



25 **Abstract:**

26 Bacterial infections lead to high morbidity and mortality globally. While current therapies against  
27 bacteria often employ antibiotics, most bacterial pathogens can form biofilms and prevent  
28 effective treatment of infections. Biofilm cells can aggregate and encased themselves in a self-  
29 secreted protective exopolymeric matrix, to reduce the penetration by antibiotics. Biofilm  
30 formation is mediated by c-di-GMP signaling, the ubiquitous secondary messenger in bacteria.  
31 Synthesis of c-di-GMP by diguanylate cyclases (DGCs) leads to biofilm formation via the loss of  
32 motility, increased surface attachment, and production of biofilm matrix, whereas c-di-GMP  
33 degradation by phosphodiesterases (PDEs) causes biofilm dispersal to new sites via increased  
34 bacterial motility and matrix breakdown. The highly variable nature of biofilm development and  
35 antimicrobial tolerance imposes tremendous challenges in conventional antimicrobial therapies,  
36 indicating an imperative need to develop anti-biofilm drugs against biofilm infections. In this  
37 review, we focus on two main emergent approaches - active dispersal and disruption. While  
38 both approaches aim to demolish biofilms, we will discuss their fundamental differences and  
39 associated methods. Active dispersal of biofilms involves signaling the bacterial cells to leave  
40 the biofilm, where resident cells ditch their sessile lifestyle, gain motility and self-degrade their  
41 matrix. Biofilm disruption leads to direct matrix degradation that forcibly releases embedded  
42 biofilm cells. Without the protection of biofilm matrix, released bacterial cells are highly exposed  
43 to antimicrobials, leading to their eradication in biofilm infections. Understanding the advantages  
44 and disadvantages of both approaches will allow optimized utility with antimicrobials in clinical  
45 settings.

46

47 **Keywords:** Biofilms; dispersal; disruption; antimicrobial treatment; chronic infections

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49 **Main Text:**

50 **1. Introduction**

51

52 1.1 Biofilm-mediated infections

53 Modern medicine has effectively eliminated acute infections caused by pathogenic bacteria and  
54 prevented the deaths of millions over the past century. Yet, such diseases are increasingly  
55 replaced by chronic infections caused by biofilms, which now accounts for 80% of all bacterial  
56 infections <sup>1</sup>. Biofilms are bacterial communities growing in their slimy exopolymeric matrix, after  
57 colonizing any biotic or abiotic surface. They colonize most surfaces in the human body and  
58 medical devices, causing persistent infections such as chronic wound infections, endocarditis,  
59 keratitis, and lung infections to implant-associated infections <sup>2</sup>. One biofilm-forming pathogen is  
60 *Pseudomonas aeruginosa*, an opportunistic Gram-negative pathogen that causes 90,000  
61 deaths annually <sup>3</sup> by causing life-threatening infections in burn wounds and pneumonia <sup>4,5</sup>.

62

63 1.2 Clinical approaches to develop anti-biofilm therapies

64 While most research into antimicrobials focuses on the treatment of acute infections caused by  
65 free-swimming planktonic bacteria, few commercial anti-biofilm drugs are available. Most anti-  
66 biofilm treatments currently employed by clinicians are mostly based on aggressive early  
67 surgical removal and delivery of sustained antimicrobial chemotherapy. Combinatorial  
68 antimicrobial treatment such as colistin or tobramycin inhalations with oral ciprofloxacin are  
69 frequently used to treat *P. aeruginosa* biofilm infections in cystic fibrosis lungs <sup>6</sup>. However,  
70 treatment at higher antibiotic doses for sustained treatment periods, such as 3 million units of  
71 colistin administered three times per day may pose health risks to patients <sup>7</sup>. Furthermore,  
72 antibiotic resistance via efflux pumps, acquired resistance plasmids, or phenazine metabolites in  
73 bacteria has significantly reduced treatment efficacy <sup>8-10</sup>. Hence, the discovery of novel anti-  
74 biofilm drugs with lower toxicities and suitability for combinatorial use with antibiotics can  
75 potentially eradicate biofilm infections, minimize treatment duration, and lower mortality rates.

76

77 Here, we summarize, assess, and compare anti-biofilm compounds that can disperse or disrupt  
78 biofilms. Firstly, we provide background on biofilm physiology and its role in chronic infections.  
79 Next, we analyze the anti-biofilm strategies adopted by biofilm dispersal and disruption agents.  
80 Finally, we discuss the future directions of anti-biofilm therapies based on both approaches.

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82

## 83 2. Biofilm physiology and its roles in infections

84

85 Briefly, the life cycle of bacterial biofilms involves planktonic cells attaching to a surface and  
86 producing an exopolymeric matrix for biofilm growth and formation, with the mature biofilm  
87 eventually dispersing to new sites of infection (Figure 1). Unlike 'nomadic' free-swimming  
88 planktonic bacteria, biofilm cells survive as 'city-like' multicellular communities in self-produced  
89 exopolymeric matrix (EPS). The EPS comprises exopolysaccharides, adhesion proteins, and  
90 extracellular DNA (eDNA), primarily providing structural integrity to biofilms <sup>11</sup>.

91

92 In chronic infections, biofilms can easily attach onto human tissues and extracellular matrix,  
93 where dead cells and blood supply contribute to nutrient availability for biofilm growth <sup>12</sup>.  
94 Furthermore, the EPS acts as a protective barrier to reduce antimicrobial penetration, whereas  
95 dormant persisters render biofilms extremely tolerant of antimicrobials <sup>13,14</sup>. These confounding  
96 factors may lead to inaccurate results in antibiotic susceptibility tests, which are typically  
97 performed on planktonic bacteria in clinical bacteriology, leading to inappropriate clinical doses  
98 and incomplete eradication of infections <sup>15</sup>. The exopolymeric matrix protects biofilm cells from  
99 phagocytosis and assists in immune evasion against the host immune system <sup>16</sup>.

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101 Understanding the mechanisms driving the biofilm life cycle can provide hints for the  
102 development of novel anti-biofilm approaches <sup>17</sup>. Currently, two primary bacterial mechanisms  
103 are extensively studied - quorum sensing (QS) and c-di-GMP secondary messenger system.  
104 QS allows planktonic cells to coordinate their behavior in forming biofilms via surface  
105 attachment, exopolymer production, and biosurfactant (rhamnolipids) synthesis <sup>18,19</sup>. QS  
106 operated in a cell density-dependent manner – bacterial populations will coordinate their gene  
107 expression once the autoinducers accumulated past a concentration threshold <sup>20</sup>. Coordinating  
108 bacterial populations in biofilm dispersal also requires QS <sup>21</sup>, where *P. aeruginosa* biofilms  
109 secrete QS- controlled rhamnolipids as biosurfactants for detachment during dispersal <sup>22</sup>.

110

111 The biofilm life cycle is mediated by c-di-GMP, an intracellular secondary messenger employed  
112 by many Gram-negative bacterial species <sup>23</sup>. Synthesis of c-di-GMP levels within bacterial cells  
113 by diguanylate cyclases (DGCs) often leads to biofilm formation via surface attachment,  
114 reduced motility, and production of the exopolymeric matrix. Degradation of c-di-GMP by  
115 phosphodiesterases (PDEs) to levels lower than that in planktonic cells will lead to biofilm  
116 dispersal and increased flagellar motility <sup>23</sup> (Figure 2). Clinical isolates from various bacterial

117 species, such as the rough and small colony variants (RSCVs) of *P. aeruginosa* and  
118 enteroaggregative *Escherichia coli*, possess constitutively high intracellular c-di-GMP levels  
119 <sup>24,25</sup>.

120  
121 While our review focuses on both QS and c-di-GMP signaling, there are other mechanisms  
122 mediating biofilm life cycle, such as the two-component system (TCS) <sup>26</sup> and iron siderophore  
123 (pyoverdine) signaling <sup>27</sup>, which contributes to further complexity in biofilm physiology. One  
124 prominent example is the GacA/GacS TCS involved with pyoverdine synthesis and interaction  
125 with c-di-GMP signaling <sup>28</sup>. Hence, the difficulty of treating biofilm infections is attributed to  
126 complex and unique biofilm physiology, which warrants an urgent need to develop innovative  
127 anti-biofilm approaches and drugs against biofilm infections.

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### 130 **3. Development of anti-biofilm chemotherapy:**

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132 To effectively eradicate biofilms, many anti-biofilm approaches have been developed, mainly  
133 mechanical removal of biofilms, which includes electricity and sonication <sup>29,30</sup>, and  
134 chemotherapy, which is the focus of this review. Novel drug candidates must be developed for  
135 effective anti-biofilm therapy of chronic infections in humans.

136

137 Current discovery of anti-biofilm compounds requires either high-throughput screening (HTS) or  
138 systems biology approaches. Being focused on a specific target, HTS is usually achieved via *in*  
139 *vitro* screening of compound libraries, such as the identification of biofilm-inhibiting patulin from  
140 thousands of natural extracts <sup>31</sup>. The *in-silico* structure-based virtual screening is also an  
141 alternative that employs molecular docking to identify which molecule from the compound  
142 libraries can bind and inhibit a biofilm effector. For instance, emodin identified from traditional  
143 Chinese medicine extracts could inhibit QS-mediated TraR in *E. coli* <sup>32</sup>.

144

145 Systems biology approaches are employed in various ways to facilitate the discovery of  
146 compounds with novel or multiple mechanisms of action. Conventionally, we identify potential  
147 mechanisms of action by comparing the transcriptomic or proteomic signatures of wild-type and  
148 deletion mutants <sup>33</sup> or treated with an unknown chemical and antibiotics with known mechanism  
149 of action <sup>34</sup>. Undirected approaches with large compound libraries are gaining traction in anti-  
150 biofilm discovery. For instance, functional metagenomics from seawater samples are employed

151 to identify anti-biofilm compounds against *Vibrio cholerae* and *P. aeruginosa*<sup>35,36</sup>, while  
152 transcriptomics and proteomics are used to study the anti-biofilm activity of 3-  
153 Furancarboxaldehyde On Group A Streptococcus<sup>37</sup>.

154  
155 Anti-biofilm chemotherapy can be achieved via a variety of approaches: inhibition of bacterial  
156 attachment to surfaces and biofilm formation, active dispersal of biofilms, and disruption of  
157 biofilm matrix (Figure 2). Since most biofilms are already established in chronic infections which  
158 rendered inhibitors of biofilm formation unsuitable, this review will focus on active dispersal and  
159 disruption of biofilms. Although both approaches are previously grouped as ‘active dispersal,’  
160 we will differentiate them in this review for clarity.

161  
162 Active dispersal and disruption seemingly have the same objective – to ensure the dissolution of  
163 EPS and release of biofilm cells, which presumably return to the planktonic phase. As released  
164 bacterial cells are wholly exposed to antimicrobials, combining either approach with antibiotics  
165 will eradicate biofilms and prevent freed pathogens from causing disseminated infections.  
166 However, both methods are fundamentally different (Figure 3). Active dispersal involves a  
167 chemical stimulus that signals bacterial cells to terminate their biofilm lifestyle and exit the EPS  
168 via the self-production of matrix-degrading enzymes and expression of motility apparatus  
169 (flagella or pili). Biofilm disruption is the direct targeting or degradation of biofilm matrix, leading  
170 to the catastrophic collapse of biofilm structure and ejection of embedded cells. It is crucial to  
171 understand their differences so that we can take advantage of their strengths and reduce their  
172 limitations for treating biofilm infections.

173

### 174 3.1 Inducing biofilm dispersal

175 While biofilm dispersal is a highly regulated natural process, we can manipulate biofilm cells into  
176 leaving their protective ‘wall’ of EPS by providing a specific stress stimulus and allowing  
177 antibiotics to eradicate freshly dispersed cells (Figure 4A). Active biofilm dispersal can be  
178 achieved via three approaches: QS targeting agents, DGC inhibitors, and PDE activators  
179 (Figure 4A).

180

#### 181 3.1.1 QS-targeting agents

182 Exogenous QS signaling molecules or associated products are reported to induce biofilm  
183 dispersal. Although QS autoinducers can induce biofilm formation in native bacterial species,  
184 they can also act as chemorepellants. For instance, exogenous AI-2 autoinducer could induce

185 *Helicobacter pylori* biofilm dispersal<sup>38</sup>, while AIP-1 could reduce *S. aureus* biofilms after 48 hrs  
186<sup>39</sup>. Interestingly, N-(3-oxo-dodecanoyl) homoserine lactone from *P. aeruginosa* could cause  
187 biofilm dispersal in a foreign species, *Escherichia coli*<sup>40</sup>. Diffusible signal factor (DSF), a fatty-  
188 acid-based QS system found in *P. aeruginosa*, *Burkholderia*, and *Xanthomonas* species, was a  
189 cross-kingdom signaling molecule which caused biofilm dispersal in prokaryotic and yeast  
190 species when added exogenously<sup>41,42</sup>.

191  
192 QS-mediated products can also induce biofilm dispersal. QS-mediated rhamnolipids from *P.*  
193 *aeruginosa* acted as biosurfactants that disperse biofilms of other species<sup>40,43</sup>. Moreover,  
194 surfactin secreted from *Bacillus subtilis* could disperse biofilms from food-borne pathogens such  
195 as *Listeria monocytogenes* and *Salmonella enterica*, grown on polystyrene material<sup>44</sup>. While  
196 promising in dispersing biofilms, such biosurfactants are cytotoxic to host cells<sup>45</sup>, which can  
197 limit their applications in clinical settings.

198

### 199 3.1.2 DGC inhibitors

200 Inhibition of c-di-GMP signaling is an attractive approach to target various pathogenic biofilms.  
201 DGC inhibitors identified from high-throughput screening could reduce c-di-GMP levels and  
202 decrease biofilm formation<sup>46</sup>. Terrein could inhibit both QS and DGC, effectively reducing  
203 virulence and biofilm formation in *P. aeruginosa*, respectively<sup>47</sup>. C-di-GMP analogs, such as  
204 triazole-linked analogs, could compete with c-di-GMP for active sites in DGCs, to disrupt c-di-  
205 GMP signaling<sup>48</sup>. However, it is essential to note that such inhibitors must penetrate biofilm  
206 matrix to reach bacterial cells. Thus poor permeability of these compounds may significantly  
207 limit their therapeutic purposes in established chronic infections. The permeability issue should  
208 be addressed by either using nanoparticles or utility in thin nascent biofilms.

209

### 210 3.1.3 PDE activators

211 PDE activators can induce biofilm dispersal via c-di-GMP degradation *in vitro* and *in vivo*<sup>49,50</sup>.  
212 Most notably, nitric oxide (NO) could induce dispersal in many bacterial species at sub-lethal  
213 concentrations<sup>51</sup>, by binding NO-sensing H-NOX-domain protein<sup>52</sup> and activating PDEs (DipA  
214 and NbdA in *P. aeruginosa*) that degrade c-di-GMP<sup>49,53</sup>. Given the DGCs/PDEs redundancy in  
215 each bacterial species, it is essential to note that not all PDEs control biofilm dispersal. Instead,  
216 PDEs such as RocR controlled swarming and production of virulence factors<sup>54</sup>. Hence, it is  
217 crucial to ensure that activators must correctly activate PDEs with known dispersal roles.

218

219 Despite its low cost and ease of administration via inhalers, using gaseous NO is clinically  
220 challenging due to its poor stability, pleiotropic effects on the host body in systemic exposure  
221 such as vasodilation, and lack of specificity against bacterial species. Various NO donors, such  
222 as sodium nitroprusside<sup>55</sup>, were identified with varying NO production and half-lives. To  
223 improve the specificity of NO to biofilms, cephalosporin-3'-diazoniumdoilates, made of stable  
224 NO donor diazeniumdiolate conjugated to cephalosporin, could deliver NO after being degraded  
225 by biofilm-produced beta-lactamases, to eradicate *Haemophilus influenzae* biofilms<sup>56</sup>.  
226 Nitroxides, such as 4-carboxy-2,2,6,6-tetramethylpiperidine 1-oxyl (carboxy-TEMPO), 5-  
227 carboxy-1,1,3,3-tetramethylisindolin-2-yloxy (CTMIO) and 5,6-dicarboxy-1,1,3,3-  
228 tetraethylisindolin-2-yloxy (DCTEIO), which were sterically hindered NO mimetic analogues,  
229 could disperse biofilms<sup>57</sup>. As such, nitroxides were utilized in combination with ciprofloxacin to  
230 eradicate biofilm effectively<sup>58</sup>.

231  
232 Despite its aforementioned limitations, NO had been tested in clinical trials against biofilm  
233 infections. Low-dose NO inhalation was tested in cystic fibrosis patients with pneumonia, with  
234 markedly reduced biofilm size and improved patient outcomes<sup>59</sup>. A phase II clinical study is  
235 currently in progress, where patients with cystic fibrosis will inhale 0.5% NO four times a day<sup>60</sup>.  
236 Hence, the prospect of using NO as a PDE activator remains promising in dispersing biofilms in  
237 infections.

238  
239 3.2 Disruption of the biofilm matrix  
240 Although EPS composition varies across time, location, nutrient availability, mechanical or shear  
241 stresses, and bacterial species, EPS functions are consistent – bacterial adherence, acting as a  
242 scaffold for biofilms and barrier against host effectors or antimicrobials. To nullify EPS functions,  
243 we will discuss three approaches that directly disrupt biofilm matrix: enzymatic and non-  
244 enzymatic degradation of EPS components; and antibody targeting of exopolymeric matrix  
245 (Figure 4B).

246  
247 3.2.1 Enzymatic degradation of EPS components  
248 Since the EPS contains mainly exopolysaccharides<sup>61</sup>, matrix-degrading enzymes can degrade  
249 EPS to release biofilm cells. The earliest report of glycosyl hydrolase, which hydrolyzed poly-  
250 acetyl glucosamines in biofilms in various bacterial species, was Dispersin B, which was  
251 produced by *Aggregatibacter actinomycetemcomitans*<sup>62</sup>. *P. aeruginosa* self-produced glycoside  
252 hydrolases, such as PslG and PelA, were recently discovered to disrupt Psl and Pel



253 exopolysaccharides, thus improving antimicrobial treatment and immune clearance respectively  
254 <sup>63,64</sup>. Alginate, another exopolysaccharide expressed by CF-derived mucoid *P. aeruginosa* and  
255 coccoid *Helicobacter pylori*, was effectively disrupted by alginate lyase <sup>65,66</sup>. Further,  $\alpha$ -amylase  
256 and cellulase could disrupt *Staphylococcus aureus* and *Pseudomonas aeruginosa* polymicrobial  
257 biofilms in wounds to some degree <sup>67</sup>.

258  
259 The DNase can also disrupt biofilms by degrading the eDNA integrated with other EPS  
260 components for structural support <sup>68</sup>. Recombinant human DNase I (dornase alfa) was shown in  
261 clinical trials to degrade microbial eDNA in the sputum of patients with cystic fibrosis <sup>69</sup> and  
262 ventilator-associated infection in preterm infants <sup>70</sup>.

263  
264 Lastly, the degradation of adhesion and extracellular proteins by proteases can disrupt biofilms  
265 efficiently. A plant protease, ficin, was recently discovered with the ability to disrupt  
266 *Staphylococcus aureus* biofilms and release biofilm cells <sup>71</sup>. Serine proteases from other  
267 bacterial species, such as *Bacillus pumilus*, were effective against *Serratia marcescens* biofilms  
268 <sup>72</sup>. As proteases are commonly used as therapeutics in treating cardiovascular diseases, it is  
269 highly probable that future clinical trials featuring anti-biofilm proteases will be conducted.

270

### 271 3.2.2 Non-enzymatic degradation of EPS components

272 A few compounds that can cause EPS degradation had been identified. The FDA-approved N-  
273 acetyl cysteine (NAC), whose mucolytic ability to dissociate disulfide bonds in extracellular  
274 mucin proteins and reduce their viscosity, was subsequently expounded into a potential anti-  
275 biofilm agent. NAC was employed in modern applications, such as combinatorial treatment with  
276 cysteamine, an antioxidant against mixed biofilms of *Streptococcus pneumoniae* and  
277 *Haemophilus influenzae* <sup>73</sup>. Another garlic-derived compound, Raffinose, could inhibit biofilms  
278 by binding to lectin-A, which is an exopolysaccharide-binding protein in *P. aeruginosa* <sup>74</sup>.

279

280 An alternate non-enzymatic approach involves the use of biological hydrogels such as mucins to  
281 trigger biofilm dispersal via the activation of flagella, promoting of native microbiota colonization,  
282 and prevention of pathogen attachment <sup>75</sup>. One biopolymer currently tested in clinical trials is  
283 SP1SN13, a poly-N-(acetyl, arginyl) glucosamine (PAAG) glycopolymer with anti-biofilm effects  
284 on *P. aeruginosa* in cystic fibrosis patients <sup>76</sup>. After a successful Phase I determining safety and  
285 tolerability in patients, SP1SN13 had received orphan designation by FDA and will undergo  
286 Phase II clinical trial in late 2019.

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### 3.2.3 Specific targeting of the exopolymeric matrix by antibodies

Another anti-biofilm approach is to employ antibodies specific against EPS components. For instance, monoclonal antibodies specific against epitopes on *P. aeruginosa*-produced exopolysaccharide Psl were developed to target biofilms formed by clinical isolates<sup>77</sup>. Since secreted Psl is easily accessible by antibodies, the anti-Psl antibody was effective at promoting opsonized phagocytic killing of *P. aeruginosa* biofilms and inhibiting the pathogen from adhering to the cell surfaces. The bispecific antibody (MDI3902) specific against both Type III Secretion System and Psl was subsequently developed with a broad coverage against *P. aeruginosa*<sup>78</sup>. A Phase I clinical trial with MDI3902 was reported recently with acceptable safety and tolerability in healthy volunteers<sup>79</sup>, paving the way for Phase II clinical trial.

Antibodies are also developed to target adhesion proteins. The integration host factor (IHF) specifically targeted eDNA-binding proteins (DNABII) in biofilms in *Escherichia coli* biofilms<sup>80</sup> and *Porphyromonas gingivalis* biofilms<sup>81</sup>. It is currently co-administered with recombinant type IV pili, leading to the disruption of biofilms formed by *Haemophilus influenza* in otitis media<sup>82</sup>.

## 4. Comparison between biofilm dispersal and disruption in anti-biofilm treatments:

Since both approaches are different from each other, it is critical to consider their strengths and limitations to realize their full potential in eradicating biofilm infections (Table 1).

### 4.1 Strengths and limitations in active dispersal

Many biofilm dispersal agents, such as NO, are quick-acting chemicals. NO can be applied on a wide range of microbial species, ranging from Gram-positive and Gram-negative bacteria to yeast, thus it is useful in treating polymicrobial biofilm infections<sup>51</sup>. The use of low-dose and non-bactericidal concentrations of NO or NO-deriving compounds can also induce biofilm dispersal. Using pico-nanomolar NO concentrations for biofilm dispersal can prevent undesired effects of high NO concentrations on the human body, such as vasodilation in blood vessels, damage to host cells, inhibition of lymphocyte proliferation and delays in healing. Moreover, elevated NO concentrations have a counterintuitive effect of protecting bacteria from antibiotics, leading to poor antimicrobial effects<sup>83</sup>.

321 Aside from chemical-specific side effects, there are other factors to consider when using biofilm  
322 dispersal agents. Biofilm-dispersed cells possessed lower c-di-GMP levels than in planktonic  
323 and biofilm cells of multiple species <sup>49</sup>, which gave rise to a unique transient dispersal  
324 phenotype with heightened expression of virulence factors <sup>49,84,85</sup>. Worse, biofilm-dispersed cells  
325 possess different antibiotic resistance via different stimuli <sup>86</sup>, such as increased resistance to  
326 colistin in *P. aeruginosa* <sup>87</sup>. The increased resilience against immune and antimicrobial stresses  
327 is probably because dispersed cells have to 'arm' themselves in advance for protection against  
328 predators or chemical stimuli as they leave the biofilm's shelter <sup>49</sup>. Hence, caution may be  
329 required when biofilm-dispersed cells are not entirely eradicated by antimicrobials, potentially  
330 leading to complications where residual bacteria enter the bloodstream.

331

332 Lastly, the penetrability of drugs into biofilms remains a challenge to achieve in developing  
333 dispersal agents. While NO has high penetrability into biofilms <sup>51</sup>, other dispersal agents may  
334 face difficulty in penetrating the biofilm matrix and reaching deeply embedded cells even if they  
335 fulfill the Lipinski's Rule of Five. However, the high lability of NO can shorten its duration of  
336 effective dose, so stable NO donors or sustained NO treatment are required to treat biofilm  
337 effectively. Hence, we must consider these factors in the future design of biofilm dispersal  
338 agents.

339

#### 340 4.2 Strengths and limitations of biofilm disruption

341 There are several advantages of employing biofilm disruption of anti-biofilm strategy. Firstly,  
342 biofilm disruption promotes the catastrophic collapse of the biofilm structure rapidly at low  
343 concentrations, which is attributable to the highly efficient enzymatic activity of matrix-degrading  
344 enzymes. For instance, nanomolar levels of PsIG (IC<sub>50</sub> = 10 nM) could disrupt Psl fibers within 4  
345 minutes and disassemble *P. aeruginosa* biofilms in 30 minutes <sup>63</sup>.

346

347 While active dispersal can induce physiological changes to biofilm and dispersed cells, it is  
348 unclear if biofilm disruption has any immediate physiological effects on bacterial cells upon  
349 sudden release from their bounds. However, studies had shown that *P. aeruginosa* cells  
350 released from biofilms by PsIG or EndA have similar antibiotic resistance to planktonic cells or  
351 biofilms cells from which they originate <sup>63,88</sup>, implying that bacterial cells did not have time to  
352 adjust their physiologies significantly after sudden release from biofilms. These treatments are  
353 advantageous as biofilm-disrupted cells remain susceptible to antimicrobial treatment, so  
354 standard dosages of antimicrobials may be enough to eradicate both biofilm and disrupted cells.

355  
356 Next, biofilm disruption can circumvent the need for penetration by biofilm disruptors into  
357 biofilms. Biofilm disruptors can demolish the biofilms from the outside at accelerated pace,  
358 without having to pass through the biofilm matrix and microbial cell walls. In contrast, depending  
359 on the chemical penetrability into biofilms, dispersal agents may require hours to days for active  
360 dispersal to occur <sup>49,51</sup>.

361  
362 The specificity of biofilm-disruptors is also an essential factor to be considered for anti-biofilm  
363 treatment. The matrix-degrading enzymes are in general specific to the species, but cross-  
364 reactivity is possible if the chemical composition of substrates is similar. For instance, PslG is  
365 specific to a few close-related species, such as *P. aeruginosa* and plant pathogen  
366 *Pseudomonas syringae*, but has no effect on *E. coli*, *S. aureus*, *S. enterica* and *Candida*  
367 *albicans* <sup>63</sup>. Yet, DNase applies to a broader multitude of bacterial species, as it does not  
368 distinguish eDNA produced by different bacterial species.

369  
370 However, biofilm disruptors are not without limitations. Like most enzymes, matrix-degrading  
371 enzymes suffer from poor stability, susceptibility to host degradation, sensitivity to changes in  
372 temperature, and pH. Furthermore, complex pharmacodynamics and pharmacokinetics in hosts  
373 can compromise the efficacy of biofilm disrupting enzymes *in vivo*. Despite showing the viability  
374 and safety of using disrupting agents in animal studies <sup>67</sup>, they can alert the host immune  
375 system if they originate from non-human species <sup>89</sup>. Lastly, previous concerns over expenses,  
376 low production yield and lack of genetically optimized enzymes contribute to the difficulty in  
377 applying this method clinically <sup>90</sup>.

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379

## 380 **5. Future Outlook**

381

382 Current treatment with antibiotic cocktails is inadequate for eradicating biofilm infections. It is  
383 increasingly evident that biofilm elimination requires the simultaneous degradation of the biofilm  
384 matrix and the killing of released bacterial cells. The development of combinatorial therapy of  
385 anti-biofilm agents with antibiotics or an anti-biofilm agent-antibiotic conjugate drug will  
386 realistically attain an achievable treatment outcome in clinical settings.

387

388 With rapid advances in compound discovery, it is imperative to identify novel anti-biofilm  
389 compounds that can disrupt or disperse biofilms. Natural compounds from plants are excellent  
390 sources of potential anti-biofilm compounds, where many QSIs are previously identified <sup>91</sup>, while  
391 the recently-discovered pyocins and norspermidine displayed promising potential against  
392 biofilms <sup>92,93</sup>.

393  
394 Understanding both active dispersal and disruption will assist in designing better anti-biofilm  
395 drugs suited for various clinical purposes. Most importantly, cells released from biofilms by  
396 either approach should be contained to prevent the immediate dissemination of pathogens into  
397 the circulatory system. Next, the shortcomings of each approach listed previously should be  
398 addressed to improve the chances of developing a suitable drug. For instance, it is crucial to re-  
399 evaluate the minimal inhibitory concentration (MIC) of accompanying antimicrobial coupled with  
400 biofilm dispersal agents, which requires further toxicology and safety studies by  
401 pharmacologists and biologists. As for enzymatic-based biofilm disruption, industrial cooperation  
402 is necessary to keep manufacturing costs down and develop a practical non-immunogenic  
403 formulation.

404  
405 Finally, as most studies were conducted either *in vitro* or animal infection models, the newly  
406 identified compounds must be evaluated extensively with clinical trials. Future directions should  
407 focus on the discovery of novel anti-biofilm agents, with high efficacy against biofilm infections  
408 and low toxicity, coupled with the ease of translating to cheap and practical pharmaceuticals.

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669 **Author biosketch:**

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679

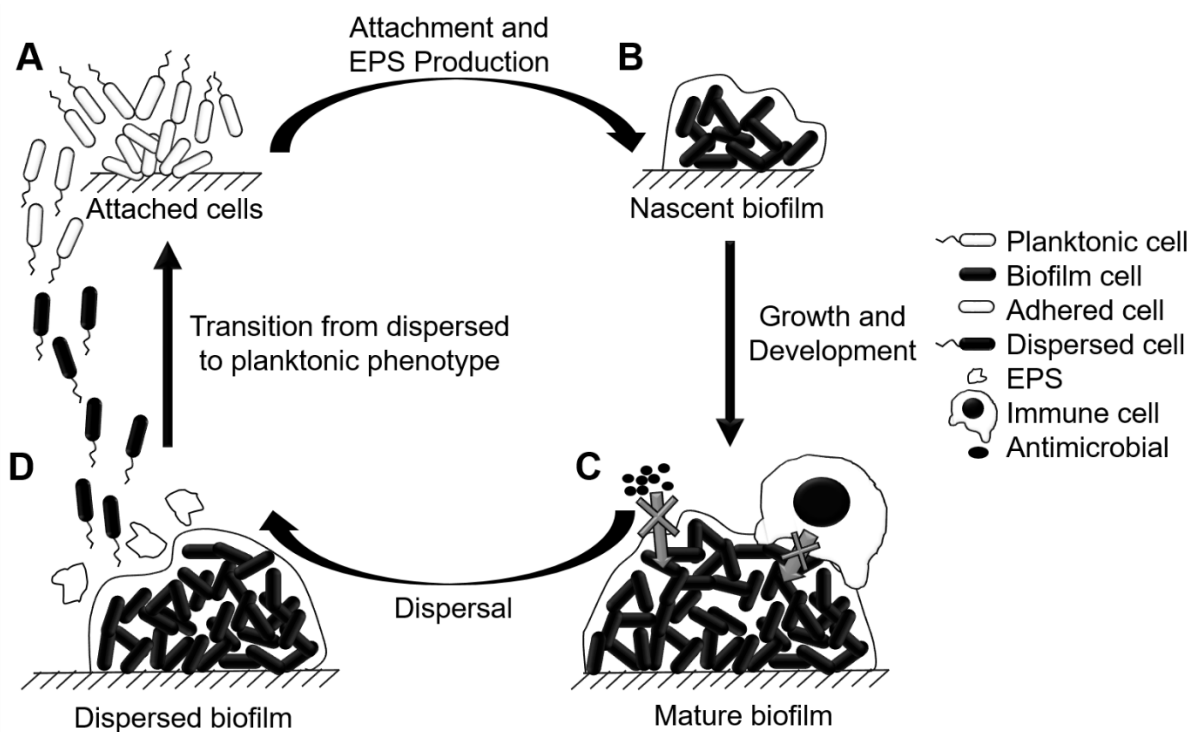
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681 **Table 1:** General comparison between active biofilm dispersal and biofilm disruption.

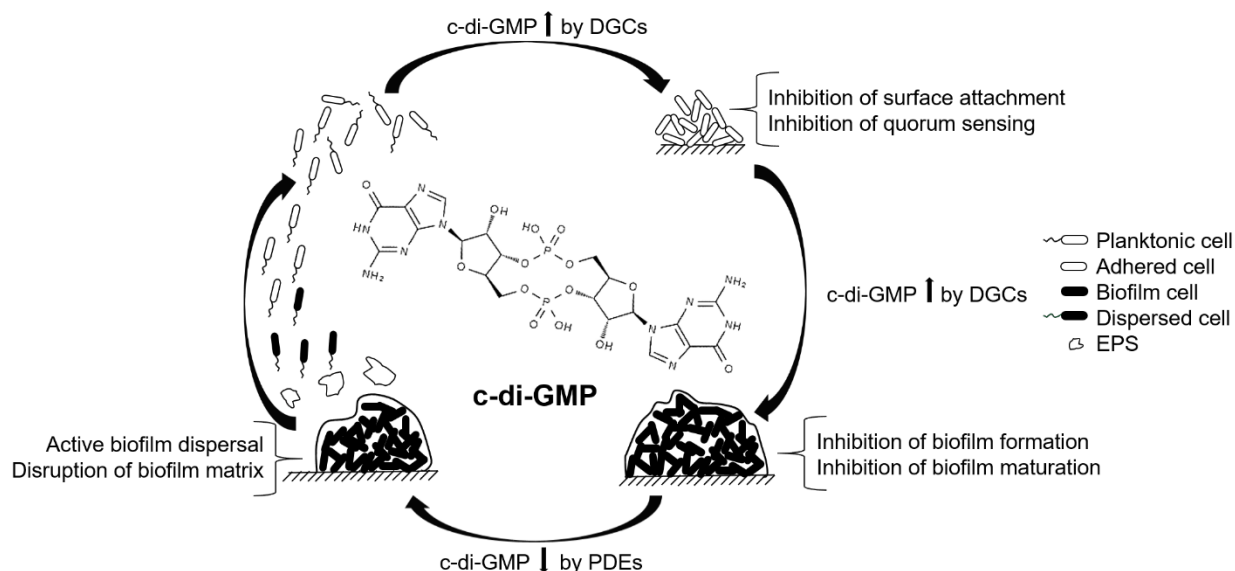
	Biofilm Active Dispersal	Biofilm Disruption
Treatment	Use of chemical stimulus which signals bacterial cells to terminate their biofilm lifestyle and exit the EPS via the self-production of matrix-degrading enzymes and expression of motility apparatus (flagella or pili).	Direct targeting or degradation of the biofilm matrix, leading to the catastrophic collapse of biofilm structure and ejection of embedded cells
Penetrability into biofilms	Dispersal agents need to diffuse into EPS and enter biofilm cells.	Biofilm disruptors can demolish biofilms from the outside quickly, bypassing the need to pass through biofilm matrix and microbial cell walls.
Types of agents	Mostly chemicals which act as stress to stimulate biofilm dispersal	Mostly enzymes which catalyzed the degradation of EPS
Physiology of released cells	Dispersed cells have unique physiology from biofilm and planktonic cells <sup>49</sup>	Disrupted cells have similar physiology with biofilm cells <sup>63</sup>
Antimicrobial resistance of released cells	Dispersed cells may have altered resistance/sensitivity to antibiotic classes <sup>87</sup>	Disrupted cells have similar resistance/similarity to antibiotic classes as biofilms <sup>63</sup>
Virulence of released cells	Dispersed cells have higher production of virulence factors than biofilm and planktonic cells <sup>49</sup>	Disrupted cells have similar virulence as biofilm cells <sup>63</sup>

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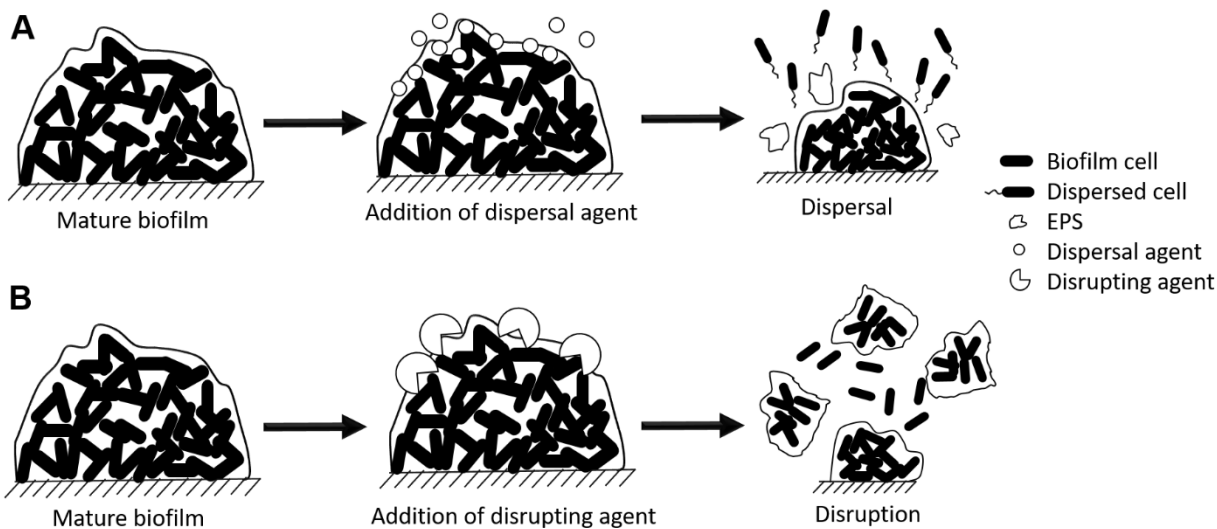
683 **Figure legends:**



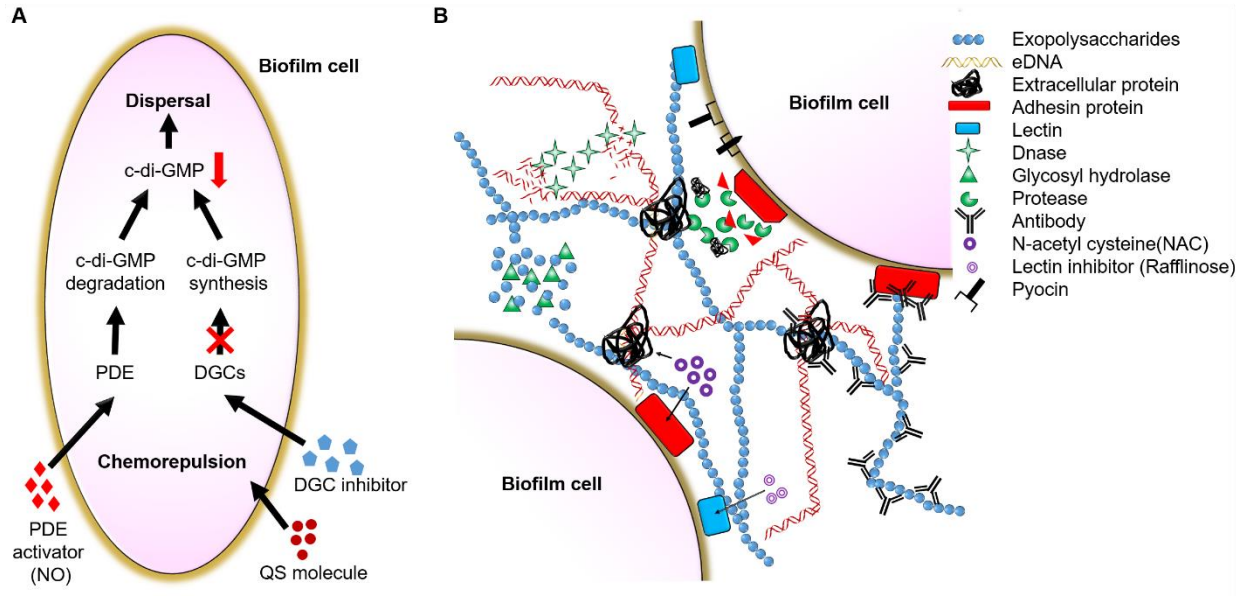
684  
 685 **Figure 1:** Typical biofilm life cycle in bacteria. (A) The planktonic cells first attach onto a  
 686 surface. (B) Bacterial cells lose their motility and start to produce EPS for biofilm formation. (C)  
 687 Biofilm matures and thickens where antibiotics and immune cells are unable to penetrate  
 688 efficiently into the biofilm. (D) As biofilm ages, the cells disperse from the biofilms and enter a  
 689 different transient dispersal phase. After a while, the dispersed cells reverted to planktonic cells,  
 690 where the life cycle restarts.  
 691



692  
 693 **Figure 2:** Role of c-di-GMP signaling in the biofilm life cycle and the potential targets against it.  
 694 An increase in c-di-GMP levels by DGCs, in general, leads to biofilm formation, while reduction  
 695 by PDEs induces biofilm dispersal. Strategies developed against biofilms include inhibition of  
 696 bacterial attachment to surfaces and biofilm formation, active dispersal of biofilms, and  
 697 disruption of the biofilm matrix.  
 698



699  
 700 **Figure 3:** Comparison of biofilm dispersal and disruption. (A) Biofilm dispersal. The dispersal  
 701 agent acts as a stimulus to drive biofilm cells into dispersal, leading to considerable changes in  
 702 physiology to dispersed cell state. (B) Biofilm disruption. The biofilm disrupting agent directly  
 703 degrades the biofilm matrix to eject biofilm cells with little changes to their physiological state.  
 704



705

706 **Figure 4:** Development of various anti-biofilm strategies. (A) Biofilm dispersal strategies include

707 using QS molecules as chemorepellants, DGC inhibitors (c-di-GMP analogs), and PDE

708 activators (such as NO). (B) Biofilm disruption strategies include enzymatic degradation of EPS

709 by glycosyl hydrolase, protease and DNase; non-enzymatic degradation of EPS components by

710 N-acetyl cysteine; and antibody targeting of EPS.

711