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2 **A Reverse Time Migration-based Multistep**
3 **Angular Spectrum Approach for Ultrasonic**
4 **Imaging of Specimens with Irregular Surfaces**

5
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20 **Abstract**

21 We develop a new ultrasonic imaging framework for non-destructive testing of an immersed
22 specimen featuring an irregular top surface and demonstrate its capability of accurately
23 depicting the lower surfaces of multiple damages hidden in the specimen. Central to the
24 framework is a multistep angular spectrum approach (ASA), via which the forward
25 propagation wavefields of wave sources and backward propagation wavefields of the
26 received wave signals are calculated. Upon applying a zero-lag cross-correlation imaging
27 condition of reverse time migration (RTM) to the obtained forward and backward wavefields,
28 the image of the specimen with an irregular surface can be reconstructed, in which hidden
29 damages, if any and regardless of quantity, are visualized. The effectiveness and accuracy of
30 the framework are examined using numerical simulation, followed with experiment, in both
31 of which multiple side-drilled holes, at different locations in aluminum blocks with various
32 irregular surfaces, are characterized. Results have proven that multiple damages in a
33 specimen with an irregular surface can be individually localized, and the lower surface of
34 each damage can further be imaged accurately, thanks to the RTM-based algorithm in which
35 multiple wave reflections from the specimen bottom are taken into wavefield extrapolation.
36 The proposed imaging approach presents higher computational efficiency, compared to
37 conventional RTM, and enhanced imaging contrast over prevailing total focusing methods.

38

39 **Keywords:** reverse time migration (RTM); angular spectrum approach (ASA); irregular
40 surface; ultrasonic imaging; nondestructive testing (NDT)

41

42 **1. Introduction**

43 Ultrasonic imaging, in conjunction with the use of phased arrays, has gained its prominence
44 in nondestructive testing (NDT) and demonstrated its effectiveness in characterizing
45 invisible defect or damage in specimens [1-4]. During implementation, the imaging
46 algorithm is a predominant factor governing the accuracy and resolution that a reconstructed
47 image can deliver. The prevailing imaging algorithms today have proven capacity of
48 inspecting a specimen with a flat surface which is either in parallel or oblique to the surface
49 of the phased array. Nevertheless, these algorithms often fail when they are extended to the
50 specimens with non-planar surfaces, irrespective of the fact that the non-planar surfaces are
51 ubiquitous in engineering practice such as weld-caps, molded components and pipelines. To
52 circumvent such deficiency that most ultrasonic imaging algorithms may encounter,
53 conventional phased arrays are retrofitted to possess curved surfaces that are adaptive to
54 non-planar specimens, as typified by the flexible array [5,6] and membrane-coupled
55 conformable array [7]; alternatively, the ultrasonic scanning can be implemented in a
56 contactless manner via water immersion for example, whereby to minimize energy loss when
57 ultrasonic waves traverse from a phased array to the specimen [8,9].

58

59 In addition to the challenge from the non-planar surfaces, the hidden damage in a solid also
60 introduces extra obstacle to ultrasonic imaging. To gauge a damage deeply hidden,
61 sophisticated imaging algorithms have been developed. Representatively, using an improved
62 imaging algorithm originated from a total focusing method (TFM) [10-12] which combines
63 the full matrix capture (FMC) information [13] and a virtual source aperture approach, a
64 dual-layered medium with a complex interface was imaged with good resolution [14-17].
65 Nevertheless, TFM-based imaging is computationally expensive in general, because it
66 demands intensive calculation of time-of-flights (ToFs) of wave propagation along different

67 paths, based on the Fermat principle such as the spatial discrete searching or numerical
68 iteration. Even though, the image resolution tends to be compromised as the specimen depth
69 increases – a consequence of the exponentially increased wave attenuation as the probing
70 wave penetrates the specimen. Moreover, in a TFM-based method, multiple wave reflections
71 from the specimen bottom may considerably complicate wave signals and lower signal-to-
72 noise ratio, resulting in artifacts in reconstructed images.

73

74 Reverse time migration (RTM), originating from seismic imaging [18], has consolidated its
75 popularity in ultrasonics-based NDT in recent years. Yuan *et al* [19-22] applied RTM to
76 image and localize damage in a thin plate. He *et al* [23-25] presented RTM with a normalized
77 zero-lag cross-correlation imaging condition to image and quantify multiple sites of damage
78 in isotropic plate. Gao *et al* [26] proposed a mixed method by combining the time reverse
79 algorithm with RTM, via which an internal damage in a multi-layered medium was detected
80 and visualized accurately. Anderson *et al* [27] designed a subtle time reverse mirror to
81 implement the RTM-driven imaging for localizing disbonding in a bounded aluminum plate.
82 In these paradigms, the RTM-based imaging is manipulated with a postulation that when a
83 receiver wavefield is propagated backward from the receiver in the time domain, the wave
84 components reflected from the internal damage will, in principle, focus at the location of the
85 damage – a method based on the wavefield extrapolation of full wave equation. RTM-driven
86 imaging mitigates, to a certain degree, the abovementioned deficiency of TFM-based
87 imaging (namely, the deeper the damage in a specimen the lower the imaging precision it
88 will be), by improving the imaging contrast for a damage at a greater depth in the specimen
89 [10-12]. That is because RTM-based imaging makes use of the multiple wave reflections
90 from the specimen bottom which carry rich information on the internal damage, rather than
91 discarding the multiple reflections as a conventional TFM-based imaging approach does.

92 With such a merit, RTM-based imaging has been proven effective in characterizing circular
93 tendon ducts in concrete media [28], and vertical slots or **irregulated shaped notches in**
94 **metallic blocks [29-30]**.

95

96 The underlying principle of RTM-based imaging is the simultaneous extrapolation of
97 forward propagation of wave sources and backward propagation of the received wave
98 signals. In most circumstances, the simultaneous extrapolation can be implemented through
99 numerical means such as finite difference time-domain methods (FDTDs) [28] or finite
100 element methods (FEMs) [29]. However, numerical simulation usually entails full modeling
101 in the spatial domain and computation of the entire specimen, including the coupled fluid in
102 which the specimen is immersed. Apparently, it is highly computationally expensive and
103 unnecessary to model and image the entire fluid–solid coupled system. Moreover, the
104 massive computation burdens computing hardware. To mitigate demanding requirements
105 from data storage and ROM, parallel computing techniques such as those based on CPU or
106 advanced GPU have been exploited, with a hope to accelerate the computation of wave
107 propagation [31]. However, it is still a daunting task to break through the computational
108 bottleneck [32]. As a remedial measure, an analytical RTM approach [33] using fictitious
109 sources was developed, in which the integral in wave propagation computation was
110 substituted with approximate calculation, whereby to remarkably improve computational
111 efficiency and reduce cost, although such a measure shows proven effectiveness only for
112 those specimens with simple geometric features, for example a homogeneous medium with
113 a flat top surface.

114

115 As an alternative to FDTDs and FEMs, the angular spectrum approach (ASA) depicts
116 wave propagation in the frequency–wavenumber domain [34], via which an acoustic source

117 can be decomposed to the plane of angular spectrum using the Fourier transform. Notably,
118 ASA can be extended to a curved radiator [35]. The angular spectrum is extrapolated in the
119 depth direction of the specimen, and thus the sound field at any depth of the specimen can
120 be defined via the inverse Fourier transform of angular spectrum. Benefiting from the nature
121 that the majority of calculation in ASA can be performed via Fourier transform and inverse
122 Fourier transform, the approach in general assures higher computational efficiency
123 compared to other numerical methods such as FDTDs used in most RTM-based imaging.
124 Furthermore, ASA reconstructs a wavefield locally at any depth of the specimen, rather than
125 modeling and imaging the entire fluid–solid coupled system. Such a merit makes it possible
126 to gauge only the local region of interest (RoI) – the vicinity in the specimen where a damage
127 may exist, remarkably lowering computational cost and unburdening computing hardware.

128

129 Nevertheless, the conventional ASA is usually limited to modeling the propagation of a
130 sound field in a homogeneous and isotropic medium. That is because the Fourier transform
131 from the spatial domain to the frequency–wavenumber domain cannot be implemented at an
132 interface on which the acoustic parameters are non-uniform along the horizontal direction in
133 the Cartesian coordinate system. To circumvent such a problem, Belgroune *et al* [36]
134 revamped the conventional ASA algorithm by rotating the coordinates, to model wave beam
135 transmitted from the liquid to the solid through a plane interface. Varray *et al* [37], with
136 consideration of wave nonlinearity and wave attenuation, investigated the second harmonic
137 wave propagation in an inhomogeneous solid via a generalized ASA algorithm. With that,
138 wavefields along both the horizontal and depth directions of the specimen were depicted
139 through fractionizing the inhomogeneous layer into a series of rectangular homogeneous
140 fragments, in each of which the conventional ASA algorithm was applied [38]. However,
141 these methods may encounter restrictions when they are extended to a specimen featuring

142 an irregular top surface (e.g., a curved surface), because neither the rotation of coordinates
143 nor fractionization of the irregular surface can alter the irregular interface (between the
144 specimen and the fluid) to a surface with uniform acoustic parameters along the horizontal
145 direction.

146

147 In recognition of the limitations of conventional TFM, RTM and ASA, as commented in the
148 above, a new ultrasonic imaging framework for non-destructive testing of an immersed
149 specimen with an irregular top surface is proposed. To circumvent the incapability of
150 conventional ASA-based imaging when it is used to tackle specimens with irregular surfaces,
151 to which the spatial Fourier transform cannot be implemented in the horizontal direction, a
152 multistep ASA algorithm is developed. With the multistep ASA, the forward propagation
153 wavefields of wave sources and backward propagation wavefields of the received wave
154 signals are calculated, which is applicable to the specimen featuring an irregular interface
155 with the coupled fluid. With accurate depiction of the forward and backward propagation
156 wavefields, an image of the specimen is reconstructed after applying a cross-correlation
157 imaging condition of RTM. The proposed framework is validated via numerical simulation
158 and experiment, in both of which multiple side-drilled holes, at different locations in
159 aluminum blocks with various irregular surfaces, are characterized. Resolution and precision
160 of the imaging are compared with those of conventional TFM-based imaging.

161

162 The layout of this paper is as follows: Section 2 elaborates on the proposed RTM-based
163 multistep ASA for ultrasonic imaging, following succinct introduction to the background
164 knowledge of RTM. A dual-layer medium – a fluid–solid coupled system with an irregular
165 interface is discussed in Section 2, for illustrating the principle of the proposed approach,
166 which is verified using FEM. In Section 3, accuracy and precision of the multistep ASA-

167 based imaging are examined experimentally. Two aluminum blocks with irregular surfaces
168 are prepared, in which multiple side-drilled holes are introduced as multiple hidden damages.
169 Section 4 compares the ASA-based algorithm against TFM in terms of the imaging quality.
170 Key observations and conclusions from this study are recapped in the last section.

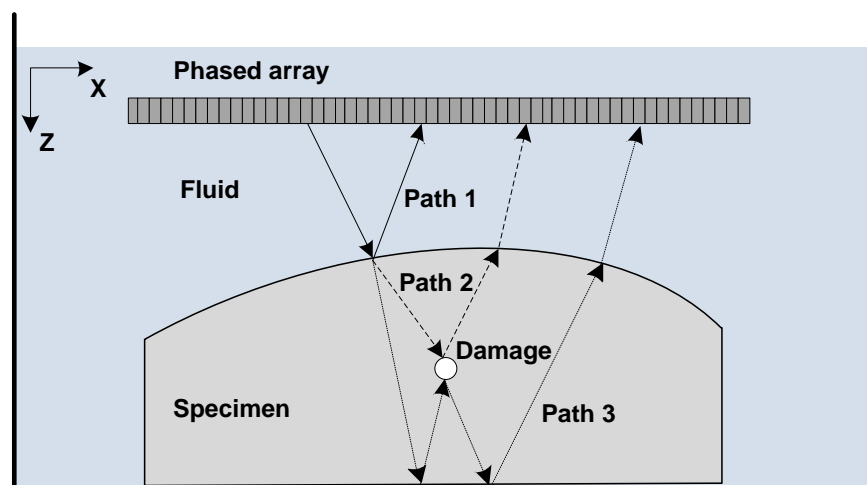
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172 2. RTM-based Multistep ASA

173 2.1 RTM

174 In RTM-based imaging, the imaging conditions are applied to the forward propagation of a
175 source signal and the backward propagation of a received signal, to reconstruct an image
176 along the specimen depth. Both the forward and backward wave propagation in a
177 homogeneous medium is calculated on the basis of acoustic wave equation using acoustic
178 parameters (density, acoustic velocity, etc.) known a priori, with the assumption that the
179 specimen is free of damage. Figure 1 shows the schematic of wave propagation in a
180 homogeneous solid immersed in fluid with an irregular top surface (i.e., a fluid–solid
181 coupled system with an irregular interface) and a hidden damage, when an N -element linear
182 phased array is placed in the fluid to perform ultrasonic scanning.

183



184

185 **Fig. 1.** Schematic of wave propagation in a fluid–solid coupled system with an irregular interface

186 and hidden damage, under ultrasonic inspection using a phased array

187 For the two-dimensional (2D) scenario shown in **Fig .1**, with (x, z) representing the

188 Cartesian coordinates of an image pixel and t denoting the time, consider three paths of

189 wave propagation when the n^{th} element in the phased array ($n = 1, 2, \dots, N$) is triggered to

190 emit a probing wave into the coupled system: *Path 1* – the wave is reflected directly from

191 the upper surface of the specimen, and then captured by an element in the array; *Path 2* –

192 the wave is incident to the specimen, reflected by the damage, and then captured by an

193 element in the array; and *Path 3* – the wave is incident to the specimen, reflected by the

194 specimen bottom to interact with the lower damage surface, reflected by the bottom again

195 after wave scattering from the lower damage surface, and then captured by an element in the

196 array. Amongst these three wave propagation paths, the wave signal along *Path 1* contributes

197 to the spatial determination of the specimen top surface, while the signals along *Paths 2* and

198 *3* facilitate imaging of the hidden damage. The wave signal acquisition duration, T , shall be

199 sufficiently long, so that the multiple reflections from the specimen bottom along *Path 3* can

200 be included in the captured signals.

201

202 RTM-based imaging embraces the following three key steps in sequence:

- 203 I. the wave signal excited by the n^{th} element in the phased array is propagated forward
- 204 in time with material properties and medium geometrical information known *a priori*,
- 205 to extrapolate the source wavefields $S_n(x, z, t)$, ($n = 1, 2, \dots, N$) from the initial time
- 206 (when $t = 0$) through the end of the signal acquisition (when $t = T$);
- 207 II. the received signals are reversed in time – the kernel of the RTM-based imaging;
- 208 subsequently, the time-reversed signals are excited at the corresponding locations of
- 209 all elements in the array, to extrapolate the receiver wavefields $R_n(x, z, T - t)$; and
- 210 III. the image of the specimen is reconstructed after the zero-lag cross-correlating the

211 source wavefields and the receiver wavefields under certain imaging conditions.

212

213 In this study, the zero-lag cross-correlation imaging condition in III, for all the possible pairs

214 of source elements and receiving elements in the array, is defined as

$$215 \quad I(x, z) = \sum_{n=1}^N \frac{\sum_{t=0}^T S_n(x, z, t) \cdot R_n(x, z, T-t)}{\sum_{t=0}^T S_n^2(x, z, t)}, \quad (n = 1, 2, \dots, N) \quad (1)$$

216 where $I(x, z)$ is the image value at pixel (x, z) in the reconstructed image. To obtain the

217 forward propagation wavefield $S_n(x, z, t)$ and backward propagation wavefield

218 $R_n(x, z, T-t)$ in the specimen, one can use numerical methods such as FDTDs or FEMs,

219 with which the entire fluid–solid coupled system, including the fluid, has to be modeled and

220 imaged. This demands extraordinarily high yet unnecessary computational cost, even though

221 the wavefield in the fluid contributes none to characterization of damage – a major demerit

222 that conventional RTM-based imaging has.

223

224 **2.2 Multistep ASA**

225 A multistep ASA-based imaging framework is developed, to break through the limitations

226 of conventional RTM in tackling fluid–solid coupled media with irregular interfaces. This

227 framework allows modeling and calculation of the wavefields in RoI only, rather than the

228 entire coupled system. Furthermore, it circumvents the shortcoming of the conventional

229 ASA (namely, the extrapolation of wavefield can only be fulfilled when the interface

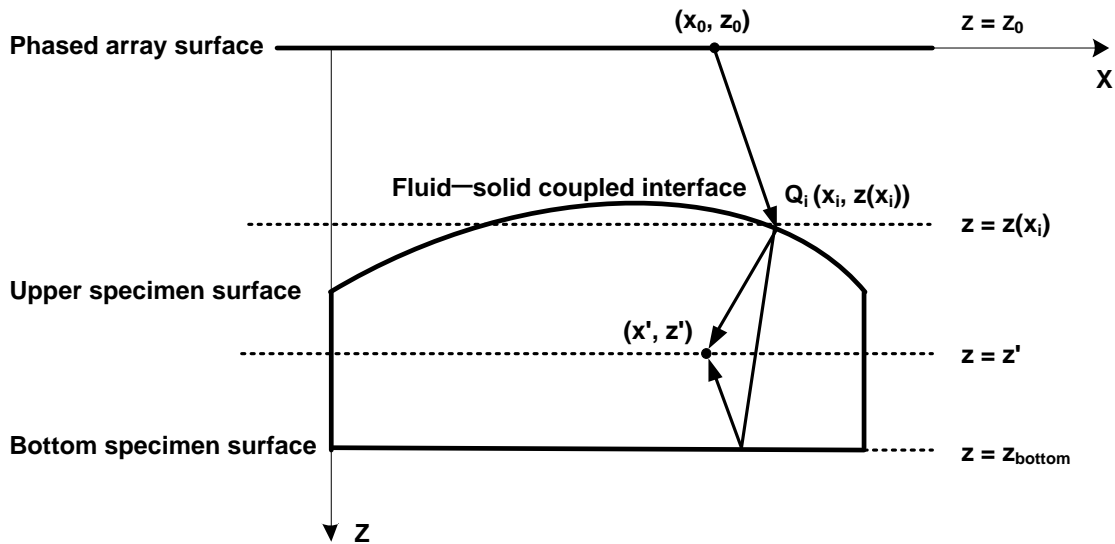
230 possesses uniform acoustic parameters in the horizontal direction, and it cannot be extended

231 to a solid with an irregular surface).

232

233 With the assumption that (i) wave reflections from the top surface of the fluid and from the

234 phased array surface are not taken into account, and (ii) the mode conversion in wave
 235 propagation is neglected, due to the weakness in energy of the converted shear wave mode,
 236 and only the longitudinal wave is investigated. The model for extrapolating wavefields is
 237 illustrated schematically in **Fig. 2**. A twofold calculation process is proposed for wavefield
 238 extrapolation: (i) wave propagation in the fluid is ascertained to obtain the wavefields at the
 239 fluid–solid interface, as detailed in Section 2.2.1; (ii) the obtained wavefields at the interface
 240 are then treated as incident waves to emit into the solid, and with that the wavefields in the
 241 solid are extrapolated, Section 2.2.2.
 242



243
 244 **Fig. 2.** A 2D model for wavefield extrapolation in a fluid–solid coupled system with an irregular
 245 interface
 246

247 **2.2.1. Wavefields in Fluid and at Interface**

248 For the fluid–solid coupled system with an irregular interface shown in **Fig. 2**, a phased array
 249 is placed in the fluid at the plane when $z = z_0$, for wave excitation and acquisition. Given that
 250 an input signal $p(t)$ is produced by a source element in the phased array at (x_0, z_0) , the

251 Fourier modality of the acoustic pressure distribution, $P(x, z, f)$, at the initial plane when
 252 $z = z_0$ can be expressed as

$$253 \quad P(x, z_0, f) = P(f) \cdot \delta(x - x_0), \quad (2)$$

254 where δ signifies the Dirac function and f the frequency. $P(f)$ is the Fourier transform
 255 of $p(t)$. Subsequently, Fourier transform is re-applied to $P(x, z_0, f)$ with respect to x , to
 256 transform $P(x, z_0, f)$ from the spatial to the wavenumber domain, and obtain its angular
 257 spectrum, $\hat{P}(k_x, z, f)$, at the plane when $z = z_0$, which reads

$$258 \quad \hat{P}(k_x, z_0, f) = P(f) e^{-ik_x x_0}, \quad (3)$$

259 where k_x denotes sampling wavenumber along x direction in the spatial frequency domain,
 260 which is the same in the solid and the fluid.

261
 262 Without loss of the generality, arbitrarily choose a point at the irregular interface, Q_i (here,
 263 subscript i denotes a parameter at the interface; $i = 1, 2, \dots, M$ where M stands for the total
 264 number of the discrete points selected on the interface for ASA calculation). The coordinates
 265 of Q_i , namely $(x_i, z(x_i))$, can be determined in terms of the ToF of the first echo wave (i.e.,
 266 the wave propagating along *Path 1* as shown in **Fig. 1**). When the probing wave travels from
 267 the initial plane ($z = z_0$) to point Q_i , the angular spectrum of the acoustic field at the plane
 268 $z = z(x_i)$, denoted with $\hat{P}(k_x, z(x_i), f)$, can be derived by introducing a phase shift with
 269 regard to $\hat{P}(k_x, z_0, f)$, as

$$270 \quad \hat{P}(k_x, z(x_i), f) = \hat{P}(k_x, z_0, f) e^{-ik_{fluid-z}(z(x_i) - z_0)}, \quad (4)$$

271 where $k_{fluid-z} = \sqrt{k_{fluid}^2 - k_x^2}$ ($k_{fluid} = 2\pi f / c_{fluid}$: the wavenumber in the fluid; c_{fluid} : the
 272 velocity of wave in the fluid).

273

274 Subsequently, the transient wavefield at Q_i , viz., $p(x_i, z(x_i), t)$, can be calculated upon
275 applying the 2D inverse Fourier transform (including a spatial inverse Fourier transform
276 first, and then a temporal inverse Fourier transform) on $\hat{P}(k_x, z(x_i), f)$, via

$$277 \quad p(x_i, z(x_i), t) = F_{2D}^{-1} \left\{ \hat{P}(k_x, z(x_i), f) \Big|_{x=x_i} \right\}, \quad (5)$$

278 where F_{2D}^{-1} represents the 2D inverse Fourier transform.

279

280

281 **2.2.2. Wavefields in Solid**

282 The transient wavefield at the interface derived in the above, $p(x_i, z(x_i), t)$, is incident to
283 the solid. Provided a damage exists in the solid at (x', z') , in **Fig. 2**, the damage scatters the
284 incident wave via direct reflection from the plane $z = z'$ (*Path 2*) and multiple reflections
285 from the specimen bottom (*Path 3*). In the same vein, the angular spectrum of the acoustic
286 field at the plane $z = z'$, where the damage exists, $\hat{P}_i^{(solid)}(k_x, z', f)$, can be ascertained,
287 using Eq. (4), as,

$$288 \quad \hat{P}_i^{(solid)}(k_x, z', f) = \hat{P}(k_x, z(x_i), f) e^{-ik_{solid-z}(z'-z(x_i))} + C \cdot \hat{P}(k_x, z(x_i), f) e^{-ik_{solid-z}(2z_{bottom}-z'-z(x_i))} .$$

289

$$(i = 1, 2, \dots, M)$$

290

(6)

291 In Eq. (6) the first term $\hat{P}(k_x, z(x_i), f) e^{-ik_{solid-z}(z'-z(x_i))}$ and the second term
292 $C \cdot \hat{P}(k_x, z(x_i), f) e^{-ik_{solid-z}(2z_{bottom}-z'-z(x_i))}$ refer to the wavefields contributed by *Paths 2* and *3*,
293 respectively; the superscript or subscript “*solid*” distinguishes variables in the solid from
294 those in the fluid as used in Section 2.2.1. $k_{solid-z} = \sqrt{k_{solid}^2 - k_x^2}$ (k_{solid} : the wavenumber in
295 the solid). **C is a generalized reflection coefficient determined by the traction-free boundary**

296 condition at the specimen bottom, which can be obtained by solving Eq. (6) when the term

297 $\sum_i \left[\hat{U}(k_x, z(x_i), f) e^{-ik_{solid-z}(z'-z(x_i))} + C \cdot \hat{U}(k_x, z(x_i), f) e^{-ik_{solid-z}(2z_{bottom}-z'-z(x_i))} \right]$ is zero. It is the

298 introduction of such a coefficient in the angular spectrum calculation that makes it possible

299 to accurately describe the lower surface of the hidden damage, in contrast with conventional

300 imaging using TFM in which only the wave reflections from the upper surface of the damage

301 (i.e., wave propagation along *Path 2*) are considered. Equation (6) is manipulated for each

302 discrete point on the interface (M in total) to yield $\hat{P}_i^{(solid)}(k_x, z', f)$ (where $i = 1, 2, \dots, M$),

303 summation of which leads to the total angular spectrum $\hat{P}^{(solid)}(k_x, z', f)$, at the plane

304 $z = z_0$ (where damage exists):

$$305 \quad \hat{P}^{(solid)}(k_x, z', f) = \sum_{i=1}^M \hat{P}_i^{(solid)}(k_x, z', f). \quad (i = 1, 2, \dots, M) \quad (7)$$

306 Subsequently, using the 2D inverse Fourier transform, the transient wavefield at the point

307 (x', z') can be obtained, as

$$308 \quad p(x', z', t) = F_{2D}^{-1} \left\{ \hat{P}^{(solid)}(k_x, z', f) \Big|_{x=x'} \right\}. \quad (8)$$

309

310 Upon applying the above multistep ASA to the excited signals and time-reversed signals, the

311 forward and backward propagation wavefields in the solid are defined. With the wavefields,

312 the entire solid can be imaged using RTM algorithm, in which damages, if any in the solid

313 and regardless of the quantity, can be visualized.

314

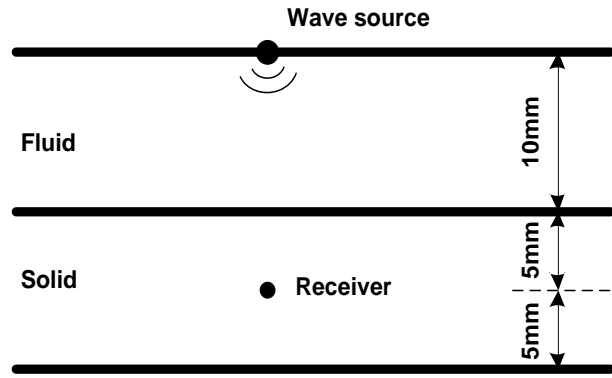
315 **2.3. Numerical Verification**

316 To verify the RTM-based multistep ASA for ultrasonic imaging, numerical simulation is

317 performed first, in which a 2D fluid–solid coupled system, as schematically shown in **Fig.**

318 **3(a)**, is considered. The depth of the fluid and the solid is 10 mm each, with respective key
319 acoustic parameters listed in **Table 1**, and key parameters used in ASA calculation in **Table**
320 **2**.

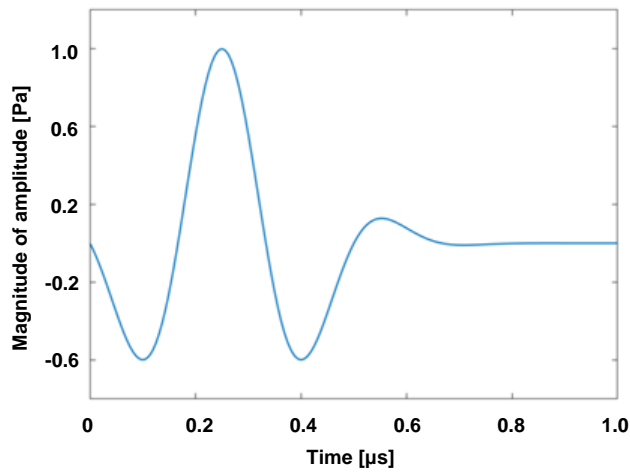
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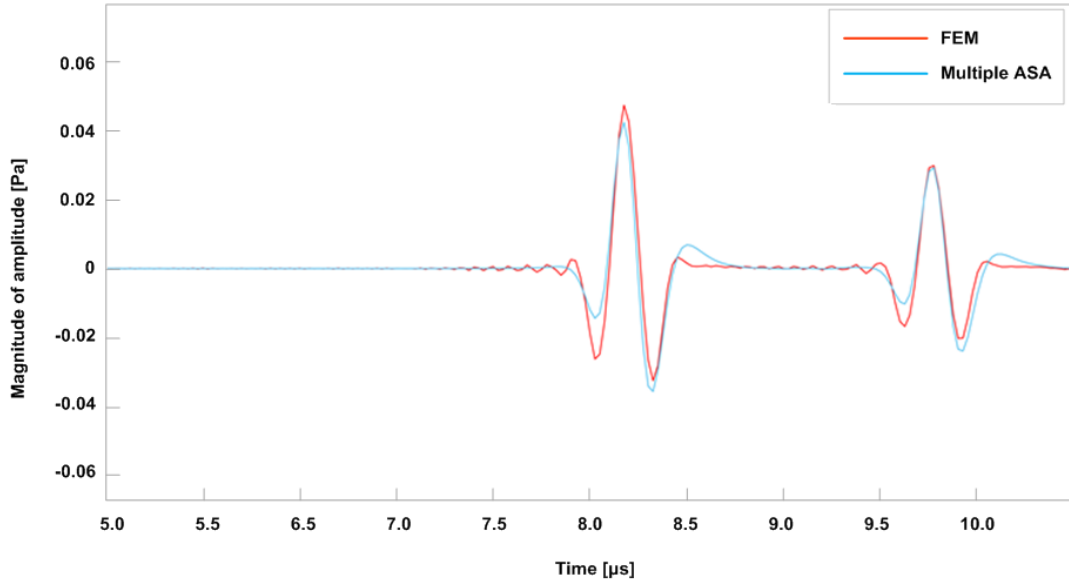
(a)



324

325

(b)



(c)

Fig. 3. (a) A simplified 2D fluid–solid coupled system for illustrating multistep ASA-based imaging; (b) excitation signal; and (c) comparison of results obtained using the proposed algorithm and using FEM

Table 1. Acoustic parameters of the fluid–solid coupled system in simulation

	fluid	solid
Velocity of wave (m/s)	1480	6300
Density (kg/m ³)	1000	2700

Table 2. Key parameters used in simulation for 2D inverse Fourier transform

Sampling frequency	80 MHz
Sampling interval of frequency	0.05 MHz
Sampling wavenumber	45 mm ⁻¹
Sampling interval of wavenumber	0.005 mm ⁻¹

336 A point-like wave source is placed at the upper boundary of the fluid to excite an acoustic
337 wave – a 1.5-cycle hamming modulated sinusoidal tone-burst centered at 5MHz, **Fig. 3(b)**.
338 Eight discrete points per wavelength are selected on the interface (Q_i) for multistep ASA
339 calculation.

340

341 To verify the results obtained using multiple ASA, FEM-based modeling and simulation are
342 performed using COMSOL Multiphysics[®] software. The FEM model features the same
343 dimension along the z direction with that in the multistep ASA calculation, while it has a
344 finite dimension along the x direction and is then applied with acoustic absorbing boundaries
345 at both the left and right boundaries (eliminating wave reflection at boundaries). Thus, the
346 model used in the multistep ASA calculation and the one in FEM simulation have the
347 identical boundary conditions. The mesh size of the FEM model is 0.06 mm in the fluid and
348 0.24 mm in the solid. Arbitrarily choosing a point in the solid as the receiving point, as
349 indicated in **Fig. 3(a)**, the time-series signal of the FEM-calculated wavefield at the receiving
350 point is compared with that obtained using the multistep ASA, in **Fig. 3(c)**, to observe
351 quantitative matching in between.

352

353 It is noteworthy that under the same computational conditions, the computing time
354 consumed by the multistep ASA calculation is reduced drastically to 50 seconds from the
355 3390 seconds used by the FEM simulation.

356

357 **3. Experimental Validation**

358 The multistep ASA-based imaging framework is validated experimentally on an ultrasound
359 testing platform (SonixTOUCH, *Ultrasonix*[™]). Two aluminum blocks with irregular top
360 surfaces – one featuring a parabolic surface and the other a wavelike surface, are immersed

361 in water for ultrasonic scanning.

362

363 3.1. Set-up and Specimens

364 The experimental set-up is illustrated schematically in **Fig. 4**, showing the key equipment

365 adopted. The first specimen, Fig. 5(a), has a parabolic surface, in which four side-drilled

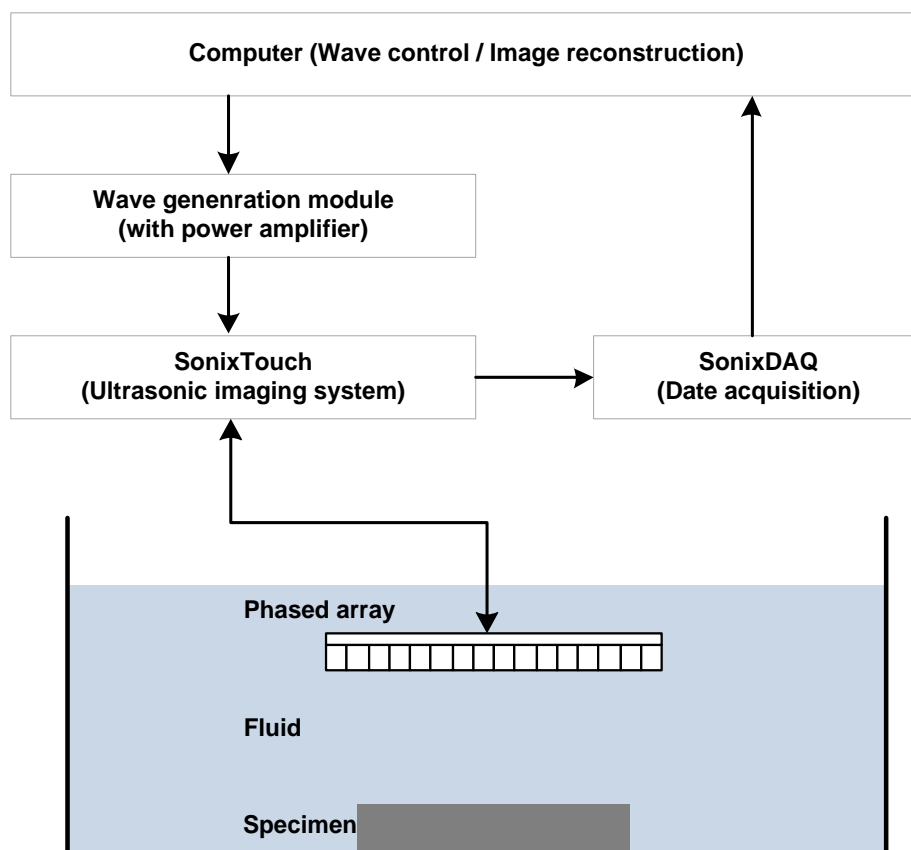
366 holes (SDHs) are pre-treated, the diameter of these holes is 2.5 mm, which is prudently

367 selected to examine the detectability of the proposed algorithm; while the second specimen,

368 **Fig. 6(a)**, possesses a top surface of a sinusoidal profile, in which two SDHs ($\text{\O}2.5$ mm each)

369 are pre-introduced. The locations of array surface, specimen surfaces, and SDHs are

370 indicated in **Fig. 5(a)** and **6(a)**, for two specimens.



371

372 **Fig. 4.** Schematic of experimental set-up for validation

373

374 The respective acoustic parameters of the fluid and the two specimens remain the same as

375 those in numerical verification, **Table 1**. A multi-channel data acquisition module
376 (SonixDAQ, *Ultrasonix*TM) is used to capture signals which renders up to 128 channels at a
377 sampling rate of 80 MHz for each channel. A commercial array controller (SonixTOUCH,
378 *Ultrasonix*TM) regulates a linear array with a central resonance frequency of 5 MHz which
379 comprises 128 elements (0.2698 mm in width for each element and 0.3048 mm in pitch). A
380 1.5-cycle Gaussian pulse is excited with the array under an applied voltage of 60 V, to
381 generate the probing ultrasonic waves. Reflected wave signals from the specimen surface,
382 damage, and specimen bottom are acquired with the array via fluid coupling.

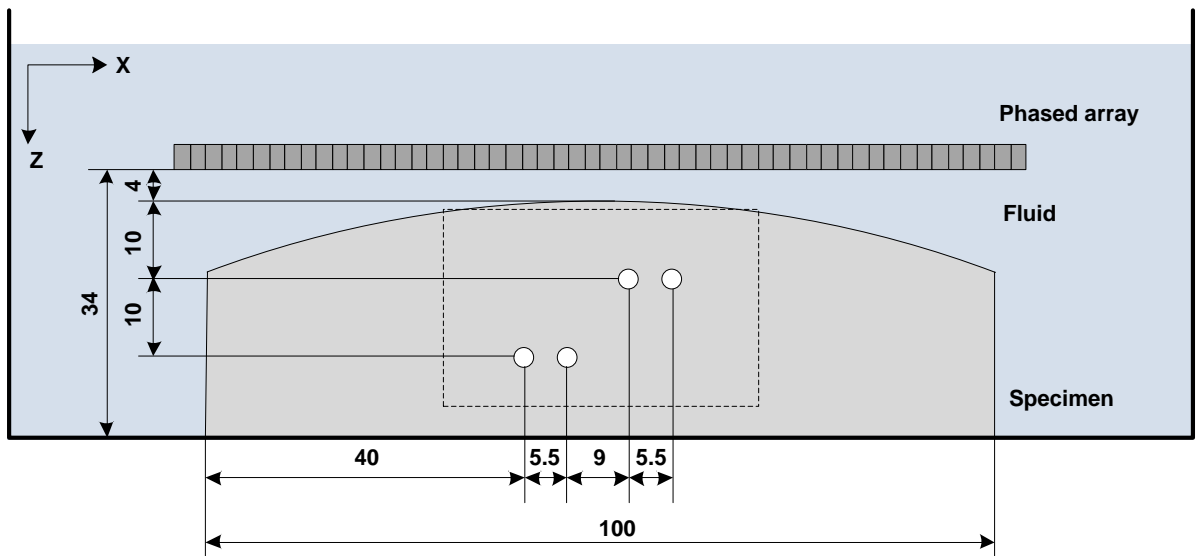
383

384

385 **3.2. Results**

386 The surface of each specimen is first determined via a B-scan, in which only the wave
387 propagation along *Path 1* is considered, with results shown in **Fig. 5(b)** and **6(b)**. The
388 identified specimen surfaces tally well with the reality. With determination of the location
389 of the specimen surface, the transient wavefields at the specimen surface are calculated using
390 the multistep ASA (Eq. (5)). Subsequently, these wavefields are used as the incident waves
391 to the specimen, and the wavefields at any location throughout the entire specimen can be
392 calculated using Eqs. (6), (7) and (8). Applied with the zero-lag cross-correlation imaging
393 conditions as defined in Eq. (1), the image of the RoI (the region near the SDHs, namely the
394 dotted-line-framed region in figures) can be reconstructed, shown in **Fig. 5(c)** and **6(c)**.

395

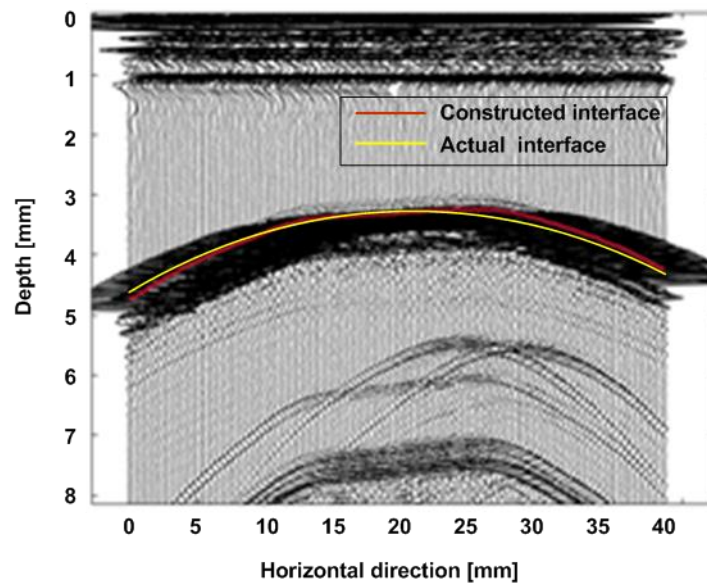


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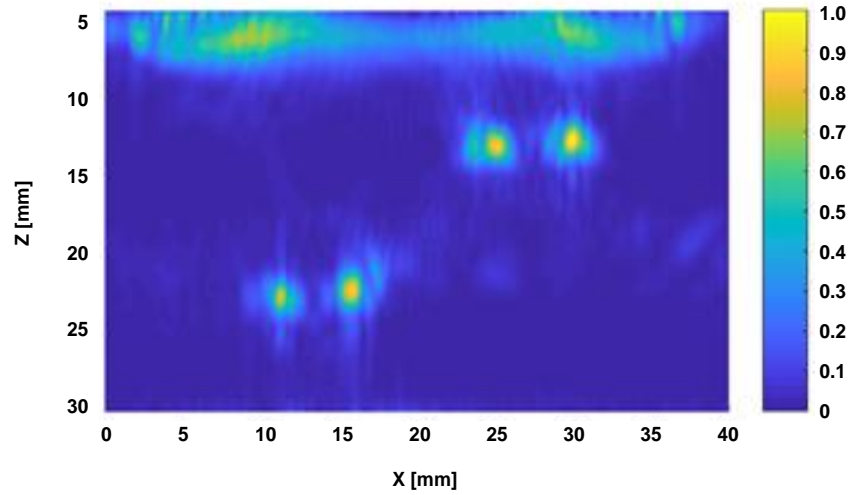
(a)



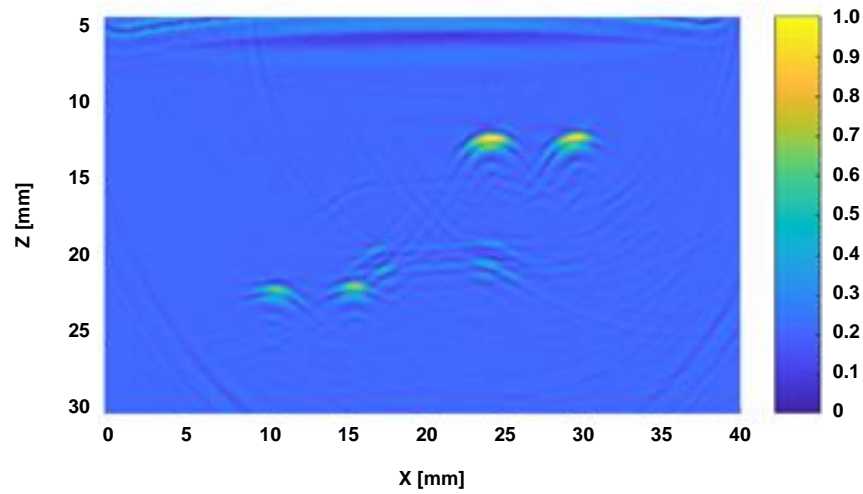
399

400

(b)

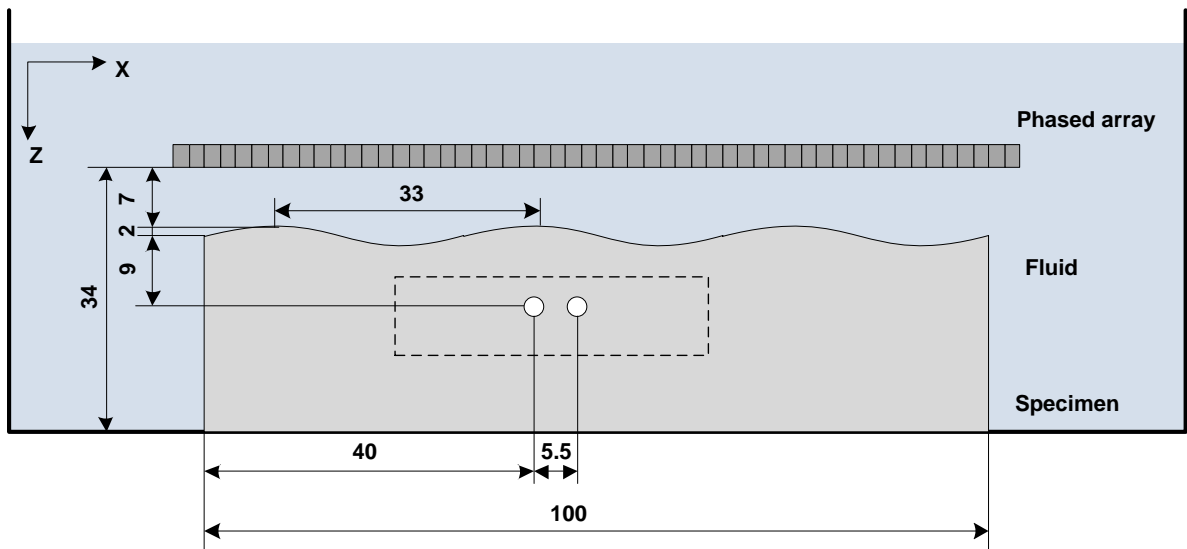


(c)

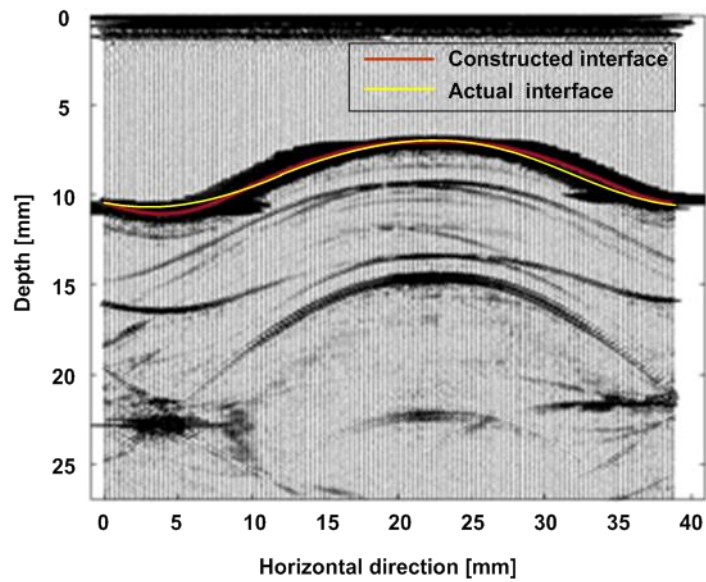


(d)

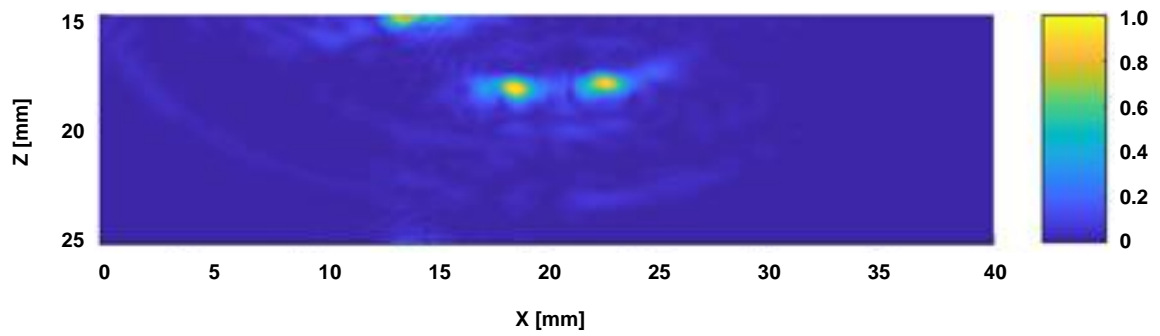
Fig. 5. (a) An aluminum block featuring a parabolic surface with four SHDs (unit: mm); (b) image of the upper part of the specimen constructed by a B-scan, for determination of specimen upper surface; (c) reconstructed image using the proposed imaging algorithm; and (d) reconstructed image using conventional TFM (for (c) and (d), Z axis represents the distance below the array surface which is positioned at $Z=0$; X axis represents the distance in RoI only (dotted-line-framed region in Fig. 5(a))



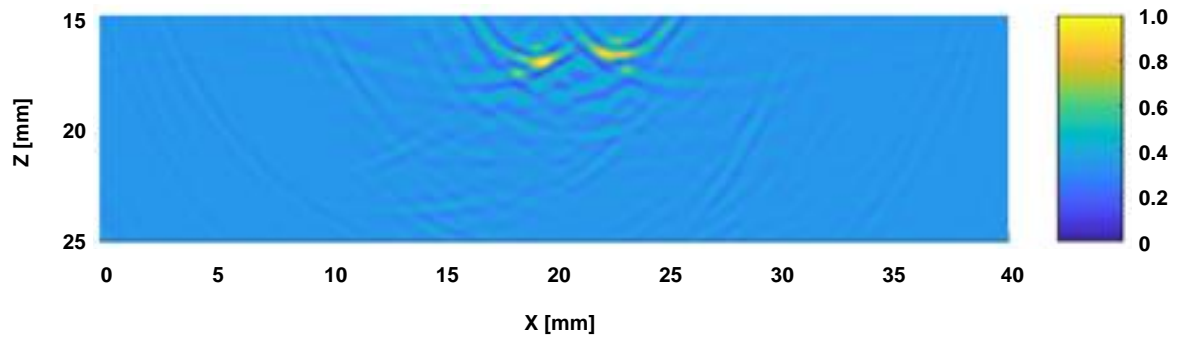
(a)



(b)



(c)



(d)

Fig. 6. (a) An aluminum block featuring a sinusoidal surface with two SHDs (unit: mm); (b) image of the upper part of the specimen constructed by a B-scan, for determination of specimen upper surface; (c) reconstructed image using the proposed imaging algorithm; and (d) reconstructed image using conventional TFM (for (c) and (d), Z axis represents the distance below the array surface which is positioned at $Z=0$; X axis represents the distance in RoI only (dotted-line-framed region in Fig. 6(a))

In the RoI images, each SDH in the two specimens is precisely depicted, showing not only its location and upper surface, but also its lower surface, thanks to inclusion of multiple wave reflections from the damage and from the specimen bottom during wavefield extrapolation in the proposed approach. Notably, the proposed ASA allows imaging of the RoI only, while avoids modeling and imaging the entire fluid–solid coupled system, which significantly reduces computational cost and unburdens computing hardware.

Artifacts are observed in the reconstructed images, most of which are near the specimen upper surfaces – an inevitable consequence due to the inclusion of wave reflections from the specimen upper surface during wavefield extrapolation. Upon obtainment of the wavefields at the interface, the reflection remains in the incident wave to the specimen, and then in the backward propagation, resulting in artifacts near the specimen upper surfaces.

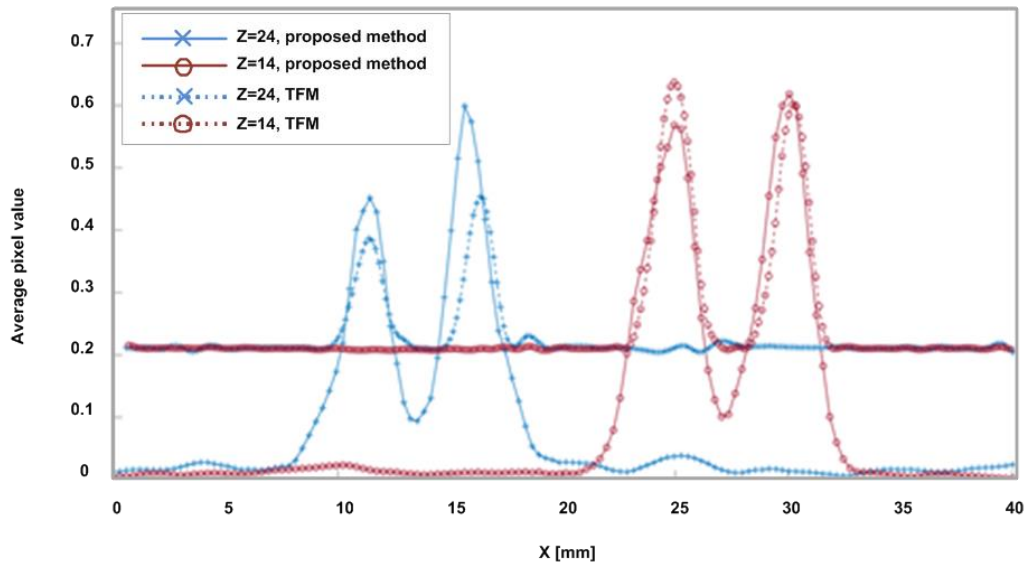
440 **4. Discussion: Comparison with Conventional TFM**

441 To compare with the proposed RTM-based multistep ASA, conventional TFM is recalled, to
442 characterize the same SDHs in the two specimens, in which all testing parameters remain
443 unchanged. In the conventional TFM-based imaging, wave reflections from the specimen
444 bottom are not considered. With the determined locations of the specimen upper surfaces,
445 ToFs of waves are extracted from captured signals, with which images of the specimens are
446 reconstructed, in **Fig. 5(d)** and **6(d)**. In the reconstructed images, all SDHs are located,
447 whereas the image resolution is fairly low with inadequate description of SDHs, and in
448 particular the lower surface of each SDH is not depicted. In comparison with the
449 conventional TFM, the RTM-based multistep ASA has proven capability of defining the
450 lower damage surface with obviously improved image resolution. In conventional TFM, the
451 irregular specimen surface is also a barrier to preclude the time-reversed signals from
452 focusing at the damage location, resulting in low imaging resolution. Artifacts are also
453 observed in TFM-reconstructed images, which can be attributed to the multiple wave
454 reflections between specimen bottom and the damage.

455

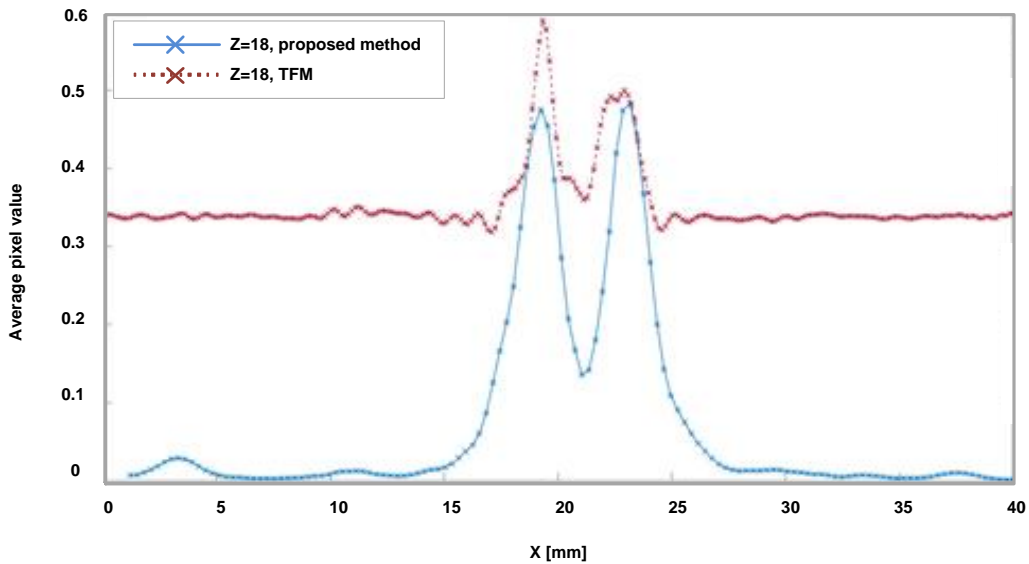
456 **Figure 7** further compares the mean values of the image pixel within the depth of ± 0.5 mm
457 where SDHs exist, obtained using the proposed multistep ASA approach and using the
458 conventional TFM-based algorithm. To facilitate comparison, imaging contrast is defined,
459 which calibrates the difference between the peak value of the reconstructed SDH and that of
460 the background. It is clear that the background value is reduced remarkably using the
461 proposed ASA-based algorithm. The imaging contrast value obtained using the ASA-based
462 algorithm is observed as high as 1.5 times the value yielded using TFM for the first specimen,
463 **Fig. 7(a)** and 2 times the value for the second specimen, **Fig. 7(b)**.

464



465
466

(a)



467
468

(b)

469 **Fig. 7.** Average values of image pixel within the range of ± 0.5 mm near SDHs: (a) when $z = 14$
470 and 24 mm for the specimen with a parabolic curve; and when (b) $z = 18$ mm for the specimen with
471 a sinusoidal surface

472

473 Although the image resolution of TFM-based or RTM-based imaging does not, in theory,
474 tend to downgrade as depth increases, the quality of reconstructed images may deteriorate
475 due to ultrasonic wave attenuation. **Figure 7** argues that the multistep ASA evidently suffers
476 less than TFM from such influence due to wave attenuation, and remains higher image

477 quality for damage at a deeper depth. Such a merit is attributable to the fact that the
478 reflections from the specimen bottom are considered in the wavefield extrapolation.

479

480 **5. Concluding Remarks**

481 An RTM-based multistep ASA imaging framework is developed for non-destructive testing
482 of a specimen featuring an irregular top surface via water immersion. Multistep ASA
483 calculates forward propagation wavefields of sources and backward propagation wavefields
484 of the received wave signals in the fluid–solid coupled system, with which the transient
485 wavefields at the fluid–solid interface are used as incident waves to the solid. Thanks to the
486 RTM-enhanced algorithm in which multiple wave reflections from the specimen bottom are
487 taken into calculation, the proposed approach demonstrates its capacity of accurately
488 depicting the lower surfaces of multiple damages hidden in the specimen. Numerical
489 simulation and experiment are performed to validate the proposed approach, in both of which
490 multiple SDHs, at different locations in aluminum blocks with various irregular surfaces, are
491 characterized quantitatively. The validation affirms that the multistep ASA shows higher
492 computational efficiency, compared to conventional RTM, and an enhanced imaging
493 contrast against prevailing total focusing methods.

494

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