# 1 Plasmonic black absorbers for enhanced photocurrent of visible-

# 2 light photocatalysis

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## 12 Abstract

13 Plasmonic resonance of noble metal nanoparticles can drastically enhance the visible response 14 of wide-bandgap photocatalysts like TiO<sub>2</sub>, but the current technology has two fundamental 15 problems: narrow absorption band and low absorption, which limit the energy efficiency of 16 photocatalysis using sunlight. Here we report an original work of plasmonic black absorber that sandwiches a 150-nm TiO<sub>2</sub> layer between a layer of random Au nanoparticles and a rough 17 18 Au surface (200 nm thick). The combined plasmonic effect of the Au nanoparticles and the 19 Au rough surface enables a strong absorption (72% - 91%) over 400 - 900 nm and a 20 significantly enhanced photocurrent (by 20 folds) as compared to the bare TiO<sub>2</sub> film. The 21 strong absorption to visible and near infrared light, and the much enhanced photocurrent make 22 the black absorber an ideal material for solar applications such as photocatalytic, 23 photosynthetic, photovoltaic and photothermal systems.

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#### 25 **Keywords:** plasmonics, black absorbers, photocatalysis, perfect absorbers, solar energy

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### 28 **1. Introduction**

29 As an abundant and irreplaceable resource, solar energy has been intensively exploited for human activities. Photocatalysis utilizes semiconductors to transform photon energy into 30 31 chemical energy by absorbing photons and generating electrons without harmful byproduct.<sup>[1],[2],[3]</sup> Although TiO<sub>2</sub> is the most promising photocatalyst thanks to its many 32 33 merits such as superior photoactivity, high photostability, proven biosafety and abundant 34 supply, it has its own Achilles' heel – the wide bandgap (~3.2 eV). This limits its absorption 35 to only the UV light ( $< \sim 388$  nm), making it absorb only about  $\sim 4\%$  of solar energy. Many attempts have been made to enhance the response of TiO<sub>2</sub> to the visible light and even the 36 near infrared light. Among them, doping of non-metallic elements such as nitrogen,<sup>[4],[5]</sup> 37 carbon,<sup>[6],[7]</sup> and sulphur,<sup>[8],[9]</sup> have attracted much attention, however, the doped  $TiO_2$ 38 39 photocatalysts are often not stable enough.

40 Recently, plasmonic photocatalysis has risen up as a very promising technology for high-performance photocatalysis.<sup>[10],[11]</sup> It involves dispersal of noble metal nanoparticles 41 42 (NPs) (commonly Au NPs and Ag NPs in the sizes of tens to hundreds of nanometers) into 43 semiconductor photocatalysts by either dispensing on the surface or embedded in the semiconductors.<sup>[12],[13],[14]</sup> The localized surface plasmonic resonance (LSPR) effect of noble 44 45 metal NPs enables it to absorb the visible light and transfer the energy to TiO<sub>2</sub> for 46 photocatalysis.<sup>[15],[16],[17],[18]</sup> Nevertheless, the LSPR effect has two fundamental limits. One is 47 that the resonant effect limits its absorption bandwidth to a narrow wavelength range (typically ~ 50 nm or smaller for uniform nanospheres).<sup>[18]</sup> The other is that the absorption 48 49 coefficient is usually low due to the strong scattering. To shift the LSPR resonance to the visible range, the size of NPs has to be large (~ 50 - 100 nm) and thus the scattering becomes 50 51 prominent. For instance, the Au nanosphere with the diameter of 80 nm has the resonance wavelength at 550 nm, but its scattering coefficient is already 0.65 of the absorption 52 coefficient.<sup>[19]</sup> And larger nanospheres shift the resonance peak to longer wavelength, but at 53

54 the cost of larger scattering. Although the scattering may contribute to the photocurrent by increasing the photon-photocatalyst interaction length,<sup>[11]</sup> it is more desirable to have photons 55 strongly absorbed by the NPs, especially when the photon energy is lower than the bandgap of 56 57 photocatalyst materials (e.g., visible light to TiO<sub>2</sub>). Therefore, a blackbody-like absorber would be ideal to make full use of the whole solar spectrum.<sup>[20],[21],[22],[23],[24]</sup> Recently, 58 59 blackbody-like metallic layers in the form of diffraction grating have been reported for strong absorption over a wide wavelength.<sup>[25]</sup> Special efforts have been made to enhance the 60 61 absorption to the infrared light as well.<sup>[26],[27],[28]</sup> However, those man-made regular nanostructures are often polarization dependent and involve complicated photolithographic 62 63 processes. Recently, a broadband plasmonic-metamaterial absorber was reported using a three-layer metallo-dielectric-metallo stack.<sup>[29]</sup> The top layer is a very thin discontinuous Au 64 layer (7 nm thick), the middle layer is a thin SiO<sub>2</sub> layer (40 nm thick) and the bottom is a flat 65 66 Au film (100 nm thick). Although this approach promises easy fabrication and excellent absorption in visible-light range, this work focused only on the broadband absorption. In 67 68 addition, the non-conductivity of SiO<sub>2</sub> layer blocks the electron transportation, making it not 69 suitable for photocurrent-based applications like the photocatalysis.

70 In this paper, we demonstrate a new black absorber structure using TiO<sub>2</sub> as the dielectric 71 medium. This unique black absorber based on the plasmonic effect of random Au 72 nanostructures, which exhibits strong absorption (> 70%) of non-coherent sunlight over 400 – 73 900 nm and overcomes the major problems of current LSPR-based photocatalysis technology. 74 The absorber is composed of a TiO<sub>2</sub> layer sandwiched between a layer of Au nanoparticles 75 (AuNPs) and a rough Au layer (in short, AuNP/TiO<sub>2</sub>-Au film). For comparison, another three 76 structures such as the bare TiO<sub>2</sub> film, the AuNPs on TiO<sub>2</sub> layer (named as AuNP/TiO<sub>2</sub> 77 hereafter) and the TiO<sub>2</sub> layer on Au layer (named as TiO<sub>2</sub>-Au film) are developed as well. 78 Experiments will be conducted to prove that the black absorber has apparently superior 79 photocatalytic performance than the other three structures, and simulations will be carried out to show the origin of strong absorption. It is worth noting that the fabrication involves only
simple processes, such as sputtering and annealing, and requires no photopatterns, making it
easy to fabricate large-area samples at low cost.

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### 84 2. Results and discussion

## 85 2.1 Layer structure

86 From top to bottom, the structure of black absorber consists of a layer of randomly 87 distributed AuNPs as the plasmonic absorber, a TiO<sub>2</sub> thin layer as the photocatalyst and a 88 layer of rough Au layer as another plasmonic absorber (see Figure 1a). To lead out the 89 photocurrent, the rough Au layer sits on a glass substrate coated with a transparent conducting 90 FTO film. To enhance the adhesion between the FTO film and the rough Au layer, a thin 91 chromium layer is coated. Figure 1b shows the three-terminal potentiostat setup for the 92 measurement of photocurrent in the KOH solution. The black absorber acts as the working 93 electrode, a platinum plate as the counter-electrode and a saturated cell as the reference 94 electrode. Figure 1c exemplifies the working principle. The rough Au layer absorbs photons 95 of various energies to generate hot electrons, which are fed into the TiO<sub>2</sub> layer.<sup>[30],[31]</sup> The 96 AuNPs serve mainly two functions, an electron trap and an LSPR absorber. As the electron 97 trap, the AuNPs accumulates the hot electrons from the TiO<sub>2</sub> layer and also provides a fast 98 lane for the transfer of electrons to the electrolyte.<sup>[32]</sup> As the LSPR absorber, the AuNPs assist 99 the absorption of the photons of its own LSPR wavelength and contributes to the 100 photocatalysis as well. In this manner, the Au rough layer and the AuNPs work together to 101 absorb a broad range of visible and near-infrared light in the solar light.

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#### 103 **2.2 Micrographs**

Figure 2a shows the pseudo-color SEMs of the cross-section of the plasmonic black absorber. For the Au layer and the  $TiO_2$  layer, large grains can be observed and their

106 boundary is not straight and not clear. In fact, they have partial overlap along the boundary 107 and form effectively a thin Au-TiO<sub>2</sub> composite layer, which ensures good physical contact for 108 the transport of photo-excited electrons from the Au layer to the TiO<sub>2</sub> layer. It is interesting to 109 see that most of the TiO<sub>2</sub> gains run continuously along the vertical direction, which is 110 beneficial to the charge transfer across the  $TiO_2$  layer. Thicknesses of the Au layer and the 111 TiO<sub>2</sub> layer are about 200 nm and 150 nm, respectively. It is noted that the Au layer is thick 112 enough to block all incidence light and thus the transmission becomes negligible. The AuNPs 113 on the surface of  $TiO_2$  layer are fabricated by the sputtering and annealing method. Particle 114 sizes are controlled by the deposition thickness of Au and the annealing conditions. From 115 Figure 2b, most of the AuNPs are well dispersed. The histogram shows the size of AuNPs 116 mainly ranges from 40 to 100 nm, and is mostly at 70 nm (see Supporting Information, Figure 117 S2). Fig. 2c show the typical morphology of the interlayer TiO<sub>2</sub>, which is uniform and 118 continuous. A thin Au-TiO<sub>2</sub> composition layer forms at the boundary. Figure 2d shows the 119 top-views of the Au layer (by etching a window in the  $TiO_2$  layer). The Au layer is very rough, 120 with dense nano-cavities (or nanopores) and Au nanostructures of varying geometries. The 121 average roughness is 11.0 nm (see Supporting Information, Figure S3). Such a rough surface 122 of the Au layer is the key to the broad absorption due to the plasmonic effects of the nanocavities and the Au nanostructures and their coupling effect. <sup>[20],[21],[22],[33],[34]</sup> 123

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## 125 **2.3 Optical absorption spectra**

Figure 3 plots the absorption spectrum of the black absorber (i.e., AuNP/TiO<sub>2</sub>-Au film) as compared with those of the bare TiO<sub>2</sub> film, the AuNP/TiO<sub>2</sub> film and the TiO<sub>2</sub>-Au film. Here the absorption *A* is calculated by the equation A = 1 - R - T, where *R* and *T* are the normalized reflection and transmission, respectively. The insets of Figure 3 show the corresponding photos under the UV cut-off solar light ( $\lambda > 400$  nm). The bare TiO<sub>2</sub> film looks pale white under sunlight and has very low absorption. For the TiO<sub>2</sub> layer that are loaded only

132 with AuNPs (i.e., the AuNP/TiO<sub>2</sub> film), it shows a color of light pink (see the inset of Figure 133 3). The spectrum has an absorption peak at around 560 nm but the absorption coefficient is 134 still low (< 15%). The absorption peak can be ascribed to the LSPR excitation effect of the 135 AuNPs. For the TiO<sub>2</sub>-Au film, its photo becomes dark brown, and the absorption coefficient is 136 significantly increased (> 50%) over the whole range of 400 - 900 nm. This is an evidence of 137 high absorption of the rough Au layer, though there is a valley of absorption near 600 nm. It is 138 particularly interesting to see that the absorption rises up when the wavelength is > 600 nm. 139 This is opposite to most of the photocatalysts that use uniform noble metal NPs, whose 140 absorption often drops significantly for the long wavelength part of visible light and the nearinfrared region.<sup>[35]</sup> This feature can be regarded as a spectral signature of the black 141 absorbers.<sup>[23]</sup> For the AuNP/TiO<sub>2</sub>-Au film of our research focus, the absorption coefficient is 142 143 further enhanced to > 72% over 400 – 900 nm. Particularly, a peak absorption of 91% appears at ~ 600 nm, thanks to the LSPR absorption of AuNPs.<sup>[36],[37]</sup> It is worth highlighting that the 144 photo of the sample shows a deep dark color (see the inset of Figure 3), well proving its 145 146 likeness to "blackbody". For the colors of different samples, the pale white color of the bare 147  $TiO_2$  film is attributed to its low and quite flat absorption in 450 – 750 nm; the pink 148 appearance of the AuNP/TiO<sub>2</sub> film is rendered by its relatively higher absorption in short 149 wavelength region in 400 - 550 nm; the brown color of the TiO<sub>2</sub>-Au film is owning to the 150 drop of absorption in 500 - 650 nm; and the dark color of the AuNP/TiO<sub>2</sub>-Au film is a result 151 of the very high absorption over the whole range of 400 - 900 nm.

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#### 153 **2.4 Photoelectrochemical properties**

To study the photo-induced charge separation, the photoelectrochemical (PEC) properties of the bare TiO<sub>2</sub> film, the AuNP/TiO<sub>2</sub> film, the AuNP/TiO<sub>2</sub>-Au film and the TiO<sub>2</sub>-Au film are tested under the on-off illumination of a broadband visible source (400 - 900 nm, ~50 mW/cm<sup>2</sup>) using the setup as shown in Figure 1b. The linear sweep voltammograms (LSV) are

158 performed both in dark and under visible-light illumination (see Figure 4a), which reveals an 159 apparent response to light on/off switching at different bias potentials. The chopped *I-t* curves 160 are measured and recorded at a constant potential of 0 V (see Figure 4b), which is in good 161 agreement with the *I-V* curves at 0 V bias voltage. Clearly, the bare TiO<sub>2</sub> produced little photocurrent density,  $< 0.7 \ \mu A \ cm^{-2}$ , which is just above the background dark current. As 162 163 expected, a significant photocurrent density enhancement is observed on the AuNP/TiO<sub>2</sub>-Au film (i.e., the black absorber), with a photocurrent density of ~15  $\mu$ A·cm<sup>-2</sup> (take the values at 164 90 s). The enhancement factor is ~ 20 as compared to the bare  $TiO_2$  film. The  $TiO_2$ -Au film 165 enhances the photocurrent density to ~ 5  $\mu$ A·cm<sup>-2</sup> in the absence of AuNPs loading, yielding 166 an enhancement factor of ~ 8 with respect to that of the bare  $TiO_2$ . On the other hand, the 167 168 addition of AuNPs onto the TiO<sub>2</sub> layer has just doubled the photocurrent in the absence of Au 169 layer. Generally, the magnitude of photocurrent follows the AuNP/TiO<sub>2</sub>-Au film > the TiO<sub>2</sub>-170 Au film > the AuNP/TiO<sub>2</sub> film > the bare TiO<sub>2</sub> film, which is in good agreement with the 171 order of absorption spectra in Figure 3. The large photocurrent of the AuNP/TiO<sub>2</sub>-Au film as 172 compared to that of the TiO<sub>2</sub>-Au film is attribute to the fast-lane transfer and the LSPR 173 absorption of the AuNPs as explained above. For the AuNP/TiO<sub>2</sub> film, its photocurrent is very 174 small as compared to the AuNP/TiO<sub>2</sub>-Au film, owing to the low absorption ability as seen in 175 Figure 3. Regarding to the influence of the layer thicknesses in the AuNP/TiO<sub>2</sub>-Au film, it is 176 found that the current combination (i.e., 200 nm thick for the Au layer and 150 nm for the 177 TiO<sub>2</sub> layer) yields the best absorption spectrum and the highest photocurrent; a thicker or 178 thinner Au layer would reduce the level of absorption coefficient and the flatness of the 179 absorption spectrum; similarly, a thinner TiO<sub>2</sub> layer causes a reduction of absorption but a 180 thicker TiO<sub>2</sub> layer lowers the photocurrent slightly, possibly due to the larger grain size and 181 the longer transport distance of electron from the Au layer to the electrolyte. For the origins of 182 photocurrents in the AuNP/TiO<sub>2</sub>-Au film and the TiO<sub>2</sub>-Au film, the contribution of hot

183 electrons can be inferred from the corresponding chopped I-V curves in Figure 4a and the I-t 184 plots in Figure 4b: their values are positive in the circuit connection of Figure 1c, showing 185 that the electrons flow from the rough Au layer to the  $TiO_2$  layer and then to the electrolyte; 186 the photon energy (3.1 - 1.4 eV for the wavelength 400 - 900 nm) is lower than the TiO<sub>2</sub> 187 bandgap ( $\sim 3.2 \text{ eV}$ ) and thus the photo-generated electrons have to result from the plasmonic 188 resonance of Au nanostructures of the rough Au films. The contribution of hot electrons to photocurrent has been extensively used in the TiO<sub>2</sub> films sensitized by the AuNPs<sup>[30],[31]</sup>, it is 189 190 reasonable to apply the similar mechanism to the rough Au films of this work.

The incident photons to current conversion efficiency (IPCE) expresses the number of electrons per unit number of incident photons at a given irradiation wavelength. Figure 4c displays the photocurrent vs. wavelength curves, which are measured by using the bandpass filters (bandwidth 20 nm, central wavelengths at 425, 450, 475, 500, 520, 550, 600 and 650 nm, respectively). For all the four TiO<sub>2</sub>-based samples, the photocurrent peaks appear at 450 nm. Figure 4d plots the IPCE vs. wavelength curves that are calculated from the PEC data in Figure 4c according to the formula

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IPCE(%) = 
$$(1240 \times I_{sc}) / (\lambda \times P_{light}) \times 100$$

199 where  $\lambda$  is the wavelength of the incident light,  $P_{light}$  is the illumination power density at the 200 specific wavelength and  $I_{sc}$  is the measured short circuit photocurrent density at the specific 201 wavelength. It is seen that the IPCE value drops quickly in 424 - 475 nm and then becomes 202 flat at longer wavelengths. Nevertheless, the use of Au NPs or Au film leads to an increase of 203 IPCE over the wide visible range, and the AuNP/TiO<sub>2</sub>-Au film presents the highest IPCE, 204 which is consistent with the absorption spectra. At wavelengths shorter than 550 nm, the 205 AuNP/TiO<sub>2</sub>-Au film presents much higher IPCE values compared to the AuNP/TiO<sub>2</sub> film 206 photoelectrode, indicating that the high IPCE is mainly attributed to the presence of the rough 207 Au film rather than Au NPs.

#### 208 **2.5 Numerical simulation**

209 Finite-difference time-domain (FDTD) simulations are carried out to calculate the absorption 210 spectra of the AuNP/TiO<sub>2</sub>-Au film using a commercial software package, Lumerical FDTD Solutions.<sup>[38],[39]</sup> Here, we will investigate the electromagnetic response of the AuNP/TiO<sub>2</sub>-Au 211 212 film under visible light and will compare it with the measured spectrum. The perspective view 213 of the structure and the cross-sectional view in the xz plane (y = 0) are showed in Figure 5a 214 and 5b, respectively. In the simulation, the physical structures, from bottom to top, are 215 represented by five layers: Layer A, a continuous Au layer at the bottom (200 nm thick); 216 Layer B, a layer of random Au particles (represented by 3,000 AuNPs with the size randomly 217 distributed in 20 – 40 nm); Layer C, a TiO<sub>2</sub> layer (150 nm thick); Layer D, a TiO<sub>2</sub>-Au particle 218 composite (800 random TiO<sub>2</sub> particles in 40 - 60 nm); and Layer E, another layer of Au 219 nanoparticles (300 AuNPs in 20 - 100 nm). These layers are chosen to resemble the real 220 structures of the AuNP/TiO<sub>2</sub>-Au film (see Figure 2a) as much as possible but using only simple structures like films and nanospheres. More details of simulation will be described in 221 222 Methods Section.

Figure 5c plots the simulated absorption spectrum of the AuNP/TiO<sub>2</sub>-Au film. It resembles closely the shape of measured spectrum over the whole range of 400 - 900 nm. Particularly, the positions of the absorption peak and two valleys given by the simulation align well with those by the experiment. Nevertheless, the simulation shows higher absorption coefficient at the peak than the experiment, which is probably ascribed to the imperfections of using the five simple layers to represent the real structure.

The field enhancement is further confirmed by the 3D FDTD software. From the simulation curve in Figure 5c, the absorption reaches the minimum at 500 nm (77%) and 700 nm (70%), and the maximum at 410 nm (97%) and 600 nm (95%). Therefore, the wavelengths of 410, 500, 600, 700, 800 and 900 nm are chosen as the working wavelengths to simulate the electric field intensity distribution in the xz plane. As shown in Figure 6a, the

234 electric field intensity is enhanced in two random Au particle layers, Layer B and E, though 235 Layer E has a greater enhancement. In Figure 6b and 6d that have  $\lambda = 500$  nm and 700 nm, 236 the electric fields in Layer E are reduced, showing a low contribution from the AuNPs. This 237 corresponds to the absorption valleys at 500 nm and 700 nm in Figure 5c. In Figure 6c that 238 has  $\lambda = 600$  nm, the electric field in Layer E becomes apparent again, indicating a strong 239 absorption of the AuNPs due to the LSPR effect. This corresponds to the absorption peak at 240 600 nm in Figure 5c. In Figure 6e and 6f, only Layer B shows strong electric field. Based on 241 Figure 6a-f, it can be observed that the rough Au layer (Layer B) always has intense electric 242 field and becomes dominant when the wavelength is apart from the plasmonic resonant 243 wavelength of AuNPs ( $\lambda = 600$  nm). This proves that the rough Au surface always has strong 244 plasmonic absorption over 400 - 900 nm and the AuNPs mainly contribute to the LSPR 245 absorption at 600 nm. In the other word, the blackbody-like absorption is a combination of the 246 plasmonic absorptions of the rough Au layer and the AuNPs.

247

# 248 **3. Conclusions**

249 In summary, an original study on the plasmonic black absorber is demonstrated using 250 the sandwiched structure that comprises a layer of AuNPs, a TiO<sub>2</sub> layer and a rough Au layer. 251 It obtains an excellent absorption over the range of 400 - 900 nm due to the combined 252 plasmonic absorptions of the rough Au layer and the AuNPs as verified by the FDTD 253 simulation. By transferring hot electrons to the TiO<sub>2</sub> layer, the black absorber is able to 254 enhance the photocurrent by 20 folds as compared to the bare TiO<sub>2</sub> under the UV cut-off solar 255 light (> 400 nm). Such an absorber is simple, easy to fabricate and superior to other plasmonic 256 materials. Its broadband strong absorption of the visible and near infrared light is particularly 257 favorable for the photocatalysis using sunlight and would find potential applications in water 258 splitting, environmental remediation and photosyntheses.

# 259 **4. Experimental**

### 260 **4.1 Fabrication**

The plasmonic black absorber and all the other films are fabricated on the substrate of fluorine-doped tin oxide (FTO) glass. First, a thin Cr layer (~ 10 nm thick) and a continuous Au layer (~ 200 nm thick) are deposited by magnetron sputtering on the FTO substrate. The working pressure of Ar and the sputtering power are 6 pa and 60 W, respectively. The layer thicknesses are controlled by the sputtering time. The Cr layer is to enhance the adhesion and the electrical conduction of the Au layer to the FTO substrate.

267 Then, the TiO<sub>2</sub> layer is deposited by the spin-coating method. It starts with the 268 preparation of TiO<sub>2</sub> sol-gel. Titanium isopropoxide (TIP) Ti[OCH(CH<sub>3</sub>)<sub>2</sub>]<sub>4</sub> with purity 98% is 269 introduced as the source of titanium and 2.5-ml diethanolamine {HO(CH<sub>2</sub>)}<sub>2</sub>NH is dissolved 270 in 70-ml ethanol (CH<sub>3</sub>CH<sub>2</sub>OH) with the purity of 99.7%. The mixture is sealed and stirred at 40 °C for 20 min with a magnetic stirrer. After adding the precursor of 9-ml TIP to the water-271 272 free mixture, the stirring process is continued for another 20 min. When a slightly yellow and 273 transparent gel is formed, 20-ml water and 1-ml ethanol are added into the gel and stirred for 274 another 20 min. The obtained solution is kept at ambient temperature for 24 h. After the sol-275 gel is ready, it is spin-coated onto the Au layer with a spinning speed of 2000 rpm for 30 s. 276 The obtained TiO<sub>2</sub> layer is sintered at 500 °C in air for 1 h to get the anatase phase. Although 277 the annealing temperature of 500 °C is pretty high and may cause the oxidation problem, 278 previous studies and our experience show that stable Au films and Au nanoparticles can still 279 be obtained safely.

Finally, sputtering and thermal annealing are utilized to fabricate the random distribution of AuNPs onto the surface of  $TiO_2$  layer. Here a thin Au layer is deposited by magnetron sputtering. After being annealed at 500 °C for 1 h, the thin Au layer is transformed into AuNPs during the annealing-cooling process.<sup>[33]</sup> The obtained AuNPs are mostly spherical but with random sizes. The Au layers deposited for different sputtering times (and thus different thicknesses, in the range of 3 - 25 nm) result in the AuNPs of different sizes. The photos and the scanning electron micrographs (SEM) are shown in Supporting Information, Figure S1. When the Au layer is deposited onto the TiO<sub>2</sub> layer for 10 s, the AuNPs after annealing have the size in the range of 40 - 80 nm, as shown in Supporting Information, Figure S2.

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# 291 **4.2 Characterization**

292 The surface morphology and the cross-section of the plasmonic blackbody-like structure are 293 evaluated by the scanning electron microscope (Hitachi S-4800, Hitachi High-Technologies 294 Corporation, Tokyo, Japan) with a cold field emission electron source and an in-lens 295 secondary detector. Typically, the images are acquired with an acceleration voltage of 5 kV. 296 UV-Vis spectrophotometer (Perkin Elmer Lambda 750) is employed to measure the 297 absorption of the black absorber and the other samples of control experiments. The reflection 298 spectra are performed with an integrating sphere that uses a BaSO<sub>4</sub> plate as the reference. This 299 means that both the direct reflected light and the diffuse scattering light are included in the 300 reflection measurement. The photocurrent is characterized using a three-terminal potentiostat 301 (Figure 1b). The black absorber, a Pt electrode and a saturated calomel electrode (SCE) are 302 used as the working, the counter, and the reference electrode, respectively. The working 303 electrode potential is set at 0 V versus SCE. Photocurrent is measured under the irradiation of a Xe lamp (100 mw/cm<sup>2</sup>) fixed with a UV filter (cut-off wavelength 400 nm). 304

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### 306 **4.3 FDTD simulation**

To model the complicated structure, the AuNP/TiO<sub>2</sub>-Au film is simplified into five layers. More specifically, Layers A and B represent the continuous part and the rough surface of the Au layer, respectively; Layer C stands for the continuous part of the TiO<sub>2</sub> layer; Layer D represents the overlapping of the TiO<sub>2</sub> rough surface and the AuNPs; and Layer E represents the independent AuNPs on the surface of TiO<sub>2</sub>. The 3D FDTD has been performed in a domain of 1  $\mu$ m × 1  $\mu$ m × 100 nm. For Layer A, the Au layer is 100 nm thick. For Layer B, it is represented by 3000 AuNPs with the size randomly distributed in 20 – 40 nm. For Layer C, the TiO<sub>2</sub> layer is 100 nm thick. For Layer D, it is represented by 800 random TiO<sub>2</sub> particles with the diameter in 40 – 60 nm. For Layer E, it contains 300 AuNPs with the size in 20 – 100 nm, following the histogram in Supporting Information, Figure S2b.

In the 3D FDTD simulation using Lumerical FDTD Solutions, the periodic boundary conditions are employed in both x and y directions, and the perfectly matched layer (PML) boundary condition is employed in the z direction. Although the distribution of random particles is not periodic, the periodicity of the unit cell in both the x and y directions are set as 1000 nm, which is far greater than the radius of the random particles to simulate the rough Au surface in the real structure. The FDTD lattice is completed with the auto non-uniform mesh type, the 6th level with the mesh type of high accuracy to ensure reliable results.

The absorption is calculated by the equation  $A(\lambda) = 1-R(\lambda)-T(\lambda)$ , where  $R(\lambda) = |S_{11}|^2$  is the reflection and  $T(\lambda) = |S_{21}|^2$  is the transmission. Since the bottom Au layer (thickness 160 nm) is much thicker than its typical skin depth, there is almost no transmission in the whole wavelength range, i.e.  $T(\lambda) = 0$ . In this case, the formulation of absorption can be simplified into  $A(\lambda) = 1 - R(\lambda)$ . Frequency-domain field and power monitor are used to investigate the Sparameters of transmission (S<sub>21</sub>) and reflection (S<sub>11</sub>) of a single unite cell.

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# 331 Supporting Information

332 Supporting Information is available from the Wiley Online Library or from the author.

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Figure 1 Plasmonic black absorber that is constructed by the AuNP/TiO2-Au film. (a) Layer
structure. From bottom to top, it consists of a rough Au layer on the FTO glass substrate, a
thin TiO<sub>2</sub> layer as the photocatalytic layer and a layer of Au nanoparticles on the top. (b)
Three-terminal potentiostat setup for measuring the photocurrent of the perfect absorber. (c)
Excitation and transfer of hot electrons in the absorber. The electrons flow from the Au layer
toward the Au nanoparticles.



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417 **Figure 2** (a) Pseudo-color scanning electron micrograph of the cross-section of the absorber. 418 The thicknesses of the Au layer and the  $TiO_2$  layer are about 200 nm and 150 nm, 419 respectively; and those of the FTO layer and the Cr layer are about 100 nm and 10 nm, 420 respectively. (b) The Au nanoparticles on the top surface. (c) The  $TiO_2$  film layer. (d) Top 421 view of the Au layer exposed from an etched window of the  $TiO_2$  layer, showing that the Au 422 layer is very rough and consists of nanopores and nanoparticles.

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Figure 3 The measured absorption spectra of different film samples. The insets show the photos of the films. The bare  $TiO_2$  film presents very low absorption, the AuNP/TiO\_2 film has also low absorption but a plasmonic absorption peak at ~560 nm, the TiO\_2-Au film shows a much enhanced absorption, where the AuNP/TiO\_2-Au film exhibits strong absorption (> 72%) over the whole range of 400 – 900 nm and the maximum absorption of 91% at ~600 nm.



433 **Figure 4** Comparisons of the photoelectrochemical properties of the four film samples. (a) 434 Chopped *I-V* curves and (b) *I-t* plots under the irradiation of the UV cut-off solar light (with 435 only  $\lambda > 400$  nm). The photoelectrodes are measured versus the saturated calomel electrode 436 (SCE) in the 1-M KOH solution. (c) Photocurrent action spectra and (d) the deduced IPCE 437 spectra. In (b) – (d), the bias potential is kept at 0 V.



441 Figure 5 FDTD simulation. (a) Perspective view of the representative structure of black
442 absorber for simulation. (b) Cross-sectional view in the *xz* plane. (c) Simulated absorption
443 spectrum (blue dashed line) matches approximately the experimentally measured spectrum
444 (black line).



Figure 6 Distributions of electric field intensity for the perfect structure in the *xz* plane at six selected wavelengths: (a) 410 nm, (b) 500 nm, (c) 600 nm, (d) 700 nm, (e) 800 nm, and (f) 900 nm. The rough Au layer (i.e., Layer B) has always strong plasmonic resonance at different wavelengths and becomes the dominant absorber when the wavelength is longer than the plasmonic resonance wavelength 600 nm.

# 453 **Table of Contents**

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To enhance the utilization of solar energy for photocatalysis, the rough Au surface has been combined with random Au nanoparticles to form a black absorber, which enables the strong absorption (72% - 91%) to the solar light over 400 – 900 nm, and increases the photocurrent by 20 folds. This black absorber represents an ideal material to enhance the photocurrents in the solar applications such as photocatalytic, photovoltaic and photothermal systems.

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# 466 Plasmonic black absorbers for enhanced photocurrent of visible-

# 467 light photocatalysis

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Figure S1. (a) Photographs of the Au films with the sputtering time of 3 s, 5 s, 10 s, 15 s and 25 s, before and after annealing at 500 °C; SEM images of the Au nanoparticles after annealing the Au films with the sputtering time of (b) 3 s; (c) 5 s; (d) 10 s; (e) 15 s and (f) 25 s. Before annealing, the colors of the Au films are deep. After annealing, the films turn to lighter color and are mostly pink. For the sizes of Au nanoparticles, they increase with longer deposition time.



**Figure S2.** (a) Profile of Au nanoparticles in a small region of Fig. 2d. (b) Histogram of the

488 size of Au nanoparticles in Fig. 2d, as analyzed by using the free software tool ImageJ.



- **Figure S3.** AFM surface profile of the rough Au layer under the  $TiO_2$  layer. The area is 5  $\mu$ m
- $~~\times$  5  $\mu m.$  The root mean squared (RMS) roughness is 11.0 nm.