

1 **Title: Effect of vibration intensity, exercise and motor impairment on leg muscle activity**
2 **induced by whole-body vibration in people with stroke**

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4 **Running head:** Whole-Body Vibration in Stroke

5

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47 **Abstract**

48 **Background.** Whole-body vibration (WBV) has increasingly been used as an adjunct treatment
49 in neurological rehabilitation. However, how muscle activation level **changes** during exposure to
50 different WBV protocols in individuals after stroke remains understudied.

51 **Objective.** To examine the influence of WBV intensity on the magnitude of biceps femoris (BF)
52 and tibialis anterior (TA) muscle activity and its interaction with **exercise** and severity of motor
53 impairment and spasticity among individuals with chronic stroke.

54 **Methods.** Each of the 36 individuals with chronic stroke (**mean age±standard deviation=57.3**
55 **±10.7 years**) performed eight different static exercises under three WBV conditions: (1) no
56 WBV, (2) low-intensity WBV [20Hz, **0.60mm**, peak acceleration: 0.96 units of gravity of Earth
57 (**g**)], and (3) high-intensity WBV (30Hz, **0.44mm**, 1.61**g**). The levels of bilateral TA and BF
58 muscle activity were recorded using surface electromyography (EMG).

59 **Results.** The main effect of intensity was significant. Exposure to the low-intensity and high-
60 intensity protocols led to a significantly greater increase in normalized BF and TA EMG
61 magnitude in both legs compared with no WBV. The intensity × exercise interaction was also
62 significant, suggesting that the WBV-induced increase in EMG activity was exercise-dependent.
63 The EMG responses to WBV were similar between the paretic and non-paretic legs, and were
64 not associated with level of lower extremity motor impairment and spasticity.

65 **Limitations.** Leg muscle activity was measured during static exercises only.

66 **Conclusions.** **Adding WBV during exercise significantly increased EMG activity in TA and**
67 **BF. The EMG responses to WBV in the paretic and non-paretic legs were similar, and were**
68 **not related to degree of motor impairment and spasticity. The findings are useful for**
69 **guiding the design of WBV training protocols for people with stroke.**

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71 **Word count: 4991**

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73 Stroke is a major public health issue that poses challenges to healthcare systems worldwide.¹
74 Muscle weakness is one of the most common physical post-stroke impairments,² and is related
75 to poor balance, functional limitations, and a **lower level of participation in community**
76 **activities**.³⁻⁵ Therefore, researchers have been investigating effective rehabilitation strategies to
77 tackle post-stroke muscle weakness.

78 **Whole-body vibration (WBV) training has attracted much attention in both clinical**
79 **practice and research recently.**^{6,7} Recent meta-analyses have revealed that WBV has
80 significant therapeutic effects on balance, muscle strength, and mobility in older adults, although
81 the optimal WBV protocol is unknown.^{8,9} **There are two major types of WBV, namely**
82 **synchronous vibrations and side-alternating vibrations.**¹⁰ **In the former, the vibration**
83 **platform generates vibrations in a predominantly vertical direction, and so the amplitude**
84 **of the vibrations received would be largely the same regardless of the position of the feet on**
85 **the vibration platform.**¹⁰ **In contrast, in side-alternating vibrations, the platform rotates**
86 **about an anteroposterior horizontal axis. Therefore, a greater distance from the axis of**
87 **rotation would result in vibrations of larger amplitude. Side-alternating WBV also differed**
88 **from synchronous WBV in that the force is applied alternately between the two sides, and**
89 **that a mediolateral component of the force is also produced.**¹⁰ **The use of synchronous¹¹⁻¹⁸**
90 **or side-alternating^{12,19,20} WBV during exercise has also been shown to increase the level of**
91 **muscle activation in young adults, as measured by surface electromyography (EMG).**

92 More recently, research has focused on the effects of WBV in people with neurological
93 disorders.²¹⁻²⁵ A recent systematic review of RCTs found insufficient evidence to refute or
94 support the use of WBV in individuals with stroke to improve neuromuscular function, mainly
95 due to the limited number of studies and methodological weaknesses of the studies reviewed.²⁶

96 The systematic review also emphasized that more fundamental research questions need to be
97 addressed before a large-scale RCT is conducted.²⁶ One of the important questions pertains to the
98 relationship between WBV intensity and the activation levels of different muscle groups, and
99 how these factors interact with the different exercises performed and the severity of stroke.

100 Only one study has examined leg muscle activity during WBV in people after stroke.²⁷
101 Their results showed that leg muscle activity in both the vastus lateralis (VL) and gastrocnemius
102 (GS) muscles could be significantly increased by 10%-20% [expressed as a percentage of
103 maximal voluntary contraction (MVC)] during WBV exposure in people with chronic stroke,
104 depending on the exercise performed.²⁷ **In addition, the EMG responses in the VL and GS**
105 **muscles on the paretic and non-paretic sides during WBV were similar, and were not**
106 **associated with spasticity.**²⁷ However, the EMG responses of the knee flexors and ankle
107 dorsiflexors were not investigated, even though these muscles are equally, if not more highly,
108 affected by stroke.⁴ The weakness in these muscles contributes to abnormal gait patterns,
109 including the failure to attain a heel strike at initial contact, and ineffective ankle dorsiflexion
110 during the swing phase, causing the ‘drop foot’ phenomenon.²⁸ Other studies also found that
111 knee flexor and ankle dorsiflexor strength was strongly related to walking speed, endurance and
112 balance in people with stroke.^{29,30} However, the way in which the EMG activity of the biceps
113 femoris (BF) and tibialis anterior (TA) muscles **changes** during exposure to different WBV
114 exercise protocols in individuals after a stroke remains unclear. Whether the EMG responses are
115 related to severity of motor impairment has also never been investigated.

116 The objectives of this study were to examine the influence of WBV intensity on the
117 muscle activity of the bilateral BF and TA and its interaction with **exercise** and the severity of leg
118 motor impairment and **spasticity among** people with chronic stroke. It was hypothesized that: 1)

119 the **magnitude** of the EMG activity of the bilateral BF and TA muscles would increase
120 significantly with increasing WBV intensity; 2) the **magnitude** of the WBV-induced increase in
121 leg muscle EMG activity would be exercise-dependent (i.e., WBV intensity \times exercise
122 interaction effect); 3) the WBV would exert similar effects on the EMG **magnitude** on the
123 paretic side than on the non-paretic side (i.e., **no WBV intensity \times side interaction effect**); and
124 4) the WBV-induced EMG activity in the BF and TA muscles on the paretic side would not be
125 significantly associated with severity of leg motor impairment **and spasticity**. The findings
126 would be crucial for guiding the design of WBV training protocols for people with stroke.

127

128 **METHODS**

129 **Study Design**

130 A three-way repeated measures design was adopted to investigate the bilateral TA and BF
131 muscle activity during exposure to three different WBV protocols and eight exercise conditions.

132

133 **Participants**

134 Participants were recruited from local stroke self-help groups during the period between
135 September 2012 and May 2013. The inclusion criteria were: the diagnosis of a hemispheric
136 stroke ≥ 6 months, being a community dweller, the ability to perform the experimental exercises
137 in the present study, and **having some degree of paresis in the affected leg (Chedoke-**
138 **McMaster Stroke Assessment (CMSA) lower limb motor score of ≤ 13)**.³¹ The exclusion
139 criteria were: severe cardiovascular conditions (e.g., cardiac pacemaker), neoplasms, other
140 neurologic disorders, cerebellar stroke or brainstem stroke, significant musculoskeletal
141 conditions (e.g., amputations), or vestibular disorders.

142

143 **Ethics Statement**

144 All individuals gave written informed consent before enrollment. The study was
145 approved by the Human Subjects Ethics Subcommittee of the Hong Kong Polytechnic University
146 (approval number: HSEARS20130209001-01), and all experiments were conducted in
147 accordance with the Declaration of Helsinki.

148

149 **WBV Protocols**

150 All experimental procedures were conducted in a laboratory located in the Hong Kong
151 Polytechnic University, Hong Kong. A vibration platform that delivered **synchronous** vibrations
152 (Jet-Vibe System, Danil SMC Co. Ltd., Seoul, Korea) with a frequency range of 20-55 Hz and
153 corresponding preset amplitudes was used. The peak acceleration (a_{peak}) was calculated by the
154 formula: $a_{\text{peak}}=(2\pi f)^2A$, where A and f represented the amplitude and frequency of vibrations,
155 respectively.³² The a_{peak} was usually represented in terms of **gravity of Earth (1g=9.81m/s²)** to
156 facilitate comparisons across studies. We used synchronous WBV, as there is some evidence that
157 it induced higher level of muscle activity than side-alternating WBV.¹² **The Jet-Vibe vibration**
158 **parameters were verified by a tri-axial accelerometer (Model 7523A5; Dytran Instruments**
159 **Inc., Chatsworth, CA).**

160 Each participant underwent three different WBV conditions in a single experimental
161 session: (1) no WBV, (2) low-intensity WBV [frequency: 20Hz, amplitude: 0.60mm, peak
162 acceleration: 0.96g, **i.e. subgravity**], and (3) high-intensity WBV [30Hz, 0.44mm, 1.61 g, **i.e.**
163 **supragravity**]. **The sequence of WBV protocols used was decided randomly by drawing lots.**
164 A frequency higher than 30Hz was not used, because it was shown in our pilot work to be

165 associated with increased discomfort in this population. Frequencies lower than 20 Hz were not
166 used due to potential resonance effects.³²

167

168 **Exercise Protocols**

169 The complete set of eight static exercises (Figure 1) was repeated three times. The order
170 of the exercises performed for each WBV condition was randomized. Practice trials were given
171 to familiarize the participants with the exercises before the collection of actual EMG data.
172 During each WBV condition, we used a goniometer (Baseline® HiRes™ plastic 360° ISOM
173 Goniometer, Fabrication Enterprises, White Plains, NY, USA) to check that the desired knee
174 angle was achieved for a specific exercise. **The duration of the rest period between the**
175 **different exercises was set at 1-min.** For standardization, all participants held gently onto the
176 handrail of the WBV device for maintaining body balance only.

177

178 **Measurements**

179 At the beginning of the first session, the demographic information and clinical history of
180 all participants was obtained through interviews. Motor impairment level of the leg and foot was
181 evaluated using the CMSA.³¹ **The rating for each body part (i.e. the leg and foot) was based**
182 **on a 7-point ordinal scale (i.e., 1=flaccidity, 3=obligatory synergistic movements, 7=normal**
183 **movement patterns). The CMSA lower extremity total score was computed by summing the**
184 **leg and foot scores (minimum score: 2; maximum score: 14), with a higher score denoting less**
185 **severe motor impairment. The spasticity of the paretic knee and ankle joints was examined using**
186 **the Modified Ashworth Scale (MAS), which is a six-point ordinal scale (i.e., 0=no spasticity,**
187 **4=affected part rigid).**³³

188 The activity of the bilateral BF and TA muscles was measured using surface EMG. After
189 palpation of the muscle belly and appropriate skin preparation, the bipolar bar electrodes
190 (Bagnoli EMG system, Delsys, Inc., Boston, MA, USA) were attached longitudinally over the
191 middle of the belly of the bilateral BF and TA muscles.³⁴ In addition, the ground electrode was
192 attached at the fibula head on the paretic side. The insulated EMG cables were secured to prevent
193 their excessive motion.

194 Before measuring the EMG response during WBV exercise, participants were asked to
195 undergo a test for maximal voluntary contraction (MVC). **The participants were seated on a**
196 **chair with backrest placed against a wall, with the hip and knee joint placed at 90 degrees**
197 **of flexion. The participants were asked to grasp the edge of the chair on each side for**
198 **further stabilization. To measure the MVC of knee flexion (i.e., BF), the tested lower leg**
199 **was strapped using a non-elastic belt that was attached to a fixed structure. The tested**
200 **thigh was stabilized by the researcher's hand, and the participants were instructed to**
201 **perform a maximal isometric knee flexion by pulling against the belt and sustain it for 10**
202 **seconds. To test the MVC of ankle dorsiflexion (i.e., TA), the foot was placed in a neutral**
203 **dorsiflexion/plantarflexion position. One hand of the researcher stabilized the tested lower**
204 **leg. The other hand was placed on the dorsal aspect of the tested foot to provide resistance,**
205 **as the participants were asked to perform a maximal isometric ankle dorsiflexion by**
206 **pushing against the researcher's hand, and maintain for 10 seconds. Three trials were**
207 **performed for each muscle group, with a 1-minute rest interval between trials. Verbal**
208 **encouragement was given by the researcher during the contractions to elicit maximal effort**
209 **from the participants.**

210 The EMG root mean square (EMG_{rms}) value was calculated at intervals of 500ms.³⁵ For
211 each participant, the average of the peak EMG_{rms} values obtained in the three MVC trials was
212 used to normalize the EMG_{rms} obtained during the WBV exercise trials. Therefore, the EMG
213 magnitude measured in the three **WBV conditions was expressed as a percentage of the peak**
214 **EMG magnitude in the MVC trials (%MVC). We used the average of the 3 MVC trials,**
215 **rather than the highest value achieved out of the 3 trials, to normalize the EMG data**
216 **because the former may better reflect the typical performance of the participants. In**
217 **addition, the reliability of the EMG measurements was excellent, based on the data from**
218 **our three MVC trials ($ICC_{2,1}=0.96-1.00$). Therefore, using the average or the highest MVC**
219 **values for normalizing the data should not create a substantial difference in the results.**

220 The participants were required to maintain each of the eight **exercises** (i.e., static
221 exercises) (Figure 1) for 10 seconds and repeat them three times, with a 5-second pause between
222 each trial. During that period, the bilateral TA and BF EMG activity was recorded. A 5-minute
223 rest period was allowed after the completion of all eight static exercises for a given WBV
224 condition.

225 The EMG signals were pre-amplified ($\times 1000$) and sampled at 1.0kHz (Bagnoli-8, DelSys,
226 Inc., Boston, MA, USA) using LabView version 7.0 (National Instruments Corp., Austin, TX,
227 USA) and saved directly onto a hard disk for offline analysis. The EMG data were further
228 processed using a 20-500-Hz band-pass Butterworth filter. Using the Infinite Impulse Response
229 rejector (MyoResearch XP, Master Package version 1.06, Noraxon USA, Inc., Scottsdale, AZ,
230 USA), the associated harmonics (20Hz, 30Hz, and 60Hz) were removed from the EMG signals.²⁷
231 Bias was calculated and eliminated from the signals, followed by **full-wave rectification** of the
232 data. The EMG_{rms} was then calculated in 100-ms windows around every data point.²⁰ The middle

233 6 seconds of each trial were selected to calculate the EMGrms.²⁷ For each specific WBV and
234 exercise combination, the average of the normalized EMG_{rms} values obtained in the three trials
235 (expressed as %MVC) was used for analysis.

236

237 **Statistical analysis**

238 Statistical analysis was conducted using the IBM SPSS software (version 20.0, IBM, Armonk,
239 NY, USA) to test the four research hypotheses, using a desired power level of 0.9. The sample
240 size estimation was based on a previous study that examined leg extensor EMG magnitude
241 during WBV in people after stroke,²⁷ using the G*Power 3.1 software (Universitat Dusseldorf,
242 Germany). It was found that WBV significantly increased EMGrms in VL and GS, yielding large
243 effect sizes for the main effect of intensity ($f=0.66-0.93$) and moderate to large intensity \times
244 exercise interaction effect ($f=0.23-0.44$).²⁷ **Therefore, for addressing the main effect of WBV**
245 **intensity (hypothesis 1), intensity \times exercise interaction effect (hypothesis 2), and side \times**
246 **intensity interaction effect (hypothesis 3), a large effect size ($f=0.4$) was assumed. For**
247 **hypothesis 1, based on ANOVA analysis (WBV intensity at 3-levels; exercise at 8-levels),**
248 **and an alpha level of 0.017 (adjusted for comparisons of 3 WBV intensities), 24 participants**
249 **would be required to detect a significant difference in normalized EMG response (%MVC)**
250 **between the different WBV intensities. For hypothesis 2, based on the ANOVA analysis**
251 **(WBV intensity at 3-levels; exercise at 8-levels) and alpha level of 0.05, a minimum of 32**
252 **participants would be required to detect a significant intensity \times exercise interaction effect.**
253 **For hypothesis 3, a minimum of 16 participants would be required to detect a significant**
254 **intensity \times side interaction effect (WBV intensity at 3-levels; limb involvement at 2-levels)**
255 **at an alpha level of 0.05. For the correlation analysis between normalized EMG responses**

256 **and CMSA and MAS scores (hypothesis 4), we assumed a moderate correlation ($r=0.5$). A**
257 **total of 34 participants would be required for this analysis.**

258 **First, two separate 3-way ANOVA with repeated measures (limb involvement at 2-**
259 **levels; WBV intensity at 3-levels; exercise at 8-levels) was used to analyze the normalized**
260 **EMGrms values for the TA and BF muscles respectively. The 3-way ANOVA would**
261 **simultaneously yield the results regarding the intensity \times exercise \times side interaction, main**
262 **effect of WBV intensity (hypothesis 1), intensity \times exercise interaction (hypothesis 2), and**
263 **intensity \times side interaction (hypothesis 3). If a significant intensity \times exercise \times side**
264 **interaction was found in the 3-way ANOVA, separate 2-way ANOVA analyses with repeated**
265 **measures would be done for the TA and BF muscles of the paretic and non-paretic sides.**

266 The Greenhouse-Geisser epsilon adjustment was applied when the sphericity assumption was not
267 fulfilled. When significant results were obtained, contrast analysis using the Bonferroni
268 adjustment was performed.

269 **To further address how the increase in WBV intensity affected the normalized EMG**
270 **responses (hypothesis 1), a trend analysis was performed. For each individual exercise, the**
271 **mean normalized EMGrms values for the three WBV intensities were used for trend**
272 **analysis using Microsoft Excel (version 2007, Microsoft Corp., Redmond, WA, USA).**

273 Next, to address hypothesis 4, the degree of association of the difference in normalized
274 EMGrms (**i.e., normalized EMGrms during WBV minus normalized EMGrms without**
275 **WBV) in the paretic TA with CMSA foot motor score and ankle MAS score was assessed by**
276 **Spearman's correlation coefficients.** A similar correlational analysis was carried out for the
277 paretic BF muscle, using the CMSA leg motor score and knee MAS score.

278 **We did not formally test for order effects related either to exercise or to WBV**
279 **protocol, but relied on randomization to minimize order effects.**

280

281 **RESULTS**

282 **Characteristics of participants**

283 The study flow chart is shown in Figure 2. Thirty-six individuals (26 men, 10 women; (**mean**
284 **(SD) age=57.3 (10.7) years**) with chronic stroke completed all the measurements (Table 1).
285 Overall, the impairment level of the affected lower limb was moderate, as revealed by the CMSA
286 lower extremity composite motor score (**median: 7; first quartile: 4; third quartile: 12**) All
287 were ambulatory and 24 of them (67%) required walking aid for outdoor mobility.

288

289 **Three-way ANOVA**

290 **Our 3-way ANOVA analyses revealed a significant intensity × exercise × side**
291 **interaction effect for the TA ($F_{6,42, 224.75}=2.82, P=0.01$) and BF ($F_{7,87, 275.33}=2.34, P=0.019$)**
292 **muscles, indicating that the EMG responses to WBV was influenced by the interaction of**
293 **all three factors. The subsequent paragraphs would address the main effect of intensity**
294 **(hypothesis 1), intensity × exercise interaction (hypothesis 2), intensity × side interaction**
295 **(hypothesis 3), and the associations of EMG responses with motor impairment and**
296 **spasticity (hypothesis 4).**

297

298 **Main effect of intensity**

299 **Our 3-way ANOVA models revealed a significant main effect of intensity on**
300 **normalized EMG responses in the TA ($F_{1,11, 38.82}=80.58, P<0.001$) and BF ($F_{1,06, 37.23}=140.08,$**

301 **$P<0.001$), indicating that increasing WBV intensity resulted in an overall increase in EMG**
302 **magnitude in these muscles tested. Further analyses using two-way ANOVA showed that**
303 **the main effect of intensity remained significant if the TA and BF muscles in the paretic leg**
304 **and non-paretic leg were analyzed separately (Table 2).** Post-hoc contrast analysis with
305 Bonferroni adjustment revealed that the normalized EMG_{rms} values for the three WBV
306 conditions all differed significantly from each other in BF muscles on both the paretic and non-
307 paretic sides ($P<0.05$). In the paretic and non-paretic TA muscles, addition of low-intensity and
308 high-intensity WBV during exercise led to significantly higher normalized EMGrms compared
309 with the same exercises without WBV ($P<0.05$), **but the difference between the low-intensity**
310 **and high-intensity protocols did not quite reach statistical significance ($P=0.06$).** The
311 average increase in EMG activity was **10.8-12.1%, 19.9%-22.7%, 10.0-10.7%, and 20.6-**
312 **23.1%** in the paretic TA, paretic BF, non-paretic TA, and non-paretic BF, respectively, depending
313 on the WBV intensity.

314 **Based on the trend analysis (Figure 3), it is clear that adding WBV to exercise**
315 **considerably increased the EMG activity in the 4 muscle groups tested, but the relationship**
316 **between WBV intensity and normalized EMG response was not a linear one. The data for**
317 **each muscle group were fitted with a logarithmic curve.**

318

319 **Intensity by exercise interaction**

320 The **normalized** EMG responses during the WBV trials are displayed in **Figure 4**. The 3-
321 way ANOVA models revealed a significant WBV intensity \times exercise interaction effect in the TA
322 ($F_{6,72, 235.30}=15.49, P<0.001$) and BF muscles ($F_{5,41, 189.53}=2.78, P=0.02$), **indicating that the**
323 **differences in normalized EMGrms among the different WBV conditions were exercise-**

324 **dependent. Further analyses using 2-way ANOVA showed that the WBV intensity ×**
325 **exercise interaction effect remained significant if the TA and BF muscles in the paretic and**
326 **non-paretic legs were analyzed separately (Table 2).**

327

328 **Intensity by side interaction**

329 **The 3-way ANOVA model revealed no significant intensity × side interaction effect**
330 **for the TA ($F_{1.26, 43.93}=0.61, P=0.48$) and BF muscles ($F_{1.08, 37.71}=0.10, P=0.91$), suggesting that**
331 **the normalized EMG responses to WBV did not significantly differ between the two sides.**

332

333 **Association with leg motor impairment and spasticity**

334 **Of the 24 WBV exercise conditions for the four muscles tested, no relationship was**
335 **found between WBV-induced changes in EMG activity in the paretic TA and BF muscles**
336 **and the CMSA motor score or MAS score ($P>0.05$).**

337

338 **DISCUSSION**

339 Our results showed that paretic and non-paretic TA and BF muscle activity was increased
340 significantly by adding WBV during exercise, and that the high-intensity WBV protocol
341 (suprgravity, 1.61 g) resulted in significantly higher EMG response than the lower-intensity
342 WBV protocol (subgravity, 0.96 g) in the BF among individuals with chronic stroke. The degree
343 of WBV-induced increase in muscle activity was consistent, regardless of the severity of motor
344 impairment **and spasticity.**

345

346 **Influence of WBV intensity**

347 The first hypothesis was supported because the results revealed that the higher WBV
348 intensity led to a significantly greater increase of muscle activity in TA and BF in both legs. Our
349 results generally concur with previous WBV research in healthy adults. Typically, a higher WBV
350 intensity is associated with greater EMG responses.¹¹⁻²⁰ The increase in muscle activity with
351 WBV varied across the various studies, and could be due to difference in characteristics of the
352 participants (e.g., people with disability Vs people without disability), types of vibration,
353 frequency, amplitudes, additional load, data processing methods, and exercise performed.

354 Liao et al.²⁷ examined the activity in the VL and GS muscles during WBV in people with
355 stroke. Using the same WBV intensities, the EMG activity of both the paretic VL and GS
356 muscles was significantly increased by the application of **WBV, by an average of 10.0%-10.1%**
357 **and 14.9-17.5% respectively**, depending on the WBV intensity used.²⁷ However, they did not
358 identify any significant difference in VL and GS EMG activity level induced by the low-intensity
359 and high-intensity WBV protocols. In contrast, the effect of WBV intensity was more apparent in
360 our study. First, the high-intensity protocol induced significantly higher EMG magnitude than the
361 low-intensity protocol in the paretic BF muscle **during weight-shifted-forward, weight-**
362 **shifted-backward, and single-standing exercises; and in the non-paretic BF muscle during**
363 **deep-squat, weight-shifted-backward, and single-standing exercises. Second, the increase in**
364 **BF EMG activity reported here (by 19.9%-23.1%MVC) was somewhat greater than that in**
365 **leg extensors (Table 2).** The greater increase in EMG magnitude reported in this study was
366 partially attributable to the very low EMG activity in the BF muscle without WBV (<5%MVC
367 for most exercises) (Figure 4), whereas the EMG activity was higher in the VL and GS under
368 control conditions (>10%MVC for the majority of exercises).²⁷

369 The effects of WBV on muscle activation may not be entirely restricted to the peripheral

370 mechanisms (e.g., reflex activation of muscles),^{36,37} but may also involve corticospinal and
371 intracortical processes.^{38,39} Using transcranial magnetic stimulation, Mileva et al.³⁹ showed that,
372 in a sample of healthy men, the application of WBV (30Hz, 1.5mm) during static squat exercises
373 increased the motor-evoked potential of the TA muscle, indicating an increase in excitability of
374 the corticospinal pathway. There was also evidence of a WBV-induced alteration of the
375 intracortical processes (increased short-interval intracortical inhibition and decreased
376 facilitation).³⁹

377

378 **Interaction effect between WBV intensity and exercise**

379 The second hypothesis was also confirmed because a significant overall intensity ×
380 exercise interaction effect was found in all four muscles tested, indicating that the degree of
381 WBV-induced increase in EMG magnitude was exercise-dependent (Figure 4).

382 Some other studies investigated intensity × exercise interaction effects,^{18,40} but the results
383 were conflicting. For example, Di Giminiani et al.⁴⁰ showed that the EMG response recorded
384 during different positions was not affected by different vibration frequencies. In contrast,
385 Roelants et al.¹⁸ found a significantly greater increase in VL EMG activity in the one-leg-squat
386 position (i.e., weight bearing on one leg) than in the high-squat and low-squat positions (i.e.,
387 weight bearing on both legs) when WBV was applied. **In the present study, the intensity by
388 exercise interaction effect was more apparent in the TA muscles (Figure 4).** The WBV-
389 induced TA EMG activity was less during weight-shifted-backward and deep squat exercises
390 compared with the other exercises after WBV was applied (Figure 4A and 4B). This may have
391 occurred because the bilateral TA muscles had the greatest pre-activation without WBV during
392 these two exercises, and thus the further increase in EMG achieved by the application of WBV

393 may be slighter. In addition, the vibration energy transmitted to the participants could have been
394 affected by contact of the surface area with the vibration platform.¹⁵ In the weight-shifted-
395 backward exercise, the contact of the surface area with the vibration platform was the smallest
396 among all exercises. Hence, the effect of WBV may be reduced. Hazell et al.¹⁴ also studied
397 the EMG responses of the TA muscle during WBV in young healthy participants. Their
398 results showed that the EMG magnitude of the TA was significantly lower during loaded
399 dynamic squats compared with the same exercise under the unloaded condition. During
400 loaded dynamic squat, the TA EMG magnitude was significantly increased with the
401 application of WBV at 45Hz, but not 25 Hz or 35 Hz, when compared with the no-WBV
402 condition.¹⁴ Overall, it seems that the activation of the TA muscle is highly dependent upon
403 specific exercise conditions and the intensity of WBV stimulation.

404

405 Comparison of EMG responses between paretic and non-paretic legs

406 Our results revealed no significant intensity \times side interaction, and thus supported
407 our hypothesis that the WBV would induce similar EMG responses in the paretic and non-
408 paretic sides. Hence, there was no evidence of preferential activation of either leg by WBV
409 when performing the exercises described in our study. Similar results were found in a
410 previous study that investigated the EMG responses in VL and GS muscles in people with
411 chronic stroke.²⁷

412

413 Relationship with motor impairment and spasticity

414 Our final hypothesis was supported, because no significant relationship was found
415 between the WBV-induced increase in EMG magnitude and the CMSA and MAS scores. The

416 results suggested that WBV had a similar influence on leg muscle activation, regardless of the
417 severity of motor impairment and spasticity. The lack of association of EMG responses during
418 WBV and spasticity has also been shown by Liao et al.²⁷ in their study of VL and GS muscle
419 responses to WBV. **It is thus highly improbable that the increase in EMG activity during**
420 **WBV exposure was due to muscle activity triggered by spasticity.**

421

422 **Clinical Implications**

423 Many of the exercises chosen here have been used in previous WBV studies and stroke
424 exercise trials.^{9,41} Significant improvements in leg muscle strength have been reported after
425 regular training using these exercises without WBV.^{9,41} Our findings showed that the muscle
426 activity of TA and BF in both paretic and non-paretic legs can be increased considerably by the
427 application of WBV, particularly the high-intensity protocol, during exercise. **Lee et al.⁴² have**
428 **investigated the level of EMG activity of the TA muscle during squatting exercise, an**
429 **exercise commonly used in stroke rehabilitation programs for muscle strengthening**
430 **purpose. It was found that the paretic TA EMG magnitude recorded during the**
431 **maintenance phase of the dynamic squat exercise was on average 3.4 times that during**
432 **static standing in people with stroke. In our study, we also found that the paretic TA EMG**
433 **magnitude was greater during semi-squat ($2.7\% \pm 2.9\% \text{MVC}$) than static standing**
434 **($0.9\% \pm 0.8\% \text{MVC}$). When high-intensity WBV was added, the paretic TA EMG magnitude**
435 **was further increased by an average of 12.5% MVC (SD=9.5%MVC). Only one study have**
436 **examined the BF EMG activity after WBV training in people with stroke.²¹ Tihanyi et al.²¹**
437 **showed that after one WBV session (20Hz, peak-to-peak amplitude: 5mm), the EMGrms of**
438 **VL during maximal isometric contraction was significantly increased by 44.9%, but that of**

439 **BF was not significantly changed.**

440 **Some studies have investigated the level of TA or BF EMG activation in leg muscles**
441 **in people with stroke after different forms of exercise training.^{43,44} For example, Andersen**
442 **et al.⁴³ showed that after 12 weeks of intervention comprising high-intensity resistance**
443 **training and body weight supported treadmill training, the EMG magnitude of the paretic**
444 **hamstrings muscle during concentric and eccentric knee flexion was increased by**
445 **approximately 20-30% (expressed as a percentage of EMG magnitude of the corresponding**
446 **muscle on the unaffected side) in a sample of people with chronic stroke. Lee et al.⁴⁴ found**
447 **that in individuals with chronic stroke, six weeks of closed kinetic chain exercises led to a**
448 **significant increase in the EMG magnitude of the paretic TA and BF muscles by 7-8%,**
449 **whereas open kinetic chain exercises resulted in a significant increase in the EMG**
450 **magnitude of the paretic BF muscle only, by about 5-6%. In this study, the amount of**
451 **WBV-induced increase in EMG magnitude was approximately 10.8%-12.1% and 19.9%-**
452 **22.7% in the paretic TA and BF muscles respectively (Table 2), when compared with the**
453 **no-WBV condition. When comparing these values with those obtained from other forms of**
454 **exercise training mentioned in the above studies, it seems that the WBV protocols used here**
455 **may have potential in improving muscle activation in the paretic leg, but our current study**
456 **design does not allow us to determine the effects on EMG activation after sustained WBV**
457 **training. Nevertheless, our results suggested that, in addition to WBV intensity, both the**
458 **choice of exercise and the target muscle group should be considered when prescribing**
459 **WBV because these factors also affect the muscle response to WBV.**

460 **The increase in EMG activity was similar regardless of the level of motor impairment and**
461 **spasticity, suggesting that individuals with more severe impairments or spasticity may potentially**

462 benefit equally from WBV as those with less severe impairments or spasticity. This is important,
463 because people with severe stroke with limited active movements may find it difficult to engage
464 in other forms of exercise for muscle strengthening purposes. In contrast, WBV training involves
465 holding simple body exercises only and may suit those who have more severe motor or even
466 cognitive impairments.

467

468 **Methodological considerations**

469 **Surface EMG signals can be easily disturbed by vibration artefacts. Needle electrodes**
470 **would probably have been a better choice but we did not use it because of its invasive**
471 **nature. As in previous studies that used surface EMG to measure muscle responses to**
472 **WBV¹¹⁻²⁰, proper processing and filtering of the EMG signals were done to minimize the**
473 **effects of artifacts that may be induced by WBV. The magnitude of increase in EMG**
474 **activity reported in this study was quite comparable to previous research in other**
475 **populations.¹¹⁻²⁰ The origin of the EMG signals during WBV has been previously studied**
476 **by Ritzmann et al.³⁷ In their experiments, dummy electrodes were placed close to the EMG**
477 **electrodes to monitor motion artifacts. Their results showed that the dummy electrodes**
478 **registered almost no activity during WBV. In rare occasions when the dummy electrodes**
479 **showed peaks of activity, they did not systematically concur with the preset vibration**
480 **frequency, and had large standard deviations. Their results thus showed that the**
481 **contribution of motion artifacts to the overall EMG activity is insignificant. Taken**
482 **together, we feel our data reasonably reflect the muscle activation level during WBV.**

483

484 **Limitations and Future Research Directions**

485 **First, many of the participants were middle-aged adults (<65 years). More men than**
486 **women were tested. The generalizability of the findings may be compromised as a result.**

487 Second, the study only measured leg muscle activity during static exercises. The muscle response
488 to WBV during dynamic exercises should also be addressed in the future to provide a more
489 comprehensive picture of WBV-induced muscle response. **In addition, we only compared the**
490 **EMG responses among 3 WBV intensities, incorporating more WBV intensities would**
491 **enable us to more accurately estimate the trend of EMG responses with increasing WBV**
492 **intensity, and also the EMG responses for intensities beyond 1.61g.** Finally, while this study
493 found that low- and high-intensity WBV protocols could increase leg muscle activity during
494 different exercises, whether long term training using these protocols can bring about actual
495 improvement in muscle strength remains unknown. Randomized controlled trials that incorporate
496 the measurement of muscle force production and functional capacity as outcomes are needed.

497

498 **Conclusions**

499 We found a positive relationship between the EMG magnitude of the TA and BF in both
500 legs using a WBV intensity of up to 1.61g. The increase in EMG activity evoked by WBV was
501 influenced by the specific exercise performed, but not degree of motor impairment and spasticity.
502 Therefore, the WBV intensity and the **exercise** chosen are important guiding factors in designing
503 WBV exercise protocols for the stroke population. The EMG magnitude was the greatest during
504 exposure to the high-intensity protocol. Our results have thus provided a basis for future
505 randomized controlled studies to test the efficacy of this protocol in modifying neuromuscular
506 function after stroke.

507

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509 the Research Grants Council of the Hong Kong Special Administrative Region, China (Project
510 No. PolyU 5245/11E).

511

512 **Ethical standard** The experiments comply with the current laws of the country in which they
513 were performed.

514

515 **Conflict of interest** The authors declare that they have no conflict of interest.

516

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- 639

640 **Table 1. Characteristics of the Participants (n=36)**

Variable	Value ^a
Basic demographics	
Age (year)	57.6 ±10.2
Gender, male/female, (n)	26/10
Body Mass Index, (kg/m ²)	24.9±2.8
Required walking aid for outdoor mobility, none/cane/quadrupod, n	12/20/4
Abbreviated Mental Test Score (out of 10)	9.1±0.8
Stroke characteristics	
Time since stroke onset (year)	5.0±3.2
Type of stroke, ischemic/hemorrhagic/unknown (n)	22/12/2
Side of hemiparesis, left/right, (n)	16/20
CMSA Lower Extremity Composite Score (out of 14), median (first and third quartiles)	7 (4-12)
CMSA leg score (out of 7), median (first and third quartiles)	4 (3-6)
CMSA foot score (out of 7), median (first and third quartiles)	3 (1-6)
Paretic knee MAS score (0–4) ^b , median (first and third quartiles)	0 (0-1)
Paretic ankle MAS score (0–4), median (first and third quartiles)	1.5 (1-2)
Co-morbid conditions	
Hypertension (n)	23
High cholesterol (n)	19
Diabetes mellitus (n)	6
Knee osteoarthritis (n)	1
Medications	
Antihypertensive agents	21
Hypolipidemic agents (n)	19
Antidiabetic agents (n)	6
MVC EMGrms (μV)	
Paretic leg TA	479.3±222.8
Non-paretic leg TA	700.5±302.5
Paretic leg BF	251.2±102.9
Non-paretic leg BF	377.4±183.8

641 ^aMean±SD presented for continuous variables.642 ^bModified Ashworth Scale is a 6-point ordinal scale. The category 1+ was converted to
643 1.5 for statistical analysis.

644 BF=biceps femoris, CMSA = Chedoke–McMaster Stroke Assessment, EMG=electromyography,

645 MAS = Modified Ashworth Scale, MVC= maximum voluntary contraction, n=number count,

646 rms=root mean square, TA=tibialis anterior.

647 **Table 2. Effect of whole-body vibration (WBV) intensity on normalized EMGrms values**

648

Muscle	WBV Intensity × exercise interaction effect		Main Effect of WBV intensity		Post-hoc contrast analysis					
	F _{df} ^c	P-value	F _{df} ^c	P-value	No WBV Vs Low-intensity WBV		No WBV Vs High-intensity WBV		Low-intensity WBV Vs High-intensity WBV	
					Mean difference ^a (95% CI)	P-value ^b	Mean difference (95% CI)	P-value ^b	Mean difference (95% CI)	P-value ^b
Paretic TA	6.13 _{6,36,222.75}	<0.001*	55.13 _{1,20,42.03}	<0.001*	10.8 (7.0, 14.6)	<0.001*	12.1 (8.3, 15.9)	<0.001*	1.3 (-0.1, 2.7)	0.06
Non-paretic TA	15.64 _{6,08,212.87}	<0.001*	63.34 _{1,07,37.45}	<0.001*	10.0 (6.9, 13.1)	<0.001*	10.7 (7.4, 14.1)	<0.001*	0.8 (0.0, 1.5)	0.06
Paretic BF	3.00 _{5,63,196.89}	0.01*	119.88 _{1,08,37.85}	<0.001*	19.9 (15.5, 24.3)	<0.001*	22.7 (17.5, 27.9)	<0.001*	2.8 (1.4, 4.2)	<0.001*
Non-paretic BF	2.20 _{6,56,229.47}	0.04*	96.84 _{1,05,36.89}	<0.001*	20.6 (15.4, 25.9)	<0.001*	23.1 (17.2, 28.9)	<0.001*	2.4 (1.2, 3.6)	<0.001*

649 ^aEMG magnitude expressed as percent maximal voluntary contraction (%MVC)650 ^bThe p-values for the contrast analysis are Bonferroni corrected values.651 ^cGreenhouse-Geisser epsilon adjustment was used to generate the F-score, degrees of freedom and P-values due to violation of the sphericity assumption.652 *Statistically significant ($P < 0.05$)

653 BF=biceps femoris, df=degrees of freedom, TA=tibialis anterior, WBV=whole-body vibration

654 **FIGURE LEGENDS**

655

656 **Figure. 1 Exercise Protocol**

657 A. Upright standing position (ST): Standing with their feet placed apart at shoulder width
658 and knees slightly flexed at about 10° and holding for 10 seconds.

659 B. Semi-squat position (SSq): Standing with feet placed apart at shoulder width and knee
660 flexed at 30° and holding for 10 seconds.

661 C. Deep squat position (DSq): Standing with feet placed apart at shoulder width and knees
662 flexed to 90° and holding for 10 seconds.

663 D. Weight-shifted-forward position (FWS): Starting position same as in upright standing
664 exercise (A), then leaning body weight forward (right) as much as possible and raising
665 heels up and holding for 10 seconds.

666 E. Weight-shifted-backward position (BWS): Starting position same as in upright standing
667 exercise (A), then leaning body weight backward as much as possible and raising forefoot
668 and holding for 10 seconds.

669 F. Weight-shifted-to-the-side position (WSTS): Starting position same as in upright
670 standing exercise (A), then shifting body weight onto one leg as far as possible and
671 holding for 10s. Repeating on the other side.

672 G. Forward lunge position (FL): Standing in a forward lunge position with the paretic leg
673 placed in front of the non-paretic leg with paretic knee flexed at 10° , then leaning forward
674 and shifting body weight onto the paretic leg as much as possible with knee flexed at 30° ,
675 and holding for 10 seconds. Switching the positions of the two legs, with the non-paretic
676 leg placed in front of the paretic leg.

677 H. Single-leg-standing position (SLS): Standing on the paretic leg with knee flexed at 10°,
678 and holding for 10 seconds. Repeating on the non-paretic side.

679

680 **Figure 2. Study flow chart.**

681 A total of 36 people with stroke completed all measurements.

682

683 **Figure 3. Trend analysis: illustration of the effect of WBV intensity**

684 **The relationship between normalized EMGrms and WBV intensity is shown for (A) paretic**

685 **TA, (B) non-paretic TA, (C) paretic BF and (D) non-paretic BF muscles. Each data point**

686 **represents the mean value of the normalized EMGrms for a given exercise at a particular**

687 **WBV intensity. The error bar represents 1 standard error of the mean. For each exercise,**

688 **the 3 data points were best fitted with a logarithmic curve. The thick purple line represents**

689 **the trend after pooling the data of all 8 exercises. As it is impossible to fit the data with a**

690 **logarithmic curve if one of the WBV intensities is 0g, a factor of 0.001g was added to yield**

691 **WBV intensities of 0.001g, 0.961g and 1.611g respectively. Eight different static exercises**

692 **were examined in each WBV condition: upright standing (ST), semi squat (SSq), deep squat**

693 **(DSq), weight-shifted-forward (FWS), weight-shifted-backward (BWS), weight-shifted-to-the-**

694 **side (WSTS), forward lunge (FL), and single-leg-standing (SLS).**

695

696 **Figure 4. Normalized EMG magnitude under different WBV exercise conditions**

697 **The normalized EMGrms of (A) paretic TA, (B) non-paretic TA, (C) paretic BF, and (D) non-**

698 **paretic BF in each test condition is expressed as %MVC. The white triangles (Δ), gray squares**

699 **(■), and black diamonds (\blacklozenge) represent the mean normalized EMGrms values recorded in the**

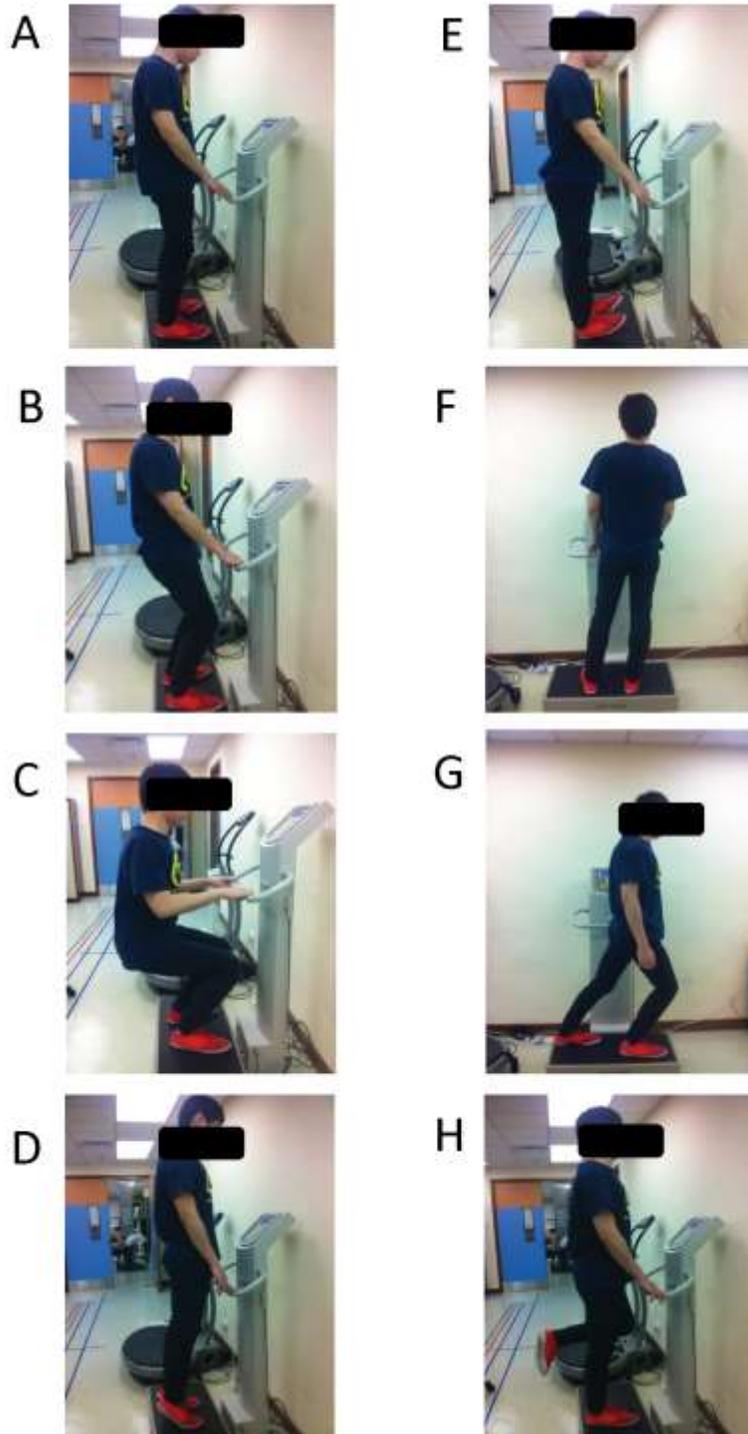
700 high-intensity WBV, low-intensity WBV and no-WBV conditions respectively. The error bars
701 represent 1 standard error of the mean. Eight different static exercises were examined in each
702 WBV condition: upright standing (ST), semi squat (SSq), deep squat (DSq), weight-shifted-
703 forward (FWS), weight-shifted-backward (BWS), weight-shifted-to-the-side (WSTS), forward
704 lunge (FL), and single-leg-standing (SLS). * denotes significant difference between the control
705 condition (no WBV) and low-intensity WBV condition. † indicates significant difference
706 between the control condition and high-intensity WBV protocol. # denotes significant difference
707 between the low-intensity WBV and high-intensity protocols. Application of WBV resulted in an
708 overall significant increase in **normalized** EMGrms of the TA and BF on both sides.

709

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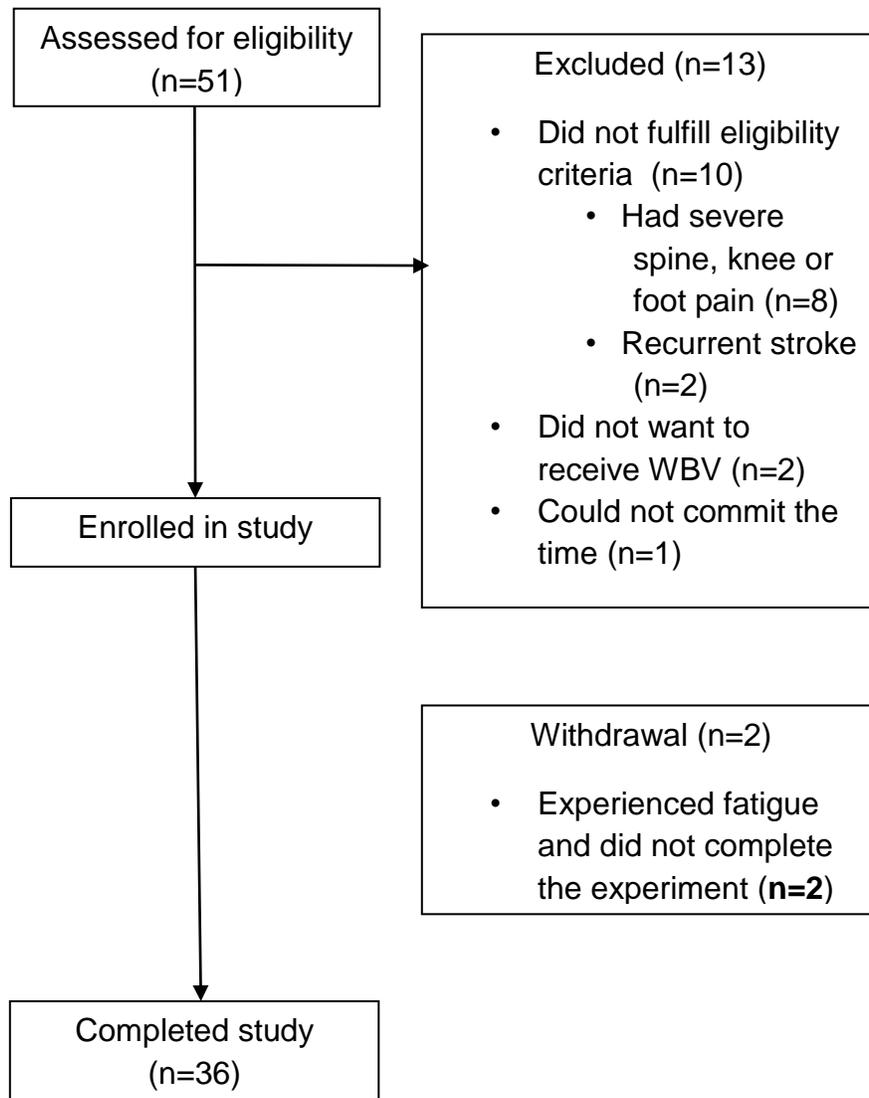
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712 **Figure 1**

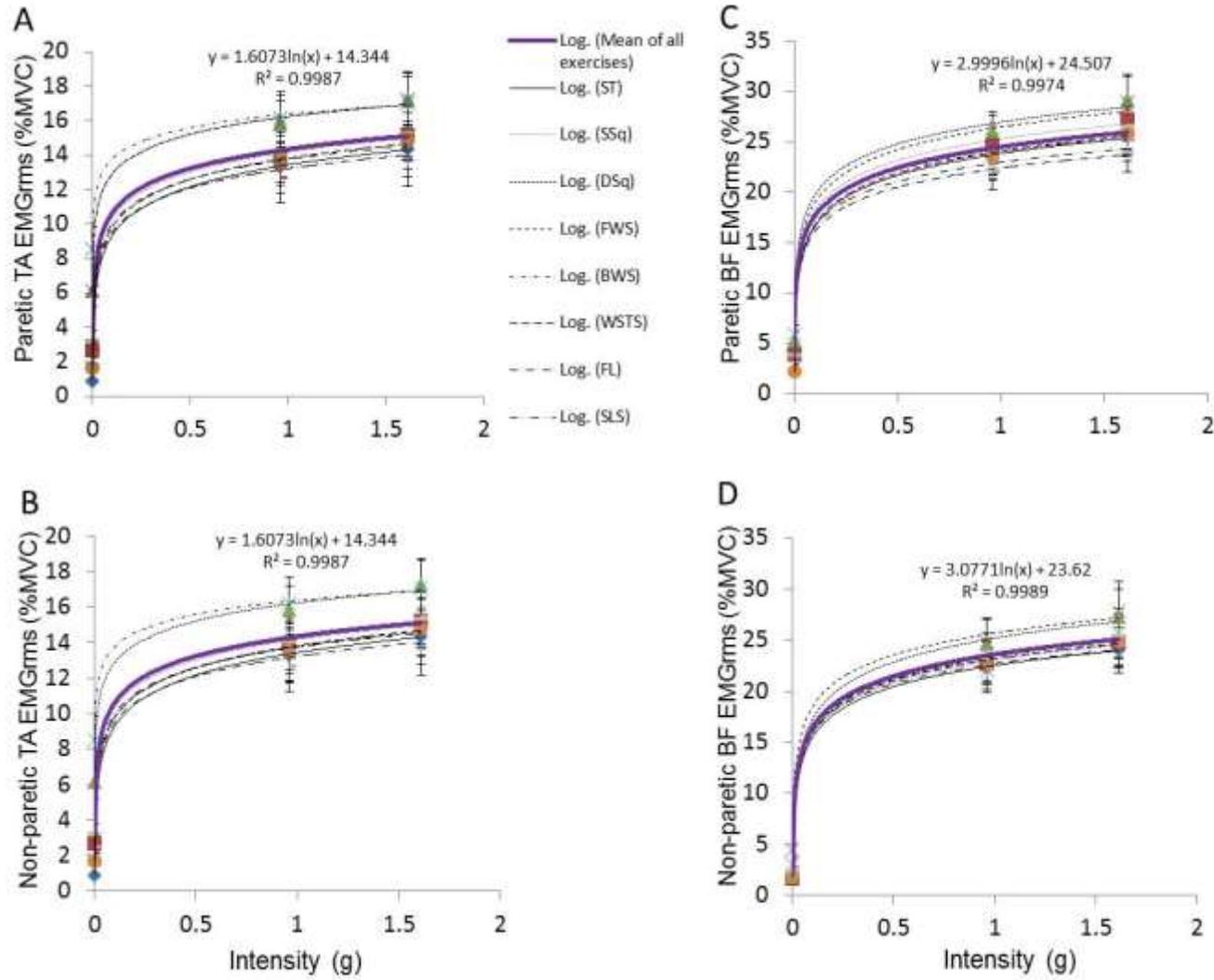


714 **Figure 2**

715



716 **Figure 3**



718 **Figure 4**

