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# Evolution of structure and anisotropic shear stiffness of

- compacted loess during compression 2
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#### Abstract

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Different compaction conditions (water content and density) may induce various soil structures. The influence of these structures on small strain shear stiffness G seems contradictory and is not understood (e.g., denser specimens may have larger or smaller G than looser specimens after compression). Furthermore, the influence of compaction condition on stiffness anisotropy remains unclear. This study investigated the evolution of structure and anisotropic stiffness of saturated and compacted loess during isotropic compression. Specimens compacted at different water contents and densities were explored. The measured G was normalised by a void ratio function (f(e)) to eliminate density effects. Before yielding, G/f(e) increases with decreasing compaction water content and increasing density. These two trends are reversed at large stresses (2 to 3 times yield stress), implying that an initially softer structure becomes stiffer. Based on MIP, SM and SEM results, the trend reversal is likely because interparticle contacts are more strengthened and pores are more compressed in the initially softer specimens. Furthermore, the stiffness anisotropy becomes more significant with decreasing compaction water content and increasing density because of more orientated fabrics, as evidenced by the particle/aggregate directional distribution results.

37 **Keywords:** small strain shear stiffness; structure; anisotropy; loess

#### Introduction

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It has been recognised that different compaction conditions (compaction water content and dry density) can induce various soil structures, which significantly affects the engineering properties of soils (Delage et al., 1996; Mitchell and Soga, 2005; Alonso et al., 2013). So far, some researchers have studied the influence of compaction condition on the shear stiffness G at very small strains (0.001% or less), which is a crucial parameter for calculating ground movement and analysing geo-structure performance under working conditions (Atkinson, 2000; Gasparre et al., 2007; Ng and Menzies, 2007; Clayton, 2011; Bentil and Zhou, 2024; Zuo et al., 2024; Dai and Zhou, 2025). Mancuso et al. (2002) investigated the evolution of G for optimum and wet of optimum compacted specimens during isotropic compression. It was found that soil fabric became softer with increasing compaction water content. Under the saturated condition, the wet of optimum compacted specimen had about 50% and 20% smaller G than the optimum compacted specimen at mean effective stress (p') of 1 and 400 kPa, respectively. Wang et al. (2022) explored the stiffness G of compacted granite prepared at different dry densities. The results showed that G increased by about 20% when the compaction dry density increased from 1.60 to 1.92 g/cm<sup>3</sup>. In contrast, Todisco et al. (2018) found that the denser and looser Leighton Buzzard sand had identical G although their void ratios were different ( $\Delta e = 0.10$ ). Wang et al. (2021) investigated the G of saturated and compacted loess with p' increasing from 40 kPa to 1200 kPa. The denser specimen had about 20% larger G than the looser specimen at p' of 40 kPa. However, the trend was reversed when p' exceeded 800 kPa, with a smaller G in the denser specimen than the looser one.

The above results suggest that the stiffness G is affected by compaction condition, but inconsistent results are identified. For example, the denser specimens could have larger, similar or smaller G than the looser specimens although the void ratio is smaller in the former. This conclusion remains valid when comparing the stiffness normalised by a void ratio function (i.e., G/f(e)). So far, possible explanations for the inconsistent effects of compaction condition on stiffness are still absent. In addition to G, it has been acknowledged that the stiffness anisotropy is dependent on the compaction condition. For example, Hasan and Wheeler (2014) revealed that stiffness anisotropy increased with increasing compaction dry density. However, Hasan and Wheeler (2014) only reported the results at the as-compacted state and the suction effects cannot be eliminated during result interpretations. The influence of compaction condition on stiffness anisotropy remains unclear.

Loess is widely distributed in Asia, Europe, North America and Africa, covering approximately 10% of the Earth's surface (Muñoz-Castelblanco et al., 2012; Sadeghi, 2016; Mu et al., 2022). Since loess is usually characterised by an orientated soil fabric and a metastable and highly porous structure (Liu et al., 2016; Lu et al., 2019; Mu et al., 2023), studies on its small strain stiffness evolution are expected to guide the serviceability design of earthen structures in the loess area.

This study investigated the evolution of structure and anisotropic stiffness of saturated and compacted loess during isotropic compression. Specimens compacted at different water contents and dry densities were explored. The stiffness in the horizontal and vertical planes (i.e.,  $G_{hh}$  and  $G_{hv}$ ) were measured. To investigate the structure evolutions, stereomicroscope

(SM, an optical microscope), scanning electron microscope (SEM) and mercury intrusion

porosimetry (MIP) tests were carried out on specimens before and after compression.

## Tested soil and specimen preparation

*Properties of the tested soil* 

The tested loess was sampled from Xi'an, Shaanxi province of China. Soil samples were retrieved at a depth of 1.5 m from an excavated pit. Based on laboratory tests (ASTM, 2017b, 2023), the specific gravity, liquid limit and plastic limit are 2.67, 31% and 18%, respectively. Fig. 1(a) shows the particle size distribution measured by wet sieving and hydrometer (ASTM, 1998). The clay, silt and sand contents are 25.1%, 73.7% and 1.2%, respectively. More physical properties are summarised in Table 1. According to the Unified Soil Classification System (ASTM, 2017a), this soil is classified as clay of low plasticity (CL).

Specimen preparation

Compacted specimens with 76 mm in diameter and height were prepared. To prepare compacted specimens, the oven-dried soil was broken up with a rubber hammer and de-aired water was sprayed over the soil powder step by step. Between each step, the soil was mixed thoroughly with water and any clod was broken up to achieve a uniform water distribution. As the predefined water content was achieved, the soil was sieved through a 2 mm aperture sieve to reduce the clod size. The soil was then sealed inside a plastic bag for 24 hours for moisture equalisation before static compaction in a split mould.

The static compaction method was used to compact the soil inside a ring with a loading

rate of 1 mm/min. Compaction was conducted in three layers, with scarification between layers to ensure specimen homogeneity. For each layer, the compaction was stopped when the target dry density was achieved. The maximum vertical stress for compacting each specimen was summarised in Table 2. As expected, the required vertical stress increased with increasing compaction dry density and decreasing compaction water content.

To prepare specimens for microstructural analysis, the freeze-drying method was adopted for dehydration. Small cubes with an approximate volume of 10<sup>-6</sup> m<sup>3</sup> were retrieved from the specimens before and after compression. The cubes were first dipped into liquid nitrogen to preserve their structures and placed into a freeze dryer to remove frozen water through a sublimation mechanism. The drying process lasted for 24 hours, after which the cubes were used for structure analysis.

#### Test program, apparatus and procedures

Test program

Isotropic compression tests were carried out in this study, as summarised in Table 2. Specimens compacted at different water contents and dry densities were tested. The initial states of compacted specimens are shown in Fig. 1(b). Three water contents (i.e., 13.0%, 15.2% and 18.9%) and two dry densities (i.e., 1.21 and 1.52 g/cm³) were considered. The water contents correspond to the dry of optimum, optimum and wet of optimum (denoted as 'D, O and W' in Table 2), respectively. The looser and denser states ('L and D' in Table 2) correspond to 70% and 85% degrees of compaction, respectively.

As shown in Table 3, SM, SEM and MIP tests were carried out to investigate the influence of structure on stiffness evolutions. The CWD and CDD were compared to reveal the influence of compaction water content, while the CWD and CWL were used to investigate the influence of compaction dry density. The SM and SEM were employed to observe particle arrangements at relatively small (i.e., 24×) and large (i.e., 2000×) magnifications, respectively. The microstructure of each specimen was investigated before and after compression.

## Test apparatus

This study utilised a modified triaxial system (Ng and Yung, 2008; Ng et al., 2009) to conduct isotropic compression tests. The volumetric change and axial strain were continuously recorded by Global Digital System (GDS) volume controllers and linear variable differential transformers (LVDT), respectively. Two pairs of bender elements equipped with the shear wave generating and measuring systems were employed to measure the shear wave velocity and determine the small strain shear stiffness. Bender elements were installed at the mid-height of each specimen with silicon grommets.

The apparatus used for the SM, SEM and MIP tests were the Nikon SMZ1270, VEGA3 TESCAN and PoreMaster 33, respectively. The magnifications of SM and SEM equipment range from 6× to 48× and 30× to 50000×, respectively. The maximum intrusion pressure of MIP equipment is about 230 MPa.

# Test procedures

After specimens were set up in the triaxial cell, they were saturated with a back pressure of 150 kPa. The B values of all specimens were above 0.95. After saturation, each specimen

was isotropically compressed at the drained condition with the following stages: 8, 16, 30, 50, 100, 200, 300 and 400 kPa. As equilibrium was reached at each stage, the axial and volumetric strains were recorded and the shear wave travel times in the horizontal and vertical planes (i.e.,  $t_{hh}$  and  $t_{hv}$ ) were determined using the peak-to-peak method (Lee and Santamarina, 2005). The travel times  $t_{hh}$  and  $t_{hv}$  were measured with a pair of horizontally embedded bender elements. In the case of  $t_{hh}$ , the shear wave propagates and vibrates horizontally, while the shear wave propagates horizontally but vibrates vertically for  $t_{hv}$ . The stiffness is calculated using

$$\begin{cases}
G_{hh} = \rho \left(\frac{L}{t_{hh}}\right)^2 \\
G_{hv} = \rho \left(\frac{L}{t_{hv}}\right)^2
\end{cases} \tag{1}$$

where  $\rho$  is the bulk density; L is the distance between the tips of two bender elements.

Following the test program in Table 3, the compressed specimens were unloaded and dismantled from the triaxial cell and cut into small cubes for SM, SEM and MIP tests. Note that the volumetric strain was relatively small during unloading (about 3%), suggesting that the disturbance on the specimen was minimized and the structure features were representative of the loaded state.

#### Interpretations of isotropic compression behaviour

Fig. 2 shows the influence of compaction water content and dry density on the isotropic compression behaviour. The yield stress increases with decreasing compaction water content.

The specimen compacted at a lower water content can sustain a larger void ratio at a given

stress after yielding, which was attributed to different structures at different compaction water contents, as explained later. As expected, Fig. 2 shows that denser specimens have larger yield stress than looser specimens at a given compaction water content. The compression curves at looser and denser states become almost parallel when the applied stress exceeds the yield stress. In the considered stress range, the tested loess shows a transitional mode behaviour, for which the normal compression lines of specimens with different initial densities do not converge towards the same volume ( $\Delta e$  is about 0.15 in this study) (Martins et al., 2001). The transitional mode for saturated loess has been reported in previous studies such as Lee (2004) ( $\Delta e = 0.05$ ) and Xu and Coop (2017) ( $\Delta e = 0.1$ ). One postulation for the transitional mode is that strong fabrics at the microscale are difficult to break down (Todisco et al., 2018).

## Interpretations of anisotropic stiffness characteristics

Under isotropic stress states, the relationship between stiffness, mean effective stress p' and void ratio e is often described using the following equation (e.g., Viggiani and Atkinson, 1995; Mitchell and Soga, 2005; Zuo et al., 2024):

$$\begin{cases}
\frac{G_{hh}}{p_r} = A_{hh} f(e) \left(\frac{p'}{p_r}\right)^{n_h} \\
\frac{G_{hv}}{p_r} = A_{hv} f(e) \left(\frac{p'}{p_r}\right)^{(n_h + n_v)/2}
\end{cases} \tag{2}$$

where  $A_{hh}$ ,  $A_{hv}$ ,  $n_h$  and  $n_v$  are model parameters;  $p_r$  is the reference pressure (taken as 1 kPa in this study); f(e) is a void ratio function, which could take the simple form of  $e^{-1.3}$  for fine-grained soils (e.g., Jamiolkowski et al., 1995; Zuo et al., 2024). The following discussion analyses the stiffness with and without normalised by the void ratio function (i.e.,  $G_{hv}$  and

 $G_{hv}/f(e)$ ). Note that the analyses and conclusions are still valid when alternative void ratio functions, such as  $\frac{(2.17-e)^2}{1+e}$  (Iwasaki and Tatsuoka, 1977; Liu et al., 2019) and  $(1+e)^{-3}$ 

(Oztoprak and Bolton, 2013; Zhou et al., 2015), are employed.

Influence of compaction dry density on the stiffness evolution

Fig. 3 shows the influence of compaction dry density on the anisotropic stiffness. Before compression, the  $G_{hv}$  of denser specimens is about 140%, 100% and 60% larger than that of looser specimens compacted at the dry of optimum, optimum and wet of optimum, respectively. As stress increases, the  $G_{hv}$  values of looser and denser specimens progressively become almost identical, although different void ratios are still observed (see Fig. 2). The results that looser and denser specimens have nearly identical stiffness were also reported by Todisco et al. (2018) for Leighton Buzzard quartz sand ( $\Delta e = 0.1$ ). The possible reason for the identical stiffness under different void ratios is given based on the SEM and MIP analysis.

Fig. 4 shows the SEM results of wet of optimum specimens compacted at looser and denser states (i.e., CWL and CWD). For each sample, three SEM images taken from random locations are exhibited. Before compression, Fig. 4(a) and (b) show that the silt particles are coated by clay particles, and abundant interparticle pores are observed. These interparticle pores are compressed and interparticle contacts are enhanced after compression, as shown in Fig. 4(c) and (d). Comparing the SEM results between looser and denser specimens after compression (Fig. 4(c) and (d)), qualitatively comparable particle contacts are observed.

Fig. 5 shows the pore size distributions (PSDs) of looser and denser specimens before and after compression. Fig. 5(a) reveals that both looser and denser specimens show multi-modal

PSDs before compression. The pores with dominate diameters of about 100 µm correspond to the inter-clod pores, which is induced by the relatively larger compaction water content and is also observed in compacted loess by Zhan et al. (2014). The dominant inter-clod pore diameter decreases from 110 µm to 70 µm with increasing density, leading to a larger stiffness in the denser specimen before compression. Moreover, increasing density has limited impacts on the pores with diameter less than 10 μm, which is consistent with the observation in SEM results (Fig. 4). With increasing stress from 1 to 400 kPa, the multi-modal PSDs are compressed to bimodal PSDs for both looser and denser specimens, as shown in Fig. 5(b). Compared to the denser specimen, more pores with a dominant diameter of 0.3 µm exist for the looser specimen. Based on the SEM results shown in Fig. 4, the different PSDs between looser and denser specimens after compression have limited effects on the interparticle contacts. Previous studies have illustrated that interparticle contact is the governing factor for stiffness G (Cascante and Santamarina, 1996; Tang et al., 2011; Asadi et al., 2020; Khosravi et al., 2020; Vahedifard et al., 2020; Akin et al., 2021; Ying et al., 2021). Therefore, the comparable interparticle contacts lead to a similar  $G_{hv}$  in looser and denser specimens after compression. Comparing Fig. 5(a) and (b), it can be concluded that more pores are compressed in the looser specimen than the denser specimen. The more compressed pores in the looser specimen are corresponding to its larger volumetric strains (Fig. 2) and more significant increases in  $G_{hv}/f(e)$  (Fig. 3) than the denser specimen.

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Fig. 6 shows the variation of stiffness anisotropy  $G_{hh}/G_{hv}$  with stress for all compacted

Influence of compaction dry density on the stiffness anisotropy

specimens. At the same compaction water content, stiffness anisotropy is more predominant in the denser specimens than the looser specimens, which is because soil particles/aggregates become more horizontally orientated with increasing compaction effort. As isotropic stress increases, the ratio  $G_{hh}/G_{hv}$  changes towards unit for all specimens, indicating that the soil fabric becomes isotropically distributed. The stress corresponding to a unit value of  $G_{hh}/G_{hv}$  for denser specimens is about 100 to 200 kPa, which is approximately five times larger than the yield stress. The above observations indicate that particle orientation can be completely changed as stress is larger than five times the yield stress. This stress range is similar to the results of Mitaritonna et al. (2014), who observed that a complete modification of stiffness anisotropy in the reconstituted Lucera clay can be achieved when the applied stress was four times larger than its yield stress.

Influence of compaction water content on the stiffness evolution

Fig. 7(a) and (b) show the influence of compaction water content on the stiffness ( $G_{hv}$  and  $G_{hv}/f(e)$ ) at looser and denser states, respectively. It can be observed that the influence of compaction water content on  $G_{hv}$  and  $G_{hv}/f(e)$  follows the same trend, thus the following analysis mainly focuses on the normalised stiffness. Before compression, the  $G_{hv}/f(e)$  decreases with increasing compaction water content. The wet of optimum specimens have about 5% and 25% smaller  $G_{hv}/f(e)$  than the dry of optimum specimens at looser and denser states, respectively. The trend is reversed when stress increases to 400 kPa, where  $G_{hv}/f(e)$  increases with increasing compaction water content. At the post-compression state, the wet of optimum specimens have about 25% and 30% larger  $G_{hv}/f(e)$  than the dry of optimum

specimens at looser and denser states, respectively. The  $G_{hv}/f(e)$  decreases with increasing compaction water content has been observed in previous studies such as Mancuso et al. (2002). 248 However, the wet of optimum specimen with a larger  $G_{hv}/f(e)$  than the dry of optimum 249 specimen has not been reported. Fig. 8 shows the variations of parameters  $A_{hv}$  and  $\frac{n_h+n_v}{2}$  with 250 compaction water content. The values of these two parameters are obtained from the results in 251 Fig. 7. The parameter  $A_{hv}$  decreases and  $\frac{n_h + n_v}{2}$  increases with increasing compaction water 252 content for both looser and denser specimens. The variation of  $A_{hv}$  suggests that the stiffness 253 254 before compression decreases with increasing compaction water content. The variation of  $\frac{n_h + n_v}{2}$  indicates that the stiffness increases more significantly with stress for the specimen with 255 a larger compaction water content, which is consistent with the observation in Fig. 7. To reveal 256 the underlying mechanism for the above observations (i.e., the initial stiffness and stiffness 257 258 evolution), the influence of compaction water content on the soil structure is investigated, as 259 shown in Figs. 9 and 10. Fig. 9 shows the SM (i.e., ×24) and SEM (i.e., ×2000) results of denser specimens 260 261

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compacted at different water contents. Similar to Fig. 4, three images at different random positions are given for each sample. As shown in the SM results given in Fig. 9(a) and (b), the soil clod size increases with increasing compaction water content. For the wet of optimum specimen, soil clods larger than 1000 µm and inter-clod pores of about several hundred micrometers are observed. As shown in the SEM results (Fig. 9(c) and (d)), the dry of optimum specimen shows an aggregated structure and abundant interaggregate pores of about 10 µm are observed. In contrast, the silt particles are coated by clay particles for the wet of optimum aggregated structure for the dry of optimum specimen, and silt particles enveloped by clay for the wet of optimum specimen. The SM and SEM results imply that increasing compaction water content converts the "aggregated structure" to the "structure featured by clods".

Fig. 10(a) shows the PSDs of specimens with different compaction water contents before compression. The dry of optimum specimen has a dominant pore diameter of 12 μm, which corresponds to the interaggregate pores observed in SEM results (Fig. 9(b)). While the wet of optimum specimen has a peak at a diameter of 70 μm, which corresponds to the inter-clod pores observed in SM results (Fig. 9(a)). Fig. 10(b) compares the PSDs of dry and wet of optimum specimens at the post-compression state. The relatively large pores (i.e., the pores at 12 μm and 70 μm) are compressed after compression. More importantly, the PSD of the wet of optimum specimen is more significantly altered than the dry of optimum specimen with increasing stress, demonstrated by its larger inter-clod pores before compression but fewer pores between 1 to 10 μm after compression. Similarly, Oualmakran et al. (2016) observed that the dry of optimum specimen had larger pores than the wet of optimum specimen after isotropic compression with a mean effective stress of 1600 kPa.

Based on the SM, SEM and MIP results, the influence of compaction water content on the initial stiffness and its evolution can be explained. The 1-D compaction for the wet of optimum specimens induces a structure featured by clods (Fig. 9). The inter-clod pores are much larger (see Fig. 10) and more easily compressed (see Fig. 2) than the interaggregate pores in the dry of optimum specimens. Therefore, the wet of optimum specimens before compression are

softer than the dry of optimum specimens, resulting in a smaller  $G_{hv}/f(e)$  in the former, as shown in Fig. 3. With increasing stress, the more compressed pores and thus more strengthened interparticle contacts for the wet of optimum specimens induces more sensitive  $G_{hv}/f(e)$  to stress (i.e., larger  $\frac{n_h+n_v}{2}$  value in Fig. 8) and larger  $G_{hv}/f(e)$  after compression (Fig. 3) than the dry of optimum specimens.

Influence of compaction water content on the stiffness anisotropy

The variation of stiffness anisotropy  $G_{hh}/G_{hv}$  with compaction water content can be identified from Fig. 6. Before compression, the stiffness anisotropy is more significant with decreasing compaction water content. At the denser state, the  $G_{hh}/G_{hv}$  is 0.70, 0.89 and 0.91 for specimens compacted at dry of optimum, optimum and wet of the optimum, respectively. With increasing stress, the  $G_{hh}/G_{hv}$  changes towards unit for all specimens, but a larger stress is required for the dry of optimum specimens. The required larger stress is mainly attributed to its more predominantly initial anisotropy. The results suggest that variation in the compaction water content not only has an impact on the stiffness but also the stiffness anisotropy.

To reveal the mechanism for the influence of compaction water content on the stiffness anisotropy, the SEM results of specimens CWD and CDD before compression were used to characterise the directional distributions of soil particles/aggregates. Fig. 11(a) to (c) show the procedures on the identification of particle/aggregate direction. Firstly, the SEM images were imported into the software ImageJ for the identification of particle/aggregate edges (see Fig. 11(b)). Afterwards, particles/aggregates were fitted by ellipse as shown in Fig. 11(c) (Chow et al., 2019; Chen et al., 2023). The length and orientation of these ellipses were summarised by

the ImageJ software, and the wind rose diagrams for particle/aggregate direction distributions are given in Fig. 11(d). Barton (1974) suggested that at least 400-500 particles should be identified to provide a representative fabric orientation. Therefore, approximately 60 SEM images (about 700-800 particles/aggregates) were analysed in each wind rose diagram. As expected, uniform directional distributions are identified for both specimens in the horizontal plane. In the vertical plane, the particle/aggregate tends to lie horizontally, suggesting a horizontal orientation for both specimens. The horizontally orientated particles/aggregates are induced by the larger stress in the vertical direction than in the horizontal direction during 1-D compaction. More importantly, more particles tend to lie horizontally for the dry of optimum specimen in the vertical plane than the wet of optimum specimen. The more horizontally orientated fabrics for the dry of optimum specimen lead to more anisotropic stiffness than the wet of optimum specimen, as shown in Fig. 6.

## Discussion

As shown in previous sections, soil structure significantly affects the stiffness. Based on the above results, the ratio of normalised stiffness  $\left[\frac{G_{hv}}{f(e)}\right]_i / \left[\frac{G_{hv}}{f(e)}\right]_j$  is calculated, where the subscriptions "i" and "j" refer to the specimens with initially larger and smaller  $G_{hv}/f(e)$ , respectively. Fig. 12 summarises the variation of  $\left[\frac{G_{hv}}{f(e)}\right]_i / \left[\frac{G_{hv}}{f(e)}\right]_j$  with respect to the normalised stress  $p'/p'_{yi}$ , where  $p'_{yi}$  is the yield stress of the specimen with initially larger stiffness. The influence of compaction water content and dry density is considered. Before compression, the  $G_{hv}/f(e)$  increases with decreasing compaction water content and increasing dry density.

However, these two trends are reversed with increasing stress, with the value of  $\left[\frac{G_{hv}}{f(e)}\right]_i / \left[\frac{G_{hv}}{f(e)}\right]_j$  being larger and smaller than 1 before and after compression, respectively. Based on the SM, SEM and MIP results, the trend reversal is most likely because of structure evolutions, where the interparticle contacts are more strengthened and pores are more compressed in the initially softer specimens than the initially stiffer specimens. Further inspections of Fig. 12 show that  $\left[\frac{G_{hv}}{f(e)}\right]_i / \left[\frac{G_{hv}}{f(e)}\right]_j = 1$  is obtained when  $p'/p'_{yi}$  falls in the range of 2 to 3. The observation suggests that the soil structure is significantly altered at this stress range, inducing remarkable changes in soil stiffness.

Moreover, this study suggests that both A ( $A_{hh}$  and  $A_{hv}$ ) and n ( $n_h$  and  $n_v$ ) in equation (2) vary with structure (see Fig. 8). The varied A and n indicate that the common assumption in the literature (i.e., only A accounts for structure effects but n is constant and structure-independent) may not be appropriate. One potential method to modify equation (2) with incorporating structure effects is to correlate both A and n to a structure parameter while considering the structure evolutions with stress. The adoption of structure-dependent A and n is recommended for predicting ground movement and analysing the serviceability limit states of earthen structures.

# **Conclusions**

This study investigated the evolution of anisotropic stiffness and structure of saturated and compacted loess during isotropic compression. The influence of compaction condition (water content and dry density) was explored. The main conclusions are summarised as follows:

Before compression, the specimen becomes stiffer with decreasing compaction water content and increasing compaction dry density. The  $G_{hv}/f(e)$  of dry optimum specimen is larger than that of wet of optimum, which is because the aggregated structure (dry of optimum) is stiffer than the structure featured by colds (wet of optimum). Due to the larger compaction effort, denser specimens have larger  $G_{hv}/f(e)$  than looser specimens.

It is newly found in this study that the  $G_{hv}/f(e)$  of initially stiffer specimens becomes smaller than that of initially softer specimens when the stress exceeds 2 to 3 times the yield stress of initially stiffer specimens. After compression, larger  $G_{hv}/f(e)$  is observed in the initially softer specimens (the specimens compacted at larger water content and smaller dry density). This is likely because interparticle contacts are more strengthened and pores are more compressed in the initially softer specimens. This observation provides a possible explanation for why the soil structure can induce either larger or smaller stiffness observed in the literature. Moreover, using structure-dependent A and n gives more reliable predictions when calculating the deformation of geostructures.

The stiffness anisotropy is more significant with increasing compaction dry density and decreasing compaction water content. Based on the directional distribution results (i.e., wind rose diagram), the more significant anisotropy is because of the more orientated fabrics in the specimen compacted at higher density and lower water content.

# **Declaration of Competing Interest**

The authors declared that there is no conflict of interest.

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374	Data availability
375	SEM images analysed during wind rose diagram are publicly available from figshare server
376	at https://figshare.com/s/a0c91e3adc99da5236c2. Data generated or analyzed for other results
377	are provided in full within the article.
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Table 1. Geotechnical index of tested material

Parameter	Value
Specific gravity, $G_s$	2.67
Grain-size distribution: % (ASTM, 1998)	
Clay fraction (<0.005 mm)	25.1
Silt fraction (0.005 mm - 0.075 mm)	73.7
Sand fraction (0.075 mm - 4.75 mm)	1.2
Gravel fraction (4.75 mm - 75 mm)	0
Liquid limit, $w_L$ : %	31
Plastic limit, $w_p$ : %	18
Plasticity index: %	13
Unified Soil Classification System (ASTM, 2017a)	CL

**Table 2.** Test program and specimen initial states

Tuble 2. Test program and specimen initial states						
	Vertical stress	Initial water	Initial dry	Initial		
Specimen ID	during preparation	content	density	void ratio		
	σ <sub>v0</sub> : kPa	<i>w</i> <sub>0</sub> : %	$\rho_{d0}$ : g/cm <sup>3</sup>	$e_0$		
CDL	220	13.5	1.19	1.22		
CDD	500	13.3	1.50	0.78		
COL	130	15.3	1.20	1.22		
COD	250	15.6	1.50	0.78		
CWL	35	18.5	1.23	1.17		
CWD	170	18.6	1.52	0.75		

Notes:

<sup>&#</sup>x27;C' is compacted; 'D, O and W' indicate dry of optimum, the optimum and wet of the optimum, respectively; 'L and D' represent the looser and denser states, respectively.

 Table 3. Specimens for microstructure analysis

Specimen identity	Soil state	Analysis method
CDD	Before and after compression	SM, SEM and MIP
CWL	Before and after compression	SEM and MIP
CWD	Before and after compression	SM, SEM and MIP

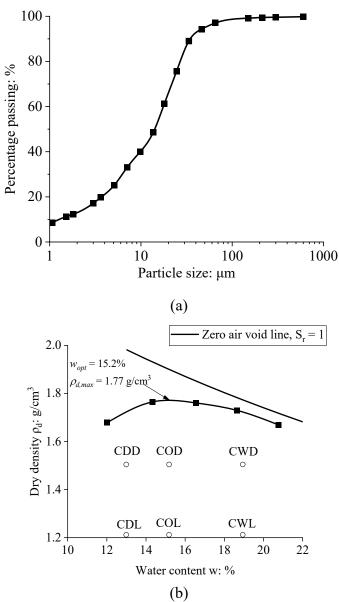


Fig. 1. Basic properties of tested loess: (a) particle size distribution; (b) compaction curve and specimen initial states

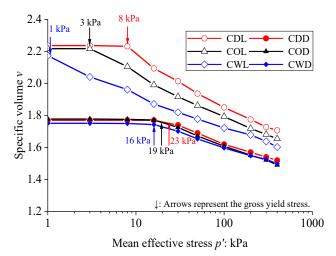


Fig. 2. Isotropic compression curves

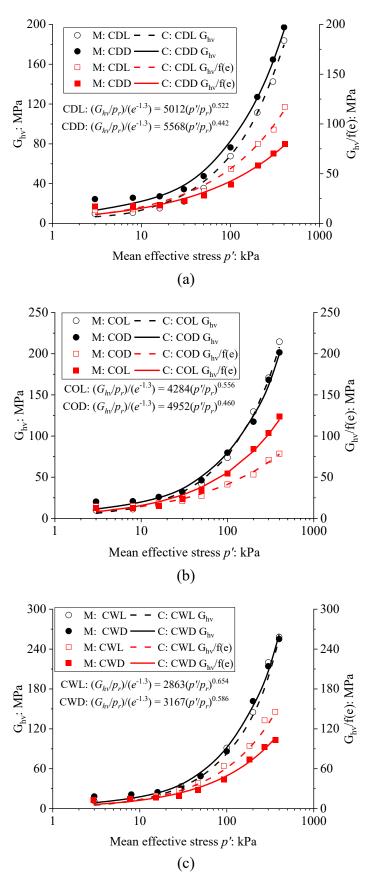


Fig. 3. Effects of compaction dry density on the stiffness  $G_{hv}$  and normalised stiffness  $G_{hv}/f(e)$ : (a) dry of optimum; (b) optimum; (c) wet of optimum (M/C: measured/computed)

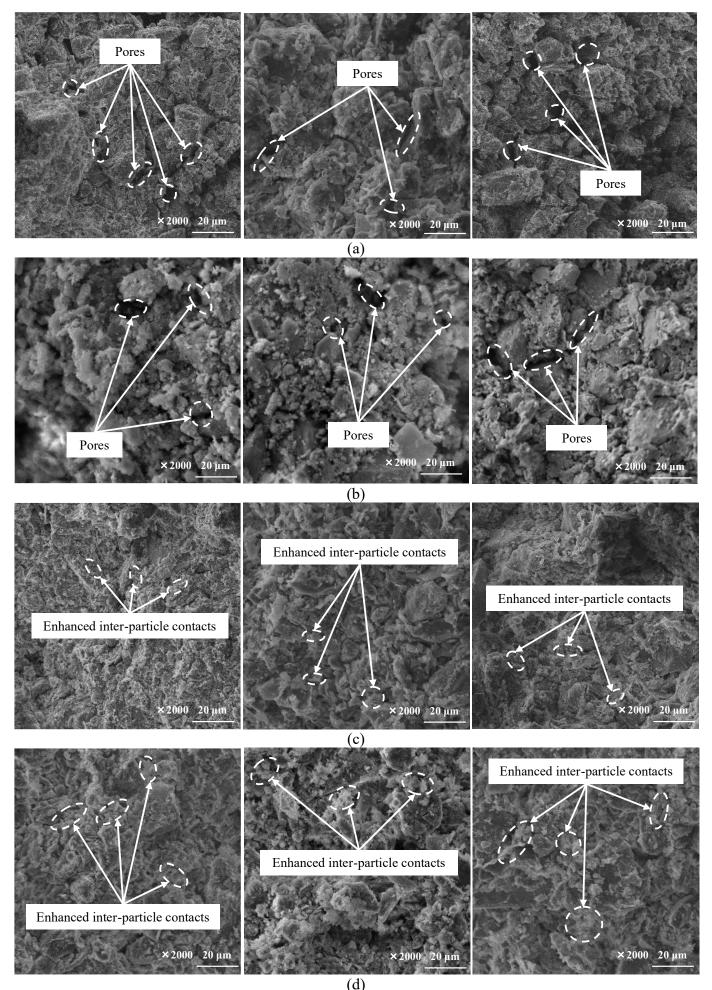
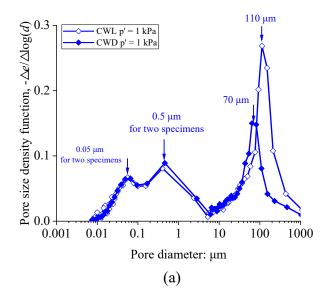


Fig. 4. SEM results of specimens with different compaction densities: (a) CWL before compression; (b) CWD before compression; (c) CWL after compression; (d) CWD after compression



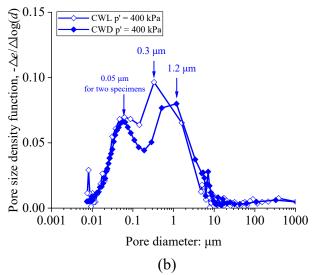


Fig. 5. MIP results of specimens with different compaction densities: (a) before compression; (b) after compression

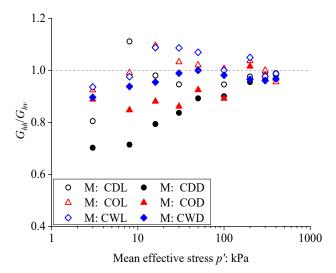


Fig. 6. Stiffness anisotropy  $G_{hh}/G_{hv}$  for compacted specimens

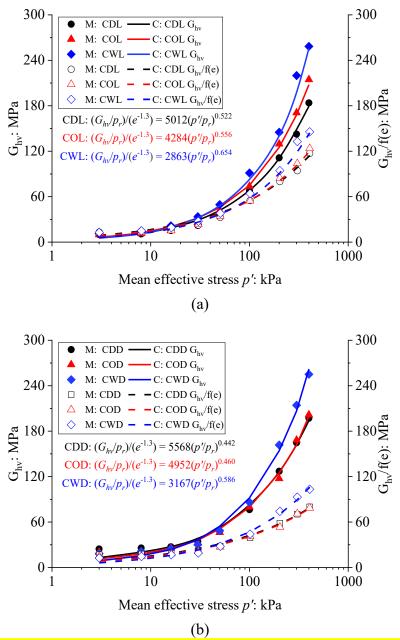
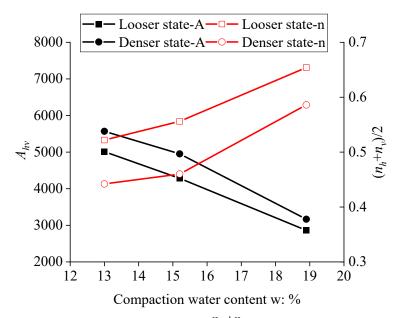


Fig. 7. Effects of compaction water content on the stiffness  $G_{hv}$  and normalised stiffness  $G_{hv}/f(e)$ : (a) looser state; (b) denser state



**Fig. 8.** Variations of parameters  $A_{hv}$  and  $\frac{n_h + n_v}{2}$  with compaction water content

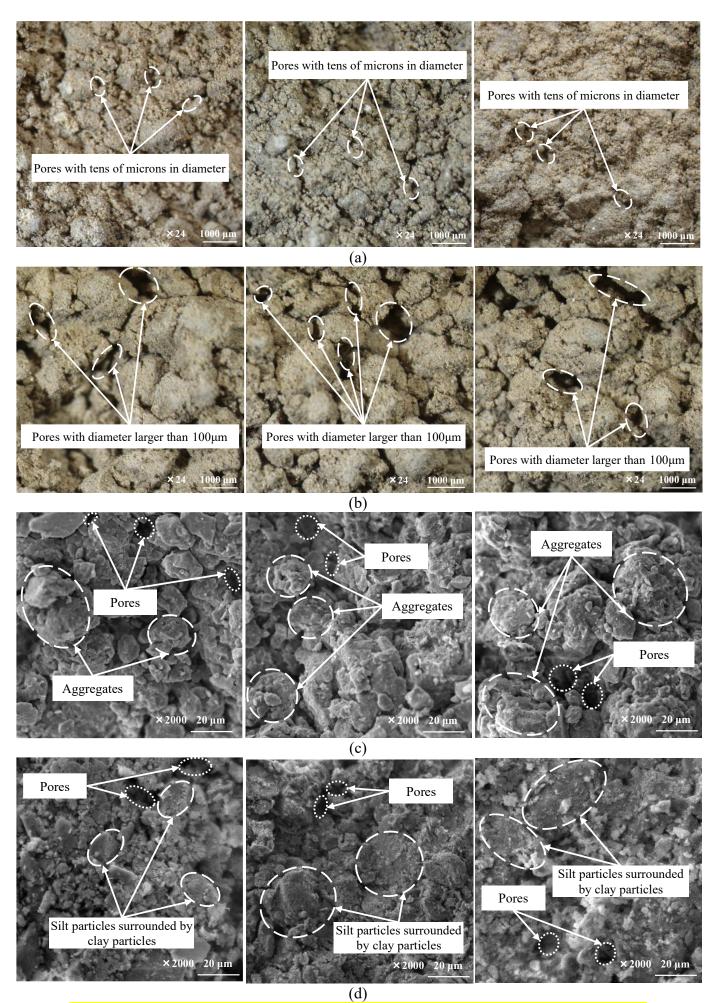
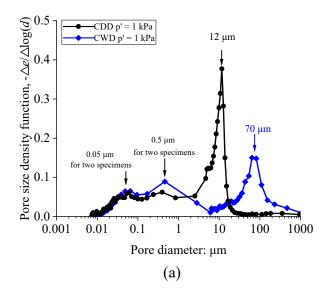


Fig. 9. SM and SEM results: (a) CDD-SM; (b) CWD-SM; (c) CDD-SEM; (d) CWD-SEM



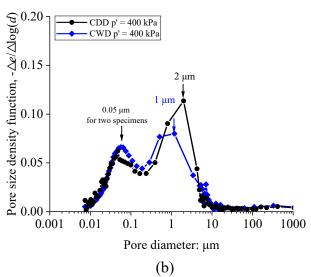


Fig. 10. MIP results of specimens with different compaction water contents: (a) before compression; (b) after compression

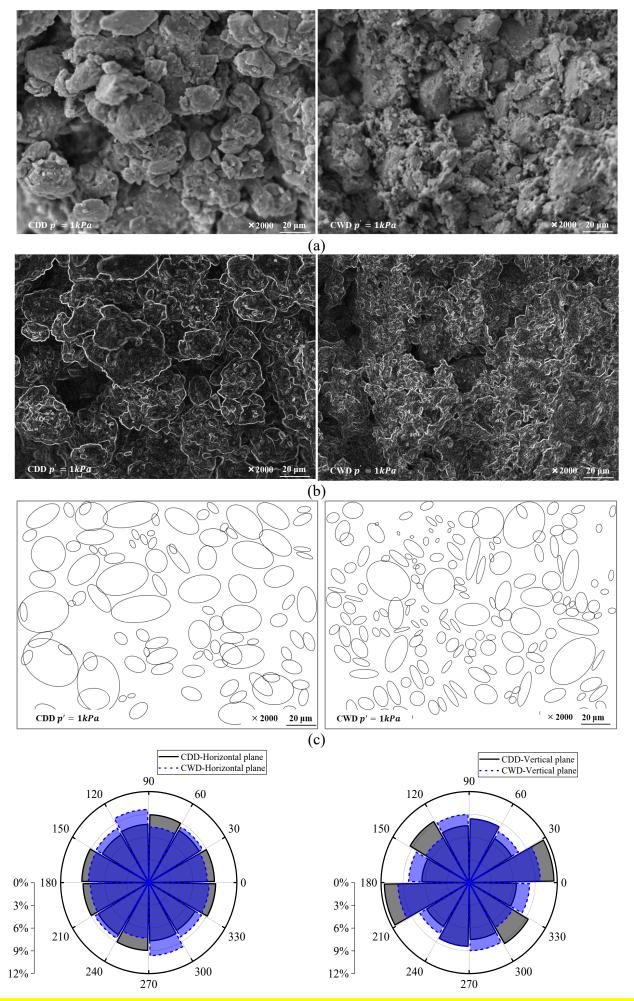


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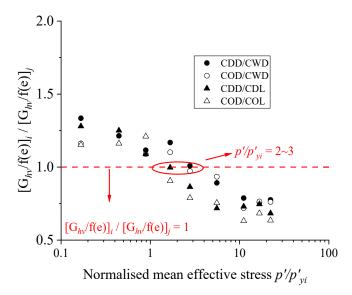


Fig. 12. The variation of normalised stiffness ratio with normalised mean effective stress