

## **Fabrication of predesigned 3D carbon based microstructures via two-photon vat photopolymerization and susceptor-assisted microwave post-processing**

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13

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16 **Abstract**

17 This study presents a fabrication strategy for 3D carbon microstructures using via two-  
18 photon vat photopolymerization (2p-VPP) and susceptor-assisted microwave pyrolysis  
19 (SMWP). Fabricating carbon-based electrode materials with miniaturized functional  
20 structures is pivotal for developing high-performance electrochemical microdevices.  
21 However, efficiently producing these structures in the submicron regime with desired  
22 materials is still challenging. To address this, a hybrid microfabrication strategy was  
23 developed, and 3D carbon-based microstructures with submicron resolution were  
24 successfully produced. A carbon nanotube nanocomposite photoresist (SCNT-PR1) with  
25 improved dispersibility was first prepared. After a comprehensive printability assessment,  
26 3D microstructures with a resolution of  $833 \pm 54$  nm were produced using 2p-VPP.  
27 Through SMWP, the microstructures were transformed into pyrolytic carbon (PyC)  
28 nanocomposite microstructures with retained geometrical features. SMWP was shown to  
29 produce PyC with a less disordered carbon atomic structure, thanks to the accelerated  
30 pyrolysis reaction under MW irradiation, when compared to pyrolysis using a  
31 conventional furnace. The resistivity was reduced by over 75% from  $0.69 \pm 0.13 \Omega \text{ cm}$  to  
32  $0.16 \pm 0.01 \Omega \text{ cm}$ , and enhanced electrochemical performance was confirmed. The  
33 fabricated PyC nanocomposite showed a further 12% reduction in electrical resistivity  
34 and a 20% lower charge transfer resistance for the redox reaction when using SCNT-PR1  
35 as the precursor. Overall, this hybrid fabrication strategy demonstrates the advantages of  
36 producing 3D carbon microstructures with precise control over the geometric features  
37 and enhancing electrical and electrochemical properties compared to the conventional

38 pyrolysis method. Its potential could be extended to the fabrication of miniaturized  
39 electrochemical devices, including microelectronics and point-of-care devices.  
40 Keywords: hybrid microfabrication; pyrolysis; carbon nanomaterial; pyrolytic carbon  
41 metamaterial; microwave

## 42 **1 Introduction**

43 Carbon-based materials have found numerous practical applications, including energy  
44 conversion devices [1], chemical analysis [2], point-of-care devices [3], and health  
45 monitoring systems [4]. The ever-increasing demands for high-performance energy  
46 storage or sensing microdevices have led to the development of advanced electrode  
47 materials with 3D functional microstructures that can reduce the footprint area without  
48 compromising the functional performance [5, 6]. Additive manufacturing (AM)  
49 technologies offer the possibility of fabricating predesigned complex 3D structures with  
50 a wide range of materials. However, it is technically challenging to produce 3D carbon-  
51 based structures with submicron features ( $<1\ \mu\text{m}$ ) [7], and for a competent fabrication  
52 strategy with high throughput, reduced time cost is certainly needed. Laser-based two-  
53 photon vat photopolymerization (2p-VPP) technology, also known as direct laser writing  
54 (DLW), leverages near-infrared pulsed femtosecond laser to enable the production of  
55 high-resolution microstructures. Unlike other micro-/nano-fabrication technologies such  
56 as nanoimprint [8], DLW offers true 3D printing capabilities, rendering this technology  
57 an emerging and powerful tool in various applications, including nanophotonics [9],  
58 bioscaffolds [10], and micro-actuators [11, 12]. Furthermore, DLW also enables the  
59 fabrication of advanced metamaterials that exhibit “smaller but stronger” phenomena,  
60 which facilitates the production of mechanically durable structures for a longer service  
61 life [13]. This is particularly crucial to the design of electrodes for electronics such as  
62 supercapacitor and batteries, where achieving precise control over both the structural  
63 geometry can be beneficial to enhance the performance and mechanical stability.  
64 However, conventional fabrication methods often struggle to effectively addressing these  
65 critical aspects, leading to a compromise between mechanical robustness and enhanced  
66 electrochemical properties [14]. Although DLW offers great potential for fabricating

67 microstructures with precise controlled geometry, only polymeric 3D structures can be  
68 produced, which restricts its application in fabricating advanced miniaturized  
69 electrochemical devices. Therefore, it is necessary to derive innovative strategies for  
70 fabricating structures with diverse material choices.

71 Various fabrication strategies have been developed to mitigate the material limitations of  
72 DLW technology. For instance, the templated-assisted approach utilizes DLW-printed  
73 structures as the templates, and varied materials can be deposited onto the structure [15,  
74 16]. These hybridized techniques enable the generation of hollow metal or ceramic  
75 microstructures that retain the geometrical features of the template after the template's  
76 removal [17]. Other techniques, such as two-photon reduction of metal precursors, have  
77 also been reported [18], but are limited to 2.5D structures. Recent studies have also  
78 reported innovative strategies for producing 3D metallic and ceramic structures through  
79 post-thermal treatment [19-21]. Chemically engineered photoresists were developed by  
80 incorporating metal clusters, preceramic polymers, or ceramic nanoparticles. After  
81 pyrolysis at elevated temperatures, the organic constituents slowly degraded, and the  
82 metal or ceramic clusters were sintered while maintaining the predesigned geometry.  
83 These pioneering works have conquered the inherent constraint of DLW and paved a new  
84 way for fabricating structures with submicron resolutions, other than polymers,  
85 broadening the application of DLW technology. However, these approaches involve  
86 lengthy fabrication processes, and further development is still needed to expand the  
87 material class and, more importantly, seek a more effective and efficient fabrication  
88 strategy with high reproducibility.

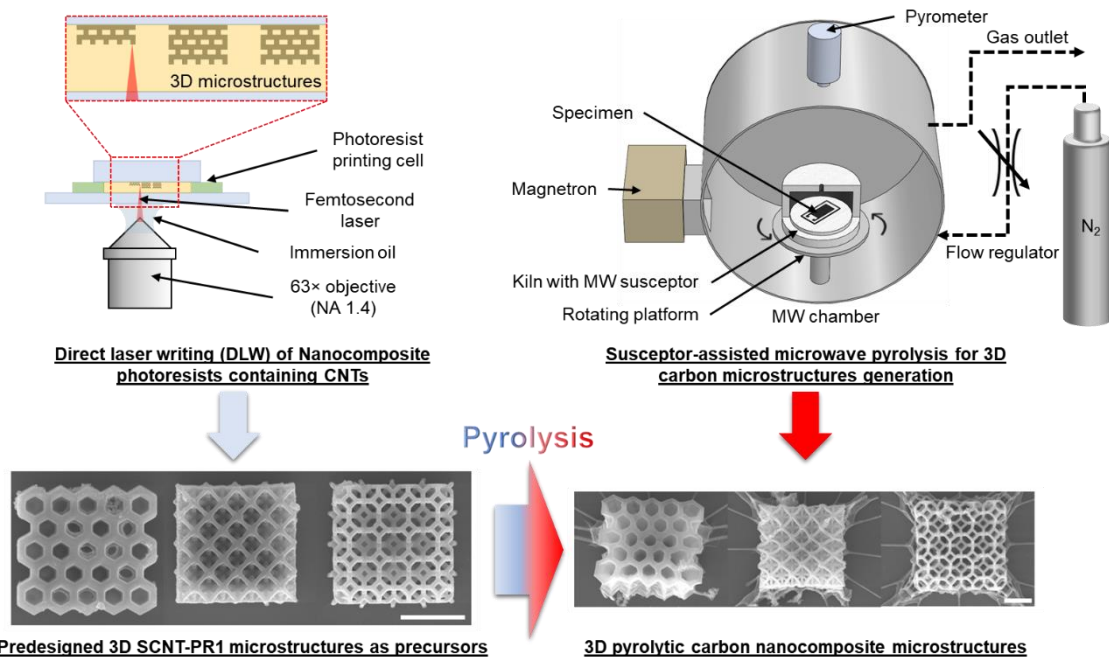
89 Microwave (MW) technology has been used as a sustainable alternative for various  
90 thermal treatments, covering chemical synthesis [22, 23], metal [24], and ceramic  
91 sintering [25], due to the intrinsic heating mechanism involving fast and volumetric

92 heating and high energy penetration. Compared to other heating methods, such as  
93 conventional oven heating and infrared heating, which only provide superficial heating  
94 of materials, MW heating enables deeper energy penetration owing to its specific  
95 wavelength within the electromagnetic spectrum [26]. Furthermore, MW heating is not  
96 constrained by the thermal inertia of the furnace components or the thermal conductivity  
97 of the materials, thereby achieving higher heating rates even over larger heating volumes.  
98 This technology has been employed for waste management, such as biomass pyrolysis  
99 and carbon fiber recovery, to convert waste into value-added carbon products [27]. In  
100 addition, it was reported that MW irradiation could initiate the pyrolysis reaction with  
101 lower activation energy and a faster reaction rate. Therefore, it is conceivable that  
102 developing a microfabrication strategy that utilize DLW and MW technologies could  
103 pave the way for an efficient fabrication process for 3D carbon-based microstructure,  
104 enabling precise control over their architecture. Despite the said benefits, direct MW  
105 processing is material restricted, as its heating efficiency depends heavily on the dielectric  
106 and magnetic properties of the materials. The susceptor-assisted MW process, where MW  
107 absorbers aid the heating process, can overcome the processing difficulties for low-loss  
108 or MW transparent materials, offering a controllable, reliable, and reproducible process  
109 [25, 28]. However, there is still a lack of studies investigating the effectiveness of the  
110 susceptor-assisted MW process for the pyrolysis of 3D-printed synthetic polymers for  
111 electrochemical applications.

112 This study developed a hybrid strategy by leveraging the advantages of DLW and  
113 susceptor-assisted MW pyrolysis (SMWP) for generating predesigned 3D carbon  
114 microstructures, as illustrated in Figure 1. DLW offers precise generation of 3D structures  
115 with submicron resolution, while SMWP offers an efficient method for producing  
116 functional carbon structures with enhanced electrical and electrochemical performance

117 compared to conventional methods, due to the volumetric heating attributed to the  
118 combined mode of dielectric heating and conventional modes of heat transfer provided  
119 by the MW susceptor. The strategy offers an effective microfabrication technique with  
120 the potential to develop high-performance electrochemical microdevices with  
121 miniaturized functional structures made of carbon-based electrode materials. Carbon  
122 nanotube-containing nanocomposite photoresists (SCNTs-PR1) were prepared with  
123 improved dispersion as a model precursor for the 3D carbon microstructures. It was  
124 anticipated that CNTs can act as an MW absorber to aid the pyrolysis and reduce the  
125 overall shrinkage of the structure during SMWP, resulting in a PyC product with  
126 enhanced electrical and electrochemical properties compared to the one without  
127 nanofillers. Metamaterials were generated through DLW after a comprehensive  
128 printability assessment for suitable laser writing conditions. The 3D SCNTs-PR1  
129 microstructures were successfully converted to 3D pyrolytic carbon (PyC)  
130 microstructures through SMWP, and the geometrical features were retained. Compared  
131 to conventional pyrolysis, SMWP can convert the polymer precursors to PyC products  
132 with a more ordered carbon atomic structure due to the accelerated pyrolysis reaction  
133 under MW irradiation. As a result, the PyC product showed enhanced electrical and  
134 electrochemical performance compared to the samples fabricated through conventional  
135 pyrolysis. The effects of SMWP conditions on the properties of the PyC product were  
136 studied and compared. On the whole, the presented hybrid strategy offers exciting  
137 potential for pushing forward the development of electrochemical microdevices, such as  
138 microelectronics and point-of-care devices.

139



140

141 Figure 1 Illustration of the hybrid fabrication strategy for generating predefined 3D  
 142 carbon microstructures using DLW and SMWP

143

## 144 2 Experimentation

### 145 2.1 Preparation of MWCNTs nanocomposite photoresist

146 Multiwalled carbon nanotubes (MWCNT, purity > 95 wt.%, inner diameters of 3 - 5 nm,  
 147 outer diameters of 8 - 15 nm, and tube lengths of ~50  $\mu\text{m}$ ) were purchased from Chengdu  
 148 Organic Chemicals Co. Ltd., China. The MWCNTs were modified through a two-step  
 149 functionalization to improve the dispersibility of the MWCNTs. First, MWCNTs were  
 150 acid treated by refluxing in nitric acid and sulfuric acid (volume ratio of 1:3) at 80  $^{\circ}\text{C}$  for  
 151 12 h under vigorous stirring. The acid-treated MWCNTs (Ac-MWCNTs) were then  
 152 washed with excessive distilled water until the residual became neutral, followed by  
 153 vacuum drying at 80  $^{\circ}\text{C}$  overnight. Subsequently, thiol functionalization was adopted to  
 154 improve the dispersibility of MWCNTs in the acrylate-based photoresist. The 5 mg of  
 155 Ac-MWCNTs were redispersed in 5 mL of acetone under 5 min ultrasonication. Then,  
 156 0.5 g of Pentaerythritol tetrakis (3- mercaptopropionate) (PETMP, >95%, Sigma

157 Aldrich) was then dissolved into the dispersion under ultrasonication for 30 min. The  
158 mixture was stirred vigorously for an additional 12 h at room temperature. The thiol-  
159 functionalized MWCNTs (S-MWCNTs) were obtained through the covalent  
160 functionalization of PETMP on the Ac-MWCNTs surface [29]. The mixture was filtered,  
161 and the S-MWCNTs were collected by drying overnight at 60 °C in a vacuum oven. The  
162 characterizations of MWCNTs before and after chemical treatment are presented in  
163 Figure S1 in the Supplementary Information.

164 After the two-step functionalization, S-MWCNTs were added to 2 mL of acetone under  
165 ultrasonication in iced water for 30 min. Then, the IP-DIP photoresist (Nanoscribe  
166 GmbH, Germany) was dissolved in the S-MWCNTs acetone solution and vigorously  
167 stirred overnight. Subsequently, to enhance the photosensitivity of the MWCNNTs  
168 nanocomposite photoresist, 2 wt.% of photoinitiator, 2-Benzyl-2-(dimethylamino)-4'-  
169 morpholinobutyrophenone (BDMP, 97%, Sigma Aldrich) was also added. The mixture  
170 was ultrasonicated and vigorously stirred for 2 h, followed by vacuum drying at 30 °C for  
171 12 h to completely remove the solvent. After drying, the photoresist was centrifuged at  
172 6000 rpm for 30 min. Large agglomerate of CNTs were removed to ensure the  
173 homogeneity of the photoresist, which may also lower the actual CNT percentage in the  
174 photoresist. The residual content after the decomposition was examined through  
175 thermogravimetric analysis. Different compositions were prepared, as summarized in  
176 Table 1. The images of the photoresist before and after curing are illustrated in Figure S2.  
177 Owing to the better dispersion of MWCNTs, SCNT-PR1 and SCNT-PR2 showed an even  
178 and darker color compared with CNT-PR.

179

180 Table 1 Chemical composition of the MWCNTs nanocomposite photoresists

<b>Sample group</b>	<b>IP-DIP (wt.%)</b>	<b>BDMP (wt.%)</b>	<b>Ac-MWCNT (wt.%)</b>	<b>PETMP (wt.%)</b>
Control	100	0	0	0
CNT-PR	98.0	2	0.1	0
SCNT-PR1	87.9	2	0.1	10
SCNT-PR2	87.8	2	0.2	10

181

## 182 **2.2 General procedure for the direct laser writing (DLW) process**

183 A computerized 3D femtosecond (fs)-laser lithography instrument (Photonic Professional  
 184 GT, Nanoscribe GmbH) was used to undertake the high-precision DLW process. Silicon  
 185 wafers with an oxide surface layer (Si/SiO<sub>2</sub>) were used as the printing substrate.  
 186 Photoresist printing cells were first prepared (Figure S3(a)). In brief, the printing cells  
 187 were prepared by stacking a glass slide (thickness: 0.7 mm, diameter: 15 mm) on top of  
 188 the Si/SiO<sub>2</sub> substrate. The substrate and the glass slide were separated by a 100 μm thick  
 189 Kapton tape. The modified photoresists were then infiltrated into the cells through a  
 190 capillary effect. The DLW process was done in an oil configuration (Figure S3(b)). After  
 191 the 3D printing process, the printing cell was opened, and the substrate was developed by  
 192 immersing in poly(ethylene glycol) methyl ether acrylate (PEGMEA, Sigma-Aldrich) to  
 193 remove the unpolymerized photoresist. Subsequently, the substrate was rinsed with 95%  
 194 isopropanol (2-IPA), and a 365 nm, 6W UV lamp (Upland, USA) was used to illuminate  
 195 the samples for the further polymerization of the microstructure. Finally, the sample was  
 196 blow-dried using N<sub>2</sub> gas. Different 3D computer-aided design (CAD) models were  
 197 generated (Figure S3(c)) and converted into general writing language (GWL) using  
 198 DeScribe (Nanoscribe GmbH, Germany), which defines the writing paths of the laser  
 199 focus.

200

## 201 **2.3 Printability study of the MWCNTs nanocomposite photoresist using DLW**

202 Printability tests were conducted to determine suitable writing conditions of the  
203 MWCNTs nanocomposite photoresist for realizing complex 3D microstructures with  
204 good fidelity, which refers to the quality of the 3D printed structures in terms of shape,  
205 dimensions, and overall visual similarity to the intended structure [30]. First, the  
206 polymerization threshold of the photoresist was determined by coding a parametric sweep  
207 of different laser writing parameters using the software “DeScribe”. A woodpile structure  
208 with overall dimensions of  $20\ \mu\text{m} \times 20\ \mu\text{m} \times 20\ \mu\text{m}$  (constructed of square rods  $1\ \mu\text{m} \times$   
209  $1\ \mu\text{m}$  and a rod-to-rod spacing of  $3\ \mu\text{m}$ ) was generated for the test. Laser power ranging  
210 from 10 - 35 mW and a writing speed of 5 - 10 mm/s were investigated. The optimal laser  
211 parameters were determined by the printing quality of the 3D woodpile microstructure  
212 through SEM observation. The range of laser energy dose for successful prints was  
213 calculated by the following equation:

$$214 \quad \text{Energy dose (J}/\mu\text{m}) = \text{Laser power (W)} / \text{writing speed } (\mu\text{m/s})$$

215 Subsequently, at the writing speed of 10 mm/s, the minimal laser power, i.e., the lowest  
216 laser power setting, was determined for generating metamaterials (Figure S3(c)) that can  
217 withstand the development step with good fidelity. **Three metamaterials were generated**  
218 **with micron-sized truss members**, the octet-truss micro-lattice, tetrakaidekahedron micro-  
219 lattice, and honeycomb structures [14], to determine suitable writing parameters. Further,  
220 at a writing speed of 10 mm/s and minimal laser power setting, the minimum feature size  
221 of this laser parameter was determined by constructing a woodpile structure with rods  
222 generated by a single printing path for defining the printing resolution (line-to-line  
223 spacing of  $2\ \mu\text{m}$ ).

224

## 225 **2.4 Study of the susceptor-assisted microwave pyrolysis (SMWP) conditions**

226 Pyrolysis of the photocured IP-DIP photoresist was first undertaken to investigate the  
227 effects of the susceptor-assisted microwave pyrolysis (SMWP) conditions on the  
228 properties of the PyC product. The SMWP was conducted in a commercial single-mode  
229 2.45 GHz MW furnace (HAMiLab-HV3000, Synotherm, China). The samples were  
230 placed inside the kiln, made of alumina ( $\text{Al}_2\text{O}_3$ , 18 mm thick) with an inner layer of silicon  
231 carbide (SiC, 2 mm thick), and the furnace chamber was vacuumed and purged with  $\text{N}_2$   
232 gas. Afterwards, the SMWP process was initiated. According to the degradation profile  
233 of the photoresist, the fully automated protocol was divided into two stages. In the first  
234 stage, the specimens were heated from room temperature to 450 °C for 10 mins at a  
235 heating rate of 10 °C/min. The second heating stage started at 450 °C, and a fixed heating  
236 rate of 20 °C/min to the target temperature was set. The MW power was adjusted  
237 automatically from 100 W to 400 W to match the heating profile. Throughout this study,  
238 all samples were heat treated using the same heating rate profile. The processing  
239 conditions studied are summarized in Table 2. After the SMWP process, the specimens  
240 were allowed to cool down in the MW furnace to room temperature. The effects of SMWP  
241 conditions on the properties of the PyC product were investigated. Moreover, the  
242 properties of PyC fabricated by conventional pyrolysis (CP\_IPT8D3) were compared to  
243 study the effectiveness of the SMWP. This was done using a tube furnace (Carbolite Gero,  
244 UK) with the same heating profile as the SMWP process. In brief, the tube furnace was  
245 programmed to reach and maintain a temperature of 800 °C for 30 min at a heating rate  
246 of 20 °C/min. The temperature inside the tube was monitored using a built-in  
247 thermocouple and the heating was conducted under  $\text{N}_2$  atmosphere. The pyrolysis  
248 condition that resulted in better electrical and electrochemical performance of the  
249 pyrolytic product was selected for processing the MWCNTs nanocomposite photoresist  
250 for further investigation.

251

252 Table 2 Processing conditions for the pyrolysis process of IP-DIP photoresist

	Sample	Heating rate at >450 °C (°C/min)	Target temperature (°C)	Dwell time (min)
SMWP	IPT6D3	20	600	30
	IPT7D3		700	
	IPT8D3		800	
	IPT8D1	20	800	10
	IPT8D5			50
Conventional pyrolysis	CP_IPT8D3	20	800	30

253

## 254 2.5 Characterization

255 Transmission electron microscopy (TEM, JEOL-2011) was used to examine the  
256 morphology of the MWCNTs before and after the surface modification process. A  
257 scanning electron microscope (SEM) equipped with an energy-dispersive X-Ray (EDX)  
258 spectroscopy (JEOL JSM-6490) was employed for morphological and elemental  
259 characterizations. Fourier-transform infrared (FTIR) analyses were conducted using an  
260 FTIR spectrometer (Bruker Vertex-70) with the KBr pellet method. Prior to the  
261 characterization, the KBr sample was vacuum dried at 80 °C for 4 hours and was stored  
262 in a nitrogen (N<sub>2</sub>) filled vacuum desiccator overnight for complete water removal. The  
263 spectra of the samples were obtained from 16 scans within the wavenumber range of 500  
264 - 4000 cm<sup>-1</sup> with a resolution of 4 cm<sup>-1</sup>. The thermal stability of the MWCNTs and the as-  
265 prepared photoresists were investigated through thermogravimetric analysis (TGA) using  
266 a thermogravimetric instrument (Mettler Toledo TGA/DSC3+), scanned at a temperature  
267 ranging from room temperature to 800 °C at a heating rate of 20 °C/min under an N<sub>2</sub>  
268 atmosphere. The choice of using the same heating rate as the pyrolysis process was made  
269 to ensure alignment between the TGA and the pyrolysis process, thereby maintaining the  
270 relevance of the result. UV-VIS spectroscopy was conducted using a UV-Vis

271 spectrometer (Perkin Elmer Lambda 650, USA) to evaluate the absorbance of the  
272 unpolymerized photoresists.

273 Raman microspectroscopy was employed for characterizing the PyC atomic structures  
274 using a micro-Raman Spectrometer (LabRAM HR 800, grating: 1800 g/mm). Disc-  
275 shaped samples (diameter of 50  $\mu\text{m}$  and thickness of 5  $\mu\text{m}$ ) were prepared by DLW and  
276 subjected to pyrolysis process. A laser beam with 488 nm wavelength at 50 mW laser  
277 power was used as an excitation source. Each sample was scanned within the region of  
278  $1000\text{ cm}^{-1}$  -  $3200\text{ cm}^{-1}$ . The degree of structural disorder of the carbon after pyrolysis of  
279 the polymer precursor was assessed by the intensity ratio between the D peak and G peak,  
280 i.e.,  $I_D/I_G$ .

281

## 282 **2.6 Electrical and electrochemical performance**

283 2D electrode chips were prepared by photopatterning to study the effect of SMWP  
284 conditions on the electrical and electrochemical performance of the pyrolyzed samples,  
285 as illustrated in Figure S4. A photoresist cell was prepared using a Kapton tape spacer of  
286 30  $\mu\text{m}$  thickness with a PET film covering the top. Two photomasks were used. A  
287 photomask with a square area of  $25\text{ mm}^2$  with four electrode contacts at each corner was  
288 employed for electrical measurement. While for the electrochemical analysis, the  
289 photomask consisted of a circular pattern (area =  $12.6\text{ cm}^2$ ) with a wick (5 mm long and  
290 0.75 mm wide). The pattern transfer was done using a 365 nm 6W handheld UV lamp  
291 (Upland, USA), and the exposed area was photopolymerized. Subsequently, the PET film  
292 was lifted off, and the 2D pattern was developed, followed by SMWP at different  
293 conditions.

294 The electrical properties of the samples were investigated by the four-point probe method  
295 using a probe station (The Micromanipulator Co., USA) equipped with a Keithley 4200a-

296 SCS parameter analyzer (Tektronix, USA). The bulk resistivities ( $\rho$ ) of the PyC samples  
297 prepared from different SMWP conditions and the SCNT-PR1-derived PyC, denoted as  
298 PyC\_CNT, were determined based on the following equation:

$$299 \quad \rho = \frac{V}{I} \frac{\pi t}{\ln(2)}$$

300 where  $V$  = applied current,  $I$  = measured voltage, and  $t$  = thickness of the sample.

301

302 The electrochemical performance of the sample was characterized using cyclic  
303 voltammetry (CV) and electrochemical impedance spectroscopy (EIS). The  
304 measurements employed a three-electrode configuration and were conducted using a  
305 CHI6600 electrochemical workstation (Shanghai Chenhua, China). A ferri-ferrocyanide  
306 redox couple  $[\text{Fe}(\text{CN})_6]^{3-/4-}$  redox couple was used. All electrochemical measurements  
307 were performed in 0.5 M potassium chloride (KCl) solution (Fuchen, China) containing  
308 10 mM potassium ferricyanide ( $\text{K}_3\text{Fe}(\text{CN})_6$ , Aladdin, China). The scans were performed  
309 at a potential ranging from -0.3 V to 0.9 V for the CV test, and at least six cycle scans  
310 were obtained to ensure reproducibility. Different scan rates ranging from 10 – 100 mV/s  
311 were employed to study the electrochemical behavior of the PyC electrodes. The  
312 impedance data were acquired by applying a 5 mV AC potential with frequencies ranging  
313 from 0.1 –  $10^5$  Hz.

314

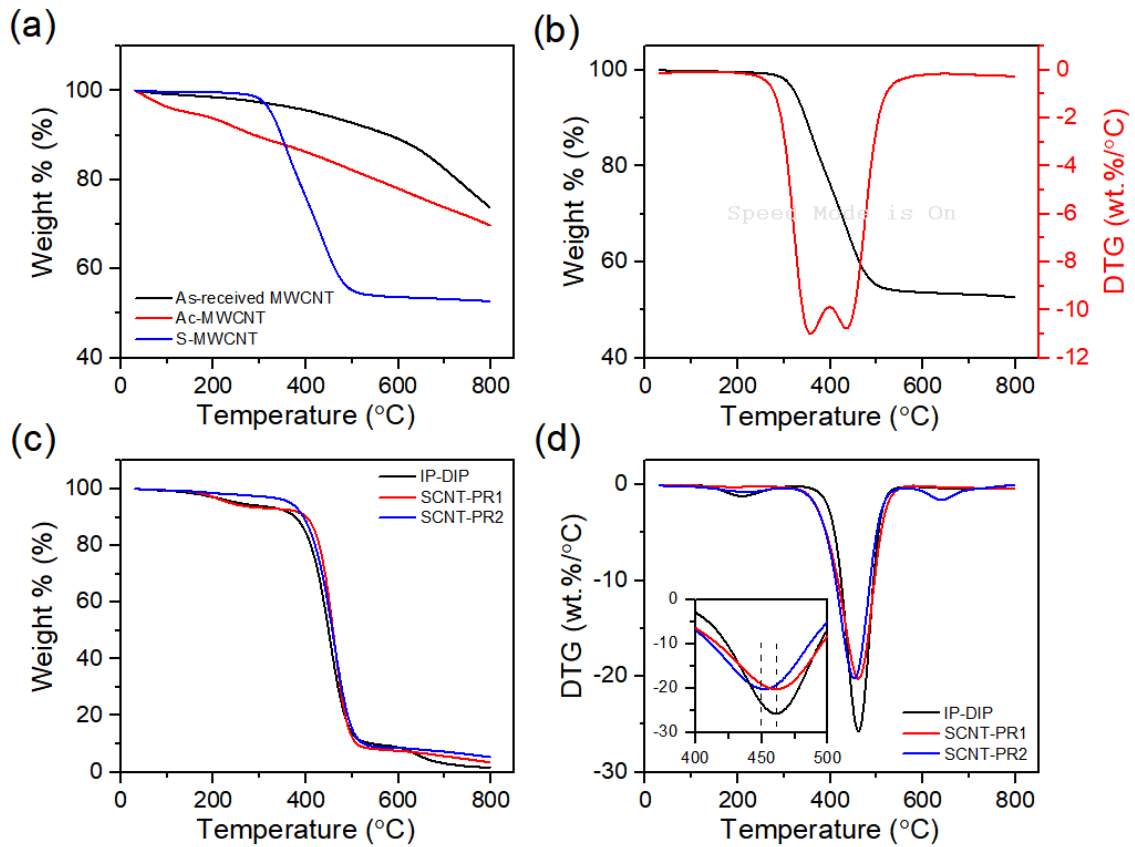
### 315 **3 Results and discussion**

#### 316 **3.1 Pyrolysis behaviors of the MWCNTs nanocomposite photoresist**

317 The TGA of the MWCNTs samples was first recorded, as presented in Figure 2(a). The  
318 as-received MWCNTs demonstrated better thermal stability with minimal weight loss of  
319 <10 % below 600 °C, while a significant weight loss was measured for Ac-MWCNTs  
320 and S-MWCNTs for over 20 % and 45 %, respectively. The increase in weight loss was

321 due to the grafting of functional groups on the MWCNTs after the two-step  
322 functionalization. For Ac-MWCNTs, there was a slight reduction in weight at a  
323 temperature around 100 °C, possibly attributable to the initial degradation of carboxyl  
324 and hydroxyl functional groups [31] and the removal of the impurities, such as water or  
325 oxygen, introduced after the acid treatment. For S-MWCNTs, a significant mass loss of  
326 over 47 % at 300 - 500 °C was recorded for the decomposition of the thiol molecule  
327 (Figure 2(b)).

328 The pyrolysis behavior of the cured MWCNTs photoresists was also assessed, and the  
329 maximum mass loss temperature ( $T_{max}$ ) was determined, which is the decomposition  
330 temperature and is crucial for determining the minimum temperature for the pyrolysis  
331 process. The CNT-PR was not considered because of the poor dispersibility of the  
332 MWCNTs. Figure 2(c) shows similar degradation profiles for the photoresist samples,  
333 with major weight loss starting at 350 °C. At above 350 °C, polymer chain rearrangement  
334 occurred, followed by vaporization to eliminate the heteroatoms from the polymer,  
335 leading to a significant mass loss. At over 600 °C, the weight loss for all samples slowed  
336 down. While at 800 °C, the solid residue amount of IP-DIP, SCNT-PR1, and SCNT-PR2  
337 were 1.7%, 3.6%, and 5.4%, respectively, due to the increased MWCNTs content. The  
338 thermal stability of the photoresist was also improved by adding MWCNTs. From the  
339 DTG result (Figure 2(d)), a higher  $T_{max}$  for both SCNT-PR1 and SCNT-PR2 (461 °C)  
340 was recorded as compared to IP-DIP (450 °C), indicating a higher thermal degradation  
341 resistance.



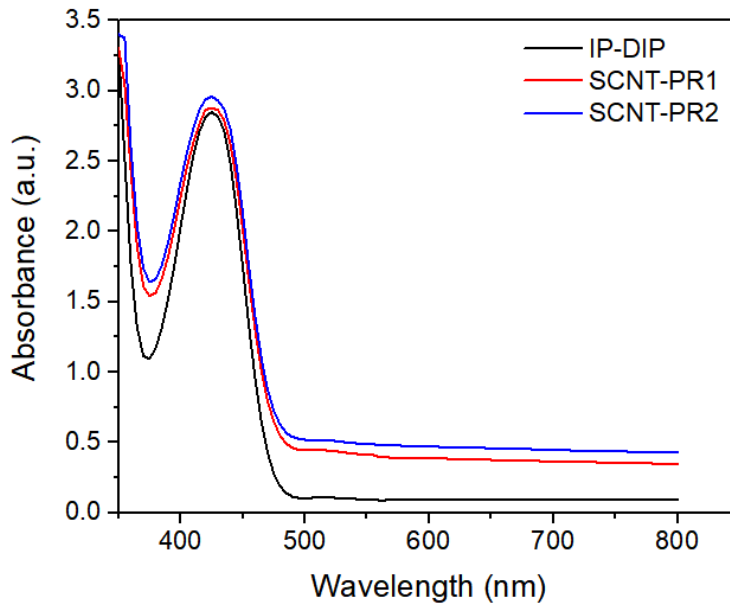
342

343 Figure 2(a) TGA of the as-received MWCNTs, Ac-MWCNTs, and S-MWCNTs and (b)  
 344 DTG curve of S-MWCNTs. (c) TGA and (d) DTG analysis MWCNTs photoresists with  
 345 different compositions

346

### 347 3.2 UV-VIS spectra

348 The optical absorption of the MWCNTs nanocomposite photoresists was studied using  
 349 UV-VIS spectroscopy, as displayed in Figure 3. After the addition of S-MWCNTs, the  
 350 optical absorption of the photoresists throughout the entire spectrum increased.  
 351 Moreover, a strong absorption at 420 nm was also recorded due to the existence of the  
 352 photoinitiator. As the DLW employed a two-photon polymerization mechanism, good  
 353 absorption at  $\lambda/2$  (i.e., 390 nm) was conducive to effective photopolymerization during  
 354 3D printing.



355

356 Figure 3 The UV-Vis spectrum of IP-DIP, SCNT-PR1, and SCNT-PR2

357

### 358 **3.3 Printability assessment for the MWCNTs nanocomposite photoresist**

359 The intrinsic two-photon polymerization mechanism of DLW has rendered it as a  
 360 powerful tool for true 3D additive manufacturing. In comparison to other 3D printing  
 361 technologies, DLW enables the precise fabrication of intricate 3D microstructures with  
 362 nanoscale resolution (Figure S5). To enhance printing quality using the newly developed  
 363 photoresist, a series of printability tests were conducted to identify suitable printing  
 364 parameters and determine the line resolution

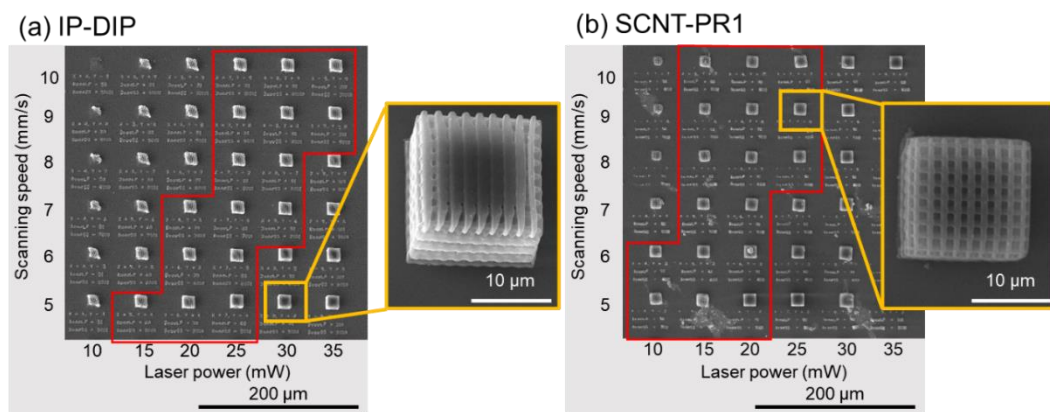
#### 365 Study of DLW conditions

366 Printability assessment was conducted to investigate the most suitable DLW condition  
 367 for SCNT-PR1 photoresist. The SEM images in Figure 4 show the woodpile structures  
 368 produced with IP-DIP and SCNT-PR1 at different laser writing conditions. Based on the  
 369 fidelity of the microstructures, the range of successful prints is highlighted in red area.  
 370 For IP-DIP (Figure 4(a)), the lowest laser setting was found at laser power = 15 mW and  
 371 writing speed = 5 mm/s. As the exposure time was shorter, i.e., the writing speed

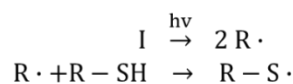
372 increased, higher laser power was needed to initiate and sustain the photopolymerization.  
373 However, as laser power >30 mW, the excess energy dose caused the formation of larger  
374 voxels during the printing, which reduced the quality of the structure as a result of  
375 overexposure. [32]. It was also observed that the minimum laser power increased for an  
376 increasing writing speed, due to the minimum energy required to attain the  
377 polymerization threshold during the printing process. From the printability test for IP-  
378 DIP, it was calculated that the laser energy dose required for successful printing within  
379 the tested range falls within  $2.5 \times 10^{-6} - 5 \times 10^{-6} \text{ J}/\mu\text{m}$ .

380 For SCNT-PR1 (Figure 4(b)), a lower polymerization threshold was observed with a  
381 lower laser power setting at 10 mW at a writing speed of 5 mm/s. This phenomenon is  
382 attributed to the presence of thiol molecules with different photopolymerization  
383 mechanisms. First, thiol molecules can reduce oxygen inhibition during acrylate  
384 photopolymerization [33]. As the presence of oxygen may hinder the propagation reaction  
385 of the photoresist, adding thiol molecules can reduce the excited state quenching of the  
386 photoinitiator during photopolymerization. In addition, the thiol molecules also involved  
387 in a thiol-ene radical reaction, illustrated in Figure 4(c). As the photoinitiator (*I*) is excited  
388 by the fs-laser through two-photon absorption, a thiyl radical is formed, which it  
389 propagates through the C=C bond of the acrylate monomer. A series of reactions are  
390 initiated, including (1) chain-transfer reaction between the polymer radicals and the thiol  
391 molecule, (2) propagation reaction through another acrylate monomer, and (3)  
392 termination reaction by radical-radical recombination. The thiol-ene  
393 photopolymerization shows a faster reaction rate than the radical-based  
394 photopolymerization involving acrylates [34]; as a result, a lower energy threshold is  
395 observed. In addition, it has been reported that the thiol molecules also improve the photo-  
396 reactivity of the photoresist, which is beneficial to formulating photoresists with better

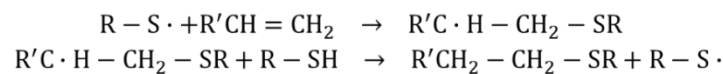
397 processability [35]. The improvement is due to the reduced oxygen inhibition by the  
 398 thiolation, and the step-growth polymerization reaction mechanism reduces the structural  
 399 shrinkage during the photopolymerization owing to the homogeneous crosslinked  
 400 network. A more efficient photopolymerization with higher conversion can also be  
 401 achieved. This is further supported by the lower energy dose required to achieve  
 402 successful prints for SCNT-PR1, falling within the range of  $1.5 \times 10^{-6} - 4 \times 10^{-6} \text{ J}/\mu\text{m}$ .  
 403 Further increases in laser power to 25 mW resulted in lower printing quality of the  
 404 structure, as observed in the SEM image. Moreover, laser power increase is needed for  
 405 higher writing speed in order to achieve good structure quality. Table 3 summarizes the  
 406 suitable range of laser writing parameters DLW for IP-DIP and SCNT-PR1.



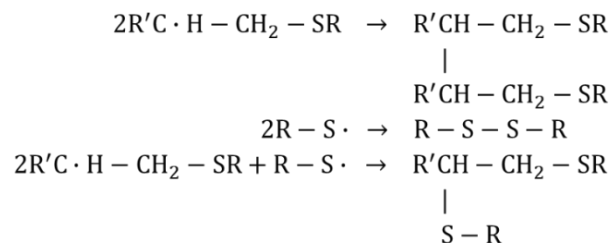
(c) Initiation:



Propagation:



Termination:



407

408 Figure 4 SEM images of a  $9 \times 9$  array of woodpile structures using (a) IP-DIP and (b)

409 SCNT-PR1. Red areas represent the range of successful prints with good fidelity and

410 without defects. Enlarged images for structures both within and out of this range are  
 411 also shown. (c) The thiol-ene photopolymerization reaction mechanism.

412 Table 3 Summary of DLW conditions for producing structures with good fidelity.

Laser writing speed (mm/s)		5	6	7	8	9	10
Laser power (mW)	IP-DIP	15 - 25	20 - 25	20 - 30	25 - 30	25 - 35	25 - 35
	SCNT-PR1	10 - 20	10 - 20	15 - 20	15 - 25	15 - 25	15 - 25

413 Minimal laser writing condition for 3D metamaterials at the highest writing speed

414 Based on the above finding, the highest writing speed at 10 mm/s was selected for further  
 415 investigation to reduce the printing time of the microstructures. To obtain the most  
 416 suitable writing condition for producing complex 3D metamaterials with good fidelity,  
 417 an individual printing test was performed for each structure, and the minimal laser power  
 418 was determined. Three sets of printing for each metamaterial were undertaken to ensure  
 419 repeatability, and the SEM images are shown in Figure 5. As observed, good-quality  
 420 structures were fabricated within the range of laser conditions found in the printability  
 421 assessment. The minimal laser power was found at 25 mW for IP-DIP, and all  
 422 metamaterials showed good structural integrity and fidelity after the development process  
 423 (Figure 5(a)). In comparison, SCNT-PR1 showed a lower minimal laser power at 15 mW  
 424 due to a reduced polymerization threshold in the presence of thiol molecules (Figure 5(b)).

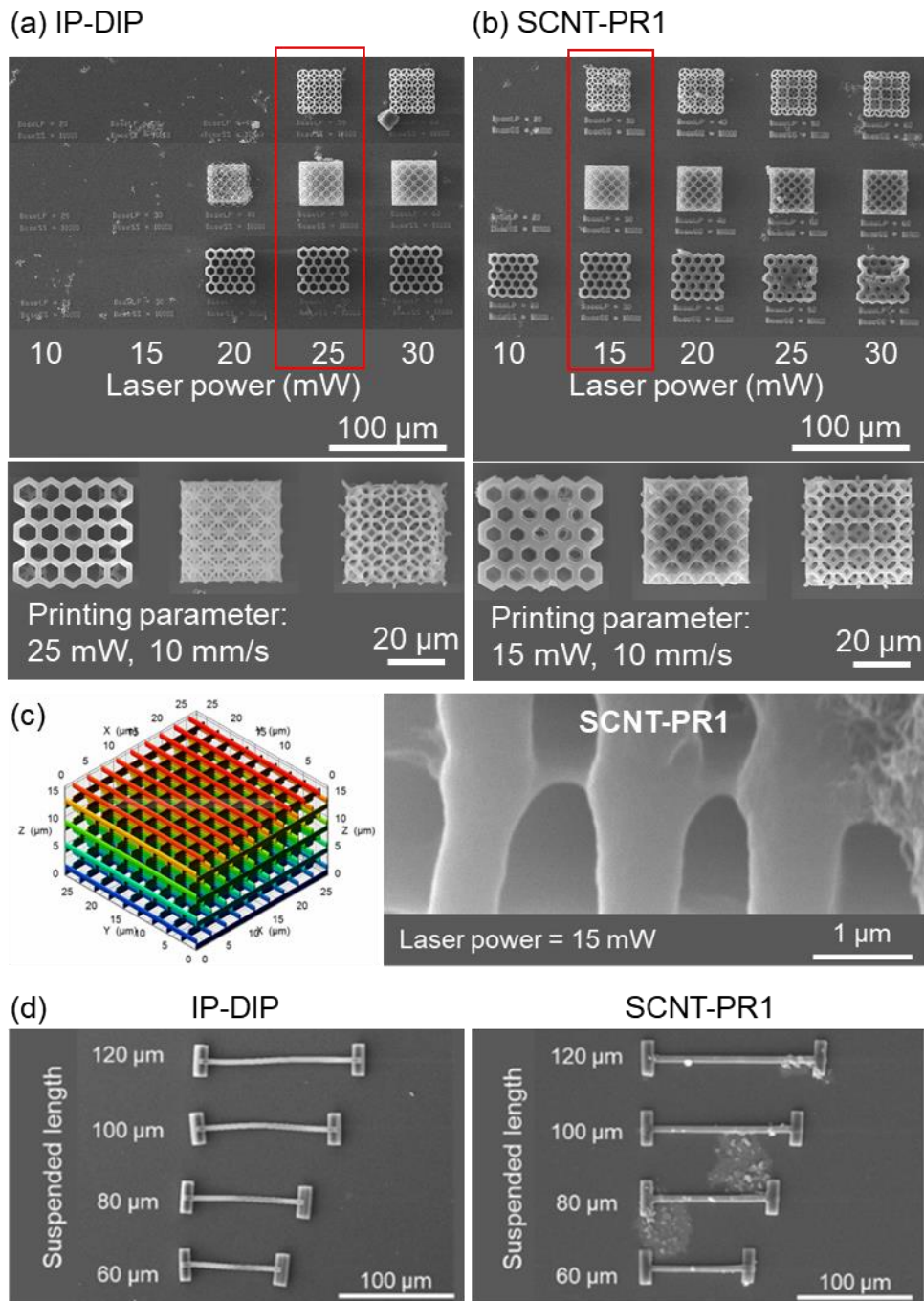
425 Line resolution at minimal laser writing conditions

426 Different laser settings affect the voxel size formed during the DLW process, thereby  
 427 affecting the line resolution of the microstructure. The line resolution of SCNT-PR1 was  
 428 assessed by printing a woodpile structure constructed with rods generated by a single  
 429 printing path at the minimal laser power of 10 mm/s. The line width on the top layer was  
 430 determined and is shown in Figure 5(c). The resolution of the IP-DIP photoresist can  
 431 reach as high as 150 nm (Figure S6), according to the manufacturers' data. After

432 modification with S-MWCNTs, the SCNT-PR1 photoresist showed a significant increase  
433 in the line resolution, reaching  $833 \pm 54$  nm. During the laser writing process, localized  
434 heat was generated due to the exothermal nature of photopolymerization [36]. The  
435 formation of excess thiyl radicals are initiated through a thermal free-radical reaction [37].  
436 This lead to the “dark reaction”, where the excess thiyl radicals diffuse and propagate to  
437 the unreacted photoresist outside the laser spot [35]. Larger voxels can be created during  
438 the printing process, however, despite the reduction in the line resolution, are still within  
439 the submicron regime ( $<1\mu\text{m}$ ). Besides, smooth surfaces were observed on the rods of  
440 the woodpile structure, no CNTs protruding from the surface. This is probably attributable  
441 to the self-alignment of CNTs along the laser scanning direction, influenced by spatial  
442 confinement, volume shrinkage of the structures, and optical gradient force [38, 39].

#### 443 Stability of overhanging structures at minimal laser writing conditions

444 During the DLW process, unsupported portions of the structures may exhibit plastic  
445 deformation either due to residual stress after the development process or self-buckling.  
446 Therefore, micro-bridges were fabricated at the minimal laser writing condition. The  
447 length span of laser-printed overhanging structures without obvious deformation was  
448 examined. Two-end supported beam structures with a cross-section of  $5\mu\text{m}$  (W)  $\times$   $7\mu\text{m}$   
449 (H) and an unsupported length ranging from  $60\mu\text{m}$  to  $120\mu\text{m}$  were produced (Figure  
450 5(d)). For the IP-DIP photoresist, significant deformation was observed for the  
451 unsupported portion of all printed structures. In contrast, no deformation was observed  
452 for the structures fabricated using SCNT-PR1, owing to the enhanced stiffness by adding  
453 the MWCNTs [38]. The results provide valuable information for designing complex 3D  
454 structures with overhang components.



455

456 Figure 5 Minimal laser power for producing metamaterials at a fixed scanning speed of

457 10 mm/s: (a) IP-DIP and (b) SCNT-PR1. (c) Line resolution of SCNT-PR1 at minimal

458 laser power and scanning speed of 10 mm/s. (d) SEM images of micro-bridges

459 fabricated

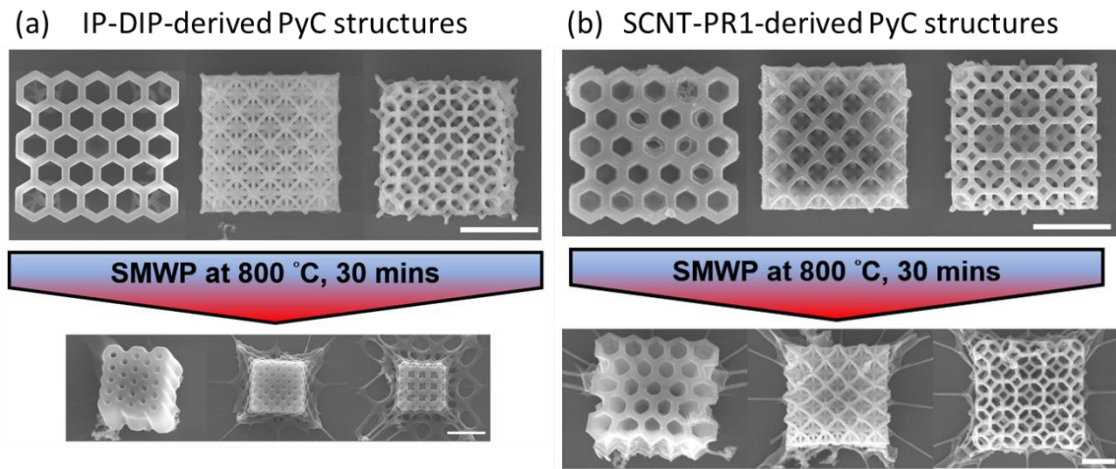
460

### 461 3.3 Fabrication of 3D PyC-based metamaterials

462 DLW technology possess great potential to mitigate material defects due to its capability  
463 to produce structure with submicron resolution and reducing the overall size of  
464 geometrical features [13]. Three metamaterials were generated by the DLW technology  
465 and subsequently converted to 3D PyC metamaterials through the SMWP process. A  
466 supporting structure was employed underneath the metamaterials to minimize the  
467 substrate effect that restricts the structural shrinkage during the pyrolysis. The polymer-  
468 based 3D metamaterials were successfully transformed into PyC-based metamaterials  
469 through the SMWP at 800 °C for 30 min. The SEM images in Figure 6(a) reveal the 3D  
470 PyC-based microstructures of IP-DIP and SCNT-PR1 after pyrolysis, where the IP-DIP  
471 and SCNT-PR1 microstructures shrank almost isotropically after pyrolysis, suggesting  
472 the substrate effect was reduced. With the aid of a supporting structure, the degassing  
473 process during pyrolysis can take place in any direction, therefore, an isotropic shrinkage  
474 can be achieved. The IP-DIP-derived PyC microstructures showed a substantial shrinkage  
475 of over 75% in width after pyrolysis. After the pyrolysis, the structures almost lost  
476 geometrical details due to the considerable shrinkage, highlighting the critical challenge  
477 of preserving intricate geometrical features.

478 SCNT-PR1 3D metamaterials underwent the SMWP at the same pyrolysis condition  
479 (Figure 6(b)). Unlike the IP-DIP-derived PyC microstructures, all the SCNT-PR1-derived  
480 PyC microstructures shrank only by ~55% in width. As MWCNTs are thermally stable  
481 and hardly to be decomposed at the pyrolysis temperature [40], the additional of  
482 MWCNTs improved the shrinkage resistance of the SCNT-PR1 metamaterials, which is  
483 crucial for avoiding defects such as cracks and delamination. This is also proven by the  
484 higher amount of solid residual formed from the SCNT-PR1 at 800 °C according to the  
485 TGA result (Figure 2(c)). After pyrolysis, these PyC structures can be potentially used as

486 microelectrodes for advanced technologies such as biosensors [41] and electrochemical  
487 MEMS devices [42].



488

489 Figure 6 SEM images of (a) the IP-DIP metamaterials produced by DLW before (scalebar  
490 = 20  $\mu\text{m}$ ) and after pyrolysis through the SMWP (scalebar = 5  $\mu\text{m}$ ), and (b) SCNT-PR1  
491 metamaterials produced by DLW before (scalebar = 20  $\mu\text{m}$ ) and after pyrolysis through  
492 the SMWP (scalebar = 5  $\mu\text{m}$ )

493

### 494 3.4 Effects of SMWP conditions on the atomic structure of the PyC products

495 The effects of conditions in the SMWP process, including pyrolysis temperature and  
496 dwell time, were studied. The degree of disorder in the atomic structure of the PyCs  
497 produced from IP-DIP was investigated by Raman spectroscopy. Two distinctive peaks  
498 around 1350  $\text{cm}^{-1}$  and 1580 - 1600  $\text{cm}^{-1}$  were identified for the PyC samples after the  
499 pyrolysis, corresponding to the D peak (disordered band) and the G peak (originated from  
500 the graphitic structure). For better interpretation, the Raman spectra were normalized to  
501 the G peak, and the D to G peak intensity ratio, i.e.,  $I_D/I_G$  was estimated. Figure 7(a)  
502 shows the Raman spectra for the IP-DIP-derived PyC samples fabricated at different  
503 temperatures through the SMWP. From the normalized spectra, D peak intensity  
504 increased with the pyrolysis temperature, similar to the characteristics of the glassy

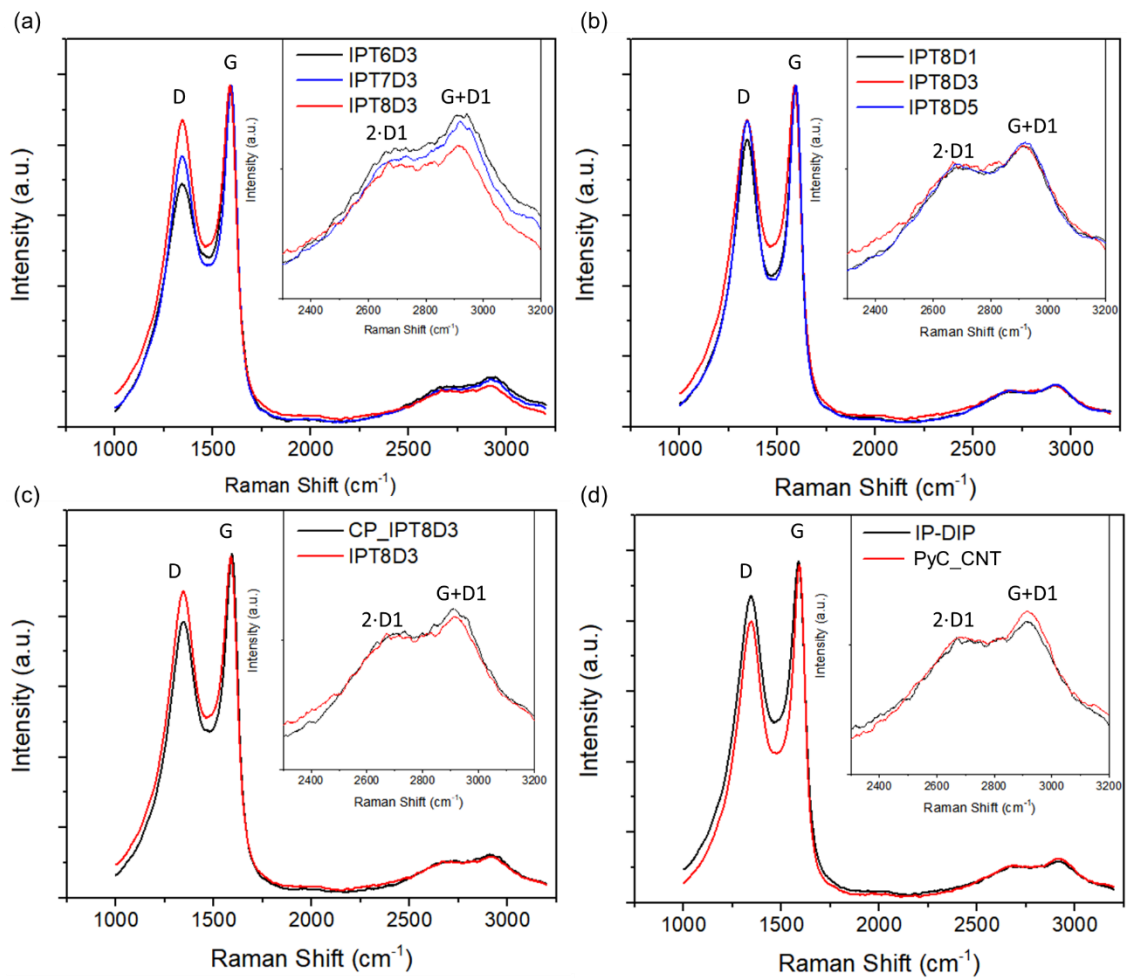
505 carbon annealed at elevated temperatures [43]. As a result, an increasing  $I_D/I_G$  is  
506 observed. Understanding the evolution of the graphitic crystallites during the pyrolysis  
507 process is crucial for interpreting the properties of the pyrolytic product. In the case of  
508 the amorphous carbon annealed at elevated temperatures, the D peak broadening is due  
509 to the formation of  $sp^2$ -bonded clusters [44]. In contrast, an increase in bandwidth with a  
510 decrease in peak intensity indicates the formation of non-sixfold rings. Therefore, for  
511 amorphous carbon materials annealed at higher temperatures, the formation of a more  
512 ordered carbon atomic structure displays an increase in the D peak intensity. During  
513 pyrolysis, polymer fragmentation and rearrangements of carbon atoms take place. More  
514  $sp^2$  carbons and ordered aromatic rings are formed, and the  $sp^2$  cluster size increases. For  
515 PyC samples derived from IP-DIP, the ratio of  $I_D/I_G$  increased from 0.72 to 0.90 as the  
516 processing temperature increased from 600 (IPT6D3) to 800 °C (IPT8D3). This implied  
517 the formation of more aromatic rings and led to a more ordered carbon atomic structure.  
518 The second-order peaks, including the 2·D1 ( $\sim 2695\text{ cm}^{-1}$ ) and G+D1 peaks ( $\sim 2960\text{ cm}^{-1}$ )  
519 (inset of Figure 7(a)) were also investigated. The decreased 2·D1 peak intensity in the  
520 normalized Raman spectrum suggested an increase in the stacked graphene layers, a  
521 useful trait for comparing the atomic structure of the PyCs [43]. As observed, the  
522 increasing pyrolysis temperature decreased the 2·D1 peak intensity, suggesting increased  
523 layers in the stacked graphite domains within the PyC. The G+D1 peak is another  
524 characteristic of the carbon atomic structure, representing the forbidden combinations  
525 mode due to the disordered carbon [45]. The G+D1 peak intensity showed a similar trend  
526 to the 2·D1 peak as the pyrolysis temperature increased, suggesting the formation of  
527 larger graphite crystalline domains. From the results, the IP-DIP-derived PyCs became  
528 less disordered as the processing temperature increased.

529 The effect of dwell times on the IP-DIP-derived PyC produced through the SMWP at 800  
530 °C was also investigated. The Raman spectra of the samples pyrolyzed for 10 (IPT8D1),  
531 30 (IPT8D3), and 50 (IPT8D5) min are shown in Figure 7(b). A slight increase in the D  
532 peak intensity was observed for IPT8D3 compared with IPT8D1, indicating the formation  
533 of less distorted aromatic rings. However, the carbon atomic structure between IPT8D3  
534 and IPT8D5 showed a similar degree of disorder, as proven by the same  $I_D/I_G$  value. In  
535 addition, similar 2·D1 and G+D1 peak intensities were observed. The result suggested  
536 that more ordered aromatic rings were formed during the first 30 min of the SMWP.  
537 However, the processing time was less significant in forming a larger stacked graphite  
538 crystalline domain.

539 Figure 7(c) shows the Raman spectra of IP-DIP-derived PyC produced at the same  
540 processing condition (i.e., 800 °C for 30 min) through the SMWP (IPT8D3) and the  
541 conventional heating (CP\_IPT8D3). As observed, an increase in the D peak intensity and  
542 a slight decrease in G+D1 peaks were recorded for the IPT8D3. The  $I_D/I_G$  values for  
543 IPT8D3 and CP\_IPT8D3 were 0.90 and 0.80, respectively. The results showed that the  
544 SMWP can facilitate the formation of a less disordered carbon atomic structure with a  
545 larger graphite crystalline domain, which may be attributed to the intrinsic heating  
546 mechanism of the susceptor-assisted microwave process, leading to an accelerated  
547 pyrolysis reaction [27].

548 The Raman characteristics of the SCNT-PR1-derived PyCs, i.e., PyC\_CNT, were also  
549 studied, as presented in Figure 7(d). The  $I_D/I_G$  value for the IPT8D3 was 0.90, while for  
550 PyC\_CNT, a lower  $I_D/I_G$  value of 0.83 was recorded. The decreases in  $I_D/I_G$  for  
551 PyC\_CNT were due to the increased fraction of graphitized carbon after pyrolysis due to  
552 the existence of the thermally stable MWCNTs. Therefore, a more ordered carbon atomic  
553 structure was obtained. Besides, adding MW-absorbing CNTs lead to a more efficient

554 pyrolysis reaction under MW irradiation. Table 4 summarizes the D and G peaks'  
555 position, and the  $I_D/I_G$  values for all the samples.



556  
557 Figure 7 Raman spectra of IP-DIP-derived PyCs obtained at different (a) temperatures  
558 (dwell time: 30 min) and (b) dwell times (pyrolysis at 800 °C); (c) IP-DIP-derived PyC  
559 using obtained at 800 °C with a dwell time of 30 min through the SMWP and conventional  
560 heating; (d) PyC\_CNT obtained at same SMWP condition.

561

562 Table 4  $I_D/I_G$  ratios in Raman spectra of PyCs under different processing conditions

PyC Samples		D band Position ( $\text{cm}^{-1}$ )	G band Position ( $\text{cm}^{-1}$ )	$I_D/I_G$
IP-DIP- derived PyC	IPT6D3	1343	1588	0.72
	IPT7D3	1342	1593	0.79
	IPT8D3	1345	1588	0.90
	IPT8D1	1345	1592	0.85
	IPT8D5	1344	1592	0.90
	CP_IPT8D3	1345	1593	0.80
PyC_CNT		1345	1590	0.83

563

### 564 3.5 Effects of MW pyrolysis on the electrical and electrochemical properties

565 The electrical properties of the IP-DIP-derived PyC fabricated under different pyrolysis  
566 conditions were investigated. Figure 8(a) presents the resistivity values for the PyC films  
567 produced at different temperatures through the SMWP. As the temperature increased  
568 from 600 °C to 800 °C, a significant reduction in the resistivity of the PyC film was  
569 recorded. The PyC sample IPT6D3 showed a resistivity of  $54.20 \pm 7.27 \Omega \text{ cm}$ . As the  
570 pyrolysis temperature reached 800 °C, the PyC sample IPT8D3 showed a drastic decrease  
571 in resistivity to  $0.16 \pm 0.01 \Omega \text{ cm}$ , a two-order of magnitude reduction compared to 700  
572 °C. During pyrolysis at high temperatures, a series of pyrolytic reactions occurred, and  
573 the impurities and heteroatoms, such as oxygen and hydrogen, were removed from the  
574 polymer through vaporization [46]. At above 600 °C, more aromatic hydrocarbon  
575 molecules and fragmented graphene were formed [47]. At a higher pyrolysis temperature,  
576 the rearrangement of the carbon atomic structure reduced the defects in the carbon  
577 structure and facilitated the growth of the graphene domain. As a result, a substantial  
578 decrease in the resistivity of the PyC film was recorded, a conclusion also supported from  
579 the findings in the Raman spectra analyses.

580 We also compared the resistivity value of the PyC films obtained through conventional  
581 pyrolysis at the same processing condition. The PyC sample CP\_IPT8D3 showed a  
582 resistivity value of  $0.69 \pm 0.13 \Omega \text{ cm}$ . In comparison, the resistivity of IPT8D3 fabricated  
583 through the SMWP was reduced by almost 75%, mainly ascribed to the accelerated  
584 reaction kinetics with lower activation energy under MW pyrolysis than conventional  
585 heating [27]. The SMWP can improve pyrolysis process and enhance the resistivity of  
586 the PyC, achieving higher overall effectiveness of the process.

587 Figure 8(b) presents the resistivity of the IP-DIP-derived PyC fabricated under different  
588 dwell times. At 800 °C, a decreasing trend of the resistivity of the PyC samples was  
589 confirmed as the dwell time increased. The highest resistivity of  $36.62 \pm 12.84 \Omega \text{ cm}$  was  
590 recorded for IPT8D1(dwell time = 10 min), while the resistivity greatly decreased to  $0.16$   
591  $\pm 0.01 \Omega \text{ cm}$  for IPT8D3 (dwell time = 30 min), and then slightly decreased to  $0.14 \pm$   
592  $0.02 \Omega \text{ cm}$  for IPT8D5 (dwell time = 50 min). The dwell time for pyrolysis has a  
593 considerable impact on the electrical conductivity of the PyC product. Prolonged  
594 pyrolysis allowed more time for the rearrangement of the carbon atoms and the formation  
595 of more ordered aromatic rings [48]. As a result, the conductivity was improved, also  
596 proven by the findings from the Raman spectra analysis of the PyC samples.



597

598 Figure 8 Resistivity of IP-DIP-derived PyC films produced through SMWP and  
 599 conventional heating at (a) different pyrolysis temperatures and (b) dwell time.

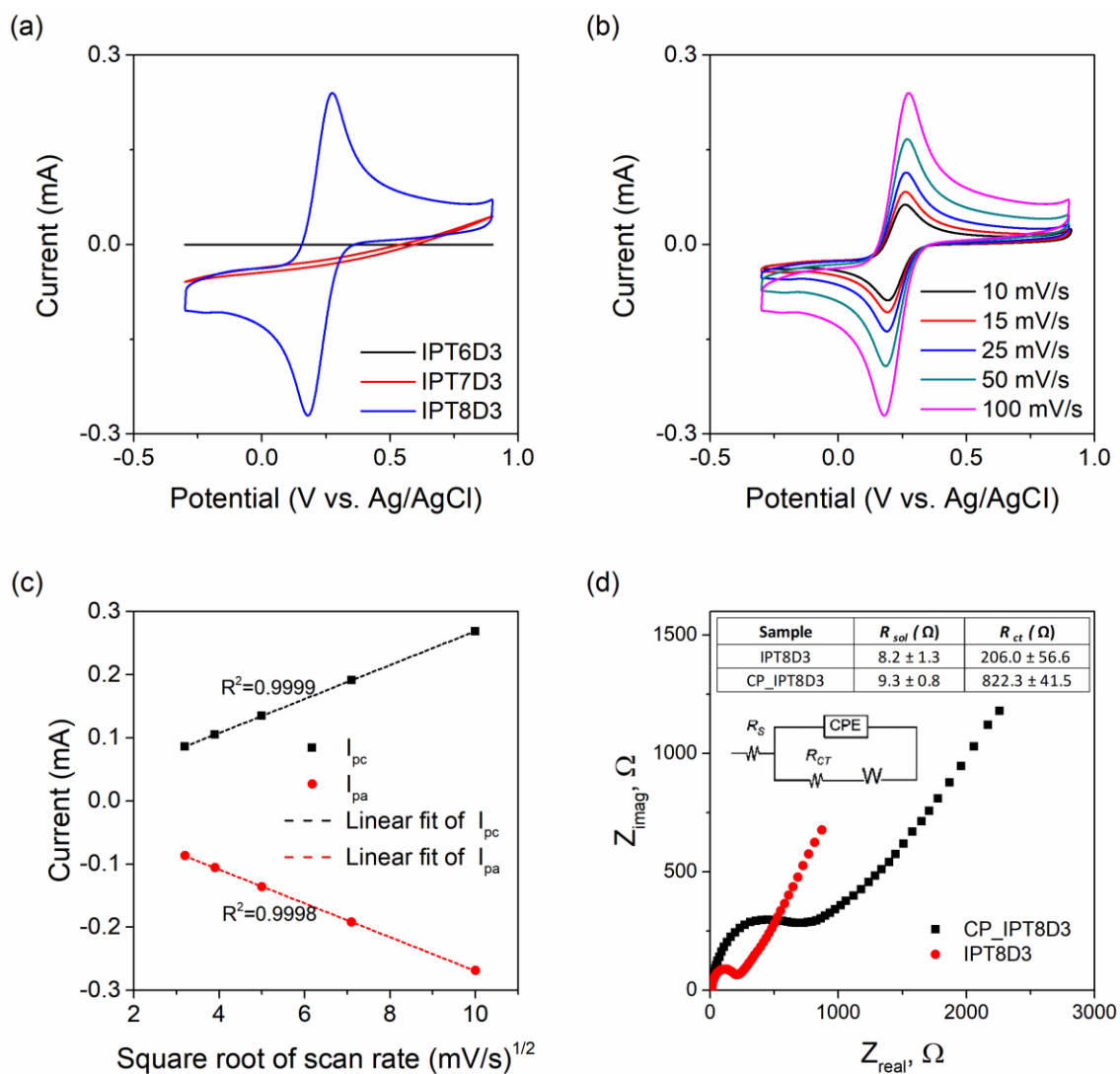
600 Cyclic Voltammetry (CV) was employed to study the influences of different processing  
 601 conditions under the SMWP on the electrochemical properties of the PyC product derived  
 602 from the IP-DIP. The redox reaction characteristics of the electrochemical cell, e.g., the  
 603 reversibility, electron transfer, and the interaction between the PyC working electrode  
 604 and the electrolyte, were analyzed. Figure 9(a) shows the CV voltammograms of PyC  
 605 samples produced at different temperatures through the SMWP using IP-DIP. For the  
 606 PyC samples prepared below 800 °C (i.e., IPT6D3 and IPT7D3), no redox peaks were  
 607 observed due to the high electrical resistivity working electrode. For IPT8D3, distinctive  
 608 cathodic ( $i_{pc} = -0.271 \text{ mA}$ ) and anodic peak currents ( $i_{pa} = 0.271 \text{ mA}$ ) of the same  
 609 magnitude were recorded, with peak-to-peak potentials ( $\Delta E_p$ ) of 98 mV.

610 The electrochemical behavior of the IPT8D3 PyC sample was further studied. CVs at  
 611 different scan rates ( $v$ ), ranging from 10 mV/s to 100 mV/s, were applied to assess the  
 612 reversibility of the electrochemical reaction (Figure 9(b)). The recorded  $i_{pa}$  and  $i_{pc}$  were  
 613 equal at all scan rates, and the magnitude increased with the scan rate. When the scan rate

614 increased, a higher step potential was applied within a shorter period. As a result, a thicker  
615 electric double layer was formed, and the electric flux reduced. Therefore, the peak  
616 currents increased with the scan rate. The lowest  $\Delta E_p$  was recorded at 10 mV/s with a  
617 value of 66 mV, which approaches the theoretical Nernstian behavior (~59 mV) of the  
618  $[\text{Fe}(\text{CN})_6]^{3-/4-}$  redox reaction. The relation between the peak currents and the square root  
619 of the scan rate is shown in Figure 9(c) for studying the mass transport kinetics of the  
620 electrochemical cells using the IPT8D3 PyC working electrode, and a linear correlation  
621 was observed. According to the Randles-Sevcik equation, the electrochemical behavior  
622 of the IPT8D3 PyC working electrode is a diffusion-controlled process, similar to the  
623 glassy carbon produced by the conventional pyrolysis process [42, 49].

624 EIS was also employed to examine the electrochemical behavior of the IPT8D3 PyC  
625 electrode. The sample produced through conventional heating (CP\_IPT8D3) was studied  
626 for comparison. The Nyquist plots for IPT8D3 and CP\_IPT8D3 are shown in Figure 9(d),  
627 and the data are fitted using the Randles equivalent circuit models. As shown in the figure,  
628 both samples showed a similar shape in the Nyquist plot. At the high-frequency range, a  
629 semicircle was formed, representing the electron transfer kinetics, while a linear portion  
630 shown in the low-frequency region represents the diffusion-limited process. The  
631 electrolyte resistance ( $R_{sol}$ ) and charge transfer resistance ( $R_{ct}$ ) of the electrochemical  
632 cell were calculated, where  $R_{sol}$  is independent of the electrode properties as proven by  
633 the similar value after fitting. IPT8D3 showed a smaller semicircle, corresponding to a  
634 lower  $R_{ct}$  of  $206.0 \pm 56.6 \Omega$  compared to  $822.3 \pm 41.5 \Omega$  for CP\_IPT8D3. The lower  $R_{ct}$   
635 for IPT8D3 indicated a better electron transfer and lower electrochemical reaction rate  
636 for the redox couple compared to the samples prepared by conventional oven pyrolysis  
637 [50].

638



640

641 Figure 9 (a) Voltammograms of IP-DIP-derived PyC obtained at different pyrolysis

642 temperatures (dwell time: 30 min) through the SMWP. (b) Voltammograms of IP-DIP

643 derived PyC obtained at 800 °C (dwell time of 30 min) with varying scan rates and (c)

644 linear correlation between the peak currents and the square root of scan rate. (d)

645 Impedance spectra of IP-DIP-derived PyC fabricated through conventional heating

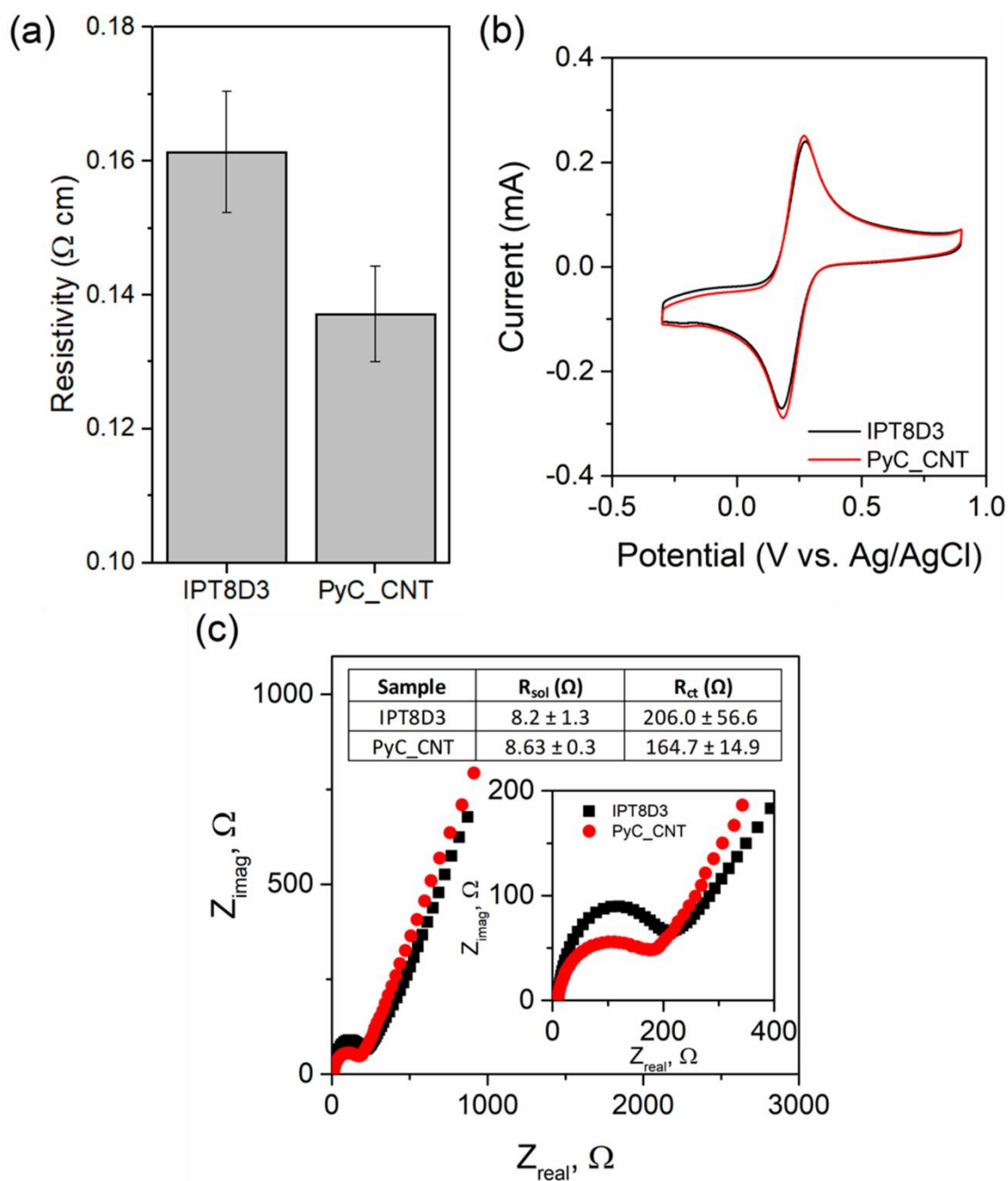
646 (CP\_IPT8D3) and SMWP (IPT8D3).

647

### 648 **3.6 Electrical and electrochemical properties of SCNT-PR1-derived PyC**

649 From the above results, the IP-DIP-derived PyC samples fabricated at 800 °C for 30 min  
650 through the SMWP showed enhanced electrical and electrochemical properties. The same  
651 processing condition was chosen for the pyrolysis of the SCNT-PR1 photoresists, and  
652 PyC\_CNT was obtained after pyrolysis. Compared with IP-DIP-derived PyC (IPT8D3),  
653 the PyC\_CNT sample showed an enhanced electrical conductivity, with a resistivity of  
654  $0.13 \pm 0.01 \Omega \text{ cm}$  (12% reduction) (Figure 10(a)), with the enhancement due to the  
655 following reasons. First, the MWCNT in the nanocomposite photoresist can act as an MW  
656 absorber for better heating efficiency at a lower temperature under the MW irradiation  
657 [51]. Moreover, as confirmed by the Raman spectroscopy, incorporating MWCNTs leads  
658 to a more ordered carbon atomic structure after pyrolysis. While the polymer matrix  
659 undergoes pyrolysis and produces PyC at 800 °C, MWCNTs are relatively stable due to  
660 good thermal stability and do not decompose during the process. As a result, the PyC in  
661 the product may facilitate more conduction paths between the MWCNTs [52], thereby  
662 improving the electrical conductivity of the PyC\_CNT.

663 Figure 10(b) reveals the voltammograms of IPT8D3 and PyC\_CNT fabricated under the  
664 same conditions through the SMWP. Table 5 summarizes the cathodic and anodic peak  
665 currents, peak potentials and the  $\Delta E_p$ . Higher peak currents ( $i_{pa}$  and  $i_{pc}$ ) and lower  $\Delta E_p$   
666 is observed for the PyC\_CNT electrode. This shows an improved electron transfer due to  
667 the enhanced conductivity of the PyC\_CNT. The Nyquist plot from the EIS analysis and  
668 the fitting results using the Randles equivalent circuit model are presented in Figure 10(c).  
669 From the result, PyC\_CNT showed a smaller semicircle with a lower  $R_{ct}$  of  $164.7 \pm 14.9$   
670  $\Omega$  (~20% reduction) compared to IPT8D3. This result indicated an enhanced electron  
671 transfer kinetics for the electrochemical cell using the PyC\_CNT electrode.



672

673 Figure 10 (a) Resistivity and (b) voltammograms of PyC-based film electrodes produced  
 674 through the SMWP using different photoresists at the same pyrolysis condition. (c)

675 Impedance spectra of IP-DIP-derived (IPT8D3) and SCNT-PR1-derived PyC  
 676 (PyC\_CNT) electrodes fabricated through the SMWP

677

678 Table 5 Summary of cathodic/anodic peak currents of different photoresist-derived PyC-  
 679 based film electrodes fabricated through the SMWP.

PyC Sample	$E_{pa}$ (V)	$i_{pa}$ (mA)	$E_{pc}$ (V)	$i_{pc}$ (mA)	$\Delta E_p$ (mV)
IPT8D3	0.182	-0.271	0.271	0.271	89
PyC_CNT	0.186	-0.287	0.266	0.287	80

680

#### 681 4 Conclusions

682 We have developed a hybrid strategy utilizing the DLW and SMWP technologies for  
683 generating predesigned 3D carbon microstructures through a detailed study of the 3D  
684 printing parameters and the SMWP conditions of an MWCNTs nanocomposite  
685 photoresist. The major findings are listed as follows:

- 686 • Thiol-functionalized MWCNT (SCNT) was successfully synthesized to improve  
687 dispersion in the acrylate-based photoresist IP-DIP.
- 688 • A printability assessment was conducted to determine optimal printing  
689 parameters, and different metamaterial microstructures with submicron resolution  
690 ( $833 \pm 54$  nm) were generated successfully using the SCNT-containing  
691 photoresist. In addition, structures containing SCNT exhibited improved stiffness  
692 compared to the unmodified IP-DIP.
- 693 • A comprehensive and comparative study of the pyrolysis condition through  
694 SMWP was conducted. It was found that SMWP can convert the polymer  
695 precursors to PyC with a more ordered carbon atomic structure due to the  
696 accelerated pyrolysis reaction under MW irradiation. As a result, better electrical  
697 properties have been achieved. For IP-DIP-derived PyC, resistivity is reduced by  
698 over 75%, from  $0.69 \pm 0.13 \Omega \text{ cm}$  for conventional pyrolysis to  $0.16 \pm 0.01 \Omega \text{ cm}$ .  
699 Enhanced electrochemical performance was also confirmed. In addition, PyC  
700 derived from the MWCNTs nanocomposite photoresist exhibited further  
701 improvement in the electrical (resistivity =  $0.13 \pm 0.01 \Omega \text{ cm}$ ) and electrochemical  
702 performance.

703 • The DLW-printed metamaterials were successfully converted to 3D PyC  
704 structures while retaining their geometrical features through SMWP.

705 Overall, the presented effective hybrid strategy for fabricating 3D carbon-based  
706 microstructures with enhanced performance offers a very promising approach for  
707 developing miniaturized electrochemical devices.

708

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713

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**Fabrication of predesigned 3D carbon based microstructures via two-photon vat photopolymerization and susceptor-assisted microwave post-processing**

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**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: