

1 **Title Page**

2

3 **Determinants of estimated failure load in the distal radius after stroke: an HR-pQCT**

4 **study**

5

6 **Authors**

7 <sup>1</sup>Tiev Miller, MSc, <sup>2</sup>Michael T.C. Ying, PhD, <sup>3</sup>Vivian W.Y. Hung, MSc, <sup>1</sup>Charlotte S.L.

8 Tsang, PhD, <sup>1</sup>Huixi Ouyang, MPhil, <sup>1</sup>Raymond C.K. Chung, PhD, <sup>3</sup>Ling Qin, PhD, and

9 <sup>1</sup>Marco Y. C. Pang, PhD

10

11 **Author affiliations**

12 <sup>1</sup>Department of Rehabilitation Sciences, The Hong Kong Polytechnic University

13 <sup>2</sup>Department of Health Technology and Informatics, The Hong Kong Polytechnic University

14 <sup>3</sup>Bone Quality and Health Centre, Department of Orthopaedics and Traumatology, The

15 Chinese University of Hong Kong

16

17 **Corresponding author**

18 Marco Pang, Department of Rehabilitation Sciences, The Hong Kong Polytechnic University,

19 Hong Kong, SAR, Telephone: (852) 2766-7156, Email: [marco.pang@polyu.edu.hk](mailto:marco.pang@polyu.edu.hk)

20

21 **Running Title**

22 Bone quality post-stroke

23

24 **Keywords**

25 Radius, Stroke, Failure Load, HR-pQCT

1 **Disclosure Page**

2

3 *Disclosure of funding sources*

4 Tiev Miller was funded by a post-graduate research studentship through the department of  
5 Rehabilitation Sciences at The Hong Kong Polytechnic University (Grant RL27). This study  
6 was substantially supported by a research grant provided to Marco Y. C. Pang by the  
7 Research Grants Council (General Research Fund; 151031/18M).

8

9 *Conflict of interest*

10 The authors have no conflicts of interest to declare.

11

12 *Data accessibility*

13 The authors agree to deposit all project data on a community-recognized data repository at  
14 the time of publication.

15

16

17

18

19

20

21

22

23

24

## 1 Abstract

2 Bone health is often compromised after stroke and the distal radius is a common site of  
3 fragility fractures. The macro- and microproperties of bone tissue after stroke and their  
4 clinical correlates are understudied. The objectives of the study were to use High-Resolution  
5 peripheral Quantitative Computed Tomography (HR-pQCT) to investigate the bone  
6 properties at the distal radius, and to identify the correlates of estimated failure load for the  
7 distal radius in people with chronic stroke. This was a cross-sectional study of 64 stroke  
8 patients (age:  $60.8 \pm 7.7$  years, stroke duration:  $5.7 \pm 3.9$  years) and 64 age- and sex-matched  
9 controls. Bilateral bone structural, densitometric, geometric and strength parameters of the  
10 distal radius were measured using HR-pQCT. The architecture, stiffness and echo intensity of  
11 the bilateral biceps brachii muscle and brachial artery blood flow were evaluated using  
12 diagnostic ultrasound. Other outcomes included the Fugl-Meyer Motor Assessment (FMA),  
13 Motor Activity Log (MAL), and Composite Spasticity Scale (CSS). The results revealed a  
14 significant side (paretic vs non-paretic for stroke group, non-dominant vs dominant for  
15 controls) by group (stroke vs control) interaction effect for estimated failure load, **cortical**  
16 **area, cortical thickness, trabecular number and trabecular separation, and all volumetric**  
17 **density parameters.** Post-hoc analysis showed percent side-to-side differences in bone  
18 outcomes were greater in the stroke group than the control group, with the exception of  
19 trabecular thickness and intracortical porosity. Among the HR-pQCT variables, percent side-  
20 to-side difference in **trabecular volumetric bone mineral density contributed the most to the**  
21 **percent side-to-side difference in estimated failure load in the stroke group ( $R^2$**   
22 **change=0.334,  $\beta=1.106$ ).** Stroke-related impairments (FMA, MAL, CSS) were found to be  
23 **significant determinants of the percent side-to-side difference in estimated failure load ( $R^2$**   
24 **change=0.233,  $\beta=-0.480$ ).** This was the first study to examine bone microstructure post-  
25 stroke. We found that the paretic distal radius had compromised bone structural properties

1 and lower estimated failure load compared to the non-paretic side. Motor impairment was a  
2 determinant of estimated bone strength at the distal radius and may be a potential intervention  
3 target for improving bone health post-stroke.

4 **Keywords:** Radius, Stroke, Failure Load, HR-pQCT

5

## 6 **1. Introduction**

7 The economic implications of stroke are large, with long-term and indirect  
8 expenditures increasing for stroke-related health care.<sup>(1)</sup> The musculoskeletal system has been  
9 shown to undergo substantial change after stroke,<sup>(2)</sup> with bone loss prevention often being  
10 under prioritized during recovery.<sup>(3)</sup> Reduced bone mass and altered bone geometry (i.e.,  
11 reduced cortical area), which compromise bone strength, are among the more serious  
12 complications after stroke.<sup>(4,5)</sup> Along with advanced age and female sex, reduced bone  
13 strength is an important factor related to upper limb fragility fractures after stroke.<sup>(6)</sup> While  
14 dual-energy x-ray absorptiometry (DXA) was used in the clinical assessment of total and site-  
15 specific areal bone mineral density (aBMD) post-stroke,<sup>(7,8)</sup> it can only generate bone mass  
16 and aBMD measurements due to its planar nature and lacks the ability to assess other bone  
17 parameters such as bone geometry and architecture, which are also important determinants of  
18 bone strength.<sup>(9-11)</sup> Other methods that are capable of assessing bone geometry and  
19 architecture are needed for studying the bone properties in health and disease.<sup>(12)</sup> Peripheral  
20 quantitative computed tomography (pQCT) can be used to examine compartmentalized  
21 volumetric density and geometry of both trabecular and cortical bone at peripheral skeletal  
22 sites.<sup>(8,13,14)</sup> It has also been used in research investigating bone health in specific  
23 musculoskeletal conditions such as adolescent idiopathic scoliosis, psoriatic arthritis and hip  
24 fracture.<sup>(15-17)</sup>

1           Several studies have used pQCT to examine bone properties of the upper limb post-  
2 stroke.<sup>(5,8,14,18-20)</sup> At the distal radius site (i.e., epiphysis), a consistent finding was a lower  
3 BMD on the paretic side, with no significant side-to-side difference in total area.<sup>(14,18)</sup>  
4 Previously, it was not possible to evaluate bone microstructure (e.g., cortical porosity,  
5 trabecular number, thickness and separation) using the first generation of pQCT scanners due  
6 to its relatively low resolution (voxel size: 300-500  $\mu\text{m}$ ).<sup>(5,14,21)</sup> Bone microstructure is  
7 another important factor determining bone fragility.<sup>(11,22,23)</sup> Among large prospective cohort  
8 studies, microstructure is consistently shown to be a predictor of fracture risk estimation in  
9 other populations.<sup>(10,24,25)</sup> Thus, examining bone microstructure using high-resolution  
10 peripheral quantitative computed tomography (HR-pQCT) (voxel size: 61-82  $\mu\text{m}$ ) should be  
11 of value in the study of bone health among individuals with stroke. **To date, no study has**  
12 **examined bone microstructure in individuals with chronic stroke.**

13           Previous pQCT studies in stroke patients have shown that neuromuscular (i.e.,  
14 strength, spasticity, paretic arm disuse) and cardiovascular factors (i.e., vascular elasticity,  
15 oxygen consumption) have either been moderately associated with or predictive of  
16 compressive bone strength index (cBSI) or polar stress-strain index (p-SSI) among stroke  
17 patients, which are only surrogate measures of bone strength largely based on bone cross-  
18 sectional geometry (e.g., moment of inertia) and cortical/total bone density.<sup>(14,18)</sup> It is  
19 unknown whether these factors are also correlated with the estimated failure load derived  
20 from finite element (FE) analysis. When coupled with HR-pQCT, this computer modelling  
21 technique can intrinsically account for complex bone structure and provide an estimation of  
22 bone strength.<sup>(26)</sup> A recent systematic review showed muscle mass and strength to be  
23 consistently correlated with upper limb bone outcomes (i.e., bone mineral content, density,  
24 bone strength index) in previous DEXA and pQCT studies involving stroke populations.<sup>(27)</sup>  
25 However, the relationship between bone strength and mechanical muscle tissue alterations

1 (i.e., stiffness) of the paretic upper limb, often ensuing stroke,<sup>(28,29)</sup> has not been examined  
2 and may also be relevant to bone health.

3 To address the above knowledge gaps identified above, the aims of this study were to  
4 investigate the impact of stroke on bone properties (macrostructure, microstructure,  
5 geometry, estimated strength) of the distal radius and to examine the association between the  
6 estimated failure load and indicators of muscle and physical function during the chronic stage  
7 of recovery. The wrist region is the second most frequent site of fragility fractures post-  
8 stroke.<sup>(6)</sup> As changes in peripheral bone sites have been shown to be more pronounced in the  
9 upper limb than lower limb following stroke,<sup>(19)</sup> the distal radius was examined in this study.

10

## 11 **2. Methods**

### 12 *2.1 Participants*

13 Individuals with chronic stroke and age- and sex-matched controls without prior  
14 stroke history were recruited from the general public, community self-help groups and an  
15 existing patient database. Relevant medical history and demographic data were obtained by  
16 phone interviews. Recruitment of participants commenced April 11, 2018 and ended  
17 February 28, 2019. A total of 67 stroke and 66 control participants were screened prior to  
18 data collection which was conducted from June 1, 2018 to March 30, 2019. From the stroke  
19 group, one person was excluded due to a congenital bone deformation in the tibia and two  
20 others withdrew from the study before all of the assessments were conducted. From the  
21 control group, one person was excluded due to a previous Achilles tendon repair surgery and  
22 another person was excluded due to an essential tremor. Study approval was granted by the  
23 Human Subjects Ethics Sub-committee of the University (reference number  
24 HSEARS20171212003 on January 2, 2018) and the Clinical Research Ethics Committee of  
25 the hospital (Joint Chinese University of Hong Kong-New Territories East Cluster Clinical

1 Research Ethics Committee, CREC reference number 2017-711 on April 10, 2018). Informed  
2 consent was obtained for all participants prior to data collection. All procedures were  
3 conducted in accordance with the Helsinki Declaration for human experiments.

4 For between-group comparisons of bone variables, a priori power analysis to  
5 determine the required sample size was done using the GPower 3.1 software (Heinrich Heine  
6 Universitat Dusseldorf, Germany).<sup>(30)</sup> Based on a previous pQCT study by Pang et al.,<sup>(14)</sup> the  
7 side × group interaction effect for the bone strength index of the radius yielded an effect size  
8 of  $f=0.25$ . Assuming the same effect size, an alpha of 0.05, and a power of 0.8, a minimum of  
9 49 participants per group were required.

10 For the hierarchical regression analysis in predicting the percent side-to-side  
11 difference (%SSD) of estimated failure load among individuals with stroke, a separate power  
12 analysis was done using Free Statistics Calculators version 4.0  
13 (<https://www.danielsoper.com/statcalc/calculator.aspx?id=16>). A previous pQCT study found  
14 that various stroke impairment variables (e.g., strength, spasticity) were associated with side-  
15 to-side difference in cortical thickness and bone mineral content of the radius ( $R^2$  change =  
16 0.20-0.26, equivalent to effect sizes  $f^2= 0.25-0.35$ ).<sup>(5)</sup> Therefore, assuming an effect size of  
17  $f^2=0.3$  attributable to the stroke impairment variables, an alpha of 0.05, and a power of 0.8, a  
18 minimum sample size of 61 individuals with chronic stroke would be required to detect a  
19 significant effect of 5 impairment variables (e.g., muscle parameters measured by ultrasound,  
20 spasticity, etc.), after accounting for the effects of age, sex, post-stroke duration, body mass  
21 index (BMI), physical activity level, comorbidities, medications, tobacco, alcohol, calcium  
22 and vitamin D supplement usage, and %SSD in cortical perimeter.

23 After considering the two power analyses above, we aimed to recruit a minimum of  
24 61 individuals with stroke, and 61 control participants.

25

## 1 2.2 Inclusion & exclusion criteria

2 The inclusion criteria for the stroke group were: (1) history of chronic stroke (onset  
3 >6 months), (2) age >18, (3) community-dwelling, (4) able to reach 60° of passive elbow  
4 flexion, (5) Abbreviated Mental Test (AMT) score  $\geq 6$ .<sup>(31)</sup> The exclusion criteria were: (1)  
5 diagnoses of other neurological conditions, (2) significant musculoskeletal conditions (e.g.  
6 amputations), (3) metal implants in distal radius, (4) upper extremity fracture within the past  
7 12 months, (5) diagnosis of osteoporosis, (6) severe contractures prohibiting the individual  
8 from reaching 60° of passive elbow flexion, (7) other serious illnesses that precluded  
9 participation in the study. The control group had the same eligibility criteria with the  
10 exception of prior stroke history.

11

## 12 2.3 Measurement procedures

### 13 2.3.1 HR-pQCT

14 Bone imaging was conducted at a bone imaging center in a local hospital. Volumetric  
15 bone mineral density (vBMD) and architecture were measured at the distal radius using a  
16 three-dimensional HR-pQCT system (XtremeCT II; Scanco Medical AG, Bassersdorf,  
17 Switzerland) which simultaneously acquires a stack of 168 parallel CT slices with an  
18 isotropic voxel size of 61 $\mu$ m and a matrix size of 2304  $\times$  2304. All standard scans were  
19 performed under the following settings: effective energy of 68 kVp, X-ray tube current of  
20 1470  $\mu$ A, scanning time per site of 1.8 minutes and an effective dose of 5 $\mu$ Sv per scan.<sup>(26)</sup>  
21 The same scanner was used for all stroke and control participants throughout the study  
22 period. The scan region was fixed at 9.0 mm (distal radius) proximal from the mid-joint line.  
23 Length of the scan region spanned 10.2 mm proximally. A fixed rather than relative offset  
24 distance (i.e., %-length method) was used.<sup>(26)</sup> A summary description of all HR-pQCT  
25 variables collected is provided in the supplementary appendices (Supplemental Table 1).



1 Standard analysis of 3D bone parameters was conducted using Image Processing Language  
2 software (IPL v5.08b, Scanco Medical AG, Brüttisellen, Switzerland). The forearm, wrist and  
3 hand were immobilized prior to placement within the scanner gantry using a formable padded  
4 cast provided by the manufacturer in order to standardize anatomical orientation and  
5 minimize motion during acquisition. Calibration using a quality control phantom was  
6 performed daily to monitor the stability of the density and architectural parameters as  
7 suggested by the manufacturer (Scanco Medical AG, User's Guide for XtremeCT II Version  
8 1.2). The deviation of the calibration value was less than 1.5% of the quality control phantom  
9 value provided by the manufacturer (Scanco Medical AG, User's Guide for XtremeCT II  
10 Version 1.2). To confirm image quality, motion grading guidelines were followed<sup>(32)</sup>. Scans  
11 with a motion grade score between 1-4 would be retained.<sup>(26)</sup> The second generation scanner  
12 (XtremeCT II) has demonstrated excellent reproducibility.<sup>(33)</sup> In the current study, the root  
13 mean squared percent coefficient of variation ( $CV\%_{RMS}$ ) of short term precision for the  
14 radius among a cohort of 30 healthy individuals were 0.21-0.94% for geometric measures.  
15 The corresponding values for density and microstructural parameters were 0.29-0.95% and  
16 0.52-3.36%, respectively. This scanner has comparatively higher resolution (isotropic voxel  
17 size of 61  $\mu\text{m}$  for XtremeCT II) and has demonstrated excellent agreement with the previous-  
18 generation scanner for most densitometric measures.<sup>(34)</sup>

19

### 20 **2.3.2 Finite Element Analysis**

21 The finite element ( $\mu\text{FE}$ ) analysis was performed using the FE-solver provided by the  
22 manufacturer (IPL v5.08b, Scanco Medical AG, Brüttisellen, Switzerland). CT images were  
23 segmented using a dual-threshold technique and converted into an FE mesh. A voxel-by-  
24 voxel conversion approach was used to convert each voxel into a cubic hexahedral finite  
25 element for analysis. Material properties chosen were isotropic and elastic. A linear high-

1 friction compression model was applied with a Young's modulus of 10 GPa and a Poisson's  
2 ratio of 0.3 assigned. A compression test was simulated in which a 1000N load in the axial  
3 direction was applied at one end (distal-most row of elements) while the other end (proximal-  
4 most row of the elements) was fully constrained to simulate a fall from standing height on an  
5 outstretched arm.<sup>(35)</sup> A bone tissue yield strain of 7000  $\mu$ strain was chosen based on studies  
6 by van Rietbergen et al.<sup>(36)</sup> and Niebur et al.<sup>(37)</sup> The failure criterion was set at 2% of bone  
7 tissue strained based on the published data from Pistoia et al. <sup>(38)</sup> The experimental study,  
8 using cadaveric arms, was performed in correlating the experimental failure load (using  
9 uniaxial-driven mechanical testing machine) and FE analysis (using HR-pQCT images) at  
10 different failure criterion (varying from 1%-7% strained). Among the 1-7% failure criteria,  
11 the best prediction of bone failure was obtained when it was assumed that 2% of the bone  
12 tissue is loaded beyond a yield strain of 7000  $\mu$ strain at the onset of fracture. Similar results  
13 were also supported by Niebur et al.<sup>(37)</sup> who reported that 2.5% of the tissue exceeded the  
14 tissue yield strain when reaching the apparent compressive yield point. Therefore, we  
15 selected a 2% strain at 7000  $\mu$ strain. Similar settings have been applied in previous clinical  
16 and large, population-based studies to predict fracture risk<sup>(17)</sup> and determine age-related  
17 differences in bone loss.<sup>(39)</sup>

18

### 19 2.3.3 Ultrasound

20 To measure various aspects of muscle properties (stiffness, echo intensity, cross-  
21 sectional area, blood flow), ultrasound imaging was conducted in a muscle imaging lab of the  
22 University. An Aixplorer ultrasound scanner (Aixplorer, SuperSonic Imagine, Aix en-  
23 Provence, France) coupled with a linear array probe (4-15 MHz, SuperLinear, 15-4, Vermon,  
24 France) was used. For each parameter, the average of 3 measures was used for the analysis. A  
25 standoff gel couplant layer of approximately 2mm thickness served as the interface between

1 the probe and skin surface to minimize probe compression on muscle during measurements.  
2 Surface electromyography (sEMG) (Bagnoli EMG system, Delsys Inc, Natick,  
3 Massachusetts, USA) was used concomitantly to confirm muscle relaxation. In the event of  
4 contracture or spastic response, images were retaken. A low-pass filter <10Hz was applied  
5 for wave rectification of all real-time sEMG signal data using LabVIEW software (National  
6 Instruments Co., Austin, Texas, USA). A notch filter was also applied at 50, 100 and 150Hz  
7 frequencies to preserve sEMG signal integrity and suppress powerline and harmonic noise  
8 during image capture. Following skin preparation (i.e., shaving, abrading, sterilization and  
9 conductive gel), a sensor (SX230, Biometrics Ltd, Gwent, UK) was placed on the skin  
10 surface of the muscle and affixed using a die cut medical grade adhesive tape. A bilateral  
11 comparison of muscle parameters is shown in Figure 2.

12

13 *2.3.3.1 Muscle stiffness:* The shear wave velocity (m/s) of the biceps brachii muscles was  
14 measured using a standard musculoskeletal imaging preset in shear wave elastography mode.  
15 Shear wave velocity is a measure of shear wave dispersion through tissue. Higher velocities  
16 indicate greater tissue stiffness.<sup>(40)</sup> During image acquisition, participants were placed in a  
17 supine position with the shoulder abducted 45° and elbow joint immobilized at 60° of flexion  
18 using an external fixation device. Measurement sites were standardized at the lower third of  
19 the humerus (approximately 66% of the total length) according to an adapted procedure used  
20 by Wu et al.<sup>(29)</sup> The probe was placed in parallel alignment to the muscle fascicle direction.  
21 The region of interest (ROI) was standardized for all measures (1.89 cm<sup>2</sup> area with an  
22 approximate depth of 1 cm below the subcutaneous tissue layer). Individual images were  
23 captured after a consistent and stable color distribution was observed. All values were  
24 generated using a Q-box Trace function (Supersonic Imagine, Aix en-Provence, France)  
25 during image processing. **Ultrasound elastography has demonstrated moderate reliability in**

1 measuring muscle stiffness and good convergent validity with clinical assessments of post-  
2 stroke spasticity and motor impairment.<sup>(41)</sup>

3  
4 2.3.3.2 *Echo intensity*: Muscle echo intensity was measured using B-mode ultrasound. For  
5 each measurement, the probe was angled cranially and caudally until maximal echo intensity  
6 was observed in the scanning plane. Gray-scale analysis was conducted with an impxel  
7 calculation function using a customized program written in Matlab (version R2018a,  
8 Mathworks, Natick, Massachusetts, USA). The ROI (1.89 cm<sup>2</sup> area) was the same as each  
9 elastogram captured.<sup>(28)</sup> Gray-scale values were standardized at a gain of 50% for all  
10 measures, with darkest and lightest pixels represented by values of 0 and 255, respectively.

11  
12 2.3.3.3 *Muscle cross-sectional area*: Muscle cross-sectional area (MCSA) (in cm<sup>2</sup>) of the  
13 biceps brachii was measured using a panoramic image capture function in B-mode ultrasound  
14 at the measurement site previously specified for muscle stiffness measures above. A foam  
15 padded adhesive probe support was placed in line with the muscle circumference to reduce  
16 probe translation and ensure clarity during image capture. Using the perimeter trace function  
17 (Supersonic Imagine, Aix en-Provence, France), a muscle region from the medial to lateral  
18 borders was manually selected.

19  
20 2.3.3.4 *Vascular measures*: Vascular parameters were also measured as previous work has  
21 indicated that the influence of these factors on bone strength are regional and more  
22 pronounced in the epiphysis than diaphysis.<sup>(42-44)</sup> Peak systolic velocity (cm/s) of the brachial  
23 artery was measured using pulse wave Doppler ultrasound by initially placing the probe  
24 transversely along the medial aspect of the upper arm (measurement site previously stated).  
25 The probe was then rotated sagittally and tilted to visualize the artery longitudinally. An

1 electronic calliper was then placed in the artery center, and the sample volume was  
2 standardized at 0.5mm. Angle correction and steering were adjusted to optimize angle-to-  
3 flow ( $\leq 60^\circ$  insonation). Spectral waveform cycles with 3 consistent readings were used to  
4 calculate each measurement trial.

5

## 6 ***2.4 Functional assessment procedures***

7 Measures of functional and stroke-specific impairments were conducted in a  
8 university laboratory. For muscle strength and touch pressure threshold parameters, the  
9 average of 3 trials were used for the analysis. Functional assessments were conducted on a  
10 separate day prior to ultrasound assessments to minimize the influence of strength testing on  
11 muscle, vascular and elastography outcomes. All functional assessments were obtained for  
12 both control and stroke groups (i.e., physical activity level, muscle strength) with the  
13 exception of spasticity, motor impairment and paretic limb usage. These stroke-specific  
14 assessments were only conducted among stroke participants.

15

### 16 ***2.4.1 Spasticity***

17 The composite spasticity scale (CSS) was used to measure elbow flexor spasticity  
18 (score range: 1-16), with higher scores indicating more severe spasticity. The CSS has shown  
19 high test-retest reliability in previous studies examining spasticity among stroke patients  
20 (ICC=0.97).<sup>(45,46)</sup>

21

### 22 ***2.4.2 Upper limb motor impairment***

23 The Fugl-Meyer Motor Assessment (FMA) is a stroke-specific assessment used to  
24 evaluate the motor impairment of the paretic arm for reflex, neuromuscular coordination and

1 volitional movement with and without accompanying synergies (score range: 0-66). FMA has  
2 demonstrated high inter-rater reliability (ICC=0.97) in stroke patients.<sup>(47)</sup>

3

#### 4 *2.4.3 Paretic limb use*

5 The Motor Activity Log (MAL) questionnaire served as a subjective appraisal of  
6 paretic arm usage frequency during 30 functional activities according to the Amount of Use  
7 (AOU) scale. Mean scores from the 30-item scale were used for analysis. Among stroke  
8 patients, the MAL-AOU scale has shown high internal consistency (Cronbach's  $\alpha=0.88$ ).<sup>(48)</sup>

9

#### 10 *2.4.4 Physical activity level*

11 An adapted version of the 12-item Physical Activity Scale for the Elderly (PASE) was  
12 used to evaluate general physical activity level. Scores are calculated by weights and  
13 frequency values which correspond to each activity type assessed, with higher scores  
14 indicating higher activity level. This version has been previously validated in elderly Chinese  
15 populations and has demonstrated good test-retest reliability (ICC=0.81) and fair to moderate  
16 association with other clinically relevant measures of function.<sup>(49)</sup>

17

#### 18 *2.4.5 Muscle strength*

19 The isometric peak torque (N/m) of elbow flexors was assessed using a dynamometer  
20 (Humac Norm Systems, Stoughton, Massachusetts, USA) in 60° of elbow flexion and 45° of  
21 shoulder abduction. Measurement error has been shown to be smaller for isometric than  
22 isokinetic testing conditions.<sup>(50)</sup> A 60° angle was used for testing based on evidence  
23 suggesting elbow flexion torque is greatest in 56° for healthy individuals<sup>(51)</sup> and 60° for  
24 paretic arms in stroke patients.<sup>(52)</sup> Wrists were used as the contact interface between  
25 participants and the dynamometer handle due to impairments in grip strength or dexterity of

1 paretic hands. The wrist was held in place by elastic straps. A triangular support cushion was  
2 also placed in the lower-axilla region to maintain a 45° angle of shoulder abduction.

3

#### 4 *2.5 Statistical analysis*

5       The following analyses were performed using SPSS (version 26.0, SPSS Inc.,  
6 Chicago, Illinois, USA) at a significance level of 0.05 (two-tailed). Independent t, Mann-  
7 Whitney U and  $\chi^2$  tests were used comparing baseline between-group differences for  
8 participant characteristics according to continuous, ordinal and nominal levels of data,  
9 respectively. Wilcoxon test was used to compare the motion artefact scores between the two  
10 sides in each of the stroke and control groups, and Mann-Whitney U test was used to  
11 compare these scores between groups. A linear mixed model was used to examine within and  
12 between-groups differences for HR-pQCT, ultrasound and isometric peak torque variables  
13 assessed bilaterally [within-subject factor: side (paretic vs non-paretic for stroke group or  
14 non-dominant vs dominant for control group), between-subject factor: group (stroke vs  
15 control)]. This approach takes correlation between repeated measures into account<sup>(53)</sup> and is  
16 considered robust when the variance in data distribution is either non-gaussian or  
17 heteroscedastic.<sup>(54)</sup> A significant side  $\times$  group interaction effect generated by the model  
18 indicates the side-to-side difference of the variable is group-dependent ( $p \leq 0.05$ ). Following  
19 the linear mixed model analysis, post-hoc paired t-tests were used to compare between the  
20 two sides. Post-hoc independent t-tests were also used to compare the percent (%) side-to-  
21 side difference (%SSD) between the stroke and control groups. A more stringent significance  
22 level of 0.017 (Bonferroni's correction:  $0.05/3$ ) was used for post-hoc tests to adjust for  
23 multiple comparisons. The following formula was used in calculating %SSD:

24

$$25 \quad \frac{\text{Non-paretic or Dominant side} - \text{Paretic or Non-dominant side}}{\text{Non-paretic or Dominant side}} \times 100$$

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25

The %SSD is derived from comparing the two sides within the same individual and may provide a more specific assessment of the impact of stroke on bone and muscle properties on the hemiparetic side, while controlling for different cofactors affecting bone metabolism across individuals (i.e. genetic, age, nutrition, and other personal and environmental factors).<sup>(44,55)</sup> As a standardized score, it also facilitates between-group comparisons. The %SSD has also been used in previous research assessing bone status in the upper limb post-stroke.<sup>(5,14)</sup>

A subgroup analysis was conducted using dichotomous grouping based on average stroke duration (i.e., below or above  $5.8\pm 4.0$  years) and using tertiles of comparable subject groupings according to stroke onset chronology (i.e.,  $\leq 3$  years (n=19), 4-5 years (n=21), and  $\geq 6$  years (n=24)). A subgroup analysis was also conducted for dichotomous groups based on the limb most affected by stroke (i.e., dominant side (n=28) or non-dominant side (n=36)). Between-group differences for all bone parameters were assessed with independent t-tests for dichotomous grouping and one-way ANOVA for tertiles.

Pearson's r was also used to examine bivariate correlations between %SSD in estimated failure load of the distal radius and %SSD of other variables. As %SSD calculations were not suitable for stroke-specific outcomes measured only on the paretic side (i.e., CSS, FMA and MAL-AOU), raw values were used to assess their correlation with %SSD in estimated failure load.

For the stroke group, we were interested in determining which aspects of bone (e.g., densitometric, microstructure, cortical vs trabecular, etc.) contributed more to the %SSD in estimated failure load. Failure load was used the dependent variable because it is considered a material parameter accounting for material behavior (yield, post-yield) and loading (magnitude of applied force) required to strain bone tissue beyond a critical limit.<sup>(38)</sup>



1 Estimated failure load has been shown to be a better predictor of incident fracture than  
2 volumetric density<sup>(56)</sup> and bone morphometry measures alone.<sup>(38)</sup> The identification of failure  
3 load thresholds suggestive of higher fracture risk has been described in a multicentre  
4 prospective study involving large cohorts of elderly men and women.<sup>(57)</sup> This study showed  
5 estimated failure load to be the strongest correlate of incident fracture.<sup>(57)</sup> Stroke-related  
6 impairment and consequent hemiosteoporosis of paretic limbs may also exacerbate proclivity  
7 to fracture.<sup>(6)</sup> Although estimated failure load is essentially a material parameter and not a  
8 direct determinant of fracture risk, the amount of bilateral difference in estimated failure load  
9 among individuals with stroke, in comparison to that of their counterparts without stroke,  
10 may provide a meaningful comparison of hemiparetic bone status which differs from  
11 previous estimates of bone strength (e.g., compressive bone strength index, polar stress-strain  
12 index).<sup>(5,14,18,19)</sup>

13 First, the bone variables were classified into six categories (i.e., cortical area,  
14 trabecular area, cortical vBMD, trabecular vBMD, cortical microstructure, trabecular  
15 microstructure), in accordance with description in Whittier et al.<sup>(26)</sup> For categories containing  
16 more than one variable (e.g., trabecular microstructure: %SSD trabecular number, %SSD  
17 trabecular thickness, %SSD trabecular separation, %SSD trabecular bone volume fraction;  
18 and cortical microstructure: %SSD cortical thickness, %SSD cortical perimeter, %SSD  
19 intracortical porosity), a principal component analysis was done to transform the data into  
20 one variable (factor). Next, the above six variables were entered into a hierarchical regression  
21 model to identify their associations with the %SSD in estimated failure load.

22 Next, a second hierarchical multiple regression analysis was used to identify the  
23 associations between the functional and ultrasound variables and %SSD in estimated failure  
24 load (dependent variable) for the stroke group, while adjusting for potentially confounding  
25 factors (e.g., demographics). Prior to the regression analysis, the demographic data,

1 functional and ultrasound variables were entered into the principal component analysis to  
2 objectively and quantitatively identify the independent variables for the regression model.  
3 Factors extracted from the principal component analysis, and also the %SSD in cortical  
4 perimeter (to adjust for the potential variation in the location of the scan region), were  
5 entered into the model using a hierarchical regression series procedure described in detail by  
6 Mancuso et al.<sup>(58)</sup>

7

### 8 **3. Results**

#### 9 ***3.1 Participant characteristics***

10 A total of 64 stroke and 64 control participants completed all the assessments. A  
11 summary of participant characteristics is provided in Table 1. Scan quality was good for all  
12 stroke and control group participants (i.e., motion grade = 1-4). Significant baseline  
13 differences between the stroke and control groups were observed for total number of  
14 comorbidities and medications. There was also a small but significant difference in AMT  
15 score. Otherwise, no significant between-group differences were found for other variables.  
16 For the stroke group, the mean scores for the CSS, FMA and MAL-AOU indicated mild  
17 spasticity, moderate degree of motor impairment, and minimal perceived usage frequency of  
18 the paretic arm, respectively.

19

#### 20 ***3.2 HR-pQCT variables***

21 As the total number of medications and comorbidities per person are physiologically  
22 relevant to bone health and showed significant between-group differences, they were used as  
23 covariates in the linear mixed model analysis. There was a significant side × group  
24 interaction effect for estimated failure load, indicating that the magnitude of the side-to-side  
25 difference in this variable was group-dependent, after accounting for the between-group

1 differences in total medications and comorbidities (Table 2). A significant interaction effect  
2 was also observed for all volumetric density parameters, cortical area, cortical thickness,  
3 trabecular number, trabecular separation, and trabecular bone volume fraction (Table 2).  
4 Post-hoc paired t-tests showed significant differences in cortical area, trabecular area, number  
5 and separation, and estimated failure load parameters between the two sides in both the stroke  
6 and control groups. In addition, all volumetric density parameters, cortical thickness and  
7 trabecular bone volume fraction demonstrated significant side-to-side differences in the  
8 stroke group, but not in controls. On the other hand, the cortical perimeter showed a side-to-  
9 side difference in the control group, but not the stroke group (Table 2). With the exception of  
10 intracortical porosity and trabecular thickness, post-hoc analysis showed a significant  
11 difference in %SSD of all bone parameters between the stroke and control groups (Table 2).  
12 No significant differences were found in the motion grade scores between sides for the two  
13 groups, as well as between groups suggesting that paretic arm spasticity did not affect scan  
14 quality (Supplemental Table 2). The HR-pQCT images obtained from a representative stroke  
15 patient and an age- and sex-matched control participant are displayed in Figure 1.

16

### 17 **3.3 Other variables**

18 For other variables measured bilaterally, a significant side  $\times$  group interaction effect  
19 was observed for echo intensity and isometric strength. A side-to-side comparison of muscle  
20 variables measured using ultrasound is provided in Figure 2. All other ultrasound variables  
21 showed no significant interaction effects (Table 3). Post-hoc paired t-tests showed significant  
22 side-to-side differences for muscle cross sectional area, echo intensity and isometric strength  
23 in the stroke group, but not controls (Table 3). Post-hoc independent t-tests also showed a  
24 significant between-group difference in %SSD for these variables (Table 3).

25

### 1 **3.4 Subgroup analysis**

2           There were no associations between stroke duration and all bone parameters ( $r=-$   
3  $0.214-0.218$ ,  $p\leq 0.893$ ) (Supplemental Table 3). When participants were grouped  
4 dichotomously according to below or above average stroke duration, independent t-test  
5 showed no significant differences between groups for all bone parameters ( $p\leq 0.997$ )  
6 (Supplemental Table 4). For tertile subdivision of comparable group numbers, one-way  
7 ANOVA results also showed no significant between-group difference for all bone parameters  
8 ( $F=0.038-1.629$ ,  $p\leq 0.886$ ) (Supplemental Table 5). For dichotomous subgroups based on the  
9 stroke-affected side (i.e. dominant vs non-dominant), %SSD in trabecular area was  
10 significantly greater for those whose stroke-affected side was the dominant side ( $n=28$ ,  
11  $p=0.001$ ) while %SSD in cortical area was significantly greater for those whose stroke-  
12 affected side was the non-dominant side ( $n=36$ ,  $p=0.013$ ). (Supplemental Table 6).

13

### 14 **3.5 Correlations, principal component analysis and regression analysis**

15           Regression analysis was used to determine the relative contributions of different bone  
16 properties to the %SSD in estimated failure load for the stroke group. Bone variables under  
17 the trabecular microstructure (Factor 1) and cortical microstructure (Factor 2) categories were  
18 reduced to single factors following the principal component analysis (Supplemental Table 7).  
19 These factors, along with other bone parameters, were then entered into a hierarchical  
20 regression model (Table 4). Among the bone parameters, %SSD in trabecular vBMD  
21 ( $\beta=1.106$ ), trabecular microstructure (Factor 1) ( $\beta=-0.674$ ), cortical area ( $\beta=0.658$ ) and  
22 cortical microstructure (Factor 2) ( $\beta=-0.318$ ) were significant determinants of %SSD in  
23 estimated failure load ( $F=18.151$ ,  $p<0.001$ ), and accounted for 33.4%, 4.0%, 12.9% and 3.5%  
24 of the total variance, respectively (Table 4).

1 Bivariate correlations between %SSD of failure load and other variables (e.g.,  
2 demographic, functional and ultrasound data) for the stroke group are shown in Supplemental  
3 Table 8. A separate regression analysis was done to determine the associations between  
4 different functional /ultrasound variables and %SSD in estimated failure load for the stroke  
5 group, while adjusting for the effects of potentially confounding variables (e.g., demographic  
6 data). Using principal component analysis, the data were reduced to 8 factors (Supplemental  
7 Table 9). These factors were then used as independent variables for the hierarchical  
8 regression model (Table 5). After controlling for %SSD in cortical perimeter, Factor 1 (i.e.,  
9 FMA, MAL, CSS) ( $\beta=0.480$ ) remained independently associated with %SSD of estimated  
10 failure load ( $F=2.827$ ), accounting for 23.3% of the variance (Table 5).

11

## 12 **4. Discussion**

13 Several HR-pQCT variables demonstrated significant interaction effects, indicating  
14 that stroke had a substantial impact on bone density, area and microstructure variables.  
15 Among these, trabecular vBMD, trabecular microstructure, cortical area and cortical  
16 microstructure were significant determinants of %SSD of estimated failure load at the distal  
17 radius. Of the various potential clinical correlates, motor function [Factor 1: motor  
18 impairment severity (FMA), perceived usage frequency (MAL-AOU) and spasticity (CSS)]  
19 emerged as a significant determinant of the %SSD of estimated failure load.

20

### 21 **4.1 HR-pQCT variables**

22 The side-to-side differences in volumetric density parameters observed in our study  
23 (4.6%-23.1%,  $p\leq 0.017$ ) (i.e., lower cortical, trabecular and total vBMD in paretic limbs) are  
24 largely consistent with previous pQCT studies in stroke populations.<sup>(8,14,19)</sup> The detrimental  
25 impact of stroke on geometric and structural bone parameters of the radius have also been

1 reported previously.<sup>(5)</sup> However, cortical area and thickness measures at the distal radius have  
2 been problematic due to thin cortical shells on the paretic side and lower resolution of  
3 previous pQCT scanners (i.e., voxel size: 300-500  $\mu\text{m}$ ).<sup>(5,14,21)</sup> In the present study using HR-  
4 pQCT (i.e., 61  $\mu\text{m}$ ), these parameters were significantly diminished on the paretic side  
5 (14.4%-15.1%,  $p\leq 0.017$ ) (Table 2). Cortical area was also a significant determinant of  
6 estimated failure load according to our regression results ( $\beta=0.658$ ) (Table 4). In terms of  
7 bone microstructure, the %SSD (i.e., paretic side deficit) was more evident for trabecular  
8 number (12.3%), trabecular separation (24.3%), trabecular bone volume fraction (21.1%) and  
9 cortical thickness (14.4%) in comparison to trabecular thickness (0.89%,  $p>0.05$ ), cortical  
10 perimeter (0.04%) and intracortical porosity (8.4%) (Table 2). The results of the regression  
11 analysis seem to indicate a stronger relationship between estimated failure load and  
12 trabecular microstructure (Factor 1) ( $\beta=-0.674$ ) compared to cortical microstructure (Factor  
13 2) ( $\beta=-0.318$ ) (Table 4). As trabecular vBMD was the largest contributor of estimated failure  
14 load ( $\beta=1.106$ ) (Table 4), together, these findings suggest a greater loss of trabecular bone  
15 relative to cortical bone.

16       Significantly lower number and greater average distance between trabeculae may  
17 indicate substantial loss of trabeculae on paretic sides and reduced connectivity with greater  
18 heterogeneous distribution of trabecular bone. A similar bone loss pattern has been observed  
19 when comparing premenopausal and postmenopausal osteopenic or osteoporotic women  
20 ( $p<0.01$ ).<sup>(11)</sup> Greater trabecular bone loss and more heterogeneous distribution of trabeculae  
21 in the radius was found among osteopenic women with fractures compared to women without  
22 fracture history ( $p<0.02$ ) despite similar spine and hip bone density.<sup>(11)</sup> Low trabecular  
23 number in the radius has also been shown to be highly associated with increased osteoporotic,  
24 vertebral and non-vertebral fracture risk (Hazard Ratio=1.46-1.80 per SD,  $p\leq 0.01$ ) in a large  
25 prospective trial of elderly men.<sup>(59)</sup> In recent meta-analyses, trabecular vBMD in particular, as

1 well as trabecular bone volume fraction, was strongly associated with fracture.<sup>(56,60)</sup> However,  
2 trabecular microstructure alone may lack the sensitivity and specificity to fully distinguish  
3 fracture risk.<sup>(59)</sup> Though not exclusively for the identification of Colles-type fractures of the  
4 radius, material bone parameters from  $\mu$ FE analysis have been suggested to be superior to  
5 vBMD and microstructure for separating fragility fracture cases from controls at multiple  
6 bone sites.<sup>(61)</sup> The degree to which compromised microstructural properties contribute to  
7 fracture risk in individuals with stroke will require future research.

## 10 **4.2 Spasticity**

11 Spasticity (CSS score) is one of the variables included in Factor 1 (Table 5) that was  
12 shown to be a significant determinant of %SSD in estimated failure load in the regression  
13 analysis. Previous studies examining the impact of spasticity on bone properties post-stroke  
14 have produced mixed results.<sup>(5,8,14,42,43,62,63)</sup> Paretic upper limb spasticity has been shown to  
15 be a weak correlate of cortical bone mineral content (BMC) ( $r=0.457$ ,  $p<0.05$ ) and cortical  
16 thickness ( $r=0.476$ ,  $p<0.05$ ) in the distal radius.<sup>(5)</sup> In studies involving the lower limb,  
17 associations between bone strength index and moderate to severe presentations of spasticity  
18 have been shown to be either weak in regression models ( $\beta=-0.235$ ,  $p=0.028$ )<sup>(43)</sup> or lacking in  
19 bivariate correlations (males:  $r=-0.167$ , females:  $r=-0.014$ ).<sup>(63)</sup> There were also  
20 methodological differences between spasticity measures in our study (i.e., CSS) compared to  
21 previous studies (i.e., Modified Ashworth Scale). The Modified Ashworth Scale is only used  
22 to evaluate resistance to passive movement whereas the CSS is a more comprehensive multi-  
23 component scale for assessing additional neurogenic aspects of spasticity (i.e., tendon jerk  
24 and wrist clonus). Future studies investigating the influence of post-stroke spasticity on bone  
25 should consider the precision and specificity of the assessments used.

1

### 2 ***4.3 Upper limb motor recovery***

3 FMA was moderately correlated with %SSD of estimated failure load in the bivariate  
4 correlation analysis ( $r=-0.54$ ,  $p<0.001$ ) (Supplemental Table 8). Our regression analysis  
5 confirmed that FMA contributed to %SSD of estimated failure load. A previous study  
6 reported only a weak association between Wolf Motor Function Test scores and %SSD in  
7 cortical BMC ( $r=-0.42$ ,  $p<0.05$ ), cortical thickness ( $r=-0.42$ ,  $p<0.05$ ) and no association with  
8 the %SSD in polar stress-strain index p-SSI ( $r=-0.150$ ) of the radius diaphysis.<sup>(5)</sup> Another  
9 study also showed a moderate association between FMA scores and stress-strain index of the  
10 paretic radius midshaft ( $R=0.62$ ,  $p=0.04$ ).<sup>(20)</sup> Whether or not rehabilitation interventions with  
11 motor training components can potentially influence bone strength after stroke is difficult to  
12 determine based on the inconsistencies in reported associations. However, a study involving a  
13 6-month comprehensive motor exercise program was shown to be effective in increasing  
14 trabecular bone content ( $p=0.048$ ) and cortical bone thickness ( $p=0.026$ ) of the paretic tibia in  
15 chronic stroke patients.<sup>(64)</sup> A similar program targeting the neuromotor system for the purpose  
16 of enhancing bone strength in upper limb sites, awaits further study.

17

### 18 ***4.4 Perceived paretic upper limb use***

19 MAL score, indicative of perceived paretic arm disuse, is another variable that  
20 constitutes Factor 1 which showed a significant association with %SSD in estimated failure  
21 load as revealed by the regression analysis. As stated previously, paretic arm motor  
22 impairment, of which disuse is a major component, has been shown to yield a weak to  
23 moderate correlation with cortical BMC loss in the paretic distal radius ( $r=-0.42$ ,  $p<0.05$ ) and  
24 reduced polar stress-strain index in the midshaft of the paretic radius ( $R=0.62$ ,  $p=0.04$ ).<sup>(5,20)</sup>  
25 The results of the regression suggest that more frequent paretic arm usage during daily



1 activities may be an important prescriptive element. During acute and subacute stages of  
2 stroke recovery, failed attempts to use the paretic arm in daily activities reinforce  
3 psychological patterns of disuse often termed the “learned non-use” phenomenon. Constraint-  
4 induced movement therapy, which is commonly used to overcome non-use,<sup>(48)</sup> has not been  
5 explored as a potential intervention for improving bone strength. Although physical activity  
6 and exercise in general, are well-accepted stimuli for improving bone strength in populations  
7 with comparable changes (i.e., post-menopausal women),<sup>(65)</sup> it may be relatively  
8 underutilized for this specific purpose after stroke.<sup>(2,27)</sup>

#### 10 **4.5 Muscle Strength**

11 Muscle strength, also pertinent to motor function post-stroke, has consistently proven  
12 to be a strong correlate and predictor of bone geometry, density and strength of the paretic  
13 radius in previous studies.<sup>(5,14,62)</sup> The lack of correlation between elbow flexion strength and  
14 %SSD of estimated failure load observed in our study is perhaps explained by the different  
15 muscle groups and joint actions tested. Elbow flexion peak torque was the only measure of  
16 paretic arm strength assessed in our study. As the biceps brachii was the main muscle  
17 measured during ultrasound assessments, we used elbow flexor strength as our muscle  
18 strength measure. Previous research also showed that the degree of strength impairment in  
19 elbow flexion (25.6% of normal) was similar to that in more proximal (shoulder abduction;  
20 23.6% of normal) and distal (wrist extension; 25.6% of normal) muscle actions.<sup>(5)</sup> However,  
21 the muscle force stimulus provided by the biceps brachii is limited to the proximal radius and  
22 may thus play a minor role in influencing the bone properties of the distal radius. Grip  
23 strength may have been a more appropriate measure for our study.

24

## 1 **4.6 Limitations**

2 In this study, the standard  $\mu$ FE analysis with the same linear elastic modulus  
3 assignment was used for all participants. This approach has also been used previously for  
4 comparing bone parameters between clinical populations and controls.<sup>(23)</sup> Other studies have  
5 also used non-linear approaches (i.e., models using asymmetric strain criteria) with higher  
6 and lower modulus values for cortical and trabecular bone elements, respectively.<sup>(66-68)</sup> The  
7 elastic modulus of cortical and trabecular tissues has been shown to differ based on modulus  
8 direction.<sup>(69)</sup> There is some support for the concomitant use of both linear and non-linear  $\mu$ FE  
9 analysis for estimating bone strength in comparing the moduli of homogeneous tissue and  
10 scaled CT-attenuation models.<sup>(66)</sup> Although more computationally intensive, non-linear FE  
11 analysis may offer a more direct estimate of bone mechanical strength properties given that  
12 cortical and trabecular bone exhibit differing post-yield behaviours.<sup>(70)</sup> Therefore, when  
13 anticipating potentially lower volumetric bone density of the paretic side,<sup>(8,14,18,19)</sup> particularly  
14 for cortical bone,<sup>(14,18)</sup> the use of a non-linear and/or density-dependent modulus in the FE  
15 analysis of bone strength properties among stroke groups may warrant future investigation.

16 Limb length differences between subjects and groups is also a potential confounding  
17 element associated with the fixed offset distance scanning protocol used in this study.<sup>(26)</sup>  
18 Although several studies to date have used a fixed offset approach to standardize  
19 measures,<sup>(15-17,23-25,59)</sup> it may be important to consider the limitations associated with both  
20 fixed and relative offset methods based on factors influencing limb length for a given subject  
21 sample.<sup>(26)</sup> For example, the fixed offset distance method may result in variation in the  
22 location of scanned region between the two sides, particularly when there was a limb length  
23 difference. On the other hand, the relative method entails accurate limb length measurement  
24 prior to scanning, and assumes proportionality between limb length and bone regions of the  
25 epiphysis, metaphysis and diaphysis.<sup>(26)</sup> Nevertheless, the use of the fixed offset method

1 should have minimal impact on our overall conclusion, because there was no significant  
2 difference in height between the two groups and %SSD in cortical perimeter was used as a  
3 covariate in the regression analysis.

4 This study was unable to demonstrate an association between stroke duration and  
5 bone properties. All stroke group participants were in the chronic stages of recovery. There is  
6 some evidence that trabecular bone loss in the paretic tibia is continuous but also tends to  
7 plateau approximately 2 years following the initial stroke.<sup>(21)</sup> It is unknown if a similar  
8 pattern of loss is evident for the radius. Additionally, participants from the stroke and control  
9 groups were only recruited through a non-probability sampling method and results can only  
10 be generalizable to individuals who share similar clinical presentations to our sample.  
11 Finally, because this study was cross-sectional in nature, it cannot demonstrate temporal  
12 changes in bone parameters nor prove a causal relationship between stroke-related  
13 impairment and distal radius fracture. The relationship between estimated failure load and  
14 incident fracture in stroke patients will require further investigation.

15

#### 16 **4.7 Conclusion**

17 This study showed that bone density, macrostructure and microstructure of the paretic  
18 distal radius were compromised in chronic stroke patients. There was a substantially lower  
19 estimated failure load for the paretic compared to the non-paretic side, which was mainly  
20 explained by the compromised trabecular vBMD, trabecular microstructure, cortical area and  
21 cortical microstructure on the paretic side. Stroke-related motor impairment was the only  
22 emergent clinical determinant of the %SSD in estimated failure load in the stroke group. The  
23 relevance of these factors for improving bone strength after stroke will require further study.

24

1 *Acknowledgements*

2 Each author contributed in the following capacities. Study design: MP, MY, LQ and TM.  
3 Data collection: TM, MY, VH, CT, HO, and LQ. Data analysis: MP, RC and TM. Data  
4 interpretation: MP, RC, and TM. Manuscript drafting: MP, MY, LQ, VH, RC, and TM.  
5 Manuscript content revision: MP, RC and TM. Final manuscript approval: MP, MY, LQ, VH,  
6 CT, HO, RC, and TM. MP takes responsibility for the integrity of the data analysis. The  
7 authors would also like to thank all of the study participants and to acknowledge Carol Wing  
8 Yee Choy, Sik Cheung Siu, Chi Hin Li, Pui Hang Chan, Ngai Lam Yu, Tat Wai Lai, Wai  
9 Cheong Wong, Virginia Lok Tung Lau, Steven Kang Long Peng, Fei Xue Li, Yi Xuan Duan,  
10 Qi Ao, and Meizhen Huang for their support and technical assistance with regards to  
11 participant recruitment, protocol refinement, and data collection during the course of this  
12 project.

## References

1. Demaerschalk BM, Hwang H-M, Leung G. US cost burden of ischemic stroke: a systematic literature review. *The American journal of managed care*. 2010/07// 2010;16(7):525-33.
2. Eng JJ. Balance, falls, and bone health: Role of exercise in reducing fracture risk after stroke. *The Journal of Rehabilitation Research and Development*. 2008;45(2):297-314.
3. Poole KES, Reeve J, Warburton EA. Falls, fractures, and osteoporosis after stroke. Time to think about protection? *Stroke*. 2002;33(5):1432-6.
4. Beaupre GS, Lew HL. Bone-Density Changes After Stroke. *Am J Phys Med Rehabil*. 2006;85(5):464-72.
5. Pang MY, Ashe MC, Eng JJ. Muscle weakness, spasticity and disuse contribute to demineralization and geometric changes in the radius following chronic stroke. *Osteoporos Int*. Sep 2007;18(9):1243-52. Epub 2007/04/03.
6. Dennis MS, Lo KM, McDowall M, West T. Fractures After Stroke. Frequency, Types, and Associations. 2002;33(3):728-34.
7. Ramnemark A, Nyberg L, Lorentzon R, Englund U, Gustafson Y. Progressive Hemioosteoporosis on the Paretic Side and Increased Bone Mineral Density in the Nonparetic Arm the First Year after Severe Stroke. *Osteoporos Int*. 1999/03/01 1999;9(3):269-75.
8. Lazoura O, Groumas N, Antoniadou E, Papadaki PJ, Papadimitriou A, Thriskos P, et al. Bone mineral density alterations in upper and lower extremities 12 months after stroke measured by peripheral quantitative computed tomography and DXA. *J Clin Densitom*. Oct-Dec 2008;11(4):511-7. Epub 2008/07/22.
9. Langsetmo L, Peters KW, Burghardt AJ, Ensrud KE, Fink HA, Cawthon PM, et al. Volumetric Bone Mineral Density and Failure Load of Distal Limbs Predict Incident Clinical Fracture Independent of FRAX and Clinical Risk Factors Among Older Men. *J Bone Miner Res*. 2018;33(7):1302-11.
10. Biver E, Durosier-Izart C, Chevalley T, van Rietbergen B, Rizzoli R, Ferrari S. Evaluation of Radius Microstructure and Areal Bone Mineral Density Improves Fracture Prediction in Postmenopausal Women. *J Bone Miner Res*. Feb 2018;33(2):328-37. Epub 2017/09/30.
11. Boutroy S, Bouxsein ML, Munoz F, Delmas PD. In vivo assessment of trabecular bone microarchitecture by high-resolution peripheral quantitative computed tomography. *J Clin Endocrinol Metab*. Dec 2005;90(12):6508-15. Epub 2005/09/29.
12. Souzanchi MF, Palacio-Mancheno P, Borisov YA, Cardoso L, Cowin SC. Microarchitecture and bone quality in the human calcaneus: local variations of fabric anisotropy. *Journal of bone and mineral research : the official journal of the American Society for Bone and Mineral Research*. 2012;27(12):2562-72.
13. Sievänen H, Koskue V, Rauhio A, Kannus P, Heinonen A, Vuori I. Peripheral Quantitative Computed Tomography in Human Long Bones: Evaluation of In Vitro and In Vivo Precision. *J Bone Miner Res*. 1998;13(5):871-82.
14. Pang MY, Cheng AQ, Warburton DE, Jones AY. Relative impact of neuromuscular and cardiovascular factors on bone strength index of the hemiparetic distal radius epiphysis among individuals with chronic stroke. *Osteoporos Int*. Sep 2012;23(9):2369-79. Epub 2012/02/09.

- 1 15. Cheuk KY, Hu Y, Tam EMS, Shi L, Yu FWP, Hung VWY, et al. Bone measurements at  
2 multiple skeletal sites in adolescent idiopathic scoliosis-an in vivo correlation study  
3 using DXA, HR-pQCT and QCT. Arch Osteoporos. Jun 27 2019;14(1):70. Epub  
4 2019/06/30.
- 5 16. Wu D, Griffith JF, Lam SHM, Wong PCH, Shi L, Li EK, et al. Progressive structural bone  
6 changes and their relationship with treatment in patients with psoriatic arthritis: a  
7 longitudinal HR-pQCT study. Arthritis Res Ther. Dec 4 2019;21(1):265. Epub  
8 2019/12/06.
- 9 17. Zhu TY, Hung VW, Cheung WH, Cheng JC, Qin L, Leung KS. Value of Measuring Bone  
10 Microarchitecture in Fracture Discrimination in Older Women with Recent Hip  
11 Fracture: A Case-control Study with HR-pQCT. Sci Rep. Sep 27 2016;6:34185. Epub  
12 2016/09/28.
- 13 18. Pang M, Zhang M, Li L, Jones A. Changes in bone density and geometry of the radius  
14 in chronic stroke and related factors: A one-year prospective study. J Musculoskelet  
15 Neuronal Interact. 2013;13(1):77-88.
- 16 19. Talla R, Galea M, Lythgo N, Eser T, Talla P, Angeli P, et al. Contralateral comparison of  
17 bone geometry, BMD and muscle function in the lower leg and forearm after stroke.  
18 Journal of Musculoskeletal Neuronal Interactions. 2011;11(4):306-13.
- 19 20. Ashe MC, Fehling P, Eng JJ, Khan KM, McKay HA. Bone geometric response to chronic  
20 disuse following stroke: a pQCT study. Journal of Musculoskeletal and Neuronal  
21 Interactions. 2006;6(3):226.
- 22 21. Lam FM, Bui M, Yang FZ, Pang MY. Chronic effects of stroke on hip bone density and  
23 tibial morphology: a longitudinal study. Osteoporos Int. Feb 2016;27(2):591-603.  
24 Epub 2015/09/04.
- 25 22. Liu XS, Stein EM, Zhou B, Zhang CA, Nickolas TL, Cohen A, et al. Individual trabecula  
26 segmentation (ITS)-based morphological analyses and microfinite element analysis  
27 of HR-pQCT images discriminate postmenopausal fragility fractures independent of  
28 DXA measurements. J Bone Miner Res. Feb 2012;27(2):263-72. Epub 2011/11/11.
- 29 23. Patsch JM, Burghardt AJ, Yap SP, Baum T, Schwartz AV, Joseph GB, et al. Increased  
30 cortical porosity in type 2 diabetic postmenopausal women with fragility fractures. J  
31 Bone Miner Res. Feb 2013;28(2):313-24. Epub 2012/09/20.
- 32 24. Burt LA, Manske SL, Hanley DA, Boyd SK. Lower Bone Density, Impaired  
33 Microarchitecture, and Strength Predict Future Fragility Fracture in Postmenopausal  
34 Women: 5-Year Follow-up of the Calgary CaMos Cohort. J Bone Miner Res. Apr  
35 2018;33(4):589-97. Epub 2018/01/25.
- 36 25. Sornay-Rendu E, Boutroy S, Duboeuf F, Chapurlat RD. Bone Microarchitecture  
37 Assessed by HR-pQCT as Predictor of Fracture Risk in Postmenopausal Women: The  
38 OFELY Study. J Bone Miner Res. Jun 2017;32(6):1243-51. Epub 2017/03/10.
- 39 26. Whittier DE, Boyd SK, Burghardt AJ, Paccou J, Ghasem-Zadeh A, Chapurlat R, et al.  
40 Guidelines for the assessment of bone density and microarchitecture in vivo using  
41 high-resolution peripheral quantitative computed tomography. Osteoporos Int. May  
42 26 2020. Epub 2020/05/28.
- 43 27. Yang FZ, Jehu DAM, Ouyang H, Lam FMH, Pang MYC. The impact of stroke on bone  
44 properties and muscle-bone relationship: a systematic review and meta-analysis.  
45 Osteoporos Int. 2020/02/01 2020;31(2):211-24.

- 1 28. Lee SS, Spear S, Rymer WZ. Quantifying changes in material properties of stroke-  
2 impaired muscle. *Clin Biomech (Bristol, Avon)*. Mar 2015;30(3):269-75. Epub  
3 2015/02/02.
- 4 29. Wu CH, Ho YC, Hsiao MY, Chen WS, Wang TG. Evaluation of Post-Stroke Spastic  
5 Muscle Stiffness Using Shear Wave Ultrasound Elastography. *Ultrasound Med Biol*.  
6 2017;43(6):1105-11.
- 7 30. Faul F, Erdfelder E, Lang A-G, Buchner A. G\*Power 3: A flexible statistical power  
8 analysis program for the social, behavioral, and biomedical sciences. *Behav Res*  
9 *Methods*. journal article May 01 2007;39(2):175-91.
- 10 31. Lam SC, Wong Y-y, Woo J. Reliability and validity of the abbreviated mental test  
11 (Hong Kong version) in residential care homes. *J Am Geriatr Soc*. 2010;58(11):2255-7.
- 12 32. Sode M, Burghardt AJ, Pialat J-B, Link TM, Majumdar S. Quantitative characterization  
13 of subject motion in HR-pQCT images of the distal radius and tibia. *Bone*.  
14 2011/06/01/ 2011;48(6):1291-7.
- 15 33. Chiba K, Okazaki N, Kurogi A, Isobe Y, Yonekura A, Tomita M, et al. Precision of  
16 Second-Generation High-Resolution Peripheral Quantitative Computed Tomography:  
17 Intra- and Intertester Reproducibilities and Factors Involved in the Reproducibility of  
18 Cortical Porosity. *J Clin Densitom*. 2018/04/01/ 2018;21(2):295-302.
- 19 34. Agarwal S, Rosete F, Zhang C, McMahon DJ, Guo XE, Shane E, et al. In vivo  
20 assessment of bone structure and estimated bone strength by first- and second-  
21 generation HR-pQCT. *Osteoporos Int*. Oct 2016;27(10):2955-66. Epub 2016/05/09.
- 22 35. Boutroy S, Van Rietbergen B, Sornay-Rendu E, Munoz F, Bouxsein ML, Delmas PD.  
23 Finite element analysis based on in vivo HR-pQCT images of the distal radius is  
24 associated with wrist fracture in postmenopausal women. *J Bone Miner Res*. Mar  
25 2008;23(3):392-9. Epub 2007/11/14.
- 26 36. Rietbergen vB, Pistoia W, Ulrich D, Huiskes HWJ, R egsegger P. Prediction of  
27 trabecular bone failure parameters using a tissue failure criterion and muFE analysis.  
28 *Journal of Computer Simulation and Modelling in Medicine*. 2000 2000;1(2):98 - 101.
- 29 37. Niebur GL, Feldstein MJ, Yuen JC, Chen TJ, Keaveny TM. High-resolution finite  
30 element models with tissue strength asymmetry accurately predict failure of  
31 trabecular bone. *J Biomech*. 2000/12/01/ 2000;33(12):1575-83.
- 32 38. Pistoia W, van Rietbergen B, Lochm uller EM, Lill CA, Eckstein F, R egsegger P.  
33 Estimation of distal radius failure load with micro-finite element analysis models  
34 based on three-dimensional peripheral quantitative computed tomography images.  
35 *Bone*. 2002/06/01/ 2002;30(6):842-8.
- 36 39. Hung VW, Zhu TY, Cheung WH, Fong TN, Yu FW, Hung LK, et al. Age-related  
37 differences in volumetric bone mineral density, microarchitecture, and bone  
38 strength of distal radius and tibia in Chinese women: a high-resolution pQCT  
39 reference database study. *Osteoporos Int*. Jun 2015;26(6):1691-703. Epub  
40 2015/01/30.
- 41 40. Sigrist RMS, Liao J, Kaffas AE, Chammass MC, Willmann JK. Ultrasound Elastography:  
42 Review of Techniques and Clinical Applications. *Theranostics*. 2017;7(5):1303-29.  
43 Epub 2017/04/25.
- 44 41. Miller T, Ying M, Sau Lan Tsang C, Huang M, Pang MYC. Reliability and Validity of  
45 Ultrasound Elastography for Evaluating Muscle Stiffness in Neurological Populations:  
46 A Systematic Review and Meta-Analysis. *Phys Ther*. 2020.

- 1 42. Yang FZ, Pang MY. Influence of chronic stroke impairments on bone strength index  
2 of the tibial distal epiphysis and diaphysis. *Osteoporos Int.* Feb 2015;26(2):469-80.  
3 Epub 2014/09/06.
- 4 43. Pang MY, Ashe MC, Eng JJ. Compromised bone strength index in the hemiparetic  
5 distal tibia epiphysis among chronic stroke patients: the association with  
6 cardiovascular function, muscle atrophy, mobility, and spasticity. *Osteoporos Int.* Jun  
7 2010;21(6):997-1007. Epub 2009/11/03.
- 8 44. Pang MY, Eng JJ, McKay HA, Dawson AS. Reduced hip bone mineral density is related  
9 to physical fitness and leg lean mass in ambulatory individuals with chronic stroke.  
10 *Osteoporos Int.* Dec 2005;16(12):1769-79. Epub 2005/05/20.
- 11 45. Ng SS, Hui-Chan CW. The timed up & go test: its reliability and association with  
12 lower-limb impairments and locomotor capacities in people with chronic stroke.  
13 *Arch Phys Med Rehabil.* Aug 2005;86(8):1641-7. Epub 2005/08/09.
- 14 46. Ng SS, Hui-Chan CW. Contribution of ankle dorsiflexor strength to walking endurance  
15 in people with spastic hemiplegia after stroke. *Arch Phys Med Rehabil.* Jun  
16 2012;93(6):1046-51. Epub 2012/03/24.
- 17 47. Sanford J, Moreland J, Swanson LR, Stratford PW, Gowland C. Reliability of the Fugl-  
18 Meyer assessment for testing motor performance in patients following stroke. *Phys  
19 Ther.* 1993;73(7):447-54.
- 20 48. van der Lee JH, Beckerman H, Knol DL, de Vet HC, Bouter LM. Clinimetric properties  
21 of the motor activity log for the assessment of arm use in hemiparetic patients.  
22 *Stroke.* Jun 2004;35(6):1410-4. Epub 2004/04/17.
- 23 49. Ngai SP, Cheung RT, Lam PL, Chiu JK, Fung EY. Validation and reliability of the  
24 Physical Activity Scale for the Elderly in Chinese population. *J Rehabil Med.* May  
25 2012;44(5):462-5. Epub 2012/05/03.
- 26 50. Ekstrand E, Lexell J, Brogardh C. Isometric and isokinetic muscle strength in the  
27 upper extremity can be reliably measured in persons with chronic stroke. *J Rehabil  
28 Med.* Sep 2015;47(8):706-13. Epub 2015/07/17.
- 29 51. Yang J, Lee J, Lee B, Kim S, Shin D, Lee Y, et al. The Effects of Elbow Joint Angle  
30 Changes on Elbow Flexor and Extensor Muscle Strength and Activation. *Journal of  
31 Physical Therapy Science.* 2014;26(7):1079-82.
- 32 52. Ada L. Stroke patients have selective muscle weakness in shortened range. *Brain.*  
33 2003;126(3):724-31.
- 34 53. Laird NM, Ware JH. Random-Effects Models for Longitudinal Data. *Biometrics.*  
35 1982;38(4):963-74.
- 36 54. Jacqmin-Gadda H, Sibillot S, Proust C, Molina J-M, Thiébaud R. Robustness of the  
37 linear mixed model to misspecified error distribution. *Computational Statistics &  
38 Data Analysis.* 2007/06/15/ 2007;51(10):5142-54.
- 39 55. del Puente A, Pappone N, Mandes MG, Mantova D, Scarpa R, Oriente P.  
40 Determinants of bone mineral density in immobilization: A study on hemiplegic  
41 patients. *Osteoporos Int.* 1996/01/01 1996;6(1):50-4.
- 42 56. Mikolajewicz N, Bishop N, Burghardt AJ, Folkestad L, Hall A, Kozloff KM, et al. HR-  
43 pQCT Measures of Bone Microarchitecture Predict Fracture: Systematic Review and  
44 Meta-Analysis. *J Bone Miner Res.* Oct 23 2019. Epub 2019/10/24.
- 45 57. Samelson EJ, Broe KE, Xu H, Yang L, Boyd S, Biver E, et al. Cortical and trabecular  
46 bone microarchitecture as an independent predictor of incident fracture risk in older  
47 women and men in the Bone Microarchitecture International Consortium (BoMIC): a



- 1 prospective study. *The Lancet Diabetes & Endocrinology*. 2019/01/01/ 2019;7(1):34-  
2 43.
- 3 58. Mancuso ME, Johnson JE, Ahmed SS, Butler TA, Troy KL. Distal radius microstructure  
4 and finite element bone strain are related to site-specific mechanical loading and  
5 areal bone mineral density in premenopausal women. *Bone Reports*. 2018/06/01/  
6 2018;8:187-94.
- 7 59. Szulc P, Boutroy S, Chapurlat R. Prediction of Fractures in Men Using Bone  
8 Microarchitectural Parameters Assessed by High-Resolution Peripheral Quantitative  
9 Computed Tomography--The Prospective STRAMBO Study. *J Bone Miner Res*. Aug  
10 2018;33(8):1470-9. Epub 2018/04/26.
- 11 60. Wong AK. A comparison of peripheral imaging technologies for bone and muscle  
12 quantification: a technical review of image acquisition. *J Musculoskelet Neuronal  
13 Interact*. 2016;16(4):265-82.
- 14 61. van Rietbergen B, Ito K. A survey of micro-finite element analysis for clinical  
15 assessment of bone strength: The first decade. *J Biomech*. 2015/03/18/  
16 2015;48(5):832-41.
- 17 62. Pang MY, Eng JJ. Muscle strength is a determinant of bone mineral content in the  
18 hemiparetic upper extremity: implications for stroke rehabilitation. *Bone*. Jul  
19 2005;37(1):103-11. Epub 2005/05/05.
- 20 63. Pang MY, Ashe MC, Eng JJ. Tibial bone geometry in chronic stroke patients: influence  
21 of sex, cardiovascular health, and muscle mass. *J Bone Miner Res*. Jul  
22 2008;23(7):1023-30. Epub 2008/02/28.
- 23 64. Pang MYC, Ashe MC, Eng JJ, McKay HA, Dawson AS. A 19-week exercise program for  
24 people with chronic stroke enhances bone geometry at the tibia: a peripheral  
25 quantitative computed tomography study. *Osteoporosis international : a journal  
26 established as result of cooperation between the European Foundation for  
27 Osteoporosis and the National Osteoporosis Foundation of the USA*.  
28 2006;17(11):1615-25. Epub 2006/07/29.
- 29 65. Mohammad Rahimi GR, Smart NA, Liang MTC, Bijeh N, Albanaqi AL, Fathi M, et al.  
30 The Impact of Different Modes of Exercise Training on Bone Mineral Density in Older  
31 Postmenopausal Women: A Systematic Review and Meta-analysis Research. *Calcif  
32 Tissue Int*. 2020/06/01 2020;106(6):577-90.
- 33 66. Macneil JA, Boyd SK. Bone strength at the distal radius can be estimated from high-  
34 resolution peripheral quantitative computed tomography and the finite element  
35 method. *Bone*. Jun 2008;42(6):1203-13. Epub 2008/03/25.
- 36 67. Vilayphiou N, Boutroy S, Sornay-Rendu E, Van Rietbergen B, Munoz F, Delmas PD, et  
37 al. Finite element analysis performed on radius and tibia HR-pQCT images and  
38 fragility fractures at all sites in postmenopausal women. *Bone*. Apr 2010;46(4):1030-  
39 7. Epub 2010/01/02.
- 40 68. Zhu TY, Griffith JF, Qin L, Hung VW, Fong TN, Au SK, et al. Structure and strength of  
41 the distal radius in female patients with rheumatoid arthritis: a case-control study. *J  
42 Bone Miner Res*. Apr 2013;28(4):794-806. Epub 2012/10/24.
- 43 69. Turner CH, Rho J, Takano Y, Tsui TY, Pharr GM. The elastic properties of trabecular  
44 and cortical bone tissues are similar: results from two microscopic measurement  
45 techniques. *J Biomech*. 1999/04/01/ 1999;32(4):437-41.
- 46 70. Verhulp E, Van Rietbergen B, Muller R, Huiskes R. Micro-finite element simulation of  
47 trabecular-bone post-yield behaviour--effects of material model, element size and

1 type. Comput Methods Biomech Biomed Engin. Aug 2008;11(4):389-95. Epub  
2 2008/06/24.  
3  
4

1  
2

**Table 1. Participant characteristics**

	Stroke (n=64)	Control (n=64)	p	
Demographics	Sex (men/women), n	38/26	39/25	0.858
	Age, years	60.8 ± 7.7	59.4 ± 7.8	0.306
	Menopause (women), years	12.4 ± 13.1	11.5 ± 9.9	0.787
	Hand dominance (Left/Right/Equivalent), n	1/62/1	2/62/0	0.319
	Height (cm)	161.1 ± 8.4	164.0 ± 8.9	0.058
	Weight (kg)	62.7 ± 8.9	63.1 ± 9.9	0.814
	Body mass index (kg/m <sup>2</sup> )	24.3 ± 3.1	23.4 ± 2.8	0.081
	AMT (out of 10)	9.3 ± 1.1	9.9 ± 0.4	<b>&lt;0.001</b>
	PASE	114.7 ± 87.4	142.2 ± 79.4	0.065
Stroke Characteristics	Paretic Side (Left/Right), n	36/28	-	-
	Paretic Side (Non-Dominant/Dominant), n	36/28	-	-
	Type of Stroke (Ischemic/Hemorrhagic), n	41/23	-	-
	Stroke duration, years	5.8 ± 4.0	-	-
	CSS-Total (1-16)	8.6 ± 2.5	-	-
	FMA-UE (0-66)	35.9 ± 18.9	-	-
	MAL-AOU (0-5)	1.3 ± 1.3	-	-
Comorbidity	Total number of comorbidities per person	1.3 ± 1.3	0.6 ± 0.9	<b>&lt;0.001</b>
	Hypertension, n	37	22	<b>0.006</b>
	Hyperlipidemia, n	21	4	<b>&lt;0.001</b>
	Cardiac arrhythmia, n	1	0	0.315
	Diabetes mellitus, n	14	8	0.150
	Ischemic heart disease, n	1	0	0.315
Medications	Total number of medications per person	4.5 ± 3.1	0.9 ± 1.2	<b>&lt;0.001</b>
	Antihypertensive agents, n	42	16	<b>&lt;0.001</b>
	Hypolipidemic agents, n	41	10	<b>&lt;0.001</b>
	Hypoglycemic agents, n	10	6	0.259
	Anticoagulants, n	23	3	<b>&lt;0.001</b>
	Antispasmodic agents, n	6	0	<b>0.011</b>
	PPI/ Gastric agents, n	25	2	<b>&lt;0.001</b>
SSRI/ Antidepressants, n	8	1	<b>0.016</b>	
Other	Alcohol history (yes/no), n	14/50	24/40	0.053
	Alcohol consumption (drinks/day)	0.2 ± 0.3	0.1 ± 0.3	0.276
	Smoking history (yes/no), n	15/49	14/50	0.833
	Tobacco use (packs/day)	0.7 ± 0.4	0.6 ± 0.3	0.428
	Vitamin D supplementation (yes/no), n	4/60	4/60	1.000
	Calcium supplementation (yes/no), n	3/61	7/57	0.188

**p ≤ 0.05** Statistically significant between-groups difference

AMT = Abbreviated Mental Test, PASE = Physical Activity Scale for the Elderly, CSS-Total = Composite Spasticity Scale-Total, FMA-UE = Fugl-Meyer Assessment-Upper Extremity, MAL-AOU = Motor Activity Log-Amount of Use, PPI = Proton Pump Inhibitor, SSRI = Selective Serotonin Reuptake Inhibitor

3

**Table 2. Comparison of HR-pQCT variables**

	Stroke Group (n=64)			Control Group (n=64)			Main Effect: Side (Within)		Main Effect: Group (Between)		Side × Group Interaction Effect		AIC
	Paretic	Non-Paretic	%SSD	Non-Dominant	Dominant	%SSD	t	p	t	p	t	p	
Density	Total vBMD (mg HA/cm <sup>3</sup> )												
	292.0 ± 83.5	<b>352.8 ± 62.8</b>	<b>18.1 ± 13.7</b>	339.4 ± 60.0	337.9 ± 62.3	-0.84 ± 6.83	-5.077	<b>&lt;0.001</b>	-1.351	0.179	3.678	<b>&lt;0.001</b>	2858.099
	Trabecular vBMD (mg HA/cm <sup>3</sup> )												
	116.3 ± 49.3	<b>147.0 ± 36.1</b>	<b>23.1 ± 21.7</b>	137.3 ± 38.5	139.5 ± 39.6	0.74 ± 10.24	-4.225	<b>&lt;0.001</b>	-1.126	0.262	2.778	<b>0.006</b>	2606.427
	Cortical vBMD (mg HA/cm <sup>3</sup> )												
	871.1 ± 75.2	<b>912.0 ± 53.0</b>	<b>4.6 ± 4.7</b>	914.9 ± 55.4	913.0 ± 51.5	-0.21 ± 2.36	-3.884	<b>&lt;0.001</b>	0.109	0.913	2.874	<b>0.004</b>	2788.872
Area	Trabecular Area (mm <sup>2</sup> )												
	<b>194.1 ± 51.8</b>	187.9 ± 53.3	<b>-4.25 ± 10.5</b>	194.8 ± 56.6	<b>199.9 ± 50.6</b>	3.1 ± 7.7	0.667	0.506	1.309	0.193	-0.855	0.393	2737.855
	Cortical Area (mm <sup>2</sup> )												
	56.7 ± 15.6	<b>66.3 ± 13.7</b>	<b>15.1 ± 12.4</b>	65.6 ± 12.6	<b>67.3 ± 14.2</b>	1.89 ± 6.85	-3.897	<b>&lt;0.001</b>	0.427	0.670	2.272	<b>0.024</b>	2063.140
Trabecular Microstructure	Trabecular Number (1/mm)												
	1.08 ± 0.28	<b>1.23 ± 0.20</b>	<b>12.3 ± 16.5</b>	1.20 ± 0.19	<b>1.24 ± 0.19</b>	2.75 ± 9.19	-3.813	<b>&lt;0.001</b>	0.292	0.770	2.003	<b>0.046</b>	-38.853
	Trabecular Thickness (mm)												
	0.22 ± 0.02	0.23 ± 0.02	0.89 ± 6.02	<b>0.23 ± 0.02</b>	0.22 ± 0.02	-1.14 ± 3.18	-0.766	0.445	-1.069	0.287	1.094	0.275	-1295.352
	Trabecular Separation (mm)												
	<b>0.98 ± 0.47</b>	0.78 ± 0.20	<b>-24.3 ± 36.0</b>	0.80 ± 0.17	<b>0.77 ± 0.20</b>	-4.34 ± 15.4	4.106	<b>&lt;0.001</b>	-0.257	0.797	-2.463	<b>0.015</b>	34.002
	Trabecular Bone Volume Fraction (%)												
	0.17 ± 0.06	<b>0.21 ± 0.05</b>	<b>21.1 ± 18.1</b>	0.20 ± 0.05	0.20 ± 0.06	0.95 ± 9.4	-4.239	<b>&lt;0.001</b>	-1.142	0.256	2.781	<b>0.006</b>	-719.383
Cortical Microstructure	Cortical Thickness (mm)												
	1.04 ± 0.26	<b>1.21 ± 0.20</b>	<b>14.4 ± 13.3</b>	1.18 ± 0.18	1.19 ± 0.20	-0.04 ± 8.3	-4.445	<b>&lt;0.001</b>	-0.470	0.639	3.005	<b>0.003</b>	-41.254
	Cortical Perimeter (mm)												
	66.2 ± 8.4	66.2 ± 8.3	0.04 ± 3.84	66.9 ± 8.5	<b>68.1 ± 7.7</b>	<b>1.85 ± 3.26</b>	-0.039	0.969	1.289	0.200	-0.551	0.582	1797.729
	Intra-cortical Porosity (%)												
	0.010 ± 0.006	0.011 ± 0.007	-8.63 ± 63.9	0.0095 ± 0.006	0.0093 ± 0.006	-7.46 ± 43.4	-0.786	0.433	-1.447	0.150	0.702	0.483	-1809.399
μFE	Estimated Failure Load (N)												
	2980 ± 1066	<b>3865 ± 949</b>	<b>23.8 ± 15.1</b>	3738 ± 983	<b>3868 ± 1033</b>	2.9 ± 8.5	-4.965	<b>&lt;0.001</b>	0.013	0.990	2.996	<b>0.003</b>	4221.801

Value expressed as mean ± SD unless otherwise indicated

**p** ≤ **0.017** Statistically significant between-sides difference (post hoc paired t-test)

**p** ≤ **0.017** Statistically significant side-to-side difference between two groups (post hoc independent t-test)

**p** ≤ **0.05** Statistically significant results (linear mixed model)

%SSD = percent side-to-side difference, vBMD = volumetric bone mineral density, HA = Hydroxyapatite, N = Newtons, μFE = finite element analysis

**Table 3. Comparison of ultrasound and functional impairment variables**

	Stroke Group (n=64)			Control Group (n=64)			Main Effect: Side (Within)		Main Effect: Group (Between)		Side × Group Interaction Effect		AIC
	Paretic	Non-Paretic	%SSD	Non-Dominant	Dominant	%SSD	t	p	t	p	t	p	
Ultrasound	Cross-Sectional Area (cm <sup>2</sup> )												
	7.60 ± 2.18	<b>8.93 ± 2.38</b>	<b>13.7 ± 16.1</b>	8.32 ± 2.72	8.55 ± 2.73	2.28 ± 10.1	-2.978	<b>0.003</b>	-0.841	0.402	1.750	0.081	1200.120
	Shear Wave Velocity (m/s)												
	3.34 ± 0.97	3.06 ± 0.92	-15.1 ± 38.6	2.83 ± 0.77	2.76 ± 0.83	-8.7 ± 36.5	1.821	0.070	-1.971	0.051	-0.934	0.351	667.621
	Echo Intensity												
	<b>113.4 ± 12.7</b>	104.8 ± 12.4	<b>-9.18 ± 13.9</b>	96.5 ± 14.0	98.6 ± 15.2	0.86 ± 15.8	3.576	<b>&lt;0.001</b>	-2.541	<b>0.012</b>	-3.159	<b>0.002</b>	2051.158
	Peak Systolic Velocity (cm/s)												
	77.4 ± 15.4	76.8 ± 15.1	-2.65 ± 19.9	73.7 ± 17.4	<b>78.3 ± 15.2</b>	5.35 ± 16.3	0.218	0.828	0.565	0.573	-1.326	0.186	2126.646
IPT	Isometric Peak Torque (N/m)												
	18.4 ± 9.3	<b>29.6 ± 11.2</b>	<b>31.6 ± 49.7</b>	26.5 ± 10.3	27.0 ± 11.1	-0.14 ± 11.6	-6.077	<b>&lt;0.001</b>	-1.340	0.183	4.097	<b>&lt;0.001</b>	1918.171

Value expressed as mean ± SD unless otherwise indicated

**p ≤ 0.017** Statistically significant between-sides difference (post hoc paired t-test)

**p ≤ 0.017** Statistically significant side-to-side difference between two groups (post hoc independent t-test)

**p ≤ 0.05** Statistically significant results (linear mixed model)

%SSD = percent side-to-side difference, kPa = Kilopascals, N/m = Newton/meters

**Table 4. Regression analysis: Relative contributions of different bone parameters to %SSD in estimated failure load for the stroke group**

Parameter	Model Summary				Regression Coefficients			
	R <sup>2</sup>	ΔR <sup>2</sup>	ΔF	Sig. ΔF	Beta	95% CI	Sig.	
Trabecular vBMD (%SSD)	.334	.334	31.071	<b>.000</b>	1.106	.413 1.125	<b>.000</b>	
Cortical vBMD (%SSD)	.437	.103	11.156	<b>.001</b>	.048	-.507 .817	.641	
Trabecular Area (%SSD)	.453	.016	1.746	.191	-.096	-.398 .124	.296	
Cortical Area (%SSD)	.581	.129	18.134	<b>.000</b>	.658	.489 1.118	<b>.000</b>	
Factor 1 (Trabecular Microstructure)	.621	.040	6.083	<b>.017</b>	-.674	-17.843 -2.526	<b>.010</b>	
Factor 2 (Cortical Microstructure)	.656	.035	5.853	<b>.019</b>	-.318	-8.781 -.828	<b>.019</b>	

**p ≤ 0.05** Statistically significant F-value change (Sig. ΔF)

**p ≤ 0.05** Statistically significant predictor (Sig.)

Factor 1 (Trabecular Microstructure) = %SSD Trabecular Number, %SSD Trabecular Thickness, %SSD Trabecular Separation, %SSD Trabecular Bone Volume Fraction

Factor 2 (Cortical Microstructure) = %SSD Cortical Thickness, %SSD Cortical Perimeter, %SSD Intracortical Porosity

R<sup>2</sup> = total variance, ΔR<sup>2</sup> = additional predictor variance, ΔF = F-value change, Beta = standardized regression coefficient, CI = confidence interval, %SSD = percent side-to-side difference, vBMD = volumetric bone mineral density

**Table 5. Regression analysis: Associations between stroke-related functional impairments and %SSD in estimated failure load**

Parameter	Model Summary				Regression Coefficients			
	R <sup>2</sup>	ΔR <sup>2</sup>	ΔF	Sig. ΔF	Beta	95% CI	Sig.	
Cortical Perimeter (%SSD)	0.010	0.010	0.639	0.427	0.121	-.544 1.494	0.354	
Factor 1	0.243	0.233	18.741	< <b>0.001</b>	-0.480	-10.657 -3.846	< <b>0.001</b>	
Factor 2	0.246	0.003	0.276	0.601	0.064	-2.458 4.394	0.573	
Factor 3	0.280	0.034	2.756	0.102	-0.186	-6.230 0.599	0.104	
Factor 4	0.292	0.012	1.017	0.317	-0.113	-5.103 1.703	0.321	
Factor 5	0.294	0.001	0.095	0.759	0.035	-2.871 3.926	0.757	
Factor 6	0.311	0.018	1.429	0.237	0.130	-1.726 5.659	0.290	
Factor 7	0.312	0.001	0.109	0.742	-0.040	-4.022 2.812	0.724	
Factor 8	0.320	0.008	0.620	0.434	0.093	-2.162 4.959	0.434	

**p ≤ 0.05** Statistically significant F-value change (Sig. ΔF)

**p ≤ 0.05** Statistically significant predictor (Sig.)

Factor 1 = Fugl-Meyer Assessment-Upper Extremity, Motor Activity Log-Amount of Use, Composite Spasticity Scale-Total

Factor 2 = Calcium Supplementation, Vitamin D Supplementation

Factor 3 = Tobacco Use, Alcohol Consumption, Sex

Factor 4 = Stroke Duration

Factor 5 = Total Number of Medications, Total Number of Comorbidities, %SSD Echo Intensity

Factor 6 = %SSD Isometric Peak Torque, %SSD Peak Systolic Velocity; Physical Activity Scale for the Elderly, Age

Factor 7 = Abbreviated Mental Test, %SSD Shear Wave Velocity

Factor 8 = %SSD Cross Sectional Area

R<sup>2</sup> = total variance, ΔR<sup>2</sup> = additional predictor variance, ΔF = F-value change, Beta = standardized regression coefficient, CI = confidence interval, %SSD = percent side-to-side difference

## Figure Legends

### Figure 1. Bilateral comparison of bone parameters

*Figure 1.* HR-pQCT generated 3D rendering of the distal radius bone for a representative female participant with flaccid left arm hemiparesis (upper panel) and a female control participant (lower panel). There are comparatively fewer trabeculae with reduced density and network connectivity on the paretic side. The upper panel shows a bilateral view of the trabecular segment (green) and cortical shell (grey) for the (A) non-paretic and (B) paretic radius which is compared to the trabecular and cortical bone of the (C) dominant and (D) non-dominant radius in the lower panel. The degree of bone loss between-sides is more pronounced for the stroke participant compared to the control participant.

### Figure 2. Bilateral comparison of muscle parameters

*Figure 2.* Ultrasound generated images of the BB muscles for a representative male participant with left arm hemiparesis. There are comparatively greater compositional tissue changes and stiffness for the paretic BB muscle. The upper panel shows the ROI (1.89cm<sup>2</sup>) used to calculate SWV (0-11.2 m/s). Highest and lowest stiffness values are represented by red and blue pixels, respectively. Non-paretic muscle (A) was less stiff than paretic (B). The lower panel shows the same ROI was used to calculate EI values (0-255). Highest and lowest grayscale pixel intensities are represented by white and black pixels, respectively. Similar to stiffness, non-paretic muscle (C) showed comparatively lower EI than paretic (D).