



De Giorgi Argument for Weighted $L^2 \cap L^\infty$ Solutions to the Non-cutoff Boltzmann Equation

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Abstract

This paper gives an affirmative answer to the question of the global existence of Boltzmann equations without angular cutoff in the L^∞ -setting. In particular, we show that when the initial data is close to equilibrium and the perturbation is small in $L^2 \cap L^\infty$ with a polynomial decay tail, the Boltzmann equation has a unique global solution in the weighted $L^2 \cap L^\infty$ -space. In order to overcome the difficulties arising from the singular cross-section and the low regularity, a De Giorgi type argument is crafted in the kinetic context with the help of the averaging lemma. More specifically, we use a strong averaging lemma to obtain suitable L^p -estimates for level-set functions. These estimates are crucial for constructing an appropriate energy functional to carry out the De Giorgi argument. Similar as in Alonso et al. (Rev Mat Iberoam, 2020), we extend local solutions to global ones by using the spectral gap of the linearised Boltzmann operator. The convergence to the equilibrium state is then obtained as a byproduct with relaxations shown in both L^2 and L^∞ -spaces.

Keywords De Giorgi argument · Velocity averaging lemma · Level-set estimates · Spectral gap

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1 Introduction

1.1 Setup and Objective

We consider in this paper the nonlinear Boltzmann equation

$$\partial_t F + v \cdot \nabla_x F = Q(F, F). \tag{1.1}$$

Solutions to this equation $F = F(t, x, v) \geq 0$ are the mass density distribution of particles at a time-space point $(t, x) \in (0, \infty) \times \mathbb{T}^3$ with velocity $v \in \mathbb{R}^3$. The equation is supplemented with the initial condition

$$F(0, x, v) = F_0(x, v) \geq 0. \tag{1.2}$$

The nonlinear operator $Q(F, F)$ stands for the *collision operator*. It is defined by the integral formula

$$Q(F, F) = \iint_{\mathbb{R}^3 \times \mathbb{S}^2} B(v - v_*, \sigma) (F'_* F' - F_* F) \, d\sigma \, dv_*,$$

where the abbreviated notations are

$$F' = F(t, x, v'), \quad F'_* = F(t, x, v'_*), \quad F_* = F(t, x, v_*), \quad F = F(t, x, v),$$

and (v, v_*) and (v', v'_*) are the two pairs of velocity before and after the collision or vice versa. In the elastic collision case that we consider in this work, these velocities satisfy the conservation of momentum and energy during the collision process:

$$v + v_* = v' + v'_*, \quad |v|^2 + |v_*|^2 = |v'|^2 + |v'_*|^2.$$

By introducing the parameter σ in \mathbb{S}^2 , the scattering direction, one can write (v', v'_*) in terms of (v, v_*) as

$$v' = \frac{v + v_*}{2} + \frac{|v - v_*|}{2} \sigma, \quad v'_* = \frac{v + v_*}{2} - \frac{|v - v_*|}{2} \sigma.$$

In this paper we treat the hard potential case with the collision kernel taking the form

$$B(v - v_*, \sigma) = |v - v_*|^\gamma b(\cos \theta), \quad \cos \theta = \frac{v - v_*}{|v - v_*|} \cdot \sigma, \quad \gamma > 0. \tag{1.3}$$

Following a convention, we assume without loss of generality that $b(\cos \theta)$ is supported on $\cos \theta \geq 0$. This is valid due to the structure of the collision operator. We consider the so-called non-cutoff kernels satisfying

$$\sin \theta b(\cos \theta) \sim \frac{C}{\theta^{1+2s}}, \quad \text{for } \theta \text{ near } 0 \text{ and for any } s \in (0, 1).$$

Away from the region of grazing interactions $\theta = 0$, the scattering kernel b is assumed to be integrable in \mathbb{S}^2 .

The regime close to equilibrium is considered in this work as we seek solutions of the form

$$F(t, x, v) = \mu + f(t, x, v), \quad \mu = \mu(v) = (2\pi)^{-3/2} e^{-|v|^2/2}.$$

In such a situation, the unknown f satisfies the nonlinear Boltzmann equation

$$\partial_t f = \mathcal{L}f + Q(f, f), \quad f(0, x, v) = f_0(x, v),$$

where \mathcal{L} stands for the linear operator

$$\mathcal{L}f = Q(\mu, f) + Q(f, \mu) - v \cdot \nabla_x f.$$

Physical solutions satisfy the laws of mass, momentum, and energy conservation, which translate to

$$\begin{aligned} \int_{\mathbb{T}^3} \int_{\mathbb{R}^2} f(t, x, v) dv dx &= 0, & \int_{\mathbb{T}^3} \int_{\mathbb{R}^2} v f(t, x, v) dv dx &= 0, \\ \int_{\mathbb{T}^3} \int_{\mathbb{R}^2} |v|^2 f(t, x, v) dv dx &= 0, \end{aligned} \tag{1.4}$$

for all $t \geq 0$.

The goal of this work is to show the existence of solutions to the Boltzmann equation for any initial data f_0 satisfying $(1 + |v|^2)^{k_0} f_0 \in L^2_{x,v} \cap L^\infty_{x,v}$ in the perturbative framework. We note that since the well-established work of constructing near-equilibrium solutions [3–6, 26] in the case of $\mu^{-1/2} f_0 \in L^2_v H^2_x$, a lot of efforts have been made to lower the regularity requirement on the initial data in seeking global solutions (cf. [20, 22, 40] and the references therein). To the best of our knowledge, our work is the first to obtain a global solution in the L^∞ -setting for the non-cutoff Boltzmann, thus adding a missing link to the studies of the global existence of solutions to the nonlinear Boltzmann equations.

1.2 Significance and Main Result

The problem of constructing solutions to the Boltzmann equation with initial data having minimal spatial regularity has been highly appealing to the community in both cutoff and without cutoff contexts of the Boltzmann equation. It is desirable to create mathematical tools that can deal with singularity creation/propagation since they may connect to the physical phenomena of shock formation and/or attenuation on the macroscopic scale.

For the cutoff Boltzmann equations, the development of the well-posedness theory for solutions near equilibrium in the L^∞ -framework can be traced back to Grad [25] for local existence and later by Ukai [44] for global existence under the Grad’s angular cutoff assumption. Ukai’s theory relies on the spectral analysis [23] of the linearized Boltzmann operator and a bootstrap argument. In addition to the L^∞ -framework, the well-posedness theory for the cutoff Boltzmann has also been well developed in other settings. For example, in the L^1 -setting the classical theory on the renormalized solution was established by DiPerna-Lions in their seminal work [19] by making essential use of the famous H-theorem and the velocity averaging lemma. The L^2 -framework based on energy methods has also been extensively explored ([28, 38, 39]). Furthermore, an $L^2 - L^\infty$ interplay method has been introduced in [29, 45] and applied to various contexts (see [30] and the references therein) to obtain solutions with low regularity and close to equilibrium. Earlier theory on solutions near equilibrium has been focused on perturbations with Gaussian tails. More recently, a big step forward is made in [27] where the authors introduced a new framework of using spectral analysis to relax the velocity decay constraint from Gaussian to polynomial. In the cutoff context a key point for the control of the collision operator is to work in Banach spaces with an “algebraic structure” in the spatial variable.

For Boltzmann equations without angular cutoff, the well-posedness for large data in L^1 -framework was obtained by Alexandre and Villani in [1], and the L^2 -theory for perturbative solutions around an equilibrium was first established in [4–7, 26]. In [3, 8], the authors considered the space $L_v^2 H_x^\beta$ with $\beta > 3/2$ for local existence. The particular range of β seems almost optimal since the main idea is to use the Sobolev embedding $H_x^\beta \subseteq L_x^\infty$ to handle the quadratic nonlinearity of the collision operator. This mimics the idea implemented for the cutoff case through the “algebraic” control $\|f g\|_{H^\beta} \leq \|f\|_{H^\beta} \|g\|_{H^\beta}$.

Contrary to the extensive studies in the L^2 -setting, the L^∞ -theory for the wellposedness of the Boltzmann equation without angular cutoff has remained open. Recently in [20, 22], the authors were able to construct global-in-time solutions in a space based on the Wiener algebra in x with the norm

$$\|f\|_{\mathcal{W}} := \sum_k \sup_t \|\mathcal{F}_x\{f\}(t, k, \cdot)\|_{L_v^2},$$

The key point in [22] is again the “algebraic” control $\|f g\|_{\mathcal{W}} \leq \|f\|_{\mathcal{W}} \|g\|_{\mathcal{W}}$. The Wiener algebra setting is more general than the Sobolev spaces H_x^β used in earlier works and is a considerable step toward L_x^∞ -spaces, but it is still more restrictive. On the other hand, its benefit of being a smaller space than L^∞ is that coercivity estimates obtained in such setting are strong enough to prove the uniqueness of solutions. In contrast, the method used in our paper is still insufficient to provide the desired uniqueness. We further note that the aforementioned works are in the context of velocity with Gaussian tails, in which spectral and coercivity properties of the collision operator appear naturally. For the recent development on the perturbation with a polynomial decay, one can refer to [12, 27, 31] and the references therein.

The goal of our paper is to give a global existence proof for the non-cutoff Boltzmann equation in the L^∞ -setting. Instead of following the path of exploring algebraic structures, we apply a different framework based on a De Giorgi argument [17]. The approach of our paper is inspired by the first part of [15] where the quasi-geostrophic equation was studied. In particular, we do not need the full machinery of the De Giorgi–Nash–Moser method but rely mainly on the level-set functions and energy estimates. Such an approach has been applied to the homogeneous Boltzmann equation in [9] and a linear radiative transfer equation in the forward-peak regime [11]. It is also applied to the inhomogeneous Landau equation [36]. Compared with Boltzmann, the Landau operator has a more localized structure which is closer to classical nonlinear parabolic operators. For example, the typical maximum principle argument holds for the Landau equation at least locally in time while it is unclear how this can be directly applied to the non-cutoff Boltzmann equation. As a consequence, application of the level-set method to the inhomogeneous Boltzmann equation is not straightforward.

We comment that the full machinery of the De Giorgi–Nash–Moser method has been used in a series of remarkable developments for the Landau and non-cutoff Boltzmann equations [24, 34–36, 41]. More specifically, solutions with bounded macroscopic densities are shown to have instantaneous C^∞ -regularization. Recently a proof of existence of L^∞ -solutions near equilibrium is given in [42] by combining such regularization with the long-time asymptotics shown in [18]. The proof in [42] is different in nature to the one presented here, since the former relies on the C^∞ -regularization and the lower bound of solutions while our solution stays in the weak sense.

With some details of the parameters left out, the main theorem can be summarized as:

Theorem 1.1 *Suppose the cross section of the Boltzmann equation satisfies (1.3) with $\gamma \in (0, 1]$ and $s \in (0, 1)$ and the initial data $F_0 \geq 0$ satisfies (1.4). Then for k_0, k large enough*

with $k > k_0$, there exists $\delta_*^{\natural} > 0$ such that if

$$\begin{aligned} \left\| \langle v \rangle^{k_0} (F_0(x, v) - \mu) \right\|_{L^2_{x,v} \cap L^\infty_{x,v}} &\leq \delta_*^{\natural}, & \left\| \langle v \rangle^k (F_0(x, v) - \mu) \right\|_{L^2_{x,v}} &< \infty, \\ \langle v \rangle &:= \sqrt{1 + |v|^2}, \end{aligned}$$

then there exists a unique non-negative solution $F \in L^\infty(0, \infty; L^2_x L^2_k(\mathbb{T}^3 \times \mathbb{R}^3))$ to (1.1). Moreover, for some δ_0 and $\lambda' > 0$, the solution F satisfies

$$\left\| \langle v \rangle^{k_0} (F(t, x, v) - \mu) \right\|_{L^\infty_{x,v}} \leq \delta_0, \quad \left\| \langle v \rangle^k (F(t, x, v) - \mu) \right\|_{L^2_{x,v}} < C e^{-\lambda' t}. \tag{1.5}$$

Furthermore, for some $C_*, \tilde{\lambda} > 0$, the weighted L^∞ -norm of the perturbation decays exponentially in time:

$$\left\| \langle v \rangle^{k_0} (F(t, x, v) - \mu) \right\|_{L^\infty_{x,v}} \leq C_* e^{-\tilde{\lambda} t}.$$

1.3 Notations

We employ several notations for function spaces in this paper. First, $L^2_{x,v}$ or $L^2(\mathbb{T}^3 \times \mathbb{R}^3)$ denotes the usual L^2 -space over $\mathbb{T}^3 \times \mathbb{R}^3$ and $L^2_x H^s_v(\mathbb{T}^3 \times \mathbb{R}^3)$ denotes the space where $(I - \Delta_v)^{s/2} f \in L^2_{x,v}$. Any weight in the subindex denotes a weight in v only. For example, $L^2_x L^2_k$ denotes the space where $\langle v \rangle^k f \in L^2_{x,v}$ where $\langle v \rangle$ is the Japanese bracket defined by $\langle v \rangle^2 = 1 + |v|^2$.

There are many parameters in this paper. Among them, we reserve the key ones for designated meanings that will not change throughout this paper:

- γ : power in the hard potential.
- s : strength of the singularity in the collision kernel.
- s' : regularity in x (and t) derived from the averaging lemma.
- γ_0 : dissipation coefficient in Lemma 2.6.
- c_0 : dissipation coefficient in Proposition 2.5.
- k_0 : moment in the L^∞ -bound (in t, x, v) of the solution.
- δ_0 : smallness of the L^∞ -norm (in t, x) of the solution for the energy estimates to close.
- ϵ : strength of the regularizing operator ϵL_α with L_α defined in (3.2).
- \mathcal{E}_k : k -th energy level.
- ℓ_0 : minimal order of moments needed for the inhomogeneous embedding in (3.60).
- λ_0 : spectral gap of the linearized Boltzmann operator.

Other parameters such as $p, q, p', k, \beta, \beta', s'', \ell, \theta, \eta$ may change from statement to statement. Constants denoted by C, C_ℓ, C_k may change from line to line.

Since we assume that the collision kernel $b(\cos \theta)$ is supported on $\cos \theta \geq 0$, the integration limits for b can be either \mathbb{S}^2 or \mathbb{S}^2_+ and we use them interchangeably.

1.4 Methodology and Organization

A brief outline of the strategy implemented in this paper is as follows: the underlying condition for the validity of the *a priori* estimates, in addition to sufficient regularity, is the smallness condition

$$\sup_{t,x} \|f\|_{L^1_{w_0} \cap L^2} \leq \delta_0, \tag{1.6}$$

where $w_0 > 0$ is a threshold of polynomial decay and $\delta_0 > 0$ is a sufficiently small quantity. With this condition, $L^2_{x,v}$ and $L^2_x H^s_v$ energy estimates with general weights in velocity can be proved. The bound in $L^2_x H^s_v$ demonstrates the natural regularization in the velocity variable reminiscent of a fractional Laplace’s equation. Using a time-localized averaging lemma, one can “complete” the velocity energy estimate of the equation to include the regularization in the spatial variable using the norm $H^{s'}_x L^2_v$ for some $s' \in (0, s)$. This confirms the hypoelliptic properties of the equation as expected. The hypoellipticity paves the way to apply the De Giorgi argument through embeddings of Sobolev spaces into various L^p spaces. In particular, we construct the crucial energy functional

$$\begin{aligned} \mathcal{E}_p(K, T_1, T_2) := & \sup_{t \in [T_1, T_2]} \|f_{K,+}^{(\ell)}\|_{L^2_{x,v}}^2 + c_0 \int_{T_1}^{T_2} \int_{\mathbb{T}^3} \|\langle \cdot \rangle^{\gamma/2} f_{K,+}^{(\ell)}\|_{H^s_\xi}^2 \, dx \, d\tau \\ & + \frac{1}{C_0} \left(\int_{T_1}^{T_2} \|(1 - \Delta_x)^{\frac{s''}{2}} (f_{K,+}^{(\ell)})^2\|_{L^p_{x,v}} \, d\tau \right)^{\frac{1}{p}}, \end{aligned}$$

where $0 < s'' < s$ will be suitably chosen, K is any positive number, p is a parameter depending on s and $f_{K,+}^{(\ell)}$ is the level-set function defined by

$$f_{K,+}^{(\ell)} = \left(\langle v \rangle^\ell f - K \right) \mathbf{1}_{\langle v \rangle^\ell f - K \geq 0}. \tag{1.7}$$

With $K = K_n \rightarrow K_0$ for some K_0 depending on the initial data, the main step in the De Giorgi argument is to show that $\mathcal{E}_p(K_n, T_1, T_2)$ satisfies an inhomogeneous (in degree) iterative relation (see (3.81) and (5.27)). This key iterative relation leads to the limit $\mathcal{E}_p(K_n, T_1, T_2) \rightarrow 0$ as $n \rightarrow \infty$, thus proving the weighted L^∞ -bound. To enforce the smallness condition (1.6) when constructing the approximate solutions, we introduce a cutoff function χ and consider the modified collision operator

$$Q(\mu + f\chi(\langle v \rangle^{k_0} f), \mu + f),$$

so that the smallness condition is satisfied naturally for the approximate solutions. Such cutoff function automatically disappears after one applies the De Giorgi method and shows *a posteriori* that the smallness condition holds intrinsically when the initial data is small enough.

The strategy described above is applied first to the linearized equation and then to the nonlinear equation to obtain local solutions with L^∞ -bounds to the original Boltzmann equation. A major part of this paper is dedicated to the linear analysis. Although obtaining a solution to the linearized equation is fairly straightforward, significant effort has been carried out to show the L^∞ -bounds of the solution. A delicate issue is to handle the moments required in various estimates. Interestingly, the moment requirement imposed on solutions for linear and nonlinear estimates drastically differs, with the nonlinear case much easier to handle. The key factor at play is the quadratic structure of the collision operator. This structure reduces the moment needed on solutions for the $L^2_{x,v}$ estimates which is essential for closing the argument. Finally, combining the local existence with the spectral gap we obtain a global solution to the original Boltzmann equation.

This paper is laid out as follows. After including a technical toolbox in Sect. 2, we establish the well-posedness for the linearized Boltzmann equation in Sects. 3 and 4: Sect. 3 consists of a priori $L^2_{x,v}$ and $L^2_x H^s_v$ -estimates and L^1 -estimates for the collision term for the linear equation. These estimates are used in Sect. 4 to show the existence of solutions to the linear equation. In Sect. 5 we establish the nonlinear counterparts of the estimates of those in Sect. 3

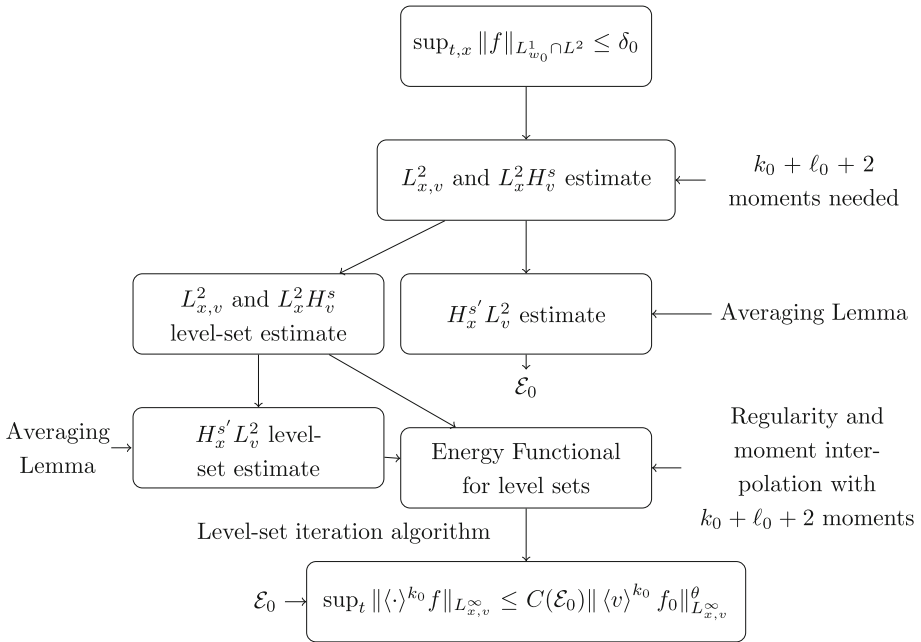


Fig. 1 Flow chart of the strategy. Moments are related as $k_0 > w_0 > 0$ and so does regularity as $s > s' > 0$. The constant $C(\mathcal{E}_0)$ is independent of the smallness parameter δ_0

and apply them to establish the local existence of the nonlinear Boltzmann equation. In Sect. 6 we combine the results in Sect. 5 and the spectral gap property of the linearized Boltzmann operator to establish the global existence of the nonlinear Boltzmann equation. The existence result proved in Sect. 6 is only for the weakly singular kernels. We extend the result to the strong singularity in Sect. 7.

To help the reader better understand the structure of the proof, we show a flow chart of the main steps in Fig. 1. Starting from the smallness assumption, the L^2 -theory is performed. The velocity regularization appears in a standard way whereas spatial regularization is obtained through velocity averaging. The L^2 -theory comprises both f and K -levels $f_{K,+}^{(\ell)}$. A higher k -moment is needed (to be precise, $k = k_0 + \ell_0 + 2$ for some ℓ_0 depending on s) in the L^2 -estimates to prove algebraic k_0 moments in the L^∞ -estimates.

2 Technical Toolbox

2.1 Function Spaces

In this paper we use two classical function spaces, namely, Bessel potential and Sobolev-Slobodeckij spaces. Most of the work is based on the former, yet, the proof of some estimates is simpler if performed in the latter.

Definition For $p \in [1, \infty)$ and $\beta \in \mathbb{R}$, the Bessel Potential space is

$$H^{\beta,p}(\mathbb{R}^d) := \left\{ u \in L^p(\mathbb{R}^d) \mid \mathcal{F}^{-1} \left\{ (1 + |\xi|^2)^{\frac{\beta}{2}} \mathcal{F}u \right\} \in L^p(\mathbb{R}^d) \right\}, \tag{2.1}$$

where \mathcal{F} is the Fourier transform. The norm that equips $H^{\beta,p}(\mathbb{R}^d)$ is naturally

$$\|u\|_{H^{\beta,p}(\mathbb{R}^d)} := \|\mathcal{F}^{-1}\{(1 + |\xi|^2)^{\frac{\beta}{2}} \mathcal{F}u\}\|_{L^p(\mathbb{R}^d)} = \|(1 - \Delta)^{\frac{\beta}{2}} u\|_{L^p(\mathbb{R}^d)}.$$

Definition For $p \in [1, \infty)$ and $\beta \in (0, 1)$, the Sobolev-Slobodeckij space is

$$W^{\beta,p}(\mathbb{R}^d) := \left\{ u \in L^p(\mathbb{R}^d) \mid \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \frac{|u(x) - u(y)|^p}{|x - y|^{d+\beta p}} dy dx < \infty \right\}. \tag{2.2}$$

A natural norm that equips $W^{\beta,p}(\mathbb{R}^d)$ is given by

$$\|u\|_{W^{\beta,p}(\mathbb{R}^d)} := \left(\int_{\mathbb{R}^d} |u(x)|^p dx + \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \frac{|u(x) - u(y)|^p}{|x - y|^{d+\beta p}} dy dx \right)^{\frac{1}{p}}.$$

The Bessel potential spaces and Sobolev-Slobodeckij spaces agree for $p = 2$. More generally, the following relation holds:

- (i) For all $p \in (1, 2]$, $\beta \in (0, 1)$ it holds that $W^{\beta,p}(\mathbb{R}^d) \hookrightarrow H^{\beta,p}(\mathbb{R}^d)$.
 - (ii) For all $p \in [2, \infty)$, $\beta \in (0, 1)$ it holds that $H^{\beta,p}(\mathbb{R}^d) \hookrightarrow W^{\beta,p}(\mathbb{R}^d)$.
- The proof of this fact can be found in [43], Theorem 5 in Chapter V.

2.2 Useful Facts About Polynomial Weights

In this section, we list some useful estimates that are needed for later estimation. Recall that

$$\|g\|_{H_\ell^\beta} = \|\langle v \rangle^\ell g\|_{H_\ell^\beta}, \quad \ell, \beta \in \mathbb{R}.$$

First we present two lemmas related to commutator estimates of fractional derivatives. Since their proofs are technical and not directly associated with the Boltzmann operator, we leave them to Appendix 8.

Lemma 2.1 (cf., [32]) *Let $1 \leq p \leq \infty$ and suppose $\ell, \theta \in \mathbb{R}$. Then there exists a generic constant C independent of f such that*

$$\frac{1}{C} \|\langle v \rangle^\ell \langle D_v \rangle^\theta f\|_{L_v^p} \leq \|\langle D_v \rangle^\theta \langle v \rangle^\ell f\|_{L_v^p} \leq C \|\langle v \rangle^\ell \langle D_v \rangle^\theta f\|_{L_v^p},$$

that is, these two norms are equivalent. Here $\langle D_v \rangle$ is the Fourier multiplier with the symbol $\langle \xi \rangle$.

We will also need a homogeneous version related to fractional derivatives.

Lemma 2.2 *Suppose $\alpha \in (0, 1)$ and $f \in H_v^\alpha(\mathbb{R}^3)$. Then $\langle v \rangle^{-2} f \in H_v^\alpha(\mathbb{R}^3)$ with the bound*

$$\|(-\Delta_v)^{\alpha/2} (\langle v \rangle^{-2} f)\|_{L_v^2(\mathbb{R}^3)} \leq C \|(-\Delta_v)^{\alpha/2} f\|_{L_v^2(\mathbb{R}^3)}.$$

Next we recall the now-classical trilinear estimate.

Proposition 2.3 ([4, 40]) *Denote $a^+ = \max\{a, 0\}$. Then the bilinear operator Q satisfies*

$$\left| \int_{\mathbb{R}^3} Q(f, g) h dv \right| \leq C \left(\|f\|_{L^1_{(m-\gamma/2)^+ + \gamma + 2s}} + \|f\|_{L^2} \right) \|g\|_{H_{\gamma/2+2s+m}^{s+\sigma}} \|h\|_{H_{\gamma/2-m}^{s-\sigma}}$$

for any $\sigma \in [\min\{s - 1, -s\}, s]$, $m \in \mathbb{R}$, $\gamma \geq 0$ and $0 < s < 1$. Here, f, g, h are any functions so that the corresponding norms are well-defined. The constant C is independent of f, g, h .

Lemma 2.4 ([2]) *Suppose f and b are functions that make sense of the integrals below. Then*

(a) (Regular change of variables)

$$\int_{\mathbb{R}^3} \int_{\mathbb{S}^2} b(\cos \theta) |v - v_*|^\gamma f(v') d\sigma dv = \int_{\mathbb{R}^3} \int_{\mathbb{S}^2} b(\cos \theta) \frac{1}{\cos^{3+\gamma}(\theta/2)} |v - v_*|^\gamma f(v) d\sigma dv.$$

(b) (Singular change of variables)

$$\int_{\mathbb{R}^3} \int_{\mathbb{S}^2} b(\cos \theta) |v - v_*|^\gamma f(v') d\sigma dv_* = \int_{\mathbb{R}^3} \int_{\mathbb{S}^2} b(\cos \theta) \frac{1}{\sin^{3+\gamma}(\theta/2)} |v - v_*|^\gamma f(v_*) d\sigma dv_*.$$

Proposition 2.5 ([7]) *Suppose for some constants $D_0, E_0 > 0$, the function F satisfies*

$$F \geq 0, \quad \|F\|_{L^1} \geq D_0 > 0, \quad \|F\|_{L^2} + \|F\|_{L \log L} \leq E_0 < \infty.$$

Then there exist two constants c_0 and C such that

$$\int_{\mathbb{R}^3} Q(F, f) f dv \leq -c_0 \|f\|_{H_{\gamma/2}^s}^2 + C \|f\|_{L_{\gamma/2}^2}^2.$$

Throughout this paper, we use $d\bar{\mu}$ to denote the measure

$$d\bar{\mu} = d\sigma dv dv_* dx.$$

For the convenience of the later analysis, we record a simple decomposition and bound related to the nonlinear Boltzmann operator:

Lemma 2.6 ([12]) (a) *Let G, h be functions that make sense of the integrals below and \mathbb{S}_+^2 be the upper half sphere with $\cos \theta \geq 0$. Then for any $s \in (0, 1)$ and $\ell \geq 0$,*

$$\begin{aligned} & \iint_{\mathbb{T}^3 \times \mathbb{R}^3} Q(G, h) h \langle v \rangle^{2\ell} dv dx \\ &= \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q(G, \langle v \rangle^\ell h) \langle v \rangle^\ell h dv dx \\ &+ \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} \int_{\mathbb{S}_+^2} b(\cos \theta) |v - v_*|^\gamma G_* h h' \langle v' \rangle^\ell \left(\langle v' \rangle^\ell - \langle v \rangle^\ell \cos^\ell \frac{\theta}{2} \right) d\bar{\mu} \\ &+ \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} \int_{\mathbb{S}_+^2} b(\cos \theta) |v - v_*|^\gamma G_* h \langle v \rangle^\ell h' \langle v' \rangle^\ell \left(\cos^\ell \frac{\theta}{2} - 1 \right) d\bar{\mu}, \end{aligned} \tag{2.3}$$

(b) *Suppose in addition $G \geq 0$ and $G = \mu + g$. Let γ_0, γ_1 be the positive constants satisfying*

$$\int_{\mathbb{R}^3} |v - v_*|^\gamma \mu(v_*) dv_* \geq \gamma_1 \langle v \rangle^\gamma$$

and

$$\gamma_0 \geq -\frac{\gamma_1}{2} \int_{\mathbb{S}^2} b(\cos \theta) \left(\cos^{2\ell-3-\gamma} \frac{\theta}{2} - 1 \right) d\sigma, \quad \text{for all } \ell > \frac{3+\gamma}{2}.$$

Then we have

$$\begin{aligned} & \iint_{\mathbb{T}^3 \times \mathbb{R}^3} Q(G, h) h \langle v \rangle^{2\ell} dv dx \\ & \leq \frac{1}{2} \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} \int_{\mathbb{S}_+^2} b(\cos \theta) |v - v_*|^\gamma G_* |h|^2 \langle v \rangle^{2\ell} \left(\cos^{2\ell-3-\gamma} \frac{\theta}{2} - 1 \right) d\bar{\mu} \\ & + \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} \int_{\mathbb{S}_+^2} b(\cos \theta) |v - v_*|^\gamma G_* |h| |h'| \langle v' \rangle^\ell \left(\langle v' \rangle^\ell - \langle v \rangle^\ell \cos^\ell \frac{\theta}{2} \right) d\bar{\mu} \end{aligned} \tag{2.4}$$

$$\begin{aligned} &\leq - \left(\gamma_0 - C_\ell \sup_x \|g\|_{L^1_x} \right) \left\| \langle v \rangle^{\ell+\gamma/2} h \right\|_{L^2_{x,v}}^2 \\ &\quad + \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} \int_{\mathbb{S}^2_+} b(\cos \theta) |v - v_*|^\gamma G_* |h| |h'| \langle v' \rangle^\ell \left(\langle v' \rangle^\ell - \langle v \rangle^\ell \cos^\ell \frac{\theta}{2} \right) d\bar{\mu}. \end{aligned} \tag{2.5}$$

Proof Part (a) follows from a direct addition-subtraction applied to the definition of Q . Part (b) follows from (3.15) and (3.16) in [12]. \square

Cancellation plays a vital role in dealing with the strong singularity. Let us recall a useful representation for $|v'|$:

$$|v'|^2 = |v|^2 \cos^2 \frac{\theta}{2} + |v_*|^2 \sin^2 \frac{\theta}{2} + 2 \cos \frac{\theta}{2} \sin \frac{\theta}{2} |v - v_*| v \cdot \omega, \tag{2.6}$$

and, as a result,

$$\langle v' \rangle^2 = \langle v \rangle^2 \cos^2 \frac{\theta}{2} + \langle v_* \rangle^2 \sin^2 \frac{\theta}{2} + 2 \cos \frac{\theta}{2} \sin \frac{\theta}{2} |v - v_*| (v_* \cdot \omega), \tag{2.7}$$

where

$$\omega = \frac{\sigma - (\sigma \cdot \widehat{u}) \widehat{u}}{|\sigma - (\sigma \cdot \widehat{u}) \widehat{u}|}, \quad \widehat{u} = \frac{v - v_*}{|v - v_*|}. \tag{2.8}$$

By its definition, ω satisfies that $\omega \perp (v - v_*)$, thus, $v \cdot \omega = v_* \cdot \omega$. Consequently, one has the freedom to choose $v \cdot \omega$ or $v_* \cdot \omega$ in the estimates. We also introduce the notation $\widetilde{\omega}$ for later use:

$$\widetilde{\omega} = \frac{v' - v}{|v' - v|}. \tag{2.9}$$

Lemma 2.7 (see [12]) *Suppose $\ell > 6$ and (v, v_*) , (v', v'_*) are the velocity pairs before and after the collision or vice versa. Let ω be the vector defined in (2.8). Then,*

$$\begin{aligned} \langle v' \rangle^\ell - \langle v \rangle^\ell \cos^\ell \frac{\theta}{2} &= \ell \langle v \rangle^{\ell-2} |v - v_*| (v \cdot \omega) \cos^{\ell-1} \frac{\theta}{2} \sin \frac{\theta}{2} \\ &\quad + \langle v_* \rangle^\ell \sin^\ell \frac{\theta}{2} + \mathfrak{R}_1 + \mathfrak{R}_2 + \mathfrak{R}_3, \end{aligned} \tag{2.10}$$

where there exists a constant C_ℓ only depending on ℓ such that

$$\begin{aligned} |\mathfrak{R}_1| &\leq C_\ell \langle v \rangle \langle v_* \rangle^{\ell-1} \sin^{\ell-3} \frac{\theta}{2}, \quad |\mathfrak{R}_2| \leq C_\ell \langle v \rangle^{\ell-2} \langle v_* \rangle^2 \sin^2 \frac{\theta}{2}, \\ \text{and } |\mathfrak{R}_3| &\leq C_\ell \langle v \rangle^{\ell-4} \langle v_* \rangle^4 \sin^2 \frac{\theta}{2}. \end{aligned} \tag{2.11}$$

We are ready to establish an all-important commutator estimate.

Proposition 2.8 (Weighted commutator) *Suppose*

$$G = \mu + g, \quad g \in L_x^\infty L_{\ell+\gamma}^1 \cap L_{x,v}^2, \quad \ell \geq 8 + \gamma, \quad \gamma \in (0, 1], \quad s \in (0, 1).$$

(a) *For general G, F, H making sense of the terms in the inequality, we have*

$$\begin{aligned} &\iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* \frac{F}{\langle v \rangle^\ell} H' \left(\langle v' \rangle^\ell - \langle v \rangle^\ell \cos^\ell \frac{\theta}{2} \right) b(\cos \theta) |v - v_*|^\gamma d\bar{\mu} \\ &\leq \ell \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* \left(F \langle v \rangle^{-2} - F' \langle v' \rangle^{-2} \right) H' (v_* \cdot \widetilde{\omega}) \cos^\ell \frac{\theta}{2} \sin \frac{\theta}{2} b(\cos \theta) |v - v_*|^{1+\gamma} d\bar{\mu} \\ &\quad + C_\ell \left(1 + \sup_x \|g\|_{L_{\ell+\gamma}^1} \right) \min \left\{ \|F\|_{L_{x,v}^2} \|H\|_{L_{x,v}^2}, \left\| F / \langle v \rangle^{\ell-1-\gamma} \right\|_{L_{x,v}^\infty} \|H\|_{L_{x,v}^1} \right\} \end{aligned}$$

$$+ C_\ell \left(1 + \sup_x \|g\|_{L^1_{4+\gamma}} \right) \min \left\{ \|F\|_{L^2_{x,v}} \|H\|_{L^2_{x,v}}, \|F\|_{L^\infty_{x,v}} \|H\|_{L^1_{x,v}} \right\}, \tag{2.12}$$

where $\tilde{\omega}$ is the unit vector defined in (2.9).

(b) Suppose $G = \mu + g$ is non-negative and there exist ℓ, K_0 such that

$$g \langle v \rangle^\ell \leq K_0, \quad \ell > 8 + \gamma. \tag{2.13}$$

Then for any $s \in (0, 1)$ and the same ℓ as in (2.13), we have

$$\begin{aligned} & \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* \frac{F}{\langle v \rangle^\ell} H' \left(\langle v' \rangle^\ell - \langle v \rangle^\ell \cos^\ell \frac{\theta}{2} \right) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\ & \leq \ell \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* \left(F \langle v \rangle^{-2} - F' \langle v' \rangle^{-2} \right) H' (v_* \cdot \tilde{\omega}) \cos^\ell \frac{\theta}{2} \sin \frac{\theta}{2} b(\cos \theta) |v - v_*|^{1+\gamma} \, d\bar{\mu} \\ & \quad + C_\ell (1 + K_0) \min \left\{ \|F\|_{L^2_{x,v}} \|H\|_{L^2_{x,v}}, \|F\|_{L^\infty_{x,v}} \|H\|_{L^1_{x,v}} \right\} \\ & \quad + C_\ell (1 + K_0) \left(\sup_x \|F / \langle v \rangle^{\ell-1-\gamma}\|_{L^1_v} \right) \|H\|_{L^1_x L^1_v}. \end{aligned} \tag{2.14}$$

Proof The proofs for both parts follow from a revision of the proof of Proposition 3.1 in [12], based on taking advantage of angular cancellations and using cutoff techniques such as in [10]. Applying Lemma 2.7, we decompose the integral as

$$\begin{aligned} & \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* \frac{F}{\langle v \rangle^\ell} H' \left(\langle v' \rangle^\ell - \langle v \rangle^\ell \cos^\ell \frac{\theta}{2} \right) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\ & = \ell \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* \frac{F}{\langle v \rangle^2} H' |v - v_*| (v \cdot \omega) \cos^{\ell-1} \frac{\theta}{2} \sin \frac{\theta}{2} b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\ & \quad + \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} \left(G_* \langle v_* \rangle^\ell \right) \frac{F}{\langle v \rangle^\ell} H' \sin^\ell \frac{\theta}{2} b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\ & \quad + \sum_{i=1}^3 \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* \frac{F}{\langle v \rangle^\ell} H' \mathfrak{R}_i b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \triangleq \sum_{n=1}^5 \Gamma_n. \end{aligned} \tag{2.15}$$

The main difference between (2.12) and (2.14) is that in (2.12) the extra γ -weight falls on g while in (2.14) it is on H .

(a) Deriving the bound for Γ_1 requires careful use of symmetry in the case of the strong singularity. The idea is similar to the proof of Proposition 3.1 in [12]. In particular, we decompose Γ_1 as

$$\begin{aligned} \Gamma_1 & = \ell \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* \left(F' \langle v' \rangle^{-2} \right) H' (v_* \cdot \tilde{\omega}) \cos^\ell \frac{\theta}{2} \sin \frac{\theta}{2} b(\cos \theta) |v - v_*|^{1+\gamma} \, d\bar{\mu} \\ & \quad + \ell \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* \left(F \langle v \rangle^{-2} \right) H' \left(v_* \cdot \frac{v' - v_*}{|v' - v_*|} \right) \\ & \quad \times \cos^{\ell-1} \frac{\theta}{2} \sin^2 \frac{\theta}{2} b(\cos \theta) |v - v_*|^{1+\gamma} \, d\bar{\mu} \\ & \quad + \ell \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* \left(F \langle v \rangle^{-2} - F' \langle v' \rangle^{-2} \right) H' (v_* \cdot \tilde{\omega}) \\ & \quad \times \cos^\ell \frac{\theta}{2} \sin \frac{\theta}{2} b(\cos \theta) |v - v_*|^{1+\gamma} \, d\bar{\mu} \\ & \triangleq \Gamma_{1,1} + \Gamma_{1,2} + \Gamma_{1,3}. \end{aligned}$$

By symmetry the first term $\Gamma_{1,1}$ vanishes. This follows from the regular change of variables $v \rightarrow v'$ and using $v' - v_*$ as the new north pole. In this way we have that

$$\Gamma_{1,1} = \ell \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* \left(F' \langle v' \rangle^{-2} \right) H' (v_* \cdot \tilde{\omega}) \times \cos^{\frac{\ell}{2}} \sin^{\frac{\theta}{2}} b(\cos \theta) |v - v_*|^{1+\gamma} d\theta dv' d\phi dv_*$$

where $\tilde{\omega} = (\cos \phi, \sin \phi, 0)$ and the integration in ϕ vanishes. The second term $\Gamma_{1,2}$ is readily bounded by

$$|\Gamma_{1,2}| \leq C_\ell \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} (|G_*| \langle v_* \rangle^{2+\gamma}) |F| |H'| d\bar{\mu} \leq C_\ell \left(1 + \sup_x \|g\|_{L^1_{2+\gamma}} \right) \min \left\{ \|F\|_{L^2_{x,v}} \|H\|_{L^2_{x,v}}, \|F\|_{L^\infty_{x,v}} \|H\|_{L^1_{x,v}} \right\},$$

where we have used the Young’s inequality and the regular change of variables $v \rightarrow v'$. We will leave $\Gamma_{1,3}$ as is since in the later analysis, Proposition 2.9 will be applied in each specific case. Putting the components together gives the bound of Γ_1 as

$$\Gamma_1 \leq \ell \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* \left(F \langle v \rangle^{-2} - F' \langle v' \rangle^{-2} \right) H' (v_* \cdot \tilde{\omega}) \times \cos^{\frac{\ell}{2}} \sin^{\frac{\theta}{2}} b(\cos \theta) |v - v_*|^{1+\gamma} d\bar{\mu} + C_\ell \left(1 + \sup_x \|g\|_{L^1_{4+\gamma}} \right) \min \left\{ \|F\|_{L^2_{x,v}} \|H\|_{L^2_{x,v}}, \|F\|_{L^\infty_{x,v}} \|H\|_{L^1_{x,v}} \right\}. \tag{2.16}$$

Next we show the estimate for Γ_2 . Start with the direct bound using Cauchy–Schwarz and a regular change of variables stated in Lemma 2.4:

$$\Gamma_2 \leq C \left(\iiint_{\mathbb{T}^3 \times \mathbb{R}^6} |G_*| \langle v_* \rangle^{\ell+\gamma} F^2 dv dv_* dx \right)^{1/2} \times \left(\iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} |G_*| \langle v_* \rangle^{\ell+\gamma} H^2 dv dv_* dx \right)^{1/2} \leq C \left(\sup_x \|G \langle v \rangle^{\ell+\gamma}\|_{L^1} \right) \|F\|_{L^2_{x,v}} \|H\|_{L^2_{x,v}} \leq C_\ell \left(1 + \sup_x \|\langle v \rangle^{\ell+\gamma} g\|_{L^1} \right) \|F\|_{L^2_{x,v}} \|H\|_{L^2_{x,v}}.$$

A second way to estimate Γ_2 is

$$\Gamma_2 \leq C \left(\sup_x \|G \langle v \rangle^{\ell+\gamma}\|_{L^1_v} \right) \|F / \langle v \rangle^{\ell-\gamma}\|_{L^\infty_{x,v}} \|H\|_{L^1_{x,v}} \leq C_\ell \left(1 + \sup_x \|\langle v \rangle^{\ell+\gamma} g\|_{L^1_v} \right) \|F / \langle v \rangle^{\ell-\gamma}\|_{L^\infty_{x,v}} \|H\|_{L^1_{x,v}},$$

where again we have applied the regular change of variables. Overall, we have

$$\Gamma_2 \leq C_\ell \left(1 + \sup_x \|\langle v \rangle^{\ell+\gamma} g\|_{L^1_v} \right) \min \left\{ \|F\|_{L^2_{x,v}} \|H\|_{L^2_{x,v}}, \|F / \langle v \rangle^{\ell-\gamma}\|_{L^\infty_{x,v}} \|H\|_{L^1_{x,v}} \right\}. \tag{2.17}$$

Estimate for Γ_3 is similar to Γ_2 . By the bound on \mathfrak{R}_1 in Lemma 2.7, we have

$$\begin{aligned} \Gamma_3 &\leq C_\ell \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} \left(|G_*| \langle v_* \rangle^{\ell-1} \right) \frac{|F|}{\langle v \rangle^{\ell-1}} |H'| \sin^{\ell-3} \frac{\theta}{2} b(\cos \theta) |v - v_*|^\gamma d\bar{\mu} \\ &\leq C_\ell \left(1 + \sup_x \left\| \langle v \rangle^{\ell-1+\gamma} g \right\|_{L^1_v} \right) \min \left\{ \|F\|_{L^2_{x,v}}, \|H\|_{L^2_{x,v}}, \left\| \frac{F}{\langle v \rangle^{\ell-1-\gamma}} \right\|_{L^\infty_{x,v}}, \|H\|_{L^1_{x,v}} \right\}. \end{aligned} \tag{2.18}$$

Estimates for Γ_4 and Γ_5 are more straightforward. Using the upper bound of \mathfrak{R}_2 and a regular change of variables, we have

$$\begin{aligned} |\Gamma_4| &\leq C_\ell \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} \left(|G_*| \langle v_* \rangle^2 \right) \frac{|F|}{\langle v \rangle^2} |H'| \sin^2 \frac{\theta}{2} b(\cos \theta) |v - v_*|^\gamma d\bar{\mu} \\ &\leq C_\ell \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} \left(|G_*| \langle v_* \rangle^{2+\gamma} \right) |F| |H'| \sin^2 \frac{\theta}{2} b(\cos \theta) d\bar{\mu} \\ &\leq C_\ell \left(1 + \sup_x \|g\|_{L^1_{2+\gamma}} \right) \min \left\{ \|F\|_{L^2_{x,v}}, \|H\|_{L^2_{x,v}}, \|F\|_{L^\infty_{x,v}}, \|H\|_{L^1_{x,v}} \right\}. \end{aligned} \tag{2.19}$$

Similarly, we can bound Γ_5 by

$$\begin{aligned} |\Gamma_5| &\leq C_\ell \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} \left(|G_*| \langle v_* \rangle^4 \right) \frac{|F|}{\langle v \rangle^4} |H'| \sin^2 \frac{\theta}{2} b(\cos \theta) |v - v_*|^\gamma d\bar{\mu} \\ &\leq C_\ell \left(1 + \sup_x \|g\|_{L^1_{4+\gamma}} \right) \min \left\{ \|F\|_{L^2_{x,v}}, \|H\|_{L^2_{x,v}}, \|F\|_{L^\infty_{x,v}}, \|H\|_{L^1_{x,v}} \right\}. \end{aligned} \tag{2.20}$$

The desired estimate in (2.12) is obtained by adding all the bounds for $\Gamma_1, \dots, \Gamma_5$ in (2.16)-(2.20).

(b) The proof is similar to part (a) with a revision based on the extra condition (2.13) on g . In particular, we use the decomposition in (2.15):

$$\iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* \frac{F}{\langle v \rangle^\ell} H' \left(\langle v' \rangle^\ell - \langle v \rangle^\ell \cos^\ell \frac{\theta}{2} \right) b(\cos \theta) |v - v_*|^\gamma d\bar{\mu} = \sum_{n=1}^5 \Gamma_n,$$

where Γ_n 's are exactly the same as in (2.15). The estimates of $\Gamma_1, \Gamma_4, \Gamma_5$ remain the same as in part (a), which give

$$\begin{aligned} &|\Gamma_1| + |\Gamma_4| + |\Gamma_5| \\ &\leq \ell \left| \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* \left(F \langle v \rangle^{-2} - F' \langle v' \rangle^{-2} \right) H' (v_* \cdot \tilde{\omega}) \cos^\ell \frac{\theta}{2} \sin \frac{\theta}{2} b(\cos \theta) |v - v_*|^{1+\gamma} d\bar{\mu} \right| \\ &\quad + C_\ell \left(1 + \sup_x \|g\|_{L^1_{4+\gamma}} \right) \min \left\{ \|F\|_{L^2_{x,v}}, \|H\|_{L^2_{x,v}}, \|F\|_{L^\infty_{x,v}}, \|H\|_{L^1_{x,v}} \right\}. \end{aligned} \tag{2.21}$$

To prove (2.14), we combine (2.13) with the positivity of G and the singular change of variables to estimate Γ_2 and get

$$\begin{aligned} |\Gamma_2| &= \left| \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} \left(G_* \langle v_* \rangle^\ell \right) \frac{F}{\langle v \rangle^\ell} H' \sin^\ell \frac{\theta}{2} b(\cos \theta) |v - v_*|^\gamma d\bar{\mu} \right| \\ &\leq \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} \left(\mu_* \langle v_* \rangle^\ell + K_0 \right) \frac{|F|}{\langle v \rangle^\ell} |H'| \sin^\ell \frac{\theta}{2} b(\cos \theta) |v - v_*|^\gamma d\bar{\mu} \\ &\leq C_\ell (1 + K_0) \left(\sup_x \left\| \frac{F}{\langle v \rangle^{\ell-\gamma}} \right\|_{L^1_v} \right) \|H\|_{L^1_{x,v}}. \end{aligned} \tag{2.22}$$

Similarly, using the positivity of G and (2.13), we have the bound of Γ_3 as

$$\begin{aligned} |\Gamma_3| &\leq C_\ell \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} \left(G_* \langle v_* \rangle^{\ell-1} \right) \frac{|F|}{\langle v \rangle^{\ell-1}} |H'| \sin^{\ell-3} \frac{\theta}{2} b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\ &\leq C_\ell (1 + K_0) \left(\sup_x \left\| F / \langle v \rangle^{\ell-1-\gamma} \right\|_{L^1_v} \right) \|H\|_{L^1_{x,v}}. \end{aligned} \tag{2.23}$$

Combining (2.21), (2.22) and (2.23) gives (2.14). □

We summarize explicit bounds for the first term on the right-hand side of (2.12) and (2.14) in the following lemma:

Proposition 2.9 *Let $\tilde{\omega}$ be the unit vector defined in (2.9). Suppose G, F, H are functions that make sense of the integral below.*

(a) *If $s \in [1/2, 1)$, then for any pair of (s_1, γ_1) satisfying*

$$s_1 \in (2s - 1, s), \quad \frac{\gamma_1}{2} = \frac{2 + \gamma}{2} + s_1 - 2 < \frac{\gamma}{2}, \tag{2.24}$$

we have

$$\begin{aligned} &\left| \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} \int_{\mathbb{S}^2_+} G_* \left(F \langle v \rangle^{-2} - F' \langle v' \rangle^{-2} \right) H'(v_* \cdot \tilde{\omega}) \cos^\ell \frac{\theta}{2} \sin \frac{\theta}{2} b(\cos \theta) |v - v_*|^{1+\gamma} \, d\sigma \, dv_* \, dv \right| \\ &\leq C \|G\|_{L^{1+\gamma+2s} \cap L^2} \|F\|_{H^{\gamma_1/2}} \|H\|_{L^2_{\gamma/2}}. \end{aligned} \tag{2.25}$$

(b) *If $s \in (0, 1/2)$, then*

$$\begin{aligned} &\left| \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} \int_{\mathbb{S}^2_+} G_* \left(F \langle v \rangle^{-2} - F' \langle v' \rangle^{-2} \right) H'(v_* \cdot \tilde{\omega}) \cos^\ell \frac{\theta}{2} \sin \frac{\theta}{2} b(\cos \theta) |v - v_*|^{1+\gamma} \, d\sigma \, dv_* \, dv \right| \\ &\leq C \|G\|_{L^{1+\gamma}} \min \left\{ \|F\|_{L^2_v} \|H\|_{L^2_v}, \|F\|_{L^\infty_v} \|H\|_{L^1_v} \right\}. \end{aligned} \tag{2.26}$$

(c) *If $F \in W^{1,\infty}(\mathbb{R}^3_v)$, then for any $s \in (0, 1)$ we have*

$$\begin{aligned} &\left| \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} \int_{\mathbb{S}^2_+} G_* \left(F \langle v \rangle^{-2} - F' \langle v' \rangle^{-2} \right) H'(v_* \cdot \tilde{\omega}) \cos^\ell \frac{\theta}{2} \sin \frac{\theta}{2} b(\cos \theta) |v - v_*|^{1+\gamma} \, d\sigma \, dv_* \, dv \right| \\ &\leq C \|F\|_{W^{1,\infty}(\mathbb{R}^3_v)} \|G\|_{L^{1+\gamma}} \|H\|_{L^1_{\gamma}}. \end{aligned} \tag{2.27}$$

Proof Part (a) is an immediate application of (3.13) in [12] (with a reshuffle of the function names). Part (b) is a direct bound using the fact that $b(\cos \theta) \sin \frac{\theta}{2}$ is integral if $s \in (0, 1/2)$. Hence,

$$\begin{aligned} \text{LHS of (2.26)} &\leq C \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} \int_{\mathbb{S}^2_+} |G_*| \left(|F| \langle v \rangle^{-2} + |F'| \langle v' \rangle^{-2} \right) |H'| \langle v_* \rangle |v - v_*|^{1+\gamma} \, d\sigma \, dv_* \, dv \\ &\leq C \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} \int_{\mathbb{S}^2_+} |G_*| \langle v_* \rangle^{2+\gamma} (|F| + |F'|) |H'| \, d\sigma \, dv_* \, dv. \end{aligned}$$

Depending on the property of F , we can obtain two types of bounds here:

$$\int_{\mathbb{R}^3} \int_{\mathbb{R}^3} \int_{\mathbb{S}^2_+} |G_*| \langle v_* \rangle^{2+\gamma} (|F| + |F'|) |H'| \, d\sigma \, dv_* \, dv \leq \|G\|_{L^{1+\gamma}} \|F\|_{L^2_v} \|H\|_{L^2_v}$$

and

$$\int_{\mathbb{R}^3} \int_{\mathbb{R}^3} \int_{\mathbb{S}_+^2} |G_*| \langle v_* \rangle^{2+\gamma} (|F| + |F'|) |H'| \, d\sigma \, dv_* \, dv \leq \|G\|_{L_{2+\gamma}^1} \|F\|_{L_v^\infty} \|H\|_{L_v^1}.$$

A combination of them gives (2.26).

Part (c) follows directly from the Mean Value Theorem, which gives the following bound:

$$\begin{aligned} & \left| F \langle v \rangle^{-2} - F' \langle v' \rangle^{-2} \right| |v - v_*|^{1+\gamma} \\ & \leq \left(|F - F'| \langle v \rangle^{-2} + \left| \langle v \rangle^{-2} - \langle v' \rangle^{-2} \right| |F'| \right) |v - v_*|^{1+\gamma} \\ & \leq \left(\|\nabla_v F\|_{L_v^\infty} \frac{|v - v'|}{\langle v \rangle^2} + \|F\|_{L_v^\infty} \frac{(|v| + |v'|)|v - v'|}{\langle v \rangle^2 \langle v' \rangle^2} \right) |v - v_*|^{1+\gamma} \\ & = \left(\|\nabla_v F\|_{L_v^\infty} \frac{|v - v_*|^{2+\gamma}}{\langle v \rangle^2} + \|F\|_{L_v^\infty} \frac{(|v| + |v'|)|v - v_*|^{2+\gamma}}{\langle v \rangle^2 \langle v' \rangle^2} \right) \sin \frac{\theta}{2}. \end{aligned}$$

Since on \mathbb{S}_+^2 it holds that

$$\frac{\sqrt{2}}{2} |v - v_*| \leq |v' - v_*| \leq |v - v_*|,$$

there exists a generic constant C such that

$$\frac{(|v| + |v'|)|v - v_*|^{2+\gamma}}{\langle v \rangle^2 \langle v' \rangle^2} = \frac{|v||v - v_*|^{2+\gamma}}{\langle v \rangle^2 \langle v' \rangle^2} + \frac{|v'||v - v_*|^{2+\gamma}}{\langle v \rangle^2 \langle v' \rangle^2} \leq C \langle v_* \rangle^{2+\gamma}.$$

Hence, the (partial) integrand satisfies

$$\begin{aligned} & \left| \left(F \langle v \rangle^{-2} - F' \langle v' \rangle^{-2} \right) (v_* \cdot \tilde{\omega}) \cos^\ell \frac{\theta}{2} \sin \frac{\theta}{2} b(\cos \theta) \right| |v - v_*|^{1+\gamma} \\ & \leq C \left(\|\nabla_v F\|_{L_v^\infty} \frac{|v - v_*|^{2+\gamma}}{\langle v \rangle^2} + \|F\|_{L_v^\infty} \frac{(|v| + |v'|)|v - v_*|^{2+\gamma}}{\langle v \rangle^2 \langle v' \rangle^2} \right) \langle v_* \rangle \\ & \leq C \|F\|_{W_v^{1,\infty}} \langle v' \rangle^\gamma \langle v_* \rangle^{3+\gamma}, \end{aligned}$$

when restricted on \mathbb{S}_+^2 . Inserting such bound into the left-hand side of (2.27), we get

$$\begin{aligned} \text{LHS of (2.27)} & \leq C \|F\|_{W_v^{1,\infty}} \iint_{\mathbb{R}^3 \times \mathbb{R}^3} |G_*| \langle v_* \rangle^{3+\gamma} \langle v' \rangle^\gamma H' \, dv_* \, dv \\ & \leq C \|F\|_{W_v^{1,\infty}} \|G\|_{L_{3+\gamma}^1} \|H\|_{L_v^1}. \end{aligned}$$

□

We also record a proposition using the symmetry cancellation:

Proposition 2.10 [16, Lemma 2.1] *Suppose $H \in W^{2,\infty}(\mathbb{R}^3)$. Then for any $s \in (0, 1)$, it holds that*

$$\left| \int_{\mathbb{S}^2} (H' - H) b(\cos \theta) \, d\sigma \right| \leq C \left(\sup_{|u| \leq |v| + |v_*|} |\nabla H(u)| + \sup_{|u| \leq |v| + |v_*|} |\nabla^2 H(u)| \right) |v - v_*|^2.$$

2.3 Interpolation Results

In this section we collect several results about interpolation in fractional Sobolev spaces that will be used in the sequel.

Lemma 2.11 *Let $\eta, \eta' \in (0, 1)$. Then for $r = r(\eta, \eta', d) > 2$ and $\alpha = \alpha(\eta, \eta', d) \in (0, 1)$ defined in (2.33), it follows that*

$$\|\varphi\|_{L^r_{x,v}} \leq C \left(\int_{\mathbb{T}^d} \|(-\Delta_v)^{\eta/2} \varphi(x, \cdot)\|_{L^2_v}^2 dx \right)^{\frac{\alpha}{2}} \left(\int_{\mathbb{R}^d} \|(1 - \Delta_x)^{\eta'/2} \varphi(\cdot, v)\|_{L^2_x}^2 dv \right)^{\frac{1-\alpha}{2}}. \tag{2.28}$$

The constant C only depends on η, η', d .

Proof By the Sobolev embedding in \mathbb{R}^d and \mathbb{T}^d there exists c depending only η, η', d such that

$$\int_{\mathbb{T}^d} \|(-\Delta_v)^{\eta/2} \varphi(x, \cdot)\|_{L^2_v}^2 dx \geq c \int_{\mathbb{T}^d} \left(\int_{\mathbb{R}^d} |\varphi(x, v)|^p dv \right)^{\frac{2}{p}} dx, \tag{2.29}$$

$$\int_{\mathbb{R}^d} \|(1 - \Delta_x)^{\eta'/2} \varphi(\cdot, v)\|_{L^2_x}^2 dv \geq c \int_{\mathbb{R}^d} \left(\int_{\mathbb{T}^d} |\varphi(x, v)|^q dx \right)^{\frac{2}{q}} dv, \tag{2.30}$$

where

$$\frac{1}{q} = \frac{1}{2} - \frac{\eta'}{d}, \quad \frac{1}{p} = \frac{1}{2} - \frac{\eta}{d}, \quad q, p > 2. \tag{2.31}$$

Set

$$\alpha_1 = \frac{q-2}{\frac{p}{2}q-2} \in (0, 1), \quad \alpha_2 = \frac{p}{2}\alpha_1 \in (0, 1), \tag{2.32}$$

$$r = p\alpha_1 + 2(1 - \alpha_1) > 2, \quad \alpha = \frac{2\alpha_2}{r} \in (0, 1). \tag{2.33}$$

One can readily check that

$$\frac{\alpha_1}{\alpha_2} = \frac{2}{p}, \quad \frac{1 - \alpha_1}{1 - \alpha_2} = \frac{q}{2} > 1, \quad r = 2\alpha_2 + q(1 - \alpha_2), \quad \frac{q}{2r}(1 - \alpha_2) = \frac{1 - \alpha}{2}. \tag{2.34}$$

Then, using the Hölder inequality we have

$$\begin{aligned} \int_{\mathbb{T}^d} \int_{\mathbb{R}^d} |\varphi(x, v)|^r dv dx &\leq \int_{\mathbb{T}^d} \left(\int_{\mathbb{R}^d} |\varphi(x, v)|^p dv \right)^{\alpha_1} \left(\int_{\mathbb{R}^d} |\varphi(x, v)|^2 dv \right)^{1-\alpha_1} dx \\ &\leq \left(\int_{\mathbb{T}^d} \left(\int_{\mathbb{R}^d} |\varphi(x, v)|^p dv \right)^{\frac{\alpha_1}{\alpha_2}} dx \right)^{\alpha_2} \left(\int_{\mathbb{T}^d} \left(\int_{\mathbb{R}^d} |\varphi(x, v)|^2 dv \right)^{\frac{1-\alpha_1}{1-\alpha_2}} dx \right)^{1-\alpha_2} \\ &= \left(\int_{\mathbb{T}^d} \left(\int_{\mathbb{R}^d} |\varphi(x, v)|^p dv \right)^{\frac{2}{p}} dx \right)^{\alpha_2} \left(\int_{\mathbb{T}^d} \left(\int_{\mathbb{R}^d} |\varphi(x, v)|^2 dv \right)^{\frac{q}{2}} dx \right)^{1-\alpha_2} \\ &\leq C \left(\int_{\mathbb{T}^d} \|(-\Delta_v)^{\eta/2} \varphi(x, \cdot)\|_{L^2_v}^2 dx \right)^{\alpha_2} \left(\int_{\mathbb{R}^d} \left(\int_{\mathbb{T}^d} |\varphi(x, v)|^q dx \right)^{\frac{2}{q}} dv \right)^{\frac{q}{2}(1-\alpha_2)} \end{aligned}$$

$$\leq C \left(\int_{\mathbb{T}^d} \|(-\Delta_v)^{\eta/2} \varphi(x, \cdot)\|_{L_v^2}^2 dx \right)^{\alpha_2} \left(\int_{\mathbb{R}^d} \|(1 - \Delta_x)^{\eta'/2} \varphi(\cdot, v)\|_{L_x^2}^2 dv \right)^{\frac{q}{2}(1-\alpha_2)},$$

where the Minkowski’s integral inequality is used in the second last step. Inequality (2.28) then follows by the definition and property of α in (2.33) and (2.34). \square

Observe that the estimates hold in the proof of Lemma 2.11, or equivalently, the existence of $\alpha, \alpha_1, \alpha_2, r$ in the correct range is guaranteed as long as $p, q > 2$. Based on such observation, we have a second interpolation similar as Lemma 2.11:

Lemma 2.12 *Let $\eta, \eta' \in (0, 1)$ and $m \geq 1$. Then, for some $\tilde{r} = r(\eta, \eta', m, d) > 2$ and $\tilde{\alpha} = \tilde{\alpha}(\eta, \eta', m, d) \in (0, 1)$, we have*

$$\|\varphi\|_{L_{x,v}^{\tilde{r}}} \leq C \left(\int_{\mathbb{T}^d} \|(-\Delta_v)^{\eta/2} \varphi(x, \cdot)\|_{L_v^2}^2 dx \right)^{\frac{\tilde{\alpha}}{2}} \left(\int_{\mathbb{R}^d} \|(1 - \Delta_x)^{\eta'/2} \varphi^2(\cdot, v)\|_{L_x^m} dv \right)^{\frac{1-\tilde{\alpha}}{2}}.$$

The constant C only depends on η, η', m, d .

Proof Using Sobolev imbedding we have that

$$\begin{aligned} \int_{\mathbb{T}^d} \|(-\Delta_v)^{\eta/2} \varphi(x, \cdot)\|_{L_v^2}^2 dx &\geq c \int_{\mathbb{T}^d} \left(\int_{\mathbb{R}^d} |\varphi(x, v)|^p dv \right)^{\frac{2}{p}} dx, \\ \int_{\mathbb{R}^d} \|(1 - \Delta_x)^{\eta'/2} \varphi^2(\cdot, v)\|_{L_x^m} dv &\geq c \int_{\mathbb{R}^d} \left(\int_{\mathbb{T}^d} |\varphi(x, v)|^{\tilde{q}} dx \right)^{\frac{2}{\tilde{q}}} dv, \end{aligned}$$

where $c = c(\eta, \eta', m, d)$ and

$$\frac{2}{\tilde{q}} = \frac{1}{m} - \frac{\eta'}{d}, \quad \frac{1}{p} = \frac{1}{2} - \frac{\eta}{d}, \quad p, \tilde{q} > 2,$$

which are in a similar form as (2.29) and (2.30). By the comment before the statement of Lemma 2.12, the desired inequality holds with

$$\tilde{\alpha}_1 = \frac{\tilde{q} - 2}{\frac{p}{2}\tilde{q} - 2} \in (0, 1), \quad \tilde{\alpha}_2 = \frac{p}{2}\tilde{\alpha}_1 \in (0, 1), \quad \tilde{r} = p\tilde{\alpha}_1 + 2(1 - \tilde{\alpha}_1) > 2, \quad \tilde{\alpha} = \frac{2\tilde{\alpha}_2}{\tilde{r}}.$$

\square

Next we show a “Leibniz” rule for fractional derivatives:

Lemma 2.13 *Let $p \in (1, 2), 0 \leq \beta' < \beta \in (0, 1)$,*

$$p' = \frac{p}{2 - p} \quad \text{that is} \quad 2p' = \frac{p}{1 - p/2}. \tag{2.35}$$

Then for any φ making sense of the terms of the inequality below, it follows that

$$\left\| (-\Delta)^{\frac{\beta'}{2}} \varphi^2 \right\|_{L^p(\mathbb{R}^d)} \leq C \left(\|\varphi\|_{\dot{H}^\beta(\mathbb{R}^d)} \|\varphi^2\|_{L^{p'}(\mathbb{R}^d)}^{\frac{1}{2}} + \|\varphi^2\|_{L^p(\mathbb{R}^d)} \right),$$

where the constant C only depends on d, β', β, p and $\dot{H}^\beta(\mathbb{R}^d)$ is the homogeneous Bessel potential space.

Proof By the continuous embedding of the Bessel potential space in the fractional Sobolev-Slobodeckij space for $p \in (1, 2]$, it follows that

$$\begin{aligned} \left\| (-\Delta)^{\frac{\beta'}{2}} \varphi^2 \right\|_{L^p}^p &\leq C \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \frac{|\varphi^2(x) - \varphi^2(y)|^p}{|x - y|^{d+\beta'p}} \, dx \, dy \\ &\leq C \left(\int_{\mathbb{R}^d} \int_{|x-y|\leq 1} + \int_{\mathbb{R}^d} \int_{|x-y|>1} \right) \frac{|\varphi^2(x) - \varphi^2(y)|^p}{|x - y|^{d+\beta'p}} \, dx \, dy \triangleq I_1 + I_2. \end{aligned}$$

A simple computation shows that

$$I_2 \leq C \|\varphi^2\|_{L^p}^p,$$

where C depends on d, β and p . To estimate I_1 , decompose its integrand as the product

$$\frac{|\varphi^2(x) - \varphi^2(y)|^p}{|x - y|^{\beta'p}} = \frac{|\varphi(x) - \varphi(y)|^p}{|x - y|^{\beta'p}} \frac{|\varphi(x) + \varphi(y)|^p}{|x - y|^{-(\beta-\beta')p}}.$$

Then a direct application of the Hölder inequality with measure $|x - y|^{-d} \, dx \, dy$ and pair $(\frac{2}{p}, \frac{2}{2-p})$ gives

$$I_1 \leq \|\varphi\|_{\dot{H}^\beta}^p \left(\int_{\mathbb{R}^d} \int_{|x-y|\leq 1} \frac{|\varphi(x) + \varphi(y)|^{2p'}}{|x - y|^{d-2p'(\beta-\beta')}} \, dx \, dy \right)^{\frac{p}{2p'}} \leq C_{d,\beta,\beta',p} \|\varphi\|_{\dot{H}^\beta}^p \|\varphi^2\|_{L^{p'}}^{\frac{p}{2}},$$

which combined with the estimate for I_2 proves the result. □

2.4 Strong Averaging Lemma

The following result is a time-localised version of [14, Theorem 1.3] that is needed for the Cauchy problem.

Proposition 2.14 Fix $0 \leq T_1 < T_2$, $p \in (1, \infty)$, $\beta \geq 0$, and assume that $f \in C([T_1, T_2]; L^p_{x,v})$ with $\Delta_v^{\beta/2} f \in L^p_{t,x,v}$ satisfies

$$\partial_t f + v \cdot \nabla_x f = \mathcal{F}, \quad t \in (0, \infty).$$

Then, for any $r \in [0, \frac{1}{p}]$, $m \in \mathbb{N}$, $\beta_- \in [0, \beta)$, if we define

$$s^b = \frac{(1 - r p) \beta_-}{p(1 + m + \beta)}, \tag{2.36}$$

and

$$\tilde{f} = f 1_{(T_1, T_2)}(t), \quad \tilde{\mathcal{F}} = \mathcal{F} 1_{(T_1, T_2)}(t),$$

then it follows that

$$\begin{aligned} \left\| (-\Delta_x)^{\frac{s^b}{2}} \tilde{f} \right\|_{L^p_{t,x,v}} + \left\| (-\partial_t^2)^{\frac{s^b}{2}} \tilde{f} \right\|_{L^p_{t,x,v}} &\leq C \left(\left\| \langle v \rangle^{1+m} (1 - \Delta_x)^{-\frac{r}{2}} (1 - \Delta_v)^{-\frac{m}{2}} f(T_1) \right\|_{L^p_{x,v}} \right. \\ &\quad + \left\| \langle v \rangle^{1+m} (1 - \Delta_x)^{-\frac{r}{2}} (1 - \Delta_v)^{-\frac{m}{2}} f(T_2) \right\|_{L^p_{x,v}} \\ &\quad \left. + \left\| \langle v \rangle^{1+m} (1 - \Delta_x - \partial_t^2)^{-\frac{r}{2}} (1 - \Delta_v)^{-\frac{m}{2}} \tilde{\mathcal{F}} \right\|_{L^p_{t,x,v}} \right) \end{aligned}$$

$$+ \|(-\Delta_v)^{\beta/2} \tilde{f}\|_{L^p_{t,x,v}} + \|\tilde{f}\|_{L^p_{t,x,v}}, \tag{2.37}$$

where the constant C only depends on d, β, r, m, p .

Proof Multiplying the transport equation by $1_{(T_1, T_2)}(t)$ we arrive at

$$\partial_t \tilde{f} + v \cdot \nabla_x \tilde{f} = (f(T_1)\delta(t - T_1) - f(T_2)\delta(t - T_2)) + \tilde{\mathcal{F}} \triangleq A + \tilde{\mathcal{F}}, \quad t \in (-\infty, \infty).$$

Write the sources as

$$A = (1 - \Delta_x - \partial_t^2)^{\frac{\tilde{r}}{2}} (1 - \Delta_v)^{\frac{m}{2}} \left((1 - \Delta_x - \partial_t^2)^{-\frac{\tilde{r}}{2}} (1 - \Delta_v)^{-\frac{m}{2}} A \right),$$

$$\tilde{\mathcal{F}} = (1 - \Delta_x - \partial_t^2)^{\frac{r}{2}} (1 - \Delta_v)^{\frac{m}{2}} \left((1 - \Delta_x - \partial_t^2)^{-\frac{r}{2}} (1 - \Delta_v)^{-\frac{m}{2}} \tilde{\mathcal{F}} \right),$$

for $0 \leq r < \tilde{r} \in (0, 1]$ and $m \in \mathbb{N}$. By [14, Theorem 1.3] and the additive contribution of the sources one has that

$$\begin{aligned} \left\| (-\Delta_x)^{\frac{s^b}{2}} \tilde{f} \right\|_{L^p_{t,x,v}} + \left\| (-\partial_t^2)^{\frac{s^b}{2}} \tilde{f} \right\|_{L^p_{t,x,v}} &\leq C \left(\|\tilde{f}\|_{L^p_{t,x,v}} + \|(-\Delta_v)^{\beta/2} \tilde{f}\|_{L^p_{t,x,v}} \right. \\ &\quad + \left\| \langle v \rangle^{1+m} (1 - \Delta_x - \partial_t^2)^{-\frac{\tilde{r}}{2}} (1 - \Delta_v)^{-\frac{m}{2}} A \right\|_{L^p_{t,x,v}} \\ &\quad \left. + \left\| \langle v \rangle^{1+m} (1 - \Delta_x - \partial_t^2)^{-\frac{r}{2}} (1 - \Delta_v)^{-\frac{m}{2}} \tilde{\mathcal{F}} \right\|_{L^p_{t,x,v}} \right), \end{aligned}$$

for $s^b = \min \left\{ \frac{(1-r)\beta}{m+1+\beta}, \frac{(1-\tilde{r})\beta}{m+1+\beta} \right\} = \frac{(1-\tilde{r})\beta}{m+1+\beta}$. It remains to estimate the term involving A on the right. First by [14, Lemma 2.3] we have

$$\begin{aligned} &\left\| \langle v \rangle^{1+m} (1 - \Delta_x - \partial_t^2)^{-\frac{\tilde{r}}{2}} (1 - \Delta_v)^{-\frac{m}{2}} A \right\|_{L^p_{t,x,v}} \\ &\leq C \left\| \langle v \rangle^{1+m} (1 - \Delta_x)^{-\frac{r}{2}} (1 - \partial_t^2)^{-\frac{\tilde{r}-r}{2}} (1 - \Delta_v)^{-\frac{m}{2}} A \right\|_{L^p_{t,x,v}}. \end{aligned}$$

By the definition of A , we can explicitly compute

$$\begin{aligned} (1 - \partial_t^2)^{-\frac{\tilde{r}-r}{2}} (1 - \Delta_x)^{-\frac{r}{2}} (1 - \Delta_v)^{-\frac{m}{2}} A &= \mathcal{B}_{\tilde{r}-r}(t - T_1) \left((1 - \Delta_x)^{-\frac{r}{2}} (1 - \Delta_v)^{-\frac{m}{2}} f(T_1) \right) \\ &\quad - \mathcal{B}_{\tilde{r}-r}(t - T_2) \left((1 - \Delta_x)^{-\frac{r}{2}} (1 - \Delta_v)^{-\frac{m}{2}} f(T_2) \right), \end{aligned}$$

where $\mathcal{B}_{\tilde{r}-r}$ is the Bessel kernel of order $\tilde{r} - r$ in \mathbb{R} . The asymptotic behaviours of the Bessel kernel near 0 and ∞ give

$$0 \leq \mathcal{B}_{\tilde{r}-r}(t - T_1) \leq C_{d,\tilde{r},r} \frac{e^{-|t-T_1|}}{|t - T_1|^{1+r-\tilde{r}}},$$

$$0 \leq \mathcal{B}_{\tilde{r}-r}(t - T_2) \leq C_{d,\tilde{r},r} \frac{e^{-|t-T_2|}}{|t - T_2|^{1+r-\tilde{r}}}, \quad t \in \mathbb{R}.$$

As a consequence, it follows that

$$\begin{aligned} &\left\| \langle v \rangle^{1+m} \mathcal{B}_{\tilde{r}-r}(t - T_1) \left((1 - \Delta_x)^{-\frac{r}{2}} (1 - \Delta_v)^{-\frac{m}{2}} f(T_1) \right) \right\|_{L^p_{t,x,v}} \\ &\leq C_{d,\tilde{r},p} \left\| \langle v \rangle^{1+m} (1 - \Delta_x)^{-\frac{r}{2}} (1 - \Delta_v)^{-\frac{m}{2}} f(T_1) \right\|_{L^p_{x,v}}, \end{aligned}$$

under the condition $\tilde{r} > 1 - \frac{1}{p} + r > 0$, which together with $s^b = \frac{(1-\tilde{r})\beta}{m+1+\beta}$ implies the choice (2.36). Analogous estimate follows at the point $t = T_2$. \square

The next proposition is an estimate for $Q(F, \mu)$:

Proposition 2.15 *For any $F \in L^1_{\gamma+2s}(\mathbb{R}^3_v)$, the quantity $Q(F, \mu)$ is in $L^\infty(\mathbb{R}^3_v)$ with the bound*

$$\|Q(F, \mu)\|_{L^\infty(\mathbb{R}^3_v)} \leq C \|F\|_{L^1_{\gamma+2s}(\mathbb{R}^3_v)}. \tag{2.38}$$

Proof The proof follows from a similar line of argument as the proof of Proposition 2.1 in [4]. First we decompose $|v - v_*|^\gamma$ as

$$|v - v_*|^\gamma = \Phi_c + \Phi_{\bar{c}},$$

where $\Phi_{\bar{c}}$ is smooth and $\Phi_{\bar{c}} = 0$ near $v = v_*$ while $\Phi_c = |v - v_*|^\gamma$ near $v = v_*$. The main property of Φ_c is

$$|\nabla^\alpha \widehat{\Phi}_c(\xi)| \lesssim \frac{1}{\langle \xi \rangle^{3+\gamma+|\alpha|}}, \quad \forall |\alpha| \in \mathbb{N} \cup \{0\}, \tag{2.39}$$

where $\widehat{\Phi}_c$ is the Fourier transform of Φ_c . Denote $Q_c, Q_{\bar{c}}$ as the corresponding collision operators such that

$$Q(F, \mu) = Q_c(F, \mu) + Q_{\bar{c}}(F, \mu).$$

Then by the trilinear estimate (2.1) in [4], we have

$$\|Q_{\bar{c}}(F, \mu)\|_{L^\infty} \leq C \|F\|_{L^1_{\gamma+2s}}. \tag{2.40}$$

Hence we are left to bound $Q_c(F, \mu)$. Take an arbitrary $h \in L^1(\mathbb{R}^3)$. In the Fourier space, we have

$$\begin{aligned} \langle Q_c(F, \mu), h \rangle &= \iiint_{\mathbb{S}^2 \times \mathbb{R}^6} b\left(\frac{\xi}{|\xi|} \cdot \sigma\right) (\widehat{\Phi}_c(\xi_* - \xi^-) - \widehat{\Phi}_c(\xi_*)) \widehat{F}(\xi_*) \widehat{\mu}(\xi - \xi_*) \overline{\widehat{h}(\xi)} \, d\xi \, d\xi_* \, d\sigma, \end{aligned} \tag{2.41}$$

where

$$\xi^\pm = \frac{1}{2} (\xi \pm |\xi| \sigma), \quad |\xi^-| = |\xi| \sin \frac{\theta}{2} \quad \text{with} \quad \cos \theta = \frac{\xi}{|\xi|} \cdot \sigma.$$

Note that ξ^+ is perpendicular to ξ^- . By Taylor’s theorem, we have

$$\widehat{\Phi}_c(\xi_* - \xi^-) - \widehat{\Phi}_c(\xi_*) = -\xi^- \cdot \nabla \widehat{\Phi}_c(\xi_*) + \left(\int_0^1 (1-t) \nabla^2 \widehat{\Phi}_c(\xi_* - t\xi^-) \, dt \right) : (\xi^- \otimes \xi^-). \tag{2.42}$$

Similar as in [4], we decompose ξ^- as

$$\xi^- = \frac{|\xi|}{2} \left(\left(\frac{\xi}{|\xi|} \cdot \sigma \right) \frac{\xi}{|\xi|} - \sigma \right) + \left(1 - \left(\frac{\xi}{|\xi|} \cdot \sigma \right) \right) \frac{\xi}{2}.$$

Inserting (2.42) into (2.41), we get

$$\begin{aligned} \langle Q_c(F, \mu), h \rangle &= - \iiint_{\mathbb{S}^2 \times \mathbb{R}^6} b\left(\frac{\xi}{|\xi|} \cdot \sigma\right) \left(\frac{|\xi|}{2} \left(\left(\frac{\xi}{|\xi|} \cdot \sigma \right) \frac{\xi}{|\xi|} - \sigma \right) \right) \end{aligned}$$

$$\begin{aligned} & \times \nabla \widehat{\Phi}_c(\xi_*) \widehat{F}(\xi_*) \widehat{\mu}(\xi - \xi_*) \overline{\widehat{h}(\xi)} \, d\xi \, d\xi_* \, d\sigma \\ & - \iiint_{\mathbb{S}^2 \times \mathbb{R}^6} b\left(\frac{\xi}{|\xi|} \cdot \sigma\right) \left(1 - \left(\frac{\xi}{|\xi|} \cdot \sigma\right)\right) \frac{\xi}{2} \cdot \nabla \widehat{\Phi}_c(\xi_*) \widehat{F}(\xi_*) \widehat{\mu}(\xi - \xi_*) \overline{\widehat{h}(\xi)} \, d\xi \, d\xi_* \, d\sigma \\ & + \int_0^1 (1-t) \iiint_{\mathbb{S}^2 \times \mathbb{R}^6} b\left(\frac{\xi}{|\xi|} \cdot \sigma\right) \widehat{F}(\xi_*) \widehat{\mu}(\xi - \xi_*) \overline{\widehat{h}(\xi)} (\nabla^2 \widehat{\Phi}_c(\xi_* - t\xi^-) : (\xi^- \otimes \xi^-)) \\ & \triangleq \langle Q_c^{(1)}(F, \mu), h \rangle + \langle Q_c^{(2)}(F, \mu), h \rangle + \langle Q_c^{(3)}(F, \mu), h \rangle. \end{aligned}$$

By symmetry $\langle Q_c^{(1)}(F, \mu), h \rangle$ vanishes. By the property that

$$\left|1 - \left(\frac{\xi}{|\xi|} \cdot \sigma\right)\right| = 2 \sin^2 \frac{\theta}{2}, \quad \cos \theta = \frac{\xi}{|\xi|} \cdot \sigma,$$

we have

$$\begin{aligned} \left| \langle Q_c^{(2)}(F, \mu), h \rangle \right| & \leq C \iiint_{\mathbb{S}^2 \times \mathbb{R}^6} |\xi| |\nabla \widehat{\Phi}_c(\xi_*)| |\widehat{F}(\xi_*)| |\widehat{\mu}(\xi - \xi_*)| |\overline{\widehat{h}(\xi)}| \, d\xi \, d\xi_* \, d\sigma \\ & \leq C \|F\|_{L_v^1} \|h\|_{L_v^1} \iint_{\mathbb{R}^6} \frac{\langle \xi_* \rangle \langle \xi - \xi_* \rangle}{\langle \xi_* \rangle^{4+\gamma}} |\widehat{\mu}(\xi - \xi_*)| \, d\xi \, d\xi_* \\ & \leq C \|F\|_{L_v^1} \|h\|_{L_v^1}. \end{aligned} \tag{2.43}$$

To bound $\langle Q_c^{(3)}(F, \mu), h \rangle$, we first use the property of ξ^- to get

$$\begin{aligned} & \left| \langle Q_c^{(3)}(F, \mu), h \rangle \right| \\ & \leq \int_0^1 (1-t) \iiint_{\mathbb{S}^2 \times \mathbb{R}^6} b\left(\frac{\xi}{|\xi|} \cdot \sigma\right) |\widehat{F}(\xi_*)| |\widehat{\mu}(\xi - \xi_*)| |\overline{\widehat{h}(\xi)}| |\nabla^2 \widehat{\Phi}_c(\xi_* - t\xi^-)| |\xi^-|^2 \\ & \leq C \|F\|_{L_v^1} \|h\|_{L_v^1} \int_0^1 \iiint_{\mathbb{S}^2 \times \mathbb{R}^6} |\widehat{\mu}(\xi - \xi_*)| |\nabla^2 \widehat{\Phi}_c(\xi_* - t\xi^-)| |\xi^-|^2 \, d\xi \, d\xi_* \, d\sigma \, dt \\ & \leq C \|F\|_{L_v^1} \|h\|_{L_v^1} \int_0^1 \iiint_{\mathbb{S}^2 \times \mathbb{R}^6} |\widehat{\mu}(\xi - \xi_*)| \frac{|\xi^-|^2}{\langle \xi_* - t\xi^- \rangle^{3+\gamma+2}} \, d\xi \, d\xi_* \, d\sigma \, dt. \end{aligned}$$

Make the change of variables

$$w = \xi_* - \xi, \quad z = \xi_* - t\xi^-.$$

Since $b(\cos \theta)$ is supported on $\cos \theta \geq 0$, we have $\theta \in [0, \pi/2]$ and

$$\begin{aligned} \left| \frac{\partial(w, z)}{\partial(\xi_*, \xi)} \right| & = \left| \det \begin{pmatrix} I & -I \\ I - \frac{t}{2} \left(I - \sigma \otimes \frac{\xi}{|\xi|} \right) \end{pmatrix} \right| \\ & = (1-t/2)^2 (1-t \sin^2 \frac{\theta}{2}) \geq \frac{1}{4} (1-t \sin^2 \frac{\theta}{2}) \geq 1/8. \end{aligned}$$

Similarly, by $\sin \frac{\theta}{2} \leq \sqrt{2}/2$ and the fact that $\xi^+ \perp \xi^-$, we have

$$|w - z| = |\xi - t\xi^-| = |\xi^+ + (1-t)\xi^-| \geq |\xi^+| \geq \frac{\sqrt{2}}{2} |\xi|,$$

which gives

$$|\xi| \leq \sqrt{2} |w - z|.$$

Applying the change of variables $(\xi, \xi_*) \rightarrow (w, z)$ in $Q_c^{(3)}$, we have

$$\begin{aligned} \left| \left\langle Q_c^{(3)}(F, \mu), h \right\rangle \right| &\leq C \|F\|_{L_v^1} \|h\|_{L_v^1} \int_0^1 \iiint_{\mathbb{S}^2 \times \mathbb{R}^6} |\widehat{\mu}(w)| \frac{\langle w \rangle^2 \langle z \rangle^2}{\langle z \rangle^{3+\gamma+2}} dw dz d\sigma dt \\ &\leq C \|F\|_{L_v^1} \|h\|_{L_v^1}. \end{aligned}$$

Combining the estimates for $Q_c^{(1)}$, $Q_c^{(2)}$, $Q_c^{(3)}$ and $Q_{\bar{c}}$ gives the bound in (2.38). □

Remark 2.16 It is clear from the proof of Proposition 2.15 that we can replace μ by $\mu \langle v \rangle^\ell$ for any ℓ and obtain that

$$\left\| Q(F, \mu \langle v \rangle^\ell) \right\|_{L^\infty(\mathbb{R}_v^3)} \leq C_\ell \|F\|_{L_{\gamma+2s}^1(\mathbb{R}_v^3)}. \tag{2.44}$$

Finally we state some elementary interpolations and the specific form of the Gronwall’s inequality used frequently in later sections.

Lemma 2.17 For any $\alpha > 0$ and $k \in \mathbb{R}$, we have

$$L_k^\infty(\mathbb{R}^3) \hookrightarrow L_{k-3-\alpha}^1(\mathbb{R}^3), \quad L_k^2(\mathbb{R}^3) \hookrightarrow L_{k-3/2-\alpha}^1(\mathbb{R}^3), \quad L_k^\infty(\mathbb{R}^3) \hookrightarrow L_{k-3/2-\alpha}^2(\mathbb{R}^3).$$

Lemma 2.18 Let C_1, C_2 be two positive constants. Suppose $u(t) \geq 0$ satisfies

$$\frac{d}{dt} u^2(t) \leq C_1 u(t) + C_2 u^2(t), \quad u|_{t=0} = u_0.$$

Then

$$u^2(t) \leq e^{(1+C_2)t} (u_0^2 + C_1^2 t).$$

Note that the coefficient in the second term C_1^2 is independent of C_2 .

Proof First by the Cauchy–Schwarz inequality, we have

$$\frac{d}{dt} u^2(t) \leq C_1^2 + (1 + C_2) u^2(t).$$

Then by the usual Gronwall’s inequality,

$$u^2(t) \leq e^{(1+C_2)t} \left(u_0^2 + C_1^2 \frac{1 - e^{-(1+C_2)t}}{1 + C_2} \right) \leq e^{(1+C_2)t} (u_0^2 + C_1^2 t).$$

□

3 Linear Local Theory: A Priori Estimates

We start with the theory for the linear equation

$$\partial_t F + v \cdot \nabla_x F = Q(G, F) + \epsilon L_\alpha F \stackrel{\Delta}{=} \widetilde{Q}(G, F), \quad (t, x, v) \in (0, T) \times \mathbb{T}^3 \times \mathbb{R}^3, \tag{3.1}$$

where $T > 0$ is fixed and G is a fixed nonnegative function and we write

$$G(t, x, v) = \mu(v) + g(t, x, v) \geq 0.$$

The operator L_α is a regularising linear operator defined by

$$L_\alpha \psi(v) = -(\langle v \rangle^{2\alpha} \psi - \nabla_v \cdot (\langle v \rangle^{2\alpha} \nabla_v \psi)), \quad \alpha \geq 0, \tag{3.2}$$

where $\alpha > 0$ will be specified and fixed in the sequel.

The goal of this section is to establish a priori estimates in various L^2 -based spaces. Hence we suppose $F(t, x, v)$ is a sufficiently smooth nonnegative solution to (3.1) and let $f(t, x, v)$ be its perturbation around the global Maxwellian, *i.e.*,

$$F(t, x, v) = \mu(v) + f(t, x, v) \geq 0.$$

Then for any $\epsilon \in (0, 1]$, the pair (F, f) satisfies the equation

$$\begin{aligned} \partial_t f + v \cdot \nabla_x f &= \epsilon L_\alpha F + Q(G, F) \\ &= \epsilon L_\alpha(\mu + f) + Q(G, \mu + f), \quad (t, x, v) \in (0, T) \times \mathbb{T}^3 \times \mathbb{R}^3. \end{aligned} \tag{3.3}$$

3.1 Local in Time L^2 -Estimates

First we derive a uniform-in- ϵ L^2 -estimate for Eq. (3.3).

Proposition 3.1 (*Bilinear uniform-in- ϵ estimate*) Suppose $G = \mu + g \geq 0$ satisfies that

$$\inf_{t,x} \|G\|_{L^1_v} \geq D_0 > 0, \quad \sup_{t,x} (\|G\|_{L^1_x} + \|G\|_{L \log L}) < E_0 < \infty. \tag{3.4}$$

Suppose $s \in (0, 1)$ and $\ell > 8 + \gamma$. Let $F = \mu + f$ be a solution to equation (3.3). Then

$$\begin{aligned} \frac{d}{dt} \|\langle v \rangle^\ell f\|_{L^2_{x,v}}^2 &\leq -\left(\frac{\gamma_0}{2} - C_\ell \sup_x \|g\|_{L^1_v}\right) \|\langle v \rangle^{\ell+\gamma/2} f\|_{L^2_{x,v}}^2 \\ &\quad + C_\ell \left(1 + \sup_x \|g\|_{L^1_{\ell+\gamma}}\right) \|\langle v \rangle^\ell f\|_{L^2_{x,v}}^2 \\ &\quad - \frac{c_0 \delta_2}{4} \int_{\mathbb{T}^3} \|\langle v \rangle^\ell f\|_{H^s_{\gamma/2}}^2 dx - \frac{\epsilon}{2} \|\langle v \rangle^{\ell+\alpha} f\|_{L^2_x H^1_v}^2 \\ &\quad + C_\ell \left(\epsilon + \sup_x \|g\|_{L^1_{\ell+\gamma+2s} \cap L^2}\right) \|\langle v \rangle^\ell f\|_{L^2_{x,v}}^2, \end{aligned} \tag{3.5}$$

where δ_2 is a small enough constant satisfying (3.15), γ_0, c_0 are the positive constants in Lemma 2.6 and Proposition 2.5 respectively, and b_0 is a constant that only depends on s, γ . All the coefficients $c_0, \gamma_0, \delta_2, b_0, C_\ell$ are independent of ϵ . Furthermore, for any $0 \leq T_1 < T_2 < T$ and $0 < s' < \frac{s}{2(s+3)}$, we have the regularisation

$$\begin{aligned} &\int_{T_1}^{T_2} \|(1 - \Delta_t)^{s'/2} f\|_{L^2_{x,v}}^2 d\tau + \int_{T_1}^{T_2} \|(1 - \Delta_x)^{s'/2} f\|_{L^2_{x,v}}^2 d\tau \\ &\leq C \int_{T_1}^{T_2} \left(\epsilon^2 \|\langle v \rangle^{3+2\alpha} f\|_{L^2_{x,v}}^2 + \|(1 - \Delta_v)^{s/2} f\|_{L^2_{x,v}}^2\right) dt \\ &\quad + C \left(1 + \sup_{t,x} \|g\|_{L^1_{3+\gamma+2s} \cap L^2}\right) \int_{T_1}^{T_2} \|\langle v \rangle^{3+\gamma+2s} f\|_{L^2_{x,v}}^2 dt \\ &\quad + C \|\langle v \rangle^3 f(T_1)\|_{L^2_{x,v}}^2 + C \|\langle v \rangle^3 f(T_2)\|_{L^2_{x,v}}^2 + C \left(\epsilon^2 + \sup_{t,x} \|g\|_{L^1_{3+\gamma+2s} \cap L^2}\right) (T_2 - T_1), \end{aligned} \tag{3.6}$$

where the coefficient C is independent of ϵ .

Proof Multiply (3.3) by $\langle v \rangle^{2\ell} f$ and integrate in x, v . The regularising term is bounded as

$$\begin{aligned}
 & \in \iint_{\mathbb{T}^3 \times \mathbb{R}^3} L_\alpha(\mu + f) f \langle v \rangle^{2\ell} \, dv \, dx \\
 & = -\epsilon \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \langle v \rangle^{2\ell} (\langle v \rangle^{2\alpha} f - \nabla_v \cdot (\langle v \rangle^{2\alpha} \nabla_v f)) f \, dv \, dx \\
 & \quad + \epsilon \iint_{\mathbb{T}^3 \times \mathbb{R}^3} L_\alpha(\mu) f \langle v \rangle^{2\ell} \, dv \, dx \\
 & \leq -\frac{\epsilon}{2} \|f\|_{L^2_{\ell+\alpha}(\mathbb{T}^3 \times \mathbb{R}^3)}^2 - \epsilon \iint_{\mathbb{T}^3 \times \mathbb{R}^3} |\langle v \rangle^{\alpha+\ell} \nabla_v f|^2 \, dx \, dv \\
 & \quad + C_\ell \epsilon \left\| \langle v \rangle^\ell f \right\|_{L^2_{x,v}}^2 + C_\ell \epsilon \|f\|_{L^1_{x,v}} \\
 & \leq -\frac{\epsilon}{2} \|f\|_{L^2_{\ell+\alpha}(\mathbb{T}^3 \times \mathbb{R}^3)}^2 - \epsilon \iint_{\mathbb{T}^3 \times \mathbb{R}^3} |\langle v \rangle^{\alpha+\ell} \nabla_v f|^2 \, dx \, dv \\
 & \quad + C_\ell \epsilon \left\| \langle v \rangle^\ell f \right\|_{L^2_{x,v}}^2 + C_\ell \epsilon \left\| \langle v \rangle^\ell f \right\|_{L^2_{x,v}}. \tag{3.7}
 \end{aligned}$$

Decompose the integration of the collision term as

$$\begin{aligned}
 & \iint_{\mathbb{T}^3 \times \mathbb{R}^3} Q(G, \mu + f) f \langle v \rangle^{2\ell} \, dv \, dx \\
 & = \iint_{\mathbb{T}^3 \times \mathbb{R}^3} Q(G, f) f \langle v \rangle^{2\ell} \, dv \, dx + \iint_{\mathbb{T}^3 \times \mathbb{R}^3} Q(G, \mu) f \langle v \rangle^{2\ell} \, dv \, dx \triangleq T_0 + \tilde{T}_0, \tag{3.8}
 \end{aligned}$$

where by the trilinear estimate in Proposition 2.3, we have

$$\tilde{T}_0 \leq C_\ell \left(\sup_x \|g\|_{L^1_{\ell+\gamma+2s} \cap L^2} \right) \left\| \langle v \rangle^\ell f \right\|_{L^2_{x,v}}. \tag{3.9}$$

To bound T_0 , we use (2.5) in Lemma 2.6 and (2.12) in Proposition 2.8 and get

$$\begin{aligned}
 T_0 & \leq -\left(\gamma_0 - C_\ell \sup_x \|g\|_{L^1_\gamma} \right) \left\| \langle v \rangle^{\ell+\gamma/2} f \right\|_{L^2_{x,v}}^2 \\
 & \quad + \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} \int_{\mathbb{S}^2_+} b(\cos \theta) |v - v_*|^\gamma G_* \frac{|f| \langle v \rangle^\ell}{\langle v \rangle^\ell} |f'| \langle v' \rangle^\ell \left(\langle v' \rangle^\ell - \langle v \rangle^\ell \cos^\ell \frac{\theta}{2} \right) \, d\bar{\mu} \tag{3.10} \\
 & \leq \ell \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* \left(|f| \langle v \rangle^{\ell-2} - |f'| \langle v' \rangle^{\ell-2} \right) |f'| \langle v' \rangle^\ell (v_* \cdot \tilde{\omega}) \\
 & \quad \cos^\ell \frac{\theta}{2} \sin \frac{\theta}{2} b(\cos \theta) |v - v_*|^{1+\gamma} \, d\bar{\mu} \\
 & \quad + C_\ell \left(1 + \sup_x \|g\|_{L^1_{\ell+\gamma}} \right) \left\| \langle v \rangle^\ell f \right\|_{L^2_{x,v}}^2 - \left(\gamma_0 - C_\ell \sup_x \|g\|_{L^1_\gamma} \right) \left\| \langle v \rangle^{\ell+\gamma/2} f \right\|_{L^2_{x,v}}^2. \tag{3.11}
 \end{aligned}$$

Here we treat the mild and strong singularities separately. If $s \in (0, 1/2)$, then we apply part (b) of Proposition 2.9 and bound the first term on the right-hand side of (3.11) as

$$\begin{aligned}
 & \left| \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* \left(|f| \langle v \rangle^{\ell-2} - |f'| \langle v' \rangle^{\ell-2} \right) |f'| \langle v' \rangle^\ell (v_* \cdot \tilde{\omega}) \right. \\
 & \quad \left. \cos^\ell \frac{\theta}{2} \sin \frac{\theta}{2} b(\cos \theta) |v - v_*|^{1+\gamma} \, d\bar{\mu} \right|
 \end{aligned}$$

$$\leq C_\ell \left(1 + \sup_x \|g\|_{L^1_{2+\gamma}} \right) \left\| \langle v \rangle^\ell f \right\|_{L^2_{x,v}}^2.$$

If $s \in [1/2, 1)$, then by part (a) of Proposition 2.9 and interpolation, we have

$$\begin{aligned} & \ell \left| \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* \left(|f| \langle v \rangle^{\ell-2} - |f'| \langle v' \rangle^{\ell-2} \right) |f'| \langle v' \rangle^\ell (v_* \cdot \tilde{\omega}) \cos^\ell \frac{\theta}{2} \right. \\ & \quad \left. \sin \frac{\theta}{2} b(\cos \theta) |v - v_*|^{1+\gamma} d\bar{\mu} \right| \\ & \leq C_\ell \left(1 + \sup_x \|g\|_{L^1_{3+\gamma+2s} \cap L^2} \right) \left\| \langle v \rangle^\ell f \right\|_{L^2_x H^s_{\gamma/2}} \left\| \langle v \rangle^\ell f \right\|_{L^2_x L^2_{\gamma/2}} \\ & \leq \delta_1 \left\| \langle v \rangle^\ell f \right\|_{L^2_x H^s_{\gamma/2}}^2 + C_{\delta_1} \left(1 + \sup_x \|g\|_{L^1_{3+\gamma+2s} \cap L^2}^{b_0} \right) \left\| \langle v \rangle^\ell f \right\|_{L^2_{x,v}}^2, \end{aligned}$$

where δ_1 can be chosen arbitrarily small and $b_0 = b_0(s, \gamma, s_1, \gamma_1)$. In fact, one can show that

$$b_0 = \frac{2s_1}{s - s_1} + \frac{2s}{s - s_1} \frac{\gamma_1}{\gamma - \gamma_1} + 2 > 0.$$

Since the particular form of b_0 is not needed we omit its derivation. Moreover, since the choices of s_1, γ_1 only depend on s, γ , we can view b_0 as a constant that only depends on s, γ . We can now combine both cases and get that for any $s \in (0, 1)$,

$$\begin{aligned} & \ell \left| \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* \left(|f| \langle v \rangle^{\ell-2} - |f'| \langle v' \rangle^{\ell-2} \right) |f'| \langle v' \rangle^\ell (v_* \cdot \tilde{\omega}) \cos^\ell \frac{\theta}{2} \right. \\ & \quad \left. \sin \frac{\theta}{2} b(\cos \theta) |v - v_*|^{1+\gamma} d\bar{\mu} \right| \\ & \leq \delta_1 \left\| \langle v \rangle^\ell f \right\|_{L^2_x H^s_{\gamma/2}}^2 + C_{\delta_1} \left(1 + \sup_x \|g\|_{L^1_{3+\gamma+2s} \cap L^2}^{b_0} \right) \left\| \langle v \rangle^\ell f \right\|_{L^2_{x,v}}^2. \end{aligned}$$

Substituting this bound into (3.11) gives

$$\begin{aligned} T_0 \leq & - \left(\gamma_0 - C_\ell \sup_x \|g\|_{L^1_\gamma} \right) \left\| \langle v \rangle^{\ell+\gamma/2} f \right\|_{L^2_{x,v}}^2 \\ & + C_{\ell, \delta_1} \left(1 + \sup_x \|g\|_{L^1_{\ell+\gamma}}^{b_0} \right) \left\| \langle v \rangle^\ell f \right\|_{L^2_{x,v}}^2 + \delta_1 \left\| \langle v \rangle^\ell f \right\|_{L^2_x H^s_{\gamma/2}}^2, \end{aligned} \tag{3.12}$$

where δ_1 can be taken arbitrarily small. Combining (3.7), (3.9) and (3.12) gives the energy estimate as

$$\begin{aligned} & \frac{d}{dt} \left\| \langle v \rangle^\ell f \right\|_{L^2_{x,v}}^2 \\ & \leq - \left(\gamma_0 - C_\ell \sup_x \|g\|_{L^1_\gamma} \right) \left\| \langle v \rangle^{\ell+\gamma/2} f \right\|_{L^2_{x,v}}^2 + C_{\ell, \delta_1} \left(1 + \sup_x \|g\|_{L^1_{\ell+\gamma}}^{b_0} \right) \left\| \langle v \rangle^\ell f \right\|_{L^2_{x,v}}^2 \\ & \quad + C_\ell \left(\epsilon + \sup_x \|g\|_{L^1_{\ell+\gamma+2s} \cap L^2} \right) \left\| \langle v \rangle^\ell f \right\|_{L^2_{x,v}}^2 - \frac{\epsilon}{2} \left\| \langle v \rangle^{\ell+\alpha} f \right\|_{L^2_x H^s_\nu}^2 + \delta_1 \left\| \langle v \rangle^\ell f \right\|_{L^2_x H^s_{\gamma/2}}^2, \end{aligned} \tag{3.13}$$

where all the constants are independent of ϵ .

To complete the basic energy estimate, we include the H^s -regularisation. To this end, we only need to perform the second kind of estimate for T_0 , as done in the proof of Proposition

3.2 in [12]. By Proposition 2.5, equation (2.3) in Lemma 2.6 and the same estimates in (3.10)-(3.12) for T_0 , we have

$$\begin{aligned}
 T_0 &= \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \mathcal{Q}(G, \langle v \rangle^\ell f) \langle v \rangle^\ell f \, dv \, dx \\
 &\quad + \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} \int_{\mathbb{S}_+^2} b(\cos \theta) |v - v_*|^\gamma G_* f f' \langle v' \rangle^\ell \left(\langle v' \rangle^\ell - \langle v \rangle^\ell \cos^\ell \frac{\theta}{2} \right) \, d\bar{\mu} \\
 &\quad + \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} \int_{\mathbb{S}_+^2} b(\cos \theta) |v - v_*|^\gamma G_* f f' \langle v' \rangle^\ell \langle v \rangle^\ell \left(\cos^\ell \frac{\theta}{2} - 1 \right) \, d\bar{\mu} \\
 &\leq -c_0 \left\| \langle v \rangle^\ell f \right\|_{L_x^2 H_{\gamma/2}^s}^2 + \frac{c_0}{2} \left\| \langle v \rangle^\ell f \right\|_{L_x^2 H_{\gamma/2}^s}^2 + C_\ell \left(1 + \sup_x \|g\|_{L_{\ell+\gamma}^{b_0}} \right) \left\| \langle v \rangle^\ell f \right\|_{L_{x,v}^2}^2 \\
 &\quad + C_\ell \left(1 + \sup_x \|g\|_{L_\gamma^1} \right) \left\| \langle v \rangle^{\ell+\gamma/2} f \right\|_{L_{x,v}^2}^2 \\
 &\leq -\frac{c_0}{2} \left\| \langle v \rangle^\ell f \right\|_{L_x^2 H_{\gamma/2}^s}^2 + C_\ell \left(1 + \sup_x \|g\|_{L_{\ell+\gamma}^{b_0}} \right) \left\| \langle v \rangle^\ell f \right\|_{L_{x,v}^2}^2 \\
 &\quad + C_\ell \left(1 + \sup_x \|g\|_{L_\gamma^1} \right) \left\| \langle v \rangle^{\ell+\gamma/2} f \right\|_{L_{x,v}^2}^2. \tag{3.14}
 \end{aligned}$$

Choose δ_1, δ_2 small enough such that

$$\delta_2 C_\ell \left(1 + \sup_x \|g\|_{L_\gamma^1} \right) \leq \frac{\gamma_0}{2}, \quad \delta_2 < 1, \quad \delta_1 < \frac{c_0 \delta_2}{4}. \tag{3.15}$$

Multiplying (3.14) by δ_2 and adding it to (3.13) gives (3.5).

Finally we apply the averaging lemma in Proposition 2.14 to obtain the regularisation in x . In light of Eq. (3.3), if we invoke Proposition 2.14 with

$$\beta = s, \quad m = 2, \quad r = 0, \quad p = 2, \quad s^b = \frac{s_-}{2(s+3)} =: s',$$

then for any $0 \leq T_1 \leq T_2 < T$,

$$\begin{aligned}
 &\int_{T_1}^{T_2} \left\| (1 - \Delta_r)^{s'/2} f \right\|_{L_{x,v}^2}^2 \, d\tau + \int_{T_1}^{T_2} \left\| (1 - \Delta_x)^{s'/2} f \right\|_{L_{x,v}^2}^2 \, d\tau \\
 &\leq C \left\| \langle v \rangle^3 f(T_1) \right\|_{L_{x,v}^2}^2 + C \left\| \langle v \rangle^3 f(T_2) \right\|_{L_{x,v}^2}^2 + C \int_{T_1}^{T_2} \left\| (1 - \Delta_v)^{s/2} f \right\|_{L_{x,v}^2}^2 \, d\tau \\
 &\quad + C \int_{T_1}^{T_2} \left\| \langle v \rangle^3 (1 - \Delta_v)^{-1} \tilde{\mathcal{Q}}(G, F) \right\|_{L_{x,v}^2}^2 \, d\tau.
 \end{aligned}$$

By the trilinear estimate in Proposition 2.3, it follows that

$$\begin{aligned} & \|\langle v \rangle^3 (1 - \Delta_v)^{-1} \tilde{Q}(G, F) \|_{L_v^2} \leq \|\langle v \rangle^3 (1 - \Delta_v)^{-s} (Q(G, f) + Q(g, \mu)) \|_{L_v^2} \\ & \quad + \epsilon \|\langle v \rangle^3 (1 - \Delta_v)^{-1} L_\alpha F \|_{L_v^2} \\ & \leq C \left(1 + \|g\|_{L_{3+\gamma+2s}^1 \cap L^2} \right) \|f\|_{L_{3+\gamma+2s}^2} + \|g\|_{L_{3+\gamma+2s}^1 \cap L^2} + \epsilon C \|f\|_{L_{3+2\alpha}^2} + C\epsilon. \end{aligned}$$

In this way, we are led to the desired inequality showing the spatial regularisation of f . \square

Applying the Gronwall’s inequality to Proposition 3.1, we obtain the following bound:

Corollary 3.2 *Suppose $G = \mu + g \geq 0$ satisfies that*

$$\inf_{t,x} \|G\|_{L_v^1} \geq D_0 > 0, \quad \sup_{t,x} \left(\|G\|_{L_v^1} + \|G\|_{L \log L} \right) < E_0 < \infty.$$

Let $F = \mu + f$ be a solution to Eq. (3.3) with $s \in (0, 1)$. Assume that the following conditions hold:

$$\sup_{t,x} \|g\|_{L_{k_0}^\infty(\mathbb{R}^3)} < \infty, \quad \sup_{t,x} \|g\|_{L_v^1(\mathbb{R}^3)} < \delta_0 < \frac{\gamma_0}{4C_\ell}, \quad 8 + \gamma < \ell \leq k_0 - 5 - \gamma. \tag{3.16}$$

Let

$$\Sigma(g) = 1 + \sup_{t,x} \|g\|_{L_{k_0}^\infty}^{b_0}.$$

where b_0 is the same exponent as in Proposition 3.1. Then it holds that

$$\|\langle \cdot \rangle^\ell f(t)\|_{L_{x,v}^2}^2 \leq C_\ell e^{C_\ell \Sigma(g)t} \left(\|\langle \cdot \rangle^\ell f_0\|_{L_{x,v}^2}^2 + \sup_{t,x} \|g\|_{L_{k_0}^\infty}^2 t + \epsilon^2 t \right), \quad t \in [0, T], \tag{3.17}$$

and

$$\begin{aligned} & c_0 \delta_2 \left(\int_0^t \|\langle v \rangle^{\ell+\gamma/2} (1 - \Delta_v)^{s/2} f\|_{L_{x,v}^2}^2 d\tau \right) + \frac{\epsilon}{4} \int_0^t \|\langle v \rangle^{\ell+\alpha} (1 - \Delta_v)^{1/2} f\|_{L_{x,v}^2}^2 d\tau \\ & \leq C_\ell e^{C_\ell \Sigma(g)t} \left(\|\langle \cdot \rangle^\ell f_0\|_{L_{x,v}^2}^2 + \sup_{t,x} \|g\|_{L_{k_0}^\infty}^2 t + \epsilon^2 t \right), \quad t \in [0, T]. \end{aligned}$$

Furthermore, if in addition,

$$\ell \geq 3 + 2\alpha, \tag{3.18}$$

then for any $0 < s' < \frac{s}{2(s+3)}$ it holds that

$$\begin{aligned} & \int_0^t \|(1 - \Delta_t)^{s'/2} f\|_{L_{x,v}^2}^2 d\tau + \int_0^t \|(1 - \Delta_x)^{s'/2} f\|_{L_{x,v}^2}^2 d\tau \\ & \leq C e^{C \Sigma(g)t} \left(\|\langle \cdot \rangle^{10} f_0\|_{L_{x,v}^2}^2 + \sup_{t,x} \|g\|_{L_{k_0}^\infty}^2 t + \epsilon^2 t \right), \end{aligned} \tag{3.19}$$

where the exponent 10 is chosen such that $10 > 8 + \gamma$.

Proof Applying the additional bounds in (3.16) to (3.5) gives

$$\frac{d}{dt} \|\langle v \rangle^\ell f\|_{L_{x,v}^2}^2 \leq -\frac{\gamma_0}{4} \|\langle v \rangle^{\ell+\gamma/2} f\|_{L_{x,v}^2}^2 - \frac{c_0 \delta_2}{4} \|\langle v \rangle^\ell f\|_{L_x^2 H_{\gamma/2}^s}^2 - \frac{\epsilon}{4} \|\langle v \rangle^{\ell+\alpha} f\|_{L_x^2 H^1}^2$$

$$\begin{aligned}
 &+ C_\ell \left(1 + \sup_x \|g\|_{L_{k_0}^{b_0}} \right) \|\langle v \rangle^\ell f\|_{L_{x,v}^2}^2 + C_\ell \left(\epsilon + \sup_x \|g\|_{L_{k_0}^\infty} \right) \|\langle v \rangle^\ell f\|_{L_{x,v}^2} \\
 \leq &-\frac{\gamma_0}{4} \|\langle v \rangle^{\ell+\gamma/2} f\|_{L_{x,v}^2}^2 - \frac{c_0\delta_2}{4} \|\langle v \rangle^\ell f\|_{L_x^2 H_{\gamma/2}^s}^2 - \frac{\epsilon}{4} \|\langle v \rangle^{\ell+\alpha} f\|_{L_x^2 H_v^1}^2 \\
 &+ C_\ell \left(1 + \sup_x \|g\|_{L_{k_0}^{b_0}} \right) \|\langle v \rangle^\ell f\|_{L_{x,v}^2}^2 + \left(\epsilon^2 + \sup_x \|g\|_{L_{k_0}^\infty}^2 \right). \tag{3.20}
 \end{aligned}$$

Estimate (3.17) follows directly from applying the Gronwall’s inequality to (3.20). When integrating in time (3.20) one concludes that

$$\begin{aligned}
 &\|\langle v \rangle^\ell f(t)\|_{L_{x,v}^2}^2 + \frac{c_0\delta_2}{2} \int_0^t \|\langle v \rangle^\ell f\|_{L_x^2 H_{\gamma/2}^s}^2 \, d\tau + \frac{\epsilon}{4} \int_0^t \|\langle v \rangle^{\ell+\alpha} f\|_{L_x^2 H_v^1}^2 \, d\tau \\
 &\leq C_\ell \Sigma(g) \int_0^t \|\langle v \rangle^\ell f\|_{L_{x,v}^2}^2 \, d\tau + C_\ell e^{C_\ell \Sigma(g)t} \left(\epsilon^2 + \sup_{t,x} \|g\|_{L_{k_0}^\infty}^2 \right) t + \|\langle v \rangle^\ell f_0\|_{L_{x,v}^2}^2 \\
 &\leq C_\ell e^{C_\ell \Sigma(g)t} \left(\|\langle \cdot \rangle^\ell f_0\|_{L_{x,v}^2}^2 + \sup_{t,x} \|g\|_{L_{k_0}^\infty}^2 t + \epsilon^2 t \right).
 \end{aligned}$$

This bound together with estimate (3.6), with $T_1 = 0$ and $T_2 = t$, gives (3.19) for sufficiently large $C > 0$ under the condition $\ell \geq 3 + 2\alpha$. \square

Our main L^∞ -bound will be based on various L^2 -estimates of the level-set functions defined as follows: for any $\ell \geq 0$ and $K \geq 0$ define the levels $f_K^{(\ell)} := f \langle v \rangle^\ell - K$ and

$$f_{K,+}^{(\ell)} = f_K^{(\ell)} 1_{\{f_K^{(\ell)} \geq 0\}}, \quad f_{K,-}^{(\ell)} = -f_K^{(\ell)} 1_{\{f_K^{(\ell)} < 0\}}.$$

3.2 L^2 -Estimates for Level Sets

The focus of this subsection is to prove the following natural *a priori* estimate for the level sets. It is a building block for the energy functional presented later in the argument.

Proposition 3.3 *Suppose $G = \mu + g \geq 0$, $F = \mu + f$ and $s \in (0, 1)$. Suppose in addition G satisfies that*

$$\inf_{t,x} \|G\|_{L_v^1} \geq D_0 > 0, \quad \sup_{t,x} \left(\|G\|_{L_2^1} + \|G\|_{L \log L} \right) < E_0 < \infty.$$

Then for any $\ell > 8 + \gamma$,

(a) *the (bilinear) collision term satisfies,*

$$\begin{aligned}
 &\int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q(G, F) f_{K,+}^{(\ell)} \langle v \rangle^\ell \, dv \, dx \\
 &\leq -\gamma_0 \left(1 - C \sup_x \|g\|_{L_\gamma^1} \right) \|f_{K,+}^{(\ell)}\|_{L_x^2 L_{\gamma/2}^2}^2 - \frac{c_0\delta_4}{4} \|f_{K,+}^{(\ell)}\|_{L_x^2 H_{\gamma/2}^s}^2 \\
 &\quad + C_\ell \left(1 + \sup_x \|g\|_{L_{\ell+\gamma}^1} + \sup_x \|g\|_{L_{3+\gamma+2s}^1 \cap L^2} \right) \|f_{K,+}^{(\ell)}\|_{L_{x,v}^2}^2 \\
 &\quad + C_\ell (1 + K) \left(1 + \sup_x \|g\|_{L_{\ell+\gamma}^1} \right) \|f_{K,+}^{(\ell)}\|_{L_x^1 L_\gamma^1}. \tag{3.21}
 \end{aligned}$$

where δ_4 satisfies the bound in (3.29).

(b) *The regularising term satisfies*

$$\begin{aligned} & \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} L_\alpha(F) f_{K,+}^{(\ell)} \langle v \rangle^\ell \, dv \, dx \\ & \leq -\frac{1}{2} \left\| \langle v \rangle^\alpha f_{K,+}^{(\ell)} \right\|_{L_x^2 H_v^1}^2 + C_\ell \left\| f_{K,+}^{(\ell)} \right\|_{L_{x,v}^2}^2 + C_{\ell,\alpha} (1+K) \left\| f_{K,+}^{(\ell)} \right\|_{L_{x,v}^1}. \end{aligned} \tag{3.22}$$

Proof (a) As in the proof of Proposition 3.1, we make two estimates of the Q -term: one with H^s -norm in v and one without. To derive the one without the H^s -norm, make the decomposition

$$\begin{aligned} \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q(G, F) f_{K,+}^{(\ell)} \langle v \rangle^\ell \, dv \, dx &= \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q\left(G, f - \frac{K}{\langle v \rangle^\ell}\right) f_{K,+}^{(\ell)} \langle v \rangle^\ell \, dv \, dx \\ &+ \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q\left(G, \frac{K}{\langle v \rangle^\ell}\right) f_{K,+}^{(\ell)} \langle v \rangle^\ell \, dv \, dx \\ &+ \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q(G, \mu) f_{K,+}^{(\ell)} \langle v \rangle^\ell \, dv \, dx \triangleq T_1 + T_2 + T_3. \end{aligned} \tag{3.23}$$

By the definition of Q and the positivity of G , the first term T_1 satisfies

$$\begin{aligned} T_1 &= \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* \left(f - \frac{K}{\langle v \rangle^\ell} \right) \left(f_{K,+}^{(\ell)} \langle v' \rangle^\ell - f_{K,+}^{(\ell)} \langle v \rangle^\ell \right) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\ &\leq \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* f_{K,+}^{(\ell)} \frac{1}{\langle v \rangle^\ell} \left(f_{K,+}^{(\ell)} \langle v' \rangle^\ell - f_{K,+}^{(\ell)} \langle v \rangle^\ell \right) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu}. \end{aligned} \tag{3.24}$$

Continuing from here, the part of the integrand involving $f_{K,+}^{(\ell)}$ satisfies

$$\begin{aligned} & f_{K,+}^{(\ell)} \frac{1}{\langle v \rangle^\ell} \left(f_{K,+}^{(\ell)} \langle v' \rangle^\ell - f_{K,+}^{(\ell)} \langle v \rangle^\ell \right) \\ &= \frac{1}{\langle v \rangle^\ell} \left(f_{K,+}^{(\ell)}(v) f_{K,+}^{(\ell)}(v') \langle v \rangle^\ell \cos^\ell \frac{\theta}{2} - \left(f_{K,+}^{(\ell)} \right)^2 \langle v \rangle^\ell \right) \\ &+ \frac{1}{\langle v \rangle^\ell} f_{K,+}^{(\ell)}(v) f_{K,+}^{(\ell)}(v') \left(\langle v' \rangle^\ell - \langle v \rangle^\ell \cos^\ell \frac{\theta}{2} \right) \\ &\leq \frac{1}{2} \left(\left(f_{K,+}^{(\ell)}(v') \right)^2 \cos^{2\ell} \frac{\theta}{2} - \left(f_{K,+}^{(\ell)} \right)^2 \right) + \frac{1}{\langle v \rangle^\ell} f_{K,+}^{(\ell)}(v) f_{K,+}^{(\ell)}(v') \left(\langle v' \rangle^\ell - \langle v \rangle^\ell \cos^\ell \frac{\theta}{2} \right). \end{aligned}$$

By the regular change of variables, (2.12) in Proposition 2.8 and Proposition 2.9, we bound T_1 as

$$\begin{aligned} T_1 &\leq \frac{1}{2} \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* \left(\left(f_{K,+}^{(\ell)}(v') \right)^2 \cos^{2\ell} \frac{\theta}{2} - \left(f_{K,+}^{(\ell)} \right)^2 \right) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\ &+ \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* \frac{f_{K,+}^{(\ell)}(v)}{\langle v \rangle^\ell} f_{K,+}^{(\ell)}(v') \left(\langle v' \rangle^\ell - \langle v \rangle^\ell \cos^\ell \frac{\theta}{2} \right) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\ &\leq -\gamma_0 \left(1 - C \sup_x \|g\|_{L_\gamma^1} \right) \left\| f_{K,+}^{(\ell)} \right\|_{L_x^2 L_{\gamma/2}^2}^2 + C_\ell \left(1 + \sup_x \|g\|_{L_{\ell+\gamma}^1} \right) \left\| f_{K,+}^{(\ell)} \right\|_{L_{x,v}^2}^2 \\ &+ C \left(1 + \sup_x \|g\|_{L_{3+\gamma+2s}^1 \cap L^2} \right) \left\| f_{K,+}^{(\ell)} \right\|_{L_x^2 H_{\gamma/2}^s} \left\| f_{K,+}^{(\ell)} \right\|_{L_x^2 L_{\gamma/2}^2} \end{aligned}$$

$$\begin{aligned} &\leq -\gamma_0 \left(1 - C \sup_x \|g\|_{L^1_\gamma} \right) \|f_{K,+}^{(\ell)}\|_{L^2_x L^2_{\gamma/2}}^2 + \delta_3 \|f_{K,+}^{(\ell)}\|_{L^2_x H^s_{\gamma/2}}^2 \\ &\quad + C_{\ell,\delta_3} \left(1 + \sup_x \|g\|_{L^1_{\ell+\gamma}} + \sup_x \|g\|_{L^1_{3+\gamma+2s} \cap L^2}^{b_0} \right) \|f_{K,+}^{(\ell)}\|_{L^2_{x,v}}^2, \end{aligned} \tag{3.25}$$

where b_0 is the same exponent as in Proposition 3.1 and $\delta_3 > 0$ can be arbitrarily small. In the estimate above we have combined the mild and strong singularities. Next we estimate T_2 . Writing out Q , we get

$$\begin{aligned} T_2 &= K \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* \frac{1}{\langle v \rangle^\ell} \left(f_{K,+}^{(\ell)}(v') \langle v' \rangle^\ell - f_{K,+}^{(\ell)}(v) \langle v \rangle^\ell \right) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\ &= K \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* \left(f_{K,+}^{(\ell)}(v') - f_{K,+}^{(\ell)}(v) \right) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\ &\quad + K \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* f_{K,+}^{(\ell)}(v') \frac{1}{\langle v \rangle^\ell} \left(\langle v' \rangle^\ell - \langle v \rangle^\ell \cos^\ell \frac{\theta}{2} \right) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\ &\quad - K \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* f_{K,+}^{(\ell)}(v) \left(1 - \cos^\ell \frac{\theta}{2} \right) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu}. \end{aligned}$$

Applying the regular change of variables to the first term, then (2.12) in Proposition 2.8 and part (c) in Proposition 2.9 with $F = 1$ to the second term and a direct estimate to the third term, we get

$$\begin{aligned} T_2 &\leq CK \left(1 + \sup_x \|g\|_{L^1_\gamma} \right) \|f_{K,+}^{(\ell)}\|_{L^1_x L^1_\gamma} + C_\ell K \left(1 + \sup_x \|g\|_{L^1_{\ell+\gamma}} \right) \|f_{K,+}^{(\ell)}\|_{L^1_x L^1_\gamma} \\ &\leq C_\ell K \left(1 + \sup_x \|g\|_{L^1_{\ell+\gamma}} \right) \|f_{K,+}^{(\ell)}\|_{L^1_x L^1_\gamma}. \end{aligned}$$

Applying similar estimates and Remark 2.16 to T_3 , we have

$$\begin{aligned} T_3 &\leq \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q(G, \mu \langle v \rangle^\ell) f_{K,+}^{(\ell)} \, dv \, dx \\ &\quad + \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* f_{K,+}^{(\ell)}(v') \frac{\mu \langle v \rangle^\ell}{\langle v \rangle^\ell} \left(\langle v' \rangle^\ell - \langle v \rangle^\ell \cos^\ell \frac{\theta}{2} \right) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\ &\quad - \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* f_{K,+}^{(\ell)}(v) \mu \left(1 - \cos^\ell \frac{\theta}{2} \right) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\ &\leq C_\ell \left(1 + \sup_x \|g\|_{L^1_{\ell+\gamma}} \right) \|f_{K,+}^{(\ell)}\|_{L^1_x L^1_\gamma}. \end{aligned} \tag{3.26}$$

Combining all the estimates, we obtain the first bound for the right-hand side as

$$\begin{aligned} &\int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q(G, F) f_{K,+}^{(\ell)} \langle v \rangle^\ell \, dv \, dx \\ &\leq -\gamma_0 \left(1 - C \sup_x \|g\|_{L^1_\gamma} \right) \|f_{K,+}^{(\ell)}\|_{L^2_x L^2_{\gamma/2}}^2 + \delta_3 \|f_{K,+}^{(\ell)}\|_{L^2_x H^s_{\gamma/2}}^2 \\ &\quad + C_{\ell,\delta_3} \left(1 + \sup_x \|g\|_{L^1_{\ell+\gamma}} + \sup_x \|g\|_{L^1_{3+\gamma+2s} \cap L^2}^{b_0} \right) \|f_{K,+}^{(\ell)}\|_{L^2_{x,v}}^2 \\ &\quad + C_\ell (1 + K) \left(1 + \sup_x \|g\|_{L^1_{\ell+\gamma}} \right) \|f_{K,+}^{(\ell)}\|_{L^1_x L^1_\gamma}. \end{aligned} \tag{3.27}$$

Next we derive the second bound with the H^s -norm. To this end, we use Proposition 2.5, part (a) in Lemma 2.6, inequality (3.24) and similar bounds in the proof of (3.25) to re-estimate T_1 as

$$\begin{aligned}
 T_1 &\leq \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* f_{K,+}^{(\ell)} \left(f_{K,+}^{(\ell)}(v') - f_{K,+}^{(\ell)}(v) \right) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\
 &\quad + \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* f_{K,+}^{(\ell)} f_{K,+}^{(\ell)}(v') \frac{1}{\langle v \rangle^\ell} \left(\langle v' \rangle^\ell - \langle v \rangle^\ell \cos^\ell \frac{\theta}{2} \right) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\
 &\quad + \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* f_{K,+}^{(\ell)} f_{K,+}^{(\ell)}(v') \left(1 - \cos^\ell \frac{\theta}{2} \right) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\
 &\leq -\frac{c_0}{2} \left\| f_{K,+}^{(\ell)} \right\|_{L^2_{x'} H^s_{\gamma/2}} + C_\ell \left(1 + \sup_x \|g\|_{L^1_{\ell+\gamma}} + \sup_x \|g\|_{L^1_{3+\gamma+2s} \cap L^2} \right) \left\| f_{K,+}^{(\ell)} \right\|_{L^2_{x,v}}^2 \\
 &\quad + C_\ell \left(1 + \sup_x \|g\|_{L^1_{\gamma}} \right) \left\| f_{K,+}^{(\ell)} \right\|_{L^2_{x'} L^2_{\gamma/2}}^2. \tag{3.28}
 \end{aligned}$$

Multiply (3.28) by a small enough δ_4 , choose δ_3 small enough and add it to (3.27). This gives the desired bound in part (a). The specific requirements for δ_3, δ_4 are

$$C_\ell \left(1 + \sup_x \|g\|_{L^1_{\gamma}} \right) \delta_4 \leq \frac{1}{8} c_0, \quad \delta_3 < \frac{c_0 \delta_4}{4}. \tag{3.29}$$

(b) To estimate the contribution of the ϵ -regularising term to the energy estimate of the level set, denote

$$\begin{aligned}
 T_R &= \iint_{\mathbb{T}^3 \times \mathbb{R}^3} (L_\alpha F) f_{K,+}^{(\ell)} \langle v \rangle^\ell \, dv \, dx \\
 &= \iint_{\mathbb{T}^3 \times \mathbb{R}^3} -\langle v \rangle^\ell f_{K,+}^{(\ell)} \left((v)^{2\alpha} - \nabla_v \cdot ((v)^{2\alpha} \nabla_v) \right) F \, dv \, dx.
 \end{aligned}$$

Decomposing F gives

$$\begin{aligned}
 T_R &= \iint_{\mathbb{T}^3 \times \mathbb{R}^3} -\langle v \rangle^\ell f_{K,+}^{(\ell)} \left((v)^{2\alpha} - \nabla_v \cdot ((v)^{2\alpha} \nabla_v) \right) \mu \, dv \, dx \\
 &\quad + \iint_{\mathbb{T}^3 \times \mathbb{R}^3} -\langle v \rangle^\ell f_{K,+}^{(\ell)} \left((v)^{2\alpha} - \nabla_v \cdot ((v)^{2\alpha} \nabla_v) \right) \frac{K}{\langle v \rangle^\ell} \, dv \, dx \\
 &\quad + \iint_{\mathbb{T}^3 \times \mathbb{R}^3} -\langle v \rangle^\ell f_{K,+}^{(\ell)} \left((v)^{2\alpha} - \nabla_v \cdot ((v)^{2\alpha} \nabla_v) \right) \left(f - \frac{K}{\langle v \rangle^\ell} \right) \\
 &\quad dv \, dx \triangleq T_R^1 + T_R^2 + T_R^3. \tag{3.30}
 \end{aligned}$$

Then T_R^1 is directly bounded as

$$T_R^1 \leq C_{\ell,\alpha} \left\| f_{K,+}^{(\ell)} \right\|_{L^1_{x,v}}.$$

Carrying out the computation of differentiation, we get

$$T_R^2 \leq K \iint_{\mathbb{T}^3 \times \mathbb{R}^3} f_{K,+}^{(\ell)} \left(C_{\ell,\alpha} \langle v \rangle^{2\alpha-2} - \langle v \rangle^{2\alpha} \right) \, dv \, dx \leq C_{\ell,\alpha} K \left\| f_{K,+}^{(\ell)} \right\|_{L^1_{x,v}},$$

where we have applied the positivity of $f_{K,+}^{(\ell)}$ and the bound $C_{\ell,\alpha} \langle v \rangle^{2\alpha-2} - \langle v \rangle^{2\alpha} \leq C'_{\ell,\alpha} \mathbf{1}_{|v| \leq V_0}$ for some constant V_0 large enough.

To estimate T_R^3 , we break it into two parts:

$$\begin{aligned} T_R^3 &= \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \left(-\langle v \rangle^\ell f_{K,+}^{(\ell)} (\langle v \rangle^{2\alpha} - \nabla_v \cdot (\langle v \rangle^{2\alpha} \nabla_v)) \left(f - \frac{K}{\langle v \rangle^\ell} \right) \right) dv dx \\ &= - \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \langle v \rangle^\ell f_{K,+}^{(\ell)} \langle v \rangle^{2\alpha} \left(f - \frac{K}{\langle v \rangle^\ell} \right) dv dx \\ &\quad + \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \langle v \rangle^\ell f_{K,+}^{(\ell)} \left(\nabla_v \cdot (\langle v \rangle^{2\alpha} \nabla_v) \left(f - \frac{K}{\langle v \rangle^\ell} \right) \right) dv dx \triangleq T_R^{3,1} + T_R^{3,2}. \end{aligned}$$

Then $T_R^{3,1} = - \left\| \langle v \rangle^\alpha f_{K,+}^{(\ell)} \right\|_{L_{x,v}^2}^2$. Integrating by parts, we have

$$\begin{aligned} T_R^{3,2} &= - \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \nabla_v \left(\langle v \rangle^\ell f_{K,+}^{(\ell)} \right) \cdot \left(\langle v \rangle^{2\alpha} \nabla_v \left(f - \frac{K}{\langle v \rangle^\ell} \right) \right) dv dx \\ &= - \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \langle v \rangle^{2\alpha} \nabla_v \left(\langle v \rangle^\ell f_{K,+}^{(\ell)} \right) \cdot \left(\nabla_v \frac{f_{K,+}^{(\ell)}}{\langle v \rangle^\ell} \right) dv dx \\ &\leq - \left\| \langle v \rangle^\alpha \nabla_v f_{K,+}^{(\ell)} \right\|_{L_{x,v}^2}^2 + C_\ell \left\| \langle v \rangle^{\alpha-1} f_{K,+}^{(\ell)} \right\|_{L_{x,v}^2}^2. \end{aligned}$$

Hence,

$$T_R^3 \leq - \left\| \langle v \rangle^\alpha f_{K,+}^{(\ell)} \right\|_{L_x^2 H_v^1}^2 + C_\ell \left\| \langle v \rangle^{\alpha-1} f_{K,+}^{(\ell)} \right\|_{L_{x,v}^2}^2.$$

Altogether we have

$$T_R \leq -\frac{1}{2} \left\| \langle v \rangle^\alpha f_{K,+}^{(\ell)} \right\|_{L_x^2 H_v^1}^2 + C_\ell \left\| f_{K,+}^{(\ell)} \right\|_{L_{x,v}^2}^2 + C_{\ell,\alpha} (1 + K) \left\| f_{K,+}^{(\ell)} \right\|_{L_{x,v}^1},$$

which concludes the proof of part (b). □

To show that $|f| \langle v \rangle^k \leq K$ in the later part, we will need to bound not only the level set function $f_{K,+}^{(\ell)}$ but also the one for $(-f)_{K,+}^{(\ell)}$ since the former only gives $f \langle v \rangle^k \leq K$. Given the linearity of the Boltzmann operator $Q(G, F)$ in F , it is not surprising that estimate for $(-f)_{K,+}^{(\ell)}$ follows a similar line as that for $f_{K,+}^{(\ell)}$. The equation for $h = -f$ is

$$\partial_t h + v \cdot \nabla_x h = -Q(G, \mu - h) - \epsilon L_\alpha(\mu - h), \quad h|_{t=0} = -f_0(x, v). \tag{3.31}$$

Proposition 3.4 *Let $h = -f$. Suppose*

$$\begin{aligned} G &= \mu + g \geq 0, \quad F = \mu + f = \mu - h, \\ \inf_{t,x} \|G\|_{L_v^1} &\geq D_0 > 0, \quad \sup_{t,x} \left(\|G\|_{L_x^1} + \|G\|_{L \log L} \right) < E_0 < \infty. \end{aligned}$$

Then for any $s \in (0, 1)$ and $\ell > 8 + \gamma$,

(a) *The bilinear collision term satisfies*

$$\begin{aligned} & - \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q(G, F) h_{K,+}^{(\ell)} \langle v \rangle^\ell dv dx \\ & \leq -\gamma_0 \left(1 - C \sup_x \|g\|_{L_\gamma^1} \right) \left\| h_{K,+}^{(\ell)} \right\|_{L_x^2 L_{\gamma/2}^2}^2 - \frac{c_0 \delta_4}{4} \left\| f_{K,+}^{(\ell)} \right\|_{L_x^2 H_{\gamma/2}^s}^2 \end{aligned}$$

$$\begin{aligned}
 &+ C_\ell \left(1 + \sup_x \|g\|_{L^1_{\ell+\gamma}} \right) \|h_{K,+}^{(\ell)}\|_{L^2_{x,v}}^2 \\
 &+ C_\ell(1+K) \left(1 + \sup_x \|g\|_{L^1_{\ell+\gamma}} \right) \|h_{K,+}^{(\ell)}\|_{L^1_x L^1_\gamma},
 \end{aligned}$$

where δ_4 is the same constant in (3.29).

(b) For the regularising term it holds that

$$\begin{aligned}
 & - \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} L_\alpha(F)h_{K,+}^{(\ell)} \langle v \rangle^\ell \, dv \, dx \\
 & \leq -\frac{1}{2} \|\langle v \rangle^\alpha h_{K,+}^{(\ell)}\|_{L^2_x H^1_v}^2 \\
 & \quad + C_\ell \|h_{K,+}^{(\ell)}\|_{L^2_{x,v}}^2 + C_{\ell,\alpha}(1+K) \|h_{K,+}^{(\ell)}\|_{L^1_{x,v}}.
 \end{aligned}$$

Proof (a) Make a similar decomposition as in (3.23):

$$\begin{aligned}
 & - \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q(G, F)h_{K,+}^{(\ell)} \langle v \rangle^\ell \, dv \, dx \\
 & = \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q\left(G, h - \frac{K}{\langle v \rangle^\ell}\right) h_{K,+}^{(\ell)} \langle v \rangle^\ell \, dv \, dx \\
 & \quad + \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q\left(G, \frac{K}{\langle v \rangle^\ell}\right) h_{K,+}^{(\ell)} \langle v \rangle^\ell \, dv \, dx \\
 & \quad - \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q(G, \mu)h_{K,+}^{(\ell)} \langle v \rangle^\ell \, dv \, dx \triangleq J_1 + J_2 + J_3. \tag{3.32}
 \end{aligned}$$

Since J_1, J_2 have the same forms as T_1, T_2 in (3.23), by taking δ_4 with a bound in (3.29), we get

$$\begin{aligned}
 J_1 + J_2 \leq & -\gamma_0 \left(1 - C \sup_x \|g\|_{L^1_\gamma} \right) \|h_{K,+}^{(\ell)}\|_{L^2_x L^2_{\gamma/2}}^2 - \frac{c_0\delta_4}{4} \|f_{K,+}^{(\ell)}\|_{L^2_x H^s_{\gamma/2}}^2 \\
 & + C_\ell \left(1 + \sup_x \|g\|_{L^1_{\ell+\gamma}} \right) \|h_{K,+}^{(\ell)}\|_{L^2_{x,v}}^2 + C_\ell K \left(1 + \sup_x \|g\|_{L^1_{\ell+\gamma}} \right) \|h_{K,+}^{(\ell)}\|_{L^1_x L^1_\gamma}.
 \end{aligned}$$

Decomposing J_3 similarly as T_3 in (3.26) and applying Proposition 2.15, inequality (2.12) in Proposition 2.8, we have

$$\begin{aligned}
 J_3 &= \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q(G, -\mu)h_{K,+}^{(\ell)} \langle v \rangle^\ell \, dv \, dx \\
 &\leq \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q\left(G, -\mu \langle v \rangle^\ell\right) h_{K,+}^{(\ell)} \, dv \, dx \\
 &\quad + \iiint\limits_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* h_{K,+}^{(\ell)}(v') \frac{(-\mu) \langle v \rangle^\ell}{\langle v \rangle^\ell} \left(\langle v' \rangle^\ell - \langle v \rangle^\ell \cos^\ell \frac{\theta}{2} \right) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\
 &\quad + \iiint\limits_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* h_{K,+}^{(\ell)}(v') \mu \langle v \rangle^\ell \left(1 - \cos^\ell \frac{\theta}{2} \right) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\
 &\leq C_\ell \left(1 + \sup_x \|g\|_{L^1_{\ell+\gamma}} \right) \|h_{K,+}^{(\ell)}\|_{L^1_x L^1_\gamma},
 \end{aligned}$$

where note that inequality (2.12) in Proposition 2.8 does not require positivity of the functions in the integrand. Estimate in part (a) is a combination of the bounds for J_1, J_2, J_3 .

(b) Decompose the integral in part (c) in a similar way as in (3.30):

$$\begin{aligned}
 & - \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} L_\alpha(F) h_{K,+}^{(\ell)} \langle v \rangle^\ell \, dv \, dx \\
 &= \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \left(\langle v \rangle^\ell h_{K,+}^{(\ell)} \left(\langle v \rangle^{2\alpha} - \nabla_v \cdot (\langle v \rangle^{2\alpha} \nabla_v) \right) \mu \right) \, dv \, dx \\
 &+ \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \left(- \langle v \rangle^\ell h_{K,+}^{(\ell)} \left(\langle v \rangle^{2\alpha} - \nabla_v \cdot (\langle v \rangle^{2\alpha} \nabla_v) \right) \frac{K}{\langle v \rangle^\ell} \right) \, dv \, dx \\
 &+ \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \left(- \langle v \rangle^\ell h_{K,+}^{(\ell)} \left(\langle v \rangle^{2\alpha} - \nabla_v \cdot (\langle v \rangle^{2\alpha} \nabla_v) \right) \left(h - \frac{K}{\langle v \rangle^\ell} \right) \right) \, dv \, dx.
 \end{aligned}$$

It is then clear that estimates for the three terms above are similar to those for T_R^1 , T_R^2 and T_R^3 in Proposition 3.3, since they rely on the absolute values of the terms. Hence we obtain a similar bound. \square

3.3 A Level Sets Estimate for the L^1 -Norm of the Collisional Operator

In this part we estimate an L^1 -norm related to $Q(G, F)$, which provides the basis for a later application of the averaging lemma. By subtracting K from $f \langle v \rangle^\ell$ and multiplying Eq. (3.1) by $f_{K,+}^{(\ell)}$, we obtain that

$$\partial_t (f_{K,+}^{(\ell)})^2 + v \cdot \nabla_x (f_{K,+}^{(\ell)})^2 = 2 \tilde{Q}(G, F) \langle v \rangle^\ell f_{K,+}^{(\ell)}, \quad (t, x, v) \in (0, \infty) \times \mathbb{T}^3 \times \mathbb{R}^3. \tag{3.33}$$

When applying the averaging lemma to the level sets in the next section, it will be important to estimate

$$\int_0^T \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \left| \langle v \rangle^j (1 - \Delta_v)^{-\kappa/2} (\tilde{Q}(G, F) \langle v \rangle^\ell f_{K,+}^{(\ell)}) \right| \, dv \, dx \, dt,$$

$j, \ell \geq 0, \kappa \geq 0, T > 0.$

One key observation is that the dominant part of the integrand above is its positive part.

Lemma 3.5 *Let (F, f) be a pair satisfying the linearized Boltzmann equation (3.1). Then, for any $j, \ell \geq 0, \kappa \geq 0, K \geq 0$ and $0 \leq T_1 < T_2 < T$, it follows that*

$$\begin{aligned}
 & \int_{T_1}^{T_2} \int_{\mathbb{T}^3} \left| \langle v \rangle^j (1 - \Delta_v)^{-\kappa/2} \left(\tilde{Q}(G, F) \langle v \rangle^\ell f_{K,+}^{(\ell)} \right) (\cdot, \cdot, v) \right| \, dx \, dt \\
 & \leq \frac{1}{2} \int_{\mathbb{T}^3} \langle v \rangle^j (1 - \Delta_v)^{-\kappa/2} (f_{K,+}^{(\ell)})^2(T_1, \cdot, v) \, dx \\
 & + 2 \int_{T_1}^{T_2} \int_{\mathbb{T}^3} \left[\langle v \rangle^j (1 - \Delta_v)^{-\kappa/2} (\tilde{Q}(G, F) \langle v \rangle^\ell f_{K,+}^{(\ell)}) (\cdot, \cdot, v) \right]^+ \, dx \, dt, \quad \forall v \in \mathbb{R}^3,
 \end{aligned}$$

where $[\cdot]^+$ denotes the positive part of the term.

Proof First we fix $v \in \mathbb{R}^3$ and integrate (3.33) in (t, x) to obtain that

$$\begin{aligned}
 \int_{\mathbb{T}^3} (f_{K,+}^{(\ell)})^2(T_2, x, v) \, dx &= \int_{\mathbb{T}^3} (f_{K,+}^{(\ell)})^2(T_1, x, v) \, dx \\
 &+ 2 \int_{T_1}^{T_2} \int_{\mathbb{T}^3} \tilde{Q}(G, F)(t, x, v) \langle v \rangle^\ell f_{K,+}^{(\ell)}(t, x, v) \, dx \, dt, \tag{3.34}
 \end{aligned}$$

for any $v \in \mathbb{R}^3$.

An application of the Bessel potential in velocity to (3.34) then leads us to

$$\begin{aligned} 0 &\leq \int_{\mathbb{T}^3} \langle v \rangle^j (1 - \Delta_v)^{-\kappa/2} (f_{K,+}^{(\ell)})^2(T, \cdot, v) \, dx \\ &= \int_{\mathbb{T}^3} \langle v \rangle^j (1 - \Delta_v)^{-\kappa/2} (f_{K,+}^{(\ell)})^2(0, \cdot, v) \, dx \\ &\quad + 2 \int_{T_1}^{T_2} \int_{\mathbb{T}^3} \langle v \rangle^j (1 - \Delta_v)^{-\kappa/2} (\tilde{Q}(G, F) \langle v \rangle^\ell f_{K,+}^{(\ell)})(\cdot, \cdot, v) \, dx \, dt, \quad \forall v \in \mathbb{R}^3. \end{aligned} \tag{3.35}$$

Hence, if we denote

$$\mathcal{G} = \langle v \rangle^j (1 - \Delta_v)^{-\kappa/2} (\tilde{Q}(G, F) \langle v \rangle^\ell f_{K,+}^{(\ell)}),$$

and \mathcal{G}_- and \mathcal{G}_+ as its negative and positive parts respectively, then for any $v \in \mathbb{R}^3$,

$$\begin{aligned} \int_{T_1}^{T_2} \int_{\mathbb{T}^3} \mathcal{G}_-(t, x, v) \, dx \, dt &\leq \frac{1}{2} \int_{\mathbb{T}^3} \langle v \rangle^j (1 - \Delta_v)^{-\kappa/2} (f_{K,+}^{(\ell)})^2(0, x, v) \, dx \\ &\quad + \int_{T_1}^{T_2} \int_{\mathbb{T}^3} \mathcal{G}_+(t, x, v) \, dx \, dt. \end{aligned}$$

We thereby conclude that

$$\begin{aligned} &\int_{T_1}^{T_2} \int_{\mathbb{T}^3} \left| \langle v \rangle^j (1 - \Delta_v)^{-\kappa/2} \left(\tilde{Q}(G, F) \langle v \rangle^\ell f_{K,+}^{(\ell)} \right) (\cdot, \cdot, v) \right| \, dx \, dt \\ &= \int_{T_1}^{T_2} \int_{\mathbb{T}^3} \mathcal{G}_+(\cdot, \cdot, v) \, dx \, dt + \int_{T_1}^{T_2} \int_{\mathbb{T}^3} \mathcal{G}_-(\cdot, \cdot, v) \, dx \, dt \\ &\leq \frac{1}{2} \int_{\mathbb{T}^3} \langle v \rangle^j (1 - \Delta_v)^{-\kappa/2} (f_{K,+}^{(\ell)})^2(T_1, \cdot, v) \, dx + 2 \int_0^T \int_{\mathbb{T}^3} \mathcal{G}_+(\cdot, \cdot, v) \, dx \, dt \\ &= \frac{1}{2} \int_{\mathbb{T}^3} \langle v \rangle^j (1 - \Delta_v)^{-\kappa/2} (f_{K,+}^{(\ell)})^2(T_1, \cdot, v) \, dx \\ &\quad + 2 \int_{T_1}^{T_2} \int_{\mathbb{T}^3} \left[\langle v \rangle^j (1 - \Delta_v)^{-\kappa/2} (\tilde{Q}(G, F) \langle v \rangle^\ell f_{K,+}^{(\ell)})(\cdot, \cdot, v) \right]^+ \, dx \, dt, \quad \forall v \in \mathbb{R}^3, \end{aligned}$$

which proves the lemma. □

The counterpart for $h = -f$ states

Lemma 3.6 *Let h be a solution to the equation*

$$\partial_t h + v \cdot \nabla_x h = Q(G, -\mu + h) + \epsilon L_\alpha(-\mu + h) = \tilde{Q}(G, -\mu + h).$$

Then, for any $0 \leq T_1 < T_2 < T$, $j, \ell \geq 0$, $\kappa \geq 0$, $K \geq 0$, it follows that

$$\begin{aligned} &\int_{T_1}^{T_2} \int_{\mathbb{T}^3} \left| \langle v \rangle^j (1 - \Delta_v)^{-\kappa/2} \left(\tilde{Q}(G, -\mu + h) \langle v \rangle^\ell h_{K,+}^{(\ell)} \right) (\cdot, \cdot, v) \right| \, dx \, dt \\ &\leq \frac{1}{2} \int_{\mathbb{T}^3} \langle v \rangle^j (1 - \Delta_v)^{-\kappa/2} (h_{K,+}^{(\ell)})^2(0, \cdot, v) \, dx \\ &\quad + 2 \int_{T_1}^{T_2} \int_{\mathbb{T}^3} \left[\langle v \rangle^j (1 - \Delta_v)^{-\kappa/2} (\tilde{Q}(G, -\mu + h) \langle v \rangle^\ell h_{K,+}^{(\ell)})(\cdot, \cdot, v) \right]^+ \, dx \, dt, \end{aligned}$$

$$\forall v \in \mathbb{R}^3,$$

where $[\cdot]^+$ denotes the positive part of the term.

The remainder of this subsection focuses on proving the following theorem:

Proposition 3.7 *Suppose $G = \mu + g \geq 0$ and $F = \mu + f$ satisfy equation (3.1). Then, for any*

$$[T_1, T_2] \subseteq [0, T), \quad s \in (0, 1), \quad \epsilon \in [0, 1], \quad j \geq 0, \quad \ell > 8 + \gamma, \quad \kappa > 2, \quad K > 0,$$

it holds that

$$\begin{aligned} & \int_{T_1}^{T_2} \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \left| \langle v \rangle^j (1 - \Delta_v)^{-\kappa/2} (\tilde{Q}(G, F) \langle v \rangle^\ell f_{K,+}^{(\ell)}) \right| dv dx dt \\ & \leq C \| \langle v \rangle^{j/2} f_{K,+}^{(\ell)}(T_1, \cdot, \cdot) \|_{L^2_{x,v}}^2 + C_\ell \left(1 + \sup_{t,x} \|g\|_{L^1_{t+\gamma}} \right) \| f_{K,+}^{(\ell)} \|_{L^2_{t,x} L^2}^2 \\ & \quad + C \left(1 + \sup_{t,x} \|g\|_{L^1_{3+\gamma+2s} \cap L^2} \right) \| f_{K,+}^{(\ell)} \|_{L^2_{t,x} H^s_{\gamma/2}}^2 + C \left(1 + \sup_{t,x} \|g\|_{L^1_{j+2+\gamma}} \right) \| f_{K,+}^{(\ell)} \|_{L^2_{t,x} L^2_{j+\gamma/2+1}}^2 \\ & \quad + C(1 + K) \left(1 + \sup_{t,x} \|g\|_{L^1_{t+\gamma}} \right) \| f_{K,+}^{(\ell)} \|_{L^1_{t,x} L^1_{j+\gamma}}, \end{aligned} \tag{3.36}$$

where C, C_ℓ are independent of ϵ and T_1, T_2 . Identical estimate holds for $\tilde{Q}(G, -\mu + h)$ with $f_{K,+}^{(\ell)}$ replaced by $h_{K,+}^{(\ell)}$.

Proof First note that for any $\kappa \geq 0$,

$$\int_{\mathbb{R}^3} \int_{\mathbb{T}^3} \langle v \rangle^j (1 - \Delta_v)^{-\kappa/2} (f_{K,+}^{(\ell)})^2(T_1, x, v) dx dv \leq C \| \langle v \rangle^{j/2} f_{K,+}^{(\ell)}(T_1, \cdot, \cdot) \|_{L^2_{x,v}}^2,$$

which explains the first term in the right side of (3.36). Thus, using Lemma 3.5 we have that for $j \geq 0, \ell \geq 0, \kappa \geq 0$,

$$\begin{aligned} & \int_{T_1}^{T_2} \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \left| \langle v \rangle^j (1 - \Delta_v)^{-\kappa/2} (\tilde{Q}(G, F) \langle v \rangle^\ell f_{K,+}^{(\ell)}) \right| dv dx dt - C \| \langle v \rangle^{j/2} f_{K,+}^{(\ell)}(T_1, \cdot, \cdot) \|_{L^2_{x,v}}^2 \\ & \leq 2 \int_{T_1}^{T_2} \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \left[\langle v \rangle^j (1 - \Delta_v)^{-\kappa/2} (\tilde{Q}(G, F) \langle v \rangle^\ell f_{K,+}^{(\ell)}) \right]^+ dv dx dt \\ & = 2 \int_{T_1}^{T_2} \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \langle v \rangle^j (1 - \Delta_v)^{-\kappa/2} (\tilde{Q}(G, F) \langle v \rangle^\ell f_{K,+}^{(\ell)}) \mathbf{1}_{A_K} dv dx dt \\ & = 2 \int_{T_1}^{T_2} \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \tilde{Q}(G, F) \langle v \rangle^\ell f_{K,+}^{(\ell)} (1 - \Delta_v)^{-\kappa/2} (\langle v \rangle^j \mathbf{1}_{A_K}) dv dx dt, \end{aligned}$$

where A_K is the set given by

$$A_K = \{ (t, x, v) \in (T_1, T_2) \times \mathbb{T}^3 \times \mathbb{R}^3 \mid (1 - \Delta_v)^{-\kappa/2} (\tilde{Q}(G, F) \langle v \rangle^\ell f_{K,+}^{(\ell)}) \geq 0 \}. \tag{3.37}$$

Using that $\tilde{Q}(G, F) = Q(G, F) + \epsilon L_\alpha(F)$, we have

$$\begin{aligned} & \int_{T_1}^{T_2} \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \left| \langle v \rangle^j (1 - \Delta_v)^{-\kappa/2} (\tilde{Q}(G, F) \langle v \rangle^\ell f_{K,+}^{(\ell)}) \right| dv dx dt - C \| \langle v \rangle^{j/2} f_{K,+}^{(\ell)}(T_1, \cdot, \cdot) \|_{L^2_{x,v}}^2 \\ & \leq 2 \int_{T_1}^{T_2} \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q(G, F) \langle v \rangle^\ell f_{K,+}^{(\ell)} (1 - \Delta_v)^{-\kappa/2} (\langle v \rangle^j \mathbf{1}_{A_K}) dv dx dt \end{aligned}$$

$$+ 2\epsilon \int_{T_1}^{T_2} \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} L_\alpha(F) \langle v \rangle^\ell f_{K,+}^{(\ell)} (1 - \Delta_v)^{-\kappa/2} (\langle v \rangle^j \mathbf{1}_{A_K}) \, dv \, dx \, dt.$$

Denote in the following

$$W_K = (1 - \Delta_v)^{-\kappa/2} (\langle v \rangle^j \mathbf{1}_{A_K}) \geq 0. \tag{3.38}$$

Then, for $\kappa > 2$ it holds for all derivatives up to second order that

$$|W_K(v)| + |\nabla_i W_K(v)| + |\nabla_{i,k}^2 W_K(v)| \leq C \langle v \rangle^j, \quad i, k = 1, 2, 3, \tag{3.39}$$

with C independent of K . In fact, noting that the κ^{th} Bessel kernel $\mathcal{B}_\kappa(w)$ in dimension d satisfies

$$0 \leq \mathcal{B}_\kappa(w) = C_{d,\kappa} \begin{cases} |w|^{\kappa-d}(1 + o(1)), & \text{if } 0 < \kappa < d, \\ \log \frac{1}{|w|}(1 + o(1)), & \text{if } \kappa = d, \\ (1 + o(1)), & \text{if } \kappa > d, \end{cases} \quad \text{as } |w| \rightarrow 0,$$

and

$$0 \leq \mathcal{B}_\kappa(w) = C'_{d,\kappa} \frac{e^{-|w|}}{|w|^{(d+1-\kappa)/2}}(1 + o(1)), \quad \text{as } |w| \rightarrow \infty, \quad (\text{see, [13, (4.2), (4.3)]}),$$

we have

$$\langle v \rangle^{-j} |W_K(v)| \leq \langle v \rangle^{-j} \left(\int_{\{|w| \leq 1\}} \mathcal{B}_\kappa(w) \langle v - w \rangle^j \, dw + \int_{\{|w| \geq 1\}} \mathcal{B}_\kappa(w) \langle v \rangle^j \langle w \rangle^j \, dw \right) \leq C.$$

Since the inequality $|\nabla_i \mathcal{B}_\kappa(w)| \leq C'(\mathcal{B}_\kappa(w) + \mathcal{B}_{\kappa-1}(w))$ holds (see [13, (4.5)]), we have the estimate of the first-order derivative. The estimate of second order is also obvious because similar inequality holds (see (4.4), (4.1) and (3.7) of [13]). In this way, we are led to estimate

$$\begin{aligned} & \int_{T_1}^{T_2} \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \left| \langle v \rangle^j (1 - \Delta_v)^{-\kappa/2} (\tilde{Q}(G, F) \langle v \rangle^\ell f_{K,+}^{(\ell)}) \right| \, dv \, dx \, dt \\ & \quad - C \|\langle v \rangle^{j/2} f_{K,+}^{(\ell)}(T_1, \cdot, \cdot)\|_{L^2_{x,v}}^2 \\ & \leq 2 \int_{T_1}^{T_2} \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q(G, F) \langle v \rangle^\ell f_{K,+}^{(\ell)} W_K \, dv \, dx \, dt \\ & \quad + 2\epsilon \int_{T_1}^{T_2} \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} L_\alpha(F) \langle v \rangle^\ell f_{K,+}^{(\ell)} W_K \, dv \, dx \, dt \\ & \triangleq \int_{T_1}^{T_2} Q \, dt + \epsilon \int_{T_1}^{T_2} T_R^+ \, dt. \end{aligned} \tag{3.40}$$

We will estimate the main term Q and the regularising linear term T_R^+ separately. The proofs align with those for Proposition 3.3. We start with Q and write it as

$$\begin{aligned} & \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q(G, F) \langle v \rangle^\ell f_{K,+}^{(\ell)} W_K \, dv \, dx \\ & = \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q\left(G, f - \frac{K}{\langle v \rangle^\ell}\right) \langle v \rangle^\ell f_{K,+}^{(\ell)} W_K \, dv \, dx \\ & \quad + \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q\left(G, \frac{K}{\langle v \rangle^\ell}\right) \langle v \rangle^\ell f_{K,+}^{(\ell)} W_K \, dv \, dx \\ & \quad + \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q(G, \mu) \langle v \rangle^\ell f_{K,+}^{(\ell)} W_K \, dv \, dx \triangleq T_1^+ + T_2^+ + T_3^+. \end{aligned} \tag{3.41}$$

Then by the definition of Q and the positivity of G , the first term T_1^+ satisfies

$$\begin{aligned}
 T_1^+ &= \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* \left(f - \frac{K}{\langle v \rangle^\ell} \right) \left(f_{K,+}^{(\ell)}(v') W_K(v') \langle v' \rangle^\ell - f_{K,+}^{(\ell)} W_K \langle v \rangle^\ell \right) \\
 &\quad b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\
 &\leq \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* f_{K,+}^{(\ell)} \frac{1}{\langle v \rangle^\ell} \left(f_{K,+}^{(\ell)}(v') W_K(v') \langle v' \rangle^\ell - f_{K,+}^{(\ell)} W_K \langle v \rangle^\ell \right) \\
 &\quad b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu}.
 \end{aligned} \tag{3.42}$$

By Cauchy–Schwarz, the part of the integrand involving $f_{K,+}^{(\ell)}$ satisfies

$$\begin{aligned}
 &f_{K,+}^{(\ell)} \frac{1}{\langle v \rangle^\ell} \left(f_{K,+}^{(\ell)}(v') W_K(v') \langle v' \rangle^\ell - f_{K,+}^{(\ell)} W_K \langle v \rangle^\ell \right) \\
 &= \frac{1}{\langle v \rangle^\ell} \left(f_{K,+}^{(\ell)}(v) f_{K,+}^{(\ell)}(v') W_K(v') \langle v \rangle^\ell \cos^\ell \frac{\theta}{2} - \left(f_{K,+}^{(\ell)} \right)^2 \langle v \rangle^\ell W_K \right) \\
 &\quad + \frac{1}{\langle v \rangle^\ell} f_{K,+}^{(\ell)}(v) f_{K,+}^{(\ell)}(v') W_K(v') \left(\langle v' \rangle^\ell - \langle v \rangle^\ell \cos^\ell \frac{\theta}{2} \right) \\
 &\leq \frac{1}{2} \left(\left(f_{K,+}^{(\ell)}(v') \right)^2 W_K(v') \cos^{2\ell} \frac{\theta}{2} - \left(f_{K,+}^{(\ell)} \right)^2 W_K \right) \\
 &\quad + \frac{1}{\langle v \rangle^\ell} f_{K,+}^{(\ell)}(v) f_{K,+}^{(\ell)}(v') W_K(v') \left(\langle v' \rangle^\ell - \langle v \rangle^\ell \cos^\ell \frac{\theta}{2} \right) + \frac{1}{2} \left(f_{K,+}^{(\ell)}(v) \right)^2 (W'_K - W_K).
 \end{aligned}$$

Write the upper bound of T_1^+ correspondingly as

$$T_1^+ \leq T_{1,1}^+ + T_{1,2}^+ + T_{1,3}^+. \tag{3.43}$$

Similar as in the estimates of T_1 in (3.25), the bounds for $T_{1,1}^+$ and $T_{1,2}^+$ follow from the regular change of variables, bound (2.12) in Proposition 2.8 together with Proposition 2.9:

$$\begin{aligned}
 T_{1,1}^+ + T_{1,2}^+ &= \frac{1}{2} \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* \left(\left(f_{K,+}^{(\ell)}(v') \right)^2 W_K(v') \cos^{2\ell} \frac{\theta}{2} - \left(f_{K,+}^{(\ell)} \right)^2 W_K \right) \\
 &\quad \times b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\
 &\quad + \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* \frac{f_{K,+}^{(\ell)}(v)}{\langle v \rangle^\ell} f_{K,+}^{(\ell)}(v') W_K(v') \left(\langle v' \rangle^\ell - \langle v \rangle^\ell \cos^\ell \frac{\theta}{2} \right) \\
 &\quad \times b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\
 &\leq -\gamma_0 \left(1 - C \sup_x \|g\|_{L^1_\nu} \right) \left\| f_{K,+}^{(\ell)} \sqrt{W_K} \right\|_{L^2_x L^2_{\nu/\ell}}^2 \\
 &\quad + C_\ell \left(1 + \sup_x \|g\|_{L^1_{\ell+\gamma}} \right) \left\| f_{K,+}^{(\ell)} \right\|_{L^2_{x,v}} \left\| f_{K,+}^{(\ell)} W_K \right\|_{L^2_{x,v}} \\
 &\quad + C \left(1 + \sup_x \|g\|_{L^1_{3+\gamma+2s} \cap L^2} \right) \left\| f_{K,+}^{(\ell)} \right\|_{L^2_x H^s_{\nu/\ell}} \left\| f_{K,+}^{(\ell)} W_K \right\|_{L^2_x L^2_{\nu/\ell}}.
 \end{aligned}$$

Inserting the bound of W_K in (3.39), we get

$$T_{1,1}^+ + T_{1,2}^+ \leq C_\ell \left(1 + \sup_x \|g\|_{L^1_{\ell+\gamma}} \right) \left\| f_{K,+}^{(\ell)} \right\|_{L^2_{x,v}} \left\| f_{K,+}^{(\ell)} \right\|_{L^2_x L^2_\nu}$$

$$\begin{aligned}
 &+ C \left(1 + \sup_x \|g\| \|L_{3+\gamma+2s}^1 \cap L^2\| \right) \|f_{K,+}^{(\ell)}\|_{L_x^2 H_{\gamma/2}^s}^2 \\
 &+ C \left(1 + \sup_x \|g\| \|L_{3+\gamma+2s}^1 \cap L^2\| \right) \|f_{K,+}^{(\ell)}\|_{L_x^2 L_{j+\gamma/2}^2}^2 .
 \end{aligned}$$

Note that in the estimate above we have combined the cases of the mild and strong singularities.

The bound of $T_{1,3}^+$ is derived by using Proposition 2.10, which gives

$$\begin{aligned}
 T_{1,3}^+ &= \frac{1}{2} \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* \left(f_{K,+}^{(\ell)}(v) \right)^2 \langle v \rangle^j (W'_K - W_K) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\
 &\leq C \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* \left(f_{K,+}^{(\ell)}(v) \right)^2 \langle v \rangle^j \\
 &\quad \times \left(\sup_{|u| \leq |v| + |v_*|} |\nabla W_K(u)| + \sup_{|u| \leq |v| + |v_*|} |\nabla^2 W_K(u)| \right) |v - v_*|^{2+\gamma} \\
 &\leq C \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} G_* \left(f_{K,+}^{(\ell)}(v) \right)^2 \langle v \rangle^j \left(\langle v \rangle^{j+2+\gamma} + \langle v_* \rangle^{j+2+\gamma} \right) \, d\bar{\mu} \\
 &\leq C \left(1 + \sup_x \|g\| \|L_v^1\| \right) \|f_{K,+}^{(\ell)}\|_{L_x^2 L_{j+\gamma/2+1}^2}^2 + C \left(1 + \sup_x \|g\| \|L_{j+2+\gamma}^1\| \right) \|f_{K,+}^{(\ell)}\|_{L_x^2 L_{j/2}^2}^2 .
 \end{aligned} \tag{3.44}$$

Combining the estimates for $T_{1,1}^+$, $T_{1,2}^+$, $T_{1,3}^+$, we have

$$\begin{aligned}
 T_1^+ &\leq C_\ell \left(1 + \sup_x \|g\| \|L_{\ell+\gamma}^1\| \right) \|f_{K,+}^{(\ell)}\|_{L_x^2 L_j^2}^2 + C \left(1 + \sup_x \|g\| \|L_{3+\gamma+2s}^1 \cap L^2\| \right) \|f_{K,+}^{(\ell)}\|_{L_x^2 H_{\gamma/2}^s}^2 \\
 &\quad + C \left(1 + \sup_x \|g\| \|L_{j+2+\gamma}^1\| \right) \|f_{K,+}^{(\ell)}\|_{L_x^2 L_{j+\gamma/2+1}^2}^2 .
 \end{aligned} \tag{3.45}$$

The estimates for T_2^+ and T_3^+ is similar to those for T_2 and T_3 in Proposition 3.3. In fact comparing the forms of T_2^+ , T_3^+ with T_2 , T_3 defined in (3.23), one can see that the only difference is that $f_{K,+}^{(\ell)}$ in T_2 , T_3 is now replaced by $f_{K,+}^{(\ell)} W_K$. Since no particular structure of $f_{K,+}^{(\ell)}$ is used in the bounds of T_2 and T_3 , we simply replace $f_{K,+}^{(\ell)}$ in those bounds by $f_{K,+}^{(\ell)} W_K$ and obtain

$$\begin{aligned}
 T_2^+ + T_3^+ &= \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \mathcal{Q} \left(G, \frac{K}{\langle v \rangle^\ell} \right) \langle v \rangle^\ell f_{K,+}^{(\ell)} W_K \, dv \, dx \\
 &\quad + \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \mathcal{Q} (G, \mu) \langle v \rangle^\ell f_{K,+}^{(\ell)} W_K \, dv \, dx \\
 &\leq C(1 + K) \left(1 + \sup_x \|g\| \|L_{\ell+\gamma}^1\| \right) \|f_{K,+}^{(\ell)}\|_{L_x^1 L_{j+\gamma}^1}^2 .
 \end{aligned}$$

The bound of \mathcal{Q} is the combination of the bounds of T_1^+ , T_2^+ , T_3^+ , which writes

$$\begin{aligned}
 \mathcal{Q} &\leq C_\ell \left(1 + \sup_x \|g\| \|L_{\ell+\gamma}^1\| \right) \|f_{K,+}^{(\ell)}\|_{L_x^2 L_j^2}^2 \\
 &\quad + C \left(1 + \sup_x \|g\| \|L_{3+\gamma+2s}^1 \cap L^2\| \right) \|f_{K,+}^{(\ell)}\|_{L_x^2 H_{\gamma/2}^s}^2
 \end{aligned}$$

$$\begin{aligned}
 &+ C \left(1 + \sup_x \|g\|_{L^1_{j+2+\gamma}} \right) \|f_{K,+}^{(\ell)}\|_{L^2_x L^2_{j+\gamma/2+1}}^2 \\
 &+ C(1 + K) \left(1 + \sup_x \|g\|_{L^1_{\ell+\gamma}} \right) \|f_{K,+}^{(\ell)}\|_{L^1_x L^1_{j+\gamma}}. \tag{3.46}
 \end{aligned}$$

Next we estimate T_R^+ defined in (3.40). The estimate follows the same line as the one for T_R in part (b) of Proposition 3.3. We start with a similar decomposition as in (3.30):

$$\begin{aligned}
 \frac{1}{2} T_R^+ &= \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \left(-\langle v \rangle^\ell f_{K,+}^{(\ell)} W_K (\langle v \rangle^{2\alpha} - \nabla_v \cdot (\langle v \rangle^{2\alpha} \nabla_v)) \mu \right) dv dx \\
 &+ \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \left(-\langle v \rangle^\ell f_{K,+}^{(\ell)} W_K (\langle v \rangle^{2\alpha} - \nabla_v \cdot (\langle v \rangle^{2\alpha} \nabla_v)) \frac{K}{\langle v \rangle^\ell} \right) dv dx \\
 &+ \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \left(-\langle v \rangle^\ell f_{K,+}^{(\ell)} W_K (\langle v \rangle^{2\alpha} - \nabla_v \cdot (\langle v \rangle^{2\alpha} \nabla_v)) \left(f - \frac{K}{\langle v \rangle^\ell} \right) \right) dv dx \\
 &\triangleq T_{R,1}^+ + T_{R,2}^+ + T_{R,3}^+. \tag{3.47}
 \end{aligned}$$

It is then clear that the first two terms are bounded similarly as T_R^1 and T_R^2 which results in

$$T_{R,1}^+ + T_{R,2}^+ \leq C_\ell (1 + K) \|f_{K,+}^{(\ell)}\|_{L^1_x L^1_j}.$$

The third term $T_{R,3}^+$ needs more careful estimates due to the presence of W_K . Via integration by parts once, we have

$$\begin{aligned}
 T_{R,3}^+ &= - \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \langle v \rangle^{2\alpha} \left(f_{K,+}^{(\ell)} \right)^2 W_K dx dv \\
 &\quad - \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \langle v \rangle^{2\alpha} \nabla_v \left(\langle v \rangle^\ell f_{K,+}^{(\ell)} W_K \right) \cdot \nabla_v \left(\frac{1}{\langle v \rangle^\ell} f_{K,+}^{(\ell)} \right) dx dv \\
 &= - \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \langle v \rangle^{2\alpha} \left(f_{K,+}^{(\ell)} \right)^2 W_K dx dv \\
 &\quad - \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \langle v \rangle^{2\alpha} W_K \left| \nabla_v f_{K,+}^{(\ell)} \right|^2 dx dv - Rem, \tag{3.48}
 \end{aligned}$$

where the remainder Rem has five pieces

$$\begin{aligned}
 Rem &= \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \langle v \rangle^{2\alpha} W_K \left(f_{K,+}^{(\ell)} \right)^2 \nabla_v \langle v \rangle^\ell \cdot \nabla_v \left(\langle v \rangle^{-\ell} \right) dv dx \\
 &\quad + \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \langle v \rangle^{2\alpha} W_K \frac{f_{K,+}^{(\ell)}}{\langle v \rangle^\ell} \nabla_v \langle v \rangle^\ell \cdot \nabla_v f_{K,+}^{(\ell)} dx dv \\
 &\quad + \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \langle v \rangle^{2\alpha} W_K f_{K,+}^{(\ell)} \nabla_v f_{K,+}^{(\ell)} \cdot \nabla_v \left(\langle v \rangle^{-\ell} \right) dx dv \\
 &\quad + \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \langle v \rangle^{2\alpha} \langle v \rangle^\ell \left(f_{K,+}^{(\ell)} \right)^2 \nabla_v W_K \cdot \nabla_v \left(\langle v \rangle^{-\ell} \right) dx dv \\
 &\quad + \frac{1}{2} \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \langle v \rangle^{2\alpha} \nabla_v \left(f_{K,+}^{(\ell)} \right)^2 \cdot \nabla_v W_K dx dv \triangleq \sum_{j=1}^5 Rem_j.
 \end{aligned}$$

It is clear that Rem_1, Rem_2, Rem_3 are directly bounded as

$$|Rem_1| + |Rem_2| + |Rem_3|$$

$$\begin{aligned} &\leq C_\ell \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \langle v \rangle^{2\alpha-1} W_K \left(f_{K,+}^{(\ell)} \right)^2 dx dv + \frac{1}{8} \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \langle v \rangle^{2\alpha-1} W_K \left| \nabla_v f_{K,+}^{(\ell)} \right|^2 dx dv \\ &\leq \frac{1}{8} \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \langle v \rangle^{2\alpha} W_K \left(\left(f_{K,+}^{(\ell)} \right)^2 + \left| \nabla_v f_{K,+}^{(\ell)} \right|^2 \right) dx dv + C_\ell \left\| f_{K,+}^{(\ell)} \right\|_{L_x^2 L_{j/2}^2}^2. \end{aligned} \tag{3.49}$$

By integrating by parts, Rem_4 satisfies

$$\begin{aligned} |Rem_4| &= \left| \iint_{\mathbb{T}^3 \times \mathbb{R}^3} W_K \nabla_v \cdot \left(\langle v \rangle^{2\alpha} \langle v \rangle^\ell \left(f_{K,+}^{(\ell)} \right)^2 \nabla_v \langle v \rangle^{-\ell} \right) dx dv \right| \\ &\leq C_\ell \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \langle v \rangle^{2\alpha-2} W_K \left(f_{K,+}^{(\ell)} \right)^2 dx dv \\ &\quad + C_\ell \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \langle v \rangle^{2\alpha-1} W_K \left| f_{K,+}^{(\ell)} \right| \left| \nabla_v f_{K,+}^{(\ell)} \right| dx dv \\ &\leq \frac{1}{8} \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \langle v \rangle^{2\alpha} W_K \left(\left(f_{K,+}^{(\ell)} \right)^2 + \left| \nabla_v f_{K,+}^{(\ell)} \right|^2 \right) dx dv + C_\ell \left\| f_{K,+}^{(\ell)} \right\|_{L_x^2 L_{j/2}^2}^2. \end{aligned} \tag{3.50}$$

The last term Rem_5 needs more careful treatment. Integrating by parts, we have

$$\begin{aligned} -Rem_5 &= \frac{1}{2} \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \left(f_{K,+}^{(\ell)} \right)^2 \nabla_v \langle v \rangle^{2\alpha} \cdot \nabla_v W_K dx dv \\ &\quad + \frac{1}{2} \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \langle v \rangle^{2\alpha} \left(f_{K,+}^{(\ell)} \right)^2 \Delta_v W_K dx dv. \end{aligned} \tag{3.51}$$

The main observation here is for any $\kappa \geq 2$,

$$(I - \Delta_v) W_K = (I - \Delta_v)^{1-\kappa/2} \left(\langle v \rangle^\kappa \mathbf{1}_{A_\kappa} \right) \geq 0,$$

where the pointwise positivity is a consequence of the positivity of the Bessel potential. Hence for pointwise t, x, v we have

$$\Delta_v W_K \leq W_K.$$

Applying such relation in the second term of (3.51), we obtain that

$$\frac{1}{2} \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \langle v \rangle^{2\alpha} \left(f_{K,+}^{(\ell)} \right)^2 \Delta_v W_K dx dv \leq \frac{1}{2} \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \langle v \rangle^{2\alpha} \left(f_{K,+}^{(\ell)} \right)^2 W_K dx dv,$$

which is a leading order-term in moments. However, it is dominated by the dissipation because it has a smaller coefficient $\frac{1}{2}$. Using integration by parts, the first term in (3.51) satisfies

$$\begin{aligned} &\frac{1}{2} \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \left(f_{K,+}^{(\ell)} \right)^2 \nabla_v \langle v \rangle^{2\alpha} \cdot \nabla_v W_K dx dv \\ &= - \iint_{\mathbb{T}^3 \times \mathbb{R}^3} f_{K,+}^{(\ell)} \nabla_v f_{K,+}^{(\ell)} \cdot (\nabla_v \langle v \rangle^{2\alpha}) W_K dx dv \\ &\quad - \frac{1}{2} \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \left(f_{K,+}^{(\ell)} \right)^2 (\Delta_v \langle v \rangle^{2\alpha}) W_K dx dv. \end{aligned}$$

These terms can be controlled similarly to the Rem_1 and Rem_2 as they are lower-order in moments. Thus,

$$\left| \frac{1}{2} \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \left(f_{K,+}^{(\ell)} \right)^2 \nabla_v \langle v \rangle^{2\alpha} \cdot \nabla_v W_K dx dv \right|$$

$$\leq \frac{1}{8} \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \langle v \rangle^{2\alpha} W_K \left(\left(f_{K,+}^{(\ell)} \right)^2 + \left| \nabla_v f_{K,+}^{(\ell)} \right|^2 \right) dx dv + C_\ell \left\| f_{K,+}^{(\ell)} \right\|_{L_x^2 L_{j/2}^2}^2.$$

The conclusion is that

$$\begin{aligned} -Rem_5 &\leq \frac{1}{2} \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \left(f_{K,+}^{(\ell)} \right)^2 \langle v \rangle^{2\alpha} W_K dx dv \\ &\quad + \frac{1}{8} \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \langle v \rangle^{2\alpha} W_K \left(\left(f_{K,+}^{(\ell)} \right)^2 + \left| \nabla_v f_{K,+}^{(\ell)} \right|^2 \right) dx dv + C_\ell \left\| f_{K,+}^{(\ell)} \right\|_{L_x^2 L_{j/2}^2}^2. \end{aligned} \tag{3.52}$$

Now combining the estimates for Rem_1, \dots, Rem_5 with the dissipation terms in (3.48), we have

$$\begin{aligned} T_{R,3}^+ &\leq -\frac{1}{8} \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \langle v \rangle^{2\alpha} \left(f_{K,+}^{(\ell)} \right)^2 W_K dx dv \\ &\quad - \frac{5}{8} \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \langle v \rangle^{2\alpha} W_K \left| \nabla_v f_{K,+}^{(\ell)} \right|^2 dx dv + C_\ell \left\| f_{K,+}^{(\ell)} \right\|_{L_x^2 L_{j/2}^2}^2. \end{aligned}$$

Together with the bounds for $T_{R,1}^+$ and $T_{R,2}^+$, we obtain that

$$T_R^+ \leq C_\ell \left\| f_{K,+}^{(\ell)} \right\|_{L_x^2 L_{j/2}^2}^2 + C_\ell (1 + K) \left\| f_{K,+}^{(\ell)} \right\|_{L_x^1 L_j^1}, \tag{3.53}$$

which, when combined with \mathcal{Q} , can be absorbed into the upper bound for \mathcal{Q} in (3.46).

For $h = -f$, all the previous estimates follow identically except T_3^+ , for which we apply a similar estimate for J_3 in the proof of Proposition 3.4 instead of T_3 in Proposition 3.3. Then the same bound follows. \square

3.4 Time–Space–Velocity Energy Functional

In this subsection we complete the L^2 -energy estimate for the level-set function by adding the regularisation in the spatial variable. To such end we introduce the energy functional for $s'' \in (0, s) \subseteq (0, 1)$, $\ell \geq 0$, $p > 1$,

$$\begin{aligned} \mathcal{E}_p(K, T_1, T_2) &:= \sup_{t \in [T_1, T_2]} \left\| f_{K,+}^{(\ell)} \right\|_{L_{x,v}^2}^2 + \int_{T_1}^{T_2} \int_{\mathbb{T}^3} \left\| \langle \cdot \rangle^{\gamma/2} f_{K,+}^{(\ell)} \right\|_{H_v^s}^2 dx d\tau \\ &\quad + \frac{1}{C_0} \left(\int_{T_1}^{T_2} \left\| (1 - \Delta_x)^{\frac{s''}{2}} \left(f_{K,+}^{(\ell)} \right)^2 \right\|_{L_{x,v}^p}^p d\tau \right)^{\frac{1}{p}}. \end{aligned} \tag{3.54}$$

The constant C_0 does not play any essential role and the parameters $s'' > 0$, $p > 1$ will be suitably chosen as the discussion progresses. We start with imposing one condition on p : let $r(1)$ and $r(p)$ be the exponents given in Lemma 2.12 such that

$$r(1) = \tilde{r}(s, s'', 1, 3) > 2, \quad r(p) = \tilde{r}(s, s'', p, 3) > r(1) > 2. \tag{3.55}$$

We require that p satisfies the condition

$$\frac{r(p)}{2p} \frac{r(1) - 2}{r(p) - 2} > 1. \tag{3.56}$$

Such p exists, since by the continuity of $r(\cdot)$,

$$\frac{r(z)}{2z} \frac{r(1) - 2}{r(z) - 2} \rightarrow \frac{r(1)}{2} > 1 \quad \text{as } z \rightarrow 1.$$

Hence a sufficient condition for (3.58) to hold is by letting p be close enough to 1. Since such closeness is needed for later parts, we simply enforce it here: let $p^\sharp \in (1, 2)$ be fixed and close enough to 1 such that

$$\min_{[1, p^\sharp]} \frac{r(p)}{2p} \frac{r(1) - 2}{r(p) - 2} > 1, \tag{3.57}$$

and in what follows we restrict to

$$1 < p \leq p^\sharp. \tag{3.58}$$

The reason for imposing (3.58) or (3.56) will be clear in the proof of the following key interpolation lemma:

Lemma 3.8 (Energy functional interpolation) *Let the parameters $T_1, T_2, s, s'', \ell, n$ be given such that*

$$0 \leq T_1 < T_2 < T, \quad 0 < s'' < s \in (0, 1), \quad \ell \geq 0, \quad n \geq 0.$$

Let ℓ_0 be large enough with the specification in (3.72) (ℓ_0 depends on n but is independent of ℓ). Suppose

$$\sup_t \|\langle v \rangle^{\ell_0 + \ell} f\|_{L^1_{x,v}} \leq C_1.$$

Let $p > 1$ be fixed and satisfying (3.58) and let $\mathcal{E}_p(K, T_1, T_2)$ be the energy functional defined in (3.54). Then there exists a constant q_ which is independent of p and satisfies $1 < q_* < \frac{r(1)}{2}$ such that the following holds: for any $1 < q \leq q_*$, we can find a pair of parameters (r_*, ξ_*) with the properties*

$$r_* > q_* > q > 1, \quad \xi_* > 2q_* > 2q > 2, \tag{3.59}$$

such that for any $0 \leq M < K$ and $0 \leq T_1 \leq T_2 \leq T$,

$$\left\| \langle \cdot \rangle^{\frac{n}{q}} \left(f_{K,+}^{(\ell)} \right)^2 \right\|_{L^q((T_1, T_2) \times \mathbb{T}^3 \times \mathbb{R}^3)} \leq \frac{C \mathcal{E}_p(M, T_1, T_2)^{\frac{r_*}{q}}}{(K - M)^{\frac{\xi_* - 2q}{q}}}, \tag{3.60}$$

where C only depends on (C_1, s, s'', q, p) . The parameters q_, r_*, ξ_* are defined in (3.65), (3.73) and (3.67) and they only depend on (s, s'') . In particular, all of these parameters are independent of K, M, T_1, T_2 and f .*

Proof Recall the definitions of $r(1), r(p)$ in (3.55). For any (θ, ξ, q) satisfying the relation

$$1 < \theta < 2 < 2q < \xi < r(1) < r(p), \tag{3.61}$$

which is depicted in Fig. 2, we define $\beta \in (0, 1)$ by

$$\frac{1}{\xi} = \frac{1 - \beta}{\theta} + \frac{\beta}{r(p)}, \quad \beta \in (0, 1). \tag{3.62}$$

Note that for a given pair of (θ, p) , the parameters β and ξ are in one-to-one correspondence.

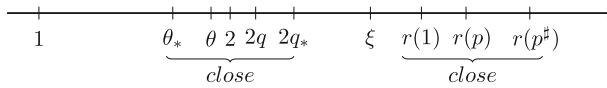


Fig. 2 Choice of parameters

We observe that for any p satisfying (3.58), by the definition of β , it holds that

$$\frac{\beta\xi}{2p} < \frac{\beta\xi}{2} = \frac{r(p)}{2} \frac{\xi - \theta}{r(p) - \theta} \leq \frac{r(p^\#)}{2} \frac{\xi - \theta}{r(1) - 2} \rightarrow 0 \text{ as } (\theta, \xi) \rightarrow (2, 2), \tag{3.63}$$

and by (3.56),

$$\frac{\beta\xi}{2} > \frac{\beta\xi}{2p} = \frac{r(p)}{2p} \frac{\xi - \theta}{r(p) - \theta} \rightarrow \frac{r(p)}{2p} \frac{r(1) - 2}{r(p) - 2} > 1 \text{ as } (\theta, \xi) \rightarrow (2, r(1)). \tag{3.64}$$

The limits above are uniform in p as long as p satisfies (3.58). By continuity, there exist q_*, θ_* such that if

$$1 < q \leq q_* < r(1)/2 \text{ and } \theta_* \leq \theta < 2, \tag{3.65}$$

then for (β, ξ) satisfying (3.62), we have

$$\frac{\beta\xi}{2} < 1 \text{ as } \xi \rightarrow 2q_* \text{ and } \xi > 2q_*,$$

and

$$\frac{\beta\xi}{2} > p > 1 \text{ as } \xi \rightarrow r(1).$$

As an example, we can choose

$$q_* = 1 + \frac{1}{2} \frac{r(1) - 2}{r(p^\#)}, \quad \theta_* > 2 - \frac{1}{2} \frac{r(1) - 2}{r(p^\#)}. \tag{3.66}$$

Such a choice guarantees that

$$\frac{r(p^\#)}{2} \frac{2q_* - \theta_*}{r(1) - 2} < 1.$$

It is then clear that the choices of q_*, θ_* only depend on $p^\#, s, s''$. By (3.63), if ξ_* is sufficiently close to $2q_*$, then $\beta\xi/2 < 1$. As a result, for any $\zeta \in (0, 1)$, there exists $\xi_*(\zeta)$ paired with $\beta_*(\zeta)$ such that

$$\zeta \frac{\beta_*(\zeta)\xi_*(\zeta)}{2} + (1 - \zeta) \frac{\beta_*(\zeta)\xi_*(\zeta)}{2p} = 1. \tag{3.67}$$

The notations $\xi_*(\zeta), \beta_*(\zeta)$ are simply emphasizing the dependence of ξ_*, β_* on ζ instead of indicating they are functions of ζ .

With the preparations above, we now fix (q, θ) satisfying (3.65) and let $\zeta = \tilde{\alpha}(s, s'', p, 3)$, where $\tilde{\alpha}(s, s'', p, 3)$ is the parameter in Lemma 2.12. Next we fix a pair of parameters ξ_*, β_* satisfying $(\beta_*, \xi_*) = (\beta_*(\zeta), \xi_*(\zeta))$, such that (3.62) holds and

$$\zeta \frac{\beta_*\xi_*}{2} + (1 - \zeta) \frac{\beta_*\xi_*}{2p} = 1. \tag{3.68}$$

With these parameters chosen we carry out various interpolations. First,

$$\begin{aligned} \left\| \langle \cdot \rangle^{\frac{n}{q}} \left(f_{K,+}^{(\ell)} \right)^2 \right\|_{L_{t,x,v}^q}^q &= \int_{T_1}^{T_2} \left\| \langle \cdot \rangle^{\frac{n}{2q}} f_{K,+}^{(\ell)} \right\|_{L_{x,v}^{2q}}^{2q} d\tau \\ &\leq \frac{1}{(K - M)^{\xi_* - 2q}} \int_{T_1}^{T_2} \left\| \langle \cdot \rangle^{\frac{n}{\xi_*}} f_{M,+}^{(\ell)} \right\|_{L_{x,v}^{\xi_*}}^{\xi_*} d\tau \\ &\leq \frac{1}{(K - M)^{\xi_* - 2q}} \int_{T_1}^{T_2} \left\| \langle v \rangle^{a_0} f_{M,+}^{(\ell)} \right\|_{L_{x,v}^\theta}^{(1-\beta_*)\xi_*} \left\| \langle v \rangle^{-2} f_{M,+}^{(\ell)} \right\|_{L_{x,v}^{r(p)}}^{\beta_*\xi_*} d\tau, \end{aligned} \tag{3.69}$$

where $a_0 = \frac{1}{1-\beta_*} \left(\frac{n}{\xi_*} + 2\beta_* \right)$. Application of Lemma 2.12 with $(\tilde{r}, \eta, \eta', m) = (r(p), s, s'', p)$ and Lemma 2.2 to (3.69) gives

$$\begin{aligned} &\int_{T_1}^{T_2} \left\| \langle v \rangle^{a_0} f_{M,+}^{(\ell)} \right\|_{L_{x,v}^\theta}^{(1-\beta_*)\xi_*} \left\| \langle v \rangle^{-2} f_{M,+}^{(\ell)} \right\|_{L_{x,v}^{r(p)}}^{\beta_*\xi_*} d\tau \\ &\leq C \int_{T_1}^{T_2} \left\| \langle v \rangle^{a_0} f_{M,+}^{(\ell)} \right\|_{L_{x,v}^\theta}^{(1-\beta_*)\xi_*} \left\| (-\Delta_v)^{\frac{s}{2}} \left(\langle v \rangle^{-2} f_{M,+}^{(\ell)} \right) \right\|_{L_{x,v}^2}^{\zeta\beta_*\xi_*} \\ &\quad \times \left\| \langle v \rangle^{-4} (1 - \Delta_x)^{\frac{s''}{2}} \left(f_{M,+}^{(\ell)} \right)^2 \right\|_{L_x^1 L_v^p}^{\frac{1-\zeta}{2}\beta_*\xi_*} d\tau \\ &\leq C \int_{T_1}^{T_2} \left\| \langle v \rangle^{a_0} f_{M,+}^{(\ell)} \right\|_{L_{x,v}^\theta}^{(1-\beta_*)\xi_*} \left\| (-\Delta_v)^{\frac{s}{2}} f_{M,+}^{(\ell)} \right\|_{L_{x,v}^2}^{\zeta\beta_*\xi_*} \left\| (1 - \Delta_x)^{\frac{s''}{2}} \left(f_{M,+}^{(\ell)} \right)^2 \right\|_{L_{x,v}^p}^{\frac{1-\zeta}{2}\beta_*\xi_*} d\tau, \end{aligned} \tag{3.70}$$

where $C = C(s, s'', p)$. By (3.68) and the Hölder's inequality, the integral term in (3.70) is controlled by

$$\begin{aligned} &\left(\sup_t \left\| \langle v \rangle^{a_0} f_{M,+}^{(\ell)} \right\|_{L_{x,v}^\theta}^{(1-\beta_*)\xi_*} \right) \left(\int_{T_1}^{T_2} \left\| (-\Delta_v)^{\frac{s}{2}} f_{M,+}^{(\ell)} \right\|_{L_{x,v}^2}^2 d\tau \right)^{\frac{\zeta\beta_*\xi_*}{2}} \\ &\quad \times \left(\int_{T_1}^{T_2} \left\| (1 - \Delta_x)^{\frac{s''}{2}} \left(f_{M,+}^{(\ell)} \right)^2 \right\|_{L_{x,v}^p}^p d\tau \right)^{\frac{1-\zeta}{2p}\beta_*\xi_*} \\ &\leq \left(\sup_t \left\| \langle v \rangle^{a_0} f_{M,+}^{(\ell)} \right\|_{L_{x,v}^\theta}^{(1-\beta_*)\xi_*} \right) \mathcal{E}_p(M, T_1, T_2)^{\frac{\zeta\beta_*\xi_*}{2}} \mathcal{E}_p(M, T_1, T_2)^{\frac{1-\zeta}{2}\beta_*\xi_*}. \end{aligned}$$

Interpolating the $L_{x,v}^\theta$ -norm with

$$\frac{1}{\theta} = \frac{1 - \beta'}{1} + \frac{\beta'}{2}, \quad \beta' \in (0, 1), \tag{3.71}$$

it follows that

$$\begin{aligned} \left\| \langle v \rangle^{a_0} f_{M,+}^{(\ell)} \right\|_{L_{x,v}^\theta}^{(1-\beta_*)\xi_*} &\leq \left\| \langle v \rangle^{\frac{a_0}{1-\beta'}} f_{M,+}^{(\ell)} \right\|_{L_{x,v}^1}^{(1-\beta')(1-\beta_*)\xi_*} \left\| f_{M,+}^{(\ell)} \right\|_{L_{x,v}^2}^{\beta'(1-\beta_*)\xi_*} \\ &\leq C_1^{(1-\beta')(1-\beta_*)\xi_*} \mathcal{E}_p(M, T_1, T_2)^{\beta'(1-\beta_*)\xi_*}, \end{aligned}$$

by taking

$$\ell_0 \geq \frac{a_0}{1 - \beta'} \tag{3.72}$$

Overall, we have

$$\int_{T_1}^{T_2} \left\| \langle v \rangle^{a_0} f_{M,+}^{(\ell)} \right\|_{L_{x,v}^\theta}^{(1-\beta_*)\xi_*} \left\| \langle v \rangle^{-2} f_{M,+}^{(\ell)} \right\|_{L_{x,v}^{r(p)}}^{\beta_*\xi_*} d\tau \leq C C_1^{(1-\beta')(1-\beta_*)\xi_*} \mathcal{E}_p(M, T_1, T_2)^{r_*},$$

with

$$r_* = \beta'(1 - \beta_*) \frac{\xi_*}{2} + \frac{\zeta \beta_* \xi_*}{2} + \frac{1 - \zeta}{2} \beta_* \xi_*.$$

We can make β' arbitrarily close to 1 by taking θ_* in (3.65) close enough to 2. This way we have

$$r_* = (1 - (1 - \beta')(1 - \beta_*)) \frac{\xi_*}{2} > q_* > 1, \tag{3.73}$$

hence the desired bound in (3.60). □

Remark 3.9 The parameters $(\theta_*, q_*, r_*, \xi_*, \beta_*, \beta')$ in Lemma 3.8 can be made explicit. Here we give an example of these parameters such that Lemma 3.8 holds. First we fix p^\sharp which satisfies (3.57) and let

$$p = p^\sharp, \quad q_* = 1 + \frac{1}{2} \frac{r(1) - 2}{r(p^\sharp)}.$$

Note that for each $\theta \in (1, 2)$, equations (3.62) and (3.68) provide a system that uniquely determines (ξ, β) in terms of θ . We recall the system below

$$\frac{1}{\xi} = \frac{1 - \beta}{\theta} + \frac{\beta}{r(p^\sharp)}, \quad \text{and} \quad \zeta^\sharp \frac{\beta \xi}{2} + (1 - \zeta^\sharp) \frac{\beta \xi}{2 p^\sharp} = 1, \tag{3.74}$$

where $\zeta^\sharp = \tilde{\alpha}(s, s'', p^\sharp, 3)$. For a fixed θ , we can solve and obtain

$$\xi = \xi(\theta) = \frac{r(p^\sharp) - \theta}{r(p^\sharp)} \frac{1}{\frac{\zeta^\sharp}{2} + \frac{1 - \zeta^\sharp}{2 p^\sharp}} + \theta, \quad \beta = \beta(\theta) = \frac{1}{\xi} \frac{1}{\frac{\zeta^\sharp}{2} + \frac{1 - \zeta^\sharp}{2 p^\sharp}} \in (0, 1). \tag{3.75}$$

The condition for θ comes from the combination of (3.71) and (3.73). At this moment we only need $q \in (1, r(1)/2)$. Hence we require

$$\frac{1}{\theta} = \frac{1 - \beta'}{1} + \frac{\beta'}{2} \quad \text{and} \quad (1 - (1 - \beta')(1 - \beta)) \frac{\xi}{2} > q_*. \tag{3.76}$$

Solving (θ, β') -system above, we obtain the condition on θ as

$$0 < 2 - \theta < 2 - \frac{2}{1 + \frac{\xi - 2q_*}{1 - \beta_*}}. \tag{3.77}$$

The existence issue is equivalent to whether there exists $\theta \in (1, 2)$ such that (3.75) and (3.77) hold simultaneously. In order to check this, we note that by (3.75), for any $\theta \in (1, 2)$, it holds that

$$\xi = \xi(\theta) > 2 \frac{r(p^\sharp) - \theta}{r(p^\sharp)} + \theta = 2 + \frac{r(p^\sharp) - 2}{r(p^\sharp)} \theta > 2q_*.$$

In particular, it holds that

$$\lim_{\theta \rightarrow 2} (\xi(\theta) - 2q_*) \geq 2 + 2 \frac{r(p^\sharp) - 2}{r(p^\sharp)} - 2q_* =: 2c_* > 0, \quad c_* \in (0, 1). \tag{3.78}$$

Hence the right-hand side of (3.77) satisfies

$$\lim_{\theta \rightarrow 2} \left(2 - \frac{2}{1 + \frac{\xi - 2}{1 - \beta}} \right) \geq \lim_{\theta \rightarrow 2} \left(2 - \frac{2}{1 + (\xi - 2)} \right) \geq 2 - \frac{2}{1 + 2c_*} = \frac{4c_*}{1 + 2c_*} =: c_{**} \in (0, 2), \tag{3.79}$$

while the middle term clearly satisfies $\lim_{\theta \rightarrow 2} (2 - \theta) = 0$. This shows there is a range of θ values that satisfy all the desired properties. For a particular example we first introduce two parameters

$$c^\sharp = \frac{1}{\frac{\xi^\sharp}{2} + \frac{1 - \xi^\sharp}{2p^\sharp}} > 2, \quad \alpha^\sharp = \min \left\{ \frac{1}{2} \frac{c^\sharp - 2}{\left(1 - \frac{1}{r(p^\sharp)}\right) \frac{2}{1 + 2c_*}}, \frac{1}{2} \right\},$$

where c_* is defined in (3.78). Then use the parameter c_{**} defined in (3.79) and let

$$\theta_* = 2 - \alpha^\sharp c_{**} \in (1, 2).$$

By (3.75) we can solve and obtain

$$\xi = \frac{r(p^\sharp) - \theta}{r(p^\sharp)} c^\sharp + \theta = \frac{r(p^\sharp) - (2 - \alpha^\sharp c_{**})}{r(p^\sharp)} c^\sharp + (2 - \alpha^\sharp c_{**}).$$

Now we check that (3.77) holds: by the definition of α^\sharp , we have

$$\begin{aligned} \xi - 2 &= \frac{r(p^\sharp) - (2 - \alpha^\sharp c_{**})}{r(p^\sharp)} c^\sharp - \alpha^\sharp c_{**} = \frac{r(p^\sharp) - 2}{r(p^\sharp)} c^\sharp - \left(1 - \frac{1}{r(p^\sharp)}\right) \alpha^\sharp c_{**} \\ &= c_* c^\sharp - \alpha^\sharp \left(1 - \frac{1}{r(p^\sharp)}\right) \frac{4c_*}{1 + 2c_*} = 2c_* \left(\frac{c^\sharp}{2} - \alpha^\sharp \left(1 - \frac{1}{r(p^\sharp)}\right) \frac{2}{1 + 2c_*}\right) \\ &\geq 2c_* \left(\frac{c^\sharp}{2} - \frac{c^\sharp - 2}{2}\right) = 2c_*. \end{aligned}$$

Hence, repeating the previous estimate, we have

$$2 - \frac{2}{1 + \frac{\xi_* - 2}{1 - \beta_*}} \geq 2 - \frac{2}{1 + (\xi_* - 2)} \geq 2 - \frac{2}{1 + 2c_*} = c_{**} > \alpha^\sharp c_{**} = 2 - \theta_*,$$

that is, inequality (3.77) holds. With such (θ_*, ξ) , we obtain β, β', r_* via formulas (3.74), (3.76), and (3.73).

Remark 3.10 We also make a comment regarding ℓ_0 in Lemma 3.8. Note that by Remark 3.9, β' and ξ_*, β_* are functions of θ . Hence ℓ_0 depends on n, θ , which in term depends on n, s, s'' .

With Lemma 3.8 at hand, we are ready to prove the precise estimate regarding the energy functional (3.54) in the context of the Boltzmann equation.

Proposition 3.11 (Energy functional interpolation inequality) *Let $T > 0$ be fixed and let $\ell_0 > 0$ be sufficiently large such that it satisfies (3.93). Assume that the given function G satisfies (3.4) and*

$$G = \mu + g \geq 0, \quad \sup_{t,x} \|g\|_{L^1_\gamma} \leq \delta_0, \quad \sup_{t,x} \|g\|_{L^\infty_{k_0}} \leq C. \tag{3.80}$$

Fix ℓ such that

$$8 + \gamma \leq \ell \leq k_0 - 4 - \gamma,$$

and assume that f is a solution of (3.3) which satisfies

$$F = \mu + f \geq 0, \quad \sup_t \|\langle v \rangle^{\ell_0 + \ell} f(t, \cdot, \cdot)\|_{L^1_{x,v}} \leq C_1 < \infty.$$

Then, there exist $s'' > 0$ and $p > 1$ such that for any

$$0 \leq T_1 \leq T_2 < T, \quad \epsilon \in [0, 1], \quad 0 \leq M < K,$$

if we let $\mathcal{E}_p(M, T_1, T_2)$ be the energy functional in (3.54) with the parameters p, s'' , then it follows that

$$\begin{aligned} & \|f_{K,+}^{(\ell)}(T_2)\|_{L^2_{x,v}}^2 + \int_{T_1}^{T_2} \|\langle v \rangle^{\gamma/2} (1 - \Delta_v)^{\frac{\gamma}{2}} f_{K,+}^{(\ell)}(\tau)\|_{L^2_{x,v}}^2 \, d\tau \\ & + \frac{1}{C_0} \left(\int_{T_1}^{T_2} \|(1 - \Delta_x)^{\frac{s''}{2}} (f_{K,+}^{(\ell)})^2\|_{L^p_{x,v}} \, d\tau \right)^{\frac{1}{p}} \\ & \leq C \|\langle v \rangle^2 f_{K,+}^{(\ell)}(T_1)\|_{L^2_{x,v}}^2 + C \|\langle v \rangle^2 f_{K,+}^{(\ell)}(T_1)\|_{L^{2p}_{x,v}}^2 + \frac{CK}{K-M} \sum_{i=1}^4 \frac{\mathcal{E}_p(M, T_1, T_2)^{\beta_i}}{(K-M)^{a_i}}, \end{aligned} \tag{3.81}$$

where the parameters $\beta_i > 1$ and $a_i > 0$ are defined in (3.96) and C is independent of K, M, f, T_1, T_2 . Furthermore, the estimate holds for $h = -f$, solution to Eq. (3.31), with $f_{K,+}^{(\ell)}$ replaced by $(-f)_{K,+}^{(\ell)}$.

Proof We start with the bound of the term that involves the x -derivative on the left-hand side of (3.81). This constitutes the main part of the proof. To this end, fix $\sigma \in (0, 1/2)$. From equation (3.3) one has that

$$\begin{aligned} \frac{d}{dt} \left(f_{K,+}^{(\ell)} \right)^2 + v \cdot \nabla_x \left(f_{K,+}^{(\ell)} \right)^2 &= 2\tilde{Q}(G, F) \langle v \rangle^\ell f_{K,+}^{(\ell)} \\ &\triangleq (1 - \Delta_x - \partial_t^2)^{\frac{\sigma}{2}} (1 - \Delta_v)^{\frac{\sigma}{2} + \frac{\kappa}{2}} \mathcal{G}_K^{(\ell)}, \quad \kappa > 2, \end{aligned} \tag{3.82}$$

that is, we have defined $\mathcal{G}_K^{(\ell)}$ as

$$\mathcal{G}_K^{(\ell)} = 2(1 - \Delta_x - \partial_t^2)^{-\frac{\sigma}{2}} (1 - \Delta_v)^{-\frac{\sigma}{2} - \frac{\kappa}{2}} \left(\tilde{Q}(G, F) \langle v \rangle^\ell f_{K,+}^{(\ell)} \right), \quad \kappa > 2,$$

where κ can be any number larger than 2. In what follows we take

$$\sigma + \kappa \leq 3. \tag{3.83}$$

Choose the parameters in Proposition 2.14 as

$$m = \kappa + \sigma, \quad \beta \in (0, s), \quad s^b = \frac{(1 - 2\sigma)\beta_-}{2(1 + \sigma + \kappa + \beta)}$$

$$=: s'' < \min \left\{ \beta, \frac{(1 - \sigma p)\beta_-}{p(1 + \sigma + \kappa + \beta)} \right\}, \quad r = \sigma, \quad \kappa > 2,$$

where $1 < p < 2$ is chosen to be close enough to 1 such that (3.58) holds and

$$\sigma p < 1, \quad 1 < p < \frac{p}{2-p} < q_*, \quad \sigma p^* = \sigma p / (p - 1) > 6, \quad (3.84)$$

where q_* is defined in (3.66) and the third condition guarantees that

$$H^{-\sigma,p}(\mathbb{T}_x^3 \times \mathbb{R}_v^3) \supseteq L^1(\mathbb{T}_x^3 \times \mathbb{R}_v^3) \quad \text{since} \quad H^{\sigma,p^*}(\mathbb{T}_x^3 \times \mathbb{R}_v^3) \subseteq L^\infty(\mathbb{T}_x^3 \times \mathbb{R}_v^3). \quad (3.85)$$

With the choices of these parameters and (3.83), we now apply Proposition 2.14 and obtain that

$$\begin{aligned} & \left\| (1 - \Delta_x)^{\frac{s''}{2}} \left(f_{K,+}^{(\ell)} \right)^2 \right\|_{L_{t,x,v}^p} \\ & \leq C \left(\left\| \langle v \rangle^4 \left(f_{K,+}^{(\ell)}(T_1) \right)^2 \right\|_{L_{x,v}^p} + \left\| \langle v \rangle^4 (I - \Delta_v)^{-\kappa/2} \left(f_{K,+}^{(\ell)}(T_2) \right)^2 \right\|_{H_{x,v}^{-\sigma,p}} \right. \\ & \quad \left. + \left\| \left(f_{K,+}^{(\ell)} \right)^2 \right\|_{L_{t,x,v}^p} + \left\| (-\Delta_v)^{\frac{\beta}{2}} \left(f_{K,+}^{(\ell)} \right)^2 \right\|_{L_{t,x,v}^p} + \left\| \langle v \rangle^{1+\sigma+\kappa} \mathcal{G}_K^{(\ell)} \right\|_{L^p} \right) \\ & \leq C \left(\left\| \langle v \rangle^2 \left(f_{K,+}^{(\ell)}(T_1) \right) \right\|_{L_{x,v}^{2p}}^2 + \left\| \langle v \rangle^4 (I - \Delta_v)^{-\kappa/2} \left(f_{K,+}^{(\ell)}(T_2) \right) \right\|_{L_{x,v}^1}^2 \right. \\ & \quad \left. + \left\| \left(f_{K,+}^{(\ell)} \right)^2 \right\|_{L_{t,x,v}^p} + \left\| (-\Delta_v)^{\frac{\beta}{2}} \left(f_{K,+}^{(\ell)} \right)^2 \right\|_{L_{t,x,v}^p} + \left\| \langle v \rangle^4 \mathcal{G}_K^{(\ell)} \right\|_{L^p} \right). \quad (3.86) \end{aligned}$$

In what follows, we bound the terms on the right-hand side of (3.86) in order with the bound for $f_{K,+}^{(\ell)}(T_2)$ left to the end. Let $n = 0$ in Lemma 3.8. Then the third term on the right-hand side of (3.86) is bounded as

$$\left\| \left(f_{K,+}^{(\ell)} \right)^2 \right\|_{L_{t,x,v}^p} \leq \frac{\tilde{C}_0 \mathcal{E}_p(M, T_1, T_2)^{\frac{r_*}{p}}}{(K - M)^{\frac{\xi_* - 2p}{p}}}, \quad \text{with } r_* > p \text{ and } \xi_* > 2p. \quad (3.87)$$

For the fourth term one invokes Lemma 2.13 with $p' = p / (2 - p)$ to get

$$\begin{aligned} & \left\| (-\Delta_v)^{\frac{\beta}{2}} \left(f_{K,+}^{(\ell)} \right)^2 \right\|_{L_{t,x,v}^p}^p \\ & = \int_{T_1}^{T_2} \int_{\mathbb{T}^3} \left\| (-\Delta_v)^{\frac{\beta}{2}} \left(f_{K,+}^{(\ell)} \right)^2 \right\|_{L_v^p}^p \, dx \, d\tau \\ & \leq C \int_{T_1}^{T_2} \int_{\mathbb{T}^3} \left(\left\| (-\Delta_v)^{\frac{\beta}{2}} f_{K,+}^{(\ell)} \right\|_{L_v^2}^p + \left\| \left(f_{K,+}^{(\ell)} \right)^2 \right\|_{L_v^{p'}}^{\frac{p}{2}} + \left\| \left(f_{K,+}^{(\ell)} \right)^2 \right\|_{L_v^p}^p \right) \, dx \, d\tau \\ & \leq C \left(\int_{T_1}^{T_2} \left\| (-\Delta_v)^{\frac{\beta}{2}} f_{K,+}^{(\ell)} \right\|_{L_{x,v}^2}^2 \, d\tau \right)^{\frac{p}{2}} \left(\int_{T_1}^{T_2} \left\| \left(f_{K,+}^{(\ell)} \right)^2 \right\|_{L_{x,v}^{p'}}^{p'} \, d\tau \right)^{\frac{2-p}{2}} \\ & \quad + C \int_{T_1}^{T_2} \left\| \left(f_{K,+}^{(\ell)} \right)^2 \right\|_{L_{x,v}^p}^p \, d\tau. \end{aligned}$$

Since (3.84) holds, we can apply Lemma 3.8 in the p and p' norms with $n = 0$ to obtain that

$$\left\| (-\Delta_v)^{\frac{r'_*}{2}} \left(f_{K,+}^{(\ell)} \right)^2 \right\|_{L^p_{t,x,v}} \leq \tilde{C}_0 \left(\frac{\mathcal{E}_p(M, T_1, T_2)^{\frac{1}{2}(1+r'_*/p')}}{(K-M)^{a_2}} + \frac{\mathcal{E}_p(M, T_1, T_2)^{\frac{r'_*}{p}}}{(K-M)^{a_1}} \right), \tag{3.88}$$

where the parameters satisfy

$$\begin{aligned} r'_* &> p', & \frac{1}{2} (1 + r'_*/p') &> 1, & r_* &> p, \\ a_1 &= (\xi_* - 2p) / p > 0, & a_2 &= (\xi'_* - 2p') / p' > 0. \end{aligned} \tag{3.89}$$

So far for Lemma 3.8 to apply, we need

$$\ell_0 \geq \frac{a_0(s, s'')}{1 - \beta'(s, s'')}, \quad a_0(p, s) = \frac{2\beta_*(s, s'')}{1 - \beta_*(s, s'')}, \tag{3.90}$$

where β', β_* are defined in the proof of Lemma 3.8.

Next we bound the last term on the right-hand side of (3.86). Using Lemma 2.1, the embedding in (3.85), the assumptions for G in (3.80) and Proposition 3.7 with $j = 4$, we get

$$\begin{aligned} &\left\| \langle v \rangle^4 \mathcal{G}_K^{(\ell)} \right\|_{L^p_{t,x,v}} \\ &= 2 \left(\int_{T_1}^{T_2} \left\| \langle v \rangle^4 (1 - \Delta_x - \partial_t^2)^{-\frac{\sigma}{2}} (1 - \Delta_v)^{-\frac{\sigma}{2} - \frac{\kappa}{2}} \left(\tilde{Q}(G, F) \langle v \rangle^\ell f_{K,+}^{(\ell)} \right) \right\|_{L^p_{x,v}}^p d\tau \right)^{\frac{1}{p}} \\ &\leq 2C_\sigma \int_{T_1}^{T_2} \left\| (1 - \Delta_v)^{-\kappa/2} \left(\tilde{Q}(G, F) \langle v \rangle^{\ell+4} f_{K,+}^{(\ell)} \right) \right\|_{L^1_{x,v}} dt \\ &\leq C \left\| \langle v \rangle^2 f_{K,+}^{(\ell)}(T_1) \right\|_{L^2_{x,v}}^2 + C \int_{T_1}^{T_2} \left\| f_{K,+}^{(\ell)} \right\|_{L^2_x H^s_{y/2}}^2 dt \\ &\quad + C_\ell \int_{T_1}^{T_2} \left\| \langle v \rangle^6 f_{K,+}^{(\ell)} \right\|_{L^2_{x,v}}^2 dt + C_\ell (1 + K) \int_{T_1}^{T_2} \left\| \langle v \rangle^5 f_{K,+}^{(\ell)} \right\|_{L^1_{x,v}} dt. \end{aligned} \tag{3.91}$$

Letting $n = 12$ and $n = 5$ respectively in Lemma 3.8, we can bound the last two terms in (3.91) as

$$\begin{aligned} \int_{T_1}^{T_2} \left\| \langle v \rangle^6 f_{K,+}^{(\ell)} \right\|_{L^2_{x,v}}^2 dt &\leq \frac{2^{2p-2}}{(K-M)^{2p-2}} \int_{T_1}^{T_2} \left\| \langle \cdot \rangle^{\frac{12}{2p}} f_{\frac{K+M}{2},+}^{(\ell)} \right\|_{L^{2p}_{x,v}}^{2p} d\tau \leq \tilde{C}_0 \frac{\mathcal{E}_p(M, T_1, T_2)^{r_*}}{(K-M)^{\xi_*-2}}, \\ \int_{T_1}^{T_2} \left\| \langle v \rangle^5 f_{K,+}^{(\ell)} \right\|_{L^1_{x,v}} dt &\leq \frac{2^{2p-1}}{(K-M)^{2p-1}} \int_{T_1}^{T_2} \left\| \langle \cdot \rangle^{\frac{5}{2p}} f_{\frac{K+M}{2},+}^{(\ell)} \right\|_{L^{2p}_{x,v}}^{2p} d\tau \leq \tilde{C}_0 \frac{\mathcal{E}_p(M, T_1, T_2)^{r_*}}{(K-M)^{\xi_*-1}}, \end{aligned} \tag{3.92}$$

where for such estimates to hold, we require that

$$\begin{aligned} \ell_0 \geq \frac{a_0(s, s'')}{1 - \beta'(s, s'')}, \quad a_0(s, s'') &= \frac{1}{1 - \beta_*(s, s'')} \left(\frac{12}{\xi_*(s, s'')} + 2\beta_*(s, s'') \right), \\ \beta' &= \beta'(s, s''), \end{aligned} \tag{3.93}$$

where again β', β_* are defined in the proof of Lemma 3.8. Then we are led to

$$\left\| \langle v \rangle^4 \mathcal{G}_K^{(\ell)} \right\|_{L^p} \leq C \left\| \langle v \rangle^2 f_{K,+}^{(\ell)}(T_1) \right\|_{L^2_{x,v}}^2 + C \int_{T_1}^{T_2} \left\| f_{K,+}^{(\ell)} \right\|_{L^2_x H^s_{y/2}}^2 dt$$

$$+ \tilde{C}_0(1 + K) \frac{\mathcal{E}_p(M, T_1, T_2)^{r_*}}{(K - M)^{\xi_* - 1}} + \tilde{C}_0 \frac{\mathcal{E}_p(M, T_1, T_2)^{r_*}}{(K - M)^{\xi_* - 2}}, \quad \xi_* > 2.$$

Since $\frac{K}{K - M} \geq 1$, we have that

$$\begin{aligned} (1 + K) \frac{\mathcal{E}_p(M, T_1, T_2)^{r_*}}{(K - M)^{\xi_* - 1}} &= \frac{\mathcal{E}_p(M, T_1, T_2)^{r_*}}{(K - M)^{\xi_* - 1}} + \frac{K}{K - M} \frac{\mathcal{E}_p(M, T_1, T_2)^{r_*}}{(K - M)^{\xi_* - 2}} \\ &\leq \frac{K}{K - M} \left(\frac{\mathcal{E}_p(M, T_1, T_2)^{r_*}}{(K - M)^{\xi_* - 1}} + \frac{\mathcal{E}_p(M, T_1, T_2)^{r_*}}{(K - M)^{\xi_* - 2}} \right). \end{aligned}$$

Therefore, we conclude that

$$\begin{aligned} \left\| \langle v \rangle^4 \mathcal{G}_K^{(\ell)} \right\|_{L^p} &\leq C \left\| \langle v \rangle^2 f_{K,+}^{(\ell)}(T_1) \right\|_{L_{x,v}^2}^2 + C \int_{T_1}^{T_2} \left\| f_{K,+}^{(\ell)} \right\|_{L_x^2 H_v^s}^2 dt \\ &\quad + \frac{\tilde{C}_0 K}{K - M} \left(\frac{\mathcal{E}_p(M, T_1, T_2)^{r_*}}{(K - M)^{\xi_* - 1}} + \frac{\mathcal{E}_p(M, T_1, T_2)^{r_*}}{(K - M)^{\xi_* - 2}} \right), \end{aligned} \tag{3.94}$$

with constants C, \tilde{C}_0 independent of $\epsilon \in [0, 1]$.

Finally we bound the second term on the right-hand side of (3.86). By the positivity of the Bessel potential and Fubini’s theorem,

$$\left\| \langle v \rangle^4 (I - \Delta_v)^{-\kappa/2} \left(f_{K,+}^{(\ell)}(T_2) \right)^2 \right\|_{L_{x,v}^1} = \int_{\mathbb{R}^3} \langle v \rangle^4 (I - \Delta_v)^{-\kappa/2} \left(\int_{\mathbb{T}^3} \left(f_{K,+}^{(\ell)}(T_2) \right)^2 dx \right) dv.$$

Integrating Eq. (3.82) first in x and then in t, v gives

$$\begin{aligned} &\int_{\mathbb{R}^3} \langle v \rangle^4 (I - \Delta_v)^{-\kappa/2} \left(\int_{\mathbb{T}^3} \left(f_{K,+}^{(\ell)}(T_2) \right)^2 dx \right) dv \\ &\leq \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \langle v \rangle^4 \left(f_{K,+}^{(\ell)}(T_1) \right)^2 dv dx \\ &\quad + \int_{T_1}^{T_2} \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \langle v \rangle^4 (I - \Delta_v)^{-\kappa/2} \left(\tilde{Q}(G, F) \langle v \rangle^\ell f_{k,+}^{(\ell)} \right) dv dx dt \\ &\leq \left\| \langle v \rangle^2 f_{K,+}^{(\ell)}(T_1) \right\|_{L_{x,v}^2}^2 + \int_{T_1}^{T_2} \left\| (1 - \Delta_v)^{-\kappa/2} \left(\tilde{Q}(G, F) \langle v \rangle^{\ell+4} f_{K,+}^{(\ell)} \right) \right\|_{L_{x,v}^1} dt, \end{aligned}$$

where the last term satisfies the same bound as in (3.91). Hence the term involving $f_{K,+}^{(\ell)}(T_2)$ does not add new terms to the bound. Overall, we obtain from (3.86), (3.87), (3.88), (3.94) that

$$\begin{aligned} \frac{1}{C_0} \left\| (1 - \Delta_x)^{\frac{s'}{2}} \left(f_{K,+}^{(\ell)} \right)^2 \right\|_{L_{t,x,v}^p} &\leq \frac{C}{C_0} \left(\left\| \langle v \rangle^2 f_{K,+}^{(\ell)}(T_1) \right\|_{L_{x,v}^{2p}}^2 + \left\| \langle v \rangle^2 f_{K,+}^{(\ell)}(T_1) \right\|_{L_{x,v}^2}^2 \right) \\ &\quad + \frac{C_\ell}{C_0} \int_{T_1}^{T_2} \left\| \langle v \rangle^{\gamma/2} (1 - \Delta_v)^{\frac{s}{2}} f_{K,+}^{(\ell)} \right\|_{L_{x,v}^2}^2 dt \\ &\quad + \frac{\tilde{C}_0}{C_0} \frac{K}{K - M} \sum_{i=1}^4 \frac{\mathcal{E}_p(M, T_1, T_2)^{\beta_i}}{(K - M)^{a_i}}, \end{aligned} \tag{3.95}$$

where the constants $C, C_\ell, C_0, \tilde{C}_0$ are independent of f, K, M, T_1, T_2 and as a summary,

$$\begin{aligned} \beta_1 &= r_*/p, & \beta_2 &= \frac{1}{2} (1 + r'_*/p'), & \beta_3 &= r_*, & \beta_4 &= r_*, \\ a_1 &= (\xi_* - 2p)/p, & a_2 &= (\xi'_* - 2p')/p', & a_3 &= \xi_* - 1, & a_4 &= \xi_* - 2. \end{aligned} \tag{3.96}$$

Note that $\beta_i > 1$ and $a_i > 0$ for all $i = 1, \dots, 4$.

For the first two terms on the left-hand side of (3.81) we invoke Proposition 3.3 to get

$$\begin{aligned} & \|f_{K,+}^{(\ell)}(T_2)\|_{L^2_{x,v}}^2 + \frac{c_0\delta_4}{4} \int_{T_1}^{T_2} \|f_{K,+}^{(\ell)}(\tau)\|_{L^2_{x,v}H^s_{y/2}}^2 d\tau \\ & \leq \|f_{K,+}^{(\ell)}(T_1)\|_{L^2_{x,v}}^2 + C_\ell \int_{T_1}^{T_2} \|f_{K,+}^{(\ell)}(\tau)\|_{L^2_{x,v}}^2 d\tau + C_\ell(1+K) \int_{T_1}^{T_2} \|f_{K,+}^{(\ell)}(\tau)\|_{L^1_x L^1_y} d\tau \\ & \leq \|f_{K,+}^{(\ell)}(T_1)\|_{L^2_{x,v}}^2 + \frac{\tilde{C}_0 K}{K-M} \left(\frac{\mathcal{E}_p(M, T_1, T_2)^{r^*}}{(K-M)^{\xi^*-1}} + \frac{\mathcal{E}_p(M, T_1, T_2)^{r^*}}{(K-M)^{\xi^*-2}} \right), \end{aligned}$$

where the last step follows from similar bounds as in (3.92) and the subsequent procedure that led to (3.94). Together with (3.95) and by choosing $C_0 = C_0(s, \ell) > 0$ sufficiently large such that

$$\frac{C_\ell}{C_0} \leq \frac{c_0\delta_4}{8},$$

we obtain the desired estimate in (3.81).

Since $(-f)_{K,+}^{(\ell)}$ satisfies the same bound as $f_{K,+}^{(\ell)}$ in Proposition 3.7, the same estimate for $(-f)_{K,+}^{(\ell)}$ as in (3.81) holds with Proposition 3.4 replacing Proposition 3.3. \square

Before showing the L^∞ -bound of f , we need a closed L^2 -bound of the zeroth level energy \mathcal{E}_0 given by

$$\begin{aligned} \mathcal{E}_0 := \mathcal{E}_p(0, 0, T) &= \sup_{t \in [0, T]} \|f_+^{(\ell)}\|_{L^2_{x,v}}^2 + \int_0^T \int_{\mathbb{T}^3} \|\langle \cdot \rangle^{\gamma/2} f_+^{(\ell)}\|_{H^s_y}^2 dx d\tau \\ &+ \frac{1}{C_0} \left(\int_0^T \|(1 - \Delta_x)^{\frac{s''}{2}} (f_+^{(\ell)})^2\|_{L^p_{x,v}}^p d\tau \right)^{\frac{1}{p}}, \end{aligned} \tag{3.97}$$

where f_+ denotes the positive part of f and

$$f_+^{(\ell)} = \langle v \rangle^\ell f_+.$$

Proposition 3.12 *Let $T > 0$ be fixed and $\epsilon \in [0, 1]$, $s \in (0, 1)$. Assume that the given function G satisfies (3.4) and*

$$G = \mu + g \geq 0, \quad \sup_{t,x} \|g\|_{L^1_y} \leq \delta_0, \quad \sup_{t,x} \|g\|_{L^\infty_{k_0}} \leq C. \tag{3.98}$$

Fix ℓ such that

$$\max\{8 + \gamma, 3 + 2\alpha\} \leq \ell \leq k_0 - 5 - \gamma,$$

and assume that f is a solution of (3.3) which satisfies $\mu + f \geq 0$. Then for any $0 < s' < \frac{s}{2(s+3)}$, there exist $s'' \in (0, s' \frac{\gamma}{2\ell+\gamma})$ and $p^b := p^b(\ell, \gamma, s, s') > 1$ such that for any $1 < p < p^b$, we have

$$\mathcal{E}_0 \leq C_\ell e^{C_\ell T} \max_{j \in \{1/p, p'/p\}} \left(\|\langle \cdot \rangle^\ell f_0\|_{L^2_{x,v}}^{2j} + \sup_{t,x} \|g\|_{L^\infty_{k_0}}^{2j} T^j + \epsilon^{2j} T^j \right), \quad p' = p/(2-p). \tag{3.99}$$

The same estimate holds for $(-f)_+^\ell$ and its associated \mathcal{E}_0 .

Proof The estimate for \mathcal{E}_0 follows from the basic energy estimates and the averaging lemma in earlier sections. By Corollary 3.2, the first two terms in \mathcal{E}_0 satisfy

$$\begin{aligned} & \sup_{t \in [0, T)} \|f_+^{(\ell)}(t)\|_{L^2_{x,v}}^2 + \int_0^T \int_{\mathbb{T}^3} \|\langle \cdot \rangle^{\gamma/2} f_+^{(\ell)}\|_{H_v^s}^2 \, dx \, dt \\ & \leq \sup_{t \in [0, T)} \|\langle v \rangle^\ell f(t)\|_{L^2_{x,v}}^2 + \int_0^T \int_{\mathbb{T}^3} \|\langle \cdot \rangle^{\ell+\gamma/2} f\|_{H_v^s}^2 \, dx \, dt \\ & \leq C_\ell e^{C_\ell T} \left(\|\langle \cdot \rangle^\ell f_0\|_{L^2_{x,v}}^2 + \sup_{t,x} \|g\|_{L^\infty_{k_0}}^2 T + \epsilon^2 T \right) \triangleq C_\ell e^{C_\ell T} \mathcal{D}, \end{aligned} \tag{3.100}$$

since by (3.98) $0 \leq \Sigma(g) \leq 1 + C$. Let us concentrate on the term with the spatial fractional differentiation. Invoking Lemma 2.13, it follows that for $p \in (1, 2)$, $0 < s'' < \beta \in (0, s')$,

$$\begin{aligned} & \int_0^T \left\| (1 - \Delta_x)^{\frac{s''}{2}} \left(f_+^{(\ell)} \right)^2 \right\|_{L^p_{x,v}}^p \, d\tau \\ & \leq C \int_0^T \left\| (-\Delta_x)^{\frac{\beta}{2}} f_+^{(\ell)} \right\|_{L^2_{x,v}}^2 \, d\tau \\ & \quad + C \int_0^T \left(\left\| f_+^{(\ell)} \right\|_{L^{2p'}_{x,v}}^{2p'} + \left\| f_+^{(\ell)} \right\|_{L^{2p}_{x,v}}^{2p} \right) \, d\tau, \quad p' = \frac{p}{2-p} > 1. \end{aligned} \tag{3.101}$$

The controls of the L^{2p} - and $L^{2p'}$ -norms of $f_+^{(\ell)}$ are similar and both through suitable interpolations. First,

$$\|f_+^{(\ell)}\|_{L^{2p}_{x,v}} \leq \|f_+^{(\ell)}\|_{L^2_{x,v}}^{1-\beta_p} \|f_+^{(\ell)}\|_{L^{\xi(p)}_{x,v}}^{\beta_p}, \quad \text{where } \xi(p) = \frac{2}{2-p} > 2, \quad \beta_p = \frac{1}{p}. \tag{3.102}$$

For any $\beta > 0$, let $(\eta, \eta') = (s, \beta)$ in Lemma 2.11. Then by choosing $\xi(p) = r(s, \beta, 3)$ in that Lemma we have

$$\|f_+^{(\ell)}\|_{L^{\xi(p)}_{x,v}} \leq C \left(\int_{\mathbb{T}^3} \|f_+^{(\ell)}(x, \cdot)\|_{H_v^\beta}^2 \, dx \right)^{\frac{1}{2}} + C \left(\int_{\mathbb{R}^3} \|f_+^{(\ell)}(\cdot, v)\|_{H_x^\beta}^2 \, dv \right)^{\frac{1}{2}}. \tag{3.103}$$

Consequently, one is led to

$$\|f_+^{(\ell)}\|_{L^{2p}_{x,v}}^{2p} \leq C \|f_+^{(\ell)}\|_{L^2_{x,v}}^{2(p-1)} \left(\|(1 - \Delta_v)^{\frac{s}{2}} f_+^{(\ell)}\|_{L^2_{x,v}}^2 + \|(1 - \Delta_x)^{\frac{\beta}{2}} f_+^{(\ell)}\|_{L^2_{x,v}}^2 \right).$$

If β is in the range

$$\beta \in \left(0, \frac{\gamma}{2\ell + \gamma} s' \right), \tag{3.104}$$

then we have the following interpolation

$$\left\| (1 - \Delta_x)^{\frac{\beta}{2}} f_+^{(\ell)} \right\|_{L^2_{x,v}}^2 \leq C_{\ell,\gamma} \left(\|\langle v \rangle^{\gamma/2} f_+^{(\ell)}\|_{L^2_{x,v}}^2 + \left\| (1 - \Delta_x)^{\frac{s'}{2}} f_+^{(\ell)} \right\|_{L^2_{x,v}}^2 \right). \tag{3.105}$$

This can be seen by using the Plancherel and Young's inequalities:

$$\left\| (1 - \Delta_x)^{\frac{\beta}{2}} f_+^{(\ell)} \right\|_{L^2_{x,v}}^2 = \int_{\mathbb{R}^3} \sum_{\eta \in \mathbb{Z}^3} \langle v \rangle^{2\ell} \langle \eta \rangle^{2\beta} \left| \mathcal{F}_x \left(f_+^{(\ell)} \right) \right|^2 \, dv$$

$$\leq \int_{\mathbb{R}^3} \sum_{\eta \in \mathbb{Z}^3} \left(\frac{1}{q} \langle v \rangle^{2\ell q} + (1 - 1/q) \langle \eta \rangle^{2\beta \frac{q}{q-1}} \right) \left| \mathcal{F}_x \left(f_+^{(\ell)} \right) \right|^2 dv.$$

Take $q = \frac{2\ell + \gamma}{2\ell}$. Then the restriction on β is that

$$\beta < s' (1 - 1/q) = s' \frac{\gamma}{2\ell + \gamma}.$$

Since ξ is an increasing function in β , we obtain the corresponding range for ξ and for p by (3.102) as

$$\beta \in \left(2, r \left(s, s' \frac{\gamma}{2\ell + \gamma}, 3 \right) \right) =: (2, r^b), \quad p \in (1, 2 - 2/r^b) =: (1, p^b), \quad (3.106)$$

where $r(\cdot, \cdot, \cdot)$ is defined in Lemma 2.11. It is clear by its definition that p^b depends on ℓ, γ, s, s' . Using such parameters and combining the previous estimates, we obtain that

$$\left\| f_+^{(\ell)} \right\|_{L_{x,v}^{2p}}^{2p} \leq C \left\| f_+^{(\ell)} \right\|_{L_{x,v}^2}^{2(p-1)} \left(\left\| \langle v \rangle^{\gamma/2} f_+^{(\ell)} \right\|_{L_x^2 H_v^s}^2 + \left\| (1 - \Delta_x)^{\frac{s'}{2}} f_+^{(\ell)} \right\|_{L_{x,v}^2}^2 \right).$$

Integrating this estimate in $t \in (0, T)$ and invoking Corollary 3.2, with ℓ -moments, one is led to

$$\int_0^T \left\| f_+^{(\ell)} \right\|_{L_{x,v}^{2p}}^{2p} d\tau \leq C \mathcal{D}^p, \quad p \in (1, p^b). \quad (3.107)$$

Note that by making p close enough to 1, we have $p' \in (1, p^b)$ where p' is defined in (3.101). Therefore (3.107) also holds with p replaced by p' . Furthermore, integrating (3.105) in $t \in (0, T)$ and invoking Corollary 3.2 once more, it holds that

$$\begin{aligned} & \int_0^T \left\| (1 - \Delta_x)^{\frac{\beta}{2}} f_+^{(\ell)} \right\|_{L_{x,v}^2}^2 d\tau \\ & \leq C \int_0^T \left(\left\| f_+^{(\ell)} \langle v \rangle^{\gamma/2} \right\|_{L_{x,v}^2}^2 + \left\| (1 - \Delta_x)^{\frac{s'}{2}} f_+ \right\|_{L_{x,v}^2}^2 \right) d\tau \leq C \mathcal{D}. \end{aligned} \quad (3.108)$$

Using the estimates (3.107)-(3.108) in the estimate (3.101), we conclude that

$$\left(\int_0^T \left\| (1 - \Delta_x)^{\frac{s''}{2}} \left(f_+^{(\ell)} \right)^2 \right\|_{L_{x,v}^p}^p d\tau \right)^{\frac{1}{p}} \leq C \left(\mathcal{D}^{\frac{1}{p}} + \mathcal{D}^{\frac{p'}{p}} \right),$$

which combined with (3.100) gives (3.99).

The same estimate holds for $(-f)_+^\ell$ and its associated \mathcal{E}_0 since Corollary 3.2 applies to the absolute value of f , which dominates both the negative and positive parts of f . \square

We are now ready to build the main L^∞ -estimate for the linear equation (3.3).

Theorem 3.13 (Linear case) *Suppose $G = \mu + g \geq 0$ satisfies that*

$$\inf_{t,x} \|G\|_{L_v^1} \geq D_0 > 0, \quad \sup_{t,x} \left(\|G\|_{L_2^1} + \|G\|_{L \log L} \right) < E_0 < \infty.$$

Let $F = \mu + f \geq 0$ be a solution to Eq. (3.3) with $s \in (0, 1)$. Assume the following holds:

$$\sup_{t,x} \left\| \langle v \rangle^\gamma g \right\|_{L_v^1} \leq \delta_0, \quad \sup_{t,x} \|g\|_{L_{k_0}^\infty} \leq C, \quad \max\{8 + \gamma, 3 + 2\alpha\} < \ell \leq k_0 - 5 - \gamma.$$

Assume that the initial data satisfies

$$\|\langle v \rangle^{\ell+2} f_0\|_{L^2_{x,v}} < \infty, \quad \|\langle v \rangle^\ell f_0\|_{L^\infty_{x,v}} < \infty. \tag{3.109}$$

Additionally, assume that the solution satisfies

$$\sup_t \|\langle v \rangle^{\ell_0+\ell} f\|_{L^1_{x,v}} \leq C,$$

where ℓ_0 satisfies the bound in Proposition 3.11 (more precisely, (3.93)). Then it follows that

$$\sup_{t \in [0, T]} \|\langle v \rangle^\ell f\|_{L^\infty_{x,v}} \leq \max \left\{ 2 \|\langle v \rangle^\ell f_0\|_{L^\infty_{x,v}}, K_0^{lin} \right\},$$

where

$$K_0^{lin} := C_\ell e^{C_\ell T} \max_{1 \leq i \leq 4} \max_{j \in \{1/p, p'/p\}} \left(\|\langle v \rangle^\ell f_0\|_{L^2_{x,v}}^{2j} + \sup_{t,x} \|g\|_{L^\infty_{k_0}}^{2j} T^j + \epsilon^{2j} T^j \right)^{\frac{\beta_i-1}{a_i}},$$

$$p' = \frac{p}{2-p}. \tag{3.110}$$

Proof Choose (p, s'') close enough to $(1, 0)$ so that

$$s'' < s' \frac{\gamma}{2\ell + \gamma}, \quad s' < \frac{s}{2(s+3)}, \quad p < \min\{p^\sharp, p^\flat\},$$

where p^\sharp and p^\flat are defined in (3.58) and (3.106) respectively. Such p, s'' guarantee that Lemma 3.8, Proposition 3.11 and Proposition 3.12 hold. We implement a classical iteration scheme to prove an estimation of the L^∞ -norm for solutions. To this end, fix $K_0 > 0$ which will be specified later and introduce the increasing levels M_k as

$$M_k := K_0(1 - 1/2^k), \quad k = 0, 1, 2, \dots$$

Take $T_2 \in (0, T)$ with $T > 0$ fixed in the analysis. In order to simplify the notation, denote

$$f_k := f_{M_k, +}^{(\ell)} \quad \text{and} \quad \mathcal{E}_k := \mathcal{E}_p(M_k, 0, T), \quad k = 0, 1, 2, \dots$$

Choose $M = M_{k-1} < M_k = K$ and $T_1 = 0$ in Proposition 3.11. Then

$$\mathcal{E}_p(M_{k-1}, 0, T_2) \leq \mathcal{E}_p(M_{k-1}, 0, T) = \mathcal{E}_{k-1}, \quad k = 1, 2, \dots,$$

and

$$\begin{aligned} & \|f_k(T_2)\|_{L^2_{x,v}}^2 + \int_0^{T_2} \|\langle v \rangle^{\frac{\gamma}{2}} (1 - \Delta_v)^{\frac{s}{2}} f_k(\tau)\|_{L^2_{x,v}}^2 \, d\tau \\ & + \frac{1}{C_0} \left(\int_0^{T_2} \|(1 - \Delta_x)^{\frac{s''}{2}} (f_k)^2\|_{L^p_{x,v}} \, d\tau \right)^{\frac{1}{p}} \\ & \leq C \|\langle v \rangle^2 f_k(0)\|_{L^2_{x,v}}^2 + \|\langle v \rangle^2 f_k(0)\|_{L^{2p}_{x,v}}^2 + C \sum_{i=1}^4 \frac{2^{k(a_i+1)} \mathcal{E}_{k-1}^{\beta_i}}{K_0^{a_i}}. \end{aligned}$$

Taking supremum in $T_2 \in [0, T]$ one arrives at

$$\mathcal{E}_k \leq C \|\langle v \rangle^2 f_k(0)\|_{L^2_{x,v}}^2 + C \|\langle v \rangle^2 f_k(0)\|_{L^{2p}_{x,v}}^2 + C \sum_{i=1}^4 \frac{2^{k(a_i+1)} \mathcal{E}_{k-1}^{\beta_i}}{K_0^{a_i}}. \tag{3.111}$$

Terms related to the initial data vanish by setting

$$K_0 \geq 2 \left\| \langle v \rangle^\ell f_0 \right\|_{L_{x,v}^\infty}.$$

Then we are led to

$$\mathcal{E}_k \leq C \sum_{i=1}^4 \frac{2^{k(a_i+1)} \mathcal{E}_{k-1}^{\beta_i}}{K_0^{a_i}}, \quad K_0 \geq 2 \left\| \langle v \rangle^\ell f_0 \right\|_\infty. \tag{3.112}$$

Let

$$Q_0 = \max_{1 \leq i \leq 4} \left\{ 2^{\frac{a_i+1}{\beta_i-1}} \right\}, \quad \mathcal{E}_k^* = \mathcal{E}_0 (1/Q_0)^k, \quad \text{for } k = 0, 1, 2, \dots,$$

and

$$K_0 \geq K_0(\mathcal{E}_0) := \max_{1 \leq i \leq 4} \left\{ 4 C^{\frac{1}{a_i}} \mathcal{E}_0^{\frac{\beta_i-1}{a_i}} Q_0^{\frac{\beta_i}{a_i}} \right\}. \tag{3.113}$$

Then one can check via a direct computation that \mathcal{E}_k^* satisfies

$$\mathcal{E}_0^* = \mathcal{E}_0, \quad \mathcal{E}_k^* \geq C \sum_{i=1}^4 \frac{2^{k(a_i+1)} (\mathcal{E}_{k-1}^*)^{\beta_i}}{K_0^{a_i}}, \quad k = 0, 1, 2, \dots$$

By a comparison principle (since $\mathcal{E}_0 = \mathcal{E}_0^*$) one obtains that

$$\mathcal{E}_k \leq \mathcal{E}_k^* \rightarrow 0 \quad \text{as } k \rightarrow \infty,$$

since $\beta_i > 1$ (so that $Q_0 > 1$). In particular, we can infer that

$$\sup_{t \in [0, T)} \left\| f_{K_0, +}^{(\ell)}(t, \cdot, \cdot) \right\|_{L_{x,v}^2} = 0 \quad \text{for } K_0 = \max \left\{ 2 \left\| \langle v \rangle^\ell f_0 \right\|_{L_{x,v}^\infty}, K_0(\mathcal{E}_0) \right\}, \tag{3.114}$$

which implies that

$$\sup_{t \in [0, T)} \left\| \langle v \rangle^\ell f_+(t, \cdot, \cdot) \right\|_{L_{x,v}^\infty} \leq K_0. \tag{3.115}$$

Thanks to the estimates on \mathcal{E}_0 given by Proposition 3.12, it follows that

$$\begin{aligned} K_0(\mathcal{E}_0) &\leq C_\ell e^{C_\ell T} \max_{1 \leq i \leq 4} \max_{j \in \{1/p, p'/p\}} \left(\left\| \langle \cdot \rangle^\ell f_0 \right\|_{L_{x,v}^2}^{2j} + \sup_{t,x} \|g\|_{L_{k_0}^\infty}^{2j} T^j + \epsilon^{2j} T^j \right)^{\frac{\beta_i-1}{a_i}} \\ &=: K_0^{lin}, \quad p' = \frac{p}{2-p}. \end{aligned}$$

Thus, given (3.114) and (3.115), one is led to

$$\sup_t \left\| \langle v \rangle^\ell f_+(t, \cdot, \cdot) \right\|_{L_{x,v}^\infty} \leq \max \left\{ 2 \left\| \langle v \rangle^\ell f_0 \right\|_{L_{x,v}^\infty}, K_0^{lin} \right\}.$$

A similar bound is also valid for $-f$ since Lemma 3.8, Propositions 3.11 and 3.12 all have their counterparts for $-f$. □

Remark 3.14 In fact, since the negative part f_- satisfies $f_- \leq \mu$, it has a Gaussian tail and

$$\sup_{t \in [0, T]} \left\| \frac{f_-}{\sqrt{\mu}} \right\|_{L_{x,v}^\infty}^2 \leq \sup_{t \in [0, T]} \|f_-\|_{L_{x,v}^\infty} \leq \max \left\{ 2 \left\| \langle v \rangle^\ell f_0 \right\|_\infty, K_0(\mathcal{E}_0) \right\}.$$

4 Linear Local Well-Posedness

In this section we establish the local well-posedness of a modified linearized Boltzmann equation. The ambient space for contraction is

$$\mathcal{X}_k = L^\infty(0, T; L^2_x L^2_k(\mathbb{T}^3 \times \mathbb{R}^3)), \tag{4.1}$$

where conditions on k will naturally appear along the argument and the weight is only in v . We will find a solution in the subset \mathcal{H}_k defined by

$$\mathcal{H}_k = \{g \in \mathcal{X}_k \mid \mu + g \geq 0\}. \tag{4.2}$$

The precise form of the equation under consideration in this section is

$$\partial_t f + v \cdot \nabla_x f = \epsilon L_\alpha(\mu + f) + \mathcal{Q}(\mu + g\chi(\langle v \rangle^{k_0} g), f) + \mathcal{Q}(g\chi(\langle v \rangle^{k_0} g), \mu), \tag{4.3}$$

where $g \in \mathcal{H}_k$ and we recall the definition of the operator L_α defined in (3.2):

$$L_\alpha \psi = -\left(\langle v \rangle^{2\alpha} \psi - \nabla_v \cdot (\langle v \rangle^{2\alpha} \nabla_v \psi)\right), \quad \alpha \geq 0, \tag{4.4}$$

where α , to be specified later, is chosen to close the energy estimate. The cutoff function χ satisfies

$$\chi(a) = \begin{cases} 1, & |a| \leq \delta_0, \\ 0, & |a| \geq 2\delta_0, \\ \text{smooth,} & \text{for all } a \in \mathbb{R}, \end{cases} \quad 0 \leq \chi \leq 1. \tag{4.5}$$

Note that since $g \in \mathcal{H}_k$, we have $\mu + g\chi(\langle v \rangle^{k_0} g) \geq 0$.

The main well-posedness statement for the linear equation (4.3) is

Theorem 4.1 *Suppose $s \in (0, 1)$ and $\epsilon \in [0, 1]$. Let $g \in \mathcal{H}_k$ and let χ be the cutoff function defined in (4.5).*

(a) *Let $T > 0$ be arbitrary but fixed. Suppose the initial data $f_0 \in \mathcal{H}_k$ and assume that*

$$k_0 > \max\{7 + \gamma, (k - \alpha)^+ + \gamma + 3 + 2s\}, \quad k > 8 + \gamma, \tag{4.6}$$

where $(k - \alpha)^+$ is the positive part of $k - \alpha$. Suppose δ_0 is small enough such that (4.18) is satisfied. Then Eq. (4.3) has a unique solution $f \in \mathcal{H}_k$.

(b) *In addition to the assumptions in part (a) we further assume that δ_0 satisfies (4.26) and*

$$k_0 > \max\{\ell_0 + 15 + 2\gamma, \ell_0 + 10 + 2\alpha + \gamma\}, \tag{4.7}$$

where ℓ_0 is the weight chosen in Theorem 3.13 (more precisely, (3.93)). Then there exist ϵ_ and δ_* small enough such that for any $T \in (0, 1)$, if the initial data satisfies*

$$\left\| \langle v \rangle^{k_0 - \ell_0 - 5 - \gamma} f_0 \right\|_{L^2_{x,v}} < \infty, \quad \left\| \langle v \rangle^{k_0 - \ell_0 - 7 - \gamma} f_0 \right\|_{L^\infty_{x,v}} < \delta_*, \tag{4.8}$$

then for any $0 \leq \epsilon \leq \epsilon_$, the solution obtained in part (a) satisfies*

$$\left\| \langle v \rangle^{k_0 - \ell_0 - 7 - \gamma} f \right\|_{L^\infty([0, T] \times \mathbb{T}^3 \times \mathbb{R}^3)} \leq \delta_0, \quad \forall T \in (0, 1).$$

The choice of ϵ_ , δ_* only depends on γ, s, k_0 .*

Proof (a) We will use a similar strategy of applying the Hahn-Banach theorem as in [12] to obtain a solution in \mathcal{H}_k . Denote \mathcal{T} as the operator

$$\mathcal{T}h = -\partial_t h - v \cdot \nabla_x h - \left(\epsilon L_\alpha + \mathcal{Q}(\mu + g\chi(\langle v \rangle^{k_0} g), \cdot) \right)^* h,$$

where the adjoint is taken with respect to the inner product of $L_x^2 L_k^2(\mathbb{T}^3 \times \mathbb{R}^3)$ for each time t .

The main step is to show the coercivity of \mathcal{T} on test functions. Let \mathcal{S} be the test function space given by

$$\mathcal{S} = C_0^\infty((-\infty, T]; C^\infty(\mathbb{T}^3; C_c^\infty(\mathbb{R}^3))),$$

and for $h \in \mathcal{S}$ denote

$$\langle \mathcal{T}h, h \rangle_k := \iint \langle v \rangle^{2k} h \mathcal{T}h \, dx \, dv.$$

Then

$$\begin{aligned} \langle \mathcal{T}h, h \rangle_k &= -\frac{1}{2} \frac{d}{dt} \|h\|_{L_x^2 L_k^2}^2 + \epsilon \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \langle v \rangle^{2k} (\langle v \rangle^{2\alpha} h - \nabla_v \cdot (\langle v \rangle^{2\alpha} \nabla_v h)) h \, dx \, dv \\ &\quad - \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \mathcal{Q}(\mu + g\chi(\langle v \rangle^{k_0} g), h) h \langle v \rangle^{2k} \, dx \, dv. \end{aligned} \tag{4.9}$$

The bound of each term is as follows. First,

$$\begin{aligned} &\epsilon \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \langle v \rangle^{2k} (\langle v \rangle^{2\alpha} h - \nabla_v \cdot (\langle v \rangle^{2\alpha} \nabla_v h)) h \\ &\geq \frac{\epsilon}{2} \|h\|_{L_x^2 L_{k+\alpha}^2}^2 + \frac{\epsilon}{2} \iint_{\mathbb{T}^3 \times \mathbb{R}^3} |\langle v \rangle^{\alpha+k} \nabla_v h|^2 \, dx \, dv - C_k \epsilon \|h\|_{L_x^2 L_k^2}^2. \end{aligned} \tag{4.10}$$

For ease of notation, denote

$$T_0^* = \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \mathcal{Q}(\mu + g\chi(\langle v \rangle^{k_0} g), h) h \langle v \rangle^{2k} \, dx \, dv. \tag{4.11}$$

It is clear that T_0^* has a similar structure as T_0 in (3.8). Hence we first get a similar bound as in (3.10):

$$\begin{aligned} T_0^* &\leq -\left(\gamma_0 - C_k \sup_x \|g\chi\|_{L_v^1} \right) \left\| \langle v \rangle^{k+\gamma/2} h \right\|_{L_{x,v}^2}^2 \\ &\quad + \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} \int_{\mathbb{S}_+^2} b(\cos \theta) |v - v_*|^\gamma (\mu_* + g_* \chi_*) \frac{|h| \langle v \rangle^k}{\langle v \rangle^k} |h'| \langle v' \rangle^k \left(\langle v' \rangle^k - \langle v \rangle^k \cos^k \frac{\theta}{2} \right) d\bar{\mu}. \end{aligned} \tag{4.12}$$

However, unlike (3.11), we cannot apply Proposition 2.8 directly since having a bound depending on an L_k^1 -norm of g is unwarranted. Instead we revise the proof of Proposition 2.8 to obtain a proper bound. To this end, we make a similar decomposition as in (2.15) by using Lemma 2.7:

$$\begin{aligned} &\int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} \int_{\mathbb{S}_+^2} b(\cos \theta) |v - v_*|^\gamma (\mu_* + g_* \chi_*) \frac{|h| \langle v \rangle^k}{\langle v \rangle^k} |h'| \langle v' \rangle^k \left(\langle v' \rangle^k - \langle v \rangle^k \cos^k \frac{\theta}{2} \right) d\bar{\mu} \\ &= \sum_{n=1}^5 \Gamma_n^*. \end{aligned} \tag{4.13}$$

Estimates for Γ_1^* , Γ_4^* and Γ_5^* are the same as in the proof of Proposition 2.8, which combined with part (b) of Proposition 2.9 gives

$$\begin{aligned} |\Gamma_1^*| + |\Gamma_4^*| + |\Gamma_5^*| &\leq C_k \left(1 + \sup_x \|g\chi\|_{L_{4+\gamma}^1} \right) \left\| \langle v \rangle^k h \right\|_{L_{x,v}^2}^2 \\ &\leq C_k \left(1 + \sup_x \|g\chi\|_{L_{k_0}^\infty} \right) \left\| \langle v \rangle^k h \right\|_{L_{x,v}^2}^2, \end{aligned} \tag{4.14}$$

by taking k_0 large enough such that $k_0 > 7 + \gamma$. The bounds for Γ_2^* and Γ_3^* are trickier, since as mentioned before we want to avoid introducing the L_k^1 -norm of g . We do so by using the extra weight $\langle v \rangle^\alpha$ from the regularizing term in (4.3) to compensate for the loss of weights. The term Γ_2^* is given by

$$\begin{aligned} \Gamma_2^* &= \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}_+^2} b(\cos \theta) |v - v_*|^\gamma \langle v_* \rangle^k \sin^k \left(\frac{\theta}{2} \right) (\mu_* + g_* \chi_*) h \left(\langle v' \rangle^k h' \right) d\sigma dv_* dv dx \\ &= \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}_+^2} b(\cos \theta) |v - v_*|^\gamma \langle v_* \rangle^k \sin^k \left(\frac{\theta}{2} \right) \mu_* h \left(\langle v' \rangle^k h' \right) d\sigma dv_* dv dx \\ &\quad + \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}_+^2} b(\cos \theta) |v - v_*|^\gamma \langle v_* \rangle^k \sin^k \left(\frac{\theta}{2} \right) g_* \chi_* h \left(\langle v' \rangle^k h' \right) d\sigma dv_* dv dx \\ &\leq C_k \left\| \langle v \rangle^k h \right\|_{L_{x,v}^2}^2 + C_k \left(\sup_x \left\| \langle v \rangle^{k_0-2+} g\chi \right\|_{L_v^1} \right) \|h\|_{L_x^2 L_{k+2\gamma+2+}^{2-k_0}} \|h\|_{L_x^2 L_k^2} \\ &\quad + C_k \left(\sup_x \left\| \langle v \rangle^{k_0-3+} g\chi \right\|_{L_v^1} \right) \|h\|_{L_x^2 L_{2k+\gamma+3+}^{2-k_0}} \|h\|_{L_x^2 L_\gamma^2}, \end{aligned}$$

where 3^+ denotes any number close to and larger than 3. In the above estimate we have applied the bound

$$\langle v_* \rangle \sin \frac{\theta}{2} \leq 2 \langle v \rangle + \langle v' \rangle, \quad k_0 > 4 + \gamma.$$

Since by (4.6),

$$k_0 \geq 7 + \gamma > 5 + \gamma, \quad k + \gamma + 3 - \alpha < k_0, \tag{4.15}$$

it holds that

$$\begin{aligned} \Gamma_2^* &\leq C_k \left\| \langle v \rangle^k h \right\|_{L_{x,v}^2}^2 + C_k \left(\sup_x \|g\chi\|_{L_{k_0}^\infty} \right) \left\| \langle v \rangle^k h \right\|_{L_{x,v}^2}^2 \\ &\quad + C_k \left(\sup_x \|g\chi\|_{L_{k_0}^\infty} \right) \left\| \langle v \rangle^{k+\alpha} h \right\|_{L_{x,v}^2} \left\| \langle v \rangle^\gamma h \right\|_{L_{x,v}^2} \\ &\leq \left(C_k + C_{k,\epsilon} \sup_x \|g\chi\|_{L_{k_0}^\infty} \right) \left\| \langle v \rangle^k h \right\|_{L_{x,v}^2}^2 + \frac{\epsilon}{2} \sup_{\mathbb{T}^3} \|g\chi\|_{L_{k_0}^\infty} \left\| \langle v \rangle^{k+\alpha} h \right\|_{L_{x,v}^2}^2. \end{aligned} \tag{4.16}$$

The same bound applied to Γ_3^* , which combined with (4.14) and (4.16) gives

$$\begin{aligned} T_0^* &\leq - \left(\gamma_0 - C_k \sup_{\mathbb{T}^3} \|g\chi\|_{L_{k_0}^\infty} \right) \left\| \langle v \rangle^{k+\gamma/2} h \right\|_{L_{x,v}^2}^2 \\ &\quad + C_{k,\epsilon} \left(1 + \sup_x \|g\chi\|_{L_{k_0}^\infty} \right) \left\| \langle v \rangle^k h \right\|_{L_{x,v}^2}^2 + \frac{\epsilon}{2} \sup_{\mathbb{T}^3} \|g\chi\|_{L_{k_0}^\infty} \left\| \langle v \rangle^{k+\alpha} h \right\|_{L_{x,v}^2}^2. \end{aligned}$$

Combining estimates of all the three terms in $\langle Th, h \rangle_k$ we obtain that

$$\begin{aligned} \langle Th, h \rangle_k &\geq -\frac{1}{2} \frac{d}{dt} \|h\|_{L^2_k(\mathbb{T}^3 \times \mathbb{R}^3)}^2 + \left(\gamma_0 - C_k \sup_{\mathbb{T}^3} \|g\chi\|_{L^\infty_{k_0}(\mathbb{R}^3)} \right) \left\| \langle v \rangle^{k+\gamma/2} h \right\|_{L^2_{x,v}}^2 \\ &\quad + \frac{\epsilon}{2} \left(1 - \sup_{\mathbb{T}^3} \|g\chi\|_{L^\infty_{k_0}} \right) \left\| \langle v \rangle^{k+\alpha} h \right\|_{L^2_x H^1_v}^2 - C_{k,\epsilon} \|h\|_{L^2_x L^2_k}^2 \\ &\geq -\frac{1}{2} \frac{d}{dt} \|h\|_{L^2_x L^2_k}^2 + \frac{\epsilon}{4} \left\| \langle v \rangle^{k+\alpha} h \right\|_{L^2_x H^1_v}^2 - C_{k,\epsilon} \|h\|_{L^2_x L^2_k}^2, \end{aligned} \tag{4.17}$$

by taking

$$\delta_0 < \min\{1/2, \gamma_0/(2C_k)\}. \tag{4.18}$$

Note that the restriction of δ_0 is independent of ϵ . By Gronwall’s inequality, we have for any $t \in [0, T]$,

$$\int_t^T e^{2C_{k,\epsilon}\tau} \langle Th, h \rangle_k \, d\tau \geq \frac{1}{2} e^{2C_{k,\epsilon}t} \|h(t, \cdot, \cdot)\|_{L^2_x L^2_k}^2 + \frac{\epsilon}{4} \int_t^T e^{2C_{k,\epsilon}\tau} \left\| \langle v \rangle^{k+\alpha} h \right\|_{L^2_x H^1_v}^2 \, d\tau. \tag{4.19}$$

Note the following bounds:

$$\int_t^T e^{2C_{k,\epsilon}\tau} \langle Th, h \rangle_k \, d\tau \leq \left(\int_0^T e^{2C_{k,\epsilon}\tau} \|Th\|_{L^2_x H^{k-\alpha}}^2 \, d\tau \right)^{1/2} \left(\int_t^T e^{2C_{k,\epsilon}\tau} \left\| \langle v \rangle^{k+\alpha} h \right\|_{L^2_x H^1_v}^2 \, d\tau \right)^{1/2}$$

and

$$\int_t^T e^{2C_{k,\epsilon}\tau} \langle Th, h \rangle_k \, d\tau \leq \left(\sup_{t \in [0, T]} \left\| \langle v \rangle^k h \right\|_{L^2_{x,v}} \right) \int_0^T e^{2C_{k,\epsilon}\tau} \|Th\|_{L^2_x L^2_k} \, d\tau.$$

These together with (4.19) give

$$\sup_{t \in [0, T]} \|h(t, \cdot, \cdot)\|_{L^2_x L^2_k} \leq 2 \int_0^T e^{2C_{k,\epsilon}\tau} \|Th\|_{L^2_x L^2_k} \, d\tau,$$

which further implies that

$$\int_t^T e^{2C_{k,\epsilon}\tau} \langle Th, h \rangle_k \, d\tau \leq 2 \left(\int_0^T e^{2C_{k,\epsilon}\tau} \|Th\|_{L^2_x L^2_k} \, d\tau \right)^2.$$

As a consequence,

$$\frac{\epsilon}{4} \int_t^T e^{2C_{k,\epsilon}\tau} \left\| \langle v \rangle^{k+\alpha} h \right\|_{L^2_x H^1_v}^2 \, d\tau \leq 2 \left(\int_0^T e^{2C_{k,\epsilon}\tau} \|Th\|_{L^2_x L^2_k} \, d\tau \right)^2.$$

Moreover, we have

$$\frac{\epsilon^2}{16} \int_0^T e^{2C_{k,\epsilon}\tau} \left\| \langle v \rangle^{k+\alpha} h \right\|_{L^2_x H^1_v}^2 \, d\tau \leq \int_0^T e^{2C_{k,\epsilon}\tau} \|Th\|_{L^2_x H^{k-\alpha}}^2 \, d\tau.$$

This also implies that

$$\sup_{t \in [0, T]} \|h(t, \cdot, \cdot)\|_{L^2_k(\mathbb{T}^3 \times \mathbb{R}^3)}^2 \leq 2 \int_0^T e^{2C_{k,\epsilon}\tau} \langle Th, h \rangle_k \, d\tau$$

$$\begin{aligned} &\leq 2 \left(\int_0^T e^{2C_k \tau} \|\langle v \rangle^{k+\alpha} h\|_{L_x^2 H_v^1}^2 \, d\tau \right)^{1/2} \\ &\quad \times \left(\int_0^T e^{2C_k \tau} \|\mathcal{T}h\|_{L_x^2 H_{k-\alpha}^{-1}}^2 \, d\tau \right)^{1/2} \\ &\leq \frac{8}{\epsilon} \int_0^T e^{2C_k \tau} \|\mathcal{T}h\|_{L_x^2 H_{k-\alpha}^{-1}}^2 \, d\tau. \end{aligned}$$

Define

$$\mathcal{W} = \mathcal{T}\mathcal{S} = \{w \mid w = \mathcal{T}h, h \in \mathcal{S}\}.$$

Then \mathcal{W} is a subspace of

$$\mathcal{Y}_1 = L^1(0, T; L_x^2 L_k^2(\mathbb{T}^3 \times \mathbb{R}^3)) \quad \text{and} \quad \mathcal{Y}_2 = L^2(0, T; L_x^2 H_{k-\alpha}^{-1}(\mathbb{T}^3 \times \mathbb{R}^3)).$$

Note that if we let

$$\mathcal{X}^{(1)} = \mathcal{X}_k = L^\infty(0, T; L_x^2 L_k^2(\mathbb{T}^3 \times \mathbb{R}^3)) \quad \text{and} \quad \mathcal{X}^{(2)} = L^2(0, T; L_x^2 H_{k+\alpha}^1(\mathbb{T}^3 \times \mathbb{R}^3)).$$

Then

$$\mathcal{Y}_1^* = \mathcal{X}^{(1)}, \quad \mathcal{Y}_2^* = \mathcal{X}^{(2)},$$

where the adjoint is taken in the weighted space $L^2(0, T; L_x^2 L_k^2(\mathbb{T}^3 \times \mathbb{R}^3))$. Thus for any test function $h \in \mathcal{S}$, we have shown that

$$\|h\|_{\mathcal{X}^{(1)}} + \|h\|_{\mathcal{X}^{(2)}} \leq C_\epsilon \|w\|_{\mathcal{Y}_1}, \quad \|h\|_{\mathcal{X}^{(1)}} + \|h\|_{\mathcal{X}^{(2)}} \leq C_\epsilon \|w\|_{\mathcal{Y}_2}. \tag{4.20}$$

Denote

$$R_\epsilon = -\epsilon \left(\langle v \rangle^{2\alpha} \mathbf{I} - \nabla_v \cdot (\langle v \rangle^{2\alpha} \nabla_v) \right) \mu.$$

Define the linear mapping on \mathcal{W}

$$\begin{aligned} G(w) &= \langle h_0, f_0 \rangle_k + \int_0^T \langle h, Q(g\mathcal{X}, \mu) \rangle_k \, d\tau \\ &\quad + \int_0^T \langle h, R_\epsilon \rangle_k \, d\tau, \quad \text{for any } w \in \mathcal{W} \text{ with } \mathcal{T}h = w. \end{aligned}$$

Then by (4.20),

$$\begin{aligned} |\langle h_0, f_0 \rangle_k| &\leq \|h_0\|_{L_x^2 L_v^2} \|f_0\|_{L_x^2 L_v^2} \leq \|h\|_{\mathcal{X}^{(1)}} \|f_0\|_{L_x^2 L_v^2} \\ &\leq C_{T,k,\epsilon} \|f_0\|_{L_x^2 L_v^2} \min\{\|w\|_{\mathcal{Y}_1}, \|w\|_{\mathcal{Y}_2}\} \end{aligned}$$

and

$$\begin{aligned} \left| \int_0^T \langle h, R_\epsilon \rangle_k \, d\tau \right| &\leq C_T \|h\|_{L^2(0,T;L_{x,v}^2)} \leq C_T \|h\|_{\mathcal{X}^{(2)}} \\ &\leq C_{T,k,\epsilon} \min\{\|w\|_{\mathcal{Y}_1}, \|w\|_{\mathcal{Y}_2}\}. \end{aligned}$$

By the trilinear estimate in Proposition 2.3 and (4.20), the forcing term involving $Q(g\mathcal{X}, \mu)$ satisfies

$$\left| \int_0^T \langle h, Q(g\mathcal{X}, \mu) \rangle_k \, d\tau \right| = \left| \int_0^T \iint_{\mathbb{T}^3 \times \mathbb{R}^3} Q(g\mathcal{X}, \mu) h \langle v \rangle^{2k} \, dv \, dx \, d\tau \right|$$

$$\begin{aligned} &\leq C_k \int_0^T \int_{\mathbb{T}^3} \|g\chi\|_{L^1_{(k-\alpha)^++\gamma+2s} \cap L^2} \|\langle v \rangle^{k+\alpha} h\|_{L^2_v} \, dx \, d\tau \\ &\leq C_{T,k} \left(\sup_{t,x} \|g\chi\|_{L^\infty_{k_0}} \right) \|h\|_{\mathcal{X}^{(2)}} \\ &\leq C_{T,k,\epsilon} \left(\sup_{t,x} \|g\chi\|_{L^\infty_{k_0}} \right) \min\{\|w\|_{\mathcal{Y}_1}, \|w\|_{\mathcal{Y}_2}\}, \end{aligned}$$

provided

$$(k - \alpha)^+ + \gamma + 2s + 3 < k_0. \tag{4.21}$$

This shows G is a well-defined bounded linear functional on \mathcal{W} , which then can be extended to \mathcal{Y}_1 and \mathcal{Y}_2 . Therefore, there exists $f \in \mathcal{X}^{(1)} \cap \mathcal{X}^{(2)}$ such that

$$\langle h_0, f_0 \rangle_k + \langle h, Q(g, \mu) \rangle_k + \langle h, R_\epsilon \rangle_k = \langle w, f \rangle \quad \text{for any } w \in \mathcal{W},$$

with the norm of f satisfying

$$\max\{\|f\|_{\mathcal{X}^{(1)}}, \|f\|_{\mathcal{X}^{(2)}}\} \leq C_{T,k,\epsilon} \|f_0\|_{L^2_x L^2_k} + C_{T,k,\epsilon} \left(1 + \sup_{t,x} \|g\chi\|_{L^\infty_{k_0}} \right). \tag{4.22}$$

To show that $f \in \mathcal{H}_k$, we need to prove that $\mu + f \geq 0$. This can be done similarly as in [12]. Let $F = \mu + f$ and $G = \mu + g\chi$. Then $G \geq 0$ and F satisfies

$$\partial_t F + v \cdot \nabla_x F = -\epsilon \left(\langle v \rangle^{2\alpha} \mathbf{I} - \nabla_v \cdot (\langle v \rangle^{2\alpha} \nabla_v) \right) F + Q(G, F). \tag{4.23}$$

Let $\eta : \mathbb{R} \rightarrow \mathbb{R}^+ \cup \{0\}$ be the convex and decreasing $W^{2,\infty}$ -function given by

$$\eta(x) = \frac{1}{2} (x_-)^2, \quad x_- = \min\{x, 0\}.$$

Multiply (4.23) by $\langle v \rangle^{2k} \eta'(F) = \langle v \rangle^{2k} F_-$. This gives

$$\begin{aligned} \frac{1}{2} \langle v \rangle^{2k} (\partial_t (F_-)^2 + v \cdot \nabla_x (F_-)^2) &= -\epsilon \langle v \rangle^{2\alpha+2k} (FF_-) + \langle v \rangle^{2k} \epsilon F_- \nabla_v \cdot (\langle v \rangle^{2\alpha} \nabla_v) F \\ &\quad + \langle v \rangle^{2k} F_- Q(G, F). \end{aligned}$$

The term involving $Q(G, F)$ is estimated in the same way as in Sect. 7.1 of [12]. We only need to check the regularizing terms. After integration they satisfy

$$\int_{\mathbb{R}^3} \left(-\epsilon \langle v \rangle^{2\alpha+2k} (FF_-) \right) \, dv = -\epsilon \left\| \langle v \rangle^{\alpha+k} F_- \right\|_{L^2_v}^2,$$

and

$$\begin{aligned} &\int_{\mathbb{R}^3} \left(\langle v \rangle^{2k} \epsilon F_- \nabla_v \cdot (\langle v \rangle^{2\alpha} \nabla_v F) \right) \, dv \\ &= -\epsilon \int_{\mathbb{R}^3} \nabla_v \left(\langle v \rangle^{2k} F_- \right) \cdot (\langle v \rangle^{2\alpha} \nabla_v F) \, dv \\ &= -\epsilon \int_{\mathbb{R}^3} \langle v \rangle^{2\alpha+2k} \eta''(F) |\nabla_v F|^2 \, dv - \epsilon \int_{\mathbb{R}^3} F_- \nabla_v \left(\langle v \rangle^{2k} \right) \cdot (\langle v \rangle^{2\alpha} \nabla_v F) \, dv \\ &= -\epsilon \int_{\mathbb{R}^3} \langle v \rangle^{2\alpha+2k} \eta''(F) |\nabla_v F|^2 \, dv - \epsilon \int_{\mathbb{R}^3} F_- \nabla_v \left(\langle v \rangle^{2k} \right) \cdot (\langle v \rangle^{2\alpha} \nabla_v F_-) \, dv \\ &= -\epsilon \int_{\mathbb{R}^3} \langle v \rangle^{2\alpha+2k} \eta''(F) |\nabla_v F|^2 \, dv + \frac{1}{2} \epsilon \int_{\mathbb{R}^3} F_-^2 \nabla_v \cdot \left(\langle v \rangle^{2\alpha} \nabla_v \langle v \rangle^{2k} \right) \, dv \end{aligned}$$

$$\leq C_k \epsilon \left\| \langle v \rangle^{\alpha+k-1} F_- \right\|_{L_v^2}^2 \leq \frac{\epsilon}{2} \left\| \langle v \rangle^{\alpha+k} F_- \right\|_{L_v^2}^2 + C_k \left\| \langle v \rangle^k F_- \right\|_{L_v^2}^2,$$

which only adds to the lower-order term in the energy estimate. Hence the similar estimate as in [12] holds and gives $F_- = 0$, that is, F is non-negative. Combined with (4.22) we have that $f \in \mathcal{H}_k$. The uniqueness of f is guaranteed by the basic energy estimate in Proposition 3.1. (b) Although (4.22) gives a regularization in v which can induce an L^∞ -bound of the solution by Theorem 3.13, the bound is undesirable since it depends on ϵ . Now we show the derivation of a uniform-in- ϵ bound for a smaller weight by using the De Giorgi method in Theorem 3.13.

The main step is to show that by letting $\ell = k_0 - \ell_0 - 7 - \gamma$ in Theorem 3.13, the solution from part (a) satisfies

$$\sup_{t \in (0,1)} \|\langle v \rangle^{\ell_0 + \ell} f\|_{L_{x,v}^1} \leq C,$$

where C is independent of ϵ . The main reason that ϵ enters the energy estimate in part (a) is because, in the estimates of Γ_2^* and Γ_3^* , we have to make use of the artificial regularization ϵL_α to help us control the weighted L^∞ -norm of $g\chi$. To avoid this difficulty, we lower the weight by introducing

$$k_1 = k_0 - 5 - \gamma. \tag{4.24}$$

By taking $\ell = k_1$ in Proposition 3.1, we obtain the energy estimate

$$\begin{aligned} \frac{d}{dt} \|\langle v \rangle^{k_1} f\|_{L_{x,v}^2}^2 &\leq - \left(\frac{\gamma_0}{2} - C_{k_1} \sup_x \|g\chi\|_{L_v^1} \right) \|\langle v \rangle^{k_1 + \gamma/2} f\|_{L_{x,v}^2}^2 \\ &\quad + C_{k_1} \left(1 + \sup_x \|g\chi\|_{L_{k_1+\gamma}^1} \right) \|\langle v \rangle^{k_1} f\|_{L_{x,v}^2}^2 \\ &\quad - \frac{c_0 \delta_2}{4} \int_{\mathbb{T}^3} \|\langle v \rangle^{k_1} f\|_{H_{\gamma/2}^s}^2 \, dx - \frac{\epsilon}{2} \|\langle v \rangle^{k_1 + \alpha} f\|_{L_x^2 H_v^1}^2 \\ &\quad + C_{k_1} \left(1 + \sup_x \|g\chi\|_{L_{k_1+\gamma+2s}^1 \cap L^2} \right) \|\langle v \rangle^{k_1} f\|_{L_{x,v}^2}, \quad k_1 > 8 + \gamma. \end{aligned}$$

By the embedding of weighted L^1 -norms into $L_{k_0}^\infty$, we get

$$\begin{aligned} \frac{d}{dt} \|\langle v \rangle^{k_1} f\|_{L_{x,v}^2}^2 &\leq - \left(\frac{\gamma_0}{4} - C_{k_1} \sup_x \|g\chi\|_{L_{k_0}^\infty} \right) \|\langle v \rangle^{k_1 + \gamma/2} f\|_{L_{x,v}^2}^2 \\ &\quad + C_{k_1} \left(1 + \sup_x \|g\chi\|_{L_{k_0}^\infty} \right) \|\langle v \rangle^{k_1} f\|_{L_{x,v}^2}^2 \\ &\quad - \frac{c_0 \delta_2}{4} \int_{\mathbb{T}^3} \|\langle v \rangle^{k_1} f\|_{H_{\gamma/2}^s}^2 \, dx + C_{k_1} \left(1 + \sup_x \|g\chi\|_{L_{k_0}^\infty} \right) \|\langle v \rangle^{k_1} f\|_{L_{x,v}^2}^2 \\ &\leq - \frac{\gamma_0}{4} \|\langle v \rangle^{k_1 + \gamma/2} f\|_{L_{x,v}^2}^2 + C_{k_1} \|\langle v \rangle^{k_1} f\|_{L_{x,v}^2}^2 \\ &\quad - \frac{c_0 \delta_2}{4} \|\langle v \rangle^{k_1} f\|_{L_x^2 H_{\gamma/2}^s}^2 + C_{k_1} \|\langle v \rangle^{k_1} f\|_{L_{x,v}^2}, \tag{4.25} \end{aligned}$$

by letting

$$\delta_0 \leq \min \left\{ \frac{1}{2}, \frac{\gamma_0}{4C_{k_1}} \right\}. \tag{4.26}$$

Therefore for $T \leq 1$, there exists C_1 such that

$$\sup_{t \in [0, T]} \left\| \langle v \rangle^{k_1} f \right\|_{L^2_{x,v}} \leq C_1 \left(\left\| \langle v \rangle^{k_1} f_0 \right\|_{L^2_{x,v}} + 1 \right) < \infty.$$

The constant C_1 only depends on k_0, γ, s . By interpolation we obtain the bound

$$\sup_{t \in [0, T]} \left\| \langle v \rangle^{k_1-2} f \right\|_{L^1_{x,v}} \leq 10 C_1 \left(\left\| \langle v \rangle^{k_1} f_0 \right\|_{L^2_{x,v}} + 1 \right) < \infty.$$

Given (4.7), or equivalently,

$$k_1 - \ell_0 - 2 > \max\{8 + \gamma, 3 + 2\alpha\},$$

we now apply Theorem 3.13 to obtain that

$$\sup_{t,x,v} \left\| \langle v \rangle^{k_1-\ell_0-2} f \right\|_{L^\infty_{x,v}} \leq \max \left\{ 2 \left\| \langle v \rangle^{k_1-\ell_0-2} f_0 \right\|_{L^\infty_{x,v}}, K_0^{lin} \right\}, \tag{4.27}$$

where K_0^{lin} is defined in (3.110). From the definition of K_0^{lin} , it is clear that there exist ϵ_*, T, δ_* such that if they are small enough, then

$$\sup_{t,x,v} \left\| \langle v \rangle^{k_1-\ell_0-2} f \right\|_{L^\infty_{x,v}} < \delta_0.$$

Specifically, we require that $T < 1$ and

$$C_{k_1} e^{C_{k_1}} \max_{1 \leq i \leq 4} \max_{j \in \{1/p, p'/p\}} \left(\delta_*^{2j} + \delta_0^{2j} + \epsilon_*^{2j} \right)^{\frac{\beta_i-1}{a_i}} < \delta_0, \quad \delta_* < \frac{1}{2} \delta_0.$$

It is then clear that the bounds of T, δ_* are all independent of ϵ . □

5 Nonlinear Local Theory

In this section we establish the local existence of solutions to the nonlinear Boltzmann equation

$$\partial_t f + v \cdot \nabla_x f = Q(\mu + f, \mu + f), \quad f|_{t=0} = f_0(x, v).$$

The proof is divided into three steps: first, we show the local existence of the regularized modified nonlinear Boltzmann equation which has the same form as (4.3) with g replaced by f . Next, we use the De Giorgi method to show the $L^\infty_{k_0}$ -bound of the solution, thus automatically removing the cutoff function. Finally, we use strong compactness to pass to the limit in ϵ to recover the solution to the original Boltzmann equation. This whole process will be carried out into three subsections. Note that in this section we need to restrict ourselves to the weak singularity case with $s \in (0, 1/2)$. This is due to insufficient regularization provided by the operator ϵL_α in the contraction argument (see the last step in (5.5)).

5.1 Local Existence to the Modified Boltzmann Equation (MBE)

The modified equation has the form

$$\partial_t f + v \cdot \nabla_x f = \epsilon L_\alpha(\mu + f) + Q(\mu + f\chi(\langle v \rangle^{k_0} f), \mu + f), \tag{5.1}$$

where L_α and χ are the same as in the linear equation (4.3). The local existence of solutions to (5.1) will be shown by applying the fixed-point argument in \mathcal{X}_k to the linear equation (4.3) with a suitable k .

Theorem 5.1 *Suppose $s \in (0, 1/2)$ and*

$$k_0 > \max \{ \ell_0 + 15 + 2\gamma, \ell_0 + 10 + 2\alpha + \gamma, k - \alpha + 2\gamma + 2s + 9 + \ell_0 \},$$

$$k > \max \{ 8 + \gamma, \alpha \}, \quad \alpha > \gamma + 2s + 2,$$

where ℓ_0 is the same weight in Theorem 4.1 (precise statement in (3.93)). Suppose ϵ, δ_0, f_0 satisfy the assumptions in both part (a) and part (b) in Theorem 4.1. For each such ϵ , if T is small enough (which may depend on ϵ) then (5.1) has a solution $f \in L^2_k((0, T) \times \mathbb{T}^3 \times \mathbb{R}^3)$. Moreover, f satisfies the bound

$$\left\| \langle v \rangle^{k_0 - \ell_0 - 7 - \gamma} f \right\|_{L^\infty((0, T) \times \mathbb{T}^3 \times \mathbb{R}^3)} \leq \delta_0. \tag{5.2}$$

Proof Let $k > \max \{ 8 + \gamma, \alpha \}$ and $\mathcal{H}_k \subseteq \mathcal{X}_k$ be the set defined in (4.2). For a given $g \in \mathcal{H}_k$, define the map

$$\Gamma : \mathcal{H}_k \rightarrow \mathcal{H}_k, \quad \Gamma g = f,$$

where $f \in \mathcal{H}_k$ is the solution to (4.3). Theorem 4.1 guarantees that Γ is well-defined provided δ_0, ϵ, T are small enough. Moreover, if we choose $k > \alpha$, then the assumptions in Theorem 4.1 require that

$$k_0 > \max \{ \ell_0 + 15 + 2\gamma, \ell_0 + 10 + 2\alpha + \gamma, k - \alpha + \gamma + 2 + 2s \}, \quad k > \max \{ 8 + \gamma, \alpha \}.$$

Our goal is to show that Γ is a contraction mapping on the space $\mathcal{X}_k = L^\infty(0, T; L^2_x L^2_k(\mathbb{T}^3 \times \mathbb{R}^3))$ for T small enough. Let $g, h \in \mathcal{H}_k$ and f_g, f_h be the corresponding solutions such that

$$\begin{aligned} \partial_t f_g + v \cdot \nabla_x f_g &= -\epsilon L_\alpha f_g + Q(\mu + g\chi(\langle v \rangle^{k_0} g), f_g) + Q(g\chi(\langle v \rangle^{k_0} g), \mu), \\ \partial_t f_h + v \cdot \nabla_x f_h &= -\epsilon L_\alpha f_h + Q(\mu + h\chi(\langle v \rangle^{k_0} h), f_h) + Q(h\chi(\langle v \rangle^{k_0} h), \mu). \end{aligned}$$

The difference of the two equations reads

$$\begin{aligned} &\partial_t (f_g - f_h) + v \cdot \nabla_x (f_g - f_h) \\ &= -\epsilon L_\alpha (f_g - f_h) + Q(\mu + g\chi(\langle v \rangle^{k_0} g), f_g - f_h) \\ &\quad + Q(g\chi(\langle v \rangle^{k_0} g) - h\chi(\langle v \rangle^{k_0} h), f_h) + Q(g\chi(\langle v \rangle^{k_0} g) - h\chi(\langle v \rangle^{k_0} h), \mu), \end{aligned} \tag{5.3}$$

with the zero initial data for $f_g - f_h$. Given sufficient regularity obtained in Theorem 4.1, we can now apply direct energy estimates. Multiply (5.3) by $(f_g - f_h) \langle v \rangle^{2k}$ and integrate in x, v . Then by similar estimates as for (4.17), we have

$$\begin{aligned} &\frac{1}{2} \frac{d}{dt} \|f_g - f_h\|_{L^2_x L^2_k(\mathbb{T}^3 \times \mathbb{R}^3)}^2 + \frac{\epsilon}{4} \left\| \langle v \rangle^{\alpha+k} (f_g - f_h) \right\|_{L^2_x H^1_v}^2 \\ &\leq C_{k,\epsilon} \|f_g - f_h\|_{L^2_x L^2_k(\mathbb{T}^3 \times \mathbb{R}^3)}^2 + \iint_{\mathbb{T}^3 \times \mathbb{R}^3} Q(g\chi(\langle v \rangle^{k_0} g) \\ &\quad - h\chi(\langle v \rangle^{k_0} h), f_h) (f_g - f_h) \langle v \rangle^{2k} \, dx \, dv \\ &\quad + \iint_{\mathbb{T}^3 \times \mathbb{R}^3} Q(g\chi(\langle v \rangle^{k_0} g) - h\chi(\langle v \rangle^{k_0} h), \mu) (f_g - f_h) \langle v \rangle^{2k} \, dx \, dv. \end{aligned} \tag{5.4}$$

By the trilinear estimate in Proposition 2.3, we have, for $k > \alpha$,

$$\begin{aligned}
 & \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \mathcal{Q}(g\chi(\langle v \rangle^{k_0} g) - h\chi(\langle v \rangle^{k_0} h), f_h)(f_g - f_h) \langle v \rangle^{2k} \, dx \, dv \\
 & \leq \int_{\mathbb{T}^3} \left\| g\chi(\langle v \rangle^{k_0} g) - h\chi(\langle v \rangle^{k_0} h) \right\|_{L^1_{\gamma+2s+k-\alpha} \cap L^2} \|f_h\|_{L^2_{\gamma+2s+k-\alpha}} \|f_g - f_h\|_{H^{2s}_{k+\alpha}} \, dx \\
 & \leq C \left(\sup_x \|f_h\|_{L^2_{\gamma+2s+k-\alpha}} \right) \|g - h\|_{L^2_x L^2_k} \|f_g - f_h\|_{L^2_x H^{2s}_{k+\alpha}} \\
 & \leq \frac{\epsilon}{16} \left\| \langle v \rangle^{k+\alpha} (f_g - f_h) \right\|_{L^2_x H^s_v}^2 + C_\epsilon \|g - h\|_{L^2_x L^2_k}^2, \tag{5.5}
 \end{aligned}$$

where the last step is precisely the (only) reason that we have to restrict to the weak singularity in this section. The interpolations in the estimates above require that

$$\gamma + 2s + k - \alpha \leq k_0 - \ell_0 - 9 - \gamma, \quad \gamma + 2s + k - \alpha + 2 \leq k, \quad k > 8 + \gamma.$$

A sufficient condition is

$$\alpha \geq \gamma + 2s + 2, \quad \alpha < k \leq k_0 + (\alpha - (2\gamma + 2s + 9 + \ell_0)). \tag{5.6}$$

By Proposition 3.4 in [12], the last term in (5.4) is bounded as

$$\begin{aligned}
 & \left| \iint_{\mathbb{T}^3 \times \mathbb{R}^3_v} \mathcal{Q}(g\chi(\langle v \rangle^{k_0} g) - h\chi(\langle v \rangle^{k_0} h), \mu)(f_g - f_h) \langle v \rangle^{2k} \, dx \, dv \right| \\
 & \leq C_k \left\| g\chi(\langle v \rangle^{k_0} g) - h\chi(\langle v \rangle^{k_0} h) \right\|_{L^2_x L^2_k} \|f_g - f_h\|_{L^2_x L^2_{k+\gamma}} \\
 & \leq C_k \|g - h\|_{L^2_x L^2_k} \|f_g - f_h\|_{L^2_x L^2_{k+\alpha}} \\
 & \leq C_{k,\epsilon} \|g - h\|_{L^2_x L^2_k}^2 + \frac{\epsilon}{16} \|f_g - f_h\|_{L^2_x L^2_{k+\alpha}}^2,
 \end{aligned}$$

where we have written $(f_g - f_h) \langle v \rangle^{2k} = ((f_g - f_h) \langle v \rangle^\gamma) \langle v \rangle^{2k-\gamma}$ when applying Proposition 3.4 from [12]. Combining the inequalities above, we obtain

$$\frac{1}{2} \frac{d}{dt} \|f_g - f_h\|_{L^2_x L^2_k}^2 + \frac{\epsilon}{8} \left\| \langle v \rangle^{\alpha+k} (f_g - f_h) \right\|_{L^2_x H^s_v}^2 \leq C_\epsilon \|f_g - f_h\|_{L^2_x L^2_k}^2 + C_{k,\epsilon} \|g - h\|_{L^2_x L^2_k}^2,$$

which, by choosing T small enough which may depend on ϵ , gives

$$\|f_g - f_h\|_{L^\infty(0,T;L^2_x L^2_k)}^2 \leq \frac{1}{2} \|g - h\|_{L^\infty(0,T;L^2_x L^2_k)}^2.$$

Therefore, Γ is a contraction mapping and we obtain a unique solution to the modified equation (5.1). The uniform bound in (5.2) is a direct consequence of Theorem 4.1. \square

5.2 $L^\infty_{k_0}$ -Bound of Solutions to MBE

In this part we show that the solution obtained in Theorem 5.1 is in fact a solution to the regularized Boltzmann equation

$$\partial_t f + v \cdot \nabla_x f = \epsilon L_\alpha(\mu + f) + \mathcal{Q}(\mu + f, \mu + f), \quad f|_{t=0} = f_0(x, v). \tag{5.7}$$

The main step is to prove that such a solution satisfies

$$\left\| \langle v \rangle^{k_0} f \right\|_{L^\infty((0,T) \times \mathbb{T}^3 \times \mathbb{R}^3)} \leq \delta_0. \tag{5.8}$$

This way the cutoff function automatically vanishes and we recover a solution to (5.7).

Note that f already satisfies a uniform-in- ϵ bound in (5.2). Our goal is to enhance the weight to $\langle v \rangle^{k_0}$. For a large part, the proof of (5.8) parallels that of Theorem 3.13 for the linear case. The central difference, which will manifest itself repeatedly in the proofs below, is that the moment requirement on f for the quadratic problem (5.1) is substantially lessened in comparison to that of the linear equation (4.3). This is due to the quadratic structure of the collision operator which permits us to strategically allocate moments to the appropriate entry of the collision operator. Similar as in Sect. 3, the $L^\infty_{k_0}$ -estimate is built upon various L^2 -estimates of the solution f and its level-set functions. Hence we will need to lay the ground by proving several propositions before showing the $L^\infty_{k_0}$ -estimate.

5.2.1 Local in time L^2 -Estimates

As the first step we show a uniform-in- ϵ weighted L^2 -bound of f , the solution to (5.1). The following proposition is the analog to Proposition 3.1.

Proposition 5.2 (Nonlinear uniform-in- ϵ estimate) *Let f be a solution to equation (5.1) with singularity $s \in (0, 1)$. Suppose*

$$\inf_{t,x} \left\| \mu + f \chi(\langle v \rangle^{k_0} f) \right\|_{L^1_v} \geq D_0 > 0, \quad \sup_{t,x} \left\| \mu + f \chi(\langle v \rangle^{k_0} f) \right\|_{L^1_v \cap L \log L} < E_0 < \infty. \tag{5.9}$$

Then for any $\ell \geq \frac{37+5\gamma}{2}$, the solution f satisfies, for $\delta_5 > 0$ sufficiently small,

$$\begin{aligned} \frac{d}{dt} \left\| \langle v \rangle^\ell f \right\|_{L^2_{x,v}}^2 &\leq - \left(\frac{\gamma_0}{4} - \delta_5 \sup_x \|f\|_{L^1_v} \right) \left\| \langle v \rangle^{\ell+\gamma/2} f \right\|_{L^2_{x,v}}^2 - \frac{\epsilon}{4} \left\| \langle v \rangle^{\ell+\alpha} f \right\|_{L^2_x H^1_v}^2 \\ &\quad - \left(\frac{c_0}{4} \delta_5 - C_\ell \sup_x \|f\|_{L^1_{3+\gamma+2s} \cap L^2} \right) \int_{\mathbb{T}^3} \left\| \langle v \rangle^\ell f \right\|_{H^s_{\gamma/2}}^2 dx \\ &\quad + \left(C_\ell + C \sup_x \|f\|_{L^1_{1+\gamma}} \right) \left\| \langle v \rangle^\ell f \right\|_{L^2_{x,v}}^2 + C_\ell \epsilon \|f\|_{L^2_{x,v}}. \end{aligned} \tag{5.10}$$

In particular, if the following additional conditions hold:

$$\sup_{t,x} \|f\|_{L^1_{3+\gamma+2s} \cap L^2} \leq \delta_0 < \frac{c_0 \delta_5}{8C_\ell}, \quad \ell > \max \left\{ \frac{37+5\gamma}{2}, 3 + 2\alpha \right\}, \tag{5.11}$$

then for any $\epsilon < 1$ and $t \in [0, T)$, we have

$$\left\| \langle \cdot \rangle^\ell f(t) \right\|_{L^2_{x,v}}^2 + \frac{c_0 \delta_5}{8} \int_0^t \int_{\mathbb{T}^3} \left\| \langle v \rangle^\ell f \right\|_{H^s_{\gamma/2}}^2 dx d\tau \leq e^{C_\ell t} \left(\left\| \langle \cdot \rangle^\ell f_0 \right\|_{L^2_{x,v}}^2 + \epsilon^2 T \right), \tag{5.12}$$

where the constants c_0, δ_5, C_ℓ are all independent of ϵ . Furthermore, we have the regularization in (t, x) as

$$\begin{aligned} &\int_0^T \left\| (1 - \Delta_t)^{s'/2} f \right\|_{L^2_{x,v}}^2 d\tau + \int_0^T \left\| (1 - \Delta_x)^{s'/2} f \right\|_{L^2_{x,v}}^2 d\tau \\ &\leq C \int_0^T \left(\epsilon^2 \left\| \langle v \rangle^{3+2\alpha} f \right\|_{L^2_{x,v}}^2 + \left\| (1 - \Delta_v)^{s/2} f \right\|_{L^2_{x,v}}^2 \right) dt \end{aligned}$$

$$+ C \int_0^T \left\| \langle v \rangle^{5+\gamma+2s} f \right\|_{L_{x,v}^2}^2 dt + C \|\langle v \rangle^9 f_0\|_{L_{x,v}^2}^2 + C\epsilon^2 T, \tag{5.13}$$

for any $s' < \frac{s}{2(s+3)}$.

Proof This is a direct consequence of [12, Propositions 3.2, 3.4, and Step 1 in Theorem 6.1]. Note that the cutoff function χ does not change the proofs in Propositions 3.2 and 3.4 in [12], since the coercivity is guaranteed by (5.9) and the upper bounds follow from

$$|f\chi| \leq |f|.$$

Bounds for the regularising term ϵL_α and the (t, x) -smoothing in (5.13) are both handled in the same way as in the proofs of Proposition 3.1 and Corollary 3.2. \square

The uniform L^2 -bound in Proposition 5.2 is the first place that one observes the weight difference in the \sup_x -norm compared with the linear case: the weight $\langle v \rangle^\ell$ does not appear in the \sup_x -norm in (5.10) as opposed to (3.5) in Proposition 3.1.

5.2.2 A priori L^2 -estimates for level sets

Let us proceed to show the nonlinear counterpart for the *a priori* estimates for the level sets. We recall that it is a building block for the energy functional interpolation.

Proposition 5.3 *Set $F = \mu + f \geq 0$ and $s \in (0, 1)$. Suppose k_0, δ_0 in the definition of χ in (4.5) satisfy that $k_0 > 8 + \gamma$ and δ_0 small enough such that (5.17) holds and*

$$\inf_{t,x} \left\| \mu + f\chi(\langle v \rangle^{k_0} f) \right\|_{L_v^1} \geq D_0 > 0, \quad \sup_{t,x} \left\| \mu + f\chi(\langle v \rangle^{k_0} f) \right\|_{L_v^1 \cap L \log L} < E_0 < \infty.$$

Then for any $8 + \gamma < \ell \leq k_0$,

$$\begin{aligned} & \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \mathcal{Q}(\mu + f\chi(\langle v \rangle^{k_0} f), F) f_{K,+}^{(\ell)} \langle v \rangle^\ell dv dx \\ & \leq -\frac{c_0 \epsilon_3}{4} \left\| f_{K,+}^{(\ell)} \right\|_{L_x^2 H_{\gamma/2}^s}^2 + C_\ell \left(\sup_x \|f\|_{L_{1+\gamma}^1} \right) \left\| f_{K,+}^{(\ell)} \right\|_{L_x^1 L_\gamma^1} + C_\ell (1 + K) \left\| f_{K,+}^{(\ell)} \right\|_{L_x^1 L_\gamma^1}, \end{aligned} \tag{5.14}$$

where ϵ_3 is a constant with the bound in (5.22).

Proof The proof follows from a similar argument to that of Proposition 3.3 for the linear case. We focus on removing the high moment dependence, such as in the norm $L_x^\infty L_{\ell+\gamma}^1$, in estimate (3.21). First we make a similar decomposition to that of (3.23):

$$\begin{aligned} & \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \mathcal{Q}(\mu + f\chi, F) f_{K,+}^{(\ell)} \langle v \rangle^\ell dv dx \\ & = \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \mathcal{Q}\left(\mu + f\chi, f - \frac{K}{\langle v \rangle^\ell}\right) f_{K,+}^{(\ell)} \langle v \rangle^\ell dv dx \\ & \quad + \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \mathcal{Q}\left(\mu + f\chi, \frac{\mu \langle v \rangle^\ell + K}{\langle v \rangle^\ell}\right) f_{K,+}^{(\ell)} \langle v \rangle^\ell dv dx \stackrel{\Delta}{=} \tilde{T}_1 + \tilde{T}_2, \end{aligned} \tag{5.15}$$

where we have abbreviated $f\chi(\langle v \rangle^{k_0} f)$ as $f\chi$. Similar as for T_1 in (3.23) (with G there now replaced by $\mu + f\chi$), by the regular change of variables together with (2.14) in Propositions 2.8 and 2.9, we bound \tilde{T}_1 as

$$\tilde{T}_1 \leq \frac{1}{2} \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} (\mu_* + f_* \chi_*) \left((f_{K,+}^{(\ell)}(v'))^2 \cos^{2\ell} \frac{\theta}{2} - (f_{K,+}^{(\ell)})^2 \right) b(\cos \theta) |v - v_*|^\gamma d\bar{x}$$

$$\begin{aligned}
 & + \iiint\limits_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} (\mu_* + f_* \chi_*) \frac{f_{K,+}^{(\ell)}(v)}{\langle v \rangle^\ell} f_{K,+}^{(\ell)}(v') \left(\langle v' \rangle^\ell - \langle v \rangle^\ell \cos^\ell \frac{\theta}{2} \right) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\
 \leq & -\frac{1}{2} \gamma_0 \left(1 - C \sup_x \|f \chi\|_{L^1_{3+\gamma+2s} \cap L^2} \right) \|f_{K,+}^{(\ell)}\|_{L^2_x L^2_{\gamma/2}}^2 + C_\ell (1 + \delta_0) \|f_{K,+}^{(\ell)}\|_{L^2_{x,v}}^2 \\
 & + C_\ell (1 + \delta_0) \left(\sup_x \|f\|_{L^1_{1+\gamma}} \right) \|f_{K,+}^{(\ell)}\|_{L^1_x L^1_\gamma} + C_\ell \left(1 + \sup_x \|f \chi\|_{L^1_{3+\gamma+2s} \cap L^2} \right) \|f_{\ell,+}^{(\ell)}\|_{H^{\gamma_1/2}}^2,
 \end{aligned} \tag{5.16}$$

where s_1, γ_1 are defined in (2.24) with $s_1 < s$ and $\gamma_1 < \gamma$. If we impose that

$$\delta_0 < \min \left\{ 1, \frac{1}{2C} \right\}, \tag{5.17}$$

then

$$\begin{aligned}
 \tilde{T}_1 \leq & -\frac{1}{4} \gamma_0 \|f_{K,+}^{(\ell)}\|_{L^2_x L^2_{\gamma/2}}^2 + C_\ell \|f_{K,+}^{(\ell)}\|_{L^2_{x,v}}^2 \\
 & + C_\ell \left(\sup_x \|f\|_{L^1_{1+\gamma}} \right) \|f_{K,+}^{(\ell)}\|_{L^1_x L^1_\gamma} + C_\ell \|f_{\ell,+}^{(\ell)}\|_{H^{\gamma_1/2}}^2.
 \end{aligned} \tag{5.18}$$

Next we estimate \tilde{T}_2 by writing it as

$$\begin{aligned}
 \tilde{T}_2 & = \iiint\limits_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} (\mu_* + f_* \chi_*) \frac{\mu \langle v \rangle^\ell + K}{\langle v \rangle^\ell} \left(f_{K,+}^{(\ell)}(v') \langle v' \rangle^\ell - f_{K,+}^{(\ell)}(v) \langle v \rangle^\ell \right) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\
 & = \iiint\limits_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} (\mu_* + f_* \chi_*) \left(\mu \langle v \rangle^\ell + K \right) \left(f_{K,+}^{(\ell)}(v') - f_{K,+}^{(\ell)}(v) \right) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\
 & + \iiint\limits_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} (\mu_* + f_* \chi_*) f_{K,+}^{(\ell)}(v') \frac{\mu \langle v \rangle^\ell + K}{\langle v \rangle^\ell} \left(\langle v' \rangle^\ell - \langle v \rangle^\ell \cos^\ell \frac{\theta}{2} \right) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\
 & - \iiint\limits_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} (\mu_* + f_* \chi_*) \left(\mu \langle v \rangle^\ell + K \right) f_{K,+}^{(\ell)}(v') \left(1 - \cos^\ell \frac{\theta}{2} \right) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\
 & \triangleq \tilde{T}_{2,1} + \tilde{T}_{2,2} + \tilde{T}_{2,3}.
 \end{aligned} \tag{5.19}$$

By (2.14) in Proposition 2.8 and (2.27) in Proposition 2.9, we have

$$\begin{aligned}
 \tilde{T}_{2,2} & \leq C_\ell \left(1 + \sup_x \|f \chi\|_{L^1_{4+\gamma}} \right) \|f_{K,+}^{(\ell)}\|_{L^1_x L^1_\gamma} + C_\ell (1 + \delta_0) (1 + K) \|f_{K,+}^{(\ell)}\|_{L^1_x L^1_\gamma} \\
 & \leq C_\ell (1 + K) \|f_{K,+}^{(\ell)}\|_{L^1_x L^1_\gamma}.
 \end{aligned}$$

The third term $\tilde{T}_{2,3}$ is directly bounded as

$$|\tilde{T}_{2,3}| \leq C_\ell (1 + K) \|f_{K,+}^{(\ell)}\|_{L^1_{x,v}}.$$

In order to bound $\tilde{T}_{2,1}$, we use (2.44) and a regular change of variables to obtain that

$$\begin{aligned}
 \tilde{T}_{2,1} & = \iiint\limits_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} (\mu_* + f_* \chi_*) \mu \langle v \rangle^\ell \left(f_{K,+}^{(\ell)}(v') - f_{K,+}^{(\ell)}(v) \right) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\
 & + K \iiint\limits_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} (\mu_* + f_* \chi_*) \left(f_{K,+}^{(\ell)}(v') - f_{K,+}^{(\ell)}(v) \right) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu}
 \end{aligned}$$

$$\begin{aligned} &\leq \iint_{T^3 \times \mathbb{R}^3} Q(\mu + f\chi, \mu \langle v \rangle^\ell) f_{K,+}^{(\ell)} \, dv \, dx + CK \left\| f_{K,+}^{(\ell)} \right\|_{L_x^1 L_y^1} \\ &\leq C(1 + K) \left\| f_{K,+}^{(\ell)} \right\|_{L_x^1 L_y^1}. \end{aligned}$$

Overall we have

$$\tilde{T}_2 \leq C_\ell(1 + K) \left\| f_{K,+}^{(\ell)} \right\|_{L_x^1 L_y^1}.$$

Combining the estimates for \tilde{T}_1 and \tilde{T}_2 , we obtain the first bound for the right-hand side as

$$\begin{aligned} \int_{T^3} \int_{\mathbb{R}^3} Q(F, F) f_{K,+}^{(\ell)} \langle v \rangle^\ell \, dv \, dx &\leq -\frac{1}{4} \gamma_0 \left\| f_{K,+}^{(\ell)} \right\|_{L_x^2 L_y^2}^2 + C_\ell \left(\sup_x \|f\|_{L_{1+\gamma}^1} \right) \left\| f_{K,+}^{(\ell)} \right\|_{L_x^1 L_y^1} \\ &\quad + C_\ell \left\| f_{\ell,+}^{(\ell)} \right\|_{H_{\gamma_1/2}^{s_1}}^2 + C_\ell(1 + K) \left\| f_{K,+}^{(\ell)} \right\|_{L_x^1 L_y^1}. \end{aligned} \tag{5.20}$$

Next we derive the second bound with the H^s -norm. To this end, we only need to re-estimate \tilde{T}_1 as

$$\begin{aligned} \tilde{T}_1 &\leq \iiint_{T^3 \times \mathbb{R}^6 \times \mathbb{S}^2} (\mu_* + f_* \chi_*) f_{K,+}^{(\ell)} \frac{1}{\langle v \rangle^\ell} \left(f_{K,+}^{(\ell)} \langle v \rangle^\ell - f_{K,+}^{(\ell)} \langle v \rangle^\ell \right) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\ &\leq \iiint_{T^3 \times \mathbb{R}^6 \times \mathbb{S}^2} (\mu_* + f_* \chi_*) f_{K,+}^{(\ell)} \left(f_{K,+}^{(\ell)} \langle v \rangle^\ell - f_{K,+}^{(\ell)} \right) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\ &\quad + \iiint_{T^3 \times \mathbb{R}^6 \times \mathbb{S}^2} (\mu_* + f_* \chi_*) f_{K,+}^{(\ell)} f_{K,+}^{(\ell)} \langle v \rangle^\ell \frac{1}{\langle v \rangle^\ell} \left(\langle v \rangle^\ell - \langle v \rangle^\ell \cos^\ell \frac{\theta}{2} \right) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\ &\quad + \iiint_{T^3 \times \mathbb{R}^6 \times \mathbb{S}^2} (\mu_* + f_* \chi_*) f_{K,+}^{(\ell)} f_{K,+}^{(\ell)} \langle v \rangle^\ell \left(1 - \cos^\ell \frac{\theta}{2} \right) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\ &\leq -\frac{c_0}{2} \left\| f_{K,+}^{(\ell)} \right\|_{L_x^2 H_{\gamma/2}^s}^2 + C_\ell \left(\sup_x \|f\|_{L_{1+\gamma}^1} \right) \left\| f_{K,+}^{(\ell)} \right\|_{L_x^1 L_y^1} + C_\ell \left\| f_{K,+}^{(\ell)} \right\|_{L_x^2 L_y^2}^2. \end{aligned} \tag{5.21}$$

Let ϵ_3 be a constant such that

$$C_\ell \epsilon_3 \leq c_0/8. \tag{5.22}$$

The desired bound in (5.14) is obtained by multiplying (5.20) by a small enough ϵ_3 , adding it to (5.20) and then interpolating $L_x^2 H_{\gamma_1/2}^{s_1}$ between $L_x^2 H_{\gamma/2}^s$ and $L_{x,v}^2$. \square

Remark 5.4 Although in the proof of Proposition 5.3 it seems that the cutoff function plays an essential role in removing the $\langle v \rangle^\ell$ -dependence in the L_x^∞ -norm, the above estimates in fact hold (with some modifications) even when we treat the original Boltzmann operator $Q(F, F)$. There are two ways to achieve this goal: first, if f is the solution to the modified equation obtained in Theorem 5.1 and $\ell = k_0$ (which is the case when we apply Proposition 5.3 in the later analysis), we can use the $L_{t,x,v}^\infty$ -bound of f with a lower weight $k_0 - \ell_0 - \gamma - 6$. Then the majority of the weight can be transferred to the first component of $Q(F, F)$. Thus it eliminates the need for a high moment in the L_x^∞ term. The second way is even more general, in the sense that we do not need any a priori L^∞ -bound on f . Instead we make use of the nonlinear structure and decompose the first entry in $Q(F, F)$ into

$$F = \mu + \left(f - K \langle v \rangle^\ell \right) + K \langle v \rangle^\ell,$$

and allocate all the $\langle v \rangle^\ell$ to such term and bound it using $f_{\ell,+}^{(\ell)}$. The price to pay here is to have an extra K in the coefficient in the upper bound. It does not generate any essential problem

since K is the upper bound of f which will eventually be small. However, it is more in line with the linear estimates to have homogeneity in K . Hence we opt to use the special structure of χ in the proof of Proposition 5.3.

5.2.3 Level Estimate for $-f$

Similar as the linear case, we need to show that not only $f \langle v \rangle^\ell < \delta_0$ but also

$$-f \langle v \rangle^\ell < \delta_0.$$

Hence we establish the counterpart estimates for the level set of $-f$.

Proposition 5.5 *Let $h = -f$. Suppose $F = \mu - h \geq 0$. Suppose k_0, δ_0 in the definition of χ in (4.5) satisfy that $k_0 > 8 + \gamma$ and δ_0 small enough such that (5.17) holds and*

$$\inf_{t,x} \left\| \mu - h\chi(\langle v \rangle^{k_0} h) \right\|_{L^1_v} \geq D_0 > 0, \quad \sup_{t,x} \left\| \mu - h\chi(\langle v \rangle^{k_0} h) \right\|_{L^1_2 \cap L \log L} < E_0 < \infty.$$

Then for any $s \in (0, 1)$ and $8 + \gamma < \ell \leq k_0$, the nonlinear estimate has the form

$$\begin{aligned} & - \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \mathcal{Q}(\mu - h\chi, F) h_{K,+}^{(\ell)} \langle v \rangle^\ell \, dv \, dx \\ & \leq - \frac{c_0 \epsilon_3}{4} \left\| f_{K,+}^{(\ell)} \right\|_{L^2_x H^s_{\gamma/2}}{}^2 + C_\ell \left(\sup_x \|f\|_{L^1_{1+\gamma}} \right) \left\| f_{K,+}^{(\ell)} \right\|_{L^1_x L^1_\gamma} + C_\ell (1 + K) \left\| f_{K,+}^{(\ell)} \right\|_{L^1_x L^1_\gamma}, \end{aligned} \tag{5.23}$$

where ϵ_3 is the same constant in (5.22).

Proof Decompose the term of interest in a similar way as in (5.15):

$$\begin{aligned} & - \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \mathcal{Q}(\mu - h\chi, F) h_{K,+}^{(\ell)} \langle v \rangle^\ell \, dv \, dx \\ & = \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \mathcal{Q} \left(\mu - h\chi, h - \frac{K}{\langle v \rangle^\ell} \right) h_{K,+}^{(\ell)} \langle v \rangle^\ell \, dv \, dx \\ & \quad + \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \mathcal{Q} \left(\mu - h\chi, \frac{K}{\langle v \rangle^\ell} - \mu \right) h_{K,+}^{(\ell)} \langle v \rangle^\ell \, dv \, dx. \end{aligned}$$

Since we have

$$\mu - h\chi \geq 0, \quad -h \langle v \rangle^{k_0} \chi(\langle v \rangle^{k_0} h) \leq \delta_0,$$

the same estimates in (5.15) and (5.16) apply to obtain (5.23). □

5.2.4 Level-Set Estimate for L^1 -Norm of the Collisional Operator: Quadratic Version

Proposition 5.6 *Let f be a solution to Eq. (5.1) and denote $F = \mu + f$. Then, for any $T > 0$ and*

$$s \in (0, 1), \quad \epsilon \geq 0, \quad 0 \leq j < k_0 - 5 - \gamma, \quad 8 + \gamma < \ell \leq k_0, \quad \kappa > 2, \quad K > 0,$$

it holds that

$$\int_0^T \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \left| \langle v \rangle^j (1 - \Delta_v)^{-\kappa/2} (\tilde{\mathcal{Q}}(\mu + f\chi, F) \langle v \rangle^\ell f_{K,+}^{(\ell)}) \right| \, dv \, dx \, dt$$

$$\begin{aligned} &\leq C \|\langle v \rangle^{j/2} f_{K,+}^{(\ell)}(0)\|_{L_{x,v}^2}^2 + C_\ell \left\| f_{K,+}^{(\ell)} \right\|_{L_{t,x}^2 L_j^2}^2 + C_\ell \left\| f_{K,+}^{(\ell)} \right\|_{L_{t,x}^2 H_{\gamma/2}^s}^2 \\ &\quad + C_\ell \left\| f_{K,+}^{(\ell)} \right\|_{L_{t,x}^2 L_{j+\gamma/2+1}^2}^2 + C_\ell \left(1 + K + \sup_{t,x} \|f\|_{L_{1+\gamma}^1} \right) \left\| f_{K,+}^{(\ell)} \right\|_{L_{t,x}^1 L_{j+\gamma}^1}, \end{aligned} \tag{5.24}$$

where the coefficients C, C_ℓ are independent of T and recall that

$$\tilde{Q}(\mu + f\chi, F) = Q(\mu + f\chi, F) + \epsilon L_\alpha F.$$

Furthermore, an identical estimate holds if $f_{K,+}^{(\ell)}$ is replaced by $(-f)_{K,+}^{(\ell)}$.

Proof The proof is a slight modification of that of Proposition 3.7. We only need to show the bound of

$$\int_0^T \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q(\mu + f\chi, F) \langle v \rangle^\ell f_{K,+}^{(\ell)} W_K \, dv \, dx \, dt,$$

with the aim to remove the ℓ -moment dependence in the \sup_x -norm in (3.36). The definition of W_K is in (3.38). Similar to the linear case, write

$$\begin{aligned} Q^{quad} &:= \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q(\mu + f\chi, F) \langle v \rangle^\ell f_{K,+}^{(\ell)} W_K \, dv \, dx \\ &= \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q\left(\mu + f\chi, f - \frac{K}{\langle v \rangle^\ell}\right) \langle v \rangle^\ell f_{K,+}^{(\ell)} W_K \, dv \, dx \\ &\quad + \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q\left(\mu + f\chi, \mu + \frac{K}{\langle v \rangle^\ell}\right) \langle v \rangle^\ell f_{K,+}^{(\ell)} W_K \, dv \, dx \\ &\triangleq \tilde{T}_1^+ + \tilde{T}_2^+. \end{aligned} \tag{5.25}$$

Decompose the upper bound of the first term \tilde{T}_1^+ similarly as in (3.43) with G replaced by $\mu + f\chi$:

$$\tilde{T}_1^+ \leq \tilde{T}_{1,1}^+ + \tilde{T}_{1,2}^+ + \tilde{T}_{1,3}^+. \tag{5.26}$$

The estimate for $\tilde{T}_{1,3}^+$ remains the same as for $T_{1,3}^+$ in Proposition 3.7, which gives

$$\begin{aligned} \tilde{T}_{1,3}^+ &\leq C \left(1 + \sup_x \|f\chi\|_{L_v^1} \right) \left\| f_{K,+}^{(\ell)} \right\|_{L_x^2 L_{j+\gamma/2+1}^2}^2 + C \left(1 + \sup_x \|f\chi\|_{L_{j+2+\gamma}^1} \right) \left\| f_{K,+}^{(\ell)} \right\|_{L_x^2 L_{j/2}^2}^2 \\ &\leq C \left\| f_{K,+}^{(\ell)} \right\|_{L_x^2 L_{j+\gamma/2+1}^2}^2, \quad \text{provided } j < k_0 - 5 - \gamma. \end{aligned}$$

Similar to the estimates of \tilde{T}_1 in (5.16), the bounds for $\tilde{T}_{1,1}^+$ and $\tilde{T}_{1,2}^+$ follow from the regular change of variables together with (2.14) in Proposition 2.8 and Proposition 2.9, which has the form

$$\begin{aligned} \tilde{T}_{1,1}^+ + \tilde{T}_{1,2}^+ &= \frac{1}{2} \iiint \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} (\mu_* + f_*\chi_*) \left(\left(f_{K,+}^{(\ell)}(v') \right)^2 W_K(v') \cos^{2\ell} \frac{\theta}{2} - \left(f_{K,+}^{(\ell)} \right)^2 W_K \right) \\ &\quad \times b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} + \iiint \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} (\mu_* + f_*\chi_*) \frac{f_{K,+}^{(\ell)}(v)}{\langle v \rangle^\ell} \\ &\quad \times f_{K,+}^{(\ell)}(v') W_K(v') \left(\langle v' \rangle^\ell - \langle v \rangle^\ell \cos^\ell \frac{\theta}{2} \right) b(\cos \theta) |v - v_*|^\gamma \, d\bar{\mu} \\ &\leq -\frac{1}{2} c_0 (1 - C\delta_0) \left\| f_{K,+}^{(\ell)} \sqrt{W_K} \right\|_{L_x^2 L_{\gamma/2}^2}^2 + C_\ell (1 + \delta_0) \left\| f_{K,+}^{(\ell)} \right\|_{L_{x,v}^2} \left\| f_{K,+}^{(\ell)} W_K \right\|_{L_{x,v}^2} \end{aligned}$$

$$\begin{aligned}
 &+ C_\ell (1 + \delta_0) \left(\sup_x \|f\|_{L^1_{1+\gamma}} \right) \|f_{K,+}^{(\ell)} W_K\|_{L^1_x L^1_\gamma} \\
 &+ C_\ell (1 + \delta_0) \|f_{K,+}^{(\ell)}\|_{H^s_{\gamma/2}} \|f_{K,+}^{(\ell)} W_K\|_{L^2_{\gamma/2}}.
 \end{aligned}$$

Inserting the definition of W_K , we get

$$\begin{aligned}
 \tilde{T}_{1,1}^+ + \tilde{T}_{1,2}^+ &\leq C_\ell \|f_{K,+}^{(\ell)}\|_{L^2_x L^2_j}^2 + C_\ell \|f_{K,+}^{(\ell)}\|_{L^2_x H^s_{\gamma/2}}^2 + C_\ell \|f_{K,+}^{(\ell)}\|_{L^2_x L^2_{j+\gamma/2}}^2 \\
 &+ C_\ell \left(\sup_x \|f\|_{L^1_{1+\gamma}} \right) \|f_{K,+}^{(\ell)}\|_{L^1_x L^1_{j+\gamma}}.
 \end{aligned}$$

Combining the estimates for $\tilde{T}_{1,1}^+$, $\tilde{T}_{1,2}^+$, $\tilde{T}_{1,3}^+$, we have

$$\begin{aligned}
 \tilde{T}_1^+ &\leq C_\ell \|f_{K,+}^{(\ell)}\|_{L^2_x L^2_j}^2 + C_\ell \|f_{K,+}^{(\ell)}\|_{L^2_x H^s_{\gamma/2}}^2 + C_\ell \|f_{K,+}^{(\ell)}\|_{L^2_x L^2_{j+\gamma/2+1}}^2 \\
 &+ C_\ell \left(\sup_x \|f\|_{L^1_{1+\gamma}} \right) \|f_{K,+}^{(\ell)}\|_{L^1_x L^1_{j+\gamma}}.
 \end{aligned}$$

The estimate for \tilde{T}_2^+ is similar to those for \tilde{T}_2 in (5.19) with $f_{K,+}^{(\ell)}$ replaced by $f_{K,+}^{(\ell)} W_K$. This gives

$$\tilde{T}_2^+ \leq C_\ell (1 + K) \|f_{K,+}^{(\ell)} W_K\|_{L^1_x L^1_\gamma} \leq C_\ell (1 + K) \|f_{K,+}^{(\ell)}\|_{L^1_x L^1_{j+\gamma}}.$$

The bound of \mathcal{Q}^{quad} is the combination of the bounds of \tilde{T}_1^+ , \tilde{T}_2^+ , which writes

$$\begin{aligned}
 \mathcal{Q}^{quad} &\leq C_\ell \|f_{K,+}^{(\ell)}\|_{L^2_x L^2_j}^2 + C_\ell \|f_{K,+}^{(\ell)}\|_{L^2_x H^s_{\gamma/2}}^2 + C_\ell \|f_{K,+}^{(\ell)}\|_{L^2_x L^2_{j+\gamma/2+1}}^2 \\
 &+ C_\ell \left(1 + K + \sup_x \|f\|_{L^1_{1+\gamma}} \right) \|f_{K,+}^{(\ell)}\|_{L^1_x L^1_{j+\gamma}}.
 \end{aligned}$$

The regularizing term L_α is bounded in the same way as in (3.53), which will be absorbed into the estimate for \mathcal{Q}^{quad} . Combining the estimates for \mathcal{Q}^{quad} and L_α and integrating in t gives (5.24). □

5.2.5 Time–Space–Velocity Energy Functional: Quadratic Version

Now we establish the key iterative inequality for the quadratic case which is the counterpart of Proposition 3.11.

Proposition 5.7 (Energy functional interpolation inequality) *Let $T > 0$ and $\ell_0 > 0$ be the same weight as in Theorem 4.1 (precise statement in (3.93)). Let $8 + \gamma < \ell \leq k_0$. Suppose f is a solution to (5.1) which satisfies*

$$\sup_{t,x} \|f\|_{L^1_{1+\gamma}} \leq \delta_0, \quad \sup_t \|\langle v \rangle^{\ell_0+\ell} f(t, \cdot, \cdot)\|_{L^1_{x,v}} \leq C,$$

where δ_0 satisfies the smallness condition in (5.17). Furthermore, suppose that

$$\inf_{t,x} \|\mu + f\chi(\langle v \rangle^{k_0} f)\|_{L^1_b} \geq D_0 > 0, \quad \sup_{t,x} \|\mu + f\chi(\langle v \rangle^{k_0} f)\|_{L^1_b \cap L \log L} < E_0 < \infty.$$

Then there exist p, s'' such that for any $0 \leq T_1 < T_2 \leq T, \epsilon \in [0, 1], \alpha \geq 0$ and $0 < M < K,$

$$\begin{aligned} & \|f_{K,+}^{(\ell)}(T_2)\|_{L^2_{x,v}}^2 + \int_{T_1}^{T_2} \|\langle v \rangle^{\gamma/2} (1 - \Delta_v)^{\frac{\alpha}{2}} f_{K,+}^{(\ell)}(\tau)\|_{L^2_{x,v}}^2 \, d\tau \\ & + \frac{1}{C} \left(\int_{T_1}^{T_2} \|(1 - \Delta_x)^{\frac{s''}{2}} (f_{K,+}^{(\ell)})^2\|_{L^p_{x,v}}^p \, d\tau \right)^{\frac{1}{p}} \\ & \leq 2\|\langle v \rangle^2 f_{K,+}^{(\ell)}(T_1)\|_{L^2_{x,v}}^2 + \|\langle v \rangle^2 f_{K,+}^{(\ell)}(T_1)\|_{L^{2p}_{x,v}}^2 + \frac{CK}{K-M} \sum_{i=1}^4 \frac{\mathcal{E}_p(M, T_1, T_2)^{\beta_i}}{(K-M)^{a_i}}, \end{aligned} \tag{5.27}$$

for constants $c_0 := c(\ell, s, \gamma)$ and $C := C(\ell, s, \gamma, \alpha)$. In particular, C does not depend on T_1, T_2, T . The parameters s'', p, β_i, a_i depend on ℓ, γ, s in the same way as in Proposition 3.11.

Furthermore, the estimate holds for $(-f)$ with $f_{K,+}^{(\ell)}$ replaced by $(-f)_{K,+}^{(\ell)}$.

Proof By replacing Propositions 3.3 and 3.7 with Proposition 5.3 and Propositions 5.6, the proof is the same as that of 3.11. \square

5.2.6 Baseline Level \mathcal{E}_0 and Level Set Iteration: Quadratic Case

Similar to Proposition 3.12, we now show the boundedness of the baseline case \mathcal{E}_0 which prepares the ground for the \mathcal{E}_k -iteration.

Proposition 5.8 *Suppose $s \in (0, 1), T > 0$ and $\frac{37+5\gamma}{2} < \ell \leq k_0$. Suppose f is a solution to Eqs. (5.1) and (5.9), (5.11) hold. Then the baseline energy functional \mathcal{E}_0 defined in (3.97) satisfies*

$$\mathcal{E}_0 \leq C_\ell e^{C_\ell T} \max_{j \in \{1/p, p'/p\}} \left(\|\langle \cdot \rangle^\ell f_0\|_{L^2_{x,v}}^{2j} + \epsilon^{2j} T^j \right), \quad p' = p/(2-p). \tag{5.28}$$

Proof The proof follows a similar line as for Proposition 3.12. We only need to replace the linear energy estimate in Corollary 3.2 with its nonlinear counterpart in Proposition 5.2. The proof of the x -regularizing term in Proposition 3.12 applies directly since it holds for general functions rather than merely solutions to any equation. \square

The $L_{k_0}^\infty$ -bound now follows:

Proposition 5.9 *Let $T > 0$ and let $f(t, \cdot, \cdot)$ be a solution to (5.1) with*

$$k = k_0 + \ell_0 + 2, \quad s \in (0, 1), \quad t \in [0, T].$$

Let ℓ_0 be the weight in Theorem 4.1 (precise statement in (3.93)). Suppose

$$k_0 > \max\{\ell_0 + 2\gamma + 2s + 13, \frac{37+5\gamma}{2}\}.$$

Moreover, suppose

$$\|\langle v \rangle^{k_0} f_0\|_{L^\infty_{x,v}} \leq \delta_*, \quad \|\langle v \rangle^{k_0+\ell_0+2} f_0\|_{L^2_{x,v}} < \infty, \quad \|\langle v \rangle^{k_0-\ell_0-7-\gamma} f\|_{L^\infty_{t,x,v}} < \delta_0. \tag{5.29}$$

For any $T < 1$, if δ_0 satisfying the assumptions for Theorem 4.1, Propositions 5.2 and 5.3 (more precisely, (4.18), (4.26), (5.11) and (5.17)) and δ_* , ϵ are chosen small enough (which depends on δ_0), then we have

$$\left\| \langle v \rangle^{k_0} f \right\|_{L^\infty_{t,x,v}} < \delta_0. \tag{5.30}$$

The smallness of δ_* is independent of ϵ .

Proof Take $\ell = k_0$ in Propositions 5.7 and 5.8 and we only need to show that the assumptions in these two propositions hold. First, by the L^∞ -bound in (5.29), we have

$$\begin{aligned} \sup_{t,x} \|f\|_{L^1_{3+\gamma+2s} \cap L^2} &\leq \delta_0, \\ \inf_{t,x} \|\mu + f\chi\|_{L^1_v} &\geq \|\mu\|_{L^1_v} - \|\langle v \rangle^{-4}\|_{L^1_v} \|\langle v \rangle^4 f\chi\|_{L^\infty_{t,x,v}} \geq 8\pi \left(\frac{1}{8\pi} - \delta_0\right) > 0, \end{aligned} \tag{5.31}$$

and

$$\begin{aligned} \sup_{t,x} \left(\|F\|_{L^1_2} + \|F\|_{L \log L} \right) &< \sup_{t,x} \left(\|\mu\|_{L^1_2} + \|\mu\|_{L \log L} \right) + \sup_{t,x} \left(\|f\chi\|_{L^1_2} + \|f\chi\|_{L \log L} \right) \\ &< C_0(1 + \delta_0), \end{aligned}$$

since $k_0 - \ell_0 - 7 - \gamma > 6 + \gamma + 2s$. We are left to show that

$$\sup_t \left\| \langle v \rangle^{\ell_0+k_0} f \right\|_{L^1_{x,v}} < \infty. \tag{5.32}$$

To this end, we apply (5.12) in Proposition 5.2 and get

$$\sup_t \left\| \langle v \rangle^{\ell_0+k_0} f \right\|_{L^1_{x,v}} \leq C \sup_t \left\| \langle v \rangle^{\ell_0+k_0+2} f \right\|_{L^2_{x,v}} \leq C_T \left(1 + \left\| \langle v \rangle^{\ell_0+k_0+2} f_0 \right\|_{L^2_{x,v}} \right) < \infty.$$

Note that for (5.12) to hold, we only need the bound in (5.31). In particular, the weight in (5.31) is independent of k_0 , which again marks the essential difference between the linear equation and the nonlinear one. Combining Proposition 5.7 and Proposition 5.8 with the same argument in Theorem 3.13, we obtain that

$$\sup_t \left\| \langle v \rangle^{k_0} f \right\|_{L^\infty_{x,v}} \leq \max \left\{ 2 \|\langle v \rangle^{k_0} f_0\|_{L^\infty_{x,v}}, K_0^{quad}(\mathcal{E}_0) \right\}, \tag{5.33}$$

where

$$K_0^{quad}(\mathcal{E}_0) = C_{k_0} e^{C_{k_0} T} \max_{1 \leq i \leq 4} \max_{j \in \{1/p, p'/p\}} \left(\|\langle \cdot \rangle^{k_0} f_0\|_{L^2_{x,v}}^{2j} + \epsilon^{2j} T^j \right)^{\frac{\beta_i - 1}{\alpha_i}}, \quad p' = p/(2 - p).$$

Hence for any $0 < T < 1$, (5.30) holds by taking δ_* and ϵ small enough. □

Remark 5.10 The result in Proposition 5.9 applies to the full range of singularity where $s \in (0, 1)$. This provides the basis for extending the well-posedness result below from the mild to the strong singularity.

To pass in the limit in ϵ , we need to show that the time interval of existence is independent of ϵ . To this end, we need to find an explicit relation between the smallness of the initial data and the solution, that is, the relation between δ_* and δ_0 . This relation is derived from (5.33) by setting

$$\delta_0 \geq \max \left\{ 2 \|\langle v \rangle^{k_0} f_0\|_{L^\infty_{x,v}}, K_0^{quad}(\mathcal{E}_0) \right\}.$$

Take $T < 1$. Since $\epsilon_* < 1, \delta_0 < 1, 2/p > 1$ and $2p'/p > 1$, we get

$$K_0^{quad}(\mathcal{E}_0) \leq C_{k_0} e^{C_{k_0}} \max_{1 \leq i \leq 4} (\delta_* + \epsilon_*)^{\frac{\beta_i - 1}{a_i}} = C_{k_0} e^{C_{k_0}} (\delta_* + \epsilon_*)^{\eta_0}, \quad \eta_0 = \min_{1 \leq i \leq 4} \frac{\beta_i - 1}{a_i}.$$

Hence, we set

$$\delta_* < \min \left\{ \frac{1}{2} \delta_0, \frac{1}{2(C_{k_0} e^{C_{k_0}})^{1/\eta_0}} \delta_0^{\frac{1}{\eta_0}} \right\}.$$

Denote the function

$$\mathfrak{H} = \mathfrak{H}(x) = \frac{1}{4} \min \left\{ x, \frac{1}{(C_{k_0} e^{C_{k_0}})^{1/\eta_0}} x^{\frac{1}{\eta_0}} \right\}. \tag{5.34}$$

Then \mathfrak{H} is invertible on $[0, 1]$. With this setup we have the following corollary of Proposition 5.9:

Corollary 5.11 *Let $0 < T < 1$ and let $f(t, \cdot, \cdot)$ be a solution to (5.1) with $k = k_0 + \ell_0 + 2, s \in (0, 1)$ and $t \in [0, T]$. Let ℓ_0 be the weight in Theorem 4.1 (precise statement in (3.93)). Suppose*

$$k_0 > \max\{\ell_0 + 2\gamma + 2s + 13, \frac{37+5\gamma}{2}\}.$$

Suppose δ_0 satisfies the same bounds (4.18), (4.26), (5.11) and (5.17) as in Theorem 5.9. Let

$$\delta_* = \mathfrak{H}^{-1}(\delta_0/2), \tag{5.35}$$

where \mathfrak{H} is defined in (5.34). Moreover, suppose

$$\left\| \langle v \rangle^{k_0} f_0 \right\|_{L_{x,v}^\infty} \leq \delta_*, \quad \left\| \langle v \rangle^{k_0 + \ell_0 + 2} f_0 \right\|_{L_{x,v}^2} < \infty, \quad \left\| \langle v \rangle^{k_0 - \ell_0 - 7 - \gamma} f \right\|_{L_{t,x,v}^\infty} < \delta_0. \tag{5.36}$$

Then it holds that

$$\left\| \langle v \rangle^{k_0} f \right\|_{L_{t,x,v}^\infty} \leq \delta_0/2 < \delta_0. \tag{5.37}$$

We summarize the above results and state the local well-posedness of the regularized Eq. (5.7).

Theorem 5.12 *Suppose $s \in (0, 1/2)$ and*

$$k_0 > \max \left\{ \ell_0 + 15 + 2\gamma, \ell_0 + 10 + 2\alpha + \gamma, \frac{37+2\gamma}{2} \right\}, \quad \alpha > 2\ell_0 + 2\gamma + 2s + 11,$$

where ℓ_0 is the same weight in Theorem 4.1, which only depends on s (precise statement in (3.93)). Suppose

$$\left\| \langle v \rangle^{k_0} f_0 \right\|_{L_{x,v}^\infty} \leq \delta_*, \quad \left\| \langle v \rangle^{k_0 + \ell_0 + 2} f_0 \right\|_{L_{x,v}^2} < \infty.$$

with δ_ defined in (5.35) and δ_0 satisfying the same bounds as in Theorem 5.9. Then for any $T < 1$, there exists ϵ_* such that for any $\epsilon \leq \epsilon_*$, Eq. (5.7) has a solution f satisfying*

$$\left\| \langle v \rangle^{k_0} f \right\|_{L^\infty([0,T] \times \mathbb{T}^3 \times \mathbb{R}^3)} \leq \delta_0/2 < \delta_0. \tag{5.38}$$

Proof Take $k = k_0 + \ell_0 + 2$ in Theorem 5.1. Then the combination of Theorem 5.1 and Proposition 5.9 shows that there exists T_ϵ , which may depend on ϵ , such that (5.7) has a solution f which satisfies (5.38). We claim that such T_ϵ can be extended to T independent of ϵ . Indeed, by Corollary 5.11 and Theorem 5.1 we first extend T_ϵ to \tilde{T}_ϵ , where \tilde{T}_ϵ is the largest interval such that

$$\left\| \langle v \rangle^{k_0} f \right\|_{L^\infty([0, \tilde{T}_\epsilon] \times \mathbb{T}^3 \times \mathbb{R}^3)} < \delta_0.$$

Such a bound, together with the basic L^2 -estimate in Proposition 5.2, the L^2 -level-set estimate in Proposition 5.3 and the L^∞ -estimate in Proposition 5.9 that are all independent of ϵ , gives

$$\left\| \langle v \rangle^{k_0} f \right\|_{L^\infty([0, \tilde{T}_\epsilon] \times \mathbb{T}^3 \times \mathbb{R}^3)} \leq \delta_0/2 < \delta_0.$$

Hence \tilde{T}_ϵ can be continued to the maximal interval $[0, T)$ for any $T < 1$. □

We are ready to pass to the limit and obtain a local solution to the original Boltzmann equation (1.1).

Theorem 5.13 *Suppose $s \in (0, 1/2)$ and*

$$k_0 > 5\ell_0 + 32 + 5\gamma + 4s,$$

where ℓ_0 is the same weight in Theorem 4.1 (precise statement in (3.93)). Suppose f_0 satisfies

$$\left\| \langle v \rangle^{k_0} f_0 \right\|_{L^\infty_{x,v}} \leq \delta_*, \quad \left\| \langle v \rangle^{k_0 + \ell_0 + 2} f_0 \right\|_{L^2_{x,v}} < \infty,$$

where δ_* is defined in (5.35) with δ_0 satisfying the same bounds as in Theorem 5.9. Then for any $T < 1$, the nonlinear Boltzmann equation (1.1) has a unique solution $f \in L^\infty(0, T; L^2_{k_0 + \ell_0 + 2}(\mathbb{T}^3 \times \mathbb{R}^3))$. Moreover, f satisfies the bound

$$\left\| \langle v \rangle^{k_0} f \right\|_{L^\infty([0, T_0] \times \mathbb{T}^3 \times \mathbb{R}^3)} \leq \delta_0/2 < \delta_0. \tag{5.39}$$

Proof Denote f^ϵ as the local solution to (5.7). By Proposition 5.2 and (5.38), we obtain the uniform-in- ϵ bound of f^ϵ in the following space:

$$L^\infty_{k_0}((0, T) \times \mathbb{T}^3 \times \mathbb{R}^3) \cap L^\infty(0, T; L^2_{k_0 + \ell_0 + 2}(\mathbb{T}^3 \times \mathbb{R}^3)) \cap H^{s'}((0, T) \times \mathbb{T}^3; H^s_{k_0 + \ell_0 + 2}(\mathbb{R}^3)), \quad s' < \frac{s}{2(s+3)}.$$

We can extract a subsequence, still denoted as f^ϵ such that

$$\left\| f^\epsilon \right\|_{L^\infty_{k_0}((0, T) \times \mathbb{T}^3 \times \mathbb{R}^3)} \leq \delta_0/2. \tag{5.40}$$

By the uniform polynomial decay and a diagonal argument, we have

$$f^\epsilon \rightarrow f \quad \text{strongly in } L^2_{t,x} L^2_{\ell_0 + 2}((0, T) \times \mathbb{T}^3 \times \mathbb{R}^3). \tag{5.41}$$

Such strong convergence then implies the convergence of $Q(f^\epsilon, f^\epsilon)$ to $Q(f, f)$ as distributions. Indeed, if we take $\phi \in C^\infty_c(\mathbb{R}^3)$ as a test function, then

$$\begin{aligned} & \left\| \int_{\mathbb{R}^3} Q(f^\epsilon, f^\epsilon) \phi(v) \, dv - \int_{\mathbb{R}^3} Q(f, f) \phi(v) \, dv \right\|_{L^2_{t,x}} \\ &= \left\| \iiint_{\mathbb{R}^6 \times \mathbb{S}^2} b(\cos \theta) (f^\epsilon(v_*) f^\epsilon(v) - f(v_*) f(v)) (\phi(v') - \phi(v)) |v - v_*|^\gamma \, d\sigma \, dv_* \, dv \right\|_{L^2_{t,x}} \end{aligned}$$

$$\begin{aligned}
 &\leq \|\nabla_v \phi\|_{L_v^\infty} \left\| \iint_{\mathbb{R}^3 \times \mathbb{R}^3} |f^\epsilon(v_*) f^\epsilon(v) - f(v_*) f(v)| |v - v_*|^\gamma \, dv_* \, dv \right\|_{L_{t,x}^2} \\
 &\leq C \|\nabla_v \phi\|_{L_v^\infty} \left\| \iint_{\mathbb{R}^3 \times \mathbb{R}^3} |f^\epsilon(v_*) - f(v_*)| |f(v)| |v - v_*|^\gamma \, dv_* \, dv \right\|_{L_{t,x}^2} \\
 &\quad + C \|\nabla_v \phi\|_{L_v^\infty} \left\| \iint_{\mathbb{R}^3 \times \mathbb{R}^3} |f^\epsilon(v) - f(v)| |f(v_*)| |v - v_*|^\gamma \, dv_* \, dv \right\|_{L_{t,x}^2} \\
 &\leq C \|\nabla_v \phi\|_{L_v^\infty} \left(\sup_{t,x} \|f^\epsilon\|_{L_v^\infty} \right) \|f^\epsilon - f\|_{L_{t,x}^2 L_v^2} \rightarrow 0 \quad \text{as } \epsilon \rightarrow 0.
 \end{aligned}$$

Therefore we obtain a solution f to the nonlinear Boltzmann equation (1.1), where f lives in the space

$$L_{k_0}^\infty((0, T) \times \mathbb{T}^3 \times \mathbb{R}^3) \cap L^\infty(0, T; L_k^2(\mathbb{T}^3 \times \mathbb{R}^3)) \cap H^{s'}((0, T) \times \mathbb{T}^3; H_{k_0+\ell_0+2}^s(\mathbb{R}^3)).$$

□

6 Nonlinear Global Theory

In this section we extend the local-in-time result of the previous section to global, thus proving the main theorem for the weakly singular case. The key step is to use the spectral theory of the linearised Boltzmann operator for the hard potential case.

In the sequel \mathcal{L} stands for the operator

$$\mathcal{L}f = Q(\mu, f) + Q(f, \mu) - v \cdot \nabla_x f.$$

The nonlinear Boltzmann equation is recast as

$$\partial_t f = \mathcal{L}f + Q(f, f), \quad (t, x, v) \in (0, T) \times \mathbb{T}^3 \times \mathbb{R}^3. \tag{6.1}$$

We recall the consequence of the spectral property of \mathcal{L} shown in Theorem 5.8 in [12]:

Theorem 6.1 ([12]) *Let h be the solution to the linear equation*

$$\partial_t h = \mathcal{L}h, \quad h|_{t=0} = h^{in},$$

where h^{in} has zero mass, momentum and energy. Let $\ell > \frac{5\gamma+37}{2}$ so that the spectral gap of \mathcal{L} (Theorem 4.4 in [12]) holds. Then there exists $T_0 > 0$ such that

$$\int_0^{T_0} \left\| \langle v \rangle^\ell f(t, \cdot, \cdot) \right\|_{L_{x,v}^2}^2 \, dt \leq C \left\| (I - \Delta_v)^{-s/2} \left(\langle v \rangle^\ell h^{in} \right) \right\|_{L_{x,v}^2}^2, \tag{6.2}$$

and for any $t \geq T_0$,

$$\left\| \langle v \rangle^\ell f(t, \cdot, \cdot) \right\|_{L_{x,v}^2} \leq C \left(\frac{1}{\sqrt{T_0}} + 1 \right) e^{-\lambda t} \left\| (I - \Delta_v)^{-s/2} \left(\langle v \rangle^\ell h^{in} \right) \right\|_{L_{x,v}^2}. \tag{6.3}$$

Here $\lambda > 0$ is the same decay rate as in the spectral gap estimate in Theorem 4.4 in [12].

Using Theorem 6.1 we show a lemma which is an intermediate step in establishing the global L^2 -bound.

Lemma 6.2 Assume that $h \in L^2(0, T; L_x^2 L_v^2)$ has zero total mass, momentum, and energy:

$$\int_{\mathbb{T}^3} \int_{\mathbb{R}^3} h(t, x, v) \begin{pmatrix} 1 \\ v \\ |v|^2 \end{pmatrix} dv dx = 0.$$

Then, for any $s \in (0, 1)$, $\ell \geq \frac{5\gamma+37}{2}$ and $t > 0$, it follows that

$$\int_0^t \left\| \langle v \rangle^\ell \int_0^w e^{\mathcal{L}(w-\tau)} h(\tau) d\tau \right\|_{L_{x,v}^2}^2 dw \leq C(1 + \lambda^{-2}) \int_0^t \left\| \langle v \rangle^\ell h(\tau) \right\|_{L_{x,v}^2 H_v^{-s}}^2 d\tau,$$

where $\lambda > 0$ is the spectral gap of \mathcal{L} in $L_x^2 L_v^2$.

Proof Assume first that $t \leq T_0$ with T_0 defined in Theorem 6.1. Then

$$\begin{aligned} \int_0^t \left\| \langle v \rangle^\ell \int_0^w e^{\mathcal{L}(w-\tau)} h(\tau) d\tau \right\|_{L_{x,v}^2}^2 dw &\leq T_0 \int_0^t \int_0^w \left\| \langle v \rangle^\ell e^{\mathcal{L}(w-\tau)} h(\tau) \right\|_{L_{x,v}^2}^2 d\tau dw \\ &\leq T_0 \int_0^t \int_\tau^{\tau+T_0} \left\| \langle v \rangle^\ell e^{\mathcal{L}(w-\tau)} h(\tau) \right\|_{L_{x,v}^2}^2 dw d\tau \\ &\leq C T_0 \int_0^t \left\| (1 - \Delta_v)^{-s/2} \langle v \rangle^\ell h(\tau) \right\|_{L_{x,v}^2}^2 d\tau, \end{aligned} \tag{6.4}$$

where for the latter inequality we used the time invariance of the semigroup and (6.2). For the case $t > T_0$ split the integration as

$$\int_0^t \left\| \langle v \rangle^\ell \int_0^w e^{\mathcal{L}(w-\tau)} h(\tau) d\tau \right\|_{L_{x,v}^2}^2 dw = \left(\int_0^{T_0} + \int_{T_0}^t \right) \left\| \langle v \rangle^\ell \int_0^w e^{\mathcal{L}(w-\tau)} h(\tau) d\tau \right\|_{L_{x,v}^2}^2 dw.$$

The integral in $(0, T_0)$ falls into the previous case. For the interval (T_0, t) one has

$$\begin{aligned} &\int_{T_0}^t \left\| \langle v \rangle^\ell \int_0^w e^{\mathcal{L}(w-\tau)} F(\tau) d\tau \right\|_{L_{x,v}^2}^2 dw \\ &= \int_{T_0}^t \left\| \langle v \rangle^\ell \left(\int_0^{w-T_0} + \int_{w-T_0}^w \right) e^{\mathcal{L}(w-\tau)} F(\tau) d\tau \right\|_{L_{x,v}^2}^2 dw. \end{aligned} \tag{6.5}$$

Note that for the first integral in the right side of (6.5) one has that $w - \tau \geq T_0$. Invoking (6.3) one has

$$\left\| \langle v \rangle^\ell e^{\mathcal{L}(w-\tau)} F(\tau) \right\|_{L_{x,v}^2} \leq C \left(\frac{1}{\sqrt{T_0}} + 1 \right) e^{-\lambda(w-\tau)} \left\| (1 - \Delta_v)^{-s/2} \langle v \rangle^\ell F(\tau) \right\|_{L_{x,v}^2},$$

where $\lambda > 0$ is the spectral gap of \mathcal{L} . As a consequence,

$$\begin{aligned} &\int_{T_0}^t \left\| \langle v \rangle^\ell \int_0^{w-T_0} e^{\mathcal{L}(w-\tau)} F(\tau) d\tau \right\|_{L_{x,v}^2}^2 dw \\ &\leq \int_{T_0}^t \left(\int_0^{w-T_0} \left\| \langle v \rangle^\ell e^{\mathcal{L}(w-\tau)} F(\tau) \right\|_{L_{x,v}^2} d\tau \right)^2 dw \\ &\leq C \left(\frac{1}{T_0} + 1 \right) \int_{T_0}^t \left(\int_0^{w-T_0} e^{-\lambda(w-\tau)} \left\| (1 - \Delta_v)^{-s/2} \langle v \rangle^\ell F(\tau) \right\|_{L_{x,v}^2} d\tau \right)^2 dw \end{aligned}$$

$$\begin{aligned} &\leq \frac{C}{\lambda} \left(\frac{1}{T_0} + 1 \right) \int_{T_0}^t \int_0^{w-T_0} e^{-\lambda(w-\tau)} \|(1 - \Delta_v)^{-s/2} \langle v \rangle^\ell F(\tau)\|_{L^2_{x,v}}^2 \, d\tau \, dw \\ &\leq \frac{C}{\lambda^2} \left(\frac{1}{T_0} + 1 \right) \int_0^t \|(1 - \Delta_v)^{-s/2} \langle v \rangle^\ell F(\tau)\|_{L^2_{x,v}}^2 \, d\tau, \end{aligned}$$

where we have used the Cauchy–Schwarz inequality and changed the order of integration for the last two steps. Finally, for the latter integral in (6.5) one simply has that

$$\begin{aligned} \int_{T_0}^t \|\langle v \rangle^\ell \int_{w-T_0}^w e^{\mathcal{L}(w-\tau)} F(\tau) \, d\tau\|_{L^2_{x,v}}^2 \, dw &\leq T_0 \int_{T_0}^t \int_{w-T_0}^w \|\langle v \rangle^\ell e^{\mathcal{L}(w-\tau)} F(\tau)\|_{L^2_{x,v}}^2 \, d\tau \, dw \\ &\leq T_0 \int_0^t \int_\tau^{\tau+T_0} \|\langle v \rangle^\ell e^{\mathcal{L}(w-\tau)} F(\tau)\|_{L^2_{x,v}}^2 \, dw \, d\tau \\ &\leq C T_0 \int_0^t \|(1 - \Delta_v)^{-s/2} \langle v \rangle^\ell F(\tau)\|_{L^2_{x,v}}^2 \, d\tau. \end{aligned}$$

Overall, we conclude for the case $t > T_0$ that

$$\int_0^t \|\langle v \rangle^\ell \int_0^w e^{\mathcal{L}(w-\tau)} F(\tau) \, d\tau\|_{L^2_{x,v}}^2 \, dw \leq C T_0 \int_0^t \|(1 - \Delta_v)^{-s/2} \langle v \rangle^\ell F(\tau)\|_{L^2_{x,v}}^2 \, d\tau. \tag{6.6}$$

Estimates (6.4) and (6.6) prove the theorem. □

Proposition 6.3 *Let $F = \preceq + f \geq 0$ be a solution of the Boltzmann equation (6.1). Assume that*

$$\sup_{t,x} \|f\|_{L^1_\ell \cap L^2} \leq \delta_0, \quad \|\langle \cdot \rangle^\ell f_0\|_{L^2_{x,v}} < +\infty,$$

with ℓ, δ_0 satisfying (5.11). In addition, suppose

$$\ell \geq 5\gamma + 37.$$

Then it follows that

$$\|\langle \cdot \rangle^\ell f(t)\|_{L^2_{x,v}}^2 \leq C \|\langle \cdot \rangle^\ell f_0\|_{L^2_{x,v}}^2 e^{-\lambda' t}, \quad t \in [0, T), \tag{6.7}$$

for a constant $C := C_\ell(\lambda')$. The time relaxation rate $\lambda' \in (0, \lambda]$ where $\lambda > 0$ is the spectral gap in $L^2_x L^2_\ell$ of the linearised Boltzmann operator. Furthermore,

$$\int_0^T \|(1 - \Delta_x)^{s'/2} f\|_{L^2_{x,v}}^2 \, d\tau + \int_0^T \|\langle v \rangle^{\ell+\gamma/2} (1 - \Delta_v)^{s/2} f\|_{L^2_{x,v}}^2 \, d\tau \leq C \|\langle \cdot \rangle^\ell f_0\|_{L^2_{x,v}}^2, \tag{6.8}$$

for a constant $C := C_\ell(\lambda')$. All constants are independent of $T > 0$.

Proof Set $g(t) = e^{\lambda' t} f(t)$ with $\lambda' > 0$ to be chosen. Then g satisfies

$$\partial_t g + v \cdot \nabla_x g = e^{\lambda' t} (Q(\mu + f, f) + Q(f, \mu)) + \lambda' g, \quad g = e^{\lambda' t} f.$$

Since ℓ, δ_0 satisfy (5.11), by multiplying estimate (5.10) (with $\epsilon = 0$) by $e^{2\lambda' t}$, we get

$$\frac{d}{dt} \|\langle v \rangle^\ell g\|_{L^2_{x,v}}^2 + \left(\frac{\gamma_0}{8} - \lambda'\right) \int_{\mathbb{T}^3} \|\langle v \rangle^\ell g\|_{L^2_{\gamma/2}}^2 \, dx + c_2 \int_{\mathbb{T}^3} \|\langle v \rangle^\ell g\|_{H^s_{\gamma/2}}^2 \, dx$$

$$\leq C \int_{\mathbb{T}^3} \|g\|_{L^2}^2 dx,$$

where $c_2 = \frac{c_0 \delta_0}{8}$. Hence, integrating in time, one gets

$$\begin{aligned} & \left\| \langle v \rangle^\ell g(t) \right\|_{L_{x,v}^2}^2 + \left(\frac{\gamma_0}{8} - \lambda' \right) \int_0^t \int_{\mathbb{T}^3} \left\| \langle v \rangle^\ell g \right\|_{L_{\gamma/2}^2}^2 dx d\tau + c_2 \int_0^t \int_{\mathbb{T}^3} \left\| \langle v \rangle^\ell g \right\|_{H_{\gamma/2}^s}^2 dx d\tau \\ & \leq \left\| \langle v \rangle^\ell f_0 \right\|_{L_{x,v}^2}^2 + C \int_0^t \int_{\mathbb{T}^3} \|g\|_{L^2}^2 dx d\tau. \end{aligned} \tag{6.9}$$

Let us estimate the right side of (6.9). The equation for g can also be viewed as

$$\frac{dg}{dt} = (\mathcal{L} + \lambda' I)g + Q(f, g) =: \tilde{\mathcal{L}}g + Q(f, g).$$

Then, we can write

$$g(t) = e^{\tilde{\mathcal{L}}t} f_0 + \int_0^t e^{\tilde{\mathcal{L}}(t-\tau)} Q(f(\tau), g(\tau)) d\tau. \tag{6.10}$$

By [12, Theorem 4.4], the operator \mathcal{L} has an spectral gap λ in $L_x^2 L_{\ell/2}^2$, provided

$$\frac{\ell}{2} > \frac{5\gamma + 37}{2}.$$

Then,

$$\left\| \langle \cdot \rangle^{\ell/2} e^{\tilde{\mathcal{L}}t} f_0 \right\|_{L_{x,v}^2} \leq C e^{-(\lambda-\lambda')t} \left\| \langle \cdot \rangle^{\ell/2} f_0 \right\|_{L_{x,v}^2}. \tag{6.11}$$

Furthermore, $Q(f(t), g(t))$ has total zero mass, momentum, and energy for all $t \in (0, T)$. Then, Lemma 6.2 implies that for any $\lambda' \in (0, \lambda)$ it holds that

$$\begin{aligned} & \int_0^t \left\| \langle v \rangle^{\ell/2} \int_0^w e^{\tilde{\mathcal{L}}(w-\tau)} Q(f(\tau), g(\tau)) d\tau \right\|_{L_{x,v}^2}^2 dw \\ & \leq C \int_0^t \left\| \langle v \rangle^{\ell/2} Q(f(\tau), g(\tau)) \right\|_{L_x^2 H_v^{-s}}^2 d\tau. \end{aligned} \tag{6.12}$$

By Proposition 2.3, it follows that

$$\begin{aligned} & \left\| (1 - \Delta_v)^{-s/2} \langle v \rangle^{\ell/2} Q(f(\tau), g(\tau)) \right\|_{L_{x,v}^2}^2 \\ & \leq \left(\left\| \langle \cdot \rangle^{\ell/2 + \gamma + 2s} f(\tau) \right\|_{L_v^1} + \left\| f(\tau) \right\|_{L_v^2} \right)^2 \left\| \langle \cdot \rangle^{\ell/2 + \gamma + 2s} g(\tau) \right\|_{H_v^s}^2 \leq \delta_0^2 \left\| \langle \cdot \rangle^\ell g(\tau) \right\|_{H_v^s}^2. \end{aligned}$$

Consequently, from (6.10), (6.11), and (6.12) one is led to

$$\int_0^t \int_{\mathbb{T}^3} \|g\|_{L^2}^2 dx d\tau \leq C \left\| \langle \cdot \rangle^\ell f_0 \right\|_{L_{x,v}^2}^2 + C \delta_0^2 \int_0^t \left\| \langle \cdot \rangle^\ell g(\tau) \right\|_{H_v^s}^2 d\tau. \tag{6.13}$$

Take $\lambda' < \min\{\frac{\gamma_0}{8}, \lambda\}$ and use estimate (6.13) in estimate (6.9) to conclude that

$$\left\| \langle v \rangle^\ell g(t) \right\|_{L_{x,v}^2}^2 + (c_2 - C \delta_0^2) \int_0^t \int_{\mathbb{T}^3} \left\| \langle v \rangle^\ell g(\tau) \right\|_{H_{\gamma/2}^s}^2 dx d\tau \leq C \left\| \langle v \rangle^\ell f_0 \right\|_{L_{x,v}^2}^2. \tag{6.14}$$

Choose $\delta_0 > 0$ such that

$$\sqrt{\frac{c_2}{C}} \geq \delta_0. \tag{6.15}$$

Then (6.14) leads to

$$\| \langle v \rangle^\ell f(t) \|_{L^2_{x,v}} \leq C \| \langle v \rangle^\ell f_0 \|_{L^2_{x,v}} e^{-\lambda' t}, \quad t \in [0, T].$$

Plugging this estimate in (6.9) and (5.13) (with $\epsilon = 0$), one obtains (6.8) and concludes the proof. \square

We now have all the ingredients to show the main theorem for the weak singularity and it states

Theorem 6.4 (Global Existence) *Let $s \in (0, 1/2)$ and $\gamma \in (0, 1]$. Suppose δ_0 is a constant small enough such that bounds in Theorem 5.9 and (6.15) are satisfied. Let ℓ_0 be the same weight in Theorem 4.1 and k_0 be a constant satisfying*

$$k_0 > 5\ell_0 + 35 + 5\gamma + 4s.$$

Let δ_*^\natural , defined in (6.17), be the constant measuring the smallness of the data. Suppose the initial data f_0 has zero mass, momentum and energy and satisfies

$$\| \langle v \rangle^{k_0} f_0 \|_{L^\infty_{x,v} \cap L^2_{x,v}} < \delta_*^\natural, \quad \| \langle v \rangle^{k_0 + \ell_0 + 2} f_0 \|_{L^2_{x,v}} < \infty. \tag{6.16}$$

Then the Boltzmann equation (1.1) has a unique solution $f \in L^\infty(0, \infty; L^2_x L^2_{k_0 + \ell_0 + 2}(\mathbb{T}^3 \times \mathbb{R}^3))$. Moreover, f satisfies

$$\| \langle v \rangle^{k_0} f \|_{L^\infty(0, \infty; \mathbb{T}^3 \times \mathbb{R}^3)} \leq \delta_0/2 < \delta_0.$$

Proof The reason that Theorem 5.12 (or Theorem 5.13) can only treat a short-time existence is because that the bound in (5.33) (with $\epsilon = 0$) relies on T . It will exceed δ_0 if T is large, which will render the L^2 -estimates invalid. Such dependence of T is through $K_0^{quad}(\mathcal{E}_0)$ since \mathcal{E}_0 grows with T (see (5.28)) when the spectral gap is not used. Equipped now with Proposition 6.3 we can replace Proposition 5.2 in the proof of (5.28) with (6.7) and (6.8) to get

$$\mathcal{E}_0 \leq C_{k_0} \max_{j \in \{1/p, p'/p\}} \| \langle \cdot \rangle^{k_0} f_0 \|_{L^2_{x,v}}^{2j} \leq C_{k_0} \| \langle \cdot \rangle^{k_0} f_0 \|_{L^2_{x,v}}.$$

As a result, there exists C_{k_0} independent of T such that

$$K_0^{quad}(\mathcal{E}_0) \leq C_{k_0} \| \langle \cdot \rangle^{k_0} f_0 \|_{L^2_{x,v}}^{\eta_0}, \quad \eta_0 = \min_{1 \leq i \leq 4} \frac{\beta_i - 1}{\alpha_i}.$$

Similarly as in (5.34) and (5.35), define

$$\mathfrak{H}_* = \mathfrak{H}_*(x) = \frac{1}{4} \min \left\{ x, \frac{1}{C_{k_0}^{1/\eta_0}} x^{\frac{1}{\eta_0}} \right\}, \quad \delta_*^\natural = \mathfrak{H}_*^{-1}(\delta_0/2). \tag{6.17}$$

Under the smallness assumption in (6.16), we obtain in the same way as in Theorem 5.12 that

$$\| \langle v \rangle^{k_0} f \|_{L^\infty(0, T; \mathbb{T}^3 \times \mathbb{R}^3)} \leq \delta_0/2 < \delta_0, \quad \text{for all } T > 0.$$

This shows for any $T > 0$, the solution can be extended beyond T , thus giving the global existence. With the weighted L^∞ -bound, uniqueness follows from a direct energy estimate similar to (5.10). \square

7 Strong Singularity

In this part we show the well-posedness for the nonlinear Boltzmann equation with a strong singularity. The only reason we have to restrict to the weak singularity in Sects. 5–6 is because that in the construction of solutions in Theorem 5.1, when using the fixed-point argument in (5.5), the regularizing term ϵL_α needs to be used to control the H^{2s} -norm. All the a priori estimates are performed for the full range of $s \in (0, 1)$.

To circumvent the difficulty mentioned above when constructing approximate solutions in the strong singularity case, our strategy is to smooth the collision kernel into a weakly singular one and repeat the process in Sects. 3–6 to find approximate solutions uniformly bounded in the smoothing parameter η . Note that we can as well simply regularize the kernel into a cutoff one by removing all its singularities. But that will require introducing new estimates for cutoff kernels. Since all the tools are available for the weakly singular kernel in the previous sections, we take a weak-singularity smoothing. The weak singularity itself will not play an essential role.

Without extra means, we will not be able to obtain uniform bounds in η . This is because the regularity gained by part of the collision term cannot compensate, uniformly in η , the loss of derivatives in the rest of the terms. Consequently, many estimates will not close for the nonlinear Boltzmann operator with the smoothed collision term. To overcome this difficulty, we temporarily add a dissipation term ϵL_α as in the previous sections and will remove it after obtaining a local well-posedness for the nonlinear Boltzmann equation (with ϵL_α) with the strong singularity.

Recall the original Boltzmann equation with a strong singularity $s \in [1/2, 1)$:

$$\partial_t f + v \cdot \nabla_x f = Q(\mu + f, \mu + f), \quad f|_{t=0} = f_0(x, v), \tag{7.1}$$

whose collision kernel satisfies

$$b(\cos \theta) \sim \frac{1}{\theta^{2+2s}}, \quad \text{for } \theta \text{ near } 0 \text{ and } s \in [1/2, 1).$$

Fix $s_* \in (0, 1/2)$ such that

$$2s - 2s_* < 1. \tag{7.2}$$

For any $\eta \in (0, 1)$, let Q_η be the approximate operator with the collision kernel

$$\frac{\alpha_0}{\theta^{2+2s_*}} \leq b_\eta(\cos \theta) = \frac{b(\cos \theta)\theta^{2+2s}}{\theta^{2+2s_*}(\theta + \eta)^{2s-2s_*}} \leq b(\cos \theta). \tag{7.3}$$

Although the lower bound will not be used in the subsequent proof, we note that the coefficient α_0 is independent of η since

$$\frac{1}{(\theta + \eta)^{2s-2s_*}} \geq \frac{1}{(\pi + 1)^{2s-2s_*}}, \quad \text{for all } \theta \in (0, \pi) \text{ and } \eta \in (0, 1).$$

The uniform upper bound in (7.3) is the key for uniform estimates in η . Consider the regularized equation

$$\partial_t f_\eta + v \cdot \nabla_x f_\eta = \epsilon L_\alpha f_\eta + Q_\eta(\mu + f_\eta, \mu + f_\eta), \quad f_\eta|_{t=0} = f_0(x, v), \tag{7.4}$$

where $\epsilon \in (0, 1)$ and L_α is the same operator as in (3.2). First we note that due to the uniform bounds in (7.3), the constant in the trilinear estimate is independent of η . This is summarized as

Lemma 7.1 *Let b be the original collision kernel with $s \in [1/2, 1)$ and b_η be the one defined in (7.3). Then there exists C independent of η such that*

$$\left| \int_{\mathbb{R}^3} Q_\eta(f, g)h \, dv \right| \leq C \left(\|f\|_{L^1_{(m-\gamma/2)^{+}+\gamma+2s} \cap L^2} \right) \|g\|_{H^s_{\gamma/2+2s+m}} \|h\|_{H^{s+\sigma}_{\gamma/2-m}} \tag{7.5}$$

for any $\sigma \in [\min\{s - 1, -s\}, s]$, $m \in \mathbb{R}$, $\gamma \geq 0$ and $0 < s < 1$.

As mentioned at the beginning of this section, our plan is to repeat the process of proving the well-posedness of (5.7), with the goal to obtain a local well-posedness result for (7.4) over a time interval uniform in η . We show that the sequence of intermediate results from Proposition 3.1 to Corollary 5.11 can be modified (with indispensable help from ϵL_α) in the way that their coefficients are all independent of η . The main idea is that in all these estimates, we only rely on the upper bound of the collision kernel with no further structures required. We start with the modified equation with the cutoff function in (4.5) (with its solution still denoted as f_η):

$$\partial_t f_\eta + v \cdot \nabla_x f_\eta = \epsilon L_\alpha(\mu + f_\eta) + Q_\eta(\mu + f_\eta \chi(\langle v \rangle^{k_0} f_\eta), \mu + f_\eta), \quad f_\eta|_{t=0} = f_0(x, v). \tag{7.6}$$

Its linearized version is

$$\begin{aligned} \partial_t f_\eta + v \cdot \nabla_x f_\eta &= \epsilon L_\alpha(\mu + f_\eta) + Q_\eta(\mu + g \chi(\langle v \rangle^{k_0} g), \mu + f_\eta) \\ &=: \tilde{Q}_\eta(\mu + g \chi, \mu + f_\eta), \end{aligned} \tag{7.7}$$

with the initial $f_\eta|_{t=0} = f_0(x, v)$. Choices of weights remain the same as in the previous sections. We will show the details for the basic energy estimates for the linearized equation to illustrate how to use (7.3) to derive uniform-in- η bounds. The rest of the steps are parallel to those in Sects. 3–6 and their details will be either sketched or omitted. The regularization ϵL_α helps to simplify the estimates, since for each fixed ϵ , the gain of velocity regularity (and subsequently the hypoellipticity) now comes from ϵL_α instead of Q .

Proposition 7.2 *Suppose $G = \mu + g \geq 0$ and δ_0 in the cutoff function is small enough such that $G_\chi = \mu + g \chi \geq 0$ satisfies*

$$\inf_{t,x} \|G_\chi\|_{L^1_v} \geq D_0 > 0, \quad \sup_{t,x} \left(\|G_\chi\|_{L^1_2} + \|G_\chi\|_{L \log L} \right) < E_0 < \infty. \tag{7.8}$$

Suppose $s \in [1/2, 1)$. Let $F_\eta = \mu + f_\eta$ be a solution to Eq. (7.7). Then for any

$$\max\{3 + 2\alpha, 8 + \gamma\} < \ell < k_0 - 5 - \gamma, \quad \alpha > \gamma + 2s,$$

the solution f_η satisfies

$$\left\| \langle \cdot \rangle^\ell f_\eta(t) \right\|_{L^2_{x,v}}^2 + \frac{\epsilon}{4} \int_0^t \left\| \langle v \rangle^{\ell+\alpha} f_\eta \right\|_{L^2_{x,v}}^2 \leq C_\ell e^{C_{\ell,\epsilon} t} \left(\left\| \langle \cdot \rangle^\ell f_0 \right\|_{L^2_{x,v}}^2 + t \right), \tag{7.9}$$

where $C_{\ell,\epsilon}$ is independent of η but does depend on ϵ and C_ℓ is independent of both ϵ and η . Furthermore, for any $0 \leq T_1 < T_2 < T$ and any $s' \leq \frac{1}{8}$, we have the regularisation in

t, x as

$$\int_{T_1}^{T_2} \left\| (1 - \partial_t^2)^{s'/2} f_\eta \right\|_{L_{x,v}^2}^2 d\tau + \int_{T_1}^{T_2} \left\| (1 - \Delta_x)^{s'/2} f_\eta \right\|_{L_{x,v}^2}^2 d\tau \leq C e^{C_{\ell,\epsilon} T} \left(\left\| \langle \cdot \rangle^\ell f_0 \right\|_{L_{x,v}^2}^2 + T \right), \tag{7.10}$$

where the coefficient $C_{\ell,\epsilon}$ is independent of η and C is independent of ϵ .

Proof By (3.7), the regularizing term ϵL_α gives

$$\begin{aligned} \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \epsilon L_\alpha(\mu + f_\eta)(f_\eta) \langle v \rangle^{2\ell} dv dx &\leq -\frac{\epsilon}{2} \left\| \langle v \rangle^{\ell+\alpha} f_\eta \right\|_{L_x^2 H_v^1}^2 \\ &\quad + C_\ell \epsilon \left\| \langle v \rangle^\ell f_\eta \right\|_{L_{x,v}^2}^2 + C_\ell \epsilon \left\| \langle v \rangle^\ell f_\eta \right\|_{L_{x,v}^2}. \end{aligned}$$

Since ϵL_α will provide the dominating term in both the weight and the regularity, we can bound the collision term in a more direct way via the trilinear estimate in Lemma 7.1: for $\ell < k_0 - 5 - \gamma$, it holds that

$$\begin{aligned} &\int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \mathcal{Q}_\eta(\mu + g\chi(\langle v \rangle^{k_0} g), \mu + f_\eta) f_\eta \langle v \rangle^{2\ell} dv dx \\ &\leq C_\ell \left\| \langle v \rangle^\ell f_\eta \right\|_{L_{x,v}^2} + C_\ell \left\| \langle v \rangle^{\ell+\gamma/2+s} f_\eta \right\|_{L_x^2 H_v^s}^2 \\ &\leq C_\ell \left\| \langle v \rangle^\ell f_\eta \right\|_{L_{x,v}^2} + \frac{\epsilon}{4} \left\| \langle v \rangle^{\ell+\alpha} f_\eta \right\|_{L_x^2 H_v^1}^2 + C_{\ell,\epsilon} \left\| \langle v \rangle^\ell f_\eta \right\|_{L_{x,v}^2}^2, \quad \alpha > \gamma/2 + s. \end{aligned}$$

Combining the two estimates above and apply the Gronwall’s inequality gives (7.9).

Next, we apply the averaging lemma in Proposition 2.14 to obtain the regularisation in x . In light of equation (7.7), if we invoke Proposition 2.14 with

$$\beta = 1, \quad m = 2, \quad r = 0, \quad p = 2, \quad s' < 1/8,$$

then for any $0 \leq T_1 \leq T_2 < T$,

$$\begin{aligned} &\int_{T_1}^{T_2} \left\| (1 - \partial_t^2)^{s'/2} f_\eta \right\|_{L_{x,v}^2}^2 d\tau + \int_{T_1}^{T_2} \left\| (1 - \Delta_x)^{s'/2} f_\eta \right\|_{L_{x,v}^2}^2 d\tau \\ &\leq C \left\| \langle v \rangle^3 f_\eta(T_1) \right\|_{L_{x,v}^2}^2 + C \left\| \langle v \rangle^3 f_\eta(T_2) \right\|_{L_{x,v}^2}^2 + C \int_{T_1}^{T_2} \left\| (1 - \Delta_v)^{1/2} f_\eta \right\|_{L_{x,v}^2}^2 d\tau \\ &\quad + C \int_{T_1}^{T_2} \left\| \langle v \rangle^3 (1 - \Delta_v)^{-1} \tilde{\mathcal{Q}}(\mu + g\chi, \mu + f_\eta) \right\|_{L_{x,v}^2}^2 d\tau. \end{aligned}$$

By the trilinear estimate in Lemma 7.1, it follows that

$$\begin{aligned} &\left\| \langle v \rangle^3 (1 - \Delta_v)^{-1} \tilde{\mathcal{Q}}(\mu + g\chi, \mu + f_\eta) \right\|_{L_v^2} \\ &\leq \left\| \langle v \rangle^3 (1 - \Delta_v)^{-1} (\mathcal{Q}(\mu + g\chi, f_\eta) + \mathcal{Q}(g\chi, \mu)) \right\|_{L_v^2} \\ &\quad + \epsilon \left\| \langle v \rangle^3 (1 - \Delta_v)^{-1} L_\alpha(\mu + f_\eta) \right\|_{L_v^2} \\ &\leq C \left\| f_\eta \right\|_{L_{3+\gamma+2s}^2} + C\delta_0 + \epsilon C \left\| f_\eta \right\|_{L_{3+2\alpha}^2} + C\epsilon. \end{aligned}$$

Applying (7.9) we get

$$\int_{T_1}^{T_2} \left\| (1 - \Delta_t)^{s'/2} f_\eta \right\|_{L_{x,v}^2}^2 d\tau + \int_{T_1}^{T_2} \left\| (1 - \Delta_x)^{s'/2} f_\eta \right\|_{L_{x,v}^2}^2 d\tau$$

$$\begin{aligned}
 &\leq C \int_{T_1}^{T_2} \left(\epsilon^2 \|\langle v \rangle^{3+2\alpha} f_\eta\|_{L^2_{x,v}}^2 + \|(1 - \Delta_v)^{1/2} f_\eta\|_{L^2_{x,v}}^2 \right) dt + C \int_{T_1}^{T_2} \|\langle v \rangle^{3+\gamma+2s} f_\eta\|_{L^2_{x,v}}^2 dt \\
 &\quad + C \|\langle v \rangle^3 f_\eta(T_1)\|_{L^2_{x,v}}^2 + C \|\langle v \rangle^3 f_\eta(T_2)\|_{L^2_{x,v}}^2 + C (\epsilon^2 + \delta_0^2) (T_2 - T_1) \\
 &\leq C e^{C\epsilon, \epsilon T} \left(\|\langle \cdot \rangle^\ell f_0\|_{L^2_{x,v}}^2 + T + \epsilon^2 T \right), \tag{7.11}
 \end{aligned}$$

which is the desired inequality showing the spatial regularisation of f_η . □

The basic L^2 -level-set estimate parallel to Proposition 3.3 is

Proposition 7.3 *Suppose $G = \mu + g \geq 0$ and*

$$8 + \gamma < \ell < k_0 - 5 - \gamma, \quad \alpha > \gamma + 2s.$$

Then the level-set function satisfies

$$\begin{aligned}
 &\int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q_\eta(\mu + g\chi, \mu + f) f_{K,+}^{(\ell)} \langle v \rangle^\ell dv dx + \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \epsilon L_\alpha(\mu + f) f_{K,+}^{(\ell)} \langle v \rangle^\ell dv dx \\
 &\leq -\frac{\epsilon}{4} \|\langle v \rangle^\alpha f_{K,+}^{(\ell)}\|_{L^2_x H^1_v}^2 + C_\epsilon \|f_{K,+}^{(\ell)}\|_{L^2_{x,v}}^2 + C(1 + K) \|f_{K,+}^{(\ell)}\|_{L^1_{x,v}}, \tag{7.12}
 \end{aligned}$$

where the constants C_ϵ, C are independent of η .

Proof Recall the decomposition in (3.23):

$$\begin{aligned}
 &\int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q_\eta(\mu + g\chi, \mu + f) f_{K,+}^{(\ell)} \langle v \rangle^\ell dv dx \\
 &= \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q_\eta\left(\mu + g\chi, f - \frac{K}{\langle v \rangle^\ell}\right) f_{K,+}^{(\ell)} \langle v \rangle^\ell dv dx \\
 &\quad + \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q_\eta\left(\mu + g\chi, \mu + \frac{K}{\langle v \rangle^\ell}\right) f_{K,+}^{(\ell)} \langle v \rangle^\ell dv dx, \tag{7.13}
 \end{aligned}$$

where by the positivity of $\mu + g\chi$ and the same upper bound for T_1 in (3.24), we have

$$\begin{aligned}
 &\int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q_\eta\left(\mu + g\chi, f - \frac{K}{\langle v \rangle^\ell}\right) f_{K,+}^{(\ell)} \langle v \rangle^\ell dv dx \\
 &\leq \iiint\!\!\!\int_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} (\mu_* + g_*\chi_*) f_{K,+}^{(\ell)} \frac{1}{\langle v \rangle^\ell} \left(f_{K,+}^{(\ell)}(v') \langle v' \rangle^\ell - f_{K,+}^{(\ell)} \langle v \rangle^\ell \right) b_\eta(\cos \theta) |v - v_*|^\gamma d\bar{\mu} \\
 &= \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q_\eta\left(\mu + g\chi, f_{K,+}^{(\ell)} / \langle v \rangle^\ell\right) f_{K,+}^{(\ell)} \langle v \rangle^\ell dv dx \\
 &\leq C \left(1 + \sup_x \|g\chi\|_{L^1_{\ell+\gamma+2s} \cap L^2} \right) \|f_{K,+}^{(\ell)}\|_{L^2_x H^s_{\gamma+2s}}^2.
 \end{aligned}$$

By Lemma 7.1, the coefficient C in the inequality above is independent of η . By interpolation,

$$\int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q_\eta\left(\mu + g\chi, f - \frac{K}{\langle v \rangle^\ell}\right) f_{K,+}^{(\ell)} \langle v \rangle^\ell dv dx \leq \frac{\epsilon}{4} \|\langle v \rangle^\alpha f_{K,+}^{(\ell)}\|_{L^2_x H^1_v}^2 + C_\epsilon \|f_{K,+}^{(\ell)}\|_{L^2_{x,v}}^2. \tag{7.14}$$

The second term on the right-hand side of 7.13 satisfies the same bound as for T_2 in (3.23) since only the upper bound of b_η is needed in the estimates. Moreover, the regularizing term ϵL_α satisfies the same bound as in (3.22), which combined with (7.14) gives (7.3). □

The counterpart of Proposition 3.7 states

Proposition 7.4 *Let $G = \mu + g \geq 0$ and $F = \mu + f$ satisfying equation (7.7). Denote*

$$\widetilde{Q}_\eta(\mu + g\chi, \mu + f) = Q_\eta(\mu + g\chi, \mu + f) + \epsilon L_\alpha(\mu + f).$$

Then, for any $T > 0$ and

$$s \in [1/2, 1), \epsilon \in [0, 1], j \geq 0, 8 + \gamma < \ell < k_0 - 5 - \gamma, \kappa > 2, \\ K > 0, \alpha > j + \gamma + 2s,$$

it follows that

$$\int_0^T \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} \left| \langle v \rangle^j (1 - \Delta_v)^{-\kappa/2} (\widetilde{Q}_\eta(G, F) \langle v \rangle^\ell f_{K,+}^{(\ell)}) \right| dv dx dt \\ \leq C \|\langle v \rangle^{j/2} f_{K,+}^{(\ell)}(0, \cdot, \cdot)\|_{L_{x,v}^2}^2 + C \|\langle v \rangle^\alpha f_{K,+}^{(\ell)}\|_{L_x^2 H_v^1}^2 + C(1 + K) \|f_{K,+}^{(\ell)}\|_{L_x^1 L_{j+\gamma}^1}, \tag{7.15}$$

where C, C_ℓ are independent of η . Identical estimate holds for $\widetilde{Q}_\eta^-(\mu + g\chi, -\mu + h)$ with $f_{K,+}^{(\ell)}$ replaced by $h_{K,+}^{(\ell)}$.

Proof As in the proof of Proposition 3.7, we only need to control Q in (3.40) with b replaced by b_η . By the same decomposition in (3.41) and a similar argument in Proposition 7.3, we have

$$Q_\eta \leq \iiint_{\mathbb{T}^3 \times \mathbb{R}^6 \times \mathbb{S}^2} (\mu_* + g_* \chi_*) f_{K,+}^{(\ell)} \\ \frac{1}{\langle v \rangle^\ell} \left(f_{K,+}^{(\ell)}(v') W_K(v') \langle v' \rangle^\ell - f_{K,+}^{(\ell)} W_K \langle v \rangle^\ell \right) b_\eta(\cos \theta) |v - v_*|^\gamma d\bar{\mu} \\ + \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q_\eta \left(\mu + g\chi, \frac{K}{\langle v \rangle^\ell} \right) \langle v \rangle^\ell f_{K,+}^{(\ell)} W_K dv dx \\ + \int_{\mathbb{T}^3} \int_{\mathbb{R}^3} Q_\eta (\mu + g\chi, \mu) \langle v \rangle^\ell f_{K,+}^{(\ell)} W_K dv dx \\ \leq C \|f_{K,+}^{(\ell)}\|_{L_x^2 H_{j+\gamma/2+s}^3}^2 + C(1 + K) \|f_{K,+}^{(\ell)}\|_{L_x^1 L_{j+\gamma}^1} \\ \leq C \|\langle v \rangle^\alpha f_{K,+}^{(\ell)}\|_{L_x^2 H_v^1}^2 + C(1 + K) \|f_{K,+}^{(\ell)}\|_{L_x^1 L_{j+\gamma}^1}.$$

The estimate for T_R^+ in (3.40) remains the same. □

Lemma 3.8 stays the same since it is independent of the collision kernel. Same with Proposition 3.11. Using the energy bound in Proposition 7.2 which is similar to Corollary 3.2, we obtain a similar bound for \mathcal{E}_0 (with $s = 1$ and $s' < 1/8$) as in Proposition 3.12:

Proposition 7.5 *Let $T > 0$ be fixed. Suppose $\epsilon \in [0, 1]$ and $s \in [1/2, 1)$. Assume that the given function $G = \mu + g \geq 0$. Suppose ℓ satisfies*

$$\max\{8 + \gamma, 3 + 2\alpha\} \leq \ell < k_0 - 5 - \gamma$$

and assume that f is a solution of (7.7) which satisfies $\mu + f \geq 0$. Then for any $s' < 1/8$, there exist $s'' > 0$ and $p^b := p^b(\ell, \gamma, s, s') > 1$ such that if $s'' < s' \frac{\gamma}{2\ell + \gamma}$ and $1 < p < p^b$, then we have

$$\mathcal{E}_0 \leq C e^{C_\epsilon T} \max_{j \in \{1/p, p'/p\}} \left(\|\langle \cdot \rangle^\ell f_0\|_{L_{x,v}^2}^{2j} + T^j \right), \quad p' = p/(2 - p), \tag{7.16}$$

where C_ϵ is independent of η . The same estimate holds for $(-f)_+^\ell$ and its associated \mathcal{E}_0 .

Since all the building blocks leading to Theorem 3.13 agree, we have a similar statement for the a priori L^∞ -bound:

Theorem 7.6 (Linear case) *Suppose $G = \mu + g \geq 0$. Let $F = \mu + f_\eta \geq 0$ be a solution to Eq. (7.7) with $s \in [1/2, 1)$. Assume that ℓ satisfies*

$$\max\{8 + \gamma, 3 + 2\alpha\} \leq \ell < k_0 - 5 - \gamma, \quad \alpha > 2 + \gamma + 2s.$$

Assume that the initial data satisfies

$$\|\langle v \rangle^{\ell+2} f_0\|_{L^2_{x,v}} < \infty, \quad \|\langle v \rangle^\ell f_0\|_{L^\infty_{x,v}} < \infty. \tag{7.17}$$

Additionally, assume that the solution satisfies

$$\sup_t \|\langle v \rangle^{\ell_0+\ell} f\|_{L^1_{x,v}} \leq C_1,$$

where ℓ_0 satisfies the bound in Proposition 3.11 (or (3.93)). Then it follows that

$$\sup_{t \in [0, T]} \|\langle v \rangle^\ell f\|_{L^\infty_{x,v}} \leq \max \left\{ 2 \|\langle v \rangle^\ell f_0\|_{L^\infty_{x,v}}, K_0^{lin} \right\},$$

where

$$K_0^{lin} := C e^{C_\epsilon T} \max_{1 \leq i \leq 4} \max_{j \in \{1/p, p'/p\}} \left(\|\langle v \rangle^\ell f_0\|_{L^2_{x,v}}^{2j} + T^j \right)^{\frac{\beta_i-1}{\alpha_i}}. \tag{7.18}$$

Here C_ϵ is independent of η and C is independent of both ϵ and η .

It is clear from Theorem 7.6 that for each $\epsilon > 0$, if we let T be small enough (with smallness depending on ϵ, δ_0 only) and $\|\langle v \rangle^\ell f_0\|_{L^2_{x,v} \cap L^\infty_{x,v}}$ small enough (with smallness independent of both ϵ and η), then

$$\sup_{t \in [0, T]} \|\langle v \rangle^\ell f\|_{L^\infty_{x,v}} \leq \delta_0.$$

We can now combine the linear and nonlinear theory in Theorems 4.1 and 5.1 to obtain the local well-posedness of (7.6) as follows.

Theorem 7.7 *Suppose $s \in [1/2, 1)$ and let b_η be the regularized collision kernel. Suppose*

$$\begin{aligned} k_0 &> \max \{ \ell_0 + 15 + 2\gamma, \ell_0 + 10 + 2\alpha + \gamma, k - \alpha + 2\gamma + 2s + 9 + \ell_0 \}, \\ k &> \max \{ 8 + \gamma, \alpha \}, \quad \alpha > 2 + \gamma + 2s, \end{aligned}$$

where ℓ_0 is the same weight in Theorem 4.1 (precise statement in (3.93)). Suppose ϵ, δ_0, f_0 satisfy the assumptions in both part (a) and part (b) in Theorem 4.1. Then for each such ϵ , if T is small enough (which only depends on ϵ) then (7.6) has a solution $f \in L^\infty_t((0, T); L^2_x L^2_k(\mathbb{T}^3 \times \mathbb{R}^3))$. Moreover, f satisfies the bound

$$\|\langle v \rangle^{k_0-\ell_0-7-\gamma} f\|_{L^\infty((0, T) \times \mathbb{T}^3 \times \mathbb{R}^3)} \leq \delta_0. \tag{7.19}$$

Proof The proof is the combination of the proofs of Theorems 4.1 and 5.1. When applying the fixed-point argument as in (5.5), we note that the coefficients obtained will depend on η . This is the place that the regularization of b in (7.3) takes effect. Specifically, the counterpart of (5.5) is

$$\begin{aligned} & \iint_{\mathbb{T}^3 \times \mathbb{R}^3} \mathcal{Q}_\eta(g\chi(\langle v \rangle^{k_0} g) - h\chi(\langle v \rangle^{k_0} h), f_h)(f_g - f_h) \langle v \rangle^{2k} \, dx \, dv \\ & \leq \frac{C}{\eta^{2s-2s^*}} \int_{\mathbb{T}^3} \left\| g\chi(\langle v \rangle^{k_0} g) - h\chi(\langle v \rangle^{k_0} h) \right\|_{L^1_{\gamma+2s^*+k-\alpha} \cap L^2} \|f_h\|_{L^2_{\gamma+2s^*+k-\alpha}} \|f_g - f_h\|_{H^{2s^*}_{k+\alpha}} \, dx \\ & \leq C_\eta \left(\sup_x \|f_h\|_{L^2_{\gamma+2s^*+k-\alpha}} \right) \|g - h\|_{L^2_x L^2_k} \|f_g - f_h\|_{L^2_x H^{2s^*}_{k+\alpha}} \\ & \leq \frac{\epsilon}{16} \left\| \langle v \rangle^{k+\alpha} (f_g - f_h) \right\|_{L^2_x H^1_v}^2 + C_{\epsilon, \eta} \|g - h\|_{L^2_x L^2_k}^2. \end{aligned}$$

Thus the first time interval of existence obtained depends on both ϵ and η . However, since the a priori estimates are independent of η , such a solution can be extended to T independent of η . \square

Once the existence of f_η is shown, we can pass to the limit and return to the original operator Q (with χ).

Theorem 7.8 *Suppose $s \in [1/2, 1)$ and*

$$\begin{aligned} k_0 &> \max \{ \ell_0 + 15 + 2\gamma, \ell_0 + 10 + 2\alpha + \gamma, k - \alpha + 2\gamma + 2s + 9 + \ell_0 \}, \\ k &> \max \{ 8 + \gamma, \alpha \}, \quad \alpha > 2 + \gamma + 2s, \end{aligned}$$

where ℓ_0 is the same weight in Theorem 4.1 (precise statement in (3.93)). Suppose ϵ, δ_0, f_0 satisfy the assumptions in both part (a) and part (b) in Theorem 4.1. Then for each such ϵ , if T is small enough (which only depend on ϵ) then the equation

$$\partial_t f + v \cdot \nabla_x f = \epsilon L_\alpha(\mu + f) + Q(\mu + f\chi(\langle v \rangle^{k_0} f), \mu + f), \quad f|_{t=0} = f_0(x, v) \tag{7.20}$$

has a solution $f \in L^2_{t,x} L^2_k((0, T) \times \mathbb{T}^3 \times \mathbb{R}^3)$. Moreover, f satisfies the bound

$$\left\| \langle v \rangle^{k_0 - \ell_0 - 7 - \gamma} f \right\|_{L^\infty((0, T) \times \mathbb{T}^3 \times \mathbb{R}^3)} \leq \delta_0. \tag{7.21}$$

Proof By Theorem 7.2 and Theorem 7.7, Eq. (7.6) has a solution f_η satisfying

$$\left\| \langle v \rangle^{k_0 - \ell_0 - 7 - \gamma} f_\eta \right\|_{L^\infty_{t,x,v}} < \delta_0, \quad \|f_\eta\|_{H^{s'}_{t,x} H^{1}_{k+\alpha}} < C_0 < \infty, \quad s' < 1/8.$$

Given the uniform polynomial decay and a diagonal argument, we can extract a subsequence, still denoted as f_η , such that

$$f_\eta \rightarrow f \quad \text{strongly in } L^2_{t,x,v}((0, T) \times \mathbb{T}^3 \times \mathbb{R}^3).$$

Our goal is to show that

$$\mathcal{Q}_\eta(f_\eta\chi(\langle v \rangle^{k_0} f_\eta), f_\eta) \rightarrow \mathcal{Q}(f\chi(\langle v \rangle^{k_0} f), f) \quad \text{in } \mathcal{D}'. \tag{7.22}$$

Using a test function ϕ , we consider the difference

$$\begin{aligned} & Q_\eta(f_\eta, f_\eta) - Q(f, f) \\ &= \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} \int_{\mathbb{S}^2} b_\eta(\cos \theta) \left(f'_{\eta,*} \chi'_{\eta,*} f'_\eta - f_{\eta,*} \chi_{\eta,*} f_\eta \right) |v - v_*|^\gamma \phi(v) \, d\sigma \, dv_* \, dv \\ &\quad - \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} \int_{\mathbb{S}^2} b(\cos \theta) \left(f'_* \chi'_* f' - f_* \chi_* f \right) |v - v_*|^\gamma \phi(v) \, d\sigma \, dv_* \, dv \\ &= \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} \int_{\mathbb{S}^2} b_\eta(\cos \theta) \left(f_{\eta,*} \chi_{\eta,*} f_\eta - f_* \chi_* f \right) |v - v_*|^\gamma \left(\phi(v') - \phi(v) \right) \, d\sigma \, dv_* \, dv \\ &\quad + \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} \int_{\mathbb{S}^2} \left(b(\cos \theta) - b_\eta(\cos \theta) \right) f_* \chi_* f |v - v_*|^\gamma \left(\phi(v') - \phi(v) \right) \, d\sigma \, dv_* \, dv \\ &\triangleq E_1 + E_2. \end{aligned}$$

By Proposition 2.10 and the upper bound of b_η in (7.3), E_1 is bounded as

$$\begin{aligned} |E_1| &\leq \left| \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} \int_{\mathbb{S}^2} b_\eta(\cos \theta) \left(f_{\eta,*} \chi_{\eta,*} f_\eta - f_* \chi_* f \right) |v - v_*|^\gamma \left(\phi(v') - \phi(v) \right) \, d\sigma \, dv_* \, dv \right| \\ &\leq \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} |f_{\eta,*} \chi_{\eta,*} f_\eta - f_* \chi_* f| |v - v_*|^\gamma \left| \int_{\mathbb{S}^2} b_\eta(\cos \theta) \left(\phi(v') - \phi(v) \right) \, d\sigma \right| \, dv_* \, dv \\ &\leq C \|\phi\|_{W^{2,\infty}} \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} |f_{\eta,*} \chi_{\eta,*} f_\eta - f_* \chi_* f| |v - v_*|^{2+\gamma} \, dv_* \, dv, \end{aligned}$$

where C is independent of η . The integrand in the inequality above satisfies

$$\begin{aligned} |f_{\eta,*} \chi_{\eta,*} f_\eta - f_* \chi_* f| |v - v_*|^{2+\gamma} &\leq |f_{\eta,*} \chi_{\eta,*} - f_* \chi_*| \langle v_* \rangle^{2+\gamma} |f_\eta| \langle v \rangle^{2+\gamma} \\ &\quad + |f_\eta - f| \langle v \rangle^{2+\gamma} |f_{\eta,*}| \langle v_* \rangle^{2+\gamma} \\ &\leq |f_{\eta,*} - f_*| \langle v_* \rangle^{2+\gamma} |f_\eta| \langle v \rangle^{2+\gamma} \\ &\quad + |f_\eta - f| \langle v \rangle^{2+\gamma} |f_{\eta,*}| \langle v_* \rangle^{2+\gamma}. \end{aligned}$$

Therefore,

$$\|E_1\|_{L^2_{t,x}} \leq C \|\phi\|_{W^{2,\infty}} \|f_\eta - f\|_{L^2_{t,x} L^{2+4\gamma}} \rightarrow 0, \quad \eta \rightarrow 0.$$

To estimate E_2 , note that by symmetry (or more precisely, anti-symmetry) and Taylor expansion, E_2 satisfies

$$\begin{aligned} & \left| \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} \int_{\mathbb{S}^2} \left(b(\cos \theta) - b_\eta(\cos \theta) \right) f_* \chi_* f |v - v_*|^\gamma \left(\phi(v') - \phi(v) \right) \, d\sigma \, dv_* \, dv \right| \\ &\leq \left| \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} \int_{\mathbb{S}^2} \left(b(\cos \theta) - b_\eta(\cos \theta) \right) f_* \chi_* f |v - v_*|^\gamma (v - v') \cdot \nabla_v \phi(v) \, d\sigma \, dv_* \, dv \right| \\ &\quad + \left| \frac{1}{2} \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} \int_{\mathbb{S}^2} \left(b(\cos \theta) - b_\eta(\cos \theta) \right) f_* \chi_* f |v - v_*|^\gamma (v - v') \otimes (v - v') \cdot \nabla_v^2 \phi(\bar{v}) \, d\sigma \, dv_* \, dv \right| \\ &\leq \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} \int_{\mathbb{S}^2} (1 - \cos \theta) |b(\cos \theta) - b_\eta(\cos \theta)| f_* \chi_* f |v - v_*|^{1+\gamma} |\nabla_v \phi(v)| \, d\sigma \, dv_* \, dv \\ &\quad + \frac{1}{2} \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} \int_{\mathbb{S}^2} \sin^2 \theta |b(\cos \theta) - b_\eta(\cos \theta)| f_* \chi_* f |v - v_*|^{2+\gamma} |\nabla_v^2 \phi(\bar{v})| \, d\sigma \, dv_* \, dv, \end{aligned}$$

where by (7.3), the integrands of the last two terms satisfy the uniform bounds

$$(1 - \cos \theta) |b(\cos \theta) - b_\eta(\cos \theta)| f_* f |v - v_*|^{1+\gamma} |\nabla_v \phi(v)|$$

$$\leq 2 \|\phi\|_{W^{1,\infty}} (1 - \cos \theta) b(\cos \theta) f_* f |v - v_*|^{1+\gamma}$$

and

$$\begin{aligned} & \sin^2 \theta |b(\cos \theta) - b_\eta(\cos \theta)| f_* f |v - v_*|^{2+\gamma} |\nabla_v^2 \phi(\bar{v})| \\ & \leq 2 \|\phi\|_{W^{2,\infty}} \sin^2 \theta b(\cos \theta) f_* f |v - v_*|^{2+\gamma}. \end{aligned}$$

Since the right-hand sides of the inequalities above are integrable, we can apply the Lebesgue Dominated Convergence Theorem and obtain that $E_2 \rightarrow 0$ as $\eta \rightarrow 0$. Hence (7.22) holds. \square

Recall that the only place that the restriction of a weak singularity enters is when we apply the fixed-point argument (see (5.5)) to obtain an approximate solution to Eq. (7.20). Once such restriction is bypassed via Theorem 7.8, the rest of the results from Proposition 5.2 to Theorem 6.4 all hold, since they are all proved for $s \in (0, 1)$. This leads us to the main theorem of this paper.

Theorem 7.9 (Global Existence) *Let $s \in (0, 1)$ and $\gamma \in (0, 1]$. Suppose δ_0 is a constant small enough such that bounds in Theorem 5.9 and (6.15) are satisfied. Let ℓ_0 be the same weight in Theorem 4.1 and k_0 be a constant satisfying*

$$k_0 > 5\ell_0 + 35 + 5\gamma + 4s.$$

Let δ_*^\sharp , defined in (6.17), be the constant measuring the smallness of the data. Suppose the initial data f_0 has zero mass, momentum and energy and satisfies

$$\left\| \langle v \rangle^{k_0} f_0 \right\|_{L_{x,v}^\infty \cap L_{x,v}^2} < \delta_*^\sharp, \quad \left\| \langle v \rangle^{k_0 + \ell_0 + 2} f_0 \right\|_{L_{x,v}^2} < \infty. \tag{7.23}$$

Then the Boltzmann equation (1.1) has a unique solution $f \in L^\infty(0, \infty; L_x^2 L_{k_0 + \ell_0 + 2}^2(\mathbb{T}^3 \times \mathbb{R}^3))$. Moreover, there exist $\lambda' > 0$ such that

$$\begin{aligned} & \left\| \langle v \rangle^{k_0} f \right\|_{L^\infty(0, \infty; \mathbb{T}^3 \times \mathbb{R}^3)} \leq \delta_0 / 2 < \delta_0, \\ & \|f(t, \cdot, \cdot)\|_{L_x^2 L_{k_0 + \ell_0 + 2}^2} \leq C \|f_0\|_{L_x^2 L_{k_0 + \ell_0 + 2}^2} e^{-\lambda' t}, \quad t \geq 0. \end{aligned}$$

Finally, based on the global result and the exponential decay of the L^2 -norm in Theorem 7.9, we can show an exponential decay in the L^∞ -norm of the solution.

Theorem 7.10 *Suppose k_0 and the initial data f_0 satisfy the same conditions in Theorem 7.9. Then there exists $C_{k_0}, \eta_0 > 0$ such that for any $t > 1$ the solution obtained in Theorem 7.9 satisfies*

$$\left\| \langle v \rangle^{k_0} f(t, \cdot, \cdot) \right\|_{L_{x,v}^\infty} \leq C_{k_0} \left\| \langle v \rangle^{k_0} f_0 \right\|_{L_{x,v}^2}^{2\eta_0/p} e^{-\frac{2\lambda'\eta_0}{p} t}, \tag{7.24}$$

where λ' is the same decay rate in Theorem 7.9.

Proof For any $K, t_1 > 0$, let \mathcal{E}_p be the energy functional similar as in (3.54):

$$\begin{aligned} \mathcal{E}_p(K, t_1, \infty) & := \sup_{t \geq t_1} \left\| f_{K,+}^{(\ell)}(t, \cdot, \cdot) \right\|_{L_{x,v}^2}^2 + \int_{t_1}^\infty \int_{\mathbb{T}^3} \left\| \langle \cdot \rangle^{\gamma/2} f_{K,+}^{(\ell)} \right\|_{H_v^s}^2 dx d\tau \\ & + \frac{1}{C_0} \left(\int_{t_1}^\infty \left\| (1 - \Delta_x)^{\frac{s''}{2}} \left(f_{K,+}^{(\ell)} \right)^2 \right\|_{L_{x,v}^p}^p d\tau \right)^{\frac{1}{p}}. \end{aligned}$$

Note that by its definition \mathcal{E}_p is decreasing in t_1 and K . The global bounds of f developed in Theorem 7.9 guarantee that $\mathcal{E}_p(K, t_1, \infty)$ is well-defined. Moreover, for any $T \geq 0$ and $\ell \leq k_0 + \ell_0 + 2$,

$$\begin{aligned} \mathcal{E}_p(0, T, \infty) &= \sup_{t \geq T} \left\| \langle v \rangle^\ell f_+(t, \cdot, \cdot) \right\|_{L^2_{x,v}}^2 + \int_T^\infty \int_{\mathbb{T}^3} \left\| \langle \cdot \rangle^{\ell+\gamma/2} f_+ \right\|_{H^s_v}^2 \, dx \, d\tau \\ &\quad + \frac{1}{C_0} \left(\int_T^\infty \left\| (1 - \Delta_x)^{\frac{s''}{2}} \left(\langle v \rangle^{2\ell} f_+^2 \right) \right\|_{L^p_{x,v}}^p \, d\tau \right)^{\frac{1}{p}} \\ &\leq C_\ell \left\| \langle v \rangle^\ell f_0 \right\|_{L^2_{x,v}}^{2/p} e^{-\frac{2\lambda'}{p}T}, \quad \ell \leq k_0 + \ell_0 + 2, \end{aligned} \tag{7.25}$$

where λ' is the decay rate in Theorem 7.9.

Our main goal is to remove the dependence on the weighted L^∞ -norm of f_0 in (5.33) (with $\epsilon = 0$) so that the exponential decay in the weighted L^2 -norm of f can be transferred to exponential decay in the L^∞ -norm. To this end, define the levels

$$M_k := K_0(1 - 1/2^k), \quad k = 0, 1, 2, \dots .$$

Setting $f_k := f_{M_k,+}^{(\ell)}$ and proceeding as in the proof of Theorem 3.13, we arrive at

$$\begin{aligned} \mathcal{E}_p(M_k, t_1, \infty) &\leq C \left\| \langle v \rangle^2 f_k(t_1) \right\|_{L^2_{x,v}}^2 + C \left\| \langle v \rangle^2 f_k(t_1) \right\|_{L^{2p}_{x,v}}^2 \\ &\quad + C \sum_{i=1}^4 \frac{2^{k(a_i+1)}}{K_0^{a_i}} \mathcal{E}_p(M_{k-1}, t_1, \infty)^{\beta_i}, \end{aligned} \tag{7.26}$$

for $k = 1, 2, \dots$. The parameters a_i, β_i are the same as in Theorem 3.13. Fix $T > 1$ and let T_k be the increasing time sequence

$$T_k := T(1 - 1/2^{k+1}), \quad k = 0, 1, 2, \dots .$$

We further denote \mathcal{E}_k as

$$\mathcal{E}_k = \mathcal{E}_p(M_k, T_k, \infty).$$

Integrate (7.26) in $t_1 \in [T_{k-1}, T_k]$ to obtain that

$$\begin{aligned} \mathcal{E}_k &= \mathcal{E}_p(M_k, T_k, \infty) \\ &\leq C(T_k - T_{k-1})^{-1} \left(\int_{T_{k-1}}^{T_k} \left\| \langle v \rangle^2 f_k(t_1) \right\|_{L^2_{x,v}}^2 \, dt_1 + \int_{T_{k-1}}^{T_k} \left\| \langle v \rangle^2 f_k(t_1) \right\|_{L^{2p}_{x,v}}^2 \, dt_1 \right) \\ &\quad + C \sum_{i=1}^4 \frac{2^{k(a_i+1)} \mathcal{E}_{k-1}^{\beta_i}}{K_0^{a_i}}, \end{aligned}$$

where we have applied the monotonicity of $\mathcal{E}_p(\cdot, \cdot, \cdot)$ in its first and second variables. By similar estimates as in (3.92) with the same definitions for r_*, ξ_* , we have

$$\int_{T_{k-1}}^{T_k} \left\| \langle v \rangle^2 f_k(t_1) \right\|_{L^2_{x,v}}^2 \, dt_1 \leq \tilde{C}_0 \frac{\mathcal{E}_p(M_k, T_{k-1}, T_k)^{r_*}}{(M_k - M_{k-1})^{\xi_*-2}} \leq \tilde{C}_0 \frac{2^{k(\xi_*-2)} \mathcal{E}_{k-1}^{r_*}}{K_0^{\xi_*-2}},$$

and by (3.60), it holds that

$$\int_{T_{k-1}}^{T_k} \left\| \langle v \rangle^2 f_k(t_1) \right\|_{L^{2p}_{x,v}}^2 \, dt_1 = \int_{T_{k-1}}^{T_k} \left\| \langle v \rangle^4 (f_k(t_1))^2 \right\|_{L^p_{x,v}} \, dt_1$$

$$\begin{aligned} &\leq (T_k - T_{k-1})^{\frac{p-1}{p}} \|\langle v \rangle^4 (f_k)^2\|_{L^p_{t,x,v}} \\ &\leq \tilde{C}_0 (T_k - T_{k-1})^{\frac{p-1}{p}} \frac{2^k \frac{\xi_* - 2p}{p} \mathcal{E}_{k-1}^{\frac{r_*}{p}}}{K_0^{\frac{\xi_* - 2p}{p}}}. \end{aligned}$$

Since we are interested in the long time behaviour we may take $T \geq 1$ to derive that an analogous estimate to (3.112) with a_i, β_i defined in (3.96) holds:

$$\mathcal{E}_k \leq C_\ell \sum_{i=1}^4 \frac{2^{k(a_i+1)} \mathcal{E}_{k-1}^{\beta_i}}{K_0^{a_i}}, \quad k = 1, 2, \dots, \quad T \geq 1. \tag{7.27}$$

The key difference between (7.27) and (3.112) is that K_0 in (7.27) is independent of f_0 . Applying the De Giorgi iteration to (7.27) we conclude similarly as in (5.33) (with $\epsilon = 0$) that

$$\sup_{t \geq T} \|\langle v \rangle^\ell f_+(t, \cdot, \cdot)\|_{L^\infty_{x,v}} \leq K_0 := K_0(\mathcal{E}_0) \leq C_\ell \max_{1 \leq i \leq 4} \mathcal{E}_0^{\frac{\beta_i - 1}{a_i}}, \quad \ell \leq k_0,$$

where $\mathcal{E}_0 := \mathcal{E}_p(0, T/2, \infty)$. Hence by the bound in (7.25), we have

$$\sup_{t \geq T} \|\langle v \rangle^\ell f_+(t, \cdot, \cdot)\|_{L^\infty_{x,v}} \leq C_\ell \|\langle v \rangle^\ell f_0\|_{L^2_{x,v}}^{2\eta_0/p} e^{-\frac{2\lambda'_0 \eta_0}{p} T}, \quad \eta_0 = \min_{1 \leq i \leq 4} \frac{\beta_i - 1}{a_i}.$$

In particular, the above inequality holds for $\ell = k_0$, which is the desired bound in (7.24) for the positive part of f . Analogous computation can be performed for the negative part of f which finishes the bound in (7.24). \square

8 Proofs of Lemmas 2.1 and 2.2

In this appendix we show the proofs of Lemmas 2.1 and 2.2, starting with Lemma 2.1.

Proof of Lemma 2.1 For the proof it suffices to show, for $u \in L^p(\mathbb{R}^d)$,

$$\|\langle v \rangle^\ell \langle D_v \rangle^\theta \langle v \rangle^{-\ell} \langle D_v \rangle^{-\theta} u\|_{L^p_v} \leq C \|u\|_{L^p_v}, \tag{8.1}$$

$$\|\langle D_v \rangle^\theta \langle v \rangle^\ell \langle D_v \rangle^{-\theta} \langle v \rangle^{-\ell} u\|_{L^p_v} \leq C \|u\|_{L^p_v}. \tag{8.2}$$

To show (8.1), we use the expansion formula of pseudo-differential operators (Ex., [37, Theorem 3.1]),

$$\langle D_v \rangle^\theta \langle v \rangle^{-\ell} = \langle v \rangle^{-\ell} \langle D_v \rangle^\theta + \sum_{0 < |\alpha| < N} \frac{1}{\alpha!} (\langle v \rangle^{-\ell})_{(\alpha)} (\langle D_v \rangle^\theta)^{(\alpha)} + r_N(v, D_v),$$

where $p_{(\beta)}^{(\alpha)}(v, \xi) = \partial_\xi^\alpha (-i \partial_v)^\beta p(v, \xi)$ for the symbol $p(v, \xi)$. If $N > d + 1 + |\ell| + |\theta|$ then $\tilde{r}_N(v, \xi) \triangleq \langle v \rangle^\ell r_N(v, \xi) \langle \xi \rangle^{-\theta}$ belongs to the symbol class $S_{1,0}^{-d-1}$. In fact, it follows from [37, Theorem 3.1] that

$$r_N(v, \xi) = N \sum_{|\alpha|=N} \int_0^1 \frac{(1-\tau)^{N-1}}{\alpha!} r_{N,\tau,\alpha}(v, \xi) d\tau,$$

$$r_{N,\tau,\alpha}(v, \xi) = \text{Os} - \int \int e^{-iy \cdot \eta} (\langle \xi + \tau \eta \rangle^\theta)^{(\alpha)} \langle (v + y)^{-\ell} \rangle_{(\alpha)} \frac{dy d\eta}{(2\pi)^d}.$$

Using the elementary identities

$$e^{-iy \cdot \eta} = \langle \eta \rangle^{-2m} (1 - \Delta_y)^m e^{-iy \cdot \eta}, \quad e^{-iy \cdot \eta} = \langle y \rangle^{-2k} (1 - \Delta_\eta)^k e^{-iy \cdot \eta},$$

we have, for $m, k \in \mathbb{N}$ sufficiently large,

$$\begin{aligned} r_{N,\tau,\alpha}(v, \xi) &= \int \left(\int e^{-iy \cdot \eta} \langle y \rangle^{-2k} (1 - \Delta_\eta)^k \left\{ \langle \eta \rangle^{-2m} (\langle \xi + \tau \eta \rangle^\theta)^{(\alpha)} (1 - \Delta_y)^m \langle (v + y)^{-\ell} \rangle_{(\alpha)} \right\} \frac{d\eta}{(2\pi)^d} \right) dy \\ &= \int \{ (1 - \Delta_y)^m \langle (v + y)^{-\ell} \rangle_{(\alpha)} \} \left(\int e^{-iy \cdot \eta} (1 - \Delta_\eta)^k \{ \langle \eta \rangle^{-2m} (\langle \xi + \tau \eta \rangle^\theta)^{(\alpha)} \} \frac{d\eta}{(2\pi)^d} \right) \frac{dy}{\langle y \rangle^{2k}} \\ &= \int \{ (1 - \Delta_y)^m \langle (v + y)^{-\ell} \rangle_{(\alpha)} \} \left(\int_{|\eta| \leq \frac{\langle \xi \rangle}{2}} \{ \dots \} \frac{d\eta}{(2\pi)^d} + \int_{|\eta| \geq \frac{\langle \xi \rangle}{2}} \{ \dots \} \frac{d\eta}{(2\pi)^d} \right) \frac{dy}{\langle y \rangle^{2k}} \\ &\triangleq \int \{ (1 - \Delta_y)^m \langle (v + y)^{-\ell} \rangle_{(\alpha)} \} \left(I_1(\xi; y) + I_2(\xi, y) \right) \frac{dy}{\langle y \rangle^{2k}}. \end{aligned}$$

Since $\langle \xi \rangle$ and $\langle \xi + \tau \eta \rangle$ are equivalent in I_1 , it follows that

$$|I_1| \leq C \langle \xi \rangle^{\theta - N},$$

and moreover the same bound for $|I_2|$ holds if $2m > N - \theta + d$. Using $\langle v + y \rangle^{-1} \langle y \rangle^{-1} \leq \langle v \rangle^{-1}$, and taking k satisfying $2k > N + |\ell| + d$, we see that $\tilde{r}_N(v, \xi)$ belongs to the desired symbol class. If we put $K(v, z) = \int e^{iz \cdot \xi} \tilde{r}_N(v, \xi) d\xi / (2\pi)^d$ then we have $\tilde{r}_N(v, D_v)u(v) = \int K(v, v - y)u(y)dy$ and

$$\sup_v |K(v, z)| \leq \langle z \rangle^{-2d} \int \sup_v \left| e^{iz \cdot \xi} (1 - \Delta_\xi)^d \tilde{r}_N(v, \xi) \right| d\xi \leq C \langle z \rangle^{-2d},$$

which concludes that $\tilde{r}_N(v, D_v)$ is L^p bounded operator for $p \in [1, \infty]$. Next we consider the L^p boundedness of terms $\langle v \rangle^\ell \langle (v - \ell) \rangle_{(\alpha)} \langle (D_v)^\theta \rangle^{(\alpha)} \langle D_v \rangle^{-\theta}$ for $0 \leq |\alpha| < N - 1$. Since the term for $\alpha = 0$ is identity, its L^p boundedness is trivial. Note that the multiplication $\langle v \rangle^\ell \langle (v - \ell) \rangle_{(\alpha)}$ is L^p bounded operator. If we put $Q_\alpha(\xi) = (\langle \xi \rangle^\theta)^{(\alpha)} \langle \xi \rangle^{-\theta}$ for $\alpha \neq 0$, then the proof of (8.1) is completed by the fact that the Fourier multiplier $Q_\alpha(D_v)$ is L^p bounded. Indeed, one can see that $K_\alpha(z) \triangleq \int e^{iz \cdot \xi} Q_\alpha(\xi) d\xi / (2\pi)^d \in L^1$, more precisely, $|K_\alpha(z)| \leq C|z|^{-d+1}$ if $|z| < 1$ and $|K_\alpha(z)| \leq C_m|z|^{-2m}$ if $|z| \geq 1$ for any $m \in \mathbb{N}$ satisfying $2m > d$. To obtain these estimates, take a cutoff function $\varphi(\xi) \in C_0^\infty(\mathbb{R}^d)$ satisfying $\varphi = 1$ for $|\xi| \leq 1$ and $\varphi = 0$ for $|\xi| \geq 2$, and decompose

$$\begin{aligned} K_\alpha(z) &= \int e^{iz \cdot \xi} \varphi\left(\frac{\xi}{A}\right) Q_\alpha(\xi) \frac{d\xi}{(2\pi)^d} + |z|^{-2m} \int e^{iz \cdot \xi} (-\Delta_\xi)^m \left((1 - \varphi\left(\frac{\xi}{A}\right)) Q_\alpha(\xi) \right) \frac{d\xi}{(2\pi)^d} \\ &\triangleq K_{1,\alpha}(z) + K_{2,\alpha}(z), \end{aligned}$$

for any $A > 0$. Then we have

$$\begin{aligned} |K_{1,\alpha}(z)| &\leq C \int_{\{|\xi| \leq 2A\}} \langle \xi \rangle^{-1} d\xi \leq C' A^{d-1}, \\ |K_{2,\alpha}(z)| &\leq C_m |z|^{-2m} \int_{\{|\xi| \geq A\}} \langle \xi \rangle^{-2m-1} d\xi \leq C'_m |z|^{-2m} A^{-2m-1+d}, \end{aligned}$$

because $\varphi(\xi/A) \in S_{1,0}^0$ and $Q_\alpha(\xi) \in S_{1,0}^{-1}$. Choosing $A = |v|^{-1}$, we have the desired estimate for K_α when $|z| \leq 1$, and another estimate is obvious by considering the same formula without the cutoff function φ .

For the proof of (8.2) we use the expansion formula twice. First expansion is

$$\langle D_v \rangle^{-\theta} \langle v \rangle^{-\ell} = \langle v \rangle^{-\ell} \langle D_v \rangle^{-\theta} + \sum_{0 < |\alpha| < N} \frac{1}{\alpha!} (\langle v \rangle^{-\ell})_{(\alpha)} (\langle D_v \rangle^{-\theta})^{(\alpha)} + r_{1,N}(v, D_v),$$

where $r_{1,N}(v, \xi)$ satisfies $\langle v \rangle^\ell \langle \xi \rangle^\ell r_{1,N}(v, \xi) \in S_{1,0}^{-d-1}$ if N is chosen sufficiently large. This implies that the symbol of $\langle D_v \rangle^\theta \langle v \rangle^\ell r_{1,N}(v, D_v)$ belongs to $S_{1,0}^{-d-1}$, and hence one can show that $\langle D_v \rangle^\theta \langle v \rangle^\ell r_{1,N}(v, D_v)$ is L^p bounded, by the same way as before. Since $\langle D_v \rangle^\theta \langle v \rangle^\ell \langle v \rangle^{-\ell} \langle D_v \rangle^{-\theta} = Id$, it suffices to consider the L^p boundedness of $\langle D_v \rangle^\theta \langle v \rangle^\ell (\langle v \rangle^{-\ell})_{(\alpha)} (\langle D_v \rangle^{-\theta})^{(\alpha)}$ for $\alpha \neq 0$. Use the expansion formula again

$$\langle D_v \rangle^\theta \left(\langle v \rangle^\ell (\langle v \rangle^{-\ell})_{(\alpha)} \right) = \sum_{0 \leq |\beta| < \tilde{N}} \frac{1}{\beta!} \left(\langle v \rangle^\ell (\langle v \rangle^{-\ell})_{(\alpha)} \right)_{(\beta)} (\langle D_v \rangle^\theta)^{(\beta)} + r_{2,\tilde{N}}(v, D_v).$$

If \tilde{N} is large enough, then $r_{2,\tilde{N}}(v, D_v) (\langle D_v \rangle^{-\theta})^{(\alpha)}$ is L^p bounded because its symbol belongs to $S_{1,0}^{-d-1}$. On the other hand, since $\left(\langle v \rangle^\ell (\langle v \rangle^{-\ell})_{(\alpha)} \right)_{(\beta)}$ is bounded function and since $(\langle D_v \rangle^\theta)^{(\beta)} (\langle D_v \rangle^{-\theta})^{(\alpha)}$ is a Fourier multiplier with its symbol in $S_{1,0}^{-1}$, we see their product is L^p bounded operator. Thus we obtain (8.2). \square

Next we show the proof of Lemma 2.2.

Proof of Lemma 2.2 By one of the definitions of the fractional Laplacian, we have

$$\begin{aligned} \|(-\Delta_v)^{\alpha/2} (\langle v \rangle^{-2} f)\|_{L^2(\mathbb{R}_v^3)}^2 &= C \iint_{\mathbb{R}^6} \frac{|\langle v' \rangle^{-2} f(v') - \langle v \rangle^{-2} f(v)|^2}{|v' - v|^{3+2\alpha}} dv' dv \\ &\leq 2C \iint_{\mathbb{R}^6} \langle v' \rangle^{-4} \frac{|f(v') - f(v)|^2}{|v' - v|^{3+2\alpha}} dv' dv \\ &\quad + 2C \iint_{\mathbb{R}^6} \frac{|\langle v' \rangle^{-2} - \langle v \rangle^{-2}|^2}{|v' - v|^{3+2\alpha}} |f(v)|^2 dv' dv, \end{aligned}$$

where the first term on the right-hand side is readily bounded by $C \|(-\Delta_v)^{\alpha/2} f\|_{L^2(\mathbb{R}_v^3)}^2$. Hence we focus on the second term, which satisfies

$$\begin{aligned} \iint_{\mathbb{R}^6} \frac{|\langle v' \rangle^{-2} - \langle v \rangle^{-2}|^2}{|v' - v|^{3+2\alpha}} |f(v)|^2 dv' dv &= \iint_{\mathbb{R}^6} \frac{1}{\langle v' \rangle^4} \frac{1}{\langle v \rangle^4} \frac{||v|^2 - |v'|^2|^2}{|v' - v|^{3+2\alpha}} |f(v)|^2 dv' dv \\ &\leq \iint_{\mathbb{R}^6} \frac{1}{\langle v' \rangle^4} \frac{1}{\langle v \rangle^4} \frac{|v|^2 + |v'|^2}{|v' - v|^{1+2\alpha}} |f(v)|^2 dv' dv \\ &\leq \int_{\mathbb{R}^3} \frac{1}{\langle v \rangle^2} |f(v)|^2 \left(\int_{\mathbb{R}^3} \frac{1}{\langle v' \rangle^2} \frac{1}{|v' - v|^{1+2\alpha}} dv' \right) dv. \end{aligned} \tag{8.3}$$

For any $v \in \mathbb{R}^3$, make the separation of the domain as

$$\mathbb{R}^3 = \{v' \mid |v'| > 2|v| \text{ or } |v'| < |v|/2\} \cup \{v' \mid |v|/2 \leq |v'| \leq 2|v|\} \triangleq \Omega_1 \cup \Omega_2.$$

Then the v' -integration in (8.3) satisfies

$$\begin{aligned} \int_{\mathbb{R}^3} \frac{1}{\langle v' \rangle^2} \frac{1}{|v' - v|^{1+2\alpha}} dv' &= \int_{\Omega_1} \frac{1}{\langle v' \rangle^2} \frac{1}{|v' - v|^{1+2\alpha}} dv' + \int_{\Omega_2} \frac{1}{\langle v' \rangle^2} \frac{1}{|v' - v|^{1+2\alpha}} dv' \\ &\leq C \int_{\Omega_1} \frac{1}{\langle v' \rangle^2} \frac{1}{|v'|^{1+2\alpha}} dv' + \frac{C}{\langle v \rangle^2} \int_{|v' - v| \leq 3\langle v \rangle} \frac{1}{|v' - v|^{1+2\alpha}} dv' \\ &\leq C + \frac{C}{\langle v \rangle^2} \langle v \rangle^{2-2\alpha} \leq 2C < \infty, \end{aligned}$$

where C is independent of v . Hence by letting $p \in (2, 6)$ be the exponent in the Sobolev embedding

$$\|f\|_{L^p(\mathbb{R}_v^3)} \leq C \|(-\Delta_v)^{\alpha/2} f\|_{L^2(\mathbb{R}_v^3)},$$

we can bound the term on the right-hand side of (8.3) as follows:

$$\begin{aligned} \int_{\mathbb{R}^3} \frac{1}{\langle v \rangle^2} |f(v)|^2 \left(\int_{\mathbb{R}^3} \frac{1}{\langle v' \rangle^2} \frac{1}{|v' - v|^{1+2\alpha}} dv' \right) dv \\ \leq C \int_{\mathbb{R}^3} \frac{1}{\langle v \rangle^2} |f(v)|^2 dv \leq C \left(\int_{\mathbb{R}^3} \frac{1}{\langle v \rangle^{2q}} dv \right)^{2/q} \|f\|_{L^p(\mathbb{R}_v^3)}^2 \leq C \|(-\Delta_v)^{\alpha/2} f\|_{L_v^2}^2, \end{aligned} \tag{8.4}$$

where $q = (p/2)' = p/(p - 2) > 3/2$ since $p \in (2, 6)$. We therefore get

$$\|(-\Delta_v)^{\alpha/2} (\langle v \rangle^{-2} f)\|_{L^2(\mathbb{R}_v^3)}^2 \leq C \|(-\Delta_v)^{\alpha/2} f\|_{L^2(\mathbb{R}_v^3)}^2.$$

The lemma holds by a further integration in x . □

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