1 Manipulating the Excited States from Charge-Transfer to

2 Hybridized Local and Charge-Transfer towards High-

3 Performance Blue Electroluminescence

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- 21 **ABSTRACT:** Manipulating the excited states of organic luminescent materials can
- 22 efficiently improve the utilization of both singlet and triplet excitons for developing
- 23 high-performance organic light-emitting diodes (OLEDs), but the issue remains
- 24 difficult due to the lack of well-controlled ways. Here, we proposed a molecular design
- 25 strategy of excited state manipulation from charge-transfer (CT) to hybridized local and
- 26 charge-transfer (HLCT) via adjusting the cyano position on pyridine acceptor. The
- 27 meta-substituted **PyAn4CN** is mainly composed of a CT component, while the para-
- substituted **PyAn5CN** is endowed with a HLCT component. On further extending the
- 29 conjugation of PyAn5CN by inserting a benzene unit between the pyrene and
- anthracene core, the HLCT character is preserved in PyPhAn5CN, accompanied by a
- 31 faster radiative decay. Consequently, the vacuum-evaporated OLEDs exhibit blue

electroluminescence (EL) with the emission peaks in the range of 455-460 nm and high external quantum efficiency (EQE) up to 7.52%, together with well-suppressed efficiency roll-offs of 0.8% and 3.7% at the luminance of 100 and 1000 cd m⁻², respectively. More importantly, the solution-processed device shows an excellent performance with EQE of 6.49%, which is one of the best results in the solution-processed HLCT OLEDs. Our results clearly indicate that the formation of HLCT state is an efficient way to realize high-efficiency blue electrofluorescence.

39 **Keywords:** organic light-emitting diode, hybridized local and charge-transfer, charge-40 transfer, excited state, electroluminescence

1. Introduction

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As the essential component, organic fluorescent emitters play a key role to determine the performance of organic light-emitting diodes (OLEDs).¹⁻⁶ The conventional fluorescent emitters can only utilize singlet excitons for light emission while the triplet excitons are lost through non-radiative processes, thus limiting the internal quantum efficiency (IQE) of 25% because of the ratio of the formed singlet and triplet excitons of 1:3 according to the spin statistics under electrical excitation.⁷⁻¹¹ Therefore, how to make an effective utilization of triplet exciton is a crucial issue to obtain high-efficiency electroluminescence (EL). Recently, triplet-triplet annihilation (TTA) emitters capable of converting two triplet excitons to generate one singlet exciton for emission have an enhanced IQE of 62.5%, breaking the theoretical IQE limit of 25% and achieving the external quantum efficiency (EOE) over 10%. 12-17 In thermally activated delayed fluorescence (TADF) emitters, 75% triplet excitons can be made with a full utilization to realize an ideal 100% IQE through reverse intersystem crossing (RISC) process, up to now, the reported EQEs of TADF devices are over 40%. 3, 18-20 But most of the TADF emitters are constructed by donor-acceptor (D-A) structure to give a small singlet-triplet energy gap (ΔE_{ST}) for efficient RISC process, such D-A structure usually makes the molecules to possess a strong charge-transfer (CT) character, accompanied by a broad and red-shifted emission. ²¹⁻²³ In addition, the TADF devices always suffer from severe efficiency roll-off due to the long-lived triplet excitons.²⁴

Therefore, there is a great challenge to design blue TADF emitters for high-performance blue EL devices.

Recently, a new kind of fluorescent emitters, hybridized local and charge-transfer (HLCT)-type materials with a high-lying excited state formed by a highly mixed or hybridized locally excited (LE) and CT state, has been developed by Ma and coworkers. Different from TADF feature, the RISC process in HLCT emitters occurs at the high-lying excited states via a "hot exciton" channel, named hRISC process, thus realizing IQE of 100%. Generally, the LE state in the HLCT emitters gives a large transition moment to a highly efficient fluorescent radiative decay, while the CT state ensures the generation of a high fraction of singlet exciton for full exciton utilization. Because the development of HLCT emitters is still in its infancy, the efficiency is inferior than TADF emitters, and more efforts need to be made for the advance of this research area. With respect to the design of HLCT emitters, the rational manipulation of the excited states is crucial to achieve high-efficiency EL devices.

Herein, we proposed an effective molecular design strategy by introducing a N-containing cyanopyridine acceptor to construct a series of blue fluorescent emitters (Fig. 1). Owing to the enhanced electron-withdrawing ability than that of the benzonitrile moiety, the prepared molecules with the increased CT components show red-shifted emissions in the range of 455-468 nm compared with the benzonitrile derivative (437 nm) (Supporting Information). By changing the cyano group from *meta* to *para* position, torsional angle between anthracene and cyanopyridine acceptor at the lowest singlet excited state (S₁) is obviously decreased due to the reduced steric hindrance (Fig. S1), attributing to the excited state manipulation from CT to HLCT state, thus leading to an increased photoluminescence quantum yield (PLQY) of 73%. By further inserting a phenyl ring between pyrene and anthracene core, the intermolecular electronic couplings can be enhanced and the charge transport properties can be optimized in **PyPhAn5CN**, while its emission remains unchanged due to the same transition component of S₁ state (Fig. 2a). The vacuum-evaporated OLEDs based on these emitters exhibited blue EL emission with high EQEs up to 7.52%. More importantly,

the solution-processed device showed an excellent performance with high maximum EQE of 6.49% and current efficiency (CE) of 10.54 cd A⁻¹, which are one of the highest values in the solution-processed HLCT OLEDs. ³³⁻³⁸

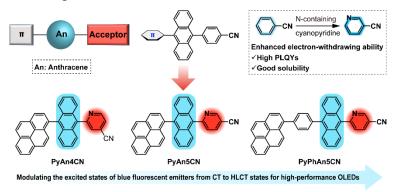


Fig. 1. Molecular design strategy and chemical structures of blue anthracene-based fluorescent emitters.

2. Results and Discussion

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2.1 Molecular Design and Simulation

As illustrated in Fig. 1, cyanopyridine, anthracene and pyrene units were chosen as the electron-acceptor, electron-donor and peripheral π -conjugation moiety to construct three blue fluorescent emitters PyAn4CN PyAn5CN and PyPhAn5CN. These emitters show similar lowest unoccupied molecular orbital (LUMO) distributions, which are localized on the anthracene core and the cyanopyridine acceptor (Fig. 2a). The highest occupied molecular orbitals (HOMOs) of PyAn4CN and PyAn5CN are distributed on the anthracene moiety, while that of PyPhAn5CN is localized on both anthracene and pyrene units, suggesting that the good chargetransporting properties can be realized in PyPhAn5CN due to the electron-donating ability of the peripheral pyrene moiety and a reduced torsion angle between pyrene and anthracene groups induced by a phenyl linker (Fig. 2a).³⁹ In order to investigate their excited-state characteristics, natural transition orbital (NTO) analysis was performed (Fig. 2a and Fig. S2). For the $S_0 \rightarrow S_1$ transition of *meta*-substituted **PyAn4CN**, the "hole" and "particle" are separated from each other, indicating a CT-dominant excited state with a small oscillator strength (f) of 0.0398. Differently, the "hole" of para-substituted PyAn5CN and PyPhAn5CN is distributed on the anthracene core, and the "particle"

is localized on the anthracene and cyanopyridine acceptor, which shows the obvious HLCT states with the enhanced f values of 0.2132 and 0.2359. Furthermore, the large energy gap (~1.20 eV) between T₁ and T₃ or T₄ suppressed the internal conversion (IC) process and the small energy gap (~0.03 eV) between S₁ and T₃ or T₄ promoted the hRISC process (Fig. 2b).²⁶ Meanwhile, their energy gaps (0.65-0.82 eV) between S₁ and T₂ states were larger than those between T₁ and T₂ states (~0.3 eV), thus leading to the fast IC process instead of hRISC from T₂ to T₁ state. It should be noticed that they exhibit relatively high spin-orbit coupling (SOC) constants (~0.5 cm⁻¹) between S₁ and T₃ or T₄. Thus with the help of small energy gap and large SOC constant, the hRISC processes between S₁ and T₃ or T₄ in **PyAn5CN** and **PyPhAn5CN** will be very efficient to make full utilization of the triplet excitons.⁴⁰

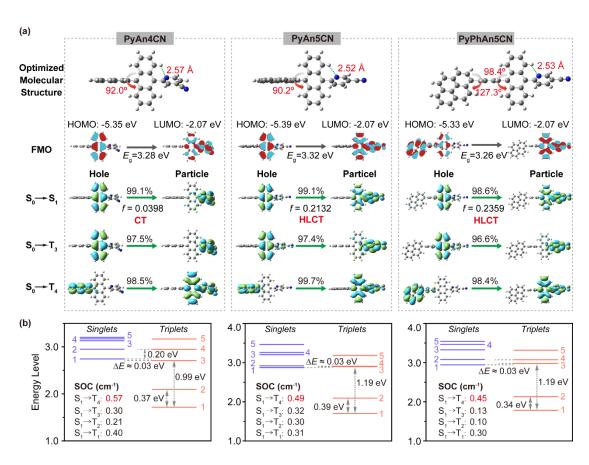


Fig. 2. (a) Optimized geometric structures, HOMO and LUMO distributions, NTO analysis and (b) energy level diagrams including SOC constants of PyAn4CN, PyAn5CN and PyPhAn5CN.

2.2 Synthesis and Characterization

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The synthetic routes of the newly prepared emitters were shown in Scheme S1 and S2 in the Supporting Information. The intermediate arylboronic acids were synthesized via lithiation-borylation reaction and another kind of Br-substituted anthracene intermediates was obtained in two identical steps through Suzuki cross-couplings followed by bromination of anthracene segment. The synthesis of PyAn4CN, PyAn5CN and PyPhAn5CN were finally achieved by Suzuki cross-couplings between the corresponding arylboronic acid and bromoanthracene intermediate in high yields. Their molecular structures were fully characterized by ¹H NMR and ¹³C NMR spectroscopy, mass spectrometry (Supporting Information) and single crystal analysis (Fig. S3 and Table S1). It should be noted that the torsion angle between pyrene and anthracene groups was 84.24° in PyAn4CN (Fig. S3), indicating its highly twisted molecular configuration. The thermal properties of these three emitters were investigated via thermogravimetric analysis (TGA) and differential scanning calorimetry (DSC) measurements (Fig. S4). The decomposition temperatures (T_d: 5% weight loss) for PyAn4CN, PyAn5CN and PyPhAn5CN were 389, 399 and 418 °C, respectively, and the melting temperatures (T_m) in DSC curves were 290 °C for PyAn4CN and 318 °C for PyPhAn5CN, but there was no T_m or glass-transition temperature (T_g) observed for **PyAn5CN**, probably due to its narrow T_m or T_g range.⁴¹ These high thermal stabilities were beneficial for the practical applications in EL devices.42

2.3 Photophysical Properties

The UV-vis absorption and photoluminescence (PL) spectra were measured to study the photophysical properties of **PyAn4CN**, **PyAn5CN** and **PyPhAn5CN** (Fig. 3a and 3b). The lower-energy absorption bands within 350–400 nm belong to the vibrational characteristics from π - π * transitions of the central anthracene unit, while the higher-energy absorption bands at around 290–350 nm were assigned to π - π * transition from the pyrene moiety (Fig. 3a and Fig. S5). ^{13, 39, 43} These emitters in dilute toluene exhibited similar blue emissions at 455–468 nm to the molecule of An5CN without a pyrene unit (Fig. S6), and the PL emission peaks were at 473–479 nm in films, showing

spectral red-shifts of 18, 11, 11 nm for PvAn4CN, PvAn5CN and PvPhAn5CN, respectively (Fig. 3b and Table 1). The PLQYs of PyAn4CN, PyAn5CN and PyPhAn5CN in toluene were measured to be 61%, 73% and 65%, while those in the doped films were 41%, 36% and 69%, respectively. The enhanced PLQYs of PyAn5CN and PyPhAn5CN were benefited from the increased LE components and were consistent with their larger oscillator strength (Fig. 2a). Then, their fluorescent behaviors were investigated by transient PL analysis. As shown in Fig. 3c, all the emitters exhibited short nanosecond-scaled excited lifetimes of 4.19, 4.10 and 1.67 ns for PyAn4CN, PyAn5CN and PyPhAn5CN, respectively, and did not show delayed fluorescence component. It should be noted that the shortest excited state lifetime of PyPhAn5CN is probably due to the increased non-radiative decay population caused by the molecular motion attributed to the bridged phenyl unit. 44 The corresponding nonradiative decay rates were calculated to be 1.0×10⁸ s⁻¹ for **PyAn4CN**, 6.0×10⁷ s⁻¹ for PvAn5CN, and 2.1×10⁸ s⁻¹ for PvPhAn5CN, respectively. Moreover, the solvatochromic effects of three emitters were analyzed in different solvents from lowpolarity cyclohexane to high-polarity acetonitrile (Fig. 3d). It was found that the emission peaks of three emitters were gradually red-shifted with increasing the polarity of solvent, and the reduced red-shifts from 24 nm to 14 nm was profited from the enhanced LE components of HLCT excited states (Fig. S7).^{31, 45} Further analysis from the Lippert-Mataga models (Fig. S8 and Table S2), PyAn4CN exhibited a linear relationship between the Stokes shift and the orientation polarizability of solvent, while that of PyAn5CN and PyPhAn5CN was demonstrated as two different linear plots, indicating that their excited states with HLCT character.³⁰

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To better determinate the excited states, the low temperature fluorescence and phosphorescence spectra of three emitters at 77 K were investigated (Fig. S9). According to the formula $E_{\rm S_1}=1240/\lambda_{\rm PL}$, the S₁ value of these three emitters were calculated to be 2.69, 2.62 and 2.63 eV for **PyAn4CN** and **PyAn5CN** and **PyPhAn5CN**, respectively. By using a phosphorescent dye PtOEP to sensitize the T₁ states of the prepared emitters, the new emission peaks at around 700 nm were reasonably assigned to the T₁ states of three blue emitters, while the peaks located at 430-560 nm were attributed to their high-lying triplet excited state T_n (n = 2, 3 or 4), thus the corresponding T₁, T₂, T₃ and T₄ values were 1.78, 2.22, 2.49 and 2.84 eV for **PyAn4CN**, 1.77, 2.16, 2.42 and 2.79 eV for **PyAn5CN**, and 1.73, 2.21, 2.53 and 2.74 eV **PyPhAn5CN**, respectively (Fig. S9). These results clearly demonstrated the existence of much lower T₁ energy levels of ≈1.77 eV and large energy gaps of ≈0.90 eV between

194 S₁ and T₁, promoting the hRISC process to occur. ⁴⁶

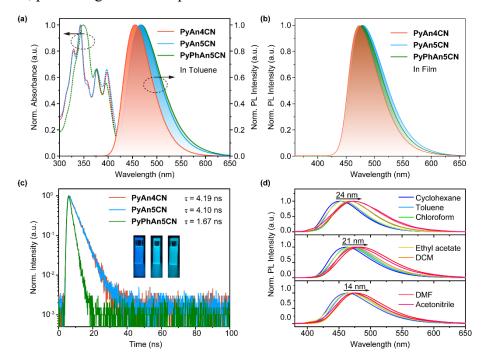


Fig. 3. (a) UV-vis absorption spectra and PL spectra (10⁻⁵ M in toluene), (b) PL spectra in films, (c) transient PL decay curves (10⁻⁵ M in toluene), and (d) solvatochromic effect spectra of **PyAn4CN**, **PyAn5CN** and **PyPhAn5CN**. Inset are their PL photographs in toluene under UV light.

Table 1. The thermal, photophysical and electrochemical properties of PyAn4CN-, PyAn5CN- and PyPhAn5CN-based devices.

	$T_{\rm d}/T_{\rm m}{}^{\rm a}$	$\lambda_{ m abs}{}^{ m b}$	$\lambda_{ m em}^{b}$	$\lambda_{\mathrm{em}}{}^{\mathrm{c}}$	$E_{ m g}{}^{ m d}$	$E_{\mathrm{S}_{1}}^{\mathrm{e}}$	HOMO/LUMO ^f	PLQYg
Compound	(°C)	(nm)	(nm)	(nm)	(eV)	(eV)	(eV)	(%)
PyAn4CN	389/290	328, 342, 375, 396	455	473	2.95	2.73/2.62	-5.82/-2.87	61/17/41
PyAn5CN	399/-	327, 341, 375, 396	467	478	2.92	2.66/2.59	-5.82/-2.90	73/13/36
PyPhAn5CN	418/318	348, 374, 394	468	479	2.94	2.65/2.59	-5.77/-2.83	65/40/69

^a Decomposition temperature (5% weight loss)/melting point; ^b Measured in toluene; ^c Measured in neat film; ^d Calculated from absorption onset in toluene; ^e Calculated from emission peak in toluene and film at room temperature; ^f HOMO: estimated by cyclic voltammetry measurement; LUMO: calculated from the equation: $E_{\text{LUMO}} = E_{\text{HOMO}} + E_{\text{g}}$; ^g Absolute PLQY evaluated by integrating sphere in toluene, neat and doped films.

2.4 Electrochemical Properties

The electrochemical properties of **PyAn4CN**, **PyAn5CN** and **PyPhAn5CN** were studied by electrochemical cyclic voltammetry (CV) measurements (Fig. S10). From the onset oxidation potentials of the CV curves, the HOMO energy levels of **PyAn4CN**,

- 211 **PyAn5CN** and **PyPhAn5CN** were obtained as -5.82, -5.82 and -5.77 eV, respectively.
- Based on the equation $E_{LUMO} = E_{HOMO} + E_{g}$, where E_{g} is the optical gap from the
- 213 absorption spectra, their LUMO energy levels were calculated to be -2.87, -2.90 and -
- 2.83 eV, respectively. Their suitable HOMO and LUMO energy levels were beneficial
- 215 for good charge injection in EL devices.

2.5 Device Performance

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Encouraged by the appealing PL properties and good thermal stabilities of 217 PyAn4CN, PyAn5CN and PyPhAn5CN, the vacuum-evaporated OLED devices with 218 a configuration of ITO/HATCN (15 nm)/TAPC (50 nm)/TCTA (5 nm)/CZPA: 5 wt% 219 emitter (20 nm)/TmPyPB (40 nm)/LiF (1 nm)/Al (100 nm) were fabricated (**PyAn4CN**, 220 PyAn5CN and PyPhAn5CN for devices A-C, respectively), in which HATCN 221 (dipyrazino(2,3-f:20,30-h)quinoxaline-2,3,6,7,10,11-hexacarbonitrile), TAPC (4,4'-222 223 cyclohexylidenebis(N,N-bis(4-methylphenyl)benzenamine)), TCTA (4,4',4"tris(Ncarbazolyl)triphenylamine), TmPyPB (1,3,5-tri[(3-pyridyl)-phen-3-yl]benzene), CZPA 224 (9-[4-(10-phenyl-9-anthryl)phenyl]-9H-carbazole) serve as the hole injection layer, the 225 hole transporting layer, the electron transporting and the exciton-blocking layers, and 226 227 the host, respectively. The corresponding energy level diagrams and molecular structures of the materials involved in the OLEDs are displayed in Fig. 4a and Fig. S11. 228 As expected, all the devices exhibited blue emissions with the EL peaks of 455, 229 460, and 459 nm, corresponding to the Commission Internationale de l'Eclairage (CIE) 230 coordinates of (0.15, 0.10), (0.15, 0.16), and (0.15, 0.15) for devices A-C, respectively 231 (Fig. 4b), which were almost identical to their PL spectra of the doped films with the 232 same doping concentration (Fig. S12). The maximum CEs, power efficiencies (PEs), 233 and EQEs of the devices were 6.11 cd A⁻¹, 5.25 lm W⁻¹, and 6.12% for PyAn4CN, 7.98 234 cd A⁻¹, 6.96 lm W⁻¹, and 5.75% for **PyAn5CN**, and 9.99 cd A⁻¹, 8.66 lm W⁻¹, and 7.52% 235 for PyPhAn5CN (Fig. 4c and 4d and Table 2), which are among the best results 236 reported so far and much better than those of the An5CN-based device with maximum 237 CE of 1.03 cd A^{-1} , PE of 0.32 lm W^{-1} , and EQE of 1.08% (Fig. S13), indicating that the 238 peripheral π -conjugation moiety of pyrene units would achieve good charge transport 239

property for efficient EL.39 Remarkably, it should be noted that the CEs and PEs of PyAn5CN- and PyPhAn5CN-based devices were higher than that of PyAn4CN-based device, while the highest EQE in PyPhAn5CN-based device was observed, which was benefited from the HLCT properties. In addition, PyAn5CN- and PyPhAn5CN-based devices showed significantly reduced roll-offs (1.2% and 2.6%, and 0.8% and 3.7% at the luminance of 100 and 1000 cd m⁻², respectively), compared to PyAn4CN-based device (3.4% and 7.8% at the luminance of 100 and 1000 cd m⁻², respectively). Such extremely low efficiency roll-offs could be attributed to the reduced triplet exciton density at high current density via hRISC process in HLCT emitters.⁴⁷ Theoretically, the exciton utilization efficiency (EUE) of device were estimated according to following equation: $\eta_{EQE} = \eta_{eh} \times \eta_{PL} \times \eta_{exciton} \times \eta_{out}$ (η_{eh} is the factor of the recombination efficiency of injected electrons and holes, ideally 100%; η_{PL} is the absolute PLQY of the emitter; η_{exciton} and η_{out} are the EUE of device and the light out-coupling efficiency, respectively). Therefore, the EUEs of the Devices A-C were as high as 50.2%, 39.4% and 57.8%, respectively, which broke the limit of 25% in the traditional fluorescent OLED devices, implying the effectiveness of HLCT OLEDs. To gain a deep understanding of the emission mechanism of emitters, the magnetic effect measurements on devices were carried out (Fig. S14). It should be noted that the EL intensities of these devices increased with increasing the magnetic field, further confirming that the emission mechanisms of these three emitters were not attributed to the TTA process but to the "hot exciton" channel.⁴⁶

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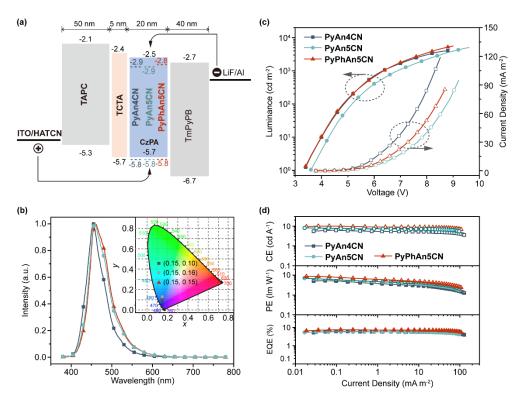


Fig. 4. EL performance of the vacuum-evaporated **PyAn4CN-**, **PyAn5CN-**, and **PyPhAn5CN-**based blue devices. (a) Device configurations and energy levels of the materials, (b) EL spectra, (c) variation of luminance (solid symbols)-voltage-current density (*J*, hollow symbols) curves, and (d) efficiencies-current density curves of the OLEDs. Inset: CIE coordinates of the vacuum-evaporated blue OLEDs based on **PyAn4CN, PyAn5CN**, and **PyPhAn5CN**.

Table 2. Summary of the electroluminescence performance of PyAn4CN-, PyAn5CN- and PyPhAn5CN-based devices.

Device	V _{on} ^a	$L_{max}{}^{b}$	CE _{max} ^c	PE _{max} d	EQEe	$\lambda_{\mathrm{EL}}{}^{\mathrm{f}}$	FWHMg	CIE ^h (x, y)
	(V)	(cd m ⁻²)	(cd A-1)	(lm W ⁻¹)	(%)	(nm)	(nm)	$CIE^{-}(x,y)$
A	3.4	4010	6.11	5.25	6.12, 5.91, 5.64	455	50	(0.15, 0.10)
В	3.6	5463	7.98	6.96	5.75, 5.68, 5.60	460	56	(0.15, 0.16)
C	3.4	6717	9.99	8.66	7.52, 7.46, 7.24	459	54	(0.15, 0.15)
D	4.0	2092	10.54	7.35	6.49, 6.01, 2.91	466	71	(0.16, 0.22)

^a Voltage at 1 cd m⁻². ^b Maximum luminance. ^c Maximum current efficiency. ^d Maximum power efficiency. ^e Maximum external quantum efficiency, external quantum efficiencies at 100 and 1000 cd m⁻². ^f EL peak at 7 V. ^g Full width at half maximum of EL spectrum at 7 V. ^h Commission Internationale de l'E'clairage coordinate at 7 V.

In light of the good solubility and morphological stability (Fig. S15) of **PyAn5CN**, the solution-processed OLED was also fabricated with a device structure of

ITO/PEDOT:PSS (ca. 40 nm)/CZPA: 10 wt% emitter (ca. 40 nm)/bis[2-(diphenylphosphino)phenyl]ether oxide (DPEPO) (10 nm)/TmPyPB (50 nm)/LiF (1 nm)/Al (100 nm) as device D. Excitedly, device D exhibited a blue emission with an excellent maximum EQE of 6.49 %, high maximum CE up to 10.54 cd A⁻¹, and the CIE coordinate of (0.16, 0.22) (Fig. 5 and Table 2). Such high device performance should be attributed to the high exciton utilization of the HLCT emitter induced by the efficient hRISC process from the high-lying triplet excited state to the S₁ state.²⁵ It should be noted that these efficiencies are one of the best performances in the reported solution-processed blue HLCT OLEDs (Table S3 and Fig. S16).

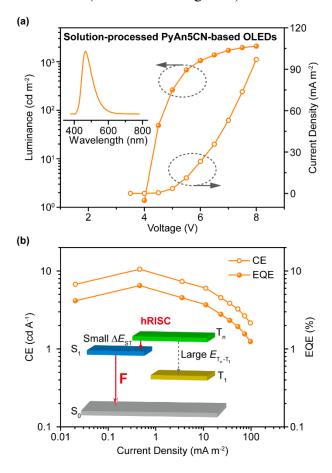


Fig. 5. (a) Luminance (solid symbols)-voltage-current density (*J*, hollow symbols) curves of the solution-processed **PyAn5CN**-based blue OLED, (b) CE-current density-EQE curves of the device. Inset: EL spectra at the voltage of 7 V.

3. Conclusion

In summary, by using cyanopyridine acceptor, anthracene core, and pyrene unit as the

building blocks, three new blue fluorescent emitters (**PyAn4CN**, **PyAn5CN** and **PyPhAn5CN**) showing controllable excited states were rationally designed, prepared and fully characterized. The theoretical simulations and experimental results elucidated that their excited states can be modulated from CT to HLCT through a simple design strategy. As a result, the vacuum-evaporated blue HLCT OLEDs based on these emitters exhibited high EQEs up to 7.52% with well-suppressed efficiency roll-offs of 0.8% and 3.7% at the luminance of 100 and 1000 cd m⁻², owing to the efficient hRISC process from the high-lying triplet excited state to the S₁ state. In addition, because of the high PLQY and good solubility of **PyAn5CN**, its solution-processed device was also fabricated with an excellent performance with high maximum EQE of 6.49% and CE of 10.54 cd A⁻¹, which are one of the highest efficiencies in the solution-processed HLCT OLEDs reported so far. These outstanding performances demonstrate that the relational design of the efficient HLCT emitters will be an efficient way to develop low-cost and high-efficiency blue electroluminescence.

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Conflicts of Interest

319 The authors declare no conflict of interest.

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