

1           **Strong yet ductile refractory high entropy alloy**  
2                   **fabricated via additive manufacturing**

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1 **Abstract**

2 Refractory high-entropy alloys (RHEA), particularly those with a body-centered  
3 cubic lattice structure, are garnering increased interest due to their potential industrial  
4 applications. However, their strength-ductility trade-off at room temperature presents a  
5 challenge that requires resolution. In this study, we fabricated a ductile  $\text{Ti}_{42}\text{Hf}_{21}\text{Nb}_{21}\text{V}_{16}$   
6 RHEA for additive manufacturing using a directed energy deposition (DED) technique,  
7 with a focused laser serving as the energy source. The additively manufactured RHEA  
8 demonstrated an exceptional strength-ductility synergy, boasting a gigapascal yield  
9 strength and a substantial tensile strain until failure (~22.5%). Compared to its as-cast  
10 state, the tensile yield strength increased by 32 %, and ductility improved slightly by  
11 2 %, suggesting a potential solution to the enduring strength-ductility trade-off dilemma.  
12 The enhanced yield strength can be attributed to solidification-enabled interstitial atoms  
13 resulting from the low-content nitrogen and oxygen atmosphere applied, while the high  
14 ductility is linked to the modified dislocation motion mechanism facilitated by the  
15 decomposition of the body-centered cubic matrix. This finding opens up possibilities  
16 for in-situ tailoring of microstructure and compositions to achieve superior mechanical  
17 performance in alloys through additive manufacturing processes.

18 **Keywords:** Refractory high-entropy alloys; additive manufacturing; improved  
19 strength-ductility synergy; interstitial atom strengthening; matrix decomposition.

# 1 **1. Introduction**

2       The concept of high entropy alloys (HEAs) [1,2] offers a limitless potential for  
3 designing alloys with exceptional properties based on the periodic table of elements.  
4 Refractory high entropy alloys (RHEAs), which consist of alloying constituents with  
5 higher melting points for maintaining their superior high-temperature properties [3], are  
6 being considered as potential candidates for the next generation of high-temperature  
7 alloys [4]. While many RHEAs exhibit ductility during compression tests at room  
8 temperature [5], only a small subset demonstrates acceptable tensile ductility, often at  
9 a sacrifice in yield strength [6], leading to the so-called strength-ductility trade-off [7].  
10 So far, several studies have shown promising results in improving strength while  
11 preserving ductility in RHEAs, such as introducing local chemical fluctuations [8],  
12 forming oxygen complexes [9], creating spinodal modulations [6], or employing  
13 metastability engineering [10] to enhance their mechanical performance, especially  
14 their plasticity. However, additional machining is still necessary to produce final parts  
15 with complex shapes to meet specific application requirements [11].

16       Facing the long-standing challenge of balancing strength and ductility, additive  
17 manufacturing (AM) technology offers a promising solution by introducing unique  
18 heterogeneous structures [12] or dislocation structures [11] etc. Laser-aid AM  
19 processes are commonly used for fabricating metallic materials, such as Ti alloys  
20 [13,14], HEAs [15] and so on, via layer-by-layer production from digital files, allowing  
21 for highly customized production and freedom of design [16]. Although promising,

1 these strengthened alloys often sacrifice ductility compared to those as-cast  
2 counterparts, especially in HEAs with face center cubic (FCC) structure [17].  
3 Compared to the widely used casting methods for RHEAs, AM approaches have the  
4 potential to eliminate coarse grains and macro-scale segregation due to higher cooling  
5 rates [18,19]. The directed energy deposition (DED) approach, specifically the use of  
6 focused laser in laser-engineered net shaping (LENS), is more suitable for fabricating  
7 RHEAs using pre-mixed [20,21] or high-throughput mixed elemental powders [22,23].  
8 However, challenges remain in fabricating RHEAs via the LENS process, such as the  
9 presence of un-melted powders [20,23,24] and cracks [18,23,25], leading to passable  
10 strength but poor ductility. The former issue can be addressed by applying a remelting  
11 procedure [20], but the latter remains a challenge in achieving the desired mechanical  
12 properties of RHEAs via LENS. To address this issue, alloying regulation, like  
13 increasing the Nb content in specific RHEA systems, can improve the inherent fracture  
14 toughness [23] or hinder the formation of the pernicious  $\omega$  phase to mechanical  
15 properties (so-called phase engineering)[21]. While the high-throughput metallurgical  
16 alloying [22,23,26] can guide the design of RHEAs, like crack-free TiZrHfNbTa RHEA  
17 by LENS [26], their compressive ductility is not as competitive as that of as-cast  
18 counterparts [27]. Due to the limited formation dimensions, the evaluation of the  
19 compressive properties is mainstream for RHEAs fabricated via LENS, while tensile  
20 properties are more suitable for assessing their potential in engineering applications  
21 [21]. So far, only a few attempts have been made to assess the tensile mechanical  
22 properties of HfNbTiZr RHEA fabricated via LENS [21], still highlighting the need to

1 address the strength-ductility trade-off and fully understand the enhanced mechanism  
2 in RHEAs fabricated via additive manufacturing compared to the as-cast counterpart  
3 [9].

4 In this study, we focused on the  $\text{Ti}_{42}\text{Hf}_{21}\text{Nb}_{21}\text{V}_{16}$  RHEA system as our model alloy,  
5 exhibiting excellent ductility and possessing the decomposed phase structure after a  
6 complex thermal-stress environment following the LENS procedure, resulting in  
7 impressive mechanical performance. By maintaining a low content of oxygen and  
8 nitrogen atmosphere during the LENS procedure, the fabricated RHEA is strengthened  
9 by the solid soluble interstitial atoms, as opposed to the typical strengthening effect  
10 induced by dislocation networks in FCC-based alloys. Additionally, the triggered  
11 matrix decomposition that occurs during complex thermal-stress procedures contributes  
12 to a prolonged fracture strain compared to the as-cast counterpart. Overall, our findings  
13 propose a solution to the strength-ductility trade-off dilemma in RHEAs, guiding the  
14 design of refractory alloys that are both strong and ductile and opening up the  
15 possibility of directly manufacturing RHEA products in the future.

## 16 **2. Materials and Methods**

### 17 **2.1 Materials and alloy fabrication**

#### 18 **2.1.1 Raw powders**

19 The feedstock powders used for RHEA fabrication include plasma rotation  
20 electrode atomized spherical titanium (Ti, purity > 99.95%), niobium (Ti, purity >  
21 99.95%), hafnium (Hf, purity > 99.95%) and vanadium (V, purity > 99.95%) powders,  
22 ranging in size from 50  $\mu\text{m}$  to 100  $\mu\text{m}$  ( $D_{50}=75 \mu\text{m}$ ), as shown in **Fig. 1**. The powders

1 exhibit a perfect spherical shape (**Fig. 1a**), indicating good flowability. Initially, the  
2 powders were blended in a powder mixer (Turbula, T2F) at room temperature for 2 h,  
3 with a revolving speed of 200r/min, within a sealed container.

#### 4 **2.1.2 LENS fabrication process**

5 The bulk RHEA sample of size  $12 \times 70 \times 4$  mm was fabricated on a pure Ti  
6 substrate by additive manufacturing in the form of LENS (Optomec LENS MR-7),  
7 schematically illustrated in **Fig. 1b**. The LENS MR-7 is a coaxial powder feeding 3D  
8 printer that utilizes a high-powered laser system within a closed chamber filled with  
9 argon. The laser, with a spot size of  $\sim 600$   $\mu\text{m}$ , was used to form the melt pool and  
10 remelt the previous path. It is common to encounter un-melted powders when  
11 fabricating RHEAs via laser deposition by blended elemental powder, due to the  
12 difference in melting points [20,23,24]. To ensure a uniform elemental distribution, a  
13 remelting procedure was employed in our study, as schematically shown in **Fig. 1c**.

14 The scanning strategy for the fabrication process is as follows,

- 15 1) Layer building: The first layer was scanned in a zigzag strategy, with a powder  
16 feed rate of 4g/min and a laser power of 550 W;
- 17 2) Remelting procedure: After the first laser stopped, the powder delivery nozzle  
18 moved upward by 200  $\mu\text{m}$  (offset value) and the remelting process began. The  
19 procedure involved a 45 °rotation compared to the previous layer. No powder  
20 was delivered to the molten pool during this step and the laser power was  
21 increased to 800 W;

1           3) Layer building: Once the remelting process was completed, the powder  
2           delivery nozzle was immediately raised by 200  $\mu\text{m}$ , and the powder feed rate  
3           was initiated. The working laser power was set to 550 W, and a 45 °rotation  
4           was applied for layer building;

5           4) Remelting procedure: A scan rotation of 45 °, compared to the previous layer,  
6           was applied, and a similar remelting procedure (2) was applied to remelt the  
7           previously built layer.

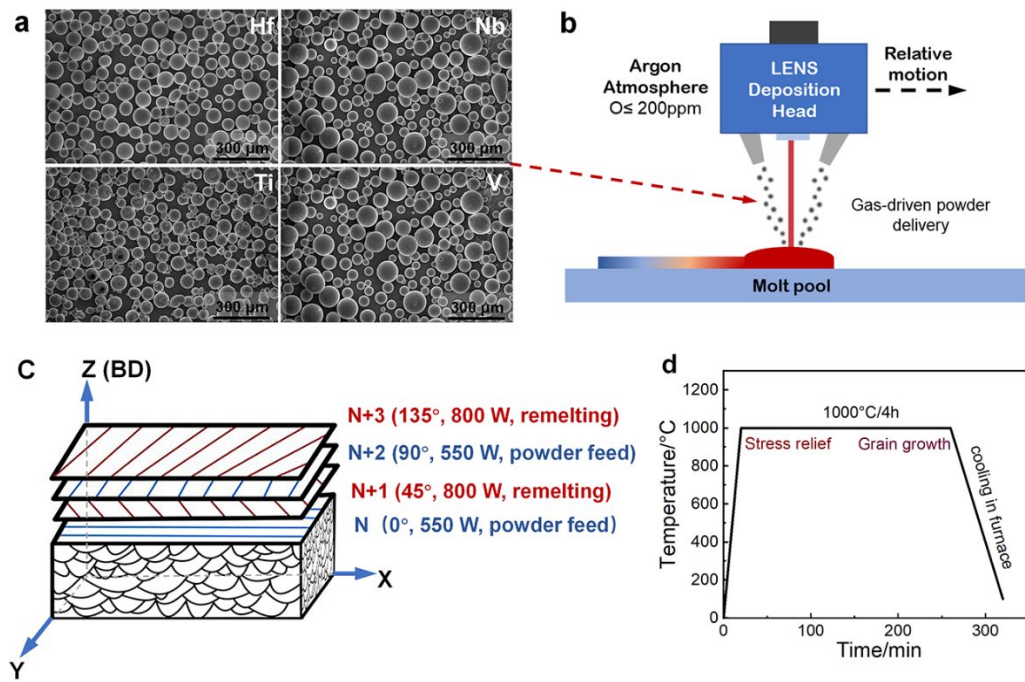
8           The four steps were repeated periodically until a bulk specimen with a thickness  
9           of  $\sim 4$  mm was built. The other parameters used in this study remained constant,  
10          including a working distance of 10 mm, a scanning speed of 5 mm/s, and a hatching  
11          space of 381  $\mu\text{m}$ . Before the experiment, flowing argon was used to reduce the oxygen  
12          level to  $\sim 200$  ppm in the atmospheric chamber which was maintained throughout the  
13          entire printing process. After a whole procedure, the actual composition of LENS-  
14          fabricated RHEA was determined by energy disperse spectroscopy (EDS).

### 15          **2.1.3 Other fabrication procedures**

16          For comparison purposes, we applied the other fabrication procedures to  
17          manufacture the reference samples, including the post-heat treatment and casting  
18          RHEA with the same elemental compositions. Due to the application of high remelting  
19          laser power during the fabrication of the LENS-fabricated sample, severe residual stress  
20          was produced. To mitigate this stress and enhance the mechanical performance of the  
21          LENS-fabricated sample, a vacuum annealing approach was employed, referred to as-

1 HT state. The annealing process involved heating the samples to 1273 K for 4 hours,  
2 with a heating rate of 10 K/min and subsequent furnace cooling (**Fig. 1d**).

3 Reference samples with the same composition (obtained via EDS) were fabricated  
4 using pure Hf, Nb, Ti and V nuggets (with a purity of 99.99%) through arc melting and  
5 water-cooled copper-mould casting (referred the as-cast state). The pre-cleaned nuggets  
6 were placed top-down with decreased melting points for each element nugget in the  
7 copper cavity. The furnace chamber was evacuated to  $5 \times 10^{-3}$  Pa and then filled with  
8 argon to 0.5 Pa, a process repeated three times to ensure minimal oxygen content in the  
9 working chamber. The base materials were melted and remelted ten times to ensure an  
10 even distribution of alloying elements in the as-cast sample. Finally, the molten ingot  
11 was air-soaked and solidified into a water-cooled copper mould cavity with dimensions  
12 of  $10 \times 5 \times 120$  mm.



1  
 2 **Fig. 1 The materials and experimental design.** a, SEM morphologies of raw elemental powders (Hf,  
 3 Nb, Ti and V) used in b, LENS with its schematic diagram. c, gives the fabrication strategy during the  
 4 LENS procedure and d, exhibits the heat treatment procedures on the LENS-fabricated samples.

## 5 2.2 Composition identification

6 As the composition offset generally formed in the LENS-fabricated alloys  
 7 prepared by mixed pure elemental powders with the nominal compositions [28–30], we  
 8 conducted the EDS tests on the LENS-fabricated RHEA as well as its heat-treated one  
 9 to determine their actual compositions after LENS. Moreover, we dispense the  
 10 antithetical RHEA through casting by referring to the actual composition in the LENS-  
 11 fabricated sample, whose results are listed in **Table 1**. Considering the absorption of  
 12 oxygen and nitrogen in Ti-based alloys under high temperatures [31], we also  
 13 conducted the precise oxygen and nitrogen determination by the ON836  
 14 Oxygen/Nitrogen system (LECO, USA) with the ultra-precision of 0.025 ppm under  
 15 the Ti-based method. The pre-weighted RHEAs (~ 200 mg) fabricated through different

1 approaches were placed in the graphite crucible and then melted to release analyte gases.  
 2 The detected oxygen and nitrogen content in those RHEAs is also listed in **Table 1**.  
 3 Based on the EDS results, the LENS-fabricated RHEA has an atomic ratio of Ti:Hf:Nb:  
 4 V=42:21:21:16. As a result, the fabricated RHEA is referred to  $Ti_{42}Hf_{21}Nb_{21}V_{16}$  RHEA,  
 5 and we also prepared the as-cast counterpart with same composition for comparison.

6 **Table 1 The nominal and EDS-obtained elemental compositions of the investigated RHEAs and**  
 7 **their oxygen and nitrogen contents obtained through an Oxygen/Nitrogen detector.**

Element	Ti	Hf	Nb	V	O	N
	at. %					
Nominal composition	38	24	23	15	-	-
As-cast	41.89	21.17	21.15	15.79	0.007 (13 ppm)	0.018 (29 ppm)
As-LENS	41.71	21.33	20.90	16.06	0.028 (52 ppm)	0.111 (185 ppm)
As-HT	41.77	21.25	21.23	15.75	0.029 (54 ppm)	0.107(179 ppm)

8

## 9 **2.3 Calculations**

### 10 **2.3.1 Calculation of the Phases Diagrams (CALPHAD) approach**

11 The ThermoCalc software with the newly developed TEHEA thermodynamic  
 12 database was used to calculate the phase formation, phase composition and other  
 13 parameters for the specific alloy system. It provided reliable thermodynamic  
 14 parameters for studying HEAs. In this study, we used the ThermoCalc software not

1 only to study the equilibrium calculation in our RHEA system but also to use the Scheil  
2 simulation to predict the phase formation during the rapid quenching in the LENS  
3 process.

### 4 **2.3.2 DFT calculations**

5 In this work, the lattice constant and elasticity of the RHEA were calculated using  
6 the virtual crystal approximation (VCA) based on the Cambridge Sequential Total  
7 Energy Package (CASTEP) [32]. The Perdew-Burke-Ernzerhof (PBE) in the  
8 generalized gradient approximation (GGA) Exchange-Correlation functional was used  
9 in the CASTEP package, with the TPSD algorithm chosen for the geometric  
10 optimization of the structure. The OTFG norm serving pseudopotential was selected  
11 with a customized cutoff energy of 1200 eV and k-points of  $5 \times 4 \times 1$ . In the  
12 MonkhorstPack scheme, the Brillouin zone was sampled with the maximum total  
13 energy loss of  $1 \times 10^{-5}$  eV  $\cdot$  atom<sup>-1</sup>. The forces on a single atom converged to lower  
14 than 0.03 eV  $\cdot$  Å<sup>-1</sup>, while the maximum atom placement was less than 0.001Å and the  
15 total stress tensor order was reduced to 0.05 GPa.

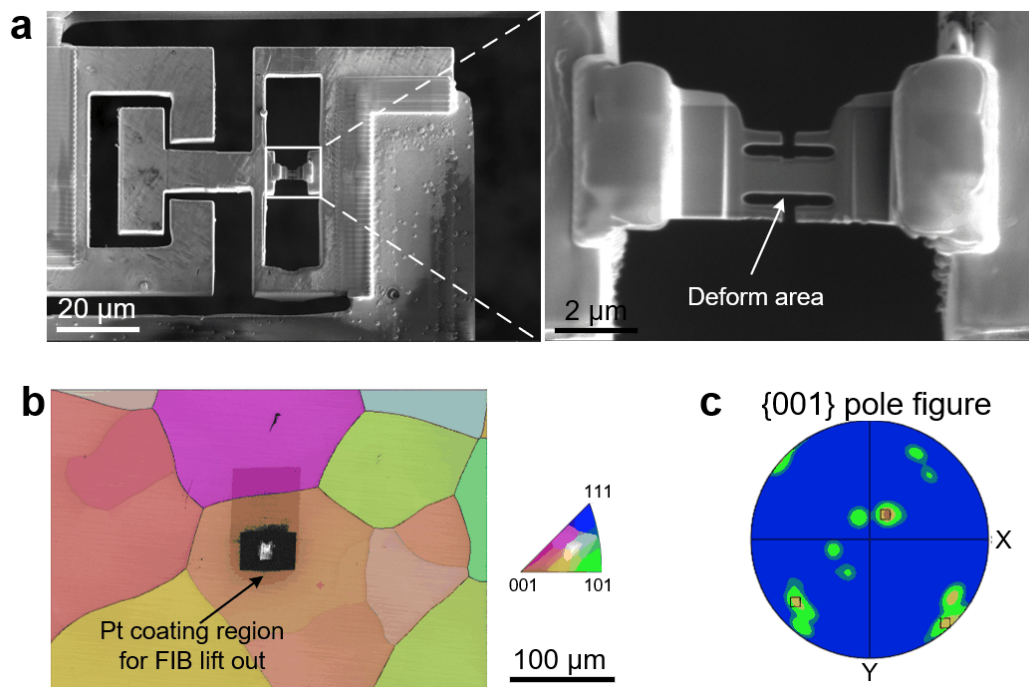
### 16 **2.4 Microstructural characterization**

17 To characterize the phase structure of the samples, X-ray diffraction (XRD,  
18 Rigaku, Smartlab) was conducted with copper radiation by setting a step size of 1 °/min  
19 and  $2\theta$  ranging between 20 to 110 degrees. The microstructure was examined using a  
20 scanning electron microscope (SEM, ThermoFisher, Verios 5UC) equipped with an  
21 EDS system (Oxford Inc., X-max 80) to study the elemental distribution in RHEA

1 samples. An electron backscattered diffraction (EBSD, Oxford Inc., C-swift)  
2 experiment was conducted on a SEM (ThermoFisher, Verios 5UC) to investigate the  
3 metallurgical microstructure as well as phase compositions in the RHEA samples  
4 fabricated using different methods. For the EBSD sample preparation, the RHEA  
5 samples were electrical-wire-cutting from the base metal and then ground.  
6 Subsequently, they were polished until there were no obvious scratches. To eliminate  
7 superficial stress which would affect the confidence factor of EBSD results, flat Ar-ion  
8 beam milling (Leica, EM TIC 3X) was applied, at a working voltage of 5.5 kV for 40  
9 min. The magnification was kept at 200X for the un-deformed samples with a step size  
10 of 1.5  $\mu\text{m}$ , while it was 500X and 500 nm respectively for the deformed specimens. The  
11 working voltage in SEM was maintained at 30 kV during the EBSD procedure. The  
12 collected EBSD results were analyzed using Aztec Crystal software (Oxford Inc.) The  
13 microstructure of the different samples, either the deformed or the originally stated, was  
14 observed in a SEM (ThermoFisher, Verios 5UC) in the backscattered electron (BSE)  
15 mode, while the fracture morphology analysis was performed in the secondary electron  
16 (SE) mode. The transmission electron microscope (TEM) observations were conducted  
17 on samples prepared differently: the original RHEAs were prepared by the Ar-ion  
18 milling (PIPS II 695c) on a  $\sim 30 \mu\text{m}$  thick disc with a diameter of 3 mm, while the  
19 deformed microstructure specimen was prepared via focused ion beam (FIB,  
20 ThermoFisher, Helios 5UX). The further microstructures at an atomic level were  
21 revealed on TEM (ThermoFisher, Talos F200X) operated at 200 kV as well as an  
22 aberration-corrected TEM (ThermoFisher, Spectra 300) equipped with a high angle

1 annular dark field (HAADF) and EDS (Super-X) detectors. To perform in-situ tensile  
 2 testing on the LENS-fabricated sample, a dual-tilt straining holder was utilized in the  
 3 Talos F200X TEM operated at 200 kV. The tensile specimen, in a dog-bone shape, was  
 4 prepared via Helios 5UX FIB, with a dog-bone shape and loaded to a TEM in-situ force-  
 5 heat coupling test system (INSTEMS-MT, Bestron, China), given in **Fig. 2a**. The in-  
 6 situ tensile specimen was sampling from the region in **Fig. 2b**, with the preferred  
 7 orientation of  $\langle 001 \rangle$  illustrate by **Fig. 2c**.

8



9

10 **Fig. 2 The in-situ TEM tensile test sample preparation. a**, tensile bar equipped on the MEMS chip  
 11 on the in-situ force-heat coupling test system fabricated via FIB lift-out method from the **b**, marked  
 12 region in EBSD IPF figure with **c**, corresponding  $\{001\}$  pole figure of the target region.

1 **2.5 Measurement of properties**

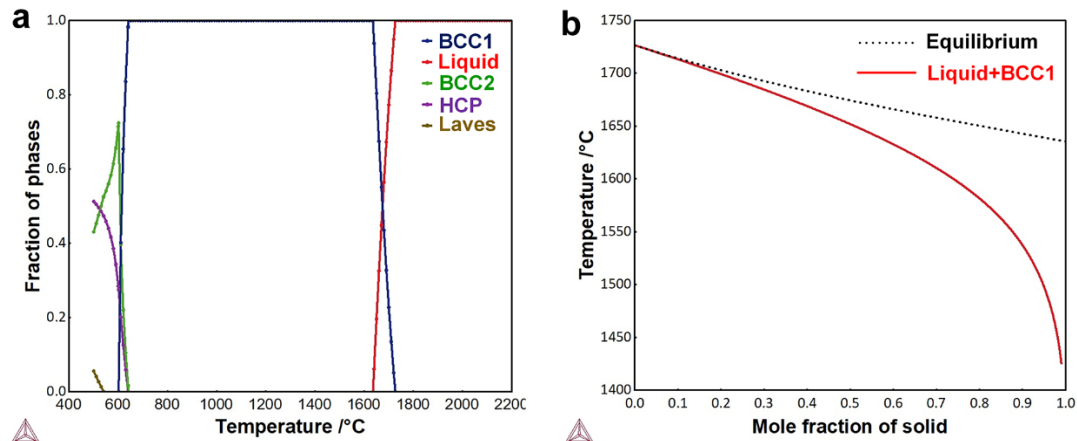
2 The tensile specimens were prepared from the as-cast, LENS-fabricated and as-  
3 HT samples in a dog-bone-like shape. The room-temperature tensile properties were  
4 determined using a universal testing facility (ZwickRoell, Proline) equipped with a  
5 digital image correlation (DIC, ZwickRoell) system with a strain rate of  $1 \times 10^{-3}/s$ .  
6 We calculated the local strain evolution profiles during the tensile tests via the testXpert  
7 III software (ZwickRoell) to determine the onset of necking of the RHEAs fabricated  
8 though different approaches. The tensile bars were machined in the horizontal direction  
9 with a gauge dimension of  $6 \times 2 \times 1 \text{ mm}^3$ . Three samples were measured to obtain the  
10 average mechanical properties. After the tensile test, the microstructure on the top  
11 surfaces after deformation was observed using SEM and EBSD. The in-situ tensile  
12 experiment was conducted in TEM (ThermoFisher, Talos F200X), on the LENS-  
13 fabricated sample obtained FIB (ThermoFisher, Helios 5UX) to study the dislocation  
14 evolutions during the deformation.

15 **3. Results**

16 **3.1 Calculation results**

17 The LENS process involves high cooling rates ( $\sim 10^3 - 10^4 \text{K/s}$  [33]), which  
18 deviates the microstructure from the equilibrium calculations. The Scheil solidification  
19 process simulations can be performed in ThermoCalc software based on the  
20 assumptions of liquid-solid interface equilibrium, infinite diffusion in the liquid and no  
21 diffusion in the solid. This allowed us to predict the as-solidified microstructures in

1 RHEAs prepared via the LENS method. The equilibrium and no-equilibrium phase  
 2 diagrams are given in Fig. 3 a and b, respectively, indicating that a single bcc-structure  
 3 phase would be formed after the LENS procedure based on the given compositions.



4 **Fig. 3** The CALPHAD calculation results of the fabricated RHEA system. **a**, Fraction of phases as  
 5 a function of temperature. **b**, Scheil diagram  
 6

7 Before the calculation of the lattice constant, we ensured the convergence  
 8 parameters until the error on the calculated total energy reached the criteria of 0.001  
 9 eV/atom [34]. We used the VCA method to study the lattice constant of  $\text{Ti}_{42}\text{Hf}_{21}\text{Nb}_{21}\text{V}_{16}$   
 10 RHEA, which was calculated to be 3.318 Å, with an error of less than 1% compared to  
 11 the experimental result of as-cast sample (given in Section 3.2). This indicates that the  
 12 parameter setting in this calculation procedure was reasonable. By establishing the  
 13 relationship between the unstable stacking fault energy (USFE) and surface energy (SE),  
 14 the intrinsic embrittlement or ductility can be reflected through these mentioned  
 15 parameters (details can be found in Section 4.1). **Fig. 4a** illustrates a supercell consisting  
 16 of 13 atomic layers with an ABABABABABABA stacking sequence of the closed-

1 packed (110) plane, with a vacuum layer of 15 Å. The formation of an unstable stacking  
2 fault (USF) at the shear direction of the upper part along [111] at the step of 0.1 is also  
3 shown. The GSFE can be obtained based on the following equation [35]:

$$4 \quad \gamma_{SFE} = \frac{1}{A}(E_u - E_0) \quad \text{Eq. 1}$$

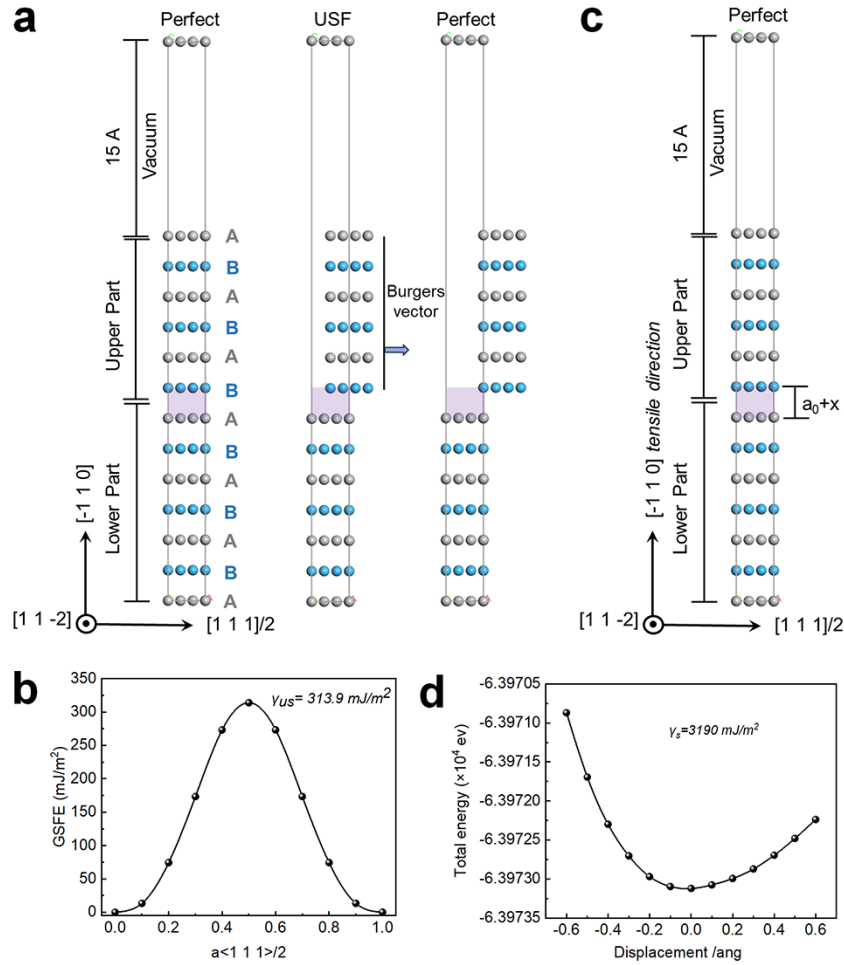
5 where A is the stacking fault area,  $E_u$  and  $E_0$  denote the total energy of the supercell  
6 with and without a shear displacement, respectively. After the calculation, we obtained  
7 the GSFE curve, (see **Fig. 4b**), with the USFE of 313.9 mJ/m<sup>2</sup>.

8 For the s unrelaxed surface energy calculation, the divided two parts underwent  
9 the compressive and tensile calculations (see **Fig. 4c**) with a step of 0.1 Å to fit the  
10 energy vs displacement curve to obtain the free surface energy of (110) lattice plane  
11 using the universal binding-energy relation (UBER) method, describing as follows  
12 [36]:

$$13 \quad E_x = -\gamma_S \left(1 + \frac{x}{l}\right) e^{-\frac{x}{l}} + C \quad \text{Eq. 2}$$

14 where  $\gamma$  is the unrelaxed (110) surface energy,  $l$  is a scaling length and C is a constant  
15 in this equation. Thus, based on the change in total energy  $E_x$  with displacement  
16 obtained by calculation results, plotted in **Fig. 4d**, yielding the surface energy value of  
17 3190 mJ/m<sup>2</sup> in the target RHEA.

18



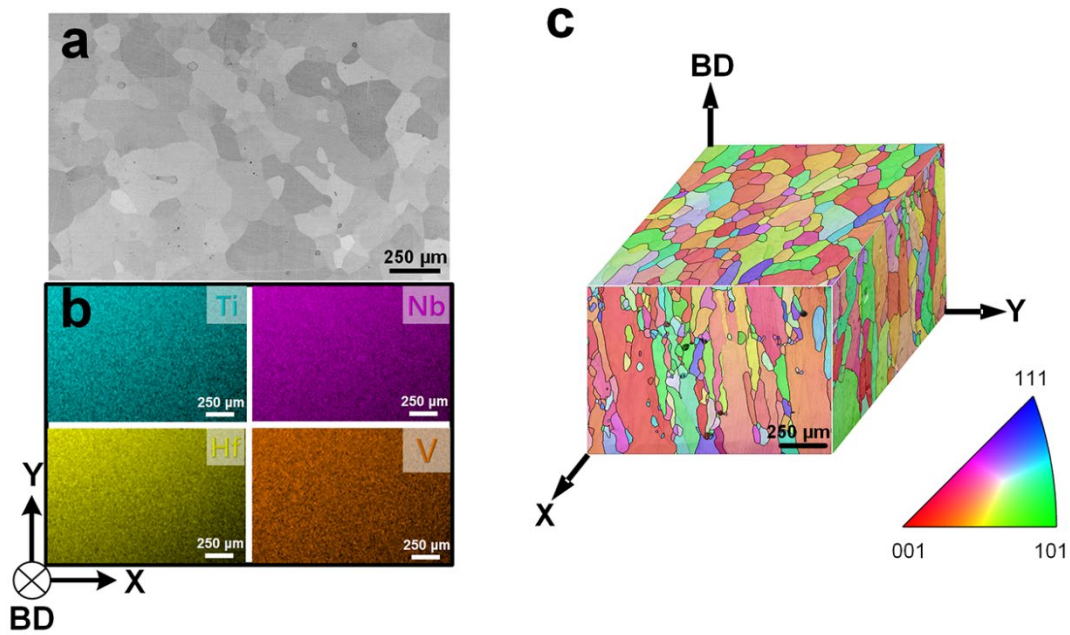
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2 **Fig. 4 DFT calculations on  $\text{Ti}_{42}\text{Hf}_{21}\text{Nb}_{21}\text{V}_{16}$  RHEA.** **a**, The BCC supercell containing the atomic  
 3 stacking. **b**, First-principle calculated GSFE curves of targeted RHEA system. **c**, The BCC supercell is  
 4 used for tensile and compression DFT calculations. **d**, The fitted total energy versus displacement  
 5 curve via the UBER method.

6 **3.2 Microstructure of fabricated RHEAs**

7 In our study, we examined the microstructures of RHEA specimens fabricated  
 8 using different methods: casting (as-casting), LENS (as-LENS) and LENS-fabricated  
 9 RHEA followed by heat treatment (as-HT). The microstructure collected from the top  
 10 surface revealed that the LENS-fabricated RHEA exhibited an equiaxed grain  
 11 morphology (**Fig. 5a**), and its corresponding elemental mapping results (**Fig. 5b**)

1 demonstrated an even distribution of alloying elements, indicating the absence of  
2 macro-elemental segregations and un-melted powders when employing a higher laser  
3 powder remelting approach. The EBSD IPF figures collected from the XY and XZ  
4 planes (see **Fig. 1c**) are given in **Fig. 5a** showing that the LENS-fabricated  
5  $\text{Ti}_{42}\text{Hf}_{21}\text{Nb}_{21}\text{V}_{16}$  RHEA has a columnar grain morphology along the building direction  
6 (BD) and the equiaxed grain on the top surface (**Fig. 5a**). This difference in grain  
7 morphology is attributed to the higher ratio between the temperature gradient in  
8 solid/liquid interface ( $G$ ), which can increased to  $10^3$  K/mm in the additive  
9 manufacturing process [21], and solidification velocity ( $R$ ) [37,38], differing from the  
10 equiaxed grains formed in LMD-fabricated HfNbTiZr RHEA [21].

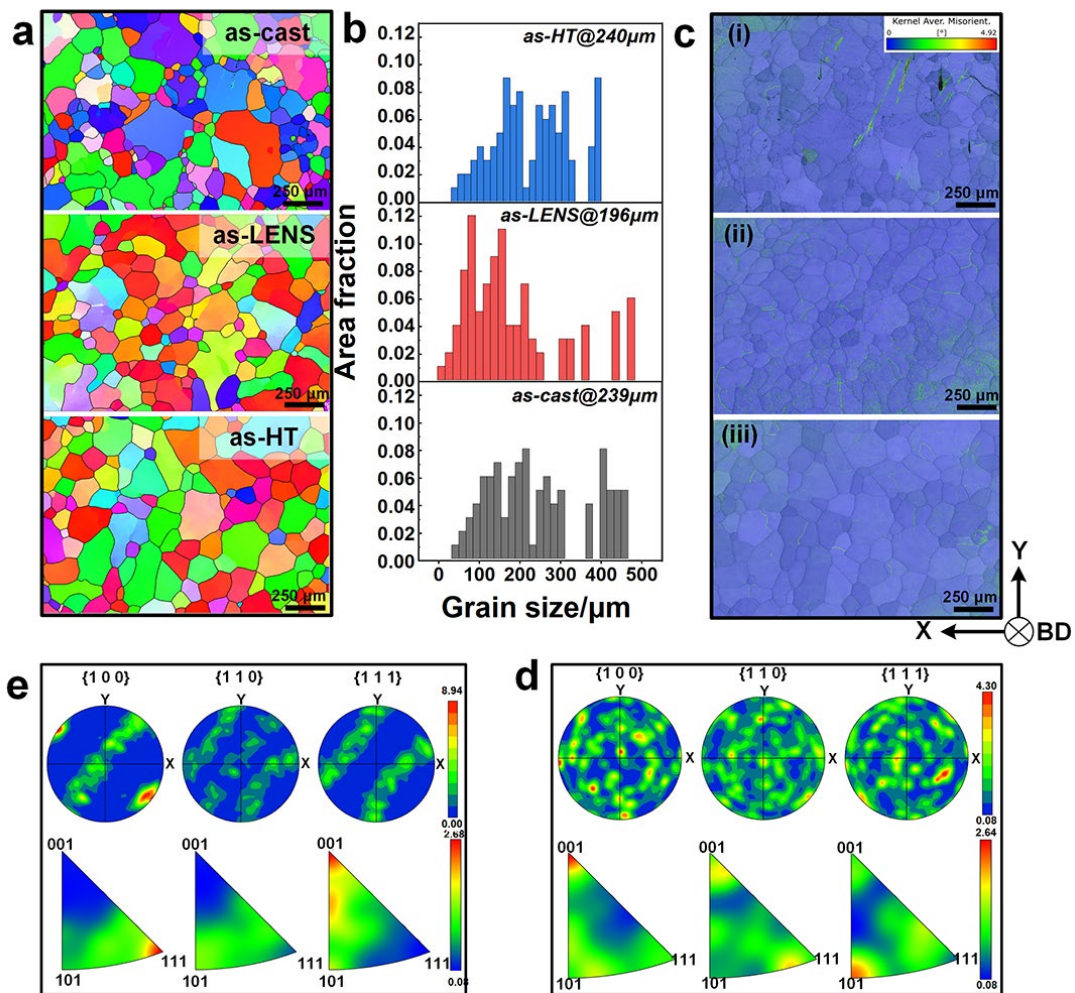


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2 **Fig. 5** The microstructure of LENS-fabricated RHEA. **a**, The BSE image from the as-LENS  
 3 specimen. **b**, Corresponding EDS mapping. **c**, a 3-dimensional reconstructed EBSD structure  
 4 showing the presence of columnar grain growth along the BD.

5 Further analysis of the microstructure of different RHEAs fabricated using several  
 6 approaches was studied as follows. The EBSD IPF maps (**Fig. 6a**) collected on the top  
 7 surfaces of tensile bars from RHEAs reveal the formation of equiaxed grains, whereas  
 8 the grain size and preferable orientations vary among those samples. The LENS-  
 9 fabricated sample exhibits the smallest grain size of 196  $\mu\text{m}$ , while the grain sizes of  
 10 the other samples are at the same level of  $\sim 240 \mu\text{m}$  (as shown in the statistical chart in  
 11 **Fig. 6b**). The kernel average misorientation (KAM) figures (**Fig. 6c**), which can be used  
 12 to assess the geometrically necessary dislocation densities [39] and the dislocation  
 13 structures [40], revealed no significant difference in their densities among the RHEAs  
 14 fabricated using different approaches. This is in contrast to the unique dislocation

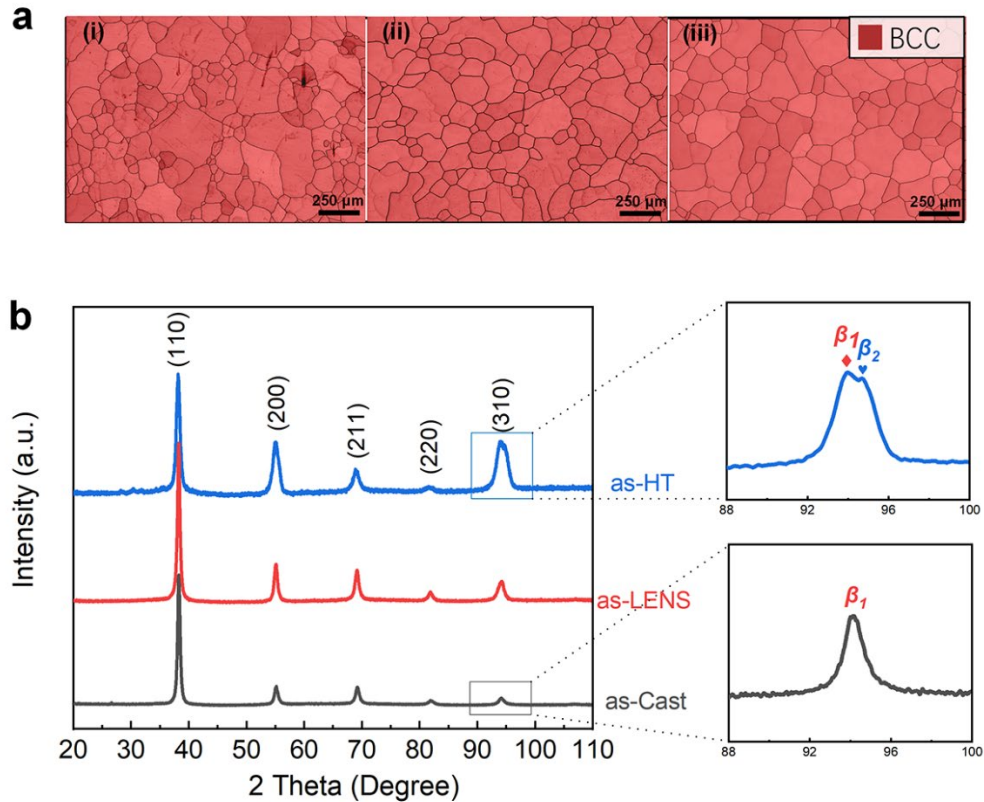
1 networks typically formed in the FCC alloys [11,41] fabricated via the additive  
 2 manufacturing method. The pole and inverse pole figures derived from the top surface  
 3 of LENS-fabricated samples (Fig. 6d) illustrate the presence of  $\langle 001 \rangle / (110)$  texture in  
 4 the as-LENS RHEA with a preferable growth orientation of  $\langle 001 \rangle$  parallel to the BD.  
 5 Conversely, the pole figures of the as-cast  $\text{Ti}_{42}\text{Hf}_{21}\text{Nb}_{21}\text{V}_{16}$  RHEA (Fig. 6e) indicate the  
 6 absence of any noticeable obvious texture, while long-time high-temperature annealing  
 7 primarily promoted grain growth rather than altering its preferable orientation.



8  
 9 **Fig. 6 The microstructural characterization of  $\text{Ti}_{42}\text{Hf}_{21}\text{Nb}_{21}\text{V}_{16}$  RHEAs prepared via**  
 10 **different approaches. a,** The EBSD IPF maps from RHEAs fabricated using different

1 approaches with corresponding statistics of grain size distribution given in **b**, **c**, KAM maps  
2 giving that no dislocation networks formed in RHEAs fabricated after LENS. **d**, The collected  
3 pole figures and inverse pole figures from the top surface of as-LENS RHEA. **e**, The pole  
4 figures and inverse pole figures of as-cast RHEA.

5 The CALPHAD method demonstrates that the target system can produce a single  
6 BCC structure in the non-equilibrium cooling processes (**Fig. 3**). Additionally, EBSD  
7 phase maps (**Fig. 7a (i-iii)**) indicate that there seem to be single BCC structures among  
8 those RHEAs. However, the XRD profile (**Fig. 7b**) reveals the formation of a single  
9 BCC phase in the as-cast specimen with a lattice constant of  $\sim 3.325 \text{ \AA}$ . Conversely, the  
10 as-HT RHEA exhibits diffraction peak splitting of the (310) crystal plane, similar to  
11 HfNbTiV RHEA [6], suggesting the separation of the BCC matrix into the primary  
12 phase of  $\beta_1$  and the secondary separated phase of  $\beta_2$ . The lattice constant of the BCC  
13 matrix in the as-LENS and as-HT RHEAs is larger, approximately  $3.330 \text{ \AA}$ , compared  
14 to the as-cast sample, due to the solid soluble interstitial atoms in the RHEA matrix [42].

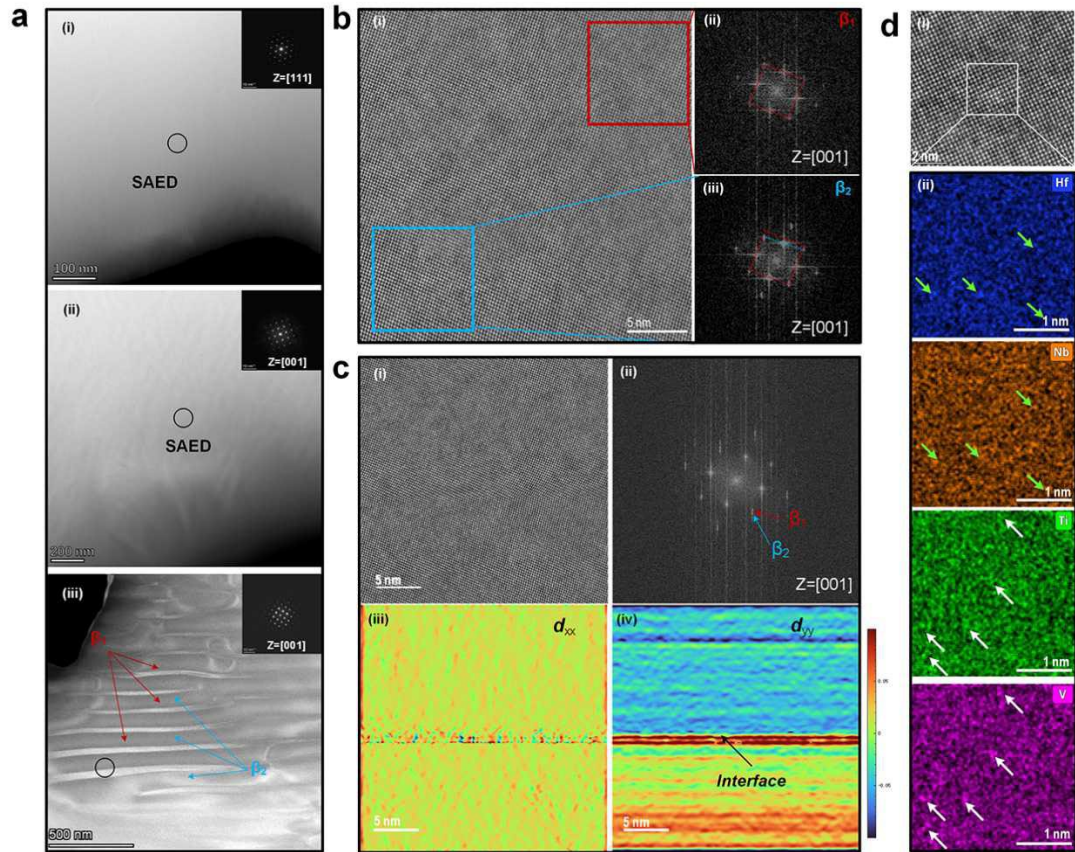


1

2 **Fig. 7 Phase identification of  $Ti_{42}Hf_{21}Nb_{21}V_{16}$  RHEAs prepared via different approaches. a,** The  
 3 EBSD phase maps. **b,** The XRD profiles of RHEAs fabricated using varied methods.

4 The TEM observation in **Fig. 8a** illustrates that the nano-scale phase separation  
 5 occurs not only in as-HT RHEAs (**Fig. 8a** (iii)) but also in the as-LENS one, despite the  
 6 selected area electron diffraction (SAED) patterns showing the characteristic of a single  
 7 BCC phase in the inserts of **Fig. 8a**. To confirm the presence of phase separation in the  
 8 as-LENS RHEA (**Fig. 8b**), the atomic-scale characterization and the corresponding Fast  
 9 Fourier Transformation (FFT) images ((ii-iii)) of the marked area are given in **Fig. 8b**  
 10 (i), revealing a structure different from the BCC matrix. A distinct interface between  
 11 the BCC matrix and separated phase was characterized as given in **Fig. 8c** (i), with the  
 12 corresponding FFT images showing an even more obvious BCC matrix separation after

1 heat treatment. Although the spinodal modulations were observed in the equimolar  
2 HfNbTiV RHEA [6], the separated structure would not be a secondary BCC structure  
3 due to the distortion of the SAED patterns between **Fig. 8b** (ii) and (iii). Based on the  
4 FFT patterns compared with simulated ones via the CrysTBox package [43] and XRD  
5 profile, a contracted body-centered tetragonal (BCT) structure (similar to the structure  
6 in  $\text{Ti}_{38}\text{V}_{15}\text{Nb}_{23}\text{Hf}_{24}$  RHEA [44]), with the lattice parameters of  $a=c=3.330 \text{ \AA}$  and  
7  $b=3.315 \text{ \AA}$ , was formed in the as-LENS sample and promoted by high-temperature  
8 annealing. Significant atomic distortion (x and y directions) obtained via geometrical  
9 phase analysis (GPA) [45] occurs at the interface between the separated phases (**Fig. 8c**  
10 (iii-iv)), consequentially resulting in the complex interaction with dislocation that  
11 would modify the deformation process in those RHEAs. The presence of Hf atoms with  
12 a relatively larger atomic radius induces a larger lattice distortion [46] leading to the  
13 lattice strain and higher strength in the HEAs compared to the conventional alloys [47].  
14 The atomic EDS mapping collected from the as-LENS RHEA shows the nano-scale  
15 chemical heterogeneity (see **Fig. 8d**) and the formation of elemental rich clusters  
16 pointing by the green and white arrows, regardless of the manufacturing approaches, which  
17 can contribute to the ductility increase instead of strengthening RHEA [21].



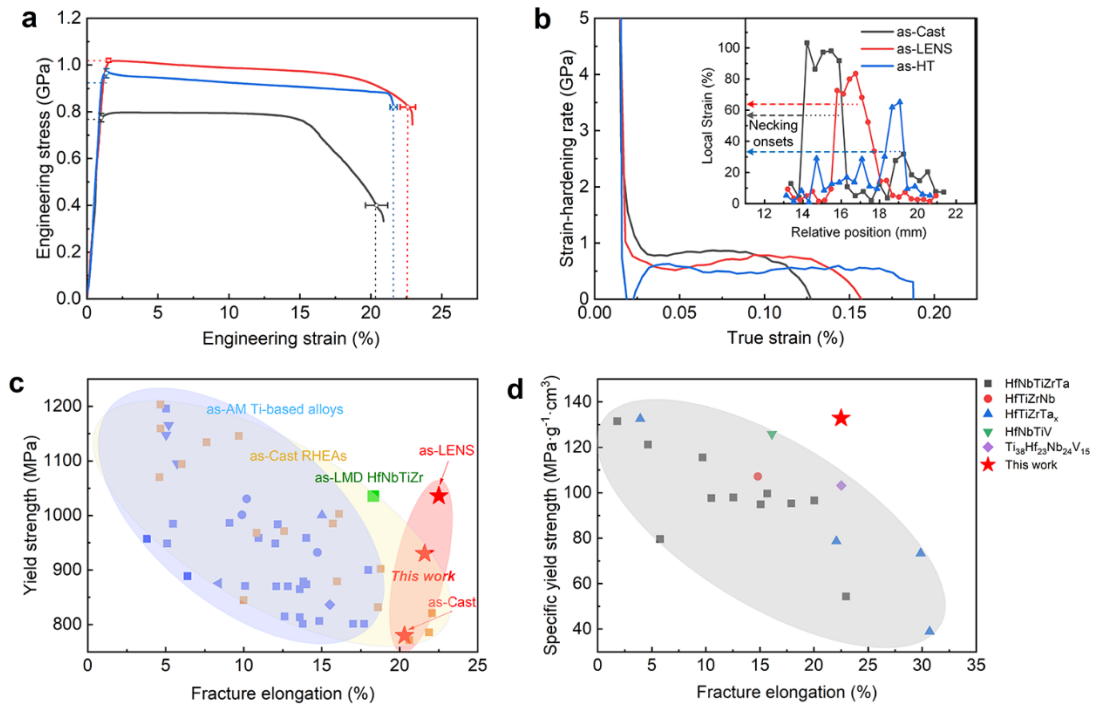
1  
2 **Fig. 8 Nano-scale microstructure of RHEAs fabricated via different approaches. a (i-iii)** bright  
3 field TEM images collected from RHEAs prepared via casting, LENS as well as-HT with the SEAD  
4 patterns insert. **b**, The HRTEM figure with the corresponding FFT figures (ii and iii) from the as-LENS  
5 sample. **c(i)**, The HRTEM figure collected from the as-HT sample shows a clear interface of separated  
6 phases illustrated by (ii) the corresponding FFT image and (iii-iv) gives atomic distortions near the  
7 interface. **d(i)**, The HRTEM image with (ii) corresponding atomic scale EDS mapping. TEM figures  
8 are collected along the [001] zone axis.

### 9 3.3 Improved tensile strength-ductility synergy

10 We performed room temperature tensile tests on the RHEA specimens fabricated  
11 using different methods, and the results are presented in **Fig. 9**. Among these samples,  
12 the LENS-fabricated sample shows the best strength-ductility combination (see **Fig. 9a**)  
13 with a yield strength of 1034 MPa and fracture strain of 22.5 %. In comparison, the as-  
14 cast RHEA has a yield strength of 780 MPa and fracture strain of 20.2 %. The results

1 indicate that LENS fabrication can significantly increase the yield strength (by almost  
2 32%) without compromising ductility, effectively overcoming the strength-ductility  
3 trade-off in specific RHEAs. It is worth noting that, after high-temperature annealing  
4 of the LENS-fabricated samples, the yield strength and the fracture strain were both  
5 slightly decreased to 970 MPa and 21.6 %, respectively. Additionally, we also converted  
6 the slope of the true stress-strain curve into the strain-hardening rate (SHR) curves, as  
7 shown in **Fig. 9b**, showing the plummet (Stage I), steady increase (Stage II) and then  
8 decreased trends (Stage III) on the plastic flow. During the deformation Stage I, a sharp  
9 drop in SHR is formed, while a gradual decrease in SHR also occurs in the deformation  
10 stage III. The plastic deformation stage II, characterized by a slight increase in SHR,  
11 terminates at different true strains of RHEAs fabricated via different approaches. Insert  
12 of **Fig. 9b** reveals the local strain profiles by DIC at the last frame before fracture  
13 collected along lines in **Fig. 11 a** and also displays the necking onsets, giving that the  
14 as-cast RHEA has the longest necking length while the as-HT counterpart has the  
15 shortest one (details are given as follows). For comparison, we included Ashby plots  
16 of Ti-based alloys fabricated using additive manufacturing processes [14], the as-cast  
17 RHEAs [4], the previously reported LMD-fabricated HfTiZrNb RHEA [21], and our  
18 results (see **Fig. 9c**). Our  $\text{Ti}_{42}\text{Hf}_{21}\text{Nb}_{21}\text{V}_{16}$  RHEA fabricated using LENS stands out and  
19 has a superb strength-ductility synergy as well as specific yield strength-strain matching  
20 among the reported well-performed RHEAs (see **Fig. 9d**) [6]. Only a few others, such  
21 as equimolar HfNbTiV [6], and oxygen-doped HfNbTiZr RHEAs [9] can succeed in  
22 this RHEA, attributed to the presence of the spinodal structure as well as the oxygen

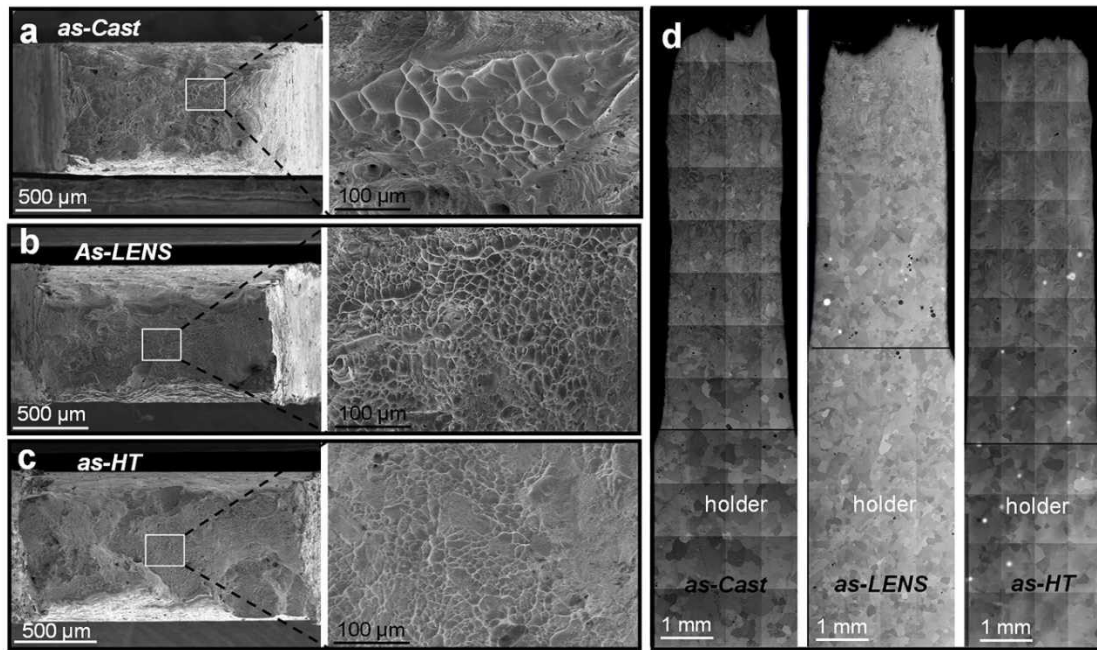
1 complex. However, their SHR<sub>s</sub> plunge to under true stress at the early plastic  
 2 deformation [6], yet they still exhibit superior strength-ductility synergies in those  
 3 RHEAs.



4  
 5 **Fig. 9 The improved tensile strength-ductility synergy achieved in as-LENS RHEA compared to**  
 6 **those fabricated via different approaches. a,** The engineering stress-strain curves of RHEAs  
 7 fabricated different approaches. **b,** The corresponding strain hardening rate curves show different  
 8 deformation stages. Insert of **b** is the local strain profiles by DIC. **c,** the tensile yield strength versus  
 9 fracture elongation in comparison with previously reported Ti-based alloys prepared via AM methods  
 10 [14], the bcc-type RHEAs [4] as well as the as-LENS HfNbTiZr RHEA [21]. **d,** giving the comparison  
 11 with previously reported RHEAs on the specific yield strength versus the fracture elongation.

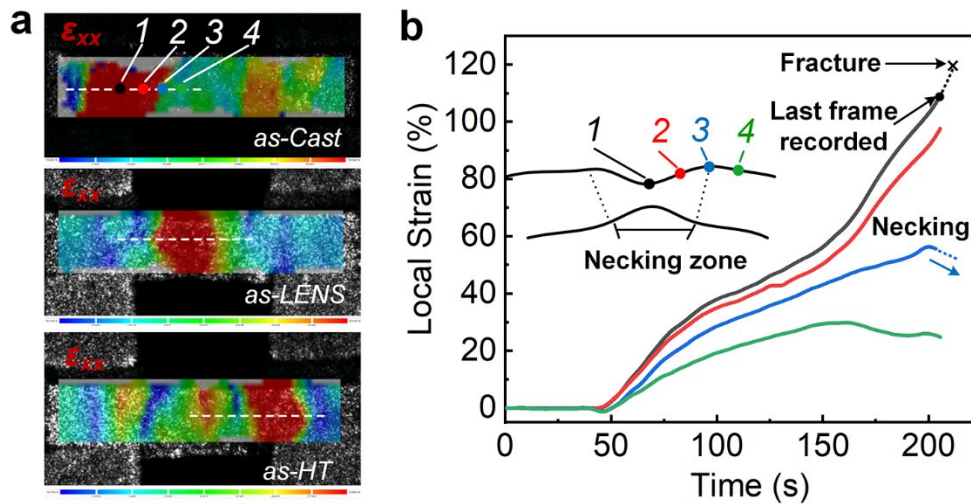
12 The fracture surface morphologies show the presence of complete ductile dimples  
 13 without forming cleavages or intergranular fracture surfaces (see **Fig. 10a-c**), indicating  
 14 the formation of through-sample ductile fractures in those RHEAs. **Fig. 10d** depicts the  
 15 splicing BSE images of upper tensile surfaces from RHEAs fabricated using different

1 methods, indicating the less uniform tensile strain in as-cast RHEA and obvious shear  
2 bands in the severely deformed zone. Due to the presence of the plateau-like plastic  
3 response in these alloys [44] (**Fig. 9b**), the Considère criterion [48] may not be  
4 applicable for predicting the onset of necking [44]. Therefore, we employed a digital  
5 image correlation measurement by involving the time-dependent methodology [49] to  
6 study the onset of necking in those RHEAs (see **Fig. 11**). **Fig. 11a** illustrates the local  
7 strain distributions of the deformed samples at the last frame before fracture, obtained  
8 through post-processed DIC results. By analyzing the time-dependence local strain  
9 increasing trends at different points (shown in **Fig. 11a**), an abrupt decrease in the time-  
10 dependence local strain curve indicates the initiation of necking [49], as demonstrated  
11 in **Fig. 11b**. Thus, the area of abrupt change (point 3) on the local strain maps (see **Fig.**  
12 **11a**) can be considered as the necking boundary. As a result, the as-LENS and as-HT  
13 RHEAs exhibit larger uniform tensile strain compared to the as-cast counterpart (see  
14 **Fig. 9b** insert), which is also supported by the splicing BSE images of the tensile bar  
15 top surfaces (see **Fig. 10d**).



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**Fig. 10 Fracture morphology of  $Ti_4_2Hf_{21}Nb_{21}V_{16}$  RHEAs.** a-c, SEM images of fracture surfaces from as-cast, as-LENS and as-HT RHEAs, respectively, with magnified figures showing the ductile dimples without cleavages. d, Splicing BSE images of as-cast RHEA, as-LENS RHEA and as-HT RHEA, respectively.

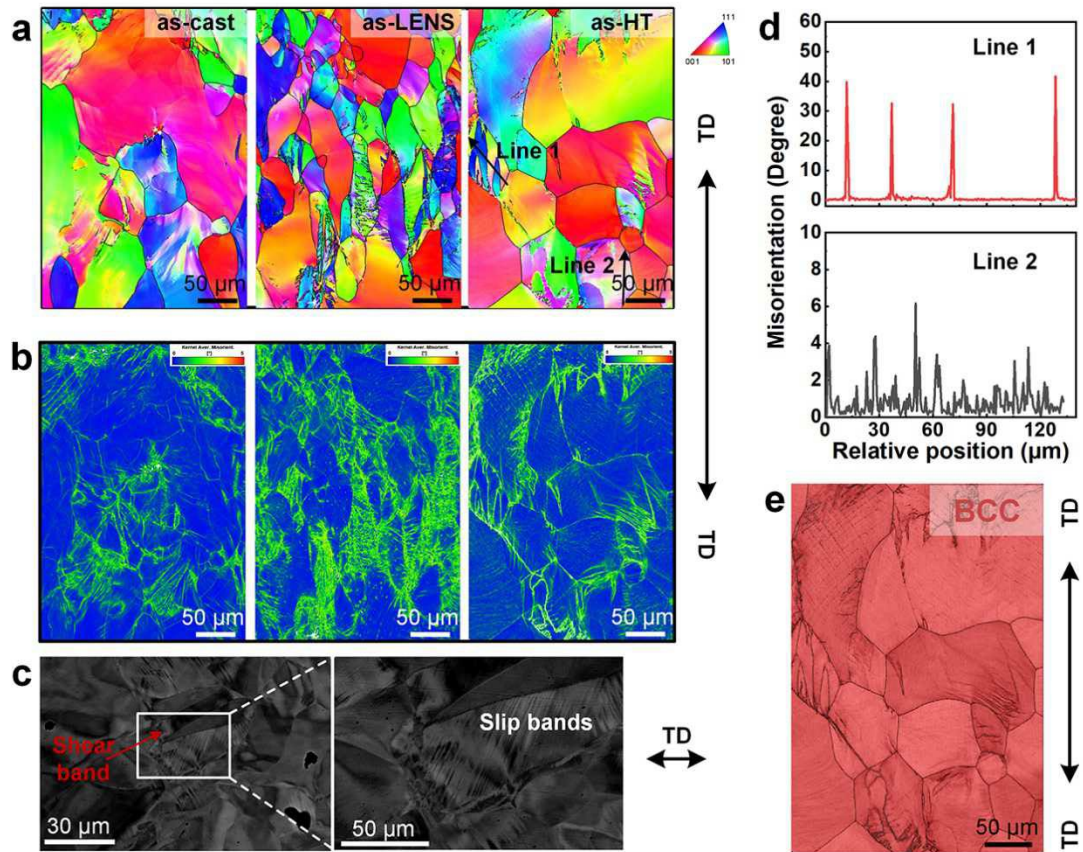


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**Fig. 11 Digital image correlation measurement results.** a, local strain maps display the two-dimensional local strain distribution of RHEAs fabricated via different methods. b, The experimental time evolution of the local strain on different points (marked in a) shows the various increasing trends.

### 1 3.4 Deformation behavior

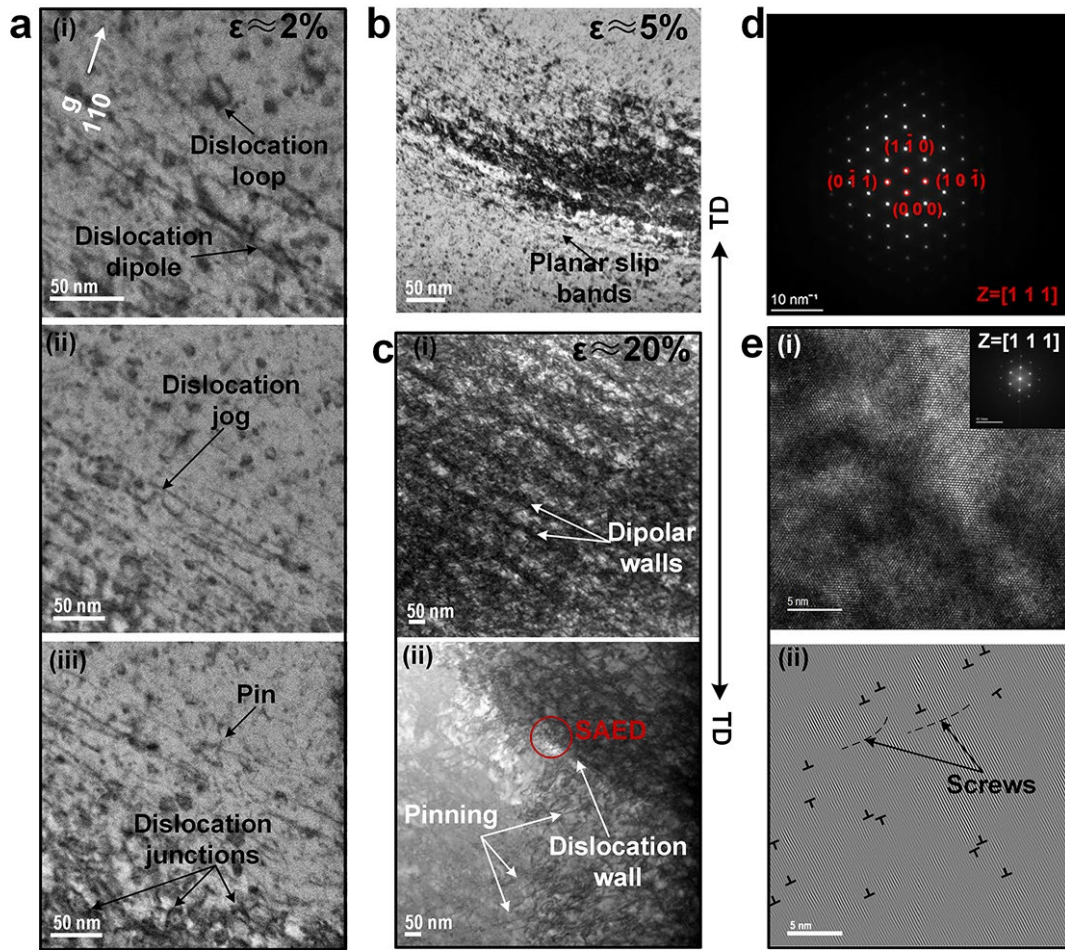
2 We then conducted the in-situ and ex-situ tensile observation on those REHAs to  
3 study their deformation behaviors. Despite having different local strains in the necking  
4 area (**Fig. 9b insert**), the RHEAs fabricated through different approaches exhibit  
5 similar deformation characteristics (**Fig. 12a**). The IPF figure near the fracture area  
6 reveals the formation of crushed grains (**Fig. 12a**). Their corresponding KAM figures  
7 in **Fig. 12b** indicate the formation of slip bands and high density of dislocations after  
8 the plastic deformation due to the higher KAM contrast in the deformed area[50], which  
9 is also revealed by the BSE images as illustrated in **Fig. 12c**. For further studying their  
10 formation mechanism, we analyzed the misorientation variations along the marked  
11 lines in **Fig. 12a**, there are slip bands formed during the deformation with the relative  
12 lower misorientations of Line 1 generally below 10°, while Line 2 exhibits larger  
13 misorientations above 15°(**Fig. 12d**) but eliminating the possibility of forming twin  
14 boundaries (generally ~50° for {332}<113> twinning [21]). The formation of large-  
15 angle grain boundaries (or higher misorientations) is attributed to the presence of shear  
16 bands in highly deformed alloys, a common occurrence in highly deformed titanium  
17 [51]. At the end of the plastic deformation, the dislocation motion, excluded from the  
18 deform-induced phase transformation, because of the single BCC formed after  
19 deformation demonstrated in **Fig. 12e**, accompanied by the shear band deformation to  
20 induce the local stress concentration and crystal-crushing to form new grains.



1  
2 **Fig. 12 Microstructure evolution of different approach fabricated  $Ti_{42}Hf_{21}Nb_{21}V_{16}$  RHEAs after**  
3 **deformation. a,** The EBSD IPF maps and **b,** KAM figures collected near the fracture areas from the  
4 corresponding RHEAs fabricated using different methods. **c,** BSE image of the deformed area. **d,** The  
5 corresponding local misorientation variation along the lines marked in **a.** **e,** The EBSD phase image of  
6 as-HT RHEA gives that only a single BCC was formed after deformation.

7 To study the dislocation evolution during the deformation, in-situ tensile testing  
8 was conducted in TEM on the LENS-fabricated specimen, which exhibits excellent  
9 strength-ductility synergy. During the in-situ tensile test, the deformation was  
10 terminated at certain pre-strains. At the early plastic deformation stage ( $\sim 2\%$ ), the  
11 formation of dislocation dipoles as well as dislocation loops indicates the role of screw  
12 dislocations in the plastic deformation process. A dislocation pinning effect can occur  
13 in that deformation stage in **Fig. 13a (iii)**. As the sample was strained to 5%, continuous

1 planar-slip bands and high density of dislocations dominated this deformation stage  
2 (**Fig. 13b**). With further straining, the cross-slip is further promoted in this RHEA, and  
3 with the characteristics of wavy slip (**Fig. 13c (i)**) [52], dominating the plastic flow at  
4 this deformation stage. Additionally, the formation of dislocation walls is also observed  
5 (**Fig. 13c (ii)**) and the SAED pattern **Fig. 13d** indicates no phase or twin formation during  
6 deformation. The inverse Fourier transformation image from the HRTEM image of the  
7 deformed area (**Fig. 13e (i)**) confirms the presence of mixed dislocations of both the  
8 edge and screw characters, as shown in **Fig. 13e (ii)**.



1

2 **Fig. 13 In situ deformed microstructure observations.** **a (i-iii)**, The TEM bright field (BF) image of  
 3 the as-LENS RHEAs at a terminated strain of 2%. **b**, TEM BF figure of the deformed microstructure at  
 4 a strain of 5%. **c(i)**, Deformed microstructure at a strain of 20% showing the formation of dipolar walls  
 5 and **c(ii)** formed dislocation wall accompanied with dislocation pinning effects. **d**, SAED pattern of  
 6 marked area in **c(i)** **e(i)**, HRTEM image of deformed microstructure collected along [111] zone axis  
 7 with corresponding FFT image insert and **d(ii)**, The corresponding iFFT figure shows the presence of  
 8 mixed edge and screw dislocations with huge density.

9 **4. Discussion**

10 **4.1 The origins of ductility in selected RHEA**

11 The stacking fault energy plays a crucial role in regulating the stacking fault in  
 12 FCC-based HEAs, which in turn can generate deformed twins [53] to strengthen these

1 HEAs. Although BCC-based materials have equivalent slipping systems as FCC-based  
 2 alloys, the lower stacking compact on the slipping surfaces in BCC-based materials  
 3 compared to FCC-based alloys is responsible for the even worsened ductility in BCC-  
 4 based metals. The stacking fault energy (SFE) is a useful parameter for estimating the  
 5 ductility levels in BCC-based materials, with higher SFE leading to lower ductility in  
 6 BCC-based materials [54]. The dislocation movement near the crack tip would affect  
 7 crack growth and further reflect the fracture characteristics. Rice et al. [55] established  
 8 a relationship between the critical crack propagation force for I-type crack ( $G_c$ ) and the  
 9 unstable stacking fault energy ( $\gamma_{us}$ ), as shown in the following function:

$$10 \quad G'_c = 8 \frac{1+(1-\nu)\tan^2\theta}{(1+\cos\varphi)\sin^2\varphi} \gamma_{us} \quad \text{Eq. 3}$$

11 where  $\nu$  is Poisson's ratio,  $\theta$  is the angle between the initial cracking and prolonging  
 12 directions,  $\varphi$  represents the angle between the cracking lattice plane and slip planes.

13 According to the Griffith fracture theory [56], the cracking expansion force ( $G_c$ ),  
 14 known as the brittle cracking critical energy, is determined by surface energy ( $\gamma_s$ ), given  
 15 as follows:

$$16 \quad G_c = 2\gamma_s \quad \text{Eq. 4}$$

17 As a result, when  $G_c$  is higher than  $G'_c$ , the dislocation nucleation occurs near the  
 18 crack tips, resulting in ductile fracture; otherwise, cracking expansion would be formed,  
 19 leading to brittle fracture. When  $G_c > G'_c$ , the following equation can be derived:

1 
$$\frac{\gamma_s}{\gamma_{us}} = 4 \frac{1+(1-\nu)\tan^2\theta}{(1+\cos\varphi)\sin^2\varphi} \quad \text{Eq. 5}$$

2 Hu et al. [54] used a factor of D, the ratio of  $\gamma_s$  and  $\gamma_{us}$ , which can be used for  
3 predicting the ductile level in RHEAs. When D exceeds 3.5, the fabricated RHEAs  
4 generally have a higher compressive fracture strain [54], indicating the occurrence of  
5 dislocation nucleation before cracking, resulting in ductile fracture [55]. The ratio  
6 between the surface energy ( $\gamma_s$ ) and ( $\gamma_{us}$ ) greatly exceeds the criteria for ductile or  
7 brittle materials, indicating that the dislocation nucleation precedes the crack formation.  
8 On the other hand, the stress field near the crack tip can also influence crack propagation.  
9 For instance, tensile stress near the crack tip promotes cracks to overcome the energy  
10 barrier for crack formation, while shear stress at the crack tip promotes ductility by  
11 facilitating dislocation or stacking fault motion to overcome the energy barrier from  
12 USFE [57]. Mei et al. [58] introduced a dimensionless factor of  $\xi$  to estimate the  
13 ductile-brittle properties, demonstrating that:

14 
$$\xi = \frac{\gamma_s}{\gamma_{us}} \cot \frac{\theta}{2} \quad \text{Eq. 6}$$

15 We can realize that the ductile-brittle properties of I-type cracks are influenced by the  
16 surface energy, the USFE as well as the cracking angle. For materials with the same  
17 surface energy and USFE, the angle between the initial cracking direction and  
18 prolonging direction determines the brittle-ductile properties. When the initial cracking  
19 and prolonging directions have a smaller angle, a brittle fracture characteristic is  
20 produced, otherwise, the materials have ductile fracture properties. Hence, the designed

1 RHEA system in this study exhibits the characteristics of ductile materials, making it  
2 suitable for the additive manufacturing process.

### 3 **4.2 Intrinsic strength of selected RHEA**

4 By utilizing additive manufacturing processes on metallic materials, especially  
5 those alloys with FCC phase structure, the effect on the strength-ductility trade-off  
6 contributes to the unique heterogeneous structures [12] as well as the dislocation  
7 network [11]. While there are other methods such as refinement grains strengthening  
8 [59], the twinning or transformation-induced plasticity [9], and even the local chemical  
9 order [60] that can potentially enhance the strength of alloys while maintaining ductility,  
10 these approaches are absent in this study. To better understand the effects on yield  
11 strength, the contributions to the yield strength are typically considered as follows [21]:

$$12 \quad \sigma_y = (\sigma_{0.2})_{mix} + \sigma_G + \sigma_S + \sigma_D + \sigma_P \quad \text{Eq. 7}$$

#### 13 **4.2.1 Mixed intrinsic yield strength of pure metals**

14 In **Eq. 7**,  $(\sigma_{0.2})_{mix}$  refers to the mixed intrinsic yield strength of pure metals,  
15 which can be expressed using the rule of mixtures:

$$16 \quad (\sigma_{0.2})_{mix} = \sum c_i \sigma_{0.2i} \quad \text{Eq. 8}$$

17 where  $c_i$  and  $\sigma_{0.2i}$  denote the atomic fraction of  $i^{\text{th}}$  element and its yield strength at  
18 the given temperature respectively, as listed in **Table 2**. Consequently, the calculated  
19 value of  $(\sigma_{0.2})_{mix}$  for  $\text{Ti}_{42}\text{Hf}_{21}\text{Nb}_{21}\text{V}_{16}$  RHEA is 203.22 MPa.

20

1 **Table 2 Basic physical properties of pure constituents of the fabricated RHEAs where the yield**  
2 **strength of pure Ti, Nb and V was obtained from Ref. [60] while that of pure Hf was collected via**  
3 **Ref. [61].**

Element	Ti	Hf	Nb	V
Melting point (K)	1941	2506	2750	2183
R (pm)	140	155	145	135
G (GPa)	44	30	38	47
Yield strength/ MPa	225	230	114	228
Atomic fraction	0.42	0.21	0.21	0.16

4

#### 5 **4.2.2 Grain boundary strengthening value**

6 In Eq. 7,  $\sigma_G$  is the strength attributed to the grain boundary strengthening, which  
7 is given as follows [62]:

$$8 \quad \sigma_G = \sigma_f + K_{HP} \cdot d^{-0.5} \quad \text{Eq. 9}$$

9 where  $K_{HP}$  and  $d$  are the Hall-Petch coefficient and grain diameter respectively,  $\sigma_f$   
10 represents the lattice friction stress, which can be replaced by the Peierls-Nabarro (P-  
11 N) stress ( $\sigma_{P-N}$ ) [63], given by:

1 
$$\sigma_f = \sigma_{P-N} = G \exp(-2\pi d/b) \quad \text{Eq. 10}$$

2 where  $G$  denotes the shear modulus which can be obtained by the rule of mixtures,  $b$   
3 is the burgers vector and  $d$  represents the temperature-dependent dislocation width,  
4 demonstrated as follows:

5 
$$d = d_0(1 + \alpha T) \quad \text{Eq. 11}$$

6 in which  $d_0$  denotes the dislocation core at absolute zero and  $\alpha$  is a constant,  
7 approximately equal to the reciprocal of the melting temperature ( $\frac{1}{T_m}$ ), and  $T$  is the test  
8 temperature (298 K). Using the rule of mixtures, the melting point ( $T_m$ ) and shear  
9 modulus ( $G$ ) are calculated to be 2668 K and 40.28 GPa, respectively. When  $d_0 = b$ ,  
10 the friction stress ( $\sigma_f$ ) is calculated to be 37.29 MPa. For the Hall-Petch coefficient,  
11 Sriharitha et al. [64] provided an estimation using the following equation:

12 
$$K_{HP} \approx 0.05G\sqrt{b} \quad \text{Eq. 12}$$

13 where  $b$  is the burgers vector which is used to calculate  $K_{HP}$  as  $35.59 \text{ MPa}\cdot\mu\text{m}^{-1/2}$ .  
14 Based on the EBSD grain size collection results and **Eq. 9**, the contribution to the yield  
15 stress from the grain boundaries is 39.54 MPa in the as-cast RHEA samples.

### 16 **4.2.3 Solid strengthening in RHEA**

17 Unlike conventional metallic materials, HEAs exhibit superior mechanical  
18 strength due to lattice distortion, which induces a significant solid solution  
19 strengthening effect [27]. The nature of solid solution strengthening arises from the  
20 interactions between dislocations and distorted stress fields from the solute [65]. Wang

1 et al. [63] gave an expression that considers the contribution of lattice distortion and  
 2 modulus mismatch as follows,

$$3 \quad \sigma_S = \sum_i^n (AGc_i^{\frac{2}{3}}\delta_i^{\frac{4}{3}} + BGc_i\delta_i) \quad \text{Eq. 13}$$

4 where A and B are dimensionless constants of 0.1 and 0.03, respectively. [27,66].  $c_i$   
 5 is the atomic fraction of  $i^{\text{th}}$  consistent,  $\delta_i$  is the stress contribution from  $i^{\text{th}}$  consistent  
 6 in terms of lattice distortion as well as the modulus mismatch. Based on Labusch's  
 7 model [66], the modified models express the lattice distortion ( $\delta r_i$ ) and the modulus  
 8 mismatch ( $\delta G_i$ ), and the calculated results, given in **Table 3**, are expressed as follows  
 9 [27],

$$10 \quad \delta r_i = \frac{9}{8} \cdot \sum_{i \neq j} c_j \cdot \delta r_{ij} \quad \text{Eq. 14}$$

$$11 \quad \delta G_i = \frac{9}{8} \cdot \sum_{i \neq j} c_j \cdot \delta G_{ij} \quad \text{Eq. 15}$$

12 where  $\delta r_{ij} = 2(r_i - r_j)/(r_i + r_j)$  and  $\delta G_{ij} = 2(G_i - G_j)/(G_i + G_j)$  provide details  
 13 of the atomic radius and shear modulus differences between the  $i^{\text{th}}$  and  $j^{\text{th}}$  atoms,  
 14 respectively, listed in **Table 4**. The properties of the constituents are listed in **Table 2**,  
 15 by which the calculated solid solution stress is 468.25 MPa.

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1

**Table 3 The calculated atomic modulus and atomic size mismatch.**

Element	Ti	Hf	Nb	V
$\delta r_i$	-0.0258	0.0886	0.0137	-0.0667
$\delta G_i$	0.1120	-0.3136	-0.0517	0.1854

2

3

**Table 4 Calculated values for atomic radius mismatch of  $\delta r_{ij}$  (underlined numbers) and shear**

4

**modulus difference of  $\delta G_{ij}$  for different atomic pairs.**

Element i/j $\delta r_{ij}/\delta G_{ij}$	Ti	Hf	Nb	V
Ti	0	0.3780	0.1463	-0.0659
Hf	<u>0.1017</u>	0	-0.2353	-0.441
Nb	<u>0.0351</u>	<u>-0.0667</u>	0	-0.2118
V	<u>-0.0364</u>	<u>-0.1379</u>	<u>-0.0714</u>	0

5

**4.2.4 Dislocation strengthening in RHEA**

7

The higher dislocation density has an impact on the yield strength, which can be

8

determined using Taylor's hardening law [67]:

9

$$\Delta\sigma_D = M\alpha Gb\rho^{0.5} \quad \text{Eq. 16}$$

10

in which  $M$  and  $\alpha$  denote the Taylor factor of 3.06 [68] and correction constant of

11

0.3 [69],  $G$  represents the shear modulus,  $b$  is the Burgers vector and  $\rho$  gives the

1 dislocation density. The dislocation density can be obtained through X-ray or neutron  
 2 diffraction methods, expressed in the modified Williamson-Hall plot proposed by  
 3 Ungar and Borbely [70] as follows:

$$4 \quad \Delta K \approx \frac{0.9}{d} + \left(\frac{\pi A b^2}{2}\right)^{\frac{1}{2}} \rho^{\frac{1}{2}} \left(K \bar{C}^{\frac{1}{2}}\right) + O(K^2 \bar{C}) \quad \text{Eq. 17}$$

5 where  $\Delta K$  is the full width at half-maximum (FWHM). The first term in **Eq. 17** shows  
 6 the grain size contribution to the line broadening and  $d$  denotes the average  
 7 grain/particle size. The second term in **Eq. 17** expresses the strain contribution, mainly  
 8 from the dislocation density ( $\rho$ ), to the line broadening. In this term,  $A$  is a constant of  
 9 10 [71,72] for a wide range of dislocation contributions which depends on the effective  
 10 outer cutoff radius of dislocations, while  $b$  is the Burgers vector.  $K = 2\sin\theta/\lambda$  and  
 11  $\bar{C}$  denotes the average contrast factor of dislocations of the  $hkl$  indices under different  
 12 reflections [73]:

$$13 \quad \bar{C} = \bar{C}_{h00} \left(1 - q \frac{h^2 k^2 + h^2 l^2 + k^2 l^2}{(h^2 + k^2 + l^2)^2}\right) \quad \text{Eq. 18}$$

14 where  $\bar{C}_{h00}$  and  $q$  are the constants determined by the elastic constants, especially  
 15 the elastic anisotropy  $A_i = 2C_{44}/(c_{11} - c_{12})$  and the ratio of  $c_{11}/c_{44}$ . Based on the  
 16 calculated elastic stiffness constants ( $C_{11}=161.326$  GPa,  $C_{44}=49.034$  GPa) using a VCA  
 17 model by CASTEP after the convergence test, the  $A_i = 2.08$  and  $\frac{c_{11}}{c_{44}} = 3.29$ . When  
 18 edge and screw dislocations have an equal proportion, the  $\bar{C}_{h00}$  can be obtained  
 19 according to Ref [74] as 0.25884. The last term in **Eq. 17** refers to the higher order of  
 20  $K^2 \bar{C}$ , which can be considered negligible. Hence, the **Eq. 17** can also be rewritten as  
 21 [75]:

1 
$$(\Delta K)^2 \approx \left(\frac{0.9}{d}\right)^2 + \frac{\pi Ab^2}{2} \rho K^2 \bar{C} \quad \text{Eq. 19}$$

2 By inserting **Eq. 18** into **Eq. 19** yields:

3 
$$[(\Delta K)^2 - \alpha]/K^2 \approx \beta \bar{C}_{h00} (1 - qH^2) \quad \text{Eq. 20}$$

4 where  $\alpha = \left(\frac{0.9}{d}\right)^2$  and  $\beta = \frac{\pi Ab^2}{2} \rho$ . By plotting  $[(\Delta K)^2 - \alpha]/K^2$  versus  $H^2$  [74], we  
 5 can obtain a q value of 0.9355. Depending on **Eq. 17**, The FWHM of  $\text{Ti}_{42}\text{Hf}_{21}\text{Nb}_{21}\text{V}_{16}$   
 6 RHEA versus the  $K\bar{C}^{\frac{1}{2}}$ , gives a slope value of  $\left(\frac{\pi Ab^2}{2}\right)^{\frac{1}{2}} \rho^{\frac{1}{2}}$ . As a result, the dislocation  
 7 density in the as-cast  $\text{Ti}_{42}\text{Hf}_{21}\text{Nb}_{21}\text{V}_{16}$  RHEA is around  $1.16 \times 10^{13} \text{ m}^{-2}$ . By **Eq. 16**,  
 8 we can obtain the dislocation contribution to the yield strength as 37.16 MPa. The  
 9 dislocation densities of as-LENS as well as the as-HT are  $6.15 \times 10^{13} \text{ m}^{-2}$  and  $1.40 \times$   
 10  $10^{13} \text{ m}^{-2}$ , respectively. Therefore, the contribution to the yield strength in the as-LENS  
 11 as well as the as-HT samples are 85.56 MPa and 40.77 MPa, respectively.

### 12 **4.3 Mechanisms of improved strength-ductility synergy**

13 The as-LENS  $\text{Ti}_{42}\text{Hf}_{21}\text{Nb}_{21}\text{V}_{16}$  RHEA exhibits the best strength-ductility synergy  
 14 among those fabricated via other methods. In general, the mixed yield strength from  
 15 the pure metals, the intrinsic solid-solution strengthening, the grain boundary  
 16 strengthening as well as the dislocation strengthening [21] contribute to the yield  
 17 strength of the RHEA. Since those RHEAs have the same nominal compositions, the  
 18 mixed yield strength and the intrinsic solid strength, considering the atomic radius and  
 19 shear modulus mismatch [27], are expected to be the same. Although there are grain  
 20 size differences among the fabricated REHAs, the Hall-Petch coefficient shows that the  
 21 shear modulus sensitivity has a relatively low level, resulting in an insignificant effect

1 of grain size difference on the yield strength. The KAM figures (Fig. 6c) do not show  
 2 significant dislocation differences, but the modified Williamson-Hall method [70]  
 3 reveals subtle differences in dislocation densities among the RHEAs fabricated using  
 4 different approaches.

5 Specifically, the as-LENS RHEA exhibits the highest dislocation density ( $\sim 6.5 \times$   
 6  $10^{13} \text{ m}^{-2}$ ), which is 2 orders of magnitude lower than that in FCC alloys fabricated via  
 7 AM methods [76] to form the dislocation networks. Although the contribution of  
 8 dislocation strengthening to the yield strength in the LMD-fabricated HfNbTiZr RHEA  
 9 was neglected [21], the dislocation density fluctuations were observed in RHEAs  
 10 fabricated via different approaches. Comparing the as-cast and annealed samples, the  
 11 LENS-fabricated RHEAs show an increase of  $\sim 40 \text{ MPa}$  increase in yield strength  
 12 compared to the as-HT sample, as calculated using Taylor's hardening law [67], which  
 13 can account for the decreased value of a yield strength after annealing (Fig. 9a).  
 14 However, there is still a question regarding the increase in yield strength from the as-  
 15 cast sample to the as-LENS sample, as the nominal composition, grain size and  
 16 dislocation densities are similar (as listed in Table 5).

17

18 **Table 5** The calculated values of contributions to the yield strength and the experimental results  
 19 of  $\text{Ti}_{42}\text{Hf}_{21}\text{Nb}_{21}\text{V}_{16}$  RHEAs with the errors between the calculated and experimental results are at  
 20 an acceptable level.

$(\sigma_{0.2})_{mix}$	$\sigma_S$	$\sigma_G$	$\sigma_D$	$\sigma_{s-i}$	$\sigma_{y-cal}$	$\sigma_{y-exp}$
------------------------	------------	------------	------------	----------------	------------------	------------------

As-cast			39.54	37.16	-	748.17	780
As-LENS	203.22	468.25	39.84	85.56	178.36	975.23	1030
As-HT			39.54	40.77	177.46	929.24	970

1

2        Although the nano-scale heterogeneity, like the local chemical fluctuations [21],  
3 the local chemical order (LCO) [60], even the nano-scale secondary phase (BCT) [44],  
4 as well as the nano ceramic particles [77] etc., has been proven to be effective in  
5 improving the mechanical performance of HEAs, these structures generally a great  
6 influence on ductility through their interaction with dislocations than strength. In our  
7 study, we conducted nitrogen and oxygen (N&O) tests on the RHEAs fabricated using  
8 different approaches, considering that the BCC matrix in the as-LENS RHEA has a  
9 higher lattice constant than the as-cast counterpart (**Fig. 7b**). The results showed an  
10 increase in oxygen and nitrogen content in the LENS-fabricated RHEA compared to  
11 those in as-cast one, ~0.02 at.% (oxygen) and ~0.10 at.% (nitrogen) (**Table 1**),  
12 respectively. Based on the oxygen/nitrogen analysis results, the LENS-fabricated  
13 sample has higher O/N contents compared to the as-cast one, while those contents are  
14 similar in the as-LENS and as-HT samples. Interstitial atom strengthening, such as  
15 oxygen and nitrogen, has been proven to significantly improve the yield strength of the  
16 HfNbTiZr RHEA by forming the ordered complexes [9]. In general, square distortion  
17 is generally attributed to the solid solution by interstitial atoms, resulting in the

1 appearance of significant shear strain. During the room temperature deformation, the  
2 screw dislocations play a crucial role in work hardening [78], interacting with the  
3 square distortion center [68]. Fleishcher [79] provided an estimation of yield strength  
4 increase resulting from the square distortion in the following equation,

$$5 \quad \Delta\sigma = \frac{G\Delta\epsilon c^{1/2}}{3} \quad \text{Eq. 21}$$

6 where  $\Delta\epsilon$  is the difference between the longitudinal and transverse strain in the square  
7 distortion. Based on the experimental results in the oxygen-doped  $\text{Ti}_{41}\text{V}_{27}\text{Hf}_{15}\text{Nb}_{15}$   
8 RHEA [80], the difference between the longitudinal and transverse strains resulting  
9 from an oxygen solid solution ( $\Delta\epsilon_O$ ) is estimated to be 0.338, while  $\Delta\epsilon_N$  is calculated  
10 to be 0.275 based on the N-doped HfNbTiZr study [9]. Consequently, the yield strength  
11 increase from the interstitial atom strengthening is estimated to be  $\sim 178$  MPa, which  
12 accounts for the increase of yield strength in the LENS-fabricated RHEA compared to  
13 the as-cast RHEA. In conclusion, the increase of yield strength in the LENS-fabricated  
14 RHEA compared to the as-cast sample is mainly attributed to the solid-soluble  
15 interstitial atoms in the RHEA matrix, while the slightly decreased dislocation density  
16 would account for the yield strength decrease in the as-HT samples compared to the  
17 LENS-fabricated RHEA. These results demonstrate that limited interstitial atoms in  
18 RHEAs would not embrittle the fabricated sample but instead increase the yield  
19 strength, which can also explain the yield strength increase when comparing the yield  
20 strength between the LMD-fabricated HfNbTiZr (1030 MPa) [21] and as-cast  
21 HfNbTiZr alloy (750 MPa) [9].

1 We then proceeded to discuss the improved ductility observed in LENS-fabricated  
2  $\text{Ti}_{42}\text{Hf}_{21}\text{Nb}_{21}\text{V}_{16}$  RHEA. During the early plastic deformation stage (~2%),  
3 considerable dislocation loops and dipoles were initially formed (**Fig. 13a**), indicating  
4 the occurrence of multiple dislocation interactions [52]. Under continuous external  
5 stress, dislocation junctions and dislocation jogs were formed and induced by triggering  
6 multiple slip systems [81], facilitated by the presence of interstitial atoms [78], which  
7 can hinder the motion of lateral and cross kinks[78]. As depicted in **Fig. 13a**, a  
8 dislocation pinning effect can be observed during this deformation stage.

9 By straining the sample to 5%, continuous coplanar-slipped dislocations (**Fig. 13b**)  
10 are mainly triggered by external stress, resulting from the glide plane softening  
11 phenomenon and the reduced energy barrier on the same gliding plane for the  
12 successive dislocations [82]. The LENS-fabricated sample exhibits phase segregation  
13 (**Fig. 8b(iii)**) due to the complex thermal history during the additive procedure [19].  
14 During the deformation, the phase interfaces would make the dislocation motion  
15 sluggish and even retard the movement of dislocations [6]. The large interfacial lattice  
16 distortion (**Fig. 8d(iii)**) acts as an effective barrier for dislocation motion. After loading,  
17 the stored dislocations necessitate cross-slip to promote the stuck screw dislocations,  
18 which is the key factor in controlling the ductility as well as the strain hardening [78],  
19 to move forward to the barrier [6]. The inverse Fourier transformation image confirms  
20 the presence of mixed dislocations of both the edge and screw characters, as shown in  
21 **Fig. 13d(ii)**.

1           With further straining, the cross-slip is further promoted in the LENS-fabricated  
2 RHEA, dominating the plastic flow at this deformation stage with wavy slip  
3 characteristics [52]. Apart from the cross-slip of the dislocations, similar to other bcc-  
4 type RHEAs like the TiZrHfNbTa RHEA, the formation of dislocation loops as well as  
5 dipoles is attributed to the work hardening rate [83], and cross-slip of screw dislocations  
6 prevails during deformation to form the dipolar walls [9,84]. The high density of the  
7 dislocation walls (DWs) is also formed on account of the successive cross-slip, as  
8 shown in **Fig. 13c(ii)**. The complex interactions between the cross-slip and DWs  
9 promote dislocation reactions, multiplication and storage [6], resulting in an increasing  
10 strain hardening rate during this deformation stage. Additionally, the presence of  
11 interfaces would retard the movement of dislocations [6] and generate the reverse  
12 interface energy [44], leading to increased dislocation slip resistance to strain hardening  
13 and delaying the formation of necking. Furthermore, the local chemical fluctuations  
14 (**Fig. 8d**) can alter the local SFE to modify the motion path of the screw dislocation  
15 cores, by increasing the energy barrier for dislocation motion, and thereby improving  
16 the intrinsic ductility [85].

## 17 **5. Conclusions**

18           In summary, we propose an AM process involving the remelting and low oxygen  
19 & nitrogen environment to fabricate  $\text{Ti}_{42}\text{Hf}_{21}\text{Nb}_{21}\text{V}_{16}$  RHEAs with significantly  
20 enhanced mechanical properties. The main conclusions of this study are as follows,

- 1) A  $\text{Ti}_{42}\text{Hf}_{21}\text{Nb}_{21}\text{V}_{16}$  RHEA was selected RHEA for LENS fabrication due to its ductile nature, which was verified via DFT calculation. The LENS-fabricated  $\text{Ti}_{42}\text{Hf}_{21}\text{Nb}_{21}\text{V}_{16}$  RHEA exhibits a giga-pascal yield strength with a fracture strain higher than 22 %, a level that is difficult to achieve through casting.
- 2) The LENS procedure induces a complex thermal-stress environment and ultra-fast cooling rate, which promotes the decomposition of the BCC matrix and the formation of a coherent interface. These interfaces act as barriers to dislocation motion, thereby enhancing the ductility. By applying heat treatment on the LENS-fabricated sample, the BCC matrix decomposition is further promoted, leading to improved uniform deformation without significantly sacrificing the yield strength.
- 3) RHEAs with similar nominal compositions, fabricated through different approaches, exhibit approximate intrinsic yield strength. The increase in yield strength from the as-cast one to the as-LENS RHEA is attributed to the interstitial atom strengthening, rather than the formation of commonly found dislocation networks in FCC-based alloys fabricated through the AM process.
- 4) This study provides novel insights into overcoming the strength-ductility trade-off of RHEA, not only by tailoring the microstructures but also more importantly by regulating the composition during the manufacturing process.

20

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11 There authors have no competing interests to declare.

12 **CRedit authorship contribution statement**

13 **Y. Zhang:** Conceptualization, Methodology, Software, Formal analysis, Data  
14 Curation, Writing- Original Draft, Writing- Review & Editing. **B. Qin, C. Feng, S. Ye,**  
15 **Y. Yan:** Methodology, Investigation. **D. Ouyang, L. Liu:** revision, review & editing.  
16 **H. Ke:** Conceptualization, Supervision, Writing – review & editing. **K. C. Chan:**  
17 Conceptualization, Supervision, Project acquisition, Funding acquisition, Writing –  
18 review & editing. **W. Wang:** Conceptualization, Supervision, Funding acquisition.  
19 Writing – review & editing.

20

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