

Journal of the American Medical Directors Association

Influences of Social Disengagement and Depressive Symptom on Sleep Disturbance in Dementia Caregiving Dyads: A Nationally Representative Study

--Manuscript Draft--

Manuscript Number:	JAMDA-D-23-00977R1
Article Type:	Original Studies
Keywords:	Dementia; dyad; social disengagement; Depressive symptoms; sleep disturbance
Corresponding Author:	Shanshan Wang The Hong Kong Polytechnic University School of Nursing Kowloon, HONG KONG
First Author:	Shanshan Wang
Order of Authors:	Shanshan Wang
	Sze Him Isaac Leung
	Xinyi Xu
	Minhui Liu
	Peng Wang
	Weihong Zhang
	Wendy Moyle
Abstract:	<p>Objectives</p> <p>To examine the influence of social disengagement and depressive symptoms on sleep disturbance among dementia caregiving dyads and the actor-partner interdependence nature of these influences.</p> <p>Design</p> <p>Actor-partner interdependence model through structural equation modeling for dyadic analyses.</p> <p>Setting and Participants</p> <p>310 dyads of older adults with dementia and their care partners from two national representative studies in the United States, the National Health and Aging Trends Study (NHATS) and it's companion study, the National Study of Caregiving (NSOC).</p> <p>Methods</p> <p>Data from the NHATS Round 11 and NSOC IV were analyzed using descriptive statistics, Pearson correlation analysis, and the actor-partner interdependence model. Structural equation modeling was utilized to assess the mediation effects of depressive symptoms within the actor-partner interdependence models.</p> <p>Results</p> <p>In the actor model of caregivers, social disengagement had a direct impact on sleep disturbance (<math>\beta=0.49</math>, <math>p&lt;.001</math>) and an indirect impact through depressive symptoms (<math>\beta=0.25</math>, <math>p&lt;.001</math>). In the actor model of older adults with dementia, social disengagement only had an indirect effect on sleep disturbance through depressive symptoms. In partner models, caregivers' social disengagement directly influenced their care partners' depressive symptoms (<math>\beta=0.20</math>, <math>p=.019</math>), which subsequently affected caregiver's sleep disturbance (<math>\beta=0.17</math>, <math>p&lt;.001</math>). Social disengagement (<math>\beta=0.17</math>, <math>p=.001</math>) and depressive symptoms (<math>\beta=0.17</math>, <math>p&lt;.001</math>) in older adults with dementia directly impacted their caregivers' sleep disturbance. Depressive symptoms of older adults served as multiple mediators linking one member's social</p>

disengagement to both their own and partner's sleep.

#### Conclusions and Implications

The sleep disturbance of caregivers may be directly influenced by the social disengagement and depressive symptoms exhibited by both members of the dyad, whereas the sleep disturbance experienced by older adults with dementia can only be indirectly influenced by the dyad's social disengagement via their own depressive symptoms. Dyadic social activities targeting depressive symptoms could be designed to address sleep disturbances in dementia caregiving dyads.

## Title

Influences of Social Disengagement and Depressive Symptom on Sleep Disturbance in Dementia Caregiving Dyads: A Nationally Representative Study

## Running title

Sleep disturbance in dementia care dyads

## List of all authors

- **Author names:**

Shanshan Wang<sup>1\*</sup> PhD, RN; Sze Him Isaac Leung<sup>2</sup> PhD; Xinyi Xu<sup>3</sup> PhD, RN; Minhui Liu<sup>4</sup> PhD, RN; Peng Wang<sup>5,6</sup> PhD; Weihong Zhang<sup>5</sup> PhD; Wendy Moyle<sup>7</sup> PhD, RN

- **Affiliations:**

<sup>1</sup> School of Nursing, The Hong Kong Polytechnic University, Hung Hom, Kowloon, Hong Kong SAR, China

<sup>2</sup> Department of Statistics, The Chinese University of Hong Kong, Sha Tin, Hong Kong SAR, China

<sup>3</sup> School of Nursing, Hebei Medical University, Hebei, China

<sup>4</sup> School of Nursing, Ningxia Medical University, Ningxia, China

<sup>5</sup> School of Nursing and Health, Zhengzhou University, Henan, China

<sup>6</sup> School of Nursing, Xinxiang Medical University

<sup>7</sup> School of Nursing and Midwifery, Griffith University, Brisbane, QLD, Australia

- **Corresponding author:**

\*Shanshan Wang

Address: School of Nursing, The Hong Kong Polytechnic University, Hung Hom, Kowloon, Hong Kong SAR, China

Email: [shan-shan.wang@polyu.edu.hk](mailto:shan-shan.wang@polyu.edu.hk)

- **ORCID of authors:**

Shanshan Wang: 0000-0003-3881-0087

Sze Him Isaac Leung: 0000-0002-5453-0571

Xinyi Xu: 0000-0002-1361-2829

Minhui Liu: 0000-0002-4554-3135

Wendy Moyle: 0000-0003-3004-9019

## Key words

dementia; dyad; social disengagement; depressive symptoms; sleep disturbance

# **Influences of Social Disengagement and Depressive Symptom on Sleep Disturbance in Dementia Caregiving Dyads: A Nationally Representative Study**

## **Abstract**

**Objectives:** To examine the influence of social disengagement and depressive symptoms on sleep disturbance among dementia caregiving dyads and the actor-partner interdependence nature of these influences.

**Design:** Actor-partner interdependence model through structural equation modeling for dyadic analyses.

**Setting and Participants:** 310 dyads of older adults with dementia and their care partners from two national representative studies in the United States, the National Health and Aging Trends Study (NHATS) and its companion study, the National Study of Caregiving (NSOC).

**Methods:** Data from the NHATS Round 11 and NSOC IV were analyzed using descriptive statistics, Pearson correlation analysis, and the actor-partner interdependence model. Structural equation modeling was utilized to assess the mediation effects of depressive symptoms within the actor-partner interdependence models.

**Results:** In the model of caregivers, social disengagement had a direct impact on sleep disturbance ( $\beta=0.49$ ,  $p<.001$ ) and an indirect impact through depressive symptoms ( $\beta=0.25$ ,  $p<.001$ ). In the model of older adults with dementia, social disengagement only had an indirect effect on sleep disturbance through depressive symptoms. In models examining partner effects, caregivers' social disengagement directly influenced their care partners' depressive symptoms ( $\beta=0.20$ ,  $p=.019$ ), which subsequently affected caregiver's sleep disturbance ( $\beta=0.17$ ,  $p<.001$ ). Social disengagement ( $\beta=0.17$ ,  $p=.001$ ) and depressive

symptoms ( $\beta=0.17$ ,  $p<.001$ ) in older adults with dementia directly impacted their caregivers' sleep disturbance. Depressive symptoms of older adults with dementia served as multiple mediators linking one member's social disengagement to both their own and partner's sleep.

**Conclusions and Implications:** This study represents one of the first attempts to investigate the influencing mechanism of sleep disturbances among older adults with dementia and their informal caregivers through a dyadic perspective. The sleep disturbance of caregivers may be directly influenced by the social disengagement and depressive symptoms exhibited by both members of the dyad, whereas the sleep disturbance experienced by older adults with dementia can only be indirectly influenced by the dyad's social disengagement via their own depressive symptoms. Dyadic social activities targeting depressive symptoms could be designed to address sleep disturbances in dementia caregiving dyads.

**Keywords:** dementia; dyad; social disengagement; depressive symptoms; sleep disturbance

## Introduction

Sleep disturbances, characterized by difficulty falling asleep, interrupted sleep, and multiple nightly awakenings are frequently reported among dyads of individuals with dementia and their caregivers<sup>1</sup>. Specifically, caregivers of people with dementia were 2.8 times more likely to report poor sleep than non-dementia caregivers<sup>2</sup>. Sleep disturbance is associated with many negative outcomes, such as decreased daily activity and impaired nocturnal reduction of blood pressure in people with dementia<sup>3</sup>, and exacerbated mental, physical and cognitive decline for dementia caregivers<sup>1</sup>. These disturbances are also associated with increased caregiver burden and a greater likelihood of nursing home placement for the care recipient<sup>4</sup>. Although it is commonly assumed that sleep problems of caregivers are associated with the care-recipient's nighttime behaviors, discrepancies in this assumption have been reported<sup>5, 6</sup>. A nationwide population-based study revealed that sleep disturbance may occur in both members of the dementia caregiving dyad, irrespective of their cohabitation status<sup>7</sup>. Therefore, an individual's sleep may not be the primary factor affecting the other's sleep within the caregiving dyad. Consequently, it is crucial to identify other influential factors to facilitate the development of effective interventions to enhance dyadic sleep quality.

Engaging in social activities, such as visiting friends and going out for enjoyment, is widely recognized for its stress-buffering effects, which are known to alleviate sleep disturbances<sup>8</sup>. The social participation model proposed by Douglas<sup>9</sup> posits that successful aging, including individuals with dementia and caregivers, can be indicated by social participation, which includes social connections, informal social participation, and volunteering. However, individuals with dementia and their informal caregivers often

experience social disengagement and isolation due to cognitive decline and societal stigma<sup>10</sup>. Social disengagement refers to the withdrawal from the roles and disengagement from social networks<sup>11</sup>. Specifically in this study, it means the reduction or absence of participation in valued social activities caused by health/caregiving responsibilities, such as visiting family and friends and; participating in group activities<sup>12</sup>. A longitudinal study involving 639 older adults revealed that reduced social engagement was associated with an increased risk of sleep disturbances<sup>13</sup>. Nevertheless, the mechanism through which social disengagement influences sleep disturbance remains incompletely understood, particularly within the context of dementia caregiving.

The Activity Restriction Model posits that depression is the probable underlying mechanism driving this association<sup>14</sup>. According to this model, the degree to which a significant life stressor limits one's everyday activities influences their psychological adjustment. Specifically in this study, the impact of dementia on depressive symptoms may be influenced by the extent of social engagement restriction. Given that depression can deplete somatic resources, it may consequently influence sleep. Evidence showed that depressive symptoms frequently co-occur with sleep disturbances in dementia caregiving dyads<sup>15, 16</sup>, and there was a significant correlation between social engagement and both depression<sup>17</sup> and sleep disturbances<sup>8</sup>. A longitudinal study has indicated that social engagement mitigates the risk of depression<sup>18</sup>. Additionally, depressive symptoms have been found to strongly predict the occurrence of sleep disturbances<sup>19</sup>. However, it remains untested whether depressive symptoms mediate the relationship between social disengagement and sleep disturbance.

86 It is also noteworthy that throughout the extensive caregiving journey, the dyads function  
87 as cohesive units, wherein the well-being of each member is reciprocally influenced by  
88 their individual and partner factors within their shared psychosocial context <sup>20</sup>. Our  
89 systematic review revealed the interdependence of social, psychological and health  
90 variables within dementia caregiving dyads. Specifically, both depressive symptoms in  
91 people with dementia and caregivers are not only influenced by their own factors; but also  
92 those of their partners <sup>21</sup>. However, there remains a scarcity of empirical studies that  
93 comprehensively examine this interdependence. The Actor-Partner Interdependence Model  
94 (APIM) offers a valuable approach to understanding the reciprocal influences between  
95 dyad members; for instance, the experiences and behaviors of one member of the dyad (e.g.,  
96 a caregiver) can influence, and be influenced by, member (e.g., a care recipient) <sup>21</sup>. APIM  
97 allows joint modeling of partner effects (from one member to another) and actor effects  
98 (within the member him/herself), thereby facilitating the validation and refinement of  
99 theories about inter-dyadic relationships. In terms of sleep, empirical evidence has  
100 demonstrated that one partner's sleep can be affected by the other's social engagement or  
101 depressive symptoms <sup>22, 23</sup>. However, there remains limited understanding regarding the  
102 interdependent relationship between actors and partners within dementia caregiving dyads.  
103 Preliminary exploration has indicated that both actor and partner effects exist for depressive  
104 symptoms about the dyad's sleep disturbance, such as trouble falling back to sleep and  
105 interrupted sleep <sup>19</sup>. Nevertheless, it remains untested whether social disengagement  
106 exhibits both actor and partner effects and whether depressive symptoms mediate the  
107 association between social disengagement and sleep disturbance with both actor and  
108 partner effects. To address these questions, this study employed a nationally representative



sample of older adults with dementia and their primary care partners to test these hypotheses using an actor-partner interdependence model.

## **Aim**

To examine the influence of social disengagement and depressive symptoms on sleep disturbances in older adults with dementia and their care partners and the actor-partner interdependence nature of these influences.

## **Hypothesis**

(1) Social disengagement is positively associated with depressive symptoms and sleep disturbances in older adults with dementia and their informal caregivers, and depressive symptoms act as a mediator in the models examining actor effects.

(2) Social disengagement in one member of the dementia caregiving dyad is also positively associated with the partner's depressive symptoms and sleep disturbance, and depressive symptoms serve as a mediator in the models examining partner effects.

## **Methods**

### **Study design**

This study adopts a cross-sectional design and applies the actor-partner interdependence model (APIM) for analyzing dyadic data.

### **Data source**

Data from the National Health and Aging Trends Study<sup>24</sup> and its companion study, the National Study of Caregiving (NSOC), were used for the analysis. NHATS conducts annual

in-person interviews with a nationally representative sample of Medicare beneficiaries aged 65 or older. Simultaneously, NSOC is a periodic survey that focuses on selected caregivers assisting NHATS participants in self-care, mobility, medical tasks, household activities, transportation, or medically oriented duties <sup>25</sup>. This study used the latest round of the NHATS, Round 11 (2021) older adult data, and the NSOC IV (2021) caregiver data; these data were collected in 2021. IRB approval is exempted for this analysis.

## **Sample**

The study sample was restricted to older adults with dementia and their primary informal caregivers. Older adults with dementia who reside in nursing homes were excluded because they were primarily cared for by nursing home staff. In the NHATS, each person was assigned a unique Sample Person ID (SPID) that could be matched year-to-year across all surveys. The updated dementia classification code was utilized to classify NHATS participants into probable, possible, and no dementia categories. Probable dementia served as a common criterion for identifying individuals with dementia in this dataset <sup>26</sup>. Specifically, three types of information from NHATS were used to identify persons with dementia: (a) self-report or proxy report of a doctor's diagnosis of dementia or Alzheimer's disease; (b) an Eight-item Informant Interview to Differentiate Aging and Dementia (AD8) score of 2 or higher based on proxy respondent answers; or (c) scores at least 1.5 standard deviations below the mean in at least two cognitive domains assessed by items evaluating memory, orientation, and executive function <sup>27</sup>. Individuals meeting any of these criteria were considered to have probable dementia <sup>26</sup>. The NHATS definition exhibits a fair sensitivity (65.7%) and high specificity (100.0%) <sup>28</sup>. Among the 3818 NHATS participants

in Round 11, 3085 reside in the community, with 722 meeting the criteria for dementia according to the NHATS definition.

According to NHATS, each NSOC participant was assigned an Other Person ID (OPID) linked to SPID. Since each NHATS participant can have up to five unpaid caregivers participate in NSOC, the SPID is connected to OPID through a one-to-many join. We selected the primary caregiver for our analysis to ensure one-to-one data usage. Our definition of primary caregiver is based on their longest caregiving relationship with the NHATS participant, explicitly referring to the caregiver who provided care for the longest duration in years. In cases where multiple caregivers provided equal years of caregiving, the one who provided the most hours of care per day was selected <sup>29</sup>. Among 13311 informal caregivers screened in the NSOC dataset, 1209 were identified as primary caregivers. Subsequently, we matched the 1209 primary caregivers, encompassing caregivers of all older adults, with the 722 individuals with dementia. Because not all primary caregivers of people with dementia completed the questionnaires in Wave IV, we ultimately identified 310 dementia caregiving dyads for analysis.

## **Variables**

***Social disengagement*** was measured by five items assessing whether health/caregiving responsibilities hindered older adults/caregivers from engaging in social activities such as visiting family and friends, attending religious services, participating in group activities, going out for enjoyment, and volunteering. Participants were asked to indicate yes (1) or no (2) for each activity. The total score of these five activities was used to represent social disengagement in this study, with higher scores indicating greater levels of social disengagement.

175        **Symptoms of depression** were evaluated using the 2-item Patient Health Questionnaire  
176 (PHQ-2) <sup>30</sup> in NHATS and NSOC. Participants responded to two questions on a 4-point  
177 scale, assessing how often they experienced little interest or pleasure in doing things and  
178 felt down, depressed, or hopeless over the past month. The total score was calculated by  
179 summing item scores, with higher scores indicating more severe depressive symptoms.

180        **Sleep disturbance** was measured by sleep-related items, which were selected by  
181 comparing the questionnaire items from the dataset with those of the Pittsburgh Sleep  
182 Quality Index <sup>31</sup>. Three items were used to measure the sleep of older adults with dementia:  
183 frequency of taking more than 30 minutes to fall asleep, difficulty in falling back to sleep,  
184 and frequency of medication usage for improving sleep quality. Two items were used to  
185 measure caregiver's sleep disturbance: trouble falling back to sleep and interrupted sleep.  
186 The older adult with dementia and caregiver were asked to rate their sleep quality on a 5-  
187 point scale ranging from 1 (every night) to 5 (never). Previous studies have also employed  
188 this rating system within the same dataset <sup>32</sup>. The sleep disturbance scores were reversed  
189 such that higher scores indicate more severe sleep disturbance. The total score of the items  
190 was calculated to represent the sleep disturbance.

191        **Demographics:** The demographics of older adults with dementia included age, gender,  
192 race, educational level, marital status, pain, number of chronic illnesses, and self-rated  
193 health. Caregiver factors encompassed age, gender, marital status, education level, working  
194 for payment, race, relationship with the older adult with dementia, pain, number of chronic  
195 illnesses, self-rated health, and caregiving intensity.

## **Data analysis**

Demographic data were presented as mean (standard deviation) for continuous variables and count (percentage) for nominal variables for older adults with dementia and caregivers. Pearson correlations were used to evaluate the associations between dyads. In this study, the APIM with distinguishable dyads with structural equation modeling is employed so that the relationship between older adults with dementia and caregivers is distinguishable. Traditional statistical approaches assume that caregivers and care recipients are independent, which is not the case in dyadic data where two individuals are inherently linked. The APIM is a statistical model that examines dyadic data and analyzes the interdependence and interrelationships between dyads. Within the APIM, the actor effect refers to how an individual's characteristics or behaviors influence their own outcomes, while the partner effect refers to how an individual's partner's characteristics or behaviors affect their outcomes. Our objective in utilizing the APIM model is to determine how social disengagement and depressive symptoms of both older adults with dementia and caregivers impact their own sleep disturbance (actor effect) as well as their partner's sleep disturbance (partner effect). Additionally, we assessed potential mediation effects within our APIM by examining whether social disengagement affects sleep disturbance through depressive symptoms as a mediator variable in the models examining both actor and partner effects.

All data analyses were conducted using R version 4.2.2, with a two-tailed  $\alpha$  level set at less than 0.05 indicating statistical significance throughout our analysis process. The lavaan library in R version 4.2 was utilized for fitting the APIM model, while standard error estimates and 95% confidence intervals of direct effects and mediation effects within our APIM were obtained via bootstrapping with a sample size of 5000 replications. Goodness

of fit of the model was evaluated by the comparative fit index (CFI) (acceptable fit  $\geq 0.90$ ),  $\chi^2/\text{df}$  (acceptable fit  $< 3$ ), and the root mean square error of approximation (RMSEA) (acceptable fit  $\leq 0.08$ ). To enhance the robustness of our research findings, we also adjusted for pain and number of chronic illnesses as covariates in the APIM to ensure that they did not confound the actor and partner effects estimates.

## **Results**

### **Characteristics of the dyads**

The descriptive statistics for the dyads are displayed in Table 1. The average age for older adults with dementia was 86.8 (SD, 5.8), while that for informal caregivers was 63.4 (SD, 14.1). A majority of both older adults with dementia (67.7%) and their informal caregivers (71%) were female. Daughters constituted the largest proportion of caregivers at 47.7%, followed by spouses (21.3%), other relatives (16.1%), and sons (14.8%). In addition, 67.4% of the informal caregivers and 58.1% of the older adults with dementia were White; in contrast, Black individuals accounted for 30.65% of caregivers and 30.3% of older adults with dementia. On average, the outcome variables indicated greater severity of social disengagement, depressive symptoms, and sleep disturbance among older adults with dementia than their care partners.

[Please insert Table 1 here]

### **Association between the study variables**

Table 2 displays the correlations between dyadic members. The social disengagement of older adults with dementia exhibits a positive correlation with their own depressive symptoms and sleep disturbance, as well as the sleep disturbance of their care partner.

241 Additionally, the depressive symptoms of older adults with dementia are significantly  
242 associated with both their own and their care partner's sleep disturbance. Furthermore,  
243 caregivers' social disengagement is significantly associated with both their own and care  
244 partners' depressive symptoms and sleep disturbance. However, there is no significant  
245 association between the caregiver's depressive symptoms and their care partner's sleep  
246 disturbance.

247 [Please insert Table 2 here]

### 248 **The actor-partner interdependence model**

249 The APIM analysis was examined to investigate the dyadic mediated effects of social  
250 disengagement and depressive symptoms on sleep disturbance. The model demonstrated  
251 an acceptable fit (RMSEA=0.06, CFI=0.98 and  $\chi^2/df=2.07$ ) (Figure 1). In the models  
252 examining actor effects, the direct effect of social disengagement on sleep disturbance in  
253 caregivers was found to be statistically significant ( $\beta=0.49$ ,  $p<.001$ ). Additionally, the  
254 mediation effects of depressive symptoms between social disengagement and sleep  
255 disturbance in both caregivers ( $\beta=0.20$ ,  $p=.001$  and  $\beta=0.25$ ,  $p<.001$ ) and older adults with  
256 dementia ( $\beta=0.28$ ,  $p<.01$  and  $\beta=0.50$ ,  $p<.001$ ) were also significant. In the models  
257 examining partner effects, a statistically significant direct effect was observed between the  
258 social disengagement of older adults with dementia and sleep disturbance in caregivers  
259 ( $\beta=0.17$ ,  $p=.001$ ). Additionally, depressive symptoms of older adults with dementia  
260 mediated the relationship between social disengagement of older adults with dementia and  
261 caregiver sleep disturbance ( $\beta=0.28$ ,  $p<.001$  and  $\beta=0.17$ ,  $p<.001$ ). Furthermore, depressive  
262 symptoms of older adult with dementia mediated the relationship between caregiver's  
263 social disengagement and sleep disturbance ( $\beta=0.20$ ,  $p=.019$  and  $\beta=0.17$ ,  $p<.001$ ), as well

as the relationship between caregiver's social disengagement and the sleep disturbance of older adults with dementia ( $\beta=0.20$ ,  $p=.019$  and  $\beta=0.50$ ,  $p<.001$ ). Table 3 presents the path coefficients of the APIM model. Adjusting for pain and the number of chronic illnesses of both members of the dyad as covariates did not alter the significance of these paths in the APIM (Supplementary Figure 1, Supplementary Table 1).

[Please insert Figure 1, Table 3 here]

## Discussion

Utilizing a nationally representative sample, this study represents one of the pioneering attempts to investigate the psychosocial factors associated with sleep disturbance in dementia caregiving dyads. Our findings illuminate the intricate interplay between older adults with dementia and their informal caregivers regarding how social disengagement and depressive symptoms influence the dyad's sleep. This comprehension is pivotal for developing efficacious interventions for ameliorating sleep disturbances in these dyads.

The findings demonstrate the actor effects of social disengagement and depressive symptoms on sleep disturbance, in line with the Activity Restriction Model<sup>14</sup>. Moreover, the results reveal that depressive symptoms act as a significant mediator through which social disengagement affects one's sleep disturbance. This may be attributed to the emotional processes of the human brain, whereby social disengagement can trigger a stress response in the body, increasing vulnerability to depression and disrupting sleep patterns<sup>33</sup>. Another possible explanation is that depression alters sleep architecture by exacerbating feelings of sadness and hopelessness associated with social disengagement<sup>34</sup>.

The partner effects underscore the interdependence of well-being within dyads. The findings suggest that caregiver social engagement plays a pivotal role, exerting a profound



287 impact on the depressive symptoms of older adult with dementia and consequently  
288 influencing both partners' sleep disturbance. This may be attributed to the fact that socially  
289 disengaged informal caregivers often face limited access to emotional and practical support  
290 from family, friends, and community resources. Consequently, feelings of isolation and  
291 helplessness are exacerbated, leading to more severe depressive symptoms and poorer  
292 sleep quality <sup>35</sup>. Furthermore, caregiver social disengagement can trigger depressive  
293 symptoms in their care partners due to its potential consequences such as reduced respite  
294 for caregivers, heightened stress levels, increased burden, compromised caregiving  
295 abilities, ultimately exacerbating depressive symptoms among individuals with dementia  
296 <sup>36</sup>.

297 Conversely, social disengagement in older adults with dementia can directly impact their  
298 partner's sleep disturbance. This may be due to the fact that socially disengaged older adults  
299 with dementia tend to nap more during the day, which disrupts their sleep-wake cycle and  
300 increases confusion and disorientation at night, ultimately affecting both individuals' sleep  
301 quality <sup>37</sup>. However, it is important to note that social disengagement does not significantly  
302 influence caregivers' depressive symptoms. It may be because these are primarily  
303 influenced by care recipient dependency levels and behavioral disturbances rather than  
304 social engagement <sup>22</sup>.

305 Another noteworthy and innovative finding in this study is the multiple mediation role  
306 of depressive symptoms in older adults with dementia, suggesting that their depressive  
307 symptoms serve as a fundamental mechanism through which social disengagement  
308 influences both their own and their partner's sleep quality. This discovery underscores the  
309 significance of addressing depressive symptoms in interventions aimed at enhancing the

sleep quality of older adults with dementia, thereby potentially alleviating the detrimental impact of social disengagement on both partners' sleep quality.

### **Limitations and implications**

Despite the significant findings identified in this study, several limitations warrant attention. Firstly, the use of cross-sectional data hinders the assumption of a time sequence and limits the ability to make causal inferences; Longitudinal studies are recommended to establish causality. Second, given that our sample mainly comprised Whites, generalizability to other ethnic/racial populations may be limited. More diverse samples are suggested for future research. Third, due to the complexity of selecting dyadic data and limited dyadic sample size, it is not applicable to use weights, stratification, or clustering variables to maintain the sample's representativeness, particularly in the context of dyadic studies. Hence, the sample may have been limited in its representativeness. In addition, the measure of sleep disturbance used in this study is a composite of self-reported items rather than objective measures, and there is a lack of information regarding daily sleeping hours. However, evidence suggests that self-reported questions can provide reliable measurements of sleep and closely align with objective assessments using actigraphy<sup>38</sup>; potential recall bias and measurement error cannot be ignored. Furthermore, this study's sleep disturbance measures were unable to differentiate between subtypes such as sleep-disordered breathing or insomnia; therefore, it is recommended for future research to employ more sophisticated measurements. Besides, due to the unavailability of living arrangement data, we could not explore the influence of living arrangements on the dyad's sleep disturbances. Since the modelling of actor, partner, direct and indirect effects and covariances constitutes to many parameters (~40) in the APIM but the sample size of black

subsamples is small (<120). We have tried to fit the APIM model only to the black subsamples. The model is nearly saturated and unreliable, with a zero RMSEA and CFI equal to 1. Therefore, the small sample size of black subsamples did not allow us to compare models with different subsamples. Another limitation is that the dementia stage was not measured in this study, which may have influenced social disengagement.

These findings have significant implications for research and practice in promoting social engagement among dementia caregiving dyads. Addressing depressive symptoms is crucial to enhance the effects of social engagement intervention on sleep disturbances. Group-based dyadic social activities, such as art interventions and interactive reading, have been shown to improve depressive symptoms significantly, and can be implemented to enhance sleep quality in dyads<sup>39, 40</sup>. Given the potential challenge of engaging both members in dyadic interventions, tailored activities are commended to enhance the engagement between individuals with dementia and their informal caregivers, thereby optimizing intervention effects<sup>41</sup>.

## **Conclusions and Implications**

The results confirm the association between social disengagement, depressive symptoms, and sleep disturbance in older adults with dementia and their caregivers. Depressive symptoms act as mediators between social disengagement and sleep disturbance in both members of the dyad. Furthermore, social disengagement and depressive symptoms in older adults with dementia can influence caregiver sleep disturbance, while social disengagement in caregivers can influence depressive symptoms in older adults with dementia. Additionally, the APIM model highlights that the depressive symptom of older adults with dementia plays a crucial role as a mediator through which one member's social

disengagement affects their own and their partner's sleep. Dyadic social activities targeting depressive symptoms could be designed to address sleep disturbances in dementia caregiving dyads.

## **Acknowledgments**

### **Conflicts of interest**

None declared.

### **Author contributions**

SW and SHIL contributed to the study design and data acquisition. SHIL conducted the analysis, while SW, SHIL, XX, and ML interpreted the data. SW and XX acquired funding for this research. The initial draft of the manuscript was written by SW. ML, PW, WZ, and WM contributed to reviewing and editing the manuscript. All authors provided comments and approved the final submission of this manuscript.

### **Sponsor's role**

The sponsor provided financial support for the research team to conduct this study.

## 371     **References**

- 372     1.        Gao C, Chapagain NY, Scullin MK. Sleep Duration and Sleep Quality in Caregivers of  
373     Patients With Dementia: A Systematic Review and Meta-analysis. *JAMA Netw Open*. 2019;2:  
374     e199891.
- 375     2.        Ahn S, Chung ML, Logan JG. Sleep Deficiency by Caregiving Status: Findings From  
376     Nationally Representative Data. *West J Nurs Res*. 2023;45: 1120-1129.
- 377     3.        Suzuki R, Meguro M, Meguro K. Sleep disturbance is associated with decreased daily  
378     activity and impaired nocturnal reduction of blood pressure in dementia patients. *Arch Gerontol*  
379     *Geriatr*. 2011;53: 323-327.
- 380     4.        Osakwe ZT, Senteio C, Bubu OMet al. Sleep Disturbance and Strain Among Caregivers of  
381     Persons Living With Dementia. *Front Aging Neurosci*. 2021;13: 734382.
- 382     5.        Liu S, Li C, Shi Zet al. Caregiver burden and prevalence of depression, anxiety and sleep  
383     disturbances in Alzheimer's disease caregivers in China. *J Clin Nurs*. 2017;26: 1291-1300.
- 384     6.        McCurry SM, Pike KC, Vitiello MV, Logsdon RG, Teri L. Factors Associated with  
385     Concordance and Variability of Sleep Quality in Persons with Alzheimer's Disease and their  
386     Caregivers. *Sleep*. 2008;31: 741-748.
- 387     7.        Song MJ, Kim JH. Family Caregivers of People with Dementia Have Poor Sleep Quality:  
388     A Nationwide Population-Based Study. *International Journal of Environmental Research and*  
389     *Public Health*. 2021;18: 13079.
- 390     8.        Liang J, Aranda MP, Lloyd DA. Association between Role Overload and Sleep Disturbance  
391     among Dementia Caregivers: The Impact of Social Support and Social Engagement. *J Aging Health*.  
392     2020;32: 1345-1354.
- 393     9.        Douglas H, Georgiou A, Westbrook J. Social participation as an indicator of successful  
394     aging: an overview of concepts and their associations with health. *Aust Health Rev*. 2017;41: 455-  
395     462.
- 396     10.       Lee J, Baik S, Becker TD, Cheon JH. Themes describing social isolation in family  
397     caregivers of people living with dementia: A scoping review. *Dementia (London)*. 2022;21: 701-  
398     721.
- 399     11.       Cumming E, Dean LR, Newell DS, McCaffrey I. Disengagement-a tentative theory of  
400     aging. *Sociometry*. 1960;23: 23-35.
- 401     12.       Wang S, Molassiotis A, Guo C, Leung ISH, Leung AYM. Association between social  
402     integration and risk of dementia: A systematic review and meta-analysis of longitudinal studies.  
403     *Journal of the American Geriatrics Society*. 2023;71: 632-645.
- 404     13.       Yu B, Steptoe A, Niu K, Ku PW, Chen LJ. Prospective associations of social isolation and  
405     loneliness with poor sleep quality in older adults. *Qual Life Res*. 2018;27: 683-691.
- 406     14.       Williamson GM, Shaffer DR. The activity restriction model of depressed affect:  
407     Antecedents and consequences of restricted normal activities. *Physical illness and depression in*  
408     *older adults: A handbook of theory, research, and practice*. 2000: 173-200.
- 409     15.       Kuring JK, Mathias JL, Ward L. Prevalence of Depression, Anxiety and PTSD in People  
410     with Dementia: a Systematic Review and Meta-Analysis. *Neuropsychol Rev*. 2018;28: 393-416.
- 411     16.       Sallim AB, Sayampanathan AA, Cuttilan A, Ho R. Prevalence of Mental Health Disorders  
412     Among Caregivers of Patients With Alzheimer Disease. *J Am Med Dir Assoc*. 2015;16: 1034-1041.
- 413     17.       Son J, Sung P. Does a reciprocal relationship exist between social engagement and  
414     depression in later life? *Aging Ment Health*. 2023;27: 70-80.
- 415     18.       Yang Y, Li Y, Zhao Pet al. The association between social engagement and depressive  
416     symptoms in middle-aged and elderly Chinese: A longitudinal subgroup identification analysis  
417     under causal inference frame. *Front Aging Neurosci*. 2022;14: 934801.
- 418     19.       Liu Y, Song Y, Johnson FUet al. Characteristics and Predictors of Sleep Among Spousal  
419     Care Dyads Living With Chronic Conditions. *J Gerontol B Psychol Sci Soc Sci*. 2023;78: S38-S47.

20. Ferraris G, Dang S, Woodford J, Hagedoorn M. Dyadic Interdependence in Non-spousal Caregiving Dyads' Wellbeing: A Systematic Review. *Front Psychol*. 2022;13: 882389.
21. Wang S, Huang Y, Fan AYN, Ho MH, Davidson PM. Factors influencing the psychosocial well-being of people with dementia and their informal caregivers: A systematic review of dyadic studies. *Int J Ment Health Nurs*. 2024;33: 560-581.
22. Huang SS. Depression among caregivers of patients with dementia: Associative factors and management approaches. *World J Psychiatry*. 2022;12: 59-76.
23. Gehrman P, Gooneratne NS, Brewster GS, Richards KC, Karlawish J. Impact of Alzheimer disease patients' sleep disturbances on their caregivers. *Geriatr Nurs*. 2018;39: 60-65.
24. About NHATS & NSOC. 2021. (online) Available at: <https://www.nhats.org/researcher/about>. Accessed February 20.
25. Freedman V, Skehan M, Wolff J, Kasper J. National Study of Caregiving I-III user guide. Baltimore: Johns Hopkins Bloomberg School of Public Health. 2020.
26. Ali T, McAvay GJ, Monin JK, Gill TM. Patterns of Caregiving Among Older Adults With and Without Dementia: A Latent Class Analysis. *J Gerontol B Psychol Sci Soc Sci*. 2022;77: S74-S85.
27. Kasper JD, Freedman VA, Spillman BC, Wolff JL. The disproportionate impact of dementia on family and unpaid caregiving to older adults. *Health Affairs*. 2015;34: 1642-1649.
28. Kasper JD, Freedman VA, Spillman BC. Classification of persons by dementia status in the National Health and Aging Trends Study. *Technical paper*. 2013;5: 1-4.
29. Sullivan SS, Li CS, de Rosa C, Chang YP. Development of a Longitudinal Dataset of Persons With Dementia and Their Caregivers Through End-of-Life: A Statistical Analysis System Algorithm for Joining National Health and Aging Trends Study/National Study of Caregiving. *Am J Hosp Palliat Care*. 2022;39: 1052-1060.
30. Kroenke K, Spitzer RL, Williams JB. The Patient Health Questionnaire-2: validity of a two-item depression screener. *Medical care*. 2003: 1284-1292.
31. Buysse DJ, Reynolds CF, 3rd, Monk TH, Berman SR, Kupfer DJ. The Pittsburgh Sleep Quality Index: a new instrument for psychiatric practice and research. *Psychiatry Res*. 1989;28: 193-213.
32. Aschwanden D, Sutin AR, Luchetti Met al. The bite is worse than the bark: Associations of personality and depressive symptoms with memory discrepancy. *Psychol Aging*. 2022;37: 575-590.
33. Ben Simon E, Vallat R, Barnes CM, Walker MP. Sleep Loss and the Socio-Emotional Brain. *Trends Cogn Sci*. 2020;24: 435-450.
34. Blake MJ, Trinder JA, Allen NB. Mechanisms underlying the association between insomnia, anxiety, and depression in adolescence: implications for behavioral sleep interventions. *Clinical psychology review*. 2018;63: 25-40.
35. Manca R, De Marco M, Colston Aet al. The impact of social isolation due to the COVID-19 pandemic on patients with dementia and caregivers. *Acta Neuropsychiatr*. 2022;34: 276-281.
36. Delfino LL, Komatsu RS, Komatsu C, Neri AL, Cachioni M. Path analysis of caregiver characteristics and neuropsychiatric symptoms in Alzheimer's disease patients. *Geriatr Gerontol Int*. 2018;18: 1177-1182.
37. Cipriani G, Lucetti C, Danti S, Nuti A. Sleep disturbances and dementia. *Psychogeriatrics*. 2015;15: 65-74.
38. Biddle DJ, Robillard R, Hermens DF, Hickie IB, Glozier N. Accuracy of self-reported sleep parameters compared with actigraphy in young people with mental ill-health. *Sleep Health*. 2015;1: 214-220.
39. Bourne P, Camic PM, Crutch SJ. Psychosocial outcomes of dyadic arts interventions for people with a dementia and their informal caregivers: A systematic review. *Health Soc Care Community*. 2021;29: 1632-1649.

- 471 40. Wang S, Bressington DT, Leung AYM, Davidson PM, Cheung DSK. The effects of  
472 bibliotherapy on the mental well-being of informal caregivers of people with neurocognitive  
473 disorder: A systematic review and meta-analysis. *Int J Nurs Stud.* 2020;109: 103643.  
474 41. Cheung DSK, Tang SK, Ho KHM et al. Strategies to engage people with dementia and their  
475 informal caregivers in dyadic intervention: A scoping review. *Geriatr Nurs.* 2021;42: 412-420.  
476

477

**Table 1** Characteristics of informal caregivers and older adults with dementia

	Informal caregivers (n=310)	Older adults with dementia (n=310)
	Mean( $\pm$ SD) or n (%)	Mean( $\pm$ SD) or n (%)
Age in years	63.4 $\pm$ 14.1	86.8 $\pm$ 5.8
Gender		
Male	90 (29.0%)	100 (32.3%)
Female	220 (71.0%)	210 (67.7%)
Marital status		
Married	199 (64.2%)	86 (27.7%)
Live with a partner	5 (1.6%)	7 (2.3%)
Separated	11 (3.5%)	2 (0.6%)
Divorce	36 (11.6%)	31 (10%)
Widowed	19 (6.3%)	172 (55.5%)
Never married	40 (12.9%)	12 (3.9%)
Education		
High school below	24 (7.7%)	103 (33.2%)
High school graduate	72 (23.2%)	86 (27.7%)
Vocational or associate	107 (34.5%)	57 (18.4%)
Bachelor's degree or above	107 (34.5%)	64 (20.6%)
Working		
Yes	92 (30.0%)	
No	218 (70.0%)	
Race		
White	209 (67.4%)	180 (58.1%)
Black	95 (30.65%)	94 (30.3%)
Others	6 (1.94%)	36 (11.6%)
Relationship with people with dementia		
Spouse	66 (21.3%)	
Daughter	148 (47.7%)	



Son	46 (14.8%)	
Other relatives	50 (16.1%)	
Self-rated health		
Excellent	35 (11.3%)	13 (4.2%)
Very good	111 (35.8%)	59 (19.0%)
Good	96 (31.0%)	97 (31.3%)
Fair	52 (16.8%)	85 (27.4%)
Poor	16 (5.2%)	56 (18.1%)
No of hours of care to people with dementia (per day)	5.3±5.9	
Social disengagement	0.7±1.3	1.5±1.6
Symptoms of depression	3.2±1.4	4.0±2.0
Sleep disturbance	2.4±1.7	3.8±3.2
Suffering Pain (Last month)	174(56.1%)	167(53.8%)
Number of chronic illnesses	1.82±1.50	4.10±1.69

---

**Table 2** Correlations between the total variable scores (n=310 dyads)

<i>Variable</i>	Social disengagement (oa)	Depressive symptoms (oa)	Sleep disturbance (oa)	Social disengagement (cg)	Depressive symptoms (cg)	Sleep disturbance (cg)
Social disengagement (oa)	1 (NA)	<b>0.27 (&lt;.001)</b>	<b>0.17 (&lt;.001)</b>	<b>0.23 (&lt;.001)</b>	0.05 (.341)	<b>0.30 (&lt;.001)</b>
Depressive symptoms (oa)	<b>0.27 (&lt;.001)</b>	1 (NA)	<b>0.33 (&lt;.001)</b>	<b>0.18 (&lt;.001)</b>	<b>0.11 (.049)</b>	<b>0.34 (&lt;.001)</b>
Sleep disturbance (oa)	<b>0.17 (&lt;.001)</b>	<b>0.33 (&lt;.001)</b>	1 (NA)	<b>0.20 (&lt;.001)</b>	0.08 (.162)	<b>0.28 (&lt;.001)</b>
Social disengagement (cg)	<b>0.23 (&lt;.001)</b>	<b>0.18 (&lt;.001)</b>	<b>0.20 (&lt;.001)</b>	1 (NA)	<b>0.20 (&lt;.001)</b>	<b>0.51 (&lt;.001)</b>
Depressive symptoms (cg)	0.05 (.341)	<b>0.11 (.049)</b>	0.08 (.162)	<b>0.20 (&lt;.001)</b>	1 (NA)	<b>0.31 (&lt;.001)</b>
Sleep disturbance (cg)	<b>0.30 (&lt;.001)</b>	<b>0.34 (&lt;.001)</b>	<b>0.28 (&lt;.001)</b>	<b>0.51 (&lt;.001)</b>	<b>0.31 (&lt;.001)</b>	1 (NA)

*Note:* The correlation is displayed as “r(p-value)”, significant correlations (p-value < 0.05) are bolded. “oa”: older adults with dementia, “cg”: caregivers

1 **Table 3** Path model of APIM for social disengagement, depressive symptoms and sleep  
2 disturbance

<i>Path</i>	<i>Beta</i>	<i>SE</i>	<i>z</i>	<i>p-value</i>	<i>Partial r</i>
<b>From Disengagement (cg) to</b>					
Depressive symptoms (cg)	0.20	0.06	3.42	.001	0.20
Sleep disturbance (cg)	0.49	0.06	8.12	<.001	0.40
Depressive symptoms (oa)	0.20	0.08	2.35	.019	0.14
<b>From Disengagement (oa) to</b>					
Sleep disturbance (cg)	0.17	0.05	3.37	.001	0.16
Depressive symptoms (oa)	0.28	0.07	4.08	<.001	0.23
<b>From Depressive symptoms (cg) to</b>					
Sleep disturbance (cg)	0.25	0.06	4.36	<.001	0.20
<b>From Depressive symptoms (oa) to</b>					
Sleep disturbance (cg)	0.17	0.04	4.06	<.001	0.20
Sleep disturbance (oa)	0.50	0.09	5.58	<.001	0.31

3 *Note:* “oa”: older adults with dementia, “cg”: caregivers, “Partial r”: Partial Corr. (Effect  
4 size)

5

## 6 Descriptive figure legends

- 7 • **Figure 1** Path diagram of APIM between disengagement, depressive symptoms and  
8 sleep disturbance

9 *Notes:* “oa”: older adults with dementia, “cg”: caregivers, RMSEA = 0.06, CFI = 0.98,  
10  $\chi^2/df = 2.07$ . The solid line indicates a significant effect; the dotted line indicates an  
11 insignificant effect.

12

13    **Descriptive legends for all supplemental material**

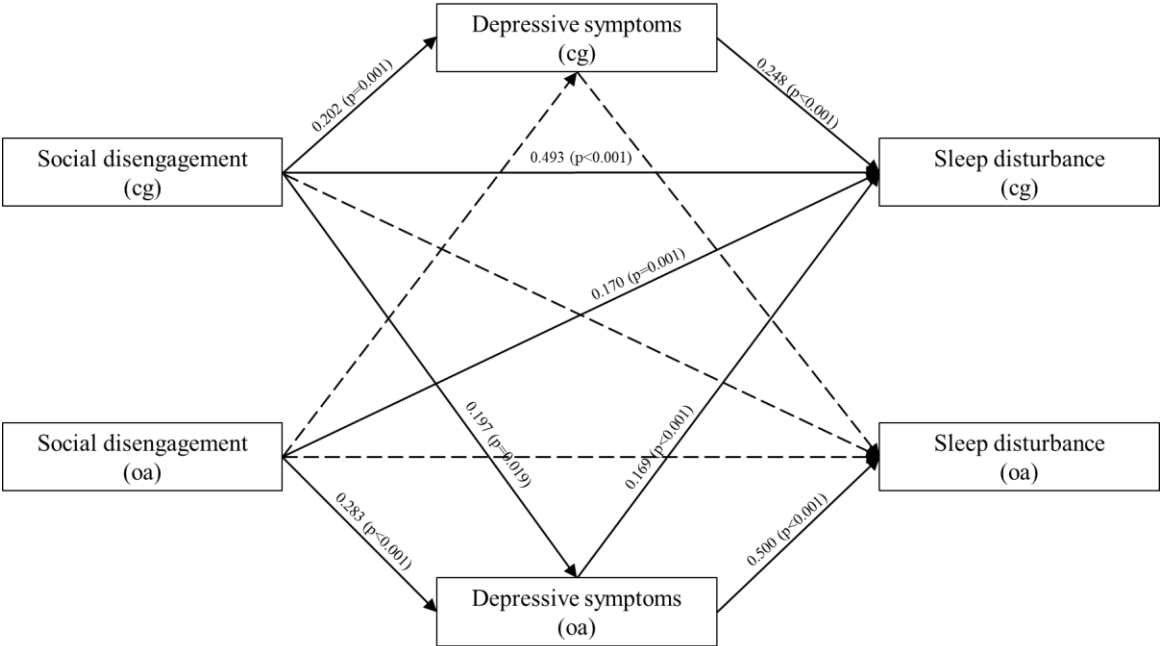
- 14    •    **Supplementary Figure 1** Path diagram of APIM between disengagement, depressive  
15        symptoms and sleep disturbance controlling for pain and number of chronic illnesses

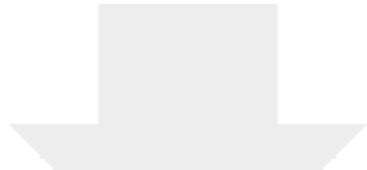
16    *Notes:* “oa”: older adults with dementia, “cg”: caregivers, RMSEA = 0.04, CFI = 0.97,  
17     $\chi^2/df = 1.50$ . The solid line indicates a significant effect; the dotted line indicates an  
18    insignificant effect.

- 19    •    **Supplementary Table 1** Path model of APIM for social disengagement, depressive  
20        symptoms and sleep disturbance controlling for pain and number of chronic illnesses

21

22





[Click here to access/download](#)

**Supplementary Material**

Supplementary Files\_R1.docx

