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1        **Bacteria and Antibiotic Resistance Genes (ARGs) in**  
2        **PM<sub>2.5</sub> from China: Implications for Human Exposure**

3

4        Jiawen Xie<sup>†,#</sup>, Ling Jin<sup>†,#</sup>, Tangtian He<sup>†,#</sup>, Baowei Chen<sup>‡</sup>, Xiaosan Luo<sup>⊥</sup>, Baihuan  
5        Feng<sup>Δ</sup>, Wei Huang<sup>Δ</sup>, Jun Li<sup>||</sup>, Pingqing Fu<sup>§</sup>, and Xiangdong Li<sup>\*,†,#</sup>

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7        <sup>†</sup> Department of Civil and Environmental Engineering, The Hong Kong Polytechnic  
8        University, Hung Hom, Kowloon, Hong Kong

9        <sup>#</sup>The Hong Kong Polytechnic University Shenzhen Research Institute, Shenzhen  
10        518057, China

11        <sup>‡</sup> South China Sea Resource Exploitation and Protection Collaborative Innovation  
12        Center, School of Marine Sciences, Sun Yat-sen University, Guangzhou 510275,  
13        China

14        <sup>⊥</sup> International Center for Ecology, Meteorology, and Environment, School of Applied  
15        Meteorology, Nanjing University of Information Science and Technology, Nanjing  
16        210044, China

17        <sup>Δ</sup> Department of Occupational and Environmental Health, Peking University School  
18        of Public Health, and Peking University Institute of Environmental Medicine, Beijing  
19        100871, China

20        <sup>||</sup> State Key Laboratory of Organic Geochemistry, Guangzhou Institute of  
21        Geochemistry, Chinese Academy of Sciences, Guangzhou 510640, China

22        <sup>§</sup> Institute of Surface-Earth System Science, Tianjin University, Tianjin 300072, China

23 \*Corresponding author

24 Email: cexdli@polyu.edu.hk; Tel: +852 2766 6041; Fax: +852 2334 6389

25

26 **ABSTRACT**

27 Airborne transmission is one of the environmental dissemination pathways of antibiotic  
28 resistance genes (ARGs), and has critical implications for human exposure through  
29 inhalation. In this study, we focused on three regions of China to reveal some unique  
30 spatiotemporal features of airborne bacteria and ARGs in fine aerosols (PM<sub>2.5</sub>): (1)  
31 greater seasonal variations in the abundance of bacteria and ARGs in temperate urban  
32 Beijing than in the subtropical urban areas of the Yangtze River Delta (YRD) and Pearl  
33 River Delta (PRD) regions, with regional disparities in bacterial communities; (2)  
34 geographical fingerprints of ARG profiles independent of seasonal cycles and land-use  
35 gradients within each region; (3) region-independent associations between the targeted  
36 ARGs and limited bacterial genera; (4) common correlations between ARGs and  
37 mobile genetic elements (MGEs) across regions; and (5) PM<sub>2.5</sub> at the higher end of ARG  
38 enrichment across various environmental and human media. The spatiotemporally  
39 differentiated bacterial communities and ARG abundances, and spatiotemporally  
40 conserved profiles, mobility, and potential hosts of ARGs in the atmosphere have strong  
41 implications for human inhalational exposure over spatiotemporal scales. By  
42 comparing other contributing pathways for the intake of ARGs (*e.g.*, drinking water  
43 and food ingestion) in China and the U.S., we identified the region-specific importance  
44 of inhalation in China as well as country-specific exposure scenarios. Our study thus

45 highlights the significance of inhalation as an integral part of the aggregate exposure  
46 pathways of environmentally disseminated ARGs, which, in turn, may help in the  
47 formulation of adaptive strategies to mitigate the exposure risks in China and beyond.

48

## 49 **INTRODUCTION**

50 As a critical atmospheric component, airborne fine particulate matter (PM<sub>2.5</sub>) influences  
51 air quality, regional climates, and human health.<sup>1-3</sup> With its ability to penetrate deep in  
52 our respiratory systems, PM<sub>2.5</sub> has been extensively associated with a range of health  
53 issues.<sup>4-6</sup> This prompted the developed world and, at a later stage, developing countries  
54 to formulate health-oriented measures to control air quality. In the past few years, China,  
55 for example, has seen a steady decline in PM<sub>2.5</sub> mass concentrations,<sup>7</sup> owing to the  
56 expanding knowledge on physicochemical compositions that has guided source  
57 apportionment for target emission reductions.<sup>8</sup> Our understanding of its  
58 (micro)biological compositions, an integral dimension of the multi-faceted complex  
59 mixtures of PM<sub>2.5</sub>, remains disproportionately limited.<sup>9</sup> The biological particles  
60 (collectively known as bioaerosols) include bacteria, fungi, viruses, pollens, and cell  
61 debris, and constitute about 5–10% of atmospheric PM.<sup>10</sup> Inhalable biological particles,  
62 particularly the fine fraction associated with PM<sub>2.5</sub>, may have a significant impact on  
63 human health.<sup>11-12</sup> PM<sub>2.5</sub> is becoming recognized as an important vector for the  
64 transmission of potential microbial hazards (e.g., allergens, pathogens, toxins, antibiotic  
65 resistance genes).<sup>13-17</sup> Among them is the issue of antimicrobial resistance, a 21<sup>st</sup>  
66 century public health challenge.<sup>18</sup>

67

68 In recent years, the overuse and misuse of antibiotics across the globe has led to the  
69 emergence of antibiotic-resistant pathogens and “superbugs”, rendering ineffective the

70 medications used to cure infections.<sup>19</sup> The selective pressure imposed by antibiotics and  
71 other anthropogenic stressors in the environment accelerates the spread of antibiotic  
72 resistance genes (ARGs) within and between bacterial species. China, for example, has  
73 recorded some of the world's highest levels of antimicrobial resistance in both Gram-  
74 positive and Gram-negative bacteria.<sup>20</sup> In response to the rising threat of antimicrobial  
75 resistance, major international agencies as well as national/local governments have  
76 launched concerted strategic action plans to tackle this public health challenge.<sup>21</sup>

77

78 Driven by the “One Health” concept, which emphasizes the interdependence of human,  
79 animal, and environmental health,<sup>22</sup> a comprehensive framework integrating the  
80 environmental and clinical settings has been set up to tackle antimicrobial resistance.<sup>23-</sup>

81 <sup>24</sup> ARGs have thus attracted increasing attention in terms of their environmental  
82 dissemination pathways, since they were recognized as a class of emerging  
83 contaminants.<sup>25</sup> In addition to medication-induced ARGs, ARGs can be disseminated  
84 from the environment to humans through external exposure pathways, namely, through  
85 drinking water, food, skin contact, and inhalation.<sup>26-27</sup> It has also recently been  
86 recognized from a biogeochemical perspective that surface bacteria enter the  
87 atmosphere via soil resuspension or water spray, and undergo long-range transport  
88 driven by wind.<sup>28</sup> Correspondingly, air circulation is one of the driving mechanisms for  
89 the global mass movement of microbial life.<sup>29</sup> Likewise, bacteria and associated ARGs  
90 ubiquitous in soil and water can be aerosolized from these surface environments into  
91 the atmosphere. The size of airborne particles associated with bacteria at continental  
92 sites is about 4  $\mu\text{m}$ , which is larger than the typical size of such bacteria ( $\sim 1 \mu\text{m}$ ).<sup>30</sup> This  
93 finding establishes the overlooked role of airborne PM in the environmental  
94 dissemination of ARGs, while  $\text{PM}_{2.5}$  has particular relevance to consequent human

95 exposure to ARGs.

96

97 Constituents in drinking water and food may be transformed in treatment or processing  
98 systems, during which ARGs may be eliminated or “altered” to some extent.<sup>31</sup> By  
99 contrast, inhaled air undergoes virtually no treatment. The deep alveolar region of the  
100 lung is the relevant exposure receptor for PM<sub>2.5</sub>-associated ARGs, which is  
101 distinguished from the gastrointestinal tract, which receives water- and food-borne  
102 ARGs. The aerodynamics of PM<sub>2.5</sub>-associated ARGs favors their cross-boundary  
103 dissemination. However, the high degree of dispersion may subject airborne bacterial  
104 populations to physicochemical stressors that are not often experienced by their  
105 terrestrial counterparts. All of these factors make airborne PM<sub>2.5</sub> an important, albeit  
106 unique, vector in the dissemination of environmental ARGs. Therefore, inhalation  
107 needs to be incorporated as an integral part of all human exposure-relevant pathways  
108 of ARGs in order to assess their respective contributions. A better understanding of  
109 these issues would contribute to informed mitigation strategies concerning the sources  
110 and human exposure risks of ARGs, and help to address the public health challenges  
111 related to both antimicrobial resistance, as well as to the influence of bioaerosols on air  
112 quality.

113

114 Previous studies have indicated that environmental resistomes can be regulated by host  
115 bacterial communities, environmental factors, and anthropogenic impacts, resulting in  
116 site-specific ARG profiles.<sup>32-33</sup> Considering the highly dynamic nature of the  
117 atmosphere, the diversity and abundance of airborne bacteria and the associated ARG  
118 profiles could evolve more frequently over time and space gradients,<sup>34-35</sup> leaving  
119 specific spatiotemporal fingerprints with implications for site-specific human

120 exposures. Past investigations often provided a snapshot of airborne bacteria and  
121 associated ARGs in PM<sub>2.5</sub>;<sup>14, 36</sup> however, spatiotemporally resolved dynamics are  
122 required to assess long-term human exposures via inhalation.

123

124 The abovementioned knowledge gaps motivated us to conduct an extensive  
125 investigation in China to characterize the spatiotemporal features of airborne bacteria  
126 and associated ARGs, with a particular focus on three typical regions with distinct  
127 geographical features and dense populations. By quantifying the targeted genes using  
128 real-time quantitative polymerase chain reactions (qPCRs) and elucidating the bacterial  
129 communities based on 16S rRNA amplicon sequencing, we aimed to reveal the natural  
130 cycle of the bacterial population and associated ARGs, the anthropogenic drives that  
131 alter natural rhythms, and the key implications for region-specific human exposure  
132 scenarios.

133

## 134 **MATERIALS AND METHODS**

135 **PM<sub>2.5</sub> Sampling.** The sampling campaign covered three regions of China, namely the  
136 Capital city of Beijing in northern China, the Yangtze River Delta (YRD) in eastern  
137 China, and the Pearl River Delta in southern China. There are a total of eight sampling  
138 sites in the three study regions, including two urban sites in Beijing, three sites  
139 (industrial, urban, and rural) in the YRD, and three sites (urban, suburban, and semi-  
140 rural) in the PRD (Figure S1). The site classification was based on the land-use type,  
141 population density, economic development and industrial activities of the district where  
142 each sampling site is located (Tables S1 and S2). PM<sub>2.5</sub> samples were collected at each

143 site over an annual cycle from spring 2016 to spring 2017, with the exception of one of  
144 the two urban sites in Beijing, where samples were collected from winter 2016 to  
145 autumn 2017. The seasons were demarcated as follows: March-May as spring, June-  
146 August as summer, September-November as autumn, and December-February as  
147 winter, in accordance with the China Meteorological Administration. PM<sub>2.5</sub> samples  
148 were collected on quartz microfibre filters (8 × 10 inches in size; prebaked at 500 °C  
149 for 5 h) using high-volume samplers at a flow rate of 1 m<sup>3</sup> min<sup>-1</sup>. The sampling  
150 frequency was around one 24-h PM<sub>2.5</sub> sample every week at all sites, except for the  
151 YRD rural site and the PRD urban site with one 24-h sample every month and every  
152 three days, respectively. A total of 456 field PM<sub>2.5</sub> samples (Table S3) were collected  
153 plus ~5% field blanks. The concentrations of PM<sub>2.5</sub> in season at each site were plotted  
154 in Figure S2. All of the filter samples were stored at -20 °C before subsequent treatment.  
155

156 **DNA Extraction.** A quarter of each filter sample was cut out for all the sites, except  
157 for the PRD urban site (one-eighth of each) and the YRD rural site (half of each). Each  
158 subsample was sonicated with sterilized 1× phosphate-buffered saline. Each monthly  
159 combined extract was then filtered through a 0.2-µm PES membrane disc filter (47 mm,  
160 Pall). A FastDNA SPIN Kit for Soil (MP Biomedicals) was used to extract DNA from  
161 disc filters following the manufacturer's instructions, with a modified purification step  
162 involving the use of Agencourt AMPure XP beads (Beckman Coulter).<sup>14, 35</sup> All of the  
163 DNA extracts were kept at -80 °C until analysis.

164

165 **Real-time qPCR Quantification of Targeted Genes.** Due to the limited amount of  
166 DNA extracted from airborne PM<sub>2.5</sub>, we quantified ten target genes, including the 16S  
167 rRNA gene, six ARGs (*ermB*, *tetW*, *qnrS*, *lnuA*, *bla*<sub>TEM-1</sub>, and *sul1*), and three mobile  
168 genetic elements (MGEs; *int11*, *tnpA-02*, and *tnpA-04*) using the StepOnePlus Real-  
169 Time PCR System (Applied Biosystems, CA). The 16S rRNA gene served as an  
170 indicator of total bacterial loadings. The choice of the six ARGs encoding resistance to  
171 different classes of antibiotics was based on their prevalence in the surface and  
172 atmospheric environments.<sup>35, 37-38</sup> The choice of the three MGEs was based on their  
173 dominance as important genetic compartments for ARGs.<sup>39-41</sup> To minimize inhibition,  
174 a 10-fold dilution was applied to all of the DNA extracts to quantify the ARGs. The  
175 dilution factor was determined by testing a number of randomly selected samples. All  
176 of the samples, standards, and negative controls (procedural blanks and field blanks)  
177 were run in triplicate, with the efficiency of the amplification ranging from 90% to  
178 105%. Detailed information about the standard construction, primer sets, and qPCR  
179 conditions used in this study is given in SI, Section S1 and Table S4. Note that the  
180 abundance of the 16S rRNA gene, *int11*, *ermB*, *tetW*, and *qnrS* was reported in our  
181 recent work<sup>31</sup> and incorporated into the current study for a comprehensive comparison.  
182 All of the qPCR results were summarized in Table S5.

183

184 **16S rRNA Gene Amplicon Sequencing.** The V3-V4 hypervariable region of the 16S  
185 rRNA gene was amplified using KAPA HiFi HotStart ReadyMix (Kapa Biosystems)  
186 with primer pair 341F (ACTCCTACGGGAGGCAGCAG) / 806R



187 (GGACTACHVGGGTWTCTAAT). The targeted amplicons were then purified using  
188 the MEGAquick-spin™ Total Fragment DNA Purification Kit (iNtRON Biotechnology,  
189 Korea) and quantified with the Qubit™ dsDNA HS Assay Kit (Thermo Fisher) after  
190 electrophoretic separation in 1.5% agarose gel. Purified amplicons from the same  
191 season at the same sampling site were pooled on an equal mole basis, and sequenced  
192 on the Illumina Miseq PE300 platform in the Beijing Genomics Institute (Wuhan,  
193 China). The data were deposited in the NCBI BioProject database  
194 (<https://www.ncbi.nlm.nih.gov/bioproject/>) with the accession number of  
195 PRJNA485473.

196

197 **Data Analysis.** The structure of the bacterial community in the samples was  
198 characterized by BLASTn<sup>42</sup> against the Silva SSU database (version 111) with an E-  
199 value cutoff of 1e-20.<sup>43</sup> The sequences from the BLAST results were assigned to NCBI  
200 taxonomies via MEGAN (version 4.67.5)<sup>44</sup> using the Lowest Common Ancestor (LCA)  
201 algorithm and the default cutoff of BLAST bitscore 50, and 10% of the top 50 hits.

202

203 Principal component analysis (PCA) of regional differentiations in airborne bacterial  
204 communities was performed using CANOCO (Version 4.5). The 16S rRNA gene copies  
205 (copy m<sup>-3</sup>) assigned to each bacterial genus were used as input data, which were  
206 obtained by multiplying the total number of copies of 16S rRNA genes by the  
207 percentage of each bacterial genus. Bacterial genera were excluded from this analysis  
208 if their relative percentages were lower than 5%. The data were subjected to a square

209 root transformation and further checked to meet the normal distribution before  
210 undergoing a PCA analysis. One-way ANOSIM and non-metric multidimensional  
211 scaling (NMDS) analyses were performed in Past 3 to visualize the regional  
212 differentiations of PM<sub>2.5</sub>-associated ARG profiles. Redundancy analysis (RDA) was  
213 performed using CANOCO (Version 4.5) to explore the correlations between bacterial  
214 genera and the analyzed ARGs. The total number of gene copies (copy m<sup>-3</sup>) was used  
215 for the redundancy analysis, with the square root transformation of the data being  
216 carried out prior to the analysis. Other statistical analyses were conducted in R (version  
217 3.2.2) and GraphPad Prism 7.

218

219 To assess the relative importance of inhalation to total human exposure to external  
220 ARGs, we estimated the human daily intake (DI) of the targeted genes via PM<sub>2.5</sub>,  
221 drinking water (DW), and food items in urban populations in China and the U.S. as a  
222 comparison (eq. 1-3).

$$223 \quad DI_{PM_{2.5}}(\text{copy } d^{-1}) = \text{Concentration (copy } m^{-3}) \times \text{inhalation rate (} m^3 d^{-1}) \quad (1)$$

$$224 \quad DI_{dw}(\text{copy } d^{-1}) = \text{Concentration (copy } L^{-1}) \times \text{ingestion rate (} L d^{-1}) \quad (2)$$

$$225 \quad DI_{food}(\text{copy } d^{-1}) = \text{Concentration (copy } g^{-1}) \times \text{ingestion rate (} g d^{-1}) \quad (3)$$

226 The concentrations of the target genes in urban aerosols are from this study (China) and  
227 Refs<sup>45-46</sup> (U.S.), and those in other intake matrices (e.g., drinking water, aquatic  
228 products, and vegetables) are from refs<sup>46-52</sup>. The daily inhalation rate was commonly  
229 set as 20 m<sup>3</sup> d<sup>-1</sup>.<sup>53</sup> The ingestion rates for drinking water, aquaculture products, and  
230 vegetables in China were 1.6 L d<sup>-1</sup> (1.5-1.7 L d<sup>-1</sup>), 57.5 g d<sup>-1</sup> (40-75 g d<sup>-1</sup>), and 400 g d<sup>-1</sup>

231 <sup>1</sup> (300-500 g d<sup>-1</sup>), respectively, according to the Dietary Guidelines for Chinese  
232 Residents.<sup>54</sup> For U.S. adults, the ingestion rates for drinking water and aquaculture  
233 products (finfish) were set as 2 L d<sup>-1</sup> and 12 g d<sup>-1</sup>, respectively, as recommended by the  
234 USEPA.<sup>53</sup> These comparisons were based on the assumption of equal DNA extraction  
235 efficiency between matrices across studies.

236

## 237 **RESULTS AND DISCUSSION**

238 **Regional differences in PM<sub>2.5</sub>-associated bacterial loadings and community**  
239 **structure.** For lack of a rural site in Beijing, we first compared the total bacteria  
240 loadings between the urban sites of the three studied regions. Seasonality of the total  
241 bacterial loading was most apparent in the temperate urban Beijing, where the absolute  
242 concentration of the 16S rRNA gene declined from spring to winter by nearly three  
243 orders of magnitude and recovered in the following spring (Figure 1a). A similar  
244 seasonal trend was also observed previously with the concentrations of bacteria in total  
245 suspended particulate from urban Beijing.<sup>55</sup> In contrast, concentrations of the 16S  
246 rRNA gene at the urban sites of the YRD and PRD regions varied only within an order  
247 of magnitude (10<sup>3</sup>-10<sup>4</sup> copy m<sup>-3</sup>) over the annual cycle. The annual average  
248 concentration of the 16S rRNA gene in Beijing were the highest of all the three studied  
249 regions (Figure S3), which was consistent with the broader geographical comparison<sup>56-</sup>  
250 <sup>58</sup> (Figure S4). PM<sub>2.5</sub> concentrations may not be a critical factor regulating the seasonal  
251 dynamics of the airborne bacteria therein, as the abundance of the 16S rRNA gene did  
252 not correlate with the concentration of PM<sub>2.5</sub> across the three studied regions (Figure

253 S5). Local sources such as soil and vegetation may be more influential factors driving  
254 the abundance of ambient airborne bacteria.<sup>59-60</sup> For example, the *Cyanobacteria*  
255 phylum, which is partially derived from plants, dropped from 24% of total bacteria in  
256 spring ( $8.5 \times 10^4$  copy  $m^{-3}$ ) to less than 1% in winter (3 copy  $m^{-3}$ ) and revived to 47% in  
257 spring ( $6.3 \times 10^4$  copy  $m^{-3}$ ) (Figure 2). Similar trends were also found in Beijing  
258 previously that the relative abundance of *Cyanobacteria\_Chloroplast* dropped from  
259 spring (32%) to winter (2%) in PM<sub>2.5</sub>-associated bacteria.<sup>34</sup> In contrast, the relative  
260 abundance of the phylum *Cyanobacteria* varied less among seasons in the subtropical  
261 YRD and PRD regions (Figures 2 and S6). These observations provided evidence of  
262 the seasonal dependence of vegetative contributions to airborne bacteria, typically in  
263 the temperate regions due to the distinct climate features. This may partially account  
264 for the larger seasonal variations in total airborne bacterial loadings in Beijing than in  
265 the YRD and PRD regions.

266

267 Intra-regional comparisons of total bacterial loadings associated with PM<sub>2.5</sub> were  
268 conducted across land-use transects between the YRD and PRD regions (Figure 1b).  
269 Significant seasonal variations in the absolute concentrations of 16S rRNA genes were  
270 observed at the rural site of the YRD region in our previous study,<sup>35</sup> with an obvious  
271 decrease in the winter season and a rapid recovery in the following spring, in contrast  
272 to the less distinct seasonal fluctuations in the urban and industrial sites. Similar to the  
273 situation in the YRD region, the most significant variations in airborne bacterial  
274 loadings were seen in the semi-rural site of the PRD region, but the differences

275 decreased along the semirural-suburban-urban gradient. With intense anthropogenic  
276 activities in urban areas, contributions of natural sources (e.g., vegetation) to the  
277 airborne microbes, were likely replaced by those from anthropogenic sources that are  
278 more stable across seasons, thus flattening the seasonal rhythms of bacterial loadings  
279 observed in (sub)urban and industrial areas. Compared with all of the sampling sites in  
280 the YRD and PRD, seasonal variations in the crucial phyla, which explained most of  
281 the structural variations over seasons, were most significant in the rural site of the YRD  
282 (Figure S7). This corresponded to the seasonal changes in the total PM<sub>2.5</sub>-borne  
283 bacterial loading under natural regulation in this area. At other sites impacted by human  
284 activities, seasonal variations in the bacterial community structure were likely subject  
285 to the combined influences of multiple dominant phyla (Figure S7).

286

287 **Regional fingerprints of ARG profiles.** The overall annual median concentrations of  
288 all of the PM<sub>2.5</sub>-associated ARGs that were analyzed were comparable in the three study  
289 regions, with no statistical differences (Figure S8a), indicating that levels of airborne  
290 ARGs are relatively consistent across different regions in China in an annual average  
291 base. Echoing the disparities in airborne bacterial communities at the genus level  
292 (Figure S9), the corresponding ARG profiles exhibited different regional patterns  
293 between northern (Beijing), eastern (the YRD), and southern (the PRD) China (Figure  
294 3). *lnuA* dominated in the PM<sub>2.5</sub>-associated ARGs in northern China, while *lnuA* and  
295 *sul1* collectively played a leading role in the PRD region. By contrast, the most  
296 dominant position was transferred to *ermB* in the YRD region, except in the urban area,

297 where *bla*<sub>TEM-1</sub> played as dominant a role as *ermB*. Regional differentiations in airborne  
298 ARG profiles relating to air fine particles were also demonstrated by three clusters in a  
299 Bray-Curtis based the NMDS plot (Figure S10; R=0.6258,  $p<0.01$ ). Recently, the  
300 terrestrial resistome in natural environments has been found to differ between temperate  
301 and subtropical regions and to be regulated by plants and soil bacterial communities.<sup>32</sup>  
302 As an important source of atmospheric bacteria and ARGs, soil with different  
303 resistomes among the regions might play a noticeable role in the formation of regionally  
304 different airborne ARG profiles. In contrast to the regional disparities, the annual  
305 average ARG profile within a region was generally less diverse, which was indicative  
306 of regional impacts overwriting local influences.

307

308 The seasonality of the relative abundance of most of the predominant airborne ARGs  
309 was most remarkable in the rural site of the YRD region among all the sampling sites  
310 (Figure S11), evolving from spring to winter along a seasonal cycle. For other sites  
311 within the same region, the seasonal contrast seemed to be dimmed gradually. Since all  
312 of the sampling sites in this study are more or less affected by strong anthropogenic  
313 activities, with the exception of the rural site in the YRD region, which is closer to the  
314 natural state (Table S1), the relative consistency in the relative abundance of the  
315 targeted ARGs suggests that urbanization and industrialization are having a key effect  
316 on seasonal variations in the community structure of naturally occurring bacteria and  
317 in the resultant airborne ARGs.

318

319 To further explore the relationships between airborne bacterial genera and ARGs, a  
320 redundancy analysis (RDA) was conducted in this study. Despite the regionally  
321 divergent bacterial communities, there may be a limited number of core taxa members  
322 that could be the true ARG hosts, as suggested by the association between ARGs and  
323 specific bacterial genera independent of seasons and land-use types (Figure S12). The  
324 relative compositions of these potential hosts within the airborne bacterial community  
325 of each region may explain the regionally specific signature of ARG profiles as  
326 discussed above. Additionally, significant positive correlations were found between  
327 most of the ARGs and between most of the bacterial genera (Figure S13), suggesting  
328 the co-abundance relationship between the ARGs and between the bacterial genera.  
329 Therefore, the RDA result should be interpreted with caution due to potential co-  
330 correlation between ARGs and bacterial genera. Nevertheless, it is still worth noting  
331 that some of the identified bacterial genera significantly associated with ARGs, such as  
332 *Acinetobacter*, *Burkholderia*, *Clostridium*, *Sphingomonas*, and *Staphylococcus*, include  
333 certain clinically important pathogenic species. However, some environmental bacteria  
334 harbor intrinsic resistance to endogenous or naturally-occurring antibiotics.<sup>61</sup> For  
335 example, *Pseudomonas aeruginosa* was found with high intrinsic resistance in the  
336 presence of  $\beta$ -lactamase encoding gene on chromosome, in addition to its outer-  
337 membrane barrier and the efflux pumps<sup>62-63</sup>. These natural resistance mechanisms have  
338 also been discovered in other species like *Burkholderia pseudomallei*, *Burkholderia*  
339 *cepacia*, and *Stenotrophomonas maltophilia*.<sup>64</sup> It is therefore imperative in future  
340 studies to distinguish intrinsic and acquired resistance and ascertain the mobility of

341 ARGs as well as the identities of ARG-carrying bacteria (pathogen or not) in PM<sub>2.5</sub>,  
342 using a combination of whole genome sequencing and culture-dependent methods.  
343 These efforts would help address the health relevance of airborne ARGs.

344

345 **Enrichment of ARGs and MGEs in fine aerosols.** Integrons and transposons are  
346 important MGEs responsible for the dissemination of ARGs in the environment. In this  
347 study, *intI1* and *tnpA* belonging to the IS4 group and IS6 group were widely detected  
348 in all of the sampling sites. The absolute abundance of the total analyzed MGEs  
349 generally varied by two orders of magnitude in all of the regions, with the annual  
350 median being lowest in the YRD region (Figure S8b), possibly implying spatially and  
351 temporally different prospects for the potential of horizontal gene transfers in the  
352 atmospheric environment. In addition, significant correlations between the relative  
353 abundance of the total analyzed ARGs and *intI1* normalized to the 16S rRNA gene were  
354 found across all land-use types, with the only exception of insignificance at the  
355 industrial site of the YRD region (Figure 5). Strong correlations for ARGs with *tnpA*  
356 also occurred in most of the sampling sites. These findings point to a co-occurrence  
357 pattern of ARGs and MGEs prevalent in the atmosphere. It should, however, be noted  
358 that the co-abundance of MGEs and ARGs does not necessarily mean the occurrence  
359 of horizontal gene transfer. Future studies are warranted to verify the mobility and  
360 transferability of these ARGs and, if so, elucidate the genetic context of ARGs (e.g.,  
361 types of MGEs) underlying the transfer mechanism (e.g., plasmid-mediated conjugative  
362 transfer).



363

364 The relative abundance of ARGs normalized to the 16S rRNA gene and *intI1*  
365 normalized to cell numbers based on metagenomic sequencing was previously found to  
366 increase from natural environments to engineered systems and human and animal  
367 excreta samples (Figures 4 and S14). This trend coincides with an increase in the level  
368 of anthropogenic impacts to exert selective pressure for the development of antibiotic  
369 resistance.<sup>65-66</sup> However, the relative abundance of ARGs and MGEs in outdoor PM<sub>2.5</sub>  
370 seemed to break this trend. The median relative abundance of ARGs detected in PM<sub>2.5</sub>  
371 samples is comparable to that in wastewater systems, with variability covering the  
372 entire span of all of the other types of samples (Figure 4). This was also the case for the  
373 PM<sub>2.5</sub>-associated *intI1* in the present study (Figure S14). The qPCR analysis used in  
374 this study only targeted a limited set of ARGs, whereas all of the identifiable ARG or  
375 ARG-like sequences in the other three groups of matrices based on metagenomics were  
376 included in this comparison. Therefore, the relative abundance of total airborne ARGs  
377 could be at an even higher level. A broader-spectrum analysis of ARGs are thus desired  
378 using either high-throughput qPCR profiling or shotgun metagenomic sequencing. To  
379 this end, upscaling of the DNA amount from composite PM<sub>2.5</sub> samples would be  
380 required. In addition, considering soil, wastewater, and feces to be potential sources of  
381 airborne bacteria and ARGs,<sup>60, 67-69</sup> the large variability in the relative abundance of  
382 ARGs in the PM<sub>2.5</sub> samples is an indication of the mixing effects of these surface  
383 sources. The current findings reaffirm the importance of the atmosphere as a potential  
384 gateway for surface sources of ARGs, and as a key transmission route for human

385 exposure. Future investigations of airborne ARGs in vicinity of typical surface sources  
386 coupled with atmospheric dispersion modeling are warranted to identify their  
387 contribution to the ambient airborne ARGs.

388

389 **Relative importance of inhalation to human exposure to environmental ARGs.** The  
390 contribution of PM<sub>2.5</sub> exposure to the total daily intake of ARGs from various pathways  
391 varied between the studied regions in China (Figure 6), because of regional  
392 differentiations in airborne ARG profiles. Furthermore, the daily intake of ARGs via  
393 ingestion (drinking water and the intestines of aquatic products) exceeded that of  
394 inhalation in most circumstances, with the exception of a comparable level for *ermB*  
395 and *bla*<sub>TEM</sub> between drinking water and PM<sub>2.5</sub> occurring in the YRD region indicating  
396 the unequal roles of these pathways for human exposure. This is similar to the situation  
397 in the U.S. PM<sub>2.5</sub> exposure plays a non-negligible role compared to other pathways,  
398 based on the limited data on 16S rRNA gene and ARGs in urban airborne particles and  
399 other intake-relevant matrices. It is stressed, however, that the intestines of aquaculture  
400 species are rarely consumed directly. Including this ingestion pathway represents a  
401 worst-case exposure scenario, subject to the availability of data. The processing of  
402 drinking water (often boiled in China) and food (normally well-cooked around the  
403 world) might further modify the magnitude of exposure to bacteria and ARGs through  
404 ingestion, in contrast to the direct inhalation of PM<sub>2.5</sub>-associated bacteria and ARGs  
405 without any prior treatment. Therefore, it is imperative to perform a daily basket survey  
406 of waterborne and foodborne bacteria and ARGs, taking into consideration the effects

407 of cooking/boiling, in order to conduct a more comprehensive analysis of exposure  
408 pathways. On the other hand, bacteria and associated ARGs inhaled through the  
409 respiratory tract may have different fates from those of their ingested counterparts  
410 traveling through the gastrointestinal tract. The ultimate effects of these external  
411 uptakes, which may complicate medication-induced ARGs, warrant more systematic  
412 research in the future. Despite these uncertainties, the comparisons of daily intake  
413 provide a first-tier screening of the relevance of the respective pathways to human  
414 aggregate exposure to environmental ARGs in region-specific scenarios. The inclusion  
415 of inhalable and ingestible ARGs in the full range of sources of exposure would make  
416 the environmental framework of ARGs more relevant to human health, and eventually  
417 make possible a communication to the clinical framework of ARGs.

418

419 **Environmental implications.** The current study, along with other studies,<sup>16</sup> reaffirms  
420 the ubiquity of ARGs in the atmosphere across spatiotemporal scales, including the  
421 inhalation-relevant fine particle fraction. The Earth's atmosphere serves as a habitat for  
422 bacteria where ARGs can be highly enriched, and fine aerosols act as a transmission  
423 vector for human exposure to environmentally disseminated ARGs. This contributes to  
424 our understanding of the link between bioaerosols and human health. We noticed that  
425 the evolution of airborne ARGs does not necessarily follow the trend of urbanization  
426 that is often observed in the surface environment. The higher abundance and enrichment  
427 of PM<sub>2.5</sub>-associated ARGs in rural areas, as well as the common relationship between  
428 ARGs and MGEs across land-use gradients, suggest more complex interactions

429 between natural and anthropogenic influences.

430

431 Few studies have been conducted to assess whether various surface anthropogenic  
432 activities are potential sources of ARG emissions. These studies often generate a static  
433 snapshot of the airborne ARGs above the related systems, while the dynamic  
434 mechanisms of the dissemination of ARGs via the aerosolization of surface biological  
435 particles into the ambient air remain to be elucidated. Aerodynamics and transport  
436 models, coupled with cluster analysis, may help to resolve the flux and contribution of  
437 ARGs from urban functional spots to ambient airborne ARGs. Once emitted from the  
438 surface to the air, bacteria and associated ARGs will further evolve along atmospheric  
439 processes. The airborne bacterial communities are unlikely to experience co-occurring  
440 anthropogenic stressors as selective pressure for antibiotic resistance. Instead, they are  
441 subjected to a high degree of dispersion, as well as to high oxidation capacity,  
442 particularly in urban air. This may determine the alternative strategies of airborne  
443 bacteria in adaptation to stressors, such as oxidative gases, free radicals, strong  
444 irradiation, and nutrient limitations. As our findings provide evidence of the  
445 heterogeneity of the airborne dissemination of ARGs, it is reasonable to hypothesize  
446 that the horizontal gene transfers that occur in the atmosphere may differ from those  
447 that occur in terrestrial and aquatic environments. Differentiating between natural and  
448 anthropogenic sources of airborne ARGs and between prevailing weather conditions  
449 and physicochemical pollution status is an essential, a challenging, task in assessing  
450 spatiotemporal scenarios of human inhalational exposures.

451

452 Our results also suggest that there may be few core taxa members that harbor ARGs  
453 independent of spatiotemporal scales, despite the diverse airborne bacterial  
454 communities across regions and seasons. A “what’s next” question would follow  
455 logically – the identification of ARG-carrying bacteria at the species level, whether they  
456 be pathogenic or non-pathogenic, via the integrated use of both culture-dependent and  
457 culture-independent techniques.<sup>38, 70-72</sup> Answering this question would definitely  
458 transform the current exploratory investigations on airborne bacteria and associated  
459 ARGs into more tailored, hypothesis-driven efforts to examine issues related to  
460 respiratory infections. An elevated mechanistic understanding of the interactive  
461 behaviors between inhaled bacteria from ambient air and innate bacteria in the lungs  
462 would, in a broad sense, help to decipher the fate and effects of the bacteria in human  
463 airways,<sup>73</sup> thus addressing the relevance to health of inhalation exposure over  
464 spatiotemporal scales. In past years, the emphasis was on the environmental processes  
465 of clinically important ARGs and bacterial hosts; now it is time to examine the clinical  
466 relevance of environmentally disseminated ARGs and bacterial hosts, *i.e.*, to distinguish  
467 between the “good,” “bad,” and “ugly” in future investigations.

468

469 As mentioned earlier, inhalation is among the few pathways of transmission that are  
470 directly relevant to the human intake of environmental ARGs and bacterial hosts, which  
471 together form a framework on aggregate pathways to exposure.<sup>74-75</sup> To identify the  
472 control checkpoint for mitigating the risks posed by environmental ARGs, it is

473 imperative to determine the relative contributions of respective pathways to total  
474 exposure for humans. A first-tier assessment of exposure would be required to quantify  
475 the flow of clinically relevant ARGs and pathogenic hosts via these intake routes in  
476 balance with exhalation and excretion, and to identify the route-specific transmission  
477 of these microbial hazards. For risk estimates, it is vital to elucidate the internal fate of  
478 inhaled and ingested ARGs in the human body system and the potential influences of  
479 these environmental resistomes on medication-induced clinical resistomes. Filling  
480 these knowledge gaps in sequence is essential to charting a path to an eventual dialogue  
481 between the environmental and clinical research communities concerning antimicrobial  
482 resistance, in order to combat the challenge threat it poses to public health.

483

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493 Normal University for their kind sharing of raw data on ARG concentrations in tap  
494 water and vegetables.

495

496 **ASSOCIATED CONTENTS**

497 **Supporting Information**

498 The Supporting Information is available free of charge on the ACS Publications website  
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500

501 **AUTHOR INFORMATION**

502 **Corresponding Author**

503 \*E-mail: cexdli@polyu.edu.hk. Telephone: +852 2766 6041. Fax: +852 2334 6389.

504 **ORCID**

505 Jiawen Xie: 0000-0001-6461-4464

506 Ling Jin: 0000-0003-1267-7396

507 Xiaosan Luo: 0000-0003-4314-7216

508 Pingqing Fu: 0000-0001-6249-2280

509 Jun Li: 0000-0002-3637-1642

510 Xiangdong Li: 0000-0002-4044-2888

511 **Author Contributions**

512 Jiawen Xie, Ling Jin, and Xiangdong Li designed the study. The laboratory experiments  
513 on air PM bacteria and ARGs were performed by Jiawen Xie and Tangtian He. Data  
514 analysis was conducted by Jiawen Xie, Ling Jin, Baowei Chen, and Xiangdong Li. The  
515 manuscript was written with contributions from all of the co-authors. All of the authors  
516 gave their approval to the final version of the manuscript.

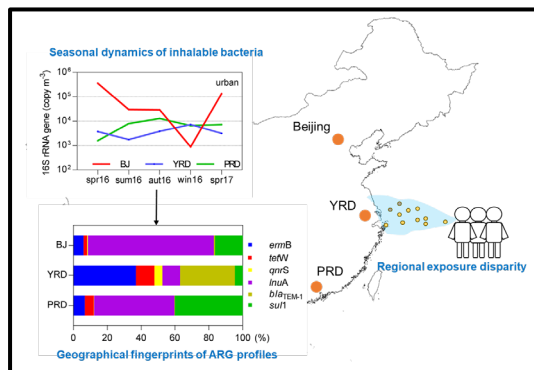
517 **Notes**

518 The authors declare that they have no competing financial interests.

519

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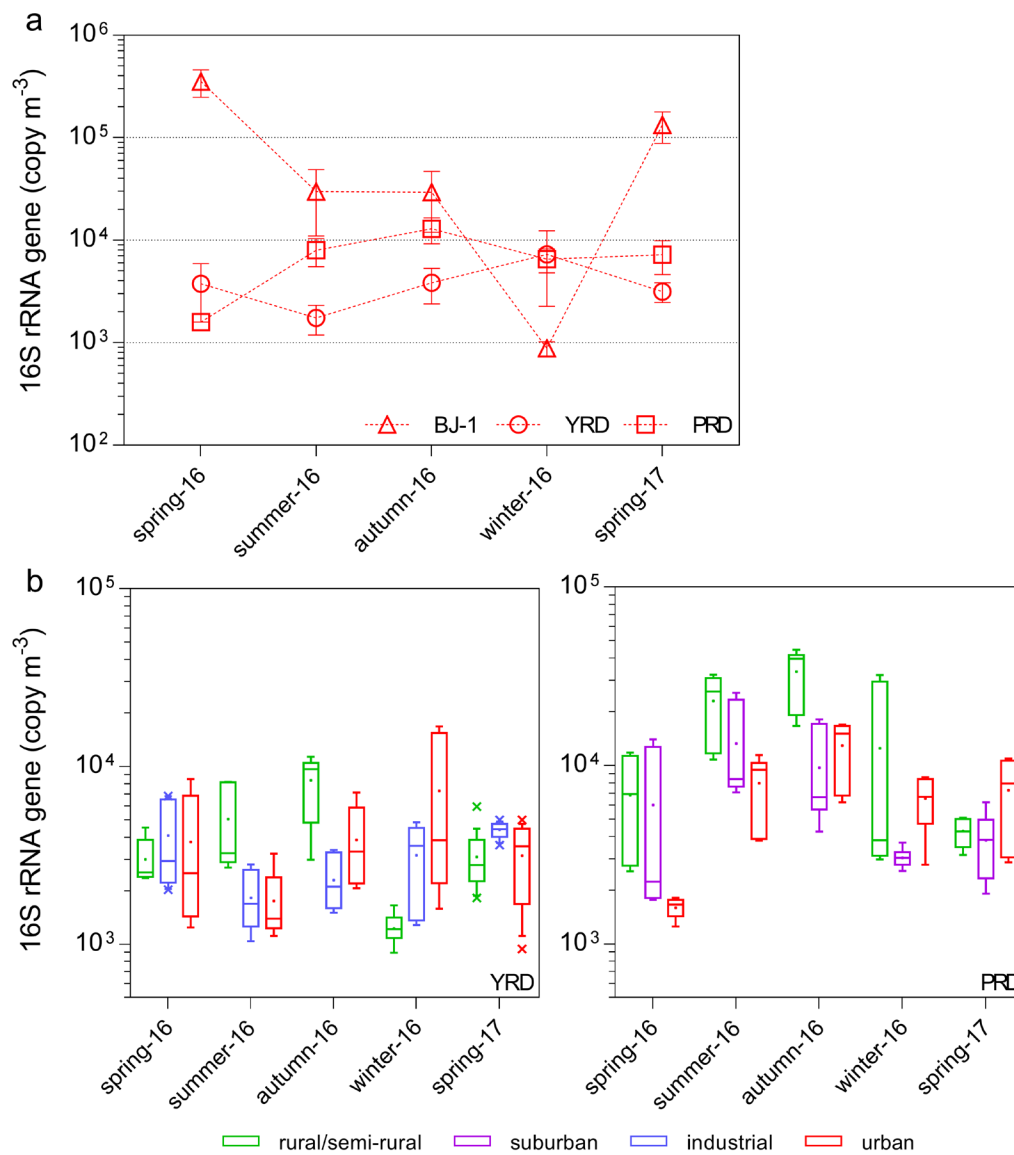
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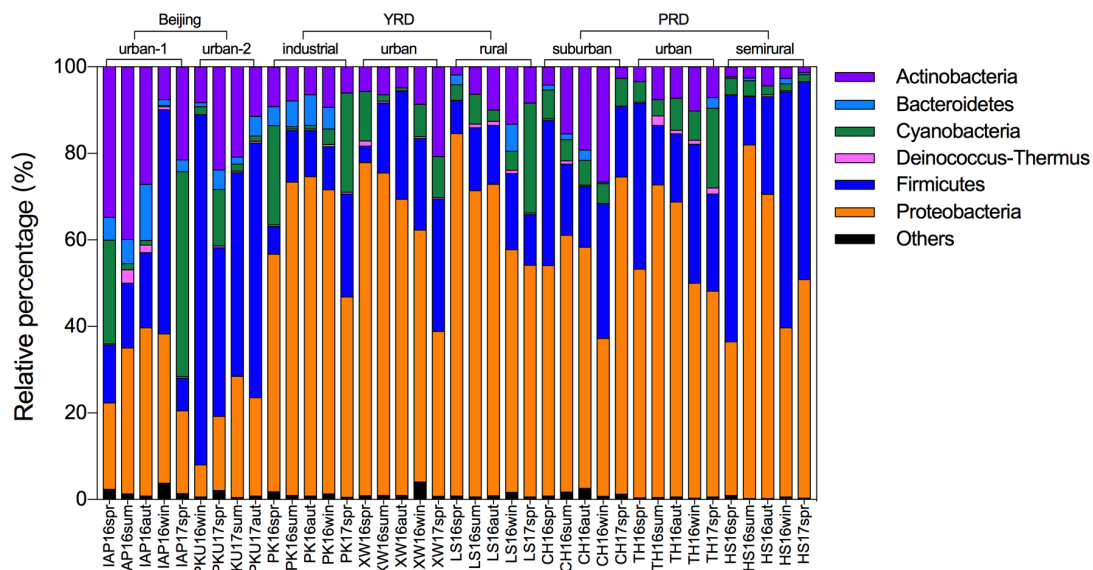


523 **List of figures**



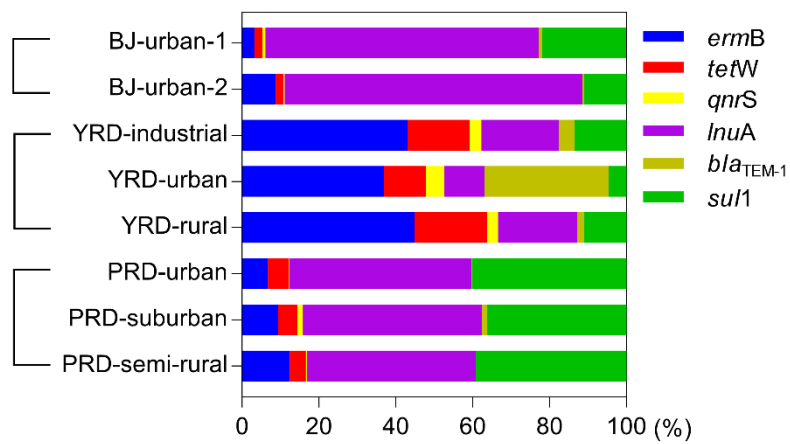
524

525 **Figure 1.** (a) Inter-regional comparisons of PM<sub>2.5</sub>-associated 16S rRNA genes in urban  
 526 areas across China and intra-regional rural-urban contrasts in the YRD region and PRD  
 527 region; (b) Seasonal variations in the second urban site in Beijing are not shown here  
 528 because of the non-parallel sampling period.



529

530 **Figure 2.** Spatiotemporal patterns of PM<sub>2.5</sub>-associated bacterial communities at the  
 531 phylum level in the three studied regions.

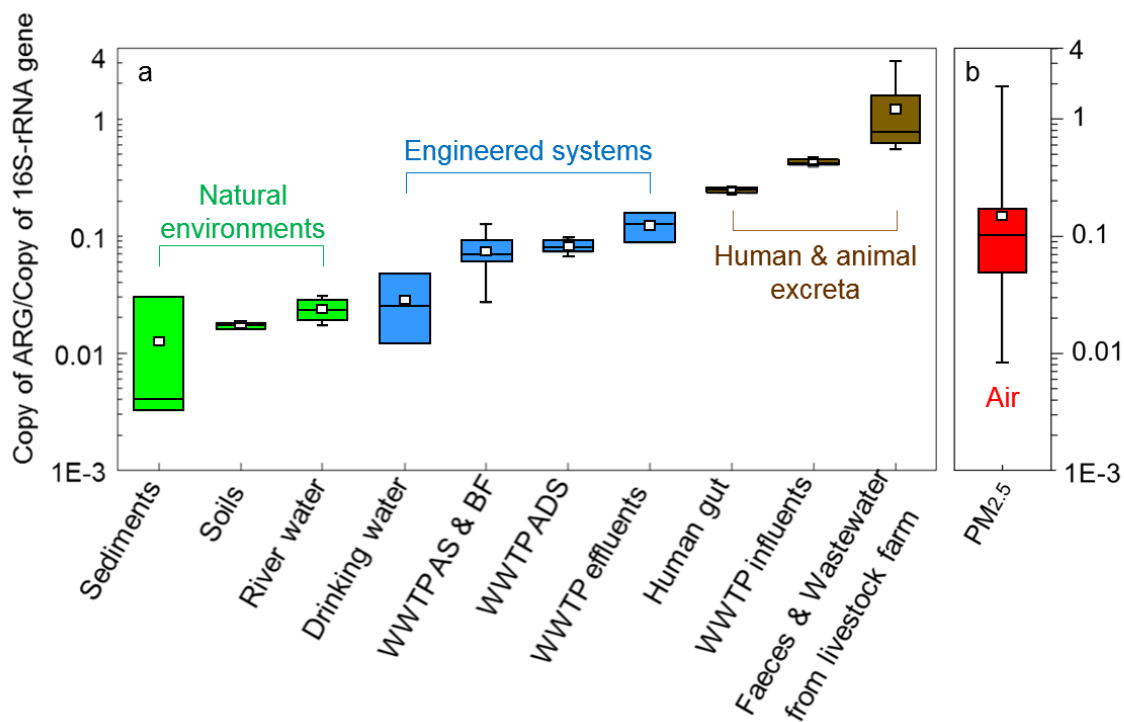


532

533 **Figure 3.** Regional signatures of airborne ARG profiles. The relative percentage of each gene

534 was an annual average of all of the samples.

535



536

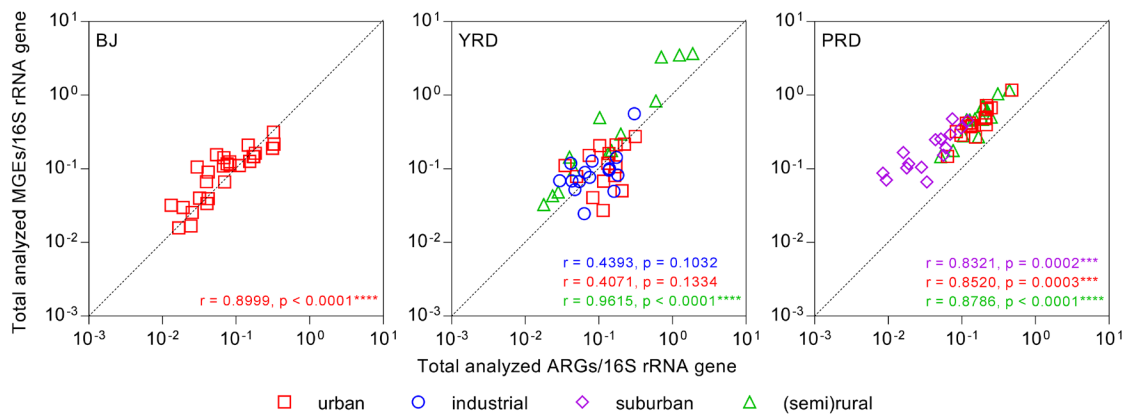
537 **Figure 4.** Relative abundance of ARGs normalized to the 16S rRNA gene in environmental

538 matrices. Part (a) was adapted with permission from Li et al.<sup>65</sup> Copyright 2015 Springer Nature.

539 Note that the data in part (a) were based on metagenomic sequencing, while those in part (b)

540 were based on qPCR analyses from this study.

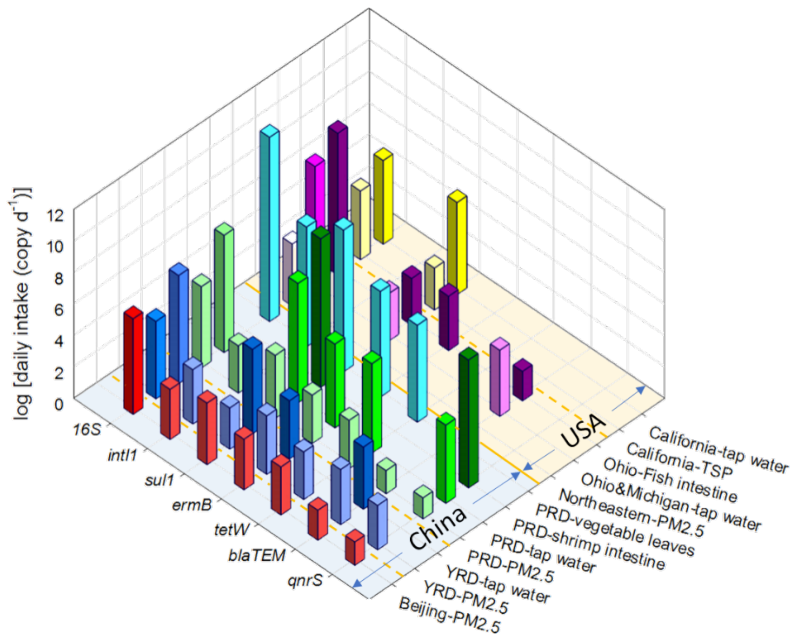
541



542

543 **Figure 5.** Relationships between ARGs and MGEs in PM<sub>2.5</sub> across the studied sites.

544



545

546 **Figure 6.** Regional comparisons of the human daily intake of ARGs and 16S rRNA genes  
 547 between inhalation and ingestion, coupled with a contrast with the U.S. situation. The  
 548 calculation was based on eq. 1-3. Annual average concentrations of target genes in China  
 549 generated in this study and in our previous study<sup>35</sup> were used to estimate daily intake via  
 550 inhalation. The raw data on the concentrations of the targeted genes in tap water in the YRD  
 551 and PRD regions were from Shi et al.<sup>47</sup> and Su et al.,<sup>48</sup> respectively. The raw data for samples  
 552 of shrimp intestines and washed vegetable leaves in the PRD region were also from Su et al.<sup>49</sup>  
 553 and He et al.<sup>50</sup> The U.S. data were obtained from Hospodsky, et al.<sup>45</sup> Xi, et al.<sup>51</sup> Huang<sup>52</sup> and  
 554 Echeverria-Palencia, et al.<sup>46</sup>

555

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