

# Epistemic downgrading in Cantonese Conversations

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# The study

- Recent works on epistemicity have focused on how speakers **negotiate** their **epistemic stance** in a conversation, i.e. how they can convey neutrality, engagement or detachment toward an utterance (see Fox 2001; Kim 2005, 2011).
- This study focuses on how native speakers of Cantonese use different strategies to modulate, in particular **downgrade, the epistemic strength** of their claims in response to the disaffiliative responses from their addressee(s).
- This study also shows that these strategies are used for politeness reasons – to **address the “face”** of both the speaker and the addressee(s).

# The Politeness Theory

- Politeness can be manifested through:
  - a/ various general social behavior;
  - b/ various linguistic means.
- Adopting **a face-saving approach to politeness phenomenon**, Brown and Levinson's (1987[1978]) is regarded as the most influential and best known in the study of politeness.
- politeness = **trade in** a commodity called '**face**'

# 'Face'

- 'Face' = a universal notion; a public self-image that every member of a society wants to claim for him/herself (Brown and Levinson 1987[1978]: 61)
  - something comparable to self-esteem
- 'And, since face is so vulnerable, and since most participants will defend their face if threatened, the assumption is made that it is generally in everyone's best interest to maintain each other's face and to act in such ways that others are made aware that this is one's intention' (Fraser 1990: 229)
- Being polite = considering people's 'face'!
- We often do some repair work to defend our face when we are being challenged!

# Politeness strategies

- Brown and Levinson have listed a number of politeness strategies that are available in our daily interactions (1987[1978]: 102; 131):

## → Negative Politeness

Be conventionally indirect

Question, hedge

Be pessimistic

Minimize imposition

Apologize

Impersonalize

State the imposition as a general rule

## → Positive Politeness

Notice/attend to hearer's wants

Exaggerate interest/approval

Intensify interest

Use in-group identity markers

Seek agreement

Avoid disagreement

Presuppose/assert common ground

Offer, promise

Be optimistic

Include speaker and hearer in the activity

Give reasons

Give gifts to hearer (goods sympathy, etc.)

**The list here is not exhaustive**

# Data

- 20 interview recordings involving description of pictures of different attractions (15mins each on average)
- Interview data of this kind is particularly useful in seeing how **epistemic downgrading** is done as a **power asymmetry** is often involved:

interviewer

vs.

Interviewee

more information

less information

more control/power

less control/power

- When the interviewee is challenged by the interviewer, who has more information, the interviewee can make use of a wide range of **strategies** to **downgrade their claims**.
- This epistemic downgrading is often accompanied by a lot of **politeness work** – to **address the ‘face’** needs of both parties.

(we don't want to lose 'face', and at the same time, we don't want to damage others' 'face'!)

# Downgrading strategies

- Our data reveals that speakers make use of a range of strategies to downgrade their assertions when their claims are queried or challenged, including:
  1. **Epistemic modals** (e.g. *jing1goi1* 'should', *ho2nang4* 'may/might')
  2. **Epistemic adverbials** for a revised conclusion (e.g. *kei4sat6* 'in fact', *si6sat6soeng6* 'in fact')
  3. **Evidentials**
    1. explicit evidentials (e.g. *ngo5 gu2* 'I guess', *ngo5 gok3dak1* 'I feel', *ngo5 nam2* 'I think')
    2. implicit evidentials (e.g. *hou2ci3* 'seems', *jau5 ho2nang4* 'likely')
  4. **Sentence final particles** with weak epistemic strength (e.g. *ge2*, *gwaa3*, *za1maa3*)
  5. **Discourse-pragmatic strategies** (e.g. keep silent, provide a reason, replace a specific claim with a more general one, offer a revised conclusion, etc.)



# Examples

- Please refer to the separate handout

# Summary & Conclusion

- During a conversation, participants continuously attempt to find **common ground**.
- As they co-construct the conversation to reach common ground, a wide range of **epistemic upgrading and downgrading strategies** are often used. In this study, we have particularly focused on those downgrading strategies.
- From the examples, often we see that **multiple types** of strategies are being deployed **within a single utterance**.
- The use of these strategies forms an essential part of **politeness** in **social interactions**.

# References

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**THANK YOU**

**Informant 1: Travel\_name (10:28-10:59)**

**Example (1)**

01 IR: 其實 我 覺得 呢 個 都 似 德國

*gei4sat6 ngo5 gok3dak1 ni1 go3 dou1 ci5 Dak1Gwok3.* (0.1)

Actually 1SG think DEM CL also be.like Germany

'Actually I think this one also looks like Germany.'

02 你 覺得 呢

*nei5 gok3dak1 ne1.*

you think SFP

'What do you think?'

03 IE: 我 覺得 嘩 但係 點解 唔 可以 係 法國 呢

'BE France' – quite certain

*ngo5 gwok3dak1:(.) waa6 daan6hai6(.) dim2gaai2 m4 ho2ji3 hai6 Faat3Gwok3 ne1?*

1SG think INTERJ but why NEG can be France SFP

'I think... Wow, but why can't (it) be France?'

04 IR: 點解 係 法國 啊

*dim2gaai2 [hai6 Faat3Gwok3 aa3]?*

Why be France SFP

challenge

'Why (it) is France?'

05 IE: 都 似 法國 嘞

*[dou1 ci5 Faat3Gwok3 wo3].*

also be.like France SFP

'It also looks like France.'

'looks like' – not sure

hedge

06 IR: 你 覺得 似 法國

*nei5 gok3dak1 ci5 Faat3Gwok3.*

2SG think be.like France

challenge

'You think (it) looks like France.'

07 IE: 法國 好多 啲 嘢 e4

*Faat3Gwok3 [hou2 do1 go2 di1] e4=*

France very many DEM some

'In France, there are many'

offer an explanation

minimize imposition

08 IR: 點解 似 法國 啊

*[dim2gaa2 ci5 Faat3Gwok3 aa3]?*

why be.like France SFP

'Why do (you) think (it) is France?'

09 IE: 喺 一 啲 偏僻 嘅 地方 會 有 有 一 啲

*hai2 yat1di1: pin1pik1 ge3 dei6fong1 wui3 jau5(.) jau5 yat1di1=*

at some remote ATTR place would have have some

'In some remote places, there would be some'

10 大 屋 喺 度 咁 樣 架 嘛

*=daai6 nguk1 hai2-dou6 gam2 joeng2 gaa1 maa3.*

big house be-there such way SFP SFP

'big houses at there in such a way'

observation 1,  
reinforced by *gaa1 maa3*

11 有 呢 啲 有 啲 高 樓 咁 樣 囉

*jau5 ni1 di1 (.) jau5 di1 gou1-toi4 gam2 joeng2 lo1.*

have DEM CL DEM CL high-terrace such way SFP

'(they) have some high terraces in such a way.'

observation 2,  
reinforced by *lo1*

12 係咪 高臺 嚟 架 呢 啲

*hai6-mai6 gou1-toi4 lei4 gaa3 ni1 di1.*

be-not.be high-terrace come SFP DEM CL

'Are they high terraces?'

*hai6mai6* – not sure

hedge

13 定係 圍牆 嚟 㗎

*ding6hai6 wai4coeng4 lei4 gaa3.*

or wall come SFP

'Or are they the walls?'

give a question/offer a choice

minimize imposition

involve the other party

14 IR: 唔... 唔知 嗰 係咪 樓梯 嚟 㗎 咋 嘛

*m6:: m4 zi1 wo3 hai6-mai6(.) lau4tai1 lai4 gaa3 zaa3 maa5=*

NEG know SFP be-not.be stair come SFP SFP SFP

'Hmm... Don't know, aren't they the stairs'

15 即係 行 上 去 咁 樣

*zik1hai6 haang4 soeng5 heoi3 gam2 moeng2.*

that.be walk up go such way

'that is, to walk up (there) in such a way.'

15 IE: 哦 即係 總之=

*o2 [zik1hai6 zung2zi1]*

INTERJ that.be in.short

'Oh, that is in short'

16 IR: 我 唔知 啊

*[ngo5 m4 zi1 aa].*

I NEG know SFP

'I don't know.'



17 IE: =係 一 個 高 嘅 地 方 跟 住 有 間 屋 喺 度 囉

*hai6 yat1 go3 gou1 ge2 dei6fong1 gan1zyu6 jau5 gaan1 nguk1 hai2 do6 lo1.*

COP one CL high ATTR place then have CL house atthere SFP

'(it) is a place (situated at) a high position, and then there is a house at there.'

18 IR: 哦

[o5].

PRT

'Oh.'

'high position' – less specific

→ concession

establish common ground

19 IE: 大 宅 咁 樣

*[daai6] zak2 gam2 jeong2.*

Big house such way

'Like a big house'

**Informant 1: Travel\_name (5:22-06:01)**

**Example (2)**

01 IR: 咁 呢 一 個 你 估 唔 估 到 係 邊 度

*gam2 (.) nei1 jat1 go3 nei5 gu2 m4 gu2 dou2 hai6 bin1dou6.*

then DEM one CL 2SG guess NEG guess arrive COP where

'For this one can you guess where is it'

02 IE: 呢 個 啊

*Ni1 go3 aa4.*

DEM CL SFP

03 呢 個 好 難 估 喎

*ni1 go3 hao2 naan4 gu2 wo3. (0.2)*

DEM CL very difficult guess SFP

04 係 中 國 囉

*hai6 Zung1Gwok3 lo1 heh*

COP China SFP

'This one... This one is very difficult to guess... (It) is China.'

'BE China' – for certain

05 IR: 中 國 呀 點 解 覺 得 係 中 國 嘅

*Zung1Gwok3 aa4 dim2gaai2 gok3dak1 hai6 Zung1Gwok3 ge2?*

China SFP why think COP China SFP

'China, how come you think this is China?'

challenge

06 IE: (原因省略) 應該 係 中 國 嘅

*jing1goi1 hai6 Zung1Gwok3 ge3.*

(explanation omitted) should COP China SFP

'it should be China.'

'should be' – less certain

hedge

07 IR: 係 咩

*hai6 me1?*

COP Q

'Really?'

08 IE: 係 啊

*hai6 aa3.*

COP SFP

'Yes.'

09 IR: 但 係 諗 其實 亞洲 好多 地方 呢 都會

*daan6hai6 e6 kei4sat6 aa3zau1 hou2 do1 dei6fong1 ne1 dou1 wui3(.)=*

but PRT actually Asia very many place PRT also would

10 有 啲 類似 中國 嘅 建築 個 啲

*=jau5 di1 lei3ci3 Zung1Gwok3(.) [ge3 gin3zuk1] go3 wo3.*

have some similar China ATTR architecture SFP SFP

'But actually many Asian countries also have architecture similar to those in China'



11 IE: 係 啊

*[hai6 aa.]*

COP SFP

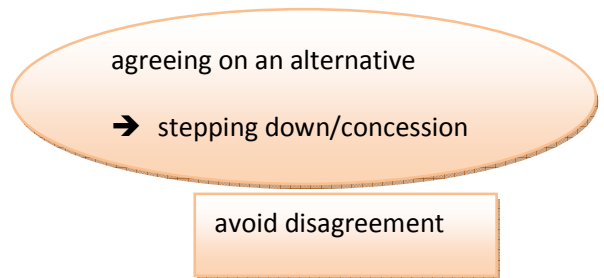
'Yes.'

12 咁 你 又 講 得 啱

*Gam2 nei5 jau6 gong2 dak1 aam1=*

Then 2SG also say PRT right

'Well you are right.'



13 IR: 係囉

[hai6 lo1].

COP SFP

'Yes'

### Travel\_name (08:02-08:35)

#### Example (3)

01 IE: 呢呢呢個設計似古堡

offer a suggestion

ni1(.) ni1(.) ni1 go3 cit3gai3 ci3 gu2bou2.

DEM DEM DEM CL design (be.)like castle

'This one looks like a (German) castle'

02 IR: 但係呢你睇吓佢呢有呢啲一個個

daan6hai6 ne1 nei5 tai2 haa5 keoi5 ne1 jau5 ni1 di1 jat1 go3 go3=

but SFP 2SG see PRT 3SG SFP have DEM some one CL CL

03 尖尖嗰啲呢其實係啲似係啲

challenge

=zim1 zim1 go2 di1 [ne1] kei4sat6 hai6 di1 ci5 hai6 di1=

sharp sharp DEM some SFP actually COP some be.like COP some

04 IE: 吓

[haa6].

PRT

'Em.'

05 IR: 天主教式啲啲建築

tin1zyu2gaau3 sik1 go2 di1 gin3zuk1

Catholic style DEM some architecture

'But if you look at it, it has some sharp things. Actually this one is...this looks like those Catholic architectures'

06 IE: 嘍 咁 你 又 講 講 吓 又 好似 係

*ji2(.) gam2 nei5 jau6 (.) gong2 gong2 haa5 (.) jau6 hou2ci3 hai6(.)=*

PRT so 2SG both- say say PRT -and be.like COP

stepping down/mitigate

establish common ground

07 但 係 佢 都 無 十 字 架

*=daan6hai6 keoi5 duo1 mou5 (.) sap6zi6gaa2.:*

but 3SG also NEG cross

defending oneself

disagreeing by agreeing

'Well after hearing what you said it seems like - but it doesn't have a cross'

08 IR: 咁 佢 唔 一 定 要 睇 呢 個 位 睇 到

*gam2 keoi5:: m4 jat1ding6 jiu3 hai6 ne1 go3 wai2 tai2 dou2=*

Then 3SG NEG sure need at DEM CL position see PRT

09 十 字 架 嘅 喺

*sap6zi6gaa2 ge3 ze1.*

cross SFP SFP

challenge

10 但 係 我 覺 得 呢 個 位 似 囉(.)

*daan6hai6 ngo5 gok3dak1 ne1 go3 wai2 ci3 lo1*

but 1SG think DEM CL position be.like SFP

11 你 覺 得 呢

*nei5 gok3dak1 ne1?*

2SG think Q

'Well but the cross doesn't need to be seen from here, but I think it looks like (a catholic architecture) from this angle, what do you think?'

12 IE: 唔 我 覺得 唔 係 太 =

*m6:: (0.4) ngo5 gok3dak1 (1.0) m4 hai6 tai3=*

PRT 1SG think NEG COP very

long silence

hedge

13 即係 係 似 嘅 但係 如果 你 睇 返

*=ze2(.) hai6 ci5 ge3 daan6hai6(.) jyu4gwo2 nei5 tai2 faan1=*

that.COP COP be.like SFP but if 2SG look PRT

14 成 幅 圖 我 會 覺得 佢 個

*=seng4 fuk1 tou4(.)ngo5 wui3 gok3dak1 keoi5 go3=*

whole CL picture 1SG would think 3SG CL

15 建築 似 德國 古堡 多 啲

*=gin3zuk1 ci3 Dak1Gwok3 gu2bou2 do1\$ di1\$ heh.*

architecture be.like German castle many some

stepping down/mitigate

minimize imposition

'hm... I think... not so ... it does look like (a catholic architecture) but if you go back to the picture I would still think that this architecture looks like a German castle more'

### Travel\_ Informant 20\_02:17-03:03

#### Example 4

01 IR: 點 解 覺得 都 係 故宮 呢

*dim2gaai2 gok3dak3 dou1 hai6 Gu3Gong1 ne1?*

why think also COP Forbidden.City Q

'Why do (you) think (this) is also Forbidden City?'

02 又 或者 點 解 你 覺得 係 中國 先

*jau6 waak6ze2 (.) dim2gaai2 nei5 gok3dak1(.) hai6 zung1gwok3 sin1.*

or perhaps why 2SG think COP China PRT

'Or perhaps, why do you think it is China?'

03 IE: 哦 因為 佢 有 呢 啲 中文字 嘅 雕刻 喺 度.

*o3 jan1wai6 heoi5 jau5 li1 di1 zung1man4 zi6 [ge3] diu1hak1 hai2 dou6*

PRT because 3SG have DEM CL Chinese word ATTR sculpture at there

challenge

04 點解 你 覺得 係 即 有 中 文 字 就 係 中 國 呢  
dim2gaa2 nei5 gok3dak1 hai6(.) zi jau5 zung1man4 zi6 zau6 hai6 Zung1Gwok3 ne1?  
why 2SG think COP that.(be)have Chinese character then COP China Q  
'But I want to ask you a little bit more : Why do you think it is China when there are Chinese words?'

05 IE: 諗 因為 因為 通常 都 係  
e4\$(.) jan1wai6(0.2) jan1wai6 tung1soeng4 dou1 hai6=  
PRT because because often all COP

offer an explanation

minimize imposition

06 即係 如果 古蹟 啊 啲 啲 嘢  
= zik1-hai6 j yu4gwo2 gu2zik1 aa3 go2 di1 je5=  
that-be if historic.spot SFP DEM CL thing

use of epistemic verb/modal

- Mitigate/stepping down

07 上面 有 刻 住 中 文 字 我 諗 應該 都 係 會  
soeng6 min6 jau5 hak1 zyu6 (.) zung1man4 zi6 ngo5 lam2 jing1goi1 dou1 hai6=wui5 hai6=  
above have carve CONT Chinese character 1SG think should also COP would COP

minimize imposition

08 係 中 國 入 面 啲 啲 古蹟 咁 樣 樣  
=hai6 Zung1Gwok3 jap6min6 go2 di1 gu2zik1 gam2 joeng5 joeng5.  
COP China inside DEM CL historic.spot as way way  
'Em... It is because if there are some Chinese characters carved on (somewhere) of the historic spots, then I think the historic spots are in China.'

Travel\_Informant 20\_07:55-08:32

Example 5

01 IR: 咁 你 覺得 係 似 中 國  
gam2 nei5 gok3dak1 hai6 ci3 Zung1Gwok3.  
So 2SG think COP be.like China  
'So do you think it seems like China?'

02 IE: 係 係  
hai6\$ hai6\$.  
COP COP  
'Yes, yes.'

very sure that's China

03 IR: 點解 似 中 國 啊  
dim2gaa2 ci3 Zung1Gwok3 aa3?

challenge

why be.like China SFP  
'Why do you think it seems like China?'

use of discourse marker e4

hedge

04 IE: 諗 諗 即係 佢 呢 啲 建築 有 啲 似 嗰 啲  
e4:(0.1) e4 ze1 keoi5 (0.2) li1 di1 gin3zuk1 jau5 di1 ci5 go2 di1(.)=  
PRT PRT that.be 3SG DEM CL architecture have a.bit be.like DEM CL

05 寺廟 或 者 諗 庭院 啲 啲 感覺  
zi6miu6 waak6ze2 (0.1) e4 (0.1) ting4jyun4 go2 di1 gam2gok3.

offer an explanation

minimize imposition

temple or PRT courtyard DEM CL feeling  
'That is, this (kind of) architecture has the sense of those temples or courtyards.'

06 IR: 唔  
m3.  
'Hm.'

being not specific

- mitigate/stepping down

07 IE: 係  
hai6.  
'Yes.'

minimize imposition

08 IR: 咁 但 係 東 南 亞 其 他 地 方 譬 如 韓 國 啊  
gam2 daan6hai6 dung1-naam4-aa3 kei4taa1 dei6fong1 pei3jyu4 [Hon4]Gwok3 aa3=  
So but East-South-Asia other place be.like Korea PRT

09 IE: 唔  
[m3].  
'Hmm.'

10 IR: 日 本 啊 就 算 東 埔 寨 啊 馬 來 西 亞 啊  
=Jat6Bun2 aa3 zau6syun3 Gaan2Pou4Zaai6 aa3 [Maa5Loi4Sai1 ]Aa3 aa1=  
Japan SFP even Cambodia SFP Malaysia SFP

11 IE: 唔 唔 唔 唔 唔  
[m3m3m3m3m3].  
'Hmhmhm...'

12 IR: 都 有 呢 啲 中 國 式 嘅 建 築 嘍  
dou1 jau5 ni1 di1 Zung1Gwok3 sik1 ge3 gin3zuk1 gak3.  
also have DEM CL China style ATTR architecture SFP  
'But in other Southeast Asian places like Korea and Japan, or even Cambodia and Malaysia, there are also this kind of Chinese style architectures.'

challenge

13 咁 點 解 你 唔 會 覺 得 係 嗰 啲 地 方 呢  
gam2 dim2gai2 nei5 m4 wui3 gok3dak1 hai6 go2 di1 dei6fong1 ne1?



So why 2SG NEG would think COP DEM CL place Q  
'So why don't you think (this one) belongs to those (Southeast Asian) places?'

14 IE: 唔 點 解 啊

*m3: dim2gaa2 aa4?(0.2)*

PRT why SFP

'Hmm... why?'

15 我 都 其 實 都 可 能 係 先 入 為 主 啊

*ngo5 dou1=kei4sat6 dou1 ho2nang4 hai6 sin1\$jap6\$wai4\$zyu2\$*

*aa3 heh*

1SG also actually also maybe COP prejudiced.first.impressions SFP

'Actually I also maybe be prejudiced by my first impressions.'

use of epistemic adverbial/modal  
for a revised conclusion

- Mitigate/stepping down

minimize imposition

### Informant 6 (01:49-02:19)

#### Example 6

01 IR: 你 估 下

*nei5 gu2 haa5.*

2SG guess PRT

'You guess.'

02 邊 個 國 家 啊 你 覺 得?

*bin1 go3 gwok3gaa1 aa3 nei5 gok3dak1*

which CL country PRT 2SG think

'Which country do you think (it is)?'

03 IE: 邊 個 國 家 啊

*bin1 go3 gwok3gaa1 aa4?*

which CL country SFP

'Which country?'

04 嗰 又 有 中 文 字 個 啲

*naa4 jau6 jau5 zung1man2 zi6 go3 wo3.*

PRT also have Chinese character SFP SFP

'Um, there are also Chinese characters.'

05 IR: 係 啊

*haai6 aa3.*

'Yes.'

04 IE: 有 中 文 字 我 估 係  
*jau5 zung1man2 zi6 ngo51 gu2 hai6(0.2)=*  
have Chinese character 1SG guess COP

a firm suggestion

05 估 係 中 國 囉 都 係  
*=gu2 hai6 Zung1Gwok3 lo1 dou1 hai6.*  
guess COP China SFP also COP

- It is China *lo1*

'There are Chinese characters... (So) I guess (it) is... I guess (it) is also China.'

06 IR: 吓  
*haa6.*  
PRT  
'Hm.'

07 IE: 唔  
*m4.*  
'Hm.'

08 IR: 咁 但 係 好 多 地 方 都 有 漢 字 㗎  
*gam2 daan6hai6 hou2 do1 dei6fong1 dou1 jau5 hon3 zi6 gak3?*  
INTERJ but very many place also have Chinese character SFP  
'But aren't there also many places which contain Chinese characters?'

09 未 必 一 定 係 中 國 個 啲  
*[mei6 bit1 ]jat1deng6 hai6 Zung1Gwok3 go3 wo3.*  
NEG necessary sure COP China SFP SFP  
'It needs not be China for sure.'

challenge

10 IE: 唔  
[ *m4* ].

11 你 講 得 啱 嘅  
*nei5 gong2 dak1 ngaam1 ge2. heh*  
2SG say PRT right SFP  
'You are right.'

concession/agreement

Create common ground

12 IR: 但 你 覺 得 都 係 中 國 吓 嘛  
*daan6 nei5 gok3dak1 dou1 hai6 Zung1Gwok3 haa6 maa5?*  
but 2SG think also COP China SFP SFP  
'But you still think it is China.'

13 IE: 諗 純 粹 覺 得 個 印  
*e4: seon4seoi6 gok3dak1 go3 jan3=*  
PRT intuition think CL impression

14 IR: 吓 吓  
*haa6 haa6.*  
PRT PRT  
'Hmhhh.'

15IE: 即係 可以 喺 em6  
*ze1 (.) ho2ji6 hai2 em6=*  
that.COP can at

offer an explanation

minimize imposition

16 即係 雖然 話 好 多  
*zik1hai6 seoi1jin4 waa6 hou2 do1=*  
that.COP although say very many

17 每個國家都會有漢字  
*mui5 go3 gwok3 gaa1 dou1 wui3 jau5 hon3 zi6=*  
every CL country also would have Chinese character

18 但係你話一塊地磚都有個漢字呢  
*daan6hai6 nei5 waa6(.) jat1 faai3 dei6zyun1 dou1 jau5 go3 hon3 zi6 ne1=*  
but 2SG say one CL ground.tile also have CL Chinese character SFP

19 就如果喺外國黎講係比較少有嘅  
*zau6 jyu4gwo2 hai2 ngoi6gwok3 lai4 gong2 hai6 bei2gaau3 siu2 jau5 ge3.*  
then if at foreign.country come say COP relative seldom have SFP  
'That is, although many...every country would also have Chinese characters, it is seldom to find Chinese characters even on the tile of the ground in foreign countries.'

20 IR: 唔  
m3.

21IE: 我相信係中國囉都係  
*ngo5 soeng1seon3(.)hai6 Zung1Gwok3 lo1 dou1 hai6.*  
1SG believe COP China SFP also COP  
'I believe (it) is also China.'

Restating China with a weaker epistemic verb *soeng1seon3*

- mitigate/stepping down

minimize imposition