

1 Unveiling Grain Production Patterns in China (2005-2020) towards Targeted 2 Sustainable Intensification

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13

14 **Abstract:**

15 CONTEXT

16 Long-term historical information on national-scale grain production is critical for ensuring food security but often
17 limited by the lack of geospatial data.

18 OBJECTIVE

19 This study aims to conduct the first systematic investigation of grain Cropping Patterns (CP) in China over the past two
20 decades, shedding light on the roles of grain expansion and intensification in sustainable agriculture.

21 METHODS

22 This study proposes a framework to fully characterize grain production patterns considering crop types, cropping
23 intensity and patterns based on spatiotemporal continuous ChinaCP datasets (2005-2020). Four indicators were
24 developed for measuring the Reality to Capability Ratio (RCR) of grain production regarding the total yield and sow
25 area, the cropland extent and cropping intensity. The capability of grain production was derived based on grain
26 cultivation history.

27 RESULTS AND CONCLUSION

28 There was a huge gap between the reality and capability of grain production in China, which varied with grain crop
29 types and cropping patterns. At national level, a vast majority (96%) of cropland was capable of grain production, and
30 two fifths of cropland quantified for double grain cropping. However, only 46.65% and 24.89% of the capability was
31 implemented for grain or double-grain cropping in 2020. Maize, rice, and wheat was ever cultivated in 76.88%, 57.05%,
32 and 25.18% of national cropland, respectively. Winter wheat plays an important role in stabilizing grain production by
33 double grain cropping, accounting for 7/8 continuously grain-cultivated areas. However, the RCR of double rice was
34 only 7% in 2020. Bridging these gaps could potentially triple grain production, however, achieving this increase poses
35 challenges due to a series of constraints related to cropland fraction, topographic conditions and lack of agricultural

36 labors along with rapid urbanization. This study found that there was a continuous Northeastward movement &
37 countryside shift in grain production. Continuous support for long-term active agricultural systems is crucial to ensure
38 sustainable grain production in China, with a special emphasis on key grain productive regions, considering targeted
39 cropping patterns and regional disparities.

40 SIGNIFICANCE

41 This study enhances our understanding of grain production systems in China based on long-term cultivation histories.
42 Findings can inform the development of more geographic-targeted policies concerning grain cropping intensifications
43 to ensure food security and environmental sustainability in developing countries.

44 **Keywords:** Non-grain production; Grain security; Cropping patterns; Spatiotemporal process; China.

45

46 1. Introduction

47 The reduction of hunger and improvement of food security have become key priorities in the Sustainable
48 Development Goals (SDGs) for the 2030 agenda (Urfels et al., 2023; Yang et al., 2020). Global food security is facing
49 continuous threats due to increasing climate changes, rising food demand, and uncertainties like armed conflicts
50 (Bentley et al., 2022; Renard and Tilman, 2019). The repercussions of high food prices and food insufficiency have led
51 to severe social and political disturbances in several countries (Ghose, 2014). Particularly, food security remains a
52 critical challenge, especially in smallholder agricultural systems (Cui et al., 2018). To address these issues, it is crucial
53 to promote stabilizing grain production and ensuring self-sufficiency (Bentley et al., 2022; Olsen et al., 2021). However,
54 concerns are arising about the sustainability of future grain production (Yuan et al., 2021). Firstly, the rapid expansion
55 of Non-Grain Production (NGP) on cropland is increasingly threatening food security, and this phenomenon is common
56 across the globe (Liang et al., 2023). Secondly, major grain production regions, including China, have experienced a
57 slowdown or even reached a plateau in yield rates (Grassini et al., 2013). Thirdly, grain production has negative
58 environmental impacts, including the consumption of natural resources and greenhouse gas emissions (Jain et al., 2023;
59 Potapov et al., 2022).

60 Increasing concerns about the issues mentioned above underscore the critical importance of sustainable
61 intensification of existing cropland (Cassman and Grassini, 2020; Shen et al., 2023; Zuo et al., 2018). Over the past few
62 decades, the demand for agricultural production has often been met through cropland expansion and agricultural
63 intensification (Fróna et al., 2019). However, cropland expansion has led to the replacement of natural vegetation,
64 including protected forests, primarily responsible for increased food production in Southeast Asia, Africa, and South
65 America (Potapov et al., 2022; Zeng et al., 2018). On the other hand, agricultural intensification has enabled higher
66 food production within existing cropland by increasing crop yields and cropping intensity (Wu et al., 2018). As we strive
67 to ensure food security, our food production systems must also consider the environmental needs of future generations
68 (Alemu, 2022; Ambikapathi et al., 2022). Changes in the extent and intensity of cropland have significant implications
69 for human well-being (Finch, 2020; Li et al., 2023). Particularly, increased grain production plays a crucial role in
70 reducing hunger and poverty in smallholder agricultural systems, which constitute 84% of global farms (Jain et al.,

71 2023). To support smallholder agriculture, promoting domestic food production becomes even more vital, as these
72 systems face numerous challenges stemming from limited access to information and technologies (Harvey et al., 2018).
73 To achieve more refined farming management plans, it is essential to advocate for specific policies targeted towards
74 cropland management (Liang et al., 2023; Upcott et al., 2023). The implementation of site-specific sustainable
75 intensification requires thorough investigations of historical grain production based on cropping types and patterns
76 (Mahlayeye et al., 2022; Rudel et al., 2009; Smith et al., 2023). Such detailed analysis will contribute to a more informed
77 approach towards sustainable agricultural practices and help address the complexities of food security and
78 environmental preservation (Li et al., 2023).

79 China possesses the largest smallholder farms, responsible for producing 80% of the nation's food (Lowder et al.,
80 2021). Given its significant population, grain production and food sufficiency in China have been, and will continue to
81 be, crucial in ensuring global food security (Cui and Shoemaker, 2018). China faced substantial food shortages in the
82 mid-20th century but has made remarkable progress in grain productivity since the land contract reform in the 1980s
83 (Fróna et al., 2019). In the early 21st century, the Chinese government implemented a series of robust policy measures
84 to achieve grain self-sufficiency (Huang and Yang, 2017). Over the past six decades, annual grain production in China
85 has increased fivefold, and the country has achieved self-sufficiency in grain since the late 20th century (Li et al., 2014).
86 The agricultural systems in China have achieved significant milestones but have also undergone substantial changes in
87 terms of cropping intensity and crop types (Qiu et al., 2017a). Accurate observations and understanding the spatial-
88 temporal process of grain production is essential to address the growing global food insecurity (Ayanlade and Radeny,
89 2020; Laborte et al., 2017). To guide sustainable agricultural intensification, it is crucial to quantify the grain production
90 capability on every hectare of cropland (Van Ittersum et al., 2013). However, a thorough understanding of grain
91 production history based on crop types and cropping patterns is currently lacking (Upcott et al., 2023; Zhu et al., 2022).
92 There is an urgent need for systematic investigations into the grain cropping history using annual cropping patterns
93 datasets across conterminous China in order to fill these knowledge gaps.

94 This study aims to address these requirements based on spatiotemporal explicit annual datasets of cropping patterns
95 during the past two decades. The China Cropping Pattern Maps (ChinaCP) provided detailed information on staple grain
96 cropping patterns in recent years (since 2015) (Qiu et al., 2022a), and this study further developed the annual continuous
97 ChinaCP datasets since 2005. Continuous crop maps for multiple years provide critical time-series data on the temporal
98 and spatial patterns of food production and shortages (Massey et al., 2017). The recently published ChinaCP dataset
99 allows for tracking the spatiotemporal history of grain cultivation extent and intensity and their possible drivers
100 associated with biophysical or socioeconomic conditions (Qiu et al., 2022a). However, the ChinaCP dataset has not
101 been fully investigated yet, with a particular oversight in understanding the evolution of grain cropping patterns (Jin
102 and Zhong, 2022). This study comprehensively investigates the grain cultivation history and the gaps between current
103 grain production and its capability, based on spatiotemporal continuous datasets covering conterminous China over the
104 past two decades. Specifically, we directly address the following scientific questions: (1) How can we depict the grain
105 cultivation history using the parameters of extent, intensity, and frequency of grain crops? (2) What is the potential
106 capacity of grain production concerning cropped areas and cropping intensity? (3) Are there significant disparities

107 between actual grain production and its capacity, and if so, where do these gaps exist, and how are these gaps associated
108 with extent and intensity?

109 **2. Study area and methodology**

110 **2.1. Study area**

111 China ranked first in global cereal production (FAO, 2019). Specifically, China ranked first in rice and wheat
112 production, and second in maize production (after the United States) in the world (Halweil, 2021). The cultivation
113 history of these three major cereals, paddy rice, wheat, and maize, spans a long period in China. Together, these three
114 staple crops accounted for 97% of the national cereal areas in 2020 (Qiu et al., 2022a). China is divided into nine
115 agricultural regions (1985): Northeast China (A), Huang-Huai-Hai Plain (North China Plain, B), Middle and lower
116 reaches of the Yangtze River Plain (C), South China (D), Southwest China (E), Qinghai-Tibet (F), Gan-Xin (G), Loess
117 Plateau (H), and Inner Mongolia and along the Great Wall (I). Paddy rice is predominantly cultivated in Northeast China
118 and the Middle-lower Yangtze River plain (Zhang et al., 2015). Over the past two decades, the rice cropping systems in
119 China have undergone significant changes. Rice-sown areas decreased in southern China but expanded in Northeast
120 China (Xin et al., 2020). In particular, the cultivation of double rice was dominant in southern China in the early 2000s
121 but rapidly declined in the 2010s (Lai et al., 2020). Maize has surpassed paddy rice to become the top grain crop in
122 China, with a wide distribution across the entire country (Qiu et al., 2018). Maize is cultivated as spring maize (single
123 maize) or summer maize, and summer maize is commonly double-cropped with winter crops such as winter wheat.
124 Nearly all of the wheat production in China comes from winter wheat, which is commonly cultivated through double
125 cropping in the North China Plain (Li et al., 2019).

126 **2.2. Datasets**

127 **2.2.1. Multiple spectral indices time series datasets based on MODIS images**

128 The 500 m 8-day composite Moderate Resolution Imaging Spectroradiometer (MODIS) surface reflectance
129 product, MOD09A1, was chosen for its long-term observations and high-frequency revisit capability (Han et al., 2022).
130 Three spectral indices were calculated: the 2-band Enhanced Vegetation Index (EVI2), the Land Surface Water Index
131 (LSWI), and the Normalized Multi-band Drought Index (NMDI). EVI2 was derived using surface reflectance values
132 from the red and near-infrared red bands (Jiang et al., 2008). LSWI was computed using surface reflectance data from
133 the near-infrared red and short-wave infrared bands (Xiao et al., 2005). NMDI was obtained based on the near-infrared
134 band and two short-wave infrared bands (Wangle and Qu, 2007).

$$135 \quad \text{EVI2} = 2.5 \times (\rho_{NIR} - \rho_{Red}) / (\rho_{NIR} + 2.4 \times \rho_{Red} + 1) \quad (1)$$

$$136 \quad \text{LSWI} = (\rho_{NIR} - \rho_{SWIR6}) / (\rho_{NIR} + \rho_{SWIR6}) \quad (2)$$

$$NMDI = \frac{\rho_{NIR} - (\rho_{SWIR6} - \rho_{SWIR7})}{\rho_{NIR} + (\rho_{SWIR6} - \rho_{SWIR7})} \quad (3)$$

Where ρ_{NIR} , ρ_{Red} , ρ_{SWIR6} and ρ_{SWIR7} represented the surface reflectance values from the Near-infrared, red, short wave infrared band centered at 1640 nm (1628 - 1652 nm) and 2130 nm (2105 - 2155 nm), respectively.

A daily continuous time series dataset was created for each spectral index (EVI2, LSWI, and NMDI) for the years 2005-2020. Cloud-free observations were used for the development of these datasets, employing the Whittaker Smoother (WS) technique (Eilers, 2003). The WS smoother has shown to effectively characterize crop growth cycles, making it a suitable choice for this study (Qiu et al., 2016a).

2.2.2. Maps of cropping patterns with descriptions of grain crops in China from 2005 to 2020

CPChina datasets provided information on cropping intensity with detailed information on grain crop types. Specifically, the cropping patterns included single cropping of three staple grain crops (rice/maize/wheat) and other crops, multiple cropping of staple grain crops (i.e. rice-maize), multiple cropping of staple grain crops (rice/maize/wheat) plus other crops (rotation of grain crop plus others), and others. The primary double cropping patterns of grain production, such as winter wheat-maize, winter wheat-rice, and double rice, can be effectively tracked using the spatiotemporal continuous CPChina datasets. The long-term spatiotemporal continuous CPChina datasets were developed based on MODIS time series images during 2005-2020 (Qiu et al., 2022a). The CPChina datasets has been exploited for a series of applications such as improving the predictability of cropland quality and the impacts of natural disaster through incorporating cropping patterns (Liu et al., 2023; Sun et al., 2023).

The CPChina datasets were generated using a series of robust mapping algorithms for deriving cropping intensity and three staple grain crops (rice, maize, and wheat) proposed in recent references (Qiu et al., 2022a; Qiu et al., 2016b). Knowledge-based approaches, which refer to phenological features, have demonstrated good performance in spatiotemporal generalization while requiring less costly and time-consuming reference data collection (Adamo et al., 2020; Tian et al., 2022). Cropping intensity was determined based on three key features: the skeleton width, the maximum number of strong brightness centers, and the intersection of their scale intervals, obtained from the wavelet spectra transformed from the Vegetation Indices (VI) temporal profiles (Qiu et al., 2016b). These three staple crops were identified by several novel and robust phenological indicators by exploring their unique characteristics based on vegetation and water indices time series. Specifically, paddy rice is distinguished by its lower values in the ratio of change amplitude in Land Surface Water Index (LSWI) to VI from tillering to heading dates (Qiu et al., 2015). Maize could be mapped based on the larger values in the ratio of cumulative positive slope to the negative slope of NMDI, as it exhibits consistently high leaf moisture during the heading stage (Qiu et al., 2018). Winter wheat can be extracted based on its larger values in VI variations during the estimated vegetative and reproductive stages (Qiu et al., 2017b). These above mapping algorithms achieved good performances in large-scale applications, which were applied at a national scale in China with no requirements for regional or yearly adjustments (Qiu et al., 2018; Qiu et al., 2015; Qiu et al., 2017a; Qiu et al., 2017b).

170 2.2.3. Cropland distribution data and other datasets

171 The 30 m GlobeLand30 data was utilized to derive the distribution data of croplands (Chen et al., 2014). This
172 dataset was selected due to its relatively high accuracy (overall accuracy=85.72% in 2020) and easy accessibility
173 (www.globallandcover.com). To match the resolution of the MODIS images, the 30 m cropland pixels with references
174 to the GlobeLand30 data were aggregated to form a 500 m cropland map. Other datasets used in this study included
175 socio-economic data and crop calendar data. Socio-economic data were obtained from the National Statistical Bureau
176 of China (NSBC) (<http://www.stats.gov.cn/english/>). The crop calendar data recorded the dates of key phenological
177 stages for the three staple crops. Additionally, the topography map (Cheng et al., 2011) was employed to investigate the
178 influences of landform types on the NGP process.

179 2.3. Methodology

180 Grain production system changes across space and time. The spatiotemporal patterns of grain production were
181 systematically revealed through estimating the capability based on historical ChinaCP datasets, exploring the gaps
182 between capability and reality and their drivers (Figure 1). First, this study investigated the spatiotemporal process of
183 grain production by cropping patterns. The frequency of cultivated grain crops was calculated for total grain production
184 and different grain cropping patterns. Second, the capability of grain production was estimated based on cultivation
185 history. Third, the gaps between reality and capability of grain cultivation were explored with a full consideration of
186 grain crop type, cropped extent and cropping intensity. Finally, the influencing factors of grain production were explored
187 and the spatiotemporal variability of NGP processes shaped by these drivers was presented. Several indicators were
188 proposed for these above purposes. These calculations were performed using a per-pixel strategy and aggregated at
189 municipal, provincial, and national levels. Detailed descriptions were provided as follows.

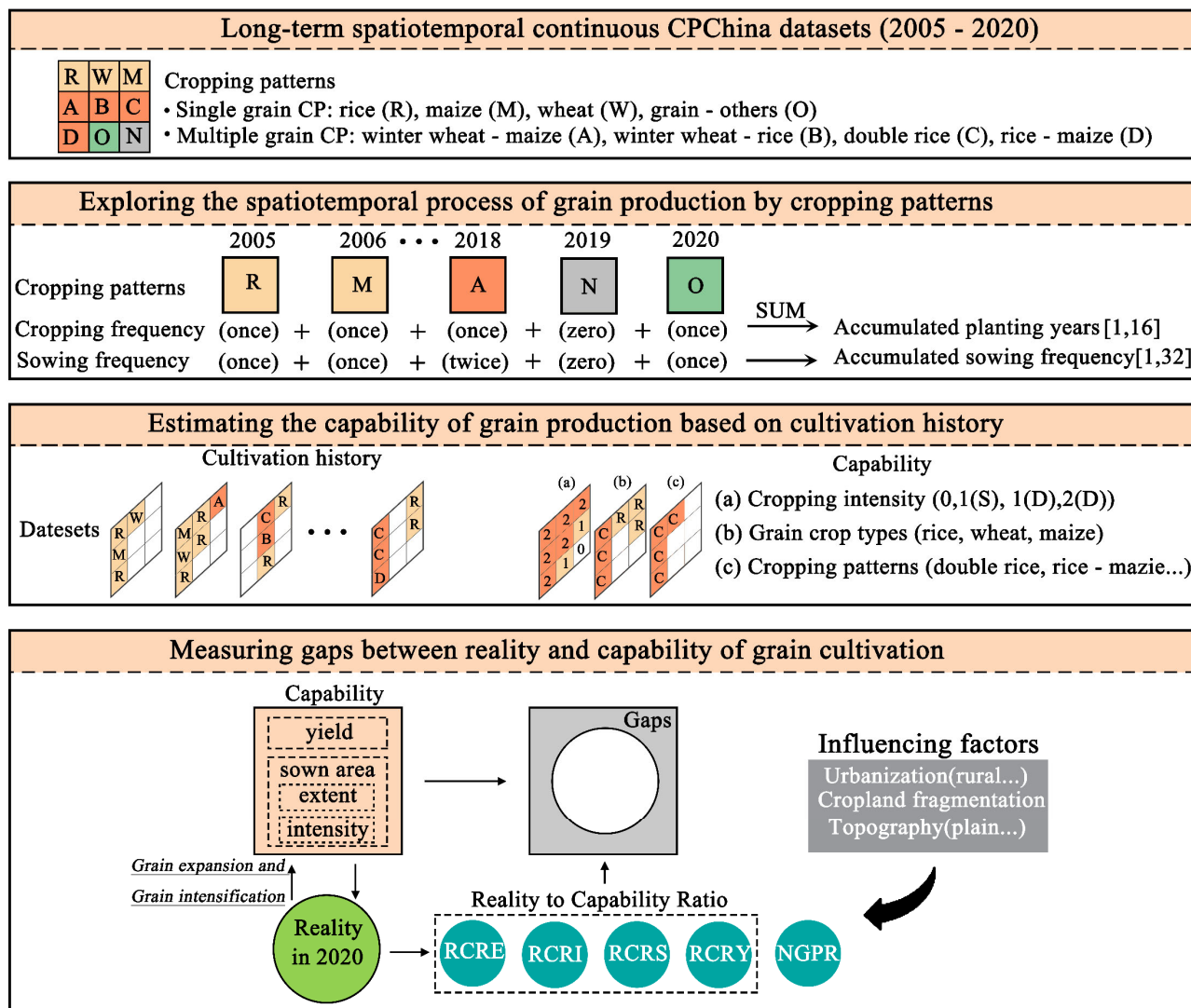


Figure 1. The framework for unveiling grain production patterns

2.3.1. Exploring the spatiotemporal process of grain production by cropping patterns

This study aimed to investigate the spatiotemporal processes of grain production, considering grain crop types, cropping intensity, and cropping patterns during the study period (2005-2020). Two indicators, namely the Accumulated Planting years (APY) and the Accumulated Sowing Frequency (ASF), were proposed to measure the frequency of cultivated grain crops. For one specific year, if a pixel was cultivated by single maize, the APY and ASF of grain production would be increased by 1; if a pixel was cultivated by double rice, the APY and ASF of grain production would be increased by 1 and 2, respectively. Large values in APY and ASF revealed higher frequency of grain production. Specifically, the APY indicator ranged from 0 to 16, where a value of 16 suggested that the pixel was continuously cultivated with grain crops during the study period (2005-2020). The ASF ranged from 0 to 32, as a pixel with grain production might be cultivated by grain crops once or twice for one specific year (triple grain crops were seldom

202 implemented and not reported in the ChinaCP data in China). A value of zero in APY or ASF represented that the pixel
203 was not been cropped any of three staple grain crops during the study period. These two indicators (APY, ASF) were
204 first estimated for the total grain production, and then for these three staple crops and their cropping patterns. For
205 example, if a pixel was cropped by double rice for one specific year, the APY would be enhanced by 1 for the total grain
206 production and also for cropping pattern of double rice. This study investigated the histograms of APY for total grain
207 production and examined their contributions from different grain cropping patterns (Figure 2). The gravity center of
208 grain production in China was calculated to track its trajectory during the past few decades.

209 **2.3.2. Estimating the capability of grain production based on cultivation history**

210 The capability of grain production was estimated to improve our knowledge of the cropland extent and sown areas
211 suitable for grain production. Cropland's capability of grain production could generally be estimated based on the soil,
212 climatic and topographic conditions (Zhang et al., 2017). Cropland is suitable for single or multiple cropping and could
213 generally be derived regarding biophysical conditions such as the FAO/IIASA Agro-ecological Zones (AEZ) model
214 (Fischer et al., 2002). However, there might be some local constraining factors that were not reflected in existing
215 geospatial datasets. Additionally, the suitability of grain crop rotations was not fully depicted in existing literature and
216 datasets. For example, to what extent and where the grain cropping patterns of winter wheat rotated with rice or maize
217 are capable of production in China? This study estimated the capability of grain production in China based on historical
218 crop maps during the study period. The cropland map capable of grain production was derived based on the ever-cropped
219 area of grain crops based on cropping patterns datasets during 2005-2020. Specifically, if a pixel was ever cropped with
220 any one of these three staple grain crops, it was regarded as capable of grain cultivation. Similarly, a pixel was considered
221 to be capable of multiple-grain cropping only if it was ever implemented during the study period. For example, the
222 cropping pattern of winter wheat plus non-grain crops (i.e. peanut) was regarded as single-grain cropping. This study
223 quantified the grain cropping capability based on the Capability to total Cropland Ratio (CCR) and further evaluated by
224 grain types, cropping intensity, and cropping patterns.

225 **2.3.3. Assessing the gaps between reality and capability of grain production based on extent and intensity**

226 There are two commonly applied NGP indicators: one is the Grain to Crop Ratio (GCR), which is calculated based
227 on the sown area (Lai et al., 2020); another is the NGP Rate (NGPR), which is computed as the ratio of land uncultivated
228 by grain crops to the total cropland (Zhu et al., 2022). Only the first NGP indicator (GCR) could be estimated using the
229 agricultural census data. The GCR might overestimate the NGP since it includes multiple-cropped non-grain crops
230 rotated with grain crops. For example, the GCR would decrease if single cropping of grain was intensified by double
231 cropping with a rotation of non-grain crops. On the other hand, the latter NGP indicator (NGPR) is not sensitive to
232 changes in cropping intensity associated with non-grain crops. However, NGPR cannot reveal the changes in cropping
233 intensity of grain crops, such as the loss of grain production due to changes from double rice to single rice.

234 The NGP process can be assessed by examining the grain production gaps between actual and potential yields. The

235 estimation of potential yields for grain crops involved utilizing maps of grain production capability, incorporating
 236 information on grain crop types, and cropping intensity. Each pixel's potential yield was determined based on the
 237 cropping pattern with the highest yield per unit. For example, if a pixel was designated for single cropping of rice, maize,
 238 or wheat, the grain crop with the maximum yield per unit was selected. The average yield per unit in 2020 was
 239 considered for each grain crops: rice (704 Mt/km²), maize (632 Mt/km²), and wheat (574 Mt/km²). Similarly, if a pixel
 240 represented four groups of double-grain cropping, the cropping pattern with the maximum yield per unit was utilized.

241 These gaps in grain production can further be attributed to the gaps in cropping extent and cropping intensity for
 242 grain cultivation. Specifically, the gaps in cropping extent reveal the areas suitable for grain expansion that were left
 243 uncultivated in a specific year. On the other hand, the gaps in cropping intensity indicate areas suitable for grain
 244 intensification that were not utilized in a specific year. In addition to the commonly used NGP indicators, the NGP
 245 process was further investigated using the Reality to Capability Ratio (RCR) concerning cultivation and yield. Instead
 246 of relying on the total sown area of crops or cropland in a given year, the capability of grain production was considered.
 247 Four indicators were proposed to measure the RCR from different perspectives: the RCR of total grain yield (RCRY),
 248 the RCR of sown area (RCRS), the RCR of cropland extent (RCRE), and the RCR of intensification through double
 249 grain cropping (RCRI). These indicators were calculated using equations 1-4.

$$250 \quad RCRY = \frac{Y_{Act}^t}{Y_{Cap}} \times 100\% \quad (1)$$

$$251 \quad RCRS = \frac{S_{Act}^t}{S_{Cap}} \times 100\% \quad (2)$$

$$252 \quad RCRE = \frac{E_{Act}^t}{E_{Sui}} \times 100\% \quad (3)$$

$$253 \quad RCRI = \frac{I_{Act}^t}{I_{Cap}} \times 100\% \quad (4)$$

254 where Y_{Act}^t , S_{Act}^t , E_{Act}^t and I_{Act}^t represented the total grain yield, grain sown area, cropland extent, and cropping
 255 intensity of cultivated grain crops in year t, respectively; Y_{Cap} , S_{Cap} , E_{Cap} and I_{Cap} denoted the capability of grain
 256 production regarding the total yield, the total sown area, cropland extent, and cropping intensity, respectively. Higher
 257 levels of NGP could be revealed by lower values of these three indicators (RCRS, RCRE, RCRI), which measured the
 258 grain production gaps from the total sown area, cropping extent and intensity of grain crops, respectively.

259 **2.3.4. Exploring the influencing factors of spatiotemporal processes of grain production**

260 In this study, we conducted a comprehensive examination of the spatiotemporal processes affecting grain
 261 production, considering the following influential factors. We addressed cropland fragmentation by utilizing the cropland
 262 fraction obtained from the 30 m cropland distribution data (Chen et al., 2015), which we aggregated at a 500 m resolution.

263 We considered the influence of topographic conditions, which included analyzing the landform types and elevation. For
264 the datasets related to landforms, we sourced them from the Resource and Environment Science and Datacenter
265 (<https://www.resdc.cn/Default.aspx>). We investigated a series of socio-economic factors such as the urbanization,
266 agricultural machinery, the ratio of irrigation in cropland. We took into account urbanization and measured it based on
267 the distance to the nearest cities at the municipal level or above.

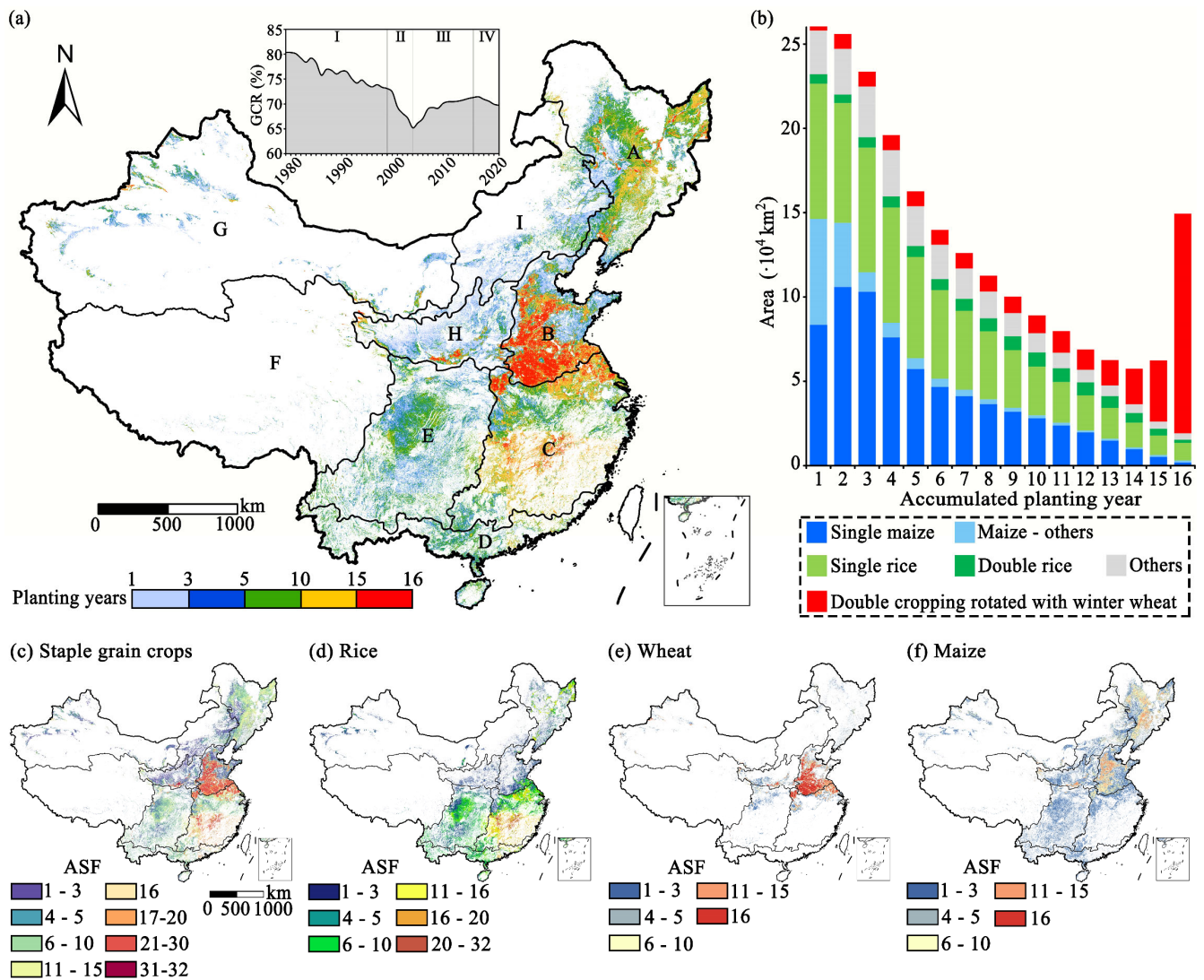
268 3. Results

269 3.1 Spatiotemporal process of grain production in China

270 China has achieved significant milestones in grain production over the past five decades (Figure S1). Grain
271 production doubled despite of an overall decline of GCR since 1978. Specifically, the GCR reached its lowest point
272 (65%) in 2003, slightly improved until 2015-2016, and then declined rapidly during the late 2010s. Grain production in
273 China was primarily achieved by the cultivation of three staple grain crops: rice, wheat, and maize. Notably, the three
274 staple grain crops have consistently contributed more than 80% of the national grain production in China according to
275 agricultural census data (Figure 2). Their significance has grown since 1978, accounting for 91% of national grain
276 production in 2020 (Figure 2). Therefore, these three staple grain crops were considered for exploring the grain cultivation
277 history based on spatiotemporal continuous datasets of cropping patterns from 2005 to 2020.

278 The analysis of the grain-cropped areas revealed an uneven distribution among different APY categories (Figure
279 2). There was an overall decline in the extent of grain-cropped fields with the increase of cropping frequency. The
280 cropped fields followed a decay pattern with an exponential function as the APY increased from 1 to 15 ($Y=301413 \cdot e^{-0.118x}$, $R^2=0.9848$). There were around two-thirds of Ever Grain Cropped fields (EGC, 2.18 million km²) cultivated with
282 grain crops for less than 8 years. Specifically, 34.80% of EGC were cultivated by grain crops for no more than 3 years.
283 In contrast, there were only 8.45% of EGC was frequently cultivated (APY=13-15). It is essential to highlight that there
284 were 149,447 km² areas (6.92% of EGC, 6.63% of cropland) continuously cultivated with grain crops during 2005-
285 2020. These Continuously Grain-Cropped lands (CGC, APY=16) were predominantly found in the North China Plain
286 (Figure 2), which was mainly attributed by winter wheat (87.14% of CGC). The winter wheat uniquely displayed a
287 continuous increase with the cropping frequency (APY) by double cropping patterns. Less frequently cultivated
288 croplands were primarily used for single cropping of maize or paddy rice. Lessons from grain cultivation history
289 indicated that double cropping of winter wheat played a significant role in stabilizing grain production.

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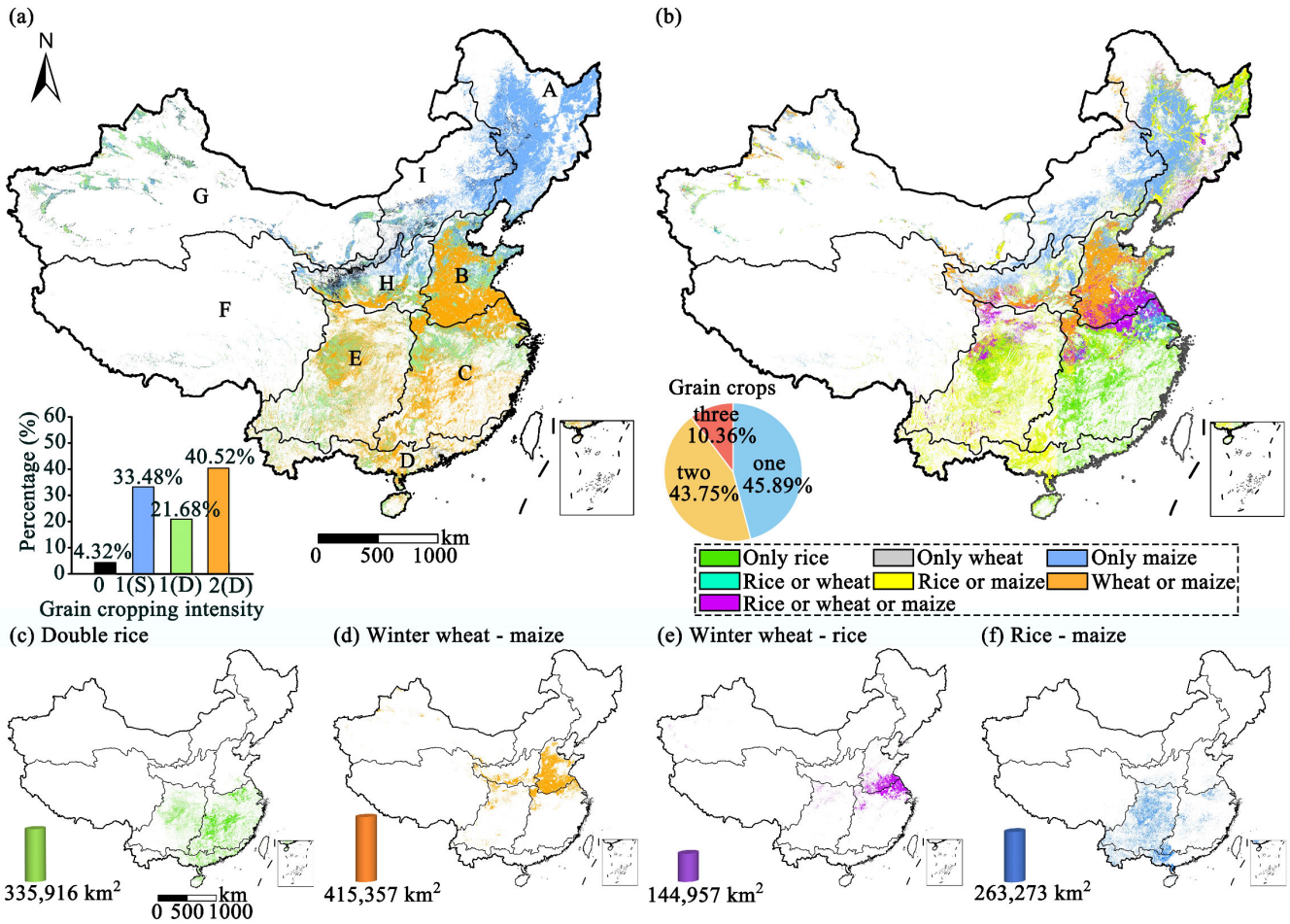
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 292 Figure 2. Maps of (a) GCR trend since 1978 and accumulated planting years of grain crops during 2005-2020, and (b) the histogram;
 293 maps of Accumulated Sowing Frequency (ASF) for (c) total staple grain crops, (d) rice, (e) wheat and (f) maize.

294 3.2 Capability of grain cultivation by crop types, cropping intensity and cropping patterns

295 The capability map of grain production was developed based on the historical grain cultivation history during 2005-
 296 2020. This map highlights that the vast majority of cropland (95.68% of cropland) in China is capable of grain
 297 production (Figure 3). There is only a small proportion of cropland that has not been cultivated with the three staple
 298 grain crops, primarily located in northwest China (Figure 3). Although 63.52% of cropland is capable of multiple
 299 cropping according to the cultivation history, only approximately two-fifths of the cropland (914,224 km^2 , 40.52% of
 300 cropland) is suitable for double grain cropping (double cropping of grain rotated with non-grain crops was not included)
 301 (Figure 3(a)). It is because there is 21.68% and 1.32% of cropland capable for multiple cropping with only one cropping
 302 cycle of grain crop or no grain crops, respectively. The double grain capability map reveals an asymmetric distribution:
 303 only 13.01% of cropland in the west of the Hu line is capable for double grain cropping, which is less than one-third of

304 the national level. The east of the Hu line is responsible for 95% of double-grain capable cropland in China. The cropland
305 unquantified for double cropping is primarily located in northern China, influenced by biophysical conditions such as
306 the accumulated temperature limit. However, the northern portion of the middle and lower reaches of the Yangtze River
307 Plain stood out for its long cultivation history of double cropping patterns with only one grain cropping cycle (i.e. paddy
308 rice rotated with other crops) (Figure 2, Figure 3).

309 The capability revealed by CCR varied significantly among different grain crops (Figure 3). The CCR was 76.88%
310 for wheat, 57.05% for rice, and only 25.18% for maize. This indicates that there was a total of 1,734,600 km², 1,287,333
311 km², and 568,196 km² areas quantified for maize, rice, and wheat production in China, respectively. More than one half
312 (54.11%) of the EGC were capable of grain production for at least two staple grain crops (Figure 3(b)). There were
313 around one tenth of EGC quantified for any of these three staple crops (Figure 3). Cropland quantified for only one
314 grain crop was mainly accounted by maize or paddy rice, which primarily located in the Northeast China (maize) or
315 Southeast China (rice), respectively (Figure 3). The capability ratio of double grain cropping diversified among different
316 grain crops and cropping patterns, which was very high for wheat (80.79%), followed by rice (48.15%) and maize
317 (37.80%). According to the capability maps of double grain production, cropping pattern of winter wheat-maize
318 (415,357 km²) ranked the first, followed by double rice (335,916 km²), rice-maize (263,273 km²), and winter wheat-
319 rice (144,957 km²). There were 24.55% of double-grain capable fields (224,430 km²) quantified for multiple double-
320 grain cropping patterns (Figure 3).



321

322 Figure 3. Maps of grain production capability on (a) grain cropping intensity, (b) three grain crop types, and for double grain cropping
 323 patterns: (c) double rice, (d) winter wheat-rice, (e) winter wheat-maize, (f) rice-maize in China, and (g) the capability of three staple grain
 324 crops and double cropping patterns in China.

325 Notes: 0, 1(S), 1(D), 2(D) represented areas unquantified for grain production, capable for single grain cropping, for double cropping with
 326 only one grain cropping cycle, and double grain cropping, respectively.

327 3.3 Quantifying NGP based on gaps between reality and capability of grain cultivation

328

329 Changes in grain production in China have been specific to different regions and periods over the past few decades.
 330 In 2020, the top three agricultural regions were Northeast China, North China Plain, and the Middle and lower reaches
 331 of the Yangtze River plain (Figure S2). These three key agricultural regions (regions A, B, C) collectively accounted for
 332 two-thirds of the national grain production in 2020. The Northeast China and North China Plain achieved substantial
 333 growth in grain production. Meanwhile, the southern China witnessed a gradual slowdown in grain production during
 334 the past few decades. Therefore, the gravity center of grain production in China has been continuously moving
 335 northeastwards since the 1980s (Figure S2). During the period from 1980 to 2005, the gravity center shifted
 336 northeastwards for 136 km, starting from Zhumadian city (113.7458°E, 32.8954°N) in Henan province, as estimated by

337 the total grain yields at the provincial level (Figure S2). Subsequently, from 2005 to 2020, the gravity center further
338 traveled northeastwards for 145 km, transitioning from Taikang county of Henan province (115.0032°E, 34.1764°N) in
339 2005 to Heze county of Shandong province (115.8202°E, 35.38751°N) in 2020, as determined by the actual distribution
340 maps of grain crops (Figure S2). The northeastward shift of the gravity center of grain production can be attributed to
341 the increase in grain production in the Northeast China Plain and the strengthened NGP in southern China during the
342 past two decades. The significant role of the Northeast China Plain has become more pronounced compared to Northern
343 China, especially considering that the NGPR values in three northern regions (regions G-I) were around 80% or above
344 (Figure 4).

345 The extent of the NGP, as revealed by the NGP Rate (NGPR), has shown significant variations over the past few
346 decades (Figure 4). During the middle 2000s, the NGPR was high, but it lessened in the middle 2010s, only to enhance
347 again in recent years. Specifically, at the national scale, the NGPR declined from 57.18% in 2005 to 50.64% in 2015
348 and then increased to 55.36% in 2020 in China. Throughout the study period (2005-2020), six agricultural regions
349 (regions E-I) consistently exhibited high NGPR, with agricultural region I reaching an NGPR of over 80% in 2020. On
350 the other hand, the three major agricultural regions (regions A, B, and C) generally illustrated lower NGPR compared
351 to the national average (Figure 4). Northeast China experienced the most noticeable changes in NGP processes since
352 2005. The NGPR was 58.94% in 2005, but it significantly reduced by one-third to 38.20% in 2015. However, by 2020,
353 it had increased to 44.08%. Similarly, the Middle and lower reaches of the Yangtze River plain also exhibited a
354 remarkable enhancement in NGPR during the recent years (2015-2020). In contrast, the North China Plain consistently
355 had a low NGPR (around 34-35%) over the past two decades, compared to the other major agricultural regions (regions
356 A and C). The NGP process in 2020, as revealed by NGPR, demonstrated a south-north contrast pattern compared to
357 that in 2005: it aggravated in South China and lessened in North China (Figure 4).

358

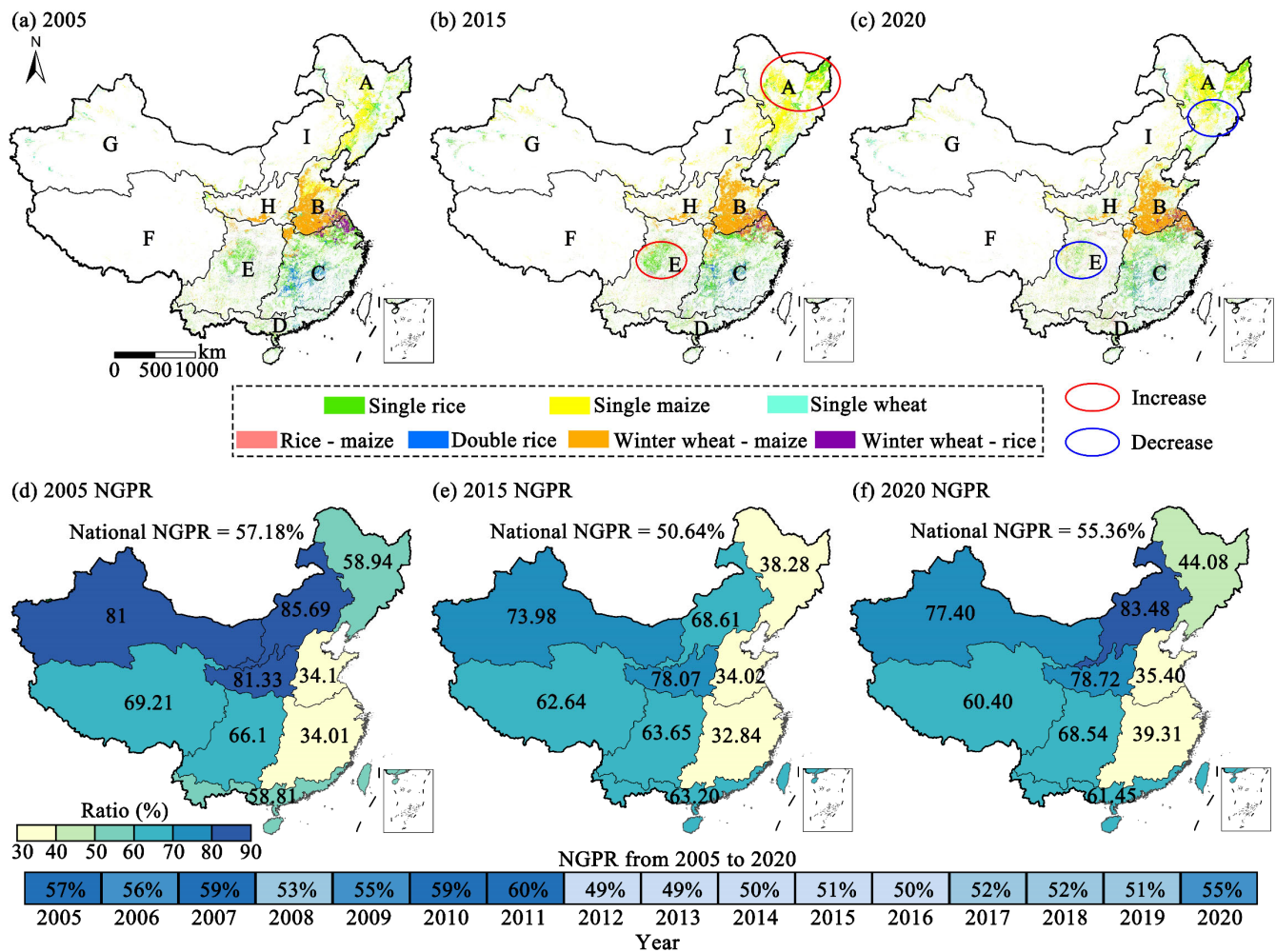


Figure 4. Maps of grain cropping patterns and NGPR in China in (a, d) 2005, (b, e) 2015 and (c, f) 2020.

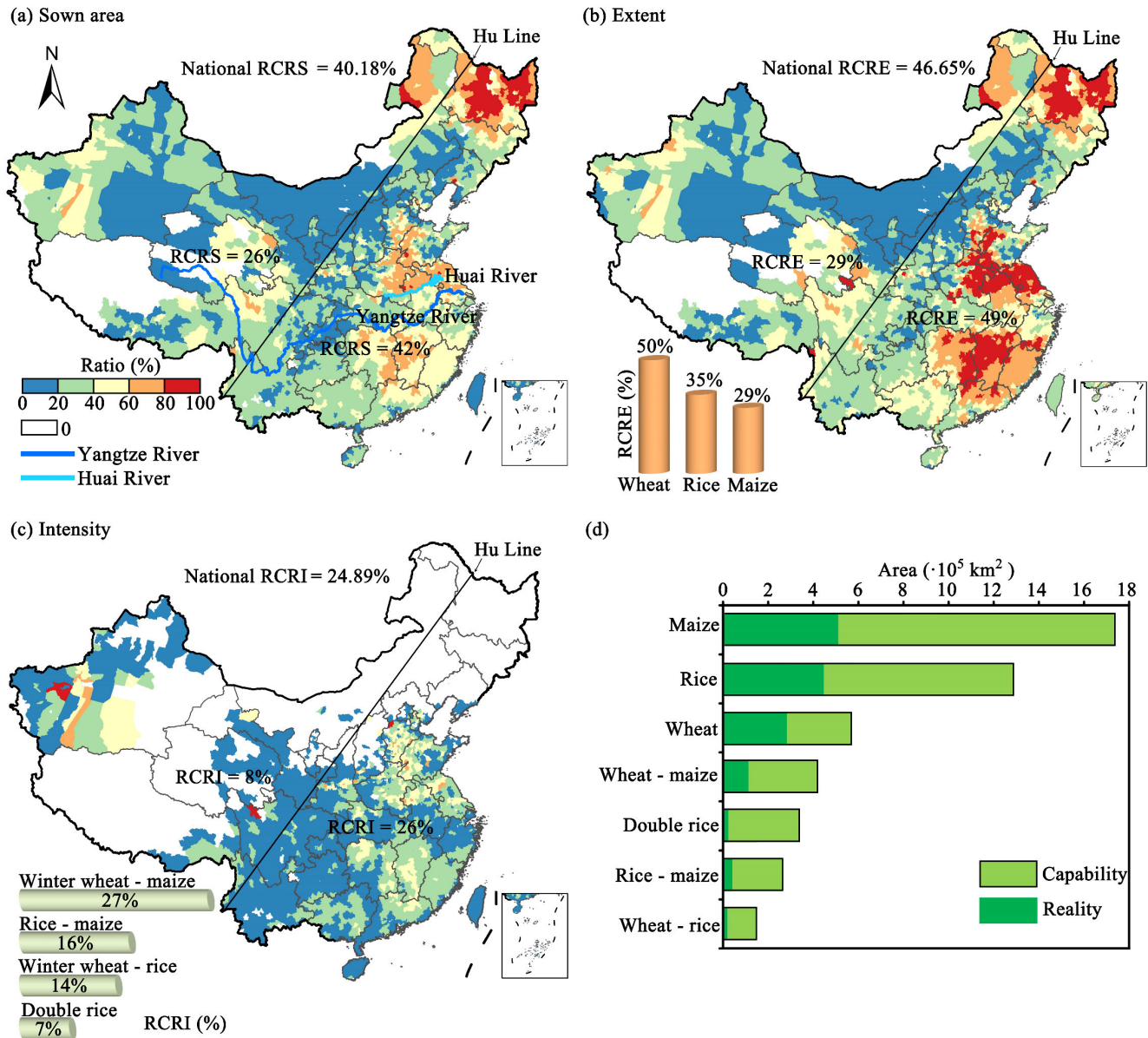
Notes: the red and blue ellipses represented increase or reduction in grain cultivation, respectively.

In this study, we quantified the NGP process across conterminous China by analyzing the grain production gaps between reality and capability. The national gap in total sown area for grain cultivation was found to be 1.86 million km², which amounted to 2.5 times the actual sown areas of grain crops in 2020 (RCRS=40.18%). This production gap was primarily due to reductions in cropping density and intensity of grain production. Specifically, less than one-half of suitable cropland was cultivated with grain crops in 2020 (RCRE=46.65%). Among these, around one-quarter of the cropland suitable for multiple grain cropping was utilized in 2020 (RCRI=24.89%) (Figure 5). Similar to NGPR, the NGP quantified by gaps also demonstrated an east-west trend in China. The grain production gap in the west of the Hu line was at least one-third higher than the national average. Specifically, the reality-to-capability ratio in the west of the Hu line was 25.78% (RCRS), 28.70% (RCRE), and 7.54% (RCRI), respectively.

These three major agricultural regions exhibited lower gaps between reality and capability of grain production (RCRS>45%) (Figure 5). Both Northeast China and the North China Plain achieved more than one-half of the capability in the total sown area for grain production in 2020. Notably, the North China Plain showed significantly higher RCRS

374 in double grain cropping (RCRI=40.01%). On the other hand, the Middle and Lower Yangtze River plain, in contrast to
375 what was indicated by NGPR, ranked first in NGP when estimated by the grain production gaps among these three
376 major agricultural regions, primarily due to the distinctive gaps in double grain production (RCRI=25.46%). The other
377 six agricultural regions illustrated many high levels of NGP compared to the national average. For instance, Southwest
378 China, the fourth-largest agricultural region, obtained less than one-third of its capability in grain production
379 (RCRS=30.23%). The most serious NGP region was found in Inner Mongolia and along the Great Wall (RCRS<20%)
380 (Figure 5). At the national scale, more than half of the cropland experienced NGP, with one-quarter having double-grain
381 capability.

382 The quantification of NGP based on grain production gaps revealed significant variations among different grain
383 types and cropping patterns. Maize exhibited the most serious extent of NGP, with only 29.14% of suitable areas cropped,
384 followed by rice with 34.88%, and wheat with 50.13% (Figure 5(b)). Among the double grain cropping patterns, the
385 cropping pattern of double rice experienced the most drastic changes, with less than one-tenth (7.24%) of its capability
386 implemented in 2020 in China. In contrast, the cropping pattern of rice-maize showed a much higher RCRS (17.65%)
387 compared to double rice (Figure 5). The double cropping pattern of winter wheat-maize emerged as the stabilizing factor
388 for grain production, with the lowest gaps between reality and capability. More than one-quarter (27.30%) of suitable
389 areas were cultivated with winter wheat-maize in 2020 (Figure 5). Conversely, the cropping pattern of winter wheat-
390 rice exhibited more significant changes compared to winter maize, with only half of the reality to capability ratio
391 (13.94%) of winter maize (Figure 5).

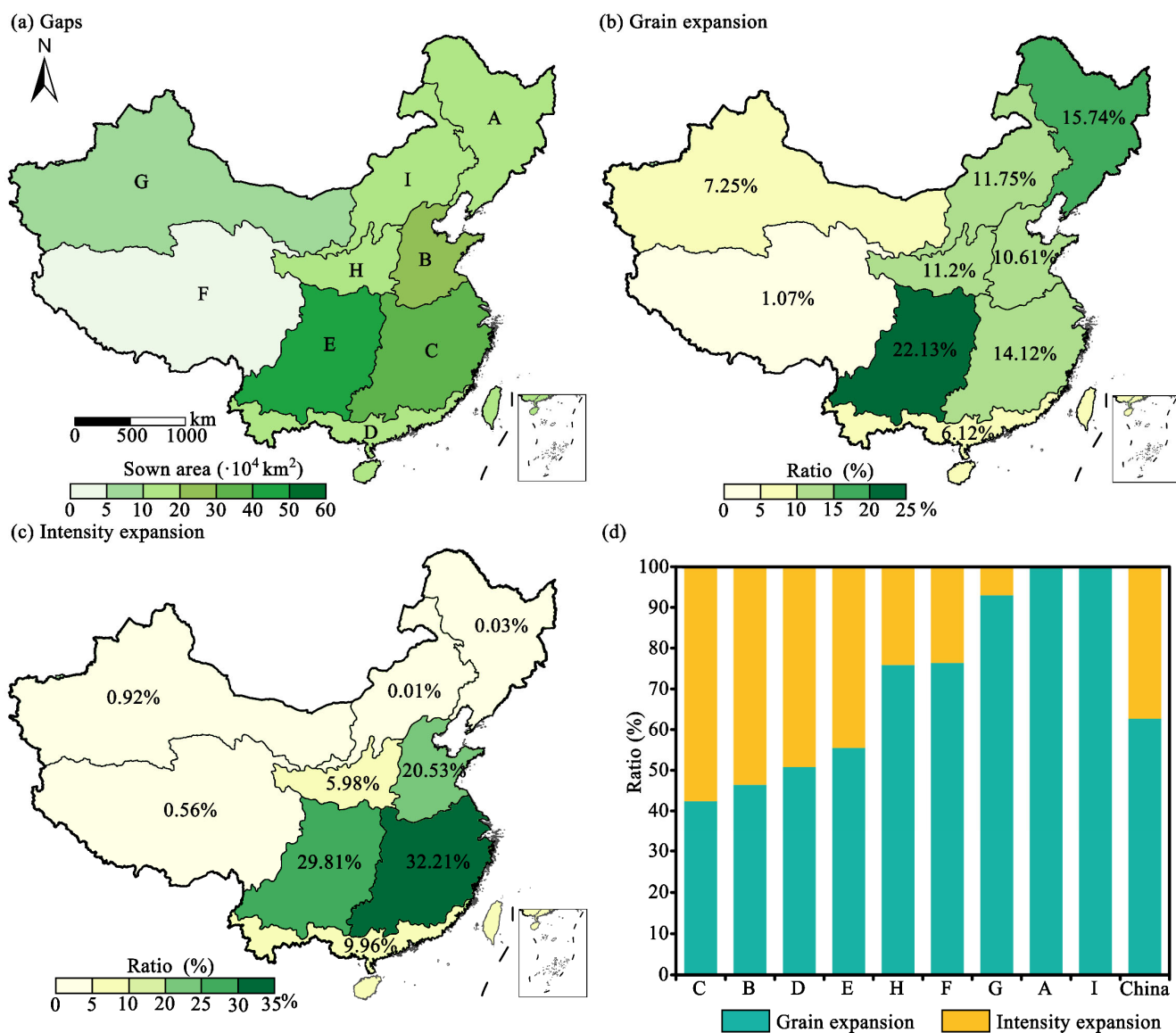


392

393 Figure 5. Maps of reality to capability ratio regarding (a) sown area (RCRS), (b) extent (RCRE), (c) intensity (RCRI) at the county level
394 and (d) the capability and reality of sown area of different grain crops and double grain cropping patterns.

395 At the national scale, China experienced a grain production gap of 1,838,262 km² in 2020. Among the regions,
396 Southwest China contributed to the largest share of the national grain gaps, accounting for 25.00%, followed by the
397 Middle and lower reaches of the Yangtze River Plain, the North China Plain, and Northeast China, which accounted for
398 20.87%, 14.32%, and 9.87% of the national grain production gap, respectively (Figure 6, Figure S2). To close the grain
399 production gaps, both grain expansion and grain cropping intensification play crucial roles. At the national level, grain
400 expansion and intensification accounted for around three-fifths (62.26%, 1151,773 km²) and two-fifths (37.34%,
401 686,489 km²) of the grain production gaps, respectively. The Huang-Huai-Hai region and the three southern regions (C,
402 D, E) are responsible for the majority of the total grain production gaps, with 67.75% of the gaps, and 92.51% of the
403 grain gaps by intensity can be filled by these regions, which are capable of double grain cropping (Figure 3). Among

404 these four regions (B-E), cropping intensification plays an equally significant role in filling the grain gaps. Roughly
 405 one-third of the national grain gaps resulting from cropping intensity can be filled by adopting double grain cropping
 406 practices, such as double rice, in the Middle and lower reaches of the Yangtze River plain (Figure 3, Figure 5). However,
 407 if grain expansion is not allowed based on the distribution map of grain crops in 2020, an additional 478,549 km² of
 408 grain sown areas (38.76% of the sown area in 2020) can be achieved through grain cropping intensification.



409
 410 Figure 6. Map of grain cultivation gaps across different agricultural regions and municipality: (a) total gaps of sown area, (b) gaps from
 411 grain expansion, (c) gaps for intensification, (d) the proportion of grain intensification to cultivation gaps.

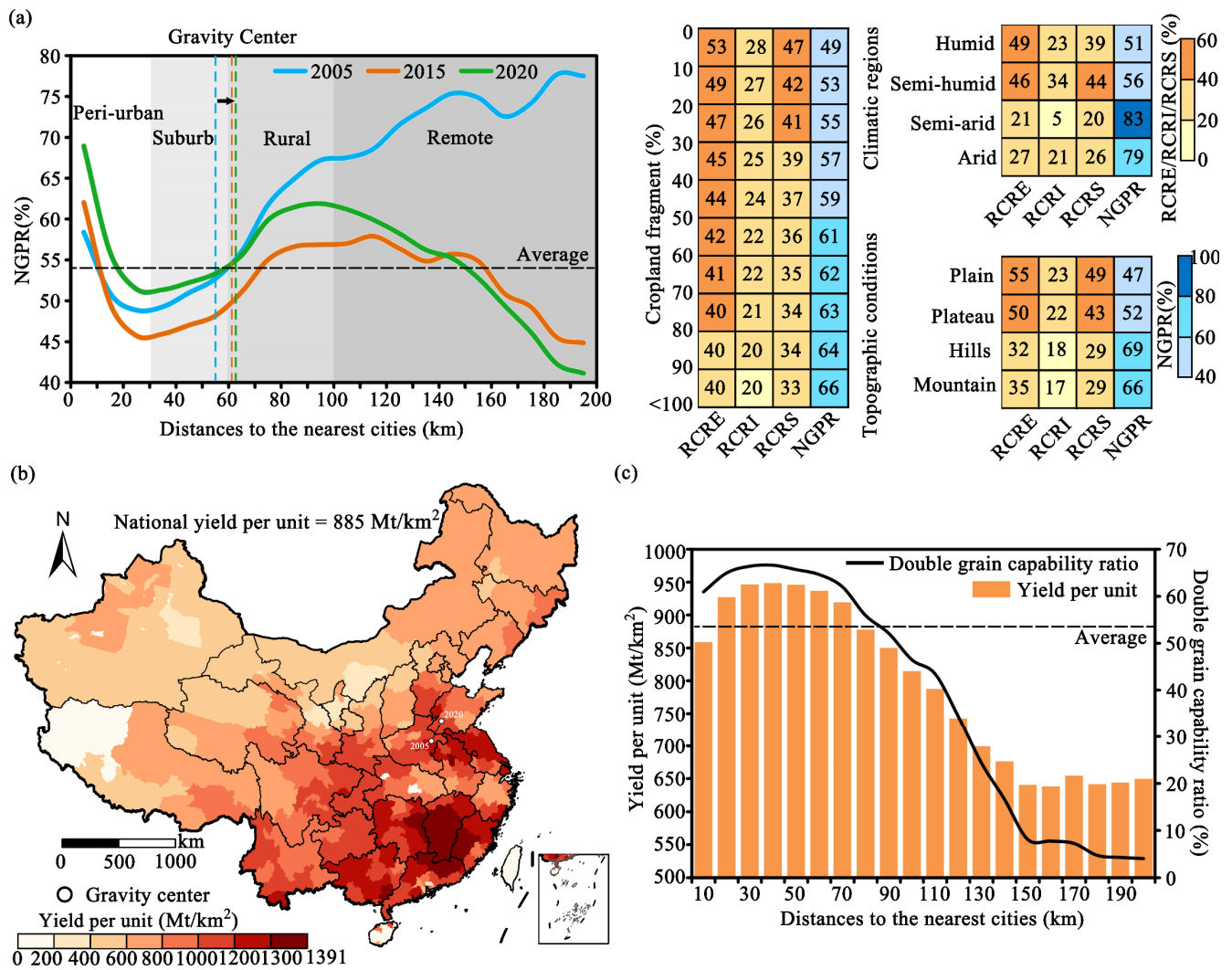
412 3.4 Unbalanced NGP Patterns shaped by urbanization, cropland fraction and topographic conditions

413 The extent of the NGP was found to be influenced by cropland fragmentation, topographic conditions, and climatic
 414 factors (Figure 7). As the degree of cropland fragmentation increased, the NGP process accelerated. Specifically, the

415 NGPR steadily enhanced from 48.95% to 66.35% as the cropland fragment increased from 10% to 90%. Moreover, the
416 NGP level was significantly lower in plain areas (NGPR=47%) or plateaus (NGPR=51%) compared to mountains and
417 hills (NGPR=66-69%) (Figure 7). Furthermore, the NGP level was notably lower in humid and semi-humid regions
418 compared to arid or semi-arid regions (NGPR=83%). Lower NGP levels were commonly observed in humid plains with
419 lower cropland fragmentation, which was consistently confirmed by these four NGP indicators (NGPR, RCRE, RCRI,
420 and RCRS) (Figure 7).

421 The NGP level showed a close association with the distances to the nearest cities (municipal cities and above). The
422 key NGP frontiers shifted from remote regions in the middle 2000s, to peri-urban and rural region in 2020 (Figure 7).
423 The NGPR in peri-urban regions continuously enhanced from 58% in 2005 to 62% in 2015 and 69% in 2020. Conversely,
424 the NGPR declined from 78% in 2005 to 41% in 2020 in very remote regions (≥ 200 km). The reductions of NGP in
425 remote regions was achieved mainly through grain expansion rather than intensification. This countryside shift in grain
426 production over the past two decades is evidenced from the movement of the gravity center of grain production, which
427 moved away from 56 km in 2005 to 63 km to city centers in 2020 (Figure 7). There were unbalanced NGP pattern
428 shaped by urbanization: accelerated NGP in peri-urban with higher grain yield capability in contrast to expansion of
429 grain production in remote regions with inferior capability (Figure 7).

430 The average grain yield capability is 885 Mt/km² at the national level. There is a distinctive north-south gradient
431 in the map of yield capability per unit (Figure 7). Southern China has a grain yield capability of above 1000 Mt/km².
432 However, most areas in northern China can only obtain less than 600 Mt/km² or even less than 200 Mt/km² due to its
433 limited capability for grain production by single cropping (Figure 7). The yield capability is closely related to
434 urbanization. The yield capability per unit is above 900 Mt/km² within distances of less than 70 km but reduces to less
435 than 700 Mt/km² in remote regions 130 km away from the nearest cities. The decay of yield capability with distances
436 to the nearest cities is accounted for by the capability ratio of double grain, which declined from above 60% within 70
437 km to less than 25% after 130 km (Figure 7).



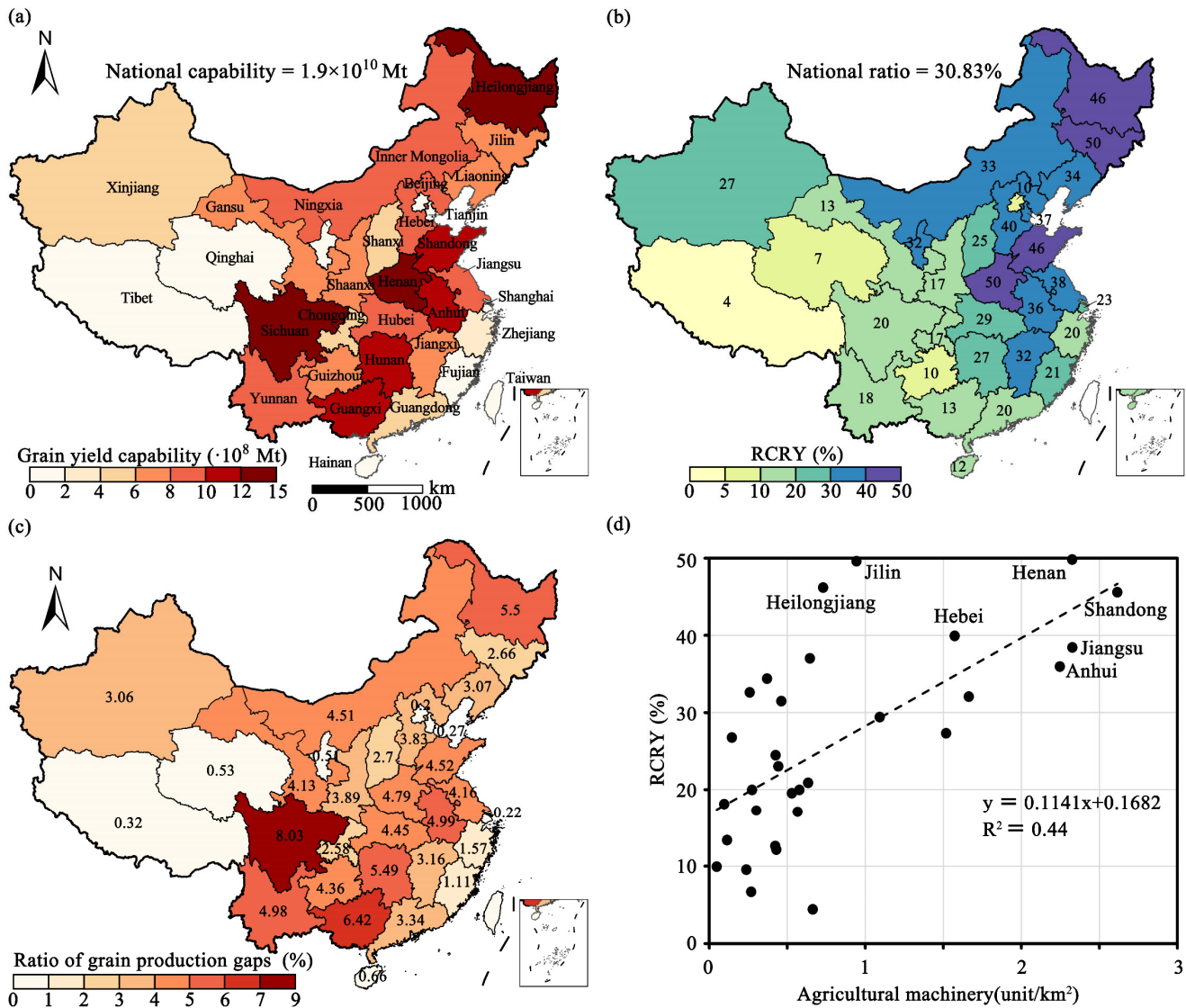
438

439 Figure 7. Changes in NGP indicators with (a) the distances to the nearest cities, cropland fragment, landform and climatic regions; (b)
 440 grain yield capability per unit at municipal level and movement of gravity of grain production from 2005 to 2020, and (c) decay of grain
 441 yield capability per unit and double grain capability ratio with different distances to urban.

442 3.5 Astonishing grain yield capability enabled by double grain cropping patterns

443 The potential yields for grain crops amounted to 1996.77 million tons, after filling the grain production gaps with
 444 the capable extent and intensity (Figure 8). This represents a substantial increase, with the potential for grain production
 445 to triple (3.29 times) compared to the reported grain production in 2020 (606.78 million tons). The RCRY at the national
 446 scale is only 30.39% (Figure 8). Henan and Jilin provinces ranked as the top two (50%), followed by Heilongjiang,
 447 Shandong, and Hebei provinces (40%). In contrast, less than one-fifth of the grain yield capability was actually achieved
 448 in any of the provinces in southwest and southern China (RCRY<20%). These regions are well quantified for
 449 intensification enabled by rice cropping patterns (double rice, rice-maize) (Figure 3). Notably, there were four
 450 provinces/directly-controlled municipalities with an RCRY lower than 10%, including Guizhou (10%), Beijing (10%),

451 Qinghai (7%), and Xizang (Tibet) (4%) provinces (Figure 8).



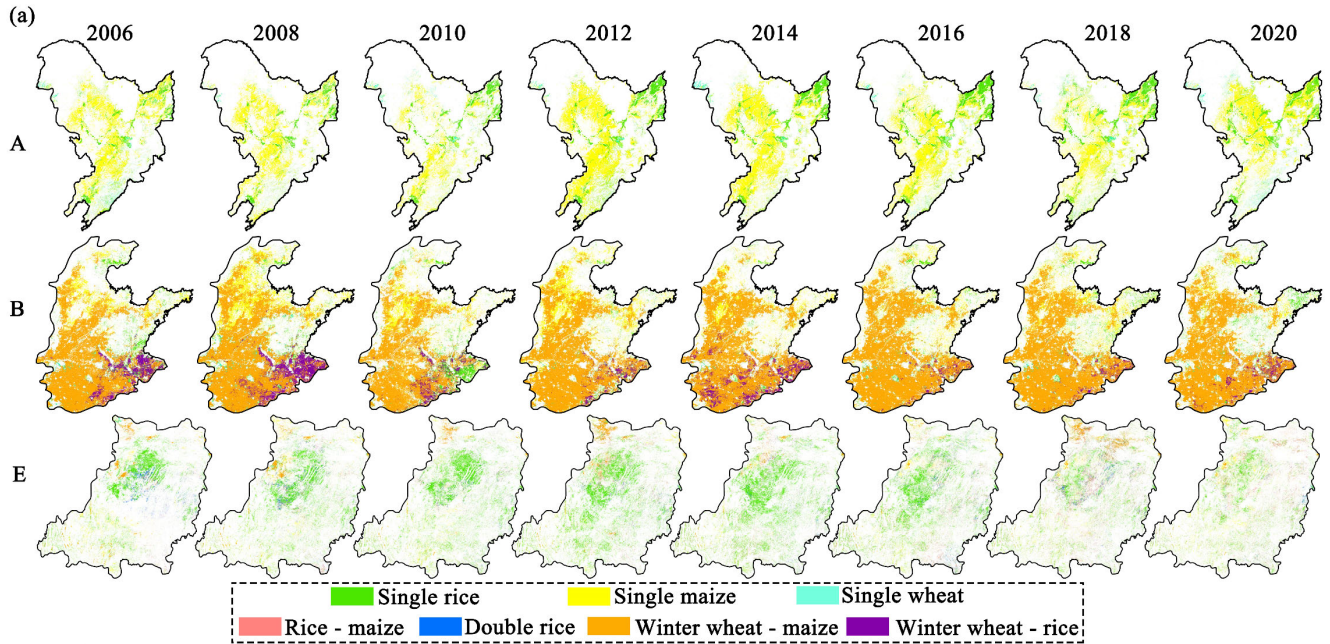
452

453 Figure 8. Maps of (a) total grain yield capability, (b) reality to capability ratio, (c) proportions of grain production gaps at provincial level,
454 and the relationship between RCRY and agricultural machinery at provincial level.

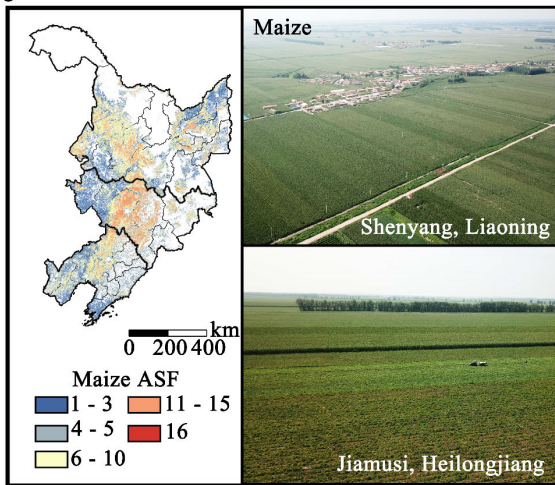
455 There will be an additional 1.4 billion ton of grain if the capability is fully implemented, two provinces in southwest
456 China (Sichuan and Guangxi) ranked the top two in filling these gaps of grain production (Figure 8). The grain
457 production gaps between reality and capability were primarily located southern China. Specifically, the production gap
458 in Southwest China could be filled by joint efforts of grain expansion and intensification (Figure 6), while that in the
459 middle and lower reaches of the Yangtze River plain could primarily be filled by intensification associated with rice
460 cultivation (double rice, winter wheat-rice) (Figure 3). However, achieving this increase poses challenges related to
461 smallholder farms and cultivation history, especially in areas uncropped by grain for an extended period. There was a
462 series of constraints related to cropland fraction, topographic conditions, the levels of agricultural machinery and
463 irrigation, lack of agricultural labors along with rapid urbanization (Figure 7, Figure 8, Figure S3).

464 Recovering grain production is challenging in Southwest China, which is characterized by mountainous and hilly
465 regions (Figure S3). Especially, over 85% of cropland in Southwest China has not been cultivated by grain crops for
466 over 8 years during 2005-2020 (Figure S3). Recovering double rice cropping in southern China also faces several
467 constraints. Firstly, the labor-intensive nature and low profitability of rice cultivation discourage its practice, especially
468 in peri-urban regions experiencing rapid economic development. Secondly, the susceptibility of early rice to climate
469 changes, coupled with its lower quality, diminishes the attractiveness of double rice cropping. Lastly, if cropland remains
470 uncultivated for several years, it may no longer be suitable for rice cultivation due to the stringent requirements of
471 cropland quality, including soil types and irrigation conditions, in addition to these aforementioned reasons (Figure 9).

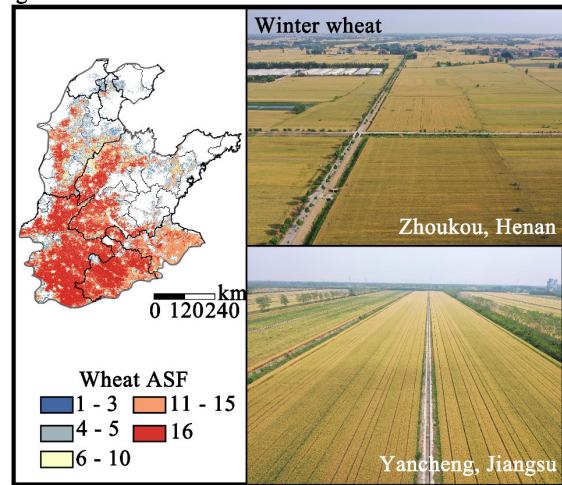
472 The investigations on historical grain cultivation using annual grain maps highlights the critical roles of the three
473 major agricultural regions (A-C) in producing staple grain crops in China during the past two decades (Figure 9). These
474 three regions accounted for over 80% of the frequently grain-cropped areas (APY >8 years) (Figure 2). Notably, two
475 major rice-productive provinces, namely Hunan and Jiangxi, in Region C, were responsible for four-fifths of the
476 regularly rice-cropped areas (ASF>16) in China. Additionally, Agricultural region B played a significant role in
477 contributing to 71% of the national wheat regularly cropped areas (ASF>10) and 84% of the national maize cropped
478 areas (APY>10), along with region A (Figure 9, Figure S3). This study further disclosed the significant role of winter
479 wheat in stabilizing grain production through double cropping rotated with maize or rice. The capability ratio of double
480 grain cropping was around 40% based on historical cropping pattern datasets, which was mainly accounted by winter
481 wheat maize and double rice (Figure 2, Figure 9). Winter wheat dominated the continuously grain-cultivated areas (6.63%
482 of cropland in China), while having a capability ratio only one-third of maize.



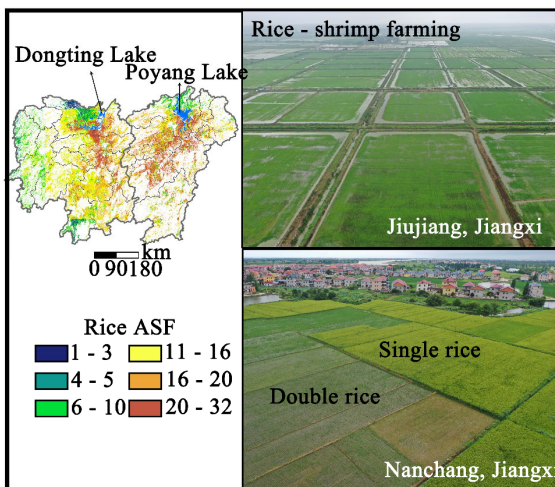
(b) Region A



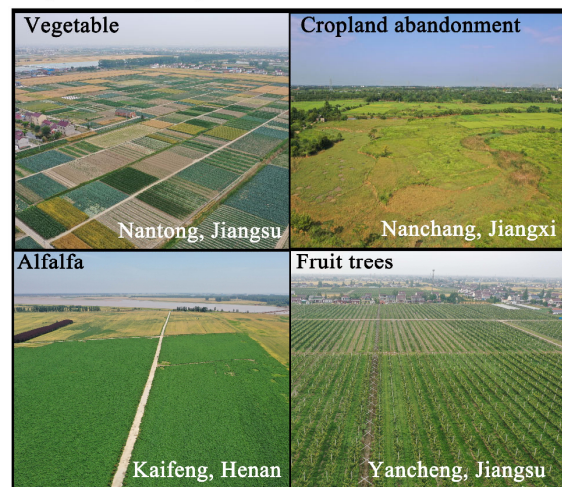
(c) Region B



(d) Hunan and Jiangxi provinces in region C



(e) Field photos of NGP process



484 Figure 9. Maps of (a) historical grain cropping patterns in three major agricultural regions (A, B, C), (b, c, d) some snapshots of grain
485 cultivation history in key agricultural regions and (e) some field photos of NGP processes.

486 **4. Discussion**

487 **4.1. Lessons learned from historical annual grain cultivation**

488 There have been ongoing debates regarding the potential for further increasing global crop yields, as evidenced by
489 related studies (Grassini et al., 2013; Liu et al., 2021; Mueller et al., 2012; Ray et al., 2012; Yuan et al., 2021). While
490 many studies have primarily focused on yield gaps concerning the differences between actual yield and potential yield
491 per unit in single cropping (Van Ittersum et al., 2013), it is important to recognize that crop production can also be
492 boosted by optimizing the spatiotemporal arrangement of individual crops within cropping systems (Guilpart et al.,
493 2017; Zhang et al., 2021). An effective example of increasing grain production involves transitioning from single rice
494 cultivation to a double cropping system of winter wheat and paddy rice rotation. Rice intensification has emerged as a
495 promising approach for enhancing rice production in Asia (Jain et al., 2023), particularly in regions where multiple
496 cropping and cropping intensity gaps exist (Guilpart et al., 2017) To achieve increased food production, a prudent
497 strategy involves agricultural intensification while considering the environmental implications of agricultural expansion
498 (Garnett et al., 2013; Upcott et al., 2023). In this context, agricultural intensification becomes a pivotal step towards
499 sustainable agriculture, enabling us to meet the growing demands for food production (Rudel et al., 2009).

500 Yield gap assessments for sustainable intensification require site-specific priority cropping systems with
501 descriptions of crop types, cropping intensity, and other related cropping practices (Cassman and Grassini, 2020).
502 Cropping intensity gaps are conceptualized as the differences between the potential temperature or precipitation-limited
503 cropping intensity and the actual cropping intensity implemented by farmers (Wu et al., 2018). However, the potential
504 for enhancing cropping intensity is probably much smaller than previously estimated since it might be further limited
505 by other factors such as soil conditions and the specific requirements of different crops (Waha et al., 2020). Updated
506 annual cropping intensity maps are essential for promoting sustainable agricultural intensification and establishing
507 reasonable agricultural structures (Waha et al., 2020). The ability to map and characterize cropping patterns and rotations
508 enables targeted mitigation measures for addressing potential environmental risks (Upcott et al., 2023). Understanding
509 how grain production changes across space and time is crucial for realizing sustainable food systems (Alemu, 2022;
510 Ayanlade and Radeny, 2020; Gumma et al., 2014). However, there have been no systematic national-scale investigations
511 on grain or no-grain production with spatiotemporal-specific information on crop types, mainly due to a lack of data
512 sources, which hinders our understanding of implementing target-specific policies (Liang et al., 2023).

513 Our study framework addresses these issues based on long-term datasets of historical grain crop cultivation
514 practices with detailed information on grain crop types, rotation sequences, and cropping intensity in China. China has
515 the largest multiple cropping areas dominated by smallholder farms in the world (Wu et al., 2018). Cropping intensity
516 experienced a remarkable increase during the late 20th century but a slight decline during the early 21st century (Qiu et
517 al., 2017a). Stable agricultural systems are fundamental for the reliability of food security (Egli et al., 2020). Ensuring
518 grain supply stability in China should be achieved by sustaining the grain yield capability on existing cultivated land

519 (Zhong et al., 2022). Food demand in China is projected to continue growing in the coming decades (Zhao et al., 2021).
520 However, knowledge of the capable and actual grain cropping intensity in China remains very limited. This study found
521 that the proportions of cropland quantified for multiple cropping were 80% when considering temperature limitations
522 using the FAO/IIASA Agro-ecological Zones (AEZ) model (Fischer et al., 2002), but declined to 66% based on historical
523 cultivation datasets of multiple cropping. The production systems of staple grain crops in China still have a large
524 potential for improvements, which should be implemented through crop-specific and region-targeted strategies (Zhuang
525 et al., 2022).

526 Paddy rice, maize, and wheat are the most important staple grain crops in the world (Gumma et al., 2014). These
527 three staple crops account for 97% of total cereal areas in China and roughly 80% of global cereal areas (FAO, 2019).
528 Rice, in particular, feeds nearly half of the global population, making increasing rice production essential for ensuring
529 food security (Yuan et al., 2021). Wheat serves as the main staple food for over one-third of the world's population, with
530 China consuming roughly one-fifth of global wheat production (Grote et al., 2021). Wholegrain cereals like wheat and
531 maize offer significant health benefits (Poole et al., 2021). For the future, cropping systems need to produce more grain
532 while minimizing negative environmental impacts (Alemu, 2022; Ambikapathi et al., 2022). Maize has played a diverse
533 and increasing role in global agricultural systems, serving as animal feed, an essential food source, and for various non-
534 food uses (Erenstein et al., 2022). Due to its multiple functions, maize is projected to be the most widely grown and
535 traded crop in the coming decades (Erenstein et al., 2022). Collectively, the world's staple grain crops, namely rice,
536 wheat, and maize, provide more than 40% of the world's calories (Jain et al., 2023).

537 There is growing concern about the future sustainability of rice cropping systems (Yuan et al., 2021). Over the past
538 two decades, rice cropping systems in China have undergone significant changes in distribution and cropping intensity.
539 Previous studies have highlighted opposing trends in different regions. While there was a significant decline in paddy
540 rice cultivation in the Yangtze Plain of southern China, there was aggressive expansion in Northeast China (Luo et al.,
541 2020; Zhang et al., 2020). The reduction in double rice cultivation has led to a lessening of rice cropping intensity in
542 southern China (Lai et al., 2020). Some studies have suggested that food sufficiency in China could be achieved through
543 optimized management of maize production (Liu et al., 2021). The past two decades have witnessed diverse spatial and
544 temporal patterns of grain production, with particular attention to the productive cropland in warm and humid regions,
545 such as southern China (Kong, 2014). To ensure sustainable grain production, various strategies are recommended. In
546 southern China, rice production should be intensified to maximize yields. In the North China Plain, double cropping of
547 winter wheat should be stabilized to promote reliable grain production. Additionally, maize production in northern China
548 should be reasonably maintained (Figure 8). Targeted strategies to improve multiple cropping systems are particularly
549 crucial in the North China Plain and Southeast China, with a specific focus on double cropping of winter wheat-rice
550 near the Huaihe River and the Yangtze River (Figure 5). These strategies aim to enhance grain production while
551 considering regional variations and environmental impacts.

552 **4.2. Complex NGP patterns in smallholder agriculture and targeted regions**

553 China, as the world's largest smallholder agriculture, has undergone significant changes in grain cropping systems

554 in recent decades (Cui and Shoemaker, 2018; Huang and Rozelle, 2018). Understanding these change processes in
555 agricultural systems is crucial for predicting future development trajectories and policy implications (Van Vliet et al.,
556 2015). However, there is a lack of knowledge regarding when, where, and how grain production and NGP have evolved
557 in China in recent years. Existing studies on China's agricultural productivity have predominantly focused on quantities
558 of cropland, total sown areas, and yields of grain crops, using provincial or county-level statistical data (Chen et al.,
559 2023; Ge et al., 2018; Lai et al., 2020; Leng et al., 2021; Zhong et al., 2022). The commonly applied non-grain ratio,
560 based on agricultural census data, may introduce biases and prejudices in multiple-cropping regions (Cheng et al., 2022).
561 Only a few recent studies have investigated the non-grain phenomenon based on limited spatiotemporal data of specific
562 crop types, regions, or years (Liang et al., 2023; Yang and Zhang, 2021; Zhang et al., 2023). The accessibility of data
563 associated with food production is of utmost importance (Fróna et al., 2019). However, studies exploring the NGP
564 process using long time series data at the regional or national scale are rarely seen (Zhang et al., 2023). More
565 comprehensive and detailed spatiotemporal data are needed to better understand the dynamics of grain production and
566 NGP in China.

567 This study presents systematic investigations on grain cropping history based on annual spatiotemporal cropping
568 pattern datasets during the past two decades. Surprisingly, the NGP was found to be far more extensive than initially
569 expected, with an NGP rate of over 50%, consistent with related studies using 1 km geospatial datasets (Zhu et al.,
570 2022). The NGP rate in China, as estimated from grain-cultivated maps, was much higher than previous results based
571 on statistical data (NGPR=27%) (Kong, 2014). Notably, the NGP rates were significantly higher in mountainous and
572 hilly regions with fragmented cropland, which aligns with related studies based on statistical data (Guo et al., 2023).
573 Furthermore, this study revealed reductions in grain production under unfavourable conditions, attributed to both a
574 lessened grain cultivated extent and cropping intensity.

575 The major drivers of crop changes include urbanization, changes in cropping intensity, and cropland abandonment
576 (Luo et al., 2020; Qiu et al., 2020). Biophysical conditions play a crucial role in shaping the NGP process (Zhang et al.,
577 2023), while financial factors also directly contribute to about half of the crop changes (Mehdi et al., 2018). During the
578 period 2003-2015, grain sown area and output steadily increased, even though the percentage of profits from crop
579 cultivation in total household income dramatically declined (Lai et al., 2020). Notably, this study found that the steady
580 increase in grain production during 2005-2015 primarily occurred in rural regions (Figure 7). In remote regions,
581 intensified grain production can be attributed to improved agricultural management, such as increased utilization of
582 agricultural machinery and strengthened irrigation practices. Grain crop production appears to be more significantly
583 influenced by socio-economic conditions, including agricultural subsidies and investments in machinery, compared to
584 non-grain crops (Qi et al., 2023). The continuous increase in grain production in remote regions partly compensates for
585 the intensified NGP in peri-urban regions.

586 This study revealed a notable northeast shift of grain production at a rate of 15 km per year, continuously moving
587 into drier and colder regions in China since the 1980s (Figure S2). This shift was primarily driven by significant
588 reductions in rice cultivation in southern China and the expansion of maize production in Northeast China (Figure 4).
589 The extent of the northeastward movements of grain production was greater than previously estimated based on total

590 cropland (Wang et al., 2018; Zhong et al., 2022). Over the past few decades, China's grain production history has
591 undergone significant changes (Lai et al., 2020). Maize harvesting areas witnessed substantial expansion in major maize
592 cultivation regions across China from 2005 to 2015 (Luo et al., 2020; Qiu et al., 2018). However, such agricultural
593 expansion and intensification in northern regions pose critical challenges concerning ecosystem services and local
594 livelihoods (Meyfroidt, 2021). Crop yield in Northeast China and the North China Plain is more vulnerable to reductions
595 caused by droughts (Shi et al., 2021). The northeastward shift of grain production presents increasing challenges for
596 sustainable grain production in the future, including the transportation of food over longer distances from northern
597 China to the densely populated southern regions and a rise in water consumption associated with grain production (Yu
598 et al., 2023).

599 **4.3. Challenges for sustaining grain production in China and possible solutions**

600 The Chinese government has taken significant steps to ensure food security through a series of policy measures
601 (Bryan et al., 2018; Huang and Yang, 2017). Notably, China's agricultural policy underwent a transformation from taxing
602 to subsidizing and protecting agriculture starting from 2006 (Huang and Yang, 2017). While agricultural intensification
603 remains a priority, maintaining a stable cultivation area for grain crops is equally vital for sustaining overall grain
604 production. However, in recent years, several factors have led to the widespread conversion of cropland to non-grain
605 production due to accelerated urbanization, continuous loss of labor, and an aging rural population (Chai et al., 2019;
606 Su et al., 2020). The allure of grain production has diminished for farmers, given its lower profits and high labor
607 demands (Yuan et al., 2021). Furthermore, cropland fallow and abandonment have exceeded expectations, particularly
608 in mountainous or hilly regions and peri-urban areas (Qiu et al., 2022b). Interestingly, the NGP areas have witnessed
609 remarkable intensification with clustered spatial patterns over the past two decades, and this trend is projected to
610 continue (Liang et al.; Zhao et al., 2017). In response to these challenges, the General Office of the State Council of
611 China issued the "Opinions on Preventing Non-Grain Activities and Stabilizing Grain Production of Cultivated Land"
612 in 2020 and implemented a series of stringent measures to stabilize grain production (Cheng et al., 2022; Zhang et al.,
613 2023). These measures aim to address the issue of non-grain activities on cultivated land and bolster efforts to ensure a
614 consistent and secure grain supply in the country.

615 This study indicated that grain production could be tripled by optimizing the production capability, with roughly
616 two-fifths achieved by filling the cropping intensity gaps at a national scale. Improvements of crop production varied
617 by cropping systems, which were further limited by biophysical constraints (Bryan et al., 2018). As urbanization
618 continues and the agricultural population ages, the trend of de-intensified rice cropping patterns or non-grain production
619 is expected to persist (Kriebs, 2023). To sustain these traditionally rice cropping regions effectively and ensure a robust
620 rice supply, specific measures should be considered, such as directly-seed rice plantation, rice ratooning, and rice-fishery
621 farming in well-irrigated croplands. Moreover, a strategic shift towards non-rice crops, such as sweet potatoes and
622 soybeans, could also prove beneficial. These initiatives are vital for maintaining a significant rice production capacity
623 in the region.

624 The findings of this study carry significant policy implications for ensuring sustainable grain production in China.

625 Several key measures should be taken into consideration:

626 Firstly, continuous support must be extended to the long-term active agricultural systems in China, particularly in
627 key grain production regions (Figure 8). Sustaining grain production capability in these actively cropped fields forms
628 the bedrock of food security. Hence, prioritizing the support of productive and sustainable grain production systems in
629 these critical agricultural regions is imperative.

630 Secondly, targeted surveillance efforts should focus on maintaining and intensifying specific grain cropping
631 patterns in these key agricultural regions. For instance, stabilizing grain production can be achieved by promoting
632 double cropping of winter wheat and maize or rice. However, the lack of large-scale updated high-quality data poses a
633 major challenge to better understanding agricultural and environmental systems and their interactions (Ortiz et al., 2021).
634 To design effective strategies towards sustainable intensification, updated crop datasets with finer resolutions are
635 essential.

636 Additionally, comprehensive planning and solutions should be devised to ensure balanced and sustainable
637 development, taking into account regional disparities and the urban-rural discrepancy. Modernizing agricultural
638 infrastructure, creating high-quality farmland, and increasing agricultural mechanization levels in mountainous and hilly
639 regions are crucial steps. Encouraging farmer participation and implementing improved agricultural cropping practices,
640 such as water-saving irrigation techniques and crops with lower water or heat requirements, will help address the
641 challenges of grain production in China (Cui et al., 2018).

642 Moreover, to cope with the diminishing interest of younger generations in agriculture amid ongoing urbanization
643 processes (Liao et al., 2022), it is of critical importance to rekindle public engagement in agricultural science. Raising
644 awareness and fostering renewed interest in agriculture among the youth will contribute to the sustainability and growth
645 of the agricultural sector.

646 **4.4. Uncertainties and future works**

647 There are some limitations and uncertainties in this study. First, the widely reported mixed-pixel problem of
648 MODIS images may introduce uncertainties in fragmented cropland areas in mountain and hilly regions in southern
649 China, as we considered only one major cropping pattern for each pixel. Nevertheless, the use of dense time series such
650 as the MODIS datasets enabled long-term investigations on cropland dynamics across large regions (Estel et al., 2016).
651 The 500m MODIS-derived cropping patterns datasets (ChinaCP) demonstrated good accuracy when evaluated against
652 reference sites and reported agricultural census data (Qiu et al., 2022a).

653 Second, the reliability of the cropland map is essential for the accuracy of derived cropping pattern datasets (Zhang
654 et al., 2021). While the 30m GlobeLand30 data achieved good performances (Chen et al., 2014), there may still be some
655 misclassifications. Additionally, the spatiotemporal processes of grain production were based on the assumption that
656 cropland distribution remained relatively stable during the past two decades, but there is evidence of a continuous
657 northward shift of cropland in recent decades (Zhong et al., 2022). Consequently, the estimates of the Northeastward
658 shift of grain production in this study are likely conservative.

659 Third, this study did not include cropping pattern datasets during the early 2020s (2021-2023) and other minor

660 grain crops (i.e. millet, sorghum). Recent cropland protection policies and their influences on grain production were not
661 fully captured in this research.

662 Finally, this study focused on the cultivation gaps related to the grain extent and cropping intensity and did not
663 consider combined considerations of yield gaps and potential incoming constraints under global changes. Sustainable
664 intensification of grain production is a complex process that involves optimal cropping practices, corresponding local
665 biophysical conditions, and the impacts of increasing climate changes, such as extreme heat and frequent drought
666 (Lobell et al., 2020; Pretty et al., 2018). Sustainable rice production, for instance, needs to address issues related to
667 greenhouse gas emissions and high labor requirements (Yuan et al., 2021). Furthermore, maps of cropping intensity and
668 crop types are critically important, and there are still significant data gaps in agricultural monitoring needs (Fritz et al.,
669 2019). Utilizing spatiotemporal continuous grain production datasets with finer spatial resolutions and good quality
670 would be beneficial in such future research topics.

671 To address these limitations, future works could be conducted to generate updated cropping patterns datasets with
672 descriptions on multiple grain crops using remote sensing images with finer resolutions (i. e. Sentinel-1 SAR, Sentinel-
673 2 MSI and GaoFen time series images) (Cai et al., 2023). Minor crops could play an important role in stabilizing and
674 improving food production systems (Renard and Tilman, 2019; Rusinamhodzi et al., 2012). Further investigations on a
675 full coverage of different grain crops (cereal crops, potato crops and bean crops) could be promoted to address the
676 collective goals of food security, environmental consequences, and rural revitalization. There are various factors such
677 as labor forces, economic development, and advancements in agricultural techniques, which play pivotal roles in
678 shaping and constraining grain crop cultivation (Bentley et al., 2022). Lesson can be drawn from systematically
679 investigating the drivers and implications of spatiotemporal changes agricultural production systems (Alemu, 2022).

680 **5. Conclusions**

681 The rapid expansion of Non-Grain Production (NGP) poses a growing concern for global food security, yet limited
682 knowledge of its extent is attributed to a lack of geospatial data. This study addresses this gap by investigating the
683 spatiotemporal patterns of grain production in China over the past two decades (2005-2020), with a focus on grain crop
684 types and cropping patterns. Through the analysis of spatiotemporal continuous datasets, we quantify the NGP process
685 across China, examining crop types, cropping intensity, and patterns. Our main measures, the NGP Rate (NGPR) in
686 cropland, and the reality to capability ratio of grain production, provide valuable insights into historical grain cropping
687 extent and cropping intensity. Although there was a declining trend in NGPR from 2005 to 2015, it has experienced
688 rapid growth in recent years, reaching 55.48% in 2020. We find that 96% of cropland is capable of grain production,
689 with two-fifths of it suitable for double grain cropping. However, one-third of cropland had only been used for grain
690 cultivation for 1-3 years, primarily with single maize or rice crops. The low Rate of Cropland under Regular Grain
691 Production (RCRS) in 2020 (40.18%) is attributed to reduced extent (RCRE=46.65%) and astonishingly low intensity
692 (RCRE=24.89%). Closing these gaps could potentially triple grain production in China.

693 This study highlights diverse grain production processes among agricultural regions and cropping patterns. Maize,
694 rice, and wheat have been cultivated in 76.88%, 57.05%, and 25.18% of national cropland, respectively. Winter wheat

695 emerges as a crucial element in stabilizing grain production, accounting for 7/8 of continuously grain-cultivated areas
696 (6.63% of China's cropland). However, double rice capability has been only minimally implemented in China in 2020.
697 The unbalanced NGP patterns are primarily driven by urbanization, resulting in continuous reductions in peri-urban
698 regions and accelerated expansion in remote rural areas. The findings from this study offer valuable insights into the
699 spatiotemporal patterns of NGP and advocate for geographically targeted surveillance to support sustainable grain
700 production, considering grain crop types and cropping intensity. In conclusion, this study significantly contributes to
701 our understanding of NGP dynamics in China and provides essential information for policymakers and stakeholders to
702 implement targeted strategies for ensuring food security and promoting sustainable agricultural practices. As we move
703 forward, continuous efforts to collect and analyze updated geospatial data are crucial for improving our knowledge and
704 advancing sustainable grain production practices.

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