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# 1D metal-dithiolene wires as a new class of bi-functional oxygen reduction and evolution single-atom electrocatalysts



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#### ABSTRACT

Discovering low-cost, durable and highly active electrocatalysts with reduced use of precious platinum group metals (PGM) as catalysts for the hydrogen evolution reaction (HER), the oxygen reduction reaction (ORR), and the oxygen evolution reaction (OER) is a key step for large-scale adaptation of fuel cells, electrolyzers, and metal-air batteries. Here we explore the stability and reaction mechanisms of synthesized one-dimensional transition metal dithiolene wire (TM-DWs, TM = Cr - Cu, Rh, Ir, Pt, Pd) for the ORR and the OER in acid solution by density functional theory (DFT) calculations. Our calculations reveal that Co-DW intrinsically exhibits high catalytic activity for bi-functional ORR/OER with low limiting overpotentials ( $\eta$ ) of 0.46/0.45 V via four-electron reactions. These low limiting overpotentials arise from modified scaling relations by strengthening the binding free energy of OOH\* compared to OH\* on TM-DWs, yielding universal minimum ORR/OER overpotentials of  $\eta$  = 0.28/0.22 V, remarkably decreased compared to both metal and oxide surfaces ( $\eta_{\rm ideal}$  = 0.37 V). By applying uni-axial strain, the adsorption strength of reaction intermediates on TM reactive sites can be optimized due to shifts in d-band centers. Our findings provide valuable insight into rational design of non-precious metals based electrocatalysts, and demonstrate a new strategy of tuning adsorptions via uni-axial strain to develop efficient bifunctional electrocatalysts of ORR/OER under optimal conditions.

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#### 1. Introduction

With rising concerns about limited fossil fuel resources, air pollution and climate changes, there are intense worldwide efforts to utilize more sustainable and renewable energy sources. Key technologies in the sustainable chain are electrochemical storage and conversion devices, such as metal-air batteries, water splitting systems, and proton exchange membrane (PEM) fuel cells (FC) [1,2]. As the key reaction in the PEMFC technology, the oxygen reduction reaction (ORR) occurs at the cathode with the production of water via the four-electron reduction. Platinum based materials are commonly used electrocatalysts for the ORR. However, the most important challenges are related to the much slower kinetics of the cathode than the anode reaction (hydrogen oxidation reaction)

and the utilization of precious metals, which greatly hinder large-scale industrial applications [3]. Similarly, the hydrogen and oxygen evolution reaction (HER/OER) relevant to water splitting electrocatalysts also requires catalysts based on noble metals [4–6]. Thus, it is highly desirable to develop new classes of electrocatalysts based on earth-abundant materials, whose catalytic performances are comparable or even higher than those of noble metal-based materials [7]. Any technical breakthrough therefore would be greatly beneficial for easing the contradiction between supply and demand of energy and solving environmental problems. Great effort in recent years has been devoted by researchers working in this area, there are now a large number of such earthabundant materials that are electrocatalytically active for either ORR or OER. For example, Liu et al. found that CoFe alloy embedded in N-doped carbon nanotubes presents appreciable ORR/OER activity when applied in a Zn-air battery [8]. A series of manganese oxides (MnOx, Mn2O3, Mn3O4) have been widely investigated and shown OER activity in alkaline and neutral solutions [9-12]. Whereas, MnO<sub>2</sub> shows favourable ORR activities after inducing carbon nanotubes [13]. The enhanced activity can be attributed to the accelerated electron transfer process [14]. Serov et al.

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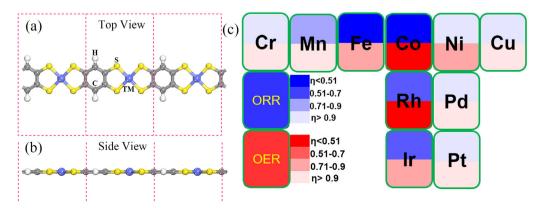
systematically studied the roles of influences of different preparation methods on electrocatalytic activity for ORR and OER of CuCo<sub>2</sub>O<sub>4</sub> and found sacrificial support method prepared catalysts can serve as highly active bi-functional catalysts in both ORR and OER [15]. It has been shown that transition metal oxides (e.g., Mn, Co, Ni, and Fe etc.) display high intrinsic activity and stability in electrochemical reactions as bifunctional ORR/OER catalysts [16].

Single-atom catalysts (SACs), which refer to a class of catalysts with catalytically active isolated single metal atoms, have recently stimulated extensive efforts worldwide to develop low-cost, earthabundant multi-functional electrocatalysts to promote the ORR, OER, and HER. A wide variety of single metal atoms can strongly and orderly anchor on support surfaces possessing great potential for achieving high-activity catalysts with maximal usage of the metals [17–19]. Besides, the simple structures provide important atomic insights into the fundamental understanding of the nature of the active sites and identify intrinsic reaction mechanisms of the catalysts. Low-dimensional metal-organic frameworks (MOFs) with intrinsic structural porosity, large surface-to-volume ratio, chemical tunability and high charge carrier mobility have been regarded as promising SACs for next-generation renewableenergy applications [20-22]. These materials are synthesized through a bottom-up approach, by combining aromatic organic moieties that can ligate square-planar metal ions. Up to now, the catalytic performances of the transition metal-N<sub>4</sub> macrocycles (TM-N<sub>4</sub>), e.g. phthalocyanine (TM-Pc) [23-28], TM-porphyrincomplexes (TM-PP) [29-31] and TM coordinated hexaaminobenzene-base polymer (TM-HAP) [32-33], and TMtetracyanoquinodimethane (TM-TCNQ) [34-38] have been extensively studied both experimentally and theoretically.

Recently, a new class of MOFs, named transition metal dithiolenes wires (DW), have been synthesised in one- and twodimensional frameworks by Marinescu et al. [39-40]. They found Co- and Ni-DWs are efficient electrocatalysts for the HER. The experimentally measured overpotential of Ni-DWs could be 470 mV at a current density of 10 mA cm<sup>-2</sup> at pH 1.3, which is 90 mV lower than that of the Co-DW. Besides, no decrease in activity is observed for the Ni complex during the first hour of electrolysis, suggesting remarkable stability under very acidic aqueous solutions. Analogous to the structures of TM-N<sub>4</sub> complexes, TM atoms are embedded periodically into four-coordinated sulfur macrocycles with very high density (ca.  $2.3 \times 10^{21}$ /g) as shown in Fig. 1. Thus, the question naturally arises whether they can be used as good electrocatalysts for the ORR or the OER, and which TM-DWs exhibit the best performance. To the best of our knowledge, there are no previous theoretical nor experimental studies of this appealing issue. Therefore, we extensively explore a series of TM-DW (TM = Cr - Cu, Rh, Ir, Pt, Pd) as ORR and OER electrocatalysts by means of density functional theory (DFT) computations. Our results suggest that synthesised Co-DW intrinsically shows good catalytic activity for bi-functional ORR/OER with the low limiting overpotentials ( $\eta$ ) of 0.46/0.45 V via the four-electron reaction. This significant improvement arises from modified scaling relation by strengthening binding free energy of OOH compared to OH on TM-DWs, yielding universal bifunctional minimum ORR/OER overpotentials of  $\eta$  = 0.28/0.22 V. By applying uni-axial strain, activities of Co-DW for ORR and OER can been further boosted, e.g.  $\eta$  = 0.31/0.47 V under 5% compressive strain due to varying d-band centers with optimal absorptions. Besides, Co-DW possesses superior electrical conductivity allowing fast electron transfer during the reaction. Hence, the Co-DW is a quite promising SAC for bi-functional ORR/OER.

#### 2. Computational methods

Our studies are based on spin-polarized density function theory (DFT) calculations within the generalized gradient approximation (GGA) [41] as implemented in the Vienna ab initio simulation package (VASP) program package [42] to explore the reaction mechanisms for the ORR and the OER on TM-DWs. The exchange-correlation interactions are described with the BEEFvdW [43] functional, which is specially designed to provide more accurate descriptions of van der Waals dispersive forces and chemisorption energies of molecules on surfaces than the PBE functional, and also provides the opportunity to establish uncertainties on the predicted energies. The kinetic energy cutoff for the plane-wave basis set is to 500 eV, and adsorption of OOH\*, O\*, and OH\* is allowed on only one side of the exposed TM-DWs with dipole corrections in the Z direction. Solvation is considered through a self-consistent polarizable continuum model with a dielectric constant of 78.4 for water [44]. The thickness of the vacuum layer is set to be more than 20 Å, which is sufficiently large to avoid interlayer interactions. The electronic SCF tolerance is set to 10<sup>-5</sup> eV. Fully relaxed geometries and lattice constant are obtained by optimizing all atomic positions until the Hellmann-Feynman forces are less than 0.02 eV/Å. The k-point samplings with a gamma-centred Monkhorst-Pack scheme [45] are  $15 \times 1 \times 1$  in the Brillouin zone for structure optimizations, while a 25  $\times$  1  $\times$  1 mesh is used for the density of states (DOS) calculations. Free energy corrections are calculated by the quantum mechanical harmonic approximation and ideal gas approximation in the Atomistic Simulation Environment (ASE) [46].



**Fig. 1.** Top (a) and side (b) views of ten TM-DWs (TM = Cr-Cu, Rh, Pd, Ir, Pt) candidates. (c) Bluer and redder colours correspond to lower limiting overpotentials (η) toward ORR and OER, respectively. Blue, transition metals (TM); yellow, sulfur (S); gray, carbon (C), and white, hydrogen (H).

The DFT adsorption energies of OOH\*, O\*, and OH\* are calculated with reference to  $H_2O$  and  $H_2$  according to the following Eqs. (1)–(3):

$$\Delta E_{ads}(OOH^*) = E_{DWs-OOH} - E_{DWs} - (2E_{H2O} - 3/2E_{H2})$$
 (1)

$$\Delta E_{ads}(O^*) = E_{DWs-O} - E_{DWs} - (E_{H2O} - E_{H2})$$
 (2)

$$\Delta E_{ads}(OH^*) = E_{DWs-OH} - E_{DWs} - (E_{H2O} - 1/2E_{H2})$$
 (3)

where  $E_{DWs-OOH}$ ,  $E_{DWs-O,}$   $E_{DWs-OH}$  are the energies of adsorbates binding on transition metal dithiolene wires,  $E_{H2O}$  and  $E_{H2}$  is the total energy of single isolated  $H_2O$  and  $H_2$  molecules, respectively.

The Gibbs free energy change of adsorbates in acid is evaluated by the equation

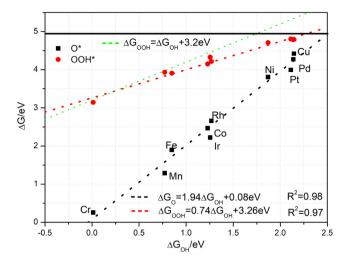
$$\Delta G = \Delta E_{ads} + \Delta E_{ZPE} - T\Delta S + \Delta \int C_p dT + \Delta G_U + \Delta G_{pH}$$
 (4)

where  $\Delta E_{ads}$  is DFT adsorption as shown as in Eqs. (1)–(3).  $\Delta E_{ZPE}$ ,  $T\Delta S$  and  $\Delta \int C_p dT$  are related to the zero point energy change, entropy change, and the heat capacity change upon adsorption. Free energy corrections in standard states (298 K, 1 bar) of adsorbates (sum of  $\Delta E_{ZPE}$ ,  $T\Delta S$  and  $\Delta C_p dT$ ) are approximated by the constant values of 0.4 eV, 0.05 eV and 0.35 eV for OOH, O, and OH adsorbates obtained for Co-DW, respectively. The effect of the electrode potential on the chemical potential of an electron can be expressed as  $\Delta G_{IJ}$  = -neU, where n is the number of transferred proton-electron pairs and U is the electrode potential versus the standard hydrogen electrode [30].  $\Delta G_{pH} = 2.303 k_B TpH$  is the free energy correction based on the H<sup>+</sup> concentration, where k<sub>B</sub> and T are the Boltzmann constant and temperature, respectively. We consider pH = 0 for the acid medium in our study. As rather small barriers are found for proton coupled electron transfer [47], we assume the reaction kinetics is determined by the free energy change of each step. The optimized structures of TM-DWs are planar without bulking. Thus, similar to other flat structural materials, pre-adsorbated O<sub>2</sub> is difficult to dissociate into two O\*, which is usually related to a high energy barrier of dissociation of O2 on single metal atom sites due to the limited space to accommodate two atomic O\*.[48] Therefore, a direct four-electron pathway becomes prohibitive via a dissociative mechanism, and we only consider the associative mechanism in current study.

#### 3. Results and discussion

## 3.1. The oxygen reduction reaction and oxygen evolution reaction catalyzed by TM-DWs

Three key adsorption intermediates determine the final catalytic performance towards the ORR and the OER. If a catalyst binds one or more intermediates too strongly, the active sites are being occupied and poisoned; whereas if the interactions are too weak, reactants or intermediates are able to detach from the catalysts without reacting. Via the four-electron reaction pathway, the overall reaction free energy of the OER is 4.92 eV and in order to minimize free energy barriers, the adsorption free energies of consecutive intermediates OH\*, O\*, and OOH\* are ideally separated by 1.23 eV, resulting in ideal adsorption free energies of OOH\*, O\*, and OH\* of 3.69, 2.46, and 1.23 eV, respectively. The closer individual adsorption energies are to the ideal values, the higher catalytic activities can likely be achieved. To evaluate the activities of the TM-DWs, we first compute adsorption free energies of reaction intermediates (based on Equation (4)) on TM-DWs in Fig. 2 and table S2 in supporting information. The trend in binding strength is followed as Cr > Mn > Fe > Ir > Co > Rh > Ni > Pt > Pd > Cu. It is well known that occupied d-orbitals with lower energy level normally correlate with weaker interaction between the catalysts



**Fig. 2.** Scaling relations between the adsorption free energies of adsorbates on TM-DWs. Black: O\* vs. OH\*; red: OOH\* vs. OH\*. The black line is at 4.92 eV, which is equal to formation energy by two water. The green dash line is a traditional scaling relation between OH\* and OOH\* for comparison.

and reaction intermediates. We can see that the order of adsorption energies match with trend of d-band centers with the increase of the atomic number of TM atoms within each row. Among them, intermediate free energies on Co– and Ir–DWs are the closest to the ideal values with expecting high catalytic activities towards the ORR and the OER. Fig. 2 shows correlations between the binding free energies of  $\Delta G(OH^*)$  vs  $\Delta G(O^*)$  and  $\Delta G(OH^*)$  vs  $\Delta G(OOH^*)$  on a variety of TM–DWs. They can be expressed as linear functions of  $\Delta G(OH^*)$  by

$$\Delta G(O^*) = 1.94 \Delta G(OH^*) + 0.08 \text{ eV}$$
 (5)

$$\Delta G(OOH^*) = 0.74\Delta G(OH^*) + 3.26 \text{ eV}$$
 (6)

Linear relations with high coefficients of determination  $(R^2 = 0.92, 0.98)$  are found. As the bond-order of O\* vs. OH\* should be 2 vs. 1, the expected slope of  $\sim$  2 is very close to the fitted value of 1.94 in equation (5), and in agreement with previous predictions [49]. Similarly, the expected slope for OOH\* vs. OH\* should be  $\sim 1$ , as found on metal and oxide surfaces [50-51] based on electroncounting rules. On metals and oxides, the scaling relation between OH\*, and OOH \* species, implies universally minimal ideal overpotentials of ~0.37 V for the ORR and the OER [52], which impose a significant limitation to discovery of highly active electrocatalysts. Whereas for SCAs based on low dimensional materials, the slope between OH\* and OOH\* is usually smaller (ca.0.7 ~ 0.9) [32,49,53] than that on metals and oxides ( $\sim$ 1.0), thereby allowing new possibilities for catalyst design compared to e.g. metals or oxides. The observed slope for OH vs OOH on TM-DWs is 0.74 with strengthened interactions of OOH\* compared to OH\* as shown as in Fig. 2. The reduced slope is mainly attributed to highly covalent metal-oxygen bonds, in which there is little charge transfer between active site and adsorbates as proposed by Calle-Vallejo et al.[53] Thus, we calculate the Bader charge of three intermediates on various TM-DWs. We note that the charges on different metals change little for O\* and OH\*. The charges on H atoms in OH\* (~0.61-0.69|e|) and OOH\* (~0.65-0.72|e|) remain approximately constant regardless of the type of metal. Besides, mean charges on O in O\* and OH\* are -0.54 |e| and -1.02 |e| with small deviations of 0.049 |e| and 0.056 |e|, respectively. However, the excess charges of OOH\* decline rapidly from Ni to Pd as significant change of O—O moiety with a large deviation of 0.126 |e|. Besides, the O—O moiety charge increases for Ni, Cu, Pd and Pt (less negative), then the O—O atoms become less electron rich in figure S1, which means that the M—O should be less polarized. In Fig. S2, local DOS analysis reveals that the 2p orbitals of O atom bound to the TM in OOH\* for Ni, Cu, Pd and Pt are narrow and near the fermi level, similar to  $O_2$  molecularly adsorbated on metals [54]. Whereas, from Cr to Co, 2  $\pi^*$  orbitals are diffuse in deeper energy regions and show stronger hybridizations with 3d-orbtials, indicating strong interaction between metal and OOH\*. The 2p-band of O shifts to higher energies is indicative of a higher O basicity from Cr to Pt, suggesting the covalency of the TM—O bond decreases. It should, however, be noted that the slope of OOH\* vs. OH\* increases from 0.74 to 0.87 after excluding weakly binding Ni, Cu, Pd and Pt, which makes the slope approach the expected value of 1 from electron-counting rules.

We consider the following four electron ORR mechanism in acidic solution via Eqs. (7)–(10):

\* + 
$$O_2(g) + H^+ + e^- \rightarrow 00H^*$$
 (7)

$$00H^* + H^+ + e^- \rightarrow 0^* + H_20$$
 (8)

$$O^* + H^+ + e^- \rightarrow OH^*$$
 (9)

$$OH^* + H^+ + e^- \rightarrow^* + H_2O$$
 (10)

From Eqs. (7)–(10), the reaction Gibbs free energy at 0 V vs RHE can be written as

$$\Delta G_1 = \Delta G (00H^*) - 4.92 \text{ eV}$$
 (11)

$$\Delta G_2 = \Delta G (O^*) - \Delta G (OOH^*)$$
 (12)

$$\Delta G_3 = \Delta G (OH^*) - \Delta G (O^*)$$
 (13)

$$\Delta G_4 = -\Delta G (OH^*) \tag{14}$$

The Gibbs free energy of  $O_2$  is set as 4.92 eV from the formation free energy of two water molecules:  $2H_2O \rightarrow O_2 + 2H_2$ . We calculate  $\Delta G_{1-4}$  using the computational hydrogen electrode (CHE) [55] to approximate the free energy of a proton and an electron by half the free energy a hydrogen molecule at U=0 V vs RHE. The first step of the ORR pathway is  $O_2$  being hydrogenated to  $OOH^*$  at the active site. Then  $OOH^*$  is hydrogenated to atomic oxygen and a free water molecule. Then, the adsorbated  $O^*$  is attacked by an additional proton-electron pair to form  $OH^*$ , which can be further reduced to the second  $H_2O$ . Here, the catalytic performance for ORR can be estimated by the thermodynamic limiting potential ( $U_{lim}$ ) from equation (15).

$$U_{lim}$$
=- max $\Delta G_1$ ,  $\Delta G_2$ ,  $\Delta G_3$ ,  $\Delta G_4/e$  (15)

The theoretical limiting overpotential ( $\eta$ ), which depends on  $U_{lim}$  at standard conditions (pH = 0 and T = 298.15 K) is obtained from equation (16) by determining the magnitude of the potential-determining step at the equilibrium potential of 1.23 V

$$\eta^{ORR} = 1.23 - U_{lim} = 1.23 + max\Delta G_1, \Delta G_2, \Delta G_3, \Delta G_4/e$$
 (16)

Here, lower  $\eta$  represents a higher ORR activity. According to Eqs. (5)–(6) and (16), the theoretical overpotential can be further expressed as a function of the two variables  $\Delta G(0^*-OH^*)$  and  $\Delta G(OH^*)$ . Plotting volcano contour map of  $\eta^{ORR}$  for the class of TM-DWs in Fig. 3, leads to a universal minimum  $\eta^{ORR}$  of 0.28 V. The best catalysts for the ORR are found to be Fe- and Co-DWs with  $\eta^{ORR}$  of 0.38 and 0.46 V with different potential-determining steps. Compared to Pt(111), the values of  $\Delta G(OOH^*)$  are close, but absorption of O\* and OH\* is stronger on Pt(111) yielding a higher  $\eta^{ORR}$  of 0.65 V with the BEEF-vdW functional calculation [56] (Table S2 in Supporting Information). Rh-, Ir-DWs also exhibit good activity for the ORR with the potential-determining step being the reduction  $O_2$  to OOH\*. Too strong binding on Cr- and Mn-DW or too weak binding on Ni-, Cu-, Pd-, and Pt-DW result in high  $\eta^{ORR}$  on these metal sites.

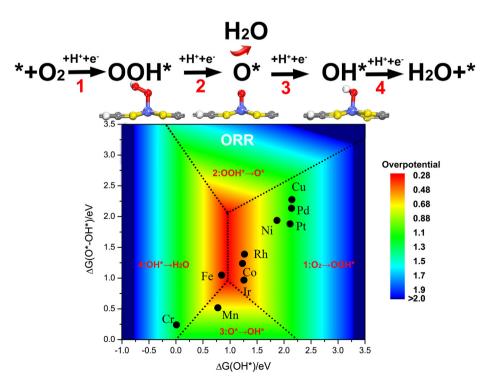


Fig. 3. Four-electron ORR pathway via the associative mechanism with the three intermediates OOH\*, O\* and OH\*, and coloured contour of the limiting overpotential ( $\eta^{ORR}$ ) as a function of the Gibbs free energies of O\*-OH\* and OH\*. Oxygen (O) and hydrogen atoms are coloured in red and white. The volcano plot is based on Eqs. (5), (6) and (16).

We also study the two-electron ( $2e^-$ ) reduction of  $O_2$  to  $H_2O_2$  through the formation of OOH\* followed by

$$00H^* + H^+ + e^- \rightarrow^* + H_2O_2$$
 (17)

Fig. 4 displays the free energy diagram for the ORR via the two-electron and four-electron reductions on Co-DW at different electrode potentials, respectively. At U = 0, the reactions from  $O_2$  to  $H_2O$  or  $H_2O_2$  can spontaneously happen on a Co-DW as an exothermic process. However, the formation of  $H_2O_2$  via the two-electron pathway is less efficient compared to the production of  $O^*$  in the  $4e^-$  reduction, because the released free energy (0.65 eV) by  $H_2O_2$  formation is much less than the free energy (1.68 eV) released from OOH\* to  $O^*$  and  $O^*$  on the consistent with previous consistency of the co

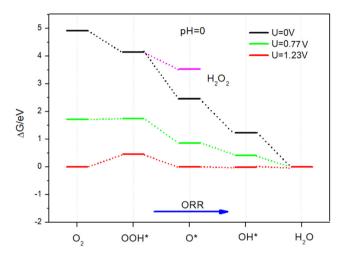


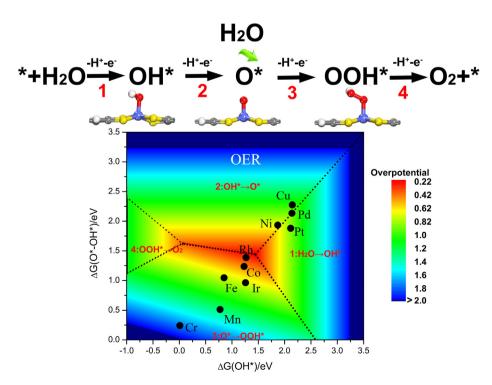
Fig. 4. Gibbs free energy landscape for the ORR on Co-DW at different electrode potentials in an acidic medium.

ous results [49]. When  $U=U_{\rm lim}=0.77$  V, the free energy of  $O_2$  to OOH\* is isoenergetic. A thermodynamic barrier of 0.46 eV is present for the potential-determining step at the equilibrium potential of 1.23 V, which is the reduction of  $O_2$  to OOH\* for the  $4e^-$  reduction.

The oxygen evolution reaction is a key step in water splitting and metal-air battery technologies. Thus, we next explore the OER performance for TM-DWs. As the reverse reaction of the ORR, the limiting overpotential of the OER can be obtained via the following equation

$$\eta^{\text{OER}} = U_{\text{lim}} - 1.23 \text{ V} = \text{max} - \Delta G_1, -\Delta G_2, -\Delta G_3, -\Delta G_4/e - 1.23 \text{ V}$$
(18)

As shown in Fig. 5, the ideal minimum  $\eta_{\text{lim}}$  is 0.22 V, which is much lower than that of metal or oxide surfaces as previously mentioned. Rh- and Co-DWs ( $\eta^{OER}$  = 0.33 and 0.45 V) locate at near the top of the OER volcano with the highest activities among the 10 candidates, which are comparable value to widely commercial use of RuO<sub>2</sub> [50] ( $\eta^{OER}$  = 0.42 eV). Fe-DW exhibits moderate activity with  $\eta^{OER}$  of 0.78 V. Potential-determining steps for the fourelectron evolution on TM-DWs are distributed into three different zones. The best catalysts, Rh- and Co-DWs, have OOH\* formation from O\* as the potential-limiting step. A wide potential window has been considered, since at large potentials the active site could be covered by O or OH ligands. Therefore, we further analyse of the influence of adsorbates on the oxidized Co-DW for OER activity. When electrode potential reaches at 1.23 V, adsorption energies of O\* and OH intermediate become isoenergetic in Fig. 4. And O\* adsorption is more energetically preferred compared to OH\* when potential is over 1.23 V for OER. The presence of O\* on the back of Co-DW can weaken OOH\*, O\* and OH\* by 0.6, 1.25 and 0.81 eV in Table S2, respectively. This makes OH oxidation to O as the potential limiting step with an overpotential of 0.8 V according to equation (18). A higher limiting potential of 2.03 V can be obtained, at which OER would proceed on both sides simultaneously. We notice



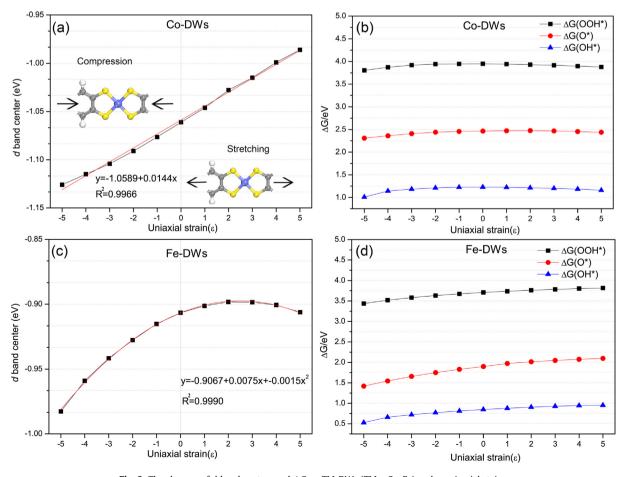
**Fig. 5.** Four-electron-transfer OER pathway via the associative mechanism with the three adsorbed intermediates of OOH\*, O\*, and OH\*. A coloured contour of overpotential  $(\eta^{OER})$  as a function of Gibbs free energies of O\*-OH\* and OH\*. The volcano plot is based on Eqs. (5), (6) and (18).

that there is still space to reach the ideal minimum value for improving active of Co-DW by e.g. destabilizing the OH\* intermediate. Considering the cost and limited abundance, we will not study noble metal-based catalysts further in the following.

#### 3.2. Improving the catalytic performance by uni-axial strain

As we previously discussed, the catalytic activity is related to the metal d-band centers and their interaction with intermediates. Therefore, rational design of ideal SCAs with tunable d-orbital configuration for a certain electrocatalytic reaction may become a reality. In practice, applying strain on one-dimensional materials is expected as an effective technology to adjust electronic properties [57-60], which cannot be practically realized for 2D and 3D materials. For instance, Kaniber et al. use a piezoelectric device that loads carbon nanotubes (CNTs) on two Au pads as source and drain [61]. By applying different voltages, the electronic properties associated with axial length of CNT can be tuned. The adjustment of electronic properties induced by strain also affect the absorption of adsorbates [62] due to d-band center shifts [26]. We have recently shown that the ORR activity of Ni-TCNO complexes can increase under 2-3% biaxial tensile strain. However, the catalytic performances of Fe-TCNQ for ORR/OER under strain are not improved [49]. DFT calculations confirm that the d-band centers of the strained Ni-TCNQ complexes increase linearly with strain. Distortion of the coordinated metals with four nitrogen atoms under strong strain may lead to vary free energy changes of  $\Delta G$  $(O^*)$ ,  $\Delta G(OH^*)$  and  $\Delta G(OOH^*)$  to different degrees, which may provide more space to rational design novel SACs. Motivated by the above technology, we perform extensive calculations of TM-DWs

(TM = Fe, Co) to study the impact of uni-axial strain on the catalytic performance. The strain, defined as  $\mathcal{E} = (c_s - c_0)/c_0$  corresponds to tensile force (0 to +5%) and compressive force (-5% to 0), where  $c_s$  and  $c_0$  is the lattice constant of the strained and the pristine TM-DWs, respectively. The potential-determining steps for the ORR or the OER don't change under strain. As clearly seen from the plots of limiting overpotential as a function of strain,  $\eta^{\text{ORR}}$  on Co-DW declines from 0.46 to 0.31 V, and  $\eta^{OER}$  corresponds to a higher value of 0.47 V corresponding to the best ORR/OER catalytic performance under 5% compressive strain, as shown in Fig.S3. While, low overpotential of 0.39 and 0.41 V for ORR/OER can also be obtained under 5% tensile strain. To gain a deeper insight into the effect of uni-axial stain, the variation of d-band centers and binding free energy are addressed. It can be seen that the values of d-band centers increase linearly with strain from  $\varepsilon$  -5% to + 5% with a slope of 0.0144 in Fig. 6 (a). The resulting binding interactions are, however, not linearly related to the d-band centers. When the tensile strain reaches + 5%,  $\Delta G(OOH^*)$  declines from 4.15 to 4.08 eV, and  $\Delta G(O^*)$  change slightly from 2.47 to 2.44 eV in Fig. 6. Thus, lower  $\Delta G(OOH^*)$  results in a lower  $\eta^{ORR}$  as the potential-determining step for the ORR is hydrogenation of O<sub>2</sub>. Limiting overpotentials for the OER are determined by the energetic difference of  $\Delta G(OOH^*)$  and  $\Delta G(O^*)$  because the potentialdetermining step is OOH\* formation from O\*. Since OOH\* is stabilized more than  $O^*$  by 5% strain,  $\eta^{OER}$  decreases at 5% strain. Interestingly, Fe-DW exhibits a different trend in the d-band center and binding free energy. Here,  $\Delta G$  increases linearly with strain while the d-band center follows a second-order polynomial as function of strain in Fig. 6 (c). The limiting overpotentials of Fe-DW for the ORR or OER decrease to minimal values under the tensile strain



**Fig. 6.** The changes of *d*-band centers and  $\Delta G$  on TM-DWs (TM = Co, Fe) under uni-axial stain.

of 5%. It could be relevant to strain that while 5% tensile and compressive stress can be very difficult to achieve for 3D and 2D materials, but the 1D character makes this possible here. We notice that the variations in binding free energies caused by strain are small. To realize a large space to optimize  $\Delta G$  for the relative inactive candidates, grafting axial ligands on the TM sites as five coordination complexes can be taken into account [49,63]. As the ORR and OER catalytic activity of Co–DW almost reach the optimal values, we will not study the effect of axial ligands here. In brief, strain yields either linear or second order shifts of the d-band centers on Co– or Fe–DWs. The resulting catalytic activity of Co–, and Fe–DWs towards ORR/OER can be further boosted by artificially tuning d-band centers under uni–axial strain.

#### 3.3. Solvation effects on Co-DW

Solvation is a crucial ingredient to predict accurate catalytic activities [64–69]. Solvation corrections obtained by either implicit or more computationally expensive explicit approaches can be very different [70,71], which may result in entirely different predictions of the overpotential and the rate-limiting step. The implicit approach has a minimal computational cost, and the parameters are normally fit to data on solvated molecules. By using implicit model, the week solvation effect with small deviation on various metals can be found in Table S2. The solvation energies of OOH\*, O\* and OH\* on Co-DW are -0.22, -0.09 and -0.15 eV, which are agreed with the result ( $OOH^*=-0.1$  eV,  $OH^*=-0.2$  eV) by explicit model on Rh embedded N-doped double vacancies graphene as 2D-single-atom catalysis [72]. Thus, using both explicit or implicit solvation corrections yield consistent results for the system investigated here. It is worth noting that solvation energy is stronger and depend on the metal center for both metal surfaces [73] and molecular complexes [53]. For instance, explicit correction of OOH\* and OH\* are -0.25 and -0.5 eV using a static water bilayer to mimic the actual water structure near the solid/liquid interface on Pt(111)[55]. Solvation energies of OH\* on the Cu (111), Au(111) and Pt(111) are -0.32, -0.68 and -0.58 eV, respectively [73].

#### 3.4. Properties of 1D Co-DW

As SACs, single Co atoms are orderly distributed between four sulfur atoms forming a square macrocycle as shown in Fig. 1 and Fig. 7(a). In agreement with previously reported theoretical values [19,74], the Co-Co distance, corresponding to the lattice constant along the  $\times$  axis, is 8.463 Å, which provides enough distance for the catalytic reactions and maximize the use of the metal atoms. The energy of the antiferromagnetic (AFM) state is slightly lower

than that of the ferromagnetic (FM) state by 12 meV. Thus, we have simply used ferromagnetic unit cells as models to investigate the whole catalytic reaction processes. The spin density mainly accumulates on the Co atom (1.06µB), while the S atoms are nonmagnetic with slight magnetic moments of 0.01 µB as shown in Fig. 7 (a). The four equivalent bond lengths between Co-S are 2.157 Å (Table S1 in Supporting Information), which are longer than the counterpart of cobalt coordinating in a PP sheet (1.931 Å). The inspection of electron localization function (ELF) as shown as in Fig. 7(b) demonstrates that the strong covalency of dithiolene ligands because of the high electron density. Few electrons are distributed around the Co atom because the coordinated S atoms (2.58) are more electronegative than the Co atom (1.88). Each sulfur atom of Co-DW only carries - 0.11 |e|, which is much less negative than that of nitrogen -1.15 |e| in Co-PP, and the neighbouring Co carries 0.66 lel (1.11 lel in Co-PP) positive charge based on Bader charge analysis respectively, which can be attributed to the weaker electronegativity of sulfur (2.58) than nitrogen (3.04). To get a deeper insight, we plot the spin-polarized local density of states (LDOS) for Co-3d and S-3p orbitals of Co-DW as shown as in Fig. S4. A strong hybridization between Co-3d and S-3p orbitals can be seen below the Fermi level (E<sub>f</sub>) from strong Co-S coordination bonds. Wang et al. [19] proposed that Co-DW exhibits superior electrical conductivity with small effective masses of charge carriers. Its high electron/hole mobility is comparable to CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> utilized organic-inorganic perovskite in solar cells [75]. It is evident that high electrical conductivity of Co-DW is an additional beneficial feature to allow fast electron transfer during the electrocatalytic processes.

To maintain catalytic durability for long-term use, strong binding of the central transition metals with the frame is essential to avoid metal detaching from the catalyst. Too weak binding strengths indicate the metal atoms are able to aggregate to form metal clusters, which usually happens on defect grapheme [76]. Therefore, we calculate the energetic difference  $(E_{\text{diff}})$  between the cohesive energy of the bulk metal phase and the metal embedding energy of the TM-DWs to evaluate binding strength of metals into the 4S macrocyclic moiety (equations and values can be obtained in Table S1, Supporting Information). If E<sub>diff</sub> < 0, we assume that incorporation of metals into the 4S macrocyclic moiety is preferred over forming metal clusters. Mn-DW can be first excluded. This is because the embedding energy of Mn with high or low spin states is higher than its cohesive energy by 1.21 eV. The stability against formation of metal clusters is Ni > Cu > Cr > Rh > Co > Ir > Fe > Mn. After applying this stability criteria, we conclude that Co can firmly anchor into the sulfur macrocyclic frames in acid condition during the electrochemical reaction.

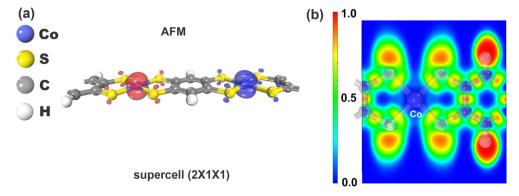


Fig. 7. (a) Spin-polarized density labeled on optimized supercell structure of Co-DW in AFM state. Spin up and spin down states are represented in blue and red. (b) The ELF of Co-SP ranges from 0 to 1 provides a better analysis of the chemical bonds. A value of the ELF close to one corresponds to a region of the space where there is a high probability of finding electron localization, whereas an ELF value close to one-half corresponds to a region of electron gas-like behavior.

#### 4. Conclusion

To summarize, we have systematically investigated stabilities and catalytic behaviours of 10 TM-DWs toward the ORR and the OER by using density functional theory. The calculations reveal that Co-DW intrinsically exhibits high catalytic activities for bifunctional ORR/OER with the lower limiting overpotentials  $(\eta)$  of 0.46/0.45 V for the four-electron reaction by application of implicit solvation model. This significant improvement arises from modified scaling relations by strengthening the binding free energy of OOH\* compared to OH\* on TM-DWs, yielding minimum possible ORR/OER overpotentials of 0.28/0.22 V. Volcano plots of overpotentials as a function of  $\Delta G(OH^*)$  and  $\Delta G(O^*) - \Delta G(OH^*)$  indicate that O<sub>2</sub> hydrogenation to OOH\* and the reaction of O\* to OOH\* are the potential-determining steps in the ORR and the OER on Co-DW, respectively. By applying uni-axial strain, the adsorption strength of reaction intermediates on TM active sites can be optimized due to tunable d-band centers. Consequently, the resulting activities of Co-DW for the ORR and the OER can be further improved under strain. Moreover, Co-DW possesses superior electrical conductivity allowing fast electron transfer during the reaction. As Co-DW is already experimentally synthesized, our findings provide important insight into the roles of the interaction between metalsulfur macrocyclic frames and intermediates that go beyond the scope of precious metal based electrocatalysts, and demonstrate a new strategy of using the flexibility of the 1D-frame to tune the adsorption energies via application of uni-axial strain to achieve better catalytic performance.

#### **Declaration of Competing Interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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#### Appendix A. Supplementary material

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