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2 **Facial Expressions versus Words: Unlocking Complex Emotional Responses of**
3 **Residents towards Tourists**
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7 **ABSTRACT**

8 Tourism as an emotional sphere, researchers' efforts on emotions lag behind the fruitful
9 achievements of psychology, in both methods and theories. Tourism studies on emotion mostly
10 rely on self-reports only, thus limiting the understanding to explicitly expressed emotions. This
11 study aims to compare residents' emotional responses toward tourists expressed implicitly
12 (through facial expressions) and explicitly (through self-reports), and interpret identified
13 discrepancies by exploring the psychological mechanism behind the two expression channels.
14 Using self-developed video vignettes as triggers, Hong Kong residents' facial expressions
15 during watching and self-reported emotions after watching were recorded. Through a
16 comprehensive comparison, desires-derived and stereotypes-elicited emotional responses of
17 residents toward tourists were distinguished. Facial expressions conveyed more desires-
18 derived emotions like happy, sad, and angry, whereas self-reports emphasized stereotypes-
19 elicited emotions, particularly disgust. A dual-process model of emotion formation was
20 proposed to interpret the emotional expressive discrepancies, thereby enhancing the
21 theorisation of tourism studies on emotion.

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24 **KEYWORDS:** emotion; facial expressions; FaceReader; desires; stereotypes; instinctive
25 process; reflective process
26

1 1. INTRODUCTION

2 Emotions, as important carriers of communicative meaning (Hetland, Vittersø, Fagermo,
3 Øvervoll, & Dahl, 2016), are critical for tourism and hospitality spheres whereby intense and
4 frequent human interactions take place (Koc & Boz, 2020). Observing a shift from
5 disembodied accounts of to an embodiment focus in tourism, the last two decades have
6 witnessed an ‘emotional turn’ in this field, accompanied by a proliferation of research on
7 emotion (Cohen & Cohen, 2019). While the important role of emotion in determining tourists’
8 experience and satisfaction has been substantially examined, few studies have concerned
9 residents’ emotional responses toward tourists due to a business-focused account of tourism
10 (Prayag, 2020). Given the same pivotal role of residents in cultivating hospitable destination
11 social servicescape, positive host-tourist relationship and destination sustainability, research
12 on residents’ emotions is timely warranted (Zheng, Ritchie, Benckendorff, & Bao, 2019).
13 Moreover, emotion research in tourism as a whole, even with a rising trend, is still in its infancy
14 compared to that in psychology, in terms of both methods and theoretical interpretations
15 (Hosany, Martin, & Woodside, 2020).

16 Emotion research in tourism can be traced back to consumer and marketing research,
17 which is grounded in psychology. However, extant tourism research often ignores the
18 complexity of emotions recognised by psychologists and oversimplifies the measurement of
19 emotions by relying on self-reported methods (Prayag, Hosany, Muskat, & Del Chiappa, 2017).
20 In psychology, emotion is widely acknowledged to encompass subjective feelings, expressive
21 motor behaviours, physiological arousal, cognitive appraisal and behavioural tendencies
22 (Frijda, 1986). In this regard, emotion is a complex state that can be expressed through various
23 channels, including implicit ones like facial expressions, physiological changes and bodily
24 reactions, and explicit ones as verbal or written reports (Scherer & Moors, 2019). Implicitly
25 and explicitly expressed emotions are usually distinguished as automatic and conscious
26 (Baumeister & Bushman, 2020), non-verbal and verbal (Esposito, 2009), or raw and reflective
27 (Nilsen & Kaszniak, 2007); they represent the spontaneous and deliberate responses of
28 individuals underlying unconscious and conscious control, respectively (Scherer & Moors,
29 2019). In face-to-face encounters, both implicitly and explicitly expressed emotions are critical
30 to human interaction, particularly the implicit part that exerts salient influence on individuals’
31 communication in a subliminal way (Scherer, 2005a). Whereas traditional self-reported
32 methods as explicit measures are solely effective in capturing explicitly expressed emotions,
33 which are subjective to cognitive and social desirability biases (Mauss & Robinson, 2009),

1 implicit measures, like heart rate and skin conductance detection, have been widely
2 experimented and encouraged in psychology to complement self-reports for unbiased
3 measurements (Calvo & D'Mello, 2010). Yet combination of implicit and explicit measures to
4 record both forms of emotion expressions is still forthcoming in tourism studies (Hadinejad,
5 Moyle, Scott, & Kralj, 2019). The primary objective of this study is, thus, to examine residents'
6 emotional responses toward tourists expressed implicitly and explicitly by using both implicit
7 and explicit measures.

8 Specifically, facial expression recognition was selected as the comparable measure with
9 self-report because it is one of the most empirically grounded techniques in use. On the basis
10 of Darwin's expression reflexology, the hardwired connections between facial expressions and
11 basic emotions have been theoretically and empirically examined over the past decades (Ekman,
12 1970, 1993; Keltner, Tracy, Sauter, & Cowen, 2019). Emotion recognition through facial
13 expressions is superior to other implicit measures (e.g., heart rate and skin conductance) in that
14 it can recognise discrete emotions other than arousal levels (Prayag et al., 2017). Moreover,
15 facial expression recognition using cutting-edge computer programmes, such as FaceReader,
16 allows real-time capture of implicitly expressed emotions (Lewinski, den Uyl, & Butler, 2014).
17 Even though facial expressions can sometimes be consciously masked, the micro-expressions
18 captured by FaceReader within milliseconds of the stimulation are usually spontaneous beyond
19 humans' conscious control (Penn, 2006). Using sophisticated facial expression recognition
20 technique to supplement self-report for full capture of residents' emotions is of significance for
21 both academics and industry in unravelling complex tourism encounters (Hosany et al., 2020).

22 Some pioneer work in tourism has compared implicit measures with self-reports,
23 however conclusions were made in a descriptive manner without theoretical explanations. For
24 instance, Hadinejad, Moyle, Kralj, and Scott (2019) compared people's physiological and self-
25 reported emotional responses to tourism marketing stimuli, documenting inconsistency in
26 emotional arousal between the two measures but leaving the discrepancy unexplained. Hetland
27 et al. (2016) reported few correlations between facially-expressed and self-reported emotions
28 in responding to tourism advertising films while ignored theoretical interpretations of the
29 uncorrelated part. The identified but disregarded discrepancies in previous studies necessitate
30 further examinations of emotion formation and expression by differentiating their underlying
31 mechanisms. Even in marketing and psychology, these questions remained underexplored.
32 Most efforts integrating implicit and explicit measures have been made to detect the efficacy
33 and agreement between different methods yet lacking theoretical interpretation of the

1 discrepancies (Scherer & Moors, 2019). Some psychologists have conceptually connected
2 implicit and explicit expressions of emotion to two distinct appraisal systems, one
3 intuitive/automatic and one reflective/conscious (Lazarus, 1991). For instance, Ekman (1992,
4 p. 184) posited automatic and conscious response systems in corresponding to the two different
5 emotional expression forms and postulated ‘some coherence, some systematical relationship
6 between these two response systems (expression vs. autonomic changes) during emotion
7 events’. However, to the best of our knowledge, no further effort has been made to investigate the
8 how and why, or the exact theoretical rationale by which emotions in the two expressive forms
9 differ and correlate to the two appraisal systems. Hence, the second objective of this study is
10 to fill in this research gap by digging into the discrepancies between facially-expressed and
11 self-reported emotions, and exploring the underlying psychological mechanisms.

12 To reiterate, this study aims to thoroughly compare emotional responses of residents
13 toward tourists expressed implicitly and explicitly by using technology-assisted (i.e., facial
14 expression recognition) and traditional (i.e., self-report) methods and explore the mechanisms
15 underlying their discrepancies. The main research questions that guide this study are: ‘Are
16 there differences between emotional responses measured by facial expression recognition and
17 self-report? If yes, how and why?’ By answering these questions, the study can enrich emotion
18 interpretations in tourism and psychology. This study focuses on Hong Kong residents’
19 emotional responses toward Mainland Chinese tourists based on two considerations. First, the
20 widely reported negative attitudes and sentiments of Hong Kong residents toward Mainland
21 Chinese tourists deserve further explorations in real-time emotional encounters (Chen, Hsu, &
22 Li, 2018; Shen, Luo, & Zhao, 2017). Second, Mainland Chinese tourists is one of the fastest-
23 growing tourist markets worldwide and has become the top source market for many
24 international destinations (Cheung & Li, 2019). Digging into Hong Kong residents’ emotional
25 responses to Mainland tourists is of significance for many other host communities in achieving
26 favourable host-tourist communications and sustainable tourism development.

27

28 **2. LITERATURE REVIEW**

29 **2.1 Emotion as a Complex Psychological Response**

30 The philosophical foundation of emotion can be traced back to ‘passions’ discussed by Plato
31 and Aristotle in the Golden Age of Greece. ‘Passions’ include ‘fear, anger and all other similar
32 emotions’, as forces competing with Reason (Konstan, 2006). In this regard, emotions, at its

1 very beginning, were intuitive responses of individuals, opposite of rational thinking (Crivelli
2 & Fridlund, 2019). However, psychological work on emotion in the 20th century discovered
3 the cognitive feature of emotion. For instance, James (1890, cited from Drozdova, 2014)
4 defined emotion as ‘feeling of bodily changes’ and connected it to conscious judgements
5 (Robinson, 2005). Darwin (1872) postulated emotion as a set of cognitive, physical and
6 psychological responses associated with unique neural structures on an evolutionary basis.
7 Arnold’s (1960) cognitive appraisal theory even viewed emotions as bodily and mental
8 reactions depending on cognitive appraisals, thereby depreciating the intuitive part of emotion.
9 Despite these theoretical domains have not reached an agreement on the definition of emotion,
10 they agree that emotion is ‘a complex psychological response’ that incorporates various
11 components, including ‘cognitive component or appraisal; neurophysiological component or
12 bodily symptoms; motivational component or action tendencies; motor expression component
13 or facial and vocal expression; subjective feeling component or emotional experience’ (Scherer,
14 2005b, p. 698). These components, though varying slightly in different theories (Scherer &
15 Moors, 2019), imply that emotion can be expressed implicitly (e.g., through facial expressions
16 and bodily indications) and explicitly (e.g., through subjective deliberations) (Nilsen &
17 Kaszniak, 2007). Implicitly expressed emotions are likely automatic or unconscious responses
18 evoked by stimuli, while explicitly expressed emotions are more of cognitive or conscious
19 responses toward triggers (Baumeister & Bushman, 2020). Both are indispensable in face-to-
20 face communication because people retrieve emotional information from each other in verbal
21 as well as nonverbal languages (Esposito, 2009).

22 Recognising the complexity of emotion in expressions and the fact that much of an
23 individual’s emotional responses is unconscious and automatic (Penn, 2006), research in
24 psychology and marketing has distinguished implicit (or objective/indirect) and explicit (or
25 subjective/direct) measures for emotion detection (De Houwer, 2006). Traditional explicit
26 measures, including verbal or visual self-reported measurements, ask participants to report
27 their felt emotions (Lagast, Gellynck, Schouteten, De Herdt, & De Steur, 2017). While
28 convenient to use, self-reported methods could have cognitive and social desirability bias and
29 thus limit the understanding of emotions to those explicitly expressed (Keltner et al., 2019).
30 The automatic or unconscious emotional responses that can occur in the first microseconds of
31 a stimulation are not perceived by the subjects, thus unlikely to be verbalized (Baumeister &
32 Bushman, 2020). Implicit measurements, such as heart rate and respiration, blood pressure,
33 skin conductance, facial muscle activity, finger temperature, and eye movement variability,

1 have gained increasing momentum in research for automatic emotion recognition (van
2 Zonneveld, de Sonnevle, Van Goozen, & Swaab, 2019). After being widely experimented in
3 psychology and marketing, these implicit measures have been validated as effective and
4 necessary to complement self-reports (Mauss & Robinson, 2009).

5 Amongst the many implicit measures, facial expression recognition is relatively
6 superior because it can distinguish concrete emotions (Sato, Hyniewska, Minemoto, &
7 Yoshikawa, 2019), whereas other methods such as heart rate and skin conductance can only
8 report the activation level of emotions (Moors, 2009). Moreover, the connections between
9 facial expressions and emotions have a grounded theoretical base: Darwin's (1872) expression
10 reflexology and the corresponding Basic Emotion Theory which is one of the most salient
11 theories in emotion (Crivelli & Fridlund, 2019). According to the theory, six emotions – anger,
12 happiness, surprise, disgust, sadness, and scare – are distinguished as the most basic emotions
13 that associated with specific facial movements by evolution (Ekman, 1970). Tomkins (1963)
14 and his followers, such as Ekman (1970) and Izard (1971), as well as Plutchik (1980) have
15 devoted themselves to providing both empirical evidence and theoretical explanations to the
16 universal facial expressions of basic emotions. Based on the hardwired connections identified
17 between facial expressions and emotions, the past decades have witnessed substantial
18 advancements in facial expression recognition techniques, among which Facial
19 electromyography (fEMG), Facial Action Coding System (FACS) and automated-based face
20 recognition are the three main threads (Wolf, 2015).

21 Comparatively, the automated techniques (e.g., FaceReader and AFFDEX) based on
22 computer-vision algorithms are favoured because they can overcome the limitations of fEMG
23 which is equipment-intensive and FACS which is labour-intensive (Wolf, 2015). fEMG
24 recognises emotions through the activation of facial muscles and requires electrodes attached
25 to the skin surface. FACS proposed by Ekman and Friesen (1978, cited from Ekman, 1999)
26 links facial muscle movements with discrete emotions, enabling emotion recognition through
27 observation of an individual's facial expression change, which is subjective and time-
28 consuming (Sato et al., 2019). With the aid of computer science, automated technologies are
29 more reliable and efficient because real-time emotions can be reported automatically as long
30 as videos or images of the face of a person exposed to stimuli is recorded (Lewinski et al.,
31 2014). In particular, FaceReader, software marketed by Noldus (www.noldus.com), is widely
32 used since it can differentiate facial changes by culture and age (e.g., East-Asian Model and
33 Baby Face Reader). FaceReader can recognise six basic emotions (i.e., anger, happiness,

1 surprise, disgust, sadness, and scare) and the neutral state through calculating the facial action
2 units, with higher than 89% accuracy (Lewinski et al., 2014). Even though humans' facial
3 emotions could arguably be masked, the micro-expressions, which appear between 1/25s and
4 1/5s, can be recognised by FaceReader to unveil the hidden emotional states (Ekman,
5 1985/2009).

6 While technological developments have accelerated the triangulation of implicit and
7 explicit measures to capture complex emotional experience, theoretical explanations of the
8 discrepancy between the two measures are still lacking (Lagast et al., 2017; Mauss & Robinson,
9 2009). Distinct measures of various expressions have been initially hypothesized to be
10 consistent, the majority of research in psychology and marketing has thus focused on the
11 efficacy and agreement between different approaches in measuring emotions (Calvo & D'Mello,
12 2010; Tran, Siemer, & Joormann, 2011; Walsh, Duncan, Bell, O'Keefe, & Gallagher, 2017).
13 For instance, Walsh et al. (2017) highlighted consistence between participants' emotional
14 responses toward food measured by physiological methods and self-report. Although
15 inconsistencies between implicit and explicit measures were also identified, theoretical
16 interpretation of the conflicting results remains unclear (Scherer & Moors, 2019). In
17 accordance with the widely accepted dual-system (i.e., intuitive vs. reflective) of information
18 processing of human mind (Kahneman & Frederick, 2001), some psychologists categorised
19 appraisal systems in attempts to accommodate distinct emotional expressions. For instance,
20 Ekman (1992) proposed two appraisal systems – automatic vs. extended – in emotional
21 response to stimuli. Lazarus (1991, p. 3) also distinguished two modes of appraisal: 'one
22 automatic, unreflective, and unconscious or preconscious, the other deliberate and conscious.'
23 Their categorisations shared a similar idea that there are two different appraisal systems –
24 automatic and conscious – underlying emotion processing and expression.

25 The automatic appraisal system accounts for the intuitive mind which operates with
26 great speed to enable extraordinarily short intervals between stimuli and emotional responses
27 (Ekman, 1992). Since this system operates on what is biologically given or socially learnt after
28 repeated encounters, the derived emotional responses happen without awareness or efforts
29 (Kahneman & Frederick, 2001). By contrast, the conscious appraisal system relies on reflective
30 judgement that is slow and deliberate, and the generated emotional responses are within
31 individuals' control and self-awareness (Ekman, 1993) so that socially desirable and masking
32 emotional responses could happen (Haidt, 2001). Although the two systems of appraisal imply
33 distinct emotional processing and expressions, how they function to result in emotional

1 discrepancies in facial expressions and words warrants further explorations in empirical
2 contexts.

3 **2.2 Emotion Research in Tourism**

4 Defined as affective states of a person arising from appraisals of self-relevant interactions with
5 the environment, emotion is deemed essential in understanding human-environment interaction
6 (Prayag, 2020). Tourism, full of multisensory-based interactions between human and
7 environment, is undoubtedly emotional laden (Jordan, Spencer, & Prayag, 2019). However, it
8 is not until the last decade that emotion gained increasing attention in tourism (Nawijn & Biran,
9 2019). Cohen and Cohen (2019) posited emotion as a forefront topic in future tourism research
10 owing to substantial gaps identified despite the recent proliferation of related publications. First
11 and foremost, the majority of research focused on tourists' emotional responses, yet neglecting
12 the other important stakeholder – hosts – in tourism encounters (Prayag, 2020). Centring on
13 the business aspects of tourism, extant research concentrated on tourists' emotion in defining
14 their experience (Faullant, Matzler, & Mooradian, 2011; Jordan et al., 2019), satisfaction
15 (Prayag et al., 2017) and behavioural intention (Li, 2019; Pestana, Parreira, & Moutinho, 2019).
16 Not until recent years, there appeared some exceptions that concerned residents' emotional
17 responses toward tourism performing arts (Zheng et al., 2019) or employees' emotional
18 recognition ability in service encounters (Boz & Koc, 2019; Lin & Lin, 2017). Though with a
19 host's view, these studies cared about hosts' emotional intelligence or emotional responses
20 toward tourism development rather than tourists. Emotional responses of residents toward
21 tourists remain an underexplored and warranted area of investigation considering the
22 significance of residents in defining host-tourist interaction and destination sustainable
23 development (Moyle, Moyle, Bec, & Scott, 2019; Prayag, 2020).

24 Furthermore, extant tourism research mainly measures emotions by self-reported
25 methods using questionnaire or interview with semantic differential descriptors (Hadinejad,
26 Moyle, Scott, et al., 2019; Li, Scott, & Walters, 2015). This line of research, as discussed earlier,
27 has overlooked the implicitly expressed emotions. Moreover, when conducting survey research
28 in tourism, the dimensional approach has been favoured over the basic emotion approach
29 (Hosany et al., 2020; Li et al., 2015). Emotion was mostly measured by two dimensions,
30 valence (i.e., positive or negative) and arousal (i.e., activated or non-activated) (Jordan et al.,
31 2019; Li, 2019). While this approach is useful, it hides the various roles of specific emotions
32 in tourism encounters (Prayag et al., 2017). Different emotions entail distinct behavioural
33 tendencies (Ekman, 1999). For instance, although angry and disgust are both negative emotions,

1 they have distinct behavioural cues, approaching and avoiding, respectively (Fu, 2015; Walsh
2 et al., 2017). Therefore, examining specific emotions in tourism encounters is important for
3 both theoretical development and marketing strategy formulation (Zheng et al., 2019). The
4 limited number of tourism studies that examined specific emotions, unfortunately, only
5 measured one or a few discrete emotions (Nawijn & Biran, 2019). For instance, Faullant et al.
6 (2011) examined joy, fear and guilt as antecedents of satisfaction in mountaineering experience.
7 More efforts with full considerations of basic emotions combining implicit measures in a
8 tourism context are needed (Hosany et al., 2020; Moyle et al., 2019; Pestana et al., 2019).

9 Several frontier articles in recent years introduced sophisticated technologies such as
10 FaceReader (Hadinejad, Moyle, Scott, et al., 2019), electroencephalography (EEG) or
11 electrodermal activity (EDA) device (Kim & Fesenmaier, 2015; Li, 2019) to measure tourists'
12 automatic emotional responses toward tourism advertisements or during touring experience.
13 Some focused on the usefulness of implicit measures in a tourism context (e.g. González-
14 Rodríguez, Díaz-Fernández, & Gómez, 2020; Li, Walters, Packer, & Scott, 2018b), while
15 others integrated data from psychophysiological measures and self-reports for comparison
16 (Hetland et al., 2016). Nevertheless, the comparisons made are more of descriptive nature,
17 theoretical interpretation of the comparison results and exploration of underlying mechanisms
18 are absent. For instance, Li, Walters, Packer, and Scott (2018a) used both psychophysiological
19 measures (EDA and fEMG) and self-reported measures to explore the influence of ad-evoked
20 emotions on tourism advertising effectiveness. The results demonstrated varied models for
21 different measures but without explanations. Hadinejad, Moyle, Kralj, et al. (2019) compared
22 FaceReader, skin conductance, self-report survey and interviews in understanding tourists'
23 emotional responses to promotional music. Upon identified inconsistency in emotional arousal
24 revealed by physiological and self-report measures, the study highlighted the importance of
25 utilising multiple methods and left the necessity of deepening interpretation of results from
26 different methods to future research.

27 To bridge these gaps, this study combines facial expression recognition technique (i.e.,
28 FaceReader) and self-report to unravel the complexity of emotions in a host-tourist interaction
29 setting by capturing residents' emotional responses expressed implicitly and explicitly. In
30 comparing results from the two measurements, detection of six basic emotions and their
31 intensity levels, as well as the dimensional feature such as valence will be included. Moreover,
32 discrepancies between the results of the two measurements will be interpreted by exploring the
33 psychological mechanisms underpinning the emotion formation and expression process. This

1 means the use of a grounded theoretical induction approach based on discrepancies observed
2 between the two measures in accordance with psychological propositions such as the two
3 appraisal systems.

4

5 **3. METHODOLOGY**

6 This exploratory study adopts a grounded theory approach by conducting comparison and
7 inductive reasoning to identify and interpret differences in residents' implicit and explicit
8 emotional responses toward tourists (Denzin & Lincoln, 2008). Comparison is a fundamental
9 research method in social sciences. Through comparisons, scholars can find differences,
10 identify gaps and set goals for theory induction (Liu, 2018). It is one of the most important
11 intelligent ways to know the world (Caramani, 2008), thus 'thinking without comparison is
12 unthinkable' (Swanson, 1971, p. 145). According to the levels of analysis, comparative studies
13 can be classified into three types, descriptive, analytical and explanatory. Explanatory
14 comparison is based upon the previous two and goes further to build relationships and causal
15 connections for the differences (Ragin, 1981). It is adopted by this study to better understand
16 residents' emotional responses. Three steps of the explanatory comparison are strictly followed:
17 (1) Describing differences; (2) In-depth analysing the differences, such as categorisation; and
18 (3) Providing theoretical explanations (Smelser, 2013).

19 Specifically, this study aims to compare and interpret the emotional responses of Hong
20 Kong residents toward Mainland Chinese tourists collected from the facial expression
21 recognition technique (i.e., FaceReader) and self-reports. To achieve the research objectives,
22 video vignettes about Mainland Chinese tourists' behaviours were produced to stimulate
23 residents' emotional responses. Facial expressions and self-reported emotions were recorded
24 during and right after the residents viewed the videos, respectively. The real-time facial
25 expressions were analysed by FaceReader to identify automatic emotions, while self-reports
26 measured explicitly expressed emotions that were consciously perceived by residents
27 themselves (Hosany et al., 2020). To facilitate comparison, self-reports focused on
28 investigating the six basic emotions and corresponding intensities, as what the FaceReader did.

29 **3.1 Data Collection**

30 Data collection was completed in February 2019 following a three-step process. First, the
31 research team produced 10 video vignettes of Mainland Chinese tourists in Hong Kong,
32 following a rigorous development protocol. The scenarios were based on interviews with 20

1 Hong Kong residents. Among the 57 personal interaction stories with Mainland Chinese
2 tourists reported, 72% were negative (e.g., jumping queues, children urinating or defecating in
3 public) and only 9% were positive. Thus, among the 10 videos produced, seven depict
4 negative/deviant behaviours and three depict positive behaviours (see Table 1 footnote for
5 details). Each video lasts 1-2 minutes. The videos were pre-tested for their realism and
6 authenticity. Second, a panel of Hong Kong permanent residents were invited through
7 purposive and snowball sampling to watch one to three videos in a quiet room. A video camera
8 was placed in front of them, upon their informed consent, to record their facial expressions
9 when watching the videos. The videos were assigned to each participant randomly to avoid
10 stimuli bias (Denzin & Lincoln, 2008). Third, after watching each video, the participants were
11 asked to answer a short survey that contains an adapted Geneva Wheel (Sacharin, Schlegel, &
12 Scherer, 2012) to measure explicit emotions. For each of the emotions experienced,
13 respondents can indicate a value on a five-point Likert-type scale ('1' = 'very weak' and '5' =
14 'very strong'). In-depth individual interviews were also conducted after watching each video
15 to explore participants' complex self-perceived emotions and interpretations. The major
16 interview questions include 'How do you feel about the scenarios depicted in the video you
17 just watched?' and 'Why do you feel that way?'

18 Data saturation was reached after obtaining 29 interviews from 14 participants (see
19 Table 1 for their profiles) who watched 29 videos in total, which were then coded as 29 data
20 cases by combining the participant number and video clip number for anonymity purpose
21 (Denzin & Lincoln, 2008). Each of the 10 videos received at least two views. Comparisons
22 were made among results from the 29 cases. Interviews of the 29 cases lasted an average of 40
23 minutes. The sample size, though relatively small, is common and reasonable in emotion
24 studies using cutting-edge physiological technologies; such studies can have sample sizes
25 below or around 30 (e.g., Gakhal & Senior, 2008; Hadinejad, Moyle, Kralj, et al., 2019; Kim
26 & Fesenmaier, 2015; Somervuori & Ravaja, 2013). From the demographic characteristics
27 displayed in Table 1, this sample is representative in terms of age and gender, but over-
28 represents highly educated population. All 14 participants had visited the Mainland more than
29 three times and almost half of them have friends or relatives in Mainland China. Although they
30 are all permanent Hong Kong residents sharing the same Chinese ethnicity, their self-identities
31 vary considerably, with seven identified themselves as primarily Hongkongese but also
32 Chinese, five as Hongkongese only, and two as primarily Chinese but also Hongkongese.

33

1 **Table 1.**

2 **Participant Profile**

No.	Code: Participant_Video ^a	Age	Gender	Education	Self-identity
1	P1_V3	32	Female	Postgraduate	Hongkongese but also Chinese
2	P1_V8				
3	P1_V10				
4	P2_V1	40	Male	Postgraduate	Hongkongese but also Chinese
5	P2_V7				
6	P3_V3	61	Female	Upper secondary	Hongkongese
7	P3_V7				
8	P3_V9				
9	P4_V4	40	Male	Postgraduate	Chinese but also Hongkongese
10	P4_V5				
11	P4-V8				
12	P5_V5	38	Male	Degree	Hongkongese
13	P5_V6				
14	P6_V4	31	Female	Postgraduate	Hongkongese
15	P7_V5	31	Female	Degree	Hongkongese
16	P7_V8				
17	P8_V4	61	Male	Upper secondary	Hongkongese but also Chinese
18	P8_V9				
19	P9_V2	53	Female	Degree	Hongkongese but also Chinese
20	P9_V3				
21	P10_V7	29	Female	Degree	Hongkongese
22	P11_V7	49	Female	Degree	Chinese but also Hongkongese
23	P12_V9	22	Male	Degree	Hongkongese but also Chinese
24	P12_V10				
25	P13_V2	20	Male	Degree	Hongkongese but also Chinese
26	P13_V6				
27	P13_V10				
28	P14_V1	63	Male	Postgraduate	Hongkongese but also Chinese
29	P14_V2				

3 **Note:** ^a V1- a boy urinating in public, V2- politely asking for direction to a shopping mall, V3- trying
 4 on cosmetic samples unhygienically in shop, V4- jumping the queue, V5- asking for
 5 smoking area patiently, V6- asking for numerous toiletries in hotel, V7- chatting loudly with
 6 hotel room door open, V8- drinking and speaking loudly in public transport, V9- a mother
 7 providing civil behaviour guidance to her son in public transport, V10- packing suitcase on
 8 the street blocking pedestrian walkway.
 9

10 **3.2 Data Analysis**

11 Survey responses were input into SPSS 25.0 for further analysis. Interviews were transcribed
 12 verbatim and then coded following a standard procedure of ‘open coding – creating categories
 13 – abstraction’ (Denzin & Lincoln, 2008). Videos of the participants’ facial expressions were
 14 analysed by FaceReader 6.0. To avoid facial expression recognition bias, the ‘EastAsian’
 15 model was selected to analyse Chinese faces. Meanwhile, FaceReader’s estimations were
 16 operated with both non-calibration and continuous calibration models to remove the person-
 17 specific bias. Since the main findings in this study from these two models were not different,

1 the authors only report non-calibration model estimation results as recommended by the
2 FaceReader manual.

3 Reports of FaceReader 6.0 included two files. The first one displays a temporal
4 distribution of dominant emotions throughout the whole viewing process. An emotion is
5 perceived dominant when its intensity is higher than that of others at the same time point. For
6 instance, 35% of happy means in 35% of the time points examined, the intensity of happiness
7 is higher than other emotions. The second is a log file, including not only the valence and
8 arousal values at every 33 milliseconds, but also the intensities (between 0 and 1) of each of
9 the six basic emotions along the time span. Self-reports also provided participants' intensity
10 ratings of the six basic emotions on a five-point Likert scale (from 0 to 5; '0' means no rating).

11 To facilitate the comparison of FaceReader results and self-reported emotions on the
12 same scale, a three-step data transformation was conducted. First, for specific emotion
13 detection comparison, results from the two methods were transformed into truth values (0 or
14 1). For example, in each case, if happy was detected by FaceReader as a dominant emotion or
15 rated by self-report, it was assigned a value of '1'; if not, a value of '0' was assigned. Second,
16 the five levels of intensity ratings from the survey were transformed proportionately into values
17 between '0' and '1'. That is, if a certain emotion was not rated by respondents, it was labelled
18 as '0'. The original ratings of '1' were transformed to '0.2' and '5' to '1'. Proportional
19 transformation rather than standardization (z-score) was implemented because the former
20 keeps specific intensity values of emotions while the latter only demonstrates disperse levels
21 of the emotion ratings. Maintaining specific intensity values is important because they are the
22 basis for the third step to derive valence values for self-report. Unlike FaceReader which
23 calculates emotional valence and arousal levels automatically, emotion valence in self-report
24 can only be obtained by a function of 'the highest intensity of positive emotions (i.e., happy)
25 minus the highest intensity of negative emotions (i.e., sad, angry, scared and disgusted)', same
26 as what FaceReader did. 'Surprised' is excluded from the valence derivation because it is a
27 mixed emotion that can be positive or negative (Ekman, 1999). Notably, the arousal level (i.e.,
28 the overall activation of participants' emotions, between 0 and 1) cannot be obtained from self-
29 report because FaceReader calculated each individual's arousal level based on complicated
30 algorithms of facial action units while respondents were unable to accurately evaluate their
31 overall arousal level of six emotions.

32 Two comparisons were performed on the transformed data. First comparison focused
33 on the discrete emotions identified and their intensity levels, and the second comparison

1 focused on valence of identified emotions. Since comparisons were informed by both
2 qualitative and quantitative approaches (Ragin, 1981), inductive reasoning and statistical
3 analysis were conducted. Moreover, to achieve an in-depth comprehension of the two
4 emotional expressions, categorization of differences and theoretical interpretation were
5 performed.

6

7 **4. FINDINGS**

8 **4.1 Differences in Specific Emotions Detected by Two Methods**

9 Differences were observed in the six basic emotions identified from FaceReader and survey.
10 Notably, FaceReader can capture more emotional responses from the participants' faces, while
11 self-report based on memory recalls and human perceptions revealed fewer items. As Table 2
12 shows, aside from the overlapped areas which are marked as grey/diamond-grid cells, the
13 green/vertical-lined cells demonstrating emotions solely detected by FaceReader outnumber
14 the red/horizontal-lined cells illustrating self-reported emotions only. However, even though
15 FaceReader detected more emotions than that reported by respondents, self-report is still
16 necessary since divergent emotions were identified from the survey and interviews. Emotions
17 detected from these two approaches are complementary and can cross-validate each other.

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1 **Table 2.**

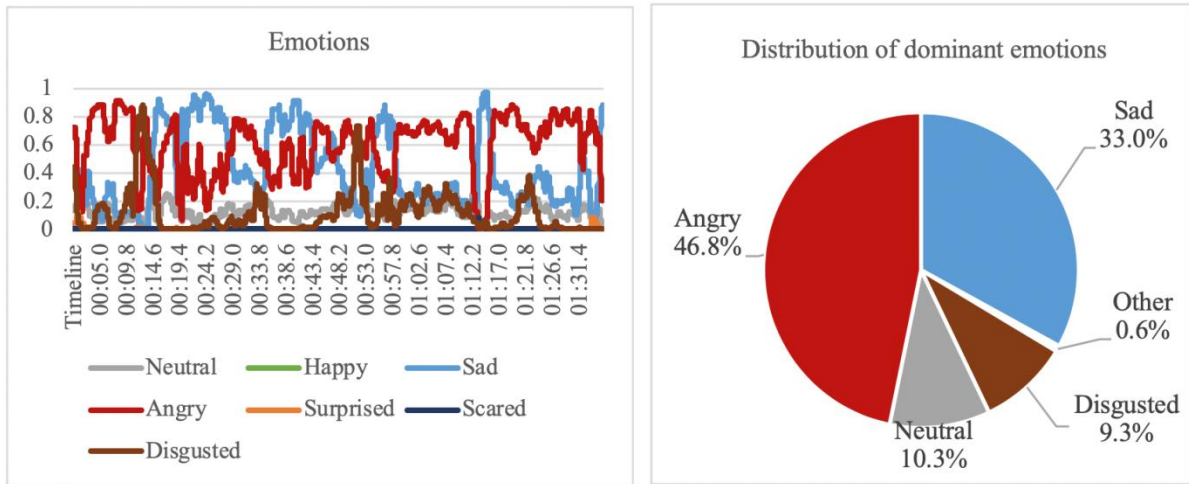
2 *Emotions Reported by Two Methods*

Code	Happy	Sad	Angry	Surprised	Scared	Disgusted
P1_V3	Green/Vertical	Green/Vertical	Green/Vertical	Red/Horizontal		Grey/Diamond
P1_V8			Green/Vertical	Green/Vertical		Red/Horizontal
P1_V10		Green/Vertical	Green/Vertical			Grey/Diamond
P2_V1			Green/Vertical	Green/Vertical		Red/Horizontal
P2_V7			Green/Vertical			Grey/Diamond
P3_V3		Red/Horizontal	Green/Vertical		Green/Vertical	Red/Horizontal
P3_V7	Green/Vertical	Green/Vertical			Green/Vertical	Red/Horizontal
P3_V9	Green/Vertical	Green/Vertical		Red/Horizontal	Green/Vertical	
P4_V4		Green/Vertical	Green/Vertical			
P4_V5	Green/Vertical		Green/Vertical	Green/Vertical		
P4_V8		Green/Vertical			Green/Vertical	Green/Vertical
P5_V5	Green/Vertical	Green/Vertical		Red/Horizontal		Green/Vertical
P5_V6		Grey/Diamond	Red/Horizontal	Green/Vertical	Green/Vertical	Red/Horizontal
P6_V4		Green/Vertical	Green/Vertical			Green/Vertical
P7_V5	Green/Vertical		Green/Vertical	Grey/Diamond		Red/Horizontal
P7_V8			Grey/Diamond	Green/Vertical		Grey/Diamond
P8_V4	Green/Vertical			Green/Vertical		Grey/Diamond
P8_V9	Green/Vertical			Grey/Diamond		
P9_V2	Grey/Diamond	Green/Vertical	Green/Vertical			Green/Vertical
P9_V3	Green/Vertical	Green/Vertical		Red/Horizontal		Grey/Diamond
P10_V7		Green/Vertical		Green/Vertical		Red/Horizontal
P11_V7		Green/Vertical	Green/Vertical			
P12_V9	Grey/Diamond		Green/Vertical	Grey/Diamond		
P12_V10		Green/Vertical	Grey/Diamond			Red/Horizontal
P13_V2	Red/Horizontal	Green/Vertical	Green/Vertical	Green/Vertical		Green/Vertical
P13_V6		Grey/Diamond	Grey/Diamond	Red/Horizontal	Red/Horizontal	Grey/Diamond
P13_V10		Grey/Diamond	Green/Vertical	Grey/Diamond	Red/Horizontal	Grey/Diamond
P14_V1	Green/Vertical	Grey/Diamond	Green/Vertical	Grey/Diamond		Red/Horizontal
P14_V2	Grey/Diamond	Green/Vertical	Green/Vertical	Green/Vertical		

3
4 **Note:** Green and vertical-lined cells: identified by FaceReader only; red and horizontal-lined cells: identified
5 by self-report survey only; grey and diamond-grid cells: identified by both.

6 Differences in specific emotions reported by the two methods embody in two aspects.
7 First, different emotions were identified. As shown in Table 2, more differences (shown as
8 ‘green/vertical-lined’ vs. ‘red/horizontal-lined’ cells) than similarities (shown as ‘grey/
9 diamond-grid’ cells) can be observed. Generally, FaceReader detected more emotions of
10 ‘happy’, ‘sad’ and ‘angry’ of the respondents than self-reports did. However, self-reports
11 expressed the emotion of ‘disgusted’ more frequently. For example, when participant P1
12 watched V10, she experienced emotional changes along the viewing process (Figure 1a),
13 demonstrated in the fluctuation of three dominant emotions, ‘sad’, ‘angry’ and ‘disgusted’. A
14 constant low level of neutral state was also detected throughout the watching process. Figure
15 1b shows that during 46.8% of the viewing time, the dominant emotion was ‘angry,’ while
16 33.0% of the time was ‘sad’. But in the survey, this participant only reported a feeling of
17 ‘disgusted’ after watching the video. The discrepancies in specific emotions detected by the

1 two methods demonstrated distinctions between expressive channels of emotions and the
 2 necessity of combining different methods for robust results.

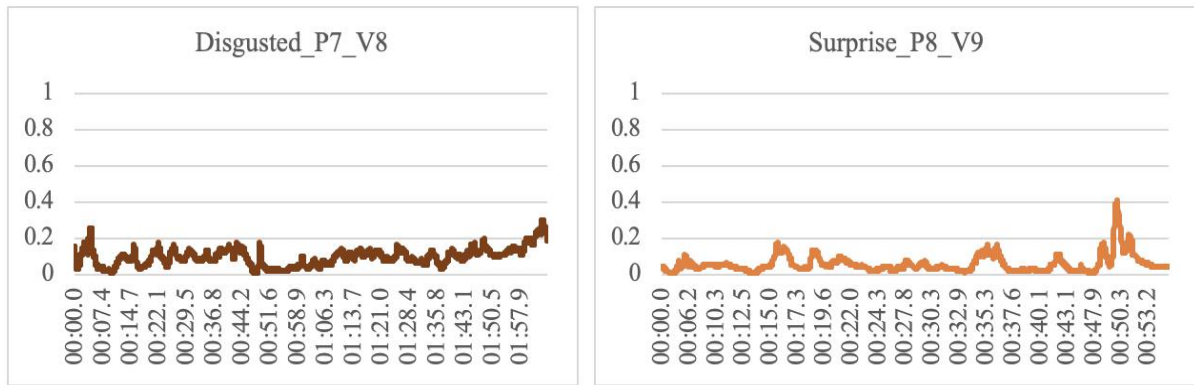


a. Emotional changes along timeline

b. Emotional states summary

Figure 1. FaceReader Results of P1_V10

5 The other aspect of differences is manifested in the intensities of specific emotions
 6 reported. Although the intensities of emotions were measured in different scales by the two
 7 methods, the levels of intensities can be observed within their own ranges. For instance, the
 8 intensity of ‘disgusted’ of the case P7_V8 was identified as low (below 0.3 out of 1) by
 9 FaceReader throughout the viewing process (Figure 2a). However, P7 rated the level of
 10 ‘disgusted’ highest on a 5-point Likert scale. The follow-up interview also showed a strong
 11 feeling of ‘disgusted’: *‘I just felt that “it’s them again”! They never make any progress. I feel*
 12 *very disgusted with them’* (P7_V8). Similarly, a large difference existed in the intensities of
 13 ‘surprised’ reported by FaceReader and self-report for participant P8 when watching V9, the
 14 former identified a low intensity between 0 and 0.4 (Figure 2b), whereas the latter reported a
 15 high intensity of ‘5’ in the survey. The discrepancy in intensity of emotions reflects that the
 16 two methods not only differ in specific emotions identified, but also the intensity of emotions
 17 even if the same emotion was reported.

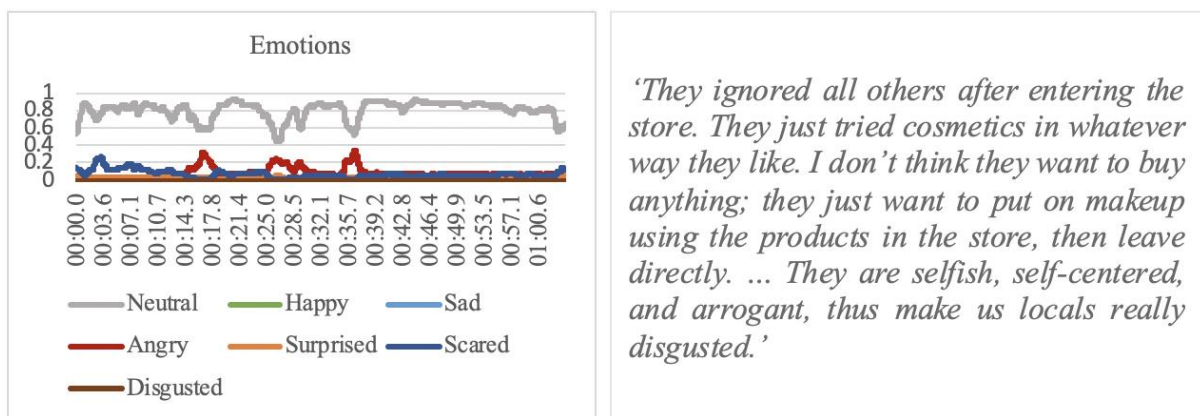


a. Intensity of 'disgusted' of P7_V8.

b. Intensity of 'surprise' of P8_V9

Figure 2. Examples of Emotion Intensities reported by FaceReader

The two aspects of discrepancies in emotional responses generated by verbal reports and FaceReader can lead to contrary outcomes. For example, the facial expression recognition for the case P3_V3 revealed a 'neutral' emotional state almost over the entire viewing process, with a low intensity of all six basic emotions (lower than 0.4, see Figure 3a). However, the self-report of P3 after watching V3 expressed intensive emotions of 'sad' and 'disgusted'. The ratings of both emotions are very strong ('5'), and her discourse also reflects a strong emotion of 'disgusted' (Figure 3b). When tourists encounter this resident, they may not find her unfriendly or being offended, thereby missing the signals for improvement in their behaviours and host-tourist relations. Relying on facial expressions or self-reports alone can be misleading.



a. Emotions of P3_V3

b. Interview of P3_V3

Figure 3. Emotions of P3_V3

The differences described above are based on observations of the data. Six Mann-Whitney U tests were conducted to further examine differences in emotions detected by the

1 two methods. The Mann—Whitney U test was used because it is a non-parametric test that can
 2 compare the distributions of two independent samples on the condition of small sample sizes
 3 (below 30) and unnormal distributions (MacFarland & Yates, 2016).

4 As Table 3 illustrates, the emotions of ‘happy’, ‘sad’ and ‘angry’ detected by
 5 FaceReader are significantly different from that by self-report. With positive mean differences,
 6 self-report is significantly less effective in recognising happiness, sadness and anger of Hong
 7 Kong residents toward Mainland tourists. In contrast, no significant difference was identified
 8 on emotions of ‘surprised’, ‘scared’ and ‘disgusted’, implying a higher consistency between
 9 the two approaches when identifying these three emotions compared with the others.

10 **Table 3.**
 11 ***Mann-Whitney U Test Results***

FaceReader vs. Self-Report	Mean difference ^a	Mann—Whitney U value ^b
Happy	0.310	290.0*
Sad	0.483	217.5***
Angry	0.552	188.5***
Surprised	0.138	362.5
Scared	0.103	377.0
Disgusted	-0.138	478.5

12 *Note:* ^a The values for comparisons are ‘0’ and ‘1’.
 13 ^b * significant at .05 level, *** significant at .001 level.
 14

15 Notably, the emotion of ‘scared’ was not detected much in the study (see Table 2).
 16 Compared to ‘surprised’ and ‘disgusted’ that were largely detected by both FaceReader and
 17 self-report, ‘scared’ was less reported by either method. This illustrates the relatively low
 18 occurrence of the ‘scared’ emotion in host-tourist encounters.

19 **4.2 Differences in Emotional Valence Measured by Two Methods**

20 Figure 4 illustrates the valence values of the two data sources across the 29 cases. For
 21 FaceReader, the average valence of emotions for each data case was derived from the real-time
 22 values. While valence values for self-reports were calculated based on intensities of specific
 23 emotions (as discussed in Methodology).



Figure 4. Comparison of Emotion Valence from FaceReader and self-report Survey

As shown in Figure 4, both methods identified more negative emotions because most video vignettes depicted negative behaviours of Mainland tourists in Hong Kong. Overall, participants' emotional valence from self-reports fluctuates more dramatically than that from FaceReader results, implying that participants tend to amplify their emotions in self-reports. In particular, self-reported results show higher valence values on the negative side, which means participants expressed stronger negative emotions after watching videos than that could be identified from their facial expressions during watching the videos. To further investigate the differences in valence, a discrepancy analysis was carried out based on types of video stimuli.

Table 4 lists the emotion valence of the 29 cases in two groups: one with negative video stimuli and the other with positive stimuli. In the group with negative stimuli, participants mainly reported negative emotions with higher intensities than that detected from their facial expressions. Similarly, participants reported more positive emotions for positive stimuli, also with relatively higher intensities than those detected from their facial expressions. These are consistent with the observation from Figure 4, suggesting the relatively amplification effect of self-reports in measuring emotions in comparison with facial expressions.

Table 4.

Comparison of Emotion Valence Evoked by Negative and Positive Videos

Video clips	Case	Valence_FaceReader	Valence_Self-report	Distance (FaceReader - Self-Report)
Negative stimuli:	P2_V1	-0.78	-0.4	-0.38
	P14_V1	-0.07	-0.6	0.53
	P1_V3	0.58	-0.8	1.38

V1	P3_V3	-0.16	-1.0	0.84
V3	P9_V3	-0.27	-0.8	0.53
V4	P4_V4	-0.36	0	-0.36
V6	P6_V4	-0.35	0	-0.35
V7	P8_V4	0.31	-0.6	0.91
V8	P5_V6	-0.24	-1.0	0.76
V10	P13_V6	-0.49	-0.6	0.11
	P2_V7	-0.84	-0.4	-0.44
	P3_V7	-0.04	-1.0	0.96
	P10_V7	-0.61	-1.0	0.39
	P11_V7	-0.42	0	-0.42
	P1_V8	-0.50	-0.8	0.30
	P4_V8	-0.40	0	-0.40
	P7_V8	-0.16	-1.0	0.84
	P1_V10	-0.73	-1.0	0.27
	P12_V10	-0.49	-0.6	0.11
	P13_V10	-0.35	-0.6	0.25
	P9_V2	-0.39	0.4	-0.79
	P13_V2	-0.23	0.6	-0.83
Positive stimuli:	P14_V2	0.15	0.4	-0.25
	P4_V5	0.40	0	0.40
V2	P5_V5	-0.41	0	-0.41
V5	P7_V5	0.05	-0.6	0.65
V9	P3_V9	-0.07	0	-0.07
	P8_V9	0.46	0	0.46
	P12_V9	0.06	0.8	-0.74

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The six negative stimuli cases that showed lower valence values in self-report (i.e., the distance between FaceReader and self-report survey was lower than 0) are either from participant P2 (including P2_V1 and P2_V7) or of no self-reported emotions. The inconsistent results from P2 can be due to personal facial expression bias; that is his typical facial expression tends to be negative. The other cases (i.e., P4_V4, P6_V4, P11_V7, and P4_V8) are possibly influenced by the social desirability bias in self-report. Although they did not indicate any of the six basic emotions in the survey (see red and grey cells in Table 2), these feelings were expressed during the interview after probing. For instance, P6 after watching V4 implicated a ‘disgust’ emotion:

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‘The video reflects an objective fact which is hideous. Because Mainland tourists always do such things; not only Hong Kong residents but also tourists from other places may have the first impression that Chinese tourists never follow the rules and like jumping a queue. This is not a good thing, very negative.’

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Likewise, positive stimuli viewers may also be subject to social desirability bias in self-report, resulting in three cases with valence distance between FaceReader and self-report

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1 bigger than ‘0’. To further numerically verify the discrepancies in emotion valence values
 2 measured by the two methods, three non-parameter Mann—Whitney U tests were conducted.
 3 Table 5 reports a significant difference for negative stimuli. Since the negative stimuli mainly
 4 elicit negative emotions, this result implies an amplification effect of Hong Kong residents
 5 when reporting negative emotions toward Mainland tourists in the survey. The positive
 6 emotions elicited by positive stimuli are also slightly more intensive in self-reports but not
 7 statistically significant.

8 **Table 5.**
 9 *Tests of Valence Difference between FaceReader-detected and Self-reported Emotions*

FaceReader vs. Self-Report	Mean difference	Mann—Whitney U value
Overall valence	0.147	333
Negative stimuli	0.292	112*
Positive stimuli	-0.176	49

10 *Note:* *significant at .05 level.

11

12 By and large, the results demonstrate that verbal self-reports amplified the negative
 13 emotions in comparison with their facial expressions. This amplification effect should be noted
 14 because it triggers antagonism in host-tourist interactions, particularly between Hong Kong
 15 residents and Mainland Chinese tourists. The emotions, especially the negative ones, read by
 16 Mainland tourists from their Hong Kong hosts’ facial expressions are minor compared to the
 17 self-reported emotional responses of local residents. This disparity may make Mainland tourists
 18 underestimate the residents' unwelcome attitude or overestimate the host-tourist relation,
 19 thereby causing irreversible relationship deterioration.

20

21 **5. DISCUSSION**

22 Upon identifying the discrepancies in both specific emotions detected and the valence distances
 23 between the two methods, further analyses and theoretical interpretations are necessary to
 24 deepen the understanding of Hong Kong residents’ emotional responses toward Mainland
 25 tourists.

26 **5.1 Desires-derived vs. Stereotypes-elicited Emotions**

27 The specific emotions detected in this study highlight the consistency of facial expressions and
 28 self-reports in identifying emotions of ‘surprised’, ‘scared’ and ‘disgusted’, but not in ‘happy’,

1 'sad' and 'angry'. This discrepancy indicates the existence of two types of emotions in host-
2 tourist interaction: one can be described as desires-derived emotions and the other as
3 stereotypes-elicited emotions. The typology can be supported by an everyday desire-belief
4 psychology (Davidson, 1963). As Wellman and Banerjee (1991) posited, distinct emotional
5 states were constructed and shaped by a network of mentalistic constructs, which can fall into
6 two generic classes: desires and beliefs. Desires mean the idealised expectations toward self
7 and others (Schroeder & Graziano, 2017). In the evolutionary history, humans have developed
8 various desires in social interaction, such as prosocial behaviour, which become the instinctive
9 expectations independent of human awareness (Uriely, Ram, & Malach-Pines, 2011).
10 Accordingly, the desires-derived emotions in human interaction include 'happiness', 'sadness'
11 and 'anger' that are elicited by satisfying (happiness) or dissatisfying (sadness/anger) humans'
12 inner desires (Yu & Dean, 2001). Beliefs mean the cognitive impressions that are acquired
13 through social learning and are perceptible by humans (Davidson, 1963). In social interaction,
14 stereotypes, particularly negative ones, are widely identified beliefs in emotion elicitation
15 (Kunda & Oleson, 1995; Schneider, 2005). Once the stereotypes are formed, stereotypes-
16 elicited emotions, such as 'surprise', 'scare' and 'disgust' are likely to be aroused by
17 disconfirming (surprise) or confirming (scare/disgust) people's ingrained stereotypes (Fu, 2015;
18 Wellman & Banerjee, 1991).

19 The desires-derived and stereotypes-elicited emotions can be differentiated further
20 from two aspects. First and foremost, they are initially elicited at unconscious and conscious
21 levels, respectively, thus occurring at different ages and having distinct priorities in expressive
22 channels (Wellman & Banerjee, 1991). Desires-derived emotions are based on humans'
23 idealised expectations, which are typically intuitive desires stored in unconscious mind for
24 good relationships (Uriely et al., 2011). Hence, they are available to newly-born babies and can
25 be present in facial expressions unconsciously. For instance, infants can express emotions of
26 happiness by smiling in mom's arms and sadness by crying when they are hungry (Ridgeway,
27 Waters, & Kuczaj, 1985). These emotions, irrespective of positive or negative, can reflect
28 individuals' good expectations of surrounding happenings (Nesse, 1990). On the other hand,
29 stereotypes-elicited emotions are usually provoked by preconceived images, normally negative,
30 in humans' conscious mind (Devine, 1989). It is not until 6-year-old that children can develop
31 independent beliefs that may elicit 'surprised', 'scared' and 'disgusted' emotions (Fu, 2015).
32 With a conscious elicitation, stereotypes-elicited emotions are more prevalent in self-reports.
33 This distinction well explains the advantage of FaceReader in detecting desires-derived

1 emotions (i.e., ‘happiness’, ‘sadness’ and ‘anger’) and the effectiveness of self-report in
2 identifying stereotypes-elicited emotions (i.e., ‘surprise’, ‘scare’ and ‘disgust’).

3 Second, the two types of emotions can be distinguished by psychological tendencies.
4 The desires-derived emotions sourced from prosocial expectations indicate a tendency to
5 achieve mutual beneficial relations (Schroeder & Graziano, 2017), thereby being conducive
6 to interpersonal relationship improvement. No matter ‘happy’, ‘sad’, or ‘angry’, these emotions
7 imply an expectation of Hong Kong residents to improve relationship with Mainland tourists:

8 *‘I hope Mainland tourists can learn from this role model (i.e., the character in*
9 *this positive scenario) and improve their behaviours.’ (P3_V9)*

10 However, when the stereotypes-elicited emotions are triggered, especially ‘scared’ and
11 ‘disgusted’, individuals may be less inclined to actively improve relationships or situations, but
12 would rather refuse or avoid further interactions or experience of similar events again (Lerner,
13 Li, Valdesolo, & Kassam, 2015). Even though participant P5 reported ‘surprise’ after watching
14 V5, which is a positive stimulus, his overall negative impression of Mainland tourists was not
15 changed:

16 *‘The scenario is totally unlike what Mainlanders would do in reality... they*
17 *definitely would not ask in that way. I have never thought he would ask, even*
18 *once; but he did twice in the video! I was very surprised...But my impression of*
19 *Mainland tourists was not changed... This video clip beautifies them.’*

20 Evidently, the stereotypes-elicited emotions do not favour the improvement of mutual relations.

21 Identification of the two distinct types of emotions demonstrates the ambivalent
22 sentiment of Hong Kong residents toward Mainland Chinese tourists. Irrespective of the
23 dominant stereotypes-elicited emotions in respondents’ self-reports, Hong Kong residents also
24 have hidden positive expectations of Mainland tourists, as shown in the desires-derived
25 emotions recognised from their facial expressions when watching the videos. It is the long-
26 term dissatisfaction with Mainland tourists whose behaviours never meet their expectations
27 caused deep-rooted Hong Kong residents’ disgusted or resentful feelings. Hong Kong locals
28 become increasingly impatient or hopeless to see any improvements even though deep down
29 they wish this could be the case (in Chinese, 恨铁不成钢). Driven by this ambivalent sentiment,
30 the respondents disclosed their stereotypes-elicited emotions in self-reports but hid or failed to
31 be aware of their concealed expectations.

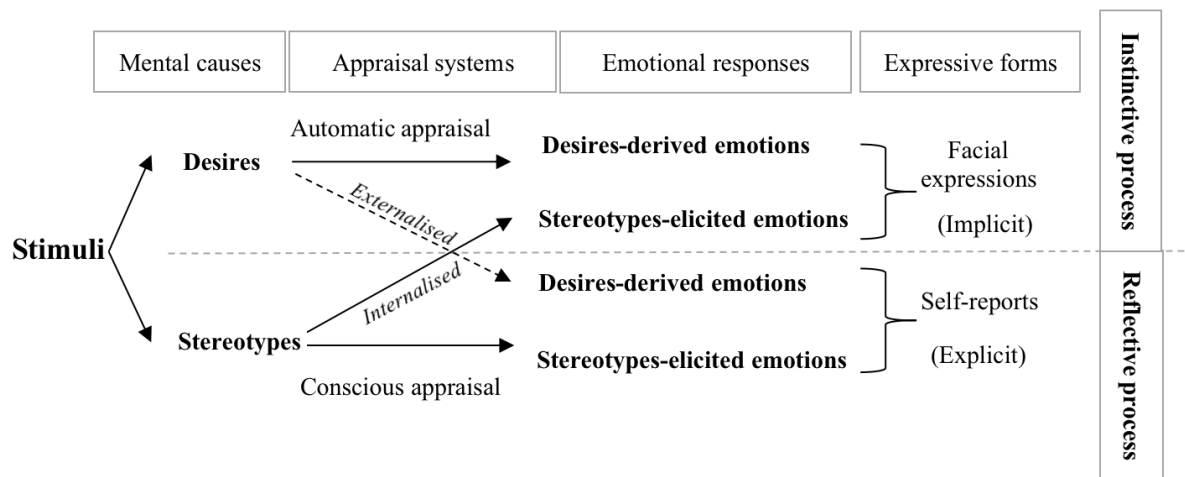
5.2 Facial Expressions vs. Words: Unlocking Emotion Formation Processes

The observed and numerically verified discrepancies in emotional responses of Hong Kong residents toward Mainland tourists measured by FaceReader and self-report show that implicit and explicit expressions of emotion deliver different messages. Self-reported emotions as explicit expressions have bias both in specific items and their valence. Participants reported more emotions of ‘surprise’, ‘scare’ and ‘disgust’ in the interview and survey, which are mainly aroused by their preconceived stereotypes, but less frequently reported the more instinctive, desires-derived emotions, such as ‘happiness,’ ‘sadness’ and ‘anger’. Moreover, the respondents tend to amplify the intensity of negative emotions (i.e., ‘sad’, ‘angry’, ‘scared’ and ‘disgusted’) in self-reports. With the low occurrence of ‘scared’ in host-tourist interactions (Faillant et al., 2011), ‘disgusted’ thus became the dominant emotion in self-reports within this research setting of Hong Kong residents–Mainland tourists interaction:

‘Firstly I felt funny because it is very realistic. Then I felt disgusted; their behaviours have exerted bad influences on our daily lives. Such behaviours are really annoying.’ (P12_V10)

However, FaceReader identified that participants also held desires-derived emotions in an implicit form, demonstrating good expectations of Hong Kong residents toward Mainland tourists. These complex emotional experiences and connections between hosts and tourists could not be fully captured without considering implicit and explicit emotional expressions together.

The empirically identified discrepancies in this study, including different mental causes (i.e., desires vs. stereotypes) and their corresponding emotions (i.e., desires-derived vs. stereotypes-elicited) expressed in different forms (i.e., implicit vs. explicit), can be connected to the two appraisal systems to support a dual-process of emotion formation: an instinctive process underlying the automatic appraisal and a reflective process underlying the conscious appraisal (see Figure 5). The two processes of emotion formation were named in accordance with the dual-system of appraisal because appraisal plays a distinguished role in emotion formation and expression (Haidt, 2001). The dual-process model of emotion formation proposed by this study contributes to the literature by specifying the discrepancies and the underlying psychological mechanisms that determine distinct emotional outcomes in different expressive forms. Desires and stereotypes are the main drivers respectively in the two processes of emotion formation.



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Figure 5. Dual-process Model of Emotion Formation

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The instinctive process creates immediate emotional responses to the stimuli based on the activation of unconscious or innate mental associations (Westen, 1999). Emotions generated from this process are usually expressed implicitly (Haidt, 2001), such as in facial expressions with an automatic and rapid onset, and with a short duration. Among the many other instinct and primitive associations, the idealised expectation (i.e., desires) of humans, such as the pro-sociality, is an important realm that can elicit desires-consistent emotions outside of human awareness (Kahneman & Frederick, 2001). Moreover, long-term existence or continuously strengthening of stereotypes may lead to their internalisation, so that conscious associations become unconscious (Tran et al., 2011) and stereotypes-elicited emotions can also be aroused subliminally. Taking two cases as examples, when P1 watched V10, apart from ‘sad’ and ‘angry’ emotions elicited against her expectations, the ‘disgusted’ emotion was also identified in her facial expressions because of her preconceived stereotypes:

15

‘It is very common for Mainland tourists to pack suitcases on the street. I’ve seen similar scenes too many times before.’

16

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Similarly, with a positive V5, ‘surprised’ was identified in P7’s facial expressions because what the video showed was totally different from her ingrained negative stereotypes:

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‘The characters in the video are not as unruly as those Mainland tourists I met before.’

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The reflective process involves a more conscious processing of perceived stimuli, which activates the cognitive mental associations and leads to explicit expression of emotions (Westen, 1999) that can be measured by retrospective self-reports. The cognitive associations,

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1 at the first place, relate to the stereotypes in humans' mind which refer to the knowledge and
2 beliefs learned by reflective thinking (Tung, King, & Tse, 2019). They can quickly affect
3 individuals' cognitive appraisals and lead to stereotypes-consistent emotions (Cuddy, Fiske, &
4 Glick, 2007). In this study, respondents felt 'surprised' when the scenarios disconfirmed their
5 stereotypes of Mainlanders but felt 'disgusted' when the negative stereotypes are confirmed.
6 For instance, participant P7 reported 'surprised' when watching Mainland tourists behave
7 politely in V5 by stating that it would be normal if the characters were Japanese or Caucasians.
8 The distinct emotional responses to tourists of different origins confirm the critical role of
9 stereotypes in eliciting emotions through the reflective process.

10 Stereotypes play a dominant role in the reflective process because of their origin in
11 reflective learning and conscious thinking (Westen, 1999). However, as shown in Table 2,
12 desires-derived emotions of 'happy', 'sad' and 'angry', though not common, have also been
13 reported explicitly. The reason may be due to instinctive desires can be externalised (Uriely et
14 al., 2011) to exert influence on cognitive judgements and arouse corresponding emotions. For
15 instance, while participant P5 reported 'disgusted' after watching V6, he perceived 'sad' and
16 'angry' as well because the scenario in the videoclip did not meet his positive expectations of
17 Mainland tourists:

18 *'I did not expect them to behave like this. This couple were rude and out of*
19 *my expectation. I thought they could be better. But the reality is not.'*

20 The influence of desires on conscious appraisal and the subsequent emotional responses are
21 presented with dotted lines in Figure 5 due to a lesser extent of impacts compared to stereotypes
22 at the conscious level (i.e., significantly less reports of desires-derived emotions in survey, but
23 no significant difference in stereotypes-elicited emotions was observed between FaceReader
24 and self-report results).

25 Hong Kong residents experienced desires-derived emotions and stereotypes-elicited
26 emotions toward Mainland Chinese tourists but expressed them differently – some reserved in
27 facial expressions and some amplified in words – because of the two distinct emotion formation
28 processes. This study illustrated that the long-term negative stereotypes of Mainland Chinese
29 tourists has been internalised deep into Hong Kong residents' mind to exert influences on
30 emotional responses within both processes. Furthermore, the internalised stereotypes of
31 Mainland tourists in the mind of Hong Kong residents can, to some extent, deter the
32 externalization of desires and explicit expression of desires-derived emotions. As a result,

1 Hong Kong residents tended to report the emotions consistent with their negative stereotypes
2 and in particular, amplify the ‘disgusted’ feeling in self-reports.

3

4 **6. CONCLUSION**

5 Emotion is a long-standing but still deserving topic owing to its uncertainty and complexity in
6 expressions. In social interactions, particularly the host-tourist interaction in international
7 tourism, emotion plays a critical role in determining host-tourist relations (Lerner et al., 2015).
8 People express emotions through various implicit and explicit channels, including face and
9 body gestures, words, and voice (Baumeister & Bushman, 2020). However, emotion research
10 in tourism, even in marketing and psychology, has a long tradition of relying on self-report
11 method to examine emotional responses expressed in explicit forms, but ignoring their implicit
12 expressions (Prayag, 2020; Scherer, 2005a). Using FaceReader, the study compared emotional
13 responses of Hong Kong residents toward Mainland Chinese tourists detected from their real-
14 time facial expressions with their self-reported emotions to understand the complexity of
15 emotions in a host-tourist interaction context.

16 The discrepancies identified in emotional responses measured by the two methods
17 demonstrate that facial expressions and words can tell different stories. Specifically, facial
18 expression recognition is better at identifying desires-derived emotions including happiness,
19 sadness, and anger, while self-reports tend to amplify the stereotypes-elicited and negative
20 emotions, in particular ‘disgust’. These two types of emotions (desires-derived vs. stereotypes-
21 elicited) we identified demonstrated the ambivalent sentiments (恨铁不成钢) that Hong Kong
22 residents hold toward Mainland tourists. To further explain the discrepancies in facial
23 expressions and words, a dual-process model of emotion formation was proposed to illustrate
24 the specific psychological mechanisms that determine the different emotional outcomes in
25 distinct expression forms. The instinctive process illuminates the formation of emotions that
26 aroused by both desires and implicit stereotypes, while the reflective process accounts for the
27 formation of explicit expressions of emotions elicited by stereotypes and externalised desires.

28 This empirical study contributes to the theoretical interpretation of emotional
29 discrepancies in different expressive forms and offers innovative insights, through facial
30 expressions of residents, on emotion research in tourism (Cohen & Cohen, 2019). Different
31 from previous studies that revealed mainly negative stereotypes of Hong Kong residents toward
32 Mainland Chinese tourists (Chen et al., 2018; Tung et al., 2019), this study identified the

1 coexistence of stereotypes and good expectations in the mind of Hong Kong residents.
2 Different emotions imply different behavioural tendencies (Fu, 2015). This ambivalent
3 sentiment of residents toward tourists deserves further exploration for practical improvements
4 of host-tourist relations. As to this study context, the Hong Kong Tourism Board/Commission
5 and local media should promote a better image of Mainland tourists to gradually modify local
6 residents' negative stereotypes and reduce stereotypes-elicited emotions. For tourists, aside
7 from using facial expressions as a main channel to understand hosts' emotional responses, more
8 attention needs to be paid on residents' verbal expressions. Comprehending each other's
9 emotional responses from multiple channels is necessary for a friendly inter-personal/group
10 relationship. Mainland tourists could also improve their behaviours in Hong Kong by
11 respecting local rules and customs, to meet local residents' expectations and foster desires-
12 derived emotions to benefit mutual relations.

13 This study focused on the context of Hong Kong resident-Mainland Chinese tourist
14 interaction; specific results may not be generalized to other host-tourist interactions due to the
15 special historical relation between these two groups. Future studies should be conducted in
16 other destinations to attest the existence of two different types of emotions in residents'
17 responses toward tourists, and further refine the dual-process model of emotion formation.
18 Although the personal interviews reached data saturation, this study identified emotional
19 expressive discrepancies on a relatively small sample size in terms of the statistically
20 significance. Further studies on a larger sample to verify the two types of emotional responses
21 and test their connections to the two mental causes (desires and stereotypes) and expressive
22 forms are encouraged. Other influential factors of resident emotional responses toward tourists,
23 such as demographics, identification with tourists, personal experience with tourism, and
24 duration differences among various emotions (Verduyn & Lavrijsen, 2015) should also be
25 considered in future studies. Additionally, the overwhelming influence of stereotypes on
26 explicit emotional responses as well as host-tourist interaction quality deserves further
27 investigation. The video vignettes used in this study could be re-utilized to explore tourist meta-
28 stereotypes and its influence on tourist behaviours, which is an emerging research stream in
29 both social psychology (e.g., Rodriguez Mosquera, Uskul, & Cross, 2011) and tourism (e.g.,
30 Tung, 2019). Finally, other relevant theories such as social identity theory and theories of
31 emotion causation can be applied to advance interpretations of complex emotional responses.
32 To further these research, combination of various psychophysiological measures (e.g., EEG,
33 EDA and Facial expressions) and self-reports are strongly encouraged.

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