

26 **Abstract**

27 The re-establishment of bone-tendon junction (BTJ) tissues with the junction,
28 characterized as a unique transitional fibrocartilage zone, is involved in many trauma and
29 reconstructive surgeries. Experimental and clinical findings have shown that a direct BTJ
30 repair requires a long period of immobilization which may be associated with a
31 postoperative weak knee. Therefore, it's very necessary to evaluate the morphological
32 and mechanical properties of BTJ tissues *in situ* to better understand the healing process
33 for the purpose of reducing the adverse effects of immobilization. We previously reported
34 a noncontact ultrasound water jet indentation system for measuring and mapping tissue
35 mechanical properties. The key idea was to utilize a water jet as an indenter as well as the
36 coupling medium for high-frequency ultrasound. In this paper, we used the ultrasound
37 water jet indentation to evaluate the BTJ healing process. The system's capability of
38 measuring the material elastic modulus was first validated using tissue-mimicking
39 phantoms. Then it was employed to assess the healing of the BTJ tissues after partial
40 patellectomy over time on twelve 18-week-old female New Zealand White rabbits. It was
41 found that, in comparison with the normal control samples, the elastic modulus of the
42 fibrocartilage of the postoperative samples was significantly smaller, while its thickness
43 increased significantly. Among the postoperative sample groups, the elastic modulus of
44 the fibrocartilage of the samples harvested at week 18 was significantly higher than those
45 harvested at week 6 and week 12, which was even comparable with the value of the
46 control samples at the same sacrificed time. The results suggested that the noncontact
47 ultrasound water jet indentation system provided a nondestructive way to evaluate the

48 material properties of small animal tissues *in situ*, thus had the ability to evaluate the
49 healing process of BTJ.

50

51 **Keywords:** ultrasound, indentation, ultrasound indentation, water jet, bone-to-tendon
52 junction repair, articular cartilage, soft tissue, elasticity.

53

54

55 **Introduction**

56 Many trauma and reconstructive surgeries involve re-establishment of the bone-tendon
57 junction (BTJ) tissues. BTJ, such as patellar tendon-patella junction, is characterized with
58 a unique transitional fibrocartilage zone, with calcified fibrocartilage connecting to bone
59 and non-calcified fibrocartilage connecting to tendon (Benjamin et al 1998, Gao and
60 Messner 1996, Woo 1987). Injuries to the BTJ often occur in the hand, foot, knee, ankle
61 and shoulder because of trauma and sports injuries related to overloading or chronic
62 stress disorders. Partial patellectomy with repair of the extensor mechanism to the
63 remaining patella using various fixation techniques is clinically indicated for severe
64 comminuted fractures or transverse fractures (Hung et al 1993, Saltzman et al 1990).
65 Radiological observation demonstrated a significant increase in length of the remaining
66 patella of patients from the preoperative stage up to 5 years postoperatively (Saltzman et
67 al 1990), which was confirmed as new bone formation at the BTJ healing interface
68 experimentally (Lu et al 2006, Qin et al 1999a, 2006a). Histologically, the metaplasia of
69 the scar tissue observed next to the healing interface of the remaining patellar articular
70 cartilage and the outgrowth of trabecular bone from the remaining patella with healing
71 over time may increase the articular surface of the remaining patella after partial
72 patellectomy (Qin et al 1999a). The BTJ healing takes place between two different tissues,
73 and the regeneration of the junctional fibrocartilage zone has been found to be slow and
74 difficult in both clinical and experimental studies (Nebelung et al 2003, Leung et al 2002).
75 Therefore, it requires a longer resting and immobilization period before limb loading
76 activities are permitted. However, the negative effects of longer immobilization on the
77 healing tissue and other involved tissues are well known, including muscle and tendon

78 atrophy, bone loss and articular cartilage degeneration (Buckwalter 1995, 1996, Burr et al
79 1984, Fu et al 1998, Leung et al 1999, Qin et al 1997, Woo et al 1982, 1987). Hence, it's
80 very important to assess the mechanical properties of the BTJ tissues *in situ* or *in vivo* as
81 an evaluation of the healing so as to monitor the healing process, and to reduce the
82 adverse effects of immobilization.

83 Indentation is a widely used technique to measure the mechanical properties of
84 soft tissue, especially the compressive properties because it does not require special
85 preparation for the specimens and can determine the material properties of soft tissues *in*
86 *situ* or *in vivo*. Theoretical analysis of general indentation problems with various
87 idealizations of the physical model has been conducted for about a century and some
88 mathematical solutions have been reported for thin-layer soft tissues and materials using
89 different mechanical models (Waters 1965, Hayes et al 1972, Mak et al 1987, Mow et al
90 1989, Yu and Blanchard 1996, Sakamoto et al 1996, Haider and Holmes 1997). To
91 perform indentation on soft tissues, especially on articular cartilage, a number of
92 mechanical, arthroscopic and ultrasound indentation instruments have been developed.
93 Mechanical indentation instruments usually use a rigid stainless-steel indenter to deform
94 the sample, and employ a linear variable differential transducer (LVDT) to monitor the
95 indentation depth and a load cell to record the indentation force (Kempson et al 1971,
96 Hori and Mockros 1976, Mow et al 1989, Newton et al 1997, Arokoski et al 1994, 1999,
97 Athanasiou et al 1995, 1999, Shepherd and Seedhom 1997). The mechanical indentation
98 instruments can provide accurate and repeatable indentation measurements, but their
99 structures are normally bulky and complicated. Therefore, they are not convenient for *in*
100 *vivo* measurement of body tissues. In addition, as some mechanical instruments employ a

101 needle probe to penetrate into the cartilage to measure the tissue thickness which is
102 important information for the estimation of elastic modulus (Jurvelin et al 1990, Suh and
103 Spilker 1994, Zhang et al 1997, Yang 2003), destruction of the tissue structure might be
104 caused. Arthroscopic indentation apparatuses can perform experiments *in vivo* (Lyyra et
105 al 1995, Neiderauer et al 1998, Neiderauer et al 2004), however, they can't measure the
106 tissue thickness thus difficult to provide the accurate elastic modulus of cartilage.

107 During recent decades, ultrasound techniques together with compression or
108 indentation have successfully been used for the measurement (Wilson and Robinson 1982,
109 Zheng and Mak 1996, Hsu et al 1998, Adam et al 1998, Suh et al 2001, Kawchuk et al
110 2000, Laasanen et al 2002) or imaging (Ophir et al 1991, 1997, Gao et al 1996, Wilson et
111 al 2000, Han et al 2003, Greenleaf et al 2003, Svensson and Amiras 2006) of the
112 mechanical properties of soft tissues. The basic principle is to compress soft tissue using
113 an ultrasound transducer or the transducer/compressor combination, and collect the
114 ultrasound echoes before and after the compression. By analyzing the pairs of echoes
115 scattered from tissues to obtain the displacements of tissues at different sites, the local
116 strain distribution or the overall deformation of the compressed tissues can be obtained.
117 The accuracy of ultrasonically measured displacement during indentation has been earlier
118 investigated (Zheng and Mak 1996, Kawchuk and Elliott 1998, Kawchuk et al 2000,
119 Jurvelin et al 1995, Adam et al 1998, Appleyard et al 2001, Suh et al 2001), and
120 researchers concluded that the accuracy of ultrasound in measurement of tissue thickness
121 and displacement is highly similar with that of CT and other conventional techniques. As
122 ultrasound indentation can simultaneously measure the tissue thickness and deformation
123 non-invasively and is easy to use for *in vivo* stiffness measurement, it has been widely

124 used for assessment of normal limb tissues (Zheng and Mak 1999), residual limb tissues
125 (Zheng et al 1999), diabetic foot tissue (Zheng et al 2000a, Hsu et al 2000), fibrotic neck
126 tissue induced by radiotherapy (Zheng et al 2000b, Leung et al 2002, Huang et al. 2006),
127 breast tissue (Yu et al. 2006), carpal tunnel tissue (Zheng et al. 2006), spinal tissues
128 (Kawchuk et al 2001), and articular cartilage (Suh et al 2001, Laasanen et al 2003).

129 Present ultrasound indentation techniques utilize an unfocused transducer as the
130 indenter to compress the soft tissue. Direct contact between the transducer and the
131 specimen makes it difficult for them to properly compress small tissue specimens, such as
132 the articular cartilage of rabbits due to the relatively large size of the transducer, and may
133 also cause potential tissue damage. Therefore, a measurement device which may avoid
134 contact and use very low loading forces is desirable to quantify the stiffness of soft
135 tissues. We have earlier reported the development of a noncontact ultrasound indentation
136 system that was capable of determining the material properties of soft tissues without a
137 direct contact between the testing probe and soft tissues (Lu et al 2005, 2006). The key
138 idea was to use a water jet as the indenter and simultaneously as the coupling medium for
139 ultrasound to propagate through. By analyzing the response of soft tissues to water jet
140 loading and the ultrasound echoes reflected from the tissues, the mechanical properties of
141 soft tissues could be obtained. The non-contact nature enables the system to provide not
142 only quantitative measurement of the tissue elastic properties, but also convenient scan
143 over the tissue surface to map the distribution of the mechanical properties.

144 In this study, the capability of the system to map the elastic properties was first
145 verified using tissue-mimicking phantoms, then the performance of the ultrasound water
146 jet indentation system in assessing the healing of BTJ tissues of rabbits after partial

147 patellectomy was tested, and the results were compared with those obtained from
148 histological analysis.

149

150 **Materials and methods**

151 *Animal model and surgery*

152 Twelve female New Zealand White rabbits (age:18-week-old, and weight: 3.5 ± 0.3 kg)
153 were prepared and randomly divided into 3 groups. The standard partial patellectomy and
154 surgical reconstruction between the patella and patellar tendon were applied to the rabbits
155 using a previously established protocol (Qin et al 1999a, Leung et al 1999). Under
156 general anesthesia with sodium pentobarbital (0.8ml/kg, intravenous injection, Sigma,
157 Chemicals Co., St. Louis MO, USA) and an aseptic technique, one of the knees was
158 approached through an anterolateral skin incision to create the partial patellectomy
159 through a transverse osteotomy conducted between the proximal 2/3 and the distal 1/3 of
160 the patella using an oscillating hand saw (SYNTHES, Mathys AG, Bettlach, Switzerland).
161 The site of osteotomy was determined by measuring the length of patella using a fine
162 caliper. After removal of the distal 1/3 (lower pole) of the patella and its fibrocartilage
163 zone to the patellar tendon, two holes of 0.8mm in diameter evenly spaced were drilled
164 longitudinally through the remaining proximal patella. The patellar tendon was then
165 directly sutured to the proximal patella via the two drilled holes with non-absorbable
166 suture (3/0 Mersilk, ETHICON Ltd. Edinburgh, UK). Figure-of-eight tension band wiring
167 (0.4 mm diameter stainless steel wire, BIOMET LTD, Waterton, UK) was applied around
168 the proximal pole of the patella to the tibial tuberosity to protect possible overstretching
169 the suture for the BTJ reattachment before closing the wound.

170 The operated knee joint was then immobilized at 90 degrees of flexion for up to 4
171 weeks using a long leg cast (SCOTCHCAST, Orthopaedic Products 3M, Health Care, St.
172 Paul, MN, USA). Pain relief drug (TEMGESIC, Reckitt & Colman Pharmaceuticals, Hull,
173 UK) was given subcutaneously at a dose of 0.01 mg/kg for three days after the operation.
174 Animals were kept individually in metal cages and fed with standard rabbit diet and water
175 *ad libitum*. This study was approved by the Animal Research Ethics Committee of the
176 Chinese University of Hong Kong (Ref. CUHK4342/03M).

177 The 3 groups of animals were sacrificed at 6, 12, and 18 weeks after operation
178 respectively, and the BTJ tissues were assessed to investigate the healing over time.

179

180 *Ultrasound water jet indentation system and data acquisition*

181 A noncontact ultrasound indentation system was developed using water jet as the
182 indenter. A bubbler was used to eject the water jet by controlling the water flow (Figure
183 1). The diameter of the water ejecting nozzle was 1.94 mm. A 20 MHz focused
184 ultrasound transducer (GE Panametrics, Inc., OH, USA) was fixed with the bubbler, *i.e.*,
185 the water ejector. The focused ultrasound beam could propagate through the bubbler
186 when it was full of water as the coupling medium. The transducer and the bubbler were
187 installed to a 3-D translating device (Parker Hannifin Corporation, Irvine, CA, USA)
188 which was used to adjust the distance between the nozzle and the specimen surface and to
189 perform a 2-D scanning over a tissue specimen. The distances from the specimen surface
190 to the nozzle outlet and the transducer surface were adjusted to be 5.0 mm and 19.5 mm,
191 respectively. A pressure sensor (EPB-C12, Entran Devices, Inc., Fairfield, NJ, USA) was
192 used to measure the water pressure within the water pipe. By calibrating the relationship

193 between the overall force applied on the platform of the fixation device and the pressure
194 within the water pipe, the pressure applied on the sample surface could be calculated
195 using the water pressure measured by the pressure sensor (Lu et al 2005). A program was
196 developed using Microsoft VC++ to control the 3D translating device and to collect,
197 process and display the ultrasound signals, along with the pressure value, in real time
198 during the indentation process. Thus the movement of the transducer and the acquisition
199 of the radio-frequency (RF) ultrasound signal and pressure data were synchronized. The
200 sampling rate of ultrasound RF data was 500 MHz, and frame rate of A-mode ultrasound
201 was approximately 10 frames per second, which was also the sampling rate of the
202 pressure data. The ultrasound echoes reflected from both the sample surface and bottom
203 under different loading conditions were tracked with multi-windows using a cross-
204 correlation algorithm (Zheng et al 2002) for estimation of the time-shift of each echo
205 signal. The original tissue thickness was calculated from the predetermined speed of
206 sound in the corresponding tissue and the traveling time of sound from the sample surface
207 to its bottom when with an initial water pressure of less than 0.2 kPa, while the
208 subsequent change of thickness, i.e., the deformation of the tissue under indentation was
209 derived from the deflection of the time of flight of the echoes and the speed of sound. The
210 local strain was calculated from the local deformation divided by the corresponding
211 thickness. For the system using 20 MHz ultrasound frequency and 500 MHz sampling
212 rate, the lateral resolution was approximately 0.2 mm, the axial spatial resolution was
213 approximately 0.1 mm, and the axial displacement resolution was approximately 2 μm .
214 The tissue stiffness ratio, defined as the ratio of the pressure applied on the sample
215 surface to the local strain of the sample, was used as an indicator of the elastic modulus

216 of tissues since it was found well correlated with Young's modulus according to previous
217 phantom studies (Lu et al 2005, 2006).

218

219 *System validation using tissue-mimicking phantoms*

220 Before the ultrasound water jet indentation system was used to assess the BTJ tissues, its
221 capability of measuring the distribution of tissue elastic properties was validated using six
222 pieces of tissue-mimicking phantoms. Each phantom consisted of a stiff cylindrical
223 inclusion with a diameter of 8 mm inside a homogeneous background (Figure 2). The
224 stiff inclusion cylinders were made of silicones (Rhodia RTV 573, Rhodia Inc. CN7500,
225 Cranbury, NJ, USA). The backgrounds were made of water-agar (Fisher Scientific Co.
226 Fairlawn, NJ, USA) mixture together with a small quantity of *n*-propanol and
227 formaldehyde (Fisher Scientific Co. Fairlawn, NJ, USA). The concentration of agar
228 ranged from 10.0 g/L to 30.0 g/L to produce a background material with different
229 stiffness (Hall et al 1997). In addition, uniform cylindrical samples were also prepared for
230 each phantom material. The Young's moduli of these uniform phantoms were measured
231 using uniaxial compression tests with a material testing machine (Lu et al 2005).

232 One-dimensional scanning was conducted on each phantom with stiff inclusion
233 along its diametric direction using the 3-D translating device to measure the distribution
234 of the elastic properties. Typically, the phantom was first preloaded with a pressure of 3
235 kPa and scanned over by the water jet at a moving rate of 1 mm/s and with a step of 0.2
236 mm to obtain the first 16-mm wide B-scan, i.e. cross-sectional image. It was then scanned
237 over with a pressure of approximately 20 kPa along the identical line for the second B-
238 scan. The deformation of the phantom at each indentation point along the scanned line

239 was obtained. The ultrasound pulsed echoes reflected from the phantom surface and
240 bottom were tracked for each corresponding measurement site to compose the strain
241 profile. The compressive modulus at each indentation site was calculated from the
242 pressure and the local strain with the assumption that the Poisson's ratios of the inclusion
243 and background materials were the same. The average elastic modulus of the inclusion
244 (E_i) and that of the background (E_b), were compared with those measured from the
245 uniform phantom made from the correspondingly same material using the uniaxial
246 compression tests. The correlation ratio was used to evaluate the capability of the system
247 of measuring the elastic properties.

248

249 *Measurement of thickness and elastic modulus of BTJ tissues using the ultrasound water*
250 *jet indentation system*

251 All the BTJ tissue samples were stored at -20°C before use. The sample was first thawed
252 in normal saline solution (0.15 M NaCl) at room temperature 20°C for 1 hour, and then
253 fixed by a fixation device (Figure 1) with the articular surface facing the ultrasound
254 transducer perpendicularly. As shown in Figure 1, a stainless steel device which had two
255 plates was employed to fix the sample at the patella by tightening the two binder bolts. A
256 plastic plate was inserted between the patella and bolts to avoid the sample shifting
257 downwards during indentation loading. The sample was first scanned along the patella-
258 junction-tendon direction with a scan step at $50\ \mu\text{m}$ under a preloading of $2.12 \pm 0.07\ \text{kPa}$
259 by the water jet ultrasound indentation system with the flow rate at $1.35 \pm 0.07\ \text{m/s}$. The
260 scan line was carefully selected by obtaining the maximal ultrasound reflection echoes
261 from the interface of water/articular surface, usually at the most prominent convex site of

262 the patella. The scan distances were typically 6 mm for a control sample and 5 mm for a
263 postoperative sample. After the first scan was finished, the sample was quickly scanned
264 again along the identical line under a pressure of 135.32 ± 0.36 kPa with the flow rate at
265 7.89 ± 0.01 m/s. We tried to map the elastic modulus of each kind of tissue in the bone-
266 tendon junction complex, including patellar cartilage, fibrocartilage and tendon, therefore,
267 the maximal pressure ensured all kinds of tissue response in the linear elastic region.
268 After many experimental trials, a pressure at 135 kPa was regarded as an appropriate
269 value. A typical control sample is shown in Figure 3a together with its first B-scan of the
270 interested BTJ region (Figure 3b).

271 The ultrasound signals obtained from the first B-scan were analyzed to calculate
272 the tissue original thickness. Three sites from each part, including patellar cartilage,
273 fibrocartilage zone and tendon were selected, and the thickness at each site was
274 calculated from the time of flight of ultrasound signals from the surface to the substrate.
275 The speed of ultrasound in cartilage $c_{cartilage}$ was assumed to be 1636 m/s (Patil et al 2004)
276 and that in tendon c_{tendon} was assumed to be 1580 m/s (Duck 1990). The averaged values
277 calculated from the three sites were used as the thickness value for each tissue part. Then,
278 the thickness change Δh of each selected site under two consequent scans was calculated
279 from the deflection of the flight of time of ultrasound echoes Δt . The elastic modulus was
280 then calculated by the stress applied to the tissue divided by the local strain. As same as
281 the thickness measurement, the averaged values calculated from the three sites were used
282 as the value of elastic modulus for each tissue part.

283

284 *Descriptive histology*

285 Patella-patellar tendon (PPT) complex was prepared for histological analysis using the
286 established protocols (Qin et al 1999b). Briefly, the PPT complex was fixed in 4%
287 neutrally buffered formalin for 1 day, decalcified with 25% formic acid for 4 weeks,
288 processed using a Histo-center (Histokinette 2000, Reichert-Jung GmbH, Nussloch,
289 Germany) and embedded with paraffin wax using an Embedding Center (Thermolyne
290 Sybron, Dubuque, IA, USA). Mid-sagittal sections at 5 μ m thickness were prepared using
291 1130/Biocut microtome (Reichert-Jung GmbH, Nussloch, Germany) and stained with
292 haematoxylin and eosin (H&E). A microscopic image analysis system (Leica Q500MC,
293 Leica Cambridge Ltd, Cambridge, UK) was used to identify the nature of the tissue and
294 its histomorphological features. The fibrocartilage can be classified as uncalcified
295 fibrocartilage which connecting to collagenous tendon and calcified fibrocartilage which
296 connecting to bone. The calcified and uncalcified fibrocartilages are separated by a
297 tidemark that is a continuous border line observable with H&E staining. In addition, the
298 morphology and distribution of cells are also different in the calcified and uncalcified
299 regions. This can be used as an additional clue for the separation of the two regions
300 (Wang et al. 2007, Lu et al. 2008).

301

302 *Statistical analysis*

303 Data were expressed as mean \pm SD. Comparisons of both elastic modulus and thickness
304 of patella, the distal of patella, fibrocartilage and tendon were made by using two-way
305 ANOVA to investigate if the group separation and the sacrificed time would significantly
306 affect these parameters. Then two-way ANOVA was conducted to evaluate the effect of
307 healing time and partial pattelectomy operation. All the statistical analyses were

308 conducted by using the commercial software SPSS. The significance level was set at $p <$
309 0.05.

310

311 **Results**

312 *System validation*

313 A typical strain and its corresponding modulus profile across the selected scan line is
314 shown in Figure 4, which was derived from two consequent B-scan images of a tissue-
315 mimicking phantom. Each value in Figure 4 represents the mean of the data of three
316 repeated measurements. Elastic modulus values obtained from the center of the inclusion
317 and background along the scan line (as indicated in Figure 2), were averaged as the
318 elastic moduli of the inclusion E_i and that of the background E_b , respectively. The elastic
319 modulus of each material was compared with that measured from the uniform phantom
320 using the uniaxial compression test (Figure 5). The high correlation coefficient ($r = 0.99$)
321 indicated a very good agreement between them, though some differences between the
322 absolute values were noted.

323

324 *Thickness and elastic modulus of bone-tendon junction tissues*

325 The values of the elastic modulus and tissue thickness of the patellar cartilage,
326 fibrocartilage and tendon tissue of the control and post-operative samples were listed in
327 Table 1. Since the distal patella was removed during the partial patellectomy operation,
328 the elastic modulus and thickness of patellar cartilage was measured from the remaining
329 proximal patella, as indicated in Figure 3, for a paired comparison between the control

330 and postoperative samples to study whether the surgery affected the intact cartilage
331 region.

332 Neither elastic modulus nor thickness showed significant difference among the
333 control samples at different sacrificed time points (all $p > 0.1$), i.e. the sacrificed time had
334 no significant effect on the material properties of the control samples at 95% significance
335 level. In comparison with the control samples, it was found that the elastic moduli of both
336 patellar cartilage and fibrocartilage of the postoperative samples were significantly
337 smaller (with $p < 0.001$ for both comparisons), while that of the tendon tissue was
338 insignificantly larger. In the postoperative sample group, the elastic modulus of the
339 fibrocartilage of the samples harvested at week 18 (100 ± 28 kPa) was significantly
340 higher than those harvested at week 6 (62 ± 21 kPa) with $p = 0.02$ and week 12 (63 ± 31
341 kPa) with $p = 0.01$, and it was even comparable with the value of the control samples at
342 the same sacrificed time (116 ± 32 kPa). The thickness of the fibrocartilage of the
343 postoperative samples was significantly larger than that of the control samples at all the
344 three time points (with all $p < 0.0001$), while that of the tendon tissues of the
345 postoperative samples was only found significantly larger at week 12 (4.27 ± 0.46 mm
346 v.s. 3.71 ± 0.25 mm) and week 18 (3.93 ± 0.77 mm v.s. 3.58 ± 0.11 mm) with $p < 0.0001$
347 for both. The thickness values of the tendon tissues were significantly different among
348 the postoperative samples harvested at different time points with $p = 0.03$, but not for the
349 fibrocartilage. There was no significant difference in the elastic modulus of tendon or
350 the thickness of patellar cartilage between the control and postoperative samples.

351

352 *Histological analysis*

353 The normal patella-patellar tendon complex was composed of patella, patellar tendon and
354 fibrocartilage zone connecting the tendon to the bony patella (Figure 6a). Based on H&E
355 stained sections of decalcified postoperative specimens for evaluation of general
356 morphology at the healing interface, scar tissues gradually formed and structurally
357 connected the tendon and patella at the junction gap as the healing time progressed. At
358 postoperative week 6, histological observation revealed tissue integration, the crossing of
359 fibers from tendon into bone, and newly formed bone from the remaining patella after
360 partial patellectomy at healing junction (Figure 6b). Compared with the histomorphology
361 of specimens at week 6, improved tissue integration was found at postoperative week 12,
362 characterized with more outgrowth of trabecular bone from the remaining patella and
363 formation of fibrocartilage layer at the healing junction (Figure 6c). Week 18 samples
364 featured with a regenerated junction morphologically similar to normal patella-patellar
365 tendon (Figure 6d).

366

367 **Discussion**

368 In this study, the healing of bone-tendon junction tissues after partial patellectomy of
369 rabbit models was assessed using a novel noncontact ultrasound water jet indentation
370 system *in situ*. A previous study has suggested that the regeneration of the transitional
371 fibrocartilage could be determined by stiffness measurement (Qin et al 2006). Therefore,
372 determination of the mechanical properties of BTJ tissues would also be useful in trauma
373 and joint repair surgery. In comparison to the currently available indentation systems, the
374 ultrasound water jet indentation system offers the advantage of no direct contact between
375 the device and the sample. During the indentation, the deformation induced by the water

376 jet compression was small and within the range of linear elastic behaviour of the tissue.
377 The applied load was very small and no structural tissue damage was observed after the
378 experiment according to the histological visualization. Thus, it was assumed that the risk
379 of tissue damage during water jet examination was minimized compared with the
380 conventional contact indentation measurements. However, further analysis of cell
381 viability is required to exclude any cellular damage resulting from the water jet
382 application (Bae et al 2003).

383 The phantom test in this study proved that the ultrasound water jet indentation
384 system could easily be used for modulus measurement and mapping by obtaining a
385 sequence of B-mode images with the mechanical scanning of the probe under different
386 loadings. By recording the water pressure and ultrasound signal simultaneously at each
387 indentation site during B-scans, the local elastic modulus could be obtained from the
388 indentation force, the local deformation and the original tissue thickness. As
389 demonstrated in the validation test, the average elastic modulus obtained from the
390 modulus profile of each phantom was well correlated with the measured compressive
391 Young's modulus, suggesting that the noncontact ultrasound water jet indentation system
392 could effectively map the elastic modulus of soft tissues by conducting one-dimensional
393 scanning over the tissue under different loadings. However, the elastic modulus obtained
394 by using the current system may be affected by the Poisson's ratio of the phantom
395 materials, the boundary conditions, and the indentation mechanism. In addition, it was
396 suggested that the lateral dimension of the sample should be 3 times larger than the
397 indenter diameter according to the indentation model using cylindrical indenters (Hayes
398 et al 1972, Galbraith and Bryant 1989). This requirement might not always be fulfilled

399 for the real tissues so that the lateral boundary conditions should be considered in the
400 indentation model. There is still lack of an analytical solution to accurately describe the
401 relationship between the water jet pressure and the deformation during a water jet
402 indentation. Further investigation should be continued to establish a mechanical model of
403 the water jet indentation by considering the effects of Poisson's ratio, the boundary
404 conditions, and the complicated interaction between the water jet and tissue surface, so as
405 to extract the intrinsic material properties of soft tissues more accurately. A water jet with
406 smaller diameter will also be investigated in our future studies to test their feasibility.

407 It was shown that partial patellectomy operation resulted in a degenerated patellar
408 cartilage and fibrocartilage at the junction, as indicated by the result that the elastic
409 modulus of postoperative samples significantly decreased in comparison with the control
410 samples. The healing of the BTJ junction was evaluated by measuring the elastic modulus
411 and thickness of junction tissues of the postoperative samples at different sacrificing time
412 points. It was found that the elastic modulus of the fibrocartilage of the samples harvested
413 at week 18 was significantly larger than that of the samples harvested at week 6 and week
414 12. The increase in the elastic modulus during the healing process might result from the
415 increase of the intercross between the increasing proteoglycan contents and collagen
416 matrix. However, no significant difference of tissue thickness was found among the
417 different groups, which was confirmed by the histological analysis. This might be
418 explained that the morphology of BJT can resume to the intact status quickly after
419 surgery. However, it takes longer time for tissue to gain its function, which means the
420 mechanical properties for the case of fibrocartilage, as its main function is to provide a
421 mechanical link between patella and tendon. The result of histological analysis revealed

422 that the calcified region under the fibrocartilage increased as time going. This might
423 indirectly indicate that the tissue became stiffer during the healing process.

424 Tensile failure tests are commonly used to quantify the strength of bone-tendon
425 junction (Leung et al. 2002). However, due to the fact that failure occurred at bone-
426 tendon junction either at osteotomy line for samples at early healing or at new bone and
427 residual bone in samples with longer healing time for testing the BTJ healing complex in
428 our previous partial patellectomy model (Leung et al. 2002), we were not able to obtain
429 information on tendon mechanical properties for a correlation study. As histological
430 evaluations required intact specimens so we did not have tensile testing data generated
431 directly from these samples used for ultrasound and histological tests. However, it would
432 be possible to include the tensile testing for samples after ultrasound indentation tests in
433 our future studies although the failure load may not be delineated either for tendon or
434 new bone formation at the bone-tendon healing junction. Since the mechanical properties
435 of BTJ are highly nonlinear, to decide a suitable loading condition is a challenge. If the
436 load is low, the tendon may dominant the measured mechanical properties. If the load is
437 too high, there is a risk of failure. Nevertheless, tensile testing is an appropriate approach
438 to validate the results of the water jet indentation test reported in this study, in relation to
439 the function of bone-tendon junction. If the results can be verified, water jet ultrasound
440 indentation may provide a potential nondestructive method for the evaluation of the BTJ
441 complex.

442 In this study, we used a group of rabbit without surgery as a control and
443 demonstrated the significant difference of tissue stiffness between the control and partial
444 patellectomy groups. In general, the contralateral sites might be more preferred as a

445 control as they are from the same rabbit. However, our initial concern was the effect of
446 the surgery to the tissues of the contralateral side could not be known. If we use the
447 contralateral side for comparison, the interpretation of the result would also be
448 complicated. Nevertheless, this issue can be further investigated in future studies.

449 In summary, our novel water jet indentation was successfully used in this study to
450 measure the material properties of BTJ tissues of rabbit models after the phantom test and
451 validity test were conducted. Based on further modifications and by taking into account
452 the effects of sample boundary condition and interaction between the sample and water
453 jet, etc, the current water jet indentation system could provide a useful method to assess
454 the BTJ healing process after trauma and reconstructive surgeries, considering the finding
455 that mechanical properties directly related to the healing status. In comparison with
456 histology, the current method can provide tissue thickness and elasticity nondestructively
457 *in situ* and with no need to wait for the long time required by histology. It can be
458 predicted that the measurement *in vivo* would be more complicated because of the
459 nonlinearity, viscoelasticity, and inhomogeneity of biological tissues. We are devoted to
460 developing a theoretical solution for the interaction between water jet and tissues. With
461 such a solution, we can better understand whether different factors affecting the pressure-
462 deformation relationship will compensate with each other or not.

463

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670
671

672 **Figure Captions:**

673 Figure 1. Diagram of the ultrasound indentation system using the water jet compression.

674 The water jet was used as an indenter and focused high-frequency ultrasound was
675 employed to monitor the deformation of the soft tissue. The 3D translating device
676 facilitated the system to conduct B-scans over tissue surface. By applying different
677 pressures for B-scan sequences, the distribution of the elastic modulus was obtained with
678 the recorded pressure, deformation and tissue thickness. The fixation of the specimen in
679 the holder was elaborated with the views from different angles.

680

681 Figure 2. A picture of the cylindrical phantom used for the system validation. The
682 inclusion with a diameter of 8 mm was made of different silicones and the background
683 was made of agar-water mixture with different concentrations. The dark line in the center
684 indicates the 16-mm wide B-scan line. The data measured from the region along the line,
685 marked as “A” and “B”, were averaged to represent the values of the inclusion and
686 background materials, respectively.

687

688 Figure 3. (a) A typical control sample, i.e. intact BTJ tissue, including the patella,
689 fibrocartilage and tendon. The dashed rectangle indicates the region of interest (ROI). (b)
690 The B-scan obtained from the ROI indicated in (a). The patella, fibrocartilage and tendon
691 tissues could be identified from the ultrasound B-scan.

692

693 Figure 4. Typical strain (a) and its corresponding modulus profile (b) across the selected
694 scan line of a tissue-mimicking phantom derived from two consequent B-scan images.

695 The error bar indicates the strain and modulus variation of three repeated measurements.
696 The scan distance means the horizontal distance of a measurement region with reference
697 to the left side of the image.

698

699 Figure 5. The correlation between the moduli of the phantom materials determined using
700 the water jet indentation and those measured using the uniaxial compression. The error
701 bar indicates the standard deviation of the measurements.

702

703 Figure 6 Micrographs (H&E staining, magnification: x16) of the midsagittal sections of
704 the patella-patellar tendon (PPT) complex, normal (a), healing PPT complexes after
705 partial patellectomy at postoperative week 6 (b), week 12 (c) and week 18 (d). (a) Normal
706 PPT complex is composed of patella, patellar tendon and fibrocartilage zone connecting
707 the tendon to the bony patella. (b) At postoperative week 6, histological observation
708 reveals tissue integration and newly formed bone from the remaining patella after partial
709 patellectomy at healing junction. (c) Improved tissue integration is found, characterized
710 with more outgrowth of trabecular bone from the remaining patella and formation of
711 fibrocartilage layer at the healing junction at postoperative week 12. (d) Week 18 samples
712 are characterized with a regenerated junction morphologically similar to normal patella-
713 patellar tendon. The dashed line in each figure indicates approximate location of the
714 surgical line for removing the bone. The dotted lines indicate the contour of the patellar
715 bone (a) and newly formed bone (b-d)

Table 1. The elastic modulus of the BTJ tissues measured using the ultrasound water jet indentation system.

<i>Elastic modulus (kPa)</i>	Week 6		Week 12		Week 18	
	Control	Postoperative	Control	Postoperative	Control	Postoperative
<i>Patella</i>						
Cartilage *	490 ± 148	230 ± 98	544 ± 34	262 ± 131	479 ± 147	265 ± 41
Fibrocartilage *	107 ± 30	62 ± 22	114 ± 6	63 ± 31	116 ± 32	100 ± 28 [#]
Tendon	69 ± 21	81 ± 55	76 ± 17	93 ± 1	58 ± 16	76 ± 15
<i>Thickness (mm)</i>						
<i>Patella</i>						
Cartilage	0.56 ± 0.10	0.83 ± 0.11	0.56 ± 0.16	0.52 ± 0.08	0.49 ± 0.39	0.60 ± 0.08
Fibrocartilage *	0.26 ± 0.04	0.67 ± 0.28	0.21 ± 0.01	0.56 ± 0.35	0.30 ± 0.11	0.69 ± 0.15
Tendon **	3.76 ± 0.45	3.68 ± 1.44	3.71 ± 0.25	4.27 ± 0.46	3.58 ± 0.11	3.93 ± 0.77

* $P < 0.01$, the effect of operation factor (two-way ANOVA).

** $P < 0.05$, the effect of operation factor (two-way ANOVA).

[#] $P < 0.05$, the effect of healing time at the postoperative group.

Figure 1
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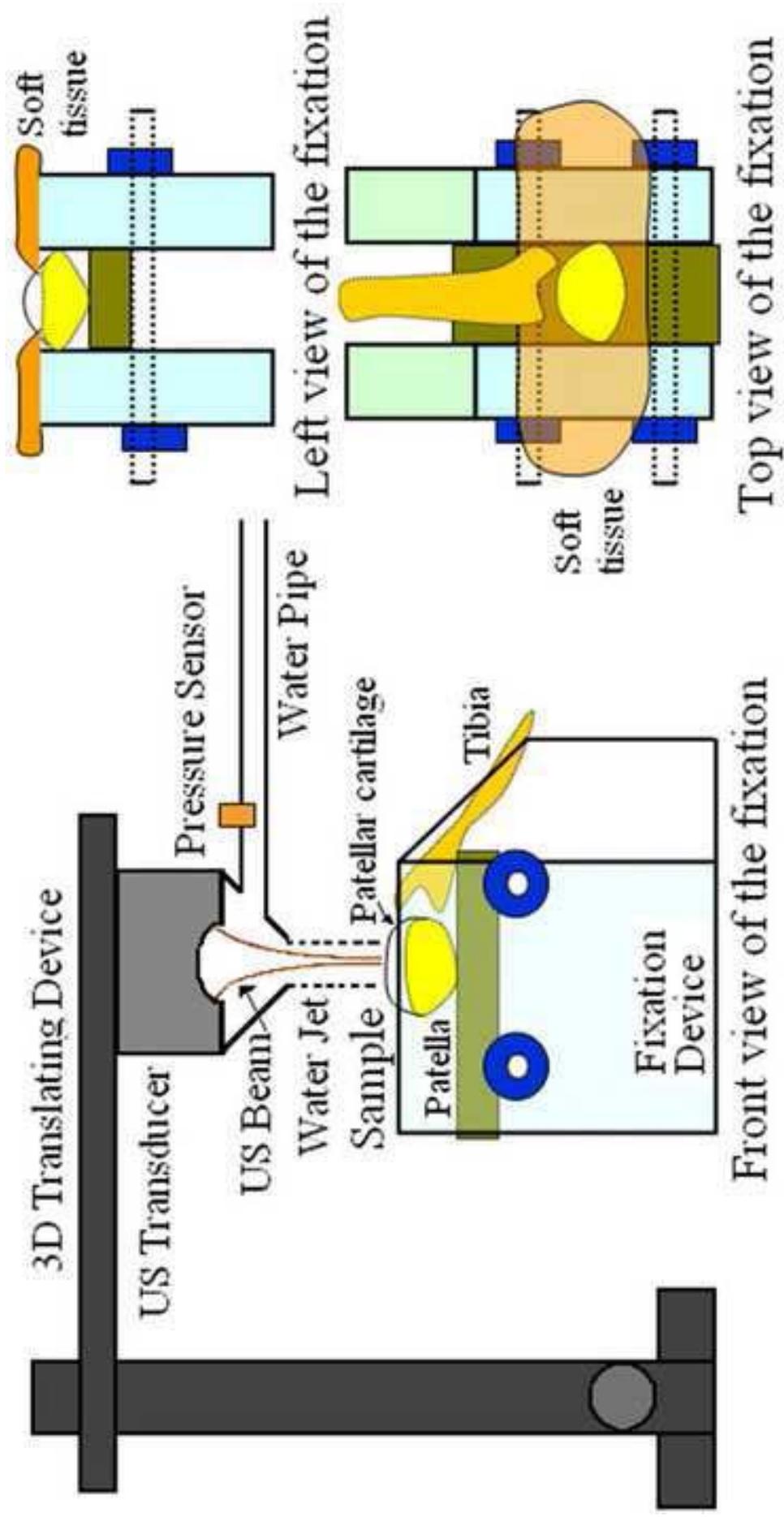


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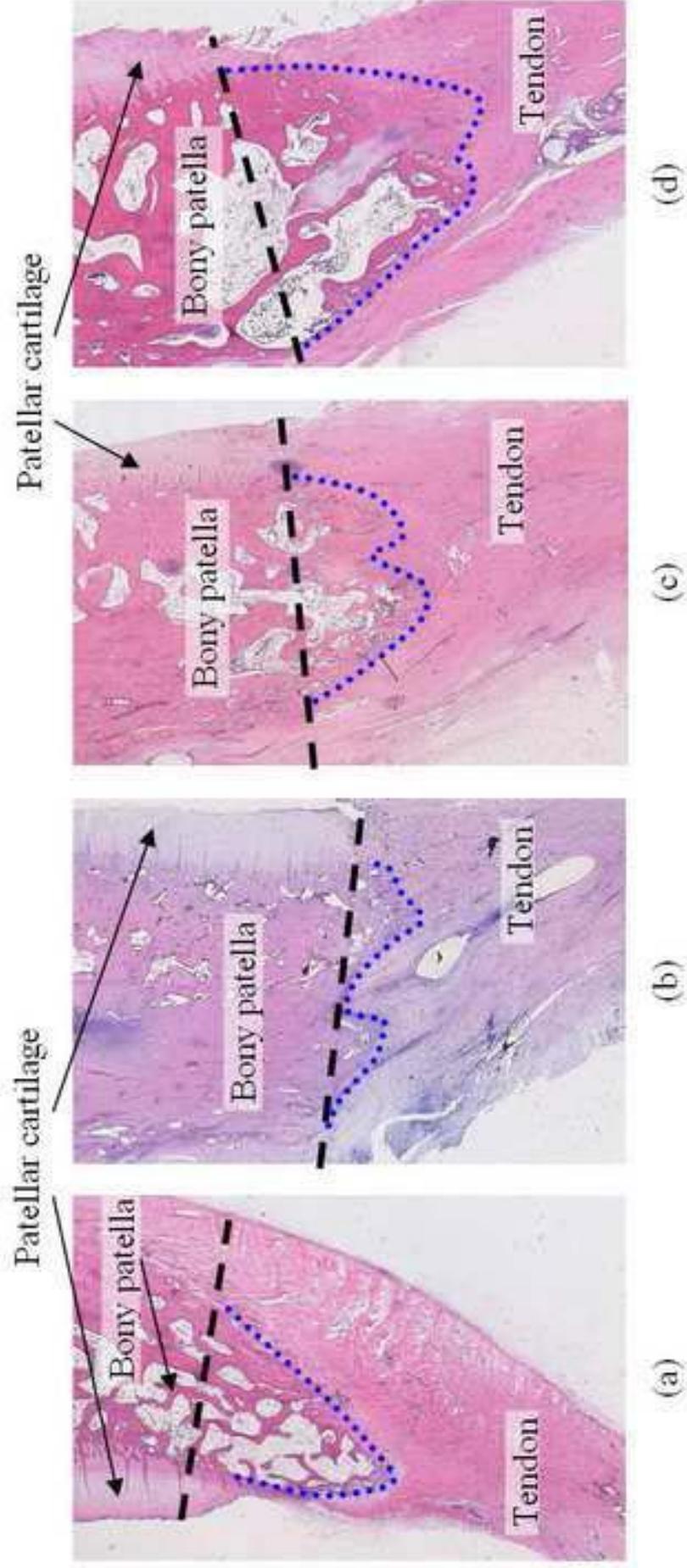


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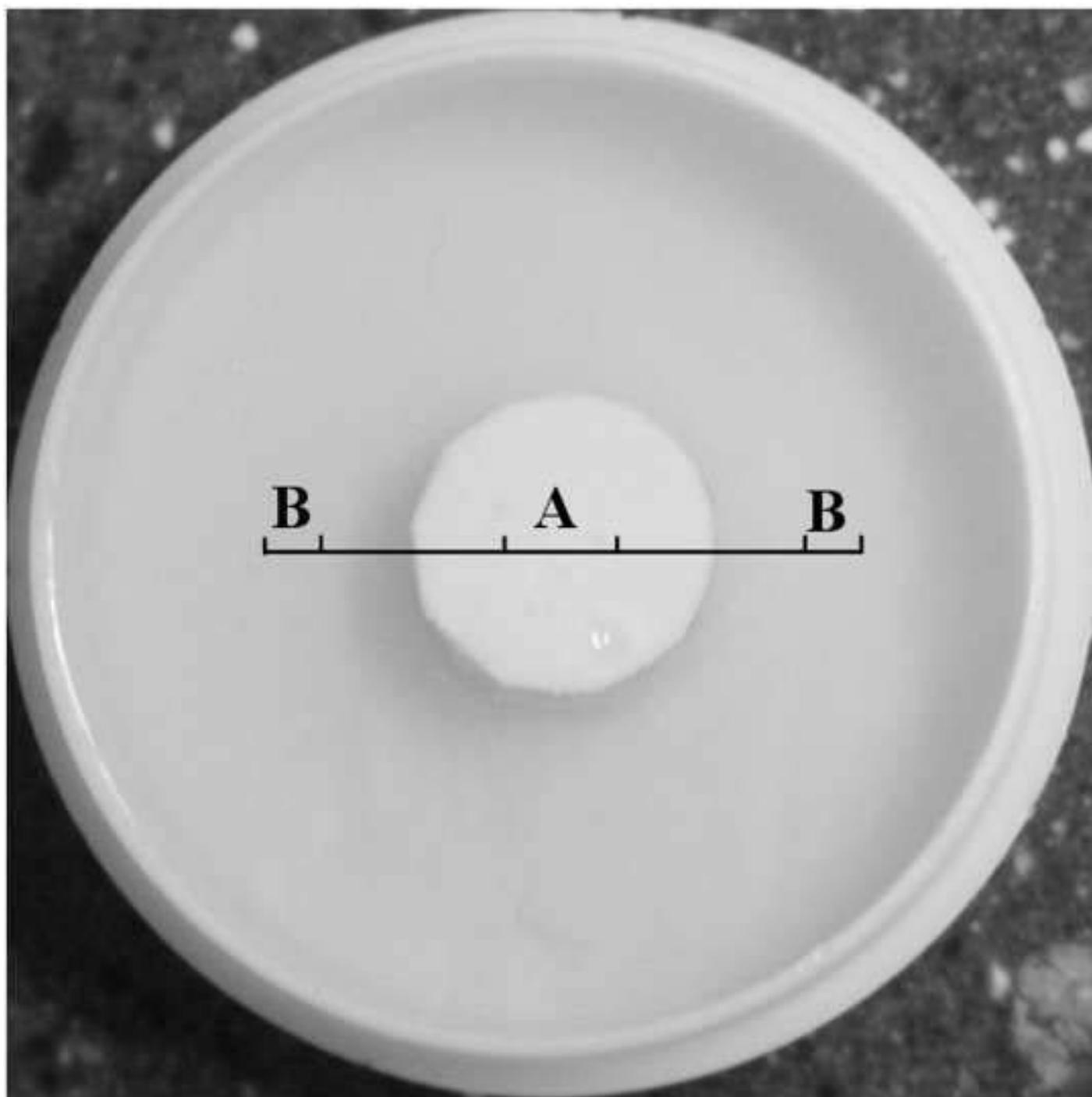


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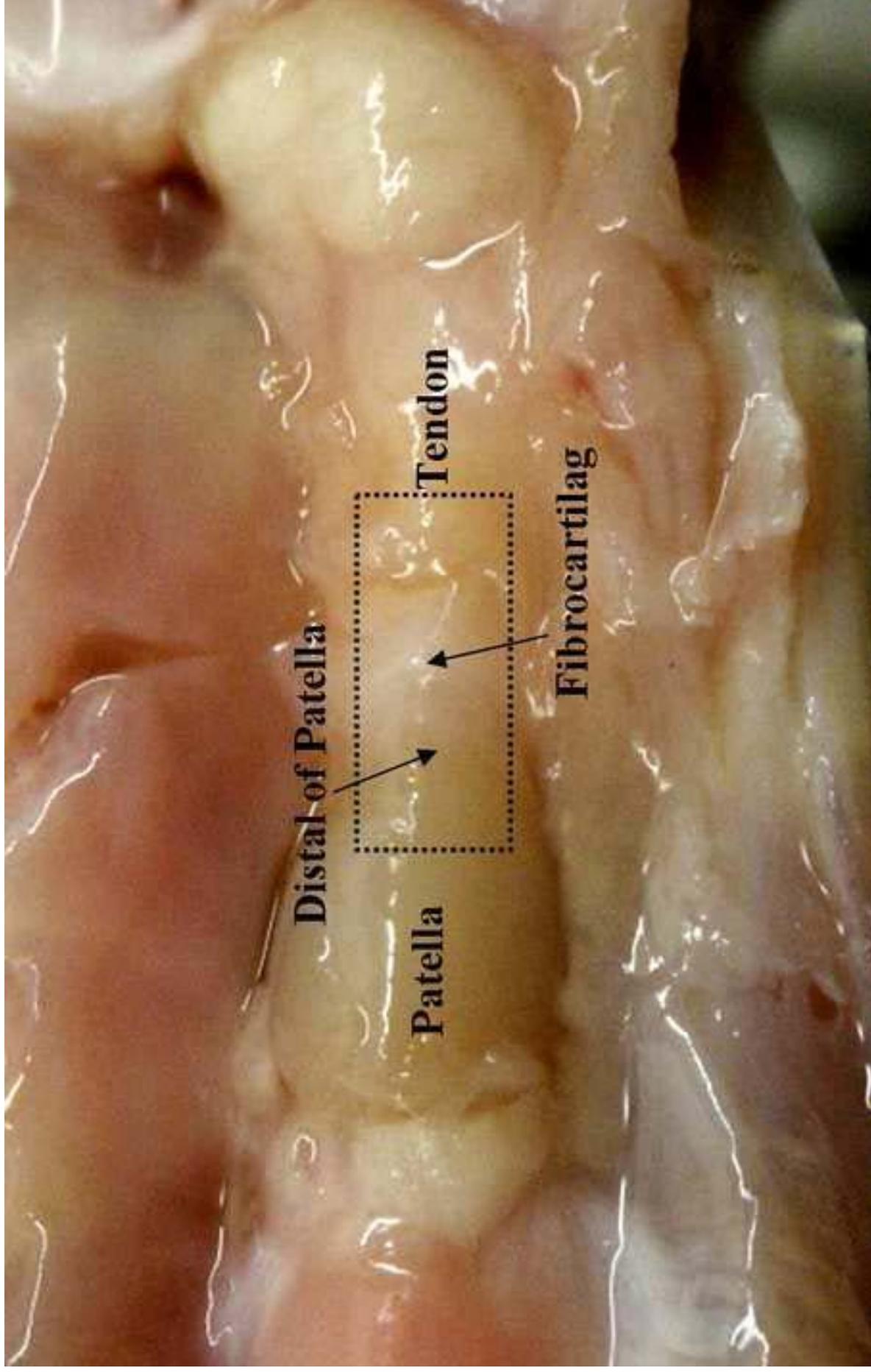


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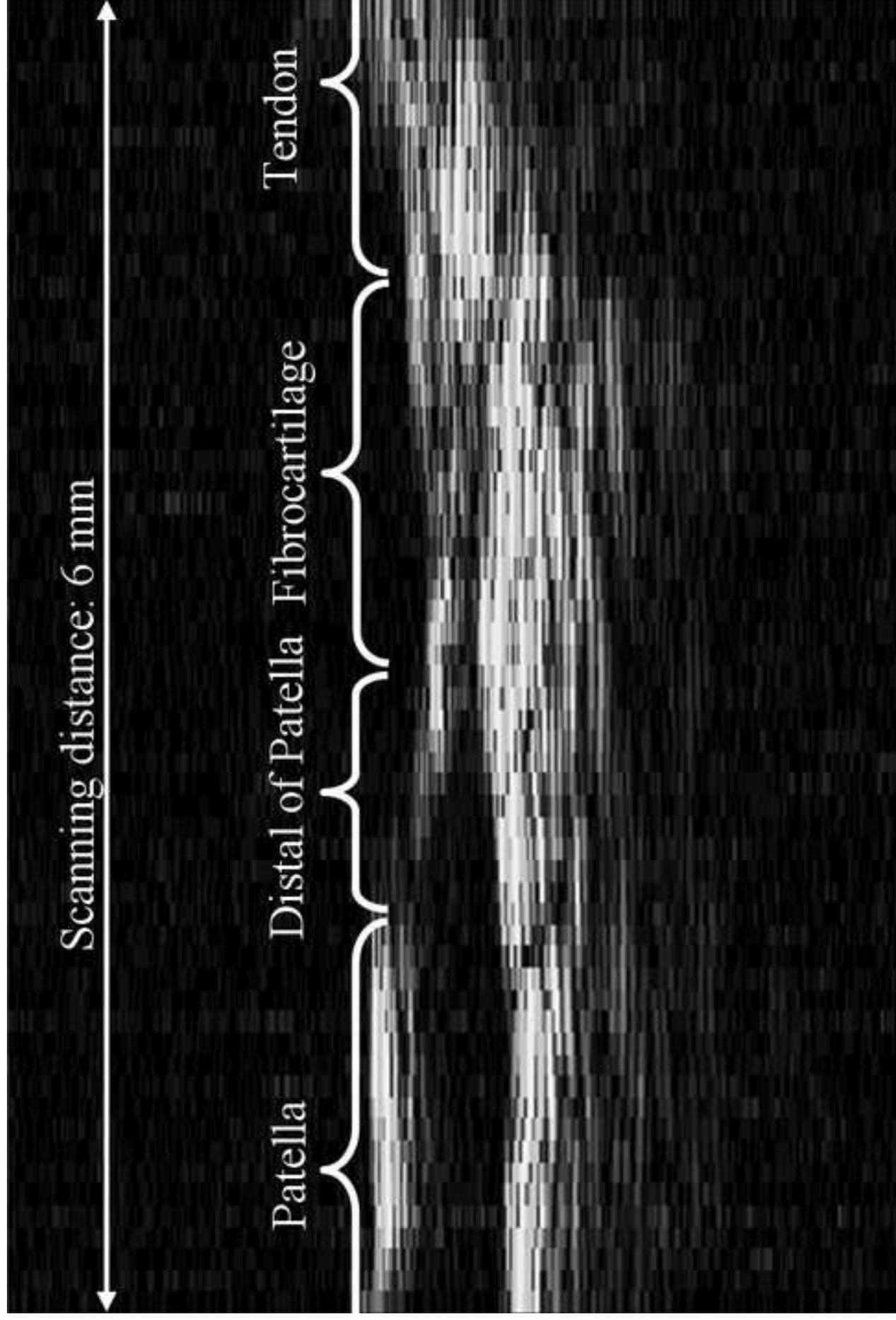


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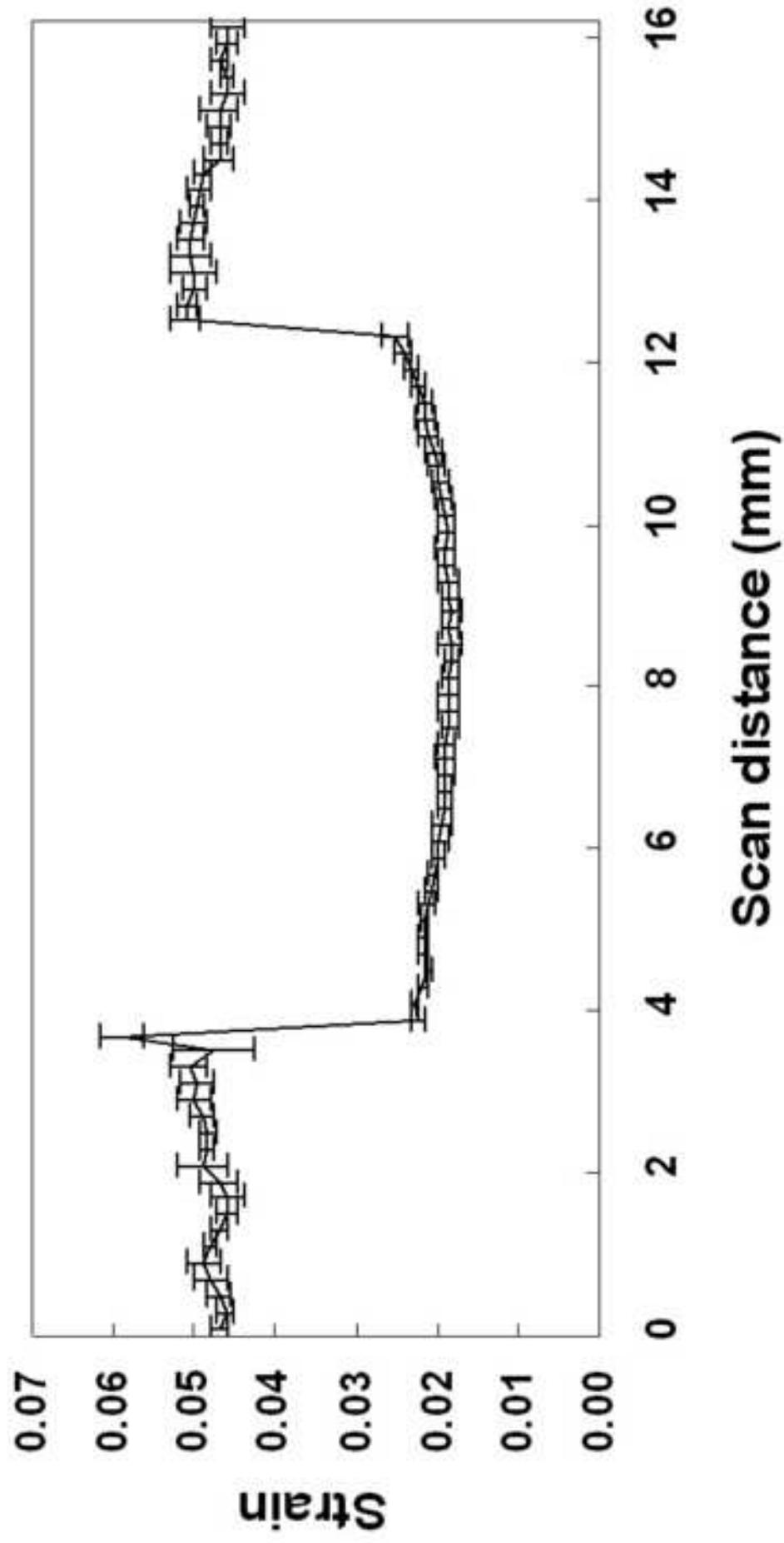


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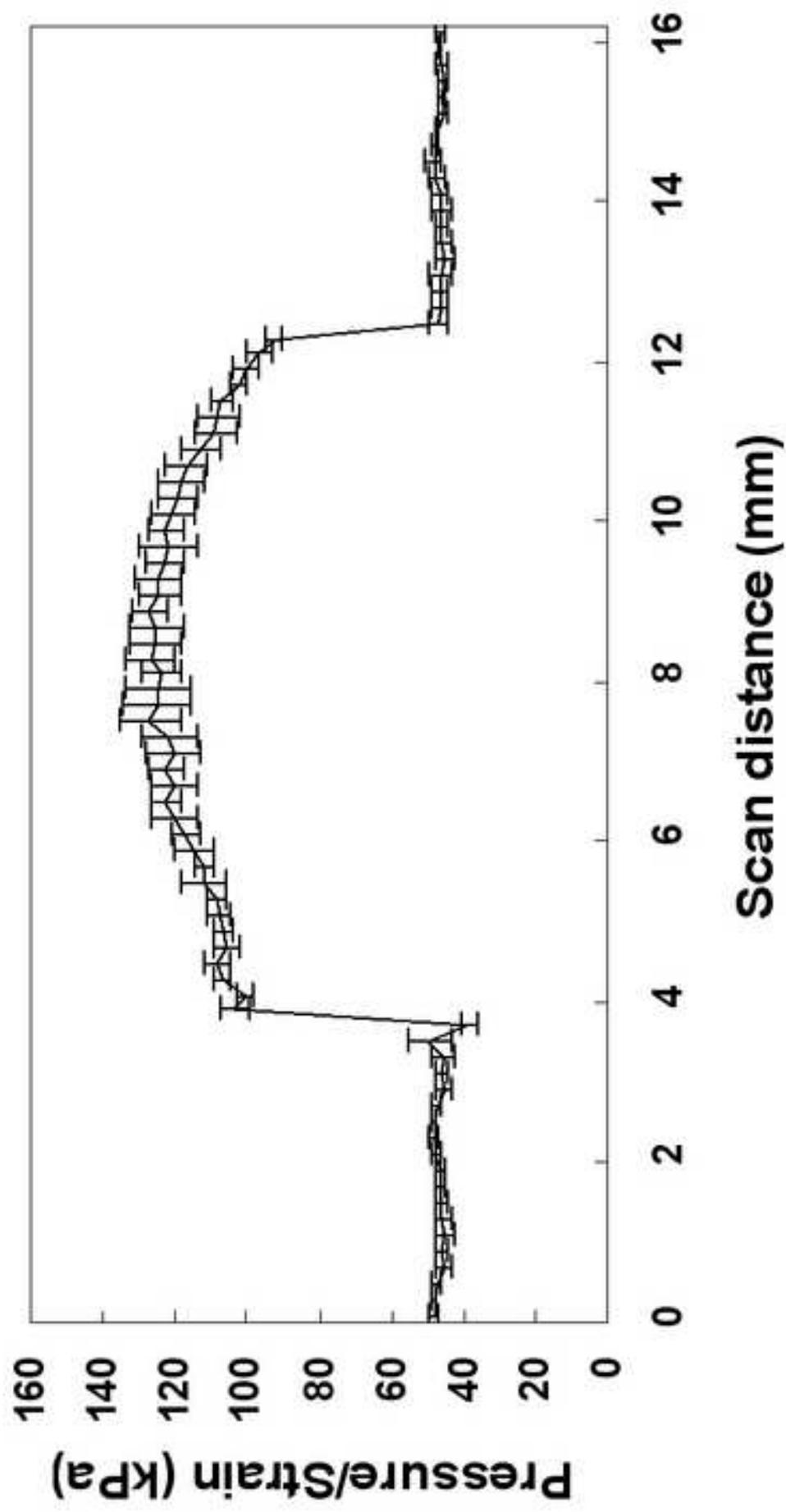


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