

1 **Abstract**

2 Asphalt concrete (AC) shows significant tension-compression (TC) asymmetry, i.e., different
3 properties in tension and compression (T&C). This asymmetry may profoundly affect AC's
4 performance and deterioration in the field, but limited studies have been performed to quantify this
5 behavior. This study aims to quantitatively characterize the global and local mechanical responses of AC
6 in T&C through numerical modeling. To this end, three AC mixtures: the gap-graded SMA10, dense-
7 graded AC20, and open-graded mixtures PA13, were evaluated experimentally and numerically.
8 Digital image processing was used to generate image-based AC models with contact regions (CR), and
9 dynamic simulations were conducted using the steady-state dynamics (SSD) approach. The results
10 indicated that the measured and predicted master curves for AC in T&C qualitatively agree and
11 demonstrate significant asymmetry, with higher moduli but lower phase angles in compression
12 compared to tension. Among the mixtures, PA13 exhibited the most pronounced asymmetry, followed
13 by SMA10 and AC20. Statistical analyses of local stress and strain found that the stress and strain in
14 different phases show significant variations, with more pronounced disparities observed at lower
15 frequencies. Notably, at 10^{-6} Hz for PA13 in compression, the stress within the aggregate phase
16 exceeded that of the matrix phase by over 250 times, while the strain within the matrix phase surpassed
17 the aggregate phase by more than 600 times. To enhance pavement durability, it is recommended to
18 consider AC's TC asymmetry in pavement design.

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21 **Keywords:** Tension-compression asymmetry, Numerical modeling, Internal mechanical properties,

22 Aggregate contact, Finite element analysis

1 **1. Introduction**

2 Asphalt concrete (AC) exhibits significant tension-compression (TC) asymmetry, a phenomenon
3 widely observed in previous studies (Katicha et al., 2010; Khanal and Mamlouk, 1995; Monismith and
4 Secor, 1962; Nguyen et al., 2016; Pister and Westmann, 1962). TC asymmetry refers to the load-
5 direction dependency of AC's response. In compression, AC generally displays much higher moduli
6 compared to tension (Dai et al., 2021; Keshavarzi and Kim, 2016; Lv et al., 2018; Lytton et al., 2018;
7 Nguyen et al., 2016). Previous research has shown that the compressive moduli of AC are 1.5 to 2
8 times higher than the tensile moduli (Zhang et al., 2012), while the compressive strength of AC has
9 been reported to be ten times higher than its tensile strength (Hargett and Johnson, 1961). It was also
10 found that AC's TC asymmetry is temperature- and time-dependent (Khanal and Mamlouk, 1995;
11 Lytton et al., 2018), with higher temperatures or lower loading rates making this phenomenon more
12 remarkable (Cheng et al., 2021). Considering the heterogeneity of AC (Song et al., 2023, 2021), many
13 studies attributed the TC asymmetry behavior of AC to the packing structure of aggregates (Levenberg,
14 2015; Lytton et al., 1993). The phenomenological explanation is that AC's aggregate structure is pulled
15 apart in tension whereas pushed closer in compression, leading to more contribution from aggregates
16 to the AC mechanical response in compression (Cheng et al., 2021; Jiang et al., 2021; Levenberg, 2015;
17 Lytton et al., 1993). However, this speculation does not fully elucidate the underlying mechanisms. A
18 recent study demonstrated that the aggregate contact effect is the primary factor behind AC's TC
19 asymmetry (Tan et al., 2023). The results indicated that aggregate contacts lead to microscale TC
20 asymmetry in the contact region (CR), which further manifests as macroscale asymmetric performance
21 of AC (Tan et al., 2023). The modeling method proposed in this study offers a feasible approach to
22 characterize the internal mechanical properties underlying this TC asymmetric behavior.

23 With the advancement of computational methods, it has become feasible to simulate the internal
24 mechanical behavior of AC using micromechanical models (Cai et al., 2020; Cao et al., 2017; Du et
25 al., 2021; Jiang et al., 2022; Liu et al., 2018). In numerical micromechanical modeling, AC is typically
26 treated as a composite consisting of three phases: aggregate, matrix, and air void (Cao et al., 2019;
27 Song et al., 2023; Sun et al., 2021). Digital image processing (DIP) techniques are commonly

1 employed to generate realistic microstructures of AC (Liu et al., 2018; Onifade et al., 2016; Tan et al.,
2 2022). Due to their efficiency, 2D microstructural models of AC are widely utilized (Cai et al., 2023;
3 Kollmann et al., 2019; Ling et al., 2017). However, in recent years, more complex 3D micromechanical
4 models have been developed (Jin et al., 2021; Liu et al., 2017; Lu et al., 2019). Although these 3D
5 models come with increased computational costs, they offer improved realism and can significantly
6 enhance modeling accuracy. By employing these micromechanical models, it becomes possible to
7 predict the internal mechanical response of AC under external loads, such as dynamic and traffic loads
8 (Gong et al., 2023; Sun et al., 2022; Wollny et al., 2020). However, a major limitation of existing
9 micromechanical models for AC is the lack of consideration for aggregate contact effects, which can
10 greatly compromise prediction accuracy. Recent research pointed out that aggregate contacts also
11 significantly affect AC's stability and rutting resistance (Jiang et al., 2017; Li et al., 2020; Ling and
12 Bahia, 2018). Therefore, to achieve accurate predictions of AC's internal response, it is crucial to
13 incorporate aggregate contact interactions into the modeling process.

14 In this study, the method proposed in (Tan et al., 2023, 2022) was adopted to introduce aggregate
15 contacts into the micromechanical modeling of AC. One additional phase, CR, was incorporated into
16 the developed microstructural model. CR, i.e., contact region or contact zone, represents the area of
17 contact between neighboring aggregates (Tan et al., 2022). The surface distance threshold (SDT),
18 which defines the maximum separation distance of aggregates considered to be in contact (Coenen et
19 al., 2012; Kutay et al., 2010), was used to quantify the CR in AC. Therefore, the developed
20 micromechanical model of AC consisted of four phases: aggregate, matrix, air void, and CR. Previous
21 studies have validated that introducing the CR into the numerical model can significantly improve the
22 prediction accuracy of AC in tension and compression (Tan et al., 2023, 2022). This study aims to
23 evaluate and quantify the local and global mechanical response of AC in T&C. Due to the significant
24 difference in the internal structures for different types of AC mixtures, the internal mechanical response
25 of AC may vary dramatically. Therefore, three AC mixtures, including a gap-graded mixture (SMA10),
26 a dense-graded mixture (AC20), and an open-graded mixture (PA13), are explored. In the modeling
27 work, the micromechanical model of CR proposed in the previous study was adopted to predict the

1 viscoelastic properties for the CR in T&C (Tan et al., 2023). After that, the predicted viscoelastic
 2 properties were used as inputs for the CR phase in the micromechanical modeling of AC in T&C. By
 3 performing the dynamic simulation, the global viscoelastic properties and the local stress and strain
 4 response of the three AC mixtures can be predicted. It is worth noting that asphalt mastic and FAM are
 5 the matrix phases in the developed microstructural models of CR and AC, respectively. Therefore,
 6 frequency sweep tests were performed to measure their viscoelastic properties. The viscoelastic
 7 properties of the three AC mixtures in T&C were also measured for comparison with the numerically
 8 predicted values.

9 **2. Theoretical Background**

10 The mesostructural model of AC developed in this study comprises four phases: asphalt matrix (FAM),
 11 CR, aggregate, and air void. CR represents the composite of large filler particles, interfacial transition
 12 (ITZ) layers, and asphalt mastic at the microscale, as demonstrated in previous studies (Tan et al., 2023,
 13 2022). Asphalt mastic, FAM, and CR (in tension or compression only) exhibit viscoelastic behavior
 14 under small strain levels. Therefore, their mechanical properties can be described by the following
 15 constitutive relationship:

$$16 \quad \sigma(t) = \int_0^t E(t - \tau) \frac{d\varepsilon(\tau)}{d\tau} d\tau \quad (1)$$

17 where t represents time; τ is a time variable of integration; $E(t)$ is the relaxation modulus function
 18 in compression or tension. In the shear mode, the shear relaxation modulus function, $G(t)$, is used.
 19 Generally, the relaxation modulus function, $E(t)$, can be expressed in the form of Prony series as
 20 follows (Cho, 2016; Lakes, 1998; Tschoegl, 1989):

$$21 \quad E(t) = E_0 [1 - \sum_{i=1}^n g_i (1 - e^{-t/\tau_i})] \quad (2)$$

22 where E_0 is the instantaneous modulus; E_i and τ_i are the shear modulus and relaxation time for the
 23 i 's Maxwell element; $g_i = \frac{E_i}{E_0}$ defines the weight of the i 's Maxwell element; n is the number of
 24 Maxwell elements used to fit the equation. The relaxation modulus function can also be expressed in
 25 the frequency domain as follows (Ferry, 1980):

$$26 \quad E'(\omega) = E_0 [1 - \sum_{i=1}^n g_i] + E_0 \sum_{i=1}^n \frac{g_i \tau_i^2 \omega^2}{1 + \tau_i^2 \omega^2} \quad (3)$$

$$E''(\omega) = E_0 \sum_{i=1}^n \frac{g_i \tau_i \omega}{1 + \tau_i^2 \omega^2} \quad (4)$$

$$E^*(\omega) = E'(\omega) + iE''(\omega) \quad (5)$$

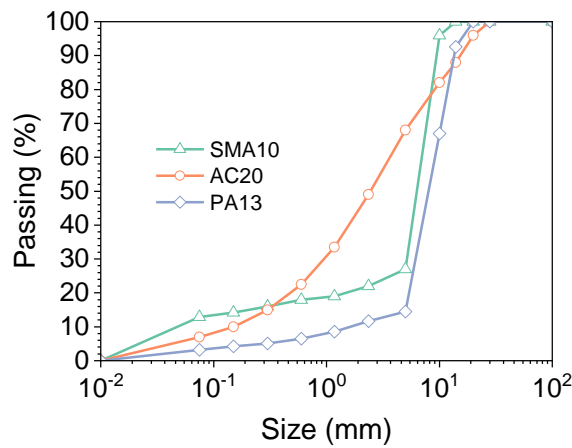
where E' and E'' denote the storage and loss moduli, respectively; ω is the angular frequency.

In this study, the measured complex modulus master curves of asphalt mastic and FAM, and the predicted master curves of CR in T&C were both converted to the format of Prony series for the numerical modeling.

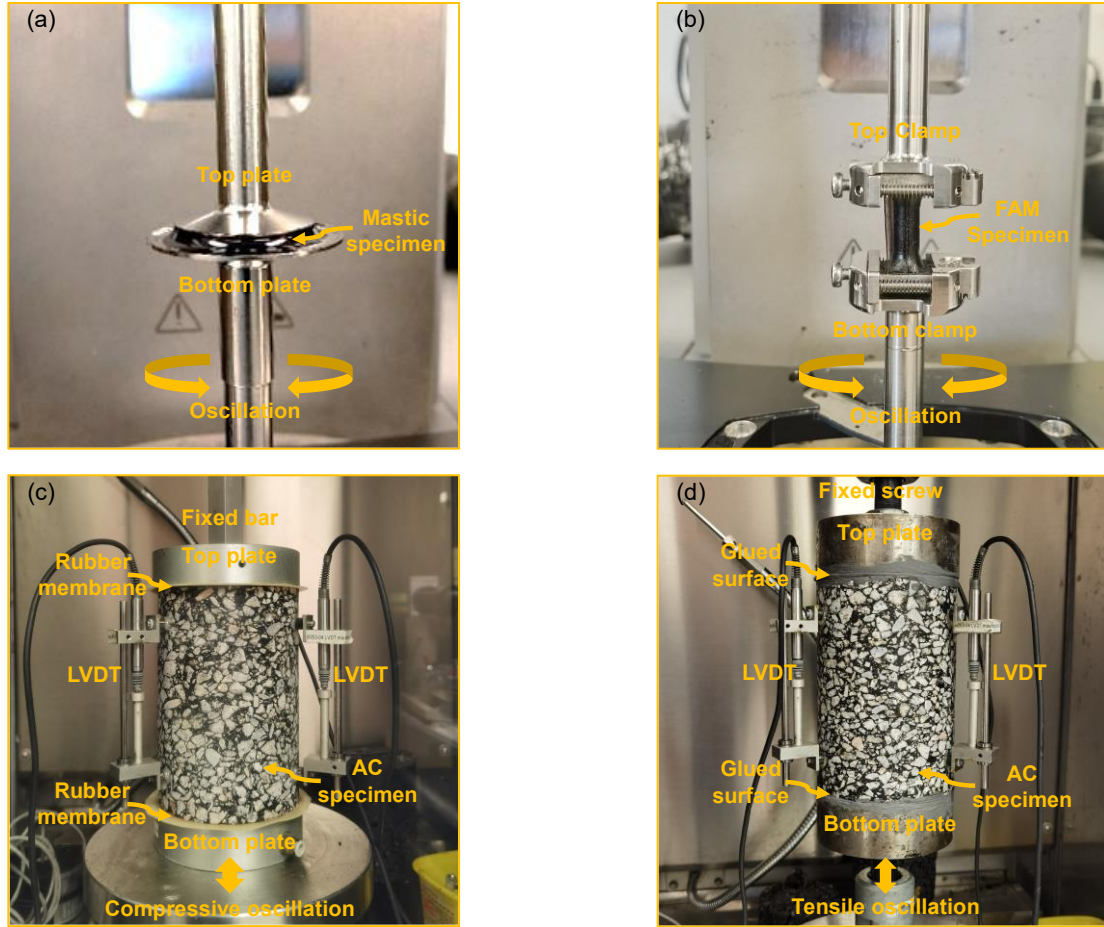
3. Experimental Study

Three commonly used AC mixtures, including the gap-graded SMA10, dense-graded AC20, and open-graded PA13, were evaluated in this study. Figure 1 presents the aggregate gradations, while Table 1 provides the material properties for these mixtures. SMA10 and PA13 mixtures were prepared using a styrene-butadiene-styrene (SBS) modified binder with a Superpave performance grade of 76-16 (PG76-16), while AC20 utilized a virgin asphalt binder with a penetration grade of 60/70 (Pen60/70). The binder contents for SMA10, AC20, and PA13 were 6%, 5.2%, and 3.9%, respectively. Granitic aggregates were used in all mixtures. To characterize the viscoelastic properties of these mixtures at different length scales, namely asphalt mastic, FAM, and the AC mixture, master curves were constructed. Notably, to achieve a comprehensive frequency range, the Williams–Landel–Ferry (WLF) equation was utilized to shift the measured data to a reference temperature of 25 °C. The resulting data was then subjected to the modified Huet-Sayegh (MHS) model for further refinement (Zhang and Leng, 2017). Asphalt mastic, a mixture of asphalt binder and mineral filler (<0.075 mm), was measured to obtain the viscoelastic properties of CR (Tan et al., 2023). FAM represents the matrix phase in the developed micromechanical model of AC, consisting of asphalt mastic, mineral filler, and fine aggregates smaller than 2.36 mm (Leng et al., 2021; Zhang and Leng, 2017). Figure 2 illustrates the measurement setups at the three scales. The viscoelastic properties of asphalt mastic and FAM were measured using a dynamic shear rheometer (DSR) within the temperature range of -10 °C to 50 °C. The measured complex moduli were converted to master curves at a broader frequency range based on the time-temperature superposition principle, as shown in Figure 3. These master curves were then fitted using the Prony series model for numerical modeling. The viscoelastic properties of the AC

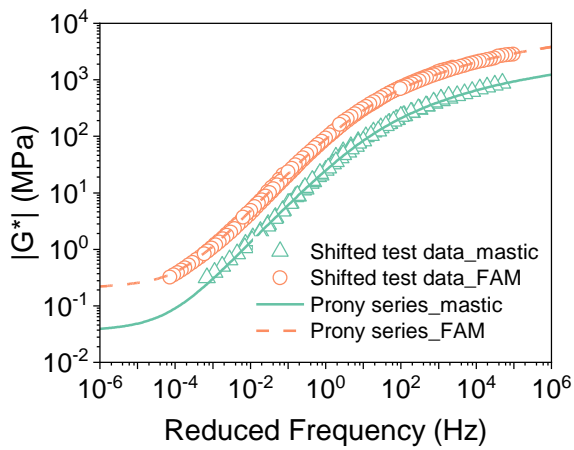
1 mixtures in compression and tension were measured using a universal testing machine (UTM), as
2 depicted in Figures 2(c) and (d), respectively. The compressive dynamic test followed the guidelines
3 of (AASHTO T 342, 2019). Three vertical linear variable differential transformers (LVDTs) were
4 installed at a 120-degree interval on the cylindrical specimen. Dynamic tests were conducted with
5 compressive sinusoidal loads at different frequencies (0.1, 0.5, 1.0, 5, 10, and 25 Hz) and temperatures
6 (-10, 4.4, 21.1, 37.8, and 54 °C) to develop the master curves for the AC mixtures. For the tensile test,
7 the top and bottom surfaces of the specimen were glued with steel plates, as shown in Figures 2(d).
8 Due to the low modulus and instability of the tensile test at high temperatures, the measurement at
9 54 °C was not performed. Figure 4 presents the master curves for the AC mixtures in tension and
10 compression. For simplicity, the '+' and '-' signs were used to represent tension and compression,
11 respectively. The plot clearly shows significantly lower dynamic moduli and higher phase angles under
12 tension mode compared to compression mode.



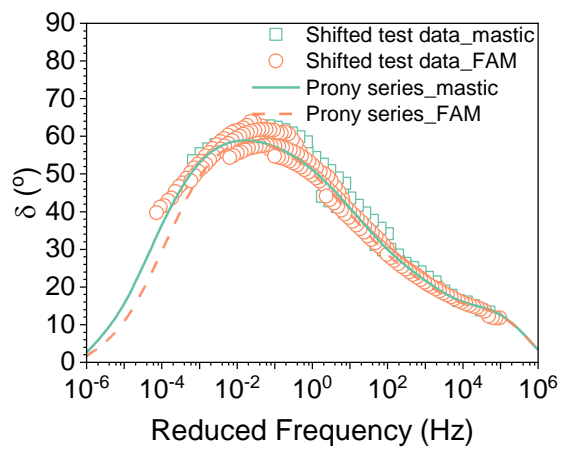
13
14 Figure 1 Gradation of the three AC mixtures



1 Figure 2 Test setups for: (a) asphalt mastic; (b) FAM; (c) AC in compression; and (d) AC in tension
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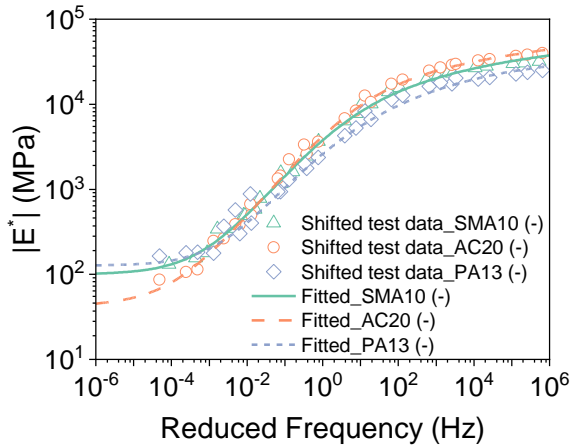


(a) Dynamic modulus

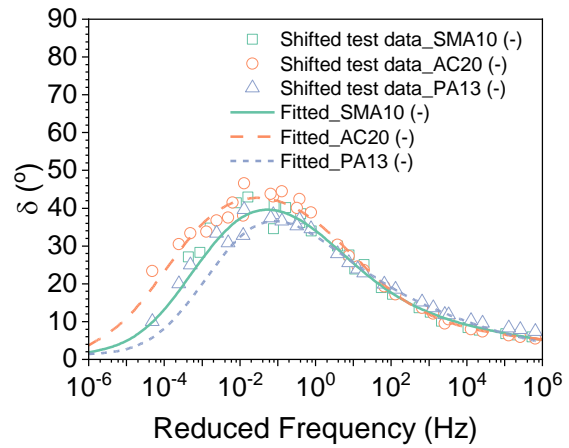


(b) Phase angle

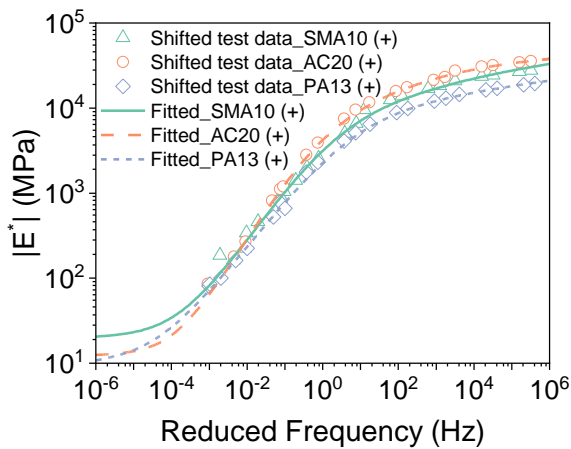
3 Figure 3 Measured master curves of asphalt mastic and FAM of SMA10 at 20 °C



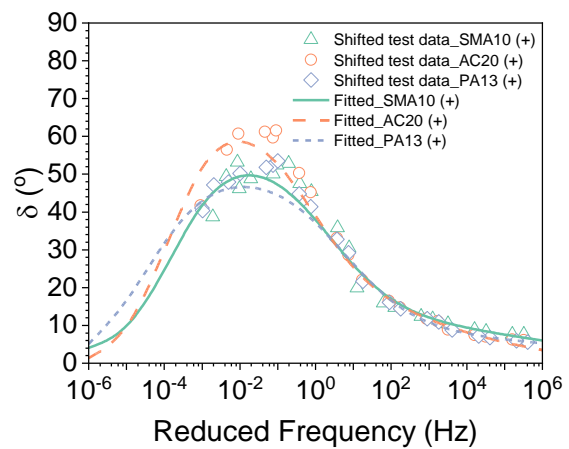
(a) Dynamic modulus in compression



(b) Phase angle in compression



(c) Dynamic modulus in tension



(d) Phase angle in tension

Figure 4 Measured master curves of the three AC mixtures in T&C at 20 °C

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The internal structure of the AC mixtures was obtained using the X-ray CT scanning system of Phoenix v|tome|x|s. The scanning was conducted at a resolution of 0.098 mm in all three directions. Figure 5 displays the images captured from the X-ray scanning of the specimens. The aggregates, matrices, and air voids are clearly distinguishable in these images. The acquired image stacks were then used to develop the mesostructural models of AC, which will be discussed in the subsequent section.

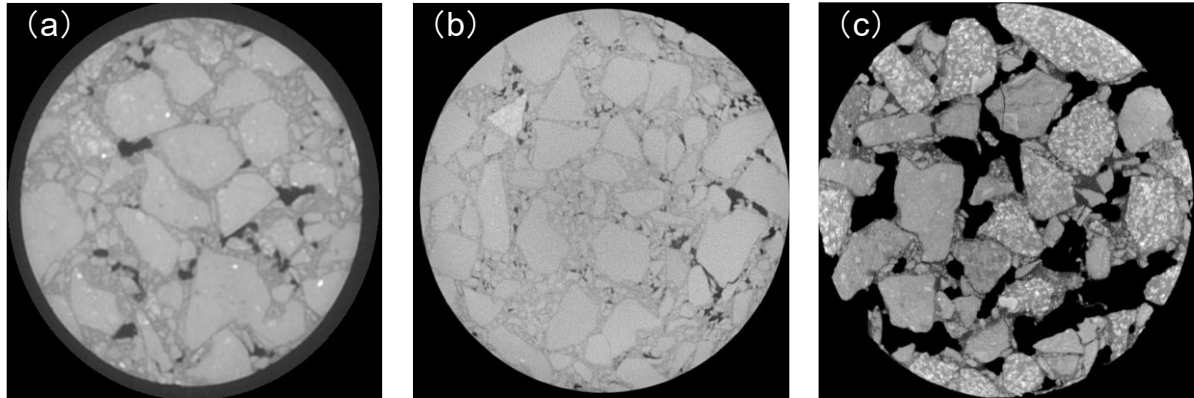


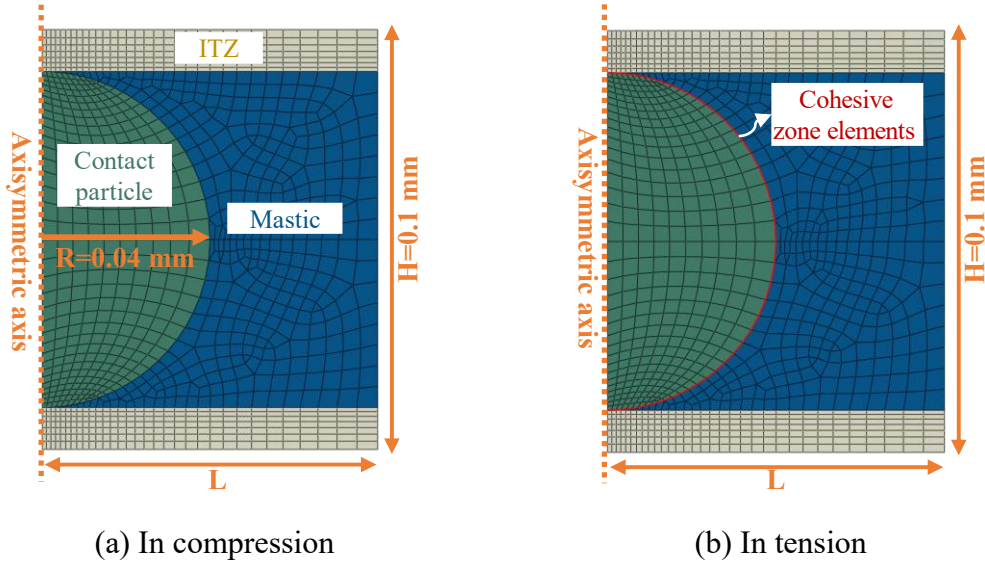
Figure 5 Scanned CT images of (a) SMA10; (b) AC20; and (c) PA13

4. Model development and computational study

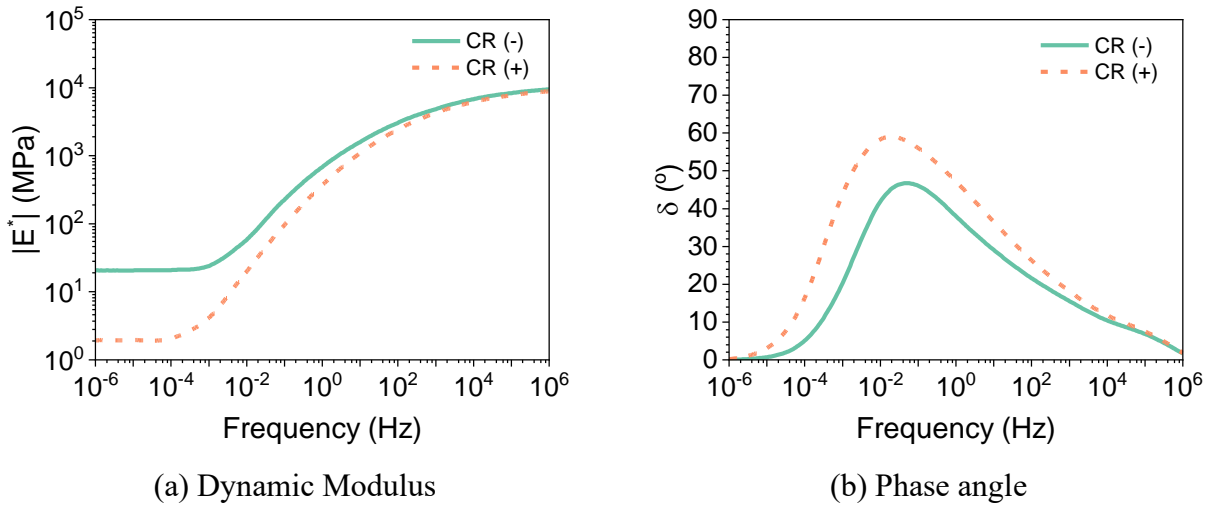
4.1. Micromechanical modeling of CR

CR is a sandwich-like structure consisting of the ITZ, large filler particles (contact points), and asphalt mastic (Tan et al., 2023). To predict the viscoelastic properties of CR under T&C conditions, simplified microstructural models for CR were developed, as shown in Figure 6. These CR models are axisymmetric and have a total height (H) of 0.1 mm and a particle radius (R) of 0.04 mm. The L/R ratio is used as a parameter to represent contact point density, with higher values indicating lower densities. Previous research has shown that an L/R value of 2.5 provides reasonably accurate predictions for the viscoelastic properties of AC under T&C conditions (Tan et al., 2023). In contrast to the CR in compression, cohesive zone elements were inserted into the surface of the filler particle to simulate the separation between the ITZ and the particle. In the developed CR models, the measured viscoelastic properties shown in Figure 3 were applied to the matrix zone. The ITZ and particle were considered as elastic materials with elastic moduli of 40 GPa and 80 GPa, respectively. For the dynamic simulation, the bottom surface of the model was fixed, and a 10^{-4} compressive/tensile strain was applied on the top surface. The steady-state dynamic (SSD) method, known for its efficiency and accuracy in modeling the dynamic performance of asphalt materials (Cao et al., 2016; Leng et al., 2021; Tan et al., 2022), was employed to predict the tensile and compressive complex modulus master curves from 10^{-6} Hz to 10^6 Hz. Figure 7 illustrates the predicted master curves of CR for SMA10 in T&C. It can be observed that the unique structure of AC's CR results in higher moduli in compression compared

1 to tension, with the difference decreasing at higher frequencies. The predicted master curves were
 2 converted to Prony series, which were then used as the material properties of CR in the
 3 micromechanical model of AC.



4 Figure 6 CR models



5 Figure 7 Predicted master curves of SMA10's CR in T&C at 20 °C

6 4.2. Micromechanical modeling of AC

7 The modeling approach proposed in previous studies was adopted to generate the image-based models
 8 for the three AC mixtures, i.e., SMA10, AC20, and PA13 (Tan et al., 2023, 2022). As shown in Figure
 9 8, through image segmentation, CR identification, and mesh generation, the microstructural models of
 10 AC mixtures can be developed. SDT, i.e., surface distance threshold, defining the surface distance
 11 between aggregates, is used to identify the CRs in mixtures. Based on the findings of (Tan et al., 2023,

2022), an SDT value of 0.1 mm is suitable to capture the CRs in the AC mixture. By applying meshing techniques, microstructural models consisting of four phases - aggregate, matrix (FAM), air void (empty zone), and CR - can be created. The volumetric proportions of the generated models and the real specimens for the three studied AC mixtures are listed in Table 1. It can be found that the generated models have very close volumetric compositions to the real AC mixtures. The CR only occupies a tiny volumetric fraction (lower than 3.2%) in the AC mixtures. Notably, the open-graded PA13 exhibits the highest CR volumetric proportion (approximately 3.2%), followed by SMA10 (approximately 1.5%), and AC20 with the lowest proportion (approximately 0.6%). This indicates that the degree of aggregate-to-aggregate interaction is strongest in PA13 among the considered mixtures.

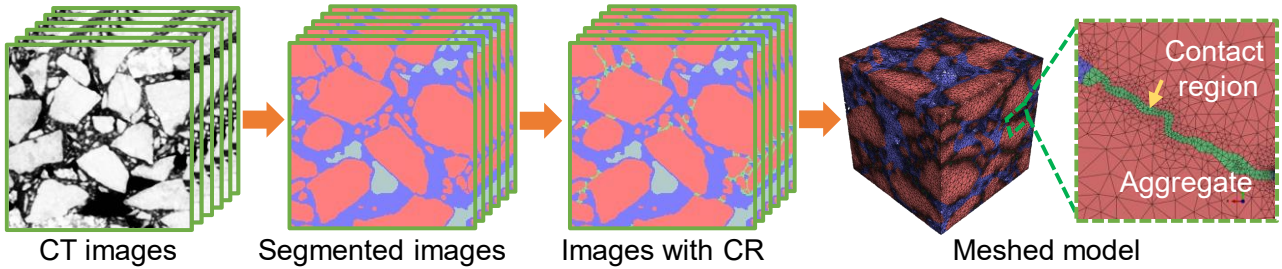


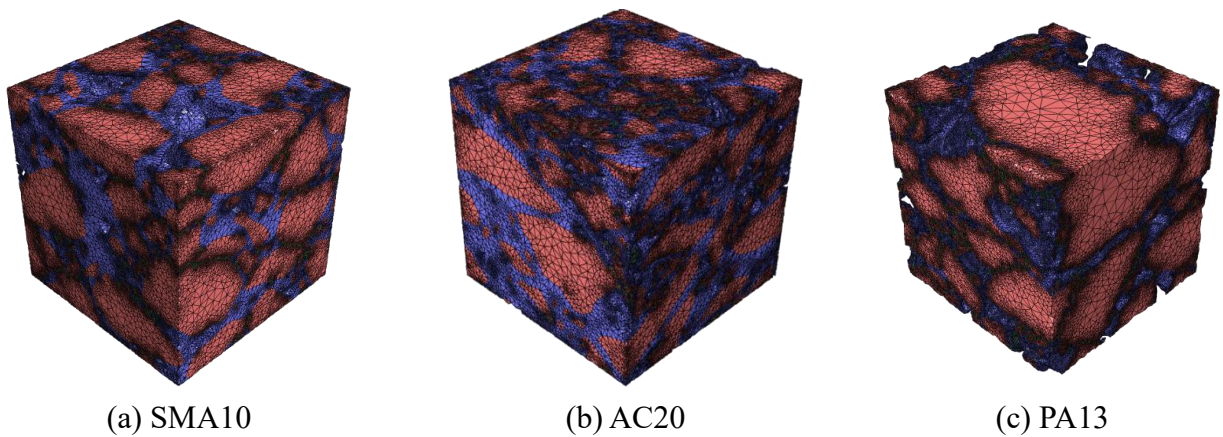
Figure 8 CT model generation (Tan et al., 2023)

Table 1 Volumetric properties of real AC mixtures and their corresponding models (%)

Sample ID	Aggregate	Matrix	Air void	Contact region (CR)
Real SMA10 specimen	63.5	31.6	4.5	-
SMA10 model	62.5	31.7	4.3	1.5
Real AC20 specimen	55.8	40.7	3.5	-
AC20 model	54.5	41.6	3.2	0.6
Real PA13 specimen	65.6	16.4	18	-
PA13 model	69.6	11.8	15.3	3.2

The AC models developed in this study considered aggregates as elastic materials with a Young's modulus of 80 GPa and Poisson's ratio of 0.2. The viscoelastic properties of the matrix (FAM) were determined using the Prony series based on the DSR measurement results (Figure 3). The material properties of CR in T&C were obtained from the developed CR model in Section 4.1. Depending on the loading mode of the AC model, either the predicted tensile or compressive viscoelastic properties (Figure 7) were assigned to the CR phase. Figure 9 illustrates the meshed models generated for the considered AC mixtures. Cubic samples with a length of 20 mm were developed for SMA10 and PA13, while a larger cubic model size of 30 mm was adopted for AC20 due to its larger aggregate size

1 compared to the other mixtures. Three replicates were generated for each mixture. The linear
2 tetrahedral element (C3D4) was used for meshing the models. The average number of elements in the
3 developed models for SMA10, AC20, and PA13 was 5.9 million, 4.5 million, and 7.4 million,
4 respectively. It is important to note that the presence of thin matrix layers in PA13 resulted in a
5 significant increase in the number of elements in the generated model. For dynamic modeling, the
6 bottom face of the AC model was fixed, and a 10^{-4} compressive/tensile strain was applied to the top
7 surface. Through the simulation, the dynamic response of AC in tension and compression within the
8 frequency range of 10^{-6} Hz to 10^6 Hz was predicted.



9 Figure 9 Meshed AC models of the three AC mixtures

10 **5. Results and Discussion**

11 In this section, the predicted master curves of considered AC mixtures in T&C will be first analyzed
12 and compared to the corresponding master curve developed using experimental measurements. The
13 principal stress distributions will then be discussed to illustrate the internal stress response of AC in
14 T&C. In addition, the stress-strain response of AC in T&C in three different phases (i.e., aggregate,
15 matrix, and CR) will also be analyzed statistically. In this context, probability distributions and average
16 stress (strain) in all three phases were calculated and compared. For instance, in the aggregate phase,
17 the absolute maximum principal stress (strain) and the corresponding volume of each aggregate
18 element were extracted from ABAQUS to obtain the probability distribution. MATLAB codes were
19 then used to sort the aggregate elements based on their absolute maximum principal stresses (strains)
20 in ascending order. Eq. (6) and Eq. (7) represent the mathematical formulation for developing the
21 probability distribution.

$$f(\sigma_i) = \frac{V_{\sigma_i}}{\sum V_{\sigma_i}} \quad (6)$$

$$g(\varepsilon_i) = \frac{V_{\varepsilon_i}}{\sum V_{\varepsilon_i}} \quad (7)$$

where $f(\sigma_i)$ and $g(\varepsilon_i)$ represent the density functions of stress and strain, respectively; σ_i and ε_i denote the i th absolute maximum principal stress and strain, while V_{σ_i} and V_{ε_i} represent their corresponding volume. Further, the volumetric average stress and strain can be calculated as follows:

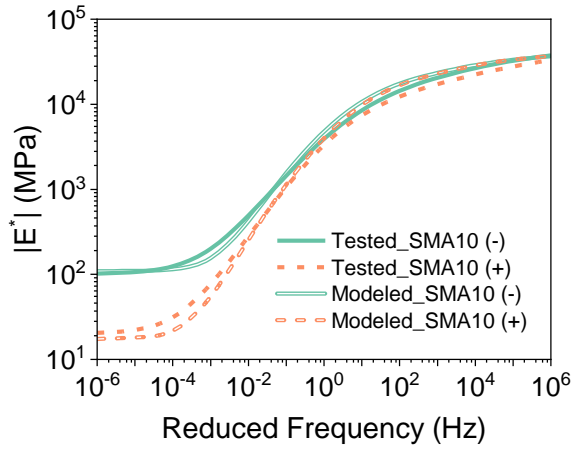
$$\bar{\sigma}_V = \frac{\sum \sigma_i V_{\sigma_i}}{\sum V_{\sigma_i}} \quad (8)$$

$$\bar{\varepsilon}_V = \frac{\sum \varepsilon_i V_{\varepsilon_i}}{\sum V_{\varepsilon_i}} \quad (9)$$

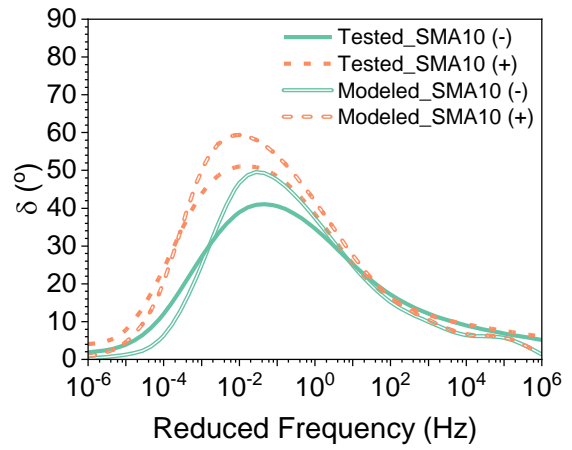
where $\bar{\sigma}_V$ and $\bar{\varepsilon}_V$ are the volumetric average stress and strain, respectively.

5.1. Master curves of AC

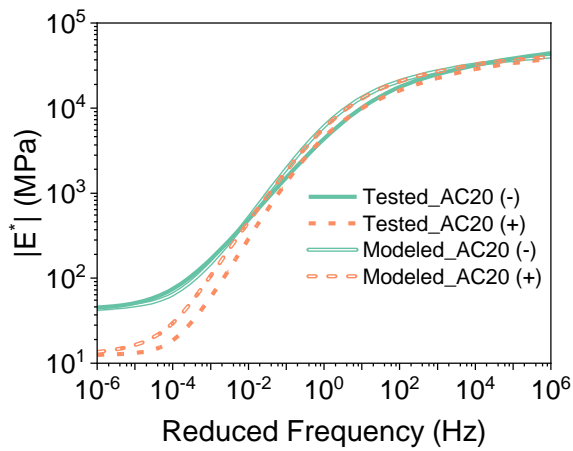
Figure 10 presents the predicted master curve of AC mixtures in T&C. Although some differences exist, the predicted compressive and tensile master curves of the three AC mixtures still show good agreement with the experimental ones, indicating that the developed model can reasonably predict the mechanical performance of AC. These divergences could potentially be attributed to fluctuations in FAM measurements and variations within the CT model generation process. Similar to the measured master curves, the predicted master curves in tension also display much lower dynamic moduli but higher phase angles in the lower frequency range. Such an outcome is somewhat expectable due to the larger difference in stiffness between the matrix and aggregates at lower loading rates. It is also consistent with the findings of the previous studies (Cheng et al., 2021; Khanal and Mamlouk, 1995; Lytton et al., 2018). These results further confirm that aggregate contact is a critical factor contributing to the tension-compression asymmetry in AC. In terms of the degree of asymmetry, PA13 exhibits the highest degree of asymmetry, followed by SMA10 and AC20. For example, at a frequency of 10^{-4} Hz, the compressive modulus of PA13 is approximately twenty times higher than the tensile modulus, while the differences for SMA10 and AC20 are five and two times, respectively. Additionally, the peak tensile phase angles are 31%, 15%, and 43% higher than their corresponding values in compression mode for SMA10, AC20, and PA13, respectively. These findings are consistent with the volumetric percentages of CR (refer to Table 1), where PA13 has the highest volumetric percentage of CR.



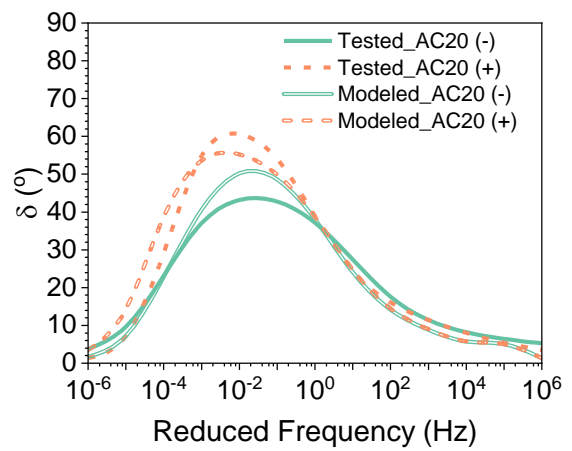
(a) Dynamic modulus of SMA10



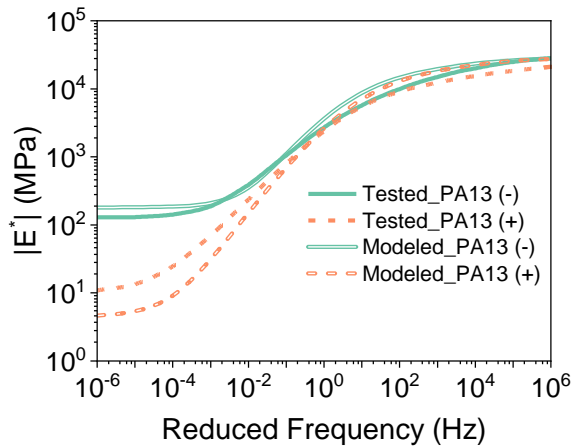
(b) Phase angle of SMA10



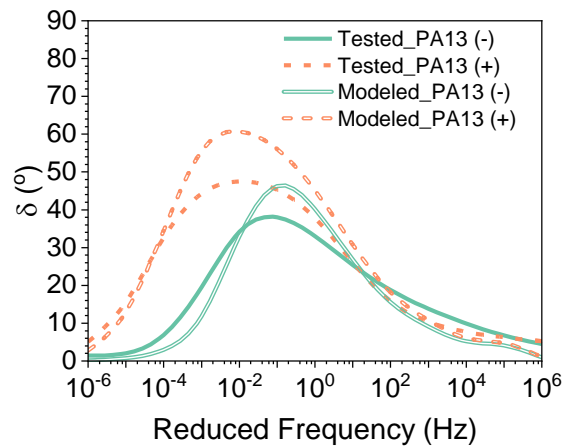
(c) Dynamic modulus of AC20



(d) Phase angle of AC20



(e) Dynamic modulus of PA13



(f) Phase angle of PA13

1 Figure 10 Predicted and measured master curves of the three AC mixtures in T&C

2 5.2. Stress and strain analysis

3 Figure 11 presents the maximum principal stress distributions of AC in T&C. To provide a concise

1 representation, only the stress distributions of SMA10 are shown here, while those of AC20 and PA13
2 are included in the appendix. At a high frequency of 10^6 Hz (Figure 11 (b) and (d)), all three phases
3 exhibit high stress levels regardless of the loading mode (tension or compression). This response can
4 be attributed to the stiffening effect of the matrix phase (FAM). As the frequency increases, the weak
5 matrix becomes stiffer, leading to improved stress transfer efficiency. However, this stiffening matrix
6 also diminishes the influence of aggregate contacts. Consequently, the tension-compression
7 asymmetry becomes less significant at higher frequencies, which explains the convergence of the
8 master curves in tension and compression (Figure 10) with increasing loading frequency. On the other
9 hand, at a low frequency of 10^{-6} Hz, stress is primarily concentrated in the aggregate phase (Figure 11
10 (a) and (c)). In compression (Figure 11 (c)), the aggregate and CR phases exhibit significantly higher
11 stress compared to the AC mixtures under tension (Figure 11 (a)). This difference can be attributed to
12 the effect of aggregate contact and its characteristics. In compression, the aggregates are pushed closer
13 together, resulting in improved load transfer efficiency through stiff aggregate contacts (contact points)
14 and higher stress in the aggregate and CR phases. However, in tension, the aggregates are pulled apart,
15 rendering aggregate contacts ineffective. Consequently, the aggregate and CR phases in tension are
16 expected to exhibit lower stress levels compared to compression.

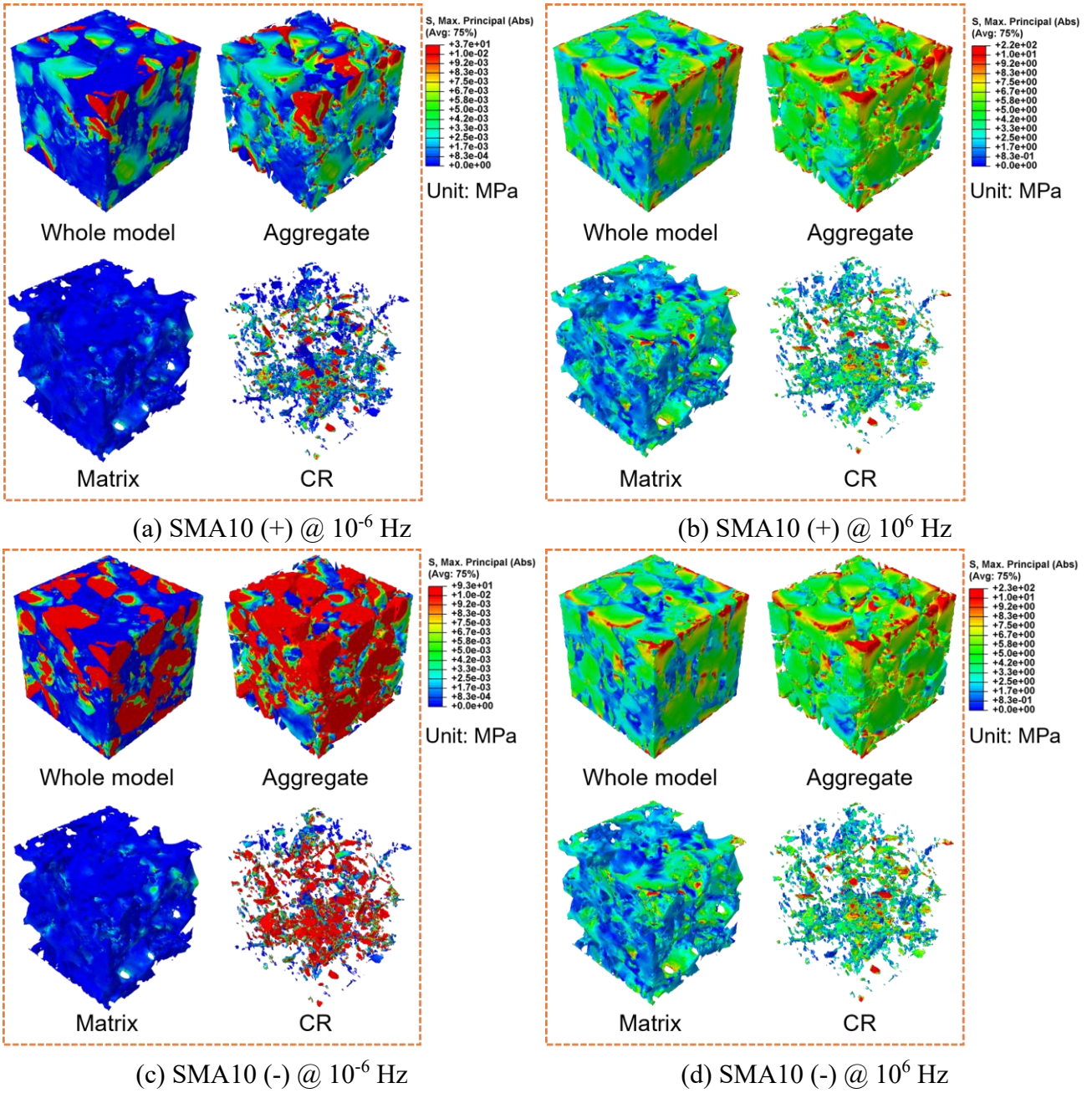


Figure 11 Maximum principal stress distributions of SMA10 in T&C

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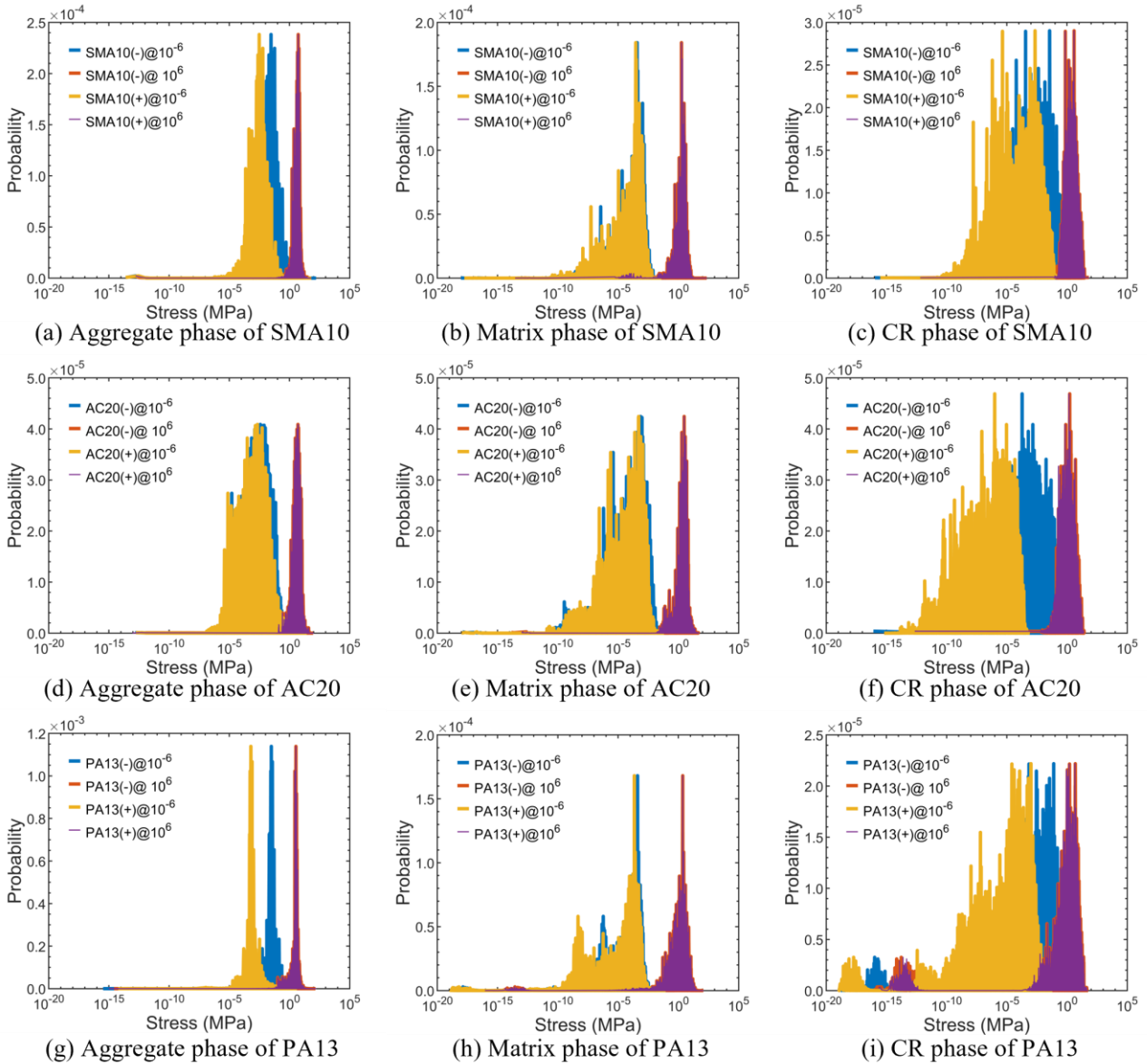
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The stress probability distributions in the three phases - aggregate, matrix, and CR - were calculated using Eq. (6). Figure 12 depicts the probability distributions of the maximum principal stress for the three AC mixtures. It is evident that, unlike the stress distributions at 10^{-6} Hz, the stress probability distributions in tension (purple color) and compression (red color) almost overlap and converge to a narrow stress range at the high frequency of 10^6 Hz. When comparing the stress probability distributions among the three phases, the aggregate phase (Figure 12(a), (d), and (g)) exhibits the highest stress concentration, displaying a narrow stress range. In contrast, the CR phase

1 (Figure 12(c), (f), and (i)) shows the lowest stress concentration. This difference can be attributed to
2 the location-dependent stress distribution in the CR. The CR located between the aggregates in the
3 load transfer chains experiences high stresses similar to the aggregate phase, while the CR situated
4 outside the main load transfer path exhibits relatively lower stresses. Among the three AC mixtures,
5 the aggregate phase of PA13 (Figure 12(g)) displays the narrowest stress range, followed by SMA10
6 (Figure 12(a)) and AC20 (Figure 12(d)), indicating the highest stress concentration in the aggregate
7 phase of the open-graded AC mixture. Additionally, it is interesting to note that at lower loading
8 frequencies, the stress distribution in the aggregate and CR phases in compression (blue color) is
9 shifted to the right compared to tension (orange color), indicating higher stress ranges. However, the
10 stress distributions in the matrix phase remain almost unchanged in both T&C. This suggests that the
11 stiffness improvement in compression at lower frequencies is primarily contributed by the aggregate
12 and CR phases rather than the matrix phase. Furthermore, the developed stress chain by the aggregate
13 and CR phases significantly enhances stress transmission in AC.

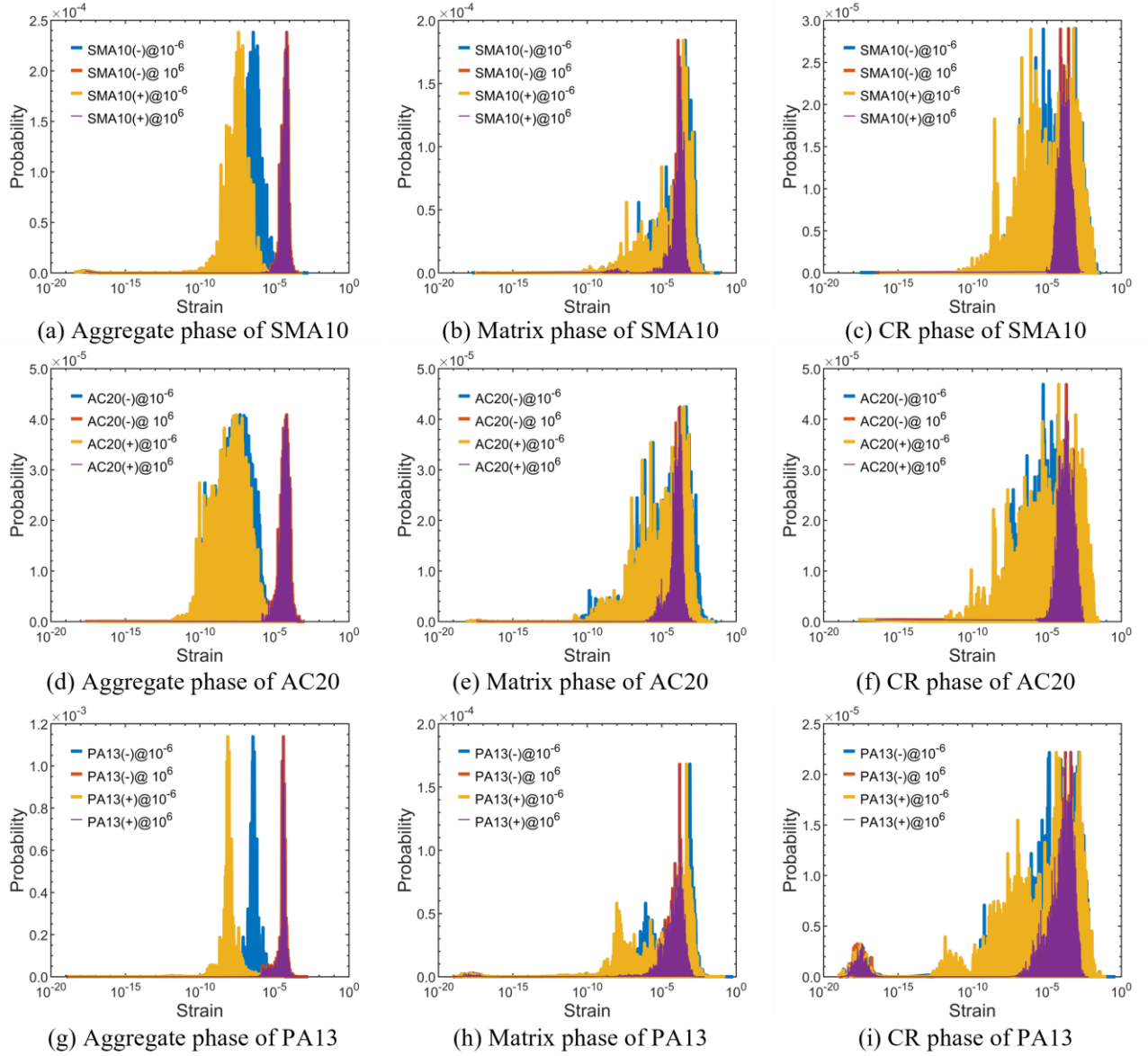


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2 Figure 12 Probability distributions of maximum principal stress in different phases of the three AC
3 mixtures

4 The strain probability distributions in all three phases of the AC mixtures were calculated using
5 Eq. (7). Figure 13 displays the probability distributions of maximum principal strain. Similar to the
6 stress probability distributions, higher frequencies result in a narrower strain range. Additionally,
7 compared to the strains at low frequencies (blue and orange colors), the shift towards higher strain
8 levels in the matrix and CR phases at high frequencies (red and purple colors) is relatively insignificant.
9 However, the aggregate phase (Figure 13(a), (d), and (g)) exhibits a notable shift from low strain levels
10 around 10^{-7} to high strain levels around 10^{-4} , indicating that the increase in frequency primarily affects

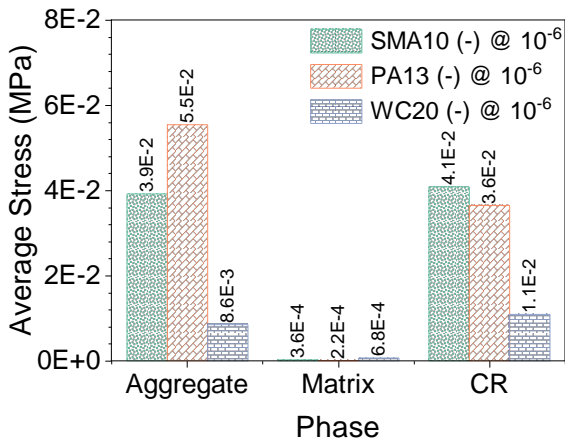
1 the strain in the aggregate phase. Furthermore, in comparison to tension (orange color), compression
 2 (blue color) leads to further increases in strain in the aggregate phase.



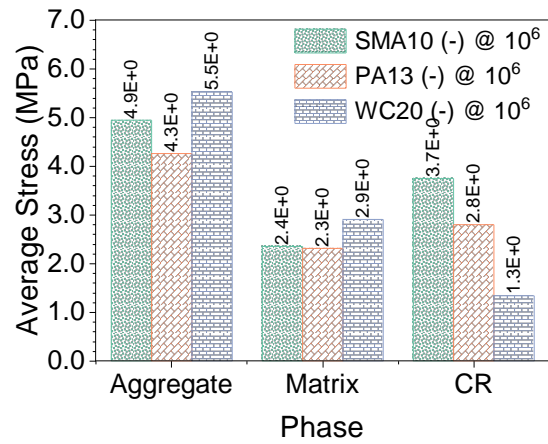
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 4 Figure 13 probability distribution of maximum strain in different phases of the three AC mixtures

5 The average stress in different phases was calculated using Eq. (8). Figure 14 displays the
 6 average stress in the various phases of the AC mixtures. At low frequencies (Figure 14(a) and (c)), the
 7 average stress in the matrix phase is significantly lower than the corresponding values in the aggregate
 8 and CR phases. However, at higher frequencies, the stress in the matrix phase increases and becomes
 9 comparable to the stress in the aggregate and CR phases due to the stiffening effect (Figure 14(b) and
 10 (d)). Additionally, based on Figure 14(a) and (c), it is evident that at lower frequencies, the average

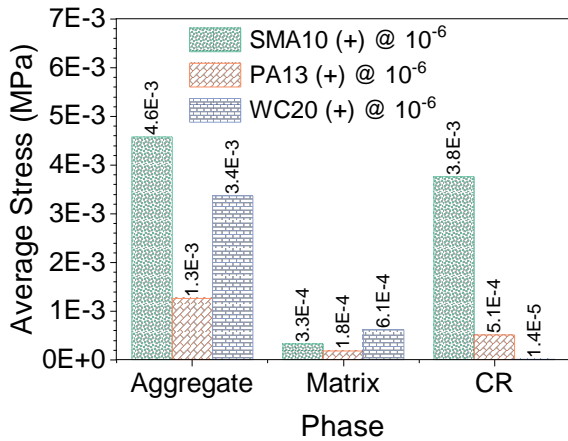
1 stress in compression (Figure 14(a)) is much higher than the corresponding stress in tension (Figure
 2 14(c)). Among the three AC mixtures, it is interesting to note that at 10^{-6} Hz (Figure 14(a) and (c)), the
 3 aggregate phase of PA13 exhibits the highest stress in compression and the lowest stress in tension.
 4 This response is closely related to the internal structure of the open-graded mixture. The increased
 5 aggregate contacts in PA13 facilitate better load transfer among aggregates, resulting in higher stress
 6 in compression. However, the higher air void content (18%) and lower FAM matrix content in PA13
 7 have an adverse effect on stress transmission through the matrix phase, leading to lower stress in
 8 tension for the open-graded PA13.



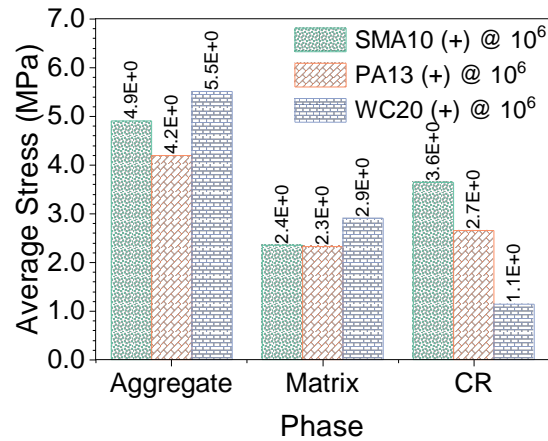
(a) In compression at 10^{-6} Hz



(b) In compression at 10^6 Hz



(c) In tension at 10^{-6} Hz

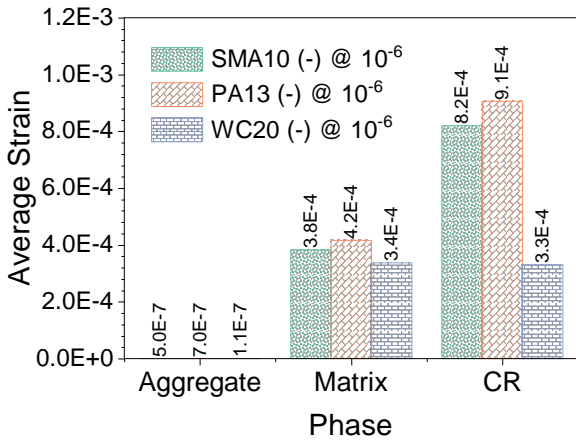


(d) In tension at 10^6 Hz

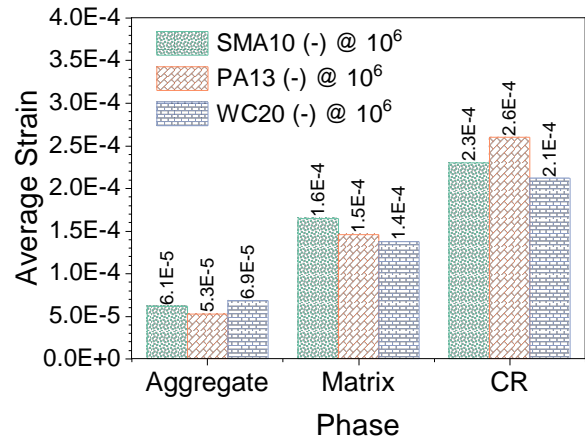
9 Figure 14 Average stresses in AC's three phases: Aggregate, matrix, and CR

10 The average strain in the three phases of AC was calculated using Eq. (9). Figure 15 illustrates
 11 the average strain in different phases of the three AC mixtures. The plot clearly shows significantly
 12 higher average strains in the matrix and CR phases compared to the aggregate phase, regardless of the

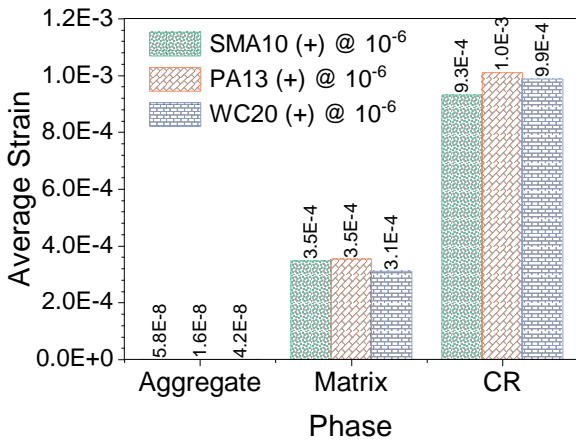
1 loading mode and frequency. As the frequency increases, the strain in the aggregate phase also
 2 increases significantly, although it remains much lower than the corresponding values in the matrix
 3 and CR phases. It is worth noting that, unlike the case with average stress, the strain discrepancies are
 4 generally insignificant, except for the CR phase of AC20 under compression at 10^{-6} Hz. This exception
 5 can be attributed to the characteristics of the dense-graded AC20, where the stiffer matrix (FAM) and
 6 lower volumetric proportion of CR weaken the role of CR in stress transmission, resulting in lower
 7 strain in the CR phase for AC20 in compression.



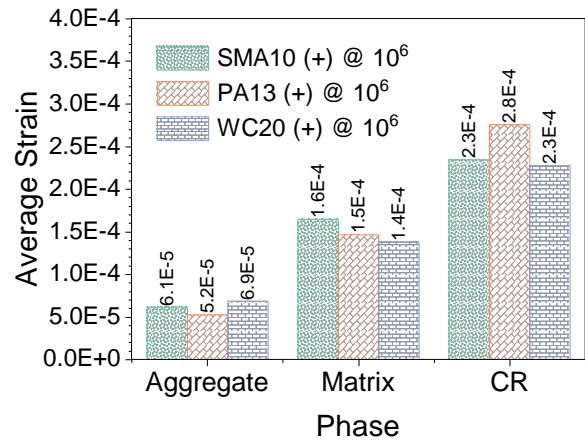
(a) In compression at 10^{-6} Hz



(b) In compression at 10^6 Hz



(c) In tension at 10^{-6} Hz

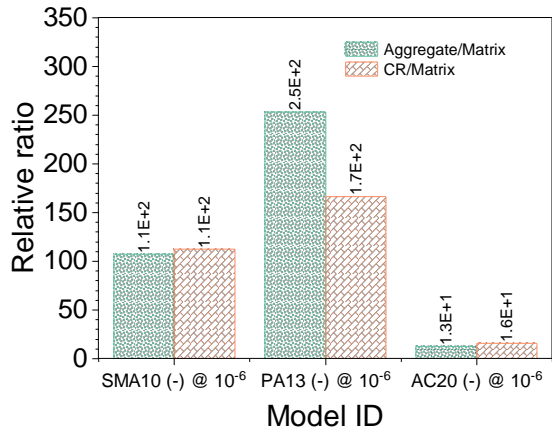


(d) In tension at 10^6 Hz

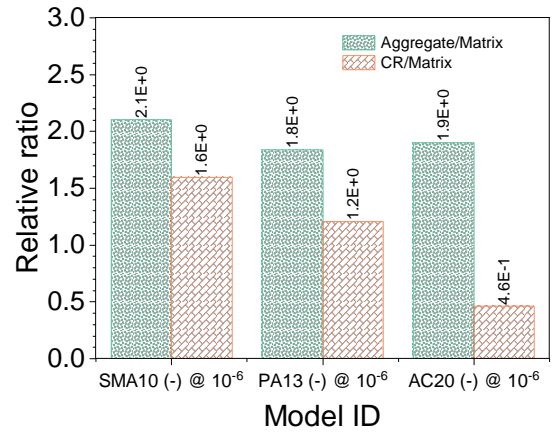
8 Figure 15 Average strains in AC's three phases: Aggregate, matrix, and CR

9 The relative ratios of stress and strain between different phases were calculated to assess the
 10 disparities between them. Figure 16 illustrates the average stress in the aggregate and CR phases
 11 relative to the average stress in the matrix phase. It is evident that under compression at 10^{-6} Hz, the
 12 stresses in the aggregate and CR phases are significantly higher compared to the stress in the matrix

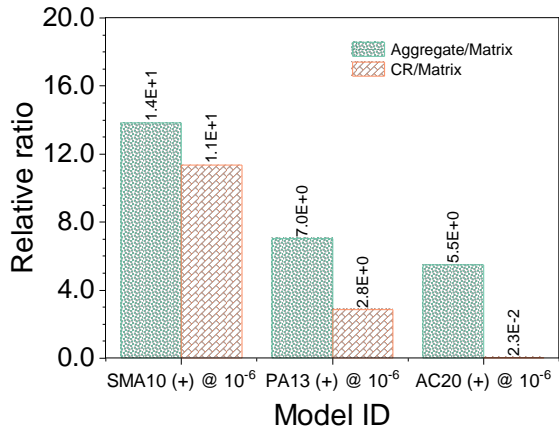
1 phase (Figure 16(a)). For instance, the average stress in the aggregate phase of PA13 is more than 250
 2 times higher than that in the matrix phase, followed by SMA10 and AC20. Similarly, Figure 16(c)
 3 shows the stress in tension under low frequency loading conditions. The stress differences are reduced
 4 significantly, but the stress in the aggregate phase is still five times higher than that in the matrix phase.
 5 At 10^6 Hz, the stress differences in the three phases decrease to around two times due to the stiffening
 6 effect of the matrix (FAM) phase, as shown in Figure 17(b) and (d).



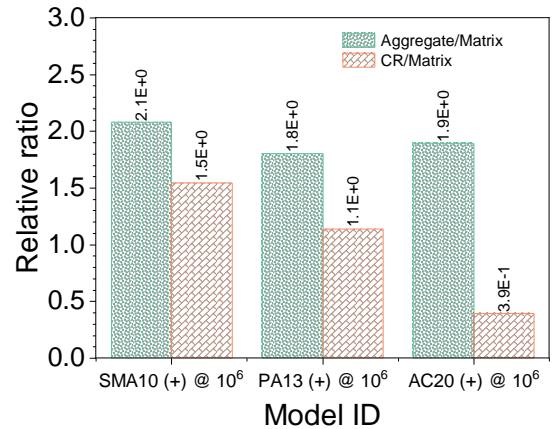
(a) In compression at 10^{-6} Hz



(b) In compression at 10^6 Hz



(c) In tension at 10^{-6} Hz

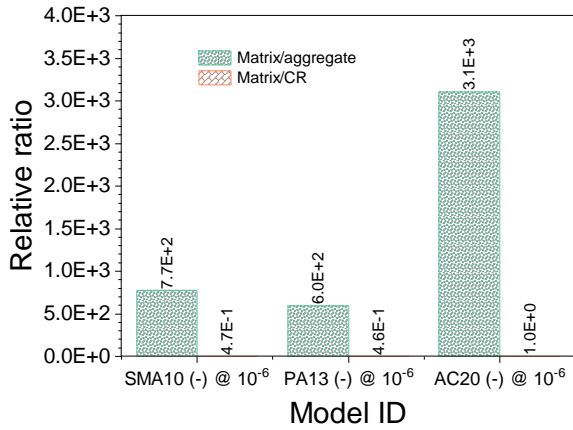


(d) In tension at 10^6 Hz

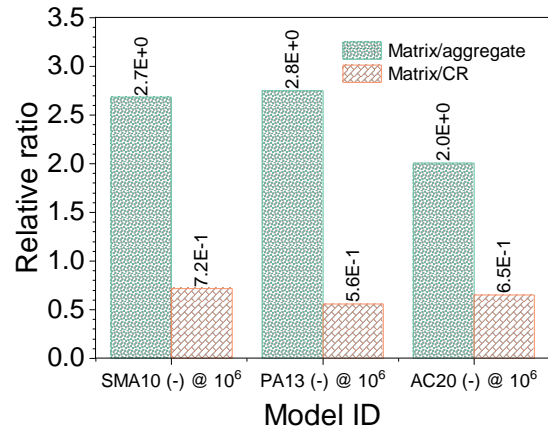
7 Figure 16 Relative stress ratios (Aggregate/Matrix and CR/Matrix)

8 Figure 17 depicts the average strain in the matrix phase relative to the average strain in the
 9 aggregate and CR phases. Similar to the stress, the strains in different phases exhibit significant
 10 variations at lower frequencies (Figure 17(a) and (c)). However, these differences narrow down to no
 11 more than three times at higher frequencies (Figure 17(b) and (d)). In compression at 10^{-6} Hz, Figure
 12 17(a) shows that the strain in the matrix phase is more than 600 times higher than that in the aggregate

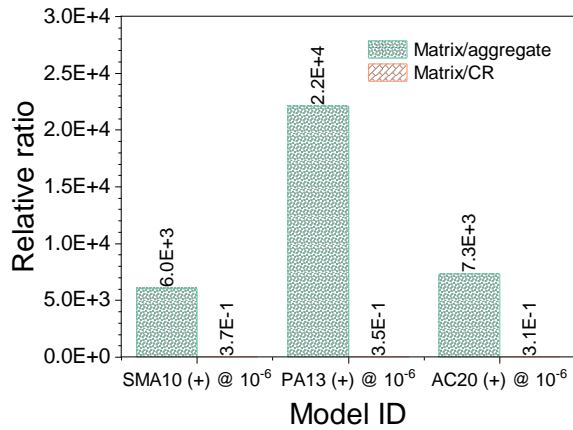
1 phase. These differences are further magnified in tension at 10^{-6} Hz (Figure 17(c)). The strain ratio
 2 between the matrix and aggregate phases exceeds 22,000 for PA13. These results indicate that
 3 deformation primarily occurs in the matrix phase at low frequencies, regardless of the loading mode,
 4 and the strain disparities among the three phases are more pronounced in tension than in compression
 5 for AC.



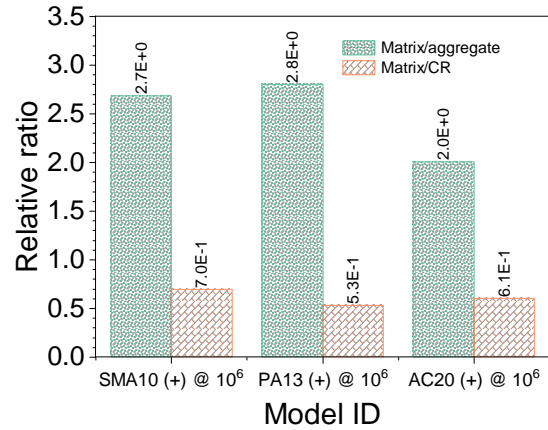
(a) In compression at 10^{-6} Hz



(b) In compression at 10^6 Hz



(c) In tension at 10^{-6} Hz



(d) In tension at 10^6 Hz

6 Figure 18 Relative strain ratios (Matrix/aggregate and Matrix/CR)

7 **6. Findings and Conclusions**

8 This study developed image-based models of asphalt concrete (AC) that account for aggregate contacts.
 9 Dynamic simulations were conducted using the steady-state dynamics (SSD) method to characterize
 10 the global and local mechanical responses of three AC mixtures (gap-graded SMA10, dense-graded
 11 AC20, and open-graded PA13) in tension and compression (T&C). The main findings of this study are

1 as follows:

- 2 • The TC asymmetry of AC is primarily influenced by aggregate contacts. Incorporating contact
3 regions (CR) into the micromechanical model of AC enables the quantification of the aggregate
4 contact effect on AC's TC asymmetry.
 - 5 • AC mixtures exhibit significant TC asymmetry at lower frequencies, with the open-graded mixture
6 (PA13) displaying the highest asymmetry, followed by the gap-graded mixture (SMA10) and
7 dense-graded mixture (AC20).
 - 8 • In compression, the contact regions (CR) can bridge the large aggregates together, forming an
9 interlocking structure that significantly improves stress transmission and compressive stiffness of
10 AC.
 - 11 • Regardless of the loading mode (tension/compression), as frequencies increase, stress in AC's
12 three phases (aggregate, matrix, and CR) shifts towards higher stress domains, with the strain in
13 the aggregate phase showing a particularly significant increase.
 - 14 • At lower frequencies, stress mainly concentrates in AC's aggregate and CR phases, while strain
15 primarily concentrates in the matrix phase.
 - 16 • Stresses in different phases of AC exhibit significant variations, with more pronounced disparities
17 at lower frequencies and for AC in compression compared to tension. At a low frequency of 10⁻⁶
18 Hz, the average stress in the aggregate phase of the open-graded PA13 was over 250 times higher
19 than that in the matrix phase in compression, with similar observations in the dense-graded AC20.
20 Although the differences reduced for tension, they still exceeded five times. These differences
21 decrease with increasing frequencies and reach around two times at a high frequency of 10⁶ Hz.
 - 22 • Strains in different phases of AC also exhibit significant disparities, with more pronounced
23 differences at lower frequencies and for AC in tension compared to compression. At a low
24 frequency of 10⁻⁶ Hz, strains in the matrix phase were over 600 times higher than those in the
25 aggregate phase in compression, with even larger differences in tension. With increasing
26 frequencies, the differences decrease to no more than three times at a high frequency of 10⁶ Hz.
- 27 Considering the substantially lower tensile moduli of AC compared to its compressive moduli, it is

1 recommended to incorporate AC's tensile properties into pavement design. Additionally, techniques
2 such as fiber reinforcement should be considered in mixture design to enhance the tensile resistance
3 of AC layers.

4

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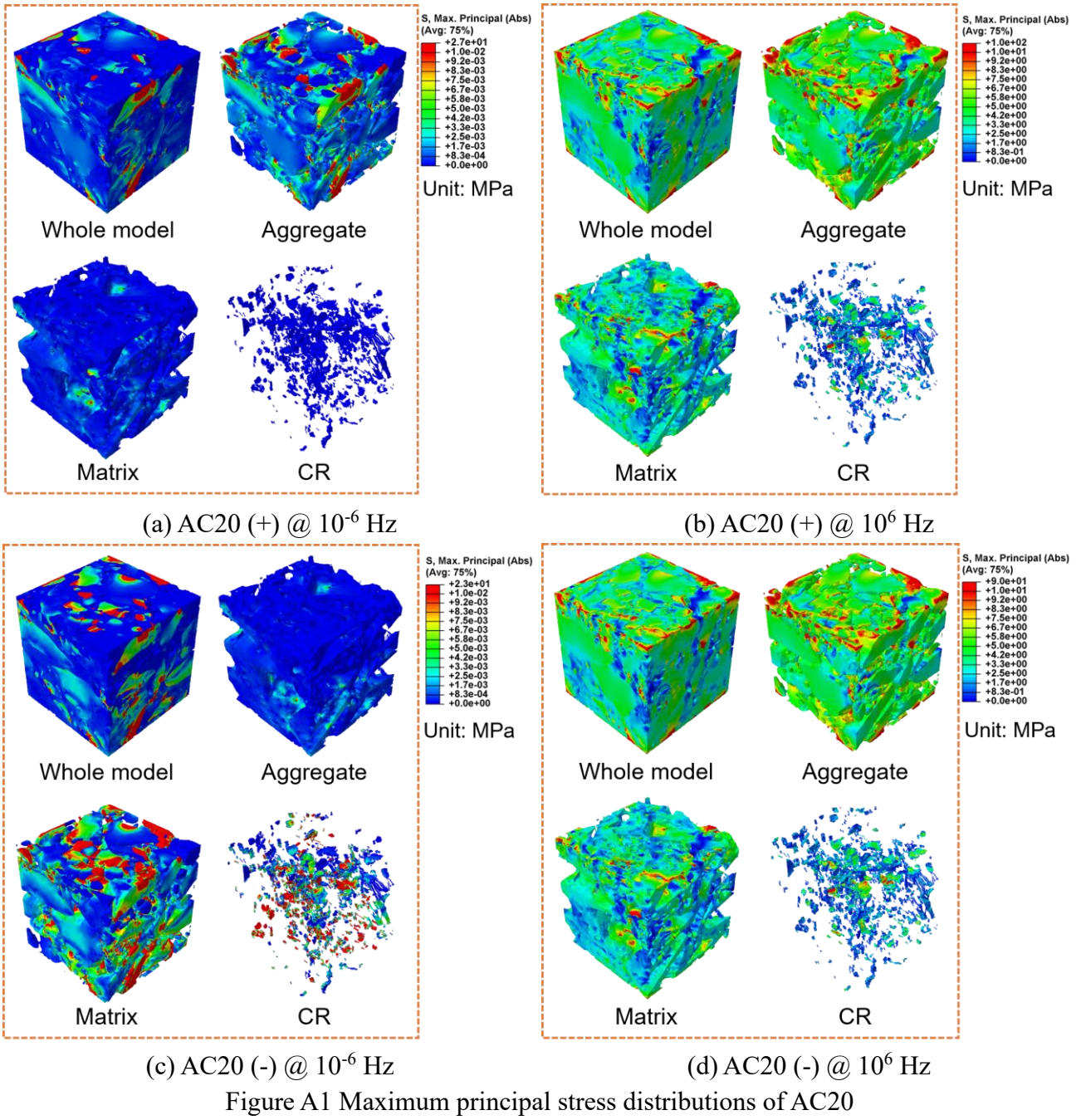
8 Zhang, Y., Luo, R., Lytton, R.L., 2012. Anisotropic viscoelastic properties of undamaged asphalt mixtures. *J.*
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10

11

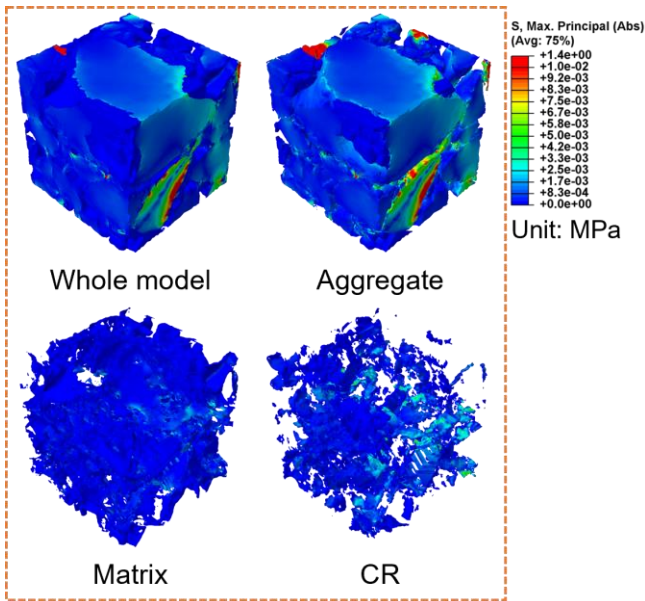
1 APPENDIX

2

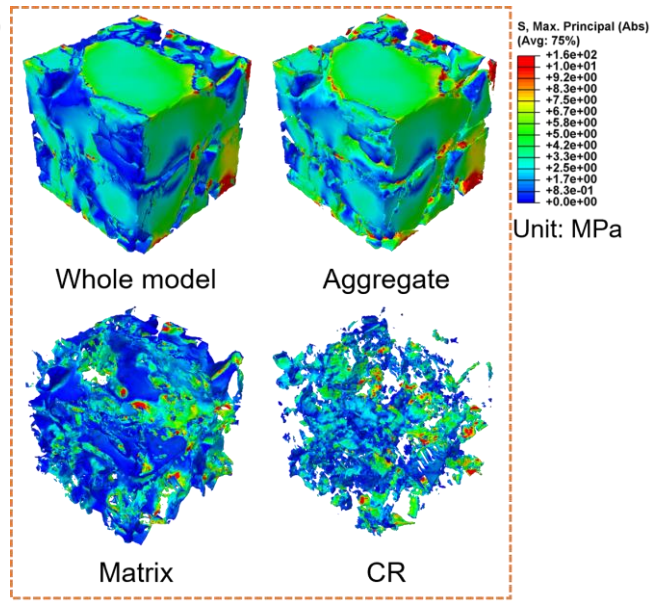


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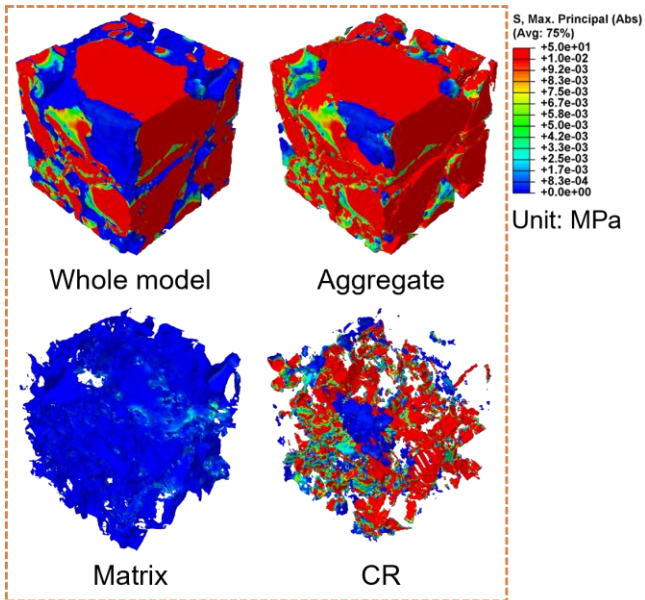
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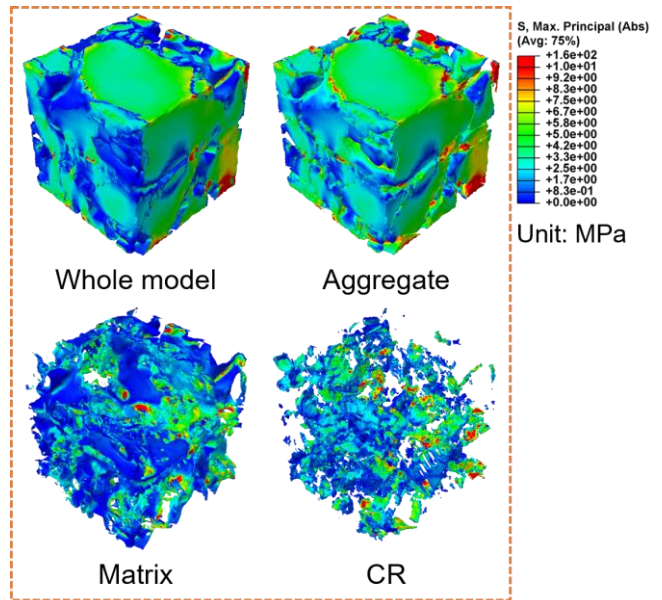
(a) PA13 (+) @ 10^{-6} Hz



(b) PA13 (+) @ 10^6 Hz



(c) PA13 (-) @ 10^{-6} Hz



(d) PA13 (-) @ 10^6 Hz

Figure A2 Maximum principal stress distributions of PA13