1	Finite Element Simulation on Posterior Tibial Tendinopathy: Load Transfer Alteration and
2	Implications to the Onset of Pes Planus
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4	Duo Wai-Chi Wong ^{1, 2} , Yan Wang ^{1, 2} , Aaron Kam-Lun Leung ^{1, 2} , Ming Yang ^{1,3} , Ming Zhang ^{1, 2,*}
5	
6	¹ Interdisciplinary Division of Biomedical Engineering, Faculty of Engineering, The Hong Kong
7	Polytechnic University, Hong Kong, China
8	² The Hong Kong Polytechnic University Shenzhen Research Institute, Shenzhen, China
9	³ Department of Pediatric Orthopedics, The Third Affiliated Hospital, Southern Medical University,
10	Guangzhou, China
11	
12	Correspondence: Prof. Ming Zhang
13	Interdisciplinary Division of Biomedical Engineering, Faculty of Engineering, The Hong Kong
14	Polytechnic University, Hung Hom, Kowloon, Hong Kong, China
15	Email: ming.zhang@polyu.edu.hk
16	Word Count: main text: 3779; abstract: 244

18 Abstract

Background: Posterior tibial tendinopathy is a challenging foot condition resulting in pes planus, which is difficult to diagnose in the early stage. Prior to the deformity, abnormal internal load transfer and soft tissue attenuation are anticipated. The objective of this study was to investigate the internal load transfer and strain of the ligaments with posterior tibial tendinopathy, and the implications to pes planus and other deformities.

Methods: A three-dimensional finite element model of the foot and ankle was reconstructed from magnetic resonance images of a 28-year-old normal female. Thirty bones, plantar fascia, ligaments and tendons were reconstructed. With the gait analysis data of the model subject, walking stance was simulated. The onset of posterior tibial tendinopathy was resembled by unloading the tibialis posterior and compared to the normal condition.

Findings: The load transfer of the joints at the proximal medial column was weaken by posterior tibial tendinopathy, which was compromised by the increase along the lateral column and the intercuneiforms during late stance. Besides, the plantar tarsometatarsal and cuboideonavicular ligaments were consistently over-stretched during stance. Particularly, the maximum tensile strain of the plantar tarsometatarsal ligament was about 3-fold higher than normal at initial push-off.

Interpretation: Posterior tibial tendinopathy altered load transfer of the medial column and unbalanced the load between the proximal and distal side of the medial longitudinal arch. Posterior tibial tendinopathy also stretched the midfoot plantar ligaments that jeopardized midfoot stability, and attenuated the transverse arch. All these factors potentially contributed to the progress of pes planus and other foot deformities.

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40 Keywords: flatfoot; pes planus; posterior tibia tendon dysfunction; tenosynovitis; arch collapse

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42 **1. Introduction**

43 Posterior tibial tendinopathy (PTT), or insufficiency is a common condition and is recognized as the 44 major cause of acquired pes planus (flatfoot) in adults (Kohls-Gatzoulis et al., 2004; Lin et al., 2015). Although the prevalence was estimated to be 3%, the figure was believed to be underestimated 45 46 because it was frequently undiagnosed (Neville et al., 2016). PTT is not necessarily symptomatic, 47 otherwise associates with a board spectrum of generalized medical problems and pain that overlooks until secondary complaints emerged (Beeson, 2014; Bluman et al., 2007; Kohls-Gatzoulis et al., 2004). 48 49 Deland et al. (2005) demonstrated that PTT is difficult to diagnose radiographically and could be noticed 50 until ligaments are damaged.

51 PTT is a disabling condition and could lead to other foot deformities. Besides pes planus, patients 52 complain of pain at the ankle and foot arch, and diminished endurance (Squires and Jeng, 2006). The 53 intensity of the pain would increase, yet shifts capriciously as the condition exacerbates (Gluck et al., 54 2010). Ultimately, abnormal walking gait and difficulty in wearing shoes would be developed with 55 deformity progression (Squires and Jeng, 2006). In fact, the importance of tibialis posterior is 56 indisputable. It is the major dynamic stabilizer of the arch and midfoot and facilitates a rigid level at the midfoot for other structures to function effectively (Alvarez et al., 2006). Impairment of the posterior tibial 57 58 tendon not only attenuates stability but may alter load transfer among joints and other stabilization 59 structures (Imhauser et al., 2004).

60 It is difficult to investigate or determine the biomechanics of PTT onset. The majority of research 61 pertained to clinical description and management (Beeson, 2014). The interaction between different 62 intrinsic and extrinsic factors was identified as the risk factors (Beeson, 2014). However, the 63 pathomechanism of PTT remains poorly understood (Beeson, 2014). Some scientists attempted to 64 uncover the etiology of PTT by kinematics and plantar pressure studies. Levinger et al. (2010) found that patients with pes planus had a greater rearfoot eversion, which was also demonstrated in a 65 66 cadaveric study that simulated pes planus by sectioning the peritalar constraints and unloading the posterior tibialis (Watanabe et al., 2013). Plantar pressure studies showed that plantar load failed to 67 68 transmit anteriorly with tibialis posterior unloaded (Neville et al., 2013), in addition to the medial shift, 69 which was found to be exaggerated by the pes planus deformity (Imhauser et al., 2004).

70 However, it remains infeasible to assess internal load transfer and soft tissue strain of walking gait by 71 in vivo experiment and cadaveric study, and this information would be necessary to better identify the 72 mechanism of deformity initialized by PTT. Computer simulation via finite element (FE) analysis can 73 reveal the internal biomechanical information in a controlled environment and predefined condition, 74 which becomes a versatile tool to predict the pathomechanism of the musculoskeletal system and to 75 assist surgical planning (Ni et al., 2016; Wang et al., 2015; Wang et al., 2016; Wong et al., 2014b; Yu 76 et al., 2016). The objective of this study is to assess the load transfer of the foot and ankle, so as the 77 strain of the midfoot ligaments during walking stance by a theoretical FE foot model with onset PTT 78 simulated. It was hypothesized that PTT disturbs normal load transfer, attenuate plantar midfoot 79 ligaments, and therefore jeopardize midfoot stability and expose the foot to pes planus progression.

80 2. Methods

81 2.1 Geometry Reconstruction and Assembly

A healthy female subject, aged 28, 165 cm tall and weighed 54 kg, was recruited. She reported no musculoskeletal disorder, pain, and previous foot surgery. The participant signed an informed consent form prior to the start of the experiment.

The magnetic resonance images of the right foot were obtained from a 3.0-T scanner (Trio-Tim, Siemens Medical Solutions, Erlangen, Germany). The foot was scanned at neutral and non-weight bearing conditions facilitated by an ankle-foot-orthosis, such that the soft tissue was minimally compressed. The images were scanned at T1 sequence, 1 mm slice interval and had a pixel size of 0.625 mm. The images were segmented and reconstructed by the software Mimics v10 (Materialise, Leuven, Belgium) and Rapidform XOR2 (INUS Technology Ltd., Seoul, Korea).

91 As shown in Figure 1, the thirty foot bones including the distal portion of tibia and fibula, and the 92 encapsulated soft tissue were reconstructed. Ligaments, fascia, and tendons were modeled based on 93 the constructed bony structures and the clinical images, which was subsequently confirmed by an 94 orthopaedic surgeon. Forefoot ligaments, including the collateral ligaments at the metatarsophalangeal 95 joints, deep transverse metatarsal ligaments, and the sesamoid ligaments, while the other ligaments 96 were modelled as shells. Muscles/tendons were simplified as uniaxial connectors. The interior surface 97 of the encapsulated soft tissue was tied to the bony structures. Since the geometry of the cartilages 98 was too small to be constructed, they were substituted by the contact algorithm assigning to the bone-

to-bone interactions. The contact assumed that the interaction was frictionless with a non-linear contact
stiffness (Athanasiou et al., 1998). The coefficient of friction between the encapsulated soft tissue and
ground plate was assumed 0.6 (Zhang and Mak, 1999).

102 2.2 Mesh Creation

The mesh was created using the finite element software, Abaqus 6.11 (Dassault Systèmes, Vélizy-Villacoublay, France). Linear tetrahedral elements (C3D4) was constructed in the solid parts, such as the bones and the encapsulated soft tissue. Quadrilateral elements (S4R) were created on shell parts, while truss parts were assigned with two-node truss elements (T3D2).

The mesh size was approximately 4 mm for the encapsulated soft tissue and 2.5 mm for the other structures. Local refinement of mesh was carried out on small parts, contact regions, and abrupt geometry. There were 124,730 elements in the bone. The encapsulated soft tissue was meshed with 84,258 tetrahedral elements (C3D4) and covered by 9,356 triangular elements (S3) representing the skin layer. Mesh convergence test was previously conducted with an estimated error less than 5% (Wong et al., 2015).

113 2.3 Material Properties

114 All material properties of the model parts were determined from existing literature. The bones were linearly elastic with an elastic modulus of 10 GPa and Poisson's ratio of 0.3 (Chen et al., 2003). The 115 116 encapsulated soft tissue was modeled as hyperelastic material with the second-order polynomial strain 117 energy potential equation using the coefficients, $C_{10} = 0.08556$ Nmm⁻², $C_{01} = -0.05841$ Nmm⁻², $C_{20} = -0.05841$ Nm⁻², $C_{20} = -0.05841$ 118 0.03900 Nmm^{-2} , $C_{11} = -0.02319 \text{ Nmm}^{-2}$, $C_{02} = 0.00851 \text{ Nmm}^{-2}$, $D_1 = 3.65273 \text{ mm}^{-2} \text{N}^{-1}$ (Lemmon et al., 119 1997) while the skin was assigned hyperelasticity with the first-order Ogden model, using the 120 coefficients, $\mu = 0.122$ kPa and $\alpha = 18$ (Gu et al., 2010). The thickness of the skin was assumed 2.0 mm 121 (Pailler-Mattei et al., 2008). Forefoot ligaments modelled with truss elements were assigned with 264.8 122 MPa elastic modulus (Siegler et al., 1988) and a cross-section area of 18.4 mm² (Milz et al., 1998). 123 Other ligaments modelled with shell elements were assigned with an approximated thickness of 1.5 mm 124 (Cheung et al., 2005). The plantar fascia was modeled as slip-ring components with specific stiffness 125 on different columns from 182.2 N/mm to 232.5 N/mm (Kitaoka et al., 1994).

126 2.4 Boundary and Loading Conditions

The boundary and loading conditions were acquired from gait experiment of the model subject. Five instants during walking stance were identified by representative data of the vertical ground reaction force (GRF) and shank-to-ground angle profile. These instants, represented by percentage stance phase, were extracted and named: 15% (neutral stance), 25% (GRF first peak), 45% (GRF valley), 60% (initial push-off) and 75% (GRF second peak). As shown in Figure 1, the tibial and fibula ends were fixed. The GRF was applied under the floor plate and the floor plate was rotated by the shank-to-ground angle.

The muscle forces were estimated by the multiplication of the maximum muscle capacity (Arnold et al., 2010) and the percentage muscle activation from an electromyography study during walking gait (Perry and Burnfield, 1993). The Achilles tendon force was obtained from another study (Fröberg et al., 2009), since estimating tendon force from triceps surae (gastrocnemius and soleus) was difficult.

138 2.5 Model Output and Analysis

139 The simulation was conducted with Abaqus 6.11 (Dassault Systèmes, Vélizy-Villacoublay, France) 140 using the standard quasi-static solver. The onset of PTT condition was mimicked by unloading the 141 tibialis posterior which was then compared to that with normal tibialis posterior loading (Imhauser et al., 142 2004; Wong et al., 2017). The joint forces of the rearfoot, medial column and midfoot were analyzed. 143 The joint force was represented by the contact force of the bone-to-bone interaction, which incorporated 144 the non-linear contact stiffness of the cartilage. The tensile strains of the seven selected plantar 145 ligaments, including the plantar first metatarsocuneiform, intermetatarsal, tarsometatarsal, 146 intercuneiform, cuneocuboid, naviculocuneiform, cuboideonavicular ligaments, were investigated.

147 2.6 Sensitivity Analysis

148 The sensitivity test targeted on the variance of the insertion site of selected ligaments because the 149 strain of the ligaments was one of the main outcome measures of this study. The insertion locations of 150 the seven selected ligaments, as shown in Figure 4, were moved randomly and differently in the 151 proximal-distal direction with a range of 3 mm, which was the reported maximum variance of insertion 152 in a cadaveric study (Campbell et al., 2014). The randomized values were generated by spreadsheet 153 software (Microsoft Excel, Microsoft Corporation, Washington, USA). Eleven sets of randomization 154 were conducted such that 12 sets of data were produced including the reconstructed model. This 155 approach of simple random sampling in sensitivity analysis was also reported in the literature (Clemson

et al., 1995). The sensitivity was evaluated using the coefficient of variation (CV%) which was expressed
as the ratio of the standard deviation to the mean value. Since the evaluation criteria for the CV% in
this application was lacking, we assumed that a CV% over 18% was high, with reference to a relevant
kinematic study (Yavuzer et al., 2008).

160 2.7 Experimental Validation

161 The model subject was invited to conduct walking trials with the plantar pressure measurement (F-162 scan® System, Tekscan, USA). The subject was instructed to walk barefoot at self-selected comfortable 163 speed with the sensor adhered to the plantar surface. Six successive walking steps were completed 164 and the maximum plantar pressures at different phases of walking were extracted and averaged over trials. The agreement between the plantar pressure measurement and finite element prediction was 165 166 evaluated using Intraclass correlation (ICC), based on a mean-rating of the five time instants, 167 consistency, two-way mixed model. The statistical analysis was carried out using SPSS 21 (SPSS Inc., 168 Chicago, United States).

Besides, the FE model and platform was previously constructed and validated. The FE prediction was compared with the plantar pressure distribution of the model subject, cadaveric experiment and pendulum impact experiments (Wong et al., 2016; Wong et al., 2015; Wong et al., 2014b), despite there were some differences in configurations and applications among the previous work.

173 3. Results

174 3.1 Joint Forces

As shown in Figure 2, PTT reduced the load transfer across the ankle joint and the talonavicular joint remarkably. During initial push-off, the reduction both accounted for more than 10%. The reduction was then compromised by an apparent increase of 35% at the calcaneocuboid joint, particularly at the GRF second peak instant.

The subtalar joint and first metatarsophalangeal joint did not demonstrate substantial change (Figure 2 and Figure 3) in the magnitude of the joint force. Yet, the two consecutive joints at the medial column, the medial cuneonavicular joint, and the first tarsometatarsal joint, showed opposite change during initial push-off. The load at the proximal joint sharply decreased but increased at the distal joint. On the other

hand, PTT reduced the intercuneiform joint forces during early stance but increased during late stance,compared to normal.

185 3.2 Ligament Strain

Figure 4 presents the maximum tensile strain of the midfoot plantar ligaments at GRF first peak and initial push-off. At GRF first peak, PTT reduced the tensile strain of the ligaments, except the plantar tarsometatarsal ligament and cuboideonavicular ligaments that increased from 6.5% to 7.0% and from 2.3% to 5.0% respectively.

Conversely, PTT increased tensile strain at initial push-off, except the plantar cuneocuboid ligament.
 Moreover, the strain of the plantar tarsometatarsal ligament was about 3-fold higher compared to the
 normal condition.

193 3.3 Sensitivity Analysis

The average CV% was 11.3% and 13.8% respectively for the joint forces and ligament strain and we considered the findings as insensitive in general (CV% < 18%). However, it should be noted that the first tarsometatarsal joint force and the strain of the plantar cuneocuboid ligament presented relative high level of variation, which were 37.6% and 24.3% respectively. The accuracy and interpretation on these outcomes with respect to external validity should be carefully noted.

199 3.4 Experimental Validation

The ICC of the maximum plantar pressure indicated moderate correlation with an average measure of 0.68, while that of the plantar contact area showed excellent correlation with an average measure of 0.93.

203 3.5 Comparison with Existing Literature

In Figure 5, the change of the arch height from normal to PTT condition is compared to existing literature. The arch height decreased by 0.6 mm with PTT, which was agreeable with existing finite element prediction and cadaveric experiments, given the variances among specimens/individuals and differences in configurations (Cheung and Zhang, 2006; Imhauser et al., 2004; Kitaoka et al., 1997).

Figure 6 illustrates the plantar pressure distribution during initial push-off. The predicted peak pressure shifted medially after PTT, which was consistent with other observations. A cadaveric study that

unloaded the posterior tibialis found a medial shift of forefoot loading (Imhauser et al., 2004). Patients
with PTT also showed decreased lateral forefoot loading during terminal stance (Neville et al., 2013).

212 4. Discussion

213 Posterior Tibial Tendinopathy (PTT) is described as a silent disabling condition; it is difficult to detect in 214 the early stage and is, therefore, likely to progress into pes planus (Singh et al., 2012). Angular or 215 kinematic changes of pes planus were widely studied (Haleem et al., 2014; Spratley et al., 2013; Zhang 216 et al., 2015), but they could fail to fully address the pathomechanism since the onset does not present 217 observational or radiographic changes (Deland et al., 2005). The significance of this research lies in its 218 potential to reveal the internal load transfer during walking stance and to identify the etiology of pes 219 planus, or PTT, at its onset stage, based on a theoretical model. It could also provide clues on the 220 correction of biomechanical environment facilitated by surgical or orthotic treatments (Vulcano et al., 2013). 221

222 Tibialis posterior and triceps surae (Achilles tendon) should have been activated in the early stance. 223 PTT inevitably reduced the load on the foot, and the impaired stability, inflicted by PTT, limited the force 224 transmission efficiency of triceps surae (Neville et al., 2013). The joint forces were generally enervated 225 by PTT during early stance, as demonstrated in our prediction. During late stance, the balance between 226 foot invertors and evertors is crucial to the midfoot stability and adequate load transfer to the forefoot. 227 Since the main branch of the tibialis posterior inserts in the medial column, PTT could slash the medial 228 load transfer along talus, navicular and the medial cuneiform. The load transfer through the lateral 229 column and intercuneiforms was then compromised and increased.

The tensile strain of the midfoot plantar ligaments was increased in PTT condition during late stance and reflected the loss and complement of midfoot stability. Our prediction indicated that the plantar tarsometatarsal ligaments were consistently over-stretched throughout stance. Midfoot splay, along with the deterioration of the transverse arch, was anticipated as these ligaments failed (Hicks, 1954). In addition, the large increase of strain on the plantar tarsometatarsal ligament would weaken the relationship between the forefoot and midfoot and may induce pes planus and other forefoot deformities (Johnson and James, 2005; Zhang et al., 2013).

In addition, the medial longitudinal arch structure was under-loaded proximally and over-loaded distally.
The imbalance of the load-bearing between the proximal and distal side could be the cause of the

instability of the keystone, the navicular bone. Despite the displacement of the navicular depression
was minor, the change is accumulative that could give rise to subsequent arch collapse chronically
(Kamiya et al., 2012). Similarly, a subtle change of soft tissue accumulates overtime and remarkably
reduces stability (Lever and Hennessy, 2016). The combined effect of altered joint loading in the medial
column and ligament strain not only lead to pes planus but also could predispose the risk of metatarsus
primus varus and hallux valgus (Wong et al., 2014a; Wong et al., 2014b).

245 There were some limitations in this study. Besides simplifications and assumptions inherent to the 246 geometry, material and the loading case, we assumed that the boundary and loading conditions 247 between normal and PTT were similar, under the premise that the immediate effect (onset) was studied and no structural change was anticipated. Some studies demonstrated that Stage II PTT patients have 248 249 different spatio-temporal gait parameters and foot joint angles (Ness et al., 2008). However, there was 250 no substantial evidence that the boundary and loading conditions, including the shank-to-ground angles 251 and the resultant ground reaction forces was significantly changed (Ledoux and Hillstrom, 2002; Levinger et al., 2010). While investigation of the foot angles could have implications to the evaluation 252 253 of PTT, this study meanwhile focused on the evaluation of joint loading and ligament strain, otherwise 254 difficult to be assessed thought experimental approach. Pre-stain of ligaments and plantar fascia was 255 not considered in this model. To the extent of our knowledge, we believe that there is no finite element 256 foot model taking pre-strain into account and this information is also lacking (Morales-Orcajo et al., 257 2016), despite that pre-strain has been commonly considered in knee models (Galbusera et al., 2014). 258 Neglecting the influence of pre-strain may underestimate the strain of the ligaments and the joint loading.

259 On the other hand, the external validity of the study was hindered by the single subject design which 260 was commonly faced by research using a theoretical approach, such as the finite element method (Ren 261 et al., 2016). A sensitivity test was conducted and incorporated into the data analysis to account for 262 some variances in population. There were some outcome measures demonstrating high variance 263 during the sensitivity test, such that interpretation, particularly on the first tarsometatarsal joint force and 264 the strain of the plantar cuneocuboid ligament should be treated carefully. In fact, the sensitivity test 265 only considered a single factor (the insertion of some ligaments), whilst inter-subject variability includes 266 many other variations, such as bone morphology, insertion, and size of ligaments, etc. Meanwhile, it 267 remains difficult and impractical to reconstruct a few sophisticated foot models together with

corresponding gait experiments and validations. Subjects with the required features were also difficult
to be identified, for example, patients with an onset of PTT or pes planus.

270 To this end, we have selected a subject with typical physique and foot characteristics, which we viewed 271 the model as representative. Validation was conducted on the model subject using plantar pressure 272 measurement. While most of the previous validations of finite element foot models observed the plantar 273 pressure distribution qualitatively or by comparing the peak pressure (Morales-Orcajo et al., 2016), 274 recent studies attempted to quantify the degree of agreement using statistical approach, such as ICC 275 or Bland-Altman analysis (Edwards and Troy, 2012). A gualitative comparison was reported in our 276 previous study (Wong et al., 2014a) and, in this study, ICC was conducted. Although the maximum 277 plantar pressure of the experiment was consistently lower than the prediction, the comparison using 278 ICC demonstrated moderate to excellent correlation (0.68 to 0.93). Some deviations could be due to 279 the fact that the sensors redistributed the plantar pressure slightly. We decided that the validation 280 demonstrated sufficient agreement with the agreeable qualitative comparison on the plantar pressure pattern (Wong et al., 2014a), moderate-to-excellent correlation and uncertainty identified (Anderson et 281 282 al., 2007). In addition, the sensitivity test showed that majority of the outcomes are not sensitive to the 283 variance of ligament insertion. We assumed that the model should be adequately reliable based on our 284 validation and verification measures as recommended (Viceconti et al., 2005).

285 PTT is difficult to detect in the early stage, and thus assuming the state of onset in the simulation was 286 a challenging task in this study. Clinically, PTT was categorized into four stages (Bubra et al., 2015). 287 Stage I presents no clinical deformity but a partial dysfunction of the tendon. Stage II shows non-288 functionality of the tendon and resulting deformity. Stage III PTT demonstrates irreversible foot 289 deformity while tibiotalar degeneration would be found in Stage IV patients. We determined the stage 290 of onset as the in-between of stage I and II, with the loss of tendon function, but before the start of 291 deformity. A sensitivity analysis on the level of functional loss could help understand the gradual change 292 of the biomechanical environment over the stages. Future study should challenge different stages and severities of PTT, and the biomechanics of surgical and orthotic treatments. 293

294 **5. Conclusions**

PTT changed the load transfer mechanism and the strain of the midfoot plantar ligaments. These changes disturbed the load balance of the medial longitudinal arch; splayed and collapsed the transverse arch, which potentially contributed to pes planus and other deformities.

298 6. Acknowledgement

- 299 The work was supported by the University Grants Committee of Hong Kong, China, under the General
- 300 Research Fund (PolyU 152065/17E, PolyU 152002/15E, PolyU 152216/14E), and the Key Program of
- 301 the National Natural Science Foundation of China (Grant No.: 11732015).

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448 Figure Legends

- Figure 1. Geometry, and the boundary and loading conditions of the finite element model. Note: This
 figure was reproduced and modified from (Wong et al., 2016) under the Creative Common Attribution.
- 451 **Figure 2.** Joint forces of the rearfoot comparing simulated PTT and normal condition during stance: (a)
- 452 subtalar joint; (b) ankle (talocrural joint); (c) calcaneocuboid joint; (d) talonavicular joint.
- Figure 3. Joint forces of the medial column and midfoot comparing simulated PTT and normal condition
 during stance: (a) medial cuneonavicular joint; (b) first tarsometatarsal joint; (c) first
 metatarsophalangeal joint; (d) intercuneiform (lateral-intermediate) joint; (e) intercuneiform (medialintermediate) joint.
- 457 Figure 4. Maximum tensile strain of the plantar midfoot ligaments comparing the simulated PTT and
 458 normal condition at GRF first peak and initial push-off.
- 459 Figure 5. The change of arch height from normal to simulated PTT condition under initial push-off460 compared with existing published data.
- 461 Figure 6. Plantar pressure distribution of the normal and simulated PTT condition during initial push-462 off.