analysis	Street configuration	Main conclusion
Assimakopoulos et al. 2003	[21]	No	AQ	a	2D	Pollutant level is increased in the step-down notch but decreased in the step-up notch
Xie et al. 2005	[18]	Yes	AQ	a, c	2D	Pollutant concentration is increased when the windward side of the step-up notch or the leeward side of the step-down notch is heated
So et al. 2005	[22]	No	AQ	a	2D	The downstream buildings of the step-down notch could hinder the dilution of pollutant compared to those of the step-up notch.
Xie et al. 2006	[27]	No	AQ	a	2D	Three regimes were defined according to the street width and the asymmetric aspect ratio
Gu et al. 2011	[17]	No	AQ	a	3D	Uneven building layouts can improve the dispersion of pollutants
Miao et al.	[23]	No	AQ	a	3D	Asymmetric configuration (step-up

2014						or step-down street canyon) could provide better ventilation
Qaid and Qssen 2015	[28]	Yes	AT	a, b	3D	Asymmetrical streets outperform low-symmetry streets in enhancing wind flow and blocking solar radiation
Yang et al. 2017	[19]	No	AQ	a	3D	Asymmetric aspect ratios from 2/7 to 7/2 are favorable for realizing higher air quality
Rodríguez-Algeciras et al. 2018	[29]	Yes	AT	a, c	3D	High facades that are located on the east-facing side of N-S streets effectively reduce thermal stress
Hao et al. 2019	[20]	No	AQ	a	2D	Increase of the heights of the windward buildings of a step-up notch hinders the diffusion of pollutants. The canyon with asymmetric aspect ratios =6/5 has the lowest pollutant concentration.

1 Notes: AQ= Air quality, AT= Air temperature. The “Sensitivity analysis” entry refers to aspects that have been  
2 investigated in each study: (a) the street canyon geometry (asymmetric  $H/W$  aspect ratio and street width), (b) the  
3 ambient wind parameters (wind velocity and direction), and (c) the distribution and strength of the surface thermal  
4 flux (solar position, temperature difference between wall and air, and shading effect).

## 5 **2. Methodology**

### 6 **2.1 CFD numerical model**

#### 7 **2.1.1 Model description and simulation setup**

8 Two urban renewal processes that are associated with asymmetric street canyons  
9 are investigated in this study: 1) The high building that is present, the low building that  
10 will be rebuilt, and the height to which this building should be rebuilt to realize our  
11 objectives will be explored. 2) One of the buildings of a symmetric street canyon will  
12 be replaced by a higher building, and the side of the street on which it should be rebuilt  
13 will be investigated.

14 A step-up (or step-down) street canyon, as illustrated in Fig. 1(a), is defined as a  
15 street canyon in which the upstream building height ( $H_1$ ) is smaller (or larger) than the  
16 downstream building height ( $H_2$ ) with a fixed street width of  $W = 20$  m, a building  
17 width of  $W_b = 20$  m, and a building length of  $L = 100$  m. As illustrated in Fig. 1(b),  
18 four configurations with various asymmetric aspect ratios, which are defined as  $H_1/H_2$ ,  
19 were considered for these two urban renewal processes. In June, the wind speed of

1 Hong Kong occurs most frequently at 3 m/s from the east (measured at the height of 32  
2 m above sea level)[37]. Considering the perpendicular wind generally yields a worse  
3 air quality within the street canyon[39]. The street orientation was set as a North-South  
4 direction (Fig.1 (a)), accordingly.

5 The theoretical model, which was formulated in ANSYS/Fluent® CFD software  
6 (Release 15.0), was used to simulate the flow of ambient wind over an asymmetric  
7 street canyon (step-up or step-down) under the effect of realistic solar heating for the  
8 evaluation of air temperature and air quality at the pedestrian level (1.5m above the  
9 ground). According to the practice guidelines by Tominaga et al.[40], the dimensions  
10 of the computational domain are based on the parameter  $H_{max}$  ( $= \max(H_1, H_2)= 60$  m)  
11 as follows: the axial distance between the velocity inlet and the windward face of the  
12 upstream building is  $5 H_{max}$ , the transverse distances between the sidewalls of the  
13 buildings and the symmetric boundaries on both ends are all  $5 H_{max}$ , and the outlet  
14 boundary is  $15 H_{max}$  from the leeward faces of the downstream buildings, as specified  
15 in Fig. 1 (a).

16 In addition to simulations for identifying the effect of the aspect ratio, simulations  
17 were conducted for steady-state weather conditions at LSTs (local solar times) of 8 am  
18 (0800 LST) and 16 pm (1600 LST) on a clear summer day in Hong Kong (latitude:  
19  $22^{\circ}18'$  N, longitude:  $114^{\circ}10'$  E; date: June 15) with two different inlet temperatures  
20 ( $27.3$  °C for 8 am and  $29.1$  °C for 16 pm). As shown in Fig. 1 (a), the sun rises in the  
21 east in the morning (8 am) and sets in the west in the afternoon (16 pm). Additionally,  
22 two different incoming airflow conditions (0.5 m/s for low wind speed and 3 m/s high  
23 wind speed) are considered for various Richardson numbers. The profiles of the neutral  
24 ABL velocity ( $U_{ABL}$ ), turbulent kinetic energy ( $k$ ), and turbulence dissipation rate ( $\varepsilon$ )  
25 were resolved as[41]

$$26 \quad U_{ABL} = \frac{u_{ABL}^*}{K} \ln\left(\frac{z+z_0}{z_0}\right), \quad (1)$$

$$k = \frac{(u_{ABL}^*)^2}{\sqrt{C_\mu}}, \quad (2)$$

$$\varepsilon = \frac{(u_{ABL}^*)^3}{K(z + z_0)}, \quad (3)$$

where  $u_{ABL}^*$  is the ABL friction velocity, which can be calculated from the reference wind speed of  $U_{ref}=0.5$  m/s (low wind speed) or 3 m/s (high wind speed) at a reference height  $h$  (32 m) using Eq. (4):

$$u_{ABL}^* = \frac{KU_{ref}}{\ln\left(\frac{h + z_0}{z_0}\right)}. \quad (4)$$

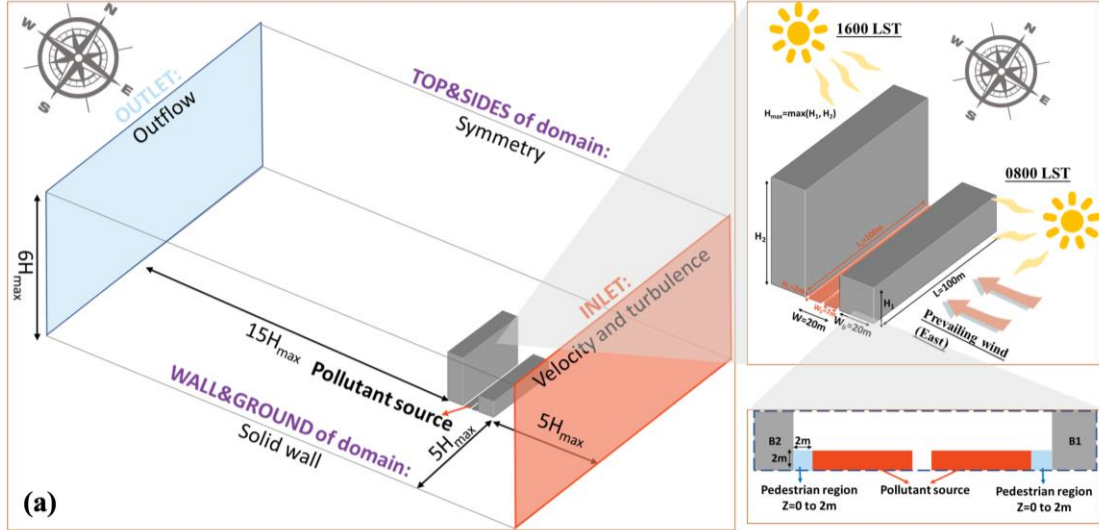
In Eq. (4),  $K$  and  $z_0$  are the von Karman's constant ( $\approx 0.4$ ) and the aerodynamic roughness ( $=2$  m) for city centers[42], respectively.

To accurately resolve the surface temperatures of buildings, the radiative heat fluxes that result from the significant solar radiation effect must be considered. With the inputs of the specific time and the global location, the accurate position of the sun can be calculated by the Solar Calculator dialog box of ANSYS Fluent®, and its Ray-Tracing model can provide the incident radiation on those exposed surfaces. Thus, the direct solar radiation was added into the energy equation as a source term  $Q_T$ . In other words, the thermal load resulted from solar radiation will be applied as a boundary condition. In addition, the discrete ordinate (DO) radiation model is applied to evaluate the radiant heat fluxes between the surfaces [43,44]. The spectral optical and thermophysical properties of the involved materials are summarized in Table A.2. The heat transfer coefficients ( $h_c$ ) of the building faces and ground are calculated via the following empirical correlation [45]:

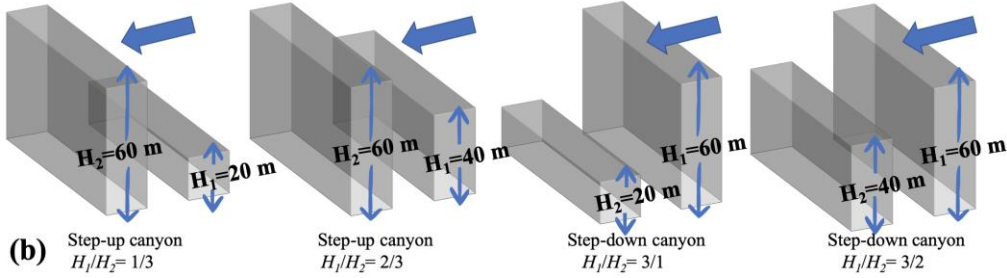
$$h_c = 5.7 + 3.8V_{air}, \quad (5)$$

where  $V_{air}$  is the average airflow velocity in the axial direction of the canyon (within the asymmetric canyons, blue regions in Figure A.1).





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Fig. 1 (a) The geometric model and boundary condition and (b) various asymmetric street canyon configurations

## 2.1.2 Governing equation and turbulence model

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The CFD simulations were conducted by the ANSYS Fluent<sup>®</sup> 15.0 [46] software to resolve the air velocity, temperature, and pollutant concentration fields. The analyses were based on the steady-state 3D RANS conservation equations of mass, momentum, and energy for the incompressible turbulent flow. The governing equations are as follows:

11

Continuity equation:

12

$$\frac{\partial u_i}{\partial x_i} = 0. \quad (6)$$

13

Momentum equation:

14

$$\frac{\partial \rho u_i u_j}{\partial x_j} = -\frac{\partial p}{\partial x_i} + \frac{\partial}{\partial x_j} \left[ (\mu + \mu_t) \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right) \right] + \rho_{ref} g_i \beta (T - T_{ref}) \quad (7)$$

1 Energy equation:

$$2 \quad \frac{\partial u_i T}{\partial x_i} + \frac{\partial}{\partial x_i} (\alpha_T \frac{\partial T}{\partial x_i}) = Q_T, \quad (8)$$

3 where the term  $u_i$  denotes the  $i$ -axis component of the air velocity;  $p$ ,  $\rho$ ,  $T$ ,  $\mu$ ,  $\mu_t$ ,  $g_i$ , and  
4  $\alpha_T$  represent the pressure, density, temperature, dynamic viscosity, turbulent viscosity,  
5 gravity acceleration and thermal diffusivity, respectively; and  $Q_T$  denotes the heat flux  
6 that is caused by solar radiation. To model the buoyancy-driven flow, we adopted the  
7 Boussinesq approximation, namely,  $\rho = \rho_{ref} \beta(T-T_{ref})$ , in Eq. (7), where  $\beta$ ,  $T_{ref}$ , and  $\rho_{ref}$   
8 are the thermal expansion coefficient, reference temperature, and reference density,  
9 respectively. This study treats the density as a constant value in all the equations, except  
10 for the buoyancy term in the momentum equation.

11 The species transport equation was solved to probe the pollutant dispersion in an  
12 urban environment as follows:

$$13 \quad \frac{\partial u_i Y}{\partial x_i} - \frac{\partial}{\partial x_i} \left[ (D + D_t) \frac{\partial Y}{\partial x_i} \right] = S, \quad (9)$$

14 where  $D$  and  $D_t$  ( $= \nu_t/S_{ct}$ ) denote the molecular and turbulent diffusion coefficients,  
15 respectively, of the pollutant. Here,  $\nu_t$  is the turbulent viscosity and  $S_{ct}$  is the turbulent  
16 Schmidt number, which is set as 0.4 to account for the underestimation of the turbulent  
17 mass diffusion from the RANS models [47].  $Y$  is the mass fraction of the pollutant.  
18 Herein, CO was used as a pollutant representative. To calculate the CO concentration,  
19 two uniform volume sources (width  $W_p = 7$  m and length  $L_p = 100$  m) of CO were  
20 specified near the ground with a depth of  $z = 0-2$  m to represent traffic lanes on both  
21 sides, as shown in Fig. 1(a). The constant emission rate per hour and unit street length  
22 (36.1 g/h/m, i.e., total mass release rate of  $L_p \times 1.0 \times 10^{-5}$  kg/s) is adopted for each CO  
23 source with reference to Ng and Chau [48]. Considering the type and number of  
24 vehicles passing by a realistic street per hour in Mongkok, Hong Kong, Ng and Chau  
25 [48] summarized the pollutant release rate above.

26 The steady RANS turbulence model was applied to evaluate the wind and thermal  
27 environments and the pollutant dispersion. The RNG  $k-\varepsilon$  model, which was developed  
28 by Yakhot and Orszag [49], has been demonstrated to be capable of simulating a wide

1 range of turbulent flow phenomena for effectively characterizing the airflow and  
 2 pollutant transport in street canyons under the thermal buoyancy force effects [50]. The  
 3 conservation equations of the RNG  $k$ - $\varepsilon$  turbulence model for the turbulence kinetic  
 4 energy ( $k$ ) and dissipation rate ( $\varepsilon$ ) are as follows:

$$5 \quad \frac{\partial \rho k u_i}{\partial x_i} = \frac{\partial}{\partial x_i} \left[ \left( \mu + \frac{\mu_t}{\sigma_k} \right) \frac{\partial k}{\partial x_i} \right] + P_k + G_b - \varepsilon, \quad (10)$$

$$6 \quad \frac{\partial \rho \varepsilon u_i}{\partial x_i} = \frac{\partial}{\partial x_i} \left[ \left( \mu + \frac{\mu_t}{\sigma_\varepsilon} \right) \frac{\partial \varepsilon}{\partial x_i} \right] + C_{\varepsilon 1} \frac{\varepsilon}{k} (P_k + C_{\varepsilon 3} G_b) - C_{\varepsilon 2} \frac{\varepsilon^2}{k}, \quad (11)$$

7 where  $\mu_t = C_\mu \rho k^2 / \varepsilon$ . The values of the constants  $C_\mu$ ,  $\sigma_k$ ,  $\sigma_\varepsilon$ ,  $C_{\varepsilon 1}$ , and  $C_{\varepsilon 2}$  are 0.0845, 0.7194,  
 8 0.7194, 1.42 and 1.68, respectively. The factor  $C_{\varepsilon 3} = \tanh \left| \frac{v}{u} \right|$ , where  $v$  and  $u$  are the  
 9 velocity components of the flow that are parallel and perpendicular, respectively, to the  
 10 gravitational vector. The production terms of the turbulent kinetic energy that is due to  
 11 buoyancy ( $G_b$ ) and shear ( $P_k$ ) can be expressed as follows:

$$12 \quad G_b = \beta \frac{\mu_t}{Pr_t} \frac{\partial T}{\partial x_i} g_i, \quad (12)$$

$$13 \quad P_k = \nu_t \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right) \frac{\partial u_i}{\partial x_j}. \quad (13)$$

14 The standard wall functions by Launder and Spalding [51] with and without  
 15 roughness modification by Cebeci and Bradshaw [52] were applied at the ground  
 16 surface and the building surface, respectively. Herein, the sand grain roughness height  
 17  $k_s$  is calculated by the roughness constant  $C_s (=0.5)$  and the aerodynamic roughness  $z_0$   
 18 ( $=2$  m) in Eq. (14)[42].

$$19 \quad k_s = \frac{9.793 z_0}{C_s}. \quad (14)$$

### 20 **2.1.3 Numerical method**

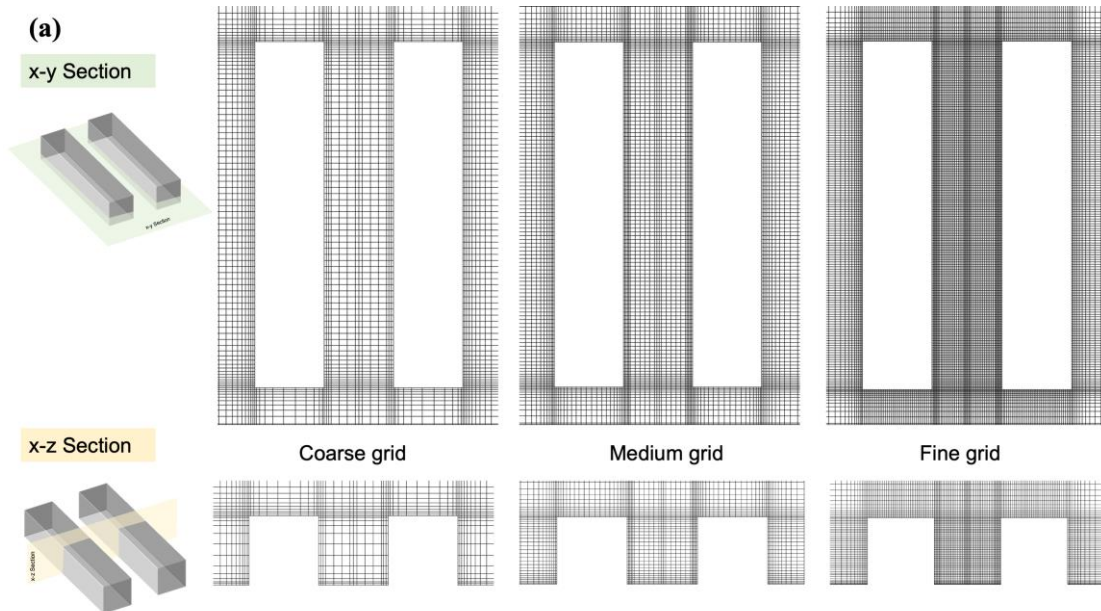
21 The presented governing equations were discretized via the finite volume scheme  
 22 in a commercial software, namely, ANSYS Fluent<sup>®</sup>. This study utilized the pressure-  
 23 linked equations-consistent (SIMPLEC) numerical method for the pressure-velocity

1 coupling. The second-order upwind scheme was used to discretize both the convective  
2 terms and the diffusion terms. A double-precision solver was also selected for CFD  
3 calculations. The convergence criterion of the normalized residual errors of the energy  
4 equation was set to  $10^{-9}$ , whereas the convergence criterion of the remaining equations  
5 was set to  $10^{-6}$ .

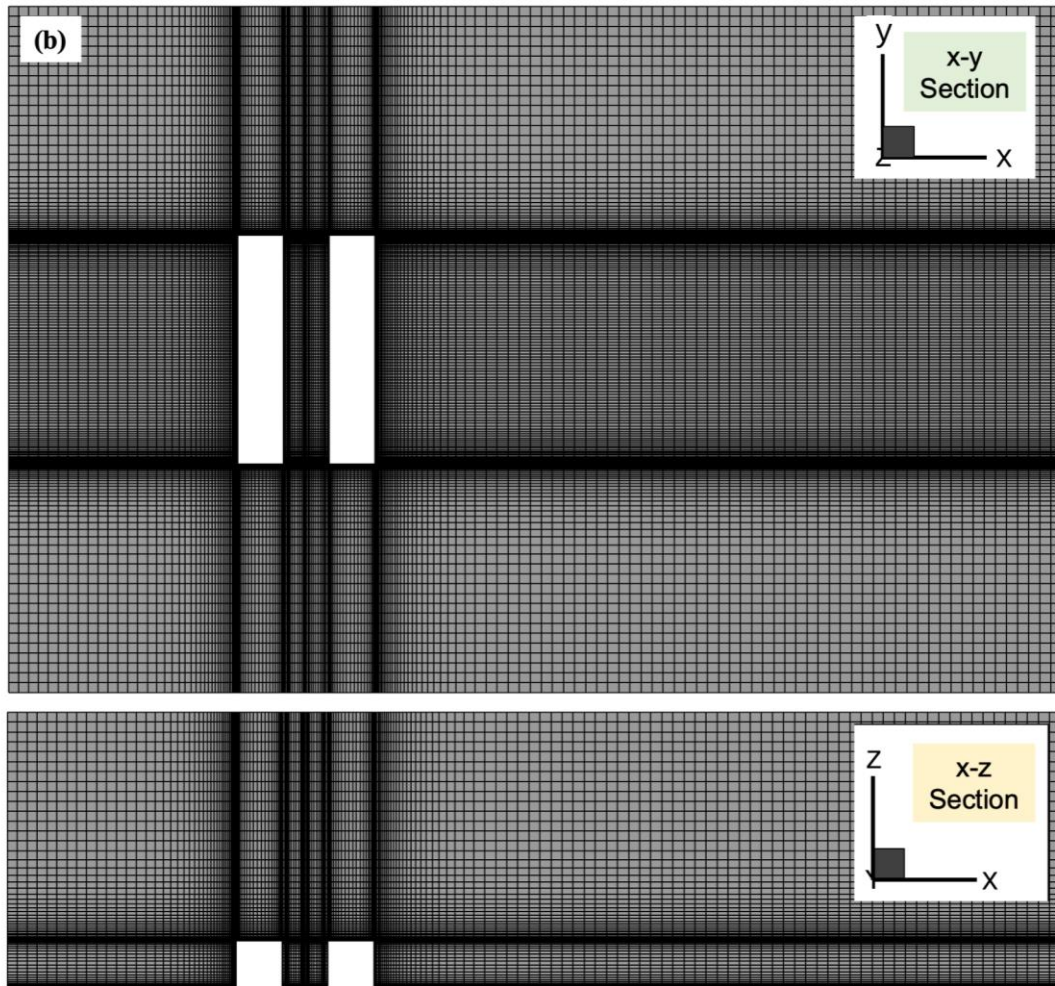
#### 6 **2.1.4 Mesh description and mesh-independent validation**

7 Prior to conducting CFD simulations, a mesh-independent test was conducted over  
8 three mesh resolutions (from coarse to fine). The grid information of these three grids  
9 on the horizontal section ( $x$ - $y$  section) and vertical section ( $x$ - $z$  section) across the tested  
10 street canyon are illustrated in Fig. 2 (a). To ensure the high quality of the computational  
11 mesh system, the computational domain was constructed using structured hexahedral  
12 cells. A grid expansion ratio of 1.05 in conjunction with the bi-geometric mesh law was  
13 set in both the vertical and horizontal directions in street canyons. Considering the  
14 relatively large gradients of the velocity and temperature near the ground and building  
15 surfaces, the finest grids around these two types of walls were deployed. Because the  
16 evaluation height should be located at the third or higher grid from the ground [46], the  
17 minimum sizes for the coarse grid, the medium grid, and the fine grid were set to be  
18 0.5m, 0.4m, and 0.3m, respectively. In this way, all these three grids have an adequate  
19 resolution (at least three cells from the ground) for resolving the airflow and the  
20 distributions of temperature and pollutants at the pedestrian level, which is 1.5m above  
21 the ground. The total cell numbers for the coarse grid, the medium grid, and the fine  
22 grid are 0.5 million, 3.5 million and 12.3 million, respectively. Therefore, the ratio of  
23 two consecutive cell numbers for grid refinement in the mesh independent study can be  
24 at least 3.4[40]. Notably, the grid was refined at a factor of 1.5 in each direction at least.  
25 Further, three types of meshes were tested for the canyon ( $H_1= H_2= 20$  m) under the  
26 same environmental conditions (0800 LST,  $U_{ref}= 3$  m/s and  $T_{in} = 27.3$  °C). According  
27 to Table 2, the comparisons of average wind speed, air temperature, and pollutant

1 concentration at the pedestrian level show that the corresponding mean deviations  
2 between the fine grid and medium grid are less than 4%, indicating that the fine grid  
3 and medium grid yield considerably close results. In contrast, the mean deviation  
4 between the predictions of average wind speed and pollutant concentration by the  
5 medium grid and those by the coarse grid are even more than 34%. Accordingly, the  
6 medium grid (with a total cell number of 3.5 million), as shown in Fig.2 (b), was  
7 considered adequate and adapted to perform further numerical analysis. Besides, the  
8 average of  $y^+$  values on building surfaces for the medium grid under both high and low  
9 wind speed are less than the upper bound of  $y^+$  (500) for standard wall function  
10 recommended by An et al.[53].



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1  
 2 Fig.2 (a) Detailed information across the tested street canyon for all three mesh  
 3 independence test cases and (b) Grid distributions of the geometric model :  $H_1=H_2=20$   
 4 m with the medium grid.

5 Table 2 Mesh independence study (0800 LST,  $U_{ref}=3$  m/s and  $T_{in}=27.3$  °C).

Cell numbers	First-layer thickness (m)	Maximum element size (m)	Average wind velocity at pedestrian level (m/s)	Average CO concentration at pedestrian level (mg/m <sup>3</sup> )	Average air temperature at the pedestrian level (°C)
0.5 million	0.5	5	0.832	3.156	28.197
3.5 million	0.4	4	0.585	4.242	28.612
12.3 million	0.3	3	0.562	4.309	28.731

6

## 2.2 Richardson number in the asymmetric street canyons

Typically, the bulk Richardson number,  $Ri$ , is used to represent the atmospheric stability in the vertical direction[54].  $Ri$  can be used to determine whether the induced flow field is dominated by the thermal or mechanical effect[55]. Herein,  $Ri$  of an asymmetric street canyon is defined as the ratio of the characteristic buoyancy force to the inertial force that is experienced by a fluid element, in consideration of the distribution of the wall temperature:

$$Ri = \frac{g \frac{H_1 + H_2}{2} (T_{in} - \frac{T_L + T_W}{2})}{U_{ref}^2 T_{in}} \quad (15).$$

In Eq. (15),  $T_W$  and  $T_L$  are the averaged surface temperatures on the windward and leeward surfaces, respectively, and  $U_{ref}$  is the volume-average wind speed within the asymmetric canyons (blue regions in Figure A.1). The reference height was  $(H_1+H_2)/2$ .  $T_{in}$  was the inlet air temperature (27.3 °C for 0800 LST and 29.1 °C for 1600 LST). If  $|Ri|$  approaches  $\infty$ , the airflow within the street canyon that is induced by the mechanical effect can be ignored [27,55].

## 3. Results and discussion

### 3.1 Validation

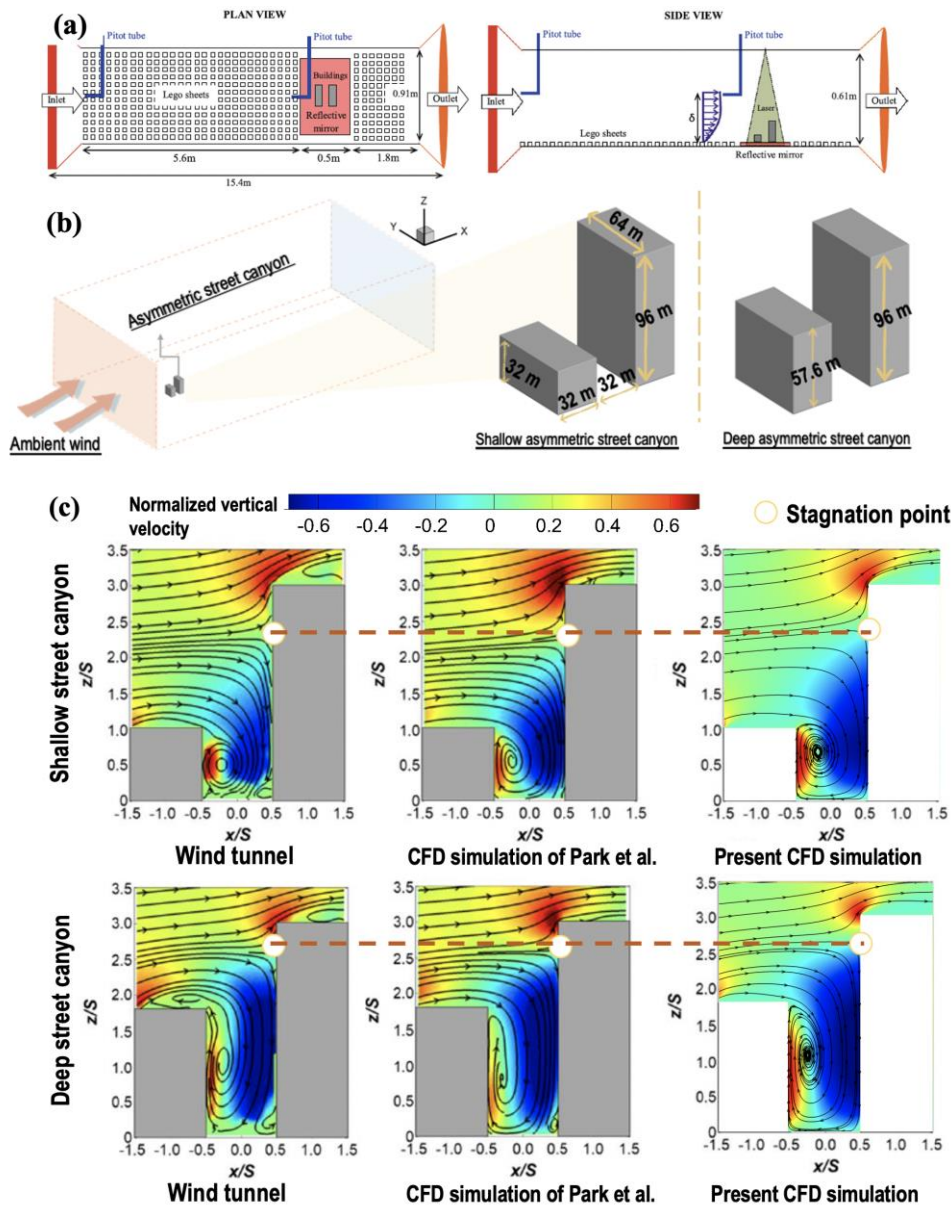
#### 3.1.1 Validation study of isothermal airflow in asymmetric street canyons

The current computational model for replicating the isothermal airflow within an asymmetric street canyon was validated against the wind tunnel measurements conducted by Addepalli and Pardyjak [26]. In the wind tunnel experiment, the flow features over a group of step-up street canyons were collected under the isothermal condition in a 7.9 m long boundary layer wind tunnel with a 0.91×0.61 m cross-section, as shown in Fig. 3(a). To validate the predicted flow structure and airflow speed, the settings of the CFD simulation were consistent with those of the wind tunnel experiment, including the inflow reference wind speed (4.32 m/s) and geometric specifications. As illustrated in Fig. 3(b), a shallow street canyon (upwind building

1 height= 32 m) and a deep street canyon (upwind building height= 57.6 m) with fixed  
2 street width (32 m) and building length (64 m) were chosen. The downwind building  
3 height stayed the same at 96 m. Additionally, a successfully-validated CFD model  
4 (conducted by Park et al.[24]) with the same turbulent model (RNG  $k-\varepsilon$  turbulence) as  
5 this work was introduced for comparison.

6 Fig. 3(c) shows the comparison among measurements and predicted distributions  
7 of normalized vertical wind speed (vertical wind speed/reference wind speed) and  
8 streamlines. Clearly, the experimental and numerical distributions of the normalized  
9 wind speed and streamlines were generally consistent for both shallow and deep street  
10 canyons. The incoming wind flowed over the roof of the upwind building and hit the  
11 windward surface of downwind building, creating a stagnation point on this surface as  
12 a result. Similar to the CFD simulation of Park et al.[24], the position of the stagnation  
13 point is well-predicted by the present CFD model. Below the stagnation point, there  
14 existed a strong downdraft in the vicinity of the windward surface of downwind  
15 building. As shown in Fig.3 (c), the current predicted maximum downdraft velocity is  
16 also close to that of the wind tunnel experiment and early CFD simulation. Then, this  
17 strong downdraft created a primary clockwise-rotating vortex within the street canyon.  
18 Generally, the present CFD model well-produced this primary vortex for both shallow  
19 and deep street canyons, although its intensity was marginally overestimated as  
20 compared to the wind tunnel experiment. Furthermore, this predicted stronger primary  
21 vortex suppressed the secondary recirculation zone in size and intensity, at the corner  
22 of the downwind building for both the shallow and deep street canyons. Possibly, when  
23 the thermal effect is considered, the forced convection will be slightly overestimated.  
24 As a result, the predicted average air temperature at the pedestrian level could be  
25 underestimated by the present CFD model marginally. Although, the present CFD  
26 model seems not be able to capture this kind of secondary recirculation near the  
27 downwind building, however, on the whole, it is reliable for the prediction of airflow  
28 within an asymmetric street canyon under the isothermal condition.





1

2

3 Fig.3 (a) An overview of the wind tunnel experiment by Addepalli and Pardyjak [26],

4 (b) CFD model for the validation of isothermal airflow, and (c) Measured and predicted

5 (by Park et al.[24] and present study) streamlines and normalized vertical wind

6 components for the shallow and deep asymmetric street canyons.

### 7 3.1.2 Validation study of the thermal effect

#### 8 Validation of in-canyon air temperature and wind speed in the wind tunnel 9 experiment

10 For the validation of thermal effect, the measured in-canyon air temperature and

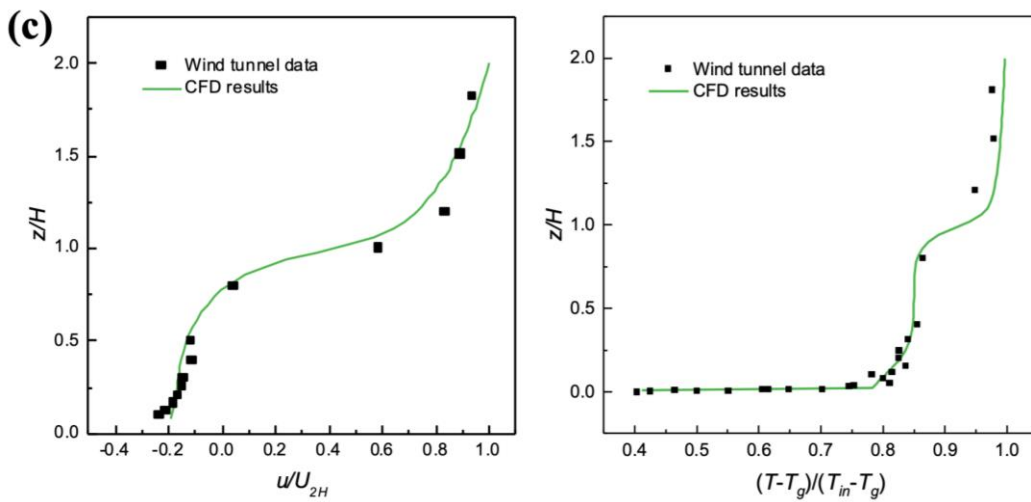
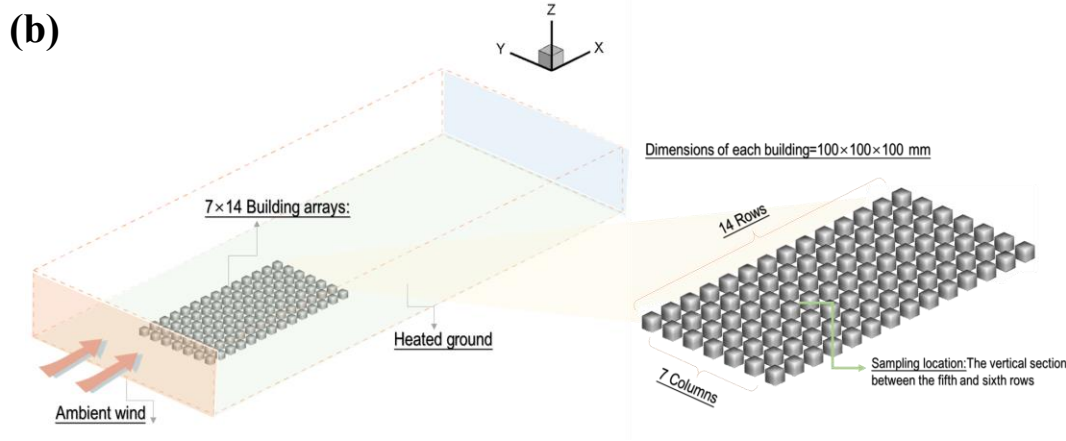
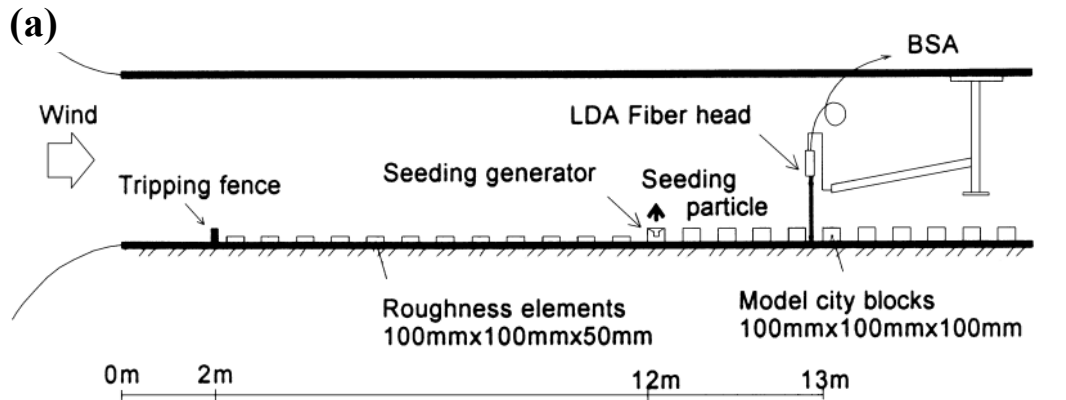
11 wind speed in the previous wind tunnel experiment of Uehara et al. [56] were used.

1 Uehara et al. [56] investigated the influences of ground heating on airflow over an array  
2 of 3D buildings, with a group of simply shaped blocks (7 columns and 14 rows, as  
3 shown in Fig. 4 (b)). The characteristic length of each block is 0.1 m with an aspect  
4 ratio of 1 (height = width = length = 0.1 m). In the wind tunnel experiment, the floor  
5 was heated to a constant surface temperature  $T_g$ . The settings of the CFD simulation  
6 were consistent with those of the wind tunnel experiment, including the model  
7 geometry characteristics (Fig. 4 (b)) and bulk Richardson number ( $R_b$ , defined as  
8  $gH(T_{in}-T_g)/\{(273.15+T_{in})(U_H)^2\}$ ) of  $-0.21$  (unstable). Here,  $T_{in}$  is the ambient  
9 temperature and  $U_H$  is the mean wind speed at the building height  $H$ . The measured  
10 streamwise wind velocity and air temperature were obtained at the vertical section  
11 ( $z/H= 0-2$ ) in the center of the street canyon between the fifth and sixth rows of the  
12 building arrays (Fig. 4 (b)).

13 Fig. 4 (c) illustrates a comparison of the simulated vertical profiles of normalized  
14 streamwise velocity  $u/U_{2H}$  (Fig. 4(c)) and normalized temperature  $(T-T_g)/(T_{in}-T_g)$  with  
15 the experimental results from the wind tunnel tests.  $u$  and  $U_{2H}$  are the streamwise  
16 velocity and mean wind speed at the height of  $2H$ , respectively. It can be seen that the  
17 results of predicted streamwise flow velocities and air temperature agree well with the  
18 experimental data. Clearly, most of the deviations between the experimental data and  
19 the CFD results fall within nearly 5%. Besides, the simulated temperature profile near  
20 the ground is very close to the wind tunnel measurement, suggesting good agreement  
21 of the sharp temperature gradient near the ground. Also, the large velocity gradient at  
22 the roof level was well-predicted.

23 Generally, the current CFD modellings, including the numerical settings and  
24 turbulence model (RNG  $k-\varepsilon$  turbulence), are reliable in simulating the in-canyon air  
25 temperature and wind speed for the prediction of thermal effects.

26



1

2

3 Fig.4 (a) An overview of wind tunnel experiment by Uehara et al. [56], (b) CFD model  
 4 for the validation of air temperature and wind speed, and (c) Comparison of the  
 5 simulated data with the wind tunnel data by Uehara et al. [56], in terms of normalized  
 6 streamwise  $u/U_{2H}$  and normalized temperature  $(T-T_g)/(T_{in}-T_g)$

7

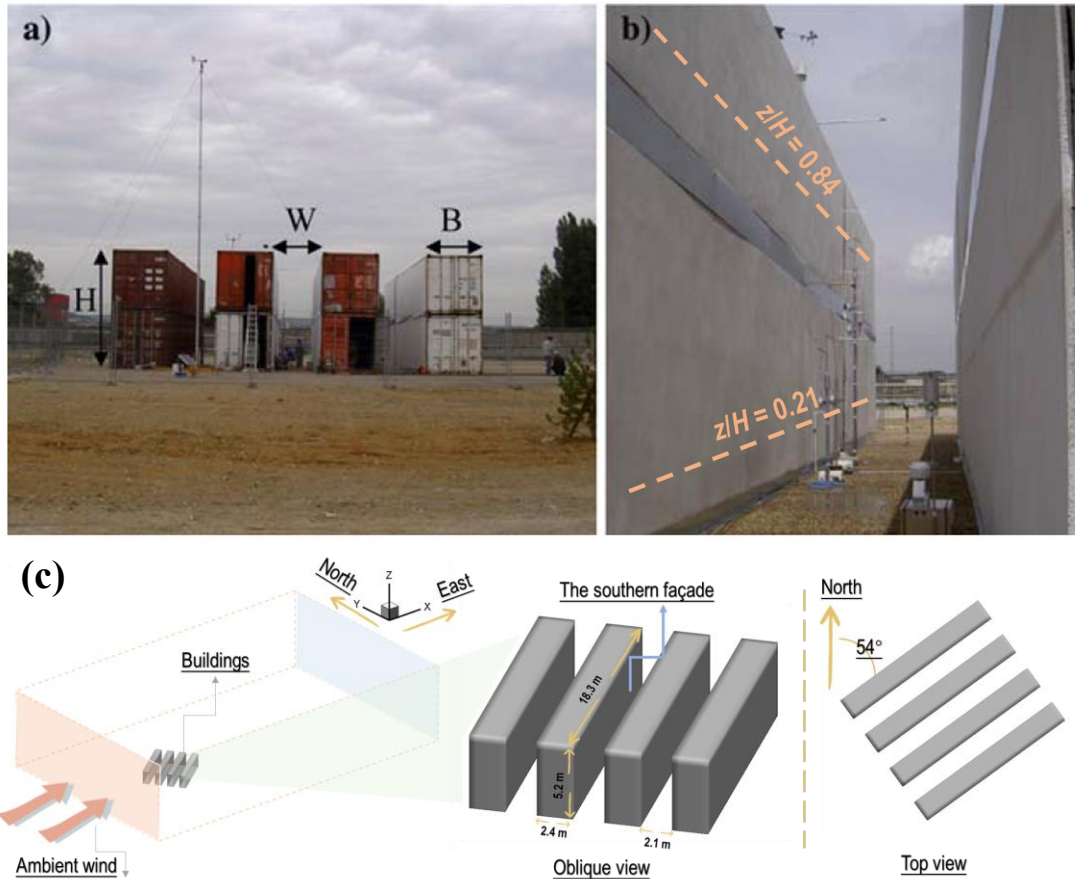
8

## 1 Validation of wall temperature in field measurements

2 Additionally, to further evaluate the numerical accuracy of solar radiation  
3 prediction, the surface temperature data were compared with the field measurements  
4 that were obtained by Idczak et al. [57], who explored the thermal conditions inside a  
5 street canyon in an industrial area of Guerville, France (48°56' N, 1°44' E) on a sunny  
6 day (July 28). In the field experiment (Fig. 5(a)), the measurements were conducted in  
7 three parallel scaled street canyons that consisted of four empty steel containers covered  
8 by cement panels, with corresponding length of 18.3 m, height of 5.2 m, and width of  
9 2.4 m. The width of the street was 2.1 m; therefore, the aspect ratio of the street canyon  
10 (defined as the ratio of the height of the building to the width of the street) is  
11 approximately 2.48. The street axis is oriented at an angle of 54° to the north. To  
12 evaluate the predicted thermal environment at 0800 LST and 1600 LST, the settings of  
13 the CFD simulation were consistent with those of the field measurement, including the  
14 physical model (Fig.5 (c)), the inlet air temperature (19.2 °C for 0800 LST and 28.3 °C  
15 for 1600 LST), wind speed (1.6 m/s for 0800 LST and 2.1 m/s for 1600 LST), and wind  
16 direction (south-west direction for both cases, almost parallel to the street axis). In the  
17 meanwhile, the CFD validation cases shared the same spectral optical and thermos-  
18 physical material properties of the container surface, including the specific heat (800  
19 J/kg K), thermal conductivity (0.9 W/m K), and emissivity (0.95). The same coordinate  
20 (48°56' N, 1°44' E) and the time (July 28) of field measurements were input into the  
21 solar calculator of ANSYS Fluent to yield similar short-wave solar radiation for the  
22 validation case. Finally, the predictions of the wall temperature were compared with the  
23 field measurements in the southern façade of the second container at two levels (the  
24 average wall temperature along two sections,  $z/H = 0.21$  and  $0.84$ , as shown in Fig.5  
25 (b)).

26 The predicted wall temperature is in satisfactory agreement with the recorded field  
27 measurement data (Table 3). At 0800 LST, the upper part of the southern façade was  
28 directly heated by solar radiation. In comparison, the lower part of the southern façade

1 was still shaded by the downwind building. Accordingly, the field measurement data  
2 showed that there is a 3.5 °C higher wall temperature at  $z/H= 0.84$  than at  $z/H = 0.21$   
3 (Table 3). This non-uniform distribution of wall temperature was also well-predicted  
4 by the present CFD model (wall temperature at  $z/H= 0.84$  is 4.5 °C higher than that at  
5  $z/H= 0.21$ ). Similarly, at 1600 LST, the northern façade was directly heated by the solar  
6 radiation while the upwind building shaded the whole southern façade. Then, the air in  
7 the vicinity of northern façade became hotter, and this hotter air further heated the  
8 southern façade along with the in-canyon primary recirculation (from northern façade  
9 to southern façade near the ground). Accordingly, the field measurement results showed  
10 that the wall temperature at  $z/H= 0.21$  was 0.3 °C higher than that at  $z/H= 0.87$  (see  
11 Table 3). The present CFD model well-predicted this trend again (the wall temperature  
12 at  $z/H= 0.21$  was 1.8 °C higher than that at  $z/H= 0.87$ ). Generally, although there were  
13 still some differences between the predicted CFD results and field measurement data  
14 since the heat storage effects of the building walls were not considered, the prediction  
15 of the non-uniform wall temperature within the street canyon due to the realistic solar  
16 radiation was acceptable.



1

2 Fig. 5 Field measurements by Idczak et al.[57]: (a) An overview, (b) the instrumentation  
 3 in the investigated street and (c) CFD model for the validation of wall temperature

4 Table 3 Comparison of the predicted non-uniform wall temperature with the field measurement data

Horizontal section	0800 LST		1600 LST	
	(Background air temperature= 19.2 °C)			
	CFD simulation	Field measurement	CFD simulation	Field measurement
$z/H = 0.21$	19.6 °C	21.4°C	30.1°C	29.2°C
$z/H = 0.84$	24.1°C	24.9°C	28.3°C	28.9°C

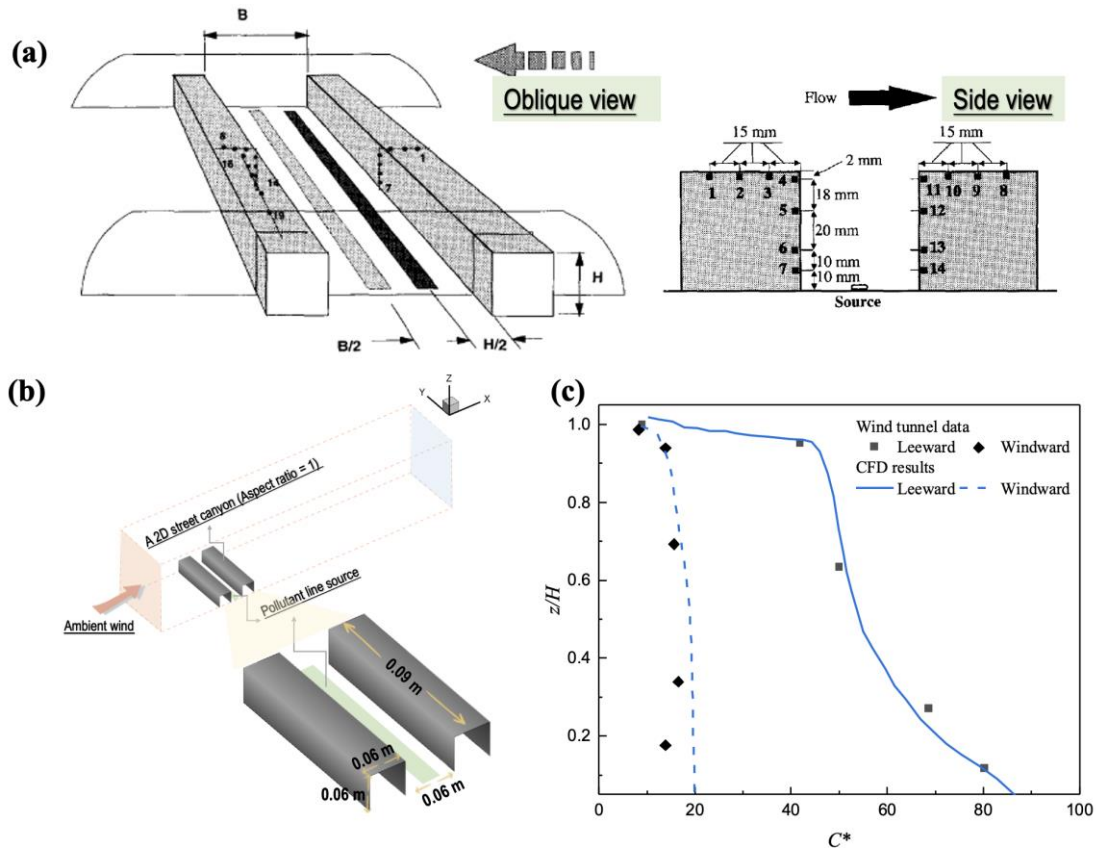
5

### 6 3.1.3 Validation study of pollutant dispersion

7 The current computational model for pollutant dispersion simulations was  
 8 validated against the wind tunnel measurements conducted by Meroney et al. [58], who  
 9 explored the street geometry effect on the dispersion of traffic pollutants within a 2D  
 10 street canyon. Two wooden bars with height = width = 0.06 m and length =0.9m were  
 11 mounted across the whole wind tunnel. The approaching wind direction was

1 perpendicular to the canyon axis (Fig. 6 (a)). A ground-level pollutant line source  
2 (ethane,  $C_2H_6$ ) parallel to the canyon axis was laid in the center of the canyon to  
3 represent traffic exhaust. Moreover, the pollutant was continually released at a steady  
4 rate of  $Q_e$ . The reference wind speed,  $U_{ref}$ , was recorded at a reference height of 0.65 m  
5 above the floor. To validate the predicted pollutant concentration, the settings of the  
6 CFD simulation were consistent with those of the wind tunnel experiment, as shown in  
7 Fig. 6 (b). The predictions of the normalized ethane concentration  $C^* = CU_{ref}HL / Q_e$   
8 were compared with the wind tunnel experiment data measured along the leeward and  
9 windward walls in the center vertical section of the canyon in Fig. 6 (a). Here,  $C$  is the  
10 volume fraction of ethane, and  $H$  and  $L$  are the height and the length of the buildings,  
11 respectively.

12 As demonstrated in Fig. 6 (c), on the windward side, the present CFD models  
13 slightly overestimate the pollutant concentration, while it slightly underpredicts the  
14 pollutant concentration in the lower part of the leeward side. Generally, the numerical  
15 settings and turbulence model are also suitable for predicting the pollutant dispersion  
16 with reasonable accuracy.



1

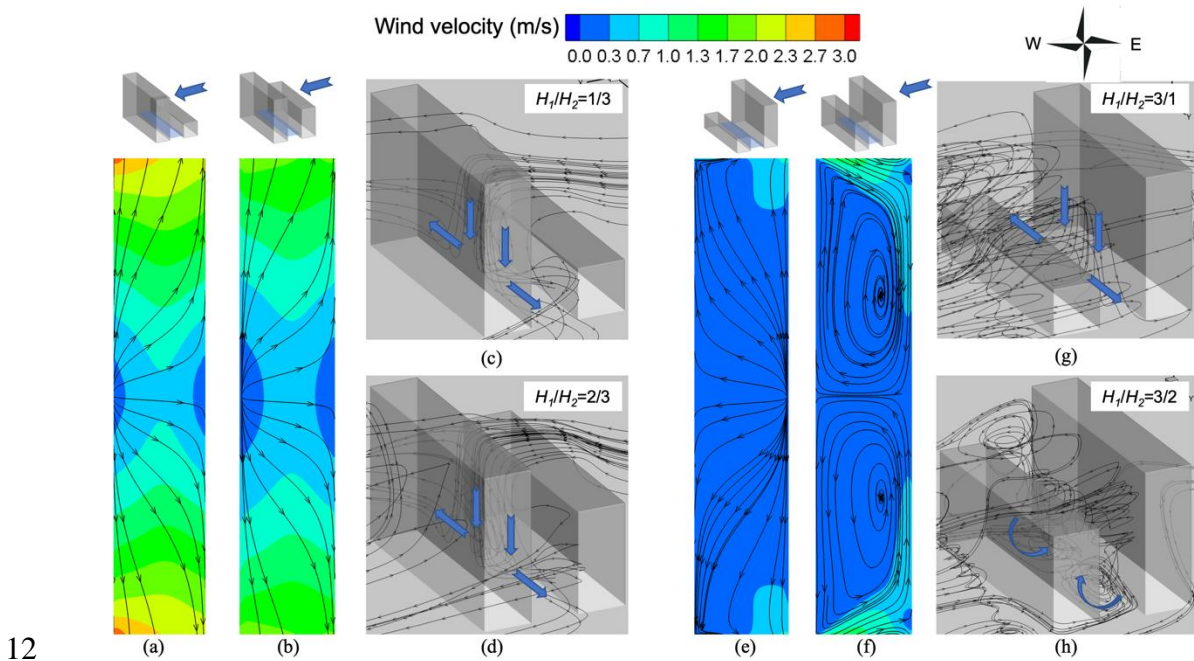
2 Fig. 6 (a) Schematic drawing of wind tunnel experiment by Meroney et al. [58], (b)  
 3 CFD model for the validation of pollutant dispersion, and (c) Comparison of the  
 4 simulated data with the wind tunnel data by Meroney et al. [58].

### 5 3.2 Effect of asymmetric configurations without solar radiation

6 To investigate the influence of solar radiation, the flow structures of asymmetric  
 7 configurations were investigated without solar radiation as the baseline. According to  
 8 Cui et al. [59], the flow structure did not change once the building Reynolds number  
 9  $Re_h$  ( $h = H_{max} = 60$  m) exceeded the critical value ( $Re_h = 3.4 \times 10^4$ ). In this study, the  
 10 value of  $Re_h$  for low-wind-speed conditions is  $1.88 \times 10^6$ , which is far larger than the  
 11 critical value of  $Re_h$ . Thus, the influence of the canyon configuration on the flow  
 12 structure is similar for low and high wind speeds. Fig. 7 presents the wind velocity  
 13 contours and 3D streamlines for the step-up and step-down street canyons, respectively,  
 14 under a high wind speed. For the step-up street canyon, the flow structure changed only  
 15 minimally with the increase of  $H_1$ , and the lower part of the street canyon was occupied



1 by divergent flows that were caused by the strong downdraft flow (Fig. 7(c) and (d)).  
 2 However, the wind velocity decreased slightly since the higher upstream building  
 3 blocked the incoming flow and, therefore, attenuated the strength of the downdraft flow  
 4 (Fig. 7 (a) and (b)). For the step-down street canyon with  $H_1/H_2=3/1$ , a large clockwise  
 5 vortex occurred in the leeward region of the upstream building, and it also resulted in  
 6 the formation of a downdraft flow and divergent flows in the lower space of the step-  
 7 down street canyon (Fig. 7(g)). With the increase of  $H_2$ , the flow structure changed  
 8 substantially. As shown in Fig. 7 (h), the downdraft flow disappeared, and the divergent  
 9 flows were replaced by two elevated eddies. Moreover, the wind velocity changed  
 10 minimally in the center of the street canyon, but it increased slightly near the lateral  
 11 exit (Fig. 7 (e) and (f)).



12 (a) (b) (c) (d) (e) (f) (g) (h)

13 Fig. 7 Predicted wind velocity at the pedestrian level and 3D streamlines for various asymmetric street  
 14 canyon configurations under a high wind speed without solar radiation: the wind velocity at the  
 15 pedestrian level for (a)  $H_1/H_2=1/3$ , (b)  $H_1/H_2=2/3$ , (e)  $H_1/H_2=3/1$ , and (f)  $H_1/H_2=3/2$ ; and 3D  
 16 streamlines for (c)  $H_1/H_2=1/3$ , (d)  $H_1/H_2=2/3$  (g)  $H_1/H_2=3/1$ , and (h)  $H_1/H_2=3/2$ . (The blue arrow  
 17 denotes the flow direction within the street canyon.)

### 3.3 Effect of asymmetric configurations with solar radiation

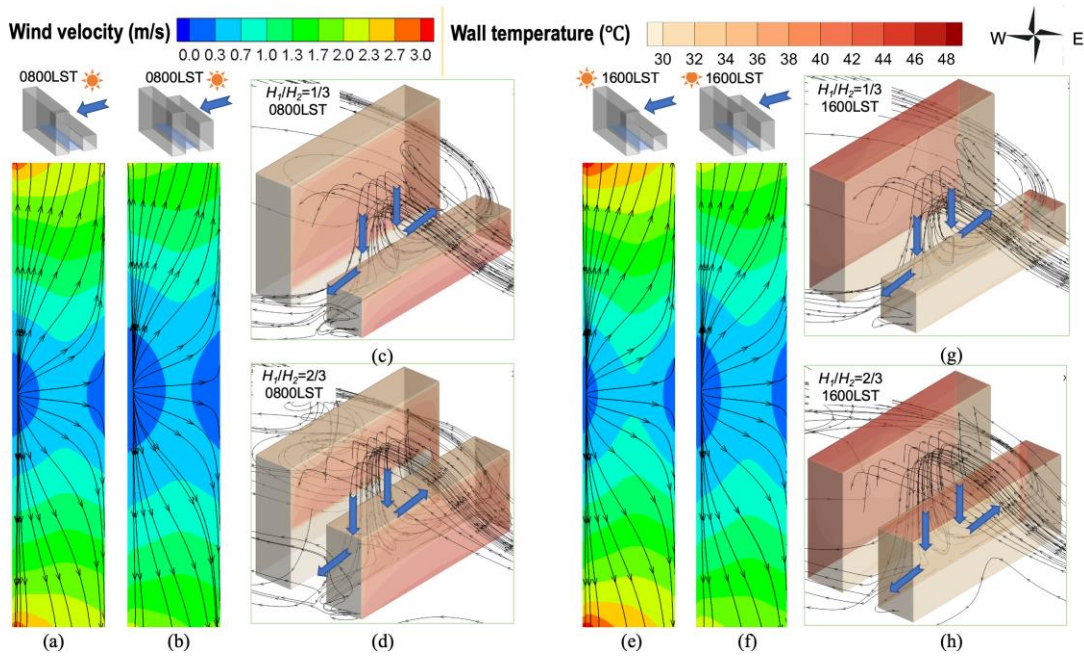
Considering the solar radiation, Memon et al. [35] reported that the flow structure could differ significantly among incoming flow conditions. Thus, for the thermal flow, the influence of asymmetric configurations with solar radiation is analyzed at high wind speed (3 m/s, section 3.3.1) and low wind speed (0.5 m/s, Section 3.3.2). This thermal flow with solar radiation is dependent on the Reynolds number within our study range.

#### 3.3.1 High incoming wind speed

##### Process I: To what height should the lower building be rebuilt?

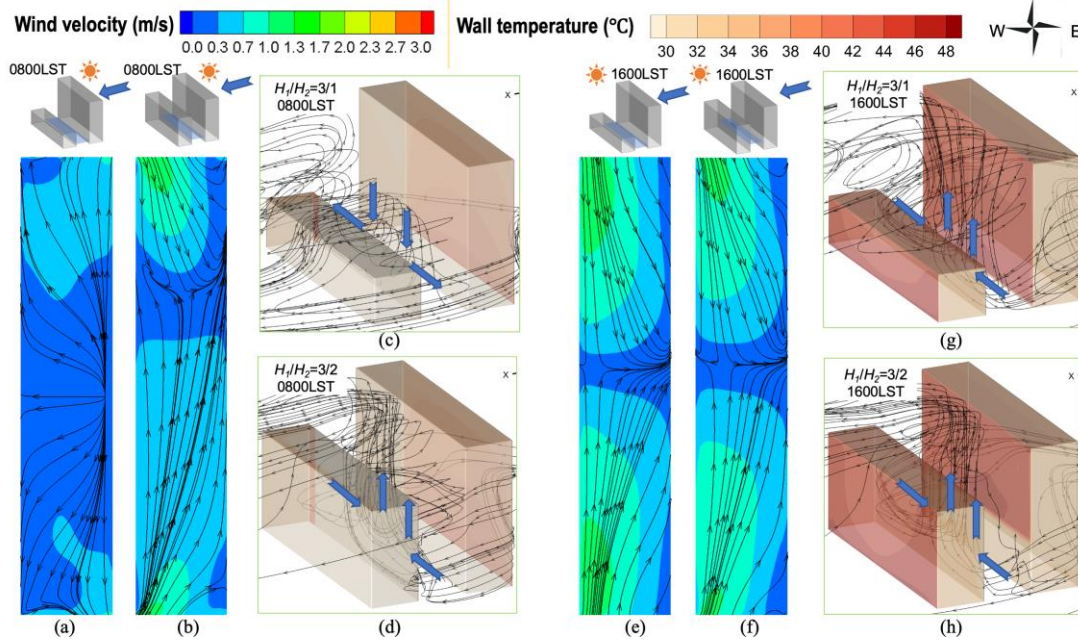
Fig. 8 and Fig. 9 present the 3D streamlines and wind velocity contours under the high wind speed of 3 m/s for step-up and step-down street canyons, respectively. In the step-up street canyon, the buoyancy effect was relatively weak because the  $|Ri|$  were low (1.27 to 4) (Table 4). The flow structure was still dominated by forced convection at 0800 LST (Fig. 8 (c) and (d)) and at 1600 LST (Fig. 8 (g) and (h)). The distributions of the 3D streamlines were similar to those in the cases without solar radiation (Fig. 7(c) and (d)), although the wind velocity decreased with the increase of  $H_1$  (Fig. 8(a) and (b), and (e) and (f)). The average wind velocity at the pedestrian level decreased by approximately 0.4 m/s at 0800 and 1600 LST (as summarized in Fig. 12(a)). In the step-down street canyon, the forced convection still dominated the flow structure when  $H_1/H_2 = 3/1$  at 0800 LST (Fig. 9(c) with  $|Ri|$  of 15.51) (Table 4). However, although the higher upwind building shaded the solar radiation into the street canyon at 0800 LST, the natural convection that was caused by solar radiation played a more critical role in the increase of  $H_2$  ( $|Ri|$  increased to 20.33 (Table 4)), thereby leading to the formation of an updraft flow (Fig. 9(d)), in contrast to the 3D streamlines without solar radiation (Fig. 7(d)). The possible explanation lies in the weak forced convection within this kind of street canyon. Once the airflow was heated by the windward surface entered the step-down canyon from the lateral shear layer (Fig.9 (d)), air flowed upwards because the natural convection is stronger than the weak forced convection. Furthermore, the lower

1 part of the street canyon was occupied by convergent flows, and the wind velocity  
 2 increased rapidly (Fig. 9(a) and (b)). The average wind velocity at the pedestrian level  
 3 increased by 0.2 m/s (Fig. 12 (a)). At 1600 LST, the updraft flows were always observed  
 4 (Fig. 9(g) and (h)) due to high  $|Ri|$  (from 29.73 to 30.89) (Table 4)). When  $H_2$  increased,  
 5  $|Ri|$  decreased (a stronger shading effect on the downstream building led to weaker  
 6 natural convection), and, thus, the wind velocity, which was affected by natural  
 7 convection, decreased slightly (Fig. 9 (e) and (f)).



8 (a) (b) (c) (d) (e) (f) (g) (h)

9 Fig. 8 Predicted wind velocity at the pedestrian level and 3D streamlines for various step-up street canyon  
 10 configuration at LSTs of 0800 and 1600 under the high wind speed of 3 m/s: the wind velocity at the  
 11 pedestrian level for (a)  $H_1/H_2 = 1/3$  at 0800 LST, (b)  $H_1/H_2 = 2/3$  at 0800 LST, (e)  $H_1/H_2 = 1/3$  at 1600  
 12 LST, and (f)  $H_1/H_2 = 2/3$  at 1600 LST; and 3D streamlines for (c)  $H_1/H_2 = 1/3$  at 0800 LST, (d)  $H_1/H_2 =$   
 13  $2/3$  at 0800 LST, (g)  $H_1/H_2 = 1/3$  at 1600 LST, and (h)  $H_1/H_2 = 2/3$  at 0800 LST. (The blue arrow denotes  
 14 the flow direction within the street canyon.)



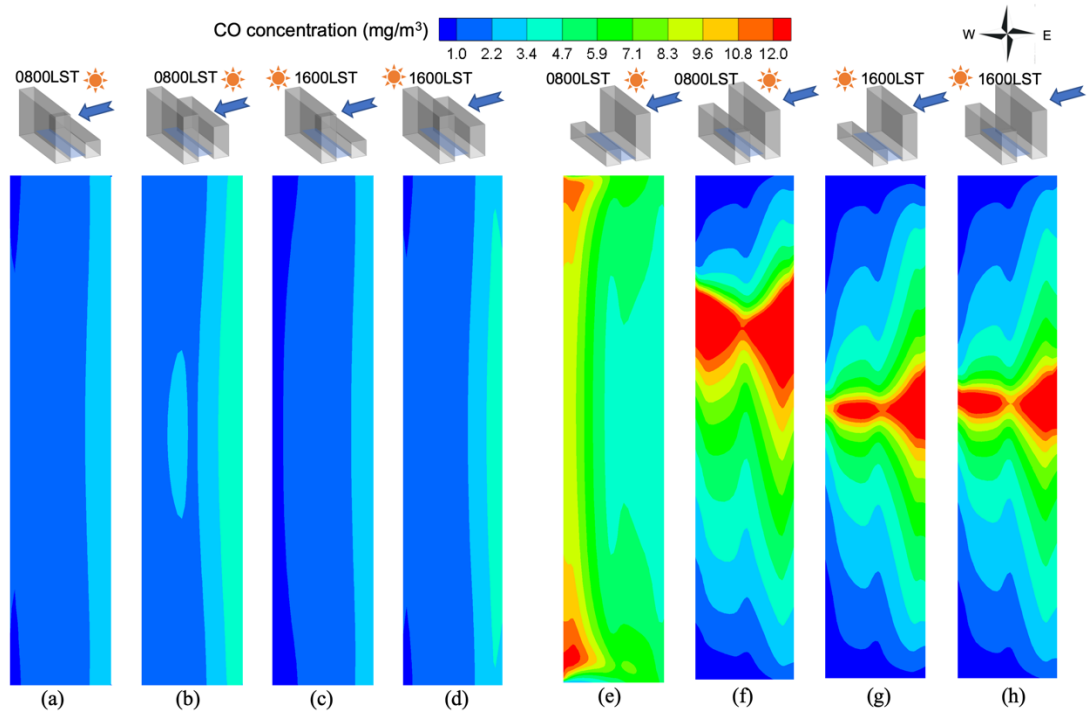
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2 Fig. 9 Predicted wind velocity at the pedestrian level and 3D streamlines for various step-down street  
3 canyon configurations at LSTs of 0800 and 1600 under the high wind speed of 3 m/s: the wind velocity  
4 at the pedestrian level for (a)  $H_1/H_2=3/1$  at 0800 LST, (b)  $H_1/H_2=3/2$  at 0800 LST, (e)  $H_1/H_2=3/1$  at  
5 1600 LST, and (f)  $H_1/H_2=3/2$  at 1600 LST; and 3D streamlines for (c)  $H_1/H_2=3/1$  at 0800 LST, (d)  $H_1/H_2=3/2$  at  
6 0800 LST, (g)  $H_1/H_2=3/1$  at 1600 LST, and (h)  $H_1/H_2=3/2$  at 0800 LST. (The blue arrow  
7 denotes the flow direction within the street canyon.)

8 Table 4 Bulk Richardson numbers in the asymmetric street canyons under high wind speed

Case	Configuration	LST	$ Ri $	Case	Configuration	LST	$ Ri $
1	$H_1/H_2=1/3$	0800	4.00	5	$H_1/H_2=3/1$	0800	15.51
2		1600	1.27	6		1600	30.89
3	$H_1/H_2=2/3$	0800	3.98	7	$H_1/H_2=3/2$	0800	20.33
4		1600	2.38	8		1600	29.73

9  
10 Fig.10 presents the distribution of the CO concentration under the high wind speed  
11 of 3 m/s. In the step-up street canyon, the concentration increased with the increase of  
12  $H_1$  due to the reduction of the wind velocity at 0800 (Fig. 10 (a) and (b)) and 1600 LST  
13 (Fig. 10 (c) and (d)). The average concentration increased by 48.8% at 0800 LST and  
14 by 39.0% at 1600 LST (see the summary in Fig. 12(b)). In the step-down street canyon,  
15 the divergent flows (Fig. 9(c)) transformed into convergent flows (Fig. 9(d)) near the

1 ground at 0800 LST when  $H_2$  increased. Therefore, more pollutants accumulated in the  
 2 center of the street canyon, while the concentration near the lateral exit decreased (Fig.  
 3 10 (e) and (f)). At 0800 LST, the average concentration decreased by 8.2%(Fig. 12(b)).  
 4 At 1600 LST, the concentration remained nearly unchanged (1.3%) due to only minor  
 5 changes in the wind velocity (Fig. 9(g) and (h)).



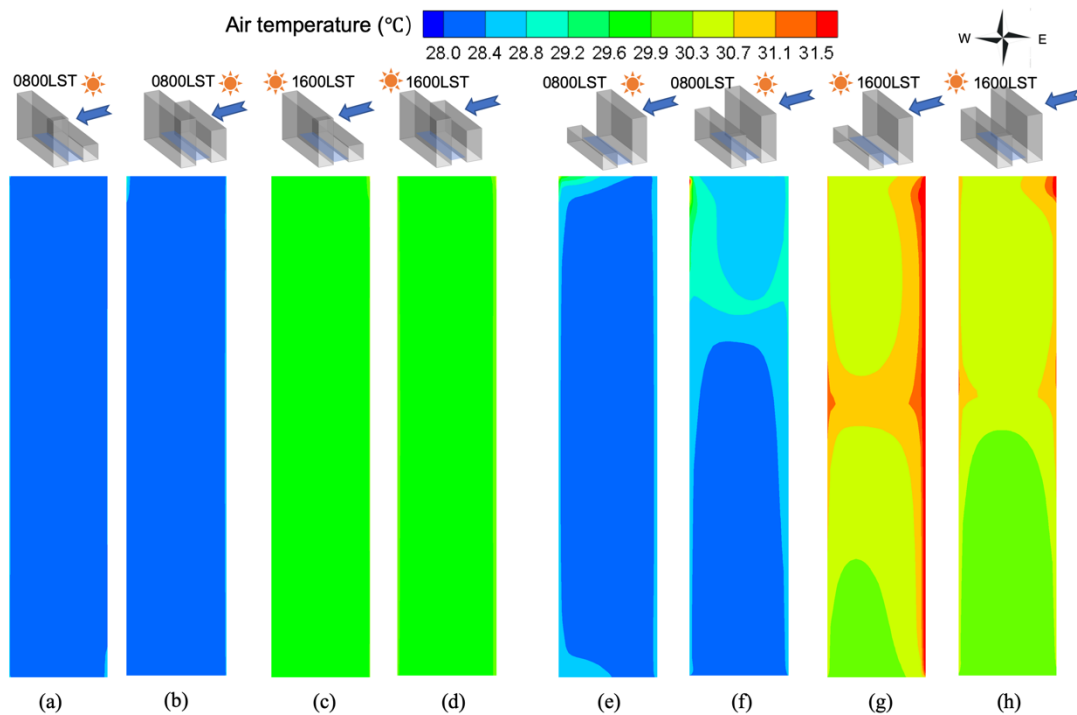
6 (a) (b) (c) (d) (e) (f) (g) (h)

7 Fig. 10 Predicted CO concentrations at the pedestrian level for various asymmetric street canyon  
 8 configurations at LSTs of 0800 and 1600 under the high wind speed of 3 m/s: the step-up canyon with  
 9 (a)  $H_1/H_2= 1/3$  at 0800 LST, (b)  $H_1/H_2= 2/3$  at 0800 LST, (c)  $H_1/H_2= 1/3$  at 1600 LST, and (d)  $H_1/H_2=$   
 10  $2/3$  at 1600 LST; and the step-down canyon with (e)  $H_1/H_2= 3/1$  at 0800 LST, (f)  $H_1/H_2= 3/2$  at 0800  
 11 LST, (g)  $H_1/H_2= 3/1$  at 1600 LST, and (h)  $H_1/H_2= 3/2$  at 1600 LST.

12 Fig. 11 presents the contours of the air temperature under the high wind speed of 3  
 13 m/s. In the step-up street canyon, the air temperature at the pedestrian level was directly  
 14 related to the temperature of the incoming flow because the relatively high wind  
 15 velocity (up to 1.4 m/s) at the pedestrian level contributes to the dispersion of heat.  
 16 Thus, the variation of the air temperature is small with an increase of  $H_1$  (Fig.11 (a) and  
 17 (b), (c) and (d)). In the step-down street canyon, the air temperature increased by 0.2-  
 18 0.4 °C in the northern part of the street canyon (Fig.11 (e) and (f)), because the

1 convergent flows were adverse to the heat dispersion at 0800 LST. The average air  
 2 temperature increased slightly by 0.1°C (Fig. 12(c)). At 1600 LST, the air temperature  
 3 decreased slightly due to the stronger shading effect that was provided by the high  
 4 downstream building (Fig. 11(g) and (h)). The average air temperature decreased by  
 5 0.4°C (Fig. 12(c)).

6 In summary, the height increase of the lower building in the step-down canyon led  
 7 to higher air quality and lower air temperature under high wind speed. However, the  
 8 higher upstream building of the step-up canyon resulted in lower air quality but had  
 9 only a minor influence on the thermal environment. When  $|Ri| < 20$ , the flow field was  
 10 dominated by forced convection. The variation of  $|Ri|$  slightly influenced air quality  
 11 and air temperature. When  $|Ri| > 20$ , the flow field was dominated by natural convection.  
 12 The increase of  $|Ri|$  resulted in an increase of the air temperature and the decrease of  
 13 the pollutant concentration at the pedestrian level.

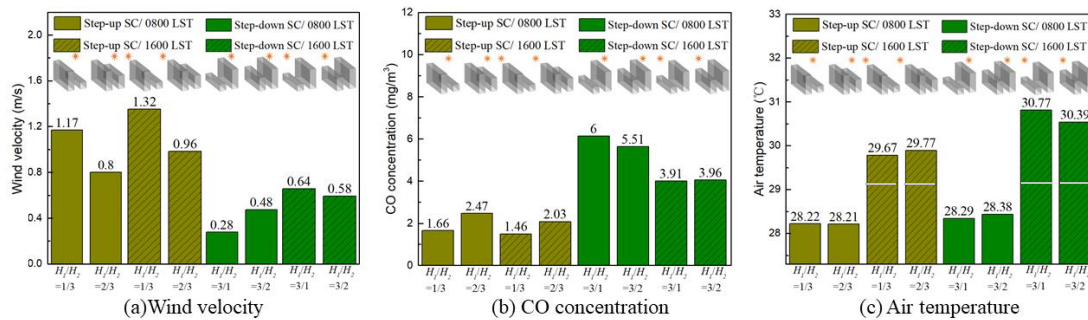


14 (a)  $H_1/H_2= 1/3$  at 0800 LST, (b)  $H_1/H_2= 2/3$  at 0800 LST, (c)  $H_1/H_2= 1/3$  at 1600 LST, and (d)  $H_1/H_2=$   
 15 Fig. 11 Predicted air temperatures at the pedestrian level for various asymmetric street canyon  
 16 configurations at LSTs of 0800 and 1600 under the high wind speed of 3 m/s: the step-up canyon with  
 17 (a)  $H_1/H_2= 1/3$  at 0800 LST, (b)  $H_1/H_2= 2/3$  at 0800 LST, (c)  $H_1/H_2= 1/3$  at 1600 LST, and (d)  $H_1/H_2=$

1 2/3 at 1600 LST; and the step-down canyon with (e)  $H_1/H_2=3/1$  at 0800 LST, (f)  $H_1/H_2=3/2$  at 0800  
 2 LST, (g)  $H_1/H_2=3/1$  at 1600 LST, and (h)  $H_1/H_2=3/2$  at 1600 LST.

### 3 **Process II: Which side of the street should be rebuilt?**

4 To evaluate rebuilding process II, the average CO concentration and air  
 5 temperature at the pedestrian level were compared between the step-up and the step-  
 6 down street canyons in Fig. 12. For the step-up canyon with  $H_1/H_2=1/3$  and the step-  
 7 down canyon with  $H_1/H_2=3/1$ , the average CO concentration of the step-down canyon  
 8 was 261.4% higher than that of the step-up canyon at 0800 LST (Fig. 12(b)), and the  
 9 average air temperature of the step-down canyon was up to 1.1°C (3.7%) higher than  
 10 that of the step-up canyon at 1600 LST (Fig. 12(c)). For the step-up canyon with  $H_1/H_2=2/3$   
 11 and the step-down canyon with  $H_1/H_2=3/2$ , lower air quality and higher air  
 12 temperature were observed in the step-down canyon again. The average CO  
 13 concentration of the step-down canyon ( $H_1/H_2=3/2$ ) was 123.1% higher than that of  
 14 the step-up canyon ( $H_1/H_2=2/3$ ) at 0800 LST (Fig. 12(b)), and the average air  
 15 temperature of the step-down canyon was up to 0.6°C (2.0%) higher than that of the  
 16 step-up canyon at 1600 LST (Fig. 12(c)). In summary, the step-down street canyon was  
 17 outperformed by the step-up street canyon in both scenarios.



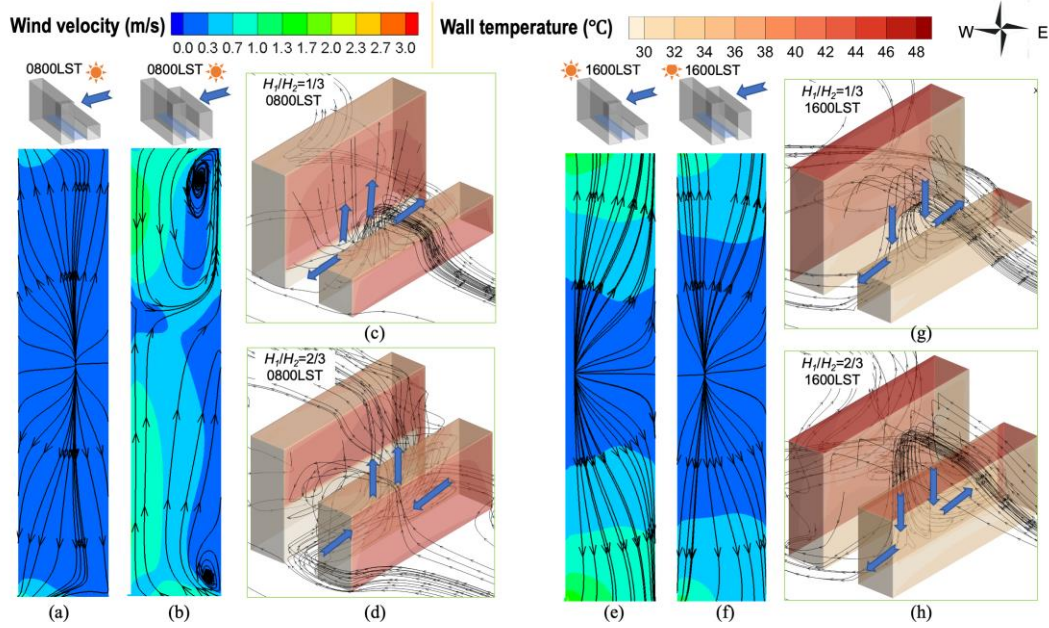
18 (a) Wind velocity  
 19 (b) CO concentration  
 20 (c) Air temperature  
 21 Fig. 12 Predicted average values at the pedestrian level for step-up and step-down cases: (a) the wind  
 22 velocities, (b) CO concentration, and (c) Air temperature under the high wind speed of 3 m/s at LSTs of  
 0800 and 1600. The y-axis of Fig. 12 (c) starts from the reference air temperature (27.3 °C) at 0800 LST,  
 and the grey line denotes the reference air temperature (29.1°C) at 1600 LST.

### 1 3.3.2 Low incoming wind speed

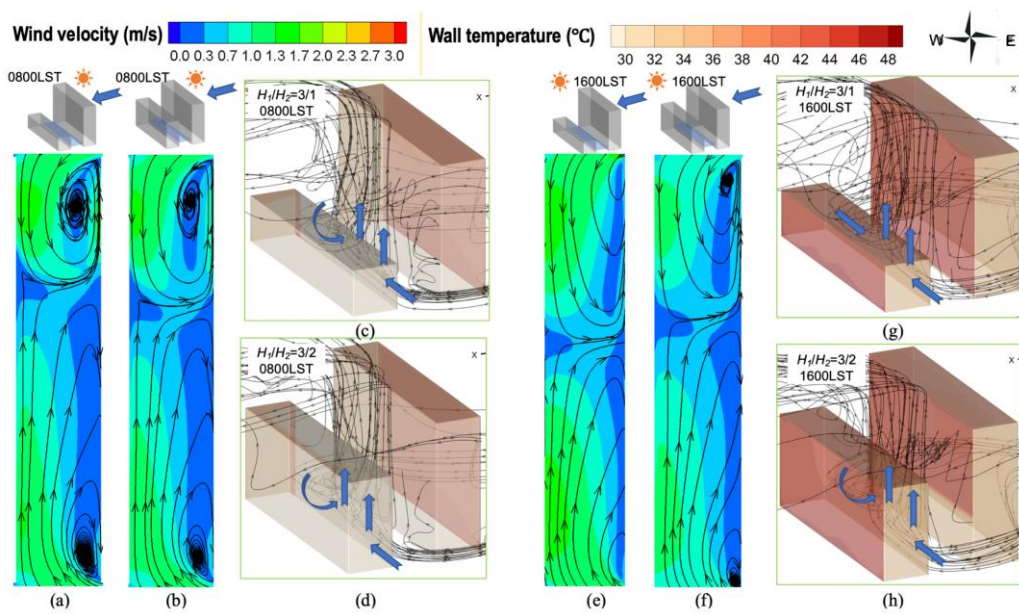
#### 2 **Process I: To what height should the lower building be rebuilt?**

3 Fig. 13 and Fig. 14 present the 3D streamlines and wind velocity contours under  
4 the low wind speed of 0.5 m/s. The natural convection had a significant influence on  
5 the flow structure. In the step-up street canyon, at 0800 LST, an updraft flow that was  
6 caused by the heated windward surface occurred in the street canyon with  $H_1/H_2 =$   
7  $1/3$ (Fig. 13(c)), which was opposite the high-wind case(Fig. 8(c)). The lower part was  
8 still occupied by divergent flows. With the increase of  $H_1$ , the natural convection  
9 strengthened ( $|Ri|$  increased) (Table 5) and led to the formation of convergent flows  
10 (Fig. 13(d)). Thus, the average wind velocity at the pedestrian level increased by 0.3  
11 m/s due to the convergent flows (see the summary in Fig. 17(a)). At 1600 LST, a  
12 downdraft flow was also observed in the street canyon with  $H_1/H_2 = 1/3$  (Fig. 13(g))  
13 since the forced convection dominated the flow structure ( $|Ri| = 7.50$ ). With the increase  
14 of  $H_1$ , the downdraft flow still dominated within the canyon, but the natural convection  
15 strengthened ( $|Ri|$  increased to 16.18). Thus, the average wind velocity at the pedestrian  
16 level decreased by 0.3 m/s (Fig. 17(a)) due to the reduction of the forced convection  
17 and the stronger natural convection. In the step-down street canyon, the flow structure  
18 was affected mainly by the stronger natural convection (higher  $|Ri|$ ); therefore, updraft  
19 flows were observed (Fig. 14). At 0800 LST, the natural convection weakened (with  
20 relatively small  $|Ri|$ ) due to lower wall temperature with the increase of  $H_2$ . Thus, the  
21 average wind velocity at the pedestrian level decreased slightly. Similarly, the average  
22 wind velocity decreased by 0.2 m/s at 1600 LST (Fig. 17(a)).





1  
 2 Fig. 13 Predicted wind velocity at the pedestrian level and 3D streamlines for various step-up street  
 3 canyon configurations at LSTs of 0800 and 1600 under the low wind speed of 0.5 m/s: the wind velocity  
 4 at the pedestrian level for (a)  $H_1/H_2=1/3$  at 0800 LST, (b)  $H_1/H_2=2/3$  at 0800 LST, (e)  $H_1/H_2=1/3$  at  
 5 1600 LST, and (f)  $H_1/H_2=2/3$  at 1600 LST; and 3D streamlines for (c)  $H_1/H_2=1/3$  at 0800 LST, (d)  
 6  $H_2=2/3$  at 0800 LST, (g)  $H_1/H_2=1/3$  at 1600 LST, and (h)  $H_1/H_2=2/3$  at 0800 LST. (The blue arrow  
 7 denotes the flow direction within the street canyon.)



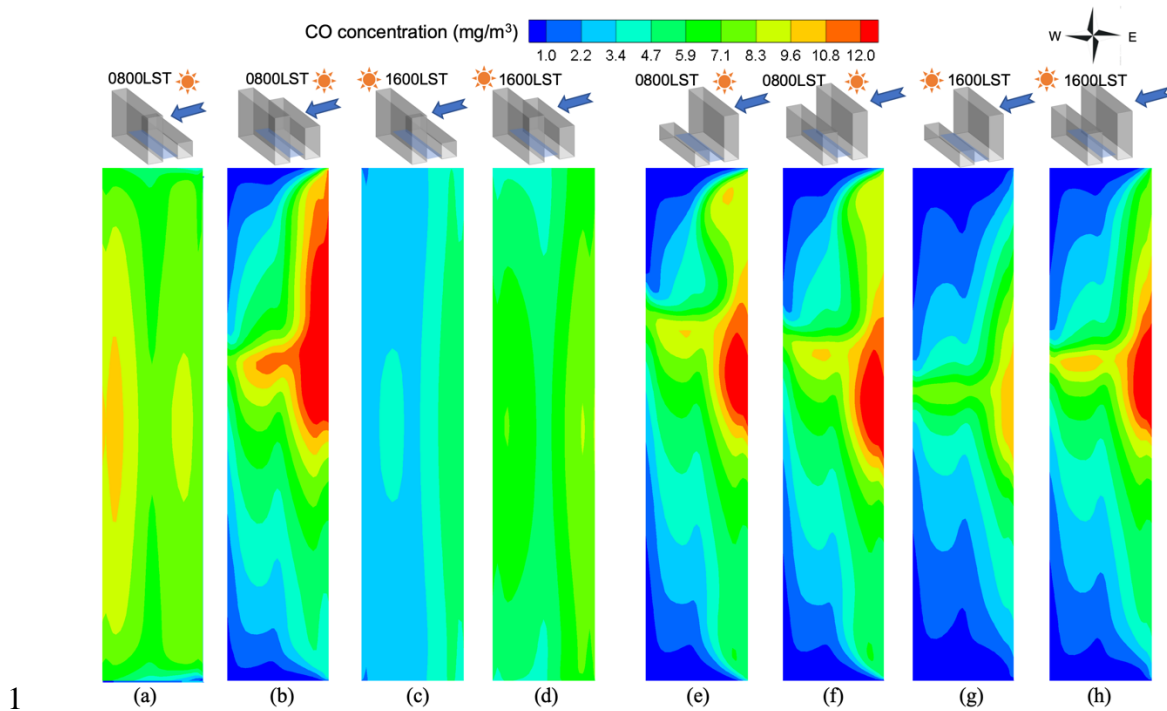
8  
 9 Fig. 14 Predicted wind velocity at the pedestrian level and 3D streamlines for various step-down street  
 10 canyon configurations at LSTs of 0800 and 1600 under the low wind speed of 0.5 m/s: the wind velocity

1 at the pedestrian level for (a)  $H_1/H_2=3/1$  at 0800 LST, (b)  $H_1/H_2=3/2$  at 0800 LST, (e)  $H_1/H_2=3/1$  at  
 2 1600 LST, and (f)  $H_1/H_2=3/2$  at 1600 LST; and 3D streamlines for (c)  $H_1/H_2=3/1$  at 0800 LST, (d)  $H_1/H_2=3/2$  at 0800 LST, (g)  $H_1/H_2=3/1$  at 1600 LST, and (h)  $H_1/H_2=3/2$  at 0800 LST. (The blue arrow  
 3 denotes the flow direction within street canyon.)  
 4

5 Table 5 Bulk Richardson numbers in the asymmetric street canyons under low wind speed

Case	Configuration	LST	$ Ri $	Case	Configuration	LST	$ Ri $
1	$H_1/H_2=1/3$	0800	25.16	5	$H_1/H_2=3/1$	0800	26.73
2		1600	7.50	6		1600	29.13
3	$H_1/H_2=2/3$	0800	26.01	7	$H_1/H_2=3/2$	0800	26.13
4		1600	15.67	8		1600	28.42

6  
 7 Fig. 15 presents the CO concentration contours under low wind speed. With the  
 8 increase of  $H_1$  at 0800 LST in the step-up street canyon, pollutants gathered in the  
 9 northern part of the street canyon, but the concentrations in other areas decreased (Fig.  
 10 15 (a) and (b)) due to the variation of the flow structure. Thus, the average concentration  
 11 decreased by 24.4% (Fig. 17 (b)). At 1600 LST, the average concentration increased by  
 12 77.1% due to a decrease in the wind velocity with the increase of  $H_1$  (Fig. 17(b)). In the  
 13 step-down street canyon, there is a minor increase of the concentration (3.4%), which  
 14 is due to the similar wind velocity and flow structure at 0800 LST (Fig. 14(e) and (f)),  
 15 while it increased by 46.9% due to the decrease of the wind velocity at 1600 LST (Fig.  
 16 17(b)).

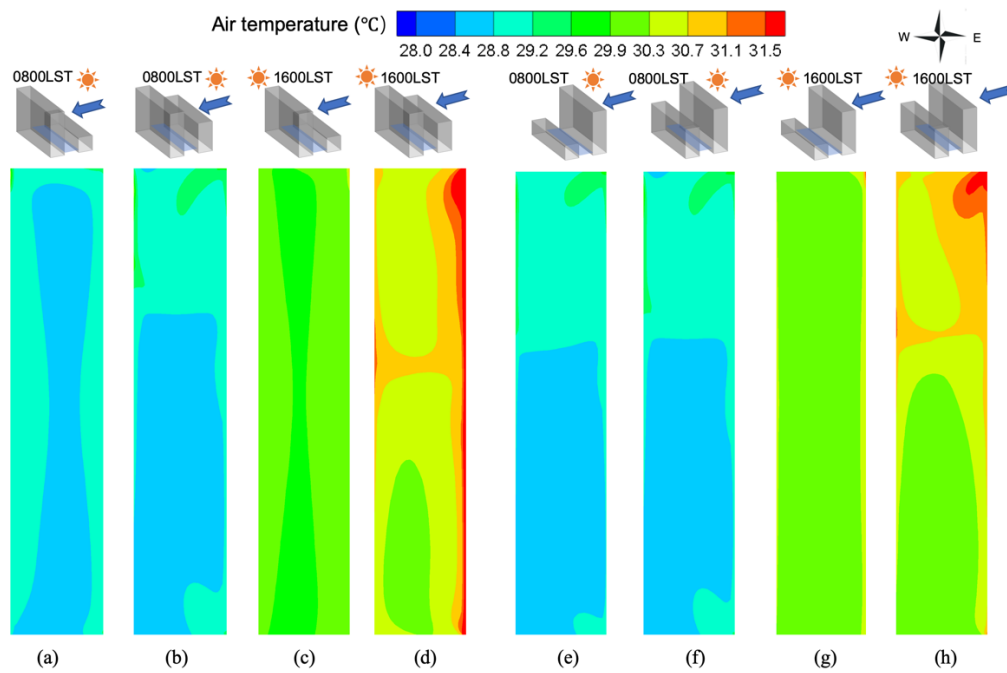


1  
2 Fig. 15 Predicted CO concentrations at the pedestrian level for various asymmetric street canyon  
3 configurations at LSTs of 0800 and 1600 under low wind speed: the step-up canyon with (a)  $H_1/H_2 = 1/3$   
4 at 0800 LST, (b)  $H_1/H_2 = 2/3$  at 0800 LST, (c)  $H_1/H_2 = 1/3$  at 1600 LST, and (d)  $H_1/H_2 = 2/3$  at 1600  
5 LST; and the step-down canyon with (e)  $H_1/H_2 = 3/1$  at 0800 LST, (f)  $H_1/H_2 = 3/2$  at 0800 LST, (g)  $H_1/H_2 = 3/1$   
6  $H_2 = 3/1$  at 1600 LST, and (h)  $H_1/H_2 = 3/2$  at 1600 LST.

7 Fig.16 presents the air temperature contours under low wind speed. In the step-up  
8 street canyon, the air temperature increased in the north but decreased in the south due  
9 to the convergent flow at 0800 LST (Fig. 16(a) and (b)). The average temperature  
10 changed slightly. At 1600 LST, the average temperature increased slightly, namely, by  
11  $0.3^\circ\text{C}$  (Fig. 17(c)), due to the reduction of the wind velocity. In the step-down street  
12 canyon, the average air temperature changed slightly at 0800 LST (Fig. 16(e) and (f)).  
13 This is because the higher upstream building almost blocked the solar radiation and the  
14 wind velocity also changed slightly. However, it decreased by  $0.2^\circ\text{C}$  at 1600 LST due  
15 to the increase of the shading effect of the downstream building (Fig. 17(c)).

16 In summary, the height increase of the lower building resulted in the higher air  
17 quality at 0800 LST and lower air quality at 1600 LST in the step-up canyon under low  
18 wind speed; it resulted in lower air quality in the step-down canyon. The higher

1 upstream building of the step-up canyon led to higher air temperature, while the higher  
 2 downstream building of the step-down canyon resulted in lower air temperature.  
 3 Similarly, the flow structure was dominated by forced convection when  $|Ri| < 20$ , and  
 4 the variation of  $|Ri|$  was not directly related to air quality or air temperature. In contrast,  
 5 when  $|Ri| > 20$ , the flow field was dominated by natural convection, and the increase of  
 6  $|Ri|$  also lead to an increase in the air temperature and a decrease in the pollutant  
 7 concentration at the pedestrian level.



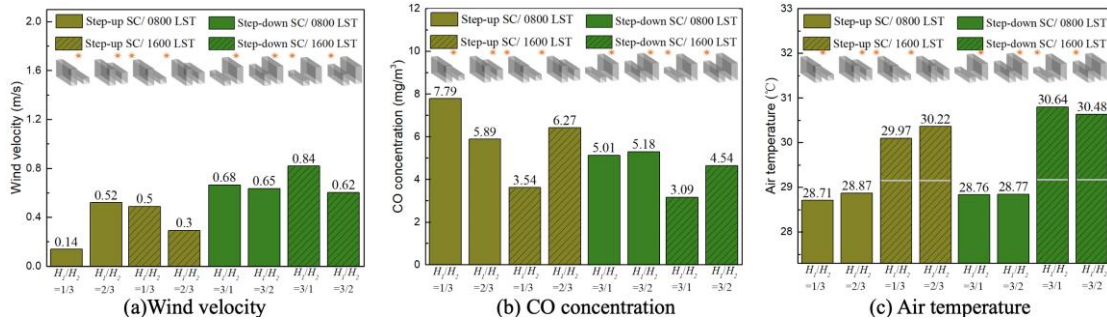
8 (a) (b) (c) (d) (e) (f) (g) (h)

9 Fig. 16 Predicted air temperature at the pedestrian level for various asymmetric street canyon  
 10 configurations at LSTs of 0800 and 1600 under low wind speed: the step-up canyon with (a)  $H_1/H_2 = 1/3$   
 11 at 0800 LST, (b)  $H_1/H_2 = 2/3$  at 0800 LST, (c)  $H_1/H_2 = 1/3$  at 1600 LST, and (d)  $H_1/H_2 = 2/3$  at 1600  
 12 LST; and the step-down canyon with (e)  $H_1/H_2 = 3/1$  at 0800 LST, (f)  $H_1/H_2 = 3/2$  at 0800 LST, (g)  $H_1/H_2 = 3/1$   
 13 at 1600 LST, and (h)  $H_1/H_2 = 3/2$  at 1600 LST.

#### 14 **Process II: On which side of the street should rebuilding be conducted?**

15 The CO concentration and air temperature were further compared between the step-  
 16 up and step-down street canyons in Fig. 17. For the step-up canyon ( $H_1/H_2 = 1/3$ ) and  
 17 the step-down canyon ( $H_1/H_2 = 3/1$ ), divergent flows and convergent flows, respectively,  
 18 occurred. Thus, higher CO concentration occurred in the center of the step-down

1 canyon, but the concentration was substantially lower in other areas. The average  
 2 concentration of the step-up canyon was 55.5% higher than that of the step-down  
 3 canyon (Fig. 17 (b)) at 0800 LST. However, the average temperature of the step-down  
 4 canyon was 0.6°C higher than that of the step-up canyon at 1600 LST(Fig. 17 (c)), due  
 5 to a weak shading effect. For the step-up canyon ( $H_1/H_2= 2/3$ ) and the step-down  
 6 canyon ( $H_1/H_2= 3/2$ ), the flow structures were similar, but the wind velocity of the  
 7 step-up canyon was lower (Fig. 17 (a)). Thus, the average concentration of the step-up  
 8 canyon was 38.1% higher than that of the step-down canyon at 1600 LST(Fig. 17(b)).  
 9 The average air temperature of the step-down canyon was 0.3°C higher than that of the  
 10 step-up canyon (Fig. 17(c)) at 1600 LST.



11  
 12 Fig. 17 Predicted average values at the pedestrian level: (a) the wind velocity, (b) the CO concentration  
 13 and (c) the air temperature under low wind speed of 0.5 m/s at LSTs of 0800 and 1600. The y-axis starts  
 14 from the reference air temperature (27.3 °C) at 0800 LST, and the grey line denotes the reference air  
 15 temperature (29.1°C) at 1600 LST.

### 16 3.4 Multivariate regression (MLR) analysis

17 The relationships of the air temperature and air quality in the outdoor micro-  
 18 environment with the key design factors (the upstream and downstream building  
 19 heights  $H_1$  and  $H_2$ , the canyon width  $W$ , and the reference wind speed  $U_{ref}$ ) are  
 20 generalized and summarized in this section. Multivariate regression analysis was  
 21 conducted for 32 cases, which included eight configurations ( $H_1/H_2= 0.25, 0.33, 0.5,$   
 22  $0.67, 1.5, 2, 3,$  and  $4$ ), two reference wind speeds (0.5 and 3 m/s), and two local solar  
 23 times (0800 and 1600 LST) in the city center of Hong Kong (latitude: 22°18' N,

1 longitude: 114°10' E). The street orientation is in the north-south direction, and the ratio  
 2 of building length to building width is kept constant at 5. Moreover, the prevailing wind  
 3 is from the east for both 0800 LST and 1600 LST, and the background air temperature  
 4 is 27.3 °C for 0800 LST and 29.1 °C for 1600 LST. Accordingly, four correlations were  
 5 identified, as listed in Table 6. Four additional configurations (step-up canyons with  
 6  $H_1/H_2=1/4$  and  $2/4$  and step-down canyons with  $H_1/H_2=4/1$  and  $4/2$ ) were simulated  
 7 to form a more sufficient database for more accurate analyses.

8 **Table 6** Multivariate regression analysis for air temperature and CO concentration

Index	LST	Correlation	$R^2$
Temperature Air	0800	$T_{am} = 30.301 - 0.317 \frac{H_1}{W} - 0.467 \frac{H_2}{W} - 0.145 U_{ref} - 0.02 \frac{H_1}{W} U_{ref} - 0.049 \frac{H_2}{W} U_{ref} + 0.103 \frac{H_1}{W} \frac{H_2}{W}$	0.892
	1600	$T_{pm} = 36.775 - 1.06 \frac{H_1}{W} - 2.086 \frac{H_2}{W} - 0.03 U_{ref} - 0.19 \frac{H_1}{W} U_{ref} - 0.062 \frac{H_2}{W} U_{ref} + 0.426 \frac{H_1}{W} \frac{H_2}{W}$	0.835
Concentration CO	0800	$C_{am} = 9.273 - 1.385 \frac{H_1}{W} - 0.269 \frac{H_2}{W} - 1.425 U_{ref} + 0.493 \frac{H_1}{W} U_{ref} - 0.32 \frac{H_2}{W} U_{ref} + 0.214 \frac{H_1}{W} \frac{H_2}{W}$	0.802
	1600	$C_{pm} = 1.437 + 0.771 \frac{H_1}{W} + 0.512 \frac{H_2}{W} - 0.122 U_{ref} + 0.125 \frac{H_1}{W} U_{ref} - 0.124 \frac{H_2}{W} U_{ref} - 0.052 \frac{H_1}{W} \frac{H_2}{W}$	0.845

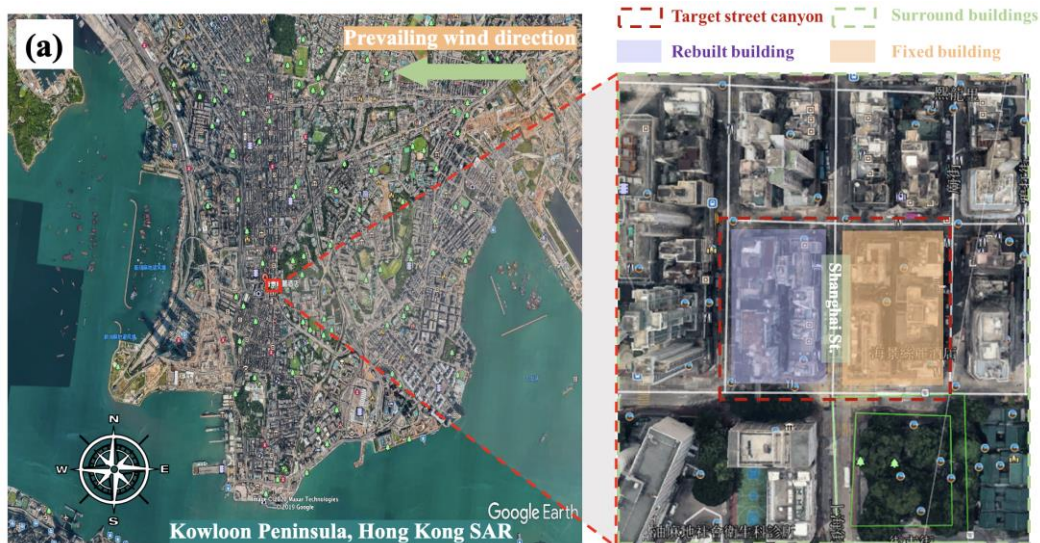
9

10 Improved thermal environment and air quality were identified through the  
 11 correlations with multiple dimensionless parameters, which can serve as a reference for  
 12 future asymmetric street canyon designs. Herein, the renewal planning of Shanghai  
 13 Street in city centers of Hong Kong (Fig. 18 (a)) is considered as an example to  
 14 preliminarily evaluate the variations of the outdoor thermal environment and air quality  
 15 based on this multivariate regression analysis (Table 6).

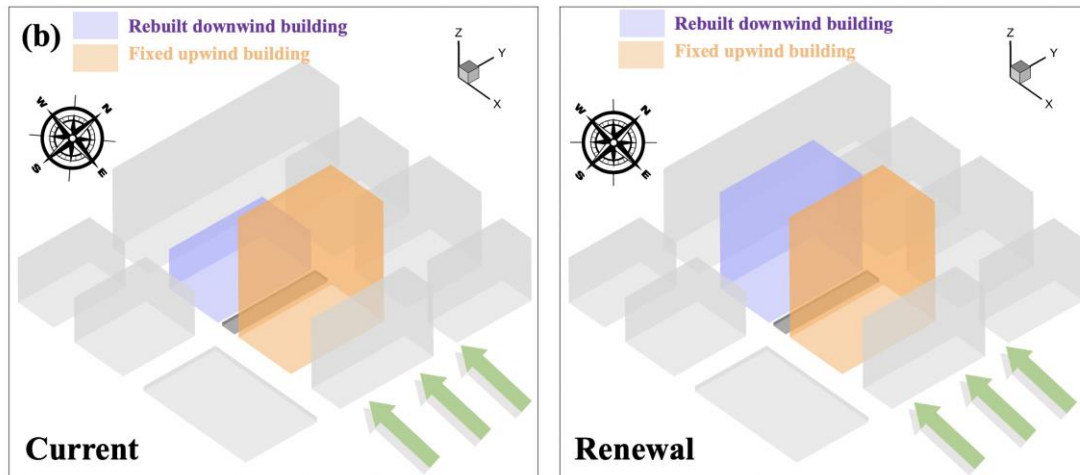
16 In this case, because the ambient wind is from the east at an approximately 3 m/s  
 17 speed and the street axis (North-south direction) is almost perpendicular to the ambient  
 18 wind direction, the multivariate regression analysis conducted above is suitable for this  
 19 urban renewal case, although the building length-width ratio ( $L/W \approx 4$ ) is slightly  
 20 smaller. The width of Shanghai street is approximately 15.3 m, and the average heights  
 21 of the upstream building and downstream building are approximately 74.9 m ( $H_1/W \approx$

1 5) and 24.5 m ( $H_2/ W \approx 2$ ), respectively. The downstream building will be rebuilt. Its  
 2 new height will be 60 m (new  $H_2/ W \approx 4$  and  $H_2/H_1 = 1.248 \approx 5/4$ ). According to the  
 3 multivariate regression analysis, the average air temperature decreases by  
 4 approximately 0.2°C (am) and 0.4°C (pm), and the average CO concentration decreases  
 5 by approximately 10.4% (am) and 3.6% (pm). In summary, this renewal planning of  
 6 windward buildings improves the outdoor thermal environment and air quality  
 7 simultaneously.

8 To evaluate this assessment result, two step-down canyons with  $H_1/ H_2=5/2$  and  
 9  $5/4$  with the same surrounding buildings were simulated via CFD, as shown in Fig. 18  
 10 (b). In Fig. 19, the air temperature and CO concentration decreased when  $H_2/ W$   
 11 increased from 2 to 4. The average air temperature at the pedestrian level decreased by  
 12 0.08°C (0800 LST) and 0.42°C (1600 LST), and the average CO concentration  
 13 decreased by approximately 9.7% (0800 LST) and 4.8% (1600 LST). There is a large  
 14 difference between the simulated values and MLR predictions. This is because the  
 15 intricate surrounding buildings are not considered in the MLR analysis. However, MLR  
 16 can well-predict the trend with the change in geometry of asymmetric street canyon.  
 17 Generally, the multivariate regression analysis can evaluate the outdoor thermal  
 18 environment and air quality beforehand for a north-south street canyon in the city  
 19 centers of Hong Kong during the urban renewal process.

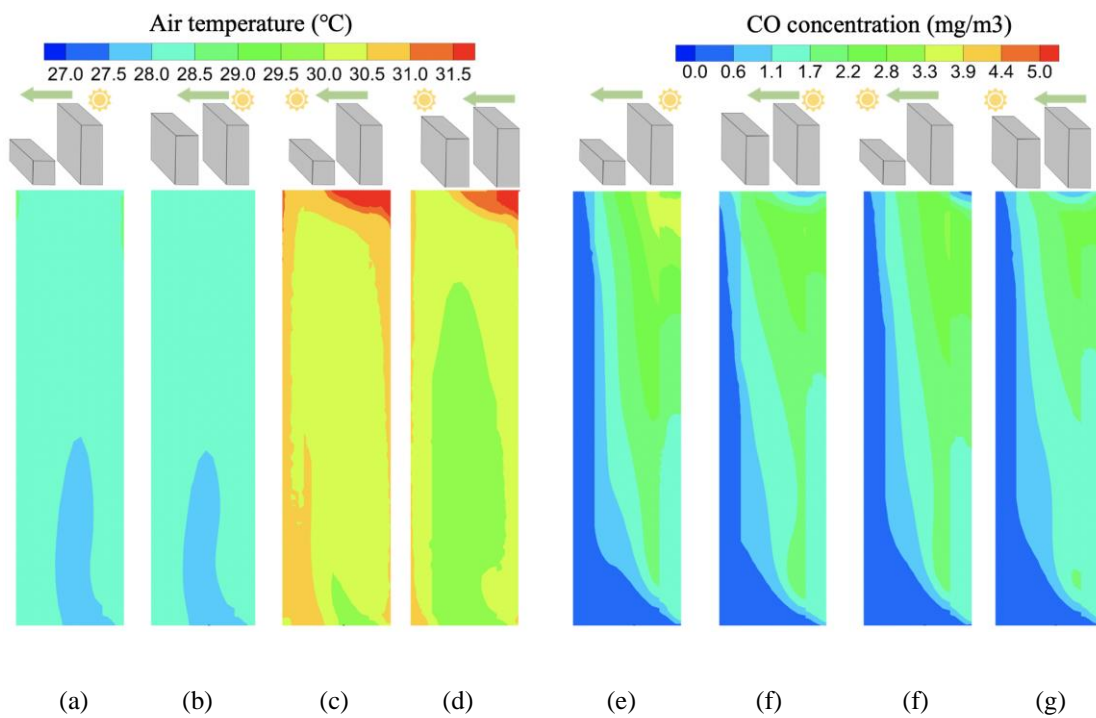


20



1

2 Fig.18 (a) Location and picture of the studied site. Source: Google Earth. (b) CFD models: the purple  
 3 and orange blocks represent the rebuilt downwind building and the fixed upwind building, respectively,  
 4 and the grey blocks represent the surrounding buildings around the target step-down street canyon.



5

6

7 Fig. 19 Predicted air temperature and CO concentration at the pedestrian level: the air temperature for  
 8 (a)  $H_1/H_2=5/2$  at 0800 LST, (b)  $H_1/H_2=5/4$  at 0800 LST, (c)  $H_1/H_2=5/2$  at 1600 LST, and (d)  $H_1/H_2=$   
 9  $5/4$  at 1600 LST; and the CO concentration for (e)  $H_1/H_2=5/2$  at 0800 LST, (f)  $H_1/H_2=5/4$  at 0800 LST,  
 10 (g)  $H_1/H_2=5/2$  at 1600 LST, and (h)  $H_1/H_2=5/4$  at 1600 LST.



## 1 **4. Discussion and limitation**

### 2 **4.1 Discussion**

3 In this section, some attained results of present studies will be compared with  
4 previous relevant studies in three aspects.

#### 5 **(i) Isothermal flow vs. thermal flow for asymmetric street canyon**

6 Within a group of 3D isothermal step-up street canyons, Park et al. [24] found that  
7 the airflow undergoes development and mature stages with an increase in the building  
8 length-width ratio. According to Park et al. [24], the in-canyon flows for present models  
9 (both step-up and step down, with a building length-width ratio of  $L/W > 2$ ) are at the  
10 mature stage. Without consideration of solar radiation, a primary vortex should be  
11 stable in position and induce strong outward flows in both spanwise directions. The  
12 present study shows that, under high wind speed, these strong outward flows and stable  
13 primary vortex features remain similar under isothermal and thermal conditions, as  
14 shown in Fig.7 and Fig. 8. Differently, under low wind speed, the heated windward  
15 surface of downwind building exhibited marked changes in the in-canyon flow, such as  
16 the disappearance of primary vortex (Fig. 13) and even the generation of strong inward  
17 flows in the deep step-up canyon ( $H_1/H_2 = 2/3$ , Fig. 13 (b)). Accordingly, the typical  
18 portal vortex in step-up canyons is virtually altered by this buoyancy effect under low  
19 wind speed.

#### 20 **(ii) 2D vs. 3D asymmetric street canyon**

21 Xie et al. [27] pointed out that the heated windward surface of 2D step-up canyon  
22 leads to a higher in-canyon pollutant concentration in comparison with the heated  
23 leeward one. This is because the upward buoyancy flux opposes the downward  
24 advection flux along this windward surface. Interestingly, a similar phenomenon could  
25 be observed in the present 3D asymmetric street canyon by the same token. For instance,  
26 the windward side of the step-up canyon was heated at 0800 LST for present studies.  
27 Significantly, in Fig. 12, the average pollutant concentration at 0800 LST is 13.7 % -  
28 21.7 % higher than that at 1600 LST under high wind speed. Also, under low wind

1 speed, the heated windward side of the shallow step-up canyon at 0800 LST ( $H_1/H_2=1/3$ )  
2 even resulted in a nearly 120.0 % higher concentration than at 1600 LST (Fig. 17),  
3 whereas a slightly lower concentration (6.6%) was observed in a deep step-up canyon  
4 ( $H_1/H_2= 2/3$ ). On the other hand, Xie et al. [27] also found that the heated leeward side  
5 of the step-down canyon caused higher concentration due to a decrease in the intensity  
6 of the vortex. Contrarily, in the present studies, the heated leeward side of the step-  
7 down canyon (1600 LST) led to a lower concentration (12.3% - 38.3%) than the heated  
8 windward side (0800 LST), under either high or low wind speed (Fig .12 and Fig .17).  
9 The reason is that the heated leeward side always caused a stronger convergent flow  
10 from the lateral exits, which can dilute the in-canyon pollutant concentration effectively.  
11 Comparatively, the 2D model were not be able to exhibit this kind of influence. In  
12 summary, similar conclusions are reached for both 2D and 3D step-up street canyons,  
13 while the inconsistent results are observed for the 2D and 3D step-down street canyons.  
14 The importance of three dimensional effects manifests.

15

### 16 **(iii) The role of airflow in determining the thermal burden of asymmetric canyon**

17 Rodríguez-Algeciras et al. [29] reported that a north-south street canyon with a  
18 higher west-side building could effectively reduce the thermal burden throughout the  
19 day due to its more substantial shading effect. In their study, they did not simulate the  
20 flow fields and consider the influence of different airflow caused by various asymmetric  
21 street canyons. However, a similar conclusion to the present study (with the  
22 consideration of the variations of airflow) is reached. In the current work, the step-up  
23 street canyon with the higher west-side building has lower air temperature than the step-  
24 down counterpart, under both high and low wind speeds. Accordingly, it can be  
25 concluded that the airflow plays a minor role in determining the thermal burden of the  
26 asymmetric canyon in comparison with the shading effect.

27

## 1 4.2 Limitations

2 The limitations of this study, which will be addressed in future work, are as follows:

3 (1) Steady computations were conducted in the current study. Thus, the temporal  
4 fluctuations in the wind velocity, direction, and diurnal temperature amplitude were not  
5 considered. Additionally, it has disregarded the heat storage effects of the building  
6 walls. The unsteady simulation can be included in future investigations to increase the  
7 prediction accuracy for practical urban environments.

8 (2) Because many previous studies focused on the outdoor environment of street  
9 canyons under a perpendicular wind direction, the prevailing ambient wind was  
10 introduced perpendicular to the street axis in the present simulation model. This is  
11 because perpendicular wind direction is usually related to the worst street canyon  
12 microclimate. However, the effect of wind direction and the impact of street direction  
13 should be explored in future work.

14 (3) Only was the thermal effect induced by solar radiation considered in this study.  
15 In effect, the anthropogenic heat (waste heat released into the atmosphere) also has a  
16 profound impact on the urban thermal effect and corresponds to the dilution potential  
17 of pollutants[60]. For instance, in the commercial district of Tokyo, Japan, Ohasai et al.  
18 [61] reported that the magnitude of waste heat flux from air-conditioners is over 1.5  
19 times larger than the short wave flux caused by solar radiation on the building surface  
20 in summer. Similarly, in Houston, USA, Sailor and Lu [62] found the traffic-induced  
21 heat flux (heated traffic emission) in a major freeway is as high as  $300 \text{ W/m}^2$ , which is  
22 also of the order of sensible heat caused by solar radiation. For the present study, the  
23 windward surface of downwind building was shaded in 1600 LST, and the forced  
24 convection hence played a dominant role in determining the in-canyon flow structure.  
25 If this surface is heated by air-conditioners, marked changes of flow characteristic  
26 might be observed. Accordingly, to provide more comprehensive building design  
27 guidelines, these anthropogenic heat sources shall be considered in our future work.

1 (4) The street length is fixed for the present study. However, with the increase of  
2 street length, the interaction between the top shear layer and the lateral shear layer could  
3 be radically different, yielding distinct in-canyon flow features. Thus, the effect of  
4 street length-width ratio should be explored in future work.

5 (5) Whether the modelling set-up actually can be expected to yield in-city results,  
6 i.e., where the two buildings are densely surrounded by other high buildings, should be  
7 further verified in the future.

8 In spite of these existing limitations, the new findings here can improve the  
9 understanding of the thermal environment and air quality within the asymmetric street  
10 canyons. Also, this paper contributed to a CFD model to evaluate air temperature and  
11 pollutant concentration at the same time, with consideration of the buoyancy force for  
12 natural-convection flows and the realistic solar irradiance. It derived some correlations  
13 from multivariate regression to quickly evaluate the outdoor air quality and air  
14 temperature for the urban renewal processes.

## 15 **5. Conclusions**

16 This study investigated the influence of the asymmetric street canyon configuration  
17 on air temperature and air quality at the pedestrian level by considering realistic  
18 estimates of solar irradiation. Based on CFD calculations that were conducted using the  
19 ANSYS Fluent<sup>®</sup> software, we obtained two outdoor parameters: the air temperature and  
20 the CO concentration. The major results are summarized as follows:

21 (1) Without solar radiation, the variation of the height of the lower building led to  
22 a minor change in the flow structure in the step-up canyon but a significant  
23 change in the step-down canyon.

24 (2) With solar radiation, the increase of the height of the lower building of the step-  
25 up canyon led to an increase in the average CO concentration by 39% – 49%  
26 under high wind speed, and a decrease by 24% for 0800 LST and an increase  
27 by 77% for 1600 LST under low wind speed. In addition, the average air

1 temperature increased by 0.2°C – 0.3°C under low wind speed, while it was  
2 virtually unchanged under high wind speed. In contrast, when the height of the  
3 lower building of the step-down canyon increased, the average CO  
4 concentration increased by 47% for 1600 LST under low wind speed, while it  
5 was almost unchanged in the other three cases (<8%). In the meanwhile, the  
6 average air temperature reduced nearly 0.2°C – 0.3 °C for 1600 LST under both  
7 high and low wind speed, while it essentially didn't change for the two 0800  
8 LST cases.

9 (3) When  $|Ri| < 20$ , the flow field was dominated by forced convection, and the  
10 variation of  $Ri$  had an insignificant influence on air quality and air temperature.  
11 In contrast, when  $|Ri| > 20$ , the flow field was dominated by natural convection,  
12 and the increase of  $|Ri|$  resulted in an increase in the air temperature and a  
13 decrease in the pollutant concentration.

14 (4) Under high wind speed, the thermal environment or air quality of the step-up  
15 canyon was always better than that of the step-down canyon; under low wind  
16 speed, the air quality was higher in the step-down canyon than in the step-up  
17 canyon, although the step-up canyon was still found to be cooler than the step-  
18 down canyon.

19 (5) A multivariate regression analysis for all of the simulated cases has been  
20 conducted with the following group of parameters:  $H_1/W$ ,  $H_2/W$  and  $U_{ref}$ . Four  
21 correlations for the air temperature and CO concentration were identified.  
22 These correlations with multiple parameters provide a reference for the design  
23 of north-south asymmetric canyon configurations in the city centers of Hong  
24 Kong to simultaneously improve the thermal environment and air quality.

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25

26 Table A.1 Monthly means of prevailing wind direction at Hong Kong Observatory between 1981 -2010 (E denotes  
27 the east wind, and W denotes the west wind) [37]

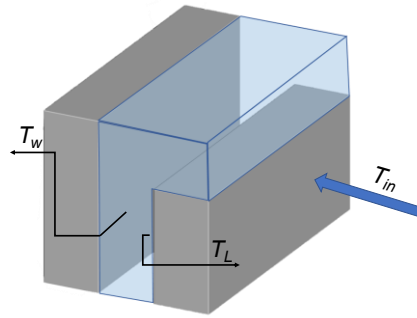
Mouth	Jan.	Feb.	Mar.	Apr.	May.	Jun.	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Avg.
Prevailing wind direction	E	E	E	E	E	E	W	E	E	E	E	E	E

28

29 Table A.2 Spectral optical and thermos-physical material properties[63]

Property	Fluid	Building	Ground
Materials	Air	Concrete	Asphalt
Density (kg/m <sup>3</sup> )	1.225	2400	2360
Specific heat (J/kg K)	1006.43	750	920
Thermal conductivity (W/m K)	0.0242	1.7	0.75
Viscosity (kg/m S)	1.7894×10 <sup>5</sup>	-	-
Absorption coefficient (1/m)	0.19	0.9	0.9
Scattering coefficient (1/m)	0	0	-10
Refractive index	1	1.7	1.92
Emissivity, ε	0.9	0.7	0.95

30



1

2 Fig. A.1 Schematic for the calculation of Richardson number (volume-average wind  
3 speed in the asymmetric canyons is calculated within the blue region)

4

5

6