1	Title: Cardiovascular Stress Induced by Whole-Body Vibration Exercise in Individuals
2	with Chronic Stroke
3	Authors:
4	Lin-Rong Liao (MPT) ^{1,2} , Gabriel Y.F. Ng (PhD) ² , Alice Y.M. Jones (PhD) ³ , Marco Y.C. Pang
5	(PhD) ^{2*}
6	
7	Affiliations:
8	¹ Department of Physiotherapy, Guangdong Provincial Work Injury Rehabilitation Hospital,
9	Guangzhou, CHINA
10	² Department of Rehabilitation Sciences, Hong Kong Polytechnic University, Hong Kong,
11	CHINA
12	³ School of Allied Health Sciences, Griffith University, AUSTRALIA
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14	Running head: Whole body vibration and stroke
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16	*Corresponding author:
17	Marco Y.C. Pang
18	Department of Rehabilitation Sciences,
19	Hong Kong Polytechnic University,
20	Hung Hom, Hong Kong, China
21	Tel: +852-2766-7156
22	Fax: +852-2330-8656
23	E-mail: <u>Marco.Pang@polyu.edu.hk</u>

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48 Abstract

49 Background. While whole-body vibration (WBV) has sparked tremendous research interest in 50 neurorehabilitation, the cardiovascular responses to WBV in people with stroke remains 51 unknown.

52 **Objective.** To determine the acute effect of different WBV protocols on oxygen consumption 53 (VO₂), heart rate (HR), rate of perceived exertion (RPE), blood pressure (BP), and rate-pressure 54 product (RPP) during the performance of six different exercises among people with **chronic** 55 **stroke (time since onset \geq6 months).**

56 Design. Repeated measures design.

57 Methods. Each of the 48 participants experienced all three WBV protocols in separate 58 sessions: (1) no WBV, (2) low-intensity WBV [peak acceleration: 0.96 unit of gravitational 59 constant (G)], and (3) high-intensity WBV (1.61G). The order in which they encountered the 60 WBV protocols was randomized, as was the order of exercises performed during each 61 session. VO₂, HR and RPE were measured throughout. BP and RPP were measured before and 62 after each session.

Results. Low-intensity and high-intensity WBV induced significantly higher VO₂ by an average of 0.69 and 0.79ml/kg/min respectively ($P \le 0.001$) than the control condition. These protocols also increased HR by an average of 4 beats per minute ($P \le 0.05$). The two WBV protocols induced higher RPE than the control condition during static standing exercise only ($P \le 0.001$). While the diastolic and systolic BP and RPP were increased at the end of each exercise session ($P \le 0.001$), the addition of WBV had no significant effect on these variables (P > 0.05).

69 Limitations. The results are only generalizable to ambulatory and community-dwelling people70 with chronic stroke.

71	Conclusions. Addition of high- and low-intensity WBV significantly increased the VO2 and
72	HR, but the increase was modest. WBV thus should not pose any substantial
73	cardiovascular hazard in people with chronic stroke.
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91 Stroke is one of the most common debilitating conditions worldwide. People who survived a stroke may already have poor cardiorespiratory health prior to the stroke event, as cardiovascular 92 disease and poor cardiorespiratory fitness are known risk factors for stroke.¹ Additionally, 93 94 individuals after stroke often sustain various physical impairments that involve multiple body systems and adversely affect mobility, thereby further encouraging a physically inactive 95 lifestyle.² Cardiorespiratory fitness in individuals with stroke, which is often reflected by the 96 peak oxygen consumption rate (VO_{2peak}), has also been found to be as low as 50-80% of values 97 reported among the age- and sex-matched physically inactive individuals,³ and is far below the 98 threshold for independent living (around 20 ml/kg/min).⁴ Benefits of endurance exercise training 99 to improve cardiovascular health outcomes in patients after stroke have been reported.^{3,5-7} 100 Exercising at 60-80% heart rate reserve (HRR) for 20-40 minutes per day for 3-5 days a week 101 102 has been recommended for patients with mild to moderate stroke for improving cardiovascular fitness and walking endurance.⁷ 103

Whole-body vibration (WBV) therapy has sparked tremendous research interest in the 104 field of geriatric rehabilitation. As WBV can augment muscle activity,⁸ it has been used to train 105 106 different aspects of neuromuscular function, such as muscle strength/power, postural control, and mobility in the elderly.^{9,10} The cardiovascular responses to WBV have also been studied in 107 young healthy adults,¹¹⁻¹⁶ older adults,^{17,18} women who are overweight¹⁹ and people with spinal 108 cord injury.²⁰ It has been demonstrated that among young healthy adults, the addition of WBV 109 during exercise induced a significant increase in VO₂ and heart rate (HR).^{14,21} On the other hand, 110 Hazell et al.¹¹ demonstrated minimal cardiovascular stress (HR, blood flow, or mean arterial 111 pressure) with the addition of WBV to a static semi-squat exercise. The choice of exercise mode 112 113 (static Vs dynamic) and intensity may partially explain the discordance in results. There is also

evidence that the cardiovascular response is influenced by the intensity of WBV.¹⁵ The increased
VO₂ and HR responses during WBV have led to its potential use as an adjunct intervention in
cardiovascular exercise training. Indeed, in a randomized controlled trial involving 220 older
adults, Bogaerts et al.¹⁸ found that a 1-year WBV training program resulted in significantly more
gain in VO_{2peak}, compared with the control group without WBV.

119 Over the past few years, there has been an increasing interest in using WBV to improve neuromuscular function in people after stroke.^{22,23} However, no studies have explored 120 cardiovascular responses to WBV in the stroke population. As the integrity of the cardiovascular 121 system and exercise capacity in people with stroke may be very different from the able-bodied 122 group.^{3,24} their cardiovascular responses to WBV may also differ. Examining the effects of WBV 123 on acute cardiovascular responses is clinically important for two reasons. First, many individuals 124 125 with stroke have a positive cardiovascular history, and are at risk of recurrent stroke and cardiovascular event.²⁴ For safety reasons, it is essential to know the level of cardiovascular 126 stress experienced as the patients are engaging in WBV exercises. Second, for those who are 127 deemed safe to undergo cardiovascular exercise training, an understanding of the VO₂ and HR 128 changes during WBV would help determine whether WBV is a useful adjunct treatment for 129 cardiovascular exercise training. The specific objective of the current study was to determine the 130 acute effect of different WBV protocols on the VO₂, HR, rate of perceived exertion (RPE), blood 131 pressure (BP), and rate-pressure product (RPP) during the performance of various static and 132 133 dynamic exercises among people with chronic stroke. It was hypothesized that the WBV intensity, exercises performed, and their interactions would significantly influence the above 134 cardiovascular variables of interest. 135

137 Methods

138 Study design

This study used a repeated measures design to compare the cardiovascular responses
 during exposure to different WBV conditions.

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142 Participants and sample size calculation

As no study had previously investigated the cardiovascular response during WBV in 143 individuals with stroke, research in healthy adults was used to estimate the sample size required 144 for this study. In a study involving a sample of 8 healthy men, Hazell and Lemon²¹ reported 145 that WBV (frequency: 45 Hz, peak-to-peak displacement: 2 mm) significantly increased 146 VO₂ by an average of 2.08 L/min (SD=0.40), compared with the same exercises without 147 WBV (mean difference=1.69 L/min, SD=0.27) during various static exercises. The mean 148 difference between the two groups had translated into a large effect size (Cohen's d =1.10). 149 A more conservative effect size, [(represented by f score in analysis of variance (ANOVA)) 150 was estimated for this study because the WBV intensities used were lower and the study 151 population was disabled. Based on ANOVA analysis (3 WBV conditions and 6 exercises), 152 153 assuming a medium effect size (convention: f=0.25), with an alpha of 0.05, power of 0.9, an attrition rate of 10%, a minimum of 40 participants would be required. 154 Participants were recruited through stroke self-help groups in the local community from 155 156 January 2011 to June 2012. Inclusion criteria were chronic stroke (diagnosis of a hemispheric stroke with onset \geq 6 months), community-dwelling, Abbreviated Mental Test score \geq 6,²⁵ and 157

having hemiparesis in the lower extremity, as indicated by a composite leg and foot motor score

159 of 13 or lower according to the Chedoke-McMaster Stroke Assessment (CMSA).²⁶ Exclusion

criteria were cerebellar or brainstem stroke, neurological conditions in addition to stroke, serious
heart conditions, vestibular dysfunctions, or other serious illnesses that affected performance of
daily activities, having a cardiac pacemaker or stent.

163 The study was approved by the Human Research Ethics Subcommittee, The Hong Kong 164 Polytechnic University. All experimental procedures were conducted according to the 165 Declaration of Helsinki. All participants gave written informed consent prior to data collection.

166

167 WBV Protocol

168 All experiments were conducted in a research laboratory in the Hong Kong Polytechnic University. A platform that generated vertical vibrations (Danil SMC Co. Ltd., Seoul, Korea) 169 was used for all experiments. The device had an adjustable frequency range between 20-55Hz 170 with corresponding preset amplitudes.^{22,23} The peak acceleration (a_{peak}), which represented the 171 WBV intensity, was related to the amplitude (A) and frequency (f), and was calculated as: $a_{peak} =$ 172 $(2\pi f)^2 A$ ²⁷ It is usually represented in units of gravitational constant (G) for easy comparison 173 across studies. The peak acceleration values generated by the machine were validated by a tri-174 axial accelerometer (Model 7523A5, Dytran Instruments Inc., CA, USA). 175

As WBV frequencies of lower than 20 Hz may cause destructive resonance effects to
the body, and previous studies showed that frequencies higher than 30 Hz caused
discomfort and fatigue in some individuals with stroke,^{22,23} a frequency range of 20-30 Hz
was chosen in the current study. Each participant underwent three different WBV conditions
for measuring cardiovascular responses: (a) no WBV, (b) low-intensity WBV protocol
(amplitude: 0.60mm, frequency: 20 Hz, peak acceleration: 0.96 G) (i.e. sub-gravity), and (c)
high-intensity WBV protocol (0.44mm, 30 Hz, 1.61 G) (i.e., supra-gravity) while performing

different exercises. The three WBV conditions were tested separately on three different
sessions, with a minimum of one rest day in between each session. To avoid order effect, the
sequence of WBV conditions was decided randomly by drawing lots once at the beginning
of the first session.

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188 Exercise protocol

In each session, the participants were instructed to perform six different exercises (Fig. 1). 189 Three of these exercises were static: (1) Static standing exercise (SSt) (Fig. 1A), (2) Static 190 191 semi-squat (SSq) (Fig. 1B), (3) Static standing with weight shifted to paretic leg (SWS) (Fig. 1C). The other three were dynamic: (4) Dynamic semi-squat (DSq) (Fig.1D), (5) Dynamic 192 side-to-side weight shifting (DWS)(Fig. 1E), and (6) Dynamic forward lunge (DFL) (Fig. 193 **1F**). The exercises chosen were commonly used in previous WBV trials in different 194 populations.^{9,10,22,23} The sequence of exercise performed was randomized by drawing lots 195 from a box at the beginning of each session. There were six lots in total, with each lot 196 containing the name of one of the six exercises. Therefore, the number of possible exercise 197 sequences was 720. Figure 2 illustrates the flow of participants and path of testing. 198 A steady state of VO₂ was reached in the third minute in most young healthy participants 199 during these exercises.¹⁴ Most people would also feel fatigue if a longer period was used and no 200 change in posture was allowed.¹⁵ It has also been reported that VO₂ would reach a plateau within 201 3 minutes in people with stroke at a given workload.²⁸ Thus, the duration of 3 minutes was 202 chosen for each exercise in the current study. The dynamic exercises (Fig.1D-F) were performed 203 204 in cycles of 3 seconds (i.e., 20 repetitions per minute). A metronome was used to guide the 205 people with stroke in performing the exercises at the desired rhythm. This exercise rhythm was

206 selected based on our pilot study and was designed to balance between sufficient stimulus to increase VO₂ and HR, as well as the individual's ability to maintain the required exercise pace 207 for 3 minutes of exercise. After each exercise, participants were instructed to sit down and rest 208 209 until the VO₂ and HR returned to baseline values before the commencement of the next exercise. To familiarize the participants with the exercises, a practice trial was given before actual 210 data collection. A manual goniometer (Baseline® HiRes™ plastic 360° ISOM Goniometer, 211 Fabrication Enterprises, White Plains, New York, USA) was used to monitor the knee joint angle 212 to ensure that each participant was performing the required exercises properly. Verbal feedback 213 214 was given to the patients as necessary to ensure consistent performance of the exercises. All participants were instructed to gently hold on to the handrail of the WBV device for maintaining 215 standing balance to ensure safety. Throughout the experimental session, the condition of each 216 participant was monitored closely. The participants were informed of their option to 217 terminate exercises at any time they experienced adverse symptoms. Overall, it took 218 219 approximately 50-60 minutes to complete a data collection session. 220 Measurement of cardiovascular response 221 222 The primary outcome variables in this study were diastolic and systolic blood pressure (DBP and SBP, in mmHg) and rate-pressure product (RPP), rate of perceived 223 224 exertion (RPE), VO₂ (ml/kg/min), and HR (beats per minute or bpm). A full-face mask and HR monitor (Polar[®], Tampere, Finland) were worn by participants throughout the testing 225 sessions, as VO₂ and HR were continuously measured by a portable metabolic system 226 227 (FitMateTM Pro, Cosmed, Rome, Italy). Previous research showed that the FitMate system was a reliable and valid system for measuring VO₂ during graded exercise.²⁹ In addition, our pilot 228

study found that the reliability of the FitMate system was good when used in people with stroke [intraclass correlation coefficients (ICC_{3,1}) = 0.80 (static exercise), and 0.91 (dynamic exercise)]. The system was also calibrated according to the manufacturer's guidelines prior to each testing session. The last 30 seconds of VO₂ (ml/kg/min) and HR (beats per minute) data during the **3-minute exercise period was averaged to obtain the mean value for analyses.**^{18,21} A similar data processing approach was also used by Cochrane et al.¹⁷

SBP and DBP (in mmHg) were recorded (BPM I, Manning, Hong Kong) before and at the end of each session. The RPP was calculated as: $(HR \times SBP)/100.^{30}$ Verbal RPE (from 6 to 20 according to the Borg's Scale)³¹ was also asked at the beginning and at 1-minute intervals during each set of exercise. **The highest RPE value reported in each trial was noted and used for analysis.** Measures of RPP and RPE together might provide an indication of an individual's physiological tolerance to submaximal activity.³¹

At the beginning of the second and third session, the participants were asked whether they were experiencing fatigue or other symptoms that may have resulted from the previous testing session. If the answer was positive, the assessment session was postponed until the suspected carryover effect from the previous session had subsided.

246 Statistical Analysis

Statistical analysis was performed with IBM SPSS Statistics software (version 20.0, IBM,
Armonk, NY, USA). The duration of the washout period (measured in number of days)
between testing session 1 and 2 was compared with that between testing session 2 and 3
using paired t-test. Two-way ANOVA with repeated measures [within-subject factors: 1.
intensity (no WBV, low- and high-intensity WBV); and 2. time (before and after session)]

was used to assess the difference in mean DBP, SBP, and RPP between pre- and postsession performance within each of the three sessions, and at corresponding times between
the three sessions. The intensity × time interaction term determined whether changes
observed between the beginning and end of each session were consistent among the three
sessions, that is, whether the three WBV protocols were associated with different withinsession responses.

Another two-way ANOVA with repeated measures model [within-subject factors: 1. 258 intensity (no WBV, low- and high-intensity WBV); and 2. six exercises] was used to assess the 259 260 mean VO₂ and HR between exposure to three different WBV protocols and among the six exercises. The intensity \times exercise interaction effect determined whether the changes in 261 VO2 and HR responses induced by WBV were exercise-dependent. Greenhouse-Geisser 262 epsilon adjustment was used if the sphericity assumption was violated. If significant results were 263 found, contrast analysis with Bonferroni adjustment was performed. For each exercise, the 264 265 comparisons of RPE (ordinal data) among the three WBV protocols were tested using the Friedman test, followed by pairwise comparisons using Wilcoxon signed-rank tests. 266 Additional analyses were done to examine whether the cardiovascular responses 267 268 were related to the baseline values. For BP and RPP data, the within-session change score

were related to the baseline values. For D1 and R11 data, the whill-session change score was calculated by subtracting the baseline score from the post-session score. For VO₂ and HR data, the change score (before and after each exercise) was obtained from subtracting the baseline score from the post-exercise score (i.e., average of the last 30 seconds of the trial). Pearson's product moment correlations were then used to determine the degree of association between the change score and the baseline value for each variable.

274	A level of significance of $P \le .05$ was set, except for <i>post-hoc</i> analysis where the alpha
275	was adjusted according to the number of comparisons made. We did not formally test for order
276	effects related either to protocol or to exercise, but relied on randomization to minimize
277	order effects.
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279	Results
280	Characteristics of participants
281	A total of 48 participants (36 men and 12 women; mean age: 56.3 ± 10.1 years) completed
282	all assessments (Fig.2). Participant characteristics are presented in Table 1. The median
283	composite leg motor score (CMSA) was 8 out of 14 (interquartile range = 7-9), indicating
284	moderate motor impairment. There was no significant between-session difference in baseline
285	VO ₂ (<i>P</i> =0.69) and HR (<i>P</i> =0.93). The baseline HR values of the three sessions were thus
286	averaged to obtain the mean resting HR for each participant. It was found that 13 (27.1%)
287	of our participants had a mean resting HR of \geq 77 bpm, whereas only six (12.5%) had a
288	mean resting HR of \leq 64 bpm. A previous study in stroke found that a resting HR of \geq 77
289	bpm was significantly associated with increased rate of vascular death, compared with
290	those with their counterparts with resting HR of ≤ 64 bpm. ³²
291	
292	Washout period
293	On average, the washout period between session 1 and 2 was 1.4 days (SD=0.6 days,
294	range =1-3 days), which was similar to that between session 2 and 3 (mean=1.3 days,

SD=0.6 days, range=1-3 days) (*P*=0.73). None of the participants reported any carryover

effects that may have resulted from the previous testing session that required postponement
of testing.

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299 DBP, SBP, RPP and RPE changes

The DBP ($F_{1,47}=24.10, P \le 0.001$), SBP ($F_{1,47}=29.91, P \le 0.001$) and RPP ($F_{1,47}=17.19, P$ 300 ≤ 0.001) immediately after the exercise session were significantly higher than their respective 301 values at baseline (Fig. 3), except that in the high-intensity WBV condition, the DBP post-302 exercise was not significantly different from that at baseline after Bonferroni adjustment 303 (P>0.017) (Table 2, Figure 3A). The main effect of WBV intensity, and intensity \times time 304 305 interaction were not statistically significant for DBP, SBP and RPP (P>0.05). The pooled RPE data are shown in Figure 4A. Out of the 288 exercise trials for each 306 WBV protocol (6 exercises × 48 participants), only 2% (2 participants, 6 trials), 6% (3 307 participants, 17 trials), and 5% (4 participants, 15 trials) reported a RPE >15 for no-WBV, low-308 and high-intensity WBV sessions, respectively. The low-intensity WBV protocols (Z = -309 4.43, P<0.001) and high-intensity WBV protocols (Z =-3.70, P<0.001) significant induced 310 higher perceived effort than no WBV condition during static standing exercise. For the rest 311 312 of the exercises, the RPE value demonstrated no significant differences among the three 313 WBV protocols (*P*>0.05). Other than the one participant who dropped out after the first session due to fatigue 314

(Fig. 2), no adverse effects were reported and none of the participants requested to stop the
exercises during any of the testing sessions.

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318 VO₂ changes

319 An overall significant main effect of WBV intensity ($F_{2.94}=16.98$, $P \le 0.001$) and exercise ($F_{5,235}=29.85$, $P \le 0.001$) was found (Fig.4B). The intensity × exercise interaction effect, however, 320 was not significant ($F_{10,470}$ =1.32, P =0.25). Contrast analysis revealed that overall, both the 321 low-intensity and high-intensity WBV protocols induced significantly higher VO₂ than the 322 control condition, by an average of 0.69 ml/kg/min (95%CI: 0.35, 1.03; P≤0.001) and 0.79 323 324 ml/kg/min (95%CI: 0.45, 1.14; P≤0.001) respectively (Table 2). Post-hoc analysis further showed that the increase in VO₂ induced by the two WBV protocols remained statistically 325 significant after Bonferroni adjustment, except the dynamic weight shifting to paretic leg, and 326 327 dynamic forward lunge exercises during low-intensity WBV. The difference in VO₂ value between the low- and high-intensity WBV protocols was not significant in any of the exercises 328 after Bonferroni adjustment (P=1.00) (Table 2). Post hoc analysis of the effect of exercises 329 showed that the static standing and static standing with weight-shifted to the paretic leg resulted 330 in significantly lower VO₂ than that measured during other exercises (P<0.01). On the other hand, 331 VO₂ during dynamic semi-squat and dynamic forward lunge was significantly higher than that 332 during other exercises (P<0.01) (Fig.4B). 333

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335 HR changes

There was an overall significant main effect of WBV intensity ($F_{2,94}=4.63$, P=0.01) and exercise ($F_{5,235}=32.67$, $P \le 0.001$) (Fig.4C). The intensity × exercise interaction effect was also significant ($F_{10,470}=2.94$, P=0.01). Contrast analysis revealed that overall, low-intensity WBV induced significantly higher HR than the control condition by an average of 4 bpm (95%CI: 1, 7; P=0.01). The HR was also increased by the addition of high-intensity (mean difference: 4 bpm; 95%CI: 0, 7), but the result was marginally significant (P=0.05)(Table 2). The difference in HR was not significant between the low- and high-intensity WBV protocols (P=1.00) (Table 2). The increase in HR induced by low-intensity WBV remained significant for static standing ($P\leq0.001$), and dynamic semi-squat ($P\leq0.001$) exercises only after Bonferroni adjustment. Regarding the main effect of exercise, static standing induced significantly lower HR than other exercises (P < 0.01) while the HR response during the dynamic semi-squat exercise was significantly higher than that during other exercises (P < 0.01) (Fig.4C).

To determine the exercise intensity during different WBV conditions, the agepredicted maximal HR (HR_{max}) formula was used to estimate of the individual's HR_{max} [220 – age]; for participants on beta-blockers (n=11), the formula was modified to 70% [208 - ($0.7 \times$ age)].³³ Results of this study showed that, of the 288 exercise trials for each WBV protocol, only 23%, 29%, and 25% achieved the age-predicted HR_{max} at 64% (i.e., moderate intensity)⁷ or above for the no-WBV, low-intensity WBV, and high-intensity WBV conditions respectively.

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355 Association with baseline values

Baseline SBP (r=-0.57, P<0.001), DBP (r=-0.42, P=0.01) and RPP (r=-0.50, P<0.001) were significantly correlated with their corresponding within-session change scores for the high-intensity protocol. The baseline SBP (r=-0.43, P=0.01) and RPP (r=-0.39, P=0.01) were also correlated with their respective change scores for the low-intensity protocol.

Out of 18 different WBV intensity and exercise combinations (3 protocols × 6
exercises), baseline VO₂ was only significantly correlated with the change score during SSq
(r =-0.43, P=0.01) and SWS exercises (r=-0.33, P=0.02) when receiving low-intensity WBV.
No significant correlations were identified with the HR data.

365 **Discussion**

This is the first study to examine the cardiovascular response to WBV in individuals with chronic stroke. The principal finding of this study was that addition of high- and low-intensity WBV significantly increased the VO₂ and HR, but the increase was modest.

369

370 Is WBV exercise training safe for individuals with stroke?

WBV is gaining popularity in stroke rehabilitation for enhancing neuromuscular 371 function.^{22,23} Studying the cardiovascular stress imposed by WBV during exercises can provide 372 373 important information for rehabilitation practitioners to establish exercise intensity and safety. Our results showed that WBV induced only modest increase in DBP (<5mmHg) and SBP 374 (<8mmHg) (Table 2). The upper bound of the 95%CI for these variables did not even 375 exceed 8mmHg and 12mmHg respectively. This was much lower than the increase in BP 376 after walking on a treadmill at a self-selected speed for 20 minutes previously reported in 377 people with stroke (mean increase in SBP: 46.7 mmHg, DBP: 21.0mmHg).³⁴ Our results thus 378 generally agree with previous studies in young and older adults that WBV did not induce major 379 changes in BP.^{11,17} 380

RPP is an estimate of myocardial oxygen consumption and gives an indication of the
amount of oxygen demanded by the heart.³⁰ While the RPP was significantly increased at the end
of each exercise session, the WBV intensity × time interaction effect were not significant,
indicating that the myocardial oxygen demand during different exercises was similar regardless
of whether WBV was added.

Rimmer et al.³⁵ reported that if the RPP is higher than 200, the patient is not suited to exercise. In the current study, the mean post-exercise RPP for the low- and high-intensity WBV 388 sessions was 107 and 104 respectively, compared with 105 for the no-WBV session. We also recorded the RPE to monitor exercise intensity during different WBV conditions.³⁶ Even when 389 low- and high-intensity WBV was added, the median RPE values were below 12 for all 390 exercise conditions (Fig. 4A). Overall, the level of myocardial exertion (RPP) and RPE 391 during WBV exercises did not exceed their corresponding values during the Six Minute 392 393 Walk Test (RPP: mean=144, SD=33; RPE: mean=11.6, SD=3.2) in people with chronic stroke previously reported by Eng et al.³¹ With the exception of one participant who 394 withdrew from the study due to fatigue after WBV exercise, no other adverse signs and 395 396 symptoms were reported, and none of the participants requested to terminate the exercise sessions, suggesting that the WBV protocols used in the current study were safe and well 397 tolerated. 398 It was found that higher baseline BP and RPP values had fair to moderate 399 relationships (r=-0.4 -0.6) with smaller increase in the same variables after the WBV 400 exercise sessions. WBV exercise thus did not pose disproportionally higher cardiovascular 401 stress to those with higher baseline resting BP and RPP (the higher-risk group). 402 403 404 Do WBV exercises have potential to provide a positive cardiovascular training effect? Another question pertains to whether WBV exercises have any potential in inducing a 405 positive cardiovascular training effect. We found that WBV induced a significant but modest 406 407 increase in VO₂ (by 0.7-0.8 ml/kg/min, upper bound of 95%CI=1.2ml/kg/min) and HR (by 4 bpm, upper bound of 95%CI=7 bpm), likely because of the increased exercise intensity 408 resulting from the WBV-induced increase in skeletal muscle activity.⁸ Our results thus 409

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concurred with previous studies, which also reported that the increase in VO₂ and HR

411 during WBV exercise was modest in younger adults,^{13,14,21} and older adults.^{17,18}

The WBV intensity \times exercise interaction effect for VO₂ was not significant, since the 412 WBV-induced increase in VO_2 was quite consistent across all exercises tested, regardless of 413 whether the exercise was static or dynamic (Fig. 4B). On the other hand, the intensity and 414 exercise interaction effect was significant for the HR response, indicating that the WBV-induced 415 increase in HR at various intensities was dependent upon the exercise. Adding WBV led to 416 significant increase in HR during static standing and dynamic semi-squat but not other exercises 417 418 (Fig.4C), thereby contributing to the interaction effect. The discordance between the results on VO_2 and HR may indicate that increase in VO_2 could not be solely explained by increase in HR. 419 Possible mechanisms may include increase in stroke volume, muscle blood flow velocity and 420 volume, increased utilization of oxygen by exercising muscles,³⁷ and will require further 421 investigation. 422

The exercise intensities of most WBV trials were generally low (<64% HRmax), and 423 were similar to those reported during standing, stepping, basic walking and advanced 424 walking activities in a typical physical therapy session for people with stroke (below 425 60% HRmax or 40% HR reserve),³⁸ which were considered to be ineffective in inducing a 426 cardiovascular training effect.^{7,38-40} The training intensities, even after addition of WBV, 427 were much lower than those reported in aerobic exercise training using a treadmill^{40,41}, 428 cycle ergometer⁴²⁻⁴⁴, or a combination of strengthening and aerobic activities, which often 429 involved a training intensity of 60-80% HR reserve.^{7,45-47} The training intensities achieved 430 431 during WBV exercises were also considerably lower than that during the Six Minute Walk Test among people with chronic stroke, which could reach 80%- 85% of the VO_{2peak}.⁴⁸ Our 432

finding is thus in accord with Cochrane et al.,¹⁷ who found that the estimated percentage VO_{2peak}
achieved during static squat exercise with WBV was only at 24%, and would not be sufficient to
enhance aerobic capacity.¹⁷ However, it is acknowledged that using the age to predict the
maximal HR may not be ideal. In fact, it has been reported that the maximal HR achieved during
a symptom-limited exercise test is significantly lower than the age-predicted maximal HR in
people with stroke.⁴⁹

Interestingly, Bogaerts et al.¹⁸ showed that their 1-year WBV training protocol had 439 significantly improved the peak VO_2 in older adults (by 18.2%), which was comparable to that 440 441 following a conventional aerobic fitness exercise program (21.0%). However, the intensity of their WBV protocol was much higher (frequency: 35-40 Hz, amplitude: high 5mm/low 2.5mm), 442 which may partially explain why they were able to raise the HR to about 62%-80% of HRR 443 (moderate to high aerobic exercise intensity). We did not choose a higher WBV intensity as our 444 pilot study revealed an increased incidence of discomfort with higher WBV frequencies. 445 Furthermore, use of WBV with high peak accelerations warrants caution for patients with stroke 446 as they often have fragile bones.^{50,51} The fact that we did not use WBV of higher intensities may 447 also partially explain the lack of difference in VO₂ and HR between the low-intensity and high-448 intensity WBV protocols (Table 2). 449

Based on the resting HR data, the cardiovascular health of 27% of our participants could be considered poor, as **Bohm et al.**³² **showed that people with stroke who had a resting HR of** \geq 277 **bpm had a significantly higher risk of vascular death compared to those with resting HR in the lowest quintile (\leq 64 bpm). However, we did not identify any relationship between baseline HR and the change scores in any of the exercise trials. Of the very few significant correlations between baseline VO**₂ **and change in VO**₂, **the relationship was only fair** (r<0.5). Taken together, the HR and VO₂ responses to our exercise protocols, with or
without WBV, were generally similar regardless of the cardiovascular health status of the
participants.

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460 Limitations and future directions

Firstly, since all of our participants are ambulatory and community dwelling, the results 461 are **only** generalizable to people with similar characteristics as our participants. Secondly, we 462 studied the effects of the overall intensity of WBV (indicated by peak acceleration) on 463 464 cardiovascular parameters. Other variables (e.g. WBV frequency, amplitude) may exert independent cardiovascular effects. We also did not measure BP before and after each individual 465 exercise. Thirdly, only a rhythm of 20 repetitions per minute was used during the dynamic 466 exercises. While higher movement frequencies may elicit more impressive VO₂ and HR changes, 467 it may not be feasible for people with stroke to sustain such rhythms for prolonged periods. In 468 addition, a substantial proportion of patients were taking long-term medications including beta-469 blockers for various reasons, which may attenuate the cardiovascular response to exercise. 470 However, we feel that our sample is a good representation of the general chronic stroke 471 472 population, in which administration of long-term medications is very common. Determining the cardiovascular responses during WBV exercise is important, regardless of whether the individual 473 was on medications. A symptom-limited exercise test was not conducted, the individual's actual 474 475 HR_{max} and VO_{2peak}, and thus appropriate target exercise HR (or VO₂), was not determined. Finally, the study was not designed to assess the long term effects of WBV. Whether the 476 477 WBV protocols used in this study can induce long-term changes in cardiovascular fitness 478 among people with stroke will require further investigation.

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Conclusions 480

This study suggested that in individuals with chronic stroke, VO2 and HR increased 481 modestly with addition of either low- or high-intensity WBV. The impact of WBV on BP 482 and myocardial oxygen demand was not significant, suggesting that WBV imposes no 483 threats to cardiovascular function for people with stroke. 484 485

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Variable	Value [*]
Basic demographics	
Age, years, mean (mean±SD)	56.3 ± 10.1
Sex, men/women (n)	36/12
Body mass index (kg/m ²) (mean±SD)	24.8±3.2
Required walking aid for indoor mobility, none/cane/quad (n)	43/3/2
Required walking aid for outdoor mobility, none/cane/quad (n)	17/26/5
Stroke characteristics	
Post-stroke duration, years (mean±SD)	4.7±3.2
Type of stroke, hemorrhagic/ischemic/ischemic + hemorrhage/unknown, n	16/23/3/6
Side of paresis, left/right (n)	19/29
CMSA Lower extremity composite score (out of 14) (median; IQR) [†]	8; 7-9
Abbreviated mental test score (out of 10) (mean±SD)	9.3±0.9
Co-morbid conditions	
Hypertension (n)	14
Diabetes mellitus (n)	9
High cholesterol (n)	20
Medications	
Antihypertensive agents	
Beta-blockers (n)	11
Calcium channel blockers (n)	5
Angiotensin converting enzyme inhibitors (n)	8
Angiotensin II receptor antagonists (n)	1
Adrenergic receptor blockers (n)	1
Others. n	8
Hypolipidemic agents (n)	20
Antidiabetic agents (n)	9
Baseline VO2 and HR data	
Baseline VO ₂ (ml/kg/min) (mean+SD)	
No-WBV session	4 03+0 70
Low-intensity WBV session	4 08+1 06
High-intensity WBV session	3 99+0 86
Baseline HR (heats per minute) (mean+ SD)	5.77-0.00
No WBV session	76 3+11 7
Low intensity WBV session	70.3±11.7 77.6±13.2
High intensity WBV session	76.0±13.3 76.0±13.6
	10.9±13.0

Table 1. Characteristics of study participants (n=48)

⁶²⁷ ^{*}Mean±SD presented for continues variables.

[†]CMSA: Chedoke-McMaster Stroke Assessment; HR: heart rate; IQR: interquartile range; n:

number count; SD: standard deviation; VO₂: oxygen consumption; WBV: whole-body vibration

	W inten	BV sitv ×	Main Effect of Main effect of WBV intensity time		Post-hoc analysis (within-session difference for each WBV protocol)							
	ti	me					No WBV c	ondition	Low-intens	ity WBV	High-intens	ity WBV
interaction effect							Pre-test Vs Post-test		Pre-test Vs Post-test		Pre-test Vs Post-test	
Variable	F	p- value	F	p-value	F	p-value	Mean difference [‡] (95% CI)	p-value	Mean difference (95% CI)	p-value	Mean difference (95% CI)	p-value
DBP§	0.95	0.39	0.46	0.61	24.10	≤0.001*	3.3 (1.1, 5.5)	0.01†	4.6 (2.0, 7.2)	≤0.001 [†]	2.3 (1.1, 4.6)	0.04
SBP	0.05	0.95	0.90	0.41	29.91	≤0.001*	6.4 (2.8, 10.1)	≤0.001 [†]	7.2 (3.3, 11.1)	≤0.001†	6.6 (3.0, 10.3)	≤0.001 [†]
RPP	0.24 0.76 0.56 0.57 17.79 ≤0.001*		5.9 (2.0, 9.9)	0.01†	7.6 (2.9, 12.3)	0.01^{\dagger}	6.8 (2.4, 11.1)	0.01^{\dagger}				
	W Inter	BV sity ×	Main WBV	Effect of intensity	Main exe	effect of ercise		Post-hoc co	ontrast analysis (1	main effect o	f intensity)	
	exe	exercise				No WBV No WBV		BV	Low-intensity			
interaction effect					Vs Low-intensity WBV H		Vs High-intens	Vs High-intensity WBV Hi		Vs High-intensity WBV		
Variable	F	p- value	F	p-value	F	p-value	Mean difference (95% CI)	p-value	Mean difference (95% CI)	p-value	Mean difference (95% CI)	p-value
VO ₂	0.25	0.25	16.98	≤0.001*	29.85	≤0.001*	0.7 (0.4, 1.0)	≤0.001*	0.8 (0.5, 1.2)	≤0.001*	0.1 (0.3, 0.5)	1.00
HR	2.94	0.01*	4.63	0.01*	32.67	≤0.001*	4 (1, 7)	0.01*	4 (0, 7)	0.05	0 (-4, 4)	1.00

630 Table 2. The effect of whole-body vibration (WBV) intensity on outcome measurements

631 *Statistically significant ($P \le 0.05$)

632 [†] Statistically significant ($P \le 0.017$)

⁴A positive mean difference indicates that the mean value of the latter group is higher than that of the former group.

634 [§]HR: heart rate; SBP: systolic blood pressure; DBP: diastolic blood pressure; RPP: rate-pressure product; VO₂: oxygen consumption

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Figure 1. Exercise protocol. (A) Static standing exercise (SSt): standing on the platform with 637 feet placed apart at shoulder width and knees slightly flexed at 10°, and hold for 3 minutes. (B) 638 Static semi-squat (SSq): Standing on the platform with feet placed apart at shoulder width and 639 640 knees flexed at 30°, and hold for 3 minutes. (C) Static standing with weight shifted to paretic leg (SWS): Standing with body weight shifted to the paretic leg as much as possible and hold for 3 641 minutes. (D) Dynamic semi-squat (DSq): Starting position same as in static standing exercise 642 643 (left), then bending knees to achieve the semi-squat position (right), and return to starting position, and repeat at a rate of 20 cycles per minute for 3 minutes. (E) Dynamic side-to-side 644 weight shifting (DWS): Starting position same as in static standing exercise (left), then shifting 645 body weight onto paretic leg (right), and return to starting position, and shifting weight onto the 646 non-paretic leg. Repeat at a rate of 20 cycles per minute for 3 minutes. (F) Dynamic forward 647 lunge (DFL): Standing in a forward lunge position with the paretic leg placed in front of the non-648 paretic leg with paretic knee flexed at 10°, then learning forward and shifting body weight onto 649 the paretic leg as much as possible with knee flexed at 30°, and then moving back to the starting 650 651 position. Repeat at a rate of 20 cycles per minute for 3 minutes

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Figure 2. Study flow chart. Each participant underwent 3 experimental sessions. The sequence
of WBV conditions was decided randomly by drawing lots once at the beginning of the first
session. At the beginning of each session, the order of exercise was also randomized by
drawing lots. A total of 48 participants with chronic stroke completed all assessment procedures.

Figure 3. Effect of whole-body vibration on blood pressure and rate-pressure product. The average systolic blood pressure (DBP) (Fig.3A), systolic blood pressure (SBP) (Fig.3B) and rate pressure product (RPP) (Fig.3C) recorded at baseline (black bars) and immediately after each exercise session (gray bars). The error bars represent 1 SD from the mean. Significant difference from baseline was indicated by *. The within-session differences in DBP, SBP and RPP did not themselves differ between the three WBV protocols, as evidence by the non-significant time × protocol interaction.

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666 Figure 4. Effect of whole-body vibration on rate of perceived exertion, oxygen consumption and heart rate. Fig. 4A shows the boxplot for the RPE data. The highest RPE value reported in 667 each exercise trial was used for analysis. The thick line inside each box represents the 668 median, whereas the upper and lower borders of the box define the interquartile range. 669 The vertical bars represent data up to 1.5 times the interquartile range extending from the 670 upper and lower border of the box. The VO₂ (Fig. 4B) and HR (Fig. 4C) data obtained 671 during the last 30 seconds of each 3-minute exercise trial were averaged for subsequent 672 analyses. The error bars represent 1 SD from the mean. The no-WBV, low-intensity WBV and 673 674 high-intensity WBV exercise sessions is represented by white, gray, and dotted boxes/vertical bars, respectively. Significant difference from the no-WBV condition was indicated by *. The 675 676 **RPE** level was significantly higher in the low-intensity and high-intensity WBV conditions 677 than the no-WBV condition during static exercise only. WBV induced a significant increase in VO₂. Adding WBV also led to significant increase in HR in static standing and dynamic semi-678 679 squat exercises.

- 681 **Fig. 1** Exercise protocol.
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Fig. 3 Effect of whole-body vibration on blood pressure and rate-pressure product.

690 Fig. 4 Effect of whole-body vibration on rate of perceived exertion, oxygen consumption and



