

Title:

Multi-objective optimization of energy use and environmental emissions for walnut production using imperialist competitive algorithm

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48 **walnut production using imperialist competitive algorithm**
49

50 **Abstract**

51 Although the agricultural sector is an important source of bioenergy production, this production
52 can be considered sustainable when energy consumed and environmental emissions are optimal.
53 As such, the assessment of energy flow, environmental emissions of walnut orchards in Alborz
54 province of Iran and their simultaneous optimization by multi-objective imperialist competitive
55 algorithm are the main goals of this investigation. Input-output energy analysis, IMPACT
56 2002+ method of life cycle assessment, and multi-objective imperialist competitive algorithm
57 are used in the energy-environmental evaluation for optimization in this study. Results
58 ascertain that energy uses of the entire output and input are computed to be 31015 and 27200
59 MJ ha⁻¹, respectively and that gasoline with 40% is the dominated consumer of energy.
60 Moreover, energy use efficiency is 0.88, which indicates energy inefficiency in walnut
61 production. Environmental results shows that On-Orchard emissions with a share more than
62 50% in ecosystem quality, human health, and climate changes and gasoline in resources
63 category are the main hotspots. Multi-objective optimization illustrates that the reduction in
64 total energy is 19316 MJ ha⁻¹ (about 62%) and gasoline with 58% is the most energy saving
65 input among all. On the other hand, the total weighted emission decreases by about 1.47 Pt
66 (about 40%). Generally, results reveal that timely maintenance can help orchardist attain close
67 to optimal condition. Furthermore, the application of imperialist competitive algorithm not only
68 can offer optimum pattern of walnut production, but also be extended to the world for different
69 crops.

70

71 **Keywords:** Agriculture, Energy use efficiency, IMPACT 2002+, Imperialist competitive
72 algorithm, Life cycle assessment, Metaheuristic algorithm

Nomenclature	
C ₂ H ₄ O	Acetaldehyde
C ₃ H ₄ O	Acrolein
C ₃ H ₆	Propene
C ₄ H ₆	Butadiene
C ₆ H ₆	Benzene
C ₇ H ₈	Toluene
C ₈ H ₁₀	Xylene
Cd	Cadmium
CH ₂ O	Formaldehyde
CH ₄	Methane
CO	Carbon monoxide
CO ₂	Carbon dioxide
Cr	Chromium
Cu	Copper
DALY	Disability adjusted life years
DEA	Data envelopment analysis
EP	Energy productivity
EUE	Energy use efficiency
FU	Functional unit
FYM	Farmyard manure
h	Hour
ha	Hectare
Hg	Mercury
ICA	Imperialist competitive algorithm
ISO	International Organization for Standardization
kg	Kilogram
km	Kilometer
km ²	Square kilometer
kWh	Kilowatt hour
l	Liter
LCA	Life cycle assessment
LCI	Life cycle inventory
LCIA	Life cycle impact assessment
m	Meter
mg	Milligram
MJ	Mega joule
MOGA	Multi-objective genetic algorithm
MOICA	Multi-objective imperialist competitive algorithm
N ₂ O	Dinitrogen monoxide
NE	Net energy

NH ₃	Ammonia
Ni	Nickel
NMVOOC	Non-methane volatile organic compound
NO ₃ ⁻	Nitrate
NO _x	Nitrogen oxides
PAH	Polycyclic aromatic hydrocarbon
Pb	Lead
PDF*m ² *yr ^b	Potentially disappeared fraction
Pt	Point
SE	Specific energy
Se	Selenium
SO	Sulfur monoxide
SO ₂	Sulfur dioxide
SPSS	Statistical package for the social sciences
t	Ton
U.S.LCI	Life cycle inventory of United States
VOC	Volatile organic compounds
Zn	Zinc
μm	Micrometer

74

75 **1. Introduction**

76 Energy is applied in all parts of the world and in all aspects of human life, and consequently
77 facilitates human civilizations, ecosystems and life [1]. In response to population growth, the
78 consumption of energy in agriculture section has been raised for supplying better living
79 condition [2]. Agricultural and horticultural production system is one of the main energy
80 consumer and energy producer and its energy consumption is related to input application and
81 the generated energy is related to the yield [3]. Accordingly, the relationship between energy
82 and agriculture as well as environmental impacts of using the finite sources of fossil fuels as
83 non-renewable resources, have to be changed dramatically [4].

84 Iran is categorized the third after China and the USA in the world for walnut production [5].
85 Walnut, along with almond and pistachio, has covered around 800,000 ha (about 28.5 %) of
86 orchards in Iran. Moreover, high energy content of walnut yield is one of the main reason for
87 concentrating this crop for more sustainable energy source not only in Iran but also in the world

88 [6]. On the other hand, energy efficiency and sustainable agricultural production are closely
89 related due to fossil fuel conservation, economic savings and pollution reduction [7]. Besides,
90 sustainability clearly becomes one of the biggest issues the agriculture sector faces nowadays
91 [8]. Under such circumstances, different research works have been conducted to determine the
92 amounts of energy input and output during the production along with environmental impacts
93 during the products' life cycle, which have gained growing attention in agricultural
94 management [9]. Life cycle assessment (LCA), being a proper environmental management
95 method, is often used to examine environmental aspects of a product over its lifetime [10]. In
96 addition to quantifying energy consumption, LCA concept can be a suitable measure for
97 assessing environmental matters pertinent to the production of agricultural product types.

98 Generally, increasing input energy has direct relationship with increasing output energy and
99 environmental effects. Whilst energy efficiency enhancement is very useful to mitigate effects
100 of environmental burdens in the agricultural sector, irregular reduction of input energy can
101 reduce yield [11]. In other words, the unavailability of correlation and impacts of simultaneous
102 and conflicting objectives is the main reason in using metaheuristic algorithms for multi-
103 objective optimization [12]. It is clear that due to certain and various limitations, instead of a
104 particular solution to achieve the desired goal, a set of nuances should be considered and
105 designed. It is possible to solve continuous problems through a new algorithm (namely,
106 imperialist competitive algorithm (ICA)) without considering the gradient to the function [13].

107 There are several main benefits of ICA method, namely, neighborhood movement can be
108 performed easily, there is less emphasis on dependability of primary solutions and the
109 computation period can be remarkably cut down. Nonetheless, the opposing goals in multi-
110 objective design problem cannot be addressed simultaneously [14]. Moreover, if ICA is used
111 for high-dimensional functions that have complicated multimodal forms, there might be a flaw
112 so that it is being trapped in local optimum solutions. Thus, it is suggested to apply multi-

113 objective imperialist competitive algorithm (MOICA) for handling these solutions. In fact, in
114 the stage of assimilation, an attraction and repulsion concept is introduced, which aims to
115 develop algorithm performances in order to gain universe optimal position [15].

116 Various researches have quantified energy analysis, environmental impacts and their
117 optimization in the production process of different agricultural production systems and Table
118 1 summarizes several of these studies.

119 **Table 1**

120 In Table 1, literature review indicates that in preliminary studies, the relationship between input
121 and output energy (yield) was the main subject of these studies. Over the time, researchers have
122 concluded that the enhancement of yield would be possible by increasing input energy, but the
123 point to consider was the increase in environmental emissions due to increased energy
124 consumption in agricultural production, which was contrary to the principles of sustainable
125 agriculture. Therefore, in the next step, the researchers studied the environmental emissions
126 from agricultural products along with energy studies, which often considered solely emissions
127 of greenhouse gases. In the next step, with the development of environmental assessment
128 methods, LCA indicators, which were more complete and comprehensive in environmental
129 studies of agricultural products, replaced greenhouse gas emissions. In most of these studies,
130 only the energy use and emission pattern were evaluated. After having investigated some study
131 results, researchers concluded that assessing energy and environmental emissions and
132 providing only a few recommended methods alone for attaining sustainable production was not
133 effective. Therefore, optimization and determination of the optimal model for energy inputs
134 were investigated in the next step. Most studies in this field, even in recent years, have only
135 optimized the energy consumption using local methods such as DEA by determining the
136 optimum consumption of each input with benchmarking efficient units, and then estimated the
137 emission reduction in the production of various agricultural products by the new model.

138 Despite the value of these studies, two major issues remain unresolved. Firstly, single-approach
139 optimization cannot introduce the ideal optimal point in product production. This means that
140 optimization is performed with the approach of minimizing energy consumption as long as the
141 product performance is maintained. However, if a separate function of input energies and
142 emissions are plotted, different points will definitely be determined as the optimal points for
143 each input. Secondly, the consumption of individual inputs in all local optimum units may not
144 be the real optimal in the world. The results of previous studies only show a potential of
145 decreasing percentage of total energy consumption by approaching the local optimum. While
146 the present study, by providing the optimal consumption for each input (according to the
147 minimum and maximum required amounts of input to produce walnut), includes both the
148 optimization of environmental emissions and energy consumption, and the ability to generalize
149 the method to create a production system elsewhere in the world. In previous studies, only
150 maintaining maximum yield in the region was considered and no attention was paid to the
151 product's physiological need for any input. This may render these optimal models not
152 implementable from an environmental perspective and also may not lead to sustainable crop
153 production from agricultural perspective. However, the offered model of this study not only
154 can clearly determine the amount of consumption of each input, but also include the
155 physiological needs of each input and the environmental consequences of their consumption,
156 which clearly shows the difference between the results of this study and other previous studies.
157 Therefore, according to these explanations, the research issue in this study is to find global
158 optimal points in order to optimize these multi-objectives in walnut production, considering
159 the logical limitations for each input. Solving this problem requires not only agricultural
160 knowledge to analyze the amount of input required, but also engineering knowledge in
161 mathematical optimization of problems. Because many solutions may be found for different
162 objective functions, one requires multi-objective optimization in choosing the global optimal.

163 It should be noted that not only the comprehensive multi-objective optimization approach is an
164 important novelty in the present study, but also the use of ICA as a metaheuristic algorithm is
165 another novelty in this study. The results of this study, considering that it has a general energy-
166 environmental approach, can be applied as an ideal consumption pattern in walnut production
167 to all orchardists around the world. Nevertheless, they can also attain local optimization if
168 necessary by changing the amount of input restrictions according to the conditions of their
169 region. Besides, the applied method in this study can be used for other agricultural products in
170 other regions of the world in performing multi-objective optimization.

171 Based on the above explanation, the objectives of the present research work include input-
172 output energy analysis, energy indices assessment, determining environmental life cycle
173 emissions, performing MOICA to optimize energy and environmental emissions of walnut
174 production simultaneously and offering early and late return solutions to improve walnut
175 cultivation system in the studied site.

176 **2. Materials and methods**

177 *2.1. Study site and data*

178 Information are gathered from orchards in Alborz province. This province is in latitude from
179 $35^{\circ} 28'$ to $36^{\circ} 30'$ North and longitude from $50^{\circ} 10'$ to $51^{\circ} 30'$ East and covers an area of 5833
180 km^2 . It is located at the southern area of Caspian Sea, in foothills of Alborz Mountains [6], and
181 is situated 35 km west of Tehran, capital of Iran as shown in Fig. 1.

182 **Fig. 1**

183 For more information and determination of the required standards for data collection, which
184 are firstly based on reports of the Ministry of Jihad-e-Agriculture of Iran [6], topological
185 specifications for walnut production are collected, which are shown in Table 2.

186 **Table 2**

187 Initial data are collected randomly for each type of agricultural input parameters (grain size,
 188 fertilizer, biocides, etc.), energy channels, applied equipment and machinery, farmland
 189 cultivated land, walnut fields, etc., from 48 walnut producers. In this study, Cochran [44]
 190 method is employed to compute sampling size. Data are collected by face to face questionnaires
 191 and a sample is indicated in the “Supplementary material”.

$$n = \frac{\frac{z^2 pq}{d^2}}{1 + \frac{1}{N} \left(\frac{z^2 pq}{d^2} - 1 \right)} \quad (1)$$

192 where d shows the deviation of the permissible error rate from the mean population (equal to
 193 0.05), p represents the computed rough proportion of a feature of population (equal to 0.5), q
 194 equals to $1-p$ (equal to 0.5), z denotes the confidence coefficients (equal to 1.96, representing
 195 95% the level of confidence), N represents the statistics society’ total size and n shows the
 196 required sample size.

197 *2.2. Input-Output energy in walnut production*

198 Walnut production’s input energy resources are agricultural machinery, chemical fertilizers,
 199 human labor, biocides, diesel fuel, gasoline, electricity, and farmyard manure (FYM) in this
 200 region; while walnut fruit is considered as the output energy source. Solar energy, under both
 201 heat or radiation, are not investigated since they are determined to be free subsidy for the
 202 economic and energetic assessment of agricultural production systems [45]. The output and
 203 input energy equivalent are applied to quantify the energy values as outlined in Table 3.

204 **Table 3**

205 The energy productivity (EP), energy input-output ratio energy use efficiency (EUE), net
 206 energy (NE) and specific energy (SE) are estimated by applying the fruit yield (kg ha^{-1}) and
 207 entire outputs and inputs energy equivalent per unit (MJ ha^{-1}), involving the formulas that are
 208 outlined in Table 4 [56]:

209

Table 4

210 *2.3. LCA*

211 LCA includes goal statement, input and output identification, and a system for assessing
212 environmental effects and their interpretation. Guidelines for assessing environmental impacts
213 of crops based on LCA method are provided by ISO 14040 [57].

214 *2.3.1. Scope, goal and definition statement*

215 A significant procedure in defining scope and goals is to determine the boundary of the studied
216 system. LCA results cannot be determined in case the system boundaries have not been
217 properly determined [58]. Environmental indicators are computed for one ton of walnut
218 produced as a FU. It should be noted that walnut kernel is important as an economical product
219 in the production of this crop and it determines the FU. System boundary of walnut production
220 in the research work is determined in Fig. 2.

221

Fig. 2

222 The LCA assumptions in this study include, firstly, the orchards are all established in the same
223 condition for exploitation and agricultural operations. Secondly, environmental conditions,
224 such as the characteristics of water and soil, etc., are almost the same for everyone. Thirdly,
225 the system boundary starts from the beginning of the preparation of an orchard at the beginning
226 of the working season and ends with the walnut harvest and finally, increasing the amount of
227 input will increase emissions and not only will not increase yield, but according to the
228 diminishing return's law, we will also face a decrease in yield.

229 *2.3.2. LCI*

230 All resources and quantities needed to produce walnut as well as all quantities of pollutants
231 released to the environment through the use of different types of inputs are considered based
232 on a reference unit [59]. It is split into two datasets, namely, Off-Orchard and On-Orchard
233 emissions, for the walnut production's life cycle as explained below.

234 *2.3.2.1. Off-Orchard emissions*

235 In the current study, the application of biocides, agricultural machinery, diesel fuel, fertilizers,
236 gasoline, and electricity are regarded as system inputs. The system' output is symbolic. Inputs
237 are used as an indirect release in the walnut production cycle.

238 *2.3.2.2. On-Orchard emissions*

239 Generally, On-Orchard emissions are transmitted to air, water and soil. Environmental
240 degradation in relation to air includes greenhouse effect, acid rain, and the depletion of the
241 ozone layer. Emissions to air by microorganisms or using chemicals cause pollution of a river,
242 stream, ocean, aquifer, lake, or other water bodies and degrade the quality of water and turn it
243 into toxic form to the environment or humans. Moreover, emissions to soil degrade the
244 chemical, biological, and physical decline in the quality of soil, which can be the reduction of
245 the fertility of soil along with structural condition, organic matter' loss, remarkable changes in
246 acidity, salinity, or alkalinity, erosion, excessive flooding, and the adverse impacts of pollutants
247 as well as toxic chemicals.

248 The release of polluting gases in walnut production is mainly due to the use of agricultural
249 machinery together with tractors in field operations such as fertilization and spraying. In this
250 study, the first part of On-Orchard emissions includes the emissions from combustion of
251 gasoline and diesel fuel in agricultural machinery. For computation of On-Orchard emissions
252 relate to these fuels, their energy equivalentents are considered as a base. Moreover, values of
253 distribution factors for diesel fuel combusted in agricultural machinery and gasoline are
254 extracted from EcoInvent[®]3.6 [60] and U.S.LCI database [61], respectively as outlined in Table
255 5.

256 **Table 5**

257 Apart from emissions to water and air, chemical fertilizer is consumed to compensate for the
258 loss of soil organic matter of walnut. Moreover, emissions to soil in walnut production include

259 chemical fertilizer application for enhancing the soil resulting in direct emissions with heavy
260 metals and employment of human labor for fertilizing, spraying, harvesting, etc. The related
261 application of input in walnut production is computed by multiplying the amount of input to its
262 equivalent coefficients, as introduced by IPCC [62], Mousavi-Avval et al. [63], and Durlinger
263 et al. [64]. These coefficients of inputs are shown in Fig. 3.

264 **Fig. 3**

265 In this study, PestLCI 2.0 model is used to evaluate On-Orchard emissions of biocides
266 application related to air and water. The model [65] is intended for use in the second step of
267 LCA to estimate the emissions of pesticides from agricultural farms to environment. In fact,
268 PestLCI 2.0 is the updated version of PestLCI 1.0, which incorporates improved trends to
269 model the fate of pesticides; modelling updated involved processes; extending the model's
270 geographical area [66]. The model is briefly described here and further explanation was given
271 by Dijkman et al. [65]. The model estimates the emissions of each pesticide via determining
272 the distribution of primary and secondary pesticides through the 'field box', in which the model
273 boundaries are defined. It includes the field where the pesticides are used, air above the farm
274 up to 100 m, and up to 1 m depth of soil. In fact, all components within the 'field box', such as
275 air, water, crop, and soil, are determined to be a technosphere part. As a result of crossing the
276 'field box' borders, the pesticides become emissions to the ecosphere [67]. The schematic
277 diagram of PestLCI 2.0 model is demonstrated in Fig. 4.

278 **Fig. 4**

279 It should be noted the PestLCI 2.0 model cannot analyze On-Orchard emissions to soil related
280 to biocides. So, the standard coefficient that is offered by Margni et al. [68] is used for
281 computation of emissions to soil of biocides as follows:

$$\text{On-Orchard emissions of biocides to soil} = \text{Biocides effective rate} \times 0.85 \quad (2)$$

282 2.3.3. LCIA

283 The third stage of LCA is LCIA. LCIA collects data on raw material extraction and material
284 release in relation to the product life cycle [57]. IMPACT 2002+, CML method, EPS2000, and
285 Eco-indicator 99, etc. [69] are typical methods of LCIA. In this study, IMPACT 2002+ is used.
286 The study' purpose is the interpretation of inputs and outputs of the walnut system. It includes
287 four stages: (i) selection and classification of impact categories ; (ii) characterization; (iii)
288 normalization; and (iv) weighting [70].

289 2.3.3.1. IMPACT 2002+ method

290 In the classification stage, each quantity released to the environment as well as the resources
291 used in the product life cycle are attributed to the relevant environmental effects [71]. IMPACT
292 2002+ model is adopted for LCA in producing one t of walnut in different scenarios. This
293 method allows for the analysis of environmental emissions under four endpoints as well as
294 fifteen midpoint impact categories [72] and their relationships are shown in Fig. 5.

295 **Fig. 5**

296 2.3.3.2. Weighting

297 Weighting is the final step in LCIA. Weighting can be considered as multiplying the normalised
298 results of impact categories with a weighting factor, which indicates the importance of the
299 desired impact categories. So, the weighted results which have similar unit can be added up to
300 make one single score for a scenario or product's environmental impacts. In other words,
301 weighting applies a value judgment to the LCA results. A weighting factor shows the potential
302 emission to each impact group. The higher is the factor, the greater is the potential of the
303 negative group effects of the environment. For LCA interpretation stage, the classification of
304 emitted effects is based on individual resources, climate change, ecosystem quality and human
305 health [73].

306 2.3.4. Sensitivity analysis

307 Sensitivity analysis is considered as a study of how variability in the output of a mathematical
308 system or model (numerical or in other ways), dividing to various origins of variability in its inputs
309 [74]. In this study, modifying $\pm 10\%$ of fossil fuels (diesel and gasoline) and chemical fertilizers
310 (nitrogen, phosphate, and potassium) is considered for evaluating sensitivity analysis for four
311 environmental categories of IMPACT 2002+. In other words, fossil fuels (diesel and gasoline)
312 and chemical fertilizers (nitrogen, phosphate, and potassium) are independent variables; while
313 four environmental endpoints are dependent variables.

314 2.4. Multi-objective optimization

315 2.4.1. Problem statement

316 In the current study, for the first time, the multi-objective output energy and total environmental
317 emission problem under input energy identity is studied for walnut production. An important
318 point of this research is the existence of contradictory goals. Two main objectives are
319 maximizing output energy and minimizing total environmental emissions based on input
320 energy in walnut production. The objective functions are ascertained as follows [33]:

$$F_{\max/\min} = \sum_{i=1}^j C_i X_i + e_i \quad (3)$$

321 where $F_{\max/\min}$ represents objective function to be minimized or maximized, C_i shows the model
322 coefficient, and X_i denotes the input variable. In order to solve an optimization problem,
323 MATLAB toolbox only points to the minimized objective function. Consequently, the
324 maximized objective functions must be multiplied by (-1).

325 In the current study, whereas output energy should be maximized, total environmental
326 emissions should be minimized. So, the governing equations are depicted as follows:

$$OE = (-1) \times \alpha_1 X_1 + \alpha_2 X_2 + \alpha_3 X_3 + \alpha_4 X_4 + \alpha_5 X_5 + \alpha_6 X_6 + \alpha_7 X_7 + \alpha_8 X_8 + \alpha_9 X_9 + \alpha_{10} X_{10} + e_i \quad (4)$$

$$TED = \beta_1 X_1 + \beta_2 X_2 + \beta_3 X_3 + \beta_4 X_4 + \beta_5 X_5 + \beta_6 X_6 + \beta_7 X_7 + \beta_8 X_8 + \beta_9 X_9 + \beta_{10} X_{10} + e_i \quad (5)$$

327 where X_1 is human labor, X_2 is agricultural machinery, X_3 is diesel fuel, X_4 is gasoline, X_5 is
328 nitrogen, X_6 is energy equivalent of phosphate, X_7 is potassium, X_8 is FYM, X_9 is biocides, and
329 X_{10} is electricity. It can be observed that all independent variables are expressed in terms of
330 energy equivalent based on MJ. Besides, OE and TED are output energy based on MJ and total
331 environmental emissions based on Pt in walnut production, respectively as dependent variables.
332 After description of all the functions, each function' limitations are described for optimization
333 process. As such, each independent variable's maximum and minimum energy consumption
334 are determined as upper and lower scope, respectively as follows:

$$\text{Minimum rate of energy use} \leq X_1, X_2, \dots, X_{10} \leq \text{Maximum rate of energy use} \quad (6)$$

335 A variety of acceptable values for each variable shows that the finding of an optimum point for
336 each input energy cannot be performed solely by simple computations.

337 2.4.2. Development of MOICA

338 An optimization problem comprises of minimizing or maximizing a function through choosing
339 input values systematically within variable limit as well as computing the function value.
340 Several algorithms are available as optimizing mathematical models [75]. Among them, meta-
341 heuristic algorithms can be useful for solving the problem. As a quantification method, ICA is
342 applied to solve optimization difficulties of various types among all meta-heuristic algorithms.
343 This technique is usually used for single-objective optimization, but in recent years, a
344 developed and modified algorithm, namely, MOICA, was introduced by researches based on
345 ICA to solve multi-objective optimization [12]. This method is used in this study. The
346 development process of ICA and MOICA is explained as follows.

347 The empires are created in the first step of ICA. Each of the imperialist directly takes some
348 colonies based on its power as depicted in Fig. 6. Stronger imperialists have more colonies and
349 weaker imperialists have less colonies. The process of assimilation begins after having
350 initialized the empires.

351

Fig. 6

352 Fig. 7 represents the model involving countries, which go through their resembling imperialists.
353 In this process, a probability exists that each of the colonies can gain a better position than their
354 imperialist and, accordingly, can reach the imperialist state. This process is accordingly
355 resumed by a new one in charge of empire; hence, the total colonies go through it.

356

Fig. 7

357 Each empire is given a whole power agreement with both the imperialist and their colonies,
358 after applying the assimilation policy; however, colonies effects are negligible [76].
359 Moreover, the total power is computed by Eq. (7).

$$T.C_n = Cost(imperialist_n) + \mathcal{E} \text{ mean } \{cost(colonies \text{ of } empire_n)\} \quad (7)$$

360 where $T.C_n$ shows the n th empire' whole cost and \mathcal{E} denotes a positive small number. The little
361 amounts of \mathcal{E} causes the empire's total power to be only specified by the imperialist and its
362 enhancement will extend the colonies' role in specifying the empire's total power. In most
363 implementations, the amount of 0.1 for \mathcal{E} has shown great results.

364 A pernicious challenge begins among almost all of the empires during the imperialistic
365 competition process, in which all of them tend to take each other' colonies. The weaker empires
366 fight to survive desperately, while the more powerful ones develop their territories and broaden
367 their own powers. ICA basically models the imperialistic competition through getting the
368 weakest colony out of other empires with a specific competition among the rest of them (Fig.
369 8). The empire's possession probability is in agreement with its own power and expansion. At
370 the time that all the empire's countries are lost in the imperialistic competition, it generally
371 falls. Finally, there exists just an empire controlling all other countries. Almost all countries
372 involving the imperialist have the same merits and positions. This shows the optimization
373 problem's ultimate solution.

374

Fig. 8

375 As mentioned above, the paper's main objective is to obtain a solution for multi-objective
376 problem applying MOICA method. In this regard, a set of prevailing points is obtained by using
377 this method, which are to obtain minimum total environmental emissions along with maximum
378 output energy. MOICA will generate several solutions. As such, a third condition is needed to
379 determine the best generation. In this study, the minimum rate of total energy consumption by
380 independent variables is considered for the determination of optimal unit in walnut production.
381 A flowchart to apply the MOICA method on multi-objective energy-environmental problem is
382 demonstrated in Fig. 9.

383 **Fig. 9**

384 Excel 2019 spreadsheet is applied for analyzing the energy use. LCA is conducted by SimaPro
385 V9.0.0 software. Besides, Matlab (R2020a) software package is employed in developing
386 MOICA and SPSS 25 is used in modelling among outputs and inputs to describe the fitness
387 functions.

388 **3. Results**

389 *3.1. Energy analysis of walnut production*

390 Input and output amounts as well as their energy equivalents for walnut production process are
391 outlined in Table 6. These findings show that human labor and agricultural machinery are
392 applied at about 522 h and 3 kg per ha, respectively. A great deal of machine power in the
393 orchards are applied in fertilizing and spraying processes. Analysis of fuel consumption reveals
394 that average diesel fuel and gasoline requirements for operating, fertilizing, spraying and water
395 pumping are about 120 and 269 L ha⁻¹, respectively. In Table 6, the total energy consumption
396 for producing walnut and the computed energy output are around 31015 and 27200 MJ ha⁻¹,
397 respectively.

398 **Table 6**

399 Fig. 10 presents the energy percentage distributions related to inputs. Most part of the total
400 input energy is consumed by gasoline (40.18%). The contribution of diesel fuel is 21%.
401 Nitrogen fertilizers has the third place with 13.43%.

402 **Fig. 10**

403 EUE, SE EP, and NE of walnut production are outlined in Table 7. EUE is considered as 0.88.
404 Based on Ministry of Jihad-e-Agriculture of Iran [6], EUE of oil crops such as walnut and
405 hazelnut should be more than 1 because energy contents of these harvested crops are very high.
406 Obviously, their total energy consumption should be less than the generated energy from
407 energy balance point of view. Thus, in the region, the energy consumption in walnut production
408 is inefficient. EP, SE and NE are about 0.03 kg MJ⁻¹, 29.82 MJ kg⁻¹, and -3815.13 MJ ha⁻¹,
409 respectively. The negative NE ratio is an evidence that energy is not generally used effectively
410 in walnut production, and hence high efficiency is not obtained for energy usage in the surveyed
411 region.

412 **Table 7**

413 *3.2. LCA results of walnut*

414 *3.2.1. LCI analysis*

415 In this study, LCI is categorized into two main sections including inputs and outputs of walnut
416 cultivation systems (Off-Orchard emissions), and On-Orchard emissions. LCI of walnut
417 production is presented in Table 8. All inputs in the production process equal to input energies,
418 except human labor, are considered as On-Orchard emissions and their rates for 1 ha of walnut
419 production are inserted into Table 8. On-Orchard emissions related to different input
420 consumptions are computed for 1 ha of walnut production system in the region.

421 **Table 8**

422 3.2.2. *Environmental emissions of walnut production*

423 According to IMPACT 2002+ method, there are 15 midpoints for quantifying environmental
424 burdens. In fact, each impact's rate is important on the surveyed emissions. The results of
425 emission category, however, are basically intended to give more insight in analyzing along
426 with policy making. As such, four impact categories are addressed for walnut cultivation
427 system, and the results are outlined in Table 9.

428 **Table 9**

429 Results indicate that emissions of human health, ecosystem quality, climate change and
430 resources indicators are 0.005 DALY, 35498 PDF*m²*yr, 2365 kg CO₂ eq., and 28872 MJ
431 primary per 1 t of walnut kernel in Alborz province of Iran, respectively.

432 The contribution of various inputs to the walnut production' degradation rate is presented in
433 Fig. 11. Based on the results, On-Orchard emissions and nitrogen fertilizers are two main
434 elements having the highest contribution to the degradation in relation to environmental effects.
435 For walnut production in Alborz province of Iran, the contributions of On-Orchard emissions
436 related to ecosystem quality, human health, and climate change are about 90%, 65%, and 32%,
437 respectively. Contributions of nitrogen fertilizers emissions in walnut production are 12% for
438 human health and 22% for climate change. As can be seen in Fig. 11, gasoline has the highest
439 contribution of resources category with about 30%; followed by diesel fuel with about 20%.

440 **Fig. 11**

441 Fig. 12 shows changes to the weighting of environmental emissions. It can be seen that two
442 emission categories, namely, ecosystem quality and human health, have the greatest
443 environmental emissions in walnut production. Moreover, most emissions are related to On-
444 Orchard emissions and nitrogen fertilizers.

445 **Fig. 12**

446 3.2.3. Sensitivity analysis of environmental emissions

447 Fig. 13 displays the sensitivity analysis for four environmental emissions based on effects of
448 fossil fuels and chemical fertilizers. Fig. 13 is divided into four sections and each part belongs
449 to an endpoint. In each section, the vertical line in the center is the average of the related
450 emissions. In other words, the emissions are computed again by ten percent increase or decrease
451 ($\pm 10\%$) of fossil fuels and chemical fertilizers and decreasing and increasing rates are
452 highlighted by green and red, respectively. Results reveal that, in all environmental emissions
453 (except resources), chemical fertilizers have the highest sensitivity on environmental
454 categories. As can be seen in part (d) of Fig. 13, the highest sensitivity on resources category
455 belongs to fossil fuels.

456 **Fig. 13**

457 3.3. Optimized energy use and environmental emissions by MOICA

458 The objective functions are fitted by applying linear regression for outputs and inputs based
459 on Eqs. (8) and (9) as follows:

$$OE = -0.66_1X_1 - 0.47X_2 - 0.07X_3 - 0.001X_4 - 0.07_5X_5 - 0.17X_6 + 0.05X_7 - 0.09X_8 - 0.03X_9 - 0.04X_{10} + e_i \quad (8)$$

$$TED = -0.43X_1 - 0.07X_2 + 0.23X_3 + 0.32X_4 + 0.21X_5 - 0.04_6X_6 + 0.03X_7 + 0.1X_8 + 0.07X_9 + 0.05X_{10} + e_i \quad (9)$$

460 MOICA generates 100 solutions in order to enhance energy output and simultaneously
461 decrease total environmental emissions in walnut production. However, the focus is on
462 decreasing energy use in walnut production. Accordingly, the input energy's optimal rate
463 attains the highest output energy, the lowest total weighted emissions and the lowest total
464 energy requirement as the best empire are presented in Table 10.

465 **Table 10**

466 Energy saving along with its percentage of MOICA application are presented in Table 10.
467 Results reveal the optimal energy requirement is equal to 11698.18 MJ ha⁻¹; whilst the output
468 energy is fixed and equal to average (27199.55 MJ ha⁻¹). In other words, the best solution can

469 be reduced by 19316.49 MJ ha⁻¹ in total energy use of walnut production, which implies it
470 saves 62.28% of total input energy without walnut yield reduction. The last column of Table
471 10 indicates each input's change percentage in comparison with the original value. Moreover,
472 the largest difference between optimal and present condition in inputs belongs to gasoline and
473 biocides with 90.63% and 73.69%, which can save energy by about 11293 and 233 MJ ha⁻¹,
474 respectively. Moreover, electricity has the lowest change with 23.03%, which reveals that the
475 difference between optimum and present consumptions in electricity is not significant.
476 Although diesel fuel has a 63.20% difference between present and optimum conditions, about
477 4261 MJ ha⁻¹ can be saved by changing diesel fuel consumption pattern to optimum rate.
478 The different sources' contributions from the total input energy saving are demonstrated in Fig.
479 14. Our findings show that the largest total saving energy's contribution is 58.47% for gasoline,
480 followed by diesel fuel (22.06%). Moreover, the shares of agricultural machinery, phosphate,
481 potassium, FYM and biocides are low, indicating that they have been applied properly by most
482 orchards from energy perspective.

483 **Fig. 14**

484 The walnut production's energy indices improvements are shown in Table 11. After the
485 optimization by MOICA, EUE is computed as 2.33, showing an improvement of 11,616%.
486 Besides, EP, SE and NE in optimal condition are 0.09 kg MJ⁻¹, 11.25 MJ kg⁻¹, and 15501.37
487 MJ ha⁻¹, respectively. These rates indicate that MOICA can modify EP, SE and NE by about
488 116.15%, 60.45% and 506.31%, respectively.

489 **Table 11**

490 After having optimized the energy consumption in walnut production by using MOICA,
491 environmental emissions are recomputed, and the computation results are outlined in Table 12.
492 Based on the results, ecosystem quality, climate change, human health, and resources in
493 optimal condition can be saved by about 13366.97 PDF*m²*yr, 1061.50 kg CO₂ eq., 0.002

494 DALY (Disability adjusted life years), and 16002.43 MJ primary, respectively. Moreover,
495 Table 12 shows that the highest improvement between categories in comparison with the
496 original values belongs to resources with 55.43%.

497 **Table 12**

498 Fig. 15 displays the shares of different categories in total weighted emission reduction by
499 MOICA. The greatest contribution to the total weighted emission reduction is provided by
500 ecosystem quality with 66.22% and followed by human health (19.35%). Moreover, the
501 contributions of climate change and resources are about 7.28% and 7.15%, respectively.

502 **Fig. 15**

503 **4. Discussion**

504 *4.1. Interpretation of results*

505 *4.1.1. Before MOICA*

506 As mentioned above, the total energy use in walnut system is around 31015 MJ ha⁻¹ and
507 gasoline and diesel fuel have the greatest proportion in energy consumption. Moreover,
508 nitrogen and electricity are also significant energy consumers in next places. Previous studies
509 reported that the total input energy about were 19488, 35235, 10906, 39812, and 83782 MJ ha⁻¹
510 ¹ in walnut production of Iran [77], almond production of Chaharmahal-Va-Bakhtiari province,
511 Iran [27], walnut production of Turkey [31], almond production of Turkey [41], and citrus
512 production of Adana province, Turkey [78], respectively. Furthermore, in other researches in
513 Hamedan province of Iran [77] and in Turkey [31], nitrogen fertilizer was reported as most
514 energy consuming in walnut production. The result comparison reveals that the amount of
515 energy consumption for this product is relatively higher than other products in Iran and also
516 compared to other oil products in other countries. This comparison indicates that in recent years,
517 fuel consumption has increased compared to fertilizers in walnut production. Of course, this

518 interpretation alone cannot determine the energy consumption' efficiency in the production of
519 crops. To this end, the results of energy indicators should also be considered.

520 Energy indices analysis of walnut production reveals that walnut production is not efficient
521 from energy prospective because EUE is less than 1 (0.88). When EUE is less than 1, it
522 indicates that the total input energy is greater than output energy. In other words, the production
523 process of walnut not only increases the total energy usage in the nature but also reduces the
524 energy resource of world. Furthermore, as mentioned earlier, low EUE rate indicates energy
525 inefficiency for agricultural products anywhere in the world. However, due to the oily nature
526 of the walnut crop and the higher energy content of the harvested crop compared to many crops
527 and horticultural products, this index should show a higher rate. Previous studies reported that
528 EUE rates for maize [79] in Netherlands, apple [45] and walnut [77] in Iran, soybean [45] in
529 Italy, kiwifruit [54] in Iran, walnut [31] and almond [41] in Turkey are 2, 1.16, 2.9, 6.2, 1.17,
530 0.66, and 2.02, respectively. Comparing energy indices of walnut system in Alborz province
531 of Iran with its production in other places as well as the production of other crops also indicate
532 the inefficiency of energy consumption in the production of walnut product, which renders the
533 need for optimization more obvious. As indicated in the attained results of environmental
534 analysis, On-Orchard emissions has the main effect on environmental emissions. In fact,
535 research of On-Orchard emissions showed that direct emissions from gasoline combustion and
536 diesel fuel used in agricultural machinery caused high rates of On-Orchard emissions in walnut
537 production. It should not be forgotten that gasoline and diesel production process in Iranian
538 refineries is the main cause of environmental emissions caused by resources.

539 In another study, Cambria and Pierangeli [80] examined LCA of walnut trees production in
540 Italy. Their results also revealed that fertilizers and fuel for primary tillage had the highest
541 environmental impacts. Results of another research in Greece [81] about LCA in three
542 horticultural crops including apple, almond and pistachio revealed that fertilizers and fuels in

543 filed management had the highest share in production process emissions. In a study about LCA
544 in conventional and organic production of apple in China, fertilizers and diesel were introduced
545 as main hotspots by Zhu et al. [82]. In similar results with the present study, Hosseini-Fashami
546 et al. [47] pointed out that On-Farm emissions, diesel fuel and nitrogen fertilizers were most
547 effective in environmental effects of strawberry production in Iran.

548 The results of sensitivity analysis show that environmental emissions are more sensitive to
549 changes in the use of chemical fertilizers. Of course, this sensitivity in the resources category
550 is more affected by fuel. This is due to the strong dependence of this index on the background
551 emissions from the fossil fuel production process in refineries and On-Orchard emissions have
552 no effect on it.

553 However, all interpretations not only in this study, but also in studies in other parts of the world
554 and on other products, are largely focused on excessive applications of chemical fertilizers and
555 fossil fuels. In the first step, agricultural management should address the reasons for these
556 irregular consumption.

557 Generally, in walnut production, these reasons include the use of horticultural tillers for
558 primary tillage and transportation of FYM in orchards with low efficiency in fuel consumption,
559 old mechanism application in agricultural machinery including sprayers and fertilizers that
560 cause irregular use of fuels, biocides and chemical fertilizers such as nitrogen, use of inefficient
561 gasoline engine in biocides sprayers, lack of timely maintenance in agricultural machinery
562 including horticultural tillers, sprayers, fertilizers and water pumps, use of water pumps with
563 low efficiency with irregular rate of gasoline and diesel fuel for irrigation, use of chainsaw for
564 pruning of extra branches with high rate of gasoline, lack of determination of standard pattern
565 for inputs energy, small area of orchards that causes lack of economic justification for buying
566 agricultural machinery in the studied area.

567 The low cost of chemical fertilizers and fuel, and the lack of incentive and punishment policies
568 for producers with optimal consumption, as well as the lack of orchardist's education, even
569 internationally, have led to the belief that more chemical fertilizers equals higher yields. This
570 issue is one of the important reasons for fossil fuels and chemical fertilizers' excessive
571 consumption not only in Iran but also in many parts of the world.

572 Since the world moves to conserve energy resources, the production of crops with negative
573 efficiency is not logical. As such, there are two ways to resolve this problem. The first one is
574 increasing walnut yield and the second one is decreasing input energy. Although the increase
575 in walnut kernel has much utility from output energy and economic benefits viewpoint for
576 orchardists but there are two main problems for achieving this purpose. The first one is
577 biological limitation, which does not allow increasing yield from a determined amount. The
578 second one is more required energy for increasing inputs. In another way, the reduction of input
579 energy is possible by modification of the production system, but the main point is fixing walnut
580 yield with a reduction of energy resources. Thus, energy optimization is a vital program for
581 future production of this horticultural crop.

582 *4.1.2. After MOICA*

583 MOICA creates an optimized input energy model by finding an energy-environmental-friendly
584 condition that requires the results to be analyzed according to each approach. In energy aspect,
585 MOICA results indicate that gasoline and diesel fuel have positive effects with more than 80%
586 total energy saving. About 60% of this amount belongs to gasoline and 20% to diesel. As shown
587 in Table 10, the consumption of gasoline in the optimum mode is reduced by 90% and diesel
588 consumption by 63% compared to the present mode, and this reduction takes place without
589 reducing the yield of walnuts. In environmental aspects, the highest difference between the
590 present and optimum conditions in environmental emission category belongs to resources. It is
591 because this category is dependent on gasoline and diesel fuel more than other categories and

592 as shown in the results, gasoline and diesel fuel have the greatest shares in total saving by
593 MOICA. On the other hand, MOICA indicates that ecosystem quality has the highest share in
594 total weighted emission reduction. This result is not unexpected because most share of total
595 weighted emissions belongs to ecosystem quality. On-Orchard emissions related to using
596 gasoline and diesel fuel have most effect on this category in the present scenario. Thus, with
597 respect to the reduction of gasoline and diesel fuel amounts in the optimum condition by
598 MOICA, the ecosystem quality is largely reduced out of total weighted environmental
599 emissions in walnut system.

600 Banaeian et al. [16] found that the DEA application for single-objective optimization of input
601 energy in walnut production could save about 7745 MJ ha⁻¹ of the whole input energy in case
602 farmers followed the input package suggested by efficient units. They reported that the largest
603 part of energy savings appertained to nitrogen with 69%. They also reported that EUE of walnut
604 production was able to improve by about 27.5% using DEA optimization [16]. Besides,
605 Nabavi-Pelesaraei et al. [33] reported that genetic algorithm utilization for multi-objective
606 optimization could reduce the global warming potential by about 70%.

607 Almost all researches regarding energy optimization and environmental impacts in crops were
608 either local or single-purpose, as explained in detail above in the literature review. Of course,
609 they have been able to be somewhat effective in improving the system and the process of input
610 consumption, but none of them has provided a definite and final solution with a comprehensive
611 view. Few previous studies studied multi-objective optimization, yet most of them used genetic
612 algorithm; while MOICA has many advantages on multi-objective optimization over other
613 algorithms. The first advantage is the novelty of the basic idea of the algorithm, which as the
614 first optimization algorithm based upon a socio-political process has the ability to optimize
615 evenly and even higher in comparison with other optimization algorithms in solving a variety
616 of optimization problems. The second advantage is the speed of finding the optimal answer.

617 A remarkable thing about most evolutionary optimization methods is that these methods are
618 usually derived from biological evolution and modeling of natural phenomena, and usually
619 aspects of evolution for which there is no known model are marginalized in research. In fact,
620 MOICA's main motivation is to find an answer to the question of whether other aspects of
621 human evolution can be used as a source of inspiration for an optimization algorithm. Given
622 the applications of ICA, it can be said that human and behavioral factors that logically cannot
623 be considered mathematically in optimization algorithms in other evolutionary algorithms,
624 such as genetics, have been fully considered in solving the optimization problem.

625 As mentioned, the optimal consumption points of each input is the point between the minimum
626 and maximum input consumption in the study area, which is obtained by MOICA in the Pareto
627 principle according to the objective functions defined. In other words, walnut yield average is
628 attained by orchardists with a much lower rate of gasoline and diesel fuel consumption.

629 Actually, increasing gasoline and diesel consumption not only does not increase yield, but also
630 does not reduce walnut yield based on diminishing return's law. Therefore, the hypothesis that
631 consuming less fossil fuels may reduce yield is completely wrong. The same argument can be
632 used to justify the improvement of all energy indicators, including EUE, because the optimal
633 rate indicates that in much less consumption, more yield and less environmental emissions are
634 attained. One of the benefits of MOICA is that it sets a reasonable limit on input consumption.

635 This limitation not only does not address the results locally, but also generalizes the
636 optimization results globally by adhering to defined standards for the minimum amount of input
637 required. Accordingly, considering the input use pattern of optimal units (less input
638 consumption without less production) is a necessary item to determine fossil fuel saving as
639 much as the computed optimum rate without disrupting the walnut horticultural system, which
640 will be described in the next section. It should be noted that nitrogen fertilizer with a much
641 lower percentage of fuels can save energy using MOICA. The lack of significant differences

642 in the minimum and maximum amounts of chemical fertilizers indicates that the dependence
643 of walnut producers on chemical fertilizers is very high and there is not much difference in
644 terms of chemical fertilizer consumption between the optimal and present units. This shows a
645 high sensitivity of orchards to the use of chemical fertilizers, as in the sensitivity analysis, the
646 high effect of this input on environmental indicators is discussed. Therefore, if the saving of
647 chemical fertilizers is considered by policymakers, they should make fundamental changes in
648 the horticultural systems, which requires a global reform and definition of the international
649 structure.

650 *4.2. Managerial implications*

651 After expression of results, the presentation of managerial solutions is necessary because a
652 comprehensive study should consider the early and late return strategies for improvement of
653 the systems. The walnut production and its optimization by MOICA are not exempted from
654 this subject. As mentioned in the previous section, the optimization of fossil fuels and chemical
655 fertilizers inputs is the most important part of applied management in horticultural systems.
656 Their amount in gasoline up to about 90% and diesel fuel up to about 63% can be reduced
657 compared to the conventional consumption. The reports of the Ministry of Jihad-e-Agriculture
658 of Iran [6] on "Study of agricultural inputs management in the world" as well as the study of
659 units whose fuel consumption and chemical fertilizers are close to the optimal level, showed
660 that hard working conditions of agricultural machinery in orchards could lead to fuel
661 consumption up to between 50% to 60% over the standard. Therefore, timely maintenance,
662 including timely change of engine oil, adjusting wheel air, checking the health of wheels,
663 timely replacement of oil and air filters, checking valves and periodic inspections of the
664 refueling system can reduce fuel consumption (in the same period of use from agricultural
665 machinery) up to 30% in gasoline and machines and up to 20% in diesel machines [6]. In other
666 words, the failure to comply with any of these causes a loss of useful energy and increases the

667 required indicated power and therefore the engine must consume more fuel to supply it. Proper
668 and timely pruning methods reduce the use of chainsaw by 20% and consequently gasoline
669 consumption by 13%. Besides, the experience of agricultural machinery operators can be very
670 effective in reducing fuel consumption. Proper training of operators by relevant organizations
671 and consequently the basic use of agricultural machinery such as avoiding stressful driving,
672 timely shifting of gears, cause less pressure and improve fuel efficiency so that the consumption
673 in the gasoline and diesel machine can be reduced by about 27% and 15% [6]. On the other
674 hand, the combination of biological control with chemical methods in the fight against insects
675 and fungi, in addition to reducing about 90% of agrochemicals, by eliminating spraying
676 operations up to about 20% can reduce gasoline consumption in gasoline sprayers and 28% in
677 diesel sprayers [6]. Since the Ministry of Jihad-e-Agriculture is in charge of supplying and
678 distributing chemical fertilizers for walnut orchardists in the studied area, conducting soil
679 texture experiments and determining the required fertilizer and granting the required fertilizers'
680 amount can be one of the most effective solutions in proper use of chemical fertilizers by up to
681 33%. In other parts of the world, NGOs and syndicates can take on this task. Although all these
682 cases can be attained internationally without reducing crop yield as quick-return solutions to
683 achieve optimal and sustainable production, but the development of management strategies to
684 promote and educate these cases is also important and necessary in the development of these
685 methods. The adoption of policies such as the elimination of fossil fuel subsidies, as well as
686 the imposition of restrictions on over-refueling or excessive use of chemical fertilizers by a
687 scientific observer approved by government agencies or NGOs, can be one of early return
688 solutions in horticultural production.

689 Although early return solutions can make tangible improvements in a short period, internalizing
690 a sustainable production system also requires late return strategies. These strategies can include
691 some cases such as increasing agricultural mechanization level, use of renewable energy

692 sources such as solar systems to supply the required energy for different operations that use
693 gasoline and diesel fuels, planting resistant varieties of walnut to avoid chemical control of
694 insects and fungus, integration of ownership levels for small-scale walnut orchards, and
695 monitoring orchardists' behavior in input energy consumption and determining penalty policies
696 for inefficient units.

697 *4.3. Limitations*

698 This study has several limitations. The first limitation is the lack of data for transporting inputs
699 from the factory to the orchard. In fact, the supply and purchase of inputs from different
700 marketing units and the variety of vehicles in transportation render them to be ignored due to
701 lack of access to this information in LCA. However, there was a theory that the type of
702 transportation system and its efficiency or inefficiency could not be taken into account by the
703 orchardists and the horticultural system. The second limitation is the lack of experiments to
704 determine the amount of chemical fertilizers required, which lead to the minimum and
705 maximum consumption as a limitation in optimization with MOICA, while experiments can
706 shorten this range and provide more accurate results. Finally, changes in weather conditions in
707 some areas may change the policy of applying the input in the orchard, so there may be
708 uncertainty in some data. Therefore our results are affected by these limitations.

709 **5. Conclusions**

710 Energy-life cycle assessment of walnut production is evaluated for multi-objective energy
711 optimization and environmental emissions for walnut system in Alborz province of Iran. Input-
712 output analysis is considered with four environmental categories as variables. In this study, the
713 boundary system covers inputs entry to the orchard till the harvesting process and the functional
714 unit is considered as 1 ton of walnut. The main findings of this study are total input and output
715 energies of about 31015 and 27200 MJ ha⁻¹, respectively. Energy use efficiency rate is about
716 0.88, which reveals an inefficient energy balance. In environmental aspect, On-Orchard

717 emissions are important factor in ecosystem quality, climate change, and human health
718 categories. These emissions are mostly related to fossil fuels and chemical fertilizers. Moreover,
719 in resources category, gasoline and diesel fuel are the most contributing inputs. The best
720 generation computed by multi-objective imperialist competitive algorithm indicates savings of
721 19316.49 MJ ha⁻¹ (62% reduction in comparison with the present condition) and 1.47 Pt (40%
722 of total weighted emissions). Fossil fuels including gasoline and diesel fuel are most energy-
723 environmental effective in walnut production. So, they have the highest potential for reduction
724 by multi-objective optimization. Applying timely maintenance, true education systems for
725 orchardists, adopting appropriate policies can help orchardist attain close to optimum condition
726 from energy-environmental point of view.

727 The results indicate that multi-objective imperialist competitive algorithm, as a new approach
728 in meta-heuristic algorithms, can modify the horticultural production system especially in
729 walnut by offering comprehensive global pattern. It should be noted that the proposed optimal
730 model covers all aspects and goals, and its comprehensiveness is such that it can be used and
731 exploited not only in the study area but also in all parts of the world, and this distinguishes this
732 study from all previous studies. Of course, this research can be continued by studying other
733 indices such as eco-efficiency and planting sustainability using optimum pattern of this study
734 in an orchard, avoiding limitation about soil texture experiment and determination of accurate
735 requirement rate of chemical fertilizers. The research method of this study can be used by other
736 researchers elsewhere in the world to evaluate the optimum condition of other agricultural
737 systems. Moreover, integrating knowledge about precision agriculture especially in variable
738 rate technology and evaluating optimal route for agricultural operations with multi-objective
739 imperialist competitive algorithm can present better optimization pattern with more details to
740 orchardists. Definitely, these new patterns can furnish clearer guideline to produce sustainable
741 products.

742 **Highlights**

- 743 • Energy and life cycle assessment of walnut are computed by IMPACT 2002+.
- 744 • MOICA is used to optimize output energy and total weighted damages.
- 745 • Gasoline and On-Orchard emissions are the most effective factors in energy and LCA.
- 746 • MOICA can save total energy and damages by about 19316 MJ ha⁻¹ and 1.47 Pt.
- 747 • Gasoline and ecosystem quality have most potential in saving by MOICA.

748 **Fig. 1.** Geographical location of Alborz province, Iran.

749 **Fig. 2.** Walnut production's system boundary in Alborz province, Iran.

750 **Fig. 3.** On-Orchard emissions coefficients of chemical fertilizers and human labor in walnut
751 production.

752 **Fig. 4.** Graphical conceptualization of PestLCI 2.0 model.

753 **Fig. 5.** Distribution of 15 midpoints in endpoints based on IMPACT 2002+ method.

754 **Fig. 6.** Generation of the initial empires.

755 **Fig. 7.** Assimilation policy: all colonies go through their corresponding imperialists.

756 **Fig. 8.** Imperialistic competition.

757 **Fig. 9.** Flowchart of applying MOICA in energy-environmental optimization of walnut
758 production.

759 **Fig. 10.** Share of each input in walnut production's total energy use.

760 **Fig. 11.** Contribution of environmental emissions in walnut production.

761 **Fig. 12.** Weighted endpoint score with share of each input in walnut production.

762 **Fig. 13.** Sensitivity analysis of effective inputs on environmental emissions in walnut
763 production.

764 **Fig. 14.** Share of each input in total saved energy by MOICA in walnut production.

765 **Fig. 15.** Share of each emissions category to reduce total weighted endpoints by MOICA in
766 walnut production.

Table 1

Summary of researches carried out on energy, environmental analysis and their optimization in agri-food sector.

Previous study	Geographical position	Crop	Energy analysis	Environmental analysis	LCA method	Optimization approach		Optimization technique
						Single-objective	Multi-objective	
Banaeian et al. [16]	Iran (Hamedan)	Walnut	☑	☒	☒	☑	☒	DEA
Gundogmus [17]	Turkey	Walnut	☑	☒	☒	☒	☒	☒
Mohammadi et al. [18]	Iran (Golestan)	Soybean	☑	☑	CML2	☑	☒	DEA
Moya et al. 2013 [19]	Cuba	Sugarcane	☑	☑	ReCiPe2008	☒	☒	☒
Nabavi-Pelesaraei et al. [20]	Iran (Guilan)	Orange	☑	☑	Greenhouse gas	☑	☒	DEA
Romero-Gómez et al. [21]	Spain	Tomato	☒	☑	ReCiPe2008	☒	☒	☒
Palmieri et al. [22]	Italy	Rapeseed	☒	☑	ReCiPe2008	☒	☒	☒
Kendall et al. [23]	United States	Almond	☑	☑	Greenhouse gas	☒	☒	☒
Ebrahimi and Salehi [24]	Iran (Isfahan)	Mushroom	☑	☑	Greenhouse gas	☑	☒	DEA
Mohammadi et al. [25]	Iran (Golestan)	Paddy	☑	☑	CML2	☑	☒	DEA
Proietti et al. [26]	Italy	Walnut	☒	☑	Greenhouse gas	☒	☒	☒
Beigi et al. [27]	Iran (Chaharmahal)	Almond	☑	☒	☒	☒	☒	☒
Boone et al. [28]	Belgium	Maize	☒	☑	ReCiPe2008	☒	☒	☒
Nabavi-Pelesaraei et al. [29]	Iran (Guilan)	Orange	☑	☑	Greenhouse gas	☒	☑	MOGA
Bacenetti et al. [30]	Europe	Agricultural crops	☑	☑	Greenhouse gas	☒	☒	☒
Baran et al. [31]	Turkey	Walnut	☑	☒	☒	☒	☒	☒
Mousavi-Avval et al. [32]	Iran (Golestan)	Canola	☑	☑	CML2	☒	☑	MOGA
Nabavi-Pelesaraei et al. [33]	Iran (Guilan)	Paddy	☑	☑	CML2	☒	☑	MOGA
Paramesh et al. [34]	India	Areca nut	☑	☑	CML2	☑	☒	DEA
Kaab et al. [35]	Iran (Khuzestan)	Sugarcane	☑	☑	CML2	☒	☑	MOGA
Álvarez-Rodríguez et al. [36]	Spain	Groceries	☑	☑	CML2	☑	☒	DEA
Nabavi-Pelesaraei et al. [11]	Iran (Guilan)	Rice	☑	☑	CML2	☒	☑	MOGA
Wang et al. [37]	China	Wolfberry	☑	☑	Greenhouse gas	☒	☒	☒
Grados and Schrevens [38]	Peru	Potato	☑	☑	CML2	☑	☒	DEA
Breen et al. [39]	Ireland	Dairy farms	☑	☑	Greenhouse gas	☒	☑	MOGA
Saber et al. [40]	Iran (Mazandaran)	Paddy	☒	☑	IMPACT 2002+	☒	☒	☒
Baran et al. [41]	Turkey	Almond	☑	☑	Greenhouse gas	☒	☒	☒
Ghasemi-Mobtaker et al. [2]	Iran (Hamedan)	Barley	☑	☑	ReCiPe2016	☒	☒	☒
Fan et al. [42]	China	Rice Paddy	☑	☑	Greenhouse gas	☒	☒	☒
Mostashari-Rad et al. [43]	Iran (Guilan)	Horticultural crops	☑	☑	ReCiPe2016	☒	☒	☒
Present study	Iran (Alborz)	Walnut	☑	☑	IMPACT 2002+	☒	☑	MOICA

Table 2

Specification of walnut production in Alborz province of Iran.

Item	Specification
Soil	Well-drained loamy bottomlands with medium texture (at least 3 feet deep)
Slope	Less than 15 percent
Nitrogen	0.25 to 0.3%
Phosphate	60 to 80 pounds per acre
Calcium	3000 to 4000 pounds per acre
Potassium	225 to 275 pounds per acre
Magnesium	375 to 600 pounds per acre
Organic matter	2 to 3.5%
pH	6.5 TO 7.2
Optimum condition	Full sun protected from wind and extreme temperature variations
Sensitive to	Prolonged flooding (3 to 4 days of standing water)
Tractors (sweeper & blower)	John Deere 3020
Tractors (shaker)	Hesston 780
Tractors (harvester)	John Deere 4020
Best harvest conditions	4 inches in height grass, smooth soil surface, not any dead branches and a minimal rise through the tree' row

Table 3

Standard coefficients to compute energy content of outputs and inputs in walnut production.

Item	Unit	Energy equivalent (MJ unit ⁻¹)	Reference
<i>A. Inputs</i>			
1. Human labor	h	1.96	[46]
2. Agricultural machinery	kg based on economic life	142.7	[47]
3. Diesel fuel	L	56.31	[48]
4. Gasoline	L	46.3	[49]
5. Chemical fertilizers	kg		
(a). Nitrogen		66.14	[50]
(b). Phosphate		12.44	[51]
(c). Potassium		11.15	[52]
6. FYM	kg	0.3	[53]
7. Biocides	kg	120	[54]
8. Electricity	kWh	11.93	[55]
<i>B. Output</i>			
1. Walnut	kg	26.15	[16]

Table 4
Energy indices in cropping system of walnut production.

Index	Unit	Equation
1. EUE	-	$\frac{\text{Output energy (MJ ha}^{-1}\text{)}}{\text{Total input energy (MJ ha}^{-1}\text{)}}$
2. EP	kg MJ ⁻¹	$\frac{\text{Walnut yield (kg ha}^{-1}\text{)}}{\text{Total input energy (MJ ha}^{-1}\text{)}}$
3. SE	MJ kg ⁻¹	$\frac{\text{Total input energy (MJ ha}^{-1}\text{)}}{\text{Walnut yield (kg ha}^{-1}\text{)}}$
4. NE	MJ	Output energy (MJ ha ⁻¹) - Total input energy (MJ ha ⁻¹)

Table 5

Emission coefficients related to diesel fuel and gasoline combustion in machinery.

Emission	Amount (kg MJ ⁻¹ diesel)
<i>A. Emissions of diesel fuel combustion to air</i>	
NH ₃	4.44E-07
C ₆ H ₆	1.62E-07
Benzo (a) pyrene	6.68E-10
Cd	2.22E-10
CO ₂ , fossil	0.07
CO, fossil	1.30E-04
Cr	1.11E-09
Cu	3.78E-08
N ₂ O	2.67E-06
Heat, waste (MJ)	1.01
CH ₄ , fossil	2.87E-06
Ni	1.55E-09
NO _x	8.66E-04
NMVOC	4.77E-05
PAH	7.29E-08
Particulates, < 2.5 μm	1.09E-04
Se	2.22E-10
SO ₂	2.24E-05
Zn	2.22E-08
<i>B. Emissions of diesel fuel combustion to soil</i>	
Cd	3.98E-09
Pb	1.75E-08
Zn	1.07E-05
<i>C. Emissions of gasoline combustion to air</i>	
C ₄ H ₆	5.86E-07
C ₂ H ₄ O	1.15E-05
C ₃ H ₄ O	1.39E-06
C ₆ H ₆	1.40E-05
CO ₂	2.09
CO	0.14
CH ₂ O	1.77E-05
CH ₄	9.21E-04
N ₂ O	6.10E-05
NO _x	0.03
PAH	2.52E-06
Particulates, > 2.5 μm, and < 10 μm	2.65E-04
C ₃ H ₆	3.86E-05
C ₇ H ₈	6.13E-06
SO	5.01E-04
VOC	2.84E-03
C ₈ H ₁₀	4.27E-06

Table 6

Inputs and outputs' physical amount along with their energy equivalents for walnut system in Alborz province, Iran.

Item (unit)	Unit ha ⁻¹	Energy consumption (MJ ha ⁻¹)	Standard deviation (MJ ha ⁻¹)	Uncertainty percentage (%)
<i>A. Inputs</i>				
1. Human labor (h)	521.56	1022.25	298.87	29.24
2. Agricultural machinery (kg)	2.60	370.55	123.42	33.31
3. Diesel fuel (L)	119.74	6742.78	949.99	14.09
4. Gasoline (L)	269.13	12460.76	1843.79	14.80
5. Chemical fertilizers (kg)				
(a). Nitrogen	62.96	4164.30	287.84	6.91
(b). Phosphate	72.46	901.46	49	5.44
(c). Potassium	130.70	1457.29	179.93	12.35
6. FYM (kg)	1655.08	496.53	110.87	22.33
7. Biocides (kg)	2.63	315.75	39.54	12.52
8. Electricity (kWh)	258.42	3083	216.53	7.02
Total input energy (MJ)	-	31014.68	2903.92	9.36
<i>B. Output</i>				
1. Walnut (kg)	1040.14	27199.55	3340.33	12.28

Table 7

Energy indices assessment for walnut system in Alborz province, Iran.

Index	Unit	Value
1. EUE	-	0.88
2. EP	kg MJ ⁻¹	0.03
3. SE	MJ kg ⁻¹	29.82
4. NE	MJ	-3815.13

Table 8

LCI report of walnut production of Alborz province, Iran based upon 1 ha.

Item	Unit	Amount
<i>A. Off-Orchard emissions</i>		
1. Agricultural machinery	kg	2.6
2. Chemical fertilizers	kg	
(a). Nitrogen		62.96
(b). Phosphate		72.46
(c). Potassium		130.7
3. FYM	kg	1655.08
4. Biocides	kg	
(a). Pesticides		1.71
(b). Fungicides		0.92
5. Diesel fuel	kg	102.98
6. Gasoline	kg	183.01
7. Electricity	kWh	258.42
<i>B. On-Orchard emissions</i>		
1. Emissions by diesel fuel burning to air		
(a). NH ₃	kg	0.003
(b). C ₆ H ₆	kg	1.09E-03
(c). Benzo (a) pyrene	kg	4.50E-06
(d). Cd	kg	1.50E-06
(e). CO ₂ , fossil	kg	467.39
(f). CO, fossil	kg	0.88
(g). Cr	kg	7.49E-06
(h). Cu	kg	2.55E-04
(i). N ₂ O	kg	0.02
(j). Heat waste	MJ	6804.64
(k). CH ₄ , fossil	kg	0.02
(l). Ni	kg	1.05E-05
(m). NO _x	kg	5.84
(n). NMVOC	kg	0.32
(o). PAH	kg	4.91E-04
(p). Particulates, < 2.5 um	kg	0.74
(q). Se	kg	1.50E-06
(r). SO ₂	kg	0.15
(s). Zn	kg	1.50E-04
2. Emissions by diesel fuel burning to soil		
(a). Cd	kg	2.68E-05
(b). Pb	kg	1.18E-04
(c). Zn	kg	0.07
3. Emissions by gasoline burning to air		
(a). C ₄ H ₆	kg	1.58E-07
(b). C ₂ H ₄ O	kg	3.09E-06
(c). C ₃ H ₄ O	kg	3.73E-07
(d). C ₆ H ₆	kg	3.76E-06
(e). CO ₂	kg	0.56
(f). CO	kg	0.04
(g). CH ₂ O	kg	4.76E-06
(h). CH ₄	kg	2.48E-04
(i). N ₂ O	kg	1.64E-05
(j). NO _x	MJ	0.01
(k). PAH	kg	6.77E-07

(l). Particulates, > 2.5 um, and < 10um	kg	7.14E-05
(m). C ₃ H ₆	kg	1.04E-05
(n). C ₇ H ₈	kg	1.65E-06
(o). SO	kg	1.35E-04
(p). VOC	kg	7.63E-04
(q). C ₈ H ₁₀	kg	1.15E-06
3. Emissions by fertilizers to air		
(a). N ₂ O	kg	1.58
(b). NH ₃ derived from pure nitrogen in chemical fertilizers	kg	9.08
(c). NH ₃ derived from pure nitrogen in FYM	kg	7.65
4. Emission by atmospheric deposition of fertilizers to air		
(a). N ₂ O derived from pure nitrogen in chemical fertilizers	kg	0.1
(b). N ₂ O derived from pure nitrogen in FYM		0.12
5. Emissions by fertilizers to water		
(a). NO ₃ ⁻	kg	13.33
(b). PO ₄ ³⁻	kg	1.59
6. Emission by N ₂ O of fertilizers and soil to air		
(a). NO _x	kg	0.38
7. Emission by heavy metals of fertilizers to soil		
(a). Cd	mg	3465.99
(b). Cu	mg	159195.53
(c). Zn	mg	412874.38
(d). Pb	mg	350026.26
(e). Ni	mg	13835.09
(f). Cr	mg	49408.36
(g). Hg	mg	53.81
8. Emission by human labor to air		
(a). CO ₂	kg	365.09
9. Emissions by biocides to air		
(a). Ethion	kg	0.01
(b). Copper oxychloride	kg	0.04
(c). Diazinon	kg	0.07
(d). Dimethoate	kg	0.02
(e). Cypermethrin	kg	0.02
(f). Captan	kg	0.05
(g). Carbaryl	kg	0.05
(h). Malathion	kg	0.01
10. Emissions by biocides to water		
(a). Ethion	kg	0.01
(b). Copper oxychloride	kg	0.02
(c). Diazinon	kg	0.03
(d). Dimethoate	kg	0.01
(e). Cypermethrin	kg	0.01
(f). Captan	kg	0.03
(g). Carbaryl	kg	0.03
(h). Malathion	kg	0.004
11. Emissions by biocides to soil		
(a). Ethion	kg	0.12
(b). Copper oxychloride	kg	0.35
(c). Diazinon	kg	0.56
(d). Dimethoate	kg	0.13
(e). Cypermethrin	kg	0.14
(f). Captan	kg	0.43
(g). Carbaryl	kg	0.44

(h). Malathion	kg	0.06
<i>C. Output</i>		
1. Walnut yield	kg	1040.14

Table 9

Emission rate of endpoints based on 1 t of walnut production in the studied area.

Endpoint	Unit	Quantity
Human health	DALY ^a	0.005
Ecosystem quality	PDF*m ² *yr ^b	35498.08
Climate change	kg CO ₂ eq.	2364.60
Resources	MJ primary	28872.21

^a DALY: An emission of 1 is equivalent to: lack of 1 life year of 1 personal, or 1 person suffers 4 years from an inability with a weight of 0.25.

^b PDF*m²*year: An emission of 1 is equivalent to disappearing of all species from 1 m² throughout 1 year, or vanishment of 10% of species from 1 m² throughout 10 years, or vanishment of 10% of species from 10 m² throughout 1 year.

Table 10

Assessment of optimum and saved energies in walnut production after MOICA optimization.

Input energy	Computed optimum energy (MJ ha ⁻¹)	Saved energy (MJ ha ⁻¹)	Saved energy (%)
1. Human labor	288.75	733.5	71.75
2. Agricultural machinery	247.40	123.15	33.23
3. Diesel fuel	2481.29	4261.49	63.20
4. Gasoline	1167.36	11293.4	90.63
5. Chemical fertilizers			
(a). Nitrogen	3183.02	981.28	23.56
(b). Phosphate	562.27	339.19	37.63
(c). Potassium	969.29	488	33.49
6. FYM	342.79	153.74	30.96
7. Biocides	83.07	232.68	73.69
8. Electricity	2372.94	710.06	23.03
Total input energy	11698.18	19316.49	62.28

Table 11

Energy indices improvement by MOICA application in walnut production.

Energy index (unit)	Value after optimization	Difference (%) ^a
1. EUE	2.33	116.16
2. EP (kg MJ ⁻¹)	0.09	116.15
3. SE (MJ kg ⁻¹)	11.25	-60.45
4. NE (MJ)	15501.37	-506.31

^a. [(Optimum quantity- Present quantity) / Present quantity] × 100

Table 12

Assessment of environmental emissions and saved amounts in walnut production after MOICA optimization.

Endpoint (unit)	Optimum rate of emission category	Saved amount	Saved emission category (%)
Human health (DALY)	0.003	0.002	40.77
Ecosystem quality (PDF*m ² *yr)	22131.11	13366.97	37.66
Climate change (kg CO ₂ eq.)	1303.10	1061.50	44.89
Resources (MJ primary)	12869.78	16002.43	55.43