

1 **The Face of Trust: The Effect of Robot Face Ratio on Consumer Preference**

2

3 **1. Introduction**

4 A social robot is a robotic application in artificial intelligence intended to socially interact
5 with people. Social robots can imitate human behavior and carry out tasks (Saunderson & Nejat,
6 2019), such as assisting autism-disorder children in learning social rules (Zhang et al., 2019) or
7 emotionally supporting and accompanying older adults (Deutsch, Erel, Paz, Hoffman, &
8 Zuckerman, 2019). Different from industrial or mechanical robots, which seldom have human-
9 like traits, the latest social robots are often designed with screen-based heads, which display
10 human-like faces, to socially communicate with people and address people's needs (Westlund et
11 al., 2016).

12 Indeed, the likeness of a robot's face to a human face has been shown to play an
13 important role in improving the interaction experience in human-robot relationships (McGinn,
14 2019; Stroessner & Benitez, 2019). There are two reasons for this phenomenon. The first is that,
15 due to evolutionary psychology, people have a tendency to transfer prior experience and
16 knowledge into emerging situations or scenarios (Prakash & Rogers, 2015). The second, more
17 important reason, is that a robot's face is a typical point for initial contact, forming the first
18 impression and the basis for evaluation (M. Yu, Saleem, & Gonzalez, 2014). Accordingly, the
19 visual characteristics of a social robot, including such facial features as width, height, color, and
20 proportions, are regarded as playing a key role in its commercial success (Goetz, Kiesler, &
21 Powers, 2003) and in influencing consumers' purchase intentions (Homburg, Schwemmler, &
22 Kuehnl, 2015).

23 As regards people’s perceptions of a human being or an object, trustworthiness
24 evaluations are considered fundamental, indicating whether or not that person or object can be
25 relied upon (Colquitt, Scott, & LePine, 2007). Similar to interpersonal interactions, the level of
26 trustworthiness that people feel regarding social robots is also important in human-robot
27 interaction (HRI), potentially indicating that a given social robot can be employed as a
28 trustworthy “friend, partner or assistant” that provides support not just physically but also
29 emotionally (P. L. Yu, Balaji, & Khong, 2015). Considering that extant studies have suggested
30 that the face is a significant attractive stimulus in interpersonal interactions, human-like
31 morphological features in social robots could also influence HRI (Maeng & Aggarwal, 2018;
32 McGinn, 2019; Stroessner & Benitez, 2019). For instance, Palinko et al. (2015) used eye-
33 tracking experiments to demonstrate that people tend to have a similar gaze fixation towards a
34 social robot and a real human. However, there is limited research has addressed how specific
35 traits of a social robot’s face could communicate trustworthiness.

36 Here we try to fill this research gap by exploring how a trustworthy image for a social
37 robot can be constructed. Particularly, we examined whether the facial width-to-height ratio
38 (fWHR)—that is, the bizygomatic width divided by upper-face height—of a social robot
39 functions as a strong indicator of trustworthiness (and its sub-constructs) (Lin, Adolphs, &
40 Alvarez, 2018; Stirrat & Perrett, 2010) and whether it could, in turn, influence people’s purchase
41 intentions, a consideration neglected in previous research. This study thus contributes to the
42 extant literature on robot personality and social robot consumption by emphasizing the effect of
43 robot design in general and facial appearance in particular.

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45 *1.1 Facial cues in social judgments*

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47 According to evolutionary psychology, human facial morphology is considered a
48 significant cue in evaluating faces when making social judgments, especially upon first
49 encounter (Stirrat & Perrett, 2010). Prior research has indicated that people might process facial
50 information precisely and form an initial impression of social attributes from static facial traits
51 within 100 ms (Todorov, Olivola, Dotsch, & Mende-Siedlecki, 2015). Based on data-driven
52 computerized 3D models, Todorov and his colleagues (2015; 2008) divided social judgments
53 into two intrinsic and distinct dimensions: trustworthiness and dominance. While the assessment
54 of dominance is a subconscious evaluation of a person's position of control or power, especially
55 in a social hierarchy (Maeng & Aggarwal, 2018; Stirrat & Perrett, 2010), the perception of
56 trustworthiness is a subconscious evaluation of a person's benevolence, ability, and integrity
57 during verbal or nonverbal interaction (Colquitt et al., 2007; M. Yu et al., 2014). As our
58 cognitive systems are continuously evolving, we focus keenly on the traits of trustworthiness we
59 perceive in other people (Okubo, Ishikawa, & Kobayashi, 2013; Stirrat & Perrett, 2010). For
60 example, round eyes (vs. narrow) and larger eyes (vs. smaller) are considered to be strong
61 indicators of sincerity (Ferstl, Kokkinara, & McDonnell, 2017).

62 Recent evidence has shown that people's innate tendency to search for and identify faces
63 is not limited to human faces; instead, people also show a strong tendency to detect human-like
64 facial features such as might be found in the headlights of a car or the face of a social robot
65 (McGinn, 2019; Prakash & Rogers, 2015; Stroessner & Benitez, 2019). This is because, in the
66 process of facial recognition, the fusiform area of a face plays an important role in systematically
67 detecting and processing facial information (Kanwisher, McDermott, & Chun, 1997).
68 Interestingly, people are also sensitive to the fusiform area of human-like faces (Erk, Spitzer,

69 A.P. Wunderlich, & Galley, 2002). For example, compared with machine-like robots, humanoid
70 robots would be more likely to be perceived as real people (Dehn & Van Mulken, 2000). Mathur
71 and Reichling (2016) further suggest that people might be more likely to attribute personality
72 traits, such as trustworthiness, to human-like robots (compared with non-human-like robots) and
73 that people are also more likely to evaluate human-like robots more positively. Hence, people
74 can infer intrinsic social attributes, trustworthiness and dominance, from the facial features of a
75 social robot (Mugge, Govers, & Schoormans, 2009; Schaefer, 2016).

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77 *1.2 Facial trustworthiness and fWHR*

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79 Research on trustworthiness has enjoyed considerable scientific attention: Scholars have
80 try to explore the meaning of trustworthiness since it was deemed to be fine-grained in the
81 setting of interpersonal interaction (Colquitt et al., 2007; M. Yu et al., 2014). For example,
82 McCroskey and Young (1981) suggested two constructs for measuring source credibility (i.e.,
83 competence and character). In HRI, while prior studies have tried to explore trustworthiness and
84 its sub-dimensions, they have focused more on the general evaluation rather than a specific
85 understanding of the role of facial features in influencing perceived trustworthiness, a field in
86 which there is so far a dearth of empirical research (Hancock et al., 2011). Indeed, the facial
87 trustworthiness (an impression-based trust) of social robots constitutes the initial step in HRI,
88 and this concern is one of the most notable obstacles to the acceptance and adoption of social
89 robots by the general population, regardless of technological improvement (Schaefer, 2016). As
90 Mayer and Davis (1999) suggested, trustworthiness evaluation is a potential partnership
91 appraisal, where facial trustworthiness, in this context, could be assessed via three dimensions

92 (ability, benevolence, and integrity) (Ormiston, Wong, & Haselhuhn, 2017; Xu, Cenfetelli, &
93 Aquino, 2016). Recent academic work has shown these three constructs would also work as sub-
94 dimensions of perceived trustworthiness in the HRI setting (Calhoun, Bobko, Gallimore, &
95 Lyons, 2019; Kim, Kim, Lyons, & Nam, 2020). As Kim et al. (2020) have indicated, the ability
96 of a social robot, referred to as the capability of the robotic system, has an impact on a specific
97 task carried out for a given purpose in the setting of HRI; benevolence is identified as the
98 viewpoint that a social robot is intended to do good for a human being (i.e. be caring or loyal),
99 regardless of any conflicting motivations; and integrity is described as the human perception that
100 the social robot would be honest and remain faithful to a set of sound principles.

101 Among the facial features which could help to communicate social attributes, fWHR is
102 prominent in signaling perceived dominance and trustworthiness (Lin et al., 2018; Stirrat &
103 Perrett, 2010). To be more specific, in human facial attribution, fWHR is negatively related to
104 perceived trustworthiness and overall facial evaluation (liking), and positively related to
105 perceived dominance. Thus, people with higher fWHR might be considered as more dominant,
106 less likable, and less trustworthy (Geniole, Molnar, Carré, & McCormick, 2014; Lee, Wright,
107 Martin, Keller, & Zietsch, 2017; Linke, Saribay, & Kleisner, 2016; Ormiston et al., 2017; Stirrat
108 & Perrett, 2010), while people with lower fWHR might be deemed as less dominant, more
109 likable, and more trustworthy. For example, it has been argued that in election campaigns in the
110 USA and the UK, people with high fWHR would be more likely to achieve electoral success
111 (Islam, Taylor, & Hayter, 2017) since they might be viewed as being more achievement-driven
112 (Lewis & Weigert, 2012). Similar observations would also apply in predicting CEOs' leadership
113 and business performance (Alrajih & Ward, 2014) and athletes' game performance (Kramer,
114 2015). The underlying reason for this phenomenon might be levels of testosterone: Testosterone

115 levels for adolescents might have a significant impact on the development of their physique and
116 nervous system, promoting the growth of the cheek, eyebrow, jaw, chin, and forehead (Carré &
117 McCormick, 2008; Welker, Bird, & Arnocky, 2016). Regarding the prominent role of facial
118 morphology in communicating one's apparent health (Jones et al., 2001; Rhodes, Chan,
119 Zebrowitz, & Simmons, 2003), it is not surprising that an individual with a high fWHR could be
120 perceived as having increased dominance and decreased trustworthiness in interpersonal
121 interactions (Geniole et al., 2014; Kharouf, Lund, & Sekhon, 2014).

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123 *1.3 The social robot as an instrument for empowered self*

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125 Since people can also identify “faces” on social robots or in non-human products, this
126 process would automatically evoke the same perceptions as when interacting with another human
127 being (Sproull, Subramani, Kiesler, Walker, & Waters, 1996). Accordingly, the face of a social
128 robot would not only be perceived in a similar manner as a human face but also might act as an
129 instrument for self-completion (Maeng & Aggarwal, 2018). Following Ladik and Belk's
130 comments (1988; 2015) regarding the self and how we consider our belongings as a part of
131 ourselves, Wicklund and Gollwitzer's work (2013) further explains the role of our possessions as
132 “another mean to self-aggrandizing, self-representation, and exerting self-influence to others”
133 (pp. ix), a point which has been confirmed by recent neuroscientific evidence (Decety &
134 Sommerville, 2003). By selecting and purchasing identity-consistent objects, we build and
135 maintain an ideal self-image, not only for ourselves but also for signaling to others (Decety &
136 Sommerville, 2003). For instance, people might be more likely to buy certain luxury items in
137 order to demonstrate their social status in interpersonal interactions (Nelissen & Meijers, 2011).

138 For instance, they might choose luxury-brand clothing or conspicuous cars to maintain their
139 public image and to communicate their socioeconomic position in society. Thus, it is not
140 surprising that people tend to attribute a high level of perceived dominance to high fWHR
141 objects as a representational signal for themselves (Maeng & Aggarwal, 2018).

142 Moreover, it is well known that people might make a more positive evaluation of an
143 object that could satisfy their needs (Ajzen, 2001). Unlike the finding regarding human facial
144 processing that individuals with high fWHR tend to be negatively evaluated and individuals with
145 low fWHR tend to be positively evaluated, recent behavioral research has suggested that objects
146 with high fWHR could instead enjoy a higher level of perceived dominance and a more positive
147 evaluation (being viewed as more rewarding) since dominant-looking objects can lead to an
148 individual perceiving a more empowered self (Maeng & Aggarwal, 2018). As for perceived
149 trustworthiness, many of the latest neuroscientific studies have shown that oxytocin in the
150 striatum, which enhances the dopaminergic reward system, is positively associated with
151 perceived trustworthiness (Ajzen, 2001; Bellucci, Münte, & Park, 2020; Delgado, 2007; Scheele
152 et al., 2013; Strathearn, 2011). Specifically, the reward system is a complex brain area that
153 inclines to rewards and the avoidance of punishments when exposed to certain stimuli (Hubert,
154 Hubert, Linzmajer, Riedl, & Kenning, 2018). For instance, Bzdok et al. (2011) stated that reward
155 circuitry might not only adjust people's survival behavior (e.g., food acquisition or sexual
156 behavior) but also modulate social attributions, especially trustworthiness. Recent
157 neurophysiological evidence has supported the view that a more aroused reward system tends to
158 have more specific dopaminergic effects on impression-based trust, triggering facial
159 trustworthiness and inducing more cooperative behavior (Bellucci et al., 2020; Hubert et al.,
160 2018). Accordingly, it is likely that a social robot with high fWHR could help people to perceive

161 a more empowered self. This process is highly rewarding, which has specific dopaminergic
162 effects on facial trustworthiness. Thus, it is reasonable to predict that, unlike people with high
163 fWHR, who tend to be considered less trustworthy, a social robot with high fWHR might be
164 generally regarded as more trustworthy. Formally stated,

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166 **H1:** People tend to have a higher trustworthiness perception of a robot with a high fWHR
167 face (vs. low fWHR)

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169 Considering the consequent consumer behavior, it is logical that, if a person trusts an
170 object or a robot, that person is more likely to approach that object or robot and even buy one
171 (Billeter, Zhu, & Inman, 2012). Given this, in the commercial context of social robot promotion,
172 a trustworthy-looking robot might enjoy a higher approach intention, eventually leading to
173 higher purchase intentions. Although people with higher fWHR are perceived as less likable, a
174 social robot with higher fWHR would enjoy higher purchase intentions. More specifically,

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176 **H2:** People tend to have higher purchase intentions towards a robot with a high fWHR
177 face (vs. low fWHR)

178 **H3:** Robot trustworthiness mediates the effect of fWHR on purchase intentions.

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180 Regarding the significant role of shape in product or packaging design (Hsiao & Huang,
181 2002), the face shape of a social robot might also influence people's trustworthiness perceptions
182 and purchase intentions. Generally speaking, there are two shapes in product design, round and
183 rectangular (Westerman et al., 2012). Although considerable efforts have been made to explore

184 the relationship between product form and its evaluation, there still is much controversy about
185 the association between robot face shape and its subjective evaluation. On the one hand, looking
186 back into the history of robotics, the rectangular face has been a typical design element for robots
187 (Meeden & Blank, 2006). Furthermore, product typicality could help consumers to assign a
188 product to a certain product category (Loken & Ward, 1990) and significantly promote its
189 evaluation (Blijlevens, Carbon, Mugge, & Schoormans, 2012). On the other hand, people have
190 shown a general preference and higher purchase intentions for round designs (vs. rectangular
191 designs) (Westerman et al., 2012). In this way, we propose that, despite rectangular typicality in
192 the robot form, round robot face shape might significantly increase people's trustworthiness
193 perceptions and purchase intentions. Namely,

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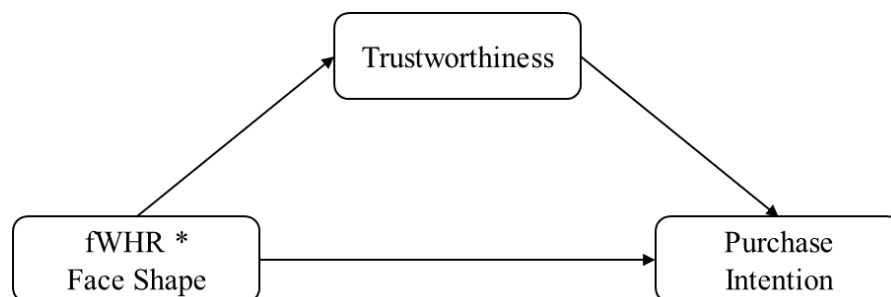
195 **H4:** Round (vs. rectangular) robot face shape significantly increases trustworthiness
196 perceptions and purchase intentions.

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198 Based on the hypotheses mentioned above, we propose the theoretical framework
199 specified in Figure 1.

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Figure 1. Theoretical model of the current study

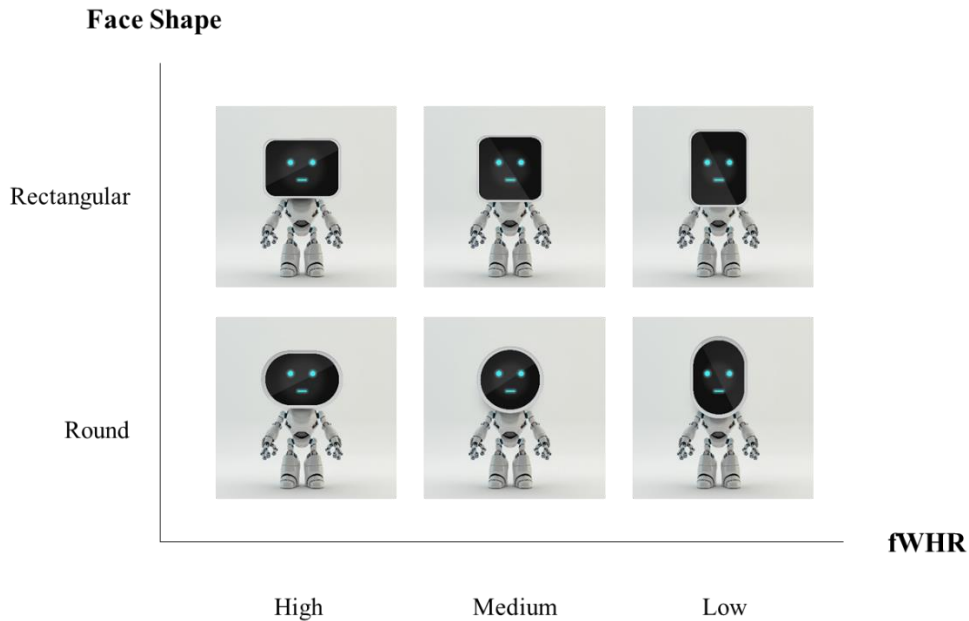
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2. Method

2.1 Experiment Design

As for the experimental design, a 2 (face shape) * 3 (fWHR) between-participants experiment was conducted. The experiment contained six different scenarios: two face shapes (round vs. rectangular) with three fWHR scenarios (high vs. medium vs. low; where high fWHR = 3:2, medium fWHR = 1:1, low fWHR = 2:3). We recruited a designer to make all of the experiment stimuli (see Figure 2). During the design process, we instructed the designer to control for potential confounding factors, such as robot facial expression, facial features, body height and width, color tone, and background. For example, in the design of robots with high fWHR, the rectangular-shaped head and the round-shaped head shared the same height, width, and proportions.

To examine the theoretical framework, we drew a sample from Amazon Mechanical Turk (AMT). AMT is a valid web-based platform that enrolls participants to complete a given task for compensation (Mortensen & Hughes, 2018). A significant amount of psychological, behavioral, and human-computer interaction research has been conducted via AMT, since it offers adequate accuracy (Khare et al., 2015) and reliability (Deal et al., 2016) compared with physical experiments (Brañas-Garza, Capraro, & Rascón-Ramírez, 2018). Accordingly, we considered it suitable and appropriate to draw a sample through this platform in order to analyze the relationship between robot design and people's perceptions.



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227

Figure 2. Robot design in the experiment

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229 2.2 Experiment Procedure

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231 Participants were informed of the general description of this study and consented to
 232 participate. Next, they were instructed to supply demographic information and randomly
 233 assigned to one of six scenarios (40 participants for each scenario). The participants were then
 234 exposed to the stimuli. They completed the manipulation check and the given questionnaire.

235 Finally, they were instructed that they had completed the task.

236 With regard to the manipulation check, participants were asked to what extent they
 237 agreed with two statements by means of a nine-point Likert scale (“I think the face of this robot
 238 is wide”; “I think the shape of this robot’s face is round”). Regarding the dependent variables,
 239 people were similarly asked to express the extent of their agreement with the given statements by
 240 means of a nine-point Likert scale. Following the dimensions of trustworthiness (Colquitt et al.,

2007), the perceived trustworthiness of a social robot was measured by three constructs (benevolence, ability, and integrity) with 17 items (Kim et al., 2020), and purchase intentions were measured by three items (Howard & Gengler, 2001). All the items used in this study are listed in the appendix.

245

246 3. Results

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248 In total, 240 participants were enrolled in this experiment (mean age = 36.63; SD =
249 11.19). They were randomly and equally distributed into six scenarios (each scenario had 40
250 participants). Detailed demographic information regarding the participants is shown in Table 1.

251

252

Table 1. Demographic characteristics of participants

	Frequency	Percent		Frequency	Percent
Gender			Education		
Male	144	60.0%	High school graduate or lower	27	11.3%
Female	96	40.0%	Some college education	58	24.2%
			College graduate or above	155	64.5%
Age			Robot interaction experience		
18–25	30	12.5%	Never	169	70.4%
26–30	59	24.6%	0–1 year (1 year not included)	44	18.3%
31–40	81	33.8%	1–2 years (2 years not included)	23	9.6%
41+	70	29.1%	2+ years	4	1.7%

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254 Before the main analysis, we checked the manipulation questions for fWHR and face
255 shape. The results of the one-way ANOVA showed a significant difference between different
256 fWHRs (mean = 6.15 vs. 7.29 vs. 7.78; SD = 2.08 vs. 1.68 vs. 1.37; $F(2, 237) = 18.35, p < 0.01$)
257 and face shapes (mean = 2.81 vs. 6.45; SD = 2.57 vs. 2.31; $F(1, 238) = 132.59, p < 0.01$),

258 suggesting that the manipulation of each factor was successful. As for the main analysis, we first
 259 analyzed the effect of fWHR and face shape on purchase intentions and the mediating role of
 260 perceived trustworthiness in this process. We then carried out a deeper investigation of the effect
 261 of fWHR on three dimensions of trustworthiness (benevolence, ability, and integrity).

262 More specifically, a two-way ANOVA was performed, with fWHR (low vs. medium vs.
 263 high) and face shape (round vs. rectangular) as the independent variables and with perceived
 264 trustworthiness and purchase intentions as the dependent variables. As for perceived
 265 trustworthiness, the internal consistency of the 17 items was evaluated by Cronbach’s alpha
 266 coefficients (0.951), suggesting a satisfactory consistency and reliability (Song & Luximon,
 267 2019; Song, Luximon, & Luo, 2020). The results of the two-way ANOVA showed that the main
 268 effect of fWHR on trustworthiness evaluation was significant ($F(2, 234) = 6.01, p < 0.01$), while
 269 the effect of face shape ($F(1, 234) = 0.28, p = 0.60$) and the interaction effect were not significant
 270 ($F(2, 234) = 0.12, p = 0.89$). Specifically, post hoc tests revealed that robots with high fWHR and
 271 medium fWHR showed significantly higher trustworthiness perceptions than those with low
 272 fWHR. However, the robot with the medium fWHR did not show significantly different
 273 trustworthiness perceptions compared with the high fWHR scenario. Thus, H1 is supported (see
 274 Tables 2 and 3).

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276 Table 2. Descriptive statistics for trustworthiness and purchase intentions in different fWHR and

277 face shape scenarios

		fWHR (Mean ± SD)			
		Low	Medium	High	Total
		Trustworthiness			
Face shape	Rectangular	5.38 ± 1.41	5.92 ± 1.84	6.08 ± 1.53	5.79 ± 1.62
	Round	5.35 ± 1.79	6.05 ± 1.35	6.29 ± 1.37	5.90 ± 1.56
	Total	5.36 ± 1.60	5.99 ± 1.61	6.18 ± 1.45	5.85 ± 1.59

Purchase intentions					
Face shape	Rectangular	4.19 ± 2.24	4.88 ± 2.42	5.26 ± 2.02	4.78 ± 2.26
	Round	4.14 ± 2.39	4.67 ± 2.39	5.37 ± 2.52	4.73 ± 2.46
	Total	4.17 ± 2.30	4.77 ± 2.39	5.31 ± 2.27	4.75 ± 2.36

278

279 Table 3. Post hoc comparisons and effect sizes for trustworthiness and purchase intentions

280

between different fWHR scenarios

	Mean difference	SE	<i>t</i> statistic	Cohen's <i>d</i>	Effect size	<i>p</i> (Tukey)	95% CI	
							Lower bound	Upper bound
Trustworthiness								
Low–Medium	–0.624	0.247	–2.526	–0.389	Medium	< 0.05	–1.206	–0.041
Low–High	–0.819	0.247	–3.318	–0.537	Large	< 0.01	–1.401	–0.237
Medium–High	–0.196	0.247	–0.792	–0.128	Small	0.708	–0.778	0.387
Purchase intentions								
Low–Medium	–0.604	0.369	–1.637	–0.257	Medium	0.232	–1.475	0.267
Low–High	–1.146	0.369	–3.104	–0.502	Large	< 0.01	–2.017	–0.275
Medium–High	–0.542	0.369	–1.467	–0.232	Medium	0.309	–1.412	0.329

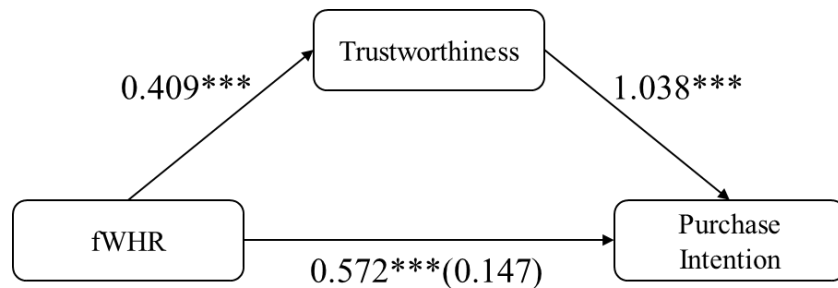
281 Note: Effect size classification follows Cohen's work (2013)

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283 In terms of purchase intentions (Cronbach's alpha = 0.954), we similarly found that
 284 fWHR has a significant effect on purchase intentions ($F(2, 234) = 4.82, p < 0.01$), though the
 285 effect of face shape ($F(1, 234) = 0.03, p = 0.87$) and their interaction effect were not significant
 286 ($F(2, 234) = 0.09, p = 0.91$). Specifically, people showed significantly higher purchase intentions
 287 towards the robot with high fWHR than the robot with low fWHR. There was no significant
 288 difference in purchase intentions between robots with medium fWHR and high fWHR and
 289 between robots with medium fWHR and low fWHR. Thus, H2 is supported (see Table 2 and 3).
 290 People tended to have similar purchase intentions when faced with a robot with a round face
 291 compared to a robot with a rectangular face. Thus, H4 is not supported.

292 To examine H3, the mediation role of trustworthiness in this process, we regressed the
 293 purchase intentions on fWHR through the PROCESS SPSS macro (Model 4, $n = 10000$)

294 resamples (Hayes, 2015)). The results showed that fWHR (coded as 1 = low, 2 = medium, and
 295 3 = high) had a significant and positive effect ($\beta = 0.409$, $SE = 0.122$, $p < 0.01$) on perceived
 296 trustworthiness, which suggests that an increase in the fWHR of a robot could elicit perceived
 297 trustworthiness. Moreover, perceived trustworthiness had a positive and significant effect on
 298 purchase intentions ($\beta = 1.038$, $SE = 0.069$, $p < 0.01$). Importantly, the results revealed that
 299 fWHR had a non-significant ($\beta = 0.147$, ns) direct effect on purchase intentions, but a significant
 300 and positive indirect effect on purchase intentions via perceived trustworthiness ($\beta = 0.425$, 95%
 301 confidence interval: 0.183–0.673; see Figure 3 for a summary of results). Thus, the results
 302 indicate that fWHR has an indirect effect only on consumers' purchase intentions via perceived
 303 trustworthiness (Hayes, 2015). In full support of H3, these findings confirm that perceived
 304 trustworthiness mediates the effect of fWHR on purchase intentions.



Note: *** means $p < 0.01$

Figure 3. The effect of fWHR on purchase intentions with perceived trustworthiness as the mediator

311 Considering the three constructs of trustworthiness, the same two-way ANOVA was
 312 performed with ability (Cronbach's alpha = 0.945), benevolence (Cronbach's alpha = 0.885), and
 313 integrity (Cronbach's alpha = 0.914) as the respective dependent variables. This was aimed at

314 providing a deeper understanding of the sub-dimensions for trustworthiness in HRI. Similar
315 observations are found in the results (Tables 4 and 5). For the dimension of ability, fWHR had a
316 significant impact on perceived ability ($F(2, 234) = 5.69, p < 0.01$; high fWHR was associated
317 with a significantly higher level of perceived ability, compared with low fWHR), though face
318 shape ($F(1, 234) = 0.85, p = 0.36$) and their interaction ($F(2, 234) = 0.14, p = 0.87$) did not have a
319 significant effect on perceived ability. For the dimension of benevolence, fWHR had a
320 significant impact on perceived benevolence ($F(2, 234) = 3.29, p < 0.05$; high fWHR was
321 associated with a significantly higher level of perceived benevolence, compared with low
322 fWHR), though face shape ($F(1, 234) = 0.18, p = 0.67$) and their interaction ($F(2, 234) = 0.25, p$
323 $= 0.78$) did not have a significant effect on perceived benevolence. For the dimension of
324 integrity, fWHR had a significant impact on perceived integrity ($F(2, 234) = 5.36, p < 0.01$; both
325 high and medium fWHR were associated with a significantly higher level of perceived ability
326 than low fWHR), though face shape ($F(1, 234) = 0.77, p = 0.38$) and their interaction ($F(2, 234)$
327 $= 0.91, p = 0.40$) did not have a significant effect on perceived ability.

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329 Table 4. Descriptive statistics for ability, benevolence, and integrity in different fWHR and face
330 shape scenarios

		fWHR (Mean ± SD)			
		Low	Medium	High	Total
Ability					
Face shape	Rectangular	5.68 ± 1.74	6.35 ± 1.62	6.61 ± 1.49	6.21 ± 1.65
	Round	6.02 ± 1.85	6.46 ± 1.32	6.72 ± 1.35	6.40 ± 1.54
	Total	5.85 ± 1.79	6.41 ± 1.47	6.67 ± 1.41	6.31 ± 1.60
Benevolence					
Face shape	Rectangular	5.06 ± 1.86	5.70 ± 2.23	5.63 ± 1.80	5.46 ± 1.98
	Round	4.85 ± 2.16	5.44 ± 1.93	5.77 ± 1.78	5.35 ± 1.98
	Total	4.95 ± 2.01	5.57 ± 2.08	5.70 ± 1.78	5.40 ± 1.98
Integrity					

	Rectangular	5.35 ± 1.52	5.68 ± 2.17	5.93 ± 1.85	5.65 ± 1.86
Face shape	Round	5.11 ± 2.10	6.16 ± 1.46	6.29 ± 1.48	5.85 ± 1.77
	Total	5.23 ± 1.83	5.92 ± 1.85	6.11 ± 1.67	5.75 ± 1.82

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333 Table 5. Post hoc comparisons and effect sizes for ability, benevolence, and integrity in different

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fWHR scenarios

	Mean difference	SE	<i>t</i> statistic	Cohen's <i>d</i>	Effect size	<i>p</i> (Tukey)	95% CI	
							Lower bound	Upper bound
Ability								
Low–Medium	−0.560	0.249	−2.254	−0.342	Medium	0.065	−1.147	0.026
Low–High	−0.821	0.249	−3.301	−0.509	Large	< 0.01	−1.407	−0.234
Medium–High	−0.260	0.249	−1.047	−0.181	Small	0.548	−0.847	0.326
Benevolence								
Low–Medium	−0.615	0.311	−1.978	−0.301	Medium	0.120	−1.349	0.119
Low–High	−0.747	0.311	−2.404	−0.394	Medium	< 0.05	−1.481	−0.014
Medium–High	−0.132	0.311	−0.426	−0.069	Small	0.905	−0.866	0.601
Integrity								
Low–Medium	−0.694	0.283	−2.454	−0.377	Medium	< 0.05	−1.360	−0.027
Low–High	−0.877	0.283	−3.103	−0.501	Large	< 0.01	−1.544	−0.210
Medium–High	−0.183	0.283	−0.649	−0.104	Small	0.793	−0.850	0.483

335 Note: Effect size classification follows Cohen's work (2013)

336

337 4. Discussion

338 This study examined the effect of fWHR, face shape, and their interaction on perceived
339 trustworthiness and purchase intentions in the context of HRI. With regard to fWHR, we found
340 that the fWHR of a social robot played an essential role in signaling the trustworthiness of social
341 robots. Unlike the effect of fWHR on perceived trustworthiness in interpersonal settings, it
342 produced a counter-effect on perceived trustworthiness in HRI: Robots with high fWHR had
343 higher levels of perceived trustworthiness while those with low fWHR had lower levels of
344 perceived trustworthiness. This counter-intuitive phenomenon is consistent with previous

345 findings on specific humanlike objects which act as instruments for self-completion (Maeng &
346 Aggarwal, 2018). Individuals can experience, represent, and aggrandize an empowered self-
347 image through their dominant-looking (high fWHR) objects (Decety & Sommerville, 2003). This
348 process activates the dopaminergic reward system, causing people to have a more positive
349 attitude towards the object, eventually resulting in a higher level of perceived trustworthiness
350 (Ajzen, 2001; Bellucci et al., 2020).

351 Regarding face shape, previous research has shown a nuanced relationship between the
352 robot's shape and its evaluation: People have exhibited a preference for round designs, while the
353 typical shape (rectangular) of a robot would also be appreciated in some situations (Meeden &
354 Blank, 2006; Westerman et al., 2012). Our results indicated that there seems to be no significant
355 difference between these two shapes: The desire for a rounded shape might be, in turn,
356 counteracted or neutralized by people's typicality preferences, resulting in the insignificant effect
357 of face shape on trustworthiness evaluation.

358 Considering the fine-grained nature of trustworthiness in HRI (Calhoun et al., 2019), we
359 carried out a deeper investigation into the three constructs (ability, benevolence, and integrity) of
360 trustworthiness. The results illustrated that fWHR has a significant impact on all three
361 dimensions (high fWHR is associated with a high level of ability, benevolence, and integrity),
362 though there is no statistical difference for face shape and their interaction. This finding is
363 consistent with the counter-effect of fWHR in the interpersonal context: While high fWHR in a
364 human could decrease perceived integrity (Ormiston et al., 2017), high fWHR in a social robot
365 might increase trust-based dimensions (ability, benevolence, and integrity), eventually leading to
366 enhanced trustworthiness (Kim et al., 2020).

367 The current study makes several theoretical contributions. First of all, although previous
368 research on facial trustworthiness has drawn great academic interest, it has mainly focused on the
369 context of human beings. Few attempts have been made to expand this conversation to a larger
370 field. For instance, though a recent study by McGinn (2019) suggested that a social robot with a
371 human-like head could influence people’s social evaluations, the conclusion is focused on the
372 general discussion of robot morphology, thus ignoring the effect of specific facial features.
373 Accordingly, it would be theoretically significant to explore whether we could utilize the results
374 of previous work on facial trustworthiness in the facial design of social robots. By means of a
375 behavioral experiment, our research implies that facial trustworthiness features, such as fWHR,
376 could be adapted for purpose of social robot design and could influence people’s subsequent
377 evaluations.

378 In addition, the current study contributes to the literature on HRI by demonstrating how
379 facial features, such as fWHR, could work as one of significant means to communicate
380 trustworthiness. Previous research on HRI has explored the general relationship between robot
381 design, “beauty premium” and “plainness penalty,” and people’s evaluations; however, there has
382 been little research into the potential effect on robot trustworthiness. Based on the theory of
383 human facial trustworthiness, our work implies that, in terms of facial cues, there might be a
384 counter-intuitive relationship between robot facial evaluation and human facial evaluation. While
385 people with high fWHR might be perceived as less trustworthy, a robot with high fWHR might
386 be considered as more trustworthy and be the object of higher intentions to purchase.

387 The current study also has several practical implications. Compared with other
388 industrialized products, social robot design is still an emerging market that lacks efficient,
389 specific, and detailed guidance. From the perspective of social robot production, companies

390 might mainly rely on competitive analysis and sales data and then focus on one or two intuition-
391 based design elements (Vanderborght et al., 2012). However, this may be an ineffective way to
392 communicate specific information to consumers, and in some cases it might even dampen brand
393 equity (Ulrich, 1992). In this way, the current study could give preliminary suggestions regarding
394 robot faces to improve their trustworthiness perceptions.

395 There are some limitations worth noting, which require further investigation. To begin
396 with, this research focused only on the influence of fWHR on perceived trustworthiness;
397 although fWHR is one of the most prominent facial features, it is merely an external feature of a
398 face (Santos & Young, 2011). There are many other facial features, such as internal features and
399 facial expression. For example, eyes and mouths are believed to be the most prominent internal
400 features of a face. According to the facial trustworthiness literature, round eyes (vs. narrow eyes)
401 are a significant facial signal for communicating trustworthiness (Ferstl et al., 2017). Similar
402 observations are also found in the perception of mouth shape (Santos & Young, 2011). It would
403 be theoretically interesting to examine whether these traits could also be applied in robot design,
404 eventually influencing people's evaluations, as was found to occur in this study.

405 In addition, this study mainly discussed the way in which consumers' purchase intentions
406 might be affected by different facial ratios in social robots. Although the theory of planned
407 behavior indicates that behavioral intention can to some extent reveal people's motivations for a
408 given behavior, it is just an indication of an individual's willingness to take real action (Cheung
409 & To, 2017). Indeed, previous studies have suggested that people's perceptions or intentions do
410 not necessarily lead to real-life behavior (Wee et al., 2014). Therefore, the conclusion of this
411 study can only reflect people's intentions to carry out activities when interacting with robots at
412 first sight. In order to examine their actual behavior towards robots with the proposed facial

413 features, a field experiment is planned to validate the current conclusions and further explore the
414 other aspects that affect trust in HRI.

415 Lastly, the current study mainly discussed the affiliation role of social robots in people's
416 daily lives. In other words, currently, social robots mainly work as passive "responders."
417 However, as social robots develop, they might also play dominant roles currently carried out by
418 humans. For example, they could serve as firefighters when faced with an emergency. They
419 might also function as pilots, not only operating planes but also leading passengers. Thus, it
420 might also be interesting to explore whether different social roles moderate the effect of facial
421 features on people's evaluations and reactions.

422

423 **5. Conclusions**

424 As one of the most recent applications in the field of artificial intelligence, social robots
425 are playing an increasingly important role in people's daily lives. Since they are able to not only
426 follow people's commands but also meet people's emotional needs (Saunderson & Nejat, 2019),
427 it is natural that social robots should be carefully designed. In addition, trustworthiness
428 evaluations are not exclusively applicable to other humans; indeed, we might also have a
429 trustworthiness perception of an object or a robot. Thus far, however, few attempts have been
430 made to explore perceived robot trustworthiness and how robot facial appearance, particularly
431 the fWHR and face shape of a robot, influences people's evaluations, such as perceived
432 trustworthiness and purchase intentions. In order to fill this research gap, our study employed an
433 experimental method to explore the effect of fWHR and face shape on people's trustworthiness
434 perceptions and the associated purchase intentions in the context of a social robot. The results
435 showed the following: (1) fWHR is a significant factor in influencing robot trustworthiness and

436 purchase intentions; (2) people tend to report higher levels of perceived trustworthiness and
 437 purchase intentions towards a social robot with high fWHR than a social robot with low fWHR;
 438 (3) there is no significant difference in perceived trustworthiness and purchase intentions
 439 between high-fWHR scenarios and medium-fWHR scenarios and between low-fWHR scenarios
 440 and medium-fWHR scenarios; (4) neither face shape nor its interaction effect (face shape *
 441 fWHR) has a significant effect on perceived trustworthiness and purchase intentions; and (5) the
 442 effect of fWHR on purchase intentions is mediated by perceived trustworthiness.

443

444 **Appendix. Items for trustworthiness and purchase intentions in this study**

445

Attribute	Dimensions	Items	
Trustworthiness (17)	Ability (6)	The robot appears capable of performing its job The robot appears to succeed at the things it tries to do The robot appears to acknowledge the work that needs to be done I feel very confident about the robot's skills The robot appears to have specialized capabilities that can increase performance The robot appears well qualified	
	Benevolence (5)	The robot appears concerned about other's welfare The needs and desires of others are important to the robot The robot appears it would not knowingly do anything to hurt people The robot looks out for what is important to others The robot appears it would go out of its way to help others	
	Integrity (6)	The robot appears to have a strong sense of justice I never have to wonder whether the robot will stick to its word The robot appears to be unbiased towards people The robot's actions and behaviors are not consistent I like the robot's values Sound principles seem to guide the robot's behavior	
	Purchase Intentions (3)		I am willing to buy the robot
			The likelihood for me to purchase the robot is high
			The probability that I would consider buying the robot is high

446

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