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- **1** Changes in Gait and Plantar Foot Loading upon Using Vibrotactile
- 2 Wearable Biofeedback System in Patients with Stroke
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Changes in Gait and Plantar Foot Loading upon Using Vibrotactile Wearable Biofeedback System in Patients with Stroke

17 Abstract: Background: Patients with stroke walk with excessive foot inversion 18 at the affected side, which may disturb their balance and gait. Objectives: This 19 study aimed to investigate the effects of instant biofeedback of plantar force at 20 the medial and lateral forefoot regions on gait and plantar foot loading in patients 21 with stroke. Methods: A total of eight patients with hemiplegic stroke, who had 22 flexible rearfoot varus deformity at the affected side, participated in this study. A 23 vibrotactile biofeedback system was developed and evaluated. It analysed forces 24 at the medial and lateral forefeet, and instantly provided vibration clues when the 25 plantar force at medial forefoot was less than a threshold. Each subject's three-26 dimensional gait parameters and plantar-pressure distribution during walking 27 were measured under two experimental conditions (sequence randomized): with 28 and without the device turned-on (Trial-registration number: ChiCTR-IPB-29 15006530& HKCTR-1853). Results: Providing biofeedback significantly 30 reduced the foot inversion and increased the mid-stance foot-floor contact area 31 and medial midfoot plantar pressure of the affected limb, bringing the values of 32 these parameters closer to those of the unaffected-side. The biofeedback also 33 significantly reduced the unaffected-side's excessive knee flexion and hip 34 abduction. Conclusions: There were signs of improved foot loading 35 characteristics and gait upon provision of instant vibrotactile biofeedback of 36 plantar force. The positive results of this study further support the development 37 of wearable biofeedback devices for improving gait of patients with stroke.

Keywords: stroke; foot inversion; plantar pressure; instant biofeedback; gait
training; smart wearable device

40

41 Introduction

42 Stroke is a leading cause of neurological impairment [1] and chronic motor disability

43 [2] in adults. Motor impairments of lower limbs can lead to difficulty in locomotion and

44 activities of daily living, and consequently influence an individual's quality of life [3]. People with stroke generally walk with higher gait asymmetry [4], energy consumption 45 46 [5] and risk of fall [6]. Abnormal motion of the ankle-foot complex contributes to the 47 deterioration of the overall balance performance and gait pattern [7]. Deformities at the 48 ankle joint are common, due to the muscle spasticity [8] and muscle imbalance [9]. The 49 foot at the affected side of people with stroke tends to be more plantar-flexed and 50 inverted than people without stroke [10]. Recovery of walking ability by addressing the 51 ankle-joint deformity helps patients with stroke to regain the independence in daily life, 52 and is one of the main rehabilitation training goals [11].

53 Plantarflexion deformity can increase the chance of fall, as the feet tend to drag 54 over the floor during swing phase [12]. Fortunately, ankle-foot orthoses have been used 55 successfully to correct plantar-flexion deformity after stroke [13]. Correcting varus 56 deformity has been more difficult, because of the lack of lever arm that provides 57 sufficient corrective eversion moment at foot. Abnormally high degree of foot inversion 58 during gait could put excessively more strains on muscles and tendons [14] and more 59 plantar forces at the lateral side of paretic foot [15]. Such musculoskeletal overloading 60 could lead to soft tissue damage and structural deformity at the foot, leading to foot pain 61 [16]. Foot inversion also reduces the total contact area with ground during mid-stance 62 and the propulsive force during push-off phases of the gait [17]. Foot pain together with 63 the altered foot biomechanics could disturb gait and consequently predispose the 64 individuals with higher risk of falls [18]. Previous studies have concluded that increased 65 foot inversion is associated with decreased postural stability [19, 20], which is a crucial 66 indicator of increased risk of falls [21]. Reducing the degree of abnormal foot inversion 67 is required to relieve muscle stress and foot pain, which could improve walking 68 performance and reduce risk of falls in patients with stroke [14].

69 Various interventions have been used to relieve varus deformity for patients with 70 stroke, but with some limitations [9]. Local botulinum toxin injection has the limitations 71 of high cost and transient nature that requires repetitive injections [22]. Patient's 72 compliance of wearing ankle-foot orthosis has been low, thus leading to a high financial 73 loss for society and a waste of therapeutic effort as reviewed in [23]. Physiotherapy 74 which provides repetitive verbal reminders of putting the foot in a better position during 75 gait requires intensive manpower [24].

76 Wearable biofeedback devices have great potential of facilitating home-based 77 trainings in patients, which contribute to high level of continuity, adherence, and 78 compliance rates of training in patients [25] and save the expertise human resources. 79 Biofeedback devices, with the use of sensors (force sensors, accelerometers, gyroscopes 80 and magnetometers) and feedback modalities (screens, speakers and vibrators) [26], 81 were used in the elderly [27-29], healthy young adults [28-31], patients with vestibular 82 disease [27, 32], patients with Parkinson's disease [33], and lower limb amputees [34, 83 35]. Regarding stroke patients, researchers have detected stance time using foot 84 switches [36, 37], ground reaction forces using force sensors [33] and body sway using 85 smartphones [38] and inertial motion sensors [33]. Upon giving some instant feedback 86 based on the sensor measurements, some improvements in the amount of body sway 87 [30, 35], symmetries in weight-bearing and stance/swing time between two legs [33, 88 34], as well as scores in standard clinical tests were noted [30]. However, there is a lack 89 of comprehensive understanding on how biofeedback devices could influence the 90 spatial-temporal, kinetic and kinematic gait parameters of stroke patients. In addition, 91 little attempt has been made to address the negative effects of varus deformities on gait 92 through biofeedback.

This paper aims to: (1) present a biofeedback system that reminds stroke patients with flexible foot varus deformity to increase loading at the medial aspect of the foot of the affected side during gait; and (2) report the effects of using such biofeedback system on gait parameters and plantar pressure distribution. It is hypothesized that instant vibrotactile biofeedback of plantar force at the medial and lateral forefoot could improve plantar loading at the medial aspect of the affected foot and the gait pattern of stroke patients with flexible foot varus deformity.

100 Materials and Methods

101 Subjects

102 Convenience sampling approach was used to recruit eight hemiplegic patients (seven 103 males and one female) with an average age of 53.5 years, in this study (table 1). The 104 causes of the stroke in these patients were ischemic in six and haemorrhage in two 105 patients. The average duration since the onset of stroke was 3.8 years. Two subjects 106 were hemiplegic at the left sides and the remaining six were at the right sides. All 107 subjects were referred by a local Physiotherapy Clinic where they received trainings for 108 treating dynamic balance disorder. They were unilateral hemiplegia caused by cerebral 109 hemisphere stroke, living in a community-based setting, able to walk independently 110 without walking assisting devices for more than 10 meters, and with good cooperation 111 and compliance in gait analysis. All subjects were able to understand and follow the 112 experimental instructions. They did not have fixed deformities over the ankle joint 113 complex, but had rearfoot varus deformity at the affected side which could be corrected 114 by external corrective forces, as evaluated by a Certified Orthotist following standard 115 procedures specified in [39]. Subjects who had other peripheral or central nervous 116 system dysfunctions, active inflammatory or pathologic changes in the joints of lower

117 extremities in the previous 6 months, and active medical problems were not included in

- 118 this study. All subjects have signed written-informed consents before participating in
- the study. Ethical approval was granted from the Human Subjects Ethics Sub-committee
- 120 of The Hong Kong Polytechnic University (HSEARS20140211002). This study was
- 121 registered on the Chinese Clinical Trial Registry (ChiCTR-IPB-15006530) and the
- 122 Hong Kong Clinical Trial Registry (HKCTR-1853).

123 The Biofeedback System

- 124 The vibrotactile biofeedback system consisted of two separate components of 1) a
- 125 plantar force acquisition unit (5.5cm×2.5cm×1.7cm) and 2) a vibration feedback unit
- 126 (4.5cm×2.2cm×1.5cm) that were both attached to the subjects' affected side (figure 1).
- 127 The plantar force acquisition unit consisted of two thin-film force sensors (A301,
- 128 Tekscan Co., Ltd, USA), a microprocessor unit (ATMEGA328P, Atmel Co., Ltd,
- 129 USA), a wireless transmitter module (HC-05, HC information Tech. Co., Ltd, China),
- 130 and a rechargeable lithium-ion battery (FLB-16340-880-PTD, UltraFire Co., Ltd,
- 131 China). The vibration feedback unit consisted of one vibrator (XY-B1027-DX,
- 132 Xiongying electronics Co., Ltd, China), a wireless receiver module (HC-05, HC
- 133 information Tech. Co., Ltd, China), and a rechargeable lithium-ion battery (FLB-16340-
- 134 880-PTD, UltraFire Co., Ltd, China).
- 135 The two thin-film force sensors (25.4mm×14mm×0.203mm, sensing area
- 136 9.53mm diameter each) were attached by adhesive tapes to the bottom of a piece of
- 137 2mm-thick flat insole, which was made of a medium firm (30-35 Shore A Hardness)
- 138 ethylene-vinyl acetate (EVA, Foot Specialist Footcare & Products Co. Ltd, HK). The
- 139 sensors were located at the first and fifth metatarsal heads of the affected side, verified
- 140 by a certified orthotist, to evaluate the medial and lateral plantar force. One vibrator

(10mm diameter×2.7mm height) was fastened by an elastic strap at the subject's wrist of the affected side. The vibrator was set to produce full magnitude of vibration when the real-time forces measured at the first metatarsal head was less than 50% of that measured at the fifth metatarsal head at the same walking step. The vibrator was not activated in other conditions. Pilot studies showed that other ratios (25% and 100%) did not appear to provide appropriate reminder on foot inversion to subjects.

147 The plantar force acquisition unit analysed the force data at foot soles and 148 delivered control signals to the vibration feedback unit via Bluetooth communication. 149 The vibration frequency and strength of the vibrator were 220 Hz and 1 G, respectively, 150 which were found to be highly recognizable by humans [40]. All subjects were assessed 151 before the experiment to ensure that they could perceive the vibration of the vibrators. 152 Both sampling frequency and transmission rate of the device were 10 Hz. The 153 rechargeable batteries enabled the entire system to function for 24 hours continuously. 154 The entire biofeedback system weighed less than 70 grams.

155

Experimental Design and Procedures

156 This study was conducted in a university locomotion laboratory. All subjects were 157 explained how the biofeedback system functioned prior to the experiment. They were 158 informed that the vibration of the vibrator corresponded to the excessive foot inversion 159 at the affected lower limb. They were instructed to put more loading at the medial 160 forefoot when the vibrator was activated. During the practicing period, the subjects 161 were instructed to shift weight between the medial and lateral foot and experience the 162 vibrations, to ensure that they understood the function of this system and were capable 163 of using the feedback vibrations as a training aid. Subjects were given 10 minutes to get 164 familiar with the new biofeedback system [41].

165 Gait analysis was then conducted over-ground on all subjects. Each subject was 166 instructed to walk along a smooth, horizontal 7m-long walkway at a comfortable speed. 167 The sequence of two testing conditions was randomly assigned to each subject: 1) with 168 the biofeedback system turned-off; and 2) with the biofeedback system turned-on. 169 Subjects were blinded from the experimental condition during the experiment. Same 170 instructions were given to the subjects as to the actions they should take when there was 171 a vibration feedback. Each testing condition was repeated 5 times consecutively for 172 each subject. Between two conditions, each subject was given a 10-minute rest to 173 eliminate the possible effect of fatigue. If subjects verbally reported any kinds of 174 discomfort during the experiment, the experiment would be stopped with the situation 175 being recorded. Two complete gait cycles in the middle of each walking trial 176 (containing a total of 7-9 walking steps) were extracted to avoid the variable steps 177 associated with initiation and termination of gait [42]. This strategy also enabled to 178 collect data of one full gait cycle for both affected and unaffected sides, as well as the 179 sufficient number of strides that are required to achieve high reliability when analysing 180 gait parameters [43]. During the experiment, all subjects wore the same shoe model 181 (TFGF81722/TFGF82722, TOREAD[®], TOREAD Co., Ltd, China) provided by the 182 researchers.

183 Outcome Measures

An in-shoe plantar pressure measurement system (novel pedar-x system, PedarTM, novel GmbH, Munich, DE), which was shown to have high repeatability [44] and validity [45], was sampling at 50 Hz and used to measure the plantar pressure distribution during walking in 2 experimental conditions. Before and after data collection of each subject, the insoles were checked using the Trublu® calibrating system to ascertain that

all sensors produced accurate and reproducible absolute values [46]. The plantar foot
was divided into six regions: medial forefoot, lateral forefoot, medial midfoot, lateral
midfoot, medial rearfoot, and lateral rearfoot (figure 2). For all subjects, the forefoot,
midfoot, and rearfoot regions comprised the first 35%, the following 35%, and the
remaining 30% of the foot length, respectively.

194 An eight-camera three-dimensional (3D) motion capture system (Vicon Nexus 1.8.1, Vicon NexusTM, Vicon Motion Systems Ltd., UK), sampling at 100 Hz, was used 195 196 to measure the 3D kinetic data in subjects during over-ground walking in 2 197 experimental conditions. A built-in lower limb marker set (Plug-in Gait Model) was 198 adopted, in which 15 infra-red reflective markers were affixed to both sides at the heels, 199 foot dorsum, lateral malleolus, lateral femoral condyles, middle of thighs/shanks, 200 anterior superior iliac spines, and iliac crest. Spatial-temporal and kinematic data were 201 measured and analysed using the Plug-in Gait Model in Vicon system. The gait data 202 were low-pass filtered using a 4th order Butterworth filter with a 6 Hz cut-off frequency.

203 Statistical Analysis

204 The parameters included for analysis were the average and peak plantar pressure 205 parameters at each of the six plantar foot regions, total foot-floor contact area, stance 206 time, swing time, stride time, walking speed, and peak lower limb joint angles during 207 both stance and swing phases. Statistical analysis was performed using Statistical 208 Package for Social Sciences (SPSS, version 22.0, IBM Corporation, Armonk, NY, 209 USA). Two-way repeated measures ANOVA was performed prior to examine the main 210 effect of "interventions" (with vs. without biofeedback), the main effect of "limbs" 211 (affected vs. unaffected side), and the interaction effect between two variables 212 (interventions \times limbs) in all measured parameters among all subjects. If significant

interaction effect was found in ANOVA, pair-wise comparisons of "interventions" (with
vs. without biofeedback) and "limbs" (affected vs. unaffected limb) were performed by
using t-tests with Bonferroni corrections. The level of significance was set at 0.05.
During data analysis, the person who analysed data did not know the content of each
test condition, as conditions were coded.

218 **Results**

219 None of the subjects verbally reported any discomfort related to the use of the

220 biofeedback during the experiment. The following shows the significant changes in gait

221 variables and plantar pressure distribution upon using the biofeedback.

222 Changes in kinematic variables

223 Without the biofeedback, the peak foot inversion of the affected side during swing

phase (angle 25.1 degrees) was 39.1%-significantly more than the unaffected side

225 (p=0.047). Turning on the biofeedback system led to a significant 17.2% reduction of

peak foot inversion (p=0.012) at the affected limb during swing phase (angle 20.8

degrees) (figure 3).

When the biofeedback system was turned off, the unaffected side had significantly more peak knee flexion (p=0.047) during swing phase and more peak hip abduction during both stance (p=0.024) and swing (p=0.075) phases than the affected side. Turning on the biofeedback device significantly reduced the unaffected-side peak knee flexion during swing phase (p=0.009) and peak hip abduction during stance phase (p=0.017). There was no longer significant difference in peak hip abductions between the 2 legs after turning on the device (figure 3).

235 Changes in plantar-pressure distribution

With the biofeedback system turned off, the total foot-floor contact area in mid-stance phase (p=0.040) and the peak plantar pressure at the medial midfoot (p=0.034) of the affected limb were significantly lower than those of the unaffected limb. When it was turned on, such contact area (p=0.001) and plantar pressure (p=0.001) at the affected limb were then significantly increased. There was no longer significant difference in total foot-floor contact area or peak plantar pressure at the medial midfoot between the

242 2 legs after turning on the device (figure 4&5).

243 Changes in kinematic variables and plantar-pressure distribution that happened 244 at both the affected and unaffected sides

- 245 While turning on the biofeedback device did not significantly change the walking
- speeds, it significantly increased the stance (p=0.003) and stride (p=0.001) time,
- 247 average plantar pressure at medial forefoot (p=0.001), peak (p=0.001) and average
- 248 (p=0.020) plantar pressure at medial midfoot of both limbs (figure 4&5).

249 **Discussion**

- 250 This study developed a plantar-force based vibrotactile biofeedback and investigated the
- 251 effects of its use on plantar foot loading and gait in hemiplegic stroke patients with
- 252 flexible foot varus deformity. With no biofeedback, the foot inversion angle at the
- affected side was significantly higher than the unaffected side. The biofeedback device
- attempted to relieve foot varus by giving vibration clues when the medial side of the
- affected foot did not exert high enough forces during walking. This significantly
- reduced the maximum foot inversion of the affected side during swing phase.
- 257 Significant increase in the plantar force at the medial forefoot during stance phase and
- total foot-floor contact area were then observed. This potentially improves postural

balance [47], reduces chances of developing foot pain [14], and soft tissue injury [16].

260 It is interesting to note that while the device provided feedback on the weight 261 bearing characteristics of the foot at the affected side, significant changes were observed 262 at the unaffected side. Without turning-on the biofeedback device, subjects walked with 263 significantly more peak hip abduction and knee flexion during swing phase at the 264 unaffected side than the affected side, and these angles were higher than people without 265 stroke [17]. Increasing hip abduction widened the base of support, which might 266 compensate for the reduced walking balance caused by the abnormal orientation and 267 loading of the feet at the affected side [17, 48]. Meanwhile, excessive knee flexion 268 provides more foot clearance during swing phase at which the entire body weight is put 269 against the opposite limb [17, 49, 50]. Turning on the device significantly reduced the 270 unaffected-side knee flexion during swing phase and hip abduction during stance 271 phases. Such reductions decreased the asymmetry between affected and unaffected legs. 272 The improved symmetry of hip and knee joints during walking could improve the 273 walking efficiency of patients of stroke [51].

274 The stance time of both limbs increased while walking speed did not have 275 significant changes upon using the biofeedback device. The significantly increased 276 stance time could reflect that subjects were more confident of bearing weight on their 277 feet [52], indicating better walking capacity [53]. The biofeedback device did not 278 compromise walking speed. This suggested that subjects did not need to walk more 279 carefully and slowly when paying attention to the reminder signals from the device, 280 which is consistent with a previous study identified retained beneficial effects of 281 vibrotactile biofeedback when subjects performed dual cognitive tasks while receiving 282 vibrotactile stimulations [54]. This also indicates that the changes in plantar pressure 283 were not due to variations in walking speed.

284 In this study, the threshold ratio of provoking vibrotactile feedback was set at a 285 level at which the plantar force at the medial forefoot reached 50% of that at the lateral 286 forefoot. The threshold was chosen from a series of threshold ratios in pilot study, 287 including 25%, 50% and 100%. It appeared that the ratio of 25% was too easy for the 288 subjects to achieve, which lowered the value of using the device for gait training; while 289 the ratio of 100% was too difficult for subjects to achieve in a limited training time 290 period, leading to unstopped vibrations during walking. Subjects cannot benefit from 291 the unstopped vibration, as no useful differentiated reminders were provided. It is 292 worthwhile to involve more threshold ratios and further explore the best setting of the 293 device in the future.

294 The clinical implication of this study is that a device measuring plantar forces 295 and providing instant biofeedback has great potentials of improving gait in people with 296 stroke. Subjects did not verbally report any discomfort upon using the biofeedback 297 device in this study. Embedding thin-film force sensors into shoes/insoles and using 298 appropriate feedback devices facilitate realization of home-based rehabilitation 299 programs, which have high level of continuity, adherence, and compliance rates of 300 training in patients [25, 55]. The nature of low interference with daily tasks of 301 vibrotactile biofeedback [27] also allows the device to be used as a walking aid, which 302 is capable of continuously monitoring the foot posture and walking ability, in both 303 indoor and outdoor daily activities in the future.

This study investigated the immediate effect of this wearable vibrotactile
biofeedback device on plantar loading and gait pattern in patients with chronic stroke.
Future study shall investigate if such positive effects retained after long-term use, and in
home-based settings. The evaluation of the applicability and repeatability of the device
could be conducted in the future. The sample size of this study was rather small, while

309 there are also some other published papers with small sample size demonstrated an

310 effect of biofeedback devices [36, 56-59]. Future studies shall investigate the effect of

311 such plantar force-based biofeedback device in larger samples who have poor walking

ability.

313 Conclusions

- 314 Subjects in this study showed significant improvements in foot loading and gait upon
- 315 using instant vibrotactile biofeedback regarding medical and lateral forefoot loadings.
- 316 Instant vibrotactile biofeedback of plantar force at the medial and lateral forefoot
- 317 significantly reduced the abnormally excessive foot inversion angle by more than 15%.
- 318 This significantly increased foot-floor contact area and weight bearing over the medial
- 319 aspect of the foot of the affected limb, which might help improve balance and walking
- 320 capability. Improvements in gait patterns were also noted as the biofeedback
- 321 significantly reduced the excessive hip abduction and knee flexion of the unaffected
- 322 limb.

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- 327 Polytechnic University].

328 **Declaration of interest**

329 The authors report no conflicts of interest.

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| 511 | 510 | | <i>IEEE/ASME Transactions on</i> 2010, 15, (2), 226-233. |
| | 511 | | |

Table 1. Subject information

| Subject | Age (years) | Gender | Weight (kg) | Height (m) | Causes of stroke | Duration after stroke (years) | Hemiplegic side |
|---------|----------------|--------|----------------|---------------|---------------------|----------------------------------------|--------------------|
| 1 | 68 | F | 54.5 | 1.63 | Ischemic | 3 | L |
| 2 | 50 | М | 73.5 | 1.78 | Ischemic | 14 | R |
| 3 | 50 | М | 61.5 | 1.81 | Ischemic | 1 | R |
| 4 | 58 | М | 70.0 | 1.80 | Hemorrhage | 3 | R |
| 5 | 47 | М | 74.0 | 1.75 | Ischemic | 1 | L |
| 6 | 67 | М | 87.0 | 1.78 | Ischemic | 2 | R |
| 7 | 41 | М | 85.0 | 1.75 | Hemorrhage | 4 | R |
| 8 | 47 | М | 73.5 | 1.71 | Ischemic | 2 | R |





- **Figure 1. The vibrotactile system, consisted of a plantar force**
- 519 acquisition unit and a vibrotactile feedback unit wirelessly connected



<u>521</u>

- 523 Figure 2. Foot regions: medial forefoot, lateral forefoot, medial
- 524 midfoot, lateral midfoot, medial rearfoot, and lateral rearfoot



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528 Figure 3. Three-dimensional kinetic data during walking with and without biofeedback system turned-on

Affected side-Without biofeedback

Affected side-With biofeedback

······Unaffected side-Without biofeedback

----- Unaffected side-With biofeedback



532 Figure 4. Regional plantar pressure pattern in patients with and without biofeedback system turned-on

Affected side-Without biofeedback

Affected side-With biofeedback

ack ······Unaffected side-Without biofeedback



0.5

0.0

Unaffected side-Without biofeedback

■ Unaffected side-With biofeedback

Affected side-Without biofeedback
 Affected side-With biofeedback

535

0.2

0.0

536 Figure 5. Contact area and temporal gait parameters in patients with and

537 without biofeedback system turned-on

Unaffected side-Without biofeedback
 Unaffected side-With biofeedback

Affected side-Without biofeedback

Affected side-With biofeedback

538 *: Significant difference existed.

540 **Figure captions**

| 541 | Figure 1. The vibrotactile system, consisted of a plantar force acquisition unit and a vibrotactile |
|-----|-----------------------------------------------------------------------------------------------------|
| 542 | feedback unit wirelessly connected. |

- Figure 2. Foot regions: medial forefoot, lateral forefoot, medial midfoot, lateral midfoot, medialrearfoot, and lateral rearfoot.
- Figure 3. Three-dimensional kinetic data during walking with and without biofeedback systemturned-on.
- 547 Figure 4. Regional plantar pressure pattern in patients with and without biofeedback system turned-548 on.
- 549 Figure 5. Contact area and temporal gait parameters in patients with and without biofeedback system550 turned-on.
- 551