1	RELIABILITY, VALIDITY AND MINIMAL DETECTABLE CHANGE OF 2-MINUTE
2	WALK TEST, 6-MINUTE WALK TEST AND 10-METER WALK TEST IN FRAIL
3	OLDER ADULTS WITH DEMENTIA
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- 19

20 ABSTRACT

21	Background: Walk tests are commonly used to evaluate walking ability in frail older adults with							
22	dementia but their psychometric evidence in this population is lacking.							
23	Objectives: 1) To examine test-retest and inter-rater reliability, construct and known-group							
24	alidity, and minimal detectable change at 95% level of confidence (MDC ₉₅) of walk tests in							
25	frail older adults with dementia, and 2) to examine the feasibility and consistency of a cueing							
26	system in facilitating participants in completing walk tests.							
27	Design: Psychometric study with repeated measures.							
28	Setting: Day care and residential care facilities.							
29	Participants: Thirty-nine frail older adults with a mean age 87.1 and a diagnosis of dementia or							
30	Alzheimer's disease who were able to walk independently for at least 15 meters.							
31	Methods: The participants underwent a 2-minute walk test (2MWT), 6-minute walk test							
32	(6MWT) and 10-meter walk test (10MeWT) on six separate occasions under 2 independent							
33	assessors using a cueing system. Functional status was measured using the Elderly Mobility							
34	Scale (EMS), Berg Balance Scale (BBS) and Modified Barthel Index (MBI).							
35	Results: Excellent test-retest (ICC=.9198) and inter-rater reliability (ICC=.8696) were shown							
36	in the 2MWT, 6MWT and 10MeWT. The walk tests were strongly correlated with each other (ρ							
37	=.8594). The correlations between the walk tests and the functional measures were moderate in							
38	general (ρ =.3455). All the walk tests were able to distinguish between those who could walk							
39	outdoor and indoor only (p \leq .036). The MDC ₉₅ were 9.1m in the 2MWT, 28.1m in the 6MWT,							

40	and .16m/s in the 10MeWT. The cues provided by the assessors in the walk tests were generally
41	consistent (ICC=.6289).

42	Conclusions: The 2MWT, 6MWT and 10MeWT are reliable and valid measures in evaluating
43	walking ability in frail older adults with dementia. The MDC95 of the walk tests has been
44	established. The cueing system is feasible and reliable to facilitate the administration of the walk
45	tests in this population group.

Keywords: Dementia; Physical assessment; Psychometrics; Walking; Mobility

51 **1. INTRODUCTION**

52 Walking ability has been an important indicator of both physical and cognitive health among 53 older adults who are at risk of developing dementia. Past studies have shown that reduced 54 walking ability is associated with increased risk of developing cognitive impairment and functional decline in older populations (Blankevoort et al., 2013; Fitzpatrick et al., 2007; 55 56 Jabourian et al., 2014; Taylor et al., 2014; Watson et al., 2010). Although assessing walking 57 ability has been a part of routine assessment for older adults with dementia in many clinical 58 settings, the psychometric properties of various walk tests have not yet been thoroughly 59 examined for this population group (Fox et al., 2016). Exploring the psychometric properties, particularly reliability and validity, of walk tests would enhance the scientific rigor of their 60 61 clinical use for older adults with dementia.

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Many clinical-friendly walk tests, such as the 2-minute walk test (2MWT) (Butland et al., 1982), 63 6-minute walk test (6MWT) (Butland et al., 1982) and 10-meter walk test (10MeWT) (Collen et 64 al., 1990), have been validated in older adults with normal cognition (Brooks et al., 2006; Harada 65 et al., 1999; Hollman et al., 2008; Peters et al., 2013; Rikli and Jones, 1999; Steffen et al., 2002). 66 However, only a few studies have explored the reliability of the 6MWT for older adults with 67 dementia (Ries et al., 2009; Tappen et al., 1997). No study has investigated the reliability of the 68 2MWT and 10MeWT, and the validity of any walk test for people with dementia. Moreover, the 69 70 two studies on the 6MWT recruited participants with unknown level of disability or functional 71 independence. None of them specifically recruited frail older adults, who have reduced 72 physiological capacity to recover from health stressors and increased risk of having disability 73 and premature death (Morley et al., 2012). Looking into all types of walk tests in general, the

findings of psychometric studies on older adults with dementia have been inconsistent. For
instance, while the test-retest reliability of walking speed measures has been shown acceptable in
some studies (Ries et al., 2009; Thomas and Hageman, 2002; van Iersel et al., 2008), some
studies demonstrated poor reliability (Fox et al., 2014; Tappen et al., 1997). The psychometric
properties of walk tests remain unknown for this population group.

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Assessing walking ability accurately in older adults with dementia has been a challenge for many 80 clinicians. Cognitive impairment has been identified as the key determinant of inconsistent 81 measurement of any physical performance test, and the short attention span and inadequate 82 compliance to instructions found in people with dementia limit the use of walk tests in clinical 83 84 settings (Rockwood et al., 2000). Previous studies have documented that frequent verbal and physical assistance and radical changes to the test protocols were required to ensure the 85 successful completion of performance assessments, including walk tests, for this population 86 group (Maring et al., 2013; Nordin et al., 2006; Ries et al., 2009; Tappen et al., 1997). Recently, 87 a study has validated a cueing system to guide clinicians to conduct performance tests on people 88 with dementia (Ries et al., 2009). Nevertheless, the feasibility of using this cueing system to 89 90 monitor the assistance offered to people with dementia and to facilitate clinicians how to provide appropriate verbal and physical prompts during walk tests remain unknown. 91

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To fill in the present research gap in walk tests for older adults with dementia, this study
investigated the test-retest and inter-rater reliability, construct and known-group validity, and
minimal detectable change (MDC) of the 2MWT, 6MWT and 10MeWT for this population

96 group. In addition, a progressive cueing system was used to facilitate the participants to complete
97 the walk tests. The consistency of the assessors in providing repeated cues in the walk tests was
98 also examined.

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101 **2. METHODS**

102 **2.1. Study design**

103 This psychometric study is a cross-sectional, non-experimental study with repeated measures. To examine the test-retest reliability of the walk tests, the participants completed the 2MWT, 104 6MWT and 10MeWT with one assessor on two test occasions. For the inter-rater reliability, the 105 106 participants repeated the walk tests with another assessor on a separate test occasion. The construct validity was examined based on the correlations between the walking performances 107 108 and functional measures commonly applied in older populations, including the Berg Balance Scale (BBS), Elderly Mobility Scale (EMS) and Modified Barthel Index (MBI) which reflected 109 the balance control, general mobility and daily functioning of the participants respectively. The 110 111 known-group validity was assessed by comparing the walking performances among participants using different walking aids (no aid vs stick vs quadripod/frame) and those having different 112 ambulatory statuses (indoor vs outdoor walking). The MDC of the walk tests were determined 113 114 based on the findings of the test-retest reliability and the walking performances using standardized formula. Lastly, the test-retest and inter-rater reliability of the progressive cueing 115 116 system was explored to assess the consistency of the assessors in providing repeated cues in the walk tests. 117

119 **2.2. Participants**

120 Participants were recruited from January to May 2016 from a day care center and a residential care facility providing permanent medical care services to older adults with moderate to severe 121 disabilities. Individuals who were: 1) 65 years or above; 2) able to walk 15 meters independently 122 with or without walking aids; 3) diagnosed with dementia or Alzheimer's disease; 4) those 123 without a proper diagnosis of dementia or Alzheimer's disease but scored below the cut-off point 124 of 19 in the Chinese Mini-Mental State Examination (CMMSE) (Chiu et al., 1994); and 5) 125 scored 3 or above in the FRAIL scale (Morley et al., 2012; Woo et al., 2015) were eligible to join 126 the study. Older adults with acute exacerbation of cardiac, pulmonary or musculoskeletal 127 128 conditions that affected their walking ability, those with severe hearing or visual impairment that 129 hindered effective communication, and those with recent hospitalization in the past 30 days were excluded. Potential participants were identified by the health care professionals working in the 130 facilities. Then they were referred to an in-house physical therapist (the first author) to screen for 131 their walking capacities and medical conditions to make sure that they could complete the walk 132 tests safely. Figure 1 shows the recruitment process of this study. 133

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135 **2.3. Sample size calculation**

Based on the limited psychometric studies on community-dwelling, healthy individuals with dementia, the findings on the test-retest reliability of walk tests were generally favorable (Intraclass Correlation Coefficient (ICC) \geq .92) (Ries et al., 2009; Tappen et al., 1997; Thomas and Hageman, 2002). Assuming an ICC \geq .90 indicates strong reliability, a sample size of 30 was required to achieve 90% power at a confidence level of 0.05 (Shoukri et al., 2004). Anticipating
a 20% of unexpected drop-outs, this study aimed at recruiting 38 participants.

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143 **2.4. Procedures**

The study was complied with the Declaration of Helsinki and approved by the ethics committees of the Hong Kong Polytechnic University and the participating facilities in order to gain access to the medical records of the participants and conduct the study on the participants. Eligible participants, and their family members or guardians were provided with written information about the proposed study, including the objectives, tests and duration of the study face-to-face or through mail. Family members or guardians of the participants signed the informed written consent for the participants by proxy.

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152 Demographic data, including age, gender, height, weight, body mass index and past medical history, which were reviewed yearly by the health care professionals in the facilities, were 153 154 assembled from the medical records of the participants. The scores of functional measures, 155 including the Berg Balance Scale (BBS), Elderly Mobility Scale (EMS) and Modified Barthel 156 Index (MBI), and ambulatory status were assessed by the in-house physical therapist (the first 157 author) during the study period. The ambulatory status of the participants were categorized into 158 either indoor or outdoor walkers according to the Modified Functional Ambulation Classification (Chau et al., 2013). Indoor walkers can transfer, turn and walk independently on levelled ground 159 160 but requires supervision or physical assistance to ambulate on stairs, incline or uneven surface.

161 Outdoor walkers can ambulate independently in any kind of surface or stairs (Chau et al., 2013).
162 Table 1 shows the descriptive characteristics of the participants.

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The walk tests were performed from April to July 2016. Two experienced physical therapists 164 (the first author and a physical therapist of the day care center) conducted the walk tests 165 166 independently. Over a two-week period, each participant underwent the 2MWT, 6MWT and 10MeWT on six separate occasions (figure 1). Assessor A (the first author) conducted the walk 167 168 tests on four occasions so as to examine the test-retest reliability of the walk tests, while Assessor B (the physical therapist of the day care center) performed the tests on two occasions 169 aiming to examine the inter-rater reliability of the walk tests. The measurement occasions were 170 171 at least one day apart, and the participants were given adequate rest before proceeding to another 172 occasion on the next day. Any acute change to their medical conditions during the 2-week period were noticed by the assessors and the participant concerned would be excluded from the study. 173 174 To prevent bias and minimize potential learning effect, the sequence of the test occasions was randomized by drawing lots and both assessors were blinded to previous test performances. 175

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177 **2.5. Measures**

A 15-meter levelled corridor with colored markings at every 1-meter interval was assigned for the walk tests. Traffic cones were placed to indicate the turning spots at both ends of the corridor. The participants were asked to wear comfortable clothing and use their usual walking aids. No vigorous exercise was allowed two hours before the tests started. Heart rate, blood pressure and pulse oxygen saturation were recorded using a blood pressure monitor and finger

pulse oximetry before and after each test. The participants were instructed to report to the
assessor and stop the test if they experienced any discomfort, including dizziness, chest pain,
nausea and undue fatigue. The rate of perceived exertion was not monitored and recorded in our
study as required by the published guidelines (Crapo et al., 2002) as most of the participants,
who had moderate to severe grade of dementia or Alzheimer's disease, had difficulty in
comprehending and grading their perceived exertion. All the vital signs had to return to the
baseline before the next trial commenced (Crapo et al., 2002; Pin, 2014).

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Strategies were implemented to maximize the attention span of the participants during the walk 191 tests as follows (Hoppes et al., 2003; Kovach and Henschel, 1996; Miller, 2008). The corridor 192 193 was located at a quiet, spacious hall inside the participating facilities. Other staff members and 194 people in the facilities were prohibited from entering the venue during the tests. The tests were performed by the therapists working in the facilities whom the participants were familiar with. 195 196 The therapists were instructed to build an effective interaction with the participants, such as using friendly, pleasant voice and facial expressions, providing clear commands, and keeping 197 constant eye contact (Miller, 2008; Small et al., 2003). The tests were conducted at about the 198 199 same time of the day for each participant.

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2.5.1. 2-minute Walk Test (2MWT)

The 2MWT was conducted based on the published guideline (Pin, 2014). The participants were instructed to "walk at your comfortable, usual pace". Two practice trials and a final trial for record were performed. The two practice trials were used to minimize a possible learning effect (Pin, 2014). At least ten minutes of rest was provided between trials. The distance covered in thetwo minutes was recorded as the 2MWT.

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208 2.5.2. 6-minute Walk Test (6MWT)

The 6MWT was performed following the published guideline (Crapo et al., 2002). The participants were asked to walk "as far as possible" in the six minutes. No running was allowed. The assessors provided standardized encouragement, "you're doing well, keep it up", every minute during the test. One practice trial and one final trial for record were performed. The practice trial was used to make sure that the participants were medically safe and physically capable to complete the test on any particular occasion. A minimum 20 minutes of rest was given between trials. The distance covered in the 6 minutes was recorded as the 6MWT.

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2.5.3. 10-meter Walk Test (10MeWT)

The 10MeWT was measured concurrently in the 2MWT (10MeWT-2M) and 6MWT (10MeWT-218 6M) to obtain the walking speeds of the participants. One reason for the simultaneous 219 220 measurements was that the test protocols and the environmental set-up of the 2MWT, 6MWT and 10MeWT were very similar. Combining these tests could reduce the number of repeated 221 222 walking and the resulting fatigue for the participants, and thus maximized their compliance to the tests. The walking speeds achieved in the 2MWT (10MeWT-2M) and 6MWT (10MeWT-6M) 223 were treated as distinctive outcomes and analyzed separately because we believed that the 224 225 instructions given to the participants in the 2MWT and 6MWT were different, possibly resulting in differences in the timed walk tests. 226

The time started when the participants walked for the middle 10 meters of the 15-meter corridor in the first leg of the recorded trial. The first three meters and the last two meters were reserved for acceleration and deceleration respectively (Flansbjer et al., 2005; Hollman et al., 2008; Peters et al., 2013). The walking speeds were calculated by dividing 10 meters by the time used (i.e. meters/second).

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2.5.4. Berg Balance Scale (BBS)

The BBS assesses the balance control of older adults based on the performance of various 235 functional tasks (Berg et al., 1992, 1989). Fourteen functional tasks, such as sitting to standing, 236 237 standing unsupported, chair transfers, standing with eye closed, tandem standing and single leg standing. A scale from 0 to 4 is used for each item. A score of 0 indicates the inability to perform 238 the task, while a score of 4 indicates the completion of the task successfully according to the 239 240 predetermined criterion. The maximum score is 56. A higher score indicates better balance control. Moderate to strong test-retest and inter-rater reliability was reported among people with 241 242 dementia (ICC=.72-.99) (Muir-Hunter et al., 2015; Telenius et al., 2015).

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2.5.5. Elderly Mobility Scale (EMS)

The EMS assesses the general mobility, balance and position changes of frail older adults
(Smith, 1994). Seven mobility and functional tasks, including lying to sitting, sitting to lying,
sitting to standing, standing, gait, 6-meter timed walk and functional reach, were used. Two

items, lying to sitting and sitting to lying, score from 0 to 2. Four tasks, including sitting to standing, standing, gait, 6-meter timed walk, have a score ranging from 0 to 3. Functional reach scores from 0 to 4. The total score ranges from 0 to 20. A higher score indicates better mobility status. Strong inter-rater reliability (Spearman correlation coefficient, $\rho = .88$) and strong correlation with the Barthel Index ($\rho = .79$) were reported among older adults (Prosser and Canby, 1997).

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5 2.5.6. Modified Barthel Index (MBI)

256 The MBI measures the level of functional independence in activities of daily living (Leung et al., 257 2007; Shah et al., 1989). Ten tasks of activities of daily living, including feeding, transfer, 258 personal hygiene, getting on/off toilet, bathing, walking on levelled ground, climbing stairs, dressing, bowel and bladder control, were evaluated. The performances of the participants were 259 reported by the family members or caregivers of those who attended the day care center, and by 260 261 the personal care workers and nurses of those living in the residential care facility. Direct observation was also conducted by the first author if necessary. Each item scores from 0 to 10, 262 which adds up to a total score ranging from 0 to 100. A higher score indicates higher level of 263 functional independence. The test-retest reliability has been shown moderate to strong (Kappa 264 265 coefficients=.63-1.00) among local older adults (Leung et al., 2007).

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267 **2.6.** The cueing system

The system aims to facilitate clinicians how to monitor and quantify the verbal and physicalassistance and provide consistent assistance during functional assessment for people with

270 dementia (Nordin et al., 2006; Ries et al., 2009; van Iersel et al., 2007). It was originally developed to assist the clinicians to make decision how to provide assistance in activities of daily 271 living for people with cognitive impairment (Beck et al., 1993). The level of cueing is escalated 272 273 in the following sequence: 0) no cue; 1) verbal prompt; 2) modelling/gesturing; 3) one-off physical prompt; 4) intermittent physical prompt; 5) intermittent physical guidance; and 6) 274 complete physical guidance. In the present study, cues were given when the participant started to 275 deviate from the walk path, to run or slow down, or to stop walking during the walk tests. The 276 participant would be given a few seconds to respond to the cue before the next level of cue was 277 given. For both levels 5 and 6 of cueing, the assessor would walk in front of the participant and 278 held one of his/her hands intermittently or continuously respectively without pulling to guide the 279 direction of the walking. The physical touch or the number of repeated cueing given was kept as 280 minimal as possible to allow the participant to initiate and sustain their walking. The practice 281 trials were used to determine which level of cueing should be provided to the participants. If 282 walking ahead of the participant was required, the assessor would adopt the walking pace of the 283 participant as observed in the practice trials to minimize the risk of driving the pace of walking. 284 Figure 2 shows the flow diagram how the assessors determined the appropriate level of cueing 285 for the participants. 286

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288 **2.7. Statistical analyses**

Reliability indicates the degree to which scores of an outcome measure are free from
measurement errors within a particular population (Mokkink et al., 2010). Reliability is further
divided into relative reliability, which indicates the consistency of the ranking of individuals'
scores within a group, and absolute reliability, which indicates the variability of individuals'

293 scores in repeated measurements (Carter, R. E., Lubinsky, J., Domholdt, 2011). The test-retest and inter-rater reliability of the walk tests, the sub-categories of the relative reliability, were 294 analyzed using the Intra-class Correlation model 2 (ICC_{2,1}) and model 3 (ICC_{3,2}) respectively 295 (Portney and Watkins, 2000). Given the small sample size in the present study, Spearman 296 correlation coefficient (ρ) was used to analyze the correlation between the walk tests and the 297 298 other functional measures. A coefficient between .30 and .60 indicates moderate correlation, and $\rho \ge .60$ excellent correlation (Andresen, 2000; Fitzpatrick et al., 1998). For the construct validity, 299 moderate correlation is commonly considered acceptable (Brooks et al., 2006). Known-group 300 301 validity was examined by comparing the performance of the participants using different walking aids and of different ambulatory statuses using independent t test (two-tailed) or one-way 302 303 analysis of variance (ANOVA).

304

Absolute reliability provides additional information about whether a change of performance is 305 beyond expected measurement error and takes individual variabilities into account. Absolute 306 reliability is evaluated using the minimal detectable change (MDC), which refers to the amount 307 of change required to demonstrate a "true" change in the individual's performance (Beckerman 308 309 et al., 2001; Weir, 2005). The MDC is expressed as an absolute value at the 95% confidence level (MDC₉₅), which depends on the units of measure, or a relative value in percentage 310 $(MDC_{95}\%)$, which determines a change from the baseline over time. The MDC₉₅ indicates the 311 312 smallest difference required to exceed the measurement error and performance variability with 95% confidence. 313

315	The calculation of the MDC was based on the results within the same assessor on the
316	participants. Firstly, the standard error of measurement (SEM) was calculated using the
317	following formula (Carter, R. E., Lubinsky, J., Domholdt, 2011):
318	
319	$\mathbf{SEM} = sd \ge \sqrt{(1-r)}$
320	
321	where sd is the standard deviation of the measure, and r is the reliability coefficient, i.e. the ICC
322	of the test-retest and inter-rater reliability.
323	
324	The absolute (MDC ₉₅) and the relative MDC at the 95% confidence level (MDC ₉₅ %) were
325	calculated based on the SEM. The MDC95 was calculated according to the following equation
326	(Beckerman et al., 2001; Weir, 2005):
327	
328	$MDC_{95} = SEM \ge 1.96 \ge \sqrt{2}$
329	
330	where 1.96 represents the z-score at the 95% confidence interval from a normal distribution. The
331	square root of 2 takes into account the errors made by the repeated measurements.
332	
333	The MDC ₉₅ % was based on the following formula (Beckerman et al., 2001; Flansbjer et al.,
334	2005):

336	MDC05%	$= (MDC_{05}/mean)$) x	100
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where "mean" was the average results of the walk tests.

340	To examine the consistency of the assessors in providing repeated cues, the ICC model 2 (ICC _{2,1})
341	and model 3 (ICC _{3,2}) were used to analyze the consistency of the repeated cues provided by the
342	same assessor (i.e. test-retest reliability) and across two assessors (i.e. inter-rater reliability)
343	respectively (Portney and Watkins, 2000).
344	
345	The SPSS software (version 22.0) was used to perform all statistical analyses. A significance
346	level of 0.05 was used for all analyses.
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348	
349	3. RESULTS
350	Thirty-seven participants with a diagnosis of dementia or Alzheimer's disease and two with no
351	formal diagnosis of dementia but scored below the cut-off point in the CMMSE were recruited
352	and completed all the tests without any adverse events. Table 1 shows the characteristics of the
353	participants. Although all the metric data were normally distributed, with the present sample size,
354	the statistical analysis methods remained as discussed above. The reliability coefficients of all
355	the walk tests are shown in table 2. All the walk tests achieved an excellent test-retest

(ICC=.91-.98) and inter-rater reliability (ICC=.86-.96), except the 10MeWT-6M, which attained
only moderate inter-rater reliability (ICC=.60-.65).

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Table 3 shows the correlations among the walk tests and other functional measures. All the walk tests were strongly correlated with each other ($\rho = .84 - .93$). Moderate correlations were found between the 2MWT, 6MWT and 10MeWT-2M and the EMS ($\rho = .39 - .43$), BBS ($\rho = .47 - .49$) and MBI ($\rho = .45 - .54$). For the 10MeWT-6M, the correlations with the BBS and MBI were moderate ($\rho = .35$ and .46 respectively), but the one with the EMS ($\rho = .27$) was weak and insignificant.

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Participants who ambulated without a walking aid covered significantly longer distance than those using any kinds of walking aids in both the 2MWT and 6MWT (all $p \le .05$) (table 4). However, such differences were not found in the 10MeWT-2M and 10MeWT-6M (all $p \ge .05$). Participants who were able to walk outdoor outperformed those who walked indoor only in all the walk tests (all $p \le .05$).

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The SEM, MDC₉₅ and MDC₉₅% of the walk tests are shown in table 5. The MDC₉₅ of the 2MWT, 6MWT, 10MeWT-2M and 10MeWT-6M were 9.1m, 28.1m, .17m/s, and .16m/s respectively. The MDC₉₅% in the 2MWT, 6MWT, 10MeWT-2M and 10MeWT-6M were 14%, 14%, 29%, and 24% respectively.

376	Table 6 shows the medians and reliability coefficients of the cues provided by the assessors in
377	the walk tests. Majority of the participants received either no cue (level 0) (2MWT and
378	10MeWT-2M: 25.6-41.0%; 6MWT and 10MeWT-6M: 20.5-43.6%) or verbal prompt (level 1)
379	(2MWT and 10MeWT-2M: 35.9-48.7%; 6MWT and 10MeWT-6M: 28.2-41.0%). Very few
380	participants received intermittent (level 5) (2MWT and 10MeWT-2M: 0.0-2.6%; 6MWT and
381	10MeWT-6M: 2.6-7.7%) and complete physical guidance (level 6) (2MWT and 10MeWT-2M:
382	2.6-5.1%; 6MWT and 10MeWT-6M: 0.0-5.1%). The test-retest reliability (ICC=.8889) and
383	inter-rater reliability between Assessor A Occasion 1 and Assessor B were excellent (ICC=.83).
384	The inter-rater reliability between Assessor A Occasion 2 and Assessor B were modest
385	(ICC=.6269).

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387 **4. DISCUSSION**

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This study aimed to examine the test-retest and inter-rater reliability, construct and known-group 388 389 validity, MDC₉₅ and MDC₉₅% on the 2MWT, 6MWT, 10MeWT in older adults with dementia. It is the present research gap in using these walk tests for this challenging population, who have 390 difficulties in following instructions and sustaining adequate attention during physical training 391 and performance tests (Rockwood et al., 2000). The walking performances of our participants 392 were generally worse than healthy older adults who participated in other psychometric studies 393 394 (Bohannon et al., 2015; Peters et al., 2013; Steffen et al., 2002), indicating that there is a need to determine the psychometric properties of the walk tests particularly for this population group. To 395 support people with dementia during the tests, we also investigated the use of a standardized and 396 397 individualized cueing system for this population group during the walk tests. We found that the system was feasible and generally reliable among our participants. 398

400 *4.1. 2MWT*

401 The distance walked by our participants was shorter than that by healthy older adults living in the community (2MWT: 63.1-63.6m vs 134.3-184.2m) (Bohannon et al., 2015). Our study is the first 402 study to explore the reliability and validity of the 2MWT in the older adults with dementia. A 403 study of young elderly with intellectual developmental disability reported a high correlation 404 between the 2MWT and MBI (r=.75) (Maring et al., 2013). The SEM and MDC₉₅ found in the 405 406 current study are smaller than those of the older adults with normal cognition (SEM: 3.3-6.2m vs 5.2-6.3m; MDC₉₅: 9.1-17.1m vs 14.5-17.5m) (Connelly et al., 2009). The previous study has a 407 smaller sample size (n=16), a high dropout rate (n=9) and a wider dispersion of the 2MWT (SD= 408 409 23.3-25.6m) (Connelly et al., 2009), which are believed to contribute to the discrepancies 410 between our and their findings.

411

412 *4.2. 6MWT*

413 The 6MWT achieved by our participants was less than community-dwelling healthy adults who 414 aged 60-89 (6MWT: 194.0-203.6m vs 392-572m) (Steffen et al., 2002). Our findings are consistent with previous findings that the 6MWT had high test-retest and inter-rater reliability in 415 older adults with dementia (Ries et al., 2009; Tappen et al., 1997). The current study further 416 417 demonstrates that the 6MWT was reliable and significantly correlated with other walk tests and functional measures in frail elderly with dementia with high level of functional dependence. 418 419 Apart from being a tool to assess walking performance, the 6MWT is also regarded as a clinical 420 test to assess submaximal exercise capacity in people with limited cardiopulmonary reserve

421	(Crapo et al., 2002). The moderate correlations between the 6MWT and various functional
422	measures indicates that the 6MWT is also valid in measuring exercise capacity of this population
423	group. The SEM and MDC95 reported in this study were smaller than the previous study
424	conducted in people with dementia (SEM:10.1-17.6m vs 19.6-21.9m; MDC _{95:} 28.1-48.7m vs
425	54.2-60.6m) (Ries et al., 2009). Despite having a similar level of cognitive function (mean
426	MMSE=13.1), the younger (mean age=80.7), more independent participants in that study
427	(community-dwelling participants= 76.5%) would have contributed to the larger SEM and MDC
428	(Ries et al., 2009).

429

4.3. 10MeWT 430

431 Our participants generally walked slower than their healthy counterparts participated in a previous study (10MeWT-2M: .62-.63m/s; 10MeWT-6M: .64-.65m/s vs .96m/s) (Peters et al., 432 2013). The reliability and validity of the 10MeWT in people with dementia have never been 433 434 examined. The excellent test-retest reliability found in our study is consistent with the findings of previous studies conducted on older adults with normal cognition (Hollman et al., 2008; Peters et 435 al., 2013), which suggests that testing the older adults with dementia on one occasion would be 436 sufficient in clinical setting. The inter-rater reliability of the 10MeWT-2M was excellent, but the 437 inter-rater reliability of the 10MeWT-6M was only modest (table 2). We speculate that the poor 438 439 inter-rater reliability of the 10MeWT-6M was affected by the two outliers, who had extreme 440 performances across the two occasions (Supplementary Figure 1). After eliminating these two 441 outliers, the inter-rater reliability of the 10MeWT-6M became excellent (ICC=.87-.90, 95% 442 CI=.77-.96). Hence, it is reasonable to conclude that the test-retest and inter-rater reliability of the 10MeWT for older adults with dementia was good to excellent. 443

445	The SEM and MDC ₉₅ of the 10MeWT in this study were generally larger than those of the older
446	adults with normal cognition (SEM:.06m/s vs.00406m/s; MDC95:.1617m/s vs.0117m/s)
447	(Hollman et al., 2008; Perera et al., 2006; Peters et al., 2013). The better cognitive function of the
448	participants in those studies may have contributed to the smaller SEM and MDC because of the
449	more consistent performance in the participants, i.e. smaller SD of the findings.

450

451 We have identified several characteristics about these two outliers, who both had extreme 452 walking speeds on the 2 occasions. Both outliers were highly mobile (EMS=18-20 vs group 453 mean =17.4; BBS=46-54 vs group mean = 44.1) and could ambulate independently without any 454 walking aid. However, they were more cognitively impaired than other participants (MMSE=6-12 vs group mean =13.2). Moreover, severe behavioral problems, such as loss of attention, 455 456 sudden change of the walking speed and deviations from the walking path, were observed on 457 both participants during the walk tests. This observation was coherent with a previous study which found that people with severe dementia had huge difficulty in following instructions, 458 459 causing the poor reliability in some physical performance measures such as walking speed 460 (Tappen et al., 1997). Whenever an individual with dementia loses his/her attention or has unexpected behavior during a performance test, such as walk tests, it takes time for them to 461 462 respond to verbal or physical cues and resume the walking. The extra time required to respond to 463 the cues will greatly affect the result of the performance test. In addition, these unexpected 464 behaviors could hardly be predicted. All these factors would become an issue in performance 465 tests, particular to those that require the participants to complete in a very short period of time, 466 such as the 10MeWT in our study.

468	Based on our results, the 10MeWT was a reliable and valid measure and able to capture changes						
469	in the walking performance of older adults with dementia. However, clinicians have to pay						
470	attention to those, who are highly mobile and have severe cognitive impairment with significant						
471	behavioral problems, may show large variations in their walking performance. Strategies, such as						
472	using simple and clear instructions and asking them to use comfortable pace as in the 2MWT,						
473	may improve the repeatability of the 10MeWT. Standardized testing protocol of the 10MeWT						
474	for people with or without dementia is urgently required so that clinicians can confidently						
475	administer this walk test in clinical settings.						
476							
477	4.4. The progressive cueing system						
478	Psychometric studies of performance measures on dementia populations are scarce because						
479	cognitive function often determines whether an individual is able to complete any physical						
480	performance test (Rockwood et al., 2000). Our study has demonstrated that the walk tests have						
481	good reliability and validity in older adults with dementia. We believe that the progressive						
482	cueing system has played an important role in demonstrating that older adults with dementia						
483	were capable to complete the walk tests when systematic cues were provided.						
484							
485	In order to ensure people with dementia could complete the walk test, previous studies						
486	commonly made lots of modifications to the testing protocols of performance measures, such as						
487	introducing a "pacer" to provide ongoing encouragement and cueing (Maring et al., 2013) and						
488	skipping the turn and transfer in the timed up-and-go test (Tappen et al., 1997). These						

modifications were sometimes so extreme that they had changed the construct of the actual tests.
The present study, which adapted the cueing system (Ries et al., 2009), demonstrated that there
was no need to make changes to the testing protocol if the cueing system was used. A low-stress
environment that minimizes distraction and some simple strategies to prolong the attention of
older adults, such as using the quiet and spacious venue and familiar personnel from the facilities
as the assessors, (Nordin et al., 2006; Ries et al., 2009; Thomas and Hageman, 2002; van Iersel
et al., 2007) were also proved to be helpful in the present study.

496

Some may argue that the reliability analyses examined the consistency of the cues provided by 497 the assessors rather than the performance of the participants. Any physical performance test for 498 499 individuals with dementia would become a measure of the performance of the assessor if the 500 cues are not systematically provided, particularly when physical assistance is involved (Tappen et al., 1997). We analyzed the test-retest and inter-rater reliability of the cueing and found a 501 502 different pattern of consistency compared to that of the walk tests. For example, the inter-rater reliability of the cueing in the 2MWT and 10MeWT-2M were generally smaller than those of the 503 performance of the participants (ICC=.62-.83 vs .86-.96). The findings show that the consistency 504 505 of the cueing and the performance of the participants were independent of each other. Therefore, 506 the reliability analyses evaluated the performance of the participants, not the assessors. In fact, 507 the cueing system facilitated the walk tests to reveal the true walking ability of the participants. Strategies were implemented to ensure consistent provision of cueing, including the use of 508 practice trials and the explicit guidelines of the walk tests, strategies to minimize the use of any 509 510 unnecessary cueing. We believe that the practice trials gave both the participants and the assessors time to be more familiar with the tests and the cueing system. 511

513 **4.5. Study Limitations**

514 Our participants were relatively old, frail, mostly female, and recruited from day care and residential care facilities. Our findings may not be generalized to younger, non-frail, community-515 dwelling older men with dementia. Although the number of participants recruited in our study 516 517 was larger than previous psychometric studies of walk tests for older adults (Connelly et al., 518 2009; Maring et al., 2013; Tappen et al., 1997; Thomas and Hageman, 2002), the sample size 519 remained small. Future studies with larger sample sizes are suggested so to allow sub-group comparisons such as different severity of dementia. Two participants without a diagnosis of 520 Alzheimer's disease or dementia but with significant cognitive impairment were recruited in our 521 522 study. More stringent inclusion criteria aiming at those with a formal diagnosis may be 523 necessary. The length of the corridor used in our study was only 15 meters, although 30 meters (or not less than 20 meters) is suggested to avoid excessive turns at the end of the corridor 524 525 (Crapo et al., 2002; Pin, 2014). The distance walked by our participants may be less than expected due to the shorter corridor and the increased number of turns. However, our participants 526 were assessed under the same settings across multiple test occasions, the effects of variations in 527 528 environmental factors on walking performance was thus reduced. Clinicians should be aware of 529 the effects of different testing settings on their findings. Our assessors were not blinded to the 530 study, which may create possible bias to the measurements. Furthermore, the 10MeWT-2M and 10MeWT-6M were measured within the 2MWT and 6MWT respectively in the present study to 531 532 maximize the compliance of the participants to the measurements. The results might be different 533 if the tests were conducted separately. The potential influence of the two outliers on the interrater reliability of the 10MeWT-6M was a speculation based on our findings and the observation 534

of our assessors. Future studies aiming at people with moderate to severe dementia andbehavioral symptoms are required to confirm our speculation.

537

538 5. CONCLUSION

539 The 2MWT, 6MWT and 10MeWT had excellent test-retest and inter-rater reliability in 540 measuring the walking performance in frail older adults with dementia who were receiving 541 permanent care in day care and residential care facilities. These walk tests were valid and 542 significantly correlated with functional measures commonly conducted in older populations. The 543 MDC₉₅ and MDC₉₅% of the walk tests were recommended for this population group. The 544 progressive cueing system could facilitate the frail older adults with dementia to complete the 545 walk tests with good reliability. Future studies on younger, non-frail and community-dwelling individuals should be conducted to expand the application of these walk tests among people with 546 dementia. 547

548

549

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- 558
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Age (years)	
Mean (SD)	87.1 ± 6.2
Gender, n (%)	
Male	3 (7.7)
Female	36 (92.3)
Setting, n (%)	
Day care center	19 (48.7)
Residential care facility	20 (51.3)
Body mass index (kg/m ²)	
Mean (SD)	22.0 ± 3.3
Number of chronic diseases	
Mean (SD)	5.9 ± 2.9
MMSE (0-30)*	
Mean (SD)	13.2 ± 5.5
Use of walking aids, n (%)	
Unaided	17 (43.6)
Stick	9 (23.1)
Quadripod	3 (7.7)
Rollator	9 (23.1)
Frame	1 (2.6)

898 Table 1. Characteristics of participants

Ambulatory status, n (%)	
Indoor walker	11 (28.2)
Outdoor walker	28 (71.8)
EMS (0-20)*	
Mean (SD)	17.4 ± 3.0
BBS (0-56)*	
Mean (SD)	44.1 ± 10.0
MBI (0-100)*	
Mean (SD)	86.5 ± 9.1

900 Notes: * The bracket indicates the possible range of score of the outcome measures. A higher901 score reflects better outcome.

902 EMS- Elderly Mobility Scale; BBS- Berg Balance Scale; MBI- Modified Barthel Index; MMSE-

903 Mini-Mental State Examination

Table 2	Mean	(SD)	test_retest	and	inter_rater	reliability	coefficients	of the	walk tests	
	wiean	(SD),	lest-relest	anu	miei-raiei	renatinty	coefficients	or the	walk lests	

	Mean (SD)			Test-rest reliability	Inter-rater reliability coefficient		
				coefficient			
				ICC _{2,1} (95% CI)	ICC _{3,2} (95% CI)		
	Assessor A	Assessor A	Assessor B		Assessor A	Assessor A	
	Occasion 1	Occasion 2			Occasion 1 and	Occasion 2 and	
					Assessor B	Assessor B	
2MWT (m)	63.3 ± 21.6	63.1 ± 22.0	63.6 ± 22.8	.98 (.9699)	.92 (.8696)	.96 (.9298)	
6MWT (m)	194.0 ± 79.4	198.6 ± 77.0	203.6 ± 80.0	.98 (.9799)	.95 (.9197)	.94 (.8997)	
10MeWT-2M	.63 ± .21	.60 ± .21	.62 ± .23	.91 (.8395)	.86 (.7593)	.93 (.8796)	
(m/s)							
10MeWT-6M	.64 ± .26	.65 ± .22	.73 ± .29	.94 (.8997)	.65 (.4280)	.60 (.3677)	
(m/s)							

Notes: 2MWT- 2-minute walk test; 6MWT- 6-minute walk test; 10MeWT-2M- 10-meter walk test (measured in 2-minute walk test); 10MeWT-6M- 10-meter walk test (measured in 6-minute walk test)

Table 3	. Correlati	ons between	n the wal	k tests	and	functional	measures
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2MWT	6MWT	10MeWT-2M	10MeWT-6M
1.00			
.93	1.00		
<.001			
.91	.87	1.00	
<.001	<.001		
.84	.91	.84	1.00
<.001	<.001	<.001	
.43	.39	.39	.27
.007	.014	.014	.104
	2MWT 1.00 1.00 .93 <.001 .91 <.001 .84 <.001 .43 .007	2MWT 6MWT 1.00	2MWT 6MWT 10MeWT-2M 1.00

BBS				
ρ	.49	.47	.49	.35
p-value	.002	.002	.002	.029
MBI				
ρ	.54	.48	.45	.46
p-value	<.001	.002	.004	.004

Notes: The results of the walk tests done by Assessor A in occasion 1 were used for the analyses.

*Spearman ρ correlation

2MWT- 2-minute walk test; 6MWT- 6-minute walk test; 10MeWT-2M- 10-meter walk test (measured in 2-minute walk test); 10MeWT-6M- 10-meter walk test (measured in 6-minute walk test) EMS- Elderly Mobility Scale; BBS- Berg Balance Scale; MBI- Modified Barthel Index Table 4. Comparisons between subgroups of the participants

			2MWT (m)	6MWT (m)	10MeWT-2M	10MeWT-6M
					(m/s)	(m/s)
Walking aids,						
mean (SD)						
No aid (n=17)			75.8 ± 19.1	241.0 ± 71.2	.72 ± .19	.75 ± .26
Stick (n=9)			53.6 ± 12.4	168.6 ± 40.3	.57 ± .15	.53 ± .13
Quadripod or			53.7 ± 22.5	150.2 ± 80.2	.54 ± .25	.58 ± .28
frame (n=13)						
p-value*	Overall		.004	.002	.047	.060
	Pairwise	No aid vs stick	.023	.046	.24	.10
		No aid vs	.010	.003	.065	.20
		quadripod or				
		frame				

	Stick vs	1.00	1.00	1.00	1.00
	quadripod or				
	frame				
Ambulatory					
status, mean (SD)					
Outdoor walker		78.6 ± 21.6	252.5 ± 79.8	.74 ± .23	.80 ± .28
(n=11)					
Indoor walker		57.3 ± 18.8	171.0 ± 67.7	.58 ± .20	.58 ± .22
(n=28)					
p-value*		.004	.003	.036	.017

Notes: The results of the walk tests done by Assessor A in occasion 1 were used.

*One-way ANOVA with Bonferroni adjustment or independent t-test were used.

2MWT- 2-minute walk test; 6MWT- 6-minute walk test; 10MeWT-2M- 10-meter walk test (measured in 2-minute walk test);

10MeWT-6M- 10-meter walk test (measured in 6-minute walk test)

Table 5. SEM, MDC_{95} and MDC_{95} % of the walk tests

Variables	SEM	MDC ₉₅	MDC ₉₅ % (%)
2MWT (m)	3.3	9.1	14.4
6MWT (m)	10.1	28.1	14.3
10MeWT-2M (m/s)	.06	.17	28.5
10MeWT-6M (m/s)	.06	.16	24.4

Notes: 2MWT- 2-minute walk test; 6MWT- 6-minute walk test; 10MeWT-2M- 10-meter walk test (measured in 2-minute walk test); 10MeWT-6M- 10-meter walk test (measured in 6-minute walk test); SEM- standard error of measurement; MDC₉₅- minimal detectable change at 95% confidence interval

Table 6. Median (interquartile range), test-retest and inter-rater reliability coefficients of the cues provided by the assessors in the walk

tests

	Median (interquartile range)			Test-rest reliability	Inter-rater reliability coefficient	
				coefficient		
				ICC _{2,1} (95% CI)	ICC _{3,2} (95% CI)	
	Assessor A	Assessor A	Assessor B		Assessor A	Assessor A
	Occasion 1	Occasion 2			Occasion 1 and	Occasion 2 and
					Assessor B	Assessor B
2MWT and	1.00 (2.00)	1.00 (2.00)	1.00 (1.00)	.88 (.7893)	.83 (.7091)	.62 (.3878)
10MeWT-2M						
6MWT and	1.00 (2.00)	1.00 (3.00)	1.00 (2.00)	.89 (.8094)	.83 (.6991)	.69 (.4782)
10MeWT-6M						

Notes: 2MWT- 2-minute walk test; 6MWT- 6-minute walk test; 10MeWT-2M- 10-meter walk test (measured in 2-minute walk test);

10MeWT-6M- 10-meter walk test (measured in 6-minute walk test)

Figure 1. Participant recruitment process and study procedure



| Occasion |
|----------|----------|----------|----------|----------|----------|----------|
| 1*‡^ | 2* | 3†#^ | 4† | 5^ | 6‡ | 7# |
| 2MWT & | 2MWT & | 6MWT & | 6MWT & | BBS, EMS | 2MWT & | 6MWT & |
| 10MeWT- | 10MeWT- | 10MeWT- | 10MeWT- | and MBI | 10MeWT- | 10MeWT- |
| 2M | 2M | 6M | 6M | | 2M | 6M |

*Data collected from Occasion 1 and 2 were used to analyze the test-retest reliability of the 2MWT and 10MeWT-2M

†Data collected from Occasion 3 and 4 were used to analyze the test-retest reliability of the

6MWT and 10MeWT-6M

‡Data collected from Occasion 1 and 6 were used to analyze the inter-rater reliability of the

2MWT and 10MeWT-2M

#Data collected from Occasion 3 and 7 were used to analyze the inter-rater reliability of the

6MWT and 10MeWT-6M

^Data collected from Occasion 1, 3 and 5 were used to analyze the construct validity of all the walk tests

Figure 2. The progressive cueing system in the walk tests



